

ISO 20022

Target2-Securities

## Message Definition Report - Part 2

Approved by the Securities and Payments SEGs under the leadership of the Securities SEG and by the Cross-SEG Harmonisation for the Business File Header (last message set approved on 01 December 2025).

This document provides details of the Message Definitions for Target2-Securities.

September 2025

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# 1 Message Set Overview

## Introduction

This document describes the Target2-Securities message set. It includes all of the MessageDefinitions that have been developed in the scope of the Target2-Securities Business Justification and approved by the Securities and Payments SEGs, under the lead of the Securities SEG and by the Cross-SEG Harmonisation (CSH) group for the Business File Header (see BJ #42).

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
admi.005.001.02 ReportQueryRequestV02	<p>The ReportQueryRequest message is exchanged between system member and system transaction administrator.</p> <p>It aims at querying the latest available report data of a specific report type. A report is stored and available for query until the event occurs again report is replaced.</p>
admi.006.001.01 ResendRequestV01	<p>A system member or any parties authorised by them send a ResendRequest message to the Central System (such as the T2S platform). The purpose of this request is, that the requesting party wants to receive the "original" message once again. This might be caused through technical problems on the receiving side (message could not be received or processed or is missing in the message sequencing) or for archiving purposes.</p>
admi.007.001.01 ReceiptAcknowledgementV01	<p>The ReceiptAcknowledgement message is sent by the transaction administrator to a member of the system and vice versa. It is sent to acknowledge the receipt of one or multiple messages sent previously. The Acknowledgement message is 1) an application receipt acknowledgement and 2) conveys information about the processing of the original message(s). In case of 2) the ReceiptAcknowledgement can be used as a Generic error message, which provides information about the status (e.g. rejection, acceptance) of an instruction.</p>
camt.064.001.01 LimitUtilisationJournalQueryV01	<p>The LimitUtilisationJournalQuery message is exchanged between a central securities depository or a directly connected system participant (the central securities depository participant granted direct access, like a bank or a central counter party) and the central system. Its purpose is to query information regarding the journal of transaction impacting the utilisation of limits that have been defined by the system participant.</p>
camt.065.001.01 LimitUtilisationJournalReportV01	<p>The LimitUtilisationJournalReport message is exchanged between the central system and a central securities depository or a directly connected system party (the central securities depository participant granted direct access, like a bank or central counter party) and is used to return information about the limit. Its purpose is to report information regarding the journal of transactions impacting the utilisation of limits that have been defined by the system participant.</p>



MessageDefinition	Definition
camt.066.001.02 IntraBalanceMovementInstructionV02	<p>The IntraBalanceMovementInstruction message is sent from an account owner/requestor to a settlement infrastructure to instruct the movement of an amount of money within its holdings from one sub-balance to another.</p> <p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.067.001.02 IntraBalanceMovementStatusAdviceV02	<p>The IntraBalanceMovementStatusAdvice message is sent from a settlement infrastructure to an account owner/requestor to advise the status of an intra-balance movement instruction previously sent by the account owner/requestor.</p> <p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.068.001.02 IntraBalanceMovementConfirmationV02	<p>The IntraBalanceMovementConfirmation message is sent from a settlement infrastructure to an account owner/requestor to confirm the movement of an amount of money within its holdings from one sub-balance to another.</p> <p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.072.001.02 IntraBalanceMovementModificationRequestV02	<p>The IntraBalanceMovementModificationRequest message is sent from an account owner/requestor to a settlement infrastructure to request the modification of</p>

MessageDefinition	Definition
	<p>a processing indicator on a previously sent IntraBalanceMovementInstruction message.</p> <p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.073.001.02 IntraBalanceMovementModificationRequestStatusAdviceV02	<p>The IntraBalanceMovementModificationRequestStatusAdvice message is sent from a settlement infrastructure to an account owner/requestor to advise the status of an intra-balance movement modification request previously sent by the account owner/requestor.</p> <p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.074.001.02 IntraBalanceMovementCancellationRequestV02	<p>The IntraBalanceMovementCancellationRequest message is sent from an account owner/requestor to a settlement infrastructure to request the cancellation of a previously sent IntraBalanceMovementInstruction message.</p> <p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.075.001.02 IntraBalanceMovementCancellationRequestStatusAdviceV02	<p>The IntraBalanceMovementCancellationRequestStatusAdvice message is sent from a settlement infrastructure to an account owner/requestor to advise the status of an intra-balance movement cancellation request previously sent by the account owner/requestor.</p>

MessageDefinition	Definition
	<p>Usage:</p> <p>The message may be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").</li> </ul>
camt.076.001.01 BillingReportRequestV01	<p>The BillingReportRequest message is sent by the system member to the system transaction administrator to request cumulative or itemised billing data. The billing data may be cumulative information or aggregated information on the level of a single securities or a dedicated cash account within a given period for each service item.</p>
camt.077.001.01 BillingReportV01	<p>The BillingReport message is exchanged between the system transaction administrator and a system member. It aims at providing the invoice or invoice cancellation and informing about data providing cumulative or itemised details backing an invoice.</p> <p>BillingReport is used for the following usages:</p> <ul style="list-style-type: none"> <li>- Invoice</li> </ul> <p>The Cumulative Invoice will consist of the following:</p> <ul style="list-style-type: none"> <li>- Sum of the total number of units per service item for each CSD or CB;</li> <li>- Sum of the amounts based on the total number of units per service item for each CSD or CB;</li> <li>- Cancellation status of the invoice data.</li> <li>- Invoice Cancellation</li> <li>- Cumulative Billing Data Query Response:</li> </ul> <p>The BillingReport message is also used to inform the system member about details backing an invoice. This can be sent via cumulative billing data or itemised billing data as response to an invoice query message.</p> <p>Itemised Billing Data Query Response: The BillingReport message is also used to inform the system member about details backing an invoice. This can be via cumulative billing data or itemised billing data as response to an invoice query message.</p>
camt.078.001.02 IntraBalanceMovementQueryV02	<p>The IntraBalanceMovementQuery message is sent from an account owner/requestor to a settlement infrastructure to query intra-balance movement</p>

MessageDefinition	Definition
	<p>instructions, along with their current status, based on a set of search criteria.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.079.001.02 IntraBalanceMovementQueryResponseV02	<p>The IntraBalanceMovementQueryResponse message is sent from a settlement infrastructure to an account owner/requestor to provide all intra-balance movement instructions satisfying the selection criteria, as defined within the query, returning current attributes and latest status.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.080.001.02 IntraBalanceMovementModificationQueryV02	<p>The IntraBalanceMovementModificationQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of intra-balance movement modification instruction(s) based on a set of search criteria or business attributes.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.081.001.02 IntraBalanceMovementModificationReportV02	<p>The IntraBalanceMovementModificationReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of the intra-balance movement modification request(s), as defined within the query.</p> <p>The message may also be used to:</p>

MessageDefinition	Definition
	<ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.082.001.02 IntraBalanceMovementCancellationQueryV02	<p>The IntraBalanceMovementCancellationQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of intra-balance movement cancellation instruction(s) based on a set of search criteria or business attributes.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.083.001.02 IntraBalanceMovementCancellationReportV02	<p>The IntraBalanceMovementCancellationReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of the intra-balance movement cancellation request(s), as defined within the query.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.084.001.02 IntraBalanceMovementPostingReportV02	<p>This IntraBalanceMovementPostingReport message is sent from a settlement infrastructure to an account owner/requestor to report the intra-balance movement instructions, previously sent by the account owner, that have a settled status.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> </ul>

MessageDefinition	Definition
	<ul style="list-style-type: none"> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
camt.085.001.02 IntraBalanceMovementPendingReportV02	<p>This IntraBalanceMovementPendingReport message is sent from a settlement infrastructure to an account owner/request to report the intra-balance movement instructions, previously sent by the account owner, that have a pending status.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
colr.001.001.02 CollateralValueQueryV02	<p>The CollateralValueQuery message is sent by a system member (such as a directly connected party) to the system transaction administrator to query the current available value of securities for auto collateralisation for one specific or several cash accounts.</p>
colr.002.001.02 CollateralValueReportV02	<p>The CollateralValueReport message is sent by the system transaction administrator to a system member (such as a directly connected party) to provide further information the current available value of securities for auto collateralisation for one specific or several cash accounts.</p>
head.002.001.01 BusinessFileHeaderV01	<p>The Business File Header contains information about the parties involved in the file exchange, as well as information about the content and its structure.</p> <p>The Business File headers has four major functions:</p> <ul style="list-style-type: none"> <li>- Provides information about the sender of the file.</li> <li>- Identifies the file exchanged: each file must have a unique File Identifier.</li> <li>- Describes the type of message(s) exchanged: by default, the type of messages contained in a file will be ISO 20022 message definitions.</li> <li>- Provides processing information to the receiving party: that would be, for instance, the total number of messages included in the file.</li> </ul> <p>Usage: The Business File Header (head.002) is to be used exclusively in existing implementations, such as the Eurosystem, and will be replaced for general use by the upcoming next version defined as the Business File Envelope (nvlp.002). The Business File Header (head.002) will therefore not be maintained in the</p>

MessageDefinition	Definition
	future and any new implementation must use the Business File Envelope (nvlp.002).
reda.006.001.01 SecurityCreationRequestV01	<p>An instructing party sends a SecurityCreationRequest message to an executing/servicing party to request the creation of financial instrument static details in their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.007.001.01 SecurityMaintenanceRequestV01	<p>An instructing party sends a SecurityMaintenanceRequest message to an executing/servicing party to request the maintenance of financial instrument details in their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.008.001.01 SecurityCreationStatusAdviceV01	<p>An executing/servicing party sends a SecurityCreationStatusAdvice message to an instructing party to report the status of a SecurityCreationRequest message previously sent by the instructing party.</p> <p>The SecurityCreationStatusAdvice message may be sent as a response to the request of the instructing party or not.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> </ul>

MessageDefinition	Definition
	<ul style="list-style-type: none"> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.009.001.01 SecurityActivityAdviceV01	<p>The SecurityActivityReport message is sent by the executing party to an instructing party containing information about changes on securities reference data.</p> <p>Scope and usage:</p> <p>It aims at informing about the changes occurred during a business date for securities reference data.</p>
reda.010.001.01 SecurityQueryV01	<p>An instructing party sends a SecurityQuery message to an executing/servicing party to request a report of financial instrument details in their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.012.001.01 SecurityReportV01	<p>An executing/servicing party sends a SecurityReport message to an instructing party to advise the last known image of securities data's.</p> <p>The report may be sent upon request (for example a query) of the instructing party or push by the executing/servicing party.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul> <p>Initiator: executing/servicing party.</p>
reda.013.001.01 SecurityDeletionRequestV01	<p>An instructing party sends a SecurityDeletionRequest message to an executing/servicing party to advise the</p>



MessageDefinition	Definition
	<p>complete removal of a financial instrument entry from their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.014.001.02 PartyCreationRequestV02	<p>Scope:</p> <p>The PartyCreationRequest message is sent by an instructing party to the executing party to request for the creation of party reference data for a new party in the executing system.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new party with corresponding details.</p> <p>Processing and confirmation of the party creation request are provided via a party status advice.</p>
reda.015.001.01 PartyQueryV01	<p>Scope:</p> <p>The PartyQuery message is sent by a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading party or a stock exchange to the executing party to query for the party reference data of a party defined in the system.</p>
reda.016.001.01 PartyStatusAdviceV01	<p>Scope:</p> <p>The PartyStatusAdvice message is sent by the executing party to the instructing party to provide details about the processing of a request on party reference data (create or update).</p> <p>Usage:</p> <p>When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.</p> <p>When processing is successfully performed, the message includes the related party identification.</p>
reda.017.001.02 PartyReportV02	<p>Scope:</p> <p>The PartyReport message is sent by the executing party to a central securities depository, a national central bank, a central securities depository participant,</p>

MessageDefinition	Definition
	a central counter party, a payment bank, a trading party or a stock exchange to provide detailed information on the requested party reference data of the party defined in the system.
reda.018.001.01 SecuritiesAccountCreationRequestV01	<p>The SecuritiesAccountCreationRequest message is sent by an instructing party to the executing party to instruct the creation of a new securities account with the required account attributes details.</p> <p>Usage:</p> <p>Processing and confirmation of the securities account creation request message are provided via a SecuritiesAccountStatusAdvice message.</p>
reda.019.001.01 SecuritiesAccountQueryV01	The SecuritiesAccountQuery message sent by an instructing party to the executing party to request the details related to the securities account.
reda.020.001.01 SecuritiesAccountStatusAdviceV01	<p>The SecuritiesAccountStatusAdvice message is sent by the the executing party to an instructing party to provide the status of the execution of an creation, modification or deletion of securities account reference data.</p> <p>Usage:</p> <p>When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.</p> <p>When the processing is successfully performed, the message includes the related securities account identification.</p>
reda.021.001.01 SecuritiesAccountReportV01	The SecuritiesAccountReport message sent by the executing party to an instructing party to provide the details of the securities account details as requested in the query.
reda.022.001.02 PartyModificationRequestV02	<p>Scope:</p> <p>The PartyModificationRequest message is sent by the instructing party to the executing party to request for an update of the party reference data of a party defined in the executing system.</p> <p>Usage:</p> <p>It aims at instructing the update of an existing party by amending its existing details or by providing additional details.</p> <p>Processing and confirmation of the party modification request message are provided via a party status advice.</p>
reda.023.001.01 SecuritiesAccountModificationRequestV01	The SecuritiesAccountModificationRequest message is sent by an instructing party to the executing party to

MessageDefinition	Definition
	<p>instruct the update of an existing securities account by amending its existing attributes or by providing additional attributes details.</p> <p>Usage:</p> <p>Processing and confirmation of the securities account creation request message are provided via a SecuritiesAccountStatusAdvice message.</p>
reda.024.001.01 CollateralValueCreationRequestV01	<p>The CollateralValueCreationRequest message is sent by the instructing party to the executing party to request for the creation of a collateral value in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new collateral value with corresponding details.</p> <p>Processing and confirmation of the collateral value creation request message are provided via a collateral management status advice.</p>
reda.025.001.01 EligibleSecuritiesCreationRequestV01	<p>The EligibleSecuritiesCreationRequest message is exchanged between an instructing party and the executing party to request for the creation of an eligible securities in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new eligible securities with corresponding details.</p> <p>Processing and confirmation of the eligible securities creation request message are provided via a collateral management status advice.</p>
reda.026.001.01 EligibleCounterpartCSDCreationRequestV01	<p>The EligibleCounterpartCSDCreationRequest message is sent by an instructing party to the executing party to request for the creation of an eligible counterpart CSD in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new eligible counterpart CSD with corresponding details.</p> <p>Processing and confirmation of the eligible counterpart CSD creation request message are provided via a collateral management status advice.</p>
reda.027.001.01 CloseLinkCreationRequestV01	<p>The CloseLinkCreationRequest message is sent by an instructing party to the executing party to request for the creation of a close link in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new close link with corresponding details.</p>

MessageDefinition	Definition
	Processing and confirmation of the close link creation request message are provided via a collateral management status advice.
reda.028.001.01 CollateralDataStatusAdviceV01	<p>The CollateralDataStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information of a request on collateral management reference data.</p> <p>Usage:</p> <p>It aims at informing about the status of the request.</p>
reda.029.001.01 SecurityMaintenanceStatusAdviceV01	<p>An executing/servicing party sends a SecurityMaintenanceStatusAdvice message to an instructing party to report the status of a SecurityMaintenanceRequest message previously sent by the instructing party.</p> <p>The SecurityMaintenanceStatusAdvice message may be sent as a response to the request of the instructing party or not.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.030.001.01 SecurityDeletionStatusAdviceV01	<p>An executing/servicing party sends a SecurityDeletionStatusAdvice message to an instructing party to report the status of a SecurityDeletionRequest message previously sent by the instructing party.</p> <p>The SecurityDeletionStatusAdvice message is sent as a response to the request of the instructing party.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>

MessageDefinition	Definition
reda.031.001.01 PartyDeletionRequestV01	<p>Scope:</p> <p>The PartyDeletionRequest message is sent by the instructing party to the executing party to request a deletion of a party defined in the executing system.</p> <p>Usage:</p> <p>It aims at instructing the deletion of an existing party by providing its identification.</p> <p>Processing of the party deletion request message is provided via a party status advice.</p>
reda.032.001.01 SecuritiesAccountDeletionRequestV01	<p>The SecuritiesAccountDeletionRequest message is sent by an instructing party to the executing party to request for the deletion of a securities account from the securities account reference data defined in the system of the executing party.</p> <p>Usage:</p> <p>It aims at instructing the deletion of an existing securities account providing securities account identification.</p> <p>The result of the deletion is provided through a SecuritiesAccountStatusAdvice message.</p>
reda.033.001.01 SecuritiesAuditTrailQueryV01	<p>The SecuritiesAuditTrailQuery message is sent by an instructing party to the executing party to query for the securities audit trail recorded in the system.</p>
reda.034.001.01 SecuritiesAuditTrailReportV01	<p>The SecuritiesAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested securities audit trail recorded in the system.</p>
reda.035.001.01 SecuritiesAccountActivityAdviceV01	<p>The SecuritiesAccountActivityReport message is sent by the executing party to an instructing party containing information about changes on securities account reference data.</p> <p>Scope and usage:</p> <p>It aims at informing about the changes occurred during a business date for securities account reference data.</p>
reda.036.001.01 SecuritiesAccountAuditTrailQueryV01	<p>The SecuritiesAccountAuditTrailQuery message is sent by an instructing party to the executing party to query for the securities account audit trail recorded in the system.</p>
reda.037.001.01 SecuritiesAccountAuditTrailReportV01	<p>The SecuritiesAccountAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested securities account audit trail recorded in the system.</p>
reda.041.001.02 PartyActivityAdviceV02	<p>The PartyActivityReport message is sent by the executing party to an instructing party containing information about changes on party reference data.</p> <p>Scope and usage:</p>

MessageDefinition	Definition
	It aims at informing about the changes occurred during a business date for party reference data.
reda.042.001.01 PartyAuditTrailQueryV01	The PartyAuditTrailQuery message is sent by an instructing party to the executing party to query for the party audit trail recorded in the system.
reda.043.001.02 PartyAuditTrailReportV02	The PartyAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested party audit trail recorded in the system.
reda.044.001.01 EligibleCounterpartCSDStatusAdviceV01	<p>The EligibleCounterpartCSDStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information for a request on eligible counterpart CSD reference data.</p> <p>Usage:</p> <p>It aims at informing about the status of the request.</p>
reda.045.001.01 SecurityCSDLinkCreationRequestV01	<p>An instructing party sends a SecurityCSDLinkCreationRequest message to an executing/servicing party to request the creation of the link between a financial instrument and a CSD in their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security CSD Link static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.046.001.01 SecurityCSDLinkMaintenanceRequestV01	<p>An instructing party sends a SecurityCSDLinkMaintenanceRequest message to an executing/servicing party to request the maintenance of the link between a financial instrument and a CSD in their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security CSD Link static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>

MessageDefinition	Definition
reda.047.001.01 SecurityCSDLinkStatusAdviceV01	<p>An executing/servicing party sends a SecurityCSDLinkStatusAdvice message to an instructing party to report the status of a SecurityCSDLink Request message previously sent by the instructing party.</p> <p>The SecurityCSDLinkStatusAdvice message may be sent as a response to the request of the instructing party or not.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish security CSD Link static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul>
reda.049.001.01 AccountLinkCreationRequestV01	
reda.050.001.01 AccountLinkMaintenanceRequestV01	<p>SCOPE:</p> <p>The AccountLinkMaintenanceRequest message is sent by the instructing party to the executing/servicing party to request for the maintenance of an account link in their system.</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish account link static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul> <p>USAGE:</p> <p>The request is sent when the instructing party identified a gap in the account link coverage of the executing/servicing party. The instructing party needs this account link to be maintained at the executing / servicing party to perform its activities.</p> <p>Initiator: instructing party.</p>
reda.051.001.01 AccountLinkStatusAdviceV01	<p>SCOPE:</p> <p>The AccountLinkStatusAdvice message is sent by the executing/servicing party to the instructing party to</p>

MessageDefinition	Definition
	<p>provide details about the processing of a request on account link reference data (create or update).</p> <p>The instructing party - executing/servicing party relationship may be:</p> <ul style="list-style-type: none"> <li>- Central Securities Depositories (CSD) who would like to publish account link static data, or</li> <li>- a Corporate, or</li> <li>- a Bank, or</li> <li>- a Market Infrastructure, or</li> <li>- a Market Data Provider.</li> </ul> <p>USAGE:</p> <p>Initiator: executing/servicing party</p> <p>When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.</p> <p>When the processing is successfully performed, the message includes the related account link identification.</p>
reda.075.001.01 EligibleSecuritiesDeletionRequestV01	<p>The EligibleSecuritiesDeletionRequest message is exchanged between an instructing party and the executing party to request for the deletion of an eligible securities in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the deletion of a existing eligible securities with corresponding details.</p> <p>Processing and confirmation of the eligible securities deletion request message are provided via a collateral management status advice.</p>
reda.077.001.01 CloseLinkDeletionRequestV01	<p>The CloseLinkDeletionRequest message is sent by an instructing party to the executing party to request for the deletion of a close link in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the deletion of an existing close link with corresponding details.</p> <p>Processing and confirmation of the close link creation request message are provided via a collateral management status advice.</p>
semt.028.001.01	<p>The IntraPositionMovementQuery message is sent from an account owner/requestor to a settlement</p>



MessageDefinition	Definition
IntraPositionMovementQueryV01	<p>infrastructure to query intra-position movement instructions, along with their current status, based on a set of search criteria.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
sem029.001.01 IntraPositionMovementQueryResponseV01	<p>The IntraPositionMovementQueryResponse message is sent from a settlement infrastructure to an account owner/requestor to provide all intra-position movement instructions satisfying the selection criteria, as defined within the query, returning current attributes and latest status.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
sem030.001.01 SecuritiesSettlementConditionsModificationRequestQueryV01	<p>The SecuritiesSettlementConditionsModificationRequestQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of settlement or intra-position movement modification instruction(s) based on a set of search criteria or business attributes.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
sem031.001.01 SecuritiesSettlementConditionsModificationRequestReportV01	<p>The SecuritiesSettlementConditionsModificationRequestReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of the settlement or intra-position</p>

MessageDefinition	Definition
	<p>movement modification request(s), as defined within the query.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
<p>semt.032.001.01 SecuritiesTransactionCancellationRequestQueryV01</p>	<p>The SecuritiesTransactionCancellationRequestQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of settlement or intra-position movement cancellation instruction(s) based on a set of search criteria or business attributes.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>
<p>semt.033.001.01 SecuritiesTransactionCancellationRequestReportV01</p>	<p>The SecuritiesTransactionCancellationRequestReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of settlement or the intra-position movement cancellation request(s), as defined within the query.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message previously sent (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").</li> </ul>

MessageDefinition	Definition
semt.034.001.01 IntraPositionMovementPendingReportV01	<p>This IntraPositionMovementPendingReport message is sent from a settlement infrastructure to an account owner/request to report the intra-position movement instructions, previously sent by the account owner, that have a pending status.</p> <p>The message may also be used to:</p> <ul style="list-style-type: none"> <li>- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")</li> <li>- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")</li> <li>- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").</li> </ul>

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## **2      admi.005.001.02 ReportQueryRequestV02**

### **2.1      MessageDefinition Functionality**

The ReportQueryRequest message is exchanged between system member and system transaction administrator.

It aims at querying the latest available report data of a specific report type. A report is stored and available for query until the event occurs again report is replaced.

#### **Outline**

The ReportQueryRequestV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. **MessageHeader**

Set of elements to identify the report query request message.

B. **ReportQueryCriteria**

Definition of the report query criteria.

C. **SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RptQryReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[1..1]	±		35
	<b>ReportQueryCriteria</b> <RptQryCrit>	[0..*]			36
	<b>NewQueryName</b> <NewQryNm>	[0..1]	Text		38
	<b>SearchCriteria</b> <SchCrit>	[1..1]			38
	<b>AccountIdentification</b> <AcctId>	[0..*]			40
{Or	<b>Equal</b> <EQ>	[1..1]	±		41
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		41
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		41
	<b>Balance</b> <Bal>	[0..*]		C3, C4	41
	<b>Type</b> <Tp>	[0..*]			43
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		43
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		43
	<b>CounterpartyType</b> <CtrPtyTp>	[1..1]	CodeSet		43
	<b>CounterpartyIdentification</b> <CtrPtyId>	[0..*]	±		44
	<b>ValueDate</b> <ValDt>	[0..*]			44
{Or	<b>DateTime</b> <DtTm>	[1..1]			45
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		45
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		45
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		46
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		46
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		46
Or}	<b>Date</b> <Dt>	[1..1]			46
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		46
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		46
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		47
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		47
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		47
	<b>ProcessingDate</b> <PrcgDt>	[0..1]			47
{Or	<b>DateTime</b> <DtTm>	[1..1]			48

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		48
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		48
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		49
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		49
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		49
Or}	<b>Date</b> <Dt>	[1..1]			49
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		49
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		49
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		50
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		50
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		50
	<b>ReportName</b> <RptNm>	[0..1]	Text		50
	<b>MessageNameIdentification</b> <MsgNmId>	[0..1]	Text		50
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		50
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		51
	<b>DateSearch</b> <DtSch>	[0..1]			51
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		51
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		51
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		51
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		52
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		52
	<b>ScheduledTime</b> <SchldIdTm>	[0..1]			52
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		52
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		52
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		52
	<b>Event</b> <Evt>	[0..1]			53
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		53
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		53
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C7	53

## 2.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 CounterpartyIdentification1Rule

If at least one occurrence of CounterpartyType is present and is equal to Multilateral (MULT), then no occurrence of CounterpartyIdentification is allowed.

If CounterpartyType is not present, then any occurrence of CounterpartyIdentification is optional.

### C4 CounterpartyIdentification2Rule

If at least one occurrence of CounterpartyType is present and is equal to Bilateral (BILA), then at least one occurrence of CounterpartyIdentification must be present.

If CounterpartyType is not present, then any occurrence of CounterpartyIdentification is optional.

### C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C6 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 2.4.1 MessageHeader <MsgHdr>

*Presence:* [1..1]

*Definition:* Set of elements to identify the report query request message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader7" on page 2656 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2657
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2657
	RequestType <ReqTp>	[0..1]			2657
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2657
Or	Enquiry <Enqry>	[1..1]	CodeSet		2657
Or}	Proprietary <Prtry>	[1..1]	±		2657
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2658
	QueryName <QryNm>	[0..1]	Text		2658

## 2.4.2 ReportQueryCriteria <RptQryCrit>

*Presence:* [0..\*]

*Definition:* Definition of the report query criteria.



**ReportQueryCriteria <RptQryCrit> contains the following ReportQueryCriteria3 elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NewQueryName</b> <NewQryNm>	[0..1]	Text		38
	<b>SearchCriteria</b> <SchCrit>	[1..1]			38
	<b>AccountIdentification</b> <AcctId>	[0..*]			40
{Or	<b>Equal</b> <EQ>	[1..1]	±		41
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		41
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		41
	<b>Balance</b> <Bal>	[0..*]		C3, C4	41
	<b>Type</b> <Tp>	[0..*]			43
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		43
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		43
	<b>CounterpartyType</b> <CtrPtyTp>	[1..1]	CodeSet		43
	<b>CounterpartyIdentification</b> <CtrPtyId>	[0..*]	±		44
	<b>ValueDate</b> <ValDt>	[0..*]			44
{Or	<b>DateTime</b> <DtTm>	[1..1]			45
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		45
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		45
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		46
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		46
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		46
Or}	<b>Date</b> <Dt>	[1..1]			46
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		46
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		46
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		47
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		47
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		47
	<b>ProcessingDate</b> <PrcgDt>	[0..1]			47
{Or	<b>DateTime</b> <DtTm>	[1..1]			48
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		48
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		48
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		49
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		49

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		49
Or}	<b>Date</b> <Dt>	[1..1]			49
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		49
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		49
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		50
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		50
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		50
	<b>ReportName</b> <RptNm>	[0..1]	Text		50
	<b>MessageNameIdentification</b> <MsgNmId>	[0..1]	Text		50
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		50
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		51
	<b>DateSearch</b> <DtSch>	[0..1]			51
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		51
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		51
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		51
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		52
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		52
	<b>ScheduledTime</b> <SchldtTm>	[0..1]			52
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		52
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		52
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		52
	<b>Event</b> <Evt>	[0..1]			53
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		53
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		53

#### 2.4.2.1 NewQueryName <NewQryNm>

*Presence:* [0..1]

*Definition:* Name of the query defined by the search criteria and return criteria.

*Datatype:* "Max35Text" on page 2865

#### 2.4.2.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to be used to extract the account information.

**SearchCriteria <SchCrit>** contains the following **ReportQuerySearchCriteria3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..*]			40
{Or	<b>Equal</b> <EQ>	[1..1]	±		41
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		41
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		41
	<b>Balance</b> <Bal>	[0..*]		C3, C4	41
	<b>Type</b> <Tp>	[0..*]			43
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		43
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		43
	<b>CounterpartyType</b> <CtrPtyTp>	[1..1]	CodeSet		43
	<b>CounterpartyIdentification</b> <CtrPtyId>	[0..*]	±		44
	<b>ValueDate</b> <ValDt>	[0..*]			44
{Or	<b>DateTime</b> <DtTm>	[1..1]			45
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		45
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		45
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		46
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		46
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		46
Or}	<b>Date</b> <Dt>	[1..1]			46
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		46
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		46
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		47
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		47
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		47
	<b>ProcessingDate</b> <PrcgDt>	[0..1]			47
{Or	<b>DateTime</b> <DtTm>	[1..1]			48
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		48
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		48
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		49
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		49
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		49
Or}	<b>Date</b> <Dt>	[1..1]			49

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		49
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		49
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		50
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		50
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		50
	<b>ReportName</b> <RptNm>	[0..1]	Text		50
	<b>MessageNameIdentification</b> <MsgNmId>	[0..1]	Text		50
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		50
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		51
	<b>DateSearch</b> <DtSch>	[0..1]			51
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		51
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		51
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		51
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		52
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		52
	<b>ScheduledTime</b> <SchlddTm>	[0..1]			52
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		52
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		52
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		52
	<b>Event</b> <Evt>	[0..1]			53
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		53
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		53

#### 2.4.2.2.1 AccountIdentification <AcctId>

*Presence:* [0..\*]

*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.

**AccountIdentification <AcctId>** contains one of the following **AccountIdentificationSearchCriteria2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Equal</b> <EQ>	[1..1]	±		41
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		41
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		41

#### 2.4.2.2.1.1 Equal <EQ>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on exact identification of the account(s).

**Equal <EQ>** contains one of the following elements (see ["AccountIdentification4Choice"](#) on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 2.4.2.2.1.2 ContainText <CTTxt>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on partial identification of the account(s).

*Datatype:* ["Max35Text"](#) on page 2865

#### 2.4.2.2.1.3 NotContainText <NCTTxt>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on a pattern that cannot be contained in the account identification.

*Datatype:* ["Max35Text"](#) on page 2865

#### 2.4.2.2.2 Balance <Bal>

*Presence:* [0..\*]

*Definition:* Numerical representation of the net increases and decreases in an account at a specific point in time. A cash balance is calculated from a sum of cash credits minus a sum of cash debits.

*Impacted by:* [C3 "CounterpartyIdentification1Rule"](#), [C4 "CounterpartyIdentification2Rule"](#)

**Balance <Bal>** contains the following **CashBalance14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[0..*]			43
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		43
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		43
	<b>CounterpartyType &lt;CtrPtyTp&gt;</b>	[1..1]	CodeSet		43
	<b>CounterpartyIdentification &lt;CtrPtyId&gt;</b>	[0..*]	±		44
	<b>ValueDate &lt;ValDt&gt;</b>	[0..*]			44
{Or	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			45
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		45
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		45
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		46
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		46
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		46
Or}	<b>Date &lt;Dt&gt;</b>	[1..1]			46
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		46
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		46
Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	±		47
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		47
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		47
	<b>ProcessingDate &lt;PrcgDt&gt;</b>	[0..1]			47
{Or	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			48
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		48
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		48
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		49
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		49
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		49
Or}	<b>Date &lt;Dt&gt;</b>	[1..1]			49
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		49
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		49
Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	±		50
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		50
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		50

## Constraints

### • CounterpartyIdentification1Rule

If at least one occurrence of CounterpartyType is present and is equal to Multilateral (MULT), then no occurrence of CounterpartyIdentification is allowed.

If CounterpartyType is not present, then any occurrence of CounterpartyIdentification is optional.

On Condition

/CounterpartyType is present

And /CounterpartyType is equal to value 'Multilateral'

Following Must be True

/CounterpartyIdentification[1] Must be absent

### • CounterpartyIdentification2Rule

If at least one occurrence of CounterpartyType is present and is equal to Bilateral (BILA), then at least one occurrence of CounterpartyIdentification must be present.

If CounterpartyType is not present, then any occurrence of CounterpartyIdentification is optional.

On Condition

/CounterpartyType is present

And /CounterpartyType is equal to value 'Bilateral'

Following Must be True

/CounterpartyIdentification[1] Must be present

#### 2.4.2.2.2.1 Type <Tp>

*Presence:* [0..\*]

*Definition:* Specifies the nature of a balance.

**Type <Tp>** contains one of the following **BalanceType11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		43
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		43

##### 2.4.2.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the nature of a balance, in a coded form.

*Datatype:* "ExternalSystemBalanceType1Code" on page 2820

##### 2.4.2.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the nature of a balance, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

##### 2.4.2.2.2.2 CounterpartyType <CtrPtyTp>

*Presence:* [1..1]

*Definition:* Specifies the type of counterparty for which the balance is calculated.

*Datatype:* "BalanceCounterparty1Code" on page 2800

CodeName	Name	Definition
BILA	Bilateral	Balance calculated regarding one member in the system.
MULT	Multilateral	Balance calculated regarding all members in the system.

#### 2.4.2.2.2.3 CounterpartyIdentification <CtrPtyId>

*Presence:* [0..\*]

*Definition:* Specifies the counterparty for which the balance is calculated.

**CounterpartyIdentification <CtrPtyId>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 2.4.2.2.2.4 ValueDate <ValDt>

*Presence:* [0..\*]

*Definition:* Date and time at which the balance is or will be available.



**ValueDate <ValDt>** contains one of the following **DateAndDateTimeSearch4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			45
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		45
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		45
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		46
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		46
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		46
Or}	<b>Date &lt;Dt&gt;</b>	[1..1]			46
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		46
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		46
Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		46
Or	<b>FromToDate &lt;FrToDt&gt;</b>	[1..1]	±		47
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		47
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		47

#### 2.4.2.2.2.4.1 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Patterns to search a date time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		45
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		45
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		46
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		46
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		46

##### 2.4.2.2.2.4.1.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 2858

##### 2.4.2.2.2.4.1.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 2.4.2.2.2.4.1.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 2.4.2.2.2.4.1.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 2.4.2.2.2.4.1.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 2.4.2.2.2.4.2 Date <Dt>

*Presence:* [1..1]

*Definition:* Patterns to search a date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		46
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		46
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		47
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		47
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		47

##### 2.4.2.2.2.4.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* ["ISODate"](#) on page 2858

##### 2.4.2.2.2.4.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.2.4.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 2.4.2.2.2.4.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.2.4.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.2.5 ProcessingDate <PrcgDt>

*Presence:* [0..1]

*Definition:* Date or date time when the balance was last updated following an entry posted to the account, in the books of the account servicing institution.

**ProcessingDate <PrcgDt>** contains one of the following **DateAndDateTimeSearch4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			48
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		48
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		48
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		49
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		49
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		49
Or}	<b>Date &lt;Dt&gt;</b>	[1..1]			49
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		49
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		49
Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		49
Or	<b>FromToDate &lt;FrToDt&gt;</b>	[1..1]	±		50
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		50
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		50

#### 2.4.2.2.2.5.1 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Patterns to search a date time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		48
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		48
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		49
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		49
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		49

##### 2.4.2.2.2.5.1.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 2858

##### 2.4.2.2.2.5.1.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 2.4.2.2.2.5.1.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 2.4.2.2.2.5.1.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODatetime" on page 2858

#### 2.4.2.2.2.5.1.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODatetime" on page 2858

#### 2.4.2.2.2.5.2 Date <Dt>

*Presence:* [1..1]

*Definition:* Patterns to search a date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		49
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		49
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		50
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		50
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		50

##### 2.4.2.2.2.5.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 2.4.2.2.2.5.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.5.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 2.4.2.2.5.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.5.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.3 ReportName <RptNm>

*Presence:* [0..1]

*Definition:* Values of possible reports.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 2.4.2.2.4 MessageNameIdentification <MsgNmId>

*Presence:* [0..1]

*Definition:* Specifies the query message name identifier to which the message refers.

*Datatype:* "Max35Text" on page 2865

#### 2.4.2.2.5 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Report owning party.

**PartyIdentification <PtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 2.4.2.2.6 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Responsible Party of the report owning party.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 2.4.2.2.7 DateSearch <DtSch>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**DateSearch <DtSch>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		51
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		51
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		51
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		52
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		52

##### 2.4.2.2.7.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 2.4.2.2.7.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

##### 2.4.2.2.7.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 2.4.2.2.7.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.7.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 2.4.2.2.8 ScheduledTime <SchdldTm>

*Presence:* [0..1]

*Definition:* Time when the (business) event, which triggered the report, was scheduled.

**ScheduledTime <SchdldTm>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		52
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		52
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		52

##### 2.4.2.2.8.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

##### 2.4.2.2.8.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODateTime" on page 2858

##### 2.4.2.2.8.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.



**DateTimeRange <DtTmRg>** contains the following elements (see "[DateTimePeriod1](#)" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 2.4.2.2.9 Event <Evt>

*Presence:* [0..1]

*Definition:* Execution type is executed based on an event driven trigger.

**Event <Evt>** contains one of the following **EventType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		53
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		53

##### 2.4.2.2.9.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of the event, in a coded form as published in an external list.

*Datatype:* "[ExternalSystemEventType1Code](#)" on page 2820

##### 2.4.2.2.9.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of the event, in a free text form.

*Datatype:* "[Max35Text](#)" on page 2865

### 2.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C7 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

---

## Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 3 admi.006.001.01 ResendRequestV01

### 3.1 MessageDefinition Functionality

A system member or any parties authorised by them send a ResendRequest message to the Central System (such as the T2S platform). The purpose of this request is, that the requesting party wants to receive the "original" message once again. This might be caused through technical problems on the receiving side (message could not be received or processed or is missing in the message sequencing) or for archiving purposes.

#### Outline

The ResendRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. MessageHeader  
Set of elements to identify the resend message.
- B. ResendSearchCriteria  
Defines the criteria to unambiguously identify the information to be resent.
- C. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

### 3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RsndReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[1..1]	±		56
	<b>ResendSearchCriteria</b> <RsndSchCrit>	[1..*]			56
	<b>BusinessDate</b> <BizDt>	[0..1]	Date		57
	<b>SequenceNumber</b> <SeqNb>	[0..1]	Text		57
	<b>SequenceRange</b> <SeqRg>	[0..1]	±		57
	<b>OriginalMessageNameIdentification</b> <OrgnlMsgNmId>	[0..1]	Text		58
	<b>FileReference</b> <FileRef>	[0..1]	Text		58
	<b>Recipient</b> <Rcpt>	[1..1]	±		58
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	58

## 3.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 3.4.1 MessageHeader <MsgHdr>

*Presence:* [1..1]

*Definition:* Set of elements to identify the resend message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader7](#)" on page 2656 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2657
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2657
	RequestType <ReqTp>	[0..1]			2657
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2657
Or	Enquiry <Enqry>	[1..1]	CodeSet		2657
Or}	Proprietary <Prtry>	[1..1]	±		2657
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2658
	QueryName <QryNm>	[0..1]	Text		2658

### 3.4.2 ResendSearchCriteria <RsndSchCrit>

*Presence:* [1..\*]

*Definition:* Defines the criteria to unambiguously identify the information to be resent.

**ResendSearchCriteria <RsndSchCrit>** contains the following **ResendSearchCriteria2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BusinessDate</b> <BizDt>	[0..1]	Date		57
	<b>SequenceNumber</b> <SeqNb>	[0..1]	Text		57
	<b>SequenceRange</b> <SeqRg>	[0..1]	±		57
	<b>OriginalMessageNameIdentification</b> <OrgnlMsgNmId>	[0..1]	Text		58
	<b>FileReference</b> <FileRef>	[0..1]	Text		58
	<b>Recipient</b> <Rcpt>	[1..1]	±		58

### 3.4.2.1 BusinessDate <BizDt>

*Presence:* [0..1]

*Definition:* Date of the business day of the requested messages the resend function is used for.

*Datatype:* "ISODate" on page 2858

### 3.4.2.2 SequenceNumber <SeqNb>

*Presence:* [0..1]

*Definition:* Independent counter for message sequence, which is available once per party technical address.

Specifies the identification sequence number for a specific couple sender/receiver.

*Datatype:* "Max35Text" on page 2865

### 3.4.2.3 SequenceRange <SeqRg>

*Presence:* [0..1]

*Definition:* Independent counter for a range of message sequences, which are available once per party technical address.

Specifies the range of identification sequence numbers for a specific couple sender/receiver.

**SequenceRange <SeqRg>** contains one of the following elements (see "[SequenceRange1Choice](#)" on page 2640 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromSequence <FrSeq>	[1..1]	Text		2640
Or	ToSequence <ToSeq>	[1..1]	Text		2640
Or	FromToSequence <FrToSeq>	[1..*]			2640
	FromSequence <FrSeq>	[1..1]	Text		2641
	ToSequence <ToSeq>	[1..1]	Text		2641
Or	EqualSequence <EQSeq>	[1..*]	Text		2641
Or}	NotEqualSequence <NEQSeq>	[1..*]	Text		2641

#### 3.4.2.4 OriginalMessageNameIdentification <OrgnIMsgNmId>

*Presence:* [0..1]

*Definition:* Unambiguously identifies the original business message, which was delivered by the business sender.

*Datatype:* "[Max35Text](#)" on page 2865

#### 3.4.2.5 FileReference <FileRef>

*Presence:* [0..1]

*Definition:* String of characters that uniquely identifies the file, which was delivered by the sender.

*Datatype:* "[Max35Text](#)" on page 2865

#### 3.4.2.6 Recipient <Rcpt>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the recipient of the report message.

**Recipient <Rcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 3.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C3 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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## **4        admi.007.001.01 ReceiptAcknowledgementV01**

### **4.1      MessageDefinition Functionality**

The ReceiptAcknowledgement message is sent by the transaction administrator to a member of the system and vice versa. It is sent to acknowledge the receipt of one or multiple messages sent previously. The Acknowledgement message is 1) an application receipt acknowledgement and 2) conveys information about the processing of the original message(s). In case of 2) the ReceiptAcknowledgement can be used as a Generic error message, which provides information about the status (e.g. rejection, acceptance) of an instruction.

#### **Outline**

The ReceiptAcknowledgementV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

**A. MessageIdentification**

Specifies the identification the message.

**B. Report**

Provides report details on the request.

**C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RctAck>	[1..1]			
	<b>MessageIdentification</b> <MsgId>	[1..1]			62
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		62
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		62
	<b>QueryName</b> <QryNm>	[0..1]	Text		62
	<b>Report</b> <Rpt>	[1..*]			62
	<b>RelatedReference</b> <RltdRef>	[1..1]			63
	<b>Reference</b> <Ref>	[1..1]	Text		63
	<b>MessageName</b> <MsgNm>	[0..1]	Text		63
	<b>ReferenceIssuer</b> <RefIssr>	[0..1]	±		63
	<b>RequestHandling</b> <ReqHdlg>	[1..1]			64
	<b>StatusCode</b> <StsCd>	[1..1]	Text		64
	<b>StatusDateTime</b> <StsDtTm>	[0..1]	DateTime		64
	<b>Description</b> <Desc>	[0..1]	Text		64
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	64

## 4.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1           **MessageIdentification <MsgId>**

*Presence:* [1..1]  
*Definition:* Specifies the identification the message.

**MessageIdentification <MsgId>** contains the following **MessageHeader10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification &lt;MsgId&gt;</b>	[1..1]	Text		62
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	DateTime		62
	<b>QueryName &lt;QryNm&gt;</b>	[0..1]	Text		62

#### 4.4.1.1   **MessageIdentification <MsgId>**

*Presence:* [1..1]  
*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.  
  
*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.  
*Datatype:* "Max35Text" on page 2865

#### 4.4.1.2   **CreationDateTime <CreDtTm>**

*Presence:* [0..1]  
*Definition:* Date and time at which the message was created.  
*Datatype:* "ISODateTime" on page 2858

#### 4.4.1.3   **QueryName <QryNm>**

*Presence:* [0..1]  
*Definition:* Recalls the criteria (search and return criteria) defined in a preceding query.  
*Datatype:* "Max35Text" on page 2865

### 4.4.2           **Report <Rpt>**

*Presence:* [1..\*]  
*Definition:* Provides report details on the request.

**Report <Rpt>** contains the following **ReceiptAcknowledgementReport2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RelatedReference</b> <RltdRef>	[1..1]			63
	<b>Reference</b> <Ref>	[1..1]	Text		63
	<b>MessageName</b> <MsgNm>	[0..1]	Text		63
	<b>ReferenceIssuer</b> <RefIssr>	[0..1]	±		63
	<b>RequestHandling</b> <ReqHdlg>	[1..1]			64
	<b>StatusCode</b> <StsCd>	[1..1]	Text		64
	<b>StatusDateTime</b> <StsDtTm>	[0..1]	DateTime		64
	<b>Description</b> <Desc>	[0..1]	Text		64

#### 4.4.2.1 RelatedReference <RltdRef>

*Presence:* [1..1]

*Definition:* Reference of the request.

**RelatedReference <RltdRef>** contains the following **MessageReference1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reference</b> <Ref>	[1..1]	Text		63
	<b>MessageName</b> <MsgNm>	[0..1]	Text		63
	<b>ReferenceIssuer</b> <RefIssr>	[0..1]	±		63

##### 4.4.2.1.1 Reference <Ref>

*Presence:* [1..1]

*Definition:* Unambiguous reference to a previous message having a business relevance with this message.

*Datatype:* "Max35Text" on page 2865

##### 4.4.2.1.2 MessageName <MsgNm>

*Presence:* [0..1]

*Definition:* Name of the message which contained the given additional reference as its message reference.

*Datatype:* "Max35Text" on page 2865

##### 4.4.2.1.3 ReferenceIssuer <RefIssr>

*Presence:* [0..1]

*Definition:* Party that initially assigned the given additional reference.

**ReferenceIssuer <RefIssr>** contains the following elements (see ["PartyIdentification136"](#) on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 4.4.2.2 RequestHandling <ReqHdlg>

*Presence:* [1..1]

*Definition:* Gives the status of the request.

**RequestHandling <ReqHdlg>** contains the following **RequestHandling2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusCode</b> <StsCd>	[1..1]	Text		64
	<b>StatusDateTime</b> <StsDtTm>	[0..1]	DateTime		64
	<b>Description</b> <Desc>	[0..1]	Text		64

##### 4.4.2.2.1 StatusCode <StsCd>

*Presence:* [1..1]

*Definition:* Specifies the status of the request, for example the result of the schema validation or a business processing result/error.

*Datatype:* ["Max4AlphaNumericText"](#) on page 2866

##### 4.4.2.2.2 StatusDateTime <StsDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the status was generated.

*Datatype:* ["ISODatetime"](#) on page 2858

##### 4.4.2.2.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the status, in free format text.

*Datatype:* ["Max140Text"](#) on page 2863

#### 4.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C3 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 5 camt.064.001.01 LimitUtilisationJournalQueryV01

## 5.1 MessageDefinition Functionality

The LimitUtilisationJournalQuery message is exchanged between a central securities depository or a directly connected system participant (the central securities depository participant granted direct access, like a bank or a central counter party) and the central system. Its purpose is to query information regarding the journal of transaction impacting the utilisation of limits that have been defined by the system participant.

### Outline

The LimitUtilisationJournalQueryV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

#### A. MessageHeader

Set of elements used to provide information on the header of the message.

#### B. SearchCriteria

Provides criteria in the form of business attributes to define result set.

#### C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;LmtUtlstnJrnlQry&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		67
	<b>SearchCriteria</b> <SchCrit>	[1..1]			67
	<b>LimitType</b> <LmtTp>	[0..*]	CodeSet		68
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		68
	<b>AccountIdentification</b> <AcctId>	[0..1]	±		68
	<b>LimitCurrency</b> <LmtCcy>	[0..1]	CodeSet	C1	69
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		69
	<b>BilateralLimitCounterpartyIdentification</b> <BilLmtCtrPtyId>	[1..1]	±		69
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C5	70

## 5.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Set of elements used to provide information on the header of the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 5.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Provides criteria in the form of business attributes to define result set.

**SearchCriteria <SchCrit>** contains the following **LimitUtilisationJournalSearchCriteria2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LimitType</b> <LmtTp>	[0..*]	CodeSet		68
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		68
	<b>AccountIdentification</b> <AcctId>	[0..1]	±		68
	<b>LimitCurrency</b> <LmtCcy>	[0..1]	CodeSet	C1	69
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		69
	<b>BilateralLimitCounterpartyIdentification</b> <BilLmtCtrPtyId>	[1..1]	±		69

#### 5.4.2.1 LimitType <LmtTp>

*Presence:* [0..\*]

*Definition:* Type of limit applied by the system at the present time.

*Datatype:* "LimitType4Code" on page 2832

CodeName	Name	Definition
EXGT	ExternalGuarantee	Limit is related to a cap amount granted by a national central bank or a settlement bank.
UCDT	UnsecuredCredit	Limit is related to a cap amount granted by a national central bank or a settlement bank, but generally unsecured outside of the market infrastructure.
ACOL	AutoCollateralisation	Limit is related to a credit operation that is or can be triggered when a buyer does not have a sufficient amount of money to settle a securities transaction in order to improve its cash position for the next settlement cycle. The credit provided can be secured using securities already held by the buyer ("collateral stocks") or the securities that are being purchased ("collateral flows").

#### 5.4.2.2 JournalActivityDate <JrnlActvtyDt>

*Presence:* [1..1]

*Definition:* Date upon which journal activity takes place.

*Datatype:* "ISODate" on page 2858

#### 5.4.2.3 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.



**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 5.4.2.4 LimitCurrency <LmtCcy>

*Presence:* [0..1]

*Definition:* Currency unit used to specify the limit amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 5.4.2.5 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Owner of the account which is being queried.

**AccountOwner <AcctOwnr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 5.4.2.6 BilateralLimitCounterpartyIdentification <BilLmtCtrPtyId>

*Presence:* [1..1]

*Definition:* Identification of the system member for which the limit is established.

**BilateralLimitCounterpartyIdentification <BilLmtCtrPtyId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 5.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C5 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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## 6 **camt.065.001.01** **LimitUtilisationJournalReportV01**

### 6.1 **MessageDefinition Functionality**

The LimitUtilisationJournalReport message is exchanged between the central system and a central securities depository or a directly connected system party (the central securities depository participant granted direct access, like a bank or central counter party) and is used to return information about the limit. Its purpose is to report information regarding the journal of transactions impacting the utilisation of limits that have been defined by the system participant.

#### Outline

The LimitUtilisationJournalReportV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Set of elements used to provide information on the header of the message.

B. Pagination

Pagination of the message.

Usage: the pagination of the message is only allowed when agreed between the parties.

C. ReportOrError

Provides information on report or error resulting from the originating query message.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <LmtUtilstnJrnlRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			74
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		74
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		74
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		74
	<b>Pagination</b> <Pgntn>	[0..1]	±		75
	<b>ReportOrError</b> <RptOrErr>	[1..1]			75
{Or	<b>BusinessReport</b> <BizRpt>	[1..*]			77
	<b>LimitIdentification</b> <LmtId>	[1..1]			79
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		79
	<b>Type</b> <Tp>	[1..1]	CodeSet		79
	<b>LimitCurrency</b> <LmtCcy>	[0..1]	CodeSet	C1	80
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		80
	<b>BilateralLimitCounterpartyIdentification</b> <BilLmtCtrPtyId>	[0..1]	±		80
	<b>LimitReport</b> <LmtRpt>	[1..1]			81
{Or	<b>LimitJournal</b> <LmtJrnl>	[1..1]			82
	<b>Limit</b> <Lmt>	[1..1]			83
	<b>Amount</b> <Amt>	[1..1]			84
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84
	<b>UtilisationAmount</b> <UtilstnAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85
	<b>AvailableAmount</b> <AvlblAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		86
	<b>JournalEntry</b> <JrnlNtry>	[0..*]			86
	<b>JournalDate</b> <JrnlDt>	[1..1]	±		86
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		87

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	±		87
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		87
	<b>TransactionIdentification</b> <TxId>	[0..1]	Text		87
	<b>AccountServicerReference</b> <AcctSvcrRef>	[0..1]	Text		87
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		88
	<b>AdditionalEntryInformation</b> <AddtlNtryInf>	[0..1]	Text		88
Or}	<b>BusinessError</b> <BizErr>	[1..*]			88
	<b>Error</b> <Err>	[1..1]			88
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		88
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		88
	<b>Description</b> <Desc>	[0..1]	Text		89
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			89
	<b>Error</b> <Err>	[1..1]			89
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		89
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		89
	<b>Description</b> <Desc>	[0..1]	Text		89
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	90

## 6.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

- C5

**IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.
- C6

**SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 6.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Set of elements used to provide information on the header of the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		74
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		74
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		74

#### 6.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]  
*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.  
  
*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.  
*Datatype:* "Max35Text" on page 2865

#### 6.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]  
*Definition:* Date and time at which the message was created.  
*Datatype:* "ISODatetime" on page 2858

#### 6.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]  
*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

## 6.4.2 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Pagination of the message.

Usage: the pagination of the message is only allowed when agreed between the parties.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 6.4.3 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **LimitJournalReportOrError7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BusinessReport</b> <BizRpt>	[1..*]			77
	<b>LimitIdentification</b> <LmtId>	[1..1]			79
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		79
	<b>Type</b> <Tp>	[1..1]	CodeSet		79
	<b>LimitCurrency</b> <LmtCcy>	[0..1]	CodeSet	C1	80
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		80
	<b>BilateralLimitCounterpartyIdentification</b> <BilLmtCtrPtyId>	[0..1]	±		80
	<b>LimitReport</b> <LmtRpt>	[1..1]			81
{Or	<b>LimitJournal</b> <LmtJrnl>	[1..1]			82
	<b>Limit</b> <Lmt>	[1..1]			83
	<b>Amount</b> <Amt>	[1..1]			84
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84
	<b>UtilisationAmount</b> <UtlstnAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85
	<b>AvailableAmount</b> <AvlblAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		86
	<b>JournalEntry</b> <JrnlNtry>	[0..*]			86
	<b>JournalDate</b> <JrnlDt>	[1..1]	±		86
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		87
	<b>Amount</b> <Amt>	[1..1]	±		87
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		87
	<b>TransactionIdentification</b> <TxId>	[0..1]	Text		87
	<b>AccountServicerReference</b> <AcctSvcrRef>	[0..1]	Text		87
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		88
	<b>AdditionalEntryInformation</b> <AddtlNtryInf>	[0..1]	Text		88



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>BusinessError</b> <BizErr>	[1..*]			88
	<b>Error</b> <Err>	[1..1]			88
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		88
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		88
	<b>Description</b> <Desc>	[0..1]	Text		89
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			89
	<b>Error</b> <Err>	[1..1]			89
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		89
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		89
	<b>Description</b> <Desc>	[0..1]	Text		89

#### 6.4.3.1 BusinessReport <BizRpt>

*Presence:* [1..\*]

*Definition:* Specifies the business report either as a limit utilisation journal or a business error.

