

ISO 20022

General Meeting - Maintenance 2025 - 2026

## Message Definition Report - Part 2

Approved by the Securities SEG on 27 January 2026

This document provides details of the Message Definitions for General Meeting - Maintenance 2025 - 2026.

March 2026

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# 1 Message Set Overview

## Introduction

This document describes the General Meeting message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2025-2026 (See MCR #272) and approved by the Securities Standards Evaluation Group on 27 January 2026.

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.001.001.13 MeetingNotificationV13	<p>The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.</p>
seev.002.001.10 MeetingCancellationV10	<p>The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the</p>

MessageDefinition	Definition
	original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.
seev.003.001.10 MeetingEntitlementNotificationV10	The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.
seev.004.001.11 MeetingInstructionV11	The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.
seev.005.001.10 MeetingInstructionCancellationRequestV10	The MeetingInstructionCancellationRequest message is sent by the same party that sent the MeetingInstruction message. It is sent to request the cancellation of one, some, or all of the instructions included in the original MeetingInstruction message.
seev.006.001.12 MeetingInstructionStatusV12	The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.  The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.
seev.007.001.12 MeetingVoteExecutionConfirmationV12	The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent, or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.
seev.008.001.10 MeetingResultDisseminationV10	The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder, or to a beneficial holder to provide information on the voting results of a shareholders meeting.

## 2 **head.001.001.02 BusinessApplicationHeaderV02**

### 2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

#### Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

#### A. CharSet

Contains the character set of the text-based elements used in the Business Message.

#### B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

#### C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.



## 2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	11
	<b>From</b> <Fr>	[1..1]			11
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		11
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		12
	<b>To</b> <To>	[1..1]			12
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		12
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		12
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		13
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		13
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		13
	<b>MarketPractice</b> <MktPrctc>	[0..1]			13
	<b>Registry</b> <Regy>	[1..1]	Text		14
	<b>Identification</b> <Id>	[1..1]	Text		14
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		14
	<b>BusinessProcessingDate</b> <BizPrccDt>	[0..1]	DateTime		14
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		14
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		15
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	15
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		16
	<b>Related</b> <Rltd>	[0..*]			16
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	17
	<b>From</b> <Fr>	[1..1]			17
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		17
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		17
	<b>To</b> <To>	[1..1]			18
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		18
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		18
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		19
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		19

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		19
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		19
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		19
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		20
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	20
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		21

## 2.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

### C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

*This constraint is defined at the MessageDefinition level.*

### C6 ValidationByTable

### C7 ValidationByTable

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 2.4.1 CharacterSet <CharSet>

*Presence:* [0..1]

*Definition:* Contains the character set of the text-based elements used in the Business Message.

*Impacted by:* C6 "ValidationByTable"

*Datatype:* "UnicodeChartsCode" on page 478

#### Constraints

- **ValidationByTable**

### 2.4.2 From <Fr>

*Presence:* [1..1]

*Definition:* The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

**From <Fr>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		11
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		12

#### 2.4.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification135" on page 392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		392
	PostalAddress <PstlAdr>	[0..1]	±		392
	Identification <Id>	[0..1]	±		393
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	393
	ContactDetails <CtctDtls>	[0..1]	±		394

### 2.4.2.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIld>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		354
	BranchIdentification <BrnchId>	[0..1]	±		355

### 2.4.3 To <To>

*Presence:* [1..1]

*Definition:* The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

**To <To>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		12
Or}	<b>FinancialInstitutionIdentification</b> <FIld>	[1..1]	±		12

#### 2.4.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification135](#)" on page 392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		392
	PostalAddress <PstlAdr>	[0..1]	±		392
	Identification <Id>	[0..1]	±		393
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	393
	ContactDetails <CtctDtls>	[0..1]	±		394

#### 2.4.3.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIId>** contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		354
	BranchIdentification <BrnchId>	[0..1]	±		355

## 2.4.4 BusinessMessageIdentifier <BizMsgIdr>

*Presence:* [1..1]

*Definition:* Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

*Datatype:* "Max35Text" on page 487

## 2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

*Presence:* [1..1]

*Definition:* Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

*Datatype:* "Max35Text" on page 487

## 2.4.6 BusinessService <BizSvc>

*Presence:* [0..1]

*Definition:* Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

*Datatype:* "Max35Text" on page 487

## 2.4.7 MarketPractice <MktPrctc>

*Presence:* [0..1]

*Definition:* Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

**MarketPractice <MktPrctc>** contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Registry &lt;Regy&gt;</b>	[1..1]	Text		14
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		14

#### 2.4.7.1 Registry <Regy>

*Presence:* [1..1]

*Definition:* Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

*Datatype:* "Max350Text" on page 487

#### 2.4.7.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

*Datatype:* "Max2048Text" on page 487

### 2.4.8 CreationDate <CreDt>

*Presence:* [1..1]

*Definition:* Date and time when this Business Message (header) was created.

*Datatype:* "ISODateTime" on page 482

### 2.4.9 BusinessProcessingDate <BizPrcgDt>

*Presence:* [0..1]

*Definition:* Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

*Datatype:* "ISODateTime" on page 482

### 2.4.10 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

*Datatype:* "CopyDuplicate1Code" on page 462

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

## 2.4.11 PossibleDuplicate <PssbIDp1ct>

*Presence:* [0..1]

*Definition:* Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 484](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 2.4.12 Priority <Prty>

*Presence:* [0..1]

*Definition:* Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

*Impacted by:* [C7 "ValidationByTable"](#)

*Datatype:* ["BusinessMessagePriorityCode" on page 462](#)

**Constraints**

- **ValidationByTable**

**2.4.13 Signature <Sgntr>**

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business Message.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

**2.4.14 Related <Rltd>**

*Presence:* [0..\*]

*Definition:* Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

**Related <Rltd>** contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	17
	<b>From</b> <Fr>	[1..1]			17
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		17
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		17
	<b>To</b> <To>	[1..1]			18
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		18
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		18
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		19
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		19
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		19
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		19
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		19
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		20
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	20
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		21



### 2.4.14.1 CharacterSet <CharSet>

*Presence:* [0..1]

*Definition:* Contains the character set of the text-based elements used in the Business Message.

*Impacted by:* C6 "ValidationByTable"

*Datatype:* "UnicodeChartsCode" on page 478

#### Constraints

- **ValidationByTable**

### 2.4.14.2 From <Fr>

*Presence:* [1..1]

*Definition:* The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

**From <Fr>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		17
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		17

#### 2.4.14.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification135" on page 392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		392
	PostalAddress <PstlAdr>	[0..1]	±		392
	Identification <Id>	[0..1]	±		393
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	393
	ContactDetails <CtctDtls>	[0..1]	±		394

#### 2.4.14.2.2 FinancialInstitutionIdentification <FIId>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIld>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		354
	BranchIdentification <BrnchId>	[0..1]	±		355

#### 2.4.14.3 To <To>

*Presence:* [1..1]

*Definition:* The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

**To <To>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		18
Or}	<b>FinancialInstitutionIdentification</b> <FIld>	[1..1]	±		18

##### 2.4.14.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification135](#)" on page 392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		392
	PostalAddress <PstlAdr>	[0..1]	±		392
	Identification <Id>	[0..1]	±		393
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	393
	ContactDetails <CtctDtls>	[0..1]	±		394

##### 2.4.14.3.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIId>** contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		354
	BranchIdentification <BrnchId>	[0..1]	±		355

#### 2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

*Presence:* [1..1]

*Definition:* Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

*Datatype:* "Max35Text" on page 487

#### 2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

*Presence:* [1..1]

*Definition:* Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

*Datatype:* "Max35Text" on page 487

#### 2.4.14.6 BusinessService <BizSvc>

*Presence:* [0..1]

*Definition:* Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

*Datatype:* "Max35Text" on page 487

#### 2.4.14.7 CreationDate <CreDt>

*Presence:* [1..1]

*Definition:* Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

*Datatype:* "ISODatetime" on page 482

#### 2.4.14.8 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

*Datatype:* "CopyDuplicate1Code" on page 462

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 2.4.14.9 PossibleDuplicate <PssbIDp1ct>

*Presence:* [0..1]

*Definition:* Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 2.4.14.10 Priority <Prty>

*Presence:* [0..1]

*Definition:* Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

*Impacted by:* C7 "ValidationByTable"

*Datatype:* "BusinessMessagePriorityCode" on page 462

**Constraints**

- **ValidationByTable**

**2.4.14.11 Signature <Sgntr>**

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business Message.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

## 3 **seev.001.001.13** **MeetingNotificationV13**

### 3.1 **MessageDefinition Functionality**

#### Scope

The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.

#### Usage

The MeetingNotification message is used to announce a meeting, for example, it provides information on the participation details and requirements for the meeting, the vote parameters and the resolutions. The MeetingNotification message may also be used to announce an update.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingNotificationV13 MessageDefinition is composed of 14 MessageBuildingBlocks:

##### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

##### B. NotificationGeneralInformation

General information about the event notification type and status.

##### C. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

##### D. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

##### E. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

##### F. MeetingDetails

Dates and details of the shareholders meeting.

##### G. Issuer

Institution that is the issuer of the security to which the meeting applies.

##### H. IssuerAgent

Agent of the issuer.

##### I. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

J. Resolution

Detailed information of a resolution proposed to the vote.

K. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

L. PowerOfAttorneyRequirements

Information about the use of power of attorney.

M. AdditionalInformation

Additional narrative information about the meeting event.

N. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgNtfctn>	[1..1]		C6, C8, C9, C15, C21, C22, C23, C26, C27	
	Pagination <Pgntn>	[0..1]	±		33
	NotificationGeneralInformation <NtfctnGnlInf>	[1..1]			33
	NotificationIdentification <NtfctnId>	[0..1]	Text		33
	NotificationType <NtfctnTp>	[1..1]	CodeSet		33
	NotificationStatus <NtfctnSts>	[1..1]			34
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		34
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		34
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		34
	ConfirmationOfHoldingRequired <ConfOfHldgReqr>	[0..1]	Indicator		35
	NotificationUpdate <NtfctnUpd>	[0..1]			35
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		35
	ReconfirmInstructions <RcnfrmInstrs>	[0..1]	Indicator		35
	EventsLinkage <EvtsLkg>	[0..*]			35
	EventIdentification <EvtId>	[1..1]			36
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgl>	[1..1]	Text		36
Or}	LinkedMeetingIdentification <LkdMtgl>	[1..1]	Text		36
	LinkageType <LkgTp>	[0..1]	CodeSet		36
	Meeting <Mtg>	[1..1]			37
	MeetingIdentification <Mtgl>	[1..1]	Text		39
	IssuerMeetingIdentification <IssrMtgl>	[0..1]	Text		40
	Type <Tp>	[1..1]	CodeSet		40
	Classification <Clssfctn>	[0..1]	±		40
	AnnouncementDate <AnncmntDt>	[0..1]	±		40
	OneManOneVoteIndicator <OneManOneVoteInd>	[0..1]	Indicator		41
	Participation <Prtcpn>	[0..*]			41
	ParticipationMethod <PrtcpnMtd>	[1..1]	±		41



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AttendeeEmailRequiredIndicator</b> <AttndeeEmailReqrdInd>	[0..1]	Indicator		42
	<b>IssuerDeadlineForVoting</b> <IssrDdlnForVtng>	[1..1]	±		42
	<b>SupportedByAccountServicer</b> <SpprtByAcctSvcr>	[0..1]	Indicator		42
	<b>ResponseDeadlineForVoting</b> <RspnDdlnForVtng>	[0..1]	±		42
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[0..5]			43
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		43
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		43
	<b>Attendance</b> <Attndnc>	[0..1]			43
	<b>AdmissionConditions</b> <AdmssnConds>	[0..7]	±		44
	<b>ConfirmationInformation</b> <ConfInf>	[0..1]	Text		44
	<b>ConfirmationDeadline</b> <ConfDdln>	[0..1]	±		44
	<b>ConfirmationMarketDeadline</b> <ConfMktDdln>	[0..1]	±		44
	<b>AdditionalDocumentationURLAddress</b> <AddtlDcmnttnURLAdr>	[0..*]	Text		45
	<b>EventProcessingWebSiteAddress</b> <EvtPrpgWebSiteAdr>	[0..1]	Text		45
	<b>AdditionalProcedureDetails</b> <AddtlPrcdrDtls>	[0..5]			45
	<b>AdditionalRight</b> <AddtlRght>	[1..1]	±		45
	<b>AdditionalRightInformationURLAddress</b> <AddtlRghtInfURLAdr>	[0..1]	Text		46
	<b>AdditionalRightDeadline</b> <AddtlRghtDdln>	[0..1]	±		46
	<b>AdditionalRightMarketDeadline</b> <AddtlRghtMktDdln>	[0..1]	±		46
	<b>AdditionalRightThreshold</b> <AddtlRghtThrshld>	[0..1]	±		46
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfScitiesOutsdng>	[0..1]	±		47
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		47
	<b>ProxyAppointmentNotificationAddress</b> <PrxyAppntmntNtfctnAdr>	[0..1]	±		47
	<b>ProxyChoice</b> <PrxyChc>	[0..1]			48
{Or	<b>Proxy</b> <Prxy>	[1..1]			48
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		48
	<b>Deadline</b> <Ddln>	[0..1]	±		48
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49
Or}	<b>ProxyNotAllowed</b> <PrxyNotAllwd>	[1..1]	CodeSet		49

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactPersonDetails</b> <CtctPrsnDtls>	[0..12]			50
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		50
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		50
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	IdentifierSet		51
	<b>ResultPublicationDate</b> <RsltPblctnDt>	[0..1]	±		51
	<b>SecuritiesBlockingPeriodEndDate</b> <SctiesBlckgPrdEndDt>	[0..1]			51
{Or	<b>Date</b> <Dt>	[1..1]	±		51
Or}	<b>DateCode</b> <DtCd>	[1..1]			51
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		52
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		52
	<b>EntitlementFixingDate</b> <EntitlmntFxdDt>	[0..1]	±		52
	<b>RegistrationSecuritiesDeadline</b> <RegnSctiesDdln>	[0..1]	±		53
	<b>RegistrationSecuritiesMarketDeadline</b> <RegnSctiesMktDdln>	[0..1]	±		53
	<b>EnrolmentMarketDeadline</b> <EnrlmntMktDdln>	[0..1]	±		53
	<b>EnrolmentDeadline</b> <EnrlmntDdln>	[0..1]	±		54
	<b>MeetingDetails</b> <MtgDtls>	[1..5]		C11	54
	<b>DateAndTime</b> <DtAndTm>	[1..1]	±		54
	<b>DateStatus</b> <DtSts>	[0..1]	CodeSet		55
	<b>QuorumRequired</b> <QrmReqrd>	[0..1]	Indicator		55
	<b>Location</b> <Lctn>	[1..5]	±		55
	<b>QuorumQuantity</b> <QrmQty>	[0..1]	±		55
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		56
	<b>Issuer</b> <Issr>	[1..1]	±		56
	<b>IssuerAgent</b> <IssrAgt>	[0..10]	±		56
	<b>Security</b> <Scty>	[1..200]			56
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C12, C13, C17, C18, C20	58
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C16, C24	59
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		61
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		61

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			61
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		62
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		62
	<b>HoldingBalance</b> <HldgBal>	[0..15]			63
	<b>Balance</b> <Bal>	[1..1]			64
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		64
	<b>Quantity</b> <Qty>	[1..1]		C14	64
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		65
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		65
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		65
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		65
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		66
	<b>Date</b> <Dt>	[0..1]	Date		67
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			67
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			68
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			69
	<b>Name</b> <Nm>	[1..1]	Text		70
	<b>Address</b> <Adr>	[0..1]			70
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		71
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		71
	<b>StreetName</b> <StrtNm>	[0..1]	Text		71
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		71
	<b>PostBox</b> <PstBx>	[0..1]	Text		71
	<b>PostCode</b> <PstCd>	[0..1]	Text		71
	<b>TownName</b> <TwnNm>	[0..1]	Text		72
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		72
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	72
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		72
	<b>Identification</b> <Id>	[0..1]	±		72
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		72
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	73

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		73
	<b>Resolution</b> <Rsln>	[0..1000]		C28	74
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		75
	<b>Description</b> <Desc>	[0..*]			75
	<b>Language</b> <Lang>	[1..1]	CodeSet		76
	<b>Title</b> <Titl>	[0..1]	Text		76
	<b>Description</b> <Desc>	[0..*]	Text		76
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLbl>	[0..1]	Text		76
	<b>Type</b> <Tp>	[0..1]	CodeSet		76
	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		77
	<b>VoteType</b> <VoteTp>	[0..1]	CodeSet		77
	<b>Status</b> <Sts>	[1..1]	CodeSet		77
	<b>SubmittedBySecurityHolder</b> <SubmittdBySctyHldr>	[0..1]	Indicator		77
	<b>RightToWithdrawIndicator</b> <RghtToWdrwInd>	[0..1]	Indicator		77
	<b>VoteInstructionType</b> <VoteInstrTp>	[0..*]	±	C5	78
	<b>ManagementRecommendation</b> <MgmtRcmmndtn>	[0..1]	CodeSet		78
	<b>NotifyingPartyRecommendation</b> <NtifngPtyRcmmndtn>	[0..1]	CodeSet		79
	<b>Entitlement</b> <Entitlmnt>	[0..1]	±		79
	<b>VotingRightsThresholdForApproval</b> <VtngRghtsThrshldForAprvl>	[0..*]	±		80
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		80
	<b>Vote</b> <Vote>	[0..1]			80
	<b>SecuritiesQuantityRequiredToVote</b> <SctiesQtyReqrdToVote>	[0..1]	±		82
	<b>PartialVoteAllowed</b> <PrtlVoteAllwd>	[1..1]	Indicator		82
	<b>SplitVoteAllowed</b> <SplVoteAllwd>	[1..1]	Indicator		82
	<b>VoteDeadline</b> <VoteDdln>	[0..1]	±		82
	<b>VoteMarketDeadline</b> <VoteMktDdln>	[0..1]	±		82
	<b>VoteMethods</b> <VoteMthds>	[0..1]			83
	<b>VoteThroughNetwork</b> <VoteThrghNtwk>	[0..1]			83
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		83
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	84
	<b>VoteByMail</b> <VoteByMail>	[0..1]			84

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Correspondence</b> <Crspdc>	[0..5]	±		84
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		85
	<b>ElectronicVote</b> <ElctrcVote>	[0..5]			85
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		85
	<b>VoteByTelephone</b> <VoteByTel>	[0..5]	Text		85
	<b>VotingBallotElectronicAddress</b> <VtngBlItElctrcAdr>	[0..1]	±		85
	<b>VotingBallotRequestAddress</b> <VtngBlItReqAdr>	[0..1]	±		86
	<b>RevocabilityDeadline</b> <RvcbltyDdln>	[0..1]	±		86
	<b>RevocabilityMarketDeadline</b> <RvcbltyMktDdln>	[0..1]	±		86
	<b>BeneficialOwnerDisclosure</b> <BnfclOwnrDsclsr>	[0..1]	Indicator		87
	<b>EarlyIncentivePremium</b> <EarlyIncntivPrm>	[0..1]	±		87
	<b>IncentivePremium</b> <IncntivPrm>	[0..1]	±		87
	<b>EarlyVoteWithPremiumDeadline</b> <EarlyVoteWthPrmDdln>	[0..1]	±		88
	<b>VoteWithPremiumDeadline</b> <VoteWthPrmDdln>	[0..1]	±		88
	<b>VoteWithPremiumMarketDeadline</b> <VoteWthPrmMktDdln>	[0..1]	±		88
	<b>AdditionalVotingRequirements</b> <AddtlVtngRqrmnts>	[0..1]	Text		89
	<b>AdditionalDisclosureRequirements</b> <AddtlDsclsrRqrmnts>	[0..1]	Text		89
	<b>PreviousInstructionInvalidityIndicator</b> <PrvsInstrInvldtyInd>	[0..1]	Indicator		89
	<b>PowerOfAttorneyRequirements</b> <PwrOfAttnyRqrmnts>	[0..1]		C19	89
	<b>LegalRequirement</b> <LglRqrmnt>	[0..4]	CodeSet		90
	<b>OtherDocumentation</b> <OthrDcmnttn>	[0..1]	Text		90
	<b>DocumentSubmissionDeadline</b> <DocSubmissnDdln>	[0..1]	±		90
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]			91
	<b>Disclaimer</b> <Dsclmr>	[0..*]			91
	<b>Language</b> <Lang>	[1..1]	CodeSet		91
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		91
	<b>ProcessingTextForNextIntermediary</b> <PrcgTxtForNxtIntrmy>	[0..*]	Text		91
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C25	92

### 3.3 Constraints

#### C1 AccountIdentificationOrBlockchainAddress1Rule

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both.

**C2 AccountIdentificationOrBlockchainAddress2Rule**

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

**C3 AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

**C4 ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C5 AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

**C6 AgentRole1Rule**

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

*This constraint is defined at the MessageDefinition level.*

**C7 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C8 AttendeeEmailRequiredIndicator1Rule**

If participation method is VIRT, then AttendeeEmailRequiredIndicator must be set to Y.

*This constraint is defined at the MessageDefinition level.*

**C9 AttendeeEmailRequiredIndicator2Rule**

If participation method is VIRT, then the email address of the party who will attend the virtual meeting must be provided in the Meeting Instruction.

*This constraint is defined at the MessageDefinition level.*

**C10 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C11 DateStatus1Rule**

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

**C12 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C13 DescriptionUsageRule**

Description must be used alone as the last resort.

**C14 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C15 EntitlementDate1Guideline**

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

*This constraint is defined at the MessageDefinition level.*

**C16 HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

**C17 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C18 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C19 OtherDocumentation1Guideline**

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

**C20 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C21 PaginationRule**

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

```
On Condition
    /Pagination is present
Following Must be True
    /NotificationGeneralInformation/NotificationIdentification Must be present
```

*This constraint is defined at the MessageDefinition level.*

**C22 PreviousNotificationIdentificationRule**

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then NotificationUpdate/PreviousNotificationIdentification must be present.

```
On Condition
    /NotificationGeneralInformation/NotificationType is equal to value
'Replacement'
And    /Pagination/PageNumber is equal to value '1'
Following Must be True
    /NotificationUpdate/PreviousNotificationIdentification Must be present
```

*This constraint is defined at the MessageDefinition level.*

**C23 Resolution1Guideline**

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

*This constraint is defined at the MessageDefinition level.*

#### **C24 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### **C25 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### **C26 VoteAsPerIntermediary1Rule**

If Vote/VoteMethod/VoteThroughNetwork/VoteChannel code value is VOPI (VoteAsPerIntermediaryInformation), then at least one occurrence of AdditionalInformation/ProcessingTextForNextIntermediary must be present.

On Condition

/Vote/VoteMethods/VoteThroughNetwork/VoteChannel is equal to value 'VoteAsPerIntermediaryInformation'

Following Must be True

/AdditionalInformation/ProcessingTextForNextIntermediary[\*] Must be present

*This constraint is defined at the MessageDefinition level.*

#### **C27 VoteInstructionType1Guideline**

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

*This constraint is defined at the MessageDefinition level.*

#### **C28 VotingRightsTresholdForApproval1Rule**

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.



## 3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 3.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		363
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		363

### 3.4.2 NotificationGeneralInformation <NtfctnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the event notification type and status.

**NotificationGeneralInformation <NtfctnGnlInf>** contains the following **NotificationGeneralInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NotificationIdentification</b> <NtfctnId>	[0..1]	Text		33
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		33
	<b>NotificationStatus</b> <NtfctnSts>	[1..1]			34
	<b>EventCompletenessStatus</b> <EvtCmpltnsSts>	[1..1]	CodeSet		34
	<b>EventConfirmationStatus</b> <EvtConfSts>	[1..1]	CodeSet		34
	<b>ShareholderRightsDirectiveIndicator</b> <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		34
	<b>ConfirmationOfHoldingRequired</b> <ConfOfHldgReqrd>	[0..1]	Indicator		35

#### 3.4.2.1 NotificationIdentification <NtfctnId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of meeting notification messages when the notification is split in multiple (paginated) messages.

*Datatype:* "Max35Text" on page 487

#### 3.4.2.2 NotificationType <NtfctnTp>

*Presence:* [1..1]

*Definition:* Type of notification.

*Datatype:* "NotificationType3Code" on page 469

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

### 3.4.2.3 NotificationStatus <NtfctnSts>

*Presence:* [1..1]

*Definition:* Status of the details of the event.

**NotificationStatus <NtfctnSts>** contains the following **EventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EventCompletenessStatus</b> <EvtCmpltnsSts>	[1..1]	CodeSet		34
	<b>EventConfirmationStatus</b> <EvtConfSts>	[1..1]	CodeSet		34

#### 3.4.2.3.1 EventCompletenessStatus <EvtCmpltnsSts>

*Presence:* [1..1]

*Definition:* Specifies whether the details provided about an event are complete or incomplete.

*Datatype:* "EventCompletenessStatus1Code" on page 464

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

#### 3.4.2.3.2 EventConfirmationStatus <EvtConfSts>

*Presence:* [1..1]

*Definition:* Specifies the status of the occurrence of an event.

*Datatype:* "EventConfirmationStatus1Code" on page 464

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

#### 3.4.2.4 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

*Presence:* [0..1]

*Definition:* Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.2.5 ConfirmationOfHoldingRequired <ConfOfHldgReqr>

*Presence:* [0..1]

*Definition:* Indicates whether a specific confirmation of holding together with a participation and/or vote instruction is required by the issuer (for instance as required in the German Stock Corporation Act paragraph 67c section 3).

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 3.4.3 NotificationUpdate <NtfctnUpd>

*Presence:* [0..1]

*Definition:* Information specific to notification amendment as for instance the identification to a previous meeting notification.

**NotificationUpdate <NtfctnUpd>** contains the following **NotificationUpdate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreviousNotificationIdentification</b> <PrvsNtfctnId>	[1..1]	Text		35
	<b>ReconfirmInstructions</b> <RcnfrmlInstrs>	[0..1]	Indicator		35

### 3.4.3.1 PreviousNotificationIdentification <PrvsNtfctnId>

*Presence:* [1..1]

*Definition:* Identification of a previously sent meeting notification message.

*Datatype:* "[Max35Text](#)" on page 487

### 3.4.3.2 ReconfirmInstructions <RcnfrmlInstrs>

*Presence:* [0..1]

*Definition:* Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 3.4.4 EventsLinkage <EvtsLkg>

*Presence:* [0..\*]

*Definition:* Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

**EventsLinkage <EvtsLkg>** contains the following **MeetingEventReference1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EventIdentification &lt;EvtId&gt;</b>	[1..1]			36
{Or	<b>LinkedIssuerMeetingIdentification &lt;LkdIssrMtglId&gt;</b>	[1..1]	Text		36
Or}	<b>LinkedMeetingIdentification &lt;LkdMtglId&gt;</b>	[1..1]	Text		36
	<b>LinkageType &lt;LkgTp&gt;</b>	[0..1]	CodeSet		36

#### 3.4.4.1 EventIdentification <EvtId>

*Presence:* [1..1]

*Definition:* Identification of the linked meeting event.

**EventIdentification <EvtId>** contains one of the following **MeetingEventReference1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LinkedIssuerMeetingIdentification &lt;LkdIssrMtglId&gt;</b>	[1..1]	Text		36
Or}	<b>LinkedMeetingIdentification &lt;LkdMtglId&gt;</b>	[1..1]	Text		36

##### 3.4.4.1.1 LinkedIssuerMeetingIdentification <LkdIssrMtglId>

*Presence:* [1..1]

*Definition:* Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

*Datatype:* "Max35Text" on page 487

##### 3.4.4.1.2 LinkedMeetingIdentification <LkdMtglId>

*Presence:* [1..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a related meeting event.

*Datatype:* "Max35Text" on page 487

#### 3.4.4.2 LinkageType <LkgTp>

*Presence:* [0..1]

*Definition:* Specifies when the event is to be processed relative to the linked event.

*Datatype:* "ProcessingPosition3Code" on page 471

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

CodeName	Name	Definition
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

### 3.4.5 Meeting <Mtg>

*Presence:* [1..1]

*Definition:* Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

**Meeting <Mtg>** contains the following **MeetingNotice10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtglId>	[1..1]	Text		39
	<b>IssuerMeetingIdentification</b> <IssrMtglId>	[0..1]	Text		40
	<b>Type</b> <Tp>	[1..1]	CodeSet		40
	<b>Classification</b> <Clssfctn>	[0..1]	±		40
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	±		40
	<b>OneManOneVoteIndicator</b> <OneManOneVoteInd>	[0..1]	Indicator		41
	<b>Participation</b> <Prtcptn>	[0..*]			41
	<b>ParticipationMethod</b> <PrtcptnMtd>	[1..1]	±		41
	<b>AttendeeEmailRequiredIndicator</b> <AtndeeEmailReqrdInd>	[0..1]	Indicator		42
	<b>IssuerDeadlineForVoting</b> <IssrDdlnForVtng>	[1..1]	±		42
	<b>SupportedByAccountServicer</b> <SpprtdByAcctSvcr>	[0..1]	Indicator		42
	<b>ResponseDeadlineForVoting</b> <RspnDdlnForVtng>	[0..1]	±		42
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[0..5]			43
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		43
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		43
	<b>Attendance</b> <Attdnc>	[0..1]			43
	<b>AdmissionConditions</b> <AdmssnConds>	[0..7]	±		44
	<b>ConfirmationInformation</b> <ConfInf>	[0..1]	Text		44
	<b>ConfirmationDeadline</b> <ConfDdln>	[0..1]	±		44
	<b>ConfirmationMarketDeadline</b> <ConfMktDdln>	[0..1]	±		44
	<b>AdditionalDocumentationURLAddress</b> <AddtlDcmnttnURLAdr>	[0..*]	Text		45
	<b>EventProcessingWebSiteAddress</b> <EvtPrcgWebSiteAdr>	[0..1]	Text		45
	<b>AdditionalProcedureDetails</b> <AddtlPrcdrDtls>	[0..5]			45
	<b>AdditionalRight</b> <AddtlRght>	[1..1]	±		45
	<b>AdditionalRightInformationURLAddress</b> <AddtlRghtInfURLAdr>	[0..1]	Text		46
	<b>AdditionalRightDeadline</b> <AddtlRghtDdln>	[0..1]	±		46
	<b>AdditionalRightMarketDeadline</b> <AddtlRghtMktDdln>	[0..1]	±		46
	<b>AdditionalRightThreshold</b> <AddtlRghtThrshld>	[0..1]	±		46

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfSciesOutsdng>	[0..1]	±		47
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		47
	<b>ProxyAppointmentNotificationAddress</b> <PrxyAppntmntNtfctnAdr>	[0..1]	±		47
	<b>ProxyChoice</b> <PrxyChc>	[0..1]			48
{Or	<b>Proxy</b> <Prxy>	[1..1]			48
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		48
	<b>Deadline</b> <DdlIn>	[0..1]	±		48
	<b>MarketDeadline</b> <MktDdlIn>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49
Or}	<b>ProxyNotAllowed</b> <PrxyNotAllwd>	[1..1]	CodeSet		49
	<b>ContactPersonDetails</b> <CtctPrsnDtls>	[0..12]			50
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		50
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		50
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	IdentifierSet		51
	<b>ResultPublicationDate</b> <RsltPblctnDt>	[0..1]	±		51
	<b>SecuritiesBlockingPeriodEndDate</b> <SciesBlckgPrdEndDt>	[0..1]			51
{Or	<b>Date</b> <Dt>	[1..1]	±		51
Or}	<b>DateCode</b> <DtCd>	[1..1]			51
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		52
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		52
	<b>EntitlementFixingDate</b> <EnttlmntFxdDt>	[0..1]	±		52
	<b>RegistrationSecuritiesDeadline</b> <RegnSciesDdlIn>	[0..1]	±		53
	<b>RegistrationSecuritiesMarketDeadline</b> <RegnSciesMktDdlIn>	[0..1]	±		53
	<b>EnrolmentMarketDeadline</b> <EnrlmntMktDdlIn>	[0..1]	±		53
	<b>EnrolmentDeadline</b> <EnrlmntDdlIn>	[0..1]	±		54

### 3.4.5.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

*Datatype:* "Max35Text" on page 487

### 3.4.5.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

### 3.4.5.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of security holders meeting.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

### 3.4.5.4 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "MeetingTypeClassification2Choice" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

### 3.4.5.5 AnnouncementDate <AnncmntDt>

*Presence:* [0..1]



*Definition:* Official meeting announcement date.

**AnnouncementDate** <AnncmntDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		345
Or}	DateTime <DtTm>	[1..1]	DateTime		345

### 3.4.5.6 OneManOneVoteIndicator <OneManOneVoteInd>

*Presence:* [0..1]

*Definition:* Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.5.7 Participation <Prtcptn>

*Presence:* [0..\*]

*Definition:* Method of voting participation to the general meeting and related voting deadline per method of participation.

**Participation** <Prtcptn> contains the following **ParticipationMethod3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ParticipationMethod</b> <PrtcptnMtd>	[1..1]	±		41
	<b>AttendeeEmailRequiredIndicator</b> <AtndeeEmailReqrdInd>	[0..1]	Indicator		42
	<b>IssuerDeadlineForVoting</b> <IssrDdlnForVtng>	[1..1]	±		42
	<b>SupportedByAccountServicer</b> <SpprtdByAcctSvcr>	[0..1]	Indicator		42
	<b>ResponseDeadlineForVoting</b> <RspnDdlnForVtng>	[0..1]	±		42
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[0..5]			43
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		43
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		43

#### 3.4.5.7.1 ParticipationMethod <PrtcptnMtd>

*Presence:* [1..1]

*Definition:* Method of voting participation to the general meeting.

**ParticipationMethod <PrtcptnMtd>** contains one of the following elements (see "ParticipationMethod3Choice" on page 364 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		364
Or}	Proprietary <Prtry>	[1..1]	±		364

#### 3.4.5.7.2 AttendeeEmailRequiredIndicator <AttndeeEmailReqrdInd>

*Presence:* [0..1]

*Definition:* Indicates whether the email address of the party who will attend the virtual meeting must be provided in the Meeting Instruction.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.5.7.3 IssuerDeadlineForVoting <IssrDdlnForVtng>

*Presence:* [1..1]

*Definition:* Deadline for voting on agenda resolutions at the general meeting.

**IssuerDeadlineForVoting <IssrDdlnForVtng>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.5.7.4 SupportedByAccountServicer <SpprtdByAcctSvcr>

*Presence:* [0..1]

*Definition:* Indicates whether the specific participation method proposed by the issuer is supported by the account servicer.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.5.7.5 ResponseDeadlineForVoting <RspnDdlnForVtng>

*Presence:* [0..1]

*Definition:* Account servicer deadline for voting on agenda resolutions at the general meeting.

**ResponseDeadlineForVoting <RspnDdlInForVtng>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.5.7.6 InvestorTypeIdentification <InvstrTpId>

*Presence:* [0..5]

*Definition:* Investor types that may or may not participate in the event.

**InvestorTypeIdentification <InvstrTpId>** contains the following **InvestorTypeIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		43
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		43

#### 3.4.5.7.6.1 InvestorTypeIdentification <InvstrTpId>

*Presence:* [1..1]

*Definition:* Types of investor in relation to a corporate event.

*Datatype:* "InvestorClassificationType1Code" on page 466

CodeName	Name	Definition
ELIG	Eligible	Investors eligible for participation in the event.
NLIG	NonEligible	Investors not eligible for participation in the event.
NQIB	NonQIB	Investors not eligible for participation in the event under US regulation.
OTHR	Other	Investors that may/may not be eligible to participate in the event as described by the issuer.
QUIB	QIB	Investors eligible for participation in the event as deemed qualified under US regulation.

#### 3.4.5.7.6.2 InvestorTypeIdentificationNarrative <InvstrTpIdNrrtv>

*Presence:* [0..1]

*Definition:* Information about the investor type identification.

*Datatype:* "Max1025Text" on page 486

### 3.4.5.8 Attendance <Attndnc>

*Presence:* [0..1]

*Definition:* Information and conditions for physical attendance at the general meeting.