**BusinessReport <BizRpt>** contains the following **LimitJournalReport4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LimitIdentification</b> <LmtId>	[1..1]			79
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		79
	<b>Type</b> <Tp>	[1..1]	CodeSet		79
	<b>LimitCurrency</b> <LmtCcy>	[0..1]	CodeSet	C1	80
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		80
	<b>BilateralLimitCounterpartyIdentification</b> <BilLmtCtrPtyId>	[0..1]	±		80
	<b>LimitReport</b> <LmtRpt>	[1..1]			81
{Or	<b>LimitJournal</b> <LmtJrnl>	[1..1]			82
	<b>Limit</b> <Lmt>	[1..1]			83
	<b>Amount</b> <Amt>	[1..1]			84
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84
	<b>UtilisationAmount</b> <UtlstnAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85
	<b>AvailableAmount</b> <AvlblAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		86
	<b>JournalEntry</b> <JrnlNtry>	[0..*]			86
	<b>JournalDate</b> <JrnlDt>	[1..1]	±		86
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		87
	<b>Amount</b> <Amt>	[1..1]	±		87
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		87
	<b>TransactionIdentification</b> <TxId>	[0..1]	Text		87
	<b>AccountServicerReference</b> <AcctSvcrRef>	[0..1]	Text		87
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		88
	<b>AdditionalEntryInformation</b> <AddtlNtryInf>	[0..1]	Text		88
Or}	<b>BusinessError</b> <BizErr>	[1..*]			88
	<b>Error</b> <Err>	[1..1]			88

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		88
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		88
	<b>Description</b> <Desc>	[0..1]	Text		89

#### 6.4.3.1.1 LimitIdentification <LmtId>

*Presence:* [1..1]

*Definition:* Identification of the limit on which information is requested.

**LimitIdentification <LmtId>** contains the following **LimitIdentification7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		79
	<b>Type</b> <Tp>	[1..1]	CodeSet		79
	<b>LimitCurrency</b> <LmtCcy>	[0..1]	CodeSet	C1	80
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		80
	<b>BilateralLimitCounterpartyIdentification</b> <BilLmtCtrPtyId>	[0..1]	±		80

##### 6.4.3.1.1.1 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Unique identification as assigned by the account servicer to unambiguously identify the account.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IBAN</b> <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	<b>Other</b> <Othr>	[1..1]	±		2592

##### 6.4.3.1.1.2 Type <Tp>

*Presence:* [1..1]

*Definition:* Nature of the risk management limit.

*Datatype:* "LimitType4Code" on page 2832

CodeName	Name	Definition
EXGT	ExternalGuarantee	Limit is related to a cap amount granted by a national central bank or a settlement bank.
UCDT	UnsecuredCredit	Limit is related to a cap amount granted by a national central bank or a settlement bank, but generally

CodeName	Name	Definition
		unsecured outside of the market infrastructure.
ACOL	AutoCollateralisation	Limit is related to a credit operation that is or can be triggered when a buyer does not have a sufficient amount of money to settle a securities transaction in order to improve its cash position for the next settlement cycle. The credit provided can be secured using securities already held by the buyer ("collateral stocks") or the securities that are being purchased ("collateral flows").

#### 6.4.3.1.1.3 LimitCurrency <LmtCcy>

*Presence:* [0..1]

*Definition:* Currency unit used to specify the limit amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 6.4.3.1.1.4 AccountOwner <AcctOwnr>

*Presence:* [1..1]

*Definition:* Owner of the account which is being queried.

**AccountOwner <AcctOwnr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 6.4.3.1.1.5 BilateralLimitCounterpartyIdentification <BilLmtCtrPtyId>

*Presence:* [0..1]

*Definition:* Identification of the system member for which the limit is established.

**BilateralLimitCounterpartyIdentification <BilLmtCtrPtyId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 6.4.3.1.2 LimitReport <LmtRpt>

*Presence:* [1..1]

*Definition:* Specifies the business report either as a limit utilisation journal or a business error.

**LimitReport <LmtRpt>** contains one of the following **LimitJournalReportOrError8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LimitJournal</b> <LmtJrnl>	[1..1]			82
	<b>Limit</b> <Lmt>	[1..1]			83
	<b>Amount</b> <Amt>	[1..1]			84
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84
	<b>UtilisationAmount</b> <UtlstnAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85
	<b>AvailableAmount</b> <AvlblAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		86
	<b>JournalEntry</b> <JrnlNtry>	[0..*]			86
	<b>JournalDate</b> <JrnlDt>	[1..1]	±		86
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		87
	<b>Amount</b> <Amt>	[1..1]	±		87
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		87
	<b>TransactionIdentification</b> <TxId>	[0..1]	Text		87
	<b>AccountServicerReference</b> <AcctSvcrRef>	[0..1]	Text		87
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		88
	<b>AdditionalEntryInformation</b> <AddtlNtryInf>	[0..1]	Text		88
Or}	<b>BusinessError</b> <BizErr>	[1..*]			88
	<b>Error</b> <Err>	[1..1]			88
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		88
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		88
	<b>Description</b> <Desc>	[0..1]	Text		89

#### 6.4.3.1.2.1 LimitJournal <LmtJrnl>

*Presence:* [1..1]

*Definition:* Requested information on the limit.

**LimitJournal** <LmtJrnl> contains the following **LimitJournal3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Limit</b> <Lmt>	[1..1]			83
	<b>Amount</b> <Amt>	[1..1]			84
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84
	<b>UtilisationAmount</b> <UtlstnAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85
	<b>AvailableAmount</b> <AvlblAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86
	<b>JournalActivityDate</b> <JrnlActvtyDt>	[1..1]	Date		86
	<b>JournalEntry</b> <JrnlNtry>	[0..*]			86
	<b>JournalDate</b> <JrnlDt>	[1..1]	±		86
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		87
	<b>Amount</b> <Amt>	[1..1]	±		87
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		87
	<b>TransactionIdentification</b> <Txld>	[0..1]	Text		87
	<b>AccountServicerReference</b> <AcctSvcrRef>	[0..1]	Text		87
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		88
	<b>AdditionalEntryInformation</b> <AddtlNtryInf>	[0..1]	Text		88

#### 6.4.3.1.2.1.1 Limit <Lmt>

*Presence:* [1..1]

*Definition:* Maximum value used for risk containment in a system or towards counterparts. The limit may be a current limit or a default limit.

**Limit <Lmt>** contains the following **LimitAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]			84
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84
	<b>UtilisationAmount</b> <UtilstnAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85
	<b>AvailableAmount</b> <AvlblAmt>	[1..1]			85
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86

#### 6.4.3.1.2.1.1.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money of the limit, expressed in an eligible currency.

**Amount <Amt>** contains the following **CreditDebitAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	±		84
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		84

#### 6.4.3.1.2.1.1.1.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money expressed in an eligible currency.

**Amount <Amt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 6.4.3.1.2.1.1.1.2 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the amount of money is a debit or a credit.

*Datatype:* "[CreditDebitCode](#)" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.



#### 6.4.3.1.2.1.1.2 UtilisationAmount <UtlstnAmt>

*Presence:* [1..1]

*Definition:* Utilised amount of money of the limit expressed in an eligible currency.

**UtilisationAmount <UtlstnAmt>** contains the following **CreditDebitAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		85

#### 6.4.3.1.2.1.1.2.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money expressed in an eligible currency.

**Amount <Amt>** contains one of the following elements (see ["Amount2Choice"](#) on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 6.4.3.1.2.1.1.2.2 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the amount of money is a debit or a credit.

*Datatype:* ["CreditDebitCode"](#) on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 6.4.3.1.2.1.1.3 AvailableAmount <AvlblAmt>

*Presence:* [1..1]

*Definition:* Remaining amount of money of the limit expressed in an eligible currency.

**AvailableAmount <AvlblAmt>** contains the following **CreditDebitAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	±		85
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		86

#### 6.4.3.1.2.1.1.3.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money expressed in an eligible currency.

**Amount <Amt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 6.4.3.1.2.1.3.2 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the amount of money is a debit or a credit.

*Datatype:* "CreditDebitCode" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 6.4.3.1.2.1.2 JournalActivityDate <JrnlActvtyDt>

*Presence:* [1..1]

*Definition:* Date upon which journal activity takes place.

*Datatype:* "ISODate" on page 2858

#### 6.4.3.1.2.1.3 JournalEntry <JrnlNtry>

*Presence:* [0..\*]

*Definition:* Recording of transaction data pertaining to a transaction in a journal.

**JournalEntry <JrnlNtry>** contains the following **LimitJournalEntry3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>JournalDate</b> <JrnlDt>	[1..1]	±		86
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		87
	<b>Amount</b> <Amt>	[1..1]	±		87
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		87
	<b>TransactionIdentification</b> <TxId>	[0..1]	Text		87
	<b>AccountServicerReference</b> <AcctSvcrRef>	[0..1]	Text		87
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		88
	<b>AdditionalEntryInformation</b> <AddtlNtryInf>	[0..1]	Text		88

#### 6.4.3.1.2.1.3.1 JournalDate <JrnlDt>

*Presence:* [1..1]

*Definition:* Date and time for which the limit journal entry applies.

**JournalDate <JrnlDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 6.4.3.1.2.1.3.2 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Indicates whether the entry is a credit or a debit entry.

*Datatype:* "[CreditDebitCode](#)" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 6.4.3.1.2.1.3.3 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money of individual entry impacting the limit.

**Amount <Amt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 6.4.3.1.2.1.3.4 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "[Max35Text](#)" on page 2865

#### 6.4.3.1.2.1.3.5 TransactionIdentification <TxId>

*Presence:* [0..1]

*Definition:* Unique reference as assigned by the account owner institution to unambiguously identify the entry.

*Datatype:* "[Max35Text](#)" on page 2865

#### 6.4.3.1.2.1.3.6 AccountServicerReference <AcctSvcrRef>

*Presence:* [0..1]

*Definition:* Unique reference as assigned by the account servicing institution to unambiguously identify the entry.

*Datatype:* "[Max35Text](#)" on page 2865

#### 6.4.3.1.2.1.3.7 ProcessorTransactionIdentification <PrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 6.4.3.1.2.1.3.8 AdditionalEntryInformation <AddtlNtryInf>

*Presence:* [0..1]

*Definition:* Further details of the entry.

*Datatype:* "Max500Text" on page 2866

#### 6.4.3.1.2.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Reason the requested business information is not given.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			88
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		88
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		88
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		89

#### 6.4.3.1.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		88
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		88

#### 6.4.3.1.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 6.4.3.1.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 6.4.3.1.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

#### 6.4.3.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Specifies the operational error resulting from the processing of a request.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			89
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		89
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		89
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		89

##### 6.4.3.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		89
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		89

##### 6.4.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

##### 6.4.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

##### 6.4.3.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

## 6.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C6 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 7 camt.066.001.02 IntraBalanceMovementInstructionV02

## 7.1 MessageDefinition Functionality

The IntraBalanceMovementInstruction message is sent from an account owner/requestor to a settlement infrastructure to instruct the movement of an amount of money within its holdings from one sub-balance to another.

Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

Outline

The IntraBalanceMovementInstructionV02 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

B. CorporateActionEventIdentification

Identification assigned by the account servicer to unambiguously identify a corporate action event.

C. NumberCounts

Count of the number of transactions linked.

D. Linkages

Link to another transaction that must be processed after, before or at the same time.

E. CashAccount

Account to or from which an entry is made.

F. CashAccountOwner

Party that legally owns the cash account.

G. CashAccountServicer

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

H. IntraBalance

---

Intra-balance movement transaction details.

I. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraBalMvmntInstr>	[1..1]			
	Identification <Id>	[0..1]			96
	TransactionIdentification <TxId>	[1..1]	Text		96
	DocumentIdentification <DocId>	[0..1]	Text		96
	CreationDateTime <CreDtTm>	[0..1]	±		97
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		97
	MessageOriginator <MsgOrgtr>	[0..1]	±		97
	MessageRecipient <MsgRcpt>	[0..1]	±		97
	CorporateActionEventIdentification <CorpActnEvtId>	[0..1]	Text		98
	NumberCounts <NbCounts>	[0..1]	±	C10	98
	Linkages <Lnkgs>	[0..*]			98
	ProcessingPosition <PrcgPos>	[0..1]	±	C17	99
	MessageNumber <MsgNb>	[0..1]	±	C15	99
	Reference <Ref>	[1..1]			100
{Or	SecuritiesSettlementTransactionIdentification <SciesSttlmTxId>	[1..1]	Text		100
Or	IntraPositionMovementIdentification <IntraPosMvmntId>	[1..1]	Text		101
Or	IntraBalanceMovementIdentification <IntraBalMvmntId>	[1..1]	Text		101
Or	AccountServicerTransactionIdentification <AcctSvcrTxId>	[1..1]	Text		101
Or	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		101
Or	PoolIdentification <PoolId>	[1..1]	Text		101
Or}	OtherTransactionIdentification <OthrTxId>	[1..1]	Text		101
	ReferenceOwner <RefOwnr>	[0..1]	±		101
	CashAccount <CshAcct>	[1..1]	±	C14, C13	102
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		102
	CashAccountServicer <CshAcctSvcr>	[0..1]	±		103
	IntraBalance <IntraBal>	[1..1]		C3, C5	103
	SettlementAmount <SttlmAmt>	[1..1]	±		105
	SettlementDate <SttlmDt>	[1..1]	±		105
	BalanceFrom <BalFr>	[1..1]			105

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[1..1]			105
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		106
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		106
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			106
	<b>LotNumber</b> <LotNb>	[0..1]	±		106
	<b>LotAmount</b> <LotAmt>	[0..1]	±		107
	<b>LotQuantity</b> <LotQty>	[0..1]	±		107
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		107
	<b>BalanceTo</b> <BalTo>	[1..1]			108
	<b>Type</b> <Tp>	[1..1]			108
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		108
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		108
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			109
	<b>LotNumber</b> <LotNb>	[0..1]	±		109
	<b>LotAmount</b> <LotAmt>	[0..1]	±		109
	<b>LotQuantity</b> <LotQty>	[0..1]	±		110
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		110
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		110
	<b>Priority</b> <Prty>	[0..1]	±		110
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		111
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C16	111

## 7.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

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**C3 AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

**C4 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C5 BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

**C6 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C7 BlockTradeGuideline**

In a block trade instruction, the total number of children must be provided using TotalOfLinkedInstructions.

The CurrentInstructionNumber of the parent must be 000. The children must have an incremental CurrentInstructionNumber from 001 to 999.

For more details, see the relevant market practice document on [www.smpg.info](http://www.smpg.info).

**C8 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C9 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C10 CurrentInstructionNumberRule**

If the Sender wants to indicate that this instruction is linked to one or more settlement instruction, then the CurrentInstructionNumber must be specified.

**C11 CurrentInstructionNumberRule**

If the Sender wants to indicate that this instruction is linked to one or more settlement instruction, then the CurrentInstructionNumber must be specified.

**C12 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C13 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

#### **C14 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

#### **C15 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### **C16 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### **C17 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## **7.4 Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### **7.4.1 Identification <Id>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

**Identification <Id>** contains the following **TransactionAndDocumentIdentification6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TransactionIdentification</b> <TxId>	[1..1]	Text		96
	<b>DocumentIdentification</b> <DocId>	[0..1]	Text		96
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		97
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		97
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		97
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		97

#### **7.4.1.1 TransactionIdentification <TxId>**

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the instructing party.

*Datatype:* "Max35Text" on page 2865

#### **7.4.1.2 DocumentIdentification <DocId>**

*Presence:* [0..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

### 7.4.1.3 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the transaction was created by the instructing party in its business application.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 7.4.1.4 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 7.4.1.5 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 7.4.1.6 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 7.4.2 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "[Max35Text](#)" on page 2865

## 7.4.3 NumberCounts <NbCounts>

*Presence:* [0..1]

*Definition:* Count of the number of transactions linked.

*Impacted by:* [C10 "CurrentInstructionNumberRule"](#)

**NumberCounts <NbCounts>** contains one of the following elements (see "[NumberCount1Choice](#)" on page 2679 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CurrentInstructionNumber <CurInstrNb>	[1..1]	Text		2679
Or}	TotalNumber <TtlNb>	[1..1]		C11, C7	2679
	CurrentInstructionNumber <CurInstrNb>	[1..1]	Text		2680
	TotalOfLinkedInstructions <TtlOfLkdInstrs>	[1..1]	Text		2680

### Constraints

- **CurrentInstructionNumberRule**

If the Sender wants to indicate that this instruction is linked to one or more settlement instruction, then the CurrentInstructionNumber must be specified.

## 7.4.4 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Link to another transaction that must be processed after, before or at the same time.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C17	99
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C15	99
	<b>Reference</b> <Ref>	[1..1]			100
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		100
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		101
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		101
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		101
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfstrctrTxId>	[1..1]	Text		101
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		101
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		101
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		101

#### 7.4.4.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C17 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2672
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2673

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 7.4.4.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C15 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 7.4.4.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		100
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		101
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		101
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		101
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		101
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		101
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		101

##### 7.4.4.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865



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#### **7.4.4.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>**

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### **7.4.4.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>**

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### **7.4.4.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>**

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### **7.4.4.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>**

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **7.4.4.3.6 PoolIdentification <PoolId>**

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### **7.4.4.3.7 OtherTransactionIdentification <OthrTxId>**

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### **7.4.4.4 ReferenceOwner <RefOwnr>**

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

## 7.4.5 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* C14 "IdentificationOrProxyPresenceRule", C13 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

## 7.4.6 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 7.4.7 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification8](#)" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 7.4.8 IntraBalance <IntraBal>

*Presence:* [1..1]

*Definition:* Intra-balance movement transaction details.

*Impacted by:* [C3 "AdditionalDetailsRule"](#), [C5 "BalanceFromToRule"](#)

**IntraBalance <IntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		105
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		105
	<b>BalanceFrom</b> <BalFr>	[1..1]			105
	<b>Type</b> <Tp>	[1..1]			105
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		106
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		106
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			106
	<b>LotNumber</b> <LotNb>	[0..1]	±		106
	<b>LotAmount</b> <LotAmt>	[0..1]	±		107
	<b>LotQuantity</b> <LotQty>	[0..1]	±		107
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		107
	<b>BalanceTo</b> <BalTo>	[1..1]			108
	<b>Type</b> <Tp>	[1..1]			108
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		108
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		108
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			109
	<b>LotNumber</b> <LotNb>	[0..1]	±		109
	<b>LotAmount</b> <LotAmt>	[0..1]	±		109
	<b>LotQuantity</b> <LotQty>	[0..1]	±		110
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		110
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		110
	<b>Priority</b> <Prty>	[0..1]	±		110
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		111

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 7.4.8.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 7.4.8.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 7.4.8.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			105
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		106
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		106
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			106
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		106
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		107
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		107
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		107

##### 7.4.8.3.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		106
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		106

#### 7.4.8.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 7.4.8.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 7.4.8.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		106
	<b>LotAmount</b> <LotAmt>	[0..1]	±		107
	<b>LotQuantity</b> <LotQty>	[0..1]	±		107
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		107

#### 7.4.8.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 7.4.8.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 7.4.8.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 7.4.8.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 7.4.8.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			108
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		108
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		108
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			109
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		109
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		109
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		110
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		110

##### 7.4.8.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		108
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		108

##### 7.4.8.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 7.4.8.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 7.4.8.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		109
	<b>LotAmount</b> <LotAmt>	[0..1]	±		109
	<b>LotQuantity</b> <LotQty>	[0..1]	±		110
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		110

##### 7.4.8.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 7.4.8.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 7.4.8.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtdsdVal>	[1..1]	Amount		2630

#### 7.4.8.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 7.4.8.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 7.4.8.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 7.4.8.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

### 7.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C16 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 8 **camt.067.001.02**

## **IntraBalanceMovementStatusAdviceV02**

### 8.1 **MessageDefinition Functionality**

The IntraBalanceMovementStatusAdvice message is sent from a settlement infrastructure to an account owner/requestor to advise the status of an intra-balance movement instruction previously sent by the account owner/requestor.

Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

Outline

The IntraBalanceMovementStatusAdviceV02 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

B. TransactionIdentification

Unambiguous identification of a transaction as per the account owner (or the instructing party managing the account).

C. ProcessingStatus

Provides details on the processing status of the transaction.

D. SettlementStatus

Provides the settlement status of a transaction.

E. CashAccount

Account to or from which an entry is made.

F. CashAccountOwner

Party that legally owns the cash account.

G. CashAccountServicer

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

H. UnderlyingIntraBalance

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Intra-balance movement transaction details.

I. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraBalMvmntStsAdv>	[1..1]			
	Identification <Id>	[0..1]			116
	Identification <Id>	[1..1]	Text		117
	CreationDateTime <CreDtTm>	[0..1]	±		117
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		117
	MessageOriginator <MsgOrgtr>	[0..1]	±		118
	MessageRecipient <MsgRcpt>	[0..1]	±		118
	TransactionIdentification <TxId>	[1..1]		C13	118
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[1..1]	Text		119
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		119
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		119
	ProcessorTransactionIdentification <PrcrTxId>	[0..1]	Text		119
	ProcessingStatus <PrpgSts>	[0..1]	±		119
	SettlementStatus <SttlmSts>	[0..1]	±	C14	120
	CashAccount <CshAcct>	[1..1]	±	C12, C11	120
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		121
	CashAccountServicer <CshAcctSvcr>	[0..1]	±		121
	UnderlyingIntraBalance <UndrlygIntraBal>	[0..1]		C3, C6	122
	SettlementAmount <SttlmAmt>	[1..1]	±		124
	SettlementDate <SttlmDt>	[1..1]	±		124
	BalanceFrom <BalFr>	[1..1]			124
	Type <Tp>	[1..1]			124
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		125
	QuantityBreakdown <QtyBrkdown>	[0..*]			125
	LotNumber <LotNb>	[0..1]	±		125
	LotAmount <LotAmt>	[0..1]	±		126
	LotQuantity <LotQty>	[0..1]	±		126
	CashSubBalanceType <CshSubBalTp>	[0..1]	±		126

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceTo</b> <BalTo>	[1..1]			127
	<b>Type</b> <Tp>	[1..1]			127
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		127
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		127
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			128
	<b>LotNumber</b> <LotNb>	[0..1]	±		128
	<b>LotAmount</b> <LotAmt>	[0..1]	±		128
	<b>LotQuantity</b> <LotQty>	[0..1]	±		129
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		129
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		129
	<b>Priority</b> <Prty>	[0..1]	±		129
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		130
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	130

## 8.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AdditionalDetailsRule

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

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**C6 BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

**C7 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C8 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C9 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C10 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C11 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C12 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C13 NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

**C14 PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

**C15 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 8.4.1 Identification <Id>

*Presence:* [0..1]



*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		117
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		117
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		117
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		118
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		118

#### 8.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 8.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 8.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 8.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 8.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 8.4.2 TransactionIdentification <TxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a transaction as per the account owner (or the instructing party managing the account).

*Impacted by:* C13 "[NoAccountOwnerTransactionIdentificationRule](#)"

**TransactionIdentification <TxId>** contains the following **TransactionIdentifications24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		119
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		119
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		119
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		119

## Constraints

- **NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

### 8.4.2.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

### 8.4.2.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

### 8.4.2.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

### 8.4.2.4 ProcessorTransactionIdentification <PcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

## 8.4.3 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus67Choice" on page 2740 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rejected <Rjctd>	[1..1]	±		2740
Or	Repair <Rpr>	[1..1]	±		2741
Or	Cancelled <Canc>	[1..1]	±		2741
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2741
Or}	Proprietary <Prtry>	[1..1]	±		2742

## 8.4.4 SettlementStatus <SttlmSts>

*Presence:* [0..1]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* C14 "PendingToFailingRule"

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus16Choice" on page 2743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2743
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2743
Or}	Reason <Rsn>	[1..*]	±		2744
Or	Failing <Fng>	[1..1]			2744
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2744
Or}	Reason <Rsn>	[1..*]	±		2744
Or}	Proprietary <Prtry>	[1..1]	±		2745

### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

## 8.4.5 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* C12 "IdentificationOrProxyPresenceRule", C11 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

## Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

## 8.4.6 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 8.4.7 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 8.4.8 UnderlyingIntraBalance <UndrlygIntraBal>

*Presence:* [0..1]

*Definition:* Intra-balance movement transaction details.

*Impacted by:* C3 "AdditionalDetailsRule", C6 "BalanceFromToRule"

**UnderlyingIntraBalance <UndrlygIntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		124
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		124
	<b>BalanceFrom</b> <BalFr>	[1..1]			124
	<b>Type</b> <Tp>	[1..1]			124
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		125
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		125
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			125
	<b>LotNumber</b> <LotNb>	[0..1]	±		125
	<b>LotAmount</b> <LotAmt>	[0..1]	±		126
	<b>LotQuantity</b> <LotQty>	[0..1]	±		126
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		126
	<b>BalanceTo</b> <BalTo>	[1..1]			127
	<b>Type</b> <Tp>	[1..1]			127
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		127
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		127
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			128
	<b>LotNumber</b> <LotNb>	[0..1]	±		128
	<b>LotAmount</b> <LotAmt>	[0..1]	±		128
	<b>LotQuantity</b> <LotQty>	[0..1]	±		129
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		129
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		129
	<b>Priority</b> <Prty>	[0..1]	±		129
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		130

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 8.4.8.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 8.4.8.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 8.4.8.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			124
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		125
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		125
	<b>QuantityBreakdown &lt;QtyBrkdw&gt;</b>	[0..*]			125
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		125
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		126
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		126
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		126

##### 8.4.8.3.1 Type <Tp>

*Presence:* [1..1]



**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		125
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		125

#### 8.4.8.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 8.4.8.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 8.4.8.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		125
	<b>LotAmount</b> <LotAmt>	[0..1]	±		126
	<b>LotQuantity</b> <LotQty>	[0..1]	±		126
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		126

#### 8.4.8.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 8.4.8.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 8.4.8.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 8.4.8.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 8.4.8.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			127
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		127
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		127
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			128
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		128
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		128
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		129
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		129

##### 8.4.8.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		127
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		127

##### 8.4.8.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 8.4.8.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 8.4.8.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		128
	<b>LotAmount</b> <LotAmt>	[0..1]	±		128
	<b>LotQuantity</b> <LotQty>	[0..1]	±		129
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		129

##### 8.4.8.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 8.4.8.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 8.4.8.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 8.4.8.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 8.4.8.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 8.4.8.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see ["PriorityNumeric4Choice"](#) on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 8.4.8.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* ["Max350Text"](#) on page 2865

#### 8.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C15 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

##### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 9 **camt.068.001.02**

## **IntraBalanceMovementConfirmationV02**

### 9.1 **MessageDefinition Functionality**

The IntraBalanceMovementConfirmation message is sent from a settlement infrastructure to an account owner/requestor to confirm the movement of an amount of money within its holdings from one sub-balance to another.

Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

Outline

The IntraBalanceMovementConfirmationV02 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

B. AdditionalParameters

Additional parameters to the transaction.

C. CashAccount

Account to or from which an entry is made.

D. CashAccountOwner

Party that legally owns the cash account.

E. CashAccountServicer

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

F. IntraBalance

Intra-balance movement transaction details.

G. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <IntraBalMvmntConf>	[1..1]			
	<b>Identification</b> <Id>	[0..1]			134
	<b>Identification</b> <Id>	[1..1]	Text		134
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		134
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		135
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		135
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		135
	<b>AdditionalParameters</b> <AddtlParams>	[0..1]	±		136
	<b>CashAccount</b> <CshAcct>	[1..1]	±	C9, C8	136
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		137
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		137
	<b>IntraBalance</b> <IntraBal>	[1..1]			138
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		139
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		140
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		140
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		140
	<b>BalanceFrom</b> <BalFr>	[1..1]			140
	<b>Type</b> <Tp>	[1..1]			141
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		141
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			142
	<b>LotNumber</b> <LotNb>	[0..1]	±		142
	<b>LotAmount</b> <LotAmt>	[0..1]	±		142
	<b>LotQuantity</b> <LotQty>	[0..1]	±		142
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		143
	<b>BalanceTo</b> <BalTo>	[1..1]			143
	<b>Type</b> <Tp>	[1..1]			143
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		144
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			144



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		144
	<b>LotAmount</b> <LotAmt>	[0..1]	±		145
	<b>LotQuantity</b> <LotQty>	[0..1]	±		145
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		145
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		146
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		146
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	146

## 9.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C4 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### C7 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

#### C8 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

#### C9 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

#### C10 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 9.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		134
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		134
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		135
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		135
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		135

#### 9.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 9.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 9.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* ["CopyDuplicate1Code"](#) on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 9.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see ["PartyIdentification136"](#) on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 9.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see ["PartyIdentification136"](#) on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 9.4.2 AdditionalParameters <AddtlParams>

*Presence:* [0..1]

*Definition:* Additional parameters to the transaction.

**AdditionalParameters <AddtlParams>** contains the following elements (see ["AdditionalParameters16"](#) on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartialSettlement <PrtlSttlm>	[0..1]	CodeSet		2645
	PreviousPartialConfirmationIdentification <PrvsPrtlConflId>	[0..1]	Text		2645
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		2645
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		2645
	PoolIdentification <PoolId>	[0..1]	Text		2646
	CorporateActionEventIdentification <CorpActnEvtId>	[0..1]	Text		2646
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		2646
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		2646

## 9.4.3 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* [C9 "IdentificationOrProxyPresenceRule"](#), [C8 "IdentificationAndProxyGuideline"](#)

**CashAccount <CshAcct>** contains the following elements (see ["CashAccount40"](#) on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

## Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

## 9.4.4 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 9.4.5 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 9.4.6 IntraBalance <IntraBal>

*Presence:* [1..1]

*Definition:* Intra-balance movement transaction details.

**IntraBalance <IntraBal>** contains the following **IntraBalance6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		139
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		140
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		140
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		140
	<b>BalanceFrom</b> <BalFr>	[1..1]			140
	<b>Type</b> <Tp>	[1..1]			141
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		141
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			142
	<b>LotNumber</b> <LotNb>	[0..1]	±		142
	<b>LotAmount</b> <LotAmt>	[0..1]	±		142
	<b>LotQuantity</b> <LotQty>	[0..1]	±		142
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		143
	<b>BalanceTo</b> <BalTo>	[1..1]			143
	<b>Type</b> <Tp>	[1..1]			143
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		144
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			144
	<b>LotNumber</b> <LotNb>	[0..1]	±		144
	<b>LotAmount</b> <LotAmt>	[0..1]	±		145
	<b>LotQuantity</b> <LotQty>	[0..1]	±		145
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		145
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		146
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		146

#### 9.4.6.1 SettledAmount <SttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount based on market tolerance level.

**SettledAmount <SttldAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 9.4.6.2 PreviouslySettledAmount <PrevsllySttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money previously settled.

**PreviouslySettledAmount <PrevsllySttldAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 9.4.6.3 RemainingSettlementAmount <RmngSttlmAmt>

*Presence:* [0..1]

*Definition:* Amount of money remaining to be settled.

**RemainingSettlementAmount <RmngSttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 9.4.6.4 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 9.4.6.5 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.



**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			141
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		141
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		141
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			142
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		142
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		142
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		142
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		143

#### 9.4.6.5.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		141
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		141

##### 9.4.6.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 9.4.6.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 9.4.6.5.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		142
	<b>LotAmount</b> <LotAmt>	[0..1]	±		142
	<b>LotQuantity</b> <LotQty>	[0..1]	±		142
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		143

##### 9.4.6.5.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 9.4.6.5.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

##### 9.4.6.5.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 9.4.6.5.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 9.4.6.6 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			143
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		144
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		144
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			144
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		144
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		145
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		145
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		145

##### 9.4.6.6.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		144

#### 9.4.6.6.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 9.4.6.6.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 9.4.6.6.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		144
	<b>LotAmount</b> <LotAmt>	[0..1]	±		145
	<b>LotQuantity</b> <LotQty>	[0..1]	±		145
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		145

#### 9.4.6.6.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 9.4.6.6.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 9.4.6.6.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 9.4.6.6.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 9.4.6.7 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 9.4.6.8 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

### 9.4.7 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C10 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 10 camt.072.001.02 IntraBalanceMovementModificationRequestV02

## 10.1 MessageDefinition Functionality

The IntraBalanceMovementModificationRequest message is sent from an account owner/requestor to a settlement infrastructure to request the modification of a processing indicator on a previously sent IntraBalanceMovementInstruction message.

Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

Outline

The IntraBalanceMovementModificationRequestV02 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

B. CashAccount

Account to or from which an entry is made.

C. CashAccountOwner

Party that legally owns the cash account.

D. CashAccountServicer

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

E. RequestDetails

Details of the request providing the changes and references of the instruction for which the modification is requested.

F. UnderlyingIntraBalance

Original intra-balance movement transaction details.

G. SupplementaryData

---

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntModReq&gt;</i>	[1..1]			
	<b>Identification</b> <Id>	[0..1]			152
	<b>Identification</b> <Id>	[1..1]	Text		152
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		153
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		153
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		153
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		153
	<b>CashAccount</b> <CshAcct>	[1..1]	±	C11, C10	154
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		154
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		155
	<b>RequestDetails</b> <ReqDtls>	[1..*]		C12, C13	155
	<b>Reference</b> <Ref>	[1..1]			157
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[0..1]	Text		157
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		157
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		157
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		157
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		158
	<b>Linkage</b> <Lkg>	[0..1]	±		158
	<b>Priority</b> <Prty>	[0..1]	±		158
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		158
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		158
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		159
	<b>Linkages</b> <Lnkgs>	[0..*]			159
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	160
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	160
	<b>Reference</b> <Ref>	[1..1]			160
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxld>	[1..1]	Text		161
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		161

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		161
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		161
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		161
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		162
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		162
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		162
	<b>UnderlyingIntraBalance</b> <UndrlyglIntraBal>	[0..1]		C3, C5	162
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		164
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		164
	<b>BalanceFrom</b> <BalFr>	[1..1]			164
	<b>Type</b> <Tp>	[1..1]			164
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		165
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		165
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			165
	<b>LotNumber</b> <LotNb>	[0..1]	±		165
	<b>LotAmount</b> <LotAmt>	[0..1]	±		166
	<b>LotQuantity</b> <LotQty>	[0..1]	±		166
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		166
	<b>BalanceTo</b> <BalTo>	[1..1]			167
	<b>Type</b> <Tp>	[1..1]			167
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		167
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		167
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			168
	<b>LotNumber</b> <LotNb>	[0..1]	±		168
	<b>LotAmount</b> <LotAmt>	[0..1]	±		168
	<b>LotQuantity</b> <LotQty>	[0..1]	±		169
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		169
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		169
	<b>Priority</b> <Prty>	[0..1]	±		169
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		170
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	170

## 10.3 Constraints

### C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C5 **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

### C6 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C7 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C8 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### C9 **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C10 **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

#### C11 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

#### C12 ModificationRequestPresenceRule

At least one modification request type element must be present.

#### C13 OtherProcessingRule

If OtherProcessing is used, then its usage must be pre-agreed between the sender and the receiver.

#### C14 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### C16 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 10.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		152
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		153
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		153
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		153
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		153

#### 10.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 10.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 10.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 10.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 10.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 10.4.2 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* C11 "IdentificationOrProxyPresenceRule", C10 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

### Constraints

- IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

## 10.4.3 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 10.4.4 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 10.4.5 RequestDetails <ReqDtls>

*Presence:* [1..\*]

*Definition:* Details of the request providing the changes and references of the instruction for which the modification is requested.

*Impacted by:* C12 "ModificationRequestPresenceRule", C13 "OtherProcessingRule"

**RequestDetails <ReqDtls>** contains the following **RequestDetails22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reference</b> <Ref>	[1..1]			157
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		157
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		157
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		157
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		157
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		158
	<b>Linkage</b> <Lkg>	[0..1]	±		158
	<b>Priority</b> <Prty>	[0..1]	±		158
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		158
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		158
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		159
	<b>Linkages</b> <Lnkgs>	[0..*]			159
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	160
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	160
	<b>Reference</b> <Ref>	[1..1]			160
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScetiesSttlmTxId>	[1..1]	Text		161
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		161
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		161
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		161
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		161
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		162
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		162
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		162



## Constraints

- **ModificationRequestPresenceRule**

At least one modification request type element must be present.

- **OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and the receiver.

### 10.4.5.1 Reference <Ref>

*Presence:* [1..1]

*Definition:* References of the transaction for which the intra-balance modification is requested.

**Reference <Ref>** contains the following **References14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		157
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		157
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		157
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		157
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		158

#### 10.4.5.1.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.1.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.1.4 ProcessorTransactionIdentification <PrcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.1.5 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.2 Linkage <Lkg>

*Presence:* [0..1]

*Definition:* Specifies the type of linkage requested.

**Linkage <Lkg>** contains one of the following elements (see "LinkageType3Choice" on page 2639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2640

#### 10.4.5.3 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 10.4.5.4 OtherProcessing <OthrPrcg>

*Presence:* [0..\*]

*Definition:* Specifies another type of processing change request.

**OtherProcessing <OthrPrcg>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 10.4.5.5 PartialSettlementIndicator <PrtlSttlmInd>

*Presence:* [0..1]

**Definition:** Specifies whether partial settlement is allowed.

**Datatype:** One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 10.4.5.6 ClearingChannel <ClrChanl>

**Presence:** [0..1]

**Definition:** Specifies the clearing channel to be used to process the payment instruction.

**Datatype:** "ClearingChannel2Code" on page 2805

CodeName	Name	Definition
RTGS	RealTimeGrossSettlementSystem	Clearing channel is a real-time gross settlement system.
RTNS	RealTimeNetSettlementSystem	Clearing channel is a real-time net settlement system.
MPNS	MassPaymentNetSystem	Clearing channel is a mass payment net settlement system.
BOOK	BookTransfer	Payment through internal book transfer.

#### 10.4.5.7 Linkages <Lnkgs>

**Presence:** [0..\*]

**Definition:** Information regarding the linkage requested.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	160
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	160
	<b>Reference</b> <Ref>	[1..1]			160
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		161
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		161
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		161
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		161
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		161
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		162
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		162
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		162

#### 10.4.5.7.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C16 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

##### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 10.4.5.7.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C14 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

##### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 10.4.5.7.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		161
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		161
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		161
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		161
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		161
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		162
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		162

#### 10.4.5.7.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 10.4.5.7.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

### 10.4.6 UnderlyingIntraBalance <UndrlygIntraBal>

*Presence:* [0..1]

*Definition:* Original intra-balance movement transaction details.

*Impacted by:* C3 "AdditionalDetailsRule", C5 "BalanceFromToRule"

**UnderlyingIntraBalance <UndrlygIntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		164
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		164
	<b>BalanceFrom</b> <BalFr>	[1..1]			164
	<b>Type</b> <Tp>	[1..1]			164
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		165
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		165
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			165
	<b>LotNumber</b> <LotNb>	[0..1]	±		165
	<b>LotAmount</b> <LotAmt>	[0..1]	±		166
	<b>LotQuantity</b> <LotQty>	[0..1]	±		166
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		166
	<b>BalanceTo</b> <BalTo>	[1..1]			167
	<b>Type</b> <Tp>	[1..1]			167
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		167
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		167
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			168
	<b>LotNumber</b> <LotNb>	[0..1]	±		168
	<b>LotAmount</b> <LotAmt>	[0..1]	±		168
	<b>LotQuantity</b> <LotQty>	[0..1]	±		169
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		169
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		169
	<b>Priority</b> <Prty>	[0..1]	±		169
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		170

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 10.4.6.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 10.4.6.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 10.4.6.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			164
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		165
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		165
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			165
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		165
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		166
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		166
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		166

##### 10.4.6.3.1 Type <Tp>

*Presence:* [1..1]



**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		165
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		165

#### 10.4.6.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 10.4.6.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 10.4.6.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		165
	<b>LotAmount</b> <LotAmt>	[0..1]	±		166
	<b>LotQuantity</b> <LotQty>	[0..1]	±		166
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		166

#### 10.4.6.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 10.4.6.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 10.4.6.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 10.4.6.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 10.4.6.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			167
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		167
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		167
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			168
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		168
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		168
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		169
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		169

##### 10.4.6.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		167
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		167

##### 10.4.6.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 10.4.6.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 10.4.6.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		168
	<b>LotAmount</b> <LotAmt>	[0..1]	±		168
	<b>LotQuantity</b> <LotQty>	[0..1]	±		169
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		169

##### 10.4.6.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 10.4.6.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 10.4.6.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 10.4.6.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 10.4.6.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 10.4.6.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 10.4.6.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

### 10.4.7 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C15 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 11 camt.073.001.02 IntraBalanceMovementModificationRequestSt atusAdviceV02

## 11.1 MessageDefinition Functionality

The IntraBalanceMovementModificationRequestStatusAdvice message is sent from a settlement infrastructure to an account owner/requestor to advise the status of an intra-balance movement modification request previously sent by the account owner/requestor.

Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

Outline

The IntraBalanceMovementModificationRequestStatusAdviceV02 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

B. RequestReference

Reference of the original intra-balance movement modification request.

C. CashAccount

Account to or from which an entry is made.

D. CashAccountOwner

Party that legally owns the cash account.

E. CashAccountServicer

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

F. RequestDetails

Details of the request providing the changes and references of the instruction for which the modification is requested.

G. ProcessingStatus

Provides details on the processing status of the transaction.

---

H. UnderlyingIntraBalance

Intra-balance movement transaction details.

I. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntModReqStsAdvc&gt;</i>	[1..1]			
	<b>Identification</b> <Id>	[0..1]			176
	<b>Identification</b> <Id>	[1..1]	Text		177
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		177
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		177
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		178
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		178
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		178
	<b>CashAccount</b> <CshAcct>	[1..1]	±	C12, C11	178
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		179
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		179
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C13, C14	180
	<b>Reference</b> <Ref>	[1..1]			182
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[0..1]	Text		182
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		182
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		182
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		182
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		183
	<b>Linkage</b> <Lkg>	[0..1]	±		183
	<b>Priority</b> <Prty>	[0..1]	±		183
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		183
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		183
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		184
	<b>Linkages</b> <Lnkgs>	[0..*]			184
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C17	185
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C15	185
	<b>Reference</b> <Ref>	[1..1]			185
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxld>	[1..1]	Text		186

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		186
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		186
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		186
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		186
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		187
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		187
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		187
	<b>ProcessingStatus</b> <PrcgSts>	[1..1]	±		187
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C3, C6	187
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		189
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		189
	<b>BalanceFrom</b> <BalFr>	[1..1]			189
	<b>Type</b> <Tp>	[1..1]			189
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		190
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		190
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			190
	<b>LotNumber</b> <LotNb>	[0..1]	±		190
	<b>LotAmount</b> <LotAmt>	[0..1]	±		191
	<b>LotQuantity</b> <LotQty>	[0..1]	±		191
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		191
	<b>BalanceTo</b> <BalTo>	[1..1]			192
	<b>Type</b> <Tp>	[1..1]			192
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		192
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		192
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			193
	<b>LotNumber</b> <LotNb>	[0..1]	±		193
	<b>LotAmount</b> <LotAmt>	[0..1]	±		193
	<b>LotQuantity</b> <LotQty>	[0..1]	±		194
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		194
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		194
	<b>Priority</b> <Prty>	[0..1]	±		194

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		195
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C16	195

## 11.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AdditionalDetailsRule

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C6 BalanceFromToRule

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

### C7 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **C10 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

#### **C11 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

#### **C12 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

#### **C13 ModificationRequestPresenceRule**

At least one modification request type element must be present.

#### **C14 OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and the receiver.

#### **C15 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### **C16 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### **C17 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## **11.4 Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### **11.4.1 Identification <Id>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		177
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		177
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		177
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		178
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		178

#### 11.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 11.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 11.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 11.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 11.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 11.4.2 RequestReference <ReqRef>

*Presence:* [1..1]

*Definition:* Reference of the original intra-balance movement modification request.

*Datatype:* "[Max35Text](#)" on page 2865

### 11.4.3 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* [C12 "IdentificationOrProxyPresenceRule"](#), [C11 "IdentificationAndProxyGuideline"](#)

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount40](#)" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

## Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
/Identification Must be present  
Or /Proxy Must be present

## 11.4.4 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 11.4.5 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see  
"BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 11.4.6 RequestDetails <ReqDtls>

*Presence:* [0..1]

*Definition:* Details of the request providing the changes and references of the instruction for which the modification is requested.

*Impacted by:* C13 "ModificationRequestPresenceRule", C14 "OtherProcessingRule"



**RequestDetails <ReqDtls>** contains the following **RequestDetails22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reference</b> <Ref>	[1..1]			182
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		182
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		182
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		182
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		182
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		183
	<b>Linkage</b> <Lkg>	[0..1]	±		183
	<b>Priority</b> <Prty>	[0..1]	±		183
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		183
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		183
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		184
	<b>Linkages</b> <Lnkgs>	[0..*]			184
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C17	185
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C15	185
	<b>Reference</b> <Ref>	[1..1]			185
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScetiesSttlmTxId>	[1..1]	Text		186
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		186
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		186
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		186
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		186
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		187
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		187
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		187

## Constraints

- **ModificationRequestPresenceRule**

At least one modification request type element must be present.

- **OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and the receiver.

### 11.4.6.1 Reference <Ref>

*Presence:* [1..1]

*Definition:* References of the transaction for which the intra-balance modification is requested.

**Reference <Ref>** contains the following **References14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		182
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		182
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		182
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		182
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		183

#### 11.4.6.1.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.1.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.1.4 ProcessorTransactionIdentification <PrcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.1.5 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.2 Linkage <Lkg>

*Presence:* [0..1]

*Definition:* Specifies the type of linkage requested.

**Linkage <Lkg>** contains one of the following elements (see "LinkageType3Choice" on page 2639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2640

#### 11.4.6.3 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 11.4.6.4 OtherProcessing <OthrPrcg>

*Presence:* [0..\*]

*Definition:* Specifies another type of processing change request.

**OtherProcessing <OthrPrcg>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 11.4.6.5 PartialSettlementIndicator <PrtlSttlmInd>

*Presence:* [0..1]

**Definition:** Specifies whether partial settlement is allowed.

**Datatype:** One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 11.4.6.6 ClearingChannel <ClrChanl>

**Presence:** [0..1]

**Definition:** Specifies the clearing channel to be used to process the payment instruction.

**Datatype:** "ClearingChannel2Code" on page 2805

CodeName	Name	Definition
RTGS	RealTimeGrossSettlementSystem	Clearing channel is a real-time gross settlement system.
RTNS	RealTimeNetSettlementSystem	Clearing channel is a real-time net settlement system.
MPNS	MassPaymentNetSystem	Clearing channel is a mass payment net settlement system.
BOOK	BookTransfer	Payment through internal book transfer.

#### 11.4.6.7 Linkages <Lnkgs>

**Presence:** [0..\*]

**Definition:** Information regarding the linkage requested.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C17	185
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C15	185
	<b>Reference</b> <Ref>	[1..1]			185
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		186
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		186
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		186
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		186
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		186
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		187
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		187
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		187

#### 11.4.6.7.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C17 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

##### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 11.4.6.7.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C15 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

##### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 11.4.6.7.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		186
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		186
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		186
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		186
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		186
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		187
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		187

#### 11.4.6.7.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 11.4.6.7.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

### 11.4.7 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus71Choice" on page 2752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2752
Or	Pending <Pdg>	[1..1]	±		2752
Or	Rejected <Rjctd>	[1..1]	±		2753
Or	Repair <Rpr>	[1..1]	±		2753
Or	Denied <Dnd>	[1..1]	±		2754
Or	Completed <Cmplt>	[1..1]	±	C6	2754
Or}	Proprietary <Prtry>	[1..1]	±		2754

### 11.4.8 UnderlyingIntraBalance <UndrlygIntraBal>

*Presence:* [0..1]

*Definition:* Intra-balance movement transaction details.

Impacted by: C3 "AdditionalDetailsRule", C6 "BalanceFromToRule"

UnderlyingIntraBalance <UndrlygIntraBal> contains the following IntraBalance5 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		189
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		189
	<b>BalanceFrom</b> <BalFr>	[1..1]			189
	<b>Type</b> <Tp>	[1..1]			189
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		190
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		190
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			190
	<b>LotNumber</b> <LotNb>	[0..1]	±		190
	<b>LotAmount</b> <LotAmt>	[0..1]	±		191
	<b>LotQuantity</b> <LotQty>	[0..1]	±		191
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		191
	<b>BalanceTo</b> <BalTo>	[1..1]			192
	<b>Type</b> <Tp>	[1..1]			192
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		192
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		192
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			193
	<b>LotNumber</b> <LotNb>	[0..1]	±		193
	<b>LotAmount</b> <LotAmt>	[0..1]	±		193
	<b>LotQuantity</b> <LotQty>	[0..1]	±		194
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		194
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		194
	<b>Priority</b> <Prty>	[0..1]	±		194
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		195

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.



#### 11.4.8.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 11.4.8.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 11.4.8.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			189
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		190
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		190
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			190
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		190
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		191
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		191
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		191

##### 11.4.8.3.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		190
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		190

#### 11.4.8.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 11.4.8.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 11.4.8.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		190
	<b>LotAmount</b> <LotAmt>	[0..1]	±		191
	<b>LotQuantity</b> <LotQty>	[0..1]	±		191
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		191

#### 11.4.8.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 11.4.8.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 11.4.8.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 11.4.8.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 11.4.8.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			192
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		192
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		192
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			193
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		193
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		193
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		194
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		194

##### 11.4.8.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		192
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		192

##### 11.4.8.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 11.4.8.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 11.4.8.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		193
	<b>LotAmount</b> <LotAmt>	[0..1]	±		193
	<b>LotQuantity</b> <LotQty>	[0..1]	±		194
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		194

##### 11.4.8.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 11.4.8.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 11.4.8.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 11.4.8.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 11.4.8.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 11.4.8.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see ["PriorityNumeric4Choice"](#) on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 11.4.8.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* ["Max350Text"](#) on page 2865

### 11.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C16 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 12 camt.074.001.02 IntraBalanceMovementCancellationRequestV02

## 12.1 MessageDefinition Functionality

The IntraBalanceMovementCancellationRequest message is sent from an account owner/requestor to a settlement infrastructure to request the cancellation of a previously sent IntraBalanceMovementInstruction message.

Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

Outline

The IntraBalanceMovementCancellationRequestV02 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

B. TransactionIdentification

Unambiguous identification of a transaction as per the account owner (or the instructing party managing the account).

C. CashAccount

Account to or from which an entry is made.

D. CashAccountOwner

Party that legally owns the cash account.

E. CashAccountServicer

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

F. UnderlyingIntraBalance

Intra-balance movement transaction details.

G. SupplementaryData



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Additional information that cannot be captured in the structured elements and/or any other specific  
block.

## 12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraBalMvmntCxlReq>	[1..1]			
	Identification <Id>	[0..1]			200
	Identification <Id>	[1..1]	Text		201
	CreationDateTime <CreDtTm>	[0..1]	±		201
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		201
	MessageOriginator <MsgOrgtr>	[0..1]	±		201
	MessageRecipient <MsgRcpt>	[0..1]	±		202
	TransactionIdentification <TxId>	[1..1]			202
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		202
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		202
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		202
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		203
	PoolIdentification <PoolId>	[0..1]	Text		203
	CashAccount <CshAcct>	[1..1]	±	C11, C10	203
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		203
	CashAccountServicer <CshAcctSvcr>	[0..1]	±		204
	UnderlyingIntraBalance <UndrlygIntraBal>	[0..1]		C3, C5	204
	SettlementAmount <SttlmAmt>	[1..1]	±		206
	SettlementDate <SttlmDt>	[1..1]	±		206
	BalanceFrom <BalFr>	[1..1]			206
	Type <Tp>	[1..1]			206
{Or	Code <Cd>	[1..1]	CodeSet		207
Or}	Proprietary <Prtry>	[1..1]	±		207
	QuantityBreakdown <QtyBrkdwn>	[0..*]			207
	LotNumber <LotNb>	[0..1]	±		207
	LotAmount <LotAmt>	[0..1]	±		208
	LotQuantity <LotQty>	[0..1]	±		208
	CashSubBalanceType <CshSubBalTp>	[0..1]	±		208
	BalanceTo <BalTo>	[1..1]			209

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[1..1]			209
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		209
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			210
	<b>LotNumber</b> <LotNb>	[0..1]	±		210
	<b>LotAmount</b> <LotAmt>	[0..1]	±		210
	<b>LotQuantity</b> <LotQty>	[0..1]	±		211
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		211
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		211
	<b>Priority</b> <Prty>	[0..1]	±		211
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrpgAddtlDtls>	[0..1]	Text		212
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C12	212

## 12.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AdditionalDetailsRule

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C5 BalanceFromToRule

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

## C6 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

## C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## C9 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## C10 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

## C11 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

## C12 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 12.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		201
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		201
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		201
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		201
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		202

#### 12.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 12.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 12.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 12.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 12.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 12.4.2 TransactionIdentification <TxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a transaction as per the account owner (or the instructing party managing the account).

**TransactionIdentification <TxId>** contains the following **References14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		202
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		202
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		202
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		203
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		203

### 12.4.2.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "[Max35Text](#)" on page 2865

### 12.4.2.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "[Max35Text](#)" on page 2865

### 12.4.2.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 12.4.2.4 ProcessorTransactionIdentification <PcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 12.4.2.5 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

### 12.4.3 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* C11 "IdentificationOrProxyPresenceRule", C10 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

### 12.4.4 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 12.4.5 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 12.4.6 UnderlyingIntraBalance <UndrlygIntraBal>

*Presence:* [0..1]

*Definition:* Intra-balance movement transaction details.

*Impacted by:* C3 "AdditionalDetailsRule", C5 "BalanceFromToRule"



**UnderlyingIntraBalance <UndrlygIntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		206
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		206
	<b>BalanceFrom</b> <BalFr>	[1..1]			206
	<b>Type</b> <Tp>	[1..1]			206
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		207
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		207
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			207
	<b>LotNumber</b> <LotNb>	[0..1]	±		207
	<b>LotAmount</b> <LotAmt>	[0..1]	±		208
	<b>LotQuantity</b> <LotQty>	[0..1]	±		208
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		208
	<b>BalanceTo</b> <BalTo>	[1..1]			209
	<b>Type</b> <Tp>	[1..1]			209
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		209
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			210
	<b>LotNumber</b> <LotNb>	[0..1]	±		210
	<b>LotAmount</b> <LotAmt>	[0..1]	±		210
	<b>LotQuantity</b> <LotQty>	[0..1]	±		211
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		211
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		211
	<b>Priority</b> <Prty>	[0..1]	±		211
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		212

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 12.4.6.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 12.4.6.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 12.4.6.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			206
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		207
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		207
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			207
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		207
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		208
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		208
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		208

##### 12.4.6.3.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		207
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		207

#### 12.4.6.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 12.4.6.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 12.4.6.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		207
	<b>LotAmount</b> <LotAmt>	[0..1]	±		208
	<b>LotQuantity</b> <LotQty>	[0..1]	±		208
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		208

#### 12.4.6.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 12.4.6.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 12.4.6.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 12.4.6.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 12.4.6.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			209
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		209
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		209
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			210
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		210
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		210
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		211
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		211

##### 12.4.6.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		209
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		209

##### 12.4.6.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 12.4.6.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 12.4.6.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		210
	<b>LotAmount</b> <LotAmt>	[0..1]	±		210
	<b>LotQuantity</b> <LotQty>	[0..1]	±		211
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		211

##### 12.4.6.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 12.4.6.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 12.4.6.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 12.4.6.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 12.4.6.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 12.4.6.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see ["PriorityNumeric4Choice"](#) on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 12.4.6.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* ["Max350Text"](#) on page 2865

### 12.4.7 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C12 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



# 13 camt.075.001.02 IntraBalanceMovementCancellationRequestS tatusAdviceV02

## 13.1 MessageDefinition Functionality

The IntraBalanceMovementCancellationRequestStatusAdvice message is sent from a settlement infrastructure to an account owner/requestor to advise the status of an intra-balance movement cancellation request previously sent by the account owner/requestor.