**Attendance <AttnDnc>** contains the following **Attendance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdmissionConditions</b> <AdmssnConds>	[0..7]	±		44
	<b>ConfirmationInformation</b> <ConfInf>	[0..1]	Text		44
	<b>ConfirmationDeadline</b> <ConfDdln>	[0..1]	±		44
	<b>ConfirmationMarketDeadline</b> <ConfMktDdln>	[0..1]	±		44

#### 3.4.5.8.1 AdmissionConditions <AdmssnConds>

*Presence:* [0..7]

*Definition:* Conditions for physical admittance to the general meeting.

**AdmissionConditions <AdmssnConds>** contains the following elements (see "AttendanceAdmissionConditions2" on page 368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		368
	AdditionalInformation <AddtlInf>	[0..1]	Text		369

#### 3.4.5.8.2 ConfirmationInformation <ConfInf>

*Presence:* [0..1]

*Definition:* Specifies how to order the attendance card or to give notice of attendance.

*Datatype:* "Max350Text" on page 487

#### 3.4.5.8.3 ConfirmationDeadline <ConfDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

**ConfirmationDeadline <ConfDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.5.8.4 ConfirmationMarketDeadline <ConfMktDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.

**ConfirmationMarketDeadline <ConfMktDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.5.9 AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>

*Presence:* [0..\*]

*Definition:* Address to use over the www (HTTP) service where additional information on the meeting may be found.

*Datatype:* "Max2048Text" on page 487

### 3.4.5.10 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

*Presence:* [0..1]

*Definition:* Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

*Datatype:* "Max2048Text" on page 487

### 3.4.5.11 AdditionalProcedureDetails <AddtlPrcdrDtls>

*Presence:* [0..5]

*Definition:* Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

**AdditionalProcedureDetails <AddtlPrcdrDtls>** contains the following **AdditionalRights4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalRight</b> <AddtlRght>	[1..1]	±		45
	<b>AdditionalRightInformationURLAddress</b> <AddtlRghtInfURLAdr>	[0..1]	Text		46
	<b>AdditionalRightDeadline</b> <AddtlRghtDdln>	[0..1]	±		46
	<b>AdditionalRightMarketDeadline</b> <AddtlRghtMktDdln>	[0..1]	±		46
	<b>AdditionalRightThreshold</b> <AddtlRghtThrshld>	[0..1]	±		46

#### 3.4.5.11.1 AdditionalRight <AddtlRght>

*Presence:* [1..1]

*Definition:* Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

**AdditionalRight <AddtlRght>** contains one of the following elements (see "AdditionalRightCode1Choice" on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		375
Or}	Proprietary <Prtry>	[1..1]	±		375

#### 3.4.5.11.2 AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

*Datatype:* "Max2048Text" on page 487

#### 3.4.5.11.3 AdditionalRightDeadline <AddtlRghtDdln>

*Presence:* [0..1]

*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

**AdditionalRightDeadline <AddtlRghtDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.5.11.4 AdditionalRightMarketDeadline <AddtlRghtMktDdln>

*Presence:* [0..1]

*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

**AdditionalRightMarketDeadline <AddtlRghtMktDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.5.11.5 AdditionalRightThreshold <AddtlRghtThrshld>

*Presence:* [0..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

**AdditionalRightThreshold <AddtlRghtThrshld>** contains one of the following elements (see "AdditionalRightThreshold2Choice" on page 384 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		384
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		384

### 3.4.5.12 TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>

*Presence:* [0..1]

*Definition:* Number of securities admitted to the vote, expressed as an amount and a currency.

**TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

### 3.4.5.13 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

*Presence:* [0..1]

*Definition:* Number of rights admitted to the vote.

*Datatype:* "Number" on page 485

### 3.4.5.14 ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>

*Presence:* [0..1]

*Definition:* Address where the information on the proxy should be sent.

**ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

### 3.4.5.15 ProxyChoice <PrxyChc>

*Presence:* [0..1]

*Definition:* Specifies the proxy or whether a proxy is not allowed.

**ProxyChoice <PrxyChc>** contains one of the following **Proxy5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Proxy</b> <Prxy>	[1..1]			48
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		48
	<b>Deadline</b> <Ddln>	[0..1]	±		48
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49
Or}	<b>ProxyNotAllowed</b> <PrxyNotAllwd>	[1..1]	CodeSet		49

#### 3.4.5.15.1 Proxy <Prxy>

*Presence:* [1..1]

*Definition:* Proxy assignment and registration information.

**Proxy <Prxy>** contains the following **ProxyAppointmentInformation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		48
	<b>Deadline</b> <Ddln>	[0..1]	±		48
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49

##### 3.4.5.15.1.1 RegistrationMethod <RegnMtd>

*Presence:* [0..1]

*Definition:* Specifies how to register the proxy.

*Datatype:* "Max350Text" on page 487

##### 3.4.5.15.1.2 Deadline <Ddln>

*Presence:* [0..1]

*Definition:* Date by which the information on the proxy assignment must be received by the intermediary.



**Deadline <DdlIn>** contains one of the following elements (see ["DateFormat58Choice"](#) on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.5.15.1.3 MarketDeadline <MktDdlIn>

*Presence:* [0..1]

*Definition:* Date by which the information on the proxy assignment must be received by the issuer.

**MarketDeadline <MktDdlIn>** contains one of the following elements (see ["DateFormat58Choice"](#) on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.5.15.1.4 AuthorisedProxy <AuthrsdPrxy>

*Presence:* [0..10]

*Definition:* Proxy person that is authorised by the issuer.

**AuthorisedProxy <AuthrsdPrxy>** contains the following elements (see ["Proxy11"](#) on page 388 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		388
	PersonDetails <PrsnDtIs>	[0..1]			389
	PreassignedProxy <PrssgndPrxy>	[0..1]			389
{Or	LegalPerson <LglPrsn>	[1..1]	±		389
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		390
	EmployingParty <EmplngPty>	[0..1]	±		391

#### 3.4.5.15.2 ProxyNotAllowed <PrxyNotAllwd>

*Presence:* [1..1]

*Definition:* Indicates that no proxy is allowed for a meeting.

*Datatype:* ["ProxyNotAllowed1Code"](#) on page 471

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

### 3.4.5.16 ContactPersonDetails <CtctPrsnDtls>

*Presence:* [0..12]

*Definition:* Contact person at the party organising the meeting, at the issuer or at an intermediary.

**ContactPersonDetails <CtctPrsnDtls>** contains the following **MeetingContactPerson3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		50
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		50
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	IdentifierSet		51

#### 3.4.5.16.1 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Identification of the contact person by its name, given name and address.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		426
	NamePrefix <NmPrfx>	[0..1]	CodeSet		427
	GivenName <GvnNm>	[0..1]	Text		427
	Role <Role>	[0..1]	Text		427
	PhoneNumber <PhneNb>	[0..1]	Text		427
	FaxNumber <FaxNb>	[0..1]	Text		427
	EmailAddress <EmailAdr>	[0..1]	Text		427

#### 3.4.5.16.2 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Identification of the organisation which is represented by the person or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

**3.4.5.16.3 PlaceOfListing <PlcOfListg>***Presence:* [0..1]*Definition:* Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.*Datatype:* "MICIdentifier" on page 484**3.4.5.17 ResultPublicationDate <RsltPblctnDt>***Presence:* [0..1]*Definition:* Date on which the company publishes the results of its meeting.**ResultPublicationDate <RsltPblctnDt>** contains one of the following elements (see "DateFormat3Choice" on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		344
Or}	DateCode <DtCd>	[1..1]	CodeSet		344

**3.4.5.18 SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>***Presence:* [0..1]*Definition:* Date by which the blocking period for the securities should end.**SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>** contains one of the following **DateFormat60Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]	±		51
Or}	<b>DateCode</b> <DtCd>	[1..1]			51
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		52
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		52

**3.4.5.18.1 Date <Dt>***Presence:* [1..1]*Definition:* Date expressed as a calendar date.**Date <Dt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		345
Or}	DateTime <DtTm>	[1..1]	DateTime		345

**3.4.5.18.2 DateCode <DtCd>***Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		52
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		52

#### 3.4.5.18.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* "DateType10Code" on page 463

CodeName	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
UKWN	Unknown	Date is unknown by the sender or has not been established.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

#### 3.4.5.18.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 3.4.5.19 EntitlementFixingDate <EntitlmntFvgDt>

*Presence:* [0..1]

*Definition:* Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

**EntitlementFixingDate <EntitlmtFxdDt>** contains the following elements (see "DateFormat1" on page 345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		345
	DateMode <DtMd>	[0..1]	CodeSet		346

### 3.4.5.20 RegistrationSecuritiesDeadline <RegnSctiesDdln>

*Presence:* [0..1]

*Definition:* Date by which the securities have to be registered. This deadline is specified by an intermediary.

**RegistrationSecuritiesDeadline <RegnSctiesDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.5.21 RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>

*Presence:* [0..1]

*Definition:* Date by which the securities have to be registered. This deadline is set by the issuer.

**RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.5.22 EnrolmentMarketDeadline <EnrlmntMktDdln>

*Presence:* [0..1]

*Definition:* Date by which enrolment for participation in the general meeting or exercise of voting rights must be instructed. This deadline is set by the issuer.

**EnrolmentMarketDeadline <EnrlmntMktDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.5.23 EnrolmentDeadline <EnrlmntDdln>

*Presence:* [0..1]

*Definition:* Date by which enrolment for participation in the general meeting or exercise of voting rights must be instructed. This deadline is set by the intermediary.

**EnrolmentDeadline <EnrlmntDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

## 3.4.6 MeetingDetails <MtgDtls>

*Presence:* [1..5]

*Definition:* Dates and details of the shareholders meeting.

*Impacted by:* C11 "DateStatus1Rule"

**MeetingDetails <MtgDtls>** contains the following **Meeting7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DateAndTime</b> <DtAndTm>	[1..1]	±		54
	<b>DateStatus</b> <DtSts>	[0..1]	CodeSet		55
	<b>QuorumRequired</b> <QrmReqrd>	[0..1]	Indicator		55
	<b>Location</b> <Lctn>	[1..5]	±		55
	<b>QuorumQuantity</b> <QrmQty>	[0..1]	±		55
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		56

#### Constraints

- **DateStatus1Rule**

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

On Condition

/DateAndTime/DateCode is equal to value 'Unknown'

Following Must be True

/DateStatus Must be absent

### 3.4.6.1 DateAndTime <DtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

**DateAndTime <DtAndTm>** contains one of the following elements (see ["DateFormat58Choice"](#) on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.6.2 DateStatus <DtSts>

*Presence:* [0..1]

*Definition:* Status of the meeting date.

*Datatype:* ["MeetingDateStatus2Code"](#) on page 466

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

### 3.4.6.3 QuorumRequired <QrmReqrd>

*Presence:* [0..1]

*Definition:* Indicates whether a minimum number of security representation is required to hold a meeting.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.6.4 Location <Lctn>

*Presence:* [1..5]

*Definition:* Location at which the meeting will take place.

**Location <Lctn>** contains one of the following elements (see ["LocationFormat1Choice"](#) on page 367 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		367
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		368

### 3.4.6.5 QuorumQuantity <QrmQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities required to hold a meeting.

**QuorumQuantity <QrmQty>** contains one of the following elements (see "[QuorumQuantity2Choice](#)" on page 383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		383
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		383

### 3.4.6.6 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

*Datatype:* "[Max2048Text](#)" on page 487

### 3.4.7 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains the following elements (see "[IssuerInformation3](#)" on page 363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		363
	URLAddress <URLAdr>	[0..1]	Text		364

### 3.4.8 IssuerAgent <IssrAgt>

*Presence:* [0..10]

*Definition:* Agent of the issuer.

**IssuerAgent <IssrAgt>** contains the following elements (see "[IssuerAgent3](#)" on page 404 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		405
	Role <Role>	[0..1]	CodeSet		405

### 3.4.9 Security <Scty>

*Presence:* [1..200]

*Definition:* Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.



**Security <Scty>** contains the following **SecurityPosition20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C12, C13, C17, C18, C20	58
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C16, C24	59
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		61
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		61
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			61
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		62
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		62
	<b>HoldingBalance</b> <HldgBal>	[0..15]			63
	<b>Balance</b> <Bal>	[1..1]			64
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		64
	<b>Quantity</b> <Qty>	[1..1]		C14	64
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		65
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		65
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		65
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		65
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		66
	<b>Date</b> <Dt>	[0..1]	Date		67
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			67
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			68
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			69
	<b>Name</b> <Nm>	[1..1]	Text		70
	<b>Address</b> <Adr>	[0..1]			70
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		71
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		71
	<b>StreetName</b> <StrtNm>	[0..1]	Text		71
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		71
	<b>PostBox</b> <PstBx>	[0..1]	Text		71
	<b>PostCode</b> <PstCd>	[0..1]	Text		71

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TownName</b> <TwnNm>	[0..1]	Text		72
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		72
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	72
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		72
	<b>Identification</b> <Id>	[0..1]	±		72
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		72
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	73
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		73

### 3.4.9.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* [C12 "DescriptionPresenceRule"](#), [C13 "DescriptionUsageRule"](#), [C17 "ISINGuideline"](#), [C18 "ISINPresenceRule"](#), [C20 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see ["SecurityIdentification19"](#) on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 3.4.9.2 Position <Pos>

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C16 "HoldingBalanceTypeRule", C24 "RightsHolderMultiplicity1Guideline"

**Position <Pos>** contains the following **EligiblePosition18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		61
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		61
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			61
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		62
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		62
	<b>HoldingBalance</b> <HldgBal>	[0..15]			63
	<b>Balance</b> <Bal>	[1..1]			64
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		64
	<b>Quantity</b> <Qty>	[1..1]		C14	64
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		65
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		65
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		65
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		65
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		66
	<b>Date</b> <Dt>	[0..1]	Date		67
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			67
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			68
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			69
	<b>Name</b> <Nm>	[1..1]	Text		70
	<b>Address</b> <Adr>	[0..1]			70
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		71
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		71
	<b>StreetName</b> <StrtNm>	[0..1]	Text		71
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		71
	<b>PostBox</b> <PstBx>	[0..1]	Text		71
	<b>PostCode</b> <PstCd>	[0..1]	Text		71
	<b>TownName</b> <TwnNm>	[0..1]	Text		72
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		72
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	72
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		72
	<b>Identification</b> <Id>	[0..1]	±		72

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		72
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	73
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		73

#### Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 3.4.9.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

#### 3.4.9.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

#### 3.4.9.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		62
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		62

#### 3.4.9.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "[PartyIdentification221](#)" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 3.4.9.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 3.4.9.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance &lt;Bal&gt;</b>	[1..1]			64
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		64
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C14	64
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		65
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		65
Or}	<b>DigitalTokenUnit &lt;DgtlTknUnit&gt;</b>	[1..1]	Quantity		65
	<b>BalanceType &lt;BalTp&gt;</b>	[1..1]	CodeSet		65
	<b>SafekeepingPlace &lt;SfkpgPlc&gt;</b>	[0..1]	±		66
	<b>Date &lt;Dt&gt;</b>	[0..1]	Date		67

#### 3.4.9.2.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		64
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C14	64
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		65
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		65
Or}	<b>DigitalTokenUnit &lt;DgtlTknUnit&gt;</b>	[1..1]	Quantity		65

##### 3.4.9.2.4.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

##### 3.4.9.2.4.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.



Impacted by: C14 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		65
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		65
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		65

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.9.2.4.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

#### 3.4.9.2.4.1.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

#### 3.4.9.2.4.1.2.3 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

#### 3.4.9.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 475

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.

CodeName	Name	Definition
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 3.4.9.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 3.4.9.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* "ISODate" on page 482

#### 3.4.9.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			68
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			69
	<b>Name</b> <Nm>	[1..1]	Text		70
	<b>Address</b> <Adr>	[0..1]			70
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		71
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		71
	<b>StreetName</b> <StrtNm>	[0..1]	Text		71
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		71
	<b>PostBox</b> <PstBx>	[0..1]	Text		71
	<b>PostCode</b> <PstCd>	[0..1]	Text		71
	<b>TownName</b> <TwnNm>	[0..1]	Text		72
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		72
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	72
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		72
	<b>Identification</b> <Id>	[0..1]	±		72
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		72
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	73
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		73

#### 3.4.9.2.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			69
	<b>Name</b> <Nm>	[1..1]	Text		70
	<b>Address</b> <Adr>	[0..1]			70
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		71
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		71
	<b>StreetName</b> <StrtNm>	[0..1]	Text		71
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		71
	<b>PostBox</b> <PstBx>	[0..1]	Text		71
	<b>PostCode</b> <PstCd>	[0..1]	Text		71
	<b>TownName</b> <TwnNm>	[0..1]	Text		72
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		72
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	72
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		72
	<b>Identification</b> <Id>	[0..1]	±		72
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		72
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	73

#### 3.4.9.2.5.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		70
	<b>Address &lt;Adr&gt;</b>	[0..1]			70
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		71
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		71
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		71
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		71
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		71
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		71
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		72
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		72
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C10	72

#### 3.4.9.2.5.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 3.4.9.2.5.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		71
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		71
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		71
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		71
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		71
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		71
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		72
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		72
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C10	72

**3.4.9.2.5.1.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**3.4.9.2.5.1.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**3.4.9.2.5.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**3.4.9.2.5.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**3.4.9.2.5.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**3.4.9.2.5.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487

**3.4.9.2.5.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**3.4.9.2.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**3.4.9.2.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C10 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**3.4.9.2.5.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**3.4.9.2.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <CIntId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**3.4.9.2.5.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldId>***Presence:* [0..1]



*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **3.4.9.2.5.1.5 CountryOfIncorporation <CtryOfIncorprtn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C10 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **3.4.9.2.5.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		419

### 3.4.10 Resolution <Rsltn>

*Presence:* [0..1000]

*Definition:* Detailed information of a resolution proposed to the vote.

*Impacted by:* C28 "VotingRightsTresholdForApproval1Rule"

**Resolution <Rsltn>** contains the following **Resolution8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLabl>	[1..1]	Text		75
	<b>Description</b> <Desc>	[0..*]			75
	<b>Language</b> <Lang>	[1..1]	CodeSet		76
	<b>Title</b> <Titl>	[0..1]	Text		76
	<b>Description</b> <Desc>	[0..*]	Text		76
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLabl>	[0..1]	Text		76
	<b>Type</b> <Tp>	[0..1]	CodeSet		76
	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		77
	<b>VoteType</b> <VoteTp>	[0..1]	CodeSet		77
	<b>Status</b> <Sts>	[1..1]	CodeSet		77
	<b>SubmittedBySecurityHolder</b> <SubmittdBySctyHldr>	[0..1]	Indicator		77
	<b>RightToWithdrawIndicator</b> <RghtToWdrwInd>	[0..1]	Indicator		77
	<b>VoteInstructionType</b> <VoteInstrTp>	[0..*]	±	C5	78
	<b>ManagementRecommendation</b> <MgmtRcmmndtn>	[0..1]	CodeSet		78
	<b>NotifyingPartyRecommendation</b> <NtifngPtyRcmmndtn>	[0..1]	CodeSet		79
	<b>Entitlement</b> <Entitlmnt>	[0..1]	±		79
	<b>VotingRightsThresholdForApproval</b> <VtngRghthsThrshldForApprvl>	[0..*]	±		80
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		80

#### Constraints

- **VotingRightsTresholdForApproval1Rule**

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

#### 3.4.10.1 IssuerLabel <IssrLabl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* "Max35Text" on page 487

#### 3.4.10.2 Description <Desc>

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.

**Description <Desc>** contains the following **ItemDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		76
	<b>Title &lt;Titl&gt;</b>	[0..1]	Text		76
	<b>Description &lt;Desc&gt;</b>	[0..*]	Text		76

#### 3.4.10.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 466

#### 3.4.10.2.2 Title <Titl>

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* "Max1025Text" on page 486

#### 3.4.10.2.3 Description <Desc>

*Presence:* [0..\*]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* "Max8000Text" on page 489

#### 3.4.10.3 ListingGroupResolutionLabel <ListgGrpRsItlnLbl>

*Presence:* [0..1]

*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

*Datatype:* "Max35Text" on page 487

#### 3.4.10.4 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of resolution.

*Datatype:* "ResolutionType2Code" on page 475

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

**3.4.10.5 ForInformationOnly <ForInfOnly>**

*Presence:* [1..1]

*Definition:* Indicates whether the resolution is listed for information or for voting.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.10.6 VoteType <VoteTp>**

*Presence:* [0..1]

*Definition:* Impact of vote results on an agenda resolution.

*Datatype:* "[VoteType1Code](#)" on page 481

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

**3.4.10.7 Status <Sts>**

*Presence:* [1..1]

*Definition:* Specifies whether the resolution is active or withdrawn.

*Datatype:* "[ResolutionStatus1Code](#)" on page 474

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

**3.4.10.8 SubmittedBySecurityHolder <SubmittdBySctyHldr>**

*Presence:* [0..1]

*Definition:* Indicates whether the resolution has been submitted by the security holder.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.10.9 RightToWithdrawIndicator <RghtToWdrwInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.10.10 VoteInstructionType <VoteInstrTp>

*Presence:* [0..\*]

*Definition:* Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

*Impacted by:* C5 "AdditionalInformationRule"

**VoteInstructionType <VoteInstrTp>** contains the following elements (see "[VoteInstructionType1](#)" on page 365 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		366
	AdditionalInformation <AddtlInf>	[0..1]	Text		366

#### Constraints

- **AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

/AdditionalInformation is present

Following Must be True

/VoteInstructionTypeCode/Proprietary/Identification Must be present

### 3.4.10.11 ManagementRecommendation <MgmtRcmmdtn>

*Presence:* [0..1]

*Definition:* Specifies how the management of the issuing company wishes the security holders to vote.

*Datatype:* "[VoteInstruction5Code](#)" on page 479

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.

CodeName	Name	Definition
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

### 3.4.10.12 NotifyingPartyRecommendation <NtifngPtyRcmmndtn>

*Presence:* [0..1]

*Definition:* Specifies how the notifying party recommends that the security holders vote.

*Datatype:* "VoteInstruction5Code" on page 479

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

### 3.4.10.13 Entitlement <Entitlmnt>

*Presence:* [0..1]

*Definition:* Number of votes assigned per resolution to one security.

**Entitlement <Entitlmnt>** contains one of the following elements (see "Entitlement1Choice" on page 365 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		365
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		365

#### 3.4.10.14 VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>

*Presence:* [0..\*]

*Definition:* Voting rights threshold and threshold basis to have a meeting resolution approved.

**VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>** contains the following elements (see "VotingRightsThreshold2" on page 381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Threshold <Thrshld>	[1..1]	±		381
	ThresholdBasis <ThrshldBsis>	[0..1]			382
{Or	Code <Cd>	[1..1]	CodeSet		382
Or}	Proprietary <Prtry>	[1..1]	±		382

#### 3.4.10.15 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

*Datatype:* "Max2048Text" on page 487

### 3.4.11 Vote <Vote>

*Presence:* [0..1]

*Definition:* Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.



**Vote** <Vote> contains the following **VoteParameters10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityRequiredToVote</b> <ScitiesQtyReqrdToVote>	[0..1]	±		82
	<b>PartialVoteAllowed</b> <PrtlVoteAllwd>	[1..1]	Indicator		82
	<b>SplitVoteAllowed</b> <SplVoteAllwd>	[1..1]	Indicator		82
	<b>VoteDeadline</b> <VoteDdln>	[0..1]	±		82
	<b>VoteMarketDeadline</b> <VoteMktDdln>	[0..1]	±		82
	<b>VoteMethods</b> <VoteMthds>	[0..1]			83
	<b>VoteThroughNetwork</b> <VoteThrhNtwk>	[0..1]			83
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		83
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	84
	<b>VoteByMail</b> <VoteByMail>	[0..1]			84
	<b>Correspondence</b> <Crspdc>	[0..5]	±		84
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		85
	<b>ElectronicVote</b> <ElctrncVote>	[0..5]			85
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		85
	<b>VoteByTelephone</b> <VoteByTel>	[0..5]	Text		85
	<b>VotingBallotElectronicAddress</b> <VtngBlItElctrncAdr>	[0..1]	±		85
	<b>VotingBallotRequestAddress</b> <VtngBlItReqAdr>	[0..1]	±		86
	<b>RevocabilityDeadline</b> <RvcbltyDdln>	[0..1]	±		86
	<b>RevocabilityMarketDeadline</b> <RvcbltyMktDdln>	[0..1]	±		86
	<b>BeneficialOwnerDisclosure</b> <BnfclOwnrDsclsr>	[0..1]	Indicator		87
	<b>EarlyIncentivePremium</b> <EarlyIncntivPrm>	[0..1]	±		87
	<b>IncentivePremium</b> <IncntivPrm>	[0..1]	±		87
	<b>EarlyVoteWithPremiumDeadline</b> <EarlyVoteWthPrmDdln>	[0..1]	±		88
	<b>VoteWithPremiumDeadline</b> <VoteWthPrmDdln>	[0..1]	±		88
	<b>VoteWithPremiumMarketDeadline</b> <VoteWthPrmMktDdln>	[0..1]	±		88
	<b>AdditionalVotingRequirements</b> <AddtlVtngRqrmnts>	[0..1]	Text		89
	<b>AdditionalDisclosureRequirements</b> <AddtlDsclsrRqrmnts>	[0..1]	Text		89
	<b>PreviousInstructionInvalidityIndicator</b> <PrvsInstrlInvldtyInd>	[0..1]	Indicator		89

### 3.4.11.1 SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>

*Presence:* [0..1]

*Definition:* Number of holdings required for a vote.

**SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

### 3.4.11.2 PartialVoteAllowed <PrtlVoteAllwd>

*Presence:* [1..1]

*Definition:* Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.11.3 SplitVoteAllowed <SpltVoteAllwd>

*Presence:* [1..1]

*Definition:* Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.11.4 VoteDeadline <VoteDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary.

**VoteDeadline <VoteDdln>** contains one of the following elements (see "[DateFormat58Choice](#)" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.11.5 VoteMarketDeadline <VoteMktDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the issuer.

**VoteMarketDeadline** <VoteMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.11.6 VoteMethods <VoteMthds>

*Presence:* [0..1]

*Definition:* Specifies the different methods that can be used to vote.

**VoteMethods** <VoteMthds> contains the following **VoteMethods5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>VoteThroughNetwork</b> <VoteThrhNtwk>	[0..1]			83
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		83
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyTolssr>	[1..5]	IdentifierSet	C7	84
	<b>VoteByMail</b> <VoteByMail>	[0..1]			84
	<b>Correspondence</b> <Crspdc>	[0..5]	±		84
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		85
	<b>ElectronicVote</b> <ElctrncVote>	[0..5]			85
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		85
	<b>VoteByTelephone</b> <VoteByTel>	[0..5]	Text		85

#### 3.4.11.6.1 VoteThroughNetwork <VoteThrhNtwk>

*Presence:* [0..1]

*Definition:* Network address through which a voting party can cast its vote via a structured message.

**VoteThroughNetwork** <VoteThrhNtwk> contains one of the following **VoteThroughNetwork1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		83
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyTolssr>	[1..5]	IdentifierSet	C7	84

##### 3.4.11.6.1.1 VoteChannel <VoteChanl>

*Presence:* [1..1]

*Definition:* Voting is performed via message sent through an alternate network channel, that is, either via the chain of intermediaries or via the channel as described in the processing text for next intermediary information field.

*Datatype:* "VoteChannel1Code" on page 478

CodeName	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

#### 3.4.11.6.1.2 VoteDirectlyToIssuer <VoteDrctlyToIssr>

*Presence:* [1..5]

*Definition:* Voting is performed by sending a vote message directly to the issuer.

*Impacted by:* C7 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 482

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 3.4.11.6.2 VoteByMail <VoteByMail>

*Presence:* [0..1]

*Definition:* Address where the voting ballot can be sent.

**VoteByMail <VoteByMail>** contains the following **MailAddress1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Correspondence</b> <Crspdc>	[0..5]	±		84
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		85

##### 3.4.11.6.2.1 Correspondence <Crspdc>

*Presence:* [0..5]

*Definition:* Physical mail address for correspondence.

**Correspondence <Crspdc>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 3.4.11.6.2.2 EmailAddress <EmailAdr>

*Presence:* [0..5]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

#### 3.4.11.6.3 ElectronicVote <ElctrncVote>

*Presence:* [0..5]

*Definition:* Electronic address, e-mail or web site, where a security holder can vote.

**ElectronicVote <ElctrncVote>** contains the following **CommunicationAddress12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	URLAddress <URLAdr>	[0..1]	Text		85

#### 3.4.11.6.3.1 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 487

#### 3.4.11.6.4 VoteByTelephone <VoteByTel>

*Presence:* [0..5]

*Definition:* Telephone number providing access to an automated voting system.

*Datatype:* "Max35Text" on page 487

#### 3.4.11.7 VotingBallotElectronicAddress <VtngBlltElctrncAdr>

*Presence:* [0..1]

*Definition:* Electronic location, e-mail or URL address, where the voting ballot can be requested.

**VotingBallotElectronicAddress <VtngBlItElctrncAdr>** contains the following elements (see "CommunicationAddress11" on page 362 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		362
	URLAddress <URLAdr>	[0..1]	Text		362

### 3.4.11.8 VotingBallotRequestAddress <VtngBlItReqAdr>

*Presence:* [0..1]

*Definition:* Postal address where the voting ballot can be requested.

**VotingBallotRequestAddress <VtngBlItReqAdr>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

### 3.4.11.9 RevocabilityDeadline <RvcbltyDdln>

*Presence:* [0..1]

*Definition:* Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

**RevocabilityDeadline <RvcbltyDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.11.10 RevocabilityMarketDeadline <RvcbltyMktDdln>

*Presence:* [0..1]

*Definition:* Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

**RevocabilityMarketDeadline <RvcbltyMktDdlIn>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.11.11 BeneficialOwnerDisclosure <BnfclOwnrDsclsr>

*Presence:* [0..1]

*Definition:* Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.11.12 EarlyIncentivePremium <EarlyIncntivPrm>

*Presence:* [0..1]

*Definition:* Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

**EarlyIncentivePremium <EarlyIncntivPrm>** contains the following elements (see "IncentivePremium6" on page 379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		379
	Amount <Amt>	[1..1]	±		379
	Type <Tp>	[1..1]			380
{Or	PerSecurity <PerScty>	[1..1]	Quantity		380
Or	PerVote <PerVote>	[1..*]			380
	VoteInstructionType <VoteInstrTp>	[1..1]	±		380
	VoteQuantity <VoteQty>	[1..1]	Quantity		381
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		381
	PaymentDate <PmtDt>	[0..1]	±		381

#### 3.4.11.13 IncentivePremium <IncntivPrm>

*Presence:* [0..1]

*Definition:* Cash premium paid to the security holder when voting.

**IncentivePremium <IncntivPrm>** contains the following elements (see "IncentivePremium6" on page 379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		379
	Amount <Amt>	[1..1]	±		379
	Type <Tp>	[1..1]			380
{Or	PerSecurity <PerScty>	[1..1]	Quantity		380
Or	PerVote <PerVote>	[1..*]			380
	VoteInstructionType <VoteInstrTp>	[1..1]	±		380
	VoteQuantity <VoteQty>	[1..1]	Quantity		381
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		381
	PaymentDate <PmtDt>	[0..1]	±		381

#### 3.4.11.14 EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

**EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.11.15 VoteWithPremiumDeadline <VoteWthPrmDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

**VoteWithPremiumDeadline <VoteWthPrmDdln>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.11.16 VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>

*Presence:* [0..1]



*Definition:* Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

**VoteWithPremiumMarketDeadline <VoteWthPrmMktDdlIn>** contains one of the following elements (see ["DateFormat58Choice"](#) on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

#### 3.4.11.17 AdditionalVotingRequirements <AddtlVtngRqrmnts>

*Presence:* [0..1]

*Definition:* Additional information on specific requirements for allowing a person to vote.

*Datatype:* ["Max350Text"](#) on page 487

#### 3.4.11.18 AdditionalDisclosureRequirements <AddtlDscslrRqrmnts>

*Presence:* [0..1]

*Definition:* Information required by the issuer to be disclosed as part of a submitted instruction, that is neither the information related to the beneficiary holder, or the voting participant, or the proxy appointed by the issuer, or the attendee(s) participating to the meeting (without voting).

*Datatype:* ["Max350Text"](#) on page 487

#### 3.4.11.19 PreviousInstructionInvalidityIndicator <PrvsInstrInvlDtyInd>

*Presence:* [0..1]

*Definition:* Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.12 PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>

*Presence:* [0..1]

*Definition:* Information about the use of power of attorney.

*Impacted by:* [C19 "OtherDocumentation1Guideline"](#)

**PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>** contains the following  
**PowerOfAttorneyRequirements4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LegalRequirement</b> <LglRqrmnt>	[0..4]	CodeSet		90
	<b>OtherDocumentation</b> <OthrDcmnttn>	[0..1]	Text		90
	<b>DocumentSubmissionDeadline</b> <DocSubmissnDdlIn>	[0..1]	±		90

#### Constraints

- **OtherDocumentation1Guideline**

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

#### 3.4.12.1 LegalRequirement <LglRqrmnt>

*Presence:* [0..4]

*Definition:* Authority that must validate the power of attorney.

*Datatype:* "PowerOfAttorneyLegalisation1Code" on page 470

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

#### 3.4.12.2 OtherDocumentation <OthrDcmnttn>

*Presence:* [0..1]

*Definition:* Documents needed to obtain a valid power of attorney.

*Datatype:* "Max350Text" on page 487

#### 3.4.12.3 DocumentSubmissionDeadline <DocSubmissnDdlIn>

*Presence:* [0..1]

*Definition:* Date by which the requested documents must be provided.

**DocumentSubmissionDeadline <DocSubmissnDdlIn>** contains one of the following elements (see "DateFormat58Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

### 3.4.13 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional narrative information about the meeting event.

**AdditionalInformation <AddtlInf>** contains the following **CorporateEventNarrative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Disclaimer</b> <Dsclmr>	[0..*]			91
	<b>Language</b> <Lang>	[1..1]	CodeSet		91
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		91
	<b>ProcessingTextForNextIntermediary</b> <PrcgTxtForNxtIntrmy>	[0..*]	Text		91

#### 3.4.13.1 Disclaimer <Dsclmr>

*Presence:* [0..\*]

*Definition:* Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

**Disclaimer <Dsclmr>** contains the following **LanguageSpecifiedNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		91
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		91

##### 3.4.13.1.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 466

##### 3.4.13.1.2 AdditionalInformation <AddtlInf>

*Presence:* [1..1]

*Definition:* Provides additional textual information in the specified language.

*Datatype:* "Max8000Text" on page 489

#### 3.4.13.2 ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>

*Presence:* [0..\*]

*Definition:* Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

*Datatype:* "Max8000Text" on page 489

### 3.4.14      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* C25 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **4        seev.002.001.10 MeetingCancellationV10**

### **4.1      MessageDefinition Functionality**

#### Scope

The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.

#### Usage

The MeetingCancellation message is used to advise that the meeting has been cancelled by the account servicer or withdrawn by the issuer.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingCancellationV10 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

B. Security

Identification of the security for which the meeting was organised.

C. Reason

Justification for the cancellation.

D. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgCxl>	[1..1]		C5	
	<b>MeetingReference</b> <MtgRef>	[1..1]			97
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		97
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		97
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		97
	<b>Type</b> <Tp>	[1..1]	CodeSet		97
	<b>Classification</b> <Clssfctn>	[0..1]	±		98
	<b>Location</b> <Lctn>	[0..5]	±		98
	<b>Issuer</b> <Issr>	[0..1]	±		99
	<b>Security</b> <Scty>	[1..200]			99
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C13	101
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C10, C14	102
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		104
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		104
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			104
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		105
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		105
	<b>HoldingBalance</b> <HldgBal>	[0..15]			106
	<b>Balance</b> <Bal>	[1..1]			107
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		107
	<b>Quantity</b> <Qty>	[1..1]		C9	107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		108
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		108
Or}	<b>DigitalTokenUnit</b> <DgtTknUnit>	[1..1]	Quantity		108
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		108
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		109
	<b>Date</b> <Dt>	[0..1]	Date		110

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			110
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			111
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			112
	<b>Name</b> <Nm>	[1..1]	Text		113
	<b>Address</b> <Adr>	[0..1]			113
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		114
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		114
	<b>StreetName</b> <StrtNm>	[0..1]	Text		114
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		114
	<b>PostBox</b> <PstBx>	[0..1]	Text		114
	<b>PostCode</b> <PstCd>	[0..1]	Text		114
	<b>TownName</b> <TwnNm>	[0..1]	Text		115
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		115
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	115
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		115
	<b>Identification</b> <Id>	[0..1]	±		115
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		115
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	116
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		116
	<b>Reason</b> <Rsn>	[1..1]			117
	<b>CancellationReasonCode</b> <CxIRsnCd>	[0..1]			118
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		118
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		118
	<b>CancellationReason</b> <CxIRsn>	[0..1]	Text		118
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	118

## 4.3 Constraints

### C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

### C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

**C3 AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

**C4 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C5 Cancellation1Guideline**

It is recommended that a meeting is cancelled with the use of MeetingReference/MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

*This constraint is defined at the MessageDefinition level.*

**C6 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C7 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C8 DescriptionUsageRule**

Description must be used alone as the last resort.