### Usage:

The message may be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "CopyDuplicate").

### Outline

The IntraBalanceMovementCancellationRequestStatusAdviceV02 MessageDefinition is composed of 9 MessageBuildingBlocks:

#### A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

#### B. RequestReference

Reference of the original intra-balance movement cancellation request.

#### C. TransactionIdentification

Unambiguous identification of a transaction as per the account owner (or the instructing party managing the account).

#### D. ProcessingStatus

Provides details on the processing status of the transaction.

#### E. CashAccount

Account to or from which an entry is made.

#### F. CashAccountOwner

Party that legally owns the cash account.

#### G. CashAccountServicer

---

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

H. UnderlyingIntraBalance

Intra-balance movement transaction details.

I. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraBalMvmntCxlReqStsAdv>	[1..1]			
	Identification <Id>	[0..1]			217
	Identification <Id>	[1..1]	Text		218
	CreationDateTime <CreDtTm>	[0..1]	±		218
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		218
	MessageOriginator <MsgOrgtr>	[0..1]	±		219
	MessageRecipient <MsgRcpt>	[0..1]	±		219
	RequestReference <ReqRef>	[1..1]	Text		219
	TransactionIdentification <TxId>	[1..1]			219
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		220
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		220
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		220
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		220
	PoolIdentification <PoolId>	[0..1]	Text		220
	ProcessingStatus <PrcgSts>	[1..1]	±		220
	CashAccount <CshAcct>	[1..1]	±	C12, C11	221
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		221
	CashAccountServicer <CshAcctSvcr>	[0..1]	±		222
	UnderlyingIntraBalance <UndrlygIntraBal>	[0..1]		C3, C6	222
	SettlementAmount <SttlmAmt>	[1..1]	±		224
	SettlementDate <SttlmDt>	[1..1]	±		224
	BalanceFrom <BalFr>	[1..1]			224
	Type <Tp>	[1..1]			224
{Or	Code <Cd>	[1..1]	CodeSet		225
Or}	Proprietary <Prtry>	[1..1]	±		225
	QuantityBreakdown <QtyBrkdown>	[0..*]			225
	LotNumber <LotNb>	[0..1]	±		225
	LotAmount <LotAmt>	[0..1]	±		226
	LotQuantity <LotQty>	[0..1]	±		226

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		226
	<b>BalanceTo</b> <BalTo>	[1..1]			227
	<b>Type</b> <Tp>	[1..1]			227
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		227
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		227
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			228
	<b>LotNumber</b> <LotNb>	[0..1]	±		228
	<b>LotAmount</b> <LotAmt>	[0..1]	±		228
	<b>LotQuantity</b> <LotQty>	[0..1]	±		229
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		229
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		229
	<b>Priority</b> <Prty>	[0..1]	±		229
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		230
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C13	230

## 13.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AdditionalDetailsRule

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C5 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C6 BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

**C7 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C8 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C9 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C10 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C11 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C12 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C13 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 13.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		218
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		218
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		218
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		219
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		219

### 13.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

### 13.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 13.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 13.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 13.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 13.4.2 RequestReference <ReqRef>

*Presence:* [1..1]

*Definition:* Reference of the original intra-balance movement cancellation request.

*Datatype:* "[Max35Text](#)" on page 2865

### 13.4.3 TransactionIdentification <TxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a transaction as per the account owner (or the instructing party managing the account).

**TransactionIdentification <TxId>** contains the following **References14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		220
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		220
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		220
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		220
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		220

#### **13.4.3.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### **13.4.3.2 AccountServicerTransactionIdentification <AcctSvcrTxId>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### **13.4.3.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **13.4.3.4 ProcessorTransactionIdentification <PrcrTxId>**

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### **13.4.3.5 PoolIdentification <PoolId>**

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

### **13.4.4 ProcessingStatus <PrcgSts>**

*Presence:* [1..1]

*Definition:* Provides details on the processing status of the transaction.



**ProcessingStatus <PrpgSts>** contains one of the following elements (see  
"ProcessingStatus69Choice" on page 2778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PendingCancellation <PdgCxl>	[1..1]	±		2778
Or	Rejected <Rjctd>	[1..1]	±		2778
Or	Repair <Rpr>	[1..1]	±		2779
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2779
Or	Proprietary <Prtry>	[1..1]	±		2780
Or	Denied <Dnd>	[1..1]	±		2780
Or}	Cancelled <Canc>	[1..1]	±		2780

## 13.4.5 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* [C12 "IdentificationOrProxyPresenceRule"](#), [C11 "IdentificationAndProxyGuideline"](#)

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount40](#)" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

### Constraints

- IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
/Identification Must be present  
Or /Proxy Must be present

## 13.4.6 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the cash account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 13.4.7 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 13.4.8 UnderlyingIntraBalance <UndrlygIntraBal>

*Presence:* [0..1]

*Definition:* Intra-balance movement transaction details.

*Impacted by:* C3 "AdditionalDetailsRule", C6 "BalanceFromToRule"

**UnderlyingIntraBalance <UndrlygIntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		224
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		224
	<b>BalanceFrom</b> <BalFr>	[1..1]			224
	<b>Type</b> <Tp>	[1..1]			224
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		225
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		225
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			225
	<b>LotNumber</b> <LotNb>	[0..1]	±		225
	<b>LotAmount</b> <LotAmt>	[0..1]	±		226
	<b>LotQuantity</b> <LotQty>	[0..1]	±		226
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		226
	<b>BalanceTo</b> <BalTo>	[1..1]			227
	<b>Type</b> <Tp>	[1..1]			227
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		227
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		227
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			228
	<b>LotNumber</b> <LotNb>	[0..1]	±		228
	<b>LotAmount</b> <LotAmt>	[0..1]	±		228
	<b>LotQuantity</b> <LotQty>	[0..1]	±		229
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		229
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		229
	<b>Priority</b> <Prty>	[0..1]	±		229
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		230

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

### 13.4.8.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

### 13.4.8.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 13.4.8.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			224
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		225
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		225
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			225
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		225
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		226
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		226
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		226

#### 13.4.8.3.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		225
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		225

#### 13.4.8.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 13.4.8.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 13.4.8.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		225
	<b>LotAmount</b> <LotAmt>	[0..1]	±		226
	<b>LotQuantity</b> <LotQty>	[0..1]	±		226
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		226

#### 13.4.8.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 13.4.8.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 13.4.8.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 13.4.8.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 13.4.8.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			227
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		227
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		227
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			228
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		228
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		228
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		229
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		229

##### 13.4.8.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		227
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		227

##### 13.4.8.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 13.4.8.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 13.4.8.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		228
	<b>LotAmount</b> <LotAmt>	[0..1]	±		228
	<b>LotQuantity</b> <LotQty>	[0..1]	±		229
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		229

##### 13.4.8.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 13.4.8.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595



#### 13.4.8.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see  
"FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 13.4.8.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see  
"GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 13.4.8.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see  
"GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 13.4.8.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see ["PriorityNumeric4Choice"](#) on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

### 13.4.8.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* ["Max350Text"](#) on page 2865

## 13.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C13 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# 14      **camt.076.001.01**

## **BillingReportRequestV01**

### **14.1      MessageDefinition Functionality**

The BillingReportRequest message is sent by the system member to the system transaction administrator to request cumulative or itemised billing data. The billing data may be cumulative information or aggregated information on the level of a single securities or a dedicated cash account within a given period for each service item.

#### Outline

The BillingReportRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Defines the characteristics specific to the message, such as the identification or the creation date and time.

B. SearchCriteria

Defines the criteria to be used to extract the billing data.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <BlgRptReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[1..1]	±		233
	<b>SearchCriteria</b> <SchCrit>	[1..*]			233
	<b>Service</b> <Svc>	[0..1]			234
	<b>SystemIdentification</b> <SysId>	[1..1]	±		234
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	234
	<b>BillingIdentification</b> <BlgId>	[0..1]	Text		235
	<b>BillingPeriod</b> <BlgPrd>	[0..1]	±		235
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		235
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		235
	<b>AccountIdentification</b> <AcctId>	[0..1]			236
{Or	<b>SecuritiesAccountIdentification</b> <SciesAcctId>	[1..1]	±		236
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		236
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C5	236

## 14.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 14.4.1 MessageHeader <MsgHdr>

*Presence:* [1..1]

*Definition:* Defines the characteristics specific to the message, such as the identification or the creation date and time.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader9](#)" on page 2683 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2683
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2683
	RequestType <ReqTp>	[0..1]			2683
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2683
Or	Enquiry <Enqry>	[1..1]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

## 14.4.2 SearchCriteria <SchCrit>

*Presence:* [1..\*]

*Definition:* Defines the criteria to be used to extract the billing data.

**SearchCriteria <SchCrit>** contains the following **BillingSearchCriteria3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Service</b> <Svc>	[0..1]			234
	<b>SystemIdentification</b> <SysId>	[1..1]	±		234
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	234
	<b>BillingIdentification</b> <BlId>	[0..1]	Text		235
	<b>BillingPeriod</b> <BlgPrd>	[0..1]	±		235
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		235
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		235
	<b>AccountIdentification</b> <AcctId>	[0..1]			236
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		236
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		236

#### 14.4.2.1 Service <Svc>

*Presence:* [0..1]

*Definition:* Identification of the service or system for which the billing information must be returned.

**Service <Svc>** contains the following **SystemAndCurrency1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemIdentification</b> <SysId>	[1..1]	±		234
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	234

##### 14.4.2.1.1 SystemIdentification <SysId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the system, as assigned by the system administrator.

**SystemIdentification <SysId>** contains one of the following elements (see "SystemIdentification2Choice" on page 2791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>MarketInfrastructureIdentification</b> <MktInfstrctrId>	[1..1]	±		2791
Or}	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	2792

##### 14.4.2.1.2 SystemCurrency <SysCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 14.4.2.2 BillingIdentification <BllgId>

Presence: [0..1]

Definition: Identification of the billing report.

Datatype: "Max35Text" on page 2865

#### 14.4.2.3 BillingPeriod <BllgPrd>

Presence: [0..1]

Definition: General information for each invoice invoicing request or month end billing event is stored.

**BillingPeriod <BllgPrd>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 14.4.2.4 ResponsiblePartyIdentification <RspnsblPtyId>

Presence: [0..1]

Definition: Unique identification of the party responsible for the referenced party (that is, which has a contractual responsibility for the billed customer).

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 14.4.2.5 PartyIdentification <PtyId>

Presence: [0..1]

Definition: Unique identification to unambiguously identify the billed party.

**PartyIdentification <PtyId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 14.4.2.6 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Either securities account or dedicated cash account number.

**AccountIdentification <AcctId>** contains one of the following **AccountIdentification38Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification</b> <SciesAcctId>	[1..1]	±		236
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		236

##### 14.4.2.6.1 SecuritiesAccountIdentification <SciesAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the securities account as assigned by the account servicer.

**SecuritiesAccountIdentification <SciesAcctId>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

##### 14.4.2.6.2 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the cash account, as assigned by the account servicer.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 14.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]



*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C5 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 15 camt.077.001.01 BillingReportV01

## 15.1 MessageDefinition Functionality

The BillingReport message is exchanged between the system transaction administrator and a system member. It aims at providing the invoice or invoice cancellation and informing about data providing cumulative or itemised details backing an invoice.

BillingReport is used for the following usages:

- Invoice

The Cumulative Invoice will consist of the following:

- Sum of the total number of units per service item for each CSD or CB;
- Sum of the amounts based on the total number of units per service item for each CSD or CB;
- Cancellation status of the invoice data.
- Invoice Cancellation
- Cumulative Billing Data Query Response:

The BillingReport message is also used to inform the system member about details backing an invoice. This can be sent via cumulative billing data or itemised billing data as response to an invoice query message.

Itemised Billing Data Query Response: The BillingReport message is also used to inform the system member about details backing an invoice. This can be via cumulative billing data or itemised billing data as response to an invoice query message.

### Outline

The BillingReportV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

#### A. MessageHeader

Defines the characteristics specific to the message, such as the identification or the creation date and time.

#### B. BillingReportOrError

Report on billing data or operational error.

#### C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <BlgRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[1..1]			247
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		247
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		247
	<b>RequestType</b> <ReqTp>	[0..1]			247
{Or	<b>PaymentControl</b> <PmtCtrl>	[1..1]	CodeSet		248
Or	<b>Enquiry</b> <Enqry>	[1..1]	CodeSet		248
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		248
	<b>OriginalBusinessQuery</b> <OrgnlBizQry>	[0..1]	±		248
	<b>BillingReportOrError</b> <BlgRptOrErr>	[1..1]			249
{Or	<b>BillingReport</b> <BlgRpt>	[1..1]			257
	<b>RegulatoryData</b> <RgltryData>	[1..1]			264
	<b>Invoicer</b> <Invcr>	[1..1]	±		264
	<b>Invoicee</b> <Invcee>	[1..1]	±		265
	<b>InvoiceLegalStatement</b> <InvclglStmnt>	[0..1]	Text		266
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			267
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		267
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		267
	<b>Service</b> <Svc>	[0..1]			267
	<b>SystemIdentification</b> <SysId>	[1..1]	±		267
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	268
	<b>InvoiceDate</b> <InvclDt>	[1..1]	Date		268
	<b>BillingIdentification</b> <BlglId>	[1..1]	Text		268
	<b>BillingPeriod</b> <BlgPrd>	[1..1]	±		268
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		268
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		269
	<b>AccountInvoiceTotals</b> <AcctInvclTtls>	[0..*]			269
	<b>AccountIdentification</b> <AcctId>	[1..1]			272
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		273
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		273

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvoiceTotals</b> <InvctTtls>	[1..1]			273
	<b>Tax</b> <Tax>	[0..*]			274
	<b>Rate</b> <Rate>	[1..1]	Rate		275
	<b>Description</b> <Desc>	[0..1]	Text		275
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	275
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			276
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	276
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			277
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277
	<b>Adjustment</b> <Adjstmnt>	[0..1]			278
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		278
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	278
	<b>TotalInvoiceAmount</b> <TtlInvctAmt>	[1..1]			279
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	279
	<b>ForeignExchange</b> <FX>	[0..1]	±		279
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		280
	<b>CashAccount</b> <CshAcct>	[0..1]	±		280
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[1..*]			280
	<b>BilledCustomerIdentification</b> <BlldCstmrlld>	[0..1]	±		283
	<b>Tax</b> <Tax>	[0..*]			283
	<b>Rate</b> <Rate>	[1..1]	Rate		283
	<b>Description</b> <Desc>	[0..1]	Text		284
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	284
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			284
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	285
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			285
	<b>Type</b> <Tp>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>Rate</b> <Rate>	[0..1]	Rate		286
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	286
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			286
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	287
	<b>ForeignExchange</b> <FX>	[0..1]	±		287
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			287
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		288
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		288
	<b>ServiceltemTotals</b> <SvcItmTtls>	[0..*]			288
	<b>ItemType</b> <ItmTp>	[1..1]	Text		289
	<b>Description</b> <Desc>	[0..1]	Text		289
	<b>Quantity</b> <Qty>	[1..1]	Quantity		290
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	290
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	290
	<b>Tax</b> <Tax>	[0..*]			290
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			294
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			295
	<b>ItemType</b> <ItmTp>	[1..1]	Text		296
	<b>Description</b> <Desc>	[0..1]	Text		296
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		297
	<b>Quantity</b> <Qty>	[1..1]	Quantity		297
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	297
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	297
	<b>Tax</b> <Tax>	[0..*]			298
	<b>Rate</b> <Rate>	[1..1]	Rate		298
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			301
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301
	<b>InvoiceTotals</b> <InvcTtls>	[0..1]			302
	<b>Tax</b> <Tax>	[0..*]			303
	<b>Rate</b> <Rate>	[1..1]	Rate		304
	<b>Description</b> <Desc>	[0..1]	Text		304
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	304

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			305
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	305
	<b>Reason</b> <Rsn>	[0..1]	Text		305
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	305
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			306
	<b>Type</b> <Tp>	[0..1]	Text		306
	<b>Description</b> <Desc>	[0..1]	Text		306
	<b>Rate</b> <Rate>	[0..1]	Rate		306
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	306
	<b>Adjustment</b> <Adjstmnt>	[0..1]			307
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		307
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	307
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			308
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	308
	<b>ForeignExchange</b> <FX>	[0..1]	±		308
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		309
	<b>CashAccount</b> <CshAcct>	[0..1]	±		309
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[0..*]			309
	<b>AccountIdentification</b> <AcctId>	[0..1]			312
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		312
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		312
	<b>ParentAccountIdentification</b> <PrntAcctId>	[0..1]			313
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		313
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		313
	<b>BilledCustomerIdentification</b> <BlldCstmrlId>	[0..1]	±		313
	<b>Tax</b> <Tax>	[0..*]			314
	<b>Rate</b> <Rate>	[1..1]	Rate		314
	<b>Description</b> <Desc>	[0..1]	Text		314
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	314
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			315
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	315

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[0..1]	Text		315
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	316
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			316
	<b>Type</b> <Tp>	[0..1]	Text		316
	<b>Description</b> <Desc>	[0..1]	Text		316
	<b>Rate</b> <Rate>	[0..1]	Rate		317
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	317
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			317
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	317
	<b>ForeignExchange</b> <FX>	[0..1]	±		318
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			318
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		318
	<b>ServiceItemTotals</b> <SvcItmTtls>	[0..*]			318
	<b>ItemType</b> <ItmTp>	[1..1]	Text		319
	<b>Description</b> <Desc>	[0..1]	Text		319
	<b>Quantity</b> <Qty>	[1..1]	Quantity		319
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	320
	<b>Tax</b> <Tax>	[0..*]			320
	<b>Rate</b> <Rate>	[1..1]	Rate		321
	<b>Description</b> <Desc>	[0..1]	Text		321
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	321
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			321
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	322
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			322
	<b>Type</b> <Tp>	[0..1]	Text		323
	<b>Description</b> <Desc>	[0..1]	Text		323
	<b>Rate</b> <Rate>	[0..1]	Rate		323
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	323



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			323
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	324
	<b>ForeignExchange</b> <FX>	[0..1]	±		324
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			324
	<b>ItemType</b> <ItmTp>	[1..1]	Text		325
	<b>Description</b> <Desc>	[0..1]	Text		325
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		326
	<b>Quantity</b> <Qty>	[1..1]	Quantity		326
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	326
	<b>Tax</b> <Tax>	[0..*]			326
	<b>Rate</b> <Rate>	[1..1]	Rate		327
	<b>Description</b> <Desc>	[0..1]	Text		327
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	327
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			328
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	328
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			329
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			330
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	330
	<b>ForeignExchange</b> <FX>	[0..1]	±		330
Or	<b>CancellationReport</b> <CxlRpt>	[1..1]			331
	<b>RegulatoryData</b> <RgltryData>	[0..1]			331
	<b>Invoiceer</b> <Invcr>	[1..1]	±		332
	<b>Invoicee</b> <Invcee>	[1..1]	±		333
	<b>InvoiceLegalStatement</b> <InvclglStmt>	[0..1]	Text		334
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			335

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		335
	<b>Service</b> <Svc>	[0..1]			335
	<b>SystemIdentification</b> <SysId>	[1..1]	±		335
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	336
	<b>InvoiceDate</b> <InvDt>	[1..1]	Date		336
	<b>BillingIdentification</b> <BllgId>	[1..1]	Text		336
	<b>BillingPeriod</b> <BllgPrd>	[1..1]	±		336
	<b>CancellationReason</b> <CxlRsn>	[1..1]			336
{Or	<b>Code</b> <Cd>	[1..1]	Text		337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		337
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			337
	<b>Error</b> <Err>	[1..1]			337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		338
	<b>Description</b> <Desc>	[0..1]	Text		338
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	338

## 15.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## C5 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## C6 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 15.4.1 MessageHeader <MsgHdr>

*Presence:* [1..1]

*Definition:* Defines the characteristics specific to the message, such as the identification or the creation date and time.

**MessageHeader <MsgHdr>** contains the following **MessageHeader11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		247
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		247
	<b>RequestType</b> <ReqTp>	[0..1]			247
{Or	<b>PaymentControl</b> <PmtCtrl>	[1..1]	CodeSet		248
Or	<b>Enquiry</b> <Enqry>	[1..1]	CodeSet		248
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		248
	<b>OriginalBusinessQuery</b> <OrgnlBizQry>	[0..1]	±		248

### 15.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 15.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 15.4.1.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType** <ReqTp> contains one of the following **RequestType4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>PaymentControl</b> <PmtCtrl>	[1..1]	CodeSet		248
Or	<b>Enquiry</b> <Enqry>	[1..1]	CodeSet		248
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		248

#### 15.4.1.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "ExternalPaymentControlRequestType1Code" on page 2818

#### 15.4.1.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "ExternalEnquiryRequestType1Code" on page 2816

#### 15.4.1.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary** <Prtry> contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 15.4.1.4 OriginalBusinessQuery <OrgnlBizQry>

*Presence:* [0..1]

*Definition:* Unique identification of the original query message.

**OriginalBusinessQuery** <OrgnlBizQry> contains the following elements (see "OriginalBusinessQuery1" on page 2680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2680
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2680
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2681

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## 15.4.2 **BillingReportOrError <BllgRptOrErr>**

*Presence:* [1..1]

*Definition:* Report on billing data or operational error.

**BillingReportOrError** <BllgRptOrErr> contains one of the following **BillingReportOrError6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BillingReport</b> <BllgRpt>	[1..1]			257
	<b>RegulatoryData</b> <RgltryData>	[1..1]			264
	<b>Invoicer</b> <Invcr>	[1..1]	±		264
	<b>Invoicee</b> <Invcee>	[1..1]	±		265
	<b>InvoiceLegalStatement</b> <InvclglStmt>	[0..1]	Text		266
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			267
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		267
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		267
	<b>Service</b> <Svc>	[0..1]			267
	<b>SystemIdentification</b> <SysId>	[1..1]	±		267
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	268
	<b>InvoiceDate</b> <InvclDt>	[1..1]	Date		268
	<b>BillingIdentification</b> <Bllgld>	[1..1]	Text		268
	<b>BillingPeriod</b> <BllgPrd>	[1..1]	±		268
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyld>	[0..1]	±		268
	<b>PartyIdentification</b> <Ptyld>	[0..1]	±		269
	<b>AccountInvoiceTotals</b> <AcctlInvclTtls>	[0..*]			269
	<b>AccountIdentification</b> <Acctld>	[1..1]			272
{Or	<b>SecuritiesAccountIdentification</b> <SciesAcctld>	[1..1]	±		273
Or}	<b>CashAccountIdentification</b> <CshAcctld>	[1..1]	±		273
	<b>InvoiceTotals</b> <InvclTtls>	[1..1]			273
	<b>Tax</b> <Tax>	[0..*]			274
	<b>Rate</b> <Rate>	[1..1]	Rate		275
	<b>Description</b> <Desc>	[0..1]	Text		275
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	275
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			276
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	276
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			277

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277
	<b>Adjustment</b> <Adjstmnt>	[0..1]			278
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		278
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	278
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			279
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	279
	<b>ForeignExchange</b> <FX>	[0..1]	±		279
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		280
	<b>CashAccount</b> <CshAcct>	[0..1]	±		280
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[1..*]			280
	<b>BilledCustomerIdentification</b> <BlldCstmrlld>	[0..1]	±		283
	<b>Tax</b> <Tax>	[0..*]			283
	<b>Rate</b> <Rate>	[1..1]	Rate		283
	<b>Description</b> <Desc>	[0..1]	Text		284
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	284
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			284
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	285
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			285
	<b>Type</b> <Tp>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>Rate</b> <Rate>	[0..1]	Rate		286
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	286
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			286
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	287
	<b>ForeignExchange</b> <FX>	[0..1]	±		287
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			287

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		288
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		288
	<b>ServicelItemTotals</b> <SvcItmTtls>	[0..*]			288
	<b>ItemType</b> <ItmTp>	[1..1]	Text		289
	<b>Description</b> <Desc>	[0..1]	Text		289
	<b>Quantity</b> <Qty>	[1..1]	Quantity		290
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	290
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	290
	<b>Tax</b> <Tax>	[0..*]			290
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			294
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294
	<b>ServicelItemCorrection</b> <SvcItmCrctn>	[0..*]			295
	<b>ItemType</b> <ItmTp>	[1..1]	Text		296
	<b>Description</b> <Desc>	[0..1]	Text		296
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		297
	<b>Quantity</b> <Qty>	[1..1]	Quantity		297
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	297
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	297



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Tax</b> <Tax>	[0..*]			298
	<b>Rate</b> <Rate>	[1..1]	Rate		298
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			301
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301
	<b>InvoiceTotals</b> <InvcTtls>	[0..1]			302
	<b>Tax</b> <Tax>	[0..*]			303
	<b>Rate</b> <Rate>	[1..1]	Rate		304
	<b>Description</b> <Desc>	[0..1]	Text		304
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	304
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			305
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	305
	<b>Reason</b> <Rsn>	[0..1]	Text		305
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	305
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			306
	<b>Type</b> <Tp>	[0..1]	Text		306
	<b>Description</b> <Desc>	[0..1]	Text		306
	<b>Rate</b> <Rate>	[0..1]	Rate		306
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	306
	<b>Adjustment</b> <Adjstmnt>	[0..1]			307

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		307
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	307
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			308
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	308
	<b>ForeignExchange</b> <FX>	[0..1]	±		308
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		309
	<b>CashAccount</b> <CshAcct>	[0..1]	±		309
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[0..*]			309
	<b>AccountIdentification</b> <AcctId>	[0..1]			312
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		312
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		312
	<b>ParentAccountIdentification</b> <PrntAcctId>	[0..1]			313
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		313
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		313
	<b>BilledCustomerIdentification</b> <BlldCstmrId>	[0..1]	±		313
	<b>Tax</b> <Tax>	[0..*]			314
	<b>Rate</b> <Rate>	[1..1]	Rate		314
	<b>Description</b> <Desc>	[0..1]	Text		314
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	314
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			315
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	315
	<b>Reason</b> <Rsn>	[0..1]	Text		315
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	316
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			316
	<b>Type</b> <Tp>	[0..1]	Text		316
	<b>Description</b> <Desc>	[0..1]	Text		316
	<b>Rate</b> <Rate>	[0..1]	Rate		317
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	317
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			317
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	317
	<b>ForeignExchange</b> <FX>	[0..1]	±		318

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			318
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		318
	<b>ServiceItemTotals</b> <SvcItmTtls>	[0..*]			318
	<b>ItemType</b> <ItmTp>	[1..1]	Text		319
	<b>Description</b> <Desc>	[0..1]	Text		319
	<b>Quantity</b> <Qty>	[1..1]	Quantity		319
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	320
	<b>Tax</b> <Tax>	[0..*]			320
	<b>Rate</b> <Rate>	[1..1]	Rate		321
	<b>Description</b> <Desc>	[0..1]	Text		321
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	321
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			321
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	322
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			322
	<b>Type</b> <Tp>	[0..1]	Text		323
	<b>Description</b> <Desc>	[0..1]	Text		323
	<b>Rate</b> <Rate>	[0..1]	Rate		323
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	323
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			323
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	324
	<b>ForeignExchange</b> <FX>	[0..1]	±		324
	<b>ServiceItemCorrection</b> <SvcItmCrrctn>	[0..*]			324
	<b>ItemType</b> <ItmTp>	[1..1]	Text		325
	<b>Description</b> <Desc>	[0..1]	Text		325
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		326
	<b>Quantity</b> <Qty>	[1..1]	Quantity		326
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	326
	<b>Tax</b> <Tax>	[0..*]			326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		327
	<b>Description</b> <Desc>	[0..1]	Text		327
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	327
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			328
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	328
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			329
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			330
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	330
	<b>ForeignExchange</b> <FX>	[0..1]	±		330
Or	<b>CancellationReport</b> <CxlRpt>	[1..1]			331
	<b>RegulatoryData</b> <RgltryData>	[0..1]			331
	<b>Invoice</b> <Invc>	[1..1]	±		332
	<b>Invoicee</b> <Invcee>	[1..1]	±		333
	<b>InvoiceLegalStatement</b> <InvcLglStmnt>	[0..1]	Text		334
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		335
	<b>Service</b> <Svc>	[0..1]			335
	<b>SystemIdentification</b> <SysId>	[1..1]	±		335
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	336
	<b>InvoiceDate</b> <InvcDt>	[1..1]	Date		336
	<b>BillingIdentification</b> <BlgId>	[1..1]	Text		336
	<b>BillingPeriod</b> <BlgPrd>	[1..1]	±		336
	<b>CancellationReason</b> <CxlRsn>	[1..1]			336
{Or	<b>Code</b> <Cd>	[1..1]	Text		337

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		337
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			337
	<b>Error</b> <Err>	[1..1]			337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		338
	<b>Description</b> <Desc>	[0..1]	Text		338

### 15.4.2.1 BillingReport <BllgRpt>

*Presence:* [1..1]

*Definition:* Report on billing data.

**BillingReport <BllgRpt>** contains the following **BillingReport6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegulatoryData</b> <RgltryData>	[1..1]			264
	<b>Invoice</b> <Invcr>	[1..1]	±		264
	<b>Invoicee</b> <Invcee>	[1..1]	±		265
	<b>InvoiceLegalStatement</b> <InvclglStmnt>	[0..1]	Text		266
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			267
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		267
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		267
	<b>Service</b> <Svc>	[0..1]			267
	<b>SystemIdentification</b> <SysId>	[1..1]	±		267
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	268
	<b>InvoiceDate</b> <Invcdt>	[1..1]	Date		268
	<b>BillingIdentification</b> <BllgId>	[1..1]	Text		268
	<b>BillingPeriod</b> <BllgPrd>	[1..1]	±		268
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		268
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		269
	<b>AccountInvoiceTotals</b> <AcctlInvcttls>	[0..*]			269
	<b>AccountIdentification</b> <AcctId>	[1..1]			272
{Or	<b>SecuritiesAccountIdentification</b> <ScetiesAcctId>	[1..1]	±		273
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		273
	<b>InvoiceTotals</b> <Invcttls>	[1..1]			273
	<b>Tax</b> <Tax>	[0..*]			274
	<b>Rate</b> <Rate>	[1..1]	Rate		275
	<b>Description</b> <Desc>	[0..1]	Text		275
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	275
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			276
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	276
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			277
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277
	<b>Adjustment</b> <Adjstmnt>	[0..1]			278
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		278
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	278
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			279
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	279
	<b>ForeignExchange</b> <FX>	[0..1]	±		279
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		280
	<b>CashAccount</b> <CshAcct>	[0..1]	±		280
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[1..*]			280
	<b>BilledCustomerIdentification</b> <BlldCstmrlid>	[0..1]	±		283
	<b>Tax</b> <Tax>	[0..*]			283
	<b>Rate</b> <Rate>	[1..1]	Rate		283
	<b>Description</b> <Desc>	[0..1]	Text		284
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	284
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			284
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	285
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			285
	<b>Type</b> <Tp>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>Rate</b> <Rate>	[0..1]	Rate		286
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	286
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			286
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	287
	<b>ForeignExchange</b> <FX>	[0..1]	±		287
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			287
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		288
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		288

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ServiceltemTotals</b> <SvcltmTtls>	[0..*]			288
	<b>ItemType</b> <ItmTp>	[1..1]	Text		289
	<b>Description</b> <Desc>	[0..1]	Text		289
	<b>Quantity</b> <Qty>	[1..1]	Quantity		290
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	290
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	290
	<b>Tax</b> <Tax>	[0..*]			290
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			294
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			295
	<b>ItemType</b> <ItmTp>	[1..1]	Text		296
	<b>Description</b> <Desc>	[0..1]	Text		296
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		297
	<b>Quantity</b> <Qty>	[1..1]	Quantity		297
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	297
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	297
	<b>Tax</b> <Tax>	[0..*]			298
	<b>Rate</b> <Rate>	[1..1]	Rate		298



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			301
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301
	<b>InvoiceTotals</b> <InvcTtls>	[0..1]			302
	<b>Tax</b> <Tax>	[0..*]			303
	<b>Rate</b> <Rate>	[1..1]	Rate		304
	<b>Description</b> <Desc>	[0..1]	Text		304
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	304
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			305
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	305
	<b>Reason</b> <Rsn>	[0..1]	Text		305
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	305
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			306
	<b>Type</b> <Tp>	[0..1]	Text		306
	<b>Description</b> <Desc>	[0..1]	Text		306
	<b>Rate</b> <Rate>	[0..1]	Rate		306
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	306
	<b>Adjustment</b> <Adjstmnt>	[0..1]			307
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		307
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	307

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			308
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	308
	<b>ForeignExchange</b> <FX>	[0..1]	±		308
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		309
	<b>CashAccount</b> <CshAcct>	[0..1]	±		309
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[0..*]			309
	<b>AccountIdentification</b> <AcctId>	[0..1]			312
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		312
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		312
	<b>ParentAccountIdentification</b> <PrntAcctId>	[0..1]			313
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		313
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		313
	<b>BilledCustomerIdentification</b> <BlldCstmrId>	[0..1]	±		313
	<b>Tax</b> <Tax>	[0..*]			314
	<b>Rate</b> <Rate>	[1..1]	Rate		314
	<b>Description</b> <Desc>	[0..1]	Text		314
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	314
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			315
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	315
	<b>Reason</b> <Rsn>	[0..1]	Text		315
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	316
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			316
	<b>Type</b> <Tp>	[0..1]	Text		316
	<b>Description</b> <Desc>	[0..1]	Text		316
	<b>Rate</b> <Rate>	[0..1]	Rate		317
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	317
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			317
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	317
	<b>ForeignExchange</b> <FX>	[0..1]	±		318
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			318
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		318

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		318
	<b>ServiceltemTotals</b> <SvcltmTtls>	[0..*]			318
	<b>ItemType</b> <ItmTp>	[1..1]	Text		319
	<b>Description</b> <Desc>	[0..1]	Text		319
	<b>Quantity</b> <Qty>	[1..1]	Quantity		319
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	320
	<b>Tax</b> <Tax>	[0..*]			320
	<b>Rate</b> <Rate>	[1..1]	Rate		321
	<b>Description</b> <Desc>	[0..1]	Text		321
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	321
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			321
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	322
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			322
	<b>Type</b> <Tp>	[0..1]	Text		323
	<b>Description</b> <Desc>	[0..1]	Text		323
	<b>Rate</b> <Rate>	[0..1]	Rate		323
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	323
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			323
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	324
	<b>ForeignExchange</b> <FX>	[0..1]	±		324
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			324
	<b>ItemType</b> <ItmTp>	[1..1]	Text		325
	<b>Description</b> <Desc>	[0..1]	Text		325
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		326
	<b>Quantity</b> <Qty>	[1..1]	Quantity		326
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	326
	<b>Tax</b> <Tax>	[0..*]			326
	<b>Rate</b> <Rate>	[1..1]	Rate		327
	<b>Description</b> <Desc>	[0..1]	Text		327

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	327
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			328
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	328
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			329
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			330
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	330
	<b>ForeignExchange</b> <FX>	[0..1]	±		330

#### 15.4.2.1.1 RegulatoryData <RgltryData>

*Presence:* [1..1]

*Definition:* Information about legal and regulatory data exchanged between invoicing and paying party.

**RegulatoryData** <RgltryData> contains the following **InvoiceLegalIssue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Invoice</b> <Invcr>	[1..1]	±		264
	<b>Invoicee</b> <Invcee>	[1..1]	±		265
	<b>InvoiceLegalStatement</b> <InvLglStmt>	[0..1]	Text		266
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			267
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		267
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		267

##### 15.4.2.1.1.1 Invoice <Invcr>

*Presence:* [1..1]

*Definition:* Identification of the organisation issuing the invoice, when it is different from the creditor or ultimate creditor.

**Invoiceer <Invcr>** contains the following elements (see "PartyIdentification272" on page 2689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2690
	PostalAddress <PstlAdr>	[0..1]	±		2691
	Identification <Id>	[0..1]			2691
{Or	OrganisationIdentification <OrgId>	[1..1]			2692
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer <Issr>	[0..1]	Text		2694
Or}	PrivateIdentification <PrvtId>	[1..1]			2694
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	2697
	ContactDetails <CtctDtls>	[0..1]	±		2697

#### 15.4.2.1.1.2 Invoicee <Invcee>

*Presence:* [1..1]

*Definition:* Identification of the party to whom an invoice is issued, when it is different from the debtor or ultimate debtor.

**Invoicee <Invcee>** contains the following elements (see "PartyIdentification272" on page 2689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2690
	PostalAddress <PstlAdr>	[0..1]	±		2691
	Identification <Id>	[0..1]			2691
{Or	OrganisationIdentification <OrgId>	[1..1]			2692
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer <Issr>	[0..1]	Text		2694
Or}	PrivateIdentification <PrvtId>	[1..1]			2694
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	2697
	ContactDetails <CtctDtls>	[0..1]	±		2697

#### 15.4.2.1.1.3 InvoiceLegalStatement <InvcLglStmnt>

*Presence:* [0..1]

*Definition:* Additional information, in free text form, to complement the structured remittance information.

*Datatype:* "Max210Text" on page 2864

#### 15.4.2.1.1.4 PaymentMethod <PmtMtd>

*Presence:* [0..1]

*Definition:* Method of payment for the remittance of the CSD or NCB to the invoicing party.

**PaymentMethod <PmtMtd>** contains one of the following **PaymentMethod3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		267
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		267

##### 15.4.2.1.1.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Method of payment for the remittance of the CSD or NCB to the invoicing party, in a coded form.

*Datatype:* "ExternalPaymentMethodCode1Code" on page 2818

##### 15.4.2.1.1.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Method of payment for the remittance of the CSD or the NCB to the invoicing party, in a proprietary free text format.

*Datatype:* "Max210Text" on page 2864

#### 15.4.2.1.2 Service <Svc>

*Presence:* [0..1]

*Definition:* Identification of the service or system for which the billing information is provided.

**Service <Svc>** contains the following **SystemAndCurrency1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemIdentification</b> <SysId>	[1..1]	±		267
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	268

##### 15.4.2.1.2.1 SystemIdentification <SysId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the system, as assigned by the system administrator.

**SystemIdentification <SysId>** contains one of the following elements (see "SystemIdentification2Choice" on page 2791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>MarketInfrastructureIdentification</b> <MktInfstrctrId>	[1..1]	±		2791
Or}	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	2792

#### 15.4.2.1.2.2 SystemCurrency <SysCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 15.4.2.1.3 InvoiceDate <InvcDt>

*Presence:* [1..1]

*Definition:* Date at which the billing report was created.

*Datatype:* "ISODate" on page 2858

#### 15.4.2.1.4 BillingIdentification <BllgId>

*Presence:* [1..1]

*Definition:* Unique identification of the billing report.

*Datatype:* "Max35Text" on page 2865

#### 15.4.2.1.5 BillingPeriod <BllgPrd>

*Presence:* [1..1]

*Definition:* Date period for which the report data is valid.

**BillingPeriod <BllgPrd>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 15.4.2.1.6 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the referenced party (that is, which has a contractual responsibility for the billed customer).



**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 15.4.2.1.7 PartyIdentification <PtyId>

*Presence:* [0..1]

*Definition:* Unique identification to unambiguously identify the billed party.

**PartyIdentification <PtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 15.4.2.1.8 AccountInvoiceTotals <AcctInvcTtls>

*Presence:* [0..\*]

*Definition:* Specifies totals related to the invoice per account.

**AccountInvoiceTotals** <AcctInvcTtls> contains the following **InvoiceTotals8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[1..1]			272
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		273
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		273
	<b>InvoiceTotals</b> <InvcTtls>	[1..1]			273
	<b>Tax</b> <Tax>	[0..*]			274
	<b>Rate</b> <Rate>	[1..1]	Rate		275
	<b>Description</b> <Desc>	[0..1]	Text		275
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	275
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			276
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	276
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			277
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277
	<b>Adjustment</b> <Adjstmnt>	[0..1]			278
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		278
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	278
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			279
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	279
	<b>ForeignExchange</b> <FX>	[0..1]	±		279
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		280
	<b>CashAccount</b> <CshAcct>	[0..1]	±		280
	<b>ServiceCategoryTotals</b> <SvcCtgyTtls>	[1..*]			280
	<b>BilledCustomerIdentification</b> <BlldCstmrlId>	[0..1]	±		283
	<b>Tax</b> <Tax>	[0..*]			283
	<b>Rate</b> <Rate>	[1..1]	Rate		283
	<b>Description</b> <Desc>	[0..1]	Text		284
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	284

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			284
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	285
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			285
	<b>Type</b> <Tp>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>Rate</b> <Rate>	[0..1]	Rate		286
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	286
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			286
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	287
	<b>ForeignExchange</b> <FX>	[0..1]	±		287
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			287
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		288
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		288
	<b>ServiceItemTotals</b> <SvcItmTtls>	[0..*]			288
	<b>ItemType</b> <ItmTp>	[1..1]	Text		289
	<b>Description</b> <Desc>	[0..1]	Text		289
	<b>Quantity</b> <Qty>	[1..1]	Quantity		290
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	290
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	290
	<b>Tax</b> <Tax>	[0..*]			290
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			294
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			295
	<b>ItemType</b> <ItmTp>	[1..1]	Text		296
	<b>Description</b> <Desc>	[0..1]	Text		296
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		297
	<b>Quantity</b> <Qty>	[1..1]	Quantity		297
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	297
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	297
	<b>Tax</b> <Tax>	[0..*]			298
	<b>Rate</b> <Rate>	[1..1]	Rate		298
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			301
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301

#### 15.4.2.1.8.1 AccountIdentification <AcctId>

Presence: [1..1]

*Definition:* Unique identification of a securities account or cash account belonging to billed customer.

**AccountIdentification <AcctId>** contains one of the following **AccountIdentification38Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification &lt;SctiesAcctId&gt;</b>	[1..1]	±		273
Or}	<b>CashAccountIdentification &lt;CshAcctId&gt;</b>	[1..1]	±		273

#### 15.4.2.1.8.1.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the securities account as assigned by the account servicer.

**SecuritiesAccountIdentification <SctiesAcctId>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 15.4.2.1.8.1.2 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the cash account, as assigned by the account servicer.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 15.4.2.1.8.2 InvoiceTotals <InvctTtls>

*Presence:* [1..1]

*Definition:* Specifies totals related to the invoice.

**InvoiceTotals <InvcTtls>** contains the following **InvoiceTotals7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Tax</b> <Tax>	[0..*]			274
	<b>Rate</b> <Rate>	[1..1]	Rate		275
	<b>Description</b> <Desc>	[0..1]	Text		275
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	275
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			276
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	276
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			277
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277
	<b>Adjustment</b> <Adjstmnt>	[0..1]			278
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		278
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	278
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			279
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	279
	<b>ForeignExchange</b> <FX>	[0..1]	±		279
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		280
	<b>CashAccount</b> <CshAcct>	[0..1]	±		280

#### 15.4.2.1.8.2.1 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		275
	<b>Description</b> <Desc>	[0..1]	Text		275
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	275
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			276
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	276
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			277
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277

#### 15.4.2.1.8.2.1.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.8.2.1.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

#### 15.4.2.1.8.2.1.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.2.1.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	276
	<b>Reason</b> <Rsn>	[0..1]	Text		276

##### 15.4.2.1.8.2.1.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 15.4.2.1.8.2.1.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

##### 15.4.2.1.8.2.1.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"



*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.2.1.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		277
	<b>Description</b> <Desc>	[0..1]	Text		277
	<b>Rate</b> <Rate>	[0..1]	Rate		277
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	277

##### 15.4.2.1.8.2.1.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

##### 15.4.2.1.8.2.1.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

##### 15.4.2.1.8.2.1.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.8.2.1.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.2.2 Adjustment <Adjstmnt>

*Presence:* [0..1]

*Definition:* Variance on invoice amount taking into account discounts, allowances and charges.

**Adjustment <Adjstmnt>** contains the following **Adjustment5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		278
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	278

##### 15.4.2.1.8.2.2.1 Direction <Drctn>

*Presence:* [1..1]

*Definition:* Specifies whether the adjustment must be subtracted or added to the total amount.

*Datatype:* "AdjustmentDirection1Code" on page 2799

CodeName	Name	Definition
ADDD	Added	Adjustment amount must be added to the total amount.
SUBS	Subtracted	Adjustment amount must be subtracted from the total amount.

##### 15.4.2.1.8.2.2.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Specifies the monetary amount of the adjustment.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

## Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 15.4.2.1.8.2.3 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtlInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	279
	<b>ForeignExchange</b> <FX>	[0..1]	±		279

#### 15.4.2.1.8.2.3.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

## Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.2.3.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "[ForeignExchangeTerms24](#)" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.8.2.4 PaymentDueDate <PmtDueDt>

*Presence:* [1..1]

*Definition:* Due date for the payment of the invoice.

*Datatype:* "[ISODate](#)" on page 2858

#### 15.4.2.1.8.2.5 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Cash account from which the fees are paid.

**CashAccount <CshAcct>** contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 15.4.2.1.8.3 ServiceCategoryTotals <SvcCtgyTtls>

*Presence:* [1..\*]

*Definition:* Specifies totals related to the service category.

**ServiceCategoryTotals <SvcCtgyTtls>** contains the following **ServiceCategoryTotals7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BilledCustomerIdentification</b> <BlldCstmrlid>	[0..1]	±		283
	<b>Tax</b> <Tax>	[0..*]			283
	<b>Rate</b> <Rate>	[1..1]	Rate		283
	<b>Description</b> <Desc>	[0..1]	Text		284
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	284
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			284
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	285
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			285
	<b>Type</b> <Tp>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>Rate</b> <Rate>	[0..1]	Rate		286
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	286
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			286
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	287
	<b>ForeignExchange</b> <FX>	[0..1]	±		287
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			287
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		288
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		288
	<b>ServiceItemTotals</b> <SvcltmTtls>	[0..*]			288
	<b>ItemType</b> <ItmTp>	[1..1]	Text		289
	<b>Description</b> <Desc>	[0..1]	Text		289
	<b>Quantity</b> <Qty>	[1..1]	Quantity		290
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	290
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	290
	<b>Tax</b> <Tax>	[0..*]			290
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293
	<b>TotallInvoiceAmount</b> <TtlInvAmt>	[1..1]			294
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			295
	<b>ItemType</b> <itmTp>	[1..1]	Text		296
	<b>Description</b> <Desc>	[0..1]	Text		296
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		297
	<b>Quantity</b> <Qty>	[1..1]	Quantity		297
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	297
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	297
	<b>Tax</b> <Tax>	[0..*]			298
	<b>Rate</b> <Rate>	[1..1]	Rate		298
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			301
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301

#### 15.4.2.1.8.3.1 BilledCustomerIdentification <BldCstmrlId>

*Presence:* [0..1]

*Definition:* Identification of the customer which is invoiced.

**BilledCustomerIdentification <BldCstmrlId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 15.4.2.1.8.3.2 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		283
	<b>Description</b> <Desc>	[0..1]	Text		284
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	284
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			284
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	285
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			285
	<b>Type</b> <Tp>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>Rate</b> <Rate>	[0..1]	Rate		286
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	286

#### 15.4.2.1.8.3.2.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

**15.4.2.1.8.3.2.2 Description <Desc>**

*Presence:* [0..1]  
*Definition:* Name used to describe the tax (such as the national value added tax).  
*Datatype:* "Max40Text" on page 2866

**15.4.2.1.8.3.2.3 TaxableAmount <TaxblAmt>**

*Presence:* [1..1]  
*Definition:* Amount subject to tax.  
*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"  
*Datatype:* "ActiveCurrencyAndAmount" on page 2794

**Constraints**

- **ActiveCurrency**  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.
- **CurrencyAmount**  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
  
Note: The decimal separator is a dot.

**15.4.2.1.8.3.2.4 TaxExemption <TaxXmptn>**

*Presence:* [0..\*]  
*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	284
	<b>Reason</b> <Rsn>	[0..1]	Text		285

**15.4.2.1.8.3.2.4.1 ExemptedAmount <XmptdAmt>**

*Presence:* [1..1]  
*Definition:* Amount exempted from tax.  
*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"  
*Datatype:* "ActiveCurrencyAndAmount" on page 2794



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#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.2.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

#### 15.4.2.1.8.3.2.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.2.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[0..1]	Text		286
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		286
	<b>Rate &lt;Rate&gt;</b>	[0..1]	Rate		286
	<b>Amount &lt;Amt&gt;</b>	[0..1]	Amount	C1, C4	286

#### 15.4.2.1.8.3.2.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

#### 15.4.2.1.8.3.2.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

#### 15.4.2.1.8.3.2.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.8.3.2.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.3 TotalInvoiceAmount <TtlInvAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount** <TtlInvcAmt> contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	287
	<b>ForeignExchange</b> <FX>	[0..1]	±		287

#### 15.4.2.1.8.3.3.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.3.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange** <FX> contains the following elements (see "ForeignExchangeTerms24" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.8.3.4 ServiceCategory <SvcCtgy>

*Presence:* [1..1]

*Definition:* Agreement under which or rules under which the transaction should be processed.

**ServiceCategory <SvcCtgy>** contains one of the following **ServiceCategory1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		288
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		288

#### 15.4.2.1.8.3.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Service category, as published in an external reason code list.

*Datatype:* "ExternalServiceCategory1Code" on page 2819

#### 15.4.2.1.8.3.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Service category, in a proprietary form.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 15.4.2.1.8.3.5 ServiceItemTotals <SvcItmTtls>

*Presence:* [0..\*]

*Definition:* Totals related to the invoice.

**ServiceItemTotals <SvcItmTtls>** contains the following **ServiceItemTotals10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ItemType</b> <ItmTp>	[1..1]	Text		289
	<b>Description</b> <Desc>	[0..1]	Text		289
	<b>Quantity</b> <Qty>	[1..1]	Quantity		290
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	290
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	290
	<b>Tax</b> <Tax>	[0..*]			290
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			294
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294

#### 15.4.2.1.8.3.5.1 ItemType <ItmTp>

*Presence:* [1..1]

*Definition:* Specifies a classification for the service items such as query, report, securities account, etc....

*Datatype:* "Max20AlphaNumericText" on page 2864

#### 15.4.2.1.8.3.5.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the service item.

*Datatype:* "Max350Text" on page 2865

#### 15.4.2.1.8.3.5.3 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Sum of total number units per service item.

*Datatype:* "Number" on page 2862

#### 15.4.2.1.8.3.5.4 BalanceCurrency <BalCcy>

*Presence:* [0..1]

*Definition:* Currency of the balance. Should be present only if quantity is a balance.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 15.4.2.1.8.3.5.5 UnitPrice <UnitPric>

*Presence:* [0..1]

*Definition:* Specifies the price per unit of the service item.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.5.6 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		291
	<b>Description</b> <Desc>	[0..1]	Text		291
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	291
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			292
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	292
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			293
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293

#### 15.4.2.1.8.3.5.6.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.8.3.5.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

#### 15.4.2.1.8.3.5.6.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.5.6.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	292
	<b>Reason</b> <Rsn>	[0..1]	Text		292

##### 15.4.2.1.8.3.5.6.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 15.4.2.1.8.3.5.6.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

##### 15.4.2.1.8.3.5.6.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"



*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.5.6.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		293
	<b>Description</b> <Desc>	[0..1]	Text		293
	<b>Rate</b> <Rate>	[0..1]	Rate		293
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	293

##### 15.4.2.1.8.3.5.6.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

##### 15.4.2.1.8.3.5.6.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

##### 15.4.2.1.8.3.5.6.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.8.3.5.6.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.5.7 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtlInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	294
	<b>ForeignExchange</b> <FX>	[0..1]	±		294

#### 15.4.2.1.8.3.5.7.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.5.7.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "ForeignExchangeTerms24" on  
 page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.8.3.6 ServiceItemCorrection <SvcItmCrrctn>

*Presence:* [0..\*]

*Definition:* Correction applied to the invoice.

**ServiceItemCorrection <SvcItmCrrctn>** contains the following **ServiceItemTotals11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ItemType</b> <ItmTp>	[1..1]	Text		296
	<b>Description</b> <Desc>	[0..1]	Text		296
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		297
	<b>Quantity</b> <Qty>	[1..1]	Quantity		297
	<b>BalanceCurrency</b> <BalCcy>	[0..1]	CodeSet	C1	297
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	297
	<b>Tax</b> <Tax>	[0..*]			298
	<b>Rate</b> <Rate>	[1..1]	Rate		298
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			301
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301

#### 15.4.2.1.8.3.6.1 ItemType <ItmTp>

*Presence:* [1..1]

*Definition:* Specifies a classification for the service items such as query, report, securities account, etc....

*Datatype:* "Max20AlphaNumericText" on page 2864

#### 15.4.2.1.8.3.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the service item.

*Datatype:* "Max350Text" on page 2865

#### 15.4.2.1.8.3.6.3 CreditDebitIndicator <CdtDbtInd>

*Presence:* [0..1]

*Definition:* Specifies whether the correction is a debit or credit.

*Datatype:* "CreditDebitCode" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 15.4.2.1.8.3.6.4 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Sum of total number units per service item.

*Datatype:* "Number" on page 2862

#### 15.4.2.1.8.3.6.5 BalanceCurrency <BalCcy>

*Presence:* [0..1]

*Definition:* Currency of the balance. Should be present only if quantity is a balance.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 15.4.2.1.8.3.6.6 UnitPrice <UnitPric>

*Presence:* [0..1]

*Definition:* Specifies the price per unit of the service item.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.6.7 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		298
	<b>Description</b> <Desc>	[0..1]	Text		298
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	298
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			299
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	299
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			300
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300

##### 15.4.2.1.8.3.6.7.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.8.3.6.7.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

##### 15.4.2.1.8.3.6.7.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.6.7.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	299
	<b>Reason</b> <Rsn>	[0..1]	Text		299

##### 15.4.2.1.8.3.6.7.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 15.4.2.1.8.3.6.7.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

##### 15.4.2.1.8.3.6.7.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.6.7.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		300
	<b>Description</b> <Desc>	[0..1]	Text		300
	<b>Rate</b> <Rate>	[0..1]	Rate		300
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	300

##### 15.4.2.1.8.3.6.7.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

##### 15.4.2.1.8.3.6.7.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

##### 15.4.2.1.8.3.6.7.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.8.3.6.7.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.



*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.6.8 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtlInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	301
	<b>ForeignExchange</b> <FX>	[0..1]	±		301

#### 15.4.2.1.8.3.6.8.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.8.3.6.8.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "ForeignExchangeTerms24" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.9 InvoiceTotals <InvcTtls>

*Presence:* [0..1]

*Definition:* Specifies totals related to the invoice.

**InvoiceTotals <InvcTtls>** contains the following **InvoiceTotals7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Tax</b> <Tax>	[0..*]			303
	<b>Rate</b> <Rate>	[1..1]	Rate		304
	<b>Description</b> <Desc>	[0..1]	Text		304
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	304
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			305
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	305
	<b>Reason</b> <Rsn>	[0..1]	Text		305
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	305
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			306
	<b>Type</b> <Tp>	[0..1]	Text		306
	<b>Description</b> <Desc>	[0..1]	Text		306
	<b>Rate</b> <Rate>	[0..1]	Rate		306
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	306
	<b>Adjustment</b> <Adjstmnt>	[0..1]			307
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		307
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	307
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			308
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	308
	<b>ForeignExchange</b> <FX>	[0..1]	±		308
	<b>PaymentDueDate</b> <PmtDueDt>	[1..1]	Date		309
	<b>CashAccount</b> <CshAcct>	[0..1]	±		309

#### 15.4.2.1.9.1 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		304
	<b>Description</b> <Desc>	[0..1]	Text		304
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	304
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			305
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	305
	<b>Reason</b> <Rsn>	[0..1]	Text		305
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	305
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			306
	<b>Type</b> <Tp>	[0..1]	Text		306
	<b>Description</b> <Desc>	[0..1]	Text		306
	<b>Rate</b> <Rate>	[0..1]	Rate		306
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	306

#### 15.4.2.1.9.1.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.9.1.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

#### 15.4.2.1.9.1.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.9.1.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	305
	<b>Reason</b> <Rsn>	[0..1]	Text		305

##### 15.4.2.1.9.1.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 15.4.2.1.9.1.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

##### 15.4.2.1.9.1.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.9.1.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		306
	<b>Description</b> <Desc>	[0..1]	Text		306
	<b>Rate</b> <Rate>	[0..1]	Rate		306
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	306

##### 15.4.2.1.9.1.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

##### 15.4.2.1.9.1.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

##### 15.4.2.1.9.1.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.9.1.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.9.2 Adjustment <Adjstmnt>

*Presence:* [0..1]

*Definition:* Variance on invoice amount taking into account discounts, allowances and charges.

**Adjustment <Adjstmnt>** contains the following **Adjustment5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Direction</b> <Drctn>	[1..1]	CodeSet		307
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	307

##### 15.4.2.1.9.2.1 Direction <Drctn>

*Presence:* [1..1]

*Definition:* Specifies whether the adjustment must be subtracted or added to the total amount.

*Datatype:* "AdjustmentDirection1Code" on page 2799

CodeName	Name	Definition
ADDD	Added	Adjustment amount must be added to the total amount.
SUBS	Subtracted	Adjustment amount must be subtracted from the total amount.

##### 15.4.2.1.9.2.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Specifies the monetary amount of the adjustment.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

## Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 15.4.2.1.9.3 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtlInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	308
	<b>ForeignExchange</b> <FX>	[0..1]	±		308

#### 15.4.2.1.9.3.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

## Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.9.3.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.



**ForeignExchange <FX>** contains the following elements (see "[ForeignExchangeTerms24](#)" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.9.4 PaymentDueDate <PmtDueDt>

*Presence:* [1..1]

*Definition:* Due date for the payment of the invoice.

*Datatype:* "[ISODate](#)" on page 2858

#### 15.4.2.1.9.5 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Cash account from which the fees are paid.

**CashAccount <CshAcct>** contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 15.4.2.1.10 ServiceCategoryTotals <SvcCtgyTtls>

*Presence:* [0..\*]

*Definition:* Specifies totals related to the service category.

**ServiceCategoryTotals <SvcCtgyTtls>** contains the following **ServiceCategoryTotals8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]			312
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		312
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		312
	<b>ParentAccountIdentification</b> <PrntAcctId>	[0..1]			313
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		313
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		313
	<b>BilledCustomerIdentification</b> <BlldCstmrId>	[0..1]	±		313
	<b>Tax</b> <Tax>	[0..*]			314
	<b>Rate</b> <Rate>	[1..1]	Rate		314
	<b>Description</b> <Desc>	[0..1]	Text		314
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	314
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			315
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	315
	<b>Reason</b> <Rsn>	[0..1]	Text		315
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	316
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			316
	<b>Type</b> <Tp>	[0..1]	Text		316
	<b>Description</b> <Desc>	[0..1]	Text		316
	<b>Rate</b> <Rate>	[0..1]	Rate		317
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	317
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			317
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	317
	<b>ForeignExchange</b> <FX>	[0..1]	±		318
	<b>ServiceCategory</b> <SvcCtgy>	[1..1]			318
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		318
	<b>ServiceItemTotals</b> <SvcltmTtls>	[0..*]			318
	<b>ItemType</b> <ItmTp>	[1..1]	Text		319
	<b>Description</b> <Desc>	[0..1]	Text		319
	<b>Quantity</b> <Qty>	[1..1]	Quantity		319
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Tax</b> <Tax>	[0..*]			320
	<b>Rate</b> <Rate>	[1..1]	Rate		321
	<b>Description</b> <Desc>	[0..1]	Text		321
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	321
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			321
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	322
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			322
	<b>Type</b> <Tp>	[0..1]	Text		323
	<b>Description</b> <Desc>	[0..1]	Text		323
	<b>Rate</b> <Rate>	[0..1]	Rate		323
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	323
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			323
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	324
	<b>ForeignExchange</b> <FX>	[0..1]	±		324
	<b>ServiceltemCorrection</b> <SvcltmCrrctn>	[0..*]			324
	<b>ItemType</b> <ItmTp>	[1..1]	Text		325
	<b>Description</b> <Desc>	[0..1]	Text		325
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		326
	<b>Quantity</b> <Qty>	[1..1]	Quantity		326
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	326
	<b>Tax</b> <Tax>	[0..*]			326
	<b>Rate</b> <Rate>	[1..1]	Rate		327
	<b>Description</b> <Desc>	[0..1]	Text		327
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	327
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			328
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	328
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			329

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329
	<b>TotalInvoiceAmount</b> <TtlInvcAmt>	[1..1]			330
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	330
	<b>ForeignExchange</b> <FX>	[0..1]	±		330

#### 15.4.2.1.10.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique identification of a securities account or cash account belonging to billed customer.

**AccountIdentification <AcctId>** contains one of the following **AccountIdentification38Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		312
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		312

#### 15.4.2.1.10.1.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the securities account as assigned by the account servicer.

**SecuritiesAccountIdentification <SctiesAcctId>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 15.4.2.1.10.1.2 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the cash account, as assigned by the account servicer.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 15.4.2.1.10.2 ParentAccountIdentification <PrntAcctId>

*Presence:* [0..1]

*Definition:* Unique identification of a securities parent account or cash parent account belonging to billed customer, when reporting on sub-accounts.

**ParentAccountIdentification <PrntAcctId>** contains one of the following **AccountIdentification38Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification &lt;SctiesAcctId&gt;</b>	[1..1]	±		313
Or}	<b>CashAccountIdentification &lt;CshAcctId&gt;</b>	[1..1]	±		313

#### 15.4.2.1.10.2.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the securities account as assigned by the account servicer.

**SecuritiesAccountIdentification <SctiesAcctId>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 15.4.2.1.10.2.2 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

*Definition:* Unique identification of the cash account, as assigned by the account servicer.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 15.4.2.1.10.3 BilledCustomerIdentification <BlldCstmrlId>

*Presence:* [0..1]

*Definition:* Identification of the customer which is invoiced.

**BilledCustomerIdentification** <BldCstmrlId> contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 15.4.2.1.10.4 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax** <Tax> contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		314
	<b>Description</b> <Desc>	[0..1]	Text		314
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	314
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			315
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	315
	<b>Reason</b> <Rsn>	[0..1]	Text		315
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	316
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			316
	<b>Type</b> <Tp>	[0..1]	Text		316
	<b>Description</b> <Desc>	[0..1]	Text		316
	<b>Rate</b> <Rate>	[0..1]	Rate		317
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	317

##### 15.4.2.1.10.4.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.10.4.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

##### 15.4.2.1.10.4.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.4.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	315
	<b>Reason</b> <Rsn>	[0..1]	Text		315

#### 15.4.2.1.10.4.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.4.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

#### 15.4.2.1.10.4.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.4.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		316
	<b>Description</b> <Desc>	[0..1]	Text		316
	<b>Rate</b> <Rate>	[0..1]	Rate		317
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	317

##### 15.4.2.1.10.4.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

##### 15.4.2.1.10.4.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865



#### 15.4.2.1.10.4.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.10.4.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.5 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtlInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	317
	<b>ForeignExchange</b> <FX>	[0..1]	±		318

#### 15.4.2.1.10.5.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.5.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "[ForeignExchangeTerms24](#)" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.10.6 ServiceCategory <SvcCtgy>

*Presence:* [1..1]

*Definition:* Agreement under which or rules under which the transaction should be processed.

**ServiceCategory <SvcCtgy>** contains one of the following **ServiceCategory1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		318

##### 15.4.2.1.10.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Service category, as published in an external reason code list.

*Datatype:* "[ExternalServiceCategory1Code](#)" on page 2819

##### 15.4.2.1.10.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Service category, in a proprietary form.

*Datatype:* "[Max4AlphaNumericText](#)" on page 2866

#### 15.4.2.1.10.7 ServiceItemTotals <SvcItmTtls>

*Presence:* [0..\*]

*Definition:* Totals related to the invoice.

**ServiceItemTotals <SvcItemTotls>** contains the following **ServiceItemTotals12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ItemType</b> <ItmTp>	[1..1]	Text		319
	<b>Description</b> <Desc>	[0..1]	Text		319
	<b>Quantity</b> <Qty>	[1..1]	Quantity		319
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	320
	<b>Tax</b> <Tax>	[0..*]			320
	<b>Rate</b> <Rate>	[1..1]	Rate		321
	<b>Description</b> <Desc>	[0..1]	Text		321
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	321
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			321
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	322
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			322
	<b>Type</b> <Tp>	[0..1]	Text		323
	<b>Description</b> <Desc>	[0..1]	Text		323
	<b>Rate</b> <Rate>	[0..1]	Rate		323
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	323
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			323
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	324
	<b>ForeignExchange</b> <FX>	[0..1]	±		324

#### 15.4.2.1.10.7.1 ItemType <ItmTp>

*Presence:* [1..1]

*Definition:* Specifies a classification for the service items such as query, report, securities account, etc....

*Datatype:* "Max20AlphaNumericText" on page 2864

#### 15.4.2.1.10.7.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the service item.

*Datatype:* "Max350Text" on page 2865

#### 15.4.2.1.10.7.3 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Sum of total number units per service item.

*Datatype:* "Number" on page 2862

#### 15.4.2.1.10.7.4 UnitPrice <UnitPric>

*Presence:* [0..1]

*Definition:* Specifies the price per unit of the service item.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.7.5 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		321
	<b>Description</b> <Desc>	[0..1]	Text		321
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	321
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			321
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	322
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			322
	<b>Type</b> <Tp>	[0..1]	Text		323
	<b>Description</b> <Desc>	[0..1]	Text		323
	<b>Rate</b> <Rate>	[0..1]	Rate		323
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	323

#### 15.4.2.1.10.7.5.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.10.7.5.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

#### 15.4.2.1.10.7.5.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.7.5.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	321
	<b>Reason</b> <Rsn>	[0..1]	Text		322

#### 15.4.2.1.10.7.5.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

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#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.7.5.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

#### 15.4.2.1.10.7.5.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.7.5.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[0..1]	Text		323
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		323
	<b>Rate &lt;Rate&gt;</b>	[0..1]	Rate		323
	<b>Amount &lt;Amt&gt;</b>	[0..1]	Amount	C1, C4	323

#### 15.4.2.1.10.7.5.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

#### 15.4.2.1.10.7.5.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

#### 15.4.2.1.10.7.5.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.10.7.5.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.7.6 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

**Definition:** Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	324
	<b>ForeignExchange</b> <FX>	[0..1]	±		324

#### 15.4.2.1.10.7.6.1 Amount <Amt>

**Presence:** [1..1]

**Definition:** Amount of money in the cash entry.

**Impacted by:** C1 "ActiveCurrency", C4 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.7.6.2 ForeignExchange <FX>

**Presence:** [0..1]

**Definition:** Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "ForeignExchangeTerms24" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.1.10.8 ServiceItemCorrection <SvcItmCrrctn>

**Presence:** [0..\*]

**Definition:** Correction applied to the invoice.



**ServiceItemCorrection <SvcItmCrrctn>** contains the following **ServiceItemTotals13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ItemType</b> <ItmTp>	[1..1]	Text		325
	<b>Description</b> <Desc>	[0..1]	Text		325
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[0..1]	CodeSet		326
	<b>Quantity</b> <Qty>	[1..1]	Quantity		326
	<b>UnitPrice</b> <UnitPric>	[0..1]	Amount	C1, C4	326
	<b>Tax</b> <Tax>	[0..*]			326
	<b>Rate</b> <Rate>	[1..1]	Rate		327
	<b>Description</b> <Desc>	[0..1]	Text		327
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	327
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			328
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	328
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			329
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329
	<b>TotalInvoiceAmount</b> <TtlInvAmt>	[1..1]			330
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	330
	<b>ForeignExchange</b> <FX>	[0..1]	±		330

#### 15.4.2.1.10.8.1 ItemType <ItmTp>

*Presence:* [1..1]

*Definition:* Specifies a classification for the service items such as query, report, securities account, etc....

*Datatype:* "Max20AlphaNumericText" on page 2864

#### 15.4.2.1.10.8.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the service item.

*Datatype:* "Max350Text" on page 2865

#### 15.4.2.1.10.8.3 CreditDebitIndicator <CdtDbtInd>

*Presence:* [0..1]

*Definition:* Specifies whether the correction is a debit or credit.

*Datatype:* "CreditDebitCode" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 15.4.2.1.10.8.4 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Sum of total number units per service item.

*Datatype:* "Number" on page 2862

#### 15.4.2.1.10.8.5 UnitPrice <UnitPric>

*Presence:* [0..1]

*Definition:* Specifies the price per unit of the service item.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.8.6 Tax <Tax>

*Presence:* [0..\*]

*Definition:* Detailed information on tax applied.

**Tax <Tax>** contains the following **BillingTaxRecord2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Rate</b> <Rate>	[1..1]	Rate		327
	<b>Description</b> <Desc>	[0..1]	Text		327
	<b>TaxableAmount</b> <TaxblAmt>	[1..1]	Amount	C1, C4	327
	<b>TaxExemption</b> <TaxXmptn>	[0..*]			328
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	328
	<b>ChargesAndFees</b> <ChrgsAndFees>	[0..*]			329
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329

#### 15.4.2.1.10.8.6.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Rate used to calculate the tax.

*Datatype:* "PercentageRate" on page 2862

#### 15.4.2.1.10.8.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Name used to describe the tax (such as the national value added tax).

*Datatype:* "Max40Text" on page 2866

#### 15.4.2.1.10.8.6.3 TaxableAmount <TaxblAmt>

*Presence:* [1..1]

*Definition:* Amount subject to tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.8.6.4 TaxExemption <TaxXmptn>

*Presence:* [0..\*]

*Definition:* Amount exempted from tax.

**TaxExemption <TaxXmptn>** contains the following **TaxExemption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExemptedAmount</b> <XmptdAmt>	[1..1]	Amount	C1, C4	328
	<b>Reason</b> <Rsn>	[0..1]	Text		328

##### 15.4.2.1.10.8.6.4.1 ExemptedAmount <XmptdAmt>

*Presence:* [1..1]

*Definition:* Amount exempted from tax.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 15.4.2.1.10.8.6.4.2 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Reason for the tax exemption.

*Datatype:* "Max210Text" on page 2864

##### 15.4.2.1.10.8.6.5 Amount <Amt>

*Presence:* [1..1]

*Definition:* Calculated tax amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.8.6.6 ChargesAndFees <ChrgsAndFees>

*Presence:* [0..\*]

*Definition:* Further details on the charges and fees related to the tax data.

**ChargesAndFees <ChrgsAndFees>** contains the following **BillingFeeRecord1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	Text		329
	<b>Description</b> <Desc>	[0..1]	Text		329
	<b>Rate</b> <Rate>	[0..1]	Rate		329
	<b>Amount</b> <Amt>	[0..1]	Amount	C1, C4	329

##### 15.4.2.1.10.8.6.6.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the fees or charges.

*Datatype:* "Max4Text" on page 2866

##### 15.4.2.1.10.8.6.6.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Further details on the charges or fees

*Datatype:* "Max35Text" on page 2865

##### 15.4.2.1.10.8.6.6.3 Rate <Rate>

*Presence:* [0..1]

*Definition:* Rate used to calculate the charges or fees.

*Datatype:* "PercentageRate" on page 2862

##### 15.4.2.1.10.8.6.6.4 Amount <Amt>

*Presence:* [0..1]

*Definition:* Amount of money asked or paid for the charges or fees.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.8.7 TotalInvoiceAmount <TtlInvcAmt>

*Presence:* [1..1]

*Definition:* Total amount of the invoice, being the sum of total invoice lines amounts, total invoice adjustment amount (discounts, allowances and charges) and total tax amounts.

**TotalInvoiceAmount <TtlInvcAmt>** contains the following **AmountAndForeignExchange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C4	330
	<b>ForeignExchange</b> <FX>	[0..1]	±		330

#### 15.4.2.1.10.8.7.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.2.1.10.8.7.2 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "ForeignExchangeTerms24" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 15.4.2.2 CancellationReport <CxlRpt>

*Presence:* [1..1]

*Definition:* Report on cancelled billing data.

**CancellationReport <CxlRpt>** contains the following **BillingCancellationReport3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegulatoryData</b> <RgltryData>	[0..1]			331
	<b>Invoice</b> <Invcr>	[1..1]	±		332
	<b>Invoicee</b> <Invcee>	[1..1]	±		333
	<b>InvoiceLegalStatement</b> <InvclglStmnt>	[0..1]	Text		334
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		335
	<b>Service</b> <Svc>	[0..1]			335
	<b>SystemIdentification</b> <SysId>	[1..1]	±		335
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	336
	<b>InvoiceDate</b> <Invcdt>	[1..1]	Date		336
	<b>BillingIdentification</b> <Blld>	[1..1]	Text		336
	<b>BillingPeriod</b> <BlldPrd>	[1..1]	±		336
	<b>CancellationReason</b> <CxlRsn>	[1..1]			336
{Or	<b>Code</b> <Cd>	[1..1]	Text		337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		337

##### 15.4.2.2.1 RegulatoryData <RgltryData>

*Presence:* [0..1]

*Definition:* Information about legal and regulatory data exchanged between invoicing and paying party.

**RegulatoryData <RgltryData>** contains the following **InvoiceLegalIssue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Invoice</b> <Invcr>	[1..1]	±		332
	<b>Invoicee</b> <Invcee>	[1..1]	±		333
	<b>InvoiceLegalStatement</b> <Invclgl Stmt>	[0..1]	Text		334
	<b>PaymentMethod</b> <PmtMtd>	[0..1]			335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		335

#### 15.4.2.2.1.1 Invoicer <Invcr>

*Presence:* [1..1]

*Definition:* Identification of the organisation issuing the invoice, when it is different from the creditor or ultimate creditor.



**Invoiceer <Invcr>** contains the following elements (see "PartyIdentification272" on page 2689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2690
	PostalAddress <PstlAdr>	[0..1]	±		2691
	Identification <Id>	[0..1]			2691
{Or	OrganisationIdentification <OrgId>	[1..1]			2692
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer <Issr>	[0..1]	Text		2694
Or}	PrivateIdentification <PrvtId>	[1..1]			2694
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	2697
	ContactDetails <CtctDtls>	[0..1]	±		2697

#### 15.4.2.2.1.2 Invoicee <Invcee>

*Presence:* [1..1]

*Definition:* Identification of the party to whom an invoice is issued, when it is different from the debtor or ultimate debtor.

**Invoicee <Invc>** contains the following elements (see "PartyIdentification272" on page 2689 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2690
	PostalAddress <PstlAdr>	[0..1]	±		2691
	Identification <Id>	[0..1]			2691
{Or	OrganisationIdentification <OrgId>	[1..1]			2692
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer <Issr>	[0..1]	Text		2694
Or}	PrivateIdentification <PrvtId>	[1..1]			2694
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	2697
	ContactDetails <CtctDtls>	[0..1]	±		2697

#### 15.4.2.2.1.3 InvoiceLegalStatement <InvcLglStmnt>

**Presence:** [0..1]

**Definition:** Additional information, in free text form, to complement the structured remittance information.

**Datatype:** "Max210Text" on page 2864

#### 15.4.2.2.1.4 PaymentMethod <PmtMtd>

*Presence:* [0..1]

*Definition:* Method of payment for the remittance of the CSD or NCB to the invoicing party.

**PaymentMethod <PmtMtd>** contains one of the following **PaymentMethod3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		335

##### 15.4.2.2.1.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Method of payment for the remittance of the CSD or NCB to the invoicing party, in a coded form.

*Datatype:* "ExternalPaymentMethodCode1Code" on page 2818

##### 15.4.2.2.1.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Method of payment for the remittance of the CSD or the NCB to the invoicing party, in a proprietary free text format.

*Datatype:* "Max210Text" on page 2864

#### 15.4.2.2.2 Service <Svc>

*Presence:* [0..1]

*Definition:* Identification of the service or system for which the billing cancellation is provided.

**Service <Svc>** contains the following **SystemAndCurrency1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemIdentification</b> <SysId>	[1..1]	±		335
	<b>SystemCurrency</b> <SysCcy>	[0..1]	CodeSet	C1	336

##### 15.4.2.2.2.1 SystemIdentification <SysId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the system, as assigned by the system administrator.

**SystemIdentification <SysId>** contains one of the following elements (see "SystemIdentification2Choice" on page 2791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>MarketInfrastructureIdentification</b> <MktInfstrctrId>	[1..1]	±		2791
Or}	<b>Country</b> <Ctry>	[1..1]	CodeSet	C3	2792

#### 15.4.2.2.2 SystemCurrency <SysCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 15.4.2.2.3 InvoiceDate <InvCDt>

*Presence:* [1..1]

*Definition:* Date at which the billing report was created.

*Datatype:* "ISODate" on page 2858

#### 15.4.2.2.4 BillingIdentification <BlgId>

*Presence:* [1..1]

*Definition:* Identification of the billing report.

*Datatype:* "Max35Text" on page 2865

#### 15.4.2.2.5 BillingPeriod <BlgPrd>

*Presence:* [1..1]

*Definition:* Date period which for which the report data is valid.

**BillingPeriod <BlgPrd>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 15.4.2.2.6 CancellationReason <CxIRsn>

*Presence:* [1..1]

*Definition:* Reason why requested billing information is cancelled.

**CancellationReason <CxlRsn>** contains one of the following **CancellationReason16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	Text		337
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		337

#### 15.4.2.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the cancellation request, in a coded form.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 15.4.2.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the cancellation request, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 15.4.2.3 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Indicates that an operational error has been issued during the processing of the related request.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			337
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		337
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		338
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		338

#### 15.4.2.3.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		337
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		338

#### 15.4.2.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 15.4.2.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 15.4.2.3.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 15.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C6 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 16 camt.078.001.02 IntraBalanceMovementQueryV02

## 16.1 MessageDefinition Functionality

The IntraBalanceMovementQuery message is sent from an account owner/requestor to a settlement infrastructure to query intra-balance movement instructions, along with their current status, based on a set of search criteria.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

Outline

The IntraBalanceMovementQueryV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

B. QueryDefinition

Defines the intra-balance movement instruction query criteria.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 16.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntQry&gt;</i>	[1..1]			
	<b>Identification</b> <Id>	[0..1]			344
	<b>Identification</b> <Id>	[1..1]	Text		344
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		345
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		345
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		345
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		345
	<b>QueryDefinition</b> <QryDef>	[1..1]			346
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		350
	<b>SearchCriteria</b> <SchCrit>	[1..1]			350
	<b>References</b> <Refs>	[0..*]			354
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		354
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		354
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[1..1]	Text		354
Or	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[1..1]	Text		354
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		355
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		355
	<b>Status</b> <Sts>	[0..1]			355
	<b>Type</b> <Tp>	[1..1]			356
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		356
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		356
	<b>Settled</b> <Sttld>	[0..1]	±	C3	356
	<b>DatePeriod</b> <DtPrd>	[0..1]			357
{Or	<b>Date</b> <Dt>	[1..1]			357
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		357
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		358
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		358
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		358
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		358



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTime</b> <DtTm>	[1..1]			358
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		358
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		359
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		359
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		359
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		359
	<b>CashAccount</b> <CshAcct>	[0..*]			359
{Or	<b>Equal</b> <EQ>	[1..1]	±		359
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		360
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		360
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		360
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		360
	<b>BalanceType</b> <BalTp>	[0..*]		C5	361
	<b>BalanceFrom</b> <BalFr>	[0..1]			362
	<b>Type</b> <Tp>	[1..1]			363
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		363
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		363
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			364
	<b>LotNumber</b> <LotNb>	[0..1]	±		364
	<b>LotAmount</b> <LotAmt>	[0..1]	±		364
	<b>LotQuantity</b> <LotQty>	[0..1]	±		364
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		365
	<b>BalanceTo</b> <BalTo>	[0..1]			365
	<b>Type</b> <Tp>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			366
	<b>LotNumber</b> <LotNb>	[0..1]	±		366
	<b>LotAmount</b> <LotAmt>	[0..1]	±		367
	<b>LotQuantity</b> <LotQty>	[0..1]	±		367
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		367

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..*]	±		368
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		368
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		368
	<b>SettlementCurrency</b> <SttlmCcy>	[0..*]	CodeSet	C2	369
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[0..1]			369
{Or	<b>Date</b> <Dt>	[1..1]			370
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		370
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		370
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		371
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		371
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		371
Or}	<b>DateTime</b> <DtTm>	[1..1]			371
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		371
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		371
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		372
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		372
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		372
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]			372
{Or	<b>Date</b> <Dt>	[1..1]			373
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		373
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		373
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		374
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		374
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		374
Or}	<b>DateTime</b> <DtTm>	[1..1]			374
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		374
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		374
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		375
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		375
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		375
	<b>Priority</b> <Prty>	[0..*]	±		375

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		375
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			376
{Or	<b>Date</b> <Dt>	[1..1]			376
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		376
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		377
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		377
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		377
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		377
Or}	<b>DateTime</b> <DtTm>	[1..1]			377
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		377
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		378
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		378
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		378
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		378
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	378

## 16.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### C5 **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### C6 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### C7 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### C8 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### C9 **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

#### C10 **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 16.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 16.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		344
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		345
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		345
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		345
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		345

#### 16.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 16.4.1.2 CreationDate<CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDate<CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 16.4.1.3 CopyDuplicate<CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 16.4.1.4 MessageOriginator<MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator<MsgOrgtr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 16.4.1.5 MessageRecipient<MsgRcpt>

*Presence:* [0..1]

---

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient** <MsgRcpt> contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 16.4.2 QueryDefinition <QryDef>

*Presence:* [1..1]

*Definition:* Defines the intra-balance movement instruction query criteria.

**QueryDefinition <QryDef>** contains the following **IntraBalanceQueryDefinition11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		350
	<b>SearchCriteria</b> <SchCrit>	[1..1]			350
	<b>References</b> <Refs>	[0..*]			354
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		354
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		354
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		354
Or	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[1..1]	Text		354
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		355
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		355
	<b>Status</b> <Sts>	[0..1]			355
	<b>Type</b> <Tp>	[1..1]			356
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		356
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		356
	<b>Settled</b> <Sttld>	[0..1]	±	C3	356
	<b>DatePeriod</b> <DtPrd>	[0..1]			357
{Or	<b>Date</b> <Dt>	[1..1]			357
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		357
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		358
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		358
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		358
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		358
Or}	<b>DateTime</b> <DtTm>	[1..1]			358
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		358
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		359
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		359
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		359
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		359
	<b>CashAccount</b> <CshAcct>	[0..*]			359
{Or	<b>Equal</b> <EQ>	[1..1]	±		359

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		360
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		360
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		360
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		360
	<b>BalanceType</b> <BalTp>	[0..*]		C5	361
	<b>BalanceFrom</b> <BalFr>	[0..1]			362
	<b>Type</b> <Tp>	[1..1]			363
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		363
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		363
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			364
	<b>LotNumber</b> <LotNb>	[0..1]	±		364
	<b>LotAmount</b> <LotAmt>	[0..1]	±		364
	<b>LotQuantity</b> <LotQty>	[0..1]	±		364
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		365
	<b>BalanceTo</b> <BalTo>	[0..1]			365
	<b>Type</b> <Tp>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			366
	<b>LotNumber</b> <LotNb>	[0..1]	±		366
	<b>LotAmount</b> <LotAmt>	[0..1]	±		367
	<b>LotQuantity</b> <LotQty>	[0..1]	±		367
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		367
	<b>CashSubBalanceIdentification</b> <CshSubBalld>	[0..*]	±		368
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		368
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		368
	<b>SettlementCurrency</b> <SttlmCcy>	[0..*]	CodeSet	C2	369
	<b>IntendedSettlementDate</b> <IntniddSttlmDt>	[0..1]			369
{Or	<b>Date</b> <Dt>	[1..1]			370
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		370
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		370



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		371
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		371
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		371
Or}	<b>DateTime</b> <DtTm>	[1..1]			371
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		371
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		371
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		372
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		372
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		372
	<b>EffectiveSettlementDate</b> <FctvStlMdt>	[0..1]			372
{Or	<b>Date</b> <Dt>	[1..1]			373
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		373
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		373
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		374
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		374
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		374
Or}	<b>DateTime</b> <DtTm>	[1..1]			374
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		374
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		374
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		375
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		375
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		375
	<b>Priority</b> <Prty>	[0..*]	±		375
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		375
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			376
{Or	<b>Date</b> <Dt>	[1..1]			376
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		376
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		377
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		377
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		377
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		377

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTime</b> <DtTm>	[1..1]			377
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		377
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		378
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		378
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		378
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		378

#### 16.4.2.1 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

#### 16.4.2.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to extract the intra-balance movement instruction information.

**SearchCriteria <SchCrit>** contains the following **IntraBalanceQueryCriteria11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>References</b> <Refs>	[0..*]			354
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		354
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		354
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		354
Or	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[1..1]	Text		354
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		355
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		355
	<b>Status</b> <Sts>	[0..1]			355
	<b>Type</b> <Tp>	[1..1]			356
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		356
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		356
	<b>Settled</b> <Sttld>	[0..1]	±	C3	356
	<b>DatePeriod</b> <DtPrd>	[0..1]			357
{Or	<b>Date</b> <Dt>	[1..1]			357
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		357
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		358
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		358
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		358
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		358
Or}	<b>DateTime</b> <DtTm>	[1..1]			358
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		358
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		359
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		359
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		359
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		359
	<b>CashAccount</b> <CshAcct>	[0..*]			359
{Or	<b>Equal</b> <EQ>	[1..1]	±		359
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		360
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		360

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		360
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		360
	<b>BalanceType</b> <BalTp>	[0..*]		C5	361
	<b>BalanceFrom</b> <BalFr>	[0..1]			362
	<b>Type</b> <Tp>	[1..1]			363
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		363
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		363
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			364
	<b>LotNumber</b> <LotNb>	[0..1]	±		364
	<b>LotAmount</b> <LotAmt>	[0..1]	±		364
	<b>LotQuantity</b> <LotQty>	[0..1]	±		364
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		365
	<b>BalanceTo</b> <BalTo>	[0..1]			365
	<b>Type</b> <Tp>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			366
	<b>LotNumber</b> <LotNb>	[0..1]	±		366
	<b>LotAmount</b> <LotAmt>	[0..1]	±		367
	<b>LotQuantity</b> <LotQty>	[0..1]	±		367
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		367
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..*]	±		368
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		368
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		368
	<b>SettlementCurrency</b> <SttlmCcy>	[0..*]	CodeSet	C2	369
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[0..1]			369
{Or	<b>Date</b> <Dt>	[1..1]			370
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		370
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		370
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		371
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		371

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		371
Or}	<b>DateTime</b> <DtTm>	[1..1]			371
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		371
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		371
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		372
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		372
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		372
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]			372
{Or	<b>Date</b> <Dt>	[1..1]			373
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		373
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		373
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		374
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		374
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		374
Or}	<b>DateTime</b> <DtTm>	[1..1]			374
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		374
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		374
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		375
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		375
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		375
	<b>Priority</b> <Prty>	[0..*]	±		375
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		375
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			376
{Or	<b>Date</b> <Dt>	[1..1]			376
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		376
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		377
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		377
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		377
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		377
Or}	<b>DateTime</b> <DtTm>	[1..1]			377
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		377

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		378
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		378
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		378
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		378

#### 16.4.2.2.1 References <Refs>

*Presence:* [0..\*]

*Definition:* Collective reference identifying a set of messages.

**References <Refs>** contains one of the following **References36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		354
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		354
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		354
Or	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[1..1]	Text		354
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		355
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		355

##### 16.4.2.2.1.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

##### 16.4.2.2.1.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

##### 16.4.2.2.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

##### 16.4.2.2.1.4 ProcessorTransactionIdentification <PrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 16.4.2.2.1.5 PoolIdIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 16.4.2.2.1.6 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [1..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

#### 16.4.2.2.2 Status <Sts>

*Presence:* [0..1]

*Definition:* Provides the status of settlement of a transaction.

**Status <Sts>** contains the following **IntraBalanceQueryStatus3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[1..1]			356
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		356
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		356
	<b>Settled</b> <Sttld>	[0..1]	±	C3	356
	<b>DatePeriod</b> <DtPrd>	[0..1]			357
{Or	<b>Date</b> <Dt>	[1..1]			357
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		357
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		358
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		358
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		358
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		358
Or}	<b>DateTime</b> <DtTm>	[1..1]			358
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		358
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		359
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		359
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		359
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		359

#### 16.4.2.2.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Defines the status type of query criteria.

**Type <Tp>** contains the following **IntraBalanceStatusType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		356
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		356
	<b>Settled</b> <Sttld>	[0..1]	±	C3	356

##### 16.4.2.2.2.1.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "[ProcessingStatus68Choice](#)" on page 2747 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2748
Or}	Proprietary <Prtry>	[1..1]	±		2748

##### 16.4.2.2.2.1.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

**SettlementStatus <SttlmSts>** contains one of the following elements (see "[SettlementStatus26Choice](#)" on page 2731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2731
Or}	Proprietary <Prtry>	[1..1]	±		2732

##### 16.4.2.2.2.1.3 Settled <Sttld>

*Presence:* [0..1]

*Definition:* Specifies the state or the condition.

*Impacted by:* C3 "AdditionalReasonInformationRule"

**Settled <Sttld>** contains the following elements (see "[ProprietaryReason4](#)" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743



- **AdditionalReasonInformationRule**

#### 16.4.2.2.2 DatePeriod <DtPrd>

**Definition:** Specified date period of the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			357
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		357
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		358
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		358
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		358
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		358
Or}	<b>DateTime</b> <DtTm>	[1..1]			358
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		358
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		359
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		359
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		359
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		359

*Definition:* Specified date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		357
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		358
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		358
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		358
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		358

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.2.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.2.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 16.4.2.2.2.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.2.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.2.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		358
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		359
Or	FromToDateTime <FrToDtTm>	[1..1]	±		359
Or	EqualDateTime <EQDtTm>	[1..1]	DateTime		359
Or}	NotEqualDateTime <NEQDtTm>	[1..1]	DateTime		359

#### 16.4.2.2.2.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.2.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.2.2.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 16.4.2.2.2.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.2.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.3 CashAccount <CshAcct>

*Presence:* [0..\*]

*Definition:* Account in which cash is maintained.

**CashAccount <CshAcct>** contains one of the following **AccountIdentificationSearchCriteria2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Equal</b> <EQ>	[1..1]	±		359
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		360
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		360

#### 16.4.2.2.3.1 Equal <EQ>

*Presence:* [1..1]

**Definition:** Search for one or more accounts based on exact identification of the account(s).

**Equal <EQ>** contains one of the following elements (see ["AccountIdentification4Choice"](#) on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 16.4.2.2.3.2 ContainText <CTTtxt>

**Presence:** [1..1]

**Definition:** Search for one or more accounts based on partial identification of the account(s).

**Datatype:** ["Max35Text"](#) on page 2865

#### 16.4.2.2.3.3 NotContainText <NCTTtxt>

**Presence:** [1..1]

**Definition:** Search for one or more accounts based on a pattern that cannot be contained in the account identification.

**Datatype:** ["Max35Text"](#) on page 2865

#### 16.4.2.2.4 CashAccountOwner <CshAcctOwnr>

**Presence:** [0..\*]

**Definition:** Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see ["SystemPartyIdentification8"](#) on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 16.4.2.2.5 CashAccountServicer <CshAcctSvcr>

**Presence:** [0..1]

**Definition:** Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 16.4.2.2.6 BalanceType <BalTp>

*Presence:* [0..\*]

*Definition:* Balance to which the amount of money is moved.

*Impacted by:* C5 "BalanceFromToRule"

**BalanceType <BalTp>** contains the following **IntraBalanceType3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceFrom</b> <BalFr>	[0..1]			362
	<b>Type</b> <Tp>	[1..1]			363
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		363
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		363
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			364
	<b>LotNumber</b> <LotNb>	[0..1]	±		364
	<b>LotAmount</b> <LotAmt>	[0..1]	±		364
	<b>LotQuantity</b> <LotQty>	[0..1]	±		364
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		365
	<b>BalanceTo</b> <BalTo>	[0..1]			365
	<b>Type</b> <Tp>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			366
	<b>LotNumber</b> <LotNb>	[0..1]	±		366
	<b>LotAmount</b> <LotAmt>	[0..1]	±		367
	<b>LotQuantity</b> <LotQty>	[0..1]	±		367
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		367

#### Constraints

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 16.4.2.2.6.1 BalanceFrom <BalFr>

*Presence:* [0..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			363
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		363
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		363
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			364
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		364
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		364
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		364
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		365

#### 16.4.2.2.6.1.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		363
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		363

#### 16.4.2.2.6.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

#### 16.4.2.2.6.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 16.4.2.2.6.1.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		364
	<b>LotAmount</b> <LotAmt>	[0..1]	±		364
	<b>LotQuantity</b> <LotQty>	[0..1]	±		364
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		365

##### 16.4.2.2.6.1.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 16.4.2.2.6.1.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

##### 16.4.2.2.6.1.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.



**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 16.4.2.2.6.1.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 16.4.2.2.6.2 BalanceTo <BalTo>

*Presence:* [0..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			365
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		366
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		366
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			366
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		366
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		367
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		367
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		367

#### 16.4.2.2.6.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366

#### 16.4.2.2.6.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

#### 16.4.2.2.6.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 16.4.2.2.6.2.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		366
	<b>LotAmount</b> <LotAmt>	[0..1]	±		367
	<b>LotQuantity</b> <LotQty>	[0..1]	±		367
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		367

#### 16.4.2.2.6.2.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 16.4.2.2.6.2.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 16.4.2.2.6.2.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 16.4.2.2.6.2.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 16.4.2.2.7 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..\*]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 16.4.2.2.8 SettlementAmount <SttlmAmt>

*Presence:* [0..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 16.4.2.2.9 SettledAmount <SttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount based on market tolerance level.

**SettledAmount <SttldAmt>** contains one of the following elements (see ["ImpliedCurrencyAmountRange1Choice"](#) on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 16.4.2.2.10 SettlementCurrency <SttlmCcy>

*Presence:* [0..\*]

*Definition:* Currency in which the instructed amount is expressed.

*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)

*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 16.4.2.2.11 IntendedSettlementDate <IntnddSttlmDt>

*Presence:* [0..1]

*Definition:* Date and time at which the amount of money is intended to be moved.

**IntendedSettlementDate <IntnddSttlmDt>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date &lt;Dt&gt;</b>	[1..1]			370
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		370
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		370
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		371
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		371
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		371
Or}	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			371
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		371
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		371
Or	<b>FromDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		372
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		372
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		372

#### 16.4.2.2.11.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		370
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		370
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		371
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		371
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		371

##### 16.4.2.2.11.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 16.4.2.2.11.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.11.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 16.4.2.2.11.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.11.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.11.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		371
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		371
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		372
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		372
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		372

#### 16.4.2.2.11.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.11.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.11.2.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 16.4.2.2.11.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 16.4.2.2.11.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 16.4.2.2.12 EffectiveSettlementDate <FctvSttlmDt>

*Presence:* [0..1]

*Definition:* Date and time at which the amount of money is moved.



**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date &lt;Dt&gt;</b>	[1..1]			373
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		373
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		373
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		374
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		374
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		374
Or}	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			374
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		374
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		374
Or	<b>FromDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		375
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		375
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		375

#### 16.4.2.2.12.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		373
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		373
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		374
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		374
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		374

##### 16.4.2.2.12.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 16.4.2.2.12.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.12.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 16.4.2.2.12.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.12.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.12.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		374
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		374
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		375
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		375
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		375

#### 16.4.2.2.12.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.12.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.12.2.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 16.4.2.2.12.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 16.4.2.2.12.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 16.4.2.2.13 Priority <Prty>

*Presence:* [0..\*]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see ["PriorityNumeric4Choice"](#) on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 16.4.2.2.14 MessageOriginator <MsgOrgtr>

*Presence:* [0..\*]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see ["SystemPartyIdentification8"](#) on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 16.4.2.2.15 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Specifies the creation date/time of the intra-balance movement.

**CreationDateTime <CreDtTm>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date &lt;Dt&gt;</b>	[1..1]			376
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		376
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		377
Or	<b>FromToDate &lt;FrToDt&gt;</b>	[1..1]	±		377
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		377
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		377
Or}	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			377
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		377
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		378
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		378
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		378
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		378

##### 16.4.2.2.15.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		376
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		377
Or	<b>FromToDate &lt;FrToDt&gt;</b>	[1..1]	±		377
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		377
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		377

##### 16.4.2.2.15.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 16.4.2.2.15.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* ["ISODate" on page 2858](#)

#### 16.4.2.2.15.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see ["DatePeriod2" on page 2601](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 16.4.2.2.15.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODate" on page 2858](#)

#### 16.4.2.2.15.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODate" on page 2858](#)

#### 16.4.2.2.15.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		377
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		378
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		378
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		378
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		378

#### 16.4.2.2.15.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* ["ISODatetime" on page 2858](#)

#### 16.4.2.2.15.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.15.2.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 16.4.2.2.15.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODateTime" on page 2858

#### 16.4.2.2.15.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODateTime" on page 2858

### 16.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C10 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 17 camt.079.001.02 IntraBalanceMovementQueryResponseV02

## 17.1 MessageDefinition Functionality

The IntraBalanceMovementQueryResponse message is sent from a settlement infrastructure to an account owner/requestor to provide all intra-balance movement instructions satisfying the selection criteria, as defined within the query, returning current attributes and latest status.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

Outline

The IntraBalanceMovementQueryResponseV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

B. Pagination

Pagination of the message.

C. ReportGeneralDetails

General characteristics related to the report information.

D. ReportOrError

Provides information on report or error resulting from the originating query message.

## 17.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <IntraBalMvmntQryRspn>	[1..1]		C3, C4, C18, C19	
	<b>Identification</b> <Id>	[0..1]			385
	<b>Identification</b> <Id>	[1..1]	Text		385
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		385
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		386
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		386
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		386
	<b>Pagination</b> <Pgntn>	[1..1]	±		387
	<b>ReportGeneralDetails</b> <RptGnlDtls>	[1..1]		C20	387
	<b>QueryReference</b> <QryRef>	[0..1]	Text		388
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		388
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		388
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		388
	<b>ReportOrError</b> <RptOrErr>	[0..1]			388
{Or	<b>Movements</b> <Mvmnts>	[1..*]		C8, C9, C10, C22	391
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	394
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		395
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		395
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			396
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		396
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	397
	<b>Settled</b> <Sttld>	[0..1]	±	C5	397
	<b>Movement</b> <Mvmnt>	[1..*]		C16	398
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	401
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		401
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		402
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			402



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		402
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	403
	<b>Settled</b> <Sttld>	[0..1]	±	C5	403
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		404
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		404
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		404
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		404
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		404
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		404
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			405
	<b>BalanceFrom</b> <BalFr>	[1..1]			407
	<b>Type</b> <Tp>	[1..1]			407
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			408
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409
	<b>BalanceTo</b> <BalTo>	[1..1]			410
	<b>Type</b> <Tp>	[1..1]			410
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		410
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		410
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			411
	<b>LotNumber</b> <LotNb>	[0..1]	±		411
	<b>LotAmount</b> <LotAmt>	[0..1]	±		411
	<b>LotQuantity</b> <LotQty>	[0..1]	±		412
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		412
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		412
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		412
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		413

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		413
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		413
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		413
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		414
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		414
	<b>Linkages</b> <Lnkgs>	[0..*]			414
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C24	415
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C21	415
	<b>Reference</b> <Ref>	[1..1]			416
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		417
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		417
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		417
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		417
	<b>Priority</b> <Prty>	[0..1]	±		418
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		418
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		418
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		418
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	418
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			419
	<b>Error</b> <Err>	[1..1]			419
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		419
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		419
	<b>Description</b> <Desc>	[0..1]	Text		420

## 17.3 Constraints

### C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 **ActivityIndicatorNoRule**

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then ReportOnError must be absent.

*This constraint is defined at the MessageDefinition level.*

### C4 **ActivityIndicatorYesRule**

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then ReportOnError must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C6 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C7 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C8 **CashAccountOwnerRule**

CashAccountOwner must be present or Movement(\*)/AccountOwner must be present, but not both.

### C9 **CashAccountRule**

CashAccount must be present or Movement(\*)/CashAccount must be present, but not both.

### C10 **CashAccountServicerRule**

CashAccountServicer must be present or Movement(\*)/AccountOwner must be present, but not both.

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**C11 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C12 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C13 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C14 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C15 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C16 NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

**C17 PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

**C18 QueryTypeFullRule**

If ReportGeneralDetails/QueryType is equal to Full, then ReportOnError/Movements(\*)/Movement/MovementDetails must be present.

*This constraint is defined at the MessageDefinition level.*

**C19 QueryTypeStatusRule**

If ReportGeneralDetails/QueryType is equal to Status, then ReportOnError/Movements(\*)/Movement/MovementDetails must be absent.

*This constraint is defined at the MessageDefinition level.*

**C20 ReportIdentificationRule**

If Long number (Exact5NumericText) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportIdentification must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different report identifications. For example, a daily report sent on day 1 would have ReportIdentification 001, on day 2, 002, etc.

#### C21 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### C22 StatusAndReasonRule

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

#### C23 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### C24 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 17.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 17.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		385
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		385
	<b>CopyDuplicate &lt;CpyDpct&gt;</b>	[0..1]	CodeSet		386
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		386
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		386

#### 17.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 17.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 17.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 17.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 17.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 17.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 17.4.3 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C20 "ReportIdentificationRule"

**ReportGeneralDetails <RptGnIDtls>** contains the following **MovementReport1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	QueryReference <QryRef>	[0..1]	Text		388
	ReportIdentification <RptId>	[0..1]	Text		388
	QueryType <QryTp>	[1..1]	CodeSet		388
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		388

### Constraints

- ReportIdentificationRule**

If Long number (Exact5NumericText) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportIdentification must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different report identifications. For example, a daily report sent on day 1 would have ReportIdentification 001, on day 2, 002, etc.

#### 17.4.3.1 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the Query message sent to request this statement.

*Datatype:* "Max35Text" on page 2865

#### 17.4.3.2 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a report.

*Datatype:* "Max35Text" on page 2865

#### 17.4.3.3 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

#### 17.4.3.4 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 17.4.4 ReportOrError <RptOrErr>

*Presence:* [0..1]

*Definition:* Provides information on report or error resulting from the originating query message.



**ReportOrError <RptOrErr>** contains one of the following **IntraBalanceOrOperationalError11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Movements</b> <Mvmnts>	[1..*]		C8, C9, C10, C22	391
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	394
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		395
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		395
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			396
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		396
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	397
	<b>Settled</b> <Sttld>	[0..1]	±	C5	397
	<b>Movement</b> <Mvmnt>	[1..*]		C16	398
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	401
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		401
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		402
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			402
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		402
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	403
	<b>Settled</b> <Sttld>	[0..1]	±	C5	403
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		404
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		404
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfstrctrTxld>	[0..1]	Text		404
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		404
	<b>PoolIdentification</b> <Poolld>	[0..1]	Text		404
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		404
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			405
	<b>BalanceFrom</b> <BalFr>	[1..1]			407
	<b>Type</b> <Tp>	[1..1]			407
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QuantityBreakdown</b> <QtyBrkdw>	[0..*]			408
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409
	<b>BalanceTo</b> <BalTo>	[1..1]			410
	<b>Type</b> <Tp>	[1..1]			410
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		410
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		410
	<b>QuantityBreakdown</b> <QtyBrkdw>	[0..*]			411
	<b>LotNumber</b> <LotNb>	[0..1]	±		411
	<b>LotAmount</b> <LotAmt>	[0..1]	±		411
	<b>LotQuantity</b> <LotQty>	[0..1]	±		412
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		412
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		412
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		412
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		413
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		413
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		413
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		413
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		414
	<b>CashSubBalanceIdentification</b> <CshSubBalld>	[0..1]	±		414
	<b>Linkages</b> <Lnkgs>	[0..*]			414
	<b>ProcessingPosition</b> <PrpgPos>	[0..1]	±	C24	415
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C21	415
	<b>Reference</b> <Ref>	[1..1]			416
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxld>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		417

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		417
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		417
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		417
	<b>Priority</b> <Prty>	[0..1]	±		418
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		418
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		418
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrpgAddtlDtls>	[0..1]	Text		418
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	418
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			419
	<b>Error</b> <Err>	[1..1]			419
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		419
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		419
	<b>Description</b> <Desc>	[0..1]	Text		420

#### 17.4.4.1 Movements <Mvmnts>

*Presence:* [1..\*]

*Definition:* Identifies the transactions.

*Impacted by:* C8 "CashAccountOwnerRule", C9 "CashAccountRule", C10 "CashAccountServicerRule", C22 "StatusAndReasonRule"

**Movements <Mvmnts>** contains the following **IntraBalanceMovements4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	394
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		395
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		395
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			396
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		396
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	397
	<b>Settled</b> <Sttld>	[0..1]	±	C5	397
	<b>Movement</b> <Mvmnt>	[1..*]		C16	398
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	401
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		401
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		402
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			402
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		402
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	403
	<b>Settled</b> <Sttld>	[0..1]	±	C5	403
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		404
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		404
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		404
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		404
	<b>PoolIdentification</b> <Poolld>	[0..1]	Text		404
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		404
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			405
	<b>BalanceFrom</b> <BalFr>	[1..1]			407
	<b>Type</b> <Tp>	[1..1]			407
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			408
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409
	<b>BalanceTo</b> <BalTo>	[1..1]			410
	<b>Type</b> <Tp>	[1..1]			410
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		410
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		410
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			411
	<b>LotNumber</b> <LotNb>	[0..1]	±		411
	<b>LotAmount</b> <LotAmt>	[0..1]	±		411
	<b>LotQuantity</b> <LotQty>	[0..1]	±		412
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		412
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		412
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		412
	<b>PreviouslySettledAmount</b> <PrevslySttldAmt>	[0..1]	±		413
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		413
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		413
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		413
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		414
	<b>CashSubBalanceIdentification</b> <CshSubBalld>	[0..1]	±		414
	<b>Linkages</b> <Lnkgs>	[0..*]			414
	<b>ProcessingPosition</b> <PrpgPos>	[0..1]	±	C24	415
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C21	415
	<b>Reference</b> <Ref>	[1..1]			416
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxld>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		417
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktlnfrstrctrTxld>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <Poolld>	[1..1]	Text		417

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		417
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		417
	<b>Priority</b> <Prty>	[0..1]	±		418
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		418
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		418
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccAddtlDtls>	[0..1]	Text		418
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	418

#### Constraints

- **CashAccountOwnerRule**

CashAccountOwner must be present or Movement(\*)/AccountOwner must be present, but not both.

- **CashAccountRule**

CashAccount must be present or Movement(\*)/CashAccount must be present, but not both.

- **CashAccountServicerRule**

CashAccountServicer must be present or Movement(\*)/AccountOwner must be present, but not both.

- **StatusAndReasonRule**

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

#### 17.4.4.1.1 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Account to or from which an entry is made.

*Impacted by:* C15 "IdentificationOrProxyPresenceRule", C14 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

## Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
     /Identification Must be present  
 Or      /Proxy Must be present

### 17.4.4.1.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 17.4.4.1.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 17.4.4.1.4 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following **IntraBalanceStatusAndReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		396
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	397
	<b>Settled</b> <Sttld>	[0..1]	±	C5	397

##### 17.4.4.1.4.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.



**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus67Choice" on page 2740 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rejected <Rjctd>	[1..1]	±		2740
Or	Repair <Rpr>	[1..1]	±		2741
Or	Cancelled <Canc>	[1..1]	±		2741
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2741
Or}	Proprietary <Prtry>	[1..1]	±		2742

#### 17.4.4.1.4.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* C17 "PendingToFailingRule"

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus16Choice" on page 2743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2743
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2743
Or}	Reason <Rsn>	[1..*]	±		2744
Or	Failing <Fng>	[1..1]			2744
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2744
Or}	Reason <Rsn>	[1..*]	±		2744
Or}	Proprietary <Prtry>	[1..1]	±		2745

#### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

#### 17.4.4.1.4.3 Settled <Sttld>

*Presence:* [0..1]

*Definition:* Specifies the state or the condition.

*Impacted by:* C5 "AdditionalReasonInformationRule"

**Settled <Sttld>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 17.4.4.1.5 Movement <Mvmnt>

*Presence:* [1..\*]

*Definition:* Further details on the individual intrabalance movement transaction.

*Impacted by:* C16 "NoAccountOwnerTransactionIdentificationRule"

**Movement <Mvmnt>** contains the following **IntraBalanceMovement7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C15, C14	401
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		401
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		402
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			402
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		402
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	403
	<b>Settled</b> <Sttld>	[0..1]	±	C5	403
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		404
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		404
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		404
	<b>ProcessorTransactionIdentification</b> <PrcrTxld>	[0..1]	Text		404
	<b>PoolIdentification</b> <Poolld>	[0..1]	Text		404
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		404
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			405
	<b>BalanceFrom</b> <BalFr>	[1..1]			407
	<b>Type</b> <Tp>	[1..1]			407
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			408
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409
	<b>BalanceTo</b> <BalTo>	[1..1]			410
	<b>Type</b> <Tp>	[1..1]			410
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		410
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		410
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			411
	<b>LotNumber</b> <LotNb>	[0..1]	±		411

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotAmount</b> <LotAmt>	[0..1]	±		411
	<b>LotQuantity</b> <LotQty>	[0..1]	±		412
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		412
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		412
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		412
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		413
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		413
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		413
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		413
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		414
	<b>CashSubBalanceIdentification</b> <CshSubBalld>	[0..1]	±		414
	<b>Linkages</b> <Lnkgs>	[0..*]			414
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C24	415
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C21	415
	<b>Reference</b> <Ref>	[1..1]			416
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScitiesSttlmTxld>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		417
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktlnfrstrctrTxld>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <Poolld>	[1..1]	Text		417
Or}	<b>OtherTransactionIdentification</b> <OthrTxld>	[1..1]	Text		417
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		417
	<b>Priority</b> <Prty>	[0..1]	±		418
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		418
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		418
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		418
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	418

## Constraints

- **NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

### 17.4.4.1.5.1 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a cash entry is made.

*Impacted by:* [C15 "IdentificationOrProxyPresenceRule"](#), [C14 "IdentificationAndProxyGuideline"](#)

**CashAccount <CshAcct>** contains the following elements (see ["CashAccount40"](#) on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

## Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

### 17.4.4.1.5.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see ["SystemPartyIdentification8"](#) on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 17.4.4.1.5.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 17.4.4.1.5.4 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following **IntraBalanceStatusAndReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		402
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C17	403
	<b>Settled</b> <Sttld>	[0..1]	±	C5	403

##### 17.4.4.1.5.4.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus67Choice" on page 2740 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rejected <Rjctd>	[1..1]	±		2740
Or	Repair <Rpr>	[1..1]	±		2741
Or	Cancelled <Canc>	[1..1]	±		2741
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2741
Or}	Proprietary <Prtry>	[1..1]	±		2742

#### 17.4.4.1.5.4.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* C17 "PendingToFailingRule"

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus16Choice" on page 2743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2743
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2743
Or}	Reason <Rsn>	[1..*]	±		2744
Or	Failing <Fng>	[1..1]			2744
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2744
Or}	Reason <Rsn>	[1..*]	±		2744
Or}	Proprietary <Prtry>	[1..1]	±		2745

#### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

#### 17.4.4.1.5.4.3 Settled <Sttld>

*Presence:* [0..1]

*Definition:* Specifies the state or the condition.