**C9 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C10 HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

**C11 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C12 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C13 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C14 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		97
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		97
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		97
	<b>Type</b> <Tp>	[1..1]	CodeSet		97
	<b>Classification</b> <Clssfctn>	[0..1]	±		98
	<b>Location</b> <Lctn>	[0..5]	±		98
	<b>Issuer</b> <Issr>	[0..1]	±		99

#### 4.4.1.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

#### 4.4.1.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

#### 4.4.1.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODateTime" on page 482

#### 4.4.1.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 4.4.1.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

#### 4.4.1.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 4.4.1.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

#### 4.4.2 Security <Scty>

*Presence:* [1..200]

*Definition:* Identification of the security for which the meeting was organised.

**Security <Scty>** contains the following **SecurityPosition23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C13	101
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C10, C14	102
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		104
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		104
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			104
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		105
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		105
	<b>HoldingBalance</b> <HldgBal>	[0..15]			106
	<b>Balance</b> <Bal>	[1..1]			107
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		107
	<b>Quantity</b> <Qty>	[1..1]		C9	107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		108
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		108
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		108
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		108
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		109
	<b>Date</b> <Dt>	[0..1]	Date		110
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			110
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			111
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			112
	<b>Name</b> <Nm>	[1..1]	Text		113
	<b>Address</b> <Adr>	[0..1]			113
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		114
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		114
	<b>StreetName</b> <StrtNm>	[0..1]	Text		114
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		114
	<b>PostBox</b> <PstBx>	[0..1]	Text		114
	<b>PostCode</b> <PstCd>	[0..1]	Text		114

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TownName</b> <TwnNm>	[0..1]	Text		115
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		115
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	115
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		115
	<b>Identification</b> <Id>	[0..1]	±		115
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		115
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	116
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		116

#### 4.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C13 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 4.4.2.2 Position <Pos>

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2

"AccountIdentificationOrBlockChainAddress2Rule", C3

"AccountIdentificationOrBlockChainAddress3Rule", C10 "HoldingBalanceTypeRule", C14

"RightsHolderMultiplicity1Guideline"

**Position <Pos>** contains the following **EligiblePosition21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		104
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		104
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			104
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		105
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		105
	<b>HoldingBalance</b> <HldgBal>	[0..15]			106
	<b>Balance</b> <Bal>	[1..1]			107
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		107
	<b>Quantity</b> <Qty>	[1..1]		C9	107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		108
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		108
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		108
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		108
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		109
	<b>Date</b> <Dt>	[0..1]	Date		110
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			110
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			111
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			112
	<b>Name</b> <Nm>	[1..1]	Text		113
	<b>Address</b> <Adr>	[0..1]			113
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		114
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		114
	<b>StreetName</b> <StrtNm>	[0..1]	Text		114
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		114
	<b>PostBox</b> <PstBx>	[0..1]	Text		114
	<b>PostCode</b> <PstCd>	[0..1]	Text		114
	<b>TownName</b> <TwnNm>	[0..1]	Text		115
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		115
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	115
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		115
	<b>Identification</b> <Id>	[0..1]	±		115

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		115
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	116
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		116

### Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 4.4.2.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

#### 4.4.2.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

#### 4.4.2.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]



*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		105
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		105

#### 4.4.2.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "[PartyIdentification221](#)" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 4.4.2.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <Srn>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 4.4.2.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance &lt;Bal&gt;</b>	[1..1]			107
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		107
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C9	107
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		108
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		108
Or}	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		108
	<b>BalanceType &lt;BalTp&gt;</b>	[1..1]	CodeSet		108
	<b>SafekeepingPlace &lt;SfkpgPlc&gt;</b>	[0..1]	±		109
	<b>Date &lt;Dt&gt;</b>	[0..1]	Date		110

#### 4.4.2.2.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		107
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C9	107
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		108
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		108
Or}	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		108

#### 4.4.2.2.4.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

#### 4.4.2.2.4.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

Impacted by: C9 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		108
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		108
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		108

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 4.4.2.2.4.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

#### 4.4.2.2.4.1.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

#### 4.4.2.2.4.1.2.3 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

#### 4.4.2.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 475

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.

CodeName	Name	Definition
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 4.4.2.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 4.4.2.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* "ISODate" on page 482

#### 4.4.2.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder** <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			111
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			112
	<b>Name</b> <Nm>	[1..1]	Text		113
	<b>Address</b> <Adr>	[0..1]			113
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		114
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		114
	<b>StreetName</b> <StrtNm>	[0..1]	Text		114
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		114
	<b>PostBox</b> <PstBx>	[0..1]	Text		114
	<b>PostCode</b> <PstCd>	[0..1]	Text		114
	<b>TownName</b> <TwnNm>	[0..1]	Text		115
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		115
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	115
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		115
	<b>Identification</b> <Id>	[0..1]	±		115
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		115
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	116
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		116

#### 4.4.2.2.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			112
	<b>Name</b> <Nm>	[1..1]	Text		113
	<b>Address</b> <Adr>	[0..1]			113
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		114
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		114
	<b>StreetName</b> <StrtNm>	[0..1]	Text		114
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		114
	<b>PostBox</b> <PstBx>	[0..1]	Text		114
	<b>PostCode</b> <PstCd>	[0..1]	Text		114
	<b>TownName</b> <TwnNm>	[0..1]	Text		115
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		115
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	115
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		115
	<b>Identification</b> <Id>	[0..1]	±		115
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		115
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	116

#### 4.4.2.2.5.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.



**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		113
	<b>Address &lt;Adr&gt;</b>	[0..1]			113
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		114
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		114
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		114
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		114
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		114
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		114
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		115
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		115
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C6	115

#### 4.4.2.2.5.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 4.4.2.2.5.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		114
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		114
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		114
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		114
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		114
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		114
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		115
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		115
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C6	115

**4.4.2.2.5.1.1.2.1 AddressType <AdrTp>**

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**4.4.2.2.5.1.1.2.2 AddressLine <AdrLine>**

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 488

**4.4.2.2.5.1.1.2.3 StreetName <StrtNm>**

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

**4.4.2.2.5.1.1.2.4 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

**4.4.2.2.5.1.1.2.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

**4.4.2.2.5.1.1.2.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

**4.4.2.2.5.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**4.4.2.2.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**4.4.2.2.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C6 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**4.4.2.2.5.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**4.4.2.2.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <CIntId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**4.4.2.2.5.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldId>***Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **4.4.2.2.5.1.5 CountryOfIncorporation <CtryOfIncorpTrn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C6 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **4.4.2.2.5.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		419

### 4.4.3 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Justification for the cancellation.

**Reason <Rsn>** contains the following **MeetingCancellationReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CancellationReasonCode</b> <CxIRsnCd>	[0..1]			118
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		118
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		118
	<b>CancellationReason</b> <CxIRsn>	[0..1]	Text		118

#### 4.4.3.1 CancellationReasonCode <CxIRsnCd>

*Presence:* [0..1]

*Definition:* Reason for cancelling a meeting.

**CancellationReasonCode <CxIRsnCd>** contains one of the following **MeetingCancellationReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		118
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		118

##### 4.4.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason for cancelling a meeting in coded form.

*Datatype:* "MeetingCancellationReason2Code" on page 466

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

##### 4.4.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason for cancelling a meeting in free text form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 358 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		358
	SchemeName <SchmeNm>	[0..1]	Text		358
	Issuer <Issr>	[1..1]	Text		358

#### 4.4.3.2 CancellationReason <CxIRsn>

*Presence:* [0..1]

*Definition:* Provides more information on the reason for cancelling a meeting in free format form.

*Datatype:* "Max140Text" on page 486

#### 4.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* C15 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 5 **seev.003.001.10 MeetingEntitlementNotificationV10**

## 5.1 **MessageDefinition Functionality**

### Scope

The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.

### Usage

This message is sent to advise the quantity of securities held by an account owner. The balance is specified for the securities for which the meeting is taking place. The message is also used to amend a previously sent MeetingEntitlementNotification.

The MeetingEntitlementNotification message may be sent either before receiving a voting instruction to confirm the entitlement; or after having received a voting instruction to confirm details of the person attending the meeting.

The message may also be used in place of an attendance card or to confirm entitlements in the case of bearer shares.

This message definition is intended for use with the Business Application Header (BAH).

### Outline

The MeetingEntitlementNotificationV10 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. NotificationType  
Type of notification.
- B. PreviousEntitlementNotificationIdentification  
Identification of the original meeting notification entitlement message which is amended.
- C. MeetingReference  
Set of elements to allow the unambiguous identification of a meeting.
- D. Issuer  
Institution that is the issuer of the security to which the meeting applies.
- E. Security  
Security for which the meeting is organised, the account and the positions of the security holder.
- F. Eligibility  
Date determining eligibility.
- G. MeetingAttendee  
Person physically attending the meeting as a natural or legal person.
- H. Proxy



Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgEntitlmntNtfctn>	[1..1]		C13	
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		125
	<b>PreviousEntitlementNotificationIdentification</b> <PrvsEntitlmntNtfctnId>	[0..1]	Text		125
	<b>MeetingReference</b> <MtgRef>	[1..1]			126
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		126
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		126
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		126
	<b>Type</b> <Tp>	[1..1]	CodeSet		126
	<b>Classification</b> <Clssfctn>	[0..1]	±		127
	<b>Location</b> <Lctn>	[0..5]	±		127
	<b>Issuer</b> <Issr>	[0..1]	±		128
	<b>Issuer</b> <Issr>	[1..1]	±		128
	<b>Security</b> <Scty>	[1..200]			129
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	131
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C14	132
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		134
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		135
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			135
	<b>Identification</b> <Id>	[1..1]			135
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		135
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		136
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			137
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		137
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		138
	<b>HoldingBalance</b> <HldgBal>	[0..15]			138
	<b>Balance</b> <Bal>	[1..1]			138
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		139

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Quantity</b> <Qty>	[1..1]		C8	139
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		139
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		140
Or}	<b>DigitalTokenUnit</b> <DgtITknUnit>	[1..1]	Quantity		140
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		140
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		141
	<b>Date</b> <Dt>	[0..1]	Date		141
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			141
	<b>Identification</b> <Id>	[1..1]			142
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			143
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			144
	<b>Name</b> <Nm>	[1..1]	Text		145
	<b>Address</b> <Adr>	[0..1]			145
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		146
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		146
	<b>StreetName</b> <StrtNm>	[0..1]	Text		146
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		146
	<b>PostBox</b> <PstBx>	[0..1]	Text		146
	<b>PostCode</b> <PstCd>	[0..1]	Text		146
	<b>TownName</b> <TwnNm>	[0..1]	Text		147
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		147
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	147
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		147
	<b>Identification</b> <Id>	[0..1]	±		147
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		147
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	148
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		148
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			149
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		149
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		150
	<b>Eligibility</b> <Elgblty>	[1..1]			150

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EntitlementFixingDate</b> <EntitlmntFxdDt>	[1..1]	Date		150
	<b>MeetingAttendee</b> <MtgAttndee>	[0..1]			150
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		150
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		151
	<b>Proxy</b> <Prxy>	[0..1]			152
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		152
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		153
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	154

## 5.3 Constraints

### C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

### C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

### C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

### C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C7 DescriptionUsageRule

Description must be used alone as the last resort.

### C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

### C9 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

**C10 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C11 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C12 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C13 PreviousEntitlementNotificationIdentificationRule**

If NotificationType is REPL (Replacement), then PreviousEntitlementNotificationIdentification must be present.

On Condition

/NotificationType is equal to value 'Replacement'

Following Must be True

/PreviousEntitlementNotificationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C14 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 NotificationType <NtfctnTp>

*Presence:* [1..1]

*Definition:* Type of notification.

*Datatype:* "NotificationType2Code" on page 469

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

### 5.4.2 PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>

*Presence:* [0..1]

*Definition:* Identification of the original meeting notification entitlement message which is amended.

*Datatype:* "Max35Text" on page 487

### 5.4.3 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		126
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		126
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		126
	<b>Type</b> <Tp>	[1..1]	CodeSet		126
	<b>Classification</b> <Clssfctn>	[0..1]	±		127
	<b>Location</b> <Lctn>	[0..5]	±		127
	<b>Issuer</b> <Issr>	[0..1]	±		128

#### 5.4.3.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

#### 5.4.3.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

#### 5.4.3.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODatetime" on page 482

#### 5.4.3.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example,

CodeName	Name	Definition
		approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 5.4.3.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

#### 5.4.3.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 5.4.3.7 Issuer <lssr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <lssr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

### 5.4.4 Issuer <lssr>

*Presence:* [1..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <lssr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395



## 5.4.5      **Security <Scty>**

*Presence:* [1..200]

*Definition:* Security for which the meeting is organised, the account and the positions of the security holder.

**Security <Scty>** contains the following **SecurityPosition21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	131
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C14	132
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		134
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		135
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			135
	<b>Identification</b> <Id>	[1..1]			135
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		135
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		136
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			137
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		137
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		138
	<b>HoldingBalance</b> <HldgBal>	[0..15]			138
	<b>Balance</b> <Bal>	[1..1]			138
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		139
	<b>Quantity</b> <Qty>	[1..1]		C8	139
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		139
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		140
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		140
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		140
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		141
	<b>Date</b> <Dt>	[0..1]	Date		141
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			141
	<b>Identification</b> <Id>	[1..1]			142
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			143
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			144
	<b>Name</b> <Nm>	[1..1]	Text		145
	<b>Address</b> <Adr>	[0..1]			145
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		146

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		146
	<b>StreetName</b> <StrtNm>	[0..1]	Text		146
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		146
	<b>PostBox</b> <PstBx>	[0..1]	Text		146
	<b>PostCode</b> <PstCd>	[0..1]	Text		146
	<b>TownName</b> <TwnNm>	[0..1]	Text		147
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		147
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	147
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		147
	<b>Identification</b> <Id>	[0..1]	±		147
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		147
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	148
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		148
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			149
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		149
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		150

#### 5.4.5.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C10 "ISINGuideline", C11 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ISIN</b> <ISIN>	[0..1]	IdentifierSet		357
	<b>OtherIdentification</b> <OthrId>	[0..*]			357
	<b>Identification</b> <Id>	[1..1]	Text		357
	<b>Suffix</b> <Sfx>	[0..1]	Text		357
	<b>Type</b> <Tp>	[1..1]	±		358
	<b>Description</b> <Desc>	[0..1]	Text		358

**Constraints**

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

**5.4.5.2 Position <Pos>**

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2

"AccountIdentificationOrBlockChainAddress2Rule", C3

"AccountIdentificationOrBlockChainAddress3Rule", C9 "HoldingBalanceTypeRule", C14

"RightsHolderMultiplicity1Guideline"

**Position <Pos>** contains the following **EligiblePosition19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		134
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		135
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			135
	<b>Identification</b> <Id>	[1..1]			135
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		135
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		136
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			137
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		137
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		138
	<b>HoldingBalance</b> <HldgBal>	[0..15]			138
	<b>Balance</b> <Bal>	[1..1]			138
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		139
	<b>Quantity</b> <Qty>	[1..1]		C8	139
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		139
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		140
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		140
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		140
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		141
	<b>Date</b> <Dt>	[0..1]	Date		141
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			141
	<b>Identification</b> <Id>	[1..1]			142
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			143
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			144
	<b>Name</b> <Nm>	[1..1]	Text		145
	<b>Address</b> <Adr>	[0..1]			145
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		146
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		146
	<b>StreetName</b> <StrtNm>	[0..1]	Text		146
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		146
	<b>PostBox</b> <PstBx>	[0..1]	Text		146
	<b>PostCode</b> <PstCd>	[0..1]	Text		146

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TownName</b> <TwnNm>	[0..1]	Text		147
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		147
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	147
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		147
	<b>Identification</b> <Id>	[0..1]	±		147
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		147
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	148
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		148
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			149
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		149
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		150

#### Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 5.4.5.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

#### 5.4.5.2.2 BlockChainAddressOrWallet <BlickChainAdrOrWllt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

#### 5.4.5.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains the following **PartyIdentification241** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]			135
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		135
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		136
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			137
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		137
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		138

#### 5.4.5.2.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		135
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		136

#### 5.4.5.2.3.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 5.4.5.2.3.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.



**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 5.4.5.2.3.2 AdditionalIdentificationInformation <AddtlIdInf>

*Presence:* [0..1]

*Definition:* Ancillary identification information about the party.

**AdditionalIdentificationInformation <AddtlIdInf>** contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		137
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		138

##### 5.4.5.2.3.2.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date of birth of an individual person.

*Datatype:* "ISODate" on page 482

**5.4.5.2.3.2.2 RegistrationIdentification <RegnId>***Presence:* [1..1]*Definition:* Official identification of an organisation in a specific register.**RegistrationIdentification <RegnId>** contains the following elements (see "OrganisationIdentification5" on page 374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		374
	RegisterName <RegrNm>	[0..1]	Text		375

**5.4.5.2.4 HoldingBalance <HldgBal>***Presence:* [0..15]*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.**HoldingBalance <HldgBal>** contains the following **HoldingBalance14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]			138
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		139
	<b>Quantity</b> <Qty>	[1..1]		C8	139
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		139
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		140
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		140
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		140
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		141
	<b>Date</b> <Dt>	[0..1]	Date		141

**5.4.5.2.4.1 Balance <Bal>***Presence:* [1..1]*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		139
	<b>Quantity</b> <Qty>	[1..1]		C8	139
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		139
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		140
Or}	<b>DigitalTokenUnit</b> <DgtITknUnit>	[1..1]	Quantity		140

#### 5.4.5.2.4.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

#### 5.4.5.2.4.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

*Impacted by:* C8 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		139
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		140
Or}	<b>DigitalTokenUnit</b> <DgtITknUnit>	[1..1]	Quantity		140

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 5.4.5.2.4.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

**5.4.5.2.4.1.2.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

**5.4.5.2.4.1.2.3 DigitalTokenUnit <DgtITknUnit>**

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

**5.4.5.2.4.2 BalanceType <BalTp>**

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 475

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CodeName	Name	Definition
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 5.4.5.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 5.4.5.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* "ISODate" on page 482

#### 5.4.5.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains the following **PartyIdentification270** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]			142
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]			143
	<b>NameAndAddress &lt;NmAndAdr&gt;</b>	[1..1]			144
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		145
	<b>Address &lt;Adr&gt;</b>	[0..1]			145
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		146
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		146
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		146
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		146
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		146
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		146
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		147
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		147
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C5	147
	<b>EmailAddress &lt;EmailAdr&gt;</b>	[0..1]	Text		147
	<b>Identification &lt;Id&gt;</b>	[0..1]	±		147
	<b>CompanyRegisterShareholderIdentification &lt;CpnyRegrShrhldrId&gt;</b>	[0..1]	Text		147
	<b>CountryOfIncorporation &lt;CtryOfIncorprtn&gt;</b>	[0..1]	CodeSet	C5	148
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..*]	±		148
	<b>AdditionalIdentificationInformation &lt;AddtlIdInf&gt;</b>	[0..1]			149
{Or	<b>BirthDate &lt;BirthDt&gt;</b>	[1..1]	Date		149
Or}	<b>RegistrationIdentification &lt;RegnId&gt;</b>	[1..1]	±		150

#### 5.4.5.2.5.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			143
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			144
	<b>Name</b> <Nm>	[1..1]	Text		145
	<b>Address</b> <Adr>	[0..1]			145
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		146
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		146
	<b>StreetName</b> <StrtNm>	[0..1]	Text		146
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		146
	<b>PostBox</b> <PstBx>	[0..1]	Text		146
	<b>PostCode</b> <PstCd>	[0..1]	Text		146
	<b>TownName</b> <TwnNm>	[0..1]	Text		147
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		147
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	147
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		147
	<b>Identification</b> <Id>	[0..1]	±		147
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		147
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	148
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		148

#### 5.4.5.2.5.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			144
	<b>Name</b> <Nm>	[1..1]	Text		145
	<b>Address</b> <Adr>	[0..1]			145
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		146
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		146
	<b>StreetName</b> <StrtNm>	[0..1]	Text		146
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		146
	<b>PostBox</b> <PstBx>	[0..1]	Text		146
	<b>PostCode</b> <PstCd>	[0..1]	Text		146
	<b>TownName</b> <TwnNm>	[0..1]	Text		147
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		147
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	147
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		147
	<b>Identification</b> <Id>	[0..1]	±		147
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrd>	[0..1]	Text		147
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	148

#### 5.4.5.2.5.1.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.



**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		145
	<b>Address &lt;Adr&gt;</b>	[0..1]			145
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		146
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		146
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		146
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		146
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		146
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		146
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		147
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		147
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C5	147

#### 5.4.5.2.5.1.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 5.4.5.2.5.1.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		146
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		146
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		146
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		146
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		146
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		146
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		147
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		147
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C5	147

**5.4.5.2.5.1.1.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**5.4.5.2.5.1.1.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**5.4.5.2.5.1.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**5.4.5.2.5.1.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**5.4.5.2.5.1.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**5.4.5.2.5.1.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487

**5.4.5.2.5.1.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**5.4.5.2.5.1.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**5.4.5.2.5.1.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C5 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**5.4.5.2.5.1.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**5.4.5.2.5.1.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <CIntId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**5.4.5.2.5.1.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>***Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **5.4.5.2.5.1.1.5 CountryOfIncorporation <CtryOfIncorprtn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C5 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **5.4.5.2.5.1.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		419

#### 5.4.5.2.5.2 AdditionalIdentificationInformation <AddtlIdInf>

*Presence:* [0..1]

*Definition:* Ancillary identification information about the party.

**AdditionalIdentificationInformation <AddtlIdInf>** contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		149
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		150

#### 5.4.5.2.5.2.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date of birth of an individual person.

*Datatype:* "ISODate" on page 482

#### 5.4.5.2.5.2.2 RegistrationIdentification <RegnId>

*Presence:* [1..1]

*Definition:* Official identification of an organisation in a specific register.

**RegistrationIdentification <RegnId>** contains the following elements (see "OrganisationIdentification5" on page 374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		374
	RegisterName <RegrNm>	[0..1]	Text		375

### 5.4.6 Eligibility <Elgblty>

*Presence:* [1..1]

*Definition:* Date determining eligibility.

**Eligibility <Elgblty>** contains the following **EligibilityDates1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitlementFixingDate <EntitlmntFxdDt>	[1..1]	Date		150

#### 5.4.6.1 EntitlementFixingDate <EntitlmntFxdDt>

*Presence:* [1..1]

*Definition:* Date at which the positions are struck to note which parties will receive the entitlement, e.g. record date, book close date.

*Datatype:* "ISODate" on page 482

### 5.4.7 MeetingAttendee <MtgAttndee>

*Presence:* [0..1]

*Definition:* Person physically attending the meeting as a natural or legal person.

**MeetingAttendee <MtgAttndee>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		150
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		151

#### 5.4.7.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 5.4.7.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

## 5.4.8 Proxy <Prxy>

*Presence:* [0..1]

*Definition:* Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

**Proxy <Prxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		152
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..1]	±		153

### 5.4.8.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.



**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 5.4.8.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

## 5.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* C15 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 6 **seev.004.001.11** **MeetingInstructionV11**

### 6.1 **MessageDefinition Functionality**

#### Scope

The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.

#### Usage

The MeetingInstruction message is used to vote, to require attendance to a meeting, to request registration of securities, and assign a proxy. One instruction or multiple instructions can be carried within the same message.

Once the message is sent, it cannot be modified. It must be cancelled by a MeetingInstructionCancellationRequest. Only after receipt of a confirmed cancelled status via the

MeetingInstructionStatus message, a new MeetingInstruction message can be sent.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingInstructionV11 MessageDefinition is composed of 9 MessageBuildingBlocks:

##### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

##### B. MeetingInstructionIdentification

Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

##### C. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

##### D. FinancialInstrumentIdentification

Security for which the meeting is organised.

##### E. InstructionCancellationRequestIdentification

Identification of the cancellation request message requesting cancellation of individual instruction(s).

##### F. CancelledInstructionIdentification

Identification of the message and individual instruction(s) for which the cancellation was requested.

##### G. OtherDocumentIdentification

Identification of other messages/documents as well as the messages/documents number.

##### H. Instruction

The position of the instructing party and the action that it wants to take.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstr>	[1..1]		C10, C17	
	Pagination <Pgntn>	[0..1]	±		164
	MeetingInstructionIdentification <MtgInstrId>	[0..1]	Text		164
	MeetingReference <MtgRef>	[1..1]			164
	MeetingIdentification <MtgId>	[1..1]	Text		165
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		165
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		165
	EntitlementFixingDate <EntitlMntFxdDt>	[0..1]	±		165
	Type <Tp>	[1..1]	CodeSet		165
	Classification <Clssfctn>	[0..1]	±		166
	Location <Lctn>	[0..5]	±		166
	Issuer <Issr>	[0..1]	±		166
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C12, C13, C16	167
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..*]			168
	MeetingInstructionCancellationRequestIdentification <MtgInstrCxlReqId>	[1..1]	Text		168
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		168
	CancelledInstructionIdentification <CancInstrId>	[0..*]			168
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		169
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		169
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		169
	Instruction <Instr>	[1..*]		C14	169
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		173
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		173
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		173
	AccountDetails <AcctDtls>	[1..1]		C1, C2, C3, C5, C19	174
	AccountIdentification <AcctId>	[0..1]	Text		177
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		177

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			177
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		177
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		178
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		179
	<b>InstructedBalance</b> <InstdBal>	[1..15]			179
	<b>Balance</b> <Bal>	[1..1]			180
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		180
	<b>Quantity</b> <Qty>	[1..1]		C9	180
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		181
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		181
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		181
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		181
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		181
	<b>SafekeepingPlace</b> <SfkgpgPlc>	[0..1]	±		182
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			183
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			184
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			185
	<b>Name</b> <Nm>	[1..1]	Text		186
	<b>Address</b> <Adr>	[0..1]			186
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		187
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		187
	<b>StreetName</b> <StrtNm>	[0..1]	Text		187
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		187
	<b>PostBox</b> <PstBx>	[0..1]	Text		187
	<b>PostCode</b> <PstCd>	[0..1]	Text		187
	<b>TownName</b> <TwnNm>	[0..1]	Text		188
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		188
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	188
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		188
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		188
	<b>Identification</b> <Id>	[0..1]	±		188

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		189
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	189
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		189
	<b>PledgeDetails</b> <PldgDtls>	[0..1]			190
	<b>Pledger</b> <Pldgr>	[1..1]			191
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		191
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		192
	<b>ThirdParty</b> <ThrdPty>	[0..1]			193
	<b>Role</b> <Role>	[1..1]	CodeSet		193
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		194
	<b>PledgeType</b> <PldgTp>	[1..1]	±		194
	<b>ReturnSecuritiesIndicator</b> <RtrSctiesInd>	[0..1]	Indicator		195
	<b>Proxy</b> <Prxy>	[0..1]		C18	195
	<b>ProxyType</b> <PrxyTp>	[1..1]	CodeSet		195
	<b>PersonDetails</b> <PrsnDtls>	[0..1]			196
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			196
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		196
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		197
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		198
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C15	198
	<b>VoteDetails</b> <VoteDtls>	[0..1]			199
	<b>VoteInstructionForAgendaResolution</b> <VoteInstrForAgndRsln>	[1..1]			201
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsln>	[1..1]		C11	202
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			203
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		204
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLbl>	[0..1]	Text		204
	<b>For</b> <For>	[0..1]	±		204
	<b>Against</b> <Agnst>	[0..1]	±		205
	<b>Abstain</b> <Abstrn>	[0..1]	±		205
	<b>Withhold</b> <Wthhld>	[0..1]	±		205
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		205



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		206
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		206
	<b>OneYear</b> <OneYr>	[0..1]	±		206
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		206
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		207
	<b>NoAction</b> <NoActn>	[0..1]	±		207
	<b>Blank</b> <Blnk>	[0..1]	±		207
	<b>Proprietary</b> <Prtry>	[0..4]			208
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208
Or}	<b>GlobalVoteInstruction</b> <GblVoteInst>	[1..1000]			208
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		209
	<b>VoteOption</b> <VoteOptn>	[1..1]			209
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRsltns>	[1..1]	±		210
	<b>VoteInstructionForMeetingResolution</b> <VoteInstrForMtgRsln>	[0..1]			210
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		210
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		211
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[0..1]			211
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		211
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		212
	<b>MeetingAttendee</b> <MtgAttndee>	[0..*]			212
	<b>Identification</b> <Id>	[0..1]			212
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		212
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		213
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	CodeSet		214
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		214
	<b>AttendanceCardDetails</b> <AttndncCardDtls>	[1..1]	±	C15	215
	<b>SpecificInstructionRequest</b> <SpfcInstrReq>	[0..1]			215
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	±		216

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesRegistration</b> <ScitiesRegn>	[0..1]	Indicator		216
	<b>AdditionalDisclosureInformation</b> <AddtlDsclsrInf>	[0..250]	±		216
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C22	216

## 6.3 Constraints

### C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

### C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

### C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

### C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C5 BalanceQall1Rule

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

### C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C7 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C8 DescriptionUsageRule

Description must be used alone as the last resort.

### C9 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

### C10 EntitlementFixingDate1Rule

If Confirmation of Holding Required in MeetingNotification is set to Y, then Entitlement Fixing Date must be provided.

*This constraint is defined at the MessageDefinition level.*

**C11 InFavourListingGroup1Rule**

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

**C12 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C13 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C14 MeetingAttendeeRule**

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

**C15 OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

**C16 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C17 PaginationRule**

If pagination is present, then MeetingInstructionIdentification must be present.

On Condition

/Pagination is present

Following Must be True

/MeetingInstructionIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C18 ProxyAppointment1Rule**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

**C19 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C20 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

**C21 StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

**C22 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

**C23 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept

pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 6.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		363
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		363

### 6.4.2 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

*Datatype:* "Max35Text" on page 487

### 6.4.3 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		165
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		165
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		165
	<b>EntitlementFixingDate</b> <EntitlmntFxdDt>	[0..1]	±		165
	<b>Type</b> <Tp>	[1..1]	CodeSet		165
	<b>Classification</b> <Clssfctn>	[0..1]	±		166
	<b>Location</b> <Lctn>	[0..5]	±		166
	<b>Issuer</b> </issr>	[0..1]	±		166

### 6.4.3.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

### 6.4.3.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

### 6.4.3.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODateTime" on page 482

### 6.4.3.4 EntitlementFixingDate <EntitlmntFxdt>

*Presence:* [0..1]

*Definition:* Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

**EntitlementFixingDate <EntitlmntFxdt>** contains the following elements (see "DateFormat1" on page 345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		345
	DateMode <DtMd>	[0..1]	CodeSet		346

### 6.4.3.5 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company,

CodeName	Name	Definition
		for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 6.4.3.6 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

#### 6.4.3.7 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "[PostalAddress1](#)" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 6.4.3.8 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

## 6.4.4 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

## 6.4.5 InstructionCancellationRequestIdentification <InstrCxlReqId>

*Presence:* [0..\*]

*Definition:* Identification of the cancellation request message requesting cancellation of individual instruction(s).

**InstructionCancellationRequestIdentification <InstrCxlReqId>** contains the following **MeetingInstructionCancellation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingInstructionCancellationRequestIdentification</b> <MtgInstrCxlReqId>	[1..1]	Text		168
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		168

### 6.4.5.1 MeetingInstructionCancellationRequestIdentification <MtgInstrCxlReqId>

*Presence:* [1..1]

*Definition:* Identification of the meeting instruction cancellation request message containing the individual instruction(s) for which the cancellation was requested.

*Datatype:* "Max35Text" on page 487

### 6.4.5.2 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction for which the cancellation was requested.

*Datatype:* "Max35Text" on page 487

## 6.4.6 CancelledInstructionIdentification <CancInstrId>

*Presence:* [0..\*]

*Definition:* Identification of the message and individual instruction(s) for which the cancellation was requested.



**CancelledInstructionIdentification <CancInstrId>** contains the following **MeetingInstructionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		169
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		169

#### 6.4.6.1 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [1..1]

*Definition:* Identification of the previous meeting instruction message containing the individual instruction(s) for which the cancellation was requested.

*Datatype:* "Max35Text" on page 487

#### 6.4.6.2 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction for which the cancellation was requested.

*Datatype:* "Max35Text" on page 487

### 6.4.7 OtherDocumentIdentification <OthrDocId>

*Presence:* [0..\*]

*Definition:* Identification of other messages/documents as well as the messages/documents number.

**OtherDocumentIdentification <OthrDocId>** contains the following elements (see "DocumentIdentification32" on page 347 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			347
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		347
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		348
	DocumentNumber <DocNb>	[0..1]	±	C20	348
	LinkageType <LkgTp>	[0..1]	±	C23	348

### 6.4.8 Instruction <Instr>

*Presence:* [1..\*]

*Definition:* The position of the instructing party and the action that it wants to take.

*Impacted by:* C14 "MeetingAttendeeRule"

Instruction <Instr> contains the following **Instruction9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		173
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[0..1]	DateTime		173
	<b>VoteExecutionConfirmation</b> <VoteExctnConf>	[1..1]	Indicator		173
	<b>AccountDetails</b> <AcctDtls>	[1..1]		C1, C2, C3, C5, C19	174
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		177
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		177
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			177
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		177
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		178
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		179
	<b>InstructedBalance</b> <InstdBal>	[1..15]			179
	<b>Balance</b> <Bal>	[1..1]			180
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		180
	<b>Quantity</b> <Qty>	[1..1]		C9	180
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		181
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		181
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		181
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		181
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		181
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		182
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			183
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			184
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			185
	<b>Name</b> <Nm>	[1..1]	Text		186
	<b>Address</b> <Adr>	[0..1]			186
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		187
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		187
	<b>StreetName</b> <StrtNm>	[0..1]	Text		187
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		187
	<b>PostBox</b> <PstBx>	[0..1]	Text		187

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostCode</b> <PstCd>	[0..1]	Text		187
	<b>TownName</b> <TwnNm>	[0..1]	Text		188
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		188
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	188
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		188
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		188
	<b>Identification</b> <Id>	[0..1]	±		188
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		189
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	189
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		189
	<b>PledgeDetails</b> <PldgDtls>	[0..1]			190
	<b>Pledger</b> <Pldgr>	[1..1]			191
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		191
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		192
	<b>ThirdParty</b> <ThrdPty>	[0..1]			193
	<b>Role</b> <Role>	[1..1]	CodeSet		193
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		194
	<b>PledgeType</b> <PldgTp>	[1..1]	±		194
	<b>ReturnSecuritiesIndicator</b> <RtrScitiesInd>	[0..1]	Indicator		195
	<b>Proxy</b> <Prxy>	[0..1]		C18	195
	<b>ProxyType</b> <PrxyTp>	[1..1]	CodeSet		195
	<b>PersonDetails</b> <PrsnDtls>	[0..1]			196
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			196
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		196
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		197
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		198
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C15	198
	<b>VoteDetails</b> <VoteDtls>	[0..1]			199
	<b>VoteInstructionForAgendaResolution</b> <VoteInstrForAgndRsln>	[1..1]			201
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsln>	[1..1]		C11	202
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			203

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLabl>	[1..1]	Text		204
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLabl>	[0..1]	Text		204
	<b>For</b> <For>	[0..1]	±		204
	<b>Against</b> <Agnst>	[0..1]	±		205
	<b>Abstain</b> <Abstn>	[0..1]	±		205
	<b>Withhold</b> <Wthhld>	[0..1]	±		205
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		205
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		206
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		206
	<b>OneYear</b> <OneYr>	[0..1]	±		206
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		206
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		207
	<b>NoAction</b> <NoActn>	[0..1]	±		207
	<b>Blank</b> <Blnk>	[0..1]	±		207
	<b>Proprietary</b> <Prtry>	[0..4]			208
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			208
	<b>IssuerLabel</b> <IssrLabl>	[1..1]	Text		209
	<b>VoteOption</b> <VoteOptn>	[1..1]			209
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRsltns>	[1..1]	±		210
	<b>VoteInstructionForMeetingResolution</b> <VoteInstrForMtgRsltn>	[0..1]			210
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		210
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		211
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[0..1]			211
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		211
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		212
	<b>MeetingAttendee</b> <MtgAttndee>	[0..*]			212

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[0..1]			212
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		212
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		213
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	CodeSet		214
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		214
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C15	215
	<b>SpecificInstructionRequest</b> <SpfcInstrReq>	[0..1]			215
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	±		216
	<b>SecuritiesRegistration</b> <SctiesRegn>	[0..1]	Indicator		216
	<b>AdditionalDisclosureInformation</b> <AddtlDscslrInf>	[0..250]	±		216

### Constraints

- **MeetingAttendeeRule**

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

On Condition

/MeetingAttendee[\*] is present

Following Must be True

/SpecificInstructionRequest/ParticipationMethod/Code Must be equal to value 'InPerson'

#### 6.4.8.1 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction.

*Datatype:* "Max35Text" on page 487

#### 6.4.8.2 RequestedExecutionDate <ReqdExctnDt>

*Presence:* [0..1]

*Definition:* Date at which the instruction must be executed.