*Impacted by:* C5 "AdditionalReasonInformationRule"

**Settled <Sttld>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 17.4.4.1.5.5 AccountOwnerTransactionIdentification <AcctOwnrTxld>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.6 AccountServicerTransactionIdentification <AcctSvcrTxld>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.7 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxld>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.8 ProcessorTransactionIdentification <PrctrTxld>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.9 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.10 CorporateActionEventIdentification <CorpActnEvtld>

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865



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#### **17.4.4.1.5.11 MovementDetails <MvmntDtls>**

*Presence:* [0..1]

*Definition:* Identifies additional details of the transaction.

**MovementDetails <MvmntDtls>** contains the following **IntraBalanceMovement6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceFrom</b> <BalFr>	[1..1]			407
	<b>Type</b> <Tp>	[1..1]			407
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			408
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409
	<b>BalanceTo</b> <BalTo>	[1..1]			410
	<b>Type</b> <Tp>	[1..1]			410
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		410
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		410
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			411
	<b>LotNumber</b> <LotNb>	[0..1]	±		411
	<b>LotAmount</b> <LotAmt>	[0..1]	±		411
	<b>LotQuantity</b> <LotQty>	[0..1]	±		412
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		412
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		412
	<b>SettledAmount</b> <SttldAmt>	[0..1]	±		412
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		413
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		413
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		413
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		413
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		414
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		414
	<b>Linkages</b> <Lnkgs>	[0..*]			414
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C24	415
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C21	415
	<b>Reference</b> <Ref>	[1..1]			416

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlnTxId>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		417
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		417
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		417
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		417
	<b>Priority</b> <Prty>	[0..1]	±		418
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		418
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		418
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		418
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	418

#### 17.4.4.1.5.11.1 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom** <BalFr> contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[1..1]			407
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			408
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409

##### 17.4.4.1.5.11.1.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		408
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		408

#### 17.4.4.1.5.11.1.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 17.4.4.1.5.11.1.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 17.4.4.1.5.11.1.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		408
	<b>LotAmount</b> <LotAmt>	[0..1]	±		409
	<b>LotQuantity</b> <LotQty>	[0..1]	±		409
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		409

#### 17.4.4.1.5.11.1.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 17.4.4.1.5.11.1.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 17.4.4.1.5.11.1.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 17.4.4.1.5.11.1.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 17.4.4.1.5.11.2 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			410
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		410
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		410
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			411
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		411
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		411
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		412
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		412

##### 17.4.4.1.5.11.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		410
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		410

##### 17.4.4.1.5.11.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 17.4.4.1.5.11.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 17.4.4.1.5.11.2.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		411
	<b>LotAmount</b> <LotAmt>	[0..1]	±		411
	<b>LotQuantity</b> <LotQty>	[0..1]	±		412
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		412

#### 17.4.4.1.5.11.2.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 17.4.4.1.5.11.2.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 17.4.4.1.5.11.2.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 17.4.4.1.5.11.2.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 17.4.4.1.5.11.3 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Amount of money effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount based on market tolerance level.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWithCcy>	[1..1]	Amount	C1, C4	2594

#### 17.4.4.1.5.11.4 SettledAmount <SttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount based on market tolerance level.



**SettledAmount <SttldAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 17.4.4.1.5.11.5 PreviouslySettledAmount <PrevsllySttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money previously settled.

**PreviouslySettledAmount <PrevsllySttldAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 17.4.4.1.5.11.6 RemainingSettlementAmount <RmngSttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money remaining to be settled.

**RemainingSettlementAmount <RmngSttldAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 17.4.4.1.5.11.7 IntendedSettlementDate <IntnddSttldDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is intended to be moved.

**IntendedSettlementDate <IntnddSttldDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 17.4.4.1.5.11.8 EffectiveSettlementDate <FctvSttldDt>

*Presence:* [0..1]

*Definition:* Date and time at which the amount of money is moved.

**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 17.4.4.1.5.11.9 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODateTime" on page 2858

#### 17.4.4.1.5.11.10 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 17.4.4.1.5.11.11 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Link to another transaction that must be processed after, before or at the same time.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C24	415
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C21	415
	<b>Reference</b> <Ref>	[1..1]			416
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		417
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		417
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		417
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		417

#### 17.4.4.1.5.11.11.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C24 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2672
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2673

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 17.4.4.1.5.11.11.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C21 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 17.4.4.1.5.11.11.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		416
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		416
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		417
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		417
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		417
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		417
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		417

##### 17.4.4.1.5.11.11.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

##### 17.4.4.1.5.11.11.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.11.11.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.11.11.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.11.11.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.11.11.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.11.11.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 17.4.4.1.5.11.11.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

#### 17.4.4.1.5.11.12 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "[PriorityNumeric4Choice](#)" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prty>	[1..1]	±		2644

#### 17.4.4.1.5.11.13 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 17.4.4.1.5.11.14 CreationDateTime <CreDtTm>

*Presence:* [1..1]

*Definition:* Specifies the date/time on which the trade was executed.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 17.4.4.1.5.11.15 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "[Max350Text](#)" on page 2865

#### 17.4.4.1.5.11.16 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C23 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

### 17.4.4.2 OperationalError <OprlErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OprlErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error</b> <Err>	[1..1]			419
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		419
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		419
	<b>Description</b> <Desc>	[0..1]	Text		420

#### 17.4.4.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		419
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		419

##### 17.4.4.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* ["ExternalSystemErrorHandling1Code"](#) on page 2820

##### 17.4.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

---

*Datatype:* "Max35Text" on page 2865

#### **17.4.4.2.2 Description <Desc>**

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863



# **18 camt.080.001.02 IntraBalanceMovementModificationQueryV02**

## **18.1 MessageDefinition Functionality**

The IntraBalanceMovementModificationQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of intra-balance movement modification instruction(s) based on a set of search criteria or business attributes.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

Outline

The IntraBalanceMovementModificationQueryV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

### **A. Identification**

Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

### **B. QueryDefinition**

Defines the intra-balance movement modification query criteria.

### **C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 18.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntModQry&gt;</i>	[1..1]			
	<b>Identification</b> <Id>	[0..1]			423
	<b>Identification</b> <Id>	[1..1]	Text		424
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		424
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		424
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		425
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		425
	<b>QueryDefinition</b> <QryDef>	[1..1]			425
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		426
	<b>SearchCriteria</b> <SchCrit>	[1..1]			427
	<b>ModificationRequestIdentification</b> <ModReqId>	[0..*]	Text		427
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		428
	<b>CashAccount</b> <CshAcct>	[0..*]			428
{Or	<b>Equal</b> <EQ>	[1..1]	±		428
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		428
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		428
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		429
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		429
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		429
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			430
{Or	<b>Date</b> <Dt>	[1..1]			430
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		431
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		431
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		431
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		431
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		431
Or}	<b>DateTime</b> <DtTm>	[1..1]			431
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		432
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		432
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		432

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		432
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		432
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C5	433

## 18.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 18.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 18.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		424
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		424
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		424
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		425
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		425

#### 18.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 18.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 18.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 18.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 18.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 18.4.2 QueryDefinition <QryDef>

*Presence:* [1..1]

*Definition:* Defines the intra-balance movement modification query criteria.

**QueryDefinition <QryDef>** contains the following **IntraBalanceQueryDefinition12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		426
	<b>SearchCriteria</b> <SchCrit>	[1..1]			427
	<b>ModificationRequestIdentification</b> <ModReqId>	[0..*]	Text		427
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		428
	<b>CashAccount</b> <CshAcct>	[0..*]			428
{Or	<b>Equal</b> <EQ>	[1..1]	±		428
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		428
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		428
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		429
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		429
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		429
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			430
{Or	<b>Date</b> <Dt>	[1..1]			430
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		431
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		431
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		431
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		431
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		431
Or}	<b>DateTime</b> <DtTm>	[1..1]			431
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		432
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		432
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		432
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		432
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		432

#### 18.4.2.1 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.

CodeName	Name	Definition
STTS	Status	Response will include limited details including the status on the movements reported.

#### 18.4.2.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to extract the intra-balance movement instruction information.

**SearchCriteria <SchCrit>** contains the following **IntraBalanceQueryCriteria12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ModificationRequestIdentification</b> <ModReqId>	[0..*]	Text		427
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		428
	<b>CashAccount</b> <CshAcct>	[0..*]			428
{Or	<b>Equal</b> <EQ>	[1..1]	±		428
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		428
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		428
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		429
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		429
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		429
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			430
{Or	<b>Date</b> <Dt>	[1..1]			430
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		431
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		431
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		431
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		431
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		431
Or}	<b>DateTime</b> <DtTm>	[1..1]			431
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		432
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		432
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		432
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		432
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		432

##### 18.4.2.2.1 ModificationRequestIdentification <ModReqId>

*Presence:* [0..\*]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 18.4.2.2.2 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides the status of settlement of a transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ModificationProcessingStatus9Choice" on page 2768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2768
Or}	Proprietary <Prtry>	[1..1]	±		2769

#### 18.4.2.2.3 CashAccount <CshAcct>

*Presence:* [0..\*]

*Definition:* Account in which cash is maintained.

**CashAccount <CshAcct>** contains one of the following **AccountIdentificationSearchCriteria2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Equal</b> <EQ>	[1..1]	±		428
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		428
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		428

##### 18.4.2.2.3.1 Equal <EQ>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on exact identification of the account(s).

**Equal <EQ>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

##### 18.4.2.2.3.2 ContainText <CTTtxt>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on partial identification of the account(s).

*Datatype:* "Max35Text" on page 2865

##### 18.4.2.2.3.3 NotContainText <NCTTtxt>

*Presence:* [1..1]



*Definition:* Search for one or more accounts based on a pattern that cannot be contained in the account identification.

*Datatype:* "Max35Text" on page 2865

#### 18.4.2.2.4 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..\*]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 18.4.2.2.5 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 18.4.2.2.6 MessageOriginator <MsgOrgtr>

*Presence:* [0..\*]

*Definition:* Party that originated the message, if other than the sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			430
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		431
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		431
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		431
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		431
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		431
Or}	<b>DateTime</b> <DtTm>	[1..1]			431
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		432
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		432
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		432
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		432
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		432

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**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		431
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		431
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		431
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		431
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		431

#### 18.4.2.2.7.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 18.4.2.2.7.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 18.4.2.2.7.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 18.4.2.2.7.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 18.4.2.2.7.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 18.4.2.2.7.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		432
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		432
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		432
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		432
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		432

#### 18.4.2.2.7.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 18.4.2.2.7.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 18.4.2.2.7.2.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 18.4.2.2.7.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODatetime" on page 2858

#### 18.4.2.2.7.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODatetime" on page 2858

## 18.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C5 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on [page 2666](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 19 camt.081.001.02 IntraBalanceMovementModificationReportV02

## 19.1 MessageDefinition Functionality

The IntraBalanceMovementModificationReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of the intra-balance movement modification request(s), as defined within the query.

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").

### Outline

The IntraBalanceMovementModificationReportV02 MessageDefinition is composed of 5 MessageBuildingBlocks:

#### A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

#### B. Pagination

Pagination of the message.

#### C. ReportGeneralDetails

General characteristics related to the report information.

#### D. ReportOrError

Provides information on report or error resulting from the originating query message.

#### E. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 19.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntModRpt&gt;</i>	[1..1]		C3, C4, C21, C22	
	<b>Identification</b> <Id>	[0..1]			440
	<b>Identification</b> <Id>	[1..1]	Text		440
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		441
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		441
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		441
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		441
	<b>Pagination</b> <Pgntn>	[1..1]	±		442
	<b>ReportGeneralDetails</b> <RptGnlDtls>	[1..1]		C23	442
	<b>ReportNumber</b> <RptNb>	[0..1]	±		443
	<b>QueryReference</b> <QryRef>	[0..1]	Text		443
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		443
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		443
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		443
	<b>QueryType</b> <QryTp>	[0..1]	CodeSet		444
	<b>Frequency</b> <Frqcy>	[0..1]	±		444
	<b>UpdateType</b> <UpdTp>	[1..1]	±		444
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		444
	<b>ReportOrError</b> <RptOrErr>	[0..1]			445
{Or	<b>Modifications</b> <Mods>	[1..*]		C10, C11, C12, C20	448
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	451
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		452
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		452
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		452
	<b>Modification</b> <Mod>	[1..*]			453
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	455
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		456

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		456
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		457
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		457
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		458
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C18, C19	458
	<b>Reference</b> <Ref>	[1..1]			460
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		460
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		460
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		460
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		460
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		461
	<b>Linkage</b> <Lkg>	[0..1]	±		461
	<b>Priority</b> <Prty>	[0..1]	±		461
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		461
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		461
	<b>ClearingChannel</b> <ClrChanI>	[0..1]	CodeSet		462
	<b>Linkages</b> <Lnkgs>	[0..*]			462
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C26	462
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C24	463
	<b>Reference</b> <Ref>	[1..1]			463
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		464
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		465



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	465
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		467
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		467
	<b>BalanceFrom</b> <BalFr>	[1..1]			467
	<b>Type</b> <Tp>	[1..1]			467
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		468
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			468
	<b>LotNumber</b> <LotNb>	[0..1]	±		468
	<b>LotAmount</b> <LotAmt>	[0..1]	±		469
	<b>LotQuantity</b> <LotQty>	[0..1]	±		469
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		469
	<b>BalanceTo</b> <BalTo>	[1..1]			470
	<b>Type</b> <Tp>	[1..1]			470
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		470
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			471
	<b>LotNumber</b> <LotNb>	[0..1]	±		471
	<b>LotAmount</b> <LotAmt>	[0..1]	±		471
	<b>LotQuantity</b> <LotQty>	[0..1]	±		472
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		472
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		472
	<b>Priority</b> <Prty>	[0..1]	±		472
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		473
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			473
	<b>Error</b> <Err>	[1..1]			473
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		473
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		473
	<b>Description</b> <Desc>	[0..1]	Text		474
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C25	474

## 19.3 Constraints

### C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 **ActivityIndicatorNoRule**

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then ReportOrError must be absent.

*This constraint is defined at the MessageDefinition level.*

### C4 **ActivityIndicatorYesRule**

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then ReportOrError must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C6 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C7 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C8 **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

### C9 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C10 **CashAccountOwnerRule**

CashAccountOwner must be present or Cancellation(\*)/AccountOwner must be present, but not both.

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**C11 CashAccountRule**

CashAccount must be present or Cancellation(\*)/CashAccount must be present, but not both.

**C12 CashAccountServicerRule**

CashAccountServicer must be present or Cancellation(\*)/AccountOwner must be present, but not both.

**C13 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C14 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C15 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C16 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C17 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C18 ModificationRequestPresenceRule**

At least one modification request type element must be present.

**C19 OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and the receiver.

**C20 ProcessingStatusRule**

ProcessingStatus must be present or Cancellation(\*)/ProcessingStatus must be present, but not both.

**C21 QueryTypeFullRule**

If ReportGeneralDetails/QueryType is equal to Full, then ReportOrError/Modifications(\*)/Modification/UnderlyingIntraBalance must be present.

*This constraint is defined at the MessageDefinition level.*

**C22 QueryTypeStatusRule**

If ReportGeneralDetails/QueryType is equal to Status, then ReportOrError/Modifications(\*)/Modification/UnderlyingIntraBalance must be absent.

*This constraint is defined at the MessageDefinition level.*

### C23 ReportNumberRule

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### C24 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

### C25 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

### C26 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 19.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 19.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		440
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		441
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		441
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		441
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		441

#### 19.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 19.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 19.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 19.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 19.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 19.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 19.4.3 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C23 "ReportNumberRule"

**ReportGeneralDetails <RptGnIDtls>** contains the following **IntraBalanceReport5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		443
	<b>QueryReference</b> <QryRef>	[0..1]	Text		443
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		443
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		443
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		443
	<b>QueryType</b> <QryTp>	[0..1]	CodeSet		444
	<b>Frequency</b> <Frqcy>	[0..1]	±		444
	<b>UpdateType</b> <UpdTp>	[1..1]	±		444
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		444

### Constraints

- ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

#### 19.4.3.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see ["Number3Choice"](#) on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

#### 19.4.3.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the query message sent to request this statement.

*Datatype:* ["Max35Text"](#) on page 2865

#### 19.4.3.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* ["Max35Text"](#) on page 2865

#### 19.4.3.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 19.4.3.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see "Period7Choice" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

#### 19.4.3.6 QueryType <QryTp>

*Presence:* [0..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

#### 19.4.3.7 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see "Frequency22Choice" on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

#### 19.4.3.8 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see "UpdateType15Choice" on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

#### 19.4.3.9 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]



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*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 19.4.4 ReportOrError <RptOrErr>

*Presence:* [0..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **IntraBalanceOrOperationalError12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Modifications</b> <Mods>	[1..*]		C10, C11, C12, C20	448
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	451
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		452
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		452
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		452
	<b>Modification</b> <Mod>	[1..*]			453
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	455
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		456
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		456
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		457
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		457
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		458
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C18, C19	458
	<b>Reference</b> <Ref>	[1..1]			460
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		460
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		460
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		460
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		460
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		461
	<b>Linkage</b> <Lkg>	[0..1]	±		461
	<b>Priority</b> <Prty>	[0..1]	±		461
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		461
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		461
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		462
	<b>Linkages</b> <Lnkgs>	[0..*]			462
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C26	462

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C24	463
	<b>Reference</b> <Ref>	[1..1]			463
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		464
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		465
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	465
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		467
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		467
	<b>BalanceFrom</b> <BalFr>	[1..1]			467
	<b>Type</b> <Tp>	[1..1]			467
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		468
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			468
	<b>LotNumber</b> <LotNb>	[0..1]	±		468
	<b>LotAmount</b> <LotAmt>	[0..1]	±		469
	<b>LotQuantity</b> <LotQty>	[0..1]	±		469
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		469
	<b>BalanceTo</b> <BalTo>	[1..1]			470
	<b>Type</b> <Tp>	[1..1]			470
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		470
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			471
	<b>LotNumber</b> <LotNb>	[0..1]	±		471
	<b>LotAmount</b> <LotAmt>	[0..1]	±		471

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotQuantity</b> <LotQty>	[0..1]	±		472
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		472
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		472
	<b>Priority</b> <Prty>	[0..1]	±		472
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrdgAddtlDtls>	[0..1]	Text		473
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			473
	<b>Error</b> <Err>	[1..1]			473
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		473
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		473
	<b>Description</b> <Desc>	[0..1]	Text		474

#### 19.4.4.1 Modifications <Mods>

*Presence:* [1..\*]

*Definition:* Further details of the intrabalance modification transactions.

*Impacted by:* C10 "CashAccountOwnerRule", C11 "CashAccountRule", C12 "CashAccountServicerRule", C20 "ProcessingStatusRule"

**Modifications <Mods>** contains the following **IntraBalanceModification7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	451
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		452
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		452
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		452
	<b>Modification</b> <Mod>	[1..*]			453
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	455
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		456
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		456
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		457
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		457
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		458
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C18, C19	458
	<b>Reference</b> <Ref>	[1..1]			460
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		460
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		460
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		460
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		460
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		461
	<b>Linkage</b> <Lkg>	[0..1]	±		461
	<b>Priority</b> <Prty>	[0..1]	±		461
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		461
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		461
	<b>ClearingChannel</b> <ClrChanI>	[0..1]	CodeSet		462
	<b>Linkages</b> <Lnkgs>	[0..*]			462
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C26	462
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C24	463
	<b>Reference</b> <Ref>	[1..1]			463
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		464

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		465
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	465
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		467
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		467
	<b>BalanceFrom</b> <BalFr>	[1..1]			467
	<b>Type</b> <Tp>	[1..1]			467
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		468
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			468
	<b>LotNumber</b> <LotNb>	[0..1]	±		468
	<b>LotAmount</b> <LotAmt>	[0..1]	±		469
	<b>LotQuantity</b> <LotQty>	[0..1]	±		469
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		469
	<b>BalanceTo</b> <BalTo>	[1..1]			470
	<b>Type</b> <Tp>	[1..1]			470
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		470
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			471
	<b>LotNumber</b> <LotNb>	[0..1]	±		471
	<b>LotAmount</b> <LotAmt>	[0..1]	±		471
	<b>LotQuantity</b> <LotQty>	[0..1]	±		472
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		472
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		472
	<b>Priority</b> <Prty>	[0..1]	±		472

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrpgAddtlDtls>	[0..1]	Text		473

#### Constraints

- **CashAccountOwnerRule**

CashAccountOwner must be present or Cancellation(\*)/AccountOwner must be present, but not both.

- **CashAccountRule**

CashAccount must be present or Cancellation(\*)/CashAccount must be present, but not both.

- **CashAccountServicerRule**

CashAccountServicer must be present or Cancellation(\*)/AccountOwner must be present, but not both.

- **ProcessingStatusRule**

ProcessingStatus must be present or Cancellation(\*)/ProcessingStatus must be present, but not both.

#### 19.4.4.1.1 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a cash entry is made.

*Impacted by:* C17 "IdentificationOrProxyPresenceRule", C16 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
/Identification Must be present  
Or /Proxy Must be present

#### 19.4.4.1.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		2700

#### 19.4.4.1.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 19.4.4.1.4 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.



**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus71Choice" on page 2752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2752
Or	Pending <Pdg>	[1..1]	±		2752
Or	Rejected <Rjctd>	[1..1]	±		2753
Or	Repair <Rpr>	[1..1]	±		2753
Or	Denied <Dnd>	[1..1]	±		2754
Or	Completed <Cmpltd>	[1..1]	±	C6	2754
Or}	Proprietary <Prtry>	[1..1]	±		2754

#### 19.4.4.1.5 Modification <Mod>

*Presence:* [1..\*]

*Definition:* Further details of the individual intrabalance modification transaction.

**Modification <Mod>** contains the following **IntraBalanceModification8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	455
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		456
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		456
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		457
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		457
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		458
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C18, C19	458
	<b>Reference</b> <Ref>	[1..1]			460
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		460
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		460
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		460
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		460
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		461
	<b>Linkage</b> <Lkg>	[0..1]	±		461
	<b>Priority</b> <Prty>	[0..1]	±		461
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		461
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		461
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		462
	<b>Linkages</b> <Lnkgs>	[0..*]			462
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C26	462
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C24	463
	<b>Reference</b> <Ref>	[1..1]			463
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		464
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		465
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	465
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		467
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		467
	<b>BalanceFrom</b> <BalFr>	[1..1]			467
	<b>Type</b> <Tp>	[1..1]			467
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		468
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			468
	<b>LotNumber</b> <LotNb>	[0..1]	±		468
	<b>LotAmount</b> <LotAmt>	[0..1]	±		469
	<b>LotQuantity</b> <LotQty>	[0..1]	±		469
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		469
	<b>BalanceTo</b> <BalTo>	[1..1]			470
	<b>Type</b> <Tp>	[1..1]			470
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		470
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			471
	<b>LotNumber</b> <LotNb>	[0..1]	±		471
	<b>LotAmount</b> <LotAmt>	[0..1]	±		471
	<b>LotQuantity</b> <LotQty>	[0..1]	±		472
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		472
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		472
	<b>Priority</b> <Prty>	[0..1]	±		472
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		473

#### 19.4.4.1.5.1 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a cash entry is made.

*Impacted by:* C17 "IdentificationOrProxyPresenceRule", C16 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount40](#)" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

#### 19.4.4.1.5.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 19.4.4.1.5.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 19.4.4.1.5.4 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus71Choice" on page 2752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2752
Or	Pending <Pdg>	[1..1]	±		2752
Or	Rejected <Rjctd>	[1..1]	±		2753
Or	Repair <Rpr>	[1..1]	±		2753
Or	Denied <Dnd>	[1..1]	±		2754
Or	Completed <Cmpltd>	[1..1]	±	C6	2754
Or}	Proprietary <Prtry>	[1..1]	±		2754

#### 19.4.4.1.5.5 RequestReference <ReqRef>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the modification request.

*Datatype:* "Max35Text" on page 2865

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#### **19.4.4.1.5.6 StatusDate <StsDt>**

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODatetime" on page 2858

#### **19.4.4.1.5.7 RequestDetails <ReqDtls>**

*Presence:* [0..1]

*Definition:* Details of the request providing the changes and references of the instruction for which the modification is requested.

*Impacted by:* C18 "ModificationRequestPresenceRule", C19 "OtherProcessingRule"

**RequestDetails <ReqDtls>** contains the following **RequestDetails22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reference</b> <Ref>	[1..1]			460
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		460
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		460
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		460
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		460
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		461
	<b>Linkage</b> <Lkg>	[0..1]	±		461
	<b>Priority</b> <Prty>	[0..1]	±		461
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		461
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	Indicator		461
	<b>ClearingChannel</b> <ClrChanl>	[0..1]	CodeSet		462
	<b>Linkages</b> <Lnkgs>	[0..*]			462
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C26	462
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C24	463
	<b>Reference</b> <Ref>	[1..1]			463
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScetiesSttlmTxId>	[1..1]	Text		464
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		465

## Constraints

- **ModificationRequestPresenceRule**

At least one modification request type element must be present.

- **OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and the receiver.

### 19.4.4.1.5.7.1 Reference <Ref>

*Presence:* [1..1]

*Definition:* References of the transaction for which the intra-balance modification is requested.

**Reference <Ref>** contains the following **References14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		460
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		460
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		460
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		460
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		461

#### 19.4.4.1.5.7.1.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.1.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.1.4 ProcessorTransactionIdentification <PrcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865



#### 19.4.4.1.5.7.1.5 PoolIdIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.2 Linkage <Lkg>

*Presence:* [0..1]

*Definition:* Specifies the type of linkage requested.

**Linkage <Lkg>** contains one of the following elements (see "LinkageType3Choice" on page 2639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2640

#### 19.4.4.1.5.7.3 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 19.4.4.1.5.7.4 OtherProcessing <OthrPrcg>

*Presence:* [0..\*]

*Definition:* Specifies another type of processing change request.

**OtherProcessing <OthrPrcg>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 19.4.4.1.5.7.5 PartialSettlementIndicator <PrtlSttlmInd>

*Presence:* [0..1]

*Definition:* Specifies whether partial settlement is allowed.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 19.4.4.1.5.7.6 ClearingChannel <ClrChanI>

*Presence:* [0..1]

*Definition:* Specifies the clearing channel to be used to process the payment instruction.

*Datatype:* "ClearingChannel2Code" on page 2805

CodeName	Name	Definition
RTGS	RealTimeGrossSettlementSystem	Clearing channel is a real-time gross settlement system.
RTNS	RealTimeNetSettlementSystem	Clearing channel is a real-time net settlement system.
MPNS	MassPaymentNetSystem	Clearing channel is a mass payment net settlement system.
BOOK	BookTransfer	Payment through internal book transfer.

#### 19.4.4.1.5.7.7 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Information regarding the linkage requested.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C26	462
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C24	463
	<b>Reference</b> <Ref>	[1..1]			463
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		464
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		465

#### 19.4.4.1.5.7.7.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C26 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 19.4.4.1.5.7.7.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C24 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 19.4.4.1.5.7.7.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		464
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		464
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		464
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		464
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		464
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		465
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		465

#### 19.4.4.1.5.7.7.3.1 SecuritiesSettlementTransactionIdentification <SciesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.1.5.7.7.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

#### 19.4.4.1.5.8 UnderlyingIntraBalance <UndrlygIntraBal>

*Presence:* [0..1]

*Definition:* Identifies additional details of the transaction.

*Impacted by:* C5 "AdditionalDetailsRule", C8 "BalanceFromToRule"

**UnderlyingIntraBalance <UndrlygIntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		467
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		467
	<b>BalanceFrom</b> <BalFr>	[1..1]			467
	<b>Type</b> <Tp>	[1..1]			467
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		468
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			468
	<b>LotNumber</b> <LotNb>	[0..1]	±		468
	<b>LotAmount</b> <LotAmt>	[0..1]	±		469
	<b>LotQuantity</b> <LotQty>	[0..1]	±		469
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		469
	<b>BalanceTo</b> <BalTo>	[1..1]			470
	<b>Type</b> <Tp>	[1..1]			470
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		470
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			471
	<b>LotNumber</b> <LotNb>	[0..1]	±		471
	<b>LotAmount</b> <LotAmt>	[0..1]	±		471
	<b>LotQuantity</b> <LotQty>	[0..1]	±		472
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		472
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		472
	<b>Priority</b> <Prty>	[0..1]	±		472
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		473

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 19.4.4.1.5.8.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 19.4.4.1.5.8.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 19.4.4.1.5.8.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			467
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		468
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		468
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			468
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		468
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		469
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		469
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		469

##### 19.4.4.1.5.8.3.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		468

#### 19.4.4.1.5.8.3.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 19.4.4.1.5.8.3.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 19.4.4.1.5.8.3.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		468
	<b>LotAmount</b> <LotAmt>	[0..1]	±		469
	<b>LotQuantity</b> <LotQty>	[0..1]	±		469
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		469

#### 19.4.4.1.5.8.3.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.



**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 19.4.4.1.5.8.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 19.4.4.1.5.8.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 19.4.4.1.5.8.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 19.4.4.1.5.8.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			470
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		470
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		470
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			471
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		471
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		471
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		472
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		472

##### 19.4.4.1.5.8.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		470
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		470

##### 19.4.4.1.5.8.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 19.4.4.1.5.8.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 19.4.4.1.5.8.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		471
	<b>LotAmount</b> <LotAmt>	[0..1]	±		471
	<b>LotQuantity</b> <LotQty>	[0..1]	±		472
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		472

##### 19.4.4.1.5.8.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 19.4.4.1.5.8.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 19.4.4.1.5.8.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 19.4.4.1.5.8.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 19.4.4.1.5.8.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 19.4.4.1.5.8.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 19.4.4.1.5.8.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

#### 19.4.4.2 OperationalError <OprlErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OprlErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			473
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		473
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		473
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		474

##### 19.4.4.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		473
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		473

##### 19.4.4.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

##### 19.4.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 19.4.4.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 19.4.5 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C25 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **20      camt.082.001.02**

# **IntraBalanceMovementCancellationQueryV02**

### **20.1    MessageDefinition Functionality**

The IntraBalanceMovementCancellationQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of intra-balance movement cancellation instruction(s) based on a set of search criteria or business attributes.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

Outline

The IntraBalanceMovementCancellationQueryV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

#### **A. Identification**

Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

#### **B. QueryDefinition**

Defines the intra-balance movement cancellation query criteria.

#### **C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 20.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntCxlQry&gt;</i>	[1..1]			
	<b>Identification</b> <Id>	[0..1]			477
	<b>Identification</b> <Id>	[1..1]	Text		478
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		478
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		478
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		479
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		479
	<b>QueryDefinition</b> <QryDef>	[1..1]			479
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		480
	<b>SearchCriteria</b> <SchCrit>	[1..1]			481
	<b>CancellationRequestIdentification</b> <CxlReqId>	[0..*]	Text		482
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]			482
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		483
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		483
	<b>CashAccount</b> <CshAcct>	[0..*]			483
{Or	<b>Equal</b> <EQ>	[1..1]	±		484
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		484
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		484
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		484
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		484
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		485
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			485
{Or	<b>Date</b> <Dt>	[1..1]			486
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		486
Or	<b>FromDateTo</b> <FrToDt>	[1..1]	±		487
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		487
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		487
Or}	<b>DateTime</b> <DtTm>	[1..1]			487
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		487



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		487
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		488
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		488
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		488
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C5	488

## 20.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 20.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 20.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account owner (or the instructing party acting on its behalf).

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		478
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		478
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		478
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		479
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		479

#### 20.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 20.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 20.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 20.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 20.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 20.4.2 QueryDefinition <QryDef>

*Presence:* [1..1]

*Definition:* Defines the intra-balance movement cancellation query criteria.

**QueryDefinition <QryDef>** contains the following **IntraBalanceQueryDefinition10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		480
	<b>SearchCriteria</b> <SchCrit>	[1..1]			481
	<b>CancellationRequestIdentification</b> <CxlReqId>	[0..*]	Text		482
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]			482
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		483
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		483
	<b>CashAccount</b> <CshAcct>	[0..*]			483
{Or	<b>Equal</b> <EQ>	[1..1]	±		484
Or	<b>ContainText</b> <CTTtxt>	[1..1]	Text		484
Or}	<b>NotContainText</b> <NCTTtxt>	[1..1]	Text		484
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..*]	±		484
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		484
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		485
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			485
{Or	<b>Date</b> <Dt>	[1..1]			486
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		486
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		487
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		487
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		487
Or}	<b>DateTime</b> <DtTm>	[1..1]			487
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		488
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		488
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		488

#### 20.4.2.1 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

#### 20.4.2.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to extract the intra-balance movement instruction information.

**SearchCriteria <SchCrit>** contains the following **IntraBalanceQueryCriteria10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CancellationRequestIdentification &lt;CxlReqId&gt;</b>	[0..*]	Text		482
	<b>ProcessingStatus &lt;PrcgSts&gt;</b>	[0..*]			482
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		483
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		483
	<b>CashAccount &lt;CshAcct&gt;</b>	[0..*]			483
{Or	<b>Equal &lt;EQ&gt;</b>	[1..1]	±		484
Or	<b>ContainText &lt;CTTtxt&gt;</b>	[1..1]	Text		484
Or}	<b>NotContainText &lt;NCTTtxt&gt;</b>	[1..1]	Text		484
	<b>CashAccountOwner &lt;CshAcctOwnr&gt;</b>	[0..*]	±		484
	<b>CashAccountServicer &lt;CshAcctSvcr&gt;</b>	[0..1]	±		484
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..*]	±		485
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]			485
{Or	<b>Date &lt;Dt&gt;</b>	[1..1]			486
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		486
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		486
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		487
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		487
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		487
Or}	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			487
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		487
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		487
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		488
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		488
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		488

#### 20.4.2.2.1 CancellationRequestIdentification <CxlReqId>

*Presence:* [0..\*]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 20.4.2.2.2 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

**Definition:** Provides the status of settlement of a transaction.

**ProcessingStatus <PrcgSts>** contains one of the following **CancellationProcessingStatus9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		483
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		483

#### 20.4.2.2.2.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Provides the status of a cancellation request.

**Datatype:** "CancellationProcessingStatus3Code" on page 2804

CodeName	Name	Definition
CANP	PendingCancellation	Cancellation is pending. It is not known at this time whether cancellation can be affected.
REJT	Rejected	Cancellation has been rejected for further processing.
REPR	InRepair	Cancellation request is in repair.
PACK	Accepted	Cancellation request has been acknowledged for further processing by the account servicer.
DEND	Denied	Cancellation will not be executed.
CAND	CancellationCompleted	Cancellation has been completed.

#### 20.4.2.2.2.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Provides the status of a cancellation request.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 20.4.2.2.3 CashAccount <CshAcct>

**Presence:** [0..\*]

**Definition:** Account in which cash is maintained.

**CashAccount <CshAcct>** contains one of the following **AccountIdentificationSearchCriteria2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Equal &lt;EQ&gt;</b>	[1..1]	±		484
Or	<b>ContainText &lt;CTTtxt&gt;</b>	[1..1]	Text		484
Or}	<b>NotContainText &lt;NCTTtxt&gt;</b>	[1..1]	Text		484

#### 20.4.2.2.3.1 Equal <EQ>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on exact identification of the account(s).

**Equal <EQ>** contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IBAN &lt;IBAN&gt;</b>	[1..1]	IdentifierSet	C2	2592
Or}	<b>Other &lt;Othr&gt;</b>	[1..1]	±		2592

#### 20.4.2.2.3.2 ContainText <CTTtxt>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on partial identification of the account(s).

*Datatype:* "[Max35Text](#)" on page 2865

#### 20.4.2.2.3.3 NotContainText <NCTTtxt>

*Presence:* [1..1]

*Definition:* Search for one or more accounts based on a pattern that cannot be contained in the account identification.

*Datatype:* "[Max35Text](#)" on page 2865

#### 20.4.2.2.4 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..\*]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	±		2699
	<b>ResponsiblePartyIdentification &lt;RspnsblPtyId&gt;</b>	[0..1]	±		2700

#### 20.4.2.2.5 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]



**Definition:** Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstAdr>	[0..1]	±		2613

#### 20.4.2.2.6 MessageOriginator <MsgOrgtr>

**Presence:** [0..\*]

**Definition:** Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		2700

#### 20.4.2.2.7 CreationDateTime <CreDtTm>

**Presence:** [0..1]

**Definition:** Specifies the creation date/time of the intra-balance movement.

**CreationDateTime <CreDtTm>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date &lt;Dt&gt;</b>	[1..1]			486
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		486
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		486
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		487
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		487
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		487
Or}	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			487
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		487
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		487
Or	<b>FromDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		488
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		488
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		488

#### 20.4.2.2.7.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		486
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		486
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		487
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		487
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		487

##### 20.4.2.2.7.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 20.4.2.2.7.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 20.4.2.2.7.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 20.4.2.2.7.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 20.4.2.2.7.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 20.4.2.2.7.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		488
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		488
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		488

#### 20.4.2.2.7.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 20.4.2.2.7.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 20.4.2.2.7.2.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 20.4.2.2.7.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 20.4.2.2.7.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime"](#) on page 2858

### 20.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C5 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 21 camt.083.001.02 IntraBalanceMovementCancellationReportV0 2

## 21.1 MessageDefinition Functionality

The IntraBalanceMovementCancellationReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of the intra-balance movement cancellation request(s), as defined within the query.

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").

Outline

The IntraBalanceMovementCancellationReportV02 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. Identification

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

B. Pagination

Pagination of the message.

C. ReportGeneralDetails

General characteristics related to the report information.

D. ReportOrError

Provides information on report or error resulting from the originating query message.

E. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 21.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraBalMvmntCxlRpt&gt;</i>	[1..1]		C3, C4, C19, C20	
	<b>Identification</b> <Id>	[0..1]			494
	<b>Identification</b> <Id>	[1..1]	Text		495
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	±		495
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		495
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		495
	<b>MessageRecipient</b> <MsgRcpt>	[0..1]	±		496
	<b>Pagination</b> <Pgntn>	[1..1]	±		496
	<b>ReportGeneralDetails</b> <RptGnlDtls>	[1..1]		C21	496
	<b>ReportNumber</b> <RptNb>	[0..1]	±		497
	<b>QueryReference</b> <QryRef>	[0..1]	Text		497
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		497
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		497
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		498
	<b>QueryType</b> <QryTp>	[0..1]	CodeSet		498
	<b>Frequency</b> <Frqcy>	[0..1]	±		498
	<b>UpdateType</b> <UpdTp>	[1..1]	±		498
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		499
	<b>ReportOrError</b> <RptOrErr>	[0..1]			499
{Or	<b>Cancellations</b> <Cxls>	[1..*]		C10, C11, C12, C18	501
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	503
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		504
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		504
	<b>ProcessingStatus</b> <PrpgSts>	[0..1]	±		505
	<b>Cancellation</b> <Cxl>	[1..*]			505
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	507
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		507

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		508
	<b>ProcessingStatus</b> <PrpgSts>	[0..1]	±		508
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		509
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		509
	<b>TransactionIdentification</b> <Txld>	[0..1]			509
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[0..1]	Text		509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		510
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		510
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		510
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		510
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	510
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		512
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		512
	<b>BalanceFrom</b> <BalFr>	[1..1]			512
	<b>Type</b> <Tp>	[1..1]			512
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		513
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		513
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			513
	<b>LotNumber</b> <LotNb>	[0..1]	±		513
	<b>LotAmount</b> <LotAmt>	[0..1]	±		514
	<b>LotQuantity</b> <LotQty>	[0..1]	±		514
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		514
	<b>BalanceTo</b> <BalTo>	[1..1]			515
	<b>Type</b> <Tp>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		515
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			516
	<b>LotNumber</b> <LotNb>	[0..1]	±		516
	<b>LotAmount</b> <LotAmt>	[0..1]	±		516
	<b>LotQuantity</b> <LotQty>	[0..1]	±		517
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		517

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		517
	<b>Priority</b> <Prty>	[0..1]	±		517
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		518
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			518
	<b>Error</b> <Err>	[1..1]			518
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		518
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		518
	<b>Description</b> <Desc>	[0..1]	Text		519
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C22	519

## 21.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 ActivityIndicatorNoRule

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then ReportOrError must be absent.

*This constraint is defined at the MessageDefinition level.*

### C4 ActivityIndicatorYesRule

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then ReportOrError must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 AdditionalDetailsRule

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.



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**C7 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C8 BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

**C9 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C10 CashAccountOwnerRule**

CashAccountOwner must be present or Cancellation(\*)/AccountOwner must be present, but not both.

**C11 CashAccountRule**

CashAccount must be present or Cancellation(\*)/CashAccount must be present, but not both.

**C12 CashAccountServicerRule**

CashAccountServicer must be present or Cancellation(\*)/AccountOwner must be present, but not both.

**C13 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C14 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C15 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C16 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C17 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C18 ProcessingStatusRule**

ProcessingStatus must be present or Cancellation(\*)/ProcessingStatus must be present, but not both.

### C19 QueryTypeFullRule

If ReportGeneralDetails/QueryType is equal to Full, then ReportOrError/Cancellations(\*)/Cancellation/TransactionIdentification and ReportOrError/Cancellations(\*)/Cancellation/UnderlyingIntraBalance must be present.

*This constraint is defined at the MessageDefinition level.*

### C20 QueryTypeStatusRule

If ReportGeneralDetails/QueryType is equal to Status, then ReportOrError/Cancellations(\*)/Cancellation/TransactionIdentification and ReportOrError/Cancellations(\*)/Cancellation/UnderlyingIntraBalance must be absent.

*This constraint is defined at the MessageDefinition level.*

### C21 ReportNumberRule

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### C22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 21.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 21.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		495
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		495
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		495
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		495
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		496

#### 21.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 21.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 21.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 21.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 21.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 21.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "[Pagination1](#)" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

### 21.4.3 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* [C21 "ReportNumberRule"](#)

**ReportGeneralDetails <RptGnIDtls>** contains the following **IntraBalanceReport5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		497
	<b>QueryReference</b> <QryRef>	[0..1]	Text		497
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		497
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		497
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		498
	<b>QueryType</b> <QryTp>	[0..1]	CodeSet		498
	<b>Frequency</b> <Frqcy>	[0..1]	±		498
	<b>UpdateType</b> <UpdTp>	[1..1]	±		498
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		499

## Constraints

### • ReportNumberRule

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### 21.4.3.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see "Number3Choice" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

### 21.4.3.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the query message sent to request this statement.

*Datatype:* "Max35Text" on page 2865

### 21.4.3.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* "Max35Text" on page 2865

### 21.4.3.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 21.4.3.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see ["Period7Choice"](#) on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

### 21.4.3.6 QueryType <QryTp>

*Presence:* [0..1]

*Definition:* Defines the type of query.

*Datatype:* ["MovementResponseType1Code"](#) on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

### 21.4.3.7 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see ["Frequency22Choice"](#) on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

### 21.4.3.8 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see ["UpdateType15Choice"](#) on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

---

### 21.4.3.9 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 21.4.4 ReportOrError <RptOrErr>

*Presence:* [0..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **IntraBalanceOrOperationalError10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Cancellations</b> <Cxl/s>	[1..*]		C10, C11, C12, C18	501
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	503
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		504
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		504
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		505
	<b>Cancellation</b> <Cxl>	[1..*]			505
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	507
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		507
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		508
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		508
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		509
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		509
	<b>TransactionIdentification</b> <TxId>	[0..1]			509
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		510
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		510
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		510
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		510
	<b>UnderlyingIntraBalance</b> <UndrlyglIntraBal>	[0..1]		C5, C8	510
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		512
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		512
	<b>BalanceFrom</b> <BalFr>	[1..1]			512
	<b>Type</b> <Tp>	[1..1]			512
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		513
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		513
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			513
	<b>LotNumber</b> <LotNb>	[0..1]	±		513



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotAmount</b> <LotAmt>	[0..1]	±		514
	<b>LotQuantity</b> <LotQty>	[0..1]	±		514
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		514
	<b>BalanceTo</b> <BalTo>	[1..1]			515
	<b>Type</b> <Tp>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		515
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			516
	<b>LotNumber</b> <LotNb>	[0..1]	±		516
	<b>LotAmount</b> <LotAmt>	[0..1]	±		516
	<b>LotQuantity</b> <LotQty>	[0..1]	±		517
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		517
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		517
	<b>Priority</b> <Prty>	[0..1]	±		517
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrpgAddtlDtls>	[0..1]	Text		518
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			518
	<b>Error</b> <Err>	[1..1]			518
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		518
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		518
	<b>Description</b> <Desc>	[0..1]	Text		519

#### 21.4.4.1 Cancellations <CxIs>

*Presence:* [1..\*]

*Definition:* Further details of the intrabalance cancellation transactions.

*Impacted by:* C10 "CashAccountOwnerRule", C11 "CashAccountRule", C12 "CashAccountServicerRule", C18 "ProcessingStatusRule"

**Cancellations <Cxl>** contains the following **IntraBalanceCancellation7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	503
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		504
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		504
	<b>ProcessingStatus</b> <PrpgSts>	[0..1]	±		505
	<b>Cancellation</b> <Cxl>	[1..*]			505
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	507
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		507
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		508
	<b>ProcessingStatus</b> <PrpgSts>	[0..1]	±		508
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		509
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		509
	<b>TransactionIdentification</b> <TxId>	[0..1]			509
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		510
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		510
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		510
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		510
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	510
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		512
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		512
	<b>BalanceFrom</b> <BalFr>	[1..1]			512
	<b>Type</b> <Tp>	[1..1]			512
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		513
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		513
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			513
	<b>LotNumber</b> <LotNb>	[0..1]	±		513
	<b>LotAmount</b> <LotAmt>	[0..1]	±		514
	<b>LotQuantity</b> <LotQty>	[0..1]	±		514
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		514

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceTo</b> <BalTo>	[1..1]			515
	<b>Type</b> <Tp>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		515
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			516
	<b>LotNumber</b> <LotNb>	[0..1]	±		516
	<b>LotAmount</b> <LotAmt>	[0..1]	±		516
	<b>LotQuantity</b> <LotQty>	[0..1]	±		517
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		517
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		517
	<b>Priority</b> <Prty>	[0..1]	±		517
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrpgAddtlDtls>	[0..1]	Text		518

#### Constraints

- **CashAccountOwnerRule**

CashAccountOwner must be present or Cancellation(\*)/AccountOwner must be present, but not both.

- **CashAccountRule**

CashAccount must be present or Cancellation(\*)/CashAccount must be present, but not both.

- **CashAccountServicerRule**

CashAccountServicer must be present or Cancellation(\*)/AccountOwner must be present, but not both.

- **ProcessingStatusRule**

ProcessingStatus must be present or Cancellation(\*)/ProcessingStatus must be present, but not both.

#### 21.4.4.1.1 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a cash entry is made.

*Impacted by:* C17 "IdentificationOrProxyPresenceRule", C16 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount40](#)" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
     /Identification Must be present  
 Or     /Proxy Must be present

#### 21.4.4.1.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 21.4.4.1.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 21.4.4.1.4 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus69Choice" on page 2778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PendingCancellation <PdgCxl>	[1..1]	±		2778
Or	Rejected <Rjctd>	[1..1]	±		2778
Or	Repair <Rpr>	[1..1]	±		2779
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2779
Or	Proprietary <Prtry>	[1..1]	±		2780
Or	Denied <Dnd>	[1..1]	±		2780
Or}	Cancelled <Canc>	[1..1]	±		2780

#### 21.4.4.1.5 Cancellation <Cxl>

*Presence:* [1..\*]

*Definition:* Further details of the individual intrabalance cancellation transaction.

**Cancellation <Cxl>** contains the following **IntraBalanceCancellation8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[0..1]	±	C17, C16	507
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		507
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		508
	<b>ProcessingStatus</b> <PrpgSts>	[0..1]	±		508
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		509
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		509
	<b>TransactionIdentification</b> <TxId>	[0..1]			509
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		510
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		510
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		510
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		510
	<b>UnderlyingIntraBalance</b> <UndrlygIntraBal>	[0..1]		C5, C8	510
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		512
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		512
	<b>BalanceFrom</b> <BalFr>	[1..1]			512
	<b>Type</b> <Tp>	[1..1]			512
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		513
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		513
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			513
	<b>LotNumber</b> <LotNb>	[0..1]	±		513
	<b>LotAmount</b> <LotAmt>	[0..1]	±		514
	<b>LotQuantity</b> <LotQty>	[0..1]	±		514
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		514
	<b>BalanceTo</b> <BalTo>	[1..1]			515
	<b>Type</b> <Tp>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		515
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			516

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		516
	<b>LotAmount</b> <LotAmt>	[0..1]	±		516
	<b>LotQuantity</b> <LotQty>	[0..1]	±		517
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		517
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		517
	<b>Priority</b> <Prty>	[0..1]	±		517
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrgAddtlDtls>	[0..1]	Text		518

#### 21.4.4.1.5.1 CashAccount <CshAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a cash entry is made.

*Impacted by:* [C17 "IdentificationOrProxyPresenceRule"](#), [C16 "IdentificationAndProxyGuideline"](#)

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount40](#)" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

#### 21.4.4.1.5.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 21.4.4.1.5.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 21.4.4.1.5.4 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.



**ProcessingStatus <PrpgSts>** contains one of the following elements (see "ProcessingStatus69Choice" on page 2778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PendingCancellation <PdgCxl>	[1..1]	±		2778
Or	Rejected <Rjctd>	[1..1]	±		2778
Or	Repair <Rpr>	[1..1]	±		2779
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2779
Or	Proprietary <Prtry>	[1..1]	±		2780
Or	Denied <Dnd>	[1..1]	±		2780
Or}	Cancelled <Canc>	[1..1]	±		2780

#### 21.4.4.1.5.5 RequestReference <ReqRef>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the cancellation request.

*Datatype:* "Max35Text" on page 2865

#### 21.4.4.1.5.6 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODateTime" on page 2858

#### 21.4.4.1.5.7 TransactionIdentification <Txld>

*Presence:* [0..1]

*Definition:* References of the transaction for which the intra-balance modification is requested.

**TransactionIdentification <Txld>** contains the following **References14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[0..1]	Text		509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		510
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		510
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		510
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		510

#### 21.4.4.1.5.7.1 AccountOwnerTransactionIdentification <AcctOwnrTxld>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

---

*Datatype:* "Max35Text" on page 2865

#### **21.4.4.1.5.7.2 AccountServicerTransactionIdentification <AcctSvcrTxId>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### **21.4.4.1.5.7.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **21.4.4.1.5.7.4 ProcessorTransactionIdentification <PrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### **21.4.4.1.5.7.5 PoolIdentification <PoolId>**

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### **21.4.4.1.5.8 UnderlyingIntraBalance <UndrlygIntraBal>**

*Presence:* [0..1]

*Definition:* Identifies additional details of the transaction.

*Impacted by:* C5 "AdditionalDetailsRule", C8 "BalanceFromToRule"

**UnderlyingIntraBalance <UndrlygIntraBal>** contains the following **IntraBalance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		512
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		512
	<b>BalanceFrom</b> <BalFr>	[1..1]			512
	<b>Type</b> <Tp>	[1..1]			512
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		513
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		513
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			513
	<b>LotNumber</b> <LotNb>	[0..1]	±		513
	<b>LotAmount</b> <LotAmt>	[0..1]	±		514
	<b>LotQuantity</b> <LotQty>	[0..1]	±		514
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		514
	<b>BalanceTo</b> <BalTo>	[1..1]			515
	<b>Type</b> <Tp>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		515
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			516
	<b>LotNumber</b> <LotNb>	[0..1]	±		516
	<b>LotAmount</b> <LotAmt>	[0..1]	±		516
	<b>LotQuantity</b> <LotQty>	[0..1]	±		517
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		517
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		517
	<b>Priority</b> <Prty>	[0..1]	±		517
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		518

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the sender and the receiver, if InstructionProcessingAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

#### 21.4.4.1.5.8.1 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Total amount of money to be settled.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see "[Amount2Choice](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 21.4.4.1.5.8.2 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 21.4.4.1.5.8.3 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.

**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			512
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		513
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		513
	<b>QuantityBreakdown &lt;QtyBrkdwn&gt;</b>	[0..*]			513
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		513
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		514
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		514
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		514

#### 21.4.4.1.5.8.3.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		513
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		513

#### 21.4.4.1.5.8.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

#### 21.4.4.1.5.8.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 21.4.4.1.5.8.3.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		513
	<b>LotAmount</b> <LotAmt>	[0..1]	±		514
	<b>LotQuantity</b> <LotQty>	[0..1]	±		514
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		514

#### 21.4.4.1.5.8.3.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 21.4.4.1.5.8.3.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 21.4.4.1.5.8.3.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 21.4.4.1.5.8.3.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 21.4.4.1.5.8.4 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			515
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		515
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		515
	<b>QuantityBreakdown &lt;QtyBrkdw&gt;</b>	[0..*]			516
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		516
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		516
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		517
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		517

##### 21.4.4.1.5.8.4.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		515
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		515

##### 21.4.4.1.5.8.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 21.4.4.1.5.8.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 21.4.4.1.5.8.4.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		516
	<b>LotAmount</b> <LotAmt>	[0..1]	±		516
	<b>LotQuantity</b> <LotQty>	[0..1]	±		517
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		517

##### 21.4.4.1.5.8.4.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 21.4.4.1.5.8.4.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595



#### 21.4.4.1.5.8.4.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 21.4.4.1.5.8.4.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 21.4.4.1.5.8.5 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 21.4.4.1.5.8.6 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 21.4.4.1.5.8.7 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

#### 21.4.4.2 OperationalError <OprlErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OprlErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			518
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		518
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		518
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		519

##### 21.4.4.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		518
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		518

##### 21.4.4.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandlerling1Code" on page 2820

##### 21.4.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 21.4.4.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 21.4.5 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C22 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 22 camt.084.001.02 IntraBalanceMovementPostingReportV02

## 22.1 MessageDefinition Functionality

This IntraBalanceMovementPostingReport message is sent from a settlement infrastructure to an account owner/requestor to report the intra-balance movement instructions, previously sent by the account owner, that have a settled status.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

### Outline

The IntraBalanceMovementPostingReportV02 MessageDefinition is composed of 7 MessageBuildingBlocks:

- A. Identification  
Unambiguous identification of the message as known by the account servicer or settlement infrastructure.
- B. Pagination  
Pagination of the message.
- C. ReportGeneralDetails  
General characteristics related to the report information.
- D. CashAccount  
Account to or from which a cash entry is made.
- E. CashAccountOwner  
Party that owns the account.
- F. CashAccountServicer  
Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.
- G. SubBalance  
Identifies the transactions.