*Datatype:* "ISODateTime" on page 482

#### 6.4.8.3 VoteExecutionConfirmation <VoteExctnConf>

*Presence:* [1..1]

*Definition:* Indicates that a vote execution confirmation is requested.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 6.4.8.4 AccountDetails <AcctDtls>

*Presence:* [1..1]

*Definition:* Identification of the securities account.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C5 "BalanceQall1Rule", C19 "RightsHolderMultiplicity1Guideline"

**AccountDetails <AcctDtls>** contains the following **SafekeepingAccount20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		177
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		177
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			177
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		177
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		178
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		179
	<b>InstructedBalance</b> <InstdBal>	[1..15]			179
	<b>Balance</b> <Bal>	[1..1]			180
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		180
	<b>Quantity</b> <Qty>	[1..1]		C9	180
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		181
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		181
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		181
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		181
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		181
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		182
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			183
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			184
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			185
	<b>Name</b> <Nm>	[1..1]	Text		186
	<b>Address</b> <Adr>	[0..1]			186
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		187
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		187
	<b>StreetName</b> <StrtNm>	[0..1]	Text		187
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		187
	<b>PostBox</b> <PstBx>	[0..1]	Text		187
	<b>PostCode</b> <PstCd>	[0..1]	Text		187
	<b>TownName</b> <TwnNm>	[0..1]	Text		188
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		188
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	188
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		188

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		188
	<b>Identification</b> <Id>	[0..1]	±		188
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		189
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	189
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		189
	<b>PledgeDetails</b> <PldgDtls>	[0..1]			190
	<b>Pledger</b> <Pldgr>	[1..1]			191
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		191
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		192
	<b>ThirdParty</b> <ThrdPty>	[0..1]			193
	<b>Role</b> <Role>	[1..1]	CodeSet		193
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		194
	<b>PledgeType</b> <PldgTp>	[1..1]	±		194
	<b>ReturnSecuritiesIndicator</b> <RtrScitiesInd>	[0..1]	Indicator		195

### Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present



Following Must be True  
/InstructedBalance[\*]/Balance/Quantity/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 6.4.8.4.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account between the account owner and the account servicer.

*Datatype:* "Max35Text" on page 487

#### 6.4.8.4.2 BlockchainAddressOrWallet <BlickChainAdrOrWllt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

#### 6.4.8.4.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		177
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		178

##### 6.4.8.4.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 6.4.8.4.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 6.4.8.4.4 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of a subaccount within the safekeeping account.

*Datatype:* "Max35Text" on page 487

#### 6.4.8.4.5 InstructedBalance <InstdBal>

*Presence:* [1..15]

*Definition:* Quantity of securities to which this instruction applies.

**InstructedBalance <InstdBal>** contains the following **HoldingBalance15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance &lt;Bal&gt;</b>	[1..1]			180
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		180
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C9	180
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		181
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		181
Or	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		181
Or}	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		181
	<b>BalanceType &lt;BalTp&gt;</b>	[0..1]	CodeSet		181
	<b>SafekeepingPlace &lt;SfkpgPlc&gt;</b>	[0..1]	±		182

#### 6.4.8.4.5.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		180
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C9	180
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		181
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		181
Or	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		181
Or}	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		181

#### 6.4.8.4.5.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

#### 6.4.8.4.5.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

*Impacted by:* C9 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		181
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		181
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		181
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		181

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

##### 6.4.8.4.5.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

##### 6.4.8.4.5.1.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

##### 6.4.8.4.5.1.2.3 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

##### 6.4.8.4.5.1.2.4 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* "Quantity1Code" on page 472

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

##### 6.4.8.4.5.2 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 475

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 6.4.8.4.5.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 6.4.8.4.6 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder** <RghtsHldr> contains one of the following **PartyIdentification264Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			184
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			185
	<b>Name</b> <Nm>	[1..1]	Text		186
	<b>Address</b> <Adr>	[0..1]			186
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		187
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		187
	<b>StreetName</b> <StrtNm>	[0..1]	Text		187
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		187
	<b>PostBox</b> <PstBx>	[0..1]	Text		187
	<b>PostCode</b> <PstCd>	[0..1]	Text		187
	<b>TownName</b> <TwnNm>	[0..1]	Text		188
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		188
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	188
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		188
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		188
	<b>Identification</b> <Id>	[0..1]	±		188
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		189
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	189
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		189

#### 6.4.8.4.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.



**LegalPerson <LglPrsn>** contains the following **PartyIdentification341** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			185
	<b>Name</b> <Nm>	[1..1]	Text		186
	<b>Address</b> <Adr>	[0..1]			186
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		187
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		187
	<b>StreetName</b> <StrtNm>	[0..1]	Text		187
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		187
	<b>PostBox</b> <PstBx>	[0..1]	Text		187
	<b>PostCode</b> <PstCd>	[0..1]	Text		187
	<b>TownName</b> <TwnNm>	[0..1]	Text		188
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		188
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C6	188
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		188
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		188
	<b>Identification</b> <Id>	[0..1]	±		188
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		189
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C6	189

#### 6.4.8.4.6.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		186
	<b>Address &lt;Adr&gt;</b>	[0..1]			186
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		187
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		187
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		187
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		187
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		187
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		187
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		188
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		188
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C6	188

#### 6.4.8.4.6.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 6.4.8.4.6.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		187
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		187
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		187
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		187
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		187
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		187
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		188
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		188
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C6	188

**6.4.8.4.6.1.1.2.1 AddressType <AdrTp>**

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**6.4.8.4.6.1.1.2.2 AddressLine <AdrLine>**

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 488

**6.4.8.4.6.1.1.2.3 StreetName <StrtNm>**

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

**6.4.8.4.6.1.1.2.4 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

**6.4.8.4.6.1.1.2.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

**6.4.8.4.6.1.1.2.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

**6.4.8.4.6.1.1.2.7 TownName <TwNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

**6.4.8.4.6.1.1.2.8 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

**6.4.8.4.6.1.1.2.9 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C6 "Country"

*Datatype:* "CountryCode" on page 462

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**6.4.8.4.6.1.2 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the account.

*Datatype:* "Max35Text" on page 487

**6.4.8.4.6.1.3 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

**6.4.8.4.6.1.4 Identification <Id>**

*Presence:* [0..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <ClnId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

#### 6.4.8.4.6.1.5 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### 6.4.8.4.6.1.6 CountryOfIncorporation <CtryOfIncorprtn>

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C6 "Country"

*Datatype:* "CountryCode" on page 462

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 6.4.8.4.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification342" on page 420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			420
	NamePrefix <NmPrfx>	[0..1]	CodeSet		421
	FirstName <FrstNm>	[1..1]	Text		421
	Surname <Snm>	[1..1]	Text		421
	Address <Adr>	[0..1]			422
	AddressType <AdrTp>	[0..1]	CodeSet		422
	AddressLine <AdrLine>	[0..5]	Text		422
	StreetName <StrtNm>	[0..1]	Text		422
	BuildingNumber <BldgNb>	[0..1]	Text		423
	PostBox <PstBx>	[0..1]	Text		423
	PostCode <PstCd>	[0..1]	Text		423
	TownName <TwnNm>	[0..1]	Text		423
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		423
	Country <Ctry>	[1..1]	CodeSet	C3	423
	AccountIdentification <AcctId>	[0..1]	Text		423
	EmailAddress <EmailAdr>	[0..1]	Text		424
	Identification <Id>	[0..1]	±		424
	Nationality <Ntlty>	[0..1]	CodeSet	C3	424
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		424
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		425

#### 6.4.8.4.7 PledgeDetails <PldgDtls>

*Presence:* [0..1]

*Definition:* Detailed information about the pledge.

**PledgeDetails <PldgDtls>** contains the following **PledgeInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Pledger &lt;Pldgr&gt;</b>	[1..1]			191
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		191
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..1]	±		192
	<b>ThirdParty &lt;ThrdPty&gt;</b>	[0..1]			193
	<b>Role &lt;Role&gt;</b>	[1..1]	CodeSet		193
	<b>LegalPersonIdentification &lt;LglPrsnId&gt;</b>	[0..1]	±		194
	<b>PledgeType &lt;PldgTp&gt;</b>	[1..1]	±		194
	<b>ReturnSecuritiesIndicator &lt;RtrSctiesInd&gt;</b>	[0..1]	Indicator		195

#### 6.4.8.4.7.1 Pledger <Pldgr>

*Presence:* [1..1]

*Definition:* Entity that provide assets to a counterparty as a guarantee.

**Pledger <Pldgr>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		191
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..1]	±		192

#### 6.4.8.4.7.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 6.4.8.4.7.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.



**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 6.4.8.4.7.2 ThirdParty <ThrdPty>

*Presence:* [0..1]

*Definition:* Third party, usually a bank, involved in the pledge.

**ThirdParty <ThrdPty>** contains the following **ThirdPartyIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Role</b> <Role>	[1..1]	CodeSet		193
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		194

##### 6.4.8.4.7.2.1 Role <Role>

*Presence:* [1..1]

*Definition:* Role played by the third party.

*Datatype:* "PartyRole3Code" on page 469

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

#### 6.4.8.4.7.2.2 LegalPersonIdentification <LglPrsnId>

*Presence:* [0..1]

*Definition:* Identification of the third party legal entity.

**LegalPersonIdentification <LglPrsnId>** contains the following elements (see "[PartyIdentification221](#)" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 6.4.8.4.7.3 PledgeType <PldgTp>

*Presence:* [1..1]

*Definition:* Identifies the type of pledge.

**PledgeType <PldgTp>** contains the following elements (see "[GenericIdentification36](#)" on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		359
	Issuer <Issr>	[1..1]	Text		359
	SchemeName <SchmeNm>	[0..1]	Text		359

**6.4.8.4.7.4 ReturnSecuritiesIndicator <RtrSctiesInd>***Presence:* [0..1]*Definition:* Indicates whether the pledger is entitled to vote, otherwise the right to vote is with the right holder.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

**6.4.8.5 Proxy <Prxy>***Presence:* [0..1]*Definition:* Identification of the person appointed by the security holder as the proxy.*Impacted by:* [C18 "ProxyAppointment1Rule"](#)**Proxy <Prxy>** contains the following **Proxy12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProxyType</b> <PrxyTp>	[1..1]	CodeSet		195
	<b>PersonDetails</b> <PrsnDtls>	[0..1]			196
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			196
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		196
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		197
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		198
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C15	198

**Constraints**

- **ProxyAppointment1Rule**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

On Condition

/ProxyType is equal to value 'Discretionary'

Or /ProxyType is equal to value 'SecurityHolder'

Following Must be True

/PersonDetails Must be present

**6.4.8.5.1 ProxyType <PrxyTp>***Presence:* [1..1]*Definition:* Type of proxy.*Datatype:* "ProxyType3Code" on page 472

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.

CodeName	Name	Definition
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

#### 6.4.8.5.2 PersonDetails <PrsnDtls>

*Presence:* [0..1]

*Definition:* Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

**PersonDetails <PrsnDtls>** contains the following **IndividualPerson42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			196
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		196
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		197
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		198
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C15	198

##### 6.4.8.5.2.1 PreassignedProxy <PrssgndPrxy>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

**PreassignedProxy <PrssgndPrxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		196
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		197

##### 6.4.8.5.2.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 6.4.8.5.2.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 6.4.8.5.2.2 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

#### 6.4.8.5.2.3 AttendanceCardDetails <AtndncCardDtls>

*Presence:* [1..1]

*Definition:* Details related to the attendance card.

*Impacted by:* C15 "OtherAddressCode1Rule"

**AttendanceCardDetails <AttndncCardDtls>** contains the following elements (see "AttendanceCard3" on page 377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		377
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		377
	OtherAddress <OthrAdr>	[0..1]	±		377

#### Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

#### 6.4.8.6 VoteDetails <VoteDtls>

*Presence:* [0..1]

*Definition:* Detailed voting instructions.

**VoteDetails** <VoteDtls> contains the following **VoteDetails7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>VoteInstructionForAgendaResolution</b> <VoteInstrForAgndRsltn>	[1..1]			201
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsltn>	[1..1]		C11	202
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			203
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		204
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLbl>	[0..1]	Text		204
	<b>For</b> <For>	[0..1]	±		204
	<b>Against</b> <Agnst>	[0..1]	±		205
	<b>Abstain</b> <Abstn>	[0..1]	±		205
	<b>Withhold</b> <Withld>	[0..1]	±		205
	<b>WithManagement</b> <WithMgmt>	[0..1]	±		205
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		206
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		206
	<b>OneYear</b> <OneYr>	[0..1]	±		206
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		206
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		207
	<b>NoAction</b> <NoActn>	[0..1]	±		207
	<b>Blank</b> <Blnk>	[0..1]	±		207
	<b>Proprietary</b> <Prtry>	[0..4]			208
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			208
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		209
	<b>VoteOption</b> <VoteOptn>	[1..1]			209
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRsltns>	[1..1]	±		210
	<b>VoteInstructionForMeetingResolution</b> <VoteInstrForMtgRsltn>	[0..1]			210
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		210
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		211



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvestorTypeIdentification</b> <InvstrTpld>	[0..1]			211
	<b>InvestorTypeIdentification</b> <InvstrTpld>	[1..1]	CodeSet		211
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpldNrrtv>	[0..1]	Text		212

#### 6.4.8.6.1 VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>

*Presence:* [1..1]

*Definition:* Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

**VoteInstructionForAgendaResolution** <VoteInstrForAgndRsln> contains one of the following **Vote17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsln>	[1..1]		C11	202
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			203
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		204
	<b>ListingGroupResolutionLabel</b> <ListGrpRslnLbl>	[0..1]	Text		204
	<b>For</b> <For>	[0..1]	±		204
	<b>Against</b> <Agnst>	[0..1]	±		205
	<b>Abstain</b> <Abstn>	[0..1]	±		205
	<b>Withhold</b> <Withld>	[0..1]	±		205
	<b>WithManagement</b> <WithMgmt>	[0..1]	±		205
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		206
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		206
	<b>OneYear</b> <OneYr>	[0..1]	±		206
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		206
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		207
	<b>NoAction</b> <NoActn>	[0..1]	±		207
	<b>Blank</b> <Blnk>	[0..1]	±		207
	<b>Proprietary</b> <Prtry>	[0..4]			208
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			208
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		209
	<b>VoteOption</b> <VoteOptn>	[1..1]			209
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRslns>	[1..1]	±		210

#### 6.4.8.6.1.1 VotePerAgendaResolution <VotePerAgndRsln>

*Presence:* [1..1]

*Definition:* Vote instruction is provided individually for each agenda resolution.

*Impacted by:* C11 "InFavourListingGroup1Rule"

**VotePerAgendaResolution** <VotePerAgndRsIttn> contains one of the following **Vote18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			203
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		204
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsIttnLbl>	[0..1]	Text		204
	<b>For</b> <For>	[0..1]	±		204
	<b>Against</b> <Agnst>	[0..1]	±		205
	<b>Abstain</b> <Abstn>	[0..1]	±		205
	<b>Withhold</b> <Withhld>	[0..1]	±		205
	<b>WithManagement</b> <WithMgmt>	[0..1]	±		205
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		206
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		206
	<b>OneYear</b> <OneYr>	[0..1]	±		206
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		206
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		207
	<b>NoAction</b> <NoActn>	[0..1]	±		207
	<b>Blank</b> <Blnk>	[0..1]	±		207
	<b>Proprietary</b> <Prtry>	[0..4]			208
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			208
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		209
	<b>VoteOption</b> <VoteOptn>	[1..1]			209
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210

#### Constraints

- **InFavourListingGroup1Rule**

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

#### 6.4.8.6.1.1.1 VoteInstruction <VoteInstr>

*Presence:* [1..1000]

*Definition:* Instruction specifying the instructed quantity of voting rights per resolution.

**VoteInstruction <VoteInstr>** contains the following **Vote21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLabl>	[1..1]	Text		204
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLabl>	[0..1]	Text		204
	<b>For</b> <For>	[0..1]	±		204
	<b>Against</b> <Agnst>	[0..1]	±		205
	<b>Abstain</b> <Abstn>	[0..1]	±		205
	<b>Withhold</b> <Wthhld>	[0..1]	±		205
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		205
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		206
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		206
	<b>OneYear</b> <OneYr>	[0..1]	±		206
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		206
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		207
	<b>NoAction</b> <NoActn>	[0..1]	±		207
	<b>Blank</b> <Blnk>	[0..1]	±		207
	<b>Proprietary</b> <Prtry>	[0..4]			208
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208

#### 6.4.8.6.1.1.1.1 IssuerLabel <IssrLabl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* "Max35Text" on page 487

#### 6.4.8.6.1.1.1.2 ListingGroupResolutionLabel <ListgGrpRslnLabl>

*Presence:* [0..1]

*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

*Datatype:* "Max35Text" on page 487

#### 6.4.8.6.1.1.1.3 For <For>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in favour is instructed.

**For <For>** contains one of the following elements (see ["QuantityOrCode1Choice"](#) on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.4 Against <Agnst>

*Presence:* [0..1]

*Definition:* Number of securities for which vote against is instructed.

**Against <Agnst>** contains one of the following elements (see ["QuantityOrCode1Choice"](#) on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.5 Abstain <Abstn>

*Presence:* [0..1]

*Definition:* Number of securities for which vote to abstain is instructed.

**Abstain <Abstn>** contains one of the following elements (see ["QuantityOrCode1Choice"](#) on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.6 Withhold <Wthld>

*Presence:* [0..1]

*Definition:* Number of securities for which vote to withhold is instructed.

**Withhold <Wthld>** contains one of the following elements (see ["QuantityOrCode1Choice"](#) on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.7 WithManagement <WthMgmt>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in line with the votes of the management is instructed.

**WithManagement <WthMgmt>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.8 AgainstManagement <AgnstMgmt>

*Presence:* [0..1]

*Definition:* Number of securities for which vote against the voting recommendation of the management is instructed.

**AgainstManagement <AgnstMgmt>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.9 Discretionary <Dscrtnry>

*Presence:* [0..1]

*Definition:* Number of securities for which decision is left to the party that will exercise the voting right.

**Discretionary <Dscrtnry>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.10 OneYear <OneYr>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in favour for one year for "say on pay" type of resolution is instructed.

**OneYear <OneYr>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.11 TwoYears <TwoYrs>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in favour of two years for "say on pay" type of resolution is instructed.

**TwoYears <TwoYrs>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.12 ThreeYears <ThreeYrs>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in favour of three years for "say on pay" type of resolution is instructed.

**ThreeYears <ThreeYrs>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.13 NoAction <NoActn>

*Presence:* [0..1]

*Definition:* Do not vote.

**NoAction <NoActn>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 6.4.8.6.1.1.1.14 Blank <Blnk>

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

**Blank <Blnk>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

**6.4.8.6.1.1.1.15 Proprietary <Prtry>***Presence:* [0..4]*Definition:* Other type of vote expressed as a proprietary code.**Proprietary <Prtry>** contains the following **ProprietaryVote1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Code</b> <Cd>	[1..1]	±		208
	<b>Quantity</b> <Qty>	[1..1]	±		208

**6.4.8.6.1.1.1.15.1 Code <Cd>***Presence:* [1..1]*Definition:* Other type of vote expressed as a proprietary code.**Code <Cd>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

**6.4.8.6.1.1.1.15.2 Quantity <Qty>***Presence:* [1..1]*Definition:* Quantity of securities voted.**Quantity <Qty>** contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

**6.4.8.6.1.1.2 GlobalVoteInstruction <GblVoteInstr>***Presence:* [1..1000]*Definition:* Instruction specifying a vote instruction per resolution for the entire entitlement.**GlobalVoteInstruction <GblVoteInstr>** contains the following **Vote15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLabl>	[1..1]	Text		209
	<b>VoteOption</b> <VoteOptn>	[1..1]			209
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210



**6.4.8.6.1.1.2.1 IssuerLabel <IssrLbl>***Presence:* [1..1]*Definition:* Number of the resolution as specified by the issuer or its agent.*Datatype:* "Max35Text" on page 487**6.4.8.6.1.1.2.2 VoteOption <VoteOptn>***Presence:* [1..1]*Definition:* Vote instructed.**VoteOption <VoteOptn>** contains one of the following **VoteInstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		210

**6.4.8.6.1.1.2.2.1 Type <Tp>***Presence:* [1..1]*Definition:* Vote option expressed as a code.*Datatype:* "VoteInstruction7Code" on page 480

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

**6.4.8.6.1.1.2.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Vote option expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

**6.4.8.6.1.2 VoteForAllAgendaResolutions <VoteForAllAgndRsltns>***Presence:* [1..1]*Definition:* One single vote instruction is provided to cover all agenda resolutions.**VoteForAllAgendaResolutions <VoteForAllAgndRsltns>** contains one of the following elements (see "[VoteInstructionType3Choice](#)" on page 378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		378
Or}	Proprietary <Prtry>	[1..1]	±		379

**6.4.8.6.2 VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn>***Presence:* [0..1]*Definition:* Vote instructions for the resolutions that may arise or may be amended at the meeting but were not previously provided in the agenda.**VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn>** contains one of the following **VoteInstructionForMeetingResolution3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		210
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		211

**6.4.8.6.2.1 VoteIndication <VoteIndctn>***Presence:* [1..1]*Definition:* Vote recommendation for resolutions added during the meeting.

**VoteIndication <VoteIndctn>** contains one of the following elements (see "VoteInstructionType1Choice" on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		376
Or}	Proprietary <Prtry>	[1..1]	±		376

#### 6.4.8.6.2.2 Shareholder <Shrhldr>

*Presence:* [1..1]

*Definition:* Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.

**Shareholder <Shrhldr>** contains the following elements (see "NameAndAddress9" on page 440 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		440
	Address <Adr>	[0..1]	±	C21	440

#### 6.4.8.6.3 InvestorTypeIdentification <InvstrTpId>

*Presence:* [0..1]

*Definition:* Investor types that may or may not participate in the event.

**InvestorTypeIdentification <InvstrTpId>** contains the following **InvestorTypeIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvestorTypeIdentification</b> <InvstrTpId>	[1..1]	CodeSet		211
	<b>InvestorTypeIdentificationNarrative</b> <InvstrTpIdNrrtv>	[0..1]	Text		212

##### 6.4.8.6.3.1 InvestorTypeIdentification <InvstrTpId>

*Presence:* [1..1]

*Definition:* Types of investor in relation to a corporate event.

*Datatype:* "InvestorClassificationType1Code" on page 466

CodeName	Name	Definition
ELIG	Eligible	Investors eligible for participation in the event.
NLIG	NonEligible	Investors not eligible for participation in the event.
NQIB	NonQIB	Investors not eligible for participation in the event under US regulation.
OTHR	Other	Investors that may/may not be eligible to participate in the event as described by the issuer.

CodeName	Name	Definition
QUIB	QIB	Investors eligible for participation in the event as deemed qualified under US regulation.

#### 6.4.8.6.3.2 InvestorTypeIdentificationNarrative <InvstrTpIdNrrtv>

*Presence:* [0..1]

*Definition:* Information about the investor type identification.

*Datatype:* "Max1025Text" on page 486

#### 6.4.8.7 MeetingAttendee <MtgAttndee>

*Presence:* [0..\*]

*Definition:* Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.

**MeetingAttendee <MtgAttndee>** contains the following **IndividualPerson41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[0..1]			212
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		212
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		213
	<b>ParticipationMethod</b> <PrtcpnMtd>	[0..1]	CodeSet		214
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		214
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C15	215

##### 6.4.8.7.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

**Identification <Id>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		212
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		213

##### 6.4.8.7.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 6.4.8.7.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 6.4.8.7.2 ParticipationMethod <PrtcptnMtd>

*Presence:* [0..1]

*Definition:* Method of voting participation to the general meeting.

*Datatype:* "VotingParticipationMethod2Code" on page 481

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

#### 6.4.8.7.3 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

#### 6.4.8.7.4 AttendanceCardDetails <AttdncCardDtls>

*Presence:* [1..1]

*Definition:* Details related to the attendance card.

*Impacted by:* C15 "OtherAddressCode1Rule"

**AttendanceCardDetails <AttdncCardDtls>** contains the following elements (see "AttendanceCard3" on page 377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		377
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		377
	OtherAddress <OthrAdr>	[0..1]	±		377

#### Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

#### 6.4.8.8 SpecificInstructionRequest <SpcfcInstrReq>

*Presence:* [0..1]

*Definition:* Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

**SpecificInstructionRequest <SpcfcInstrReq>** contains the following **SpecificInstructionRequest4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcpnMtd>	[0..1]	±		216
	SecuritiesRegistration <ScitiesRegn>	[0..1]	Indicator		216

**6.4.8.8.1 ParticipationMethod <PrtcptnMtd>***Presence:* [0..1]*Definition:* Method of voting participation to the general meeting.**ParticipationMethod <PrtcptnMtd>** contains one of the following elements (see "[ParticipationMethod3Choice](#)" on page 364 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		364
Or}	Proprietary <Prtry>	[1..1]	±		364

**6.4.8.8.2 SecuritiesRegistration <SctiesRegn>***Presence:* [0..1]*Definition:* Indicates a request to register the securities for the meeting.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

**6.4.8.9 AdditionalDisclosureInformation <AddtlDscslrInf>***Presence:* [0..250]*Definition:* Information required by the issuer to be disclosed as part of a submitted instruction, that is neither the information related to the beneficiary holder, or the voting participant, or the proxy appointed by the issuer, or the attendee(s) participating to the meeting (without voting).**AdditionalDisclosureInformation <AddtlDscslrInf>** contains the following elements (see "[PartyIdentification338](#)" on page 425 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegalPerson <LglPrsn>	[0..1]	±		425
	BlockingReference <BlckgRef>	[0..1]	Text		425

**6.4.9 SupplementaryData <SplmtryData>***Presence:* [0..\*]*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.*Impacted by:* [C22 "SupplementaryDataRule"](#)**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369



**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 7 **seev.005.001.10**

## **MeetingInstructionCancellationRequestV10**

### 7.1 **MessageDefinition Functionality**

#### Scope

The MeetingInstructionCancellationRequest message is sent by the same party that sent the

MeetingInstruction message. It is sent to request the cancellation of one, some, or all of the instructions included in the original MeetingInstruction message.

#### Usage

This message must be answered by a MeetingInstructionStatus message.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingInstructionCancellationRequestV10 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

B. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

C. FinancialInstrumentIdentification

Security for which the meeting is organised.

D. ToBeCancelledInstruction

Specifies one or more instructions for which the cancellation is requested.

E. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrCxlReq>	[1..1]		C6	
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		222
	<b>MeetingReference</b> <MtgRef>	[1..1]			222
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		222
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		222
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		222
	<b>Type</b> <Tp>	[1..1]	CodeSet		223
	<b>Classification</b> <Clssfctn>	[0..1]	±		223
	<b>Location</b> <Lctn>	[0..5]	±		223
	<b>Issuer</b> <Issr>	[0..1]	±		224
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C8, C9, C11, C12, C13	224
	<b>ToBeCancelledInstruction</b> <ToBeCancInstr>	[0..*]			225
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		227
	<b>InstructedPosition</b> <InstdPos>	[0..1]		C1, C2, C3, C5, C14	227
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		229
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		229
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			230
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		230
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		230
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		231
	<b>InstructedBalance</b> <InstdBal>	[1..15]			231
	<b>Balance</b> <Bal>	[1..1]			232
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		232
	<b>Quantity</b> <Qty>	[1..1]		C10	232
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		233
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		233
Or	<b>DigitalTokenUnit</b> <DgtITknUnit>	[1..1]	Quantity		233

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		233
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		233
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		234
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			235
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			236
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			237
	<b>Name</b> <Nm>	[1..1]	Text		238
	<b>Address</b> <Adr>	[0..1]			238
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		240
	<b>Identification</b> <Id>	[0..1]	±		240
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		240
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C7	241
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		241
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	242

## 7.3 Constraints

### C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

### C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

### C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

**C4 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C5 BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

**C6 Cancellation3Guideline**

It is recommended that the request to cancel a meeting instruction be done with the use of MeetingInstructionIdentification only and that the optional elements are avoided, unless otherwise specified by market practice rules and or legislation. If any optional elements are included, they must be identical to the information in the meeting instruction message being cancelled.

*This constraint is defined at the MessageDefinition level.*

**C7 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C8 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C9 DescriptionUsageRule**

Description must be used alone as the last resort.

**C10 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C11 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C12 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C13 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C14 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 7.4.1 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

*Datatype:* "Max35Text" on page 487

### 7.4.2 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification &lt;MtgId&gt;</b>	[1..1]	Text		222
	<b>IssuerMeetingIdentification &lt;IssrMtgId&gt;</b>	[0..1]	Text		222
	<b>MeetingDateAndTime &lt;MtgDtAndTm&gt;</b>	[1..1]	DateTime		222
	<b>Type &lt;Tp&gt;</b>	[1..1]	CodeSet		223
	<b>Classification &lt;Clssfctn&gt;</b>	[0..1]	±		223
	<b>Location &lt;Lctn&gt;</b>	[0..5]	±		223
	<b>Issuer &lt;Issr&gt;</b>	[0..1]	±		224

#### 7.4.2.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

#### 7.4.2.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

#### 7.4.2.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODateTime" on page 482

**7.4.2.4 Type <Tp>***Presence:* [1..1]*Definition:* Type of meeting for which instructions are sent.*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

**7.4.2.5 Classification <Clssfctn>***Presence:* [0..1]*Definition:* Classification type of the meeting.**Classification <Clssfctn>** contains one of the following elements (see "MeetingTypeClassification2Choice" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

**7.4.2.6 Location <Lctn>***Presence:* [0..5]*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 7.4.2.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

### 7.4.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* C8 "DescriptionPresenceRule", C9 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C13 "OtherIdentificationPresenceRule"



**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrlId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

## 7.4.4 ToBeCancelledInstruction <ToBeCancelInstr>

*Presence:* [0..\*]

*Definition:* Specifies one or more instructions for which the cancellation is requested.

**ToBeCancelledInstruction** <ToBeCancInstr> contains the following **CancellInstruction5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		227
	<b>InstructedPosition</b> <InstdPos>	[0..1]		C1, C2, C3, C5, C14	227
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		229
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		229
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			230
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		230
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		230
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		231
	<b>InstructedBalance</b> <InstdBal>	[1..15]			231
	<b>Balance</b> <Bal>	[1..1]			232
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		232
	<b>Quantity</b> <Qty>	[1..1]		C10	232
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		233
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		233
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		233
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		233
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		233
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		234
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			235
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			236
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			237
	<b>Name</b> <Nm>	[1..1]	Text		238
	<b>Address</b> <Adr>	[0..1]			238
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		240
	<b>Identification</b> <Id>	[0..1]	±		240
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		240
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C7	241
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		241

#### 7.4.4.1 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction previously sent.

*Datatype:* "Max35Text" on page 487

#### 7.4.4.2 InstructedPosition <InstdPos>

*Presence:* [0..1]

*Definition:* Account and instructed positions to which the meeting instruction cancellation request applies.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2 "AccountIdentificationOrBlockChainAddress2Rule", C3 "AccountIdentificationOrBlockChainAddress3Rule", C5 "BalanceQall1Rule", C14 "RightsHolderMultiplicity1Guideline"

**InstructedPosition** <InstdPos> contains the following **SafekeepingAccount18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		229
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		229
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			230
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		230
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		230
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		231
	<b>InstructedBalance</b> <InstdBal>	[1..15]			231
	<b>Balance</b> <Bal>	[1..1]			232
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		232
	<b>Quantity</b> <Qty>	[1..1]		C10	232
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		233
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		233
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		233
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		233
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		233
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		234
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			235
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			236
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			237
	<b>Name</b> <Nm>	[1..1]	Text		238
	<b>Address</b> <Adr>	[0..1]			238
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		240

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[0..1]	±		240
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		240
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C7	241
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		241

**Constraints**

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True

/InstructedBalance[\*]/Balance/Quantity/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 7.4.4.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account between the account owner and the account servicer.

*Datatype:* "Max35Text" on page 487

#### 7.4.4.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

#### 7.4.4.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		230
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		230

##### 7.4.4.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

##### 7.4.4.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 7.4.4.2.4 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of a subaccount within the safekeeping account.

*Datatype:* "Max35Text" on page 487

#### 7.4.4.2.5 InstructedBalance <InstdBal>

*Presence:* [1..15]

*Definition:* Quantity of securities in the sub-balance.

**InstructedBalance <InstdBal>** contains the following **HoldingBalance15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance &lt;Bal&gt;</b>	[1..1]			232
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		232
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C10	232
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		233
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		233
Or	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		233
Or}	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		233
	<b>BalanceType &lt;BalTp&gt;</b>	[0..1]	CodeSet		233
	<b>SafekeepingPlace &lt;SfkpgPlc&gt;</b>	[0..1]	±		234

#### 7.4.4.2.5.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		232
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C10	232
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		233
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		233
Or	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		233
Or}	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		233

#### 7.4.4.2.5.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

#### 7.4.4.2.5.1.2 Quantity <Qty>

*Presence:* [1..1]



*Definition:* Quantity of securities.

*Impacted by:* C10 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		233
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		233
Or	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		233
Or}	<b>Code</b> <Cd>	[1..1]	CodeSet		233

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

##### 7.4.4.2.5.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

##### 7.4.4.2.5.1.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

##### 7.4.4.2.5.1.2.3 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

##### 7.4.4.2.5.1.2.4 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* "Quantity1Code" on page 472

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

##### 7.4.4.2.5.2 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 475

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 7.4.4.2.5.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 7.4.4.2.6 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			236
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			237
	<b>Name</b> <Nm>	[1..1]	Text		238
	<b>Address</b> <Adr>	[0..1]			238
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		240
	<b>Identification</b> <Id>	[0..1]	±		240
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		240
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C7	241
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		241

#### 7.4.4.2.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			237
	<b>Name</b> <Nm>	[1..1]	Text		238
	<b>Address</b> <Adr>	[0..1]			238
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		240
	<b>Identification</b> <Id>	[0..1]	±		240
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		240
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C7	241

#### 7.4.4.2.6.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		238
	<b>Address</b> <Adr>	[0..1]			238
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240

#### 7.4.4.2.6.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 7.4.4.2.6.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		239
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		239
	<b>StreetName</b> <StrtNm>	[0..1]	Text		239
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		239
	<b>PostBox</b> <PstBx>	[0..1]	Text		239
	<b>PostCode</b> <PstCd>	[0..1]	Text		239
	<b>TownName</b> <TwnNm>	[0..1]	Text		240
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		240
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C7	240

**7.4.4.2.6.1.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**7.4.4.2.6.1.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**7.4.4.2.6.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**7.4.4.2.6.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**7.4.4.2.6.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**7.4.4.2.6.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487

**7.4.4.2.6.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**7.4.4.2.6.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**7.4.4.2.6.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C7 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**7.4.4.2.6.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**7.4.4.2.6.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <ClnId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**7.4.4.2.6.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldId>***Presence:* [0..1]



*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **7.4.4.2.6.1.5 CountryOfIncorporation <CtryOfIncorprtn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C7 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **7.4.4.2.6.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		419

## 7.4.5 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* C15 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

### **Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 8 **seev.006.001.12** **MeetingInstructionStatusV12**

### 8.1 **MessageDefinition Functionality**

#### Scope

The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.