## 22.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraBalMvmntPstngRpt>	[1..1]		C3, C4	
	Identification <Id>	[0..1]			525
	Identification <Id>	[1..1]	Text		525
	CreationDateTime <CreDtTm>	[0..1]	±		525
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		525
	MessageOriginator <MsgOrgtr>	[0..1]	±		526
	MessageRecipient <MsgRcpt>	[0..1]	±		526
	Pagination <Pgntn>	[1..1]	±		526
	ReportGeneralDetails <RptGnlDtls>	[1..1]		C13	527
	ReportNumber <RptNb>	[0..1]	±		527
	QueryReference <QryRef>	[0..1]	Text		527
	ReportIdentification <RptId>	[0..1]	Text		528
	ReportDateTime <RptDtTm>	[0..1]	±		528
	ReportPeriod <RptPrd>	[0..1]	±		528
	Frequency <Frqcy>	[0..1]	±		528
	UpdateType <UpdTp>	[1..1]	±		528
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		529
	CashAccount <CshAcct>	[1..1]	±	C11, C10	529
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		530
	CashAccountServicer <CshAcctSvcr>	[0..1]	±		530
	SubBalance <SubBal>	[0..*]			530
	BalanceFrom <BalFr>	[1..1]			532
	Type <Tp>	[1..1]			533
{Or	Code <Cd>	[1..1]	CodeSet		533
Or}	Proprietary <Prtry>	[1..1]	±		533
	QuantityBreakdown <QtyBrkdown>	[0..*]			534
	LotNumber <LotNb>	[0..1]	±		534
	LotAmount <LotAmt>	[0..1]	±		534
	LotQuantity <LotQty>	[0..1]	±		534

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		535
	<b>Movement</b> <Mvmnt>	[1..*]		C12	535
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		537
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		537
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		537
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		537
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		538
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		538
	<b>BalanceTo</b> <BalTo>	[1..1]			538
	<b>Type</b> <Tp>	[1..1]			538
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		539
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		539
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			539
	<b>LotNumber</b> <LotNb>	[0..1]	±		539
	<b>LotAmount</b> <LotAmt>	[0..1]	±		540
	<b>LotQuantity</b> <LotQty>	[0..1]	±		540
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		540
	<b>SettledAmount</b> <SttldAmt>	[1..1]	±		540
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		541
	<b>RemainingSettlementAmount</b> <RmngSttldAmt>	[0..1]	±		541
	<b>EffectiveSettlementDate</b> <FctvSttldDt>	[1..1]	±		541
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		541
	<b>CashSubBalanceIdentification</b> <CshSubBalld>	[0..1]	±		542
	<b>Linkages</b> <Lnkgs>	[0..*]			542
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	542
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	543
	<b>Reference</b> <Ref>	[1..1]			543
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttldTxld>	[1..1]	Text		544
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		544
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		544

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		544
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		544
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		545
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		545
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		545
	<b>Priority</b> <Prty>	[0..1]	±		545
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		545
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		546
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccAddtlDtls>	[0..1]	Text		546
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	546

## 22.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 ActivityIndicatorNoRule

If ActivityIndicator is equal to No (false or 0) then SubBalances must be absent.

*This constraint is defined at the MessageDefinition level.*

### C4 ActivityIndicatorYesRule

If ActivityIndicator is equal to Yes (true or 1) then SubBalances must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

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**C6 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C7 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C8 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C9 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C10 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C11 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C12 NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

**C13 ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

**C14 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

**C15 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

**C16 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can



not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 22.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 22.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		525
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		525
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		525
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		526
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		526

#### 22.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

#### 22.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 22.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 22.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 22.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 22.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "[Pagination1](#)" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 22.4.3 ReportGeneralDetails <RptGnDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C13 "ReportNumberRule"

**ReportGeneralDetails <RptGnDtls>** contains the following **IntraBalanceReport6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		527
	<b>QueryReference</b> <QryRef>	[0..1]	Text		527
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		528
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		528
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		528
	<b>Frequency</b> <Frqcy>	[0..1]	±		528
	<b>UpdateType</b> <UpdTp>	[1..1]	±		528
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		529

### Constraints

- **ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### 22.4.3.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see "Number3Choice" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

### 22.4.3.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the query message sent to request this statement.

*Datatype:* "Max35Text" on page 2865

### 22.4.3.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* "Max35Text" on page 2865

### 22.4.3.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 22.4.3.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see "Period7Choice" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

### 22.4.3.6 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see "Frequency22Choice" on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

### 22.4.3.7 UpdateType <UpdTp>

*Presence:* [1..1]

**Definition:** Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see "UpdateType15Choice" on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

#### 22.4.3.8 ActivityIndicator <ActvtyInd>

**Presence:** [1..1]

**Definition:** Indicates whether there is activity or information update reported in the statement.

**Datatype:** One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 22.4.4 CashAccount <CshAcct>

**Presence:** [1..1]

**Definition:** Account to or from which a cash entry is made.

**Impacted by:** C11 "IdentificationOrProxyPresenceRule", C10 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True

/Identification Must be present

Or /Proxy Must be present

## 22.4.5 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 22.4.6 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 22.4.7 SubBalance <SubBal>

*Presence:* [0..\*]

*Definition:* Identifies the transactions.

**SubBalance <SubBal>** contains the following **IntraBalancePosting5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceFrom</b> <BalFr>	[1..1]			532
	<b>Type</b> <Tp>	[1..1]			533
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		533
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		533
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			534
	<b>LotNumber</b> <LotNb>	[0..1]	±		534
	<b>LotAmount</b> <LotAmt>	[0..1]	±		534
	<b>LotQuantity</b> <LotQty>	[0..1]	±		534
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		535
	<b>Movement</b> <Mvmnt>	[1..*]		C12	535
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		537
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		537
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		537
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		537
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		538
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		538
	<b>BalanceTo</b> <BalTo>	[1..1]			538
	<b>Type</b> <Tp>	[1..1]			538
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		539
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		539
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			539
	<b>LotNumber</b> <LotNb>	[0..1]	±		539
	<b>LotAmount</b> <LotAmt>	[0..1]	±		540
	<b>LotQuantity</b> <LotQty>	[0..1]	±		540
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		540
	<b>SettledAmount</b> <SttldAmt>	[1..1]	±		540
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		541
	<b>RemainingSettlementAmount</b> <RmngSttlmAmt>	[0..1]	±		541
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[1..1]	±		541

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		541
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		542
	<b>Linkages</b> <Lnks>	[0..*]			542
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	542
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	543
	<b>Reference</b> <Ref>	[1..1]			543
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		544
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		544
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		544
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		544
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		544
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		545
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		545
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		545
	<b>Priority</b> <Prty>	[0..1]	±		545
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		545
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		546
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		546
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	546

#### 22.4.7.1 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.



**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			533
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		533
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		533
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			534
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		534
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		534
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		534
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		535

#### 22.4.7.1.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		533
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		533

##### 22.4.7.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 22.4.7.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 22.4.7.1.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		534
	<b>LotAmount</b> <LotAmt>	[0..1]	±		534
	<b>LotQuantity</b> <LotQty>	[0..1]	±		534
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		535

### 22.4.7.1.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

### 22.4.7.1.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

### 22.4.7.1.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see  
"FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 22.4.7.1.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see  
"GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 22.4.7.2 Movement <Mvmnt>

*Presence:* [1..\*]

*Definition:* Further details on the individual intrabalance movement transaction.

*Impacted by:* C12 "NoAccountOwnerTransactionIdentificationRule"

**Movement <Mvmnt>** contains the following **IntraBalancePosting6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		537
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		537
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		537
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		537
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		538
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		538
	<b>BalanceTo</b> <BalTo>	[1..1]			538
	<b>Type</b> <Tp>	[1..1]			538
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		539
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		539
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			539
	<b>LotNumber</b> <LotNb>	[0..1]	±		539
	<b>LotAmount</b> <LotAmt>	[0..1]	±		540
	<b>LotQuantity</b> <LotQty>	[0..1]	±		540
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		540
	<b>SettledAmount</b> <SttldAmt>	[1..1]	±		540
	<b>PreviouslySettledAmount</b> <PrevsllySttldAmt>	[0..1]	±		541
	<b>RemainingSettlementAmount</b> <RmngSttldAmt>	[0..1]	±		541
	<b>EffectiveSettlementDate</b> <FctvSttldDt>	[1..1]	±		541
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		541
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		542
	<b>Linkages</b> <Lnkgs>	[0..*]			542
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	542
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	543
	<b>Reference</b> <Ref>	[1..1]			543
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttldTxld>	[1..1]	Text		544
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		544
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		544

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		544
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		544
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		545
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		545
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		545
	<b>Priority</b> <Prty>	[0..1]	±		545
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		545
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		546
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccgAddtlDtls>	[0..1]	Text		546
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	546

#### Constraints

- **NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

#### 22.4.7.2.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.4 ProcessorTransactionIdentification <PrcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.5 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.6 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.7 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			538
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		539
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		539
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			539
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		539
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		540
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		540
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		540

##### 22.4.7.2.7.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		539
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		539

#### 22.4.7.2.7.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

#### 22.4.7.2.7.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 22.4.7.2.7.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		539
	<b>LotAmount</b> <LotAmt>	[0..1]	±		540
	<b>LotQuantity</b> <LotQty>	[0..1]	±		540
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		540

##### 22.4.7.2.7.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 22.4.7.2.7.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 22.4.7.2.7.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 22.4.7.2.7.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 22.4.7.2.8 SettledAmount <SttldAmt>

*Presence:* [1..1]

*Definition:* Amount of money effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount based on market tolerance level.



**SettledAmount <SttldAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 22.4.7.2.9 PreviouslySettledAmount <PrevsllySttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money previously settled.

**PreviouslySettledAmount <PrevsllySttldAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 22.4.7.2.10 RemainingSettlementAmount <RmngSttldAmt>

*Presence:* [0..1]

*Definition:* Amount of money remaining to be settled.

**RemainingSettlementAmount <RmngSttldAmt>** contains one of the following elements (see "Amount2Choice" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 22.4.7.2.11 EffectiveSettlementDate <FctvSttldDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is moved.

**EffectiveSettlementDate <FctvSttldDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 22.4.7.2.12 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODatetime" on page 2858

#### 22.4.7.2.13 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 22.4.7.2.14 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Link to another transaction that must be processed after, before or at the same time.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C16	542
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C14	543
	<b>Reference</b> <Ref>	[1..1]			543
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		544
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		544
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		544
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		544
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		544
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		545
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		545
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		545

##### 22.4.7.2.14.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C16 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 22.4.7.2.14.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C14 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 22.4.7.2.14.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		544
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		544
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		544
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		544
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		544
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		545
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		545

#### 22.4.7.2.14.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.3.6 PoolIdIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 22.4.7.2.14.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

#### 22.4.7.2.15 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 22.4.7.2.16 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 22.4.7.2.17 CreationDateTime <CreDtTm>

*Presence:* [1..1]

*Definition:* Specifies the date/time on which the trade was executed.

*Datatype:* "ISODatetime" on page 2858

#### 22.4.7.2.18 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

#### 22.4.7.2.19 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C15 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **23 camt.085.001.02 IntraBalanceMovementPendingReportV02**

### **23.1 MessageDefinition Functionality**

This IntraBalanceMovementPendingReport message is sent from a settlement infrastructure to an account owner/request to report the intra-balance movement instructions, previously sent by the account owner, that have a pending status.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

#### **Outline**

The IntraBalanceMovementPendingReportV02 MessageDefinition is composed of 7 MessageBuildingBlocks:

#### **A. Identification**

Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

#### **B. Pagination**

Pagination of the message.

#### **C. ReportGeneralDetails**

General characteristics related to the report information.

#### **D. CashAccount**

Account to or from which a cash entry is made.

#### **E. CashAccountOwner**

Party that owns the account.

#### **F. CashAccountServicer**

Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

#### **G. Movements**

Further details on the intrabalance movement transactions.

## 23.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraBalMvmntPdgRpt>	[1..1]		C3, C4	
	Identification <Id>	[0..1]			552
	Identification <Id>	[1..1]	Text		552
	CreationDateTime <CreDtTm>	[0..1]	±		552
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		553
	MessageOriginator <MsgOrgtr>	[0..1]	±		553
	MessageRecipient <MsgRcpt>	[0..1]	±		553
	Pagination <Pgntn>	[1..1]	±		553
	ReportGeneralDetails <RptGnlDtls>	[1..1]		C15	554
	ReportNumber <RptNb>	[0..1]	±		554
	QueryReference <QryRef>	[0..1]	Text		555
	ReportIdentification <RptId>	[0..1]	Text		555
	ReportDateTime <RptDtTm>	[0..1]	±		555
	ReportPeriod <RptPrd>	[0..1]	±		555
	Frequency <Frqcy>	[0..1]	±		555
	UpdateType <UpdTp>	[1..1]	±		556
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		556
	CashAccount <CshAcct>	[1..1]	±	C12, C11	556
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		557
	CashAccountServicer <CshAcctSvcr>	[0..1]	±		557
	Movements <Mvmnts>	[0..*]		C17	558
	StatusAndReason <StsAndRsn>	[0..1]	±		560
	Movement <Mvmnt>	[1..*]		C13	561
	StatusAndReason <StsAndRsn>	[0..1]	±		563
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[1..1]	Text		564
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		564
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		564
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		564
	PoolIdentification <PoolId>	[0..1]	Text		564



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		564
	<b>BalanceFrom</b> <BalFr>	[1..1]			564
	<b>Type</b> <Tp>	[1..1]			565
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		565
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		565
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			566
	<b>LotNumber</b> <LotNb>	[0..1]	±		566
	<b>LotAmount</b> <LotAmt>	[0..1]	±		566
	<b>LotQuantity</b> <LotQty>	[0..1]	±		566
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		567
	<b>BalanceTo</b> <BalTo>	[1..1]			567
	<b>Type</b> <Tp>	[1..1]			567
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		568
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		568
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			568
	<b>LotNumber</b> <LotNb>	[0..1]	±		568
	<b>LotAmount</b> <LotAmt>	[0..1]	±		569
	<b>LotQuantity</b> <LotQty>	[0..1]	±		569
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		569
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		570
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		570
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		570
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		570
	<b>Linkages</b> <Lnkgs>	[0..*]			570
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C19	571
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C16	571
	<b>Reference</b> <Ref>	[1..1]			572
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		572
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		572
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		573
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		573

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		573
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		573
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		573
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		573
	<b>Priority</b> <Prty>	[0..1]	±		574
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		574
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		574
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		574
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C18	574

## 23.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 ActivityIndicatorNoRule

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then Movements must be absent.

*This constraint is defined at the MessageDefinition level.*

### C4 ActivityIndicatorYesRule

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then Movements must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

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**C7 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C8 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C9 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C10 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C11 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C12 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C13 NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

**C14 PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

**C15 ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

**C16 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

**C17 StatusAndReasonRule**

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

## C18 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## C19 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

# 23.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 23.4.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the message as known by the account servicer or settlement infrastructure.

**Identification <Id>** contains the following **DocumentIdentification51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		552
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	±		552
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		553
	<b>MessageOriginator &lt;MsgOrgtr&gt;</b>	[0..1]	±		553
	<b>MessageRecipient &lt;MsgRcpt&gt;</b>	[0..1]	±		553

### 23.4.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned by the sender of the document.

*Datatype:* "Max35Text" on page 2865

### 23.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the document (message) was created by the sender.

**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 23.4.1.3 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Datatype:* "CopyDuplicate1Code" on page 2805

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 23.4.1.4 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 23.4.1.5 MessageRecipient <MsgRcpt>

*Presence:* [0..1]

*Definition:* Party that is the final destination of the message, if other than the receiver.

**MessageRecipient <MsgRcpt>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 23.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 23.4.3 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C15 "ReportNumberRule"

**ReportGeneralDetails <RptGnIDtls>** contains the following **IntraBalanceReport6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		554
	<b>QueryReference</b> <QryRef>	[0..1]	Text		555
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		555
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		555
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		555
	<b>Frequency</b> <Frqcy>	[0..1]	±		555
	<b>UpdateType</b> <UpdTp>	[1..1]	±		556
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		556

### Constraints

- **ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### 23.4.3.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see "Number3Choice" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

#### 23.4.3.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the query message sent to request this statement.

*Datatype:* "Max35Text" on page 2865

#### 23.4.3.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* "Max35Text" on page 2865

#### 23.4.3.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 23.4.3.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see "Period7Choice" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

#### 23.4.3.6 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see "Frequency22Choice" on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

### 23.4.3.7 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see "UpdateType15Choice" on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

### 23.4.3.8 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 23.4.4 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a cash entry is made.

*Impacted by:* C12 "IdentificationOrProxyPresenceRule", C11 "IdentificationAndProxyGuideline"

**CashAccount <CshAcct>** contains the following elements (see "CashAccount40" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590



## Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
     /Identification Must be present  
 Or     /Proxy Must be present

## 23.4.5 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 23.4.6 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the cash account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

## 23.4.7 Movements <Mvmnts>

*Presence:* [0..\*]

*Definition:* Further details on the intrabalance movement transactions.

*Impacted by:* C17 "StatusAndReasonRule"

**Movements <Mvmnts>** contains the following **IntraBalancePending5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]	±		560
	<b>Movement</b> <Mvmnt>	[1..*]		C13	561
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]	±		563
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		564
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		564
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		564
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		564
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		564
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		564
	<b>BalanceFrom</b> <BalFr>	[1..1]			564
	<b>Type</b> <Tp>	[1..1]			565
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		565
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		565
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			566
	<b>LotNumber</b> <LotNb>	[0..1]	±		566
	<b>LotAmount</b> <LotAmt>	[0..1]	±		566
	<b>LotQuantity</b> <LotQty>	[0..1]	±		566
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		567
	<b>BalanceTo</b> <BalTo>	[1..1]			567
	<b>Type</b> <Tp>	[1..1]			567
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		568
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		568
	<b>QuantityBreakdown</b> <QtyBrkdwn>	[0..*]			568
	<b>LotNumber</b> <LotNb>	[0..1]	±		568
	<b>LotAmount</b> <LotAmt>	[0..1]	±		569
	<b>LotQuantity</b> <LotQty>	[0..1]	±		569
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		569
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		570
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		570

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		570
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		570
	<b>Linkages</b> <Lnks>	[0..*]			570
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C19	571
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C16	571
	<b>Reference</b> <Ref>	[1..1]			572
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesStlmTxId>	[1..1]	Text		572
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		572
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		573
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		573
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		573
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		573
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		573
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		573
	<b>Priority</b> <Prty>	[0..1]	±		574
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		574
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		574
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		574
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C18	574

#### Constraints

- **StatusAndReasonRule**

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

#### 23.4.7.1 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following elements (see ["PendingStatusAndReason2"](#) on page 2739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProcessingStatus <PrcgSts>	[0..*]	±		2739
	SettlementStatus <SttlmSts>	[0..*]	±	C14	2739

### 23.4.7.2 Movement <Mvmnt>

*Presence:* [1..\*]

*Definition:* Further details on the individual intrabalance movement transaction.

*Impacted by:* [C13 "NoAccountOwnerTransactionIdentificationRule"](#)

**Movement <Mvmnt>** contains the following **IntraBalancePending6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]	±		563
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		564
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		564
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		564
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		564
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		564
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		564
	<b>BalanceFrom</b> <BalFr>	[1..1]			564
	<b>Type</b> <Tp>	[1..1]			565
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		565
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		565
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			566
	<b>LotNumber</b> <LotNb>	[0..1]	±		566
	<b>LotAmount</b> <LotAmt>	[0..1]	±		566
	<b>LotQuantity</b> <LotQty>	[0..1]	±		566
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		567
	<b>BalanceTo</b> <BalTo>	[1..1]			567
	<b>Type</b> <Tp>	[1..1]			567
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		568
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		568
	<b>QuantityBreakdown</b> <QtyBrkdown>	[0..*]			568
	<b>LotNumber</b> <LotNb>	[0..1]	±		568
	<b>LotAmount</b> <LotAmt>	[0..1]	±		569
	<b>LotQuantity</b> <LotQty>	[0..1]	±		569
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		569
	<b>SettlementAmount</b> <SttlmAmt>	[1..1]	±		570
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		570
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		570
	<b>CashSubBalanceIdentification</b> <CshSubBalId>	[0..1]	±		570

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Linkages</b> <Lnkgs>	[0..*]			570
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C19	571
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C16	571
	<b>Reference</b> <Ref>	[1..1]			572
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScetiesSttlmTxId>	[1..1]	Text		572
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		572
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		573
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		573
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		573
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		573
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		573
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		573
	<b>Priority</b> <Prty>	[0..1]	±		574
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		574
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		574
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		574
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C18	574

#### Constraints

- **NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

#### 23.4.7.2.1 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following elements (see "[PendingStatusAndReason2](#)" on page 2739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2739
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C14	2739

---

#### **23.4.7.2.2 AccountOwnerTransactionIdentification <AcctOwnrTxId>**

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### **23.4.7.2.3 AccountServicerTransactionIdentification <AcctSvcrTxId>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### **23.4.7.2.4 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **23.4.7.2.5 ProcessorTransactionIdentification <PrcrTxId>**

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### **23.4.7.2.6 PoolIdentification <PoolId>**

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### **23.4.7.2.7 CorporateActionEventIdentification <CorpActnEvtId>**

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

#### **23.4.7.2.8 BalanceFrom <BalFr>**

*Presence:* [1..1]

*Definition:* Balance from which the amount of money is moved.



**BalanceFrom <BalFr>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			565
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		565
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		565
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			566
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		566
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		566
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		566
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		567

#### 23.4.7.2.8.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		565
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		565

##### 23.4.7.2.8.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a coded form.

*Datatype:* "ExternalBalanceType1Code" on page 2815

##### 23.4.7.2.8.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 23.4.7.2.8.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		566
	<b>LotAmount</b> <LotAmt>	[0..1]	±		566
	<b>LotQuantity</b> <LotQty>	[0..1]	±		566
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		567

##### 23.4.7.2.8.2.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 23.4.7.2.8.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

##### 23.4.7.2.8.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 23.4.7.2.8.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 23.4.7.2.9 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the amount of money is moved.

**BalanceTo <BalTo>** contains the following **CashSubBalanceTypeAndQuantityBreakdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]			567
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		568
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		568
	<b>QuantityBreakdown &lt;QtyBrkdown&gt;</b>	[0..*]			568
	<b>LotNumber &lt;LotNb&gt;</b>	[0..1]	±		568
	<b>LotAmount &lt;LotAmt&gt;</b>	[0..1]	±		569
	<b>LotQuantity &lt;LotQty&gt;</b>	[0..1]	±		569
	<b>CashSubBalanceType &lt;CshSubBalTp&gt;</b>	[0..1]	±		569

##### 23.4.7.2.9.1 Type <Tp>

*Presence:* [1..1]

**Definition:** Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following **CashBalanceType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		568
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		568

#### 23.4.7.2.9.1.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a coded form.

**Datatype:** "ExternalBalanceType1Code" on page 2815

#### 23.4.7.2.9.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Cash balance expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 23.4.7.2.9.2 QuantityBreakdown <QtyBrkdwn>

**Presence:** [0..\*]

**Definition:** Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following **AmountAndQuantityBreakdown1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotNumber</b> <LotNb>	[0..1]	±		568
	<b>LotAmount</b> <LotAmt>	[0..1]	±		569
	<b>LotQuantity</b> <LotQty>	[0..1]	±		569
	<b>CashSubBalanceType</b> <CshSubBalTp>	[0..1]	±		569

#### 23.4.7.2.9.2.1 LotNumber <LotNb>

**Presence:** [0..1]

**Definition:** Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "[GenericIdentification37](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 23.4.7.2.9.2.2 LotAmount <LotAmt>

*Presence:* [0..1]

*Definition:* Amount of money that is part of the lot described.

**LotAmount <LotAmt>** contains the following elements (see "[AmountAndDirection5](#)" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 23.4.7.2.9.2.3 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 23.4.7.2.9.2.4 CashSubBalanceType <CshSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**CashSubBalanceType <CshSubBalTp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 23.4.7.2.10 SettlementAmount <SttlmAmt>

*Presence:* [1..1]

*Definition:* Amount of money effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount based on market tolerance level.

**SettlementAmount <SttlmAmt>** contains one of the following elements (see ["Amount2Choice"](#) on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 23.4.7.2.11 IntendedSettlementDate <IntnddSttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the amount of money is intended to be moved.

**IntendedSettlementDate <IntnddSttlmDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 23.4.7.2.12 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* ["ISODatetime"](#) on page 2858

#### 23.4.7.2.13 CashSubBalanceIdentification <CshSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**CashSubBalanceIdentification <CshSubBalId>** contains the following elements (see ["GenericIdentification37"](#) on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 23.4.7.2.14 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Link to another transaction that must be processed after, before or at the same time.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C19	571
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C16	571
	<b>Reference</b> <Ref>	[1..1]			572
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		572
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		572
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		573
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		573
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfstrctrTxId>	[1..1]	Text		573
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		573
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		573
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		573

#### 23.4.7.2.14.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C19 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2672
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2673

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 23.4.7.2.14.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C16 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 23.4.7.2.14.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		572
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		572
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		573
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		573
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		573
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		573
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		573

##### 23.4.7.2.14.3.1 SecuritiesSettlementTransactionIdentification <SciesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

##### 23.4.7.2.14.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]



*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 23.4.7.2.14.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 23.4.7.2.14.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 23.4.7.2.14.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 23.4.7.2.14.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 23.4.7.2.14.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 23.4.7.2.14.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

### 23.4.7.2.15 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "[PriorityNumeric4Choice](#)" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

### 23.4.7.2.16 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 23.4.7.2.17 CreationDateTime <CreDtTm>

*Presence:* [1..1]

*Definition:* Specifies the date/time on which the trade was executed.

*Datatype:* "[ISODatetime](#)" on page 2858

### 23.4.7.2.18 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "[Max350Text](#)" on page 2865

### 23.4.7.2.19 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C18 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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## **24      colr.001.001.02 CollateralValueQueryV02**

### **24.1    MessageDefinition Functionality**

The CollateralValueQuery message is sent by a system member (such as a directly connected party) to the system transaction administrator to query the current available value of securities for auto collateralisation for one specific or several cash accounts.

#### Outline

The CollateralValueQueryV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Set of elements to identify the collateral value query message.

B. CollateralValueQueryDefinition

Definition of the collateral query.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 24.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <CollValQry>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[1..1]	±		578
	<b>CollateralValueQueryDefinition</b> <CollValQryDef>	[0..1]			579
{Or	<b>QueryName</b> <QryNm>	[1..1]	Text		580
Or}	<b>NewCriteria</b> <NewCrit>	[1..1]			580
	<b>QueryName</b> <QryNm>	[0..1]	Text		581
	<b>SearchCriteria</b> <SchCrit>	[0..1]		C4	581
	<b>CashAccountIdentification</b> <CshAcctId>	[0..1]	±		582
	<b>Currency</b> <Ccy>	[0..*]	CodeSet	C1	582
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		583
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		583
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C9, C10, C11	583
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		584
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		585
	<b>ReturnCriteria</b> <RtrCrit>	[0..1]			585
	<b>CashAccountOwnerIndicator</b> <CshAcctOwnrInd>	[0..1]	Indicator		585
	<b>CashAccountServicerIndicator</b> <CshAcctSvcrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountOwnerIndicator</b> <SctiesAcctOwnrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountServicerIndicator</b> <SctiesAcctSvcrInd>	[0..1]	Indicator		586
	<b>TotalCollateralValuationIndicator</b> <TtlCollValtnInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountIndicator</b> <SctiesAcctInd>	[0..1]	Indicator		586
	<b>Securities</b> <Scties>	[0..1]	Indicator		586
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C12	587

## 24.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

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**C2 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C3 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C4 CashAccountIdentificationGuideline**

If the account identification identifies a group of accounts with different types or in different currencies, the currency and/or the account type can be used as additional search criteria.

**C5 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C6 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C7 DescriptionUsageRule**

Description must be used alone as the last resort.

**C8 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C9 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C10 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C11 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C12 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 24.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 24.4.1 MessageHeader <MsgHdr>

*Presence:* [1..1]

*Definition:* Set of elements to identify the collateral value query message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader3" on page 2653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2654
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2654
	RequestType <ReqTp>	[0..1]			2654
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2654
Or	Enquiry <Enqry>	[1..1]	CodeSet		2654
Or}	Proprietary <Prtry>	[1..1]	±		2655
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2655
	QueryName <QryNm>	[0..1]	Text		2655

## 24.4.2 CollateralValueQueryDefinition <CollValQryDef>

*Presence:* [0..1]

*Definition:* Definition of the collateral query.

**CollateralValueQueryDefinition <CollValQryDef>** contains one of the following **CollateralValueCriteriaDefinition4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>QueryName</b> <QryNm>	[1..1]	Text		580
Or}	<b>NewCriteria</b> <NewCrit>	[1..1]			580
	<b>QueryName</b> <QryNm>	[0..1]	Text		581
	<b>SearchCriteria</b> <SchCrit>	[0..1]		C4	581
	<b>CashAccountIdentification</b> <CshAcctId>	[0..1]	±		582
	<b>Currency</b> <Ccy>	[0..*]	CodeSet	C1	582
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		583
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		583
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C9, C10, C11	583
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		584
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		585
	<b>ReturnCriteria</b> <RtrCrit>	[0..1]			585
	<b>CashAccountOwnerIndicator</b> <CshAcctOwnrInd>	[0..1]	Indicator		585
	<b>CashAccountServicerIndicator</b> <CshAcctSvcrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountOwnerIndicator</b> <SctiesAcctOwnrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountServicerIndicator</b> <SctiesAcctSvcrInd>	[0..1]	Indicator		586
	<b>TotalCollateralValuationIndicator</b> <TtlCollValtnInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountIndicator</b> <SctiesAcctInd>	[0..1]	Indicator		586
	<b>Securities</b> <Scties>	[0..1]	Indicator		586

#### 24.4.2.1 QueryName <QryNm>

*Presence:* [1..1]

*Definition:* Recalls the criteria (search and return criteria) defined in a preceding query.

*Datatype:* "Max35Text" on page 2865

#### 24.4.2.2 NewCriteria <NewCrit>

*Presence:* [1..1]

*Definition:* Explicitly defines the query criteria.



**NewCriteria <NewCrit>** contains the following **CollateralValueCriteria4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryName</b> <QryNm>	[0..1]	Text		581
	<b>SearchCriteria</b> <SchCrit>	[0..1]		C4	581
	<b>CashAccountIdentification</b> <CshAcctId>	[0..1]	±		582
	<b>Currency</b> <Ccy>	[0..*]	CodeSet	C1	582
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		583
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		583
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C9, C10, C11	583
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		584
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		585
	<b>ReturnCriteria</b> <RtrCrit>	[0..1]			585
	<b>CashAccountOwnerIndicator</b> <CshAcctOwnrInd>	[0..1]	Indicator		585
	<b>CashAccountServicerIndicator</b> <CshAcctSvcrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountOwnerIndicator</b> <SctiesAcctOwnrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountServicerIndicator</b> <SctiesAcctSvcrInd>	[0..1]	Indicator		586
	<b>TotalCollateralValuationIndicator</b> <TtlCollValtnInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountIndicator</b> <SctiesAcctInd>	[0..1]	Indicator		586
	<b>Securities</b> <Scties>	[0..1]	Indicator		586

#### 24.4.2.2.1 QueryName <QryNm>

*Presence:* [0..1]

*Definition:* Name of the query defined by the search criteria and return criteria.

*Datatype:* "Max35Text" on page 2865

#### 24.4.2.2.2 SearchCriteria <SchCrit>

*Presence:* [0..1]

*Definition:* Defines the criteria to be used to extract the account information.

*Impacted by:* C4 "CashAccountIdentificationGuideline"

**SearchCriteria <SchCrit>** contains the following **CollateralValueSearchCriteria4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccountIdentification</b> <CshAcctId>	[0..1]	±		582
	<b>Currency</b> <Ccy>	[0..*]	CodeSet	C1	582
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]	±		583
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		583
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C9, C10, C11	583
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		584
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		585

#### Constraints

- **CashAccountIdentificationGuideline**

If the account identification identifies a group of accounts with different types or in different currencies, the currency and/or the account type can be used as additional search criteria.

#### 24.4.2.2.2.1 CashAccountIdentification <CshAcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification for the cash account between the account owner and the account servicer.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

#### 24.4.2.2.2.2 Currency <Ccy>

*Presence:* [0..\*]

*Definition:* Medium of exchange of value.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 24.4.2.2.2.3 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account being queried.

**CashAccountOwner <CshAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 24.4.2.2.2.4 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the account on behalf of the account owner, which is being queried.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification8](#)" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 24.4.2.2.2.5 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..\*]

*Definition:* ISIN identification of the related financial instrument into which this security can be converted.

*Impacted by:* [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C9 "ISINGuideline"](#), [C10 "ISINPresenceRule"](#), [C11 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrlId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

#### 24.4.2.2.2.6 SecuritiesAccountOwner <SctiesAcctOwnr>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account owner.

**SecuritiesAccountOwner <SctiesAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 24.4.2.2.2.7 SecuritiesAccountServicer <SctiesAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the securities account on behalf of the account owner.

**SecuritiesAccountServicer <SctiesAcctSvcr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 24.4.2.2.3 ReturnCriteria <RtrCrit>

*Presence:* [0..1]

*Definition:* Defines the expected account report.

**ReturnCriteria <RtrCrit>** contains the following **CollateralValueReturnCriteria1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccountOwnerIndicator</b> <CshAcctOwnrInd>	[0..1]	Indicator		585
	<b>CashAccountServicerIndicator</b> <CshAcctSvcrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountOwnerIndicator</b> <SctiesAcctOwnrInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountServicerIndicator</b> <SctiesAcctSvcrInd>	[0..1]	Indicator		586
	<b>TotalCollateralValuationIndicator</b> <TtlCollValtnInd>	[0..1]	Indicator		586
	<b>SecuritiesAccountIndicator</b> <SctiesAcctInd>	[0..1]	Indicator		586
	<b>Securities</b> <Scties>	[0..1]	Indicator		586

##### 24.4.2.2.3.1 CashAccountOwnerIndicator <CshAcctOwnrInd>

*Presence:* [0..1]

*Definition:* Indicates whether the cash account owner information is requested.

*Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

---

#### **24.4.2.2.3.2 CashAccountServicerIndicator <CshAcctSvcrInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the cash account servicer information is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **24.4.2.2.3.3 SecuritiesAccountOwnerIndicator <SctiesAcctOwnrInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the securities account owner information is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **24.4.2.2.3.4 SecuritiesAccountServicerIndicator <SctiesAcctSvcrInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the securities account servicer information is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **24.4.2.2.3.5 TotalCollateralValuationIndicator <TtlCollValtnInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the total value of the collateral valuation information is requested..

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **24.4.2.2.3.6 SecuritiesAccountIndicator <SctiesAcctInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the securities account information is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **24.4.2.2.3.7 Securities <Scties>**

*Presence:* [0..1]

*Definition:* Indicates whether the securities account information is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 24.4.3      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C12 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

**Constraints**

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

## **25      colr.002.001.02 CollateralValueReportV02**

### **25.1    MessageDefinition Functionality**

The CollateralValueReport message is sent by the system transaction administrator to a system member (such as a directly connected party) to provide further information the current available value of securities for auto collateralisation for one specific or several cash accounts.

#### Outline

The CollateralValueReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Set of elements to identify the collateral value report message.

B. ReportOrError

Report on collateral value information or operational error.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 25.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CollValRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[1..1]	±		592
	<b>ReportOnError</b> <RptOrErr>	[1..1]			592
{Or	<b>BusinessReport</b> <BizRpt>	[1..*]			594
	<b>CashAccount</b> <CshAcct>	[1..1]	±	C11, C10	596
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]			597
	<b>Name</b> <Nm>	[0..1]	Text		597
	<b>Identification</b> <Id>	[1..1]	±		597
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		597
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		597
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		598
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		598
	<b>CollateralValueReport</b> <CollValRpt>	[0..*]			599
{Or	<b>BusinessError</b> <BizErr>	[1..1]			601
	<b>Error</b> <Err>	[1..1]			601
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		601
	<b>Description</b> <Desc>	[0..1]	Text		601
Or}	<b>CollateralValue</b> <CollVal>	[1..1]			601
	<b>DataAccessTime</b> <DataAccsTm>	[1..1]	DateTime		602
	<b>TotalCollateralValuation</b> <TtlCollValtn>	[0..1]	Amount	C1, C6	602
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		603
	<b>Securities</b> <Scties>	[0..*]			603
	<b>Identification</b> <Id>	[0..*]	±	C7, C8, C12, C13, C14	604
	<b>Position</b> <Pos>	[0..*]			605
	<b>Type</b> <Tp>	[1..1]	Text		606
	<b>Quantity</b> <Qty>	[1..1]			606
{Or	<b>Quantity</b> <Qty>	[1..1]	±		607

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>Proprietary</b> <Prtry>	[1..1]			607
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607
Or	<b>QuantityAndAvailability</b> <QtyAndAvlbt>	[1..1]			608
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlbtInd>	[1..1]	Indicator		608
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			608
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]	±		609
	<b>PriceValue</b> <PricVal>	[1..1]	±		609
	<b>FinancialInstrumentQuantity</b> <FinlnstrmQty>	[1..1]	±		609
	<b>PriceFixingDate</b> <PricFxdt>	[1..1]	Date		610
	<b>CollateralValue</b> <CollVal>	[1..1]	Amount	C1, C6	610
Or	<b>OperationalError</b> <OprlErr>	[1..*]			610
	<b>Error</b> <Err>	[1..1]			610
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		611
Or	<b>Proprietary</b> <Prtry>	[1..1]	Text		611
	<b>Description</b> <Desc>	[0..1]	Text		611
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	611

## 25.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

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**C3 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C4 BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**C5 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C6 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C7 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C8 DescriptionUsageRule**

Description must be used alone as the last resort.

**C9 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C10 IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

**C11 IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

**C12 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C13 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C14 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C15 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 25.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 25.4.1 MessageHeader <MsgHdr>

*Presence:* [1..1]

*Definition:* Set of elements to identify the collateral value report message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader3](#)" on page 2653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2654
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2654
	RequestType <ReqTp>	[0..1]			2654
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2654
Or	Enquiry <Enqry>	[1..1]	CodeSet		2654
Or}	Proprietary <Prtry>	[1..1]	±		2655
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2655
	QueryName <QryNm>	[0..1]	Text		2655

### 25.4.2 ReportOnError <RptOrErr>

*Presence:* [1..1]

*Definition:* Report on collateral value information or operational error.

**ReportOrError <RptOrErr>** contains one of the following **CollateralValueReportOrError7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BusinessReport</b> <BizRpt>	[1..*]			594
	<b>CashAccount</b> <CshAcct>	[1..1]	±	C11, C10	596
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]			597
	<b>Name</b> <Nm>	[0..1]	Text		597
	<b>Identification</b> <Id>	[1..1]	±		597
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		597
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		597
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		598
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		598
	<b>CollateralValueReport</b> <CollValRpt>	[0..*]			599
{Or	<b>BusinessError</b> <BizErr>	[1..1]			601
	<b>Error</b> <Err>	[1..1]			601
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		601
	<b>Description</b> <Desc>	[0..1]	Text		601
Or}	<b>CollateralValue</b> <CollVal>	[1..1]			601
	<b>DataAccessTime</b> <DataAccsTm>	[1..1]	DateTime		602
	<b>TotalCollateralValuation</b> <TtlCollValtn>	[0..1]	Amount	C1, C6	602
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		603
	<b>Securities</b> <Scties>	[0..*]			603
	<b>Identification</b> <Id>	[0..*]	±	C7, C8, C12, C13, C14	604
	<b>Position</b> <Pos>	[0..*]			605
	<b>Type</b> <Tp>	[1..1]	Text		606
	<b>Quantity</b> <Qty>	[1..1]			606
{Or	<b>Quantity</b> <Qty>	[1..1]	±		607
Or	<b>Proprietary</b> <Prtry>	[1..1]			607
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607
Or}	<b>QuantityAndAvailability</b> <QtyAndAvlbty>	[1..1]			608
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlbtyInd>	[1..1]	Indicator		608
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			608
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]	±		609
	<b>PriceValue</b> <PricVal>	[1..1]	±		609
	<b>FinancialInstrumentQuantity</b> <FinInstrmQty>	[1..1]	±		609
	<b>PriceFixingDate</b> <PricFxdt>	[1..1]	Date		610
	<b>CollateralValue</b> <CollVal>	[1..1]	Amount	C1, C6	610
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			610
	<b>Error</b> <Err>	[1..1]			610
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		611
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		611
	<b>Description</b> <Desc>	[0..1]	Text		611

#### 25.4.2.1 BusinessReport <BizRpt>

*Presence:* [1..\*]

*Definition:* Provides information specific to the collateral value reports included in the message.

**BusinessReport <BizRpt>** contains the following **CollateralValueReport4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAccount</b> <CshAcct>	[1..1]	±	C11, C10	596
	<b>CashAccountOwner</b> <CshAcctOwnr>	[0..1]			597
	<b>Name</b> <Nm>	[0..1]	Text		597
	<b>Identification</b> <Id>	[1..1]	±		597
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		597
	<b>CashAccountServicer</b> <CshAcctSvcr>	[0..1]	±		597
	<b>SecuritiesAccountOwner</b> <SctiesAcctOwnr>	[0..1]	±		598
	<b>SecuritiesAccountServicer</b> <SctiesAcctSvcr>	[0..1]	±		598
	<b>CollateralValueReport</b> <CollValRpt>	[0..*]			599
{Or	<b>BusinessError</b> <BizErr>	[1..1]			601
	<b>Error</b> <Err>	[1..1]			601
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		601
	<b>Description</b> <Desc>	[0..1]	Text		601
Or}	<b>CollateralValue</b> <CollVal>	[1..1]			601
	<b>DataAccessTime</b> <DataAccsTm>	[1..1]	DateTime		602
	<b>TotalCollateralValuation</b> <TtlCollValtn>	[0..1]	Amount	C1, C6	602
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		603
	<b>Securities</b> <Scties>	[0..*]			603
	<b>Identification</b> <Id>	[0..*]	±	C7, C8, C12, C13, C14	604
	<b>Position</b> <Pos>	[0..*]			605
	<b>Type</b> <Tp>	[1..1]	Text		606
	<b>Quantity</b> <Qty>	[1..1]			606
{Or	<b>Quantity</b> <Qty>	[1..1]	±		607
Or	<b>Proprietary</b> <Prtry>	[1..1]			607
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>QuantityAndAvailability</b> <QtyAndAvlbtY>	[1..1]			608
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlbtYInd>	[1..1]	Indicator		608
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			608
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]	±		609
	<b>PriceValue</b> <PricVal>	[1..1]	±		609
	<b>FinancialInstrumentQuantity</b> <FinInstrmQty>	[1..1]	±		609
	<b>PriceFixingDate</b> <PricFxdDt>	[1..1]	Date		610
	<b>CollateralValue</b> <CollVal>	[1..1]	Amount	C1, C6	610

#### 25.4.2.1.1 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Unique identification, as assigned by the account servicer, to unambiguously identify the account on which information is requested.

*Impacted by:* [C11 "IdentificationOrProxyPresenceRule"](#), [C10 "IdentificationAndProxyGuideline"](#)

**CashAccount <CshAcct>** contains the following elements (see ["CashAccount40"](#) on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

#### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present



### 25.4.2.1.2 CashAccountOwner <CshAcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**CashAccountOwner <CshAcctOwnr>** contains the following **SystemPartyIdentification11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[0..1]	Text		597
	<b>Identification</b> <Id>	[1..1]	±		597
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		597

#### 25.4.2.1.2.1 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 25.4.2.1.2.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**Identification <Id>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 25.4.2.1.2.3 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the maintenance of the party reference data.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 25.4.2.1.3 CashAccountServicer <CshAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

**CashAccountServicer <CshAcctSvcr>** contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 2609 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 25.4.2.1.4 SecuritiesAccountOwner <SctiesAcctOwnr>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account owner.

**SecuritiesAccountOwner <SctiesAcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 25.4.2.1.5 SecuritiesAccountServicer <SctiesAcctSvcr>

*Presence:* [0..1]

*Definition:* Party that manages the securities account on behalf of the account owner.

**SecuritiesAccountServicer <SctiesAcctSvcr>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

---

#### **25.4.2.1.6 CollateralValueReport <CollValRpt>**

*Presence:* [0..\*]

*Definition:* Provides information specific to the report on collateral value positions.

**CollateralValueReport <CollValRpt>** contains one of the following **CollateralValueReportOrError6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BusinessError</b> <BizErr>	[1..1]			601
	<b>Error</b> <Err>	[1..1]			601
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		601
	<b>Description</b> <Desc>	[0..1]	Text		601
Or}	<b>CollateralValue</b> <CollVal>	[1..1]			601
	<b>DataAccessTime</b> <DataAccsTm>	[1..1]	DateTime		602
	<b>TotalCollateralValuation</b> <TtlCollValtn>	[0..1]	Amount	C1, C6	602
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		603
	<b>Securities</b> <Scties>	[0..*]			603
	<b>Identification</b> <Id>	[0..*]	±	C7, C8, C12, C13, C14	604
	<b>Position</b> <Pos>	[0..*]			605
	<b>Type</b> <Tp>	[1..1]	Text		606
	<b>Quantity</b> <Qty>	[1..1]			606
{Or	<b>Quantity</b> <Qty>	[1..1]	±		607
Or	<b>Proprietary</b> <Prtry>	[1..1]			607
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607
Or}	<b>QuantityAndAvailability</b> <QtyAndAvlbt>	[1..1]			608
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlbtInd>	[1..1]	Indicator		608
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			608
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]	±		609
	<b>PriceValue</b> <PricVal>	[1..1]	±		609
	<b>FinancialInstrumentQuantity</b> <FinInstrmQty>	[1..1]	±		609
	<b>PriceFixingDate</b> <PricFxdt>	[1..1]	Date		610
	<b>CollateralValue</b> <CollVal>	[1..1]	Amount	C1, C6	610

#### 25.4.2.1.6.1 BusinessError <BizErr>

*Presence:* [1..1]

*Definition:* Reason the requested business information is not given.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			601
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		601
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		601
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		601

##### 25.4.2.1.6.1.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		601
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		601

##### 25.4.2.1.6.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* ["ExternalSystemErrorHandling1Code" on page 2820](#)

##### 25.4.2.1.6.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* ["Max35Text" on page 2865](#)

##### 25.4.2.1.6.1.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* ["Max140Text" on page 2863](#)

#### 25.4.2.1.6.2 CollateralValue <CollVal>

*Presence:* [1..1]

*Definition:* Requested information on the value of the position for collateral purposes.

**CollateralValue <CollVal>** contains the following **CollateralValuePosition3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DataAccessTime</b> <DataAccsTm>	[1..1]	DateTime		602
	<b>TotalCollateralValuation</b> <TtlCollValtn>	[0..1]	Amount	C1, C6	602
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		603
	<b>Securities</b> <Scties>	[0..*]			603
	<b>Identification</b> <Id>	[0..*]	±	C7, C8, C12, C13, C14	604
	<b>Position</b> <Pos>	[0..*]			605
	<b>Type</b> <Tp>	[1..1]	Text		606
	<b>Quantity</b> <Qty>	[1..1]			606
{Or	<b>Quantity</b> <Qty>	[1..1]	±		607
Or	<b>Proprietary</b> <Prtry>	[1..1]			607
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607
Or}	<b>QuantityAndAvailability</b> <QtyAndAvlbtly>	[1..1]			608
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlbtlyInd>	[1..1]	Indicator		608
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			608
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]	±		609
	<b>PriceValue</b> <PricVal>	[1..1]	±		609
	<b>FinancialInstrumentQuantity</b> <FinInstrmQty>	[1..1]	±		609
	<b>PriceFixingDate</b> <PricFxdDt>	[1..1]	Date		610
	<b>CollateralValue</b> <CollVal>	[1..1]	Amount	C1, C6	610

#### 25.4.2.1.6.2.1 DataAccessTime <DataAccsTm>

*Presence:* [1..1]

*Definition:* Date and time when the data was last accessed.

*Datatype:* "ISODatetime" on page 2858

#### 25.4.2.1.6.2.2 TotalCollateralValuation <TtlCollValtn>

*Presence:* [0..1]

*Definition:* Total value of the collateral valuation.

*Impacted by:* C1 "ActiveCurrency", C6 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 25.4.2.1.6.2.3 SecuritiesAccount <SctiesAcct>

*Presence:* [0..1]

*Definition:* Unique identification, as assigned by the account servicer, to unambiguously identify the securities account.

**SecuritiesAccount <SctiesAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 25.4.2.1.6.2.4 Securities <Scties>

*Presence:* [0..\*]

*Definition:* Unique identification, as known by the account owner, to unambiguously identify the securities on which the collateral value position is requested.

**Securities <Scties>** contains the following **SecurityCharacteristics3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[0..*]	±	C7, C8, C12, C13, C14	604
	<b>Position</b> <Pos>	[0..*]			605
	<b>Type</b> <Tp>	[1..1]	Text		606
	<b>Quantity</b> <Qty>	[1..1]			606
{Or	<b>Quantity</b> <Qty>	[1..1]	±		607
Or	<b>Proprietary</b> <Prtry>	[1..1]			607
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607
Or}	<b>QuantityAndAvailability</b> <QtyAndAvlby>	[1..1]			608
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlbyInd>	[1..1]	Indicator		608
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			608
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]	±		609
	<b>PriceValue</b> <PricVal>	[1..1]	±		609
	<b>FinancialInstrumentQuantity</b> <FinInstrmQty>	[1..1]	±		609
	<b>PriceFixingDate</b> <PricFxdt>	[1..1]	Date		610
	<b>CollateralValue</b> <CollVal>	[1..1]	Amount	C1, C6	610

**25.4.2.1.6.2.4.1 Identification <Id>**

*Presence:* [0..\*]

*Definition:* Unique identification, as known by the account owner, to unambiguously identify the security.

*Impacted by:* C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C14 "OtherIdentificationPresenceRule"



**Identification <Id>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

#### 25.4.2.1.6.2.4.2 Position <Pos>

*Presence:* [0..\*]

*Definition:* Specifies the position for the security.

**Position <Pos>** contains the following **SecuritiesPosition1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type &lt;Tp&gt;</b>	[1..1]	Text		606
	<b>Quantity &lt;Qty&gt;</b>	[1..1]			606
{Or	<b>Quantity &lt;Qty&gt;</b>	[1..1]	±		607
Or	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]			607
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		607
	<b>Issuer &lt;Issr&gt;</b>	[1..1]	Text		607
	<b>SchemeName &lt;SchmeNm&gt;</b>	[0..1]	Text		607
	<b>Balance &lt;Bal&gt;</b>	[1..1]	Quantity		607
Or}	<b>QuantityAndAvailability &lt;QtyAndAvlbtly&gt;</b>	[1..1]			608
	<b>Quantity &lt;Qty&gt;</b>	[1..1]	±		608
	<b>AvailabilityIndicator &lt;AvlbtlyInd&gt;</b>	[1..1]	Indicator		608

#### 25.4.2.1.6.2.4.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type of a position or a balance, such as earmarked or delivered.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 25.4.2.1.6.2.4.2.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Specifies the quantity of a position or a balance.

**Quantity <Qty>** contains one of the following **SubBalanceQuantity2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Quantity &lt;Qty&gt;</b>	[1..1]	±		607
Or	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]			607
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		607
	<b>Issuer &lt;Issr&gt;</b>	[1..1]	Text		607
	<b>SchemeName &lt;SchmeNm&gt;</b>	[0..1]	Text		607
	<b>Balance &lt;Bal&gt;</b>	[1..1]	Quantity		607
Or}	<b>QuantityAndAvailability &lt;QtyAndAvlbtly&gt;</b>	[1..1]			608
	<b>Quantity &lt;Qty&gt;</b>	[1..1]	±		608
	<b>AvailabilityIndicator &lt;AvlbtlyInd&gt;</b>	[1..1]	Indicator		608

#### 25.4.2.1.6.2.4.2.2.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities in the sub-balance.

**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 25.4.2.1.6.2.4.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Quantity of securities in the sub-balance.

**Proprietary <Prtry>** contains the following **GenericIdentification15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]	Text		607
	<b>Issuer</b> <Issr>	[1..1]	Text		607
	<b>SchemeName</b> <SchmeNm>	[0..1]	Text		607
	<b>Balance</b> <Bal>	[1..1]	Quantity		607

##### 25.4.2.1.6.2.4.2.2.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "[Max4AlphaNumericText](#)" on page 2866

##### 25.4.2.1.6.2.4.2.2.2.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "[Max35Text](#)" on page 2865

##### 25.4.2.1.6.2.4.2.2.2.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "[Max35Text](#)" on page 2865

##### 25.4.2.1.6.2.4.2.2.2.4 Balance <Bal>

*Presence:* [1..1]

*Definition:* Value of the balance.

*Datatype:* "Number" on page 2862

#### 25.4.2.1.6.2.4.2.2.3 QuantityAndAvailability <QtyAndAvlQty>

*Presence:* [1..1]

*Definition:* Quantity of securities in the sub-balance and whether the balance is available.

**QuantityAndAvailability <QtyAndAvlQty>** contains the following **QuantityAndAvailability1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Quantity</b> <Qty>	[1..1]	±		608
	<b>AvailabilityIndicator</b> <AvlQtyInd>	[1..1]	Indicator		608

#### 25.4.2.1.6.2.4.2.2.3.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities in the sub-balance.

**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 25.4.2.1.6.2.4.2.2.3.2 AvailabilityIndicator <AvlQtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether the quantity of securities on the sub-balance is available.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 25.4.2.1.6.2.4.3 ValuationPrice <ValtnPric>

*Presence:* [1..1]

*Definition:* Specifies the price of the security for valuation purposes.

**ValuationPrice <ValtnPric>** contains the following **AmountPricePerFinancialInstrumentQuantity9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AmountPriceType &lt;AmtPricTp&gt;</b>	[1..1]	±		609
	<b>PriceValue &lt;PricVal&gt;</b>	[1..1]	±		609
	<b>FinancialInstrumentQuantity &lt;FinInstrmQty&gt;</b>	[1..1]	±		609
	<b>PriceFixingDate &lt;PricFxdDt&gt;</b>	[1..1]	Date		610

#### 25.4.2.1.6.2.4.3.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following elements (see "YieldedOrValueType1Choice" on page 2671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Yielded <Yldd>	[1..1]	Indicator		2671
Or}	ValueType <ValTp>	[1..1]	CodeSet		2671

#### 25.4.2.1.6.2.4.3.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value given to a price.

**PriceValue <PricVal>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 25.4.2.1.6.2.4.3.3 FinancialInstrumentQuantity <FinInstrmQty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument.

**FinancialInstrumentQuantity <FinInstrmQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtdsVal>	[1..1]	Amount		2630

#### 25.4.2.1.6.2.4.3.4 PriceFixingDate <PricFxdt>

*Presence:* [1..1]

*Definition:* Date at which the actual price for a financial instrument is fixed.

*Datatype:* "ISODate" on page 2858

#### 25.4.2.1.6.2.4.4 CollateralValue <CollVal>

*Presence:* [1..1]

*Definition:* Specifies the value of the security for collateral purposes.

*Impacted by:* C1 "ActiveCurrency", C6 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 25.4.2.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Indicates whether an operational error has been issued during the processing of the related request.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			610
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		611
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		611
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		611

##### 25.4.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		611
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		611

#### 25.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 25.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 25.4.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 25.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C15 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

## 26 head.002.001.01 BusinessFileHeaderV01

### 26.1 MessageDefinition Functionality

The Business File Header contains information about the parties involved in the file exchange, as well as information about the content and its structure.

The Business File headers has four major functions:

- Provides information about the sender of the file.
- Identifies the file exchanged: each file must have a unique File Identifier.
- Describes the type of message(s) exchanged: by default, the type of messages contained in a file will be ISO 20022 message definitions.
- Provides processing information to the receiving party: that would be, for instance, the total number of messages included in the file.