The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.

#### Usage

The MeetingInstructionStatus message is used for four purposes.

First, it is used to provide a global processing or rejection status of a MeetingInstruction message.

Second, it provides the status on the processing of a MeetingInstructionCancellationRequest message, for example, whether the request message is rejected or accepted.

Third, it is used to provide a detailed processing or rejection status of one or more instructions within the MeetingInstruction message, for example, for each instruction in the MeetingInstruction message the processing or rejection status is individually reported by using the SingleInstructionIdentification element. This identification allows the receiver of the status message to link the status confirmation to its original instruction.

Fourth, it is used to confirm that the related vote instruction has been confirmed as cast by the issuer or its agent.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingInstructionStatusV12 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. InstructionType  
Type of instruction.
- B. MeetingReference  
Set of elements to allow the unambiguous identification of a meeting.
- C. FinancialInstrumentIdentification  
Security for which the meeting is organised.
- D. InstructionTypeStatus  
Type of instruction status.
- E. Position  
Balance status for securities that are eligible for the vote.
- F. ConfirmingParty

Party that confirms the receipt of the vote cast.

G. VoteCastingParty

Party that casts the voting ballot.

H. RightsHolder

Owner of the voting rights.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrSts>	[1..1]		C18, C19	
	InstructionType <InstrTp>	[1..1]			250
{Or	InstructionIdentification <InstrId>	[1..1]	Text		250
Or}	InstructionCancellationIdentification <InstrCxId>	[1..1]	Text		250
	MeetingReference <MtgRef>	[1..1]			250
	MeetingIdentification <MtgId>	[1..1]	Text		251
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		251
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		251
	Type <Tp>	[1..1]	CodeSet		251
	Classification <Clssfctn>	[0..1]	±		252
	Location <Lctn>	[0..5]	±		252
	Issuer <Issr>	[0..1]	±		252
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C15, C16, C17	253
	InstructionTypeStatus <InstrTpSts>	[1..1]			254
{Or	InstructionStatus <InstrSts>	[1..*]		C20	254
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		255
	AccountIdentification <AcctId>	[0..1]	Text		255
	SubAccountIdentification <SubAcctId>	[0..1]	Text		255
	InstructionStatus <InstrSts>	[1..1]			256
{Or	ProcessingStatus <PrpgSts>	[1..1]	±	C9	256
Or	Rejected <Rjctd>	[1..1]	±		256
Or}	Pending <Pdg>	[1..1]			257
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		257
Or}	Reason <Rsn>	[1..*]		C5	257
	ReasonCode <RsnCd>	[1..1]	±		258
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		258
Or}	CancellationStatus <CxISts>	[1..1]	±		258

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Position</b> <Pos>	[0..1]		C1, C2, C3, C14	259
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		260
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		260
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			260
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		260
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		261
	<b>HoldingBalance</b> <HldgBal>	[1..3]			262
	<b>Balance</b> <Bal>	[1..1]			263
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		263
	<b>Quantity</b> <Qty>	[1..1]		C13	263
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		264
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		264
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		264
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		264
	<b>ConfirmingParty</b> <CnfrmgPty>	[1..1]			264
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		265
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		265
	<b>VoteCastingParty</b> <VoteCstgPty>	[1..1]			266
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		266
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		267
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			268
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			269
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			270
	<b>Name</b> <Nm>	[1..1]	Text		271
	<b>Address</b> <Adr>	[0..1]			271
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		272
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		272
	<b>StreetName</b> <StrtNm>	[0..1]	Text		272
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		272
	<b>PostBox</b> <PstBx>	[0..1]	Text		272
	<b>PostCode</b> <PstCd>	[0..1]	Text		272

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TownName</b> <TwnNm>	[0..1]	Text		273
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		273
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	273
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		273
	<b>Identification</b> <Id>	[0..1]	±		273
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		273
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	274
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		274
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C22	275

## 8.3 Constraints

### C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

### C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

### C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

### C4 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C5 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C6 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C7 AdditionalReasonInformationRule

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

### C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.



**C9 AttendanceCardNumber1Rule**

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

**C10 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C11 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C12 DescriptionUsageRule**

Description must be used alone as the last resort.

**C13 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C14 HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

**C15 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C16 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C17 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C18 Status1Rule**

If InstructionType/InstructionIdentification is present, then InstructionTypeStatus/InstructionStatus must be present.

On Condition

/InstructionType/InstructionIdentification is present

Following Must be True

/InstructionTypeStatus/InstructionStatus[\*] Must be present

*This constraint is defined at the MessageDefinition level.*

**C19 Status2Rule**

If InstructionType/InstructionCancellationIdentification is present, then InstructionTypeStatus/CancellationStatus must be present.

On Condition

/InstructionType/InstructionCancellationIdentification is present

Following Must be True

/InstructionTypeStatus/CancellationStatus Must be present

*This constraint is defined at the MessageDefinition level.*

**C20 SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

**C21 SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

**C22 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 8.4.1 InstructionType <InstrTp>

*Presence:* [1..1]

*Definition:* Type of instruction.

**InstructionType <InstrTp>** contains one of the following **InstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>InstructionIdentification</b> <InstrId>	[1..1]	Text		250
Or}	<b>InstructionCancellationIdentification</b> <InstrCxId>	[1..1]	Text		250

#### 8.4.1.1 InstructionIdentification <InstrId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction message for which the global status or the status(es) of one or more individual instructions are provided.

*Datatype:* "Max35Text" on page 487

#### 8.4.1.2 InstructionCancellationIdentification <InstrCxId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction cancellation request message for which the global status or the status(es) of one or more individual instruction cancellation requests are provided.

*Datatype:* "Max35Text" on page 487

### 8.4.2 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference** <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		251
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		251
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		251
	<b>Type</b> <Tp>	[1..1]	CodeSet		251
	<b>Classification</b> <Clssfctn>	[0..1]	±		252
	<b>Location</b> <Lctn>	[0..5]	±		252
	<b>Issuer</b> <Issr>	[0..1]	±		252

#### 8.4.2.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

#### 8.4.2.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

#### 8.4.2.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODateTime" on page 482

#### 8.4.2.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least

CodeName	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 8.4.2.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "MeetingTypeClassification2Choice" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

#### 8.4.2.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 8.4.2.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

### 8.4.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C15 "ISINGuideline", C16 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

## 8.4.4 InstructionTypeStatus <InstrTpSts>

*Presence:* [1..1]

*Definition:* Type of instruction status.

**InstructionTypeStatus <InstrTpSts>** contains one of the following **InstructionTypeStatus8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>InstructionStatus</b> <InstrSts>	[1..*]		C20	254
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		255
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		255
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		255
	<b>InstructionStatus</b> <InstrSts>	[1..1]			256
{Or	<b>ProcessingStatus</b> <PrcgSts>	[1..1]	±	C9	256
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		256
Or}	<b>Pending</b> <Pdg>	[1..1]			257
{Or	<b>NoSpecifiedReason</b> <NoSpctdRsn>	[1..1]	CodeSet		257
Or}	<b>Reason</b> <Rsn>	[1..*]		C5	257
	<b>ReasonCode</b> <RsnCd>	[1..1]	±		258
	<b>AdditionalReasonInformation</b> <AddtlRsnInf>	[0..1]	Text		258
Or}	<b>CancellationStatus</b> <CxISts>	[1..1]	±		258

### 8.4.4.1 InstructionStatus <InstrSts>

*Presence:* [1..\*]

*Definition:* Status of each individual meeting instruction(s) included in the instruction message identified in InstructionType/InstructionIdentification.

Impacted by: C20 "SubAccount1Rule"

InstructionStatus <InstrSts> contains the following DetailedInstructionStatus23 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		255
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		255
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		255
	<b>InstructionStatus</b> <InstrSts>	[1..1]			256
{Or	<b>ProcessingStatus</b> <PrpgSts>	[1..1]	±	C9	256
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		256
Or}	<b>Pending</b> <Pdg>	[1..1]			257
{Or	<b>NoSpecifiedReason</b> <NoSpctdRsn>	[1..1]	CodeSet		257
Or}	<b>Reason</b> <Rsn>	[1..*]		C5	257
	<b>ReasonCode</b> <RsnCd>	[1..1]	±		258
	<b>AdditionalReasonInformation</b> <AddtlRsnInf>	[0..1]	Text		258

#### Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

#### 8.4.4.1.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

*Definition:* Identification of the specific individual instruction from the original meeting instruction message element InstructionIdentification, for which the status is provided.

*Datatype:* "Max35Text" on page 487

#### 8.4.4.1.2 AccountIdentification <AcctId>

Presence: [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

#### 8.4.4.1.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

*Definition:* Identification of the subaccount within the safekeeping account.

*Datatype:* "Max35Text" on page 487

**8.4.4.1.4 InstructionStatus <InstrSts>***Presence:* [1..1]*Definition:* Status of the individual meeting instruction.**InstructionStatus <InstrSts>** contains one of the following **InstructionStatus14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessingStatus</b> <PrcgSts>	[1..1]	±	C9	256
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		256
Or}	<b>Pending</b> <Pdg>	[1..1]			257
{Or	<b>NoSpecifiedReason</b> <NoSpcfdRsn>	[1..1]	CodeSet		257
Or}	<b>Reason</b> <Rsn>	[1..*]		C5	257
	<b>ReasonCode</b> <RsnCd>	[1..1]	±		258
	<b>AdditionalReasonInformation</b> <AddtlRsnInf>	[0..1]	Text		258

**8.4.4.1.4.1 ProcessingStatus <PrcgSts>***Presence:* [1..1]*Definition:* Status advising on the processing of the instruction.*Impacted by:* C9 "AttendanceCardNumber1Rule"**ProcessingStatus <PrcgSts>** contains the following elements (see "InstructionProcessingStatus6" on page 361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		361
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		362
	AdditionalInformation <AddtlInf>	[0..1]	Text		362

**Constraints**• **AttendanceCardNumber1Rule**

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

/AttendanceCardNumber is present

Following Must be True

/Status Must be equal to value 'AttendanceConfirmed'

**8.4.4.1.4.2 Rejected <Rjctd>***Presence:* [1..1]*Definition:* Status advising on the rejection of the instruction and on the reason for the rejection.



**Rejected <Rjctd>** contains one of the following elements (see "[RejectedStatus66Choice](#)" on page 445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		446
Or}	Reason <Rsn>	[1..*]		C4	446
	ReasonCode <RsnCd>	[1..1]			446
{Or	Code <Cd>	[1..1]	CodeSet		446
Or}	Proprietary <Prtry>	[1..1]	±		448
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		448

#### 8.4.4.1.4.3 Pending <Pdg>

*Presence:* [1..1]

*Definition:* Status advising that the instruction is pending and advising on the reason for the pending status.

**Pending <Pdg>** contains one of the following **PendingStatus84Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		257
Or}	Reason <Rsn>	[1..*]		C5	257
	ReasonCode <RsnCd>	[1..1]	±		258
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		258

##### 8.4.4.1.4.3.1 NoSpecifiedReason <NoSpctdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* "[NoReasonCode](#)" on page 468

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

##### 8.4.4.1.4.3.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction message or the individual meeting instruction is pending.

*Impacted by:* [C5 "AdditionalReasonInformationRule"](#)

**Reason <Rsn>** contains the following **PendingStatusReason34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReasonCode</b> <RsnCd>	[1..1]	±		258
	<b>AdditionalReasonInformation</b> <AddtlRsnInf>	[0..1]	Text		258

#### Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 8.4.4.1.4.3.2.1 ReasonCode <RsnCd>

*Presence:* [1..1]

*Definition:* Reason for the pending status.

**ReasonCode <RsnCd>** contains one of the following elements (see "[PendingReason81Choice](#)" on page 452 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		452
Or}	Proprietary <Prtry>	[1..1]	±		452

#### 8.4.4.1.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the pending status.

*Datatype:* "[Max350Text](#)" on page 487

#### 8.4.4.2 CancellationStatus <CxlSts>

*Presence:* [1..1]

*Definition:* Status of the meeting instruction cancellation request message or of the individual meeting instruction cancellation request(s). The message is identified in InstructionType/ InstructionCancellationIdentification.

**CancellationStatus <CxISts>** contains one of the following elements (see "CancellationStatus34Choice" on page 453 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxISts>	[1..1]	±		453
Or}	DetailedCancellationStatus <DtldCxISts>	[1..*]		C21	453
	SingleInstructionCancellationIdentification <SnglInstrCxIld>	[1..1]	Text		454
	AccountIdentification <AcctId>	[0..1]	Text		454
	SubAccountIdentification <SubAcctId>	[0..1]	Text		454
	InstructionCancellationStatus <InstrCxISts>	[1..1]	±		454

## 8.4.5 Position <Pos>

*Presence:* [0..1]

*Definition:* Balance status for securities that are eligible for the vote.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2

"AccountIdentificationOrBlockChainAddress2Rule", C3

"AccountIdentificationOrBlockChainAddress3Rule", C14 "HoldingBalanceTypeRule"

**Position <Pos>** contains the following **EligiblePosition17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		260
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlIt>	[0..1]	Text		260
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			260
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		260
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		261
	<b>HoldingBalance</b> <HldgBal>	[1..3]			262
	<b>Balance</b> <Bal>	[1..1]			263
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		263
	<b>Quantity</b> <Qty>	[1..1]		C13	263
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		264
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		264
Or}	<b>DigitalTokenUnit</b> <DgtITknUnit>	[1..1]	Quantity		264
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		264

**Constraints**

- **AccountIdentificationOrBlockchainAddress1Rule**

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockchainAddressOrWallet Must be present

- **AccountIdentificationOrBlockchainAddress2Rule**

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockchainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockchainAddress3Rule**

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockchainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

**8.4.5.1 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

**8.4.5.2 BlockchainAddressOrWallet <BlckChainAdrOrWlIt>**

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

**8.4.5.3 AccountOwner <AcctOwnr>**

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		260
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		261

**8.4.5.3.1 LegalPerson <LglPrsn>**

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 8.4.5.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 8.4.5.4 HoldingBalance <HldgBal>

*Presence:* [1..3]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance &lt;Bal&gt;</b>	[1..1]			263
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		263
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C13	263
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		264
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		264
Or}	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		264
	<b>BalanceType &lt;BalTp&gt;</b>	[1..1]	CodeSet		264

#### 8.4.5.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		263
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C13	263
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		264
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		264
Or}	<b>DigitalTokenUnit &lt;DgtITknUnit&gt;</b>	[1..1]	Quantity		264

##### 8.4.5.4.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

##### 8.4.5.4.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

*Impacted by:* C13 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		264
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		264
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		264

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 8.4.5.4.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

#### 8.4.5.4.1.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

#### 8.4.5.4.1.2.3 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

#### 8.4.5.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType3Code" on page 476

CodeName	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.

## 8.4.6 ConfirmingParty <CnfrmgPty>

*Presence:* [1..1]



*Definition:* Party that confirms the receipt of the vote cast.

**ConfirmingParty** <CnfrmgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		265
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		265

#### 8.4.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson** <LglPrsn> contains the following elements (see "PartyIdentification224" on page 401 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			401
	Name <Nm>	[1..1]	Text		402
	Address <Adr>	[0..1]			402
	AddressType <AdrTp>	[0..1]	CodeSet		403
	AddressLine <AdrLine>	[0..5]	Text		403
	StreetName <StrtNm>	[0..1]	Text		403
	BuildingNumber <BldgNb>	[0..1]	Text		403
	PostBox <PstBx>	[0..1]	Text		403
	PostCode <PstCd>	[0..1]	Text		403
	TownName <TwnNm>	[0..1]	Text		404
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		404
	Country <Ctry>	[1..1]	CodeSet	C3	404
	EmailAddress <EmailAdr>	[0..1]	Text		404
	Identification <Id>	[0..1]	±		404

#### 8.4.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification222" on page 397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		398
	Surname <SrnM>	[1..1]	Text		398
	Address <Adr>	[0..1]			398
	AddressType <AdrTp>	[0..1]	CodeSet		399
	AddressLine <AdrLine>	[0..5]	Text		399
	StreetName <StrtNm>	[0..1]	Text		399
	BuildingNumber <BldgNb>	[0..1]	Text		399
	PostBox <PstBx>	[0..1]	Text		400
	PostCode <PstCd>	[0..1]	Text		400
	TownName <TwnNm>	[0..1]	Text		400
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		400
	Country <Ctry>	[1..1]	CodeSet	C3	400
	EmailAddress <EmailAdr>	[0..1]	Text		400
	Identification <Id>	[1..1]	±		400

## 8.4.7 VoteCastingParty <VoteCstgPty>

*Presence:* [1..1]

*Definition:* Party that casts the voting ballot.

**VoteCastingParty <VoteCstgPty>** contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		266
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..1]	±		267

### 8.4.7.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification224" on page 401 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			401
	Name <Nm>	[1..1]	Text		402
	Address <Adr>	[0..1]			402
	AddressType <AdrTp>	[0..1]	CodeSet		403
	AddressLine <AdrLine>	[0..5]	Text		403
	StreetName <StrtNm>	[0..1]	Text		403
	BuildingNumber <BldgNb>	[0..1]	Text		403
	PostBox <PstBx>	[0..1]	Text		403
	PostCode <PstCd>	[0..1]	Text		403
	TownName <TwnNm>	[0..1]	Text		404
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		404
	Country <Ctry>	[1..1]	CodeSet	C3	404
	EmailAddress <EmailAdr>	[0..1]	Text		404
	Identification <Id>	[0..1]	±		404

#### 8.4.7.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification222" on page 397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		398
	Surname <SrnM>	[1..1]	Text		398
	Address <Adr>	[0..1]			398
	AddressType <AdrTp>	[0..1]	CodeSet		399
	AddressLine <AdrLine>	[0..5]	Text		399
	StreetName <StrtNm>	[0..1]	Text		399
	BuildingNumber <BldgNb>	[0..1]	Text		399
	PostBox <PstBx>	[0..1]	Text		400
	PostCode <PstCd>	[0..1]	Text		400
	TownName <TwnNm>	[0..1]	Text		400
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		400
	Country <Ctry>	[1..1]	CodeSet	C3	400
	EmailAddress <EmailAdr>	[0..1]	Text		400
	Identification <Id>	[1..1]	±		400

## 8.4.8 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder** <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			269
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			270
	<b>Name</b> <Nm>	[1..1]	Text		271
	<b>Address</b> <Adr>	[0..1]			271
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		272
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		272
	<b>StreetName</b> <StrtNm>	[0..1]	Text		272
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		272
	<b>PostBox</b> <PstBx>	[0..1]	Text		272
	<b>PostCode</b> <PstCd>	[0..1]	Text		272
	<b>TownName</b> <TwnNm>	[0..1]	Text		273
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		273
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	273
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		273
	<b>Identification</b> <Id>	[0..1]	±		273
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		273
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	274
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		274

#### 8.4.8.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			270
	<b>Name</b> <Nm>	[1..1]	Text		271
	<b>Address</b> <Adr>	[0..1]			271
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		272
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		272
	<b>StreetName</b> <StrtNm>	[0..1]	Text		272
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		272
	<b>PostBox</b> <PstBx>	[0..1]	Text		272
	<b>PostCode</b> <PstCd>	[0..1]	Text		272
	<b>TownName</b> <TwnNm>	[0..1]	Text		273
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		273
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C10	273
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		273
	<b>Identification</b> <Id>	[0..1]	±		273
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		273
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C10	274

#### 8.4.8.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		271
	<b>Address &lt;Adr&gt;</b>	[0..1]			271
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		272
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		272
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		272
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		272
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		272
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		272
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		273
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		273
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C10	273

#### 8.4.8.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 8.4.8.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		272
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		272
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		272
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		272
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		272
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		272
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		273
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		273
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C10	273

**8.4.8.1.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**8.4.8.1.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**8.4.8.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**8.4.8.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**8.4.8.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**8.4.8.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487



**8.4.8.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**8.4.8.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**8.4.8.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C10 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**8.4.8.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**8.4.8.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <ClnId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**8.4.8.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldId>***Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **8.4.8.1.5 CountryOfIncorporation <CtryOfIncorprrn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C10 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **8.4.8.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		419

## 8.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* C22 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 9 **seev.007.001.12**

## **MeetingVoteExecutionConfirmationV12**

### 9.1 **MessageDefinition Functionality**

#### Scope

The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent, or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.

#### Usage

This message is sent after the shareholders meeting has taken place. The Sender of this message confirms the execution of the vote at the meeting.

This messages is sent if the Sender of the MeetingInstruction message has requested such a confirmation or if market practice or regulation stipulates the need for a full audit trail.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingVoteExecutionConfirmationV12 MessageDefinition is composed of 8 MessageBuildingBlocks:

##### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

##### B. VoteExecutionConfirmationIdentification

Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

##### C. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the vote execution confirmation is provided.

##### D. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

##### E. FinancialInstrumentIdentification

Security for which the meeting is organised.

##### F. VoteInstructions

Specifies how a party has voted for each agenda item.

##### G. VoteInstructionsConfirmationURLAddress

Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

#### H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgVoteExctnConf>	[1..1]		C8	
	<b>Pagination</b> <Pgntn>	[0..1]	±		282
	<b>VoteExecutionConfirmationIdentification</b> <VoteExctnConfId>	[0..1]	Text		282
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		282
	<b>MeetingReference</b> <MtgRef>	[1..1]			282
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		283
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		283
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		283
	<b>Type</b> <Tp>	[1..1]	CodeSet		283
	<b>Classification</b> <Clssfctn>	[0..1]	±		284
	<b>Location</b> <Lctn>	[0..5]	±		284
	<b>Issuer</b> <Issr>	[0..1]	±		284
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	285
	<b>VoteInstructions</b> <VoteInstrs>	[0..*]		C9, C10	286
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		288
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		289
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			289
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		289
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		290
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		291
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			291
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			292
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			293
	<b>Name</b> <Nm>	[1..1]	Text		294
	<b>Address</b> <Adr>	[0..1]			294
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		295
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		295
	<b>StreetName</b> <StrtNm>	[0..1]	Text		295
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		295

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostBox</b> <PstBx>	[0..1]	Text		295
	<b>PostCode</b> <PstCd>	[0..1]	Text		295
	<b>TownName</b> <TwnNm>	[0..1]	Text		296
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		296
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	296
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		296
	<b>Identification</b> <Id>	[0..1]	±		296
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		296
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C2	297
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		297
	<b>Proxy</b> <Prxy>	[0..1]			298
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		298
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		299
	<b>StandingInstruction</b> <StglInstr>	[0..1]	Indicator		300
	<b>ModalityOfCounting</b> <ModltyOfCntg>	[1..1]	±		300
	<b>VoteReceiptDateTime</b> <VoteRctDtTm>	[0..1]	±		301
	<b>VotePerResolution</b> <VotePerRsln>	[0..1000]			301
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		302
	<b>Description</b> <Desc>	[0..*]			302
	<b>Language</b> <Lang>	[1..1]	CodeSet		303
	<b>Title</b> <Titl>	[0..1]	Text		303
	<b>Description</b> <Desc>	[0..*]	Text		303
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		303
	<b>For</b> <For>	[0..1]	±		303
	<b>Against</b> <Agnst>	[0..1]	±		304
	<b>Abstain</b> <Abstn>	[0..1]	±		304
	<b>Withhold</b> <Withhld>	[0..1]	±		304
	<b>WithManagement</b> <WithMgmt>	[0..1]	±		304
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		305
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		305
	<b>OneYear</b> <OneYr>	[0..1]	±		305



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		305
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		306
	<b>NoAction</b> <NoActn>	[0..1]	±		306
	<b>Blank</b> <Blnk>	[0..1]	±		306
	<b>Proprietary</b> <Prtry>	[0..4]	±		306
	<b>Withdrawn</b> <Wdrwn>	[0..1]	Indicator		307
	<b>VoteInstructionsConfirmationURLAddress</b> <VoteInstrsConfURLAdr>	[0..1]	Text		307
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C11	307

## 9.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C4 DescriptionUsageRule

Description must be used alone as the last resort.

### C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C8 PaginationRule

If pagination is present, then VoteExecutionConfirmationIdentification must be present.

On Condition

/Pagination is present

Following Must be True

/VoteExecutionConfirmationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

#### **C9 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### **C10 SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

#### **C11 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **9.4 Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### **9.4.1 Pagination <Pgntn>**

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

**Pagination <Pgntn>** contains the following elements (see "[Pagination1](#)" on page 363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		363
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		363

### **9.4.2 VoteExecutionConfirmationIdentification <VoteExctnConfld>**

*Presence:* [0..1]

*Definition:* Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

*Datatype:* "[Max35Text](#)" on page 487

### **9.4.3 MeetingInstructionIdentification <MtgInstrld>**

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction message for which the vote execution confirmation is provided.

*Datatype:* "[Max35Text](#)" on page 487

### **9.4.4 MeetingReference <MtgRef>**

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		283
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		283
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		283
	<b>Type</b> <Tp>	[1..1]	CodeSet		283
	<b>Classification</b> <Clssfctn>	[0..1]	±		284
	<b>Location</b> <Lctn>	[0..5]	±		284
	<b>Issuer</b> <Issr>	[0..1]	±		284

#### 9.4.4.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

#### 9.4.4.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

#### 9.4.4.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODateTime" on page 482

#### 9.4.4.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least

CodeName	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 9.4.4.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "MeetingTypeClassification2Choice" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

#### 9.4.4.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 9.4.4.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

## 9.4.5 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

## 9.4.6 **VoteInstructions <VoteInstrs>**

*Presence:* [0..\*]

*Definition:* Specifies how a party has voted for each agenda item.

*Impacted by:* C9 "RightsHolderMultiplicity1Guideline", C10 "SubAccount1Rule"

**VoteInstructions <VoteInstrs>** contains the following **DetailedInstructionStatus22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		288
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		289
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			289
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		289
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		290
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		291
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			291
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			292
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			293
	<b>Name</b> <Nm>	[1..1]	Text		294
	<b>Address</b> <Adr>	[0..1]			294
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		295
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		295
	<b>StreetName</b> <StrtNm>	[0..1]	Text		295
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		295
	<b>PostBox</b> <PstBx>	[0..1]	Text		295
	<b>PostCode</b> <PstCd>	[0..1]	Text		295
	<b>TownName</b> <TwnNm>	[0..1]	Text		296
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		296
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	296
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		296
	<b>Identification</b> <Id>	[0..1]	±		296
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		296
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C2	297
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		297
	<b>Proxy</b> <Prxy>	[0..1]			298
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		298
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		299
	<b>StandingInstruction</b> <StglInstr>	[0..1]	Indicator		300
	<b>ModalityOfCounting</b> <ModltyOfCntg>	[1..1]	±		300

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>VoteReceiptDateTime</b> <VoteRctDtTm>	[0..1]	±		301
	<b>VotePerResolution</b> <VotePerRsItm>	[0..1000]			301
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		302
	<b>Description</b> <Desc>	[0..*]			302
	<b>Language</b> <Lang>	[1..1]	CodeSet		303
	<b>Title</b> <Titl>	[0..1]	Text		303
	<b>Description</b> <Desc>	[0..*]	Text		303
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		303
	<b>For</b> <For>	[0..1]	±		303
	<b>Against</b> <Agnst>	[0..1]	±		304
	<b>Abstain</b> <Abstn>	[0..1]	±		304
	<b>Withhold</b> <Wthhld>	[0..1]	±		304
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		304
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		305
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		305
	<b>OneYear</b> <OneYr>	[0..1]	±		305
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		305
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		306
	<b>NoAction</b> <NoActn>	[0..1]	±		306
	<b>Blank</b> <Blnk>	[0..1]	±		306
	<b>Proprietary</b> <Prtry>	[0..4]	±		306
	<b>Withdrawn</b> <Wdrwn>	[0..1]	Indicator		307

**Constraints**

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

**9.4.6.1 SingleInstructionIdentification <SnglInstrId>**

Presence: [1..1]



*Definition:* Identification of the specific individual instruction from the original meeting instruction message for which the confirmation is provided.

*Datatype:* "Max35Text" on page 487

#### 9.4.6.2 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the safekeeping account.

*Datatype:* "Max35Text" on page 487

#### 9.4.6.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		289
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		290

##### 9.4.6.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 9.4.6.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 9.4.6.4 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of the subaccount within the safekeeping account.

*Datatype:* "Max35Text" on page 487

#### 9.4.6.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder** <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			292
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			293
	<b>Name</b> <Nm>	[1..1]	Text		294
	<b>Address</b> <Adr>	[0..1]			294
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		295
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		295
	<b>StreetName</b> <StrtNm>	[0..1]	Text		295
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		295
	<b>PostBox</b> <PstBx>	[0..1]	Text		295
	<b>PostCode</b> <PstCd>	[0..1]	Text		295
	<b>TownName</b> <TwnNm>	[0..1]	Text		296
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		296
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	296
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		296
	<b>Identification</b> <Id>	[0..1]	±		296
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		296
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C2	297
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		297

#### 9.4.6.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			293
	<b>Name</b> <Nm>	[1..1]	Text		294
	<b>Address</b> <Adr>	[0..1]			294
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		295
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		295
	<b>StreetName</b> <StrtNm>	[0..1]	Text		295
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		295
	<b>PostBox</b> <PstBx>	[0..1]	Text		295
	<b>PostCode</b> <PstCd>	[0..1]	Text		295
	<b>TownName</b> <TwnNm>	[0..1]	Text		296
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		296
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	296
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		296
	<b>Identification</b> <Id>	[0..1]	±		296
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		296
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C2	297

#### 9.4.6.5.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		294
	<b>Address &lt;Adr&gt;</b>	[0..1]			294
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		295
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		295
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		295
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		295
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		295
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		295
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		296
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		296
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C2	296

#### 9.4.6.5.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 9.4.6.5.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		295
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		295
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		295
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		295
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		295
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		295
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		296
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		296
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C2	296

**9.4.6.5.1.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**9.4.6.5.1.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**9.4.6.5.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**9.4.6.5.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**9.4.6.5.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**9.4.6.5.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487

**9.4.6.5.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**9.4.6.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**9.4.6.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**9.4.6.5.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**9.4.6.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <ClnId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**9.4.6.5.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>***Presence:* [0..1]



*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **9.4.6.5.1.5 CountryOfIncorporation <CtryOfIncorprtn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C2 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **9.4.6.5.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <Srm>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		419

#### 9.4.6.6 Proxy <Prxy>

*Presence:* [0..1]

*Definition:* Identification of the person appointed by the security holder as the proxy.

**Proxy <Prxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		298
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		299

##### 9.4.6.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 9.4.6.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 9.4.6.7 StandingInstruction <StglInstr>

*Presence:* [0..1]

*Definition:* Indicates whether standing instructions have been applied or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 9.4.6.8 ModalityOfCounting <ModltyOfCntg>

*Presence:* [1..1]

*Definition:* Modality through which the votes that have been recorded and counted were received by the issuer, including whether this is ahead of the meeting or at the meeting.

**ModalityOfCounting <ModltyOfCntg>** contains one of the following elements (see "ModalityOfCounting1Choice" on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		370
Or}	Proprietary <Prtry>	[1..1]	±		371

#### 9.4.6.9 VoteReceiptDateTime <VoteRctDtTm>

*Presence:* [0..1]

*Definition:* Date or date and time at which the votes that have been recorded and counted were received.

**VoteReceiptDateTime <VoteRctDtTm>** contains one of the following elements (see "DateAndDateTime1Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		346
Or}	DateTime <DtTm>	[1..1]	DateTime		346

#### 9.4.6.10 VotePerResolution <VotePerRsln>

*Presence:* [0..1000]

*Definition:* Details of the vote.

**VotePerResolution <VotePerRsIttn>** contains the following **Vote22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		302
	<b>Description</b> <Desc>	[0..*]			302
	<b>Language</b> <Lang>	[1..1]	CodeSet		303
	<b>Title</b> <Titl>	[0..1]	Text		303
	<b>Description</b> <Desc>	[0..*]	Text		303
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		303
	<b>For</b> <For>	[0..1]	±		303
	<b>Against</b> <Agnst>	[0..1]	±		304
	<b>Abstain</b> <Abstn>	[0..1]	±		304
	<b>Withhold</b> <Wthhld>	[0..1]	±		304
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		304
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		305
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		305
	<b>OneYear</b> <OneYr>	[0..1]	±		305
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		305
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		306
	<b>NoAction</b> <NoActn>	[0..1]	±		306
	<b>Blank</b> <Blnk>	[0..1]	±		306
	<b>Proprietary</b> <Prtry>	[0..4]	±		306
	<b>Withdrawn</b> <Wdrwn>	[0..1]	Indicator		307

#### 9.4.6.10.1 IssuerLabel <IssrLbl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* "Max35Text" on page 487

#### 9.4.6.10.2 Description <Desc>

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.

**Description <Desc>** contains the following **ItemDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		303
	<b>Title</b> <Titl>	[0..1]	Text		303
	<b>Description</b> <Desc>	[0..*]	Text		303

#### 9.4.6.10.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 466

#### 9.4.6.10.2.2 Title <Titl>

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* "Max1025Text" on page 486

#### 9.4.6.10.2.3 Description <Desc>

*Presence:* [0..\*]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* "Max8000Text" on page 489

#### 9.4.6.10.3 SubStatus <SubSts>

*Presence:* [0..1]

*Definition:* Specifies whether the resolution is a new or an amended resolution.

*Datatype:* "ResolutionSubStatus1Code" on page 474

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

#### 9.4.6.10.4 For <For>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in favour is instructed.

**For <For>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.5 Against <Agnst>***Presence:* [0..1]*Definition:* Number of securities for which vote against is instructed.**Against <Agnst>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.6 Abstain <Abstn>***Presence:* [0..1]*Definition:* Number of securities for which vote to abstain is instructed.**Abstain <Abstn>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.7 Withhold <Wthhld>***Presence:* [0..1]*Definition:* Number of securities for which vote to withhold is instructed.**Withhold <Wthhld>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.8 WithManagement <WthMgmt>***Presence:* [0..1]*Definition:* Number of securities for which vote in line with the votes of the management is instructed.**WithManagement <WthMgmt>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444



**9.4.6.10.9 AgainstManagement <AgnstMgmt>***Presence:* [0..1]*Definition:* Number of securities for which vote against the voting recommendation of the management is instructed.**AgainstManagement <AgnstMgmt>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.10 Discretionary <Dscrtnry>***Presence:* [0..1]*Definition:* Number of securities for which decision is left to the party that will exercise the voting right.**Discretionary <Dscrtnry>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.11 OneYear <OneYr>***Presence:* [0..1]*Definition:* Number of securities for which vote in favour for one year for 'say on pay' type of resolution is instructed.**OneYear <OneYr>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

**9.4.6.10.12 TwoYears <TwoYrs>***Presence:* [0..1]*Definition:* Number of securities for which vote in favour of two years for 'say on pay' type of resolution is instructed.

**TwoYears <TwoYrs>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 9.4.6.10.13 ThreeYears <ThreeYrs>

*Presence:* [0..1]

*Definition:* Number of securities for which vote in favour of three years for 'say on pay' type of resolution is instructed.

**ThreeYears <ThreeYrs>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 9.4.6.10.14 NoAction <NoActn>

*Presence:* [0..1]

*Definition:* Number of votes for which no action has been taken.

**NoAction <NoActn>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 9.4.6.10.15 Blank <Blnk>

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

**Blank <Blnk>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 9.4.6.10.16 Proprietary <Prtry>

*Presence:* [0..4]

*Definition:* Other type of vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryVote2](#)" on page 371 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		371
	Quantity <Qty>	[1..1]	±		371

#### 9.4.6.10.17 Withdrawn <Wdrwn>

*Presence:* [0..1]

*Definition:* Indicates the resolution was withdrawn at the meeting.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 9.4.7 VoteInstructionsConfirmationURLAddress <VoteInstrsConfURLAdr>

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

*Datatype:* "[Max2048Text](#)" on page 487

### 9.4.8 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C11 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 10 **seev.008.001.10**

## **MeetingResultDisseminationV10**

### 10.1 **MessageDefinition Functionality**

#### Scope

The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder, or to a beneficial holder to provide information on the voting results of a shareholders meeting.

#### Usage

The MeetingResultDissemination message is used to provide the vote results per resolution. It may also provide information on the level of participation.

This message is also used to notify an update or amendment to a previously sent MeetingResultDissemination message.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingResultDisseminationV10 MessageDefinition is composed of 10 MessageBuildingBlocks:

##### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

##### B. MeetingResultDisseminationIdentification

Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

##### C. MeetingResultsDisseminationType

Type of dissemination report, that is, whether the report is new or a replacement.

##### D. PreviousMeetingResultsDisseminationIdentification

Identification of the previously sent meeting result dissemination message.

##### E. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

##### F. Security

Securities for which the meeting is organised.

##### G. VoteResult

Results per resolution.

##### H. Participation

Information about the participation to the voting process.

I. AdditionalInformation

Information on where additional information can be received.

J. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgRsltDssmntn>	[1..1]		C13, C14	
	Pagination <Pgntn>	[0..1]	±		314
	MeetingResultDisseminationIdentification <MtgRsltDssmntnId>	[0..1]	Text		314
	MeetingResultsDisseminationType <MtgRsltsDssmntnTp>	[1..1]	CodeSet		314
	PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsltsDssmntnId>	[0..1]	Text		314
	MeetingReference <MtgRef>	[1..1]			315
	MeetingIdentification <MtglId>	[1..1]	Text		315
	IssuerMeetingIdentification <IssrMtglId>	[0..1]	Text		315
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		315
	Type <Tp>	[1..1]	CodeSet		315
	Classification <Clssfctn>	[0..1]	±		316
	Location <Lctn>	[0..5]	±		316
	Issuer <Issr>	[0..1]	±		317
	Security <Scty>	[1..200]			317
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	319
	Position <Pos>	[0..1000]		C1, C2, C3, C9, C15	320
	AccountIdentification <AcctId>	[0..1]	Text		322
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		322
	AccountOwner <AcctOwnr>	[0..1]			322
{Or	LegalPerson <LglPrsn>	[1..1]	±		323
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		323
	HoldingBalance <HldgBal>	[0..15]			324
	Balance <Bal>	[1..1]			325
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		325
	Quantity <Qty>	[1..1]		C8	325
{Or	Unit <Unit>	[1..1]	Quantity		326

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		326
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		326
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		326
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		327
	<b>Date</b> <Dt>	[0..1]	Date		328
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			328
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			329
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			330
	<b>Name</b> <Nm>	[1..1]	Text		331
	<b>Address</b> <Adr>	[0..1]			331
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		332
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		332
	<b>StreetName</b> <StrtNm>	[0..1]	Text		332
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		332
	<b>PostBox</b> <PstBx>	[0..1]	Text		332
	<b>PostCode</b> <PstCd>	[0..1]	Text		332
	<b>TownName</b> <TwnNm>	[0..1]	Text		333
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	333
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		333
	<b>Identification</b> <Id>	[0..1]	±		333
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		333
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	334
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		334
	<b>VoteResult</b> <VoteRslt>	[1..1000]			335
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		336
	<b>Description</b> <Desc>	[0..*]			336
	<b>Language</b> <Lang>	[1..1]	CodeSet		337
	<b>Title</b> <Titl>	[0..1]	Text		337
	<b>Description</b> <Desc>	[0..*]	Text		337
	<b>ResolutionStatus</b> <RsltnSts>	[1..1]	CodeSet		337

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		337
	<b>For</b> <For>	[0..1]	±		338
	<b>Against</b> <Agnst>	[0..1]	±		338
	<b>Abstain</b> <Abstn>	[0..1]	±		338
	<b>Withhold</b> <Wthhld>	[0..1]	±		338
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		339
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		339
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		339
	<b>OneYear</b> <OneYr>	[0..1]	±		339
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		340
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		340
	<b>NoAction</b> <NoActn>	[0..1]	±		340
	<b>Blank</b> <Blnk>	[0..1]	±		340
	<b>Proprietary</b> <Prtry>	[0..4]	±		341
	<b>Participation</b> <Prtcptn>	[0..1]			341
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		341
	<b>PercentageOfVotingRights</b> <PctgOfVtngRghts>	[0..1]	Rate		341
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfScitiesOutsdng>	[0..1]	±		342
	<b>CalculationDate</b> <ClctnDt>	[0..1]	Date		342
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	±		342
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C16	342

## 10.3 Constraints

### C1 AccountIdentificationOrBlockChainAddress1Rule

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

### C2 AccountIdentificationOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

### C3 AccountIdentificationOrBlockChainAddress3Rule

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.



**C4 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C5 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C6 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C7 DescriptionUsageRule**

Description must be used alone as the last resort.

**C8 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C9 HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

**C10 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C11 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C12 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C13 PaginationRule**

If pagination is present, then MeetingResultDisseminationIdentification must be present.

On Condition

/Pagination is present

Following Must be True

/MeetingResultDisseminationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C14 PreviousMeetingResultsDisseminationIdentificationRule**

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present.

On Condition

/MeetingResultsDisseminationType is equal to value 'Replacement'

Following Must be True

/PreviousMeetingResultsDisseminationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C15 RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C16 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 10.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		363
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		363

### 10.4.2 MeetingResultDisseminationIdentification <MtgRsItDssmntnId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

*Datatype:* "Max35Text" on page 487

### 10.4.3 MeetingResultsDisseminationType <MtgRsItsDssmntnTp>

*Presence:* [1..1]

*Definition:* Type of dissemination report, that is, whether the report is new or a replacement.

*Datatype:* "NotificationType2Code" on page 469

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

### 10.4.4 PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmntnId>

*Presence:* [0..1]

*Definition:* Identification of the previously sent meeting result dissemination message.

*Datatype:* "Max35Text" on page 487

## 10.4.5 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		315
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		315
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		315
	<b>Type</b> <Tp>	[1..1]	CodeSet		315
	<b>Classification</b> <Clssfctn>	[0..1]	±		316
	<b>Location</b> <Lctn>	[0..5]	±		316
	<b>Issuer</b> <Issr>	[0..1]	±		317

### 10.4.5.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* "Max35Text" on page 487

### 10.4.5.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* "Max35Text" on page 487

### 10.4.5.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* "ISODateTime" on page 482

### 10.4.5.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* "MeetingType4Code" on page 467

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is

CodeName	Name	Definition
		extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 10.4.5.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

#### 10.4.5.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 10.4.5.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

#### 10.4.6 Security <Scty>

*Presence:* [1..200]

*Definition:* Securities for which the meeting is organised.

**Security <Scty>** contains the following **SecurityPosition22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	319
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C15	320
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		322
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		322
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			322
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		323
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		323
	<b>HoldingBalance</b> <HldgBal>	[0..15]			324
	<b>Balance</b> <Bal>	[1..1]			325
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		325
	<b>Quantity</b> <Qty>	[1..1]		C8	325
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		326
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		326
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		326
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		326
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		327
	<b>Date</b> <Dt>	[0..1]	Date		328
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			328
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			329
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			330
	<b>Name</b> <Nm>	[1..1]	Text		331
	<b>Address</b> <Adr>	[0..1]			331
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		332
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		332
	<b>StreetName</b> <StrtNm>	[0..1]	Text		332
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		332
	<b>PostBox</b> <PstBx>	[0..1]	Text		332
	<b>PostCode</b> <PstCd>	[0..1]	Text		332

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TownName</b> <TwnNm>	[0..1]	Text		333
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	333
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		333
	<b>Identification</b> <Id>	[0..1]	±		333
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		333
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	334
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		334

#### 10.4.6.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C10 "ISINGuideline", C11 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 10.4.6.2 Position <Pos>

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 "AccountIdentificationOrBlockChainAddress1Rule", C2

"AccountIdentificationOrBlockChainAddress2Rule", C3

"AccountIdentificationOrBlockChainAddress3Rule", C9 "HoldingBalanceTypeRule", C15

"RightsHolderMultiplicity1Guideline"



**Position <Pos>** contains the following **EligiblePosition20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		322
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		322
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			322
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		323
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		323
	<b>HoldingBalance</b> <HldgBal>	[0..15]			324
	<b>Balance</b> <Bal>	[1..1]			325
	<b>ShortLongPosition</b> <ShrtLngPos>	[1..1]	CodeSet		325
	<b>Quantity</b> <Qty>	[1..1]		C8	325
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		326
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		326
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		326
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		326
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		327
	<b>Date</b> <Dt>	[0..1]	Date		328
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			328
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			329
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			330
	<b>Name</b> <Nm>	[1..1]	Text		331
	<b>Address</b> <Adr>	[0..1]			331
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		332
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		332
	<b>StreetName</b> <StrtNm>	[0..1]	Text		332
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		332
	<b>PostBox</b> <PstBx>	[0..1]	Text		332
	<b>PostCode</b> <PstCd>	[0..1]	Text		332
	<b>TownName</b> <TwnNm>	[0..1]	Text		333
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	333
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		333
	<b>Identification</b> <Id>	[0..1]	±		333

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		333
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	334
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		334

#### Constraints

- **AccountIdentificationOrBlockChainAddress1Rule**

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

- **AccountIdentificationOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent

- **AccountIdentificationOrBlockChainAddress3Rule**

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 10.4.6.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

#### 10.4.6.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* "Max140Text" on page 486

#### 10.4.6.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		323
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		323

#### 10.4.6.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "[PartyIdentification221](#)" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 10.4.6.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 10.4.6.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance &lt;Bal&gt;</b>	[1..1]			325
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		325
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C8	325
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		326
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		326
Or}	<b>DigitalTokenUnit &lt;DgtlTknUnit&gt;</b>	[1..1]	Quantity		326
	<b>BalanceType &lt;BalTp&gt;</b>	[1..1]	CodeSet		326
	<b>SafekeepingPlace &lt;SfkpgPlc&gt;</b>	[0..1]	±		327
	<b>Date &lt;Dt&gt;</b>	[0..1]	Date		328

#### 10.4.6.2.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following **SignedQuantityFormat14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShortLongPosition &lt;ShrtLngPos&gt;</b>	[1..1]	CodeSet		325
	<b>Quantity &lt;Qty&gt;</b>	[1..1]		C8	325
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		326
Or	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount		326
Or}	<b>DigitalTokenUnit &lt;DgtlTknUnit&gt;</b>	[1..1]	Quantity		326

#### 10.4.6.2.4.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* "ShortLong1Code" on page 477

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

#### 10.4.6.2.4.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

Impacted by: C8 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		326
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount		326
Or}	<b>DigitalTokenUnit</b> <DgtlTknUnit>	[1..1]	Quantity		326

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 10.4.6.2.4.1.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 484

#### 10.4.6.2.4.1.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 459

#### 10.4.6.2.4.1.2.3 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 485

#### 10.4.6.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* "SecuritiesEntryType2Code" on page 475

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.

CodeName	Name	Definition
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 10.4.6.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 10.4.6.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* "ISODate" on page 482

#### 10.4.6.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.



**RightsHolder** <RghtsHldr> contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]			329
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			330
	<b>Name</b> <Nm>	[1..1]	Text		331
	<b>Address</b> <Adr>	[0..1]			331
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		332
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		332
	<b>StreetName</b> <StrtNm>	[0..1]	Text		332
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		332
	<b>PostBox</b> <PstBx>	[0..1]	Text		332
	<b>PostCode</b> <PstCd>	[0..1]	Text		332
	<b>TownName</b> <TwnNm>	[0..1]	Text		333
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	333
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		333
	<b>Identification</b> <Id>	[0..1]	±		333
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrid>	[0..1]	Text		333
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	334
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		334

#### 10.4.6.2.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following **PartyIdentification269** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NameAndAddress</b> <NmAndAdr>	[1..1]			330
	<b>Name</b> <Nm>	[1..1]	Text		331
	<b>Address</b> <Adr>	[0..1]			331
	<b>AddressType</b> <AdrTp>	[0..1]	CodeSet		332
	<b>AddressLine</b> <AdrLine>	[0..5]	Text		332
	<b>StreetName</b> <StrtNm>	[0..1]	Text		332
	<b>BuildingNumber</b> <BldgNb>	[0..1]	Text		332
	<b>PostBox</b> <PstBx>	[0..1]	Text		332
	<b>PostCode</b> <PstCd>	[0..1]	Text		332
	<b>TownName</b> <TwnNm>	[0..1]	Text		333
	<b>CountrySubDivision</b> <CtrySubDvsn>	[0..1]	Text		333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C5	333
	<b>EmailAddress</b> <EmailAdr>	[0..1]	Text		333
	<b>Identification</b> <Id>	[0..1]	±		333
	<b>CompanyRegisterShareholderIdentification</b> <CpnyRegrShrhldrId>	[0..1]	Text		333
	<b>CountryOfIncorporation</b> <CtryOfIncorprtn>	[0..1]	CodeSet	C5	334

#### 10.4.6.2.5.1.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		331
	<b>Address &lt;Adr&gt;</b>	[0..1]			331
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		332
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		332
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		332
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		332
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		332
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		332
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		333
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		333
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C5	333

#### 10.4.6.2.5.1.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 10.4.6.2.5.1.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AddressType &lt;AdrTp&gt;</b>	[0..1]	CodeSet		332
	<b>AddressLine &lt;AdrLine&gt;</b>	[0..5]	Text		332
	<b>StreetName &lt;StrtNm&gt;</b>	[0..1]	Text		332
	<b>BuildingNumber &lt;BldgNb&gt;</b>	[0..1]	Text		332
	<b>PostBox &lt;PstBx&gt;</b>	[0..1]	Text		332
	<b>PostCode &lt;PstCd&gt;</b>	[0..1]	Text		332
	<b>TownName &lt;TwnNm&gt;</b>	[0..1]	Text		333
	<b>CountrySubDivision &lt;CtrySubDvsn&gt;</b>	[0..1]	Text		333
	<b>Country &lt;Ctry&gt;</b>	[1..1]	CodeSet	C5	333

**10.4.6.2.5.1.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**10.4.6.2.5.1.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**10.4.6.2.5.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**10.4.6.2.5.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**10.4.6.2.5.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**10.4.6.2.5.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487

**10.4.6.2.5.1.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**10.4.6.2.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**10.4.6.2.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C5 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**10.4.6.2.5.1.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**10.4.6.2.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <CIntId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**10.4.6.2.5.1.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldId>***Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### **10.4.6.2.5.1.5 CountryOfIncorporation <CtryOfIncorprtn>**

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* C5 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **10.4.6.2.5.2 NaturalPerson <NtrlPrsn>**

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification250" on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		419

## 10.4.7 VoteResult <VoteRslt>

*Presence:* [1..1000]

*Definition:* Results per resolution.

**VoteResult <VoteRslt>** contains the following **Vote20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		336
	<b>Description</b> <Desc>	[0..*]			336
	<b>Language</b> <Lang>	[1..1]	CodeSet		337
	<b>Title</b> <Titl>	[0..1]	Text		337
	<b>Description</b> <Desc>	[0..*]	Text		337
	<b>ResolutionStatus</b> <RsltnSts>	[1..1]	CodeSet		337
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		337
	<b>For</b> <For>	[0..1]	±		338
	<b>Against</b> <Agnst>	[0..1]	±		338
	<b>Abstain</b> <Abstn>	[0..1]	±		338
	<b>Withhold</b> <Withhld>	[0..1]	±		338
	<b>WithManagement</b> <WithMgmt>	[0..1]	±		339
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		339
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		339
	<b>OneYear</b> <OneYr>	[0..1]	±		339
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		340
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		340
	<b>NoAction</b> <NoActn>	[0..1]	±		340
	<b>Blank</b> <Blnk>	[0..1]	±		340
	<b>Proprietary</b> <Prtry>	[0..4]	±		341

#### 10.4.7.1 IssuerLabel <IssrLbl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* "Max35Text" on page 487

#### 10.4.7.2 Description <Desc>

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.



**Description <Desc>** contains the following **ItemDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		337
	<b>Title</b> <Titl>	[0..1]	Text		337
	<b>Description</b> <Desc>	[0..*]	Text		337

#### 10.4.7.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 466

#### 10.4.7.2.2 Title <Titl>

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* "Max1025Text" on page 486

#### 10.4.7.2.3 Description <Desc>

*Presence:* [0..\*]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* "Max8000Text" on page 489

#### 10.4.7.3 ResolutionStatus <RslnSts>

*Presence:* [1..1]

*Definition:* Acceptance status of the resolution.

*Datatype:* "ResolutionStatus2Code" on page 474

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

#### 10.4.7.4 SubStatus <SubSts>

*Presence:* [0..1]

*Definition:* Specifies whether the resolution is a new or an amended resolution.

*Datatype:* "ResolutionSubStatus1Code" on page 474

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.

CodeName	Name	Definition
NEWR	NewResolution	Resolution voted at the meeting is new.

#### 10.4.7.5 For <For>

*Presence:* [0..1]

*Definition:* Number of votes in favour of one resolution.

**For <For>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.6 Against <Agnst>

*Presence:* [0..1]

*Definition:* Number of votes against one resolution.

**Against <Agnst>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.7 Abstain <Abstn>

*Presence:* [0..1]

*Definition:* Number of abstention votes.

**Abstain <Abstn>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.8 Withhold <Wthhld>

*Presence:* [0..1]

*Definition:* Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

**Withhold <WthHld>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.9 WithManagement <WthMgmt>

*Presence:* [0..1]

*Definition:* Number of votes in line with the votes of the management.

**WithManagement <WthMgmt>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.10 AgainstManagement <AgnstMgmt>

*Presence:* [0..1]

*Definition:* Number of votes against the voting recommendation of the management.

**AgainstManagement <AgnstMgmt>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.11 Discretionary <Dscrtnry>

*Presence:* [0..1]

*Definition:* Number of votes for which the decision is left to the party that will exercise the voting right.

**Discretionary <Dscrtnry>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.12 OneYear <OneYr>

*Presence:* [0..1]

*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.

**OneYear <OneYr>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.13 TwoYears <TwoYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.

**TwoYears <TwoYrs>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.14 ThreeYears <ThreeYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.

**ThreeYears <ThreeYrs>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.15 NoAction <NoActn>

*Presence:* [0..1]

*Definition:* Number of votes for which no action has been taken.

**NoAction <NoActn>** contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.16 Blank <Blnk>

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

**Blank <Blnk>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 10.4.7.17 Proprietary <Prtry>

*Presence:* [0..4]

*Definition:* Other type of vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryVote2](#)" on page 371 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		371
	Quantity <Qty>	[1..1]	±		371

### 10.4.8 Participation <Prtcpn>

*Presence:* [0..1]

*Definition:* Information about the participation to the voting process.

**Participation <Prtcpn>** contains the following **Participation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		341
	<b>PercentageOfVotingRights</b> <PctgOfVtngRghts>	[0..1]	Rate		341
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfSciesOutsdng>	[0..1]	±		342
	<b>CalculationDate</b> <ClctnDt>	[0..1]	Date		342

#### 10.4.8.1 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

*Presence:* [0..1]

*Definition:* Number of rights admitted to the vote.

*Datatype:* "[Number](#)" on page 485

#### 10.4.8.2 PercentageOfVotingRights <PctgOfVtngRghts>

*Presence:* [0..1]

*Definition:* Percentage of rights participating to the vote versus total voting rights.

*Datatype:* "[Percentage14Rate](#)" on page 485

### 10.4.8.3 TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng>

*Presence:* [0..1]

*Definition:* Number of securities admitted to the vote, expressed as a number of units or a face amount.

**TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

### 10.4.8.4 CalculationDate <ClctnDt>

*Presence:* [0..1]

*Definition:* Date of calculation of the total number of outstanding securities.

*Datatype:* "[ISODate](#)" on page 482

## 10.4.9 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Information on where additional information can be received.

**AdditionalInformation <AddtlInf>** contains the following elements (see "[CommunicationAddress11](#)" on page 362 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		362
	URLAddress <URLAdr>	[0..1]	Text		362

## 10.4.10 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C16 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 11 Message Items Types

## 11.1 MessageComponents

### 11.1.1 Account Identification

#### 11.1.1.1 AccountIdentification84Choice

*Definition:* Choice between the name and identification of an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	Text		344
Or}	Name <Nm>	[1..1]	Text		344

##### 11.1.1.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Additional disclosure account identification.

*Datatype:* "Max35Text" on page 487

##### 11.1.1.1.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Additional disclosure account name.

*Datatype:* "Max70Text" on page 488

## 11.1.2 Cancellation Right

#### 11.1.2.1 DateFormat3Choice

*Definition:* Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		344
Or}	DateCode <DtCd>	[1..1]	CodeSet		344

##### 11.1.2.1.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

*Datatype:* "ISODate" on page 482

##### 11.1.2.1.2 DateCode <DtCd>

*Presence:* [1..1]



*Definition:* Indicates that date is unknown.

*Datatype:* "DateType1Code" on page 463

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

## 11.1.3 Date Time

### 11.1.3.1 DateAndDateTime2Choice

*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		345
Or}	DateTime <DtTm>	[1..1]	DateTime		345

#### 11.1.3.1.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

*Datatype:* "ISODate" on page 482

#### 11.1.3.1.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

*Datatype:* "ISODateTime" on page 482

### 11.1.3.2 DateFormat1

*Definition:* Format to express a date and a date mode.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		345
	DateMode <DtMd>	[0..1]	CodeSet		346

#### 11.1.3.2.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date at which the event occurs.

**Date <Dt>** contains one of the following elements (see "DateFormat3Choice" on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		344
Or}	DateCode <DtCd>	[1..1]	CodeSet		344

**11.1.3.2.2 DateMode <DtMd>***Presence:* [0..1]*Definition:* Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".*Datatype:* "DateMode1Code" on page 463

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

**11.1.3.3 DateAndDateTime1Choice***Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		346
Or}	DateTime <DtTm>	[1..1]	DateTime		346

**11.1.3.3.1 Date <Dt>***Presence:* [1..1]*Definition:* Numeric representation of the day of the month and year.*Datatype:* "ISODate" on page 482**11.1.3.3.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Numeric representation of time of the day and the day of the month and year.*Datatype:* "ISODateTime" on page 482**11.1.3.4 DateFormat58Choice***Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		346
Or}	DateCode <DtCd>	[1..1]	CodeSet		347

**11.1.3.4.1 DateOrDateTime <DtOrDtTm>***Presence:* [1..1]*Definition:* Date expressed as a calendar date.

**DateOrDateTime <DtOrDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		345
Or}	DateTime <DtTm>	[1..1]	DateTime		345

#### 11.1.3.4.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Type of date.

*Datatype:* "DateType1Code" on page 463

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

## 11.1.4 Document

### 11.1.4.1 DocumentIdentification32

*Definition:* Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			347
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		347
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		348
	DocumentNumber <DocNb>	[0..1]	±	C20	348
	LinkageType <LkgTp>	[0..1]	±	C23	348

#### 11.1.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned either by the account servicer or the account owner.

**Identification <Id>** contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		347
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		348

#### 11.1.4.1.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

*Presence:* [1..1]

*Definition:* Identification of the document assigned by the account servicer.

*Datatype:* "Max35Text" on page 487

#### 11.1.4.1.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

*Presence:* [1..1]

*Definition:* Identification of the document assigned by the account owner.

*Datatype:* "Max35Text" on page 487

#### 11.1.4.1.2 DocumentNumber <DocNb>

*Presence:* [0..1]

*Definition:* Identification of the type of document.

*Impacted by:* C20 "ShortLongNumberRule"

**DocumentNumber <DocNb>** contains one of the following elements (see "[DocumentNumber5Choice](#)" on page 349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		349
Or	LongNumber <LngNb>	[1..1]	Text		349
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		349

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 11.1.4.1.3 LinkageType <LkgTp>

*Presence:* [0..1]

*Definition:* Specifies when this document is to be processed relative to another referred document.

*Impacted by:* C23 "WithLinkageRule"

**LinkageType <LkgTp>** contains one of the following elements (see "[ProcessingPosition7Choice](#)" on page 373 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		374
Or}	Proprietary <Prtry>	[1..1]	±		374

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 11.1.4.2 DocumentNumber5Choice

*Definition:* Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		349
Or	LongNumber <LngNb>	[1..1]	Text		349
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		349

##### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

##### 11.1.4.2.1 ShortNumber <ShrtNb>

*Presence:* [1..1]

*Definition:* Message type number of the document referenced.

*Datatype:* "Exact3NumericText" on page 485

##### 11.1.4.2.2 LongNumber <LngNb>

*Presence:* [1..1]

*Definition:* MX Message identifier of the referenced document.

*Datatype:* "ISO20022MessageIdentificationText" on page 486

##### 11.1.4.2.3 ProprietaryNumber <PrtryNb>

*Presence:* [1..1]

*Definition:* Proprietary document identification.

**ProprietaryNumber <PrtryNb>** contains the following elements (see "GenericIdentification36" on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		359
	Issuer <Issr>	[1..1]	Text		359
	SchemeName <SchmeNm>	[0..1]	Text		359

## 11.1.5 Financial Institution Identification

### 11.1.5.1 FinancialInstitutionIdentification18

*Definition:* Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	350
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		350
	LEI <LEI>	[0..1]	IdentifierSet		350
	Name <Nm>	[0..1]	Text		351
	PostalAddress <PstlAdr>	[0..1]	±		351
	Other <Othr>	[0..1]	±		351

#### 11.1.5.1.1 BICFI <BICFI>

*Presence:* [0..1]

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 483

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 11.1.5.1.2 ClearingSystemMemberIdentification <ClrSysMmbld>

*Presence:* [0..1]

*Definition:* Information used to identify a member within a clearing system.

**ClearingSystemMemberIdentification <ClrSysMmbld>** contains the following elements (see "ClearingSystemMemberIdentification2" on page 355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		355
	MemberIdentification <Mmbld>	[1..1]	Text		356

#### 11.1.5.1.3 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identifier of the financial institution.

*Datatype:* "LEIIdentifier" on page 484

**11.1.5.1.4 Name <Nm>***Presence:* [0..1]*Definition:* Name by which an agent is known and which is usually used to identify that agent.*Datatype:* "Max140Text" on page 486**11.1.5.1.5 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress24" on page 436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			436
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		437
	Department <Dept>	[0..1]	Text		437
	SubDepartment <SubDept>	[0..1]	Text		437
	StreetName <StrtNm>	[0..1]	Text		437
	BuildingNumber <BldgNb>	[0..1]	Text		438
	BuildingName <BldgNm>	[0..1]	Text		438
	Floor <Flr>	[0..1]	Text		438
	PostBox <PstBx>	[0..1]	Text		438
	Room <Room>	[0..1]	Text		438
	PostCode <PstCd>	[0..1]	Text		438
	TownName <TwnNm>	[0..1]	Text		438
	TownLocationName <TwnLctnNm>	[0..1]	Text		438
	DistrictName <DstrctNm>	[0..1]	Text		439
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		439
	Country <Ctry>	[0..1]	CodeSet	C3	439
	AddressLine <AdrLine>	[0..7]	Text		439

**11.1.5.1.6 Other <Othr>***Presence:* [0..1]*Definition:* Unique identification of an agent, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following elements (see "[GenericFinancialIdentification1](#)" on page 353 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		353
	SchemeName <SchmeNm>	[0..1]			354
{Or	Code <Cd>	[1..1]	CodeSet		354
Or}	Proprietary <Prtry>	[1..1]	Text		354
	Issuer <Issr>	[0..1]	Text		354

### 11.1.5.2 BranchData3

*Definition:* Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		352
	LEI <LEI>	[0..1]	IdentifierSet		352
	Name <Nm>	[0..1]	Text		352
	PostalAddress <PstlAdr>	[0..1]	±		352

#### 11.1.5.2.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a branch of a financial institution.

*Datatype:* "[Max35Text](#)" on page 487

#### 11.1.5.2.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification for the branch of the financial institution.

*Datatype:* "[LEIIdentifier](#)" on page 484

#### 11.1.5.2.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* "[Max140Text](#)" on page 486

#### 11.1.5.2.4 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.



**PostalAddress <PstIAdr>** contains the following elements (see "PostalAddress24" on page 436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			436
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		437
	Department <Dept>	[0..1]	Text		437
	SubDepartment <SubDept>	[0..1]	Text		437
	StreetName <StrtNm>	[0..1]	Text		437
	BuildingNumber <BldgNb>	[0..1]	Text		438
	BuildingName <BldgNm>	[0..1]	Text		438
	Floor <Flr>	[0..1]	Text		438
	PostBox <PstBx>	[0..1]	Text		438
	Room <Room>	[0..1]	Text		438
	PostCode <PstCd>	[0..1]	Text		438
	TownName <TwnNm>	[0..1]	Text		438
	TownLocationName <TwnLctnNm>	[0..1]	Text		438
	DistrictName <DstrctNm>	[0..1]	Text		439
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		439
	Country <Ctry>	[0..1]	CodeSet	C3	439
	AddressLine <AdrLine>	[0..7]	Text		439

### 11.1.5.3 GenericFinancialIdentification1

*Definition:* Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		353
	SchemeName <SchmeNm>	[0..1]			354
{Or	Code <Cd>	[1..1]	CodeSet		354
Or}	Proprietary <Prtry>	[1..1]	Text		354
	Issuer <Issr>	[0..1]	Text		354

#### 11.1.5.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* "Max35Text" on page 487

#### 11.1.5.3.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		354
Or}	Proprietary <Prtry>	[1..1]	Text		354

##### 11.1.5.3.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstitutionIdentification1Code" on page 464

##### 11.1.5.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 487

#### 11.1.5.3.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 487

#### 11.1.5.4 BranchAndFinancialInstitutionIdentification6

*Definition:* Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		354
	BranchIdentification <BrnchId>	[0..1]	±		355

##### 11.1.5.4.1 FinancialInstitutionIdentification <FinInstnId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

**FinancialInstitutionIdentification <FinInstnId>** contains the following elements (see "FinancialInstitutionIdentification18" on page 350 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	350
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		350
	LEI <LEI>	[0..1]	IdentifierSet		350
	Name <Nm>	[0..1]	Text		351
	PostalAddress <PstlAdr>	[0..1]	±		351
	Other <Othr>	[0..1]	±		351

#### 11.1.5.4.2 BranchIdentification <BrnchId>

*Presence:* [0..1]

*Definition:* Identifies a specific branch of a financial institution.

*Usage:* This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

**BranchIdentification <BrnchId>** contains the following elements (see "BranchData3" on page 352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		352
	LEI <LEI>	[0..1]	IdentifierSet		352
	Name <Nm>	[0..1]	Text		352
	PostalAddress <PstlAdr>	[0..1]	±		352

#### 11.1.5.5 ClearingSystemMemberIdentification2

*Definition:* Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		355
	MemberIdentification <Mmbld>	[1..1]	Text		356

##### 11.1.5.5.1 ClearingSystemIdentification <ClrSysId>

*Presence:* [0..1]

*Definition:* Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

**ClearingSystemIdentification <ClrSysId>** contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		458
Or}	Proprietary <Prtry>	[1..1]	Text		459

#### 11.1.5.5.2 MemberIdentification <Mmbld>

*Presence:* [1..1]

*Definition:* Identification of a member of a clearing system.

*Datatype:* "Max35Text" on page 487

## 11.1.6 Financial Instrument

### 11.1.6.1 SecurityIdentification19

*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		357
	OtherIdentification <OthrId>	[0..*]			357
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358
	Description <Desc>	[0..1]	Text		358

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 11.1.6.1.1 ISIN <ISIN>

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINOct2015Identifier" on page 483

#### 11.1.6.1.2 OtherIdentification <Othrid>

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

**OtherIdentification <Othrid>** contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		357
	Suffix <Sfx>	[0..1]	Text		357
	Type <Tp>	[1..1]	±		358

##### 11.1.6.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* "Max35Text" on page 487

##### 11.1.6.1.2.2 Suffix <Sfx>

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* "Max16Text" on page 487

**11.1.6.1.2.3 Type <Tp>***Presence:* [1..1]*Definition:* Type of the identification.**Type <Tp>** contains one of the following elements (see "IdentificationSource3Choice" on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		359
Or}	Proprietary <Prtry>	[1..1]	Text		359

**11.1.6.1.3 Description <Desc>***Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* "Max140Text" on page 486**11.1.7 Identification Information****11.1.7.1 GenericIdentification13***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		358
	SchemeName <SchmeNm>	[0..1]	Text		358
	Issuer <Issr>	[1..1]	Text		358

**11.1.7.1.1 Identification <Id>***Presence:* [1..1]*Definition:* Identification assigned by an institution.*Datatype:* "Max4AlphaNumericText" on page 488**11.1.7.1.2 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.*Datatype:* "Max35Text" on page 487**11.1.7.1.3 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 487

### 11.1.7.2 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		359
	Issuer <Issr>	[1..1]	Text		359
	SchemeName <SchmeNm>	[0..1]	Text		359

#### 11.1.7.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 487

#### 11.1.7.2.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 487

#### 11.1.7.2.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 487

### 11.1.7.3 IdentificationSource3Choice

*Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		359
Or}	Proprietary <Prtry>	[1..1]	Text		359

#### 11.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.

*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 465

#### 11.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

*Datatype:* "Max35Text" on page 487

#### 11.1.7.4 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

##### 11.1.7.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 485

##### 11.1.7.4.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 487

##### 11.1.7.4.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 487

#### 11.1.7.5 GenericIdentification78

*Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		360
	Identification <Id>	[0..1]	Text		361

##### 11.1.7.5.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.



**Type <Tp>** contains the following elements (see "GenericIdentification30" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.7.5.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

*Datatype:* "Max35Text" on page 487

## 11.1.8 Miscellaneous

### 11.1.8.1 InstructionProcessingStatus6

*Definition:* Status advising on the processing of the instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		361
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		362
	AdditionalInformation <AddtlInf>	[0..1]	Text		362

#### Constraints

- **AttendanceCardNumber1Rule**

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

/AttendanceCardNumber is present

Following Must be True

/Status Must be equal to value 'AttendanceConfirmed'

#### 11.1.8.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status code.

*Datatype:* "Status11Code" on page 477

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.

CodeName	Name	Definition
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.
DREG	DeregistrationCompleted	Rights holder has been deregistered from the meeting participants list.

#### 11.1.8.1.2 AttendanceCardNumber <AttndncCardNb>

*Presence:* [0..1]

*Definition:* Number of the admission ticket that has been granted by the custodian or the issuer.

*Datatype:* "Max35Text" on page 487

#### 11.1.8.1.3 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 487

#### 11.1.8.2 CommunicationAddress11

*Definition:* Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		362
	URLAddress <URLAdr>	[0..1]	Text		362

##### 11.1.8.2.1 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

##### 11.1.8.2.2 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 487

### 11.1.8.3 Pagination1

*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		363
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		363

#### 11.1.8.3.1 PageNumber <PgNb>

*Presence:* [1..1]

*Definition:* Page number.

*Datatype:* "Max5NumericText" on page 488

#### 11.1.8.3.2 LastPageIndicator <LastPgInd>

*Presence:* [1..1]

*Definition:* Indicates the last page.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 11.1.8.4 IssuerInformation3

*Definition:* Identification of an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		363
	URLAddress <URLAdr>	[0..1]	Text		364

#### 11.1.8.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify the organisation.

**Identification <Id>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

**11.1.8.4.2 URLAddress <URLAdr>***Presence:* [0..1]*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.*Datatype:* "Max2048Text" on page 487**11.1.8.5 ParticipationMethod3Choice***Definition:* Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		364
Or}	Proprietary <Prtry>	[1..1]	±		364

**11.1.8.5.1 Code <Cd>***Presence:* [1..1]*Definition:* Method of participation in the general meeting vote expressed as a code.*Datatype:* "VotingParticipationMethod3Code" on page 481

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

**11.1.8.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Method of participation in the general meeting vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

### 11.1.8.6 Entitlement1Choice

*Definition:* Choice of entitlement calculation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		365
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		365

#### 11.1.8.6.1 EntitlementRatio <EntitlmntRatio>

*Presence:* [1..1]

*Definition:* Number of votes assigned to one security.