Usage: The Business File Header (head.002) is to be used exclusively in existing implementations, such as the Eurosystem, and will be replaced for general use by the upcoming next version defined as the Business File Envelope (nvlp.002). The Business File Header (head.002) will therefore not be maintained in the future and any new implementation must use the Business File Envelope (nvlp.002).

#### Outline

The BusinessFileHeaderV01 MessageDefinition is composed of 2 MessageBuildingBlocks:

#### A. PayloadDescription

Contains information about the payload.

#### B. Payload

File Payload is used to include the exchanged documents within the file structure.



## 26.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <Xchg>	[1..1]			
	<b>PayloadDescription</b> <PyldDesc>	[1..1]			613
	<b>PayloadData</b> <PyldData>	[1..1]			614
	<b>PayloadIdentifier</b> <PyldIdr>	[1..1]	Text		614
	<b>CreationDateAndTime</b> <CreDtAndTm>	[1..1]	DateTime		614
	<b>PossibleDuplicateFlag</b> <PssblDplctFlg>	[0..1]	Indicator		614
	<b>ApplicationSpecifics</b> <ApplSpfcfs>	[0..1]			615
	<b>SystemUser</b> <SysUsr>	[0..1]	Text		615
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		615
	<b>TotalNumberOfDocuments</b> <TtlNbOfDocs>	[1..1]	Quantity		615
	<b>PayloadType</b> <PyldTp>	[1..1]	Text		616
	<b>ManifestData</b> <MnfstData>	[0..*]			616
	<b>DocumentType</b> <DocTp>	[1..1]	Text		616
	<b>NumberOfDocuments</b> <NbOfDocs>	[1..1]	Quantity		616
	<b>Payload</b> <Pyld>	[0..*]	(External Schema)		616

## 26.3 Constraints

### C1 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

## 26.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 26.4.1 PayloadDescription <PyldDesc>

*Presence:* [1..1]

*Definition:* Contains information about the payload.

**PayloadDescription <PyldDesc>** contains the following **PayloadDescription2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayloadData</b> <PyldData>	[1..1]			614
	<b>PayloadIdentifier</b> <PyldIdr>	[1..1]	Text		614
	<b>CreationDateAndTime</b> <CreDtAndTm>	[1..1]	DateTime		614
	<b>PossibleDuplicateFlag</b> <PssblDplctFlg>	[0..1]	Indicator		614
	<b>ApplicationSpecifics</b> <ApplSpfcfs>	[0..1]			615
	<b>SystemUser</b> <SysUsr>	[0..1]	Text		615
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		615
	<b>TotalNumberOfDocuments</b> <TtlNbOfDocs>	[1..1]	Quantity		615
	<b>PayloadType</b> <PyldTp>	[1..1]	Text		616
	<b>ManifestData</b> <MnfstData>	[0..*]			616
	<b>DocumentType</b> <DocTp>	[1..1]	Text		616
	<b>NumberOfDocuments</b> <NbOfDocs>	[1..1]	Quantity		616

#### 26.4.1.1 PayloadData <PyldData>

*Presence:* [1..1]

*Definition:* This component is used to identify the instance of the document exchanged.

**PayloadData <PyldData>** contains the following **PayloadData2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayloadIdentifier</b> <PyldIdr>	[1..1]	Text		614
	<b>CreationDateAndTime</b> <CreDtAndTm>	[1..1]	DateTime		614
	<b>PossibleDuplicateFlag</b> <PssblDplctFlg>	[0..1]	Indicator		614

##### 26.4.1.1.1 PayloadIdentifier <PyldIdr>

*Presence:* [1..1]

*Definition:* String of characters that uniquely identifies the file, which was delivered by the sender.

*Datatype:* "Max35Text" on page 2865

##### 26.4.1.1.2 CreationDateAndTime <CreDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time when the file was created by the sender.

*Datatype:* "ISODateTime" on page 2858

##### 26.4.1.1.3 PossibleDuplicateFlag <PssblDplctFlg>

*Presence:* [0..1]

*Definition:* Flag indicating if the file exchanged between the two business applications is possibly a duplicate. If this indicator is not present within the message, please note that the default value would be "False".

*Datatype:* One of the following values must be used (see ["TrueFalseIndicator"](#) on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 26.4.1.2 ApplicationSpecifics <ApplSpfcfs>

*Presence:* [0..1]

*Definition:* Contains business information that is considered as necessary by the service provider.

**ApplicationSpecifics <ApplSpfcfs>** contains the following **ApplicationSpecifics1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemUser</b> <SysUsr>	[0..1]	Text		615
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		615
	<b>TotalNumberOfDocuments</b> <TtlNbOfDocs>	[1..1]	Quantity		615

##### 26.4.1.2.1 SystemUser <SysUsr>

*Presence:* [0..1]

*Definition:* A system user is a user account defined in the static data. It represents an individual or an application that interacts with the system administrator (e. g. T2S), triggering the available functions. The set of functions available to each system user stems from the set of privileges for which the system user is grantee. System administrator does not provide any attribute for distinguishing between individuals and applications.

*Datatype:* ["Max140Text"](#) on page 2863

##### 26.4.1.2.2 Signature <Sgntr>

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business File.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmldsig-core-20080610/>.

##### 26.4.1.2.3 TotalNumberOfDocuments <TtlNbOfDocs>

*Presence:* [1..1]

*Definition:* Gives the total number of instances (messages) within the file.

*Datatype:* ["Number"](#) on page 2862

### 26.4.1.3 PayloadType <PyldTp>

*Presence:* [1..1]

*Definition:* Identification of the type of payload.

*Datatype:* "Max256Text" on page 2865

### 26.4.1.4 ManifestData <MnfstData>

*Presence:* [0..\*]

*Definition:* Manifest that describes the related items or attachments.

This block is repeated for each different type of item.

**ManifestData <MnfstData>** contains the following **ManifestData2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DocumentType &lt;DocTp&gt;</b>	[1..1]	Text		616
	<b>NumberOfDocuments &lt;NbOfDocs&gt;</b>	[1..1]	Quantity		616

#### 26.4.1.4.1 DocumentType <DocTp>

*Presence:* [1..1]

*Definition:* Specifies the type of items contained in the document set. An initial list of values can be found in the ISO20022 message type catalogue such as admi, camt, pacs, sese, semt etc. ISO messages.

*Datatype:* "Max35Text" on page 2865

#### 26.4.1.4.2 NumberOfDocuments <NbOfDocs>

*Presence:* [1..1]

*Definition:* Gives the number of instances (messages) for each declared type.

*Datatype:* "Number" on page 2862

## 26.4.2 Payload <Pyld>

*Presence:* [0..\*]

*Definition:* File Payload is used to include the exchanged documents within the file structure.

*Type:* (External Schema)

Specifies a data structure that allows to include any valid XML Structure (e.g. through an XML Schema). The property namespace is set to 'any'.

The processContents value is 'lax' which according to the above specification and to Iso20022:2013 means: If the item has a uniquely determined declaration available, it must be 'valid' with respect to that definition, that is, 'validate' if you can, don't worry if you can't, i.e. it MAY be validated or not.

## **27      reda.006.001.01 SecurityCreationRequestV01**

### **27.1    MessageDefinition Functionality**

#### **SCOPE**

An instructing party sends a SecurityCreationRequest message to an executing/servicing party to request the creation of financial instrument static details in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### **USAGE**

The request is sent when the instructing party identified a gap in the securities coverage of the executing/servicing party. The instructing party needs this security to be set-up at the executing / servicing party to perform its activities.

Initiator: instructing party.

#### **Outline**

The SecurityCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

**A. MessageHeader**

Common business identification for the message.

**B. Security**

Represents the financial instruments details.

**C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 27.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctyCreReq&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		634
	<b>Security</b> <Scty>	[1..1]			634
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C8, C9, C12	651
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			652
	<b>Equity</b> <Eqty>	[0..1]			660
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			661
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		661
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		661
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		662
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	662
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	662
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		663
	<b>Warrant</b> <Warrt>	[0..1]			663
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		664
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		665
	<b>Value</b> <Val>	[1..1]	±		665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		666
	<b>Type</b> <Tp>	[0..1]			666
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		667
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		667
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			667
	<b>Name</b> <Nm>	[1..1]	Text		668
	<b>Identification</b> <Id>	[0..1]			668
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	668
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		669
	<b>Purpose</b> <Purp>	[0..1]	Text		669
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	669

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		670
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		670
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		670
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	670
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		670
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		671
	<b>Debt</b> <Debt>	[0..1]		C11, C13	671
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	675
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	675
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		676
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		676
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		676
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		676
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		676
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		676
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		677
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		677
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		677
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		677
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		677
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		677
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		677
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		677
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		678
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		678
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		678
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		678
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		678
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		679
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		679

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		679
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		679
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		679
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			680
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		680
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		680
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		680
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		680
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	681
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		681
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		681
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		681
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		682
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		682
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	682
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		683
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		683
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		683
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		683
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		683
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		683
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		683
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		684
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		684
	<b>LotIdentification</b> <LotId>	[0..1]	Text		684
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		684
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		684
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		684
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		684
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		685



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	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		685
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		685
	<b>YieldCalculation</b> <YldClctn>	[0..*]			685
	<b>Value</b> <Val>	[1..1]	Rate		686
	<b>CalculationType</b> <ClctnTp>	[0..1]			686
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		686
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>RedemptionPrice</b> <RedPric>	[0..1]			689
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		689
	<b>Value</b> <Val>	[1..1]	±		690
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		690
	<b>ValueDate</b> <ValDt>	[1..1]	Date		691
	<b>ValuePeriod</b> <ValPrd>	[1..1]			691
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		691
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		692
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		692
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		692
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		692
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			692
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		693
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		694
	<b>GlobalType</b> <GblTp>	[0..1]			694
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		695
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		695
	<b>Geographics</b> <Geogcs>	[0..1]	Text		695
	<b>YieldRange</b> <YldRg>	[0..1]	±		695
	<b>CouponRange</b> <CpnRg>	[0..1]	±		696
	<b>Purpose</b> <Purp>	[0..1]	Text		696
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		696
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		697

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	<b>Haircut</b> <Hrcut>	[0..1]	Rate		697
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		697
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		697
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		697
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		697
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		698
	<b>Production</b> <Pdctn>	[0..1]	Text		698
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		698
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		698
	<b>Sector</b> <Sctr>	[0..1]	Text		699
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		699
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		699
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		699
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		699
	<b>PriceRange</b> <PricRg>	[0..1]	±		700
	<b>Derivative</b> <Deriv>	[0..1]			700
	<b>Future</b> <Futr>	[0..1]			704
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		706
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			706
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		706
	<b>Value</b> <Val>	[1..1]	±		707
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		707
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		708
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	708
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		711
	<b>TimeUnit</b> <TmUnit>	[0..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		712
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			712

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		714
	<b>Quantity</b> <Qty>	[0..1]			714
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714
	<b>SettlementType</b> <SttlmTp>	[0..1]			714
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		715
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		715
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	715
	<b>CashType</b> <CshTp>	[0..1]	Text		716
	<b>Price</b> <Pric>	[0..1]			716
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
	<b>Value</b> <Val>	[1..1]	±		717
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		717
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			718
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		718
	<b>Value</b> <Val>	[1..1]	±		719
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719
	<b>EndPrice</b> <EndPric>	[0..1]			720
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	722
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	722
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	723
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			723
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		724
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	724
	<b>Option</b> <Optn>	[0..1]			724
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			728

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		728
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		728
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		728
	<b>StrikePrice</b> <StrkPric>	[0..1]			728
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		729
	<b>Value</b> <Val>	[1..1]	±		729
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		730
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		730
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			731
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		731
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		731
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		731
	<b>OptionStyle</b> <OptnStyle>	[0..1]			732
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		732
	<b>OptionType</b> <OptnTp>	[0..1]	±		732
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		733
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		733
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			733
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		733
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		734
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		734
	<b>Standardisation</b> <Stdstn>	[0..1]			734
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			735
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		735
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		736
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			736

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		738
	<b>Quantity</b> <Qty>	[0..1]			738
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738
	<b>SettlementType</b> <SttlmTp>	[0..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		739
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	739
	<b>CashType</b> <CshTp>	[0..1]	Text		740
	<b>Price</b> <Pric>	[0..1]			740
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			742
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743
	<b>EndPrice</b> <EndPric>	[0..1]			744
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	746
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	746
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	747
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			747
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		748
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	748
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			748
	<b>SecurityStatus</b> <SctySts>	[0..1]			757

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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		757
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		758
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		758
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		758
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		758
	<b>DenominationCurrency</b> <DnmtnCcy>	[1..1]	CodeSet	C2	758
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		759
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		759
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		759
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		759
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		759
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		759
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			760
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		760
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		760
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		760
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		761
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		761
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		761
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		761
	<b>Purpose</b> <Purp>	[0..1]	Text		761
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			762
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		762
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		762
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		762
	<b>Issuance</b> <Issnc>	[0..1]			762
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		764
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	764
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		764
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		764
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		764

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	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			764
	<b>Name</b> <Nm>	[1..1]	Text		765
	<b>Identification</b> <Id>	[0..1]			765
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	765
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		766
	<b>Purpose</b> <Purp>	[0..1]	Text		766
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		767
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		767
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		767
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	767
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		767
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		768
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		768
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	768
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		769
	<b>IssuePrice</b> <IssePric>	[0..1]	±		769
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			769
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		770
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		770
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			770
	<b>Identification</b> <Id>	[0..1]	Text		770
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	770
	<b>TradingMarket</b> <TradgMkt>	[0..*]			771
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		771
	<b>RoundLot</b> <RndLot>	[0..1]	±		771
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		772
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		772
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			772
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		772

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	772
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			773
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		773
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	773
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		774
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		774
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			774
	<b>Spread</b> <Sprd>	[0..1]	Quantity		774
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	775
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			776
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		776
	<b>Value</b> <Val>	[1..1]	±		777
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		777
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	778
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			778
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		778
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		779
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		780
	<b>PutType</b> <PutTp>	[0..1]			780
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		780
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		780
	<b>CallType</b> <CallTp>	[0..1]			781
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		781
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		781
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		781
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		782
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		782
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		782
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		782
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		783
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		783



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		783
	<b>TradingMethod</b> <TradgMtd>	[0..1]			783
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		784
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	784
	<b>TEFRARule</b> <TEFRARule>	[0..1]			784
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		784
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		785
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		785
	<b>Class</b> <Clss>	[0..1]	Text		785
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			785
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		785
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	786
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		786
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			786
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		787
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			787
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		788
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]	IdentifierSet	C3	788
	<b>RedemptionType</b> <RedTp>	[0..1]			788
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		788
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		789
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	789
	<b>Restriction</b> <Rstrctn>	[0..*]			789
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		790
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			790
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		791
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		791
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			791
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		791

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		792
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			792
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		792
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>InvestorType</b> <InvstrTp>	[0..*]			793
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		793
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			794
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			794
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		794
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		795
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		795
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		795
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		795
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		796
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			796
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			796
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		797
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		797
	<b>LegalForm</b> <LglForm>	[0..1]	±		797
	<b>ContactName</b> <CtctNm>	[0..1]			798
	<b>Name</b> <Nm>	[1..1]	Text		798
	<b>Identification</b> <Id>	[0..1]			798
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	799
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		799
	<b>Purpose</b> <Purp>	[0..1]	Text		799
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	799
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	800
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		800
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		800
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		800

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	800
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		801
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		801
	<b>LeadManager</b> <LeadMgr>	[0..1]			802
	<b>Name</b> <Nm>	[1..1]	Text		802
	<b>Identification</b> <Id>	[0..1]			803
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	803
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		803
	<b>Purpose</b> <Purp>	[0..1]	Text		803
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		804
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		804
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		804
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	804
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		805
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		805
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			806
	<b>Name</b> <Nm>	[1..1]	Text		806
	<b>Identification</b> <Id>	[0..1]			807
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	807
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		807
	<b>Purpose</b> <Purp>	[0..1]	Text		807
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		808
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		808
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		808
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	808
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		809
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		809

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayingAgent</b> <PngAgt>	[0..1]			810
	<b>Name</b> <Nm>	[1..1]	Text		810
	<b>Identification</b> <Id>	[0..1]			811
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	811
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		811
	<b>Purpose</b> <Purp>	[0..1]	Text		811
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		812
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		812
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		812
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	812
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		813
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		813
	<b>Depository</b> <Dpstry>	[0..1]			814
	<b>Name</b> <Nm>	[1..1]	Text		814
	<b>Identification</b> <Id>	[0..1]			815
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	815
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		815
	<b>Purpose</b> <Purp>	[0..1]	Text		815
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		816
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		816
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		816
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	816
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		817
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		817
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			818
	<b>Name</b> <Nm>	[1..1]	Text		818
	<b>Identification</b> <Id>	[0..1]			819

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	819
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		819
	<b>Purpose</b> <Purp>	[0..1]	Text		819
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		820
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		820
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		820
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	820
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		821
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		821
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	822

## 27.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

- C6    DescriptionPresenceRule**  
If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.
- C7    DescriptionUsageRule**  
Description must be used alone as the last resort.
- C8    ISINGuideline**  
When an ISIN code exists, it is strongly recommended that the ISIN be used.
- C9    ISINPresenceRule**  
If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.
- C10   MailingIndicatorRule**  
If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".
- C11   NextCallableDateAndCallableIndicatorRule**  
If CallableIndicator is present, then NextCalldate must be present.
- C12   OtherIdentificationPresenceRule**  
If OtherIdentification is not present then either ISIN or Description must be present.
- C13   PutableDateAndPutableIndicatorRule**  
If PutableIndicator is present, then PutableDate must be present.
- C14   SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 27.4    Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 27.4.1    MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 27.4.2    Security <Scty>

*Presence:* [1..1]

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*Definition:* Represents the financial instruments details.

**Security <Scty>** contains the following **SecurityAttributes10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C8, C9, C12	651
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			652
	<b>Equity</b> <Eqty>	[0..1]			660
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			661
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		661
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		661
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		662
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	662
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	662
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		663
	<b>Warrant</b> <Warrt>	[0..1]			663
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		664
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		665
	<b>Value</b> <Val>	[1..1]	±		665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		666
	<b>Type</b> <Tp>	[0..1]			666
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		667
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		667
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			667
	<b>Name</b> <Nm>	[1..1]	Text		668
	<b>Identification</b> <Id>	[0..1]			668
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	668
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		669
	<b>Purpose</b> <Purp>	[0..1]	Text		669
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		670
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		670
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		670



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	670
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		670
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		671
	<b>Debt</b> <Debt>	[0..1]		C11, C13	671
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	675
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	675
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		676
	<b>InterestFixingDate</b> <IntrstFgxDt>	[0..1]	DateTime		676
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		676
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		676
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		676
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		676
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		677
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		677
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		677
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		677
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		677
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		677
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		677
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		677
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		678
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		678
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		678
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		678
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		678
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		679
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		679
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		679
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		679
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		679
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			680

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		680
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		680
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		680
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		680
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	681
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		681
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		681
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		681
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		682
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		682
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	682
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		683
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		683
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		683
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		683
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		683
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		683
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		683
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		684
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltYld>	[0..1]	Indicator		684
	<b>LotIdentification</b> <LotId>	[0..1]	Text		684
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		684
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		684
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		684
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		684
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		685
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		685
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		685
	<b>YieldCalculation</b> <YldClctn>	[0..*]			685
	<b>Value</b> <Val>	[1..1]	Rate		686

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	<b>RedemptionPrice</b> <RedPric>	[0..1]			689
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		689
	<b>Value</b> <Val>	[1..1]	±		690
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		690
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	<b>ValuePeriod</b> <ValPrd>	[1..1]			691
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		691
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		692
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		692
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		692
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		692
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			692
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	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		695
	<b>Geographics</b> <Geogcs>	[0..1]	Text		695
	<b>YieldRange</b> <YldRg>	[0..1]	±		695
	<b>CouponRange</b> <CpnRg>	[0..1]	±		696
	<b>Purpose</b> <Purp>	[0..1]	Text		696
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		696
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		697
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		697
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		697
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		697
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		697

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	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		698
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		698
	<b>Sector</b> <Sctr>	[0..1]	Text		699
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		699
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	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		699
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		699
	<b>PriceRange</b> <PricRg>	[0..1]	±		700
	<b>Derivative</b> <Deriv>	[0..1]			700
	<b>Future</b> <Futr>	[0..1]			704
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		706
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			706
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	<b>Value</b> <Val>	[1..1]	±		707
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		707
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		708
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	708
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			709
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	<b>TimeUnit</b> <TmUnit>	[0..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		712
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			712
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		714
	<b>Quantity</b> <Qty>	[0..1]			714
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714

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	<b>Price</b> <Pric>	[0..1]			716
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
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	<b>DirtyPrice</b> <DrtyPric>	[0..1]			718
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	<b>Value</b> <Val>	[1..1]	±		719
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719
	<b>EndPrice</b> <EndPric>	[0..1]			720
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	722
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	722
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	723
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			723
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		724
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	724
	<b>Option</b> <Optn>	[0..1]			724
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			728
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	<b>StrikePrice</b> <StrkPric>	[0..1]			728

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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		731
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		731
	<b>OptionStyle</b> <OptnStyle>	[0..1]			732
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	<b>StrikeMultiplier</b> <StrkMltpl>	[0..1]	Quantity		733
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			733
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	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		734
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		734
	<b>Standardisation</b> <Stdstn>	[0..1]			734
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		734
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	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			735
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		735
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
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	<b>Quantity</b> <Qty>	[0..1]			738
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	739
	<b>CashType</b> <CshTp>	[0..1]	Text		740
	<b>Price</b> <Pric>	[0..1]			740
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			742
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743
	<b>EndPrice</b> <EndPric>	[0..1]			744
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	746
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	746
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	747
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			747
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		748
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	748
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			748
	<b>SecurityStatus</b> <SctySts>	[0..1]			757
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	<b>ISOSecurityShortName</b> <ISOStcyshtNm>	[0..1]	Text		758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>DenominationCurrency</b> <DnmtnCcy>	[1..1]	CodeSet	C2	758
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		759
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		759
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		759
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		759
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		759
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		759
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			760
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		760
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	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		761
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		761
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		761
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		761
	<b>Purpose</b> <Purp>	[0..1]	Text		761
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			762
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		762
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		762
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		762
	<b>Issuance</b> <Issnc>	[0..1]			762
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		764
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	764
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		764
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		764
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		764
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			764
	<b>Name</b> <Nm>	[1..1]	Text		765
	<b>Identification</b> <Id>	[0..1]			765
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	765



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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		766
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	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		767
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		767
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		767
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	767
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		767
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		768
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		768
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	768
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		769
	<b>IssuePrice</b> <IssePric>	[0..1]	±		769
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			769
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		770
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			770
	<b>Identification</b> <Id>	[0..1]	Text		770
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	770
	<b>TradingMarket</b> <TradgMkt>	[0..*]			771
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		771
	<b>RoundLot</b> <RndLot>	[0..1]	±		771
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		772
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		772
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			772
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		772
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	772
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			773
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		773

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	773
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		774
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		774
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			774
	<b>Spread</b> <Sprd>	[0..1]	Quantity		774
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	775
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			776
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		776
	<b>Value</b> <Val>	[1..1]	±		777
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		777
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	778
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			778
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		778
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		779
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		780
	<b>PutType</b> <PutTp>	[0..1]			780
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	<b>CallType</b> <CallTp>	[0..1]			781
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	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		781
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		782
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		782
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		782
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		782
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		783
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		783
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		783
	<b>TradingMethod</b> <TradgMtd>	[0..1]			783

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{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		784
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	784
	<b>TEFRARule</b> <TEFRARule>	[0..1]			784
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		784
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		785
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		785
	<b>Class</b> <Cls>	[0..1]	Text		785
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			785
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		785
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	786
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		786
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			786
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		787
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			787
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		788
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]	IdentifierSet	C3	788
	<b>RedemptionType</b> <RedTp>	[0..1]			788
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		788
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		789
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	789
	<b>Restriction</b> <Rstrctn>	[0..*]			789
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		790
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			790
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		791
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		791
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			791
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		791
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		792
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			792

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		792
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>InvestorType</b> <InvstrTp>	[0..*]			793
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		793
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			794
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			794
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		794
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		795
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		795
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		795
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		795
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		796
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			796
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			796
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		797
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		797
	<b>LegalForm</b> <LglForm>	[0..1]	±		797
	<b>ContactName</b> <CtctNm>	[0..1]			798
	<b>Name</b> <Nm>	[1..1]	Text		798
	<b>Identification</b> <Id>	[0..1]			798
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	799
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		799
	<b>Purpose</b> <Purp>	[0..1]	Text		799
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	799
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	800
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		800
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		800
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		800
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	800
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		801

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		801
	<b>LeadManager</b> <LeadMgr>	[0..1]			802
	<b>Name</b> <Nm>	[1..1]	Text		802
	<b>Identification</b> <Id>	[0..1]			803
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	803
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		803
	<b>Purpose</b> <Purp>	[0..1]	Text		803
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		804
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		804
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		804
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	804
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		805
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		805
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			806
	<b>Name</b> <Nm>	[1..1]	Text		806
	<b>Identification</b> <Id>	[0..1]			807
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	807
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		807
	<b>Purpose</b> <Purp>	[0..1]	Text		807
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		808
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		808
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		808
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	808
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		809
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		809
	<b>PayingAgent</b> <PngAgt>	[0..1]			810

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		810
	<b>Identification</b> <Id>	[0..1]			811
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	811
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		811
	<b>Purpose</b> <Purp>	[0..1]	Text		811
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		812
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		812
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		812
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	812
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		813
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		813
	<b>Depository</b> <Dpstry>	[0..1]			814
	<b>Name</b> <Nm>	[1..1]	Text		814
	<b>Identification</b> <Id>	[0..1]			815
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	815
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		815
	<b>Purpose</b> <Purp>	[0..1]	Text		815
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		816
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		816
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		816
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	816
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		817
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		817
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			818
	<b>Name</b> <Nm>	[1..1]	Text		818
	<b>Identification</b> <Id>	[0..1]			819
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	819

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		819
	<b>Purpose</b> <Purp>	[0..1]	Text		819
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		820
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		820
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		820
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	820
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		821
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		821

#### 27.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Impacted by:* C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification </Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### **27.4.2.2 FinancialInstrumentType <FinInstrmTp>**

*Presence:* [0..\*]

*Definition:* Provides additional details about the financial instrument.



**FinancialInstrumentType <FinInstrmTp>** contains the following **FinancialInstrument97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Equity</b> <Eqty>	[0..1]			660
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			661
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		661
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		661
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		662
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	662
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	662
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		663
	<b>Warrant</b> <Warrt>	[0..1]			663
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		664
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		665
	<b>Value</b> <Val>	[1..1]	±		665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		666
	<b>Type</b> <Tp>	[0..1]			666
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		667
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		667
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			667
	<b>Name</b> <Nm>	[1..1]	Text		668
	<b>Identification</b> <Id>	[0..1]			668
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	668
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		669
	<b>Purpose</b> <Purp>	[0..1]	Text		669
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		670
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		670
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		670
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	670
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		670

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		671
	<b>Debt</b> <Debt>	[0..1]		C11, C13	671
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	675
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	675
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		676
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		676
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		676
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		676
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		676
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		676
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		677
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		677
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		677
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		677
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		677
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		677
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		677
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		677
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		678
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		678
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		678
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		678
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		678
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		679
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		679
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		679
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		679
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		679
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			680
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		680
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		680

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		680
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		680
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	681
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		681
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		681
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		681
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		682
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		682
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	682
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		683
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		683
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		683
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		683
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		683
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		683
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		683
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		684
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		684
	<b>LotIdentification</b> <LotId>	[0..1]	Text		684
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		684
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		684
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		684
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		684
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		685
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		685
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		685
	<b>YieldCalculation</b> <YldClctn>	[0..*]			685
	<b>Value</b> <Val>	[1..1]	Rate		686
	<b>CalculationType</b> <ClctnTp>	[0..1]			686
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		686

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>RedemptionPrice</b> <RedPric>	[0..1]			689
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		689
	<b>Value</b> <Val>	[1..1]	±		690
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		690
	<b>ValueDate</b> <ValDt>	[1..1]	Date		691
	<b>ValuePeriod</b> <ValPrd>	[1..1]			691
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		691
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		692
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		692
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		692
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		692
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			692
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		693
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		694
	<b>GlobalType</b> <GblTp>	[0..1]			694
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		695
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		695
	<b>Geographics</b> <Geogcs>	[0..1]	Text		695
	<b>YieldRange</b> <YldRg>	[0..1]	±		695
	<b>CouponRange</b> <CpnRg>	[0..1]	±		696
	<b>Purpose</b> <Purp>	[0..1]	Text		696
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		696
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		697
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		697
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		697
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		697
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		697
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		697
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		698

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		698
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		698
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		698
	<b>Sector</b> <Sctr>	[0..1]	Text		699
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		699
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		699
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		699
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		699
	<b>PriceRange</b> <PricRg>	[0..1]	±		700
	<b>Derivative</b> <Deriv>	[0..1]			700
	<b>Future</b> <Futr>	[0..1]			704
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		706
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			706
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		706
	<b>Value</b> <Val>	[1..1]	±		707
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		707
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		708
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	708
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		711
	<b>TimeUnit</b> <TmUnit>	[0..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		712
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			712
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		714
	<b>Quantity</b> <Qty>	[0..1]			714
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714
	<b>SettlementType</b> <SttlmTp>	[0..1]			714
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		715

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		715
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	715
	<b>CashType</b> <CshTp>	[0..1]	Text		716
	<b>Price</b> <Pric>	[0..1]			716
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
	<b>Value</b> <Val>	[1..1]	±		717
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		717
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			718
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		718
	<b>Value</b> <Val>	[1..1]	±		719
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719
	<b>EndPrice</b> <EndPric>	[0..1]			720
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	722
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	722
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	723
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			723
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		724
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	724
	<b>Option</b> <Optn>	[0..1]			724
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			728
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		728
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		728
	<b>ConversionDate</b> <ConvDtd>	[0..1]	DateTime		728
	<b>StrikePrice</b> <StrkPric>	[0..1]			728
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		729
	<b>Value</b> <Val>	[1..1]	±		729

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		730
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		730
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			731
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		731
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		731
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		731
	<b>OptionStyle</b> <OptnStyle>	[0..1]			732
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		732
	<b>OptionType</b> <OptnTp>	[0..1]	±		732
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		733
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		733
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			733
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		733
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		734
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		734
	<b>Standardisation</b> <Stdstn>	[0..1]			734
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			735
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		735
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		736
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			736
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		738
	<b>Quantity</b> <Qty>	[0..1]			738
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738
	<b>SettlementType</b> <SttlmTp>	[0..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		739

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	739
	<b>CashType</b> <CshTp>	[0..1]	Text		740
	<b>Price</b> <Pric>	[0..1]			740
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			742
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743
	<b>EndPrice</b> <EndPric>	[0..1]			744
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	746
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	746
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	747
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			747
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		748
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	748

#### 27.4.2.2.1 Equity <Eqty>

*Presence:* [0..1]

*Definition:* Financial instrument which represents a title of ownership in a company, ie, the shareholder is entitled to a part of the company's profit - usually by payment of a dividend - and to voting rights, if any. Each company issues generally different classes of shares, eg, ordinary or common shares, which have no guaranteed amount of dividend but carry voting rights, or preferred shares, which receive dividends before ordinary shares but have no voting right.



**Equity <Eqty>** contains the following **Equity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			661
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		661
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		661
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		662
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	662
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	662
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		663

#### 27.4.2.2.1.1 PreferenceToIncome <PrefToIncm>

*Presence:* [1..1]

*Definition:* Indicates the level of priority to claim on income and assets of the company in case of the payment of dividends and in the event of a bankruptcy, for example, ordinary/common stocks, preferred stocks, subordinated debt, etc.

**PreferenceToIncome <PrefToIncm>** contains one of the following **PreferenceToIncome5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		661
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		661

##### 27.4.2.2.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Preference to income expressed as an ISO 20022 code.

*Datatype:* "PreferenceToIncome1Code" on page 2841

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

##### 27.4.2.2.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Preference to income expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.1.2 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security will no longer exist, for example, redeemable preference shares.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 27.4.2.2.1.3 NonPaidAmount <NonPdAmt>

*Presence:* [0..1]

*Definition:* Nominal amount which is not paid yet.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.1.4 ParValue <ParVal>

*Presence:* [0..1]

*Definition:* Nominal value of an equity security.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

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Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.2.1.5 VotingRightsPerShare <VtngRghtsPerShr>**

*Presence:* [0..1]

*Definition:* Number of voting rights per share.

*Datatype:* "Number" on page 2862

#### **27.4.2.2.2 Warrant <Warrt>**

*Presence:* [0..1]

*Definition:* Financial instrument that gives the holder the right to purchase shares or bonds at a given price within a specified time.

**Warrant <Warrt>** contains the following **Warrant4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		664
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		665
	<b>Value</b> <Val>	[1..1]	±		665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		666
	<b>Type</b> <Tp>	[0..1]			666
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		667
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		667
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			667
	<b>Name</b> <Nm>	[1..1]	Text		668
	<b>Identification</b> <Id>	[0..1]			668
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	668
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		669
	<b>Purpose</b> <Purp>	[0..1]	Text		669
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	669
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		670
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		670
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		670
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	670
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		670
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		671

#### 27.4.2.2.2.1 Multiplier <Mltplr>

*Presence:* [0..1]

*Definition:* Specifies the ratio or multiply factor used to convert from contracts to shares.

*Datatype:* "BaseOneRate" on page 2862

#### 27.4.2.2.2.2 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a warrant is entitled to buy the underlying instrument.

**SubscriptionPrice <SbcptPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		665
	<b>Value</b> <Val>	[1..1]	±		665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		666

#### 27.4.2.2.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates when a warrant can be exercised.

**Type <Tp>** contains one of the following **WarrantStyle3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		667
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		667

#### 27.4.2.2.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Warrant style expressed as an ISO 20022 code.

*Datatype:* "WarrantStyle1Code" on page 2857

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

#### 27.4.2.2.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Warrant style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.2.4 WarrantAgent <WarrtAgt>

*Presence:* [0..\*]

*Definition:* Entity appointed by the issuer to process the exercising of warrants, sometimes responsible for the issuance of the warrants into the market.

**WarrantAgent <WarrtAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		668
	<b>Identification &lt;Id&gt;</b>	[0..1]			668
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	668
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		669
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		669
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	669
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	669
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		670
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		670
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		670
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	670
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		670
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		671

#### 27.4.2.2.2.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.2.2.4.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	668
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		669

#### 27.4.2.2.2.4.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858



## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 27.4.2.2.4.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 27.4.2.2.4.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 27.4.2.2.4.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 27.4.2.2.4.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 27.4.2.2.2.4.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 27.4.2.2.2.4.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.2.4.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.2.4.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.2.2.4.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.2.4.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.2.3 Debt <Debt>

*Presence:* [0..1]

*Definition:* Financial instruments evidencing moneys owed by the issuer to the holder on terms as specified.

*Impacted by:* C11 "NextCallableDateAndCallableIndicatorRule", C13 "PutableDateAndPutableIndicatorRule"

**Debt** <Debt> contains the following **Debt5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	675
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	675
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		676
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		676
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		676
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		676
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		676
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		676
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		677
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		677
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		677
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		677
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		677
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		677
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		677
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		677
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		678
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		678
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		678
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		678
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		678
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		679
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		679
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		679
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		679
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		679
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			680
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		680
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		680
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		680
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		680

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	681
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		681
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		681
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		681
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		682
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		682
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	682
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		683
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		683
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		683
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		683
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		683
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		683
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		683
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		684
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		684
	<b>LotIdentification</b> <LotId>	[0..1]	Text		684
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		684
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		684
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		684
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		684
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		685
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		685
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		685
	<b>YieldCalculation</b> <YldClctn>	[0..*]			685
	<b>Value</b> <Val>	[1..1]	Rate		686
	<b>CalculationType</b> <ClctnTp>	[0..1]			686
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		686
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>RedemptionPrice</b> <RedPric>	[0..1]			689

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		689
	<b>Value</b> <Val>	[1..1]	±		690
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		690
	<b>ValueDate</b> <ValDt>	[1..1]	Date		691
	<b>ValuePeriod</b> <ValPrd>	[1..1]			691
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		691
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		692
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		692
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		692
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		692
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			692
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		693
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		694
	<b>GlobalType</b> <GblTp>	[0..1]			694
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		695
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		695
	<b>Geographics</b> <Geogcs>	[0..1]	Text		695
	<b>YieldRange</b> <YldRg>	[0..1]	±		695
	<b>CouponRange</b> <CpnRg>	[0..1]	±		696
	<b>Purpose</b> <Purp>	[0..1]	Text		696
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		696
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		697
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		697
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		697
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		697
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		697
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		697
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		698
	<b>Production</b> <Pdctn>	[0..1]	Text		698
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		698

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		698
	<b>Sector</b> <Sctr>	[0..1]	Text		699
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		699
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		699
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		699
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		699
	<b>PriceRange</b> <PricRg>	[0..1]	±		700

#### Constraints

- **NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCaldate must be present.

- **PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

#### 27.4.2.2.3.1 PaymentCurrency <PmtCcy>

*Presence:* [0..1]

*Definition:* Currency of the payment.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 27.4.2.2.3.2 FaceAmount <FaceAmt>

*Presence:* [0..1]

*Definition:* Unit value of a debt security.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.3.3 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see "[Frequency35Choice](#)" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 27.4.2.2.3.4 InterestFixingDate <IntrstFxdDt>

*Presence:* [0..1]

*Definition:* Date/time at which the rate determination is made, also called determination date.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 27.4.2.2.3.5 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* First date/time at which a security begins to accrue interest.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 27.4.2.2.3.6 FirstPaymentDate <FrstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the first interest payment is due to holders of the security.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 27.4.2.2.3.7 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 27.4.2.2.3.8 NextCouponDate <NxtCpnDt>

*Presence:* [0..1]

*Definition:* Date of the coupon attached to the physical security.

*Datatype:* "[ISODatetime](#)" on page 2858



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#### **27.4.2.2.3.9 PutableDate <PutbIDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODatetime" on page 2858

#### **27.4.2.2.3.10 NextCallableDate <NxtClbIDt>**

*Presence:* [0..1]

*Definition:* Next date/time at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODatetime" on page 2858

#### **27.4.2.2.3.11 NextFactorDate <NxtFctrDt>**

*Presence:* [0..1]

*Definition:* The date that the current factor will be changed to a new factor.

*Datatype:* "ISODatetime" on page 2858

#### **27.4.2.2.3.12 ExpirationDate <XprtnDt>**

*Presence:* [0..1]

*Definition:* Date/time at which an interest bearing security becomes due and assets are to be repaid.

*Datatype:* "ISODatetime" on page 2858

#### **27.4.2.2.3.13 PaymentDirectionIndicator <PmtDrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates the direction of payment for asset or mortgage backed securities, ie, whether the repaid capital is distributed (payment direction is down) or capitalized (payment direction is up).

*Datatype:* One of the following values must be used (see "PaymentDirectionIndicator" on page 2861):

- *Meaning When True:* Up
- *Meaning When False:* Down

#### **27.4.2.2.3.14 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.15 NextInterestRate <NxtIntrstRate>**

*Presence:* [0..1]

*Definition:* Specifies the interest rate applicable to the next interest payment period.

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.16 OddCouponIndicator <OddCpnInd>**

*Presence:* [0..1]

*Definition:* Specifies whether the payment of the coupon (interest) on a bond is off the normal schedule.

---

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.17 CallableIndicator <ClblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.18 CPPProgram <CPPrgm>**

*Presence:* [0..1]

*Definition:* The program under which a commercial paper is issued. The values that are most used are:

0 = N/A

1 = 3(a)3

2 = 4(2)

3 = 3(a)4

4 = 3(c)7

5 = 144A

6 = 3(a)2

99 = Other.

*Datatype:* ["Number" on page 2862](#)

#### **27.4.2.2.3.19 CPRegistrationType <CPRegnTp>**

*Presence:* [0..1]

*Definition:* Registration type of a commercial paper issuance.

*Datatype:* ["Max350Text" on page 2865](#)

#### **27.4.2.2.3.20 InterestAccrualDate <IntrstAcrlDt>**

*Presence:* [0..1]

*Definition:* Start date used for calculating accrued interest on debt instruments which are being sold between interest payment dates. Often but not always the same as the issue date and the dated date.

*Datatype:* ["ISODateTime" on page 2858](#)

#### **27.4.2.2.3.21 PutableIndicator <PutblInd>**

*Presence:* [0..1]

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*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.22 PreFundedIndicator <PreFnddInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is being escrowed or collateralized either by direct obligations guaranteed by the US government, or by other types of securities. The maturity schedules of the securities in the escrow fund are determined in such a way to pay the maturity value, coupon, and premium payments (if any) of the refunded bonds.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.23 EscrowedIndicator <EscrwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is deposited in a fund that will be used to pay debt service on refunded securities.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.24 PerpetualIndicator <PerptlInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security has no maturity date.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.25 SubordinatedIndicator <SubrdntdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is a subordinated security.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.26 ExtendibleIndicator <XtndblInd>**

*Presence:* [0..1]

**Definition:** Indicates whether the security is extendible, eg, repayment may be extended or maturity changed.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.2.3.27 ExtendiblePeriod <XtndblPrd>

**Presence:** [0..1]

**Definition:** Period during which a date might be extended.

**ExtendiblePeriod <XtndblPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		680
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		680
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		680

##### 27.4.2.2.3.27.1 FromDateTime <FrDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 27.4.2.2.3.27.2 ToDateTime <ToDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 27.4.2.2.3.27.3 DateTimeRange <DtTmRg>

**Presence:** [1..1]

**Definition:** Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 27.4.2.2.3.28 VariableRateIndicator <VarblRateInd>

**Presence:** [0..1]

**Definition:** Indicates whether the interest rate of an interest bearing instrument is reset periodically.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.29 OverAllotmentAmount <OverAlltmtAmt>**

*Presence:* [0..1]

*Definition:* Amount for which a security can be overallocated (as in greenshoe option).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.2.3.30 OverAllotmentRate <OverAlltmtRate>**

*Presence:* [0..1]

*Definition:* Percentage for which a security can be overallocated (as in greenshoe option).

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.31 AmortisableIndicator <AmtsblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether repayment is made via regular principal and interest payments over time.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.32 InterestCalculationMethod <IntrstClctnMtd>**

*Presence:* [0..1]

*Definition:* Calculation method used to calculate interest and/or yield for a bond, for example, street convention.

*Datatype:* "Max70Text" on page 2867

#### **27.4.2.2.3.33 CapitalisedInterest <CptlsdIntrst>**

*Presence:* [0..1]

*Definition:* Specifies whether the interest amount is capitalised until maturity date or paid out at each interest payment date.

**CapitalisedInterest <CptIsdIntrst>** contains one of the following **DistributionPolicy2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		682
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		682

#### 27.4.2.2.3.33.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as an ISO 20022 code.

*Datatype:* "DistributionPolicy1Code" on page 2813

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

#### 27.4.2.2.3.33.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.3.34 ActualDenominationAmount <ActIDnmtnAmt>

*Presence:* [0..\*]

*Definition:* Nominal value per security unit.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.2.3.35 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Identifies the current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.36 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Identifies the new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.37 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Identifies the previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.38 Pieces <Pcs>**

*Presence:* [0..1]

*Definition:* Number of pieces composing a pool of financial assets.

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.39 PoolsMaximum <PlsMax>**

*Presence:* [0..1]

*Definition:* Collection of assets by which a security is backed.

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.40 PoolsPerMillion <PlsPerMln>**

*Presence:* [0..1]

*Definition:* Indicates per million the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.41 PoolsPerLot <PlsPerLot>**

*Presence:* [0..1]

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*Definition:* Indicates per lot the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.42 PoolsPerTrade <PlsPerTrad>**

*Presence:* [0..1]

*Definition:* Indicates per trade the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.43 ConstantPrePaymentPenaltyIndicator <CstPrePmtPnltyInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a penalty might be imposed to the borrower of a mortgage in case of prepayments occurring during the lockout period.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.44 LotIdentification <LotId>**

*Presence:* [0..1]

*Definition:* Identifies the lot constituting an asset backed or mortgage backed security issue.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.2.3.45 ConstantPrePaymentYield <CstPrePmtYld>**

*Presence:* [0..1]

*Definition:* Measure of prepayment as a yield of the current outstanding loan balance.

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.46 WeightedAverageCoupon <WghtdAvrgCpn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average coupon of the fixed income instrument (expressed as a percentage).

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.3.47 WeightedAverageLife <WghtdAvrgLife>**

*Presence:* [0..1]

*Definition:* Contains the weighted average life of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.48 WeightedAverageLoan <WghtdAvrgLn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average loan of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861



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#### **27.4.2.2.3.49 WeightedAverageMaturity <WghtdAvrgMtrty>**

*Presence:* [0..1]

*Definition:* Contains the weighted average maturity of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.3.50 InsuredIndicator <InsrldInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the instrument is backed by any kind of asset or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.51 BankQualifiedIndicator <BkQlfdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is bank qualified (usually applies to loan products).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **27.4.2.2.3.52 YieldCalculation <YldClctn>**

*Presence:* [0..\*]

*Definition:* Rate of return on an investment, based on the price.

**YieldCalculation <YldClctn>** contains the following **YieldCalculation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Rate		686
	<b>CalculationType</b> <ClctnTp>	[0..1]			686
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		686
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>RedemptionPrice</b> <RedPric>	[0..1]			689
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		689
	<b>Value</b> <Val>	[1..1]	±		690
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		690
	<b>ValueDate</b> <ValDt>	[1..1]	Date		691
	<b>ValuePeriod</b> <ValPrd>	[1..1]			691
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		691
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		692
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		692
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		692

#### 27.4.2.2.3.52.1 Value <Val>

*Presence:* [1..1]

*Definition:* Result of the yield calculation.

*Datatype:* "PercentageRate" on page 2862

#### 27.4.2.2.3.52.2 CalculationType <ClctnTp>

*Presence:* [0..1]

*Definition:* Specifies the type of calculation.

**CalculationType <ClctnTp>** contains one of the following **CalculationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		686
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689

#### 27.4.2.2.3.52.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Calculation type expressed as an ISO 20022 code.

*Datatype:* "CalculationType1Code" on page 2801

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CPMD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.

CodeName	Name	Definition
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.

CodeName	Name	Definition
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

#### 27.4.2.2.3.52.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Calculation type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.3.52.3 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price to which the yield has been calculated.

**RedemptionPrice <RedPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		689
	<b>Value</b> <Val>	[1..1]	±		690
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		690

##### 27.4.2.2.3.52.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "[PriceValueType3Code](#)" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.3.52.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.3.52.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.3.52.4 ValueDate <ValDt>

*Presence:* [1..1]

*Definition:* Date/time on which the calculation is based, for example, valuation on October 1 (price date) based on price of September 19 (value date).

*Datatype:* "ISODate" on page 2858

#### 27.4.2.2.3.52.5 ValuePeriod <ValPrd>

*Presence:* [1..1]

*Definition:* Period on which the calculation is based.

**ValuePeriod <ValPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		691
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		692
Or}	<b>DateTimeRange &lt;DtTmRg&gt;</b>	[1..1]	±		692

#### 27.4.2.2.3.52.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

#### 27.4.2.2.3.52.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODateTime" on page 2858

#### 27.4.2.2.3.52.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 27.4.2.2.3.52.6 CalculationDate <ClctnDt>

*Presence:* [1..1]

*Definition:* Clarifies yield irregularities associated with date, for example when it falls on a non-business day.

*Datatype:* "ISODateTime" on page 2858

#### 27.4.2.2.3.53 InterestType <IntrstTp>

*Presence:* [0..1]

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Datatype:* "InterestType3Code" on page 2830

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

#### 27.4.2.2.3.54 InstrumentStructureType <InstrmStrTp>

*Presence:* [0..1]

*Definition:* Indicates the type of deal for structured finance.



**InstrumentStructureType <InstrmStrTp>** contains one of the following **InstrumentSubStructureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		693
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		694

#### 27.4.2.2.3.54.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as an ISO 20022 code.

*Datatype:* "InstrumentSubStructureType1Code" on page 2826

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.

CodeName	Name	Definition
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

#### 27.4.2.2.3.54.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.3.55 GlobalType <GbITp>

*Presence:* [0..1]

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

New Global Note (NGN): Form of global certificate which refers to the books and records of the ICSDs to determine the issue outstanding amount (IOA).

Classical Global Note (CGN): Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the issue outstanding amount (IOA).

**GlobalType <GbITp>** contains one of the following **GlobalNote2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		695

##### 27.4.2.2.3.55.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Global note expressed as an ISO 20022 code.

*Datatype:* "[GlobalNote1Code](#)" on page 2825

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

#### 27.4.2.2.3.55.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Global note expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.3.56 PotentialEuroSystemEligibility <PotntlEuroSysElgblty>

*Presence:* [0..1]

*Definition:* Flag to indicate the security is intended to be held in a manner that could allow the Eurosystem eligibility.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.2.3.57 Geographics <Geogcs>

*Presence:* [0..1]

*Definition:* Type of stipulation expressing geographical constraints on a fixed income instrument. It is expressed with a state or country abbreviation and a minimum or maximum percentage. Example: CA 0-80 (minimum of 80 percent in Californian assets).

*Datatype:* "[Max35Text](#)" on page 2865

#### 27.4.2.2.3.58 YieldRange <YldRg>

*Presence:* [0..1]

*Definition:* Range of allowed yield.

**YieldRange <YldRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 27.4.2.2.3.59 CouponRange <CpnRg>

*Presence:* [0..1]

*Definition:* Identification of a range of coupon numbers attached to its related financial instrument.

**CouponRange <CpnRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 27.4.2.2.3.60 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 27.4.2.2.3.61 AlternativeMinimumTaxIndicator <AltrntvMinTaxInd>

*Presence:* [0..1]

*Definition:* Identifies whether the issue is subject to alternative minimum taxation (used for municipal bonds).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.2.3.62 AutoReinvestment <AutoRinvstmt>

*Presence:* [0..1]

*Definition:* Indicates an instruction to reinvest dividends in the underlying security (or proceeds at maturity in a similar instrument) if the current rate is <rate> or better.

*Datatype:* "PercentageRate" on page 2862

#### 27.4.2.2.3.63 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 27.4.2.2.3.64 TransactionConditions <TxConds>

*Presence:* [0..1]

*Definition:* Indicates the conditions under which the order/trade is to be/was executed.

**TransactionConditions <TxConds>** contains one of the following elements (see "TradeTransactionCondition7Choice" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 27.4.2.2.3.65 LookBack <LookBck>

*Presence:* [0..1]

*Definition:* Indicates an instruction or attribute giving the number of days to be included in the look-back period for the investment. For example some options allow exercise based on the underlying asset's optimal value over the look-back period.

*Datatype:* "Number" on page 2862

#### 27.4.2.2.3.66 MaximumSubstitution <MaxSbstitn>

*Presence:* [0..1]

*Definition:* Maximum number of time the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 27.4.2.2.3.67 MinimumIncrement <MinIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable increments of a security.

**MinimumIncrement <MinIncrmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.2.3.68 MinimumQuantity <MinQty>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable quantity of a security.

**MinimumQuantity <MinQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.2.3.69 Production <Pdctn>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond, particularly an mortgage back security (MBS), issued in a particular year.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.3.70 RestrictedIndicator <RstrctdInd>

*Presence:* [0..1]

*Definition:* Identifies if the securities is restricted or not (as per Rule 144).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.2.3.71 PriceFrequency <PricFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the frequency at which the bond is re-rated and therefore re-priced (bond attribute, particularly of floating rate and index linked instruments).

**PriceFrequency <PricFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 27.4.2.2.3.72 Sector <Sctr>

*Presence:* [0..1]

*Definition:* Indicates the market sector the security is classified as for example pharmaceuticals, automobile, housing, etc.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.3.73 SubstitutionFrequency <SbstitnFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the maximum number of times collateral can be substituted.

**SubstitutionFrequency <SbstitnFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 27.4.2.2.3.74 SubstitutionLeft <SbstitnLft>

*Presence:* [0..1]

*Definition:* Number of remaining times the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 27.4.2.2.3.75 WholePoolIndicator <WhlPoolInd>

*Presence:* [0..1]

*Definition:* Indicates a search criterion when looking to buy a mortgaged backed security that either is [yes] or is not [no] an entire pool.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.2.3.76 PriceSource <PricSrc>

*Presence:* [0..1]

*Definition:* Identifies the Benchmark source price (eg. BB Generic, BB Fairvalue, Brokertec.).

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.3.77 PriceRange <PricRg>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond within a particular price range.

**PriceRange <PricRg>** contains the following elements (see "[AmountOrPercentageRange1](#)" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 27.4.2.2.4 Derivative <Deriv>

*Presence:* [0..1]

*Definition:* Choice between type of derivatives.



**Derivative <Deriv>** contains the following **Derivative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Future</b> <Futr>	[0..1]			704
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		706
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			706
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		706
	<b>Value</b> <Val>	[1..1]	±		707
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		707
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		708
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	708
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		711
	<b>TimeUnit</b> <TmUnit>	[0..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		712
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			712
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		714
	<b>Quantity</b> <Qty>	[0..1]			714
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714
	<b>SettlementType</b> <SttlmTp>	[0..1]			714
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		715
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		715
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	715
	<b>CashType</b> <CshTp>	[0..1]	Text		716
	<b>Price</b> <Pric>	[0..1]			716
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
	<b>Value</b> <Val>	[1..1]	±		717
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		717
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			718
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		718
	<b>Value</b> <Val>	[1..1]	±		719

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719
	<b>EndPrice</b> <EndPric>	[0..1]			720
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	722
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	722
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	723
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			723
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		724
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	724
	<b>Option</b> <Optn>	[0..1]			724
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			728
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		728
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		728
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		728
	<b>StrikePrice</b> <StrkPric>	[0..1]			728
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		729
	<b>Value</b> <Val>	[1..1]	±		729
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		730
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		730
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			731
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		731
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		731
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		731
	<b>OptionStyle</b> <OptnStyle>	[0..1]			732
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		732
	<b>OptionType</b> <OptnTp>	[0..1]	±		732

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		733
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		733
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			733
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		733
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		734
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		734
	<b>Standardisation</b> <Stdstn>	[0..1]			734
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			735
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		735
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		736
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			736
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		738
	<b>Quantity</b> <Qty>	[0..1]			738
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738
	<b>SettlementType</b> <SttlmTp>	[0..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		739
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	739
	<b>CashType</b> <CshTp>	[0..1]	Text		740
	<b>Price</b> <Pric>	[0..1]			740
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			742
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743
	<b>EndPrice</b> <EndPric>	[0..1]			744
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	746
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	746
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	747
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			747
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		748
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	748

#### 27.4.2.2.4.1 Future <Futr>

*Presence:* [0..1]

*Definition:* Parameters for contracts which obligate the buyer to receive and the seller to deliver in the future the assets specified at an agreed price.

**Future <Futr>** contains the following **Future4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		706
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			706
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		706
	<b>Value</b> <Val>	[1..1]	±		707
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		707
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		708
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	708
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		711
	<b>TimeUnit</b> <TmUnit>	[0..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		712
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			712
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		714
	<b>Quantity</b> <Qty>	[0..1]			714
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714
	<b>SettlementType</b> <SttlmTp>	[0..1]			714
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		715
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		715
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	715
	<b>CashType</b> <CshTp>	[0..1]	Text		716
	<b>Price</b> <Pric>	[0..1]			716
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
	<b>Value</b> <Val>	[1..1]	±		717
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		717
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			718
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		718
	<b>Value</b> <Val>	[1..1]	±		719
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EndPrice</b> <EndPric>	[0..1]			720
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	722
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	722
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	723
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			723
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		724
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	724

#### 27.4.2.2.4.1.1 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "BaseOneRate" on page 2862

#### 27.4.2.2.4.1.2 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a Future will have to buy or sell the underlying instrument.