*Datatype:* "[DecimalNumber](#)" on page 484

#### 11.1.8.6.2 EntitlementDescription <EntitlmntDesc>

*Presence:* [1..1]

*Definition:* Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

*Datatype:* "[Max35Text](#)" on page 487

### 11.1.8.7 VoteInstructionType1

*Definition:* Vote options for a resolution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		366
	AdditionalInformation <AddtlInf>	[0..1]	Text		366

#### Constraints

- AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

/AdditionalInformation is present

Following Must be True  
/VoteInstructionTypeCode/Proprietary/Identification Must be present

#### 11.1.8.7.1 VoteInstructionTypeCode <VoteInstrTpCd>

*Presence:* [1..1]

*Definition:* Vote option allowed at the resolution level.

**VoteInstructionTypeCode <VoteInstrTpCd>** contains one of the following elements (see "VoteInstructionType1Choice" on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		376
Or}	Proprietary <Prtry>	[1..1]	±		376

#### 11.1.8.7.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the vote instruction type code when expressed as a proprietary code.

*Datatype:* "Max350Text" on page 487

#### 11.1.8.8 MeetingTypeClassification2Choice

*Definition:* Choice of meeting type classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		366
Or}	Proprietary <Prtry>	[1..1]	±		367

##### 11.1.8.8.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a code.

*Datatype:* "MeetingTypeClassification2Code" on page 467

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.

CodeName	Name	Definition
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

#### 11.1.8.8.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 358 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		358
	SchemeName <SchmeNm>	[0..1]	Text		358
	Issuer <Issr>	[1..1]	Text		358

#### 11.1.8.9 LocationFormat1Choice

*Definition:* Choice between the formats to indicate the location of the meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		367
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		368

#### 11.1.8.9.1 Address <Adr>

*Presence:* [1..1]

*Definition:* Specifies physical address of the meeting.

**Address <Adr>** contains the following elements (see "[PostalAddress1](#)" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

**11.1.8.9.2 LocationCode <LctnCd>***Presence:* [1..1]*Definition:* Indicates that the location is unknown.*Datatype:* "PlaceType1Code" on page 470

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

**11.1.8.10 AttendanceAdmissionConditions2***Definition:* Physical attendance admission conditions for a meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		368
	AdditionalInformation <AddtlInf>	[0..1]	Text		369

**11.1.8.10.1 Code <Cd>***Presence:* [1..1]*Definition:* Condition for physical admittance to the general meeting.*Datatype:* "AttendanceAdmissionConditions2Code" on page 461

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.



**11.1.8.10.2 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information on the conditions for physical admittance to the general meeting.*Datatype:* "Max350Text" on page 487**11.1.8.11 SupplementaryData1***Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		369
	Envelope <Envlp>	[1..1]	(External Schema)		369

**Constraints**

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

**11.1.8.11.1 PlaceAndName <PlcAndNm>***Presence:* [0..1]*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 487**11.1.8.11.2 Envelope <Envlp>***Presence:* [1..1]*Definition:* Technical element wrapping the supplementary data.*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

**11.1.8.12 DateAndPlaceOfBirth2***Definition:* Date and place of birth of a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		370
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		370
	CityOfBirth <CityOfBirth>	[0..1]	Text		370
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	370

**11.1.8.12.1 BirthDate <BirthDt>***Presence:* [1..1]*Definition:* Date on which a person is born.*Datatype:* "ISODate" on page 482**11.1.8.12.2 ProvinceOfBirth <PrvcOfBirth>***Presence:* [0..1]*Definition:* Province where a person was born.*Datatype:* "Max35Text" on page 487**11.1.8.12.3 CityOfBirth <CityOfBirth>***Presence:* [0..1]*Definition:* City where a person was born.*Datatype:* "Max35Text" on page 487**11.1.8.12.4 CountryOfBirth <CtryOfBirth>***Presence:* [0..1]*Definition:* Country where a person was born.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**11.1.8.13 ModalityOfCounting1Choice***Definition:* Modality of counting as a predefined or proprietary code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		370
Or}	Proprietary <Prtry>	[1..1]	±		371

**11.1.8.13.1 Code <Cd>***Presence:* [1..1]*Definition:* Modality of counting expressed as a code.*Datatype:* "ModalityOfCounting1Code" on page 468

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.

CodeName	Name	Definition
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

#### 11.1.8.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Modality of counting expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.8.14 ProprietaryVote2

*Definition:* Proprietary vote type and quantity voted.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		371
	Quantity <Qty>	[1..1]	±		371

##### 11.1.8.14.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Other type of vote expressed as a proprietary code.

**Code <Cd>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

##### 11.1.8.14.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities voted.

**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

### 11.1.8.15 NaturalPersonIdentification1

*Definition:* Identification number and identification type of a natural person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		372
	IdentificationType <IdTp>	[0..1]			372
{Or	Code <Cd>	[1..1]	CodeSet		372
Or}	Proprietary <Prtry>	[1..1]	±		373

#### 11.1.8.15.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Natural person local identification.

*Datatype:* "[Max35Text](#)" on page 487

#### 11.1.8.15.2 IdentificationType <IdTp>

*Presence:* [0..1]

*Definition:* Type of local identification for a natural person.

**IdentificationType <IdTp>** contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		372
Or}	Proprietary <Prtry>	[1..1]	±		373

##### 11.1.8.15.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of identification expressed as a code.

*Datatype:* "[TypeOfIdentification4Code](#)" on page 478

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the

CodeName	Name	Definition
		birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

#### 11.1.8.15.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of identification expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.8.16 ProcessingPosition7Choice

*Definition:* Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		374
Or}	Proprietary <Prtry>	[1..1]	±		374

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 11.1.8.16.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Processing position expressed as an ISO 20022 code.

*Datatype:* "ProcessingPosition3Code" on page 471

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

#### 11.1.8.16.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Processing position expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.8.17 OrganisationIdentification5

*Definition:* Official identification of an organisation (legal entity) in a specific register.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		374
	RegisterName <RegrNm>	[0..1]	Text		375

#### 11.1.8.17.1 RegistrationNumber <RegnNb>

*Presence:* [1..1]

*Definition:* Name of the register of legal entities.

*Datatype:* "Max35Text" on page 487

**11.1.8.17.2 RegisterName <RegrNm>***Presence:* [0..1]*Definition:* Name of the register managed by a registration authority.*Datatype:* "Max35Text" on page 487**11.1.8.18 AdditionalRightCode1Choice***Definition:* Choice of additional right code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		375
Or}	Proprietary <Prtry>	[1..1]	±		375

**11.1.8.18.1 Code <Cd>***Presence:* [1..1]*Definition:* Identifies the additional right code.*Datatype:* "AdditionalRight1Code" on page 460

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

**11.1.8.18.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* This code can be used in case another reason is required.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 358 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		358
	SchemeName <SchmeNm>	[0..1]	Text		358
	Issuer <Issr>	[1..1]	Text		358

**11.1.8.19 VoteInstructionType1Choice***Definition:* Choice between a predefined code value or a proprietary code value for a vote instruction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		376
Or}	Proprietary <Prtry>	[1..1]	±		376

**11.1.8.19.1 Type <Tp>***Presence:* [1..1]*Definition:* Vote option expressed as a code.*Datatype:* "VoteInstruction6Code" on page 479

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

**11.1.8.19.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Vote option expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360



### 11.1.8.20 AttendanceCard3

*Definition:* Specifies details related to the attendance card.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttnDncCardLblg>	[0..1]	Text		377
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		377
	OtherAddress <OthrAdr>	[0..1]	±		377

#### Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

#### 11.1.8.20.1 AttendanceCardLabelling <AttnDncCardLblg>

*Presence:* [0..1]

*Definition:* Information to be indicated on the attendance card.

*Datatype:* "Max105Text" on page 486

#### 11.1.8.20.2 DeliveryMethod <DlvryMtd>

*Presence:* [1..1]

*Definition:* Specifies where the attendance card must be delivered.

*Datatype:* "DeliveryPlace3Code" on page 463

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

#### 11.1.8.20.3 OtherAddress <OthrAdr>

*Presence:* [0..1]

*Definition:* Name and address of the party.

**OtherAddress <OthrAdr>** contains the following elements (see "NameAndAddress9" on page 440 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		440
	Address <Adr>	[0..1]	±	C21	440

### 11.1.8.21 VoteInstructionType3Choice

*Definition:* Choice between a predefined code value or a proprietary code value for a vote instruction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		378
Or}	Proprietary <Prtry>	[1..1]	±		379

#### 11.1.8.21.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Vote option expressed as a code.

*Datatype:* "VoteInstruction6Code" on page 479

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a

CodeName	Name	Definition
		strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

#### 11.1.8.21.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Vote option expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.8.22 IncentivePremium6

*Definition:* Cash premium made available if the securities holder consents or participates to an event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		379
	Amount <Amt>	[1..1]	±		379
	Type <Tp>	[1..1]			380
{Or	PerSecurity <PerScty>	[1..1]	Quantity		380
Or	PerVote <PerVote>	[1..*]			380
	VoteInstructionType <VoteInstrTp>	[1..1]	±		380
	VoteQuantity <VoteQty>	[1..1]	Quantity		381
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		381
	PaymentDate <PmtDt>	[0..1]	±		381

##### 11.1.8.22.1 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the premium.

*Datatype:* "[Max350Text](#)" on page 487

##### 11.1.8.22.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash premium paid per security, per vote or per attendee.

**Amount <Amt>** contains one of the following elements (see "[PriceRateOrAmount8Choice](#)" on page 441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		441
Or}	Amount <Amt>	[1..1]	Amount	C4	441

#### 11.1.8.22.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies which voting related criteria gives rights to an incentive premium.

**Type <Tp>** contains one of the following **IncentivePremiumType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PerSecurity <PerScty>	[1..1]	Quantity		380
Or	PerVote <PerVote>	[1..*]			380
	VoteInstructionType <VoteInstrTp>	[1..1]	±		380
	VoteQuantity <VoteQty>	[1..1]	Quantity		381
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		381

##### 11.1.8.22.3.1 PerSecurity <PerScty>

*Presence:* [1..1]

*Definition:* Number of securities giving right to a premium.

*Datatype:* "Number" on page 485

##### 11.1.8.22.3.2 PerVote <PerVote>

*Presence:* [1..\*]

*Definition:* Quantity of votes per type of vote giving right to a premium.

**PerVote <PerVote>** contains the following **VoteTypeAndQuantity1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionType <VoteInstrTp>	[1..1]	±		380
	VoteQuantity <VoteQty>	[1..1]	Quantity		381

##### 11.1.8.22.3.2.1 VoteInstructionType <VoteInstrTp>

*Presence:* [1..1]

*Definition:* Types of vote instruction allowed for resolutions to be voted on in general meeting.

**VoteInstructionType <VoteInstrTp>** contains one of the following elements (see "VoteInstructionType1Choice" on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		376
Or}	Proprietary <Prtry>	[1..1]	±		376

#### 11.1.8.22.3.2.2 VoteQuantity <VoteQty>

*Presence:* [1..1]

*Definition:* Quantity of vote expressed for the specified type of vote instruction.

*Datatype:* "Number" on page 485

#### 11.1.8.22.3.3 PerAttendee <PerAttndee>

*Presence:* [1..1]

*Definition:* Indicates that the premium is given per attendee.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 484):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 11.1.8.22.4 PaymentDate <PmtDt>

*Presence:* [0..1]

*Definition:* Date/time for the payment of the premium.

**PaymentDate <PmtDt>** contains one of the following elements (see "DateFormat3Choice" on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		344
Or}	DateCode <DtCd>	[1..1]	CodeSet		344

#### 11.1.8.23 VotingRightsThreshold2

*Definition:* Specifies a threshold level and a threshold basis for passing resolutions at general meetings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Threshold <Thrshld>	[1..1]	±		381
	ThresholdBasis <ThrshldBsis>	[0..1]			382
{Or	Code <Cd>	[1..1]	CodeSet		382
Or}	Proprietary <Prtry>	[1..1]	±		382

#### 11.1.8.23.1 Threshold <Thrshld>

*Presence:* [1..1]

*Definition:* Voting rights threshold required for a resolution to pass in percentage or in quantity.

**Threshold <Thrshld>** contains one of the following elements (see "NumberOrPercentage2Choice" on page 383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		383
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		383

#### 11.1.8.23.2 ThresholdBasis <ThrshldBsis>

*Presence:* [0..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

**ThresholdBasis <ThrshldBsis>** contains one of the following **ThresholdBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		382
Or}	Proprietary <Prtry>	[1..1]	±		382

##### 11.1.8.23.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and provided as a code value.

*Datatype:* "ThresholdBasis1Code" on page 477

CodeName	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

##### 11.1.8.23.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and defined as a proprietary value.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.8.24 NumberOrPercentage2Choice

*Definition:* Choice between a percentage and number for a threshold.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		383
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		383

##### 11.1.8.24.1 ThresholdPercentage <ThrshldPctg>

*Presence:* [1..1]

*Definition:* Threshold provided as a percentage rate.

*Datatype:* "[Percentage14Rate](#)" on page 485

##### 11.1.8.24.2 ThresholdNumber <ThrshldNb>

*Presence:* [1..1]

*Definition:* Threshold provided as a decimal number.

*Datatype:* "[Number](#)" on page 485

#### 11.1.8.25 QuorumQuantity2Choice

*Definition:* Choice of quorum quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		383
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		383

##### 11.1.8.25.1 QuorumQuantity <QrmQty>

*Presence:* [1..1]

*Definition:* Minimum quantity of securities required to hold a meeting.

*Datatype:* "[Max35Text](#)" on page 487

##### 11.1.8.25.2 QuorumQuantityPercentage <QrmQtyPctg>

*Presence:* [1..1]

*Definition:* Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

*Datatype:* "Percentage14Rate" on page 485

### 11.1.8.26 AdditionalRightThreshold2Choice

*Definition:* Choice of additional right threshold.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		384
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		384

#### 11.1.8.26.1 AdditionalRightThreshold <AddtlRghtThrshld>

*Presence:* [1..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

*Datatype:* "Max35Text" on page 487

#### 11.1.8.26.2 AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>

*Presence:* [1..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

*Datatype:* "Percentage14Rate" on page 485

## 11.1.9 Organisation Identification

### 11.1.9.1 OrganisationIdentification29

*Definition:* Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	384
	LEI <LEI>	[0..1]	IdentifierSet		385
	Other <Othr>	[0..*]			385
	Identification <Id>	[1..1]	Text		385
	SchemeName <SchmeNm>	[0..1]			385
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	Text		386
	Issuer </ssr>	[0..1]	Text		386

#### 11.1.9.1.1 AnyBIC <AnyBIC>

*Presence:* [0..1]

*Definition:* Business identification code of the organisation.



*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 482

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 11.1.9.1.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* "LEIIdentifier" on page 484

#### 11.1.9.1.3 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of an organisation, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		385
	SchemeName <SchmeNm>	[0..1]			385
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	Text		386
	Issuer <Issr>	[0..1]	Text		386

##### 11.1.9.1.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max35Text" on page 487

##### 11.1.9.1.3.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	Text		386

**11.1.9.1.3.2.1 Code <Cd>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalOrganisationIdentification1Code" on page 465**11.1.9.1.3.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 487**11.1.9.1.3.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 487**11.1.10 Party Identification****11.1.10.1 PartyIdentification335Choice***Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Account <Acct>	[1..1]	±		386
Or}	Party <Pty>	[1..1]	±		386

**11.1.10.1.1 Account <Acct>***Presence:* [1..1]*Definition:* Identification of the account.**Account <Acct>** contains one of the following elements (see "AccountIdentification84Choice" on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	Text		344
Or}	Name <Nm>	[1..1]	Text		344

**11.1.10.1.2 Party <Pty>***Presence:* [1..1]*Definition:* Identification of the party.

**Party <Pty>** contains one of the following elements (see "PartyIdentification336Choice" on page 425 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	426
Or}	LEI <LEI>	[1..1]	IdentifierSet		426

### 11.1.10.2 PartyIdentification198Choice

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <CIntId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

#### 11.1.10.2.1 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [1..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 487

#### 11.1.10.2.2 LEI <LEI>

*Presence:* [1..1]

*Definition:* Legal entity identifier of the party.

*Datatype:* "LEIIdentifier" on page 484

#### 11.1.10.2.3 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 482

**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**11.1.10.2.4 ClientIdentification <ClnId>**

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the client's counterparty.

*Datatype:* "Max50Text" on page 488

**11.1.10.2.5 ProprietaryIdentification <PrtryId>**

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification36" on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		359
	Issuer <Issr>	[1..1]	Text		359
	SchemeName <SchmeNm>	[0..1]	Text		359

**11.1.10.3 Proxy11**

*Definition:* Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		388
	PersonDetails <PrsnDtls>	[0..1]			389
	PreassignedProxy <PrssgndPrxy>	[0..1]			389
{Or	LegalPerson <LglPrsn>	[1..1]	±		389
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		390
	EmployingParty <EmplngPty>	[0..1]	±		391

**11.1.10.3.1 ProxyType <PrxyTp>**

*Presence:* [1..1]

*Definition:* Type of proxy.

*Datatype:* "ProxyType3Code" on page 472

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

#### 11.1.10.3.2 PersonDetails <PrsnDtls>

*Presence:* [0..1]

*Definition:* Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

**PersonDetails <PrsnDtls>** contains the following **IndividualPerson43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			389
{Or	LegalPerson <LglPrsn>	[1..1]	±		389
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		390
	EmployingParty <EmplingPty>	[0..1]	±		391

##### 11.1.10.3.2.1 PreassignedProxy <PrssgndPrxy>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

**PreassignedProxy <PrssgndPrxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		389
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		390

##### 11.1.10.3.2.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 11.1.10.3.2.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 11.1.10.3.2.2 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see "PartyIdentification129Choice" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

#### 11.1.10.4 PartyIdentification135

*Definition:* Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		392
	PostalAddress <PstlAdr>	[0..1]	±		392
	Identification <Id>	[0..1]	±		393
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	393
	ContactDetails <CtctDtls>	[0..1]	±		394

##### 11.1.10.4.1 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 486

##### 11.1.10.4.2 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.



**PostalAddress <PstIAdr>** contains the following elements (see "[PostalAddress24](#)" on page 436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			436
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		437
	Department <Dept>	[0..1]	Text		437
	SubDepartment <SubDept>	[0..1]	Text		437
	StreetName <StrtNm>	[0..1]	Text		437
	BuildingNumber <BldgNb>	[0..1]	Text		438
	BuildingName <BldgNm>	[0..1]	Text		438
	Floor <Flr>	[0..1]	Text		438
	PostBox <PstBx>	[0..1]	Text		438
	Room <Room>	[0..1]	Text		438
	PostCode <PstCd>	[0..1]	Text		438
	TownName <TwnNm>	[0..1]	Text		438
	TownLocationName <TwnLctnNm>	[0..1]	Text		438
	DistrictName <DstrctNm>	[0..1]	Text		439
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		439
	Country <Ctry>	[0..1]	CodeSet	C3	439
	AddressLine <AdrLine>	[0..7]	Text		439

#### 11.1.10.4.3 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a party.

**Identification <Id>** contains one of the following elements (see "[Party38Choice](#)" on page 396 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		396
Or}	PrivateIdentification <PrvtId>	[1..1]	±		396

#### 11.1.10.4.4 CountryOfResidence <CtryOfRes>

*Presence:* [0..1]

*Definition:* Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 11.1.10.4.5 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Set of elements used to indicate how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact4" on page 427 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		428
	Name <Nm>	[0..1]	Text		428
	PhoneNumber <PhneNb>	[0..1]	Text		428
	MobileNumber <MobNb>	[0..1]	Text		429
	FaxNumber <FaxNb>	[0..1]	Text		429
	EmailAddress <EmailAdr>	[0..1]	Text		429
	EmailPurpose <EmailPurp>	[0..1]	Text		429
	JobTitle <JobTitl>	[0..1]	Text		429
	Responsibility <Rspnsblty>	[0..1]	Text		429
	Department <Dept>	[0..1]	Text		429
	Other <Othr>	[0..*]			429
	ChannelType <ChanlTp>	[1..1]	Text		430
	Identification <Id>	[0..1]	Text		430
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		430

#### 11.1.10.5 PartyIdentification129Choice

*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

**11.1.10.5.1 AnyBIC <AnyBIC>***Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 482**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**11.1.10.5.2 ProprietaryIdentification <PrtryId>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		359
	Issuer <Issr>	[1..1]	Text		359
	SchemeName <SchmeNm>	[0..1]	Text		359

**11.1.10.5.3 NameAndAddress <NmAndAdr>***Presence:* [1..1]*Definition:* Name and address of a party.**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 439 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		439
	Address <Adr>	[0..1]	±		439

**11.1.10.5.4 LEI <LEI>***Presence:* [1..1]*Definition:* Legal entity identification of the party.*Datatype:* "LEIIdentifier" on page 484

### 11.1.10.6 Party38Choice

*Definition:* Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		396
Or}	Privateldentification <PrvtId>	[1..1]	±		396

#### 11.1.10.6.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "OrganisationIdentification29" on page 384 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	384
	LEI <LEI>	[0..1]	IdentifierSet		385
	Other <Othr>	[0..*]			385
	Identification <Id>	[1..1]	Text		385
	SchemeName <SchmeNm>	[0..1]			385
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	Text		386
	Issuer </ssr>	[0..1]	Text		386

#### 11.1.10.6.2 Privateldentification <PrvtId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person, for example a passport.

**PrivateIdentification <PrvtId>** contains the following elements (see "PersonIdentification13" on page 430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			431
	BirthDate <BirthDt>	[1..1]	Date		431
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		431
	CityOfBirth <CityOfBirth>	[1..1]	Text		431
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	432
	Other <Othr>	[0..*]			432
	Identification <Id>	[1..1]	Text		432
	SchemeName <SchmeNm>	[0..1]			432
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	Text		433
	Issuer </ssr>	[0..1]	Text		433

### 11.1.10.7 PartyIdentification222

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		398
	Surname <SrnM>	[1..1]	Text		398
	Address <Adr>	[0..1]			398
	AddressType <AdrTp>	[0..1]	CodeSet		399
	AddressLine <AdrLine>	[0..5]	Text		399
	StreetName <StrtNm>	[0..1]	Text		399
	BuildingNumber <BldgNb>	[0..1]	Text		399
	PostBox <PstBx>	[0..1]	Text		400
	PostCode <PstCd>	[0..1]	Text		400
	TownName <TwnNm>	[0..1]	Text		400
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		400
	Country <Ctry>	[1..1]	CodeSet	C3	400
	EmailAddress <EmailAdr>	[0..1]	Text		400
	Identification <Id>	[1..1]	±		400

**11.1.10.7.1 NameAndAddress <NmAndAdr>***Presence:* [1..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		398
	Surname <SrnNm>	[1..1]	Text		398
	Address <Adr>	[0..1]			398
	AddressType <AdrTp>	[0..1]	CodeSet		399
	AddressLine <AdrLine>	[0..5]	Text		399
	StreetName <StrtNm>	[0..1]	Text		399
	BuildingNumber <BldgNb>	[0..1]	Text		399
	PostBox <PstBx>	[0..1]	Text		400
	PostCode <PstCd>	[0..1]	Text		400
	TownName <TwnNm>	[0..1]	Text		400
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		400
	Country <Ctry>	[1..1]	CodeSet	C3	400

**11.1.10.7.1.1 FirstName <FrstNm>***Presence:* [1..1]*Definition:* First name(s) by which a natural person is known.*Datatype:* "Max350Text" on page 487**11.1.10.7.1.2 Surname <SrnNm>***Presence:* [1..1]*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 487**11.1.10.7.1.3 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		399
	AddressLine <AdrLine>	[0..5]	Text		399
	StreetName <StrtNm>	[0..1]	Text		399
	BuildingNumber <BldgNb>	[0..1]	Text		399
	PostBox <PstBx>	[0..1]	Text		400
	PostCode <PstCd>	[0..1]	Text		400
	TownName <TwnNm>	[0..1]	Text		400
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		400
	Country <Ctry>	[1..1]	CodeSet	C3	400

#### 11.1.10.7.1.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.10.7.1.3.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 488

#### 11.1.10.7.1.3.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

#### 11.1.10.7.1.3.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.7.1.3.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.7.1.3.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.7.1.3.7 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.7.1.3.8 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.7.1.3.9 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **11.1.10.7.2 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

#### **11.1.10.7.3 Identification <Id>**

*Presence:* [1..1]



*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see "NaturalPersonIdentification1" on page 372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		372
	IdentificationType <IdTp>	[0..1]			372
{Or	Code <Cd>	[1..1]	CodeSet		372
Or}	Proprietary <Prtry>	[1..1]	±		373

### 11.1.10.8 PartyIdentification224

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			401
	Name <Nm>	[1..1]	Text		402
	Address <Adr>	[0..1]			402
	AddressType <AdrTp>	[0..1]	CodeSet		403
	AddressLine <AdrLine>	[0..5]	Text		403
	StreetName <StrtNm>	[0..1]	Text		403
	BuildingNumber <BldgNb>	[0..1]	Text		403
	PostBox <PstBx>	[0..1]	Text		403
	PostCode <PstCd>	[0..1]	Text		403
	TownName <TwnNm>	[0..1]	Text		404
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		404
	Country <Ctry>	[1..1]	CodeSet	C3	404
	EmailAddress <EmailAdr>	[0..1]	Text		404
	Identification <Id>	[0..1]	±		404

#### 11.1.10.8.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		402
	Address <Adr>	[0..1]			402
	AddressType <AdrTp>	[0..1]	CodeSet		403
	AddressLine <AdrLine>	[0..5]	Text		403
	StreetName <StrtNm>	[0..1]	Text		403
	BuildingNumber <BldgNb>	[0..1]	Text		403
	PostBox <PstBx>	[0..1]	Text		403
	PostCode <PstCd>	[0..1]	Text		403
	TownName <TwnNm>	[0..1]	Text		404
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		404
	Country <Ctry>	[1..1]	CodeSet	C3	404

#### 11.1.10.8.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 11.1.10.8.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		403
	AddressLine <AdrLine>	[0..5]	Text		403
	StreetName <StrtNm>	[0..1]	Text		403
	BuildingNumber <BldgNb>	[0..1]	Text		403
	PostBox <PstBx>	[0..1]	Text		403
	PostCode <PstCd>	[0..1]	Text		403
	TownName <TwnNm>	[0..1]	Text		404
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		404
	Country <Ctry>	[1..1]	CodeSet	C3	404

**11.1.10.8.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**11.1.10.8.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**11.1.10.8.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488**11.1.10.8.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 487**11.1.10.8.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 487**11.1.10.8.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 487

**11.1.10.8.1.2.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 487**11.1.10.8.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 487**11.1.10.8.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**11.1.10.8.2 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 487**11.1.10.8.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <ClnId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**11.1.10.9 IssuerAgent3***Definition:* Identification of the issuer and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		405
	Role <Role>	[0..1]	CodeSet		405

#### 11.1.10.9.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the issuer agent.

**Identification <Id>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	395
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		395
Or	NameAndAddress <NmAndAdr>	[1..1]	±		395
Or}	LEI <LEI>	[1..1]	IdentifierSet		395

#### 11.1.10.9.2 Role <Role>

*Presence:* [0..1]

*Definition:* Role of the issuer agent.

*Datatype:* "AgentRole1Code" on page 461

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

#### 11.1.10.10 PartyIdentification238

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			406
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <SrnM>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409
	EmailAddress <EmailAdr>	[0..1]	Text		409
	Identification <Id>	[1..1]	±		410
	Nationality <Ntlty>	[0..1]	CodeSet	C3	410
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		410

#### 11.1.10.10.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		407
	FirstName <FrstNm>	[1..1]	Text		407
	Surname <Srnrm>	[1..1]	Text		407
	Address <Adr>	[0..1]			408
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409

#### 11.1.10.10.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* "NamePrefix2Code" on page 468

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.10.10.1.2 FirstName <FrstNm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 11.1.10.10.1.3 Surname <Srnrm>

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 487

**11.1.10.10.1.4 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		408
	AddressLine <AdrLine>	[0..5]	Text		408
	StreetName <StrtNm>	[0..1]	Text		408
	BuildingNumber <BldgNb>	[0..1]	Text		409
	PostBox <PstBx>	[0..1]	Text		409
	PostCode <PstCd>	[0..1]	Text		409
	TownName <TwnNm>	[0..1]	Text		409
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		409
	Country <Ctry>	[1..1]	CodeSet	C3	409

**11.1.10.10.1.4.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**11.1.10.10.1.4.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**11.1.10.10.1.4.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.



*Datatype:* "Max70Text" on page 488

#### **11.1.10.10.1.4.4 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.10.1.4.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.10.1.4.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.10.1.4.7 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.10.1.4.8 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.10.1.4.9 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **11.1.10.10.2 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

### 11.1.10.10.3 Identification <Id>

*Presence:* [1..1]

*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see "NaturalPersonIdentification1" on page 372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		372
	IdentificationType <IdTp>	[0..1]			372
{Or	Code <Cd>	[1..1]	CodeSet		372
Or}	Proprietary <Prtry>	[1..1]	±		373

### 11.1.10.10.4 Nationality <Ntlty>

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 11.1.10.10.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following elements (see "DateAndPlaceOfBirth2" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		370
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		370
	CityOfBirth <CityOfBirth>	[0..1]	Text		370
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	370

### 11.1.10.11 PartyIdentification221

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			411
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413
	EmailAddress <EmailAdr>	[0..1]	Text		414
	Identification <Id>	[1..1]	±		414

#### 11.1.10.11.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		412
	Address <Adr>	[0..1]			412
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413

**11.1.10.11.1.1 Name <Nm>***Presence:* [1..1]*Definition:* First name(s) by which a natural person is known.*Datatype:* "Max350Text" on page 487**11.1.10.11.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		412
	AddressLine <AdrLine>	[0..5]	Text		412
	StreetName <StrtNm>	[0..1]	Text		413
	BuildingNumber <BldgNb>	[0..1]	Text		413
	PostBox <PstBx>	[0..1]	Text		413
	PostCode <PstCd>	[0..1]	Text		413
	TownName <TwnNm>	[0..1]	Text		413
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		413
	Country <Ctry>	[1..1]	CodeSet	C3	413

**11.1.10.11.1.2.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**11.1.10.11.1.2.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 488

#### **11.1.10.11.1.2.3 StreetName <StrtNm>**

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

#### **11.1.10.11.1.2.4 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.11.1.2.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.11.1.2.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.11.1.2.7 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.11.1.2.8 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.11.1.2.9 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**11.1.10.11.2 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

**11.1.10.11.3 Identification <Id>**

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "PartyIdentification198Choice" on page 387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		387
Or	LEI <LEI>	[1..1]	IdentifierSet		387
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	387
Or	ClientIdentification <CIntId>	[1..1]	Text		388
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		388

**11.1.10.12 PartyIdentification250**

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			415
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <SrnM>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418
	EmailAddress <EmailAdr>	[0..1]	Text		418
	Identification <Id>	[0..1]	±		419
	Nationality <Ntlty>	[0..1]	CodeSet	C3	419
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		419
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		419

#### 11.1.10.12.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		416
	FirstName <FrstNm>	[1..1]	Text		416
	Surname <Srnrm>	[1..1]	Text		416
	Address <Adr>	[0..1]			417
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418

#### 11.1.10.12.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* "NamePrefix2Code" on page 468

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.10.12.1.2 FirstName <FrstNm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 11.1.10.12.1.3 Surname <Srnrm>

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 487



**11.1.10.12.1.4 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		417
	AddressLine <AdrLine>	[0..5]	Text		417
	StreetName <StrtNm>	[0..1]	Text		417
	BuildingNumber <BldgNb>	[0..1]	Text		418
	PostBox <PstBx>	[0..1]	Text		418
	PostCode <PstCd>	[0..1]	Text		418
	TownName <TwnNm>	[0..1]	Text		418
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		418
	Country <Ctry>	[1..1]	CodeSet	C3	418

**11.1.10.12.1.4.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**11.1.10.12.1.4.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**11.1.10.12.1.4.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

#### **11.1.10.12.1.4.4 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.12.1.4.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.12.1.4.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.12.1.4.7 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.12.1.4.8 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.12.1.4.9 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **11.1.10.12.2 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

#### 11.1.10.12.3 Identification <Id>

*Presence:* [0..1]

*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see "NaturalPersonIdentification1" on page 372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		372
	IdentificationType <IdTp>	[0..1]			372
{Or	Code <Cd>	[1..1]	CodeSet		372
Or}	Proprietary <Prtry>	[1..1]	±		373

#### 11.1.10.12.4 Nationality <Ntlty>

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 11.1.10.12.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following elements (see "DateAndPlaceOfBirth2" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		370
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		370
	CityOfBirth <CityOfBirth>	[0..1]	Text		370
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	370

#### 11.1.10.12.6 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

Datatype: "Max35Text" on page 487

### 11.1.10.13 PartyIdentification342

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			420
	NamePrefix <NmPrfx>	[0..1]	CodeSet		421
	FirstName <FrstNm>	[1..1]	Text		421
	Surname <SrnM>	[1..1]	Text		421
	Address <Adr>	[0..1]			422
	AddressType <AdrTp>	[0..1]	CodeSet		422
	AddressLine <AdrLine>	[0..5]	Text		422
	StreetName <StrtNm>	[0..1]	Text		422
	BuildingNumber <BldgNb>	[0..1]	Text		423
	PostBox <PstBx>	[0..1]	Text		423
	PostCode <PstCd>	[0..1]	Text		423
	TownName <TwnNm>	[0..1]	Text		423
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		423
	Country <Ctry>	[1..1]	CodeSet	C3	423
	AccountIdentification <AcctId>	[0..1]	Text		423
	EmailAddress <EmailAdr>	[0..1]	Text		424
	Identification <Id>	[0..1]	±		424
	Nationality <Ntlty>	[0..1]	CodeSet	C3	424
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		424
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		425

#### 11.1.10.13.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		421
	FirstName <FrstNm>	[1..1]	Text		421
	Surname <Srnrm>	[1..1]	Text		421
	Address <Adr>	[0..1]			422
	AddressType <AdrTp>	[0..1]	CodeSet		422
	AddressLine <AdrLine>	[0..5]	Text		422
	StreetName <StrtNm>	[0..1]	Text		422
	BuildingNumber <BldgNb>	[0..1]	Text		423
	PostBox <PstBx>	[0..1]	Text		423
	PostCode <PstCd>	[0..1]	Text		423
	TownName <TwnNm>	[0..1]	Text		423
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		423
	Country <Ctry>	[1..1]	CodeSet	C3	423

#### 11.1.10.13.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* "NamePrefix2Code" on page 468

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.10.13.1.2 FirstName <FrstNm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* "Max350Text" on page 487

#### 11.1.10.13.1.3 Surname <Srnrm>

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 487

**11.1.10.13.1.4 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		422
	AddressLine <AdrLine>	[0..5]	Text		422
	StreetName <StrtNm>	[0..1]	Text		422
	BuildingNumber <BldgNb>	[0..1]	Text		423
	PostBox <PstBx>	[0..1]	Text		423
	PostCode <PstCd>	[0..1]	Text		423
	TownName <TwnNm>	[0..1]	Text		423
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		423
	Country <Ctry>	[1..1]	CodeSet	C3	423

**11.1.10.13.1.4.1 AddressType <AdrTp>***Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**11.1.10.13.1.4.2 AddressLine <AdrLine>***Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 488**11.1.10.13.1.4.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

#### **11.1.10.13.1.4.4 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.13.1.4.5 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.13.1.4.6 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

#### **11.1.10.13.1.4.7 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.13.1.4.8 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

#### **11.1.10.13.1.4.9 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **11.1.10.13.2 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the account.

*Datatype:* "Max35Text" on page 487

#### 11.1.10.13.3 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

#### 11.1.10.13.4 Identification <Id>

*Presence:* [0..1]

*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see "NaturalPersonIdentification1" on page 372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		372
	IdentificationType <IdTp>	[0..1]			372
{Or	Code <Cd>	[1..1]	CodeSet		372
Or}	Proprietary <Prtry>	[1..1]	±		373

#### 11.1.10.13.5 Nationality <Ntlty>

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 11.1.10.13.6 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.



**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following elements (see "DateAndPlaceOfBirth2" on page 369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		370
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		370
	CityOfBirth <CityOfBirth>	[0..1]	Text		370
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	370

#### 11.1.10.13.7 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* "Max35Text" on page 487

#### 11.1.10.14 PartyIdentification338

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegalPerson <LglPrsn>	[0..1]	±		425
	BlockingReference <BlckgRef>	[0..1]	Text		425

#### 11.1.10.14.1 LegalPerson <LglPrsn>

*Presence:* [0..1]

*Definition:* Identification of a legal entity.

**LegalPerson <LglPrsn>** contains one of the following elements (see "PartyIdentification335Choice" on page 386 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Account <Acct>	[1..1]	±		386
Or}	Party <Pty>	[1..1]	±		386

#### 11.1.10.14.2 BlockingReference <BlckgRef>

*Presence:* [0..1]

*Definition:* Instruction blocking reference allocated by the ICSD to be transmitted to the issuer.

*Datatype:* "Max35Text" on page 487

#### 11.1.10.15 PartyIdentification336Choice

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	426
Or}	LEI <LEI>	[1..1]	IdentifierSet		426

#### 11.1.10.15.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 482

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 11.1.10.15.2 LEI <LEI>

*Presence:* [1..1]

*Definition:* Legal entity identifier of the party.

*Datatype:* "LEIIdentifier" on page 484

### 11.1.11 Person Identification

#### 11.1.11.1 ContactIdentification1

*Definition:* Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		426
	NamePrefix <NmPrfx>	[0..1]	CodeSet		427
	GivenName <GvnNm>	[0..1]	Text		427
	Role <Role>	[0..1]	Text		427
	PhoneNumber <PhneNb>	[0..1]	Text		427
	FaxNumber <FaxNb>	[0..1]	Text		427
	EmailAddress <EmailAdr>	[0..1]	Text		427

##### 11.1.11.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max35Text" on page 487

#### 11.1.11.1.2 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* "NamePrefix1Code" on page 468

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

#### 11.1.11.1.3 GivenName <GvnNm>

*Presence:* [0..1]

*Definition:* First name of a person.

*Datatype:* "Max35Text" on page 487

#### 11.1.11.1.4 Role <Role>

*Presence:* [0..1]

*Definition:* Role of the party in the activity.

*Datatype:* "Max35Text" on page 487

#### 11.1.11.1.5 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 489

#### 11.1.11.1.6 FaxNumber <FaxNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 489

#### 11.1.11.1.7 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 487

#### 11.1.11.2 Contact4

*Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		428
	Name <Nm>	[0..1]	Text		428
	PhoneNumber <PhneNb>	[0..1]	Text		428
	MobileNumber <MobNb>	[0..1]	Text		429
	FaxNumber <FaxNb>	[0..1]	Text		429
	EmailAddress <EmailAdr>	[0..1]	Text		429
	EmailPurpose <EmailPurp>	[0..1]	Text		429
	JobTitle <JobTitl>	[0..1]	Text		429
	Responsibility <Rspnsblty>	[0..1]	Text		429
	Department <Dept>	[0..1]	Text		429
	Other <Othr>	[0..*]			429
	ChannelType <ChanlTp>	[1..1]	Text		430
	Identification <Id>	[0..1]	Text		430
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		430

#### 11.1.11.2.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* "NamePrefix2Code" on page 468

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.11.2.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 486

#### 11.1.11.2.3 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 489

#### **11.1.11.2.4 MobileNumber <MobNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 489

#### **11.1.11.2.5 FaxNumber <FaxNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 489

#### **11.1.11.2.6 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max2048Text" on page 487

#### **11.1.11.2.7 EmailPurpose <EmailPurp>**

*Presence:* [0..1]

*Definition:* Purpose for which an email address may be used.

*Datatype:* "Max35Text" on page 487

#### **11.1.11.2.8 JobTitle <JobTitl>**

*Presence:* [0..1]

*Definition:* Title of the function.

*Datatype:* "Max35Text" on page 487

#### **11.1.11.2.9 Responsibility <Rspnsblty>**

*Presence:* [0..1]

*Definition:* Role of a person in an organisation.

*Datatype:* "Max35Text" on page 487

#### **11.1.11.2.10 Department <Dept>**

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 488

#### **11.1.11.2.11 Other <Othr>**

*Presence:* [0..\*]

*Definition:* Contact details in another form.

**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanITp>	[1..1]	Text		430
	Identification <Id>	[0..1]	Text		430

#### 11.1.11.2.11.1 ChannelType <ChanITp>

*Presence:* [1..1]

*Definition:* Method used to contact the financial institution's contact for the specific tax region.

*Datatype:* "Max4Text" on page 488

#### 11.1.11.2.11.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Communication value such as phone number or email address.

*Datatype:* "Max128Text" on page 486

#### 11.1.11.2.12 PreferredMethod <PrefrdMtd>

*Presence:* [0..1]

*Definition:* Preferred method used to reach the contact.

*Datatype:* "PreferredContactMethod1Code" on page 471

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

#### 11.1.11.3 PersonIdentification13

*Definition:* Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			431
	BirthDate <BirthDt>	[1..1]	Date		431
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		431
	CityOfBirth <CityOfBirth>	[1..1]	Text		431
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	432
	Other <Othr>	[0..*]			432
	Identification <Id>	[1..1]	Text		432
	SchemeName <SchmeNm>	[0..1]			432
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	Text		433
	Issuer <Issr>	[0..1]	Text		433

#### 11.1.11.3.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date and place of birth of a person.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		431
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		431
	CityOfBirth <CityOfBirth>	[1..1]	Text		431
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	432

##### 11.1.11.3.1.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* "ISODate" on page 482

##### 11.1.11.3.1.2 ProvinceOfBirth <PrvcOfBirth>

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* "Max35Text" on page 487

##### 11.1.11.3.1.3 CityOfBirth <CityOfBirth>

*Presence:* [1..1]

*Definition:* City where a person was born.

*Datatype:* "Max35Text" on page 487

#### 11.1.11.3.1.4 CountryOfBirth <CtryOfBirth>

*Presence:* [1..1]

*Definition:* Country where a person was born.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 11.1.11.3.2 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		432
	SchemeName <SchmeNm>	[0..1]			432
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	Text		433
	Issuer <Issr>	[0..1]	Text		433

#### 11.1.11.3.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* "Max35Text" on page 487

#### 11.1.11.3.2.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		433
Or}	Proprietary <Prtry>	[1..1]	Text		433



**11.1.11.3.2.2.1 Code <Cd>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalPersonIdentification1Code" on page 465**11.1.11.3.2.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 487**11.1.11.3.2.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 487**11.1.12 Postal Address****11.1.12.1 LongPostalAddress2Choice***Definition:* Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		433
Or}	Structured <Strd>	[1..1]	±		433

**Constraints**

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

**11.1.12.1.1 Unstructured <Ustrd>***Presence:* [1..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max350Text" on page 487**11.1.12.1.2 Structured <Strd>***Presence:* [1..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

**Structured <Strd>** contains the following elements (see "PostalAddress1" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

### 11.1.12.2 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

#### 11.1.12.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.

CodeName	Name	Definition
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.12.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 488

#### 11.1.12.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 488

#### 11.1.12.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

#### 11.1.12.2.5 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

#### 11.1.12.2.6 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

#### 11.1.12.2.7 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 487

#### 11.1.12.2.8 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**11.1.12.3 PostalAddress24**

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			436
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		437
	Department <Dept>	[0..1]	Text		437
	SubDepartment <SubDept>	[0..1]	Text		437
	StreetName <StrtNm>	[0..1]	Text		437
	BuildingNumber <BldgNb>	[0..1]	Text		438
	BuildingName <BldgNm>	[0..1]	Text		438
	Floor <Flr>	[0..1]	Text		438
	PostBox <PstBx>	[0..1]	Text		438
	Room <Room>	[0..1]	Text		438
	PostCode <PstCd>	[0..1]	Text		438
	TownName <TwnNm>	[0..1]	Text		438
	TownLocationName <TwnLctnNm>	[0..1]	Text		438
	DistrictName <DstrctNm>	[0..1]	Text		439
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		439
	Country <Ctry>	[0..1]	CodeSet	C3	439
	AddressLine <AdrLine>	[0..7]	Text		439

**11.1.12.3.1 AddressType <AdrTp>**

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		437

**11.1.12.3.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Type of address expressed as a code.*Datatype:* "AddressType2Code" on page 460

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**11.1.12.3.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Type of address expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

**11.1.12.3.2 Department <Dept>***Presence:* [0..1]*Definition:* Identification of a division of a large organisation or building.*Datatype:* "Max70Text" on page 488**11.1.12.3.3 SubDepartment <SubDept>***Presence:* [0..1]*Definition:* Identification of a sub-division of a large organisation or building.*Datatype:* "Max70Text" on page 488**11.1.12.3.4 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 488

**11.1.12.3.5 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 487

**11.1.12.3.6 BuildingName <BldgNm>**

*Presence:* [0..1]

*Definition:* Name of the building or house.

*Datatype:* "Max35Text" on page 487

**11.1.12.3.7 Floor <Flr>**

*Presence:* [0..1]

*Definition:* Floor or storey within a building.

*Datatype:* "Max70Text" on page 488

**11.1.12.3.8 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 487

**11.1.12.3.9 Room <Room>**

*Presence:* [0..1]

*Definition:* Building room number.

*Datatype:* "Max70Text" on page 488

**11.1.12.3.10 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 487

**11.1.12.3.11 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 487

**11.1.12.3.12 TownLocationName <TwnLctnNm>**

*Presence:* [0..1]

*Definition:* Specific location name within the town.

*Datatype:* "Max35Text" on page 487

**11.1.12.3.13 DistrictName <DstrctNm>***Presence:* [0..1]*Definition:* Identifies a subdivision within a country sub-division.*Datatype:* "Max35Text" on page 487**11.1.12.3.14 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country such as state, region, county.*Datatype:* "Max35Text" on page 487**11.1.12.3.15 Country <Ctry>***Presence:* [0..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 462**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**11.1.12.3.16 AddressLine <AdrLine>***Presence:* [0..7]*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.*Datatype:* "Max70Text" on page 488**11.1.12.4 NameAndAddress5***Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		439
	Address <Adr>	[0..1]	±		439

**11.1.12.4.1 Name <Nm>***Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 487**11.1.12.4.2 Address <Adr>***Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following elements (see "[PostalAddress1](#)" on page 434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		434
	AddressLine <AdrLine>	[0..5]	Text		435
	StreetName <StrtNm>	[0..1]	Text		435
	BuildingNumber <BldgNb>	[0..1]	Text		435
	PostCode <PstCd>	[0..1]	Text		435
	TownName <TwnNm>	[0..1]	Text		435
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		435
	Country <Ctry>	[1..1]	CodeSet	C3	435

### 11.1.12.5 NameAndAddress9

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		440
	Address <Adr>	[0..1]	±	C21	440

#### 11.1.12.5.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "[Max350Text](#)" on page 487

#### 11.1.12.5.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of a party.

*Impacted by:* [C21 "StructuredOrUnstructured1Guideline"](#)

**Address <Adr>** contains one of the following elements (see "[LongPostalAddress2Choice](#)" on page 433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		433
Or}	Structured <Strd>	[1..1]	±		433



**Constraints**

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

## 11.1.13 Price

### 11.1.13.1 PriceRateOrAmount8Choice

*Definition:* Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		441
Or}	Amount <Amt>	[1..1]	Amount	C4	441

#### 11.1.13.1.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, that is percentage.

*Datatype:* "Percentage14Rate" on page 485

#### 11.1.13.1.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and value.

*Impacted by:* C4 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 459

**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

## 11.1.14 Safekeeping Place

### 11.1.14.1 SafekeepingPlaceFormat42Choice

*Definition:* Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			442
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442
Or	Country <Ctry>	[1..1]	CodeSet	C3	442
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		443
Or	TypeAndIdentification <TpAndId>	[1..1]			443
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443
Or}	Proprietary <Prtry>	[1..1]	±		444

#### 11.1.14.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed as a code and a narrative description.

**Identification <Id>** contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		442
	Identification <Id>	[0..1]	Text		442

#### 11.1.14.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace2Code" on page 475

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

#### 11.1.14.1.1.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* "Max35Text" on page 487

#### 11.1.14.1.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a country code.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 462

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 11.1.14.1.3 DigitalLedgerIdentification <DgtlLdgrId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

*Datatype:* "DTI2024Identifier" on page 483

### 11.1.14.1.4 TypeAndIdentification <TpAndId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a type and identification.

**TypeAndIdentification <TpAndId>** contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		443
	Identification <Id>	[1..1]	IdentifierSet	C1	443

#### 11.1.14.1.4.1 SafekeepingPlaceType <SfkpgPlcTp>

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace1Code" on page 475

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

#### 11.1.14.1.4.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Place of safekeeping.

*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 482

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 11.1.14.1.5 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification78](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		360
	Identification <Id>	[0..1]	Text		361

### 11.1.15 Securities Quantity

#### 11.1.15.1 FinancialInstrumentQuantity18Choice

*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

##### 11.1.15.1.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 484

##### 11.1.15.1.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "[ImpliedCurrencyAndAmount](#)" on page 459

### 11.1.15.2 QuantityOrCode1Choice

*Definition:* Choice between a quantity or a code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		445
Or}	Code <Cd>	[1..1]	CodeSet		445

#### 11.1.15.2.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number.

**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		444
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		444

#### 11.1.15.2.2 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* "[Quantity1Code](#)" on page 472

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

## 11.1.16 Status

### 11.1.16.1 RejectedStatus66Choice

*Definition:* Choice between a reason and no reason for the general meeting instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		446
Or}	Reason <Rsn>	[1..*]		C4	446
	ReasonCode <RsnCd>	[1..1]			446
{Or	Code <Cd>	[1..1]	CodeSet		446
Or}	Proprietary <Prtry>	[1..1]	±		448
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		448

**11.1.16.1.1 NoSpecifiedReason <NoSpcfdRsn>***Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 468

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

**11.1.16.1.2 Reason <Rsn>***Presence:* [1..\*]*Definition:* Reason why the meeting instruction message or the individual meeting instruction is rejected.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			446
{Or	Code <Cd>	[1..1]	CodeSet		446
Or}	Proprietary <Prtry>	[1..1]	±		448
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		448

**Constraints**

- AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**11.1.16.1.2.1 ReasonCode <RsnCd>***Presence:* [1..1]*Definition:* Reason for the rejected status.**ReasonCode <RsnCd>** contains one of the following **RejectedReason70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		446
Or}	Proprietary <Prtry>	[1..1]	±		448

**11.1.16.1.2.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Rejection reason specified as a code.*Datatype:* "RejectionReason91Code" on page 473

CodeName	Name	Definition
ULNK	InvalidReference	Linked reference is unknown.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
IREG	RegistrationDiscrepancy	Invalid registration information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
OPTY	InvalidOptionType	Invalid option type.
EVNM	UnrecognisedIdentification	Unrecognised event number.
OTHR	Other	Other. See Narrative.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.

CodeName	Name	Definition
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
NACD	NotAcceptedEmailAddressMissing	Instruction to virtually attend meeting is rejected due to missing attendee email address.
EFDM	MissingOrIncorrectEntitlementFixingDate	Entitlement fixing date is missing or incorrect.
ADIM	AdditionalDisclosureInformationMissing	(I)CSD failed to receive (from underlying) or provide (to the issuer agent) the disclosure information required.
MINC	MissingInvestorClassificationDetails	Classification submitted in the instruction does not match the investor classification in the notification.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.

#### 11.1.16.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Rejection reason specified as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.16.1.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the rejection status.

*Datatype:* "[Max350Text](#)" on page 487

#### 11.1.16.2 RejectedStatus31Choice

*Definition:* Choice between a reason or no reason for the corporate action instruction processing rejected status.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		449
Or}	Reason <Rsn>	[1..*]		C6	449
	ReasonCode <RsnCd>	[1..1]			449
{Or	Code <Cd>	[1..1]	CodeSet		450
Or}	Proprietary <Prtry>	[1..1]	±		450
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		451

#### 11.1.16.2.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* "NoReasonCode" on page 468

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 11.1.16.2.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.

*Impacted by:* C6 "AdditionalReasonInformationRule"

**Reason <Rsn>** contains the following **RejectedStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			449
{Or	Code <Cd>	[1..1]	CodeSet		450
Or}	Proprietary <Prtry>	[1..1]	±		450
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		451

#### Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 11.1.16.2.2.1 ReasonCode <RsnCd>

*Presence:* [1..1]

*Definition:* Reason for the rejected status.

**ReasonCode <RsnCd>** contains one of the following **RejectedReason29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		450
Or}	Proprietary <Prtry>	[1..1]	±		450

#### 11.1.16.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason why the instruction/cancellation request has a rejected status.

*Datatype:* "RejectionReason51Code" on page 472

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

#### 11.1.16.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.16.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the rejection status.

*Datatype:* "[Max350Text](#)" on page 487

#### 11.1.16.3 CancellationProcessingStatus2

*Definition:* Status advising on the processing of the cancellation request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		451
	AdditionalInformation <AddtlInf>	[0..1]	Text		451

##### 11.1.16.3.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status code.

*Datatype:* "[CancellationStatus6Code](#)" on page 462

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

##### 11.1.16.3.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 487

#### 11.1.16.4 PendingReason81Choice

*Definition:* Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		452
Or}	Proprietary <Prtry>	[1..1]	±		452

##### 11.1.16.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Pending reason specified as a code.

*Datatype:* "PendingReason41Code" on page 469

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. It will be managed as per the bilateral service agreement between the account servicer and account owner.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
PENR	PendingReceipt	Instruction is pending receipt of securities, for example, from a purchase or loan.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.

##### 11.1.16.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Pending reason specified as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

### 11.1.16.5 CancellationStatus34Choice

*Definition:* Status applying to the instruction cancellation request received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GbICxISts>	[1..1]	±		453
Or}	DetailedCancellationStatus <DtIdCxISts>	[1..*]		C21	453
	SingleInstructionCancellationIdentification <SnglInstrCxIId>	[1..1]	Text		454
	AccountIdentification <AcctId>	[0..1]	Text		454
	SubAccountIdentification <SubAcctId>	[0..1]	Text		454
	InstructionCancellationStatus <InstrCxISts>	[1..1]	±		454

#### 11.1.16.5.1 GlobalCancellationStatus <GbICxISts>

*Presence:* [1..1]

*Definition:* Status applicable globally to the meeting instruction cancellation request message.

**GlobalCancellationStatus <GbICxISts>** contains one of the following elements (see "[CancellationStatus35Choice](#)" on page 457 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		457
Or	Rejected <Rjctd>	[1..1]	±		457
Or}	PendingCancellation <PdgCxI>	[1..1]	±		458

#### 11.1.16.5.2 DetailedCancellationStatus <DtIdCxISts>

*Presence:* [1..\*]

*Definition:* Status of individual instruction cancellation request that were received within the instruction cancellation message identified in InstructionType/InstructionCancellationIdentification.

*Impacted by:* [C21 "SubAccount1Rule"](#)

**DetailedCancellationStatus <DtIdCxlSts>** contains the following  
**DetailedInstructionCancellationStatus16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		454
	AccountIdentification <AcctId>	[0..1]	Text		454
	SubAccountIdentification <SubAcctId>	[0..1]	Text		454
	InstructionCancellationStatus <InstrCxlSts>	[1..1]	±		454

#### Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

#### 11.1.16.5.2.1 SingleInstructionCancellationIdentification <SnglInstrCxlId>

*Presence:* [1..1]

*Definition:* Identification of the specific individual instruction cancellation request from the original meeting instruction cancellation request message identified in InstructionType/ InstructionCancellationIdentification, for which the status is provided.

*Datatype:* "Max35Text" on page 487

#### 11.1.16.5.2.2 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* "Max35Text" on page 487

#### 11.1.16.5.2.3 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of the subaccount within the safekeeping account.

*Datatype:* "Max35Text" on page 487

#### 11.1.16.5.2.4 InstructionCancellationStatus <InstrCxlSts>

*Presence:* [1..1]

*Definition:* Status of an individual meeting instruction cancellation request.

**InstructionCancellationStatus <InstrCxlSts>** contains one of the following elements (see "CancellationStatus35Choice" on page 457 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		457
Or	Rejected <Rjctd>	[1..1]	±		457
Or}	PendingCancellation <PdgCxl>	[1..1]	±		458

#### 11.1.16.6 PendingCancellationStatus19Choice

*Definition:* Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		455
Or}	Reason <Rsn>	[1..*]		C7	455
	ReasonCode <RsnCd>	[1..1]			456
{Or	Code <Cd>	[1..1]	CodeSet		456
Or}	Proprietary <Prtry>	[1..1]	±		456
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		457

##### 11.1.16.6.1 NoSpecifiedReason <NoSpdfdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* "NoReasonCode" on page 468

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

##### 11.1.16.6.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.

*Impacted by:* C7 "AdditionalReasonInformationRule"

**Reason <Rsn>** contains the following **PendingCancellationStatusReason14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			456
{Or	Code <Cd>	[1..1]	CodeSet		456
Or}	Proprietary <Prtry>	[1..1]	±		456
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		457

#### Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 11.1.16.6.2.1 ReasonCode <RsnCd>

*Presence:* [1..1]

*Definition:* Reason for the pending status.

**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		456
Or}	Proprietary <Prtry>	[1..1]	±		456

#### 11.1.16.6.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Pending reason specified as a code.

*Datatype:* "PendingCancellationReason6Code" on page 469

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

#### 11.1.16.6.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Pending reason specified as a proprietary code.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		360
	Issuer <Issr>	[1..1]	Text		360
	SchemeName <SchmeNm>	[0..1]	Text		360

#### 11.1.16.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the pending status.

*Datatype:* "[Max350Text](#)" on page 487

#### 11.1.16.7 CancellationStatus35Choice

*Definition:* Status applying to the instruction cancellation request received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		457
Or	Rejected <Rjctd>	[1..1]	±		457
Or}	PendingCancellation <PdgCxl>	[1..1]	±		458

#### 11.1.16.7.1 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Status advising on the processing of the instruction cancellation request.

**ProcessingStatus <PrcgSts>** contains the following elements (see "[CancellationProcessingStatus2](#)" on page 451 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		451
	AdditionalInformation <AddtlInf>	[0..1]	Text		451

#### 11.1.16.7.2 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

**Rejected <Rjctd>** contains one of the following elements (see "[RejectedStatus31Choice](#)" on page 448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		449
Or}	Reason <Rsn>	[1..*]		C6	449
	ReasonCode <RsnCd>	[1..1]			449
{Or	Code <Cd>	[1..1]	CodeSet		450
Or}	Proprietary <Prtry>	[1..1]	±		450
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		451

### 11.1.16.7.3 PendingCancellation <PdgCxl>

*Presence:* [1..1]

*Definition:* Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

**PendingCancellation <PdgCxl>** contains one of the following elements (see "[PendingCancellationStatus19Choice](#)" on page 455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		455
Or}	Reason <Rsn>	[1..*]		C7	455
	ReasonCode <RsnCd>	[1..1]			456
{Or	Code <Cd>	[1..1]	CodeSet		456
Or}	Proprietary <Prtry>	[1..1]	±		456
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		457

## 11.1.17 System Identification

### 11.1.17.1 ClearingSystemIdentification2Choice

*Definition:* Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		458
Or}	Proprietary <Prtry>	[1..1]	Text		459

#### 11.1.17.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Identification of a clearing system, in a coded form as published in an external list.

*Datatype:* "ExternalClearingSystemIdentification1Code" on page 464

#### 11.1.17.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

*Datatype:* "Max35Text" on page 487

## 11.2 Message Datatypes

### 11.2.1 Amount

#### 11.2.1.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

*Definition:* A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 460

#### Format

minInclusive	0
totalDigits	18
fractionDigits	13

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 11.2.1.2 ImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

**Format**

minInclusive	0
totalDigits	18
fractionDigits	5

## 11.2.2 CodeSet

### 11.2.2.1 ActiveOrHistoricCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

**Format**

pattern	[A-Z]{3,3}
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**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 11.2.2.2 AdditionalRight1Code

*Definition:* Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

*Type:* CodeSet

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

### 11.2.2.3 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

CodeName	Name	Definition
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.2.2.4 AgentRole1Code

*Definition:* Specifies the role of the Issuer agent.

*Type:* CodeSet

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

#### 11.2.2.5 AttendanceAdmissionConditions2Code

*Definition:* Specifies the conditions for physical admittance to a general meeting.

*Type:* CodeSet

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

### 11.2.2.6 BusinessMessagePriorityCode

*Definition:* Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

*Type:* CodeSet

#### Constraints

- ValidationByTable

### 11.2.2.7 CancellationStatus6Code

*Definition:* Specifies the cancellation status.

*Type:* CodeSet

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

### 11.2.2.8 CopyDuplicate1Code

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Type:* CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 11.2.2.9 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

**Format**

pattern [A-Z]{2,2}

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**11.2.2.10 DateMode1Code**

*Definition:* Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

*Type:* CodeSet

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

**11.2.2.11 DateType10Code**

*Definition:* Date is specified as a fixed code value.

*Type:* CodeSet

CodeName	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
UKWN	Unknown	Date is unknown by the sender or has not been established.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

**11.2.2.12 DateType1Code**

*Definition:* Specifies when date is unknown.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

**11.2.2.13 DeliveryPlace3Code**

*Definition:* Specifies the place of delivery.

*Type:* CodeSet

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

#### 11.2.2.14 EventCompletenessStatus1Code

*Definition:* Indicates whether the details provided about an event are complete or incomplete.

*Type:* CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

#### 11.2.2.15 EventConfirmationStatus1Code

*Definition:* Indicates the status of the occurrence of an event.

*Type:* CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

#### 11.2.2.16 ExternalClearingSystemIdentification1Code

*Definition:* Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	5

#### 11.2.2.17 ExternalFinancialInstitutionIdentification1Code

*Definition:* Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.



External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 11.2.2.18 ExternalFinancialInstrumentIdentificationType1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 11.2.2.19 ExternalOrganisationIdentification1Code

*Definition:* Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 11.2.2.20 ExternalPersonIdentification1Code

*Definition:* Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
-----------	---

maxLength

4

**11.2.2.21 InvestorClassificationType1Code***Definition:* Classification of an investor in relation to a corporate action.*Type:* CodeSet

CodeName	Name	Definition
ELIG	Eligible	Investors eligible for participation in the event.
NLIG	NonEligible	Investors not eligible for participation in the event.
NQIB	NonQIB	Investors not eligible for participation in the event under US regulation.
OTHR	Other	Investors that may/may not be eligible to participate in the event as described by the issuer.
QUIB	QIB	Investors eligible for participation in the event as deemed qualified under US regulation.

**11.2.2.22 ISO2ALanguageCode***Definition:* Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.*Type:* CodeSet**Format**

pattern

[a-z]{2,2}

**11.2.2.23 MeetingCancellationReason2Code***Definition:* Specifies the reason for cancelling a meeting.*Type:* CodeSet

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

**11.2.2.24 MeetingDateStatus2Code***Definition:* Specifies a status for a meeting date.*Type:* CodeSet

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.

CodeName	Name	Definition
TNTA	Tentative	Meeting date is tentative.

#### 11.2.2.25 MeetingType4Code

*Definition:* Specifies a type of security holders meeting.

*Type:* CodeSet

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 11.2.2.26 MeetingTypeClassification2Code

*Definition:* Classifies the meeting type.

*Type:* CodeSet

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

**11.2.2.27 ModalityOfCounting1Code**

*Definition:* Specifies the modality through which the votes that have been recorded and counted.

*Type:* CodeSet

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

**11.2.2.28 NamePrefix1Code**

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

**11.2.2.29 NamePrefix2Code**

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

**11.2.2.30 NoReasonCode**

*Definition:* Specifies that there is no reason available.

*Type:* CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

**11.2.2.31 NotificationType2Code***Definition:* Specifies a type of notification.*Type:* CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

**11.2.2.32 NotificationType3Code***Definition:* Specifies a type of notification.*Type:* CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

**11.2.2.33 PartyRole3Code***Definition:* Specifies the role of the party in the transaction.*Type:* CodeSet

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

**11.2.2.34 PendingCancellationReason6Code***Definition:* Specifies a reason why the cancellation request has a pending status.*Type:* CodeSet

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

**11.2.2.35 PendingReason41Code***Definition:* Specifies a reason why the instruction is pending.*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. It will be managed as per the bilateral service agreement between the account servicer and account owner.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
PENR	PendingReceipt	Instruction is pending receipt of securities, for example, from a purchase or loan.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.

### 11.2.2.36 PlaceType1Code

*Definition:* Type of date.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

### 11.2.2.37 PowerOfAttorneyLegalisation1Code

*Definition:* Indicates the legal constraint on the Power of Attorney.

*Type:* CodeSet

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.

CodeName	Name	Definition
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

### 11.2.2.38 PreferredContactMethod1Code

*Definition:* Preferred method used to reach the individual contact within an organisation.

*Type:* CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

### 11.2.2.39 ProcessingPosition3Code

*Definition:* Specifies the processing position.

*Type:* CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

### 11.2.2.40 ProxyNotAllowed1Code

*Definition:* Indicates that the assignment of a proxy is not allowed for the meeting.

*Type:* CodeSet

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

**11.2.2.41 ProxyType3Code**

*Definition:* Specifies the type of proxy person.

*Type:* CodeSet

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

**11.2.2.42 Quantity1Code**

*Definition:* Specifies quantity of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

**11.2.2.43 RejectionReason51Code**

*Definition:* Specifies a reason why the instruction/cancellation request has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.



CodeName	Name	Definition
ULNK	InvalidReference	Linked reference is unknown.

#### 11.2.2.44 RejectionReason91Code

*Definition:* Specifies a reason why the instruction has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
ULNK	InvalidReference	Linked reference is unknown.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
IREG	RegistrationDiscrepancy	Invalid registration information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
OPTY	InvalidOptionType	Invalid option type.
EVNM	UnrecognisedIdentification	Unrecognised event number.
OTHR	Other	Other. See Narrative.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and

CodeName	Name	Definition
		belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.
NACD	NotAcceptedEmailAddressMissing	Instruction to virtually attend meeting is rejected due to missing attendee email address.
EFDM	MissingOrIncorrectEntitlementFixingDate	Entitlement fixing date is missing or incorrect.
ADIM	AdditionalDisclosureInformationMissing	(I)CSD failed to receive (from underlying) or provide (to the issuer agent) the disclosure information required.
MINC	MissingInvestorClassificationDetails	Classification submitted in the instruction does not match the investor classification in the notification.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.

#### 11.2.2.45 ResolutionStatus1Code

*Definition:* Specifies whether a resolution in an agenda is still active or has been deleted.

*Type:* CodeSet

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

#### 11.2.2.46 ResolutionStatus2Code

*Definition:* Specifies the status of a resolution in a meeting agenda.

*Type:* CodeSet

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

#### 11.2.2.47 ResolutionSubStatus1Code

*Definition:* Specifies the sub-status of a resolution.

*Type:* CodeSet

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

#### 11.2.2.48 ResolutionType2Code

*Definition:* Specifies the type of resolution.

*Type:* CodeSet

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

#### 11.2.2.49 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

#### 11.2.2.50 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

#### 11.2.2.51 SecuritiesEntryType2Code

*Definition:* Specifies the type of securities entry on an account.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

### 11.2.2.52 SecuritiesEntryType3Code

*Definition:* Specifies the type of securities entry on an account.

Type: CodeSet

CodeName	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.

### 11.2.2.53 ShortLong1Code

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

### 11.2.2.54 Status11Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.
DREG	DeregistrationCompleted	Rights holder has been deregistered from the meeting participants list.

### 11.2.2.55 ThresholdBasis1Code

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

*Type:* CodeSet

CodeName	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

### 11.2.2.56 TypeOfIdentification4Code

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

### 11.2.2.57 UnicodeChartsCode

*Definition:* codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

*Type:* CodeSet

#### Constraints

- ValidationByTable

### 11.2.2.58 VoteChannel1Code

*Definition:* Specifies the network channel through which the vote should be sent.

*Type:* CodeSet

CodeName	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

### 11.2.2.59 VoteInstruction5Code

*Definition:* Specifies a type of voting instruction that can be part of a recommendation to vote.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

### 11.2.2.60 VoteInstruction6Code

*Definition:* Specifies a type of voting instructions.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.

CodeName	Name	Definition
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

### 11.2.2.61 VoteInstruction7Code

*Definition:* Specifies a type of voting instructions.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).



CodeName	Name	Definition
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

### 11.2.2.62 VoteType1Code

*Definition:* Specifies the impact of a vote on an agenda resolution in general meetings.

*Type:* CodeSet

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

### 11.2.2.63 VotingParticipationMethod2Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

### 11.2.2.64 VotingParticipationMethod3Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

## 11.2.3 Date

### 11.2.3.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

## 11.2.4 DateTime

### 11.2.4.1 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

## 11.2.5 IdentifierSet

### 11.2.5.1 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**11.2.5.2 BICFIDec2014Identifier**

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

**Format**

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**11.2.5.3 DTI2024Identifier**

*Definition:* The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

*Type:* IdentifierSet

*Identification scheme:* Digital Token Identifier Foundation (DTIF)

**Format**

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

**11.2.5.4 ISINOct2015Identifier**

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

**Format**

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

**11.2.5.5 LEIIdentifier**

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

*Identification scheme:* Global LEI System; LEIIdentifier

**Format**

pattern [A-Z0-9]{18,18}[0-9]{2,2}

**11.2.5.6 MICIdentifier**

*Definition:* Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

*Type:* IdentifierSet

*Identification scheme:* SWIFT; MICIdentifier

**Format**

pattern [A-Z0-9]{4,4}

**11.2.6 Indicator****11.2.6.1 YesNoIndicator**

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

**11.2.7 Quantity****11.2.7.1 DecimalNumber**

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

**Format**

totalDigits 18

fractionDigits 17

### 11.2.7.2 Max30DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

*Type:* Quantity

**Format**

totalDigits	30
fractionDigits	29

### 11.2.7.3 Number

*Definition:* Number of objects represented as an integer.

*Type:* Quantity

**Format**

totalDigits	18
fractionDigits	0

## 11.2.8 Rate

### 11.2.8.1 Percentage14Rate

*Definition:* Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

*Type:* Rate

**Format**

totalDigits	14
fractionDigits	13
baseValue	100.0

## 11.2.9 Text

### 11.2.9.1 Exact3NumericText

*Definition:* Specifies a numeric string with an exact length of 3 digits.

*Type:* Text

**Format**

pattern	[0-9]{3}
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### 11.2.9.2 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern	[a-zA-Z0-9]{4}
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**11.2.9.3 ISO20022MessageIdentificationText**

*Definition:* ISO 20022 Message identifier of an MX message.

*Type:* Text

**Format**

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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**11.2.9.4 Max1025Text**

*Definition:* Specifies a character string with a maximum length of 1025 characters.

*Type:* Text

**Format**

minLength	1
maxLength	1025

**11.2.9.5 Max105Text**

*Definition:* Specifies a character string with a maximum length of 105 characters.

*Type:* Text

**Format**

minLength	1
maxLength	105

**11.2.9.6 Max128Text**

*Definition:* Specifies a character string with a maximum length of 128 characters.

*Type:* Text

**Format**

minLength	1
maxLength	128

**11.2.9.7 Max140Text**

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

minLength	1
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maxLength	140
-----------	-----

#### 11.2.9.8 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

minLength	1
maxLength	16

#### 11.2.9.9 Max2048Text

*Definition:* Specifies a character string with a maximum length of 2048 characters.

*Type:* Text

**Format**

minLength	1
maxLength	2048

#### 11.2.9.10 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

**Format**

minLength	1
maxLength	256

#### 11.2.9.11 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

minLength	1
maxLength	350

#### 11.2.9.12 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

minLength	1
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maxLength	35
-----------	----

**11.2.9.13 Max4AlphaNumericText**

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

**11.2.9.14 Max4Text**

*Definition:* Specifies a character string with a maximum length of 4 characters.

*Type:* Text

**Format**

minLength	1
maxLength	4

**11.2.9.15 Max50Text**

*Definition:* Specifies a character string with a maximum length of 50 characters.

*Type:* Text

**Format**

minLength	1
maxLength	50

**11.2.9.16 Max5NumericText**

*Definition:* Specifies a numeric string with a maximum length of 5 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,5}
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**11.2.9.17 Max70Text**

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

**Format**

minLength	1
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maxLength	70
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11.2.9.18 Max8000Text

*Definition:* Specifies a character string with a maximum length of 8000 characters.

*Type:* Text

**Format**

minLength	1
maxLength	8000

11.2.9.19 PhoneNumber

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

*Type:* Text

**Format**

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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