**ExercisePrice** <ExrcPric> contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		706
	<b>Value</b> <Val>	[1..1]	±		707
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		707

##### 27.4.2.2.4.1.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.1.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.1.3 FutureDate <FutrDt>

*Presence:* [0..1]

*Definition:* Date on which future contracts settle.

*Datatype:* "ISODatetime" on page 2858

#### 27.4.2.2.4.1.4 MinimumSize <MinSz>

*Presence:* [0..1]

*Definition:* Specifies the minimum ratio or multiply factor used to convert from contracts to shares.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.1.5 UnitOfMeasure <UnitOfMeasr>

*Presence:* [0..1]

*Definition:* Used to indicate the measurement unit of the underlying commodity on which the contract is based (for example, 2500 lbs of lean cattle, 1000 barrels of crude oil, 1000 bushels of corn, etc.).

**UnitOfMeasure <UnitOfMeasr>** contains one of the following **UnitOfMeasure7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		709
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		711

##### 27.4.2.2.4.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as an ISO 20022 code.

*Datatype:* "UnitOfMeasure9Code" on page 2855

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.

CodeName	Name	Definition
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuldOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.

CodeName	Name	Definition
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

#### 27.4.2.2.4.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.1.6 TimeUnit <TmUnit>

*Presence:* [0..1]

*Definition:* Used to indicate a time unit for the contract (for example days, weeks, months, etc.).

**TimeUnit <TmUnit>** contains one of the following **TimeUnit3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		712
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		712

#### 27.4.2.2.4.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Time unit expressed as an ISO 20022 code.

*Datatype:* "TimeUnit1Code" on page 2853

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

#### 27.4.2.2.4.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Time unit expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.1.7 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		714
	<b>Quantity</b> <Qty>	[0..1]			714
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714
	<b>SettlementType</b> <SttlmTp>	[0..1]			714
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		715
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		715
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	715
	<b>CashType</b> <CshTp>	[0..1]	Text		716
	<b>Price</b> <Pric>	[0..1]			716
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
	<b>Value</b> <Val>	[1..1]	±		717
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		717
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			718
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		718
	<b>Value</b> <Val>	[1..1]	±		719
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719
	<b>EndPrice</b> <EndPric>	[0..1]			720
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	722
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	722
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	723
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			723
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		724
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	724

#### 27.4.2.2.4.1.7.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 27.4.2.2.4.1.7.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		714
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	714

#### 27.4.2.2.4.1.7.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 27.4.2.2.4.1.7.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.1.7.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		715
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		715

#### 27.4.2.2.4.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 27.4.2.2.4.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.1.7.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.1.7.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.4.1.7.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		716
	<b>Value</b> <Val>	[1..1]	±		717
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		717

##### 27.4.2.2.4.1.7.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.



CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.1.7.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.1.7.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.1.7.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		718
	<b>Value</b> <Val>	[1..1]	±		719
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		719

#### 27.4.2.2.4.1.7.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.1.7.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.1.7.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.1.7.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		720
	<b>Value</b> <Val>	[1..1]	±		721
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		721

##### 27.4.2.2.4.1.7.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.1.7.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.1.7.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.1.7.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.1.7.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.1.7.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.1.7.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		723
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	724

#### 27.4.2.2.4.1.7.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.4.1.7.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.2.4.1.7.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.4.1.7.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.2.4.2 Option <Optn>**

*Presence:* [0..1]



*Definition:* Contracts which grant to the holder either the privilege to purchase or the privilege to sell the assets specified at a predetermined price or formula at or within a time in the future.

**Option <Optn> contains the following Option15 elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			728
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		728
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		728
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		728
	<b>StrikePrice</b> <StrkPric>	[0..1]			728
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		729
	<b>Value</b> <Val>	[1..1]	±		729
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		730
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		730
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			731
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		731
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		731
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		731
	<b>OptionStyle</b> <OptnStyle>	[0..1]			732
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		732
	<b>OptionType</b> <OptnTp>	[0..1]	±		732
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		733
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		733
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			733
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		733
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		734
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		734
	<b>Standardisation</b> <Stdstrn>	[0..1]			734
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			735
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		735
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		736

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			736
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		738
	<b>Quantity</b> <Qty>	[0..1]			738
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738
	<b>SettlementType</b> <SttlmTp>	[0..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		739
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	739
	<b>CashType</b> <CshTp>	[0..1]	Text		740
	<b>Price</b> <Pric>	[0..1]			740
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			742
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743
	<b>EndPrice</b> <EndPric>	[0..1]			744
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	746
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	746
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	747
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			747
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		748
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	748

#### 27.4.2.2.4.2.1 OptionSettlementStyle <OptnSttlmStyle>

*Presence:* [0..1]

*Definition:* Specifies whether the option contract settles at the open or close of the market.

**OptionSettlementStyle <OptnSttlmStyle>** contains one of the following **SettleStyle2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		728
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		728

##### 27.4.2.2.4.2.1.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Settle style expressed as an ISO 20022 code.

*Datatype:* "SettleStyle1Code" on page 2851

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

##### 27.4.2.2.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settle style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 27.4.2.2.4.2.2 ConversionDate <ConvsDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted according to the terms of the issue.

*Datatype:* "ISODatetime" on page 2858

##### 27.4.2.2.4.2.3 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Amount of money for which goods, services or assets are offered, sold, or bought.

**StrikePrice <StrkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		729
	<b>Value</b> <Val>	[1..1]	±		729
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		730

#### 27.4.2.2.4.2.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.2.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.2.4 MinimumExercisableQuantity <MinExrcblQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that must be exercised.

**MinimumExercisableQuantity <MinExrcblQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.2.4.2.5 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		731
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		731
Or}	DateTimeRange <DtTmRg>	[1..1]	±		731

##### 27.4.2.2.4.2.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODatetime" on page 2858

##### 27.4.2.2.4.2.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

##### 27.4.2.2.4.2.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 27.4.2.2.4.2.6 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following **OptionStyle1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		732

##### 27.4.2.2.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style is defined using a code.

*Datatype:* "OptionStyle1Code" on page 2835

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

##### 27.4.2.2.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style is defined using a data source scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 27.4.2.2.4.2.7 OptionType <OptnTp>

*Presence:* [0..1]



**Definition:** Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see "OptionType8Choice" on page 2684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 27.4.2.2.4.2.8 StrikeValue <StrkVal>

**Presence:** [0..1]

**Definition:** Used for derivatives. The number of shares/units for the financial instrument involved in the option trade.

**Datatype:** "Number" on page 2862

#### 27.4.2.2.4.2.9 StrikeMultiplier <StrkMltplr>

**Presence:** [0..1]

**Definition:** Used for derivatives. Multiplier applied to the strike price for the purpose of calculating the settlement value.

**Datatype:** "Number" on page 2862

#### 27.4.2.2.4.2.10 InstrumentAssignmentMethod <InstrmAssgnmtMtd>

**Presence:** [0..1]

**Definition:** Method under which assignment was conducted.

**InstrumentAssignmentMethod <InstrmAssgnmtMtd>** contains one of the following **AssignmentMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		733
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733

##### 27.4.2.2.4.2.10.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Assignment method expressed as an ISO 20022 code.

**Datatype:** "AssignmentMethod1Code" on page 2799

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

##### 27.4.2.2.4.2.10.2 Proprietary <Prtry>

**Presence:** [1..1]

*Definition:* Assignment method expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.2.11 VersionNumber <VrsnNb>

*Presence:* [0..1]

*Definition:* Number allocated by options exchanges to record that an option has undergone a change in its contract specifications (particularly adjustment of the strike price).

*Datatype:* "[Number](#)" on page 2862

#### 27.4.2.2.4.2.12 ExpiryLocation <XpryLctn>

*Presence:* [0..1]

*Definition:* Financial center where option expires.

*Datatype:* "[Max4AlphaNumericText](#)" on page 2866

#### 27.4.2.2.4.2.13 Standardisation <Stdstn>

*Presence:* [0..1]

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

**Standardisation <Stdstn>** contains one of the following **Standardisation3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735

##### 27.4.2.2.4.2.13.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Standardisation expressed as an ISO 20022 code.

*Datatype:* "[Standardisation1Code](#)" on page 2851

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying

CodeName	Name	Definition
		instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

#### 27.4.2.2.4.2.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Standardisation expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.2.14 TradingPartyRole <TradgPtyRole>

*Presence:* [0..1]

*Definition:* Specifies the party which is the buyer or the seller.

**TradingPartyRole <TradgPtyRole>** contains one of the following **OptionParty3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		735
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735

##### 27.4.2.2.4.2.14.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Option party expressed as an ISO 20022 code.

*Datatype:* "[OptionParty1Code](#)" on page 2835

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

##### 27.4.2.2.4.2.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option party expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.2.15 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "[BaseOneRate](#)" on page 2862

#### 27.4.2.2.4.2.16 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		738
	<b>Quantity</b> <Qty>	[0..1]			738
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738
	<b>SettlementType</b> <SttlmTp>	[0..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		739
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	739
	<b>CashType</b> <CshTp>	[0..1]	Text		740
	<b>Price</b> <Pric>	[0..1]			740
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			742
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743
	<b>EndPrice</b> <EndPric>	[0..1]			744
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	746
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	746
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	747
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			747
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		748
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	748

#### 27.4.2.2.4.2.16.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 27.4.2.2.4.2.16.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		738
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	738

##### 27.4.2.2.4.2.16.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 27.4.2.2.4.2.16.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.2.16.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		739
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		739

#### 27.4.2.2.4.2.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 27.4.2.2.4.2.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.2.4.2.16.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.2.16.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.2.4.2.16.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		740
	<b>Value</b> <Val>	[1..1]	±		741
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		741

##### 27.4.2.2.4.2.16.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.



CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.2.16.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.2.16.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.2.16.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		742
	<b>Value</b> <Val>	[1..1]	±		743
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		743

#### 27.4.2.2.4.2.16.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.2.16.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.2.16.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.2.16.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		744
	<b>Value</b> <Val>	[1..1]	±		745
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		745

#### 27.4.2.2.4.2.16.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.2.4.2.16.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.2.4.2.16.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.2.4.2.16.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.2.16.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.2.16.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.2.4.2.16.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		747
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	748

#### 27.4.2.2.4.2.16.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

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*Datatype:* "DecimalNumber" on page 2861

#### **27.4.2.2.4.2.16.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.2.4.2.16.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **27.4.2.2.4.2.16.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **27.4.2.3 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..\*]



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*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following  
**CommonFinancialInstrumentAttributes10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityStatus</b> <SctySts>	[0..1]			757
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		757
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		758
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		758
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		758
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		758
	<b>DenominationCurrency</b> <DnmtnCcy>	[1..1]	CodeSet	C2	758
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		759
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		759
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		759
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		759
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		759
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		759
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			760
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		760
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		760
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		760
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		761
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		761
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		761
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		761
	<b>Purpose</b> <Purp>	[0..1]	Text		761
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			762
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		762
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		762
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		762
	<b>Issuance</b> <Issnc>	[0..1]			762
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		764
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	764

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssueDate</b> <IssDt>	[0..1]	Date		764
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		764
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		764
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			764
	<b>Name</b> <Nm>	[1..1]	Text		765
	<b>Identification</b> <Id>	[0..1]			765
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	765
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		766
	<b>Purpose</b> <Purp>	[0..1]	Text		766
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		767
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		767
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		767
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	767
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		767
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		768
	<b>IssueNominalAmount</b> <IssNmnlAmt>	[0..1]	±		768
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	768
	<b>IssueSize</b> <IssSz>	[0..1]	Quantity		769
	<b>IssuePrice</b> <IssPric>	[0..1]	±		769
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			769
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		770
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		770
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			770
	<b>Identification</b> <Id>	[0..1]	Text		770
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	770
	<b>TradingMarket</b> <TradgMkt>	[0..*]			771
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		771
	<b>RoundLot</b> <RndLot>	[0..1]	±		771
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		772

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		772
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			772
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		772
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	772
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			773
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		773
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	773
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		774
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		774
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			774
	<b>Spread</b> <Sprd>	[0..1]	Quantity		774
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	775
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			776
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		776
	<b>Value</b> <Val>	[1..1]	±		777
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		777
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	778
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			778
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		778
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		779
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		780
	<b>PutType</b> <PutTp>	[0..1]			780
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		780
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		780
	<b>CallType</b> <CallTp>	[0..1]			781
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		781
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		781
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		781
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		782

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		782
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		782
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		782
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		783
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		783
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		783
	<b>TradingMethod</b> <TradgMtd>	[0..1]			783
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		784
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	784
	<b>TEFRARule</b> <TEFRARule>	[0..1]			784
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		784
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		785
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		785
	<b>Class</b> <Cls>	[0..1]	Text		785
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			785
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		785
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	786
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		786
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			786
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		787
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			787
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		788
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]	IdentifierSet	C3	788
	<b>RedemptionType</b> <RedTp>	[0..1]			788
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		788
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		789
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	789
	<b>Restriction</b> <Rstrctn>	[0..*]			789
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		790

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			790
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		791
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		791
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			791
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		791
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		792
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			792
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		792
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>InvestorType</b> <InvstrTp>	[0..*]			793
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		793
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			794
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			794
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		794
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		795
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		795
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		795
	<b>MinimumMultipleQuantity</b> <MinMltpQty>	[0..1]	±		795
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		796
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			796
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			796
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		797
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		797
	<b>LegalForm</b> <LglForm>	[0..1]	±		797
	<b>ContactName</b> <CtctNm>	[0..1]			798
	<b>Name</b> <Nm>	[1..1]	Text		798
	<b>Identification</b> <Id>	[0..1]			798
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	799
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		799
	<b>Purpose</b> <Purp>	[0..1]	Text		799

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	799
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	800
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		800
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		800
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		800
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	800
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		801
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		801
	<b>LeadManager</b> <LeadMgr>	[0..1]			802
	<b>Name</b> <Nm>	[1..1]	Text		802
	<b>Identification</b> <Id>	[0..1]			803
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	803
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		803
	<b>Purpose</b> <Purp>	[0..1]	Text		803
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		804
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		804
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		804
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	804
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		805
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		805
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			806
	<b>Name</b> <Nm>	[1..1]	Text		806
	<b>Identification</b> <Id>	[0..1]			807
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	807
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		807
	<b>Purpose</b> <Purp>	[0..1]	Text		807
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		808

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		808
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		808
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	808
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		809
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		809
	<b>PayingAgent</b> <PngAgt>	[0..1]			810
	<b>Name</b> <Nm>	[1..1]	Text		810
	<b>Identification</b> <Id>	[0..1]			811
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	811
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		811
	<b>Purpose</b> <Purp>	[0..1]	Text		811
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		812
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		812
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		812
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	812
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		813
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		813
	<b>Depository</b> <Dpstry>	[0..1]			814
	<b>Name</b> <Nm>	[1..1]	Text		814
	<b>Identification</b> <Id>	[0..1]			815
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	815
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		815
	<b>Purpose</b> <Purp>	[0..1]	Text		815
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		816
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		816
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		816
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	816



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		817
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		817
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			818
	<b>Name</b> <Nm>	[1..1]	Text		818
	<b>Identification</b> <Id>	[0..1]			819
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	819
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		819
	<b>Purpose</b> <Purp>	[0..1]	Text		819
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		820
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		820
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		820
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	820
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		821
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		821

#### 27.4.2.3.1 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus** <SctySts> contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		757
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		758

##### 27.4.2.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

#### 27.4.2.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.2 ISOSecurityLongName <ISOsctyLngNm>

*Presence:* [0..1]

*Definition:* Name of the security.

*Datatype:* "[Max350Text](#)" on page 2865

#### 27.4.2.3.3 ISOSecurityShortName <ISOsctyShrtNm>

*Presence:* [0..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* "[Max35Text](#)" on page 2865

#### 27.4.2.3.4 NameValidFrom <NmVldFr>

*Presence:* [0..1]

*Definition:* Defines the date since when the name of the security is valid.

**NameValidFrom <NmVldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 27.4.2.3.5 DenominationCurrency <DnmtnCcy>

*Presence:* [1..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)

*Datatype:* "[ActiveOrHistoricCurrencyCode](#)" on page 2798

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## Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 27.4.2.3.6 CertificateNumber <CertNb>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier of a certificate assigned by the issuer.

*Datatype:* "Max35Text" on page 2865

### 27.4.2.3.7 ContractVersionNumber <CtrctVrsnNb>

*Presence:* [0..1]

*Definition:* Version number assigned to the contract associated with the security.

*Datatype:* "Number" on page 2862

### 27.4.2.3.8 CouponAttachedNumber <CpnAttchdNb>

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

*Datatype:* "Max3NumericText" on page 2865

### 27.4.2.3.9 TaxLotNumber <TaxLotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

*Datatype:* "Max15NumericText" on page 2864

### 27.4.2.3.10 PoolNumber <PoolNb>

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

*Datatype:* "Max15NumericText" on page 2864

### 27.4.2.3.11 CoveredIndicator <CvrdInd>

*Presence:* [0..1]

*Definition:* Indicates whether the derivative product is covered or not by an underlying financial instrument position.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.3.12 LegalRestrictions <LglRstrctns>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictions <LglRstrctns>** contains one of the following **LegalRestrictions4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		760
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		760

##### 27.4.2.3.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions1Code" on page 2831

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

##### 27.4.2.3.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 27.4.2.3.13 PositionLimit <PosLmt>

*Presence:* [0..1]

*Definition:* Position limits are created for the purpose of maintaining stable and fair markets. Contracts held by one individual investor with different brokers may be combined in order to gauge accurately the level of control held by one party.

Each option and futures contract will have varying position limits.

**PositionLimit <PosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.14 NearTermPositionLimit <NearTermPosLmt>

*Presence:* [0..1]

*Definition:* Near-term position limit for the instrument.

**NearTermPositionLimit <NearTermPosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.15 ListingDate <ListgDt>

*Presence:* [0..1]

*Definition:* Original Date/time at which the security is listed at the specific exchange or trading venue.

*Datatype:* "ISODate" on page 2858

#### 27.4.2.3.16 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck to note which parties are entitled to receive the entitlement to a corporate event or vote at a meeting.

*Datatype:* "ISODateTime" on page 2858

#### 27.4.2.3.17 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### 27.4.2.3.18 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

### 27.4.2.3.19 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Information allowing the classification of a financial instrument, for example, with its ISO CFI (Classification of Financial Instrument) or product type.

**ClassificationType <ClssfctnTp>** contains the following **ClassificationType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		762
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		762
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		762

#### 27.4.2.3.19.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 27.4.2.3.19.2 FinancialInstrumentProductTypeCode <FinInstrmPdctTpCd>

*Presence:* [0..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstrumentProductType1Code" on page 2817

#### 27.4.2.3.19.3 AlternateClassification <AltrnClssfctn>

*Presence:* [0..\*]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 27.4.2.3.20 Issuance <Issnc>

*Presence:* [0..1]

*Definition:* Details regarding the issuance of an asset.

**Issuance <Issnc>** contains the following **Issuance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		764
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	764
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		764
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		764
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		764
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			764
	<b>Name</b> <Nm>	[1..1]	Text		765
	<b>Identification</b> <Id>	[0..1]			765
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	765
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		766
	<b>Purpose</b> <Purp>	[0..1]	Text		766
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	766
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		767
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		767
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		767
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	767
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		767
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		768
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		768
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	768
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		769
	<b>IssuePrice</b> <IssePric>	[0..1]	±		769
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			769
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		770
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		770
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			770
	<b>Identification</b> <Id>	[0..1]	Text		770
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	770

---

#### **27.4.2.3.20.1 IssuePlace <IssePlc>**

*Presence:* [0..1]

*Definition:* Indicates where the financial instrument was issued.

*Datatype:* "MICIdentifier" on page 2860

#### **27.4.2.3.20.2 CountryOfIssue <CtryOfIsse>**

*Presence:* [0..1]

*Definition:* Country where a security is issued by the issuer or its agent.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.20.3 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.20.4 AnnouncementDate <AnncmntDt>**

*Presence:* [0..1]

*Definition:* Date/time, as announced by the issuer, at which the securities will be issued.

*Datatype:* "ISODateTime" on page 2858

#### **27.4.2.3.20.5 ISINValidFrom <ISINVldFr>**

*Presence:* [0..1]

*Definition:* Defines the date from which the instrument code is valid. This date can be before the actual issue date of an instrument for 'when-issued' securities, but may not be a date in the future for a new security.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.20.6 IssuerOrganisation <IssrOrg>**

*Presence:* [0..1]

*Definition:* Legal entity that has the right to issue securities.



**IssuerOrganisation <IssrOrg>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		765
	<b>Identification &lt;Id&gt;</b>	[0..1]			765
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	765
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		766
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		766
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	766
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	766
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		767
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		767
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		767
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	767
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		767
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		768

#### 27.4.2.3.20.6.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.3.20.6.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	765
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		766

#### 27.4.2.3.20.6.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 27.4.2.3.20.6.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 27.4.2.3.20.6.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 27.4.2.3.20.6.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 27.4.2.3.20.6.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 27.4.2.3.20.6.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

### 27.4.2.3.20.6.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 27.4.2.3.20.6.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 27.4.2.3.20.6.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

### 27.4.2.3.20.6.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.20.6.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.20.7 IssueNominalAmount <IsseNmnlAmt>

*Presence:* [0..1]

*Definition:* Total original amount or quantity published.

**IssueNominalAmount <IsseNmnlAmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.20.8 FullIssuedAmount <FullIssdAmt>

*Presence:* [0..1]

**Definition:** Figure used as a control to verify whether the information provided is correct. It represents the issue size multiplied by the issue price.

**Impacted by:** C1 "ActiveCurrency", C5 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.3.20.9 IssueSize <IsseSz>

**Presence:** [0..1]

**Definition:** Represents the total amount/quantity of the proceeds from the sale of all securities in the initial offering. This amount/quantity is known after the new issue is priced.

**Datatype:** "Number" on page 2862

#### 27.4.2.3.20.10 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of the asset.

**IssuePrice <IssePric>** contains the following elements (see "PriceValue1" on page 2724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

#### 27.4.2.3.20.11 IssuanceDistribution <IssncDstrbtn>

**Presence:** [0..1]

**Definition:** Way in which the issue will be marketed to the primary market, via individual dealers (so called non syndicated distribution) or via a syndicate of managers, underwriters and selling group members (so called syndicated distribution).

**IssuanceDistribution <IssncDstrbtn>** contains one of the following **SecuritiesTransactionType31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		770
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		770

#### 27.4.2.3.20.11.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType11Code" on page 2849

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

#### 27.4.2.3.20.11.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.20.12 GoverningLaw <GovngLaw>

*Presence:* [0..\*]

*Definition:* Jurisdiction (country, county, state, province, city) of the issue.

**GoverningLaw <GovngLaw>** contains the following **Jurisdiction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		770
	Country <Ctry>	[0..1]	CodeSet	C4	770

#### 27.4.2.3.20.12.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Specifies the jurisdiction (county, state, province, city).

*Datatype:* "Max70Text" on page 2867

#### 27.4.2.3.20.12.2 Country <Ctry>

*Presence:* [0..1]

*Definition:* Specifies the country.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 27.4.2.3.21 TradingMarket <TradgMkt>

*Presence:* [0..\*]

*Definition:* Market(s) on which the security is traded.

**TradingMarket <TradgMkt>** contains the following **TradingParameters2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		771
	<b>RoundLot</b> <RndLot>	[0..1]	±		771
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		772
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		772
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			772
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		772
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	772
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			773
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		773
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	773
	<b>MinimumTradingPricingIncrement</b> <MinTradgPrigcIncrmt>	[0..1]	Quantity		774
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		774

##### 27.4.2.3.21.1 MarketIdentification <MktId>

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, ie, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS) (MIC - ISO 3166).

*Datatype:* "MICIdentifier" on page 2860

##### 27.4.2.3.21.2 RoundLot <RndLot>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**RoundLot <RndLot>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.21.3 TradeLotSize <TradLotSz>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**TradeLotSize <TradLotSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.21.4 SecondaryPlaceOfListing <ScndryPlcOfListg>

*Presence:* [0..5]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 27.4.2.3.21.5 MinimumTradedNominalQuantity <MinTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**MinimumTradedNominalQuantity <MinTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		772
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	772

##### 27.4.2.3.21.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 27.4.2.3.21.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]



*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.3.21.6 MaximumTradedNominalQuantity <MaxTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Maximum number of securities that can be traded.

**MaximumTradedNominalQuantity <MaxTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		773
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	773

##### 27.4.2.3.21.6.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 27.4.2.3.21.6.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.3.21.7 MinimumTradingPricingIncrement <MinTradgPricgIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum or smallest movement (up or down) in the price allowed for the security.

*Datatype:* "Number" on page 2862

#### 27.4.2.3.21.8 PrimaryPlaceOfListingIdentification <PmryPlcOfListgld>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 27.4.2.3.22 SpreadAndBenchmarkCurve <SprdAndBchmkCrv>

*Presence:* [0..\*]

*Definition:* Indicates the spread to benchmark details of an indication of interest.

**SpreadAndBenchmarkCurve <SprdAndBchmkCrv>** contains the following **BenchmarkCurve6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Spread</b> <Sprd>	[0..1]	Quantity		774
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	775
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			776
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		776
	<b>Value</b> <Val>	[1..1]	±		777
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		777
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	778
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			778
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		778
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		779
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		780

#### 27.4.2.3.22.1 Spread <Sprd>

*Presence:* [0..1]

**Definition:** Either a swap spread or spread to benchmark depending upon order type. In case of a spread to benchmark, the price offset is expressed in terms of basis points relative to a benchmark - this can be a positive or a negative spread. In case of a swap spread, the price offset is a target spread for a swap.

**Datatype:** "DecimalNumber" on page 2861

#### 27.4.2.3.22.2 BenchmarkIdentification <BchmkId>

**Presence:** [0..1]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**BenchmarkIdentification <BchmkId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 27.4.2.3.22.3 BenchmarkPrice <BchmkPric>

*Presence:* [0..1]

*Definition:* Identifies the price of the benchmark security.

**BenchmarkPrice <BchmkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		776
	<b>Value</b> <Val>	[1..1]	±		777
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		777

##### 27.4.2.3.22.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 27.4.2.3.22.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 27.4.2.3.22.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.

CodeName	Name	Definition
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 27.4.2.3.22.4 BenchmarkCurveCurrency <BchmkCrvCcy>

*Presence:* [0..1]

*Definition:* Identifies the currency used for the benchmark curve.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 27.4.2.3.22.5 BenchmarkCurveName <BchmkCrvNm>

*Presence:* [0..1]

*Definition:* Identifies the name of the benchmark curve.

**BenchmarkCurveName <BchmkCrvNm>** contains one of the following **BenchmarkCurveName7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		778
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		779

##### 27.4.2.3.22.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as an ISO 20022 code.

*Datatype:* "BenchmarkCurveName1Code" on page 2800

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2

CodeName	Name	Definition
		years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	<p>Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.</p> <p>London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.</p>
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	<p>In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.</p> <p>The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.</p>
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	<p>Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.</p>

#### 27.4.2.3.22.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.22.6 BenchmarkCurvePoint <BchmkCrvPt>

*Presence:* [0..1]

*Definition:* Identifies a point on a benchmark curve. The point can be stated via a combination of maturity month/year and coupon.

*Datatype:* "[Max256Text](#)" on page 2865

#### 27.4.2.3.23 PutType <PutTp>

*Presence:* [0..1]

*Definition:* Represents the type of put.

**PutType <PutTp>** contains one of the following **PutType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		780
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		780

##### 27.4.2.3.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Put type expressed as an ISO 20022 code.

*Datatype:* "[PutType1Code](#)" on page 2843

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

##### 27.4.2.3.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Put type expressed as a proprietary code.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.24 CallType <CallTp>

*Presence:* [0..1]

*Definition:* Represents the type of call.

**CallType <CallTp>** contains one of the following **CallType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		781
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		781

##### 27.4.2.3.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Call type expressed as an ISO 20022 code.

*Datatype:* "[CallType1Code](#)" on page 2803

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

##### 27.4.2.3.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Call type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.25 FungibleIndicator <Fngblnd>

*Presence:* [0..1]

**Definition:** Indicates whether a security is interchangeable, ie, the security is allowed to be replaced by another security, without loss of value.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.3.26 Confidential <Cnfdtl>

**Presence:** [0..1]

**Definition:** Indicates whether the details of the security are to be made available to the market, or kept private between ICSDs and agency network.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.3.27 PrivatePlacement <PrvtPlcmnt>

**Presence:** [0..1]

**Definition:** The sale of securities directly to private persons, institutional investors, or both outside a public offering. Such non-public deals (often without a publicly available prospectus) closing through the ICSDs are placed directly with investors.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.3.28 ConvertibleIndicator <ConvtblInd>

**Presence:** [0..1]

**Definition:** Indicates whether the investor or the issuer has a conversion option.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 27.4.2.3.29 ConversionPeriod <ConvsPrd>

**Presence:** [0..1]

**Definition:** Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 27.4.2.3.30 ConversionRatioNumerator <ConvsRatioNmtr>

*Presence:* [0..1]

*Definition:* Number of target securities for the conversion.

**ConversionRatioNumerator <ConvsRatioNmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.31 ConversionRatioDenominator <ConvsRatioDnmtr>

*Presence:* [0..1]

*Definition:* Number of held securities for the conversion.

**ConversionRatioDenominator <ConvsRatioDnmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.32 PrimaryPlaceOfDeposit <PmryPlcOfDpst>

*Presence:* [0..1]

*Definition:* Primary place of deposit.

**PrimaryPlaceOfDeposit <PmryPlcOfDpst>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 27.4.2.3.33 TradingMethod <TradgMtd>

*Presence:* [0..1]

*Definition:* Indicates whether the notional amount value is to be traded in either an amount or in units.

**TradingMethod <TradgMtd>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		784
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	784

#### 27.4.2.3.33.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 27.4.2.3.33.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 27.4.2.3.34 TEFRRARule <TEFRARule>

*Presence:* [0..1]

*Definition:* Indicates the TEFRA rule under which the security is issued.

**TEFRARule <TEFRARule>** contains one of the following **TEFRARules3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		784
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		785

#### 27.4.2.3.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as an ISO 20022 code.

*Datatype:* "TEFRARules1Code" on page 2853

CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

#### 27.4.2.3.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.35 SerieNumber <SrNb>

*Presence:* [0..1]

*Definition:* Identifies the series number.

*Datatype:* "[Max16Text](#)" on page 2864

#### 27.4.2.3.36 Class <Clss>

*Presence:* [0..1]

*Definition:* Identifier that links multiple security classes.

*Datatype:* "[Max16Text](#)" on page 2864

#### 27.4.2.3.37 WithholdingTaxRegime <WhldgTaxRgm>

*Presence:* [0..\*]

*Definition:* Amount or percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTaxRegime <WhldgTaxRgm>** contains the following **SecurityWithHoldingTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		785
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	786

##### 27.4.2.3.37.1 WithholdingTaxValue <WhldgTaxVal>

*Presence:* [1..1]

*Definition:* Value of the withholding tax as rate, amount or not specified.

**WithholdingTaxValue <WhldgTaxVal>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 2661 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 27.4.2.3.37.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Represents the tax authority.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 27.4.2.3.38 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see "SecuritiesPaymentStatus5Choice" on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 27.4.2.3.39 InitialPhysicalForm <InitlPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities on the closing date.

**InitialPhysicalForm <InitlPhysForm>** contains one of the following **InitialPhysicalForm4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		787
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		787

#### 27.4.2.3.39.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm1Code" on page 2826

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 27.4.2.3.39.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.40 AfterExchangePhysicalForm <AftrXchgPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities after the exchange of the initial certificate issued on the closing date.

**AfterExchangePhysicalForm <AftrXchgPhysForm>** contains one of the following **InitialPhysicalForm3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		787
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		788

#### 27.4.2.3.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm2Code" on page 2826

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 27.4.2.3.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.41 CommonSafekeeper <CmonSfkpr>

*Presence:* [0..1]

*Definition:* Entity appointed by the ICSDs to provide safekeeping for securities in new global note (NGN) form.

*Impacted by:* C3 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 27.4.2.3.42 RedemptionType <RedTp>

*Presence:* [0..1]

*Definition:* Indicates the type of redemption at maturity.

**RedemptionType <RedTp>** contains one of the following **MaturityRedemptionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		788
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		789

##### 27.4.2.3.42.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as an ISO 20022 code.

*Datatype:* "[MaturityRedemptionType1Code](#)" on page 2833

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.



CodeName	Name	Definition
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

#### 27.4.2.3.42.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification30"](#) on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.43 RedemptionPaymentCurrency <RedPmtCcy>

*Presence:* [0..1]

*Definition:* ISO currency for the payment of the cash proceeds.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* ["ActiveCurrencyCode"](#) on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 27.4.2.3.44 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Regulatory restriction(s) linked to the security.

**Restriction <Rstrctn>** contains the following **SecurityRestriction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		790
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			790
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		791
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		791
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			791
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		791
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		792
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			792
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		792
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793
	<b>InvestorType</b> <InvstrTp>	[0..*]			793
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		793
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793

#### 27.4.2.3.44.1 EffectivePeriod <FctvPrd>

*Presence:* [0..1]

*Definition:* Period during which the restriction applies.

**EffectivePeriod <FctvPrd>** contains the following elements (see ["DateTimePeriod2"](#) on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

#### 27.4.2.3.44.2 RestrictionType <RstrctnTp>

*Presence:* [0..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**RestrictionType <RstrctnTp>** contains one of the following **SecurityRestrictionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		791
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		791

#### 27.4.2.3.44.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

*Datatype:* "RestrictionType1Code" on page 2847

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

#### 27.4.2.3.44.2.2 ProprietaryRestriction <PrtryRstrctn>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**ProprietaryRestriction <PrtryRstrctn>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.44.3 LegalRestrictionType <LglRstrctnTp>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictionType <LglRstrctnTp>** contains one of the following **LegalRestrictions5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		791
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		792

#### 27.4.2.3.44.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions2Code" on page 2832

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a

CodeName	Name	Definition
		Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

#### 27.4.2.3.44.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.44.4 InvestorRestrictionType <InvstrRstrctnTp>

*Presence:* [0..\*]

*Definition:* Specifies whether the restriction to be applied is relevant for citizen, resident, country.

**InvestorRestrictionType <InvstrRstrctnTp>** contains one of the following **InvestorRestrictionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		792
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793

##### 27.4.2.3.44.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as an ISO 20022 code.

*Datatype:* "[InvestorRestrictionType1Code](#)" on page 2831

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.

CodeName	Name	Definition
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

#### 27.4.2.3.44.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.44.5 InvestorType <InvstrTp>

*Presence:* [0..\*]

*Definition:* Type of investor that is allowed to hold the security.

**InvestorType <InvstrTp>** contains one of the following **InvestorType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		793
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		793

##### 27.4.2.3.44.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor type expressed as an ISO 20022 code.

*Datatype:* "[InvestorType1Code](#)" on page 2831

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

##### 27.4.2.3.44.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.45 SettlementInformation <SttlmInf>

*Presence:* [0..\*]

*Definition:* Settlement of the securities in a securities transaction, that is, the instruction to deliver or receive securities, involving the payment of an amount of money or not.

**SettlementInformation <SttlmInf>** contains the following **SettlementInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			794
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		794
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		795
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		795
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		795
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		795
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		796

##### 27.4.2.3.45.1 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [0..1]

*Definition:* Choice between formats for the quantity of security.

**SecuritiesQuantityType <SctiesQtyTp>** contains one of the following **SettlementUnitType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		794
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		795

##### 27.4.2.3.45.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as an ISO 20022 code.

*Datatype:* "[SettlementUnitType1Code](#)" on page 2851

CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

#### 27.4.2.3.45.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.45.2 ContractSettlementMonth <CtrctSttlmMnth>

*Presence:* [0..1]

*Definition:* Specifies when the contract (i.e. MBS/TBA) will settle.

*Datatype:* "[ISOYearMonth](#)" on page 2867

#### 27.4.2.3.45.3 MinimumDenomination <MinDnmtn>

*Presence:* [0..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

**MinimumDenomination <MinDnmtn>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.45.4 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

**MinimumMultipleQuantity <MinMltplQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.45.5 DeviatingSettlementUnit <DevtgSttlmUnit>

*Presence:* [0..\*]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**DeviatingSettlementUnit <DevtgSttlmUnit>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 27.4.2.3.46 FinancialInstrumentForm <FinInstrmForm>

*Presence:* [0..1]

*Definition:* Indicates the form of the financial Instrument.

**FinancialInstrumentForm <FinInstrmForm>** contains the following **FinancialInstrumentForm2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			796
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		797
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		797
	<b>LegalForm</b> <LglForm>	[0..1]	±		797

##### 27.4.2.3.46.1 BookingAppearance <BookgApprnc>

*Presence:* [0..1]

*Definition:* Indicates the booking appearance of the financial Instrument.



**BookingAppearance <BookgApprnc>** contains one of the following **Appearance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		797
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		797

#### 27.4.2.3.46.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Appearance expressed as an ISO 20022 code.

*Datatype:* "Appearance1Code" on page 2799

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

#### 27.4.2.3.46.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Appearance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 27.4.2.3.46.2 LegalForm <LglForm>

*Presence:* [0..1]

*Definition:* Specifies the form, ie, ownership, of the security.

**LegalForm <LglForm>** contains one of the following elements (see "FormOfSecurity8Choice" on page 2674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

#### 27.4.2.3.47 ContactName <CtctNm>

*Presence:* [0..1]

*Definition:* Details (name, e-mail address and/or telephone number) of the person requesting the allocation of the ISIN used as a point of reference in case further clarifications are required.

**ContactName <CtctNm>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		798
	<b>Identification &lt;Id&gt;</b>	[0..1]			798
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	799
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		799
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		799
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	799
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	800
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		800
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		800
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		800
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	800
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		801
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		801

##### 27.4.2.3.47.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

##### 27.4.2.3.47.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	799
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		799

#### 27.4.2.3.47.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 27.4.2.3.47.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 27.4.2.3.47.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.3.47.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

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#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.47.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.47.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.47.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.47.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.47.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstIAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.3.47.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.47.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.48 LeadManager <LeadMgr>

*Presence:* [0..1]

*Definition:* Entity appointed by the issuer to structure and lead the placement of a syndicated issue.

**LeadManager <LeadMgr>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		802
	<b>Identification</b> <Id>	[0..1]			803
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	803
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		803
	<b>Purpose</b> <Purp>	[0..1]	Text		803
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	804
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		804
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		804
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		804
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	804
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		805
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		805

##### 27.4.2.3.48.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.3.48.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	803
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		803

##### 27.4.2.3.48.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 27.4.2.3.48.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 27.4.2.3.48.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

---

#### **27.4.2.3.48.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.48.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.48.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.48.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.48.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.48.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"



**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.3.48.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.48.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.49 PrincipalPayingAgent <PrncplPngAgt>

*Presence:* [0..1]

*Definition:* Main party appointed to distribute payment or securities on behalf of the issuer.

**PrincipalPayingAgent <PrncplPngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		806
	<b>Identification</b> <Id>	[0..1]			807
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	807
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		807
	<b>Purpose</b> <Purp>	[0..1]	Text		807
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	808
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		808
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		808
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		808
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	808
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		809
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		809

##### 27.4.2.3.49.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.3.49.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	807
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		807

##### 27.4.2.3.49.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 27.4.2.3.49.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 27.4.2.3.49.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

---

#### **27.4.2.3.49.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.49.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.49.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.49.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.49.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.49.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.3.49.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.49.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.50 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Additional party appointed to distribute payment or securities on behalf of the issuer.

**PayingAgent <PngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		810
	<b>Identification</b> <Id>	[0..1]			811
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	811
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		811
	<b>Purpose</b> <Purp>	[0..1]	Text		811
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	812
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		812
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		812
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		812
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	812
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		813
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		813

##### 27.4.2.3.50.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.3.50.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	811
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		811

##### 27.4.2.3.50.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 27.4.2.3.50.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 27.4.2.3.50.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **27.4.2.3.50.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.50.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.50.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.50.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.50.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.50.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"



**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.3.50.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.50.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.51 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* Entity appointed by the relevant clearing system as a depository for instruments issued in global or definitive form. The depository keeps the securities for safekeeping purposes on behalf of the clearing system(s).

**Depository <Dpstry>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		814
	<b>Identification</b> <Id>	[0..1]			815
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	815
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		815
	<b>Purpose</b> <Purp>	[0..1]	Text		815
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	816
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		816
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		816
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		816
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	816
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		817
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		817

##### 27.4.2.3.51.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.3.51.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	815
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		815

##### 27.4.2.3.51.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 27.4.2.3.51.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 27.4.2.3.51.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

---

#### 27.4.2.3.51.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 27.4.2.3.51.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 27.4.2.3.51.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 27.4.2.3.51.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.3.51.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 27.4.2.3.51.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.3.51.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.51.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.52 UnderlyingRisk <UndrlygRsk>

*Presence:* [0..1]

*Definition:* Entity(ies), asset(s) or security(ies) on which the credit responsibility lies as identified in the documentation.

**UnderlyingRisk <UndrlygRsk>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		818
	<b>Identification</b> <Id>	[0..1]			819
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	819
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		819
	<b>Purpose</b> <Purp>	[0..1]	Text		819
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	820
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		820
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		820
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		820
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	820
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		821
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		821

##### 27.4.2.3.52.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 27.4.2.3.52.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	819
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		819

##### 27.4.2.3.52.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 27.4.2.3.52.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 27.4.2.3.52.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **27.4.2.3.52.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.52.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **27.4.2.3.52.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **27.4.2.3.52.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.52.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **27.4.2.3.52.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"



**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 27.4.2.3.52.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 27.4.2.3.52.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

## 27.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C14 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 28      **reda.007.001.01**

## **SecurityMaintenanceRequestV01**

### 28.1    **MessageDefinition Functionality**

#### SCOPE

An instructing party sends a SecurityMaintenanceRequest message to an executing/servicing party to request the maintenance of financial instrument details in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

The request is sent when the instructing party identified a gap in the security data within the executing/servicing party system. The instructing party needs this security to be updated at the executing / servicing party to perform its activities.

Initiator: instructing party.

#### Outline

The SecurityMaintenanceRequestV01 MessageDefinition is composed of 5 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. UpdateType  
Request to maintain data's of a security.
- C. UpdateReason  
Reason for the update of a security.
- D. FinancialInstrumentIdentification  
Identification of the financial instrument.
- E. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 28.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctyMntncReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		887
	<b>UpdateType</b> <UpdTp>	[1..1]			887
{Or	<b>UpdateType</b> <UpdTp>	[1..3]			949
{Or	<b>Add</b> <Add>	[1..1]			996
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1012
	<b>Equity</b> <Eqty>	[0..1]			1020
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1021
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1021
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1021
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1022
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1022
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1022
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1023
	<b>Warrant</b> <Warrt>	[0..1]			1023
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1024
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1024
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1025
	<b>Value</b> <Val>	[1..1]	±		1025
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1026
	<b>Type</b> <Tp>	[0..1]			1026
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1027
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1027
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1027
	<b>Name</b> <Nm>	[1..1]	Text		1028
	<b>Identification</b> <Id>	[0..1]			1028
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1029
	<b>Purpose</b> <Purp>	[0..1]	Text		1029
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1029

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1030
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1030
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1030
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1030
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1030
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1031
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1031
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1035
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1035
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1036
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1036
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1036
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1036
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1036
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1036
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1037
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1037
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1037
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1037
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1037
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1037
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1037
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1037
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1038
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1038
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1038
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1038
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1038
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1039
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1039

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1039
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1039
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1039
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1040
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1040
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1040
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1040
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1041
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1041
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1041
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1041
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1041
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1042
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1043
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1043
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1043
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1043
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1043
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1043
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1043
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1044
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1044
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1044
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1044
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1044
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1044
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1044
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1045

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InsuredIndicator</b> <InsrdInd>	[0..1]	Indicator		1045
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1045
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1045
	<b>Value</b> <Val>	[1..1]	Rate		1046
	<b>CalculationType</b> <ClctnTp>	[0..1]			1046
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1049
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1051
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1052
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1052
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1053
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1054
	<b>GlobalType</b> <GblTp>	[0..1]			1054
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1054
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1055
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1055
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1055
	<b>YieldRange</b> <YldRg>	[0..1]	±		1055
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1056
	<b>Purpose</b> <Purp>	[0..1]	Text		1056
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1056
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1057

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1057
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1057
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1057
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1057
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1057
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1058
	<b>Production</b> <Pdctn>	[0..1]	Text		1058
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1058
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1058
	<b>Sector</b> <Sctr>	[0..1]	Text		1059
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1059
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1059
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1059
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1059
	<b>PriceRange</b> <PricRng>	[0..1]	±		1060
	<b>Derivative</b> <Deriv>	[0..1]			1060
	<b>Future</b> <Futr>	[0..1]			1064
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084
	<b>Option</b> <Optn>	[0..1]			1084
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1090
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1091
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1091
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1108
	<b>SecurityStatus</b> <SctySts>	[0..1]			1117

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1117
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1118
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1118
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1118
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1118
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1118
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1119
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1119
	<b>CouponAttachedNumber</b> <CpnAtchdNb>	[0..1]	Text		1119
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1119
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1119
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1119
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1120
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1120
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1120
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1121
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1121
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1121
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1121
	<b>Purpose</b> <Purp>	[0..1]	Text		1121
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1121
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1122
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1122
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1122
	<b>Issuance</b> <Issnc>	[0..1]			1122
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1124
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1124
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1124
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1124
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1124

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1124
	<b>Name</b> <Nm>	[1..1]	Text		1125
	<b>Identification</b> <Id>	[0..1]			1125
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1126
	<b>Purpose</b> <Purp>	[0..1]	Text		1126
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1127
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1127
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1127
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1127
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1128
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1128
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1128
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1129
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1129
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1130
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1130
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1130
	<b>Identification</b> <Id>	[0..1]	Text		1130
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1130
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1131
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1131
	<b>RoundLot</b> <RndLot>	[0..1]	±		1131
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1132
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1132
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1132
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1132

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1132
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1133
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1133
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1133
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1134
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1134
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1134
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1134
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1135
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1136
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1136
	<b>Value</b> <Val>	[1..1]	±		1137
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1137
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1138
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1138
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1138
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1139
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1140
	<b>PutType</b> <PutTp>	[0..1]			1140
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1140
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1140
	<b>CallType</b> <CallTp>	[0..1]			1141
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1141
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1141
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1142
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1142
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1142
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1142
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1143

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1143
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1143
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1143
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1144
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1144
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1144
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1145
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1145
	<b>Class</b> <Clss>	[0..1]	Text		1145
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1145
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1145
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1146
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1146
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1146
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1147
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1147
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1148
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1148
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1148
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1148
	<b>RedemptionType</b> <RedTp>	[0..1]			1149
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1149
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1149
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1150
	<b>Restriction</b> <Rstrctn>	[0..*]			1150
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1151
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1151
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1152

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1152
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1152
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1153
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1153
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1153
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>InvestorType</b> <InvstrTp>	[0..*]			1154
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1154
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1155
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1156
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1156
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1156
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1157
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1157
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1157
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1157
	<b>DeviatingSettlementUnit</b> <DevvgSttlmUnit>	[0..*]	±		1158
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1158
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1158
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1159
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1159
	<b>LegalForm</b> <LglForm>	[0..1]	±		1159
	<b>ContactName</b> <CtctNm>	[0..1]			1160
	<b>Name</b> <Nm>	[1..1]	Text		1160
	<b>Identification</b> <Id>	[0..1]			1160
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1161
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1161
	<b>Purpose</b> <Purp>	[0..1]	Text		1161
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1161



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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1162
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1162
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1162
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1162
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1162
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1163
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1163
	<b>LeadManager</b> <LeadMgr>	[0..1]			1164
	<b>Name</b> <Nm>	[1..1]	Text		1164
	<b>Identification</b> <Id>	[0..1]			1165
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1165
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1165
	<b>Purpose</b> <Purp>	[0..1]	Text		1165
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1166
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1166
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1166
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1166
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1167
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1167
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1168
	<b>Name</b> <Nm>	[1..1]	Text		1168
	<b>Identification</b> <Id>	[0..1]			1169
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1169
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1169
	<b>Purpose</b> <Purp>	[0..1]	Text		1169
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1170
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1170

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1170
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1171
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1171
	<b>PayingAgent</b> <PngAgt>	[0..1]			1172
	<b>Name</b> <Nm>	[1..1]	Text		1172
	<b>Identification</b> <Id>	[0..1]			1173
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1173
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1173
	<b>Purpose</b> <Purp>	[0..1]	Text		1173
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1174
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1174
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1174
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1174
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1175
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1175
	<b>Depository</b> <Dpstry>	[0..1]			1176
	<b>Name</b> <Nm>	[1..1]	Text		1176
	<b>Identification</b> <Id>	[0..1]			1177
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1177
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1177
	<b>Purpose</b> <Purp>	[0..1]	Text		1177
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1178
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1178
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1178
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1178
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1179

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1179
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1180
	<b>Name</b> <Nm>	[1..1]	Text		1180
	<b>Identification</b> <Id>	[0..1]			1181
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1181
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1181
	<b>Purpose</b> <Purp>	[0..1]	Text		1181
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1182
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1182
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1182
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1182
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1183
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1183
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1184
Or	<b>Delete</b> <Del>	[1..1]			1184
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1200
	<b>Equity</b> <Eqty>	[0..1]			1208
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1209
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1209
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1210
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1210
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1210
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1211
	<b>Warrant</b> <Warrt>	[0..1]			1211
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1212
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1212
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
	<b>Value</b> <Val>	[1..1]	±		1213

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	<b>Type</b> <Tp>	[0..1]			1214
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1215
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1215
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1215
	<b>Name</b> <Nm>	[1..1]	Text		1216
	<b>Identification</b> <Id>	[0..1]			1216
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1217
	<b>Purpose</b> <Purp>	[0..1]	Text		1217
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1218
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1218
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1218
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1218
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1218
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1219
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1219
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1223
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1223
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1224
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1224
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1224
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1224
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1224
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1224
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1225
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1225
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1225
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1225

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1225
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1225
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1225
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1225
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1226
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1226
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1226
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1226
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1226
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1227
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1227
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1227
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1227
	<b>ExtendibleIndicator</b> <XtndbllInd>	[0..1]	Indicator		1227
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1228
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1228
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1228
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1229
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1229
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1229
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1229
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1230
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1231
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1231
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1231
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1231

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1231
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1232
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnlyInd>	[0..1]	Indicator		1232
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1232
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1232
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1232
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1232
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1232
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1233
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1233
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1233
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1233
	<b>Value</b> <Val>	[1..1]	Rate		1234
	<b>CalculationType</b> <ClctnTp>	[0..1]			1234
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1237
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1237
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1237
	<b>Value</b> <Val>	[1..1]	±		1238
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1238
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1239
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1239
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1240
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1240
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1240
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1240
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1240
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1241

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	<b>GlobalType</b> <GblTp>	[0..1]			1242
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1242
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1243
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1243
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1243
	<b>YieldRange</b> <YldRg>	[0..1]	±		1243
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1244
	<b>Purpose</b> <Purp>	[0..1]	Text		1244
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1244
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1245
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1245
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1245
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1245
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1245
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1245
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1246
	<b>Production</b> <Pdctn>	[0..1]	Text		1246
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1246
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1246
	<b>Sector</b> <Sctr>	[0..1]	Text		1247
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1247
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1247
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1247
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1247
	<b>PriceRange</b> <PricRg>	[0..1]	±		1248
	<b>Derivative</b> <Deriv>	[0..1]			1248
	<b>Future</b> <Futr>	[0..1]			1252
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1254
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1254

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	±		1255
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1255
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1256
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1256
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1257
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1257
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1259
	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1260
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269



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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1270
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272
	<b>Option</b> <Optn>	[0..1]			1272
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1279
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1279
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
	<b>OptionType</b> <OptnTp>	[0..1]	±		1280
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1281
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1281

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	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1282
	<b>Standardisation</b> <Stdstn>	[0..1]			1282
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293

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	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1295
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1296
	<b>SecurityStatus</b> <SctySts>	[0..1]			1305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1306
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1306
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1306
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1306
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1306
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1307
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1307
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1307
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1307
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1307
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1307
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1308
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1308
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1308
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1309
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1309
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1309
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1309

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Purpose</b> <Purp>	[0..1]	Text		1309
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1309
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1310
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1310
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1310
	<b>Issuance</b> <Issnc>	[0..1]			1310
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1312
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1312
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1312
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1312
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1312
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1312
	<b>Name</b> <Nm>	[1..1]	Text		1313
	<b>Identification</b> <Id>	[0..1]			1313
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1314
	<b>Purpose</b> <Purp>	[0..1]	Text		1314
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1315
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1315
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1315
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1316
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1316
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1316
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1317
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1317
	<b>IssuanceDistribution</b> <IssncDstrbtr>	[0..1]			1317
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Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1318
	<b>Identification</b> <Id>	[0..1]	Text		1318
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1318
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1319
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1319
	<b>RoundLot</b> <RndLot>	[0..1]	±		1319
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1320
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1320
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1320
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1321
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1321
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1322
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1322
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1322
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1322
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1323
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1324
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1326
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1326
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1327
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1328
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	<b>CallType</b> <CallTp>	[0..1]			1329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1329
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1329
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1330
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1330
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1330
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1330
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1331
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1331
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1331
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1331
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1332
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1332
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1332
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1332
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1333
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1333
	<b>Class</b> <Clss>	[0..1]	Text		1333
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1333
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1334
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1334
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1334
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1335
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1336

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	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1336
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1336
	<b>RedemptionType</b> <RedTp>	[0..1]			1337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1337
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1338
	<b>Restriction</b> <Rstrctn>	[0..*]			1338
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1339
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1339
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1340
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1341
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>InvestorType</b> <InvstrTp>	[0..*]			1342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1343
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1344
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1345
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1345
	<b>MinimumMultipleQuantity</b> <MinMltpQty>	[0..1]	±		1345
	<b>DeviatingSettlementUnit</b> <DevvgSttlmUnit>	[0..*]	±		1346
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1346

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	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1346
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1347
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1347
	<b>LegalForm</b> <LglForm>	[0..1]	±		1347
	<b>ContactName</b> <CtctNm>	[0..1]			1348
	<b>Name</b> <Nm>	[1..1]	Text		1348
	<b>Identification</b> <Id>	[0..1]			1348
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1349
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1349
	<b>Purpose</b> <Purp>	[0..1]	Text		1349
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1349
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1350
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1350
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1350
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1350
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1350
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1351
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1351
	<b>LeadManager</b> <LeadMgr>	[0..1]			1352
	<b>Name</b> <Nm>	[1..1]	Text		1352
	<b>Identification</b> <Id>	[0..1]			1353
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1353
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353
	<b>Purpose</b> <Purp>	[0..1]	Text		1353
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1354
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1354
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1354
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1354
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1355



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1355
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1356
	<b>Name</b> <Nm>	[1..1]	Text		1356
	<b>Identification</b> <Id>	[0..1]			1357
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1357
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357
	<b>Purpose</b> <Purp>	[0..1]	Text		1357
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1358
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1358
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1358
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1358
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1359
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1359
	<b>PayingAgent</b> <PngAgt>	[0..1]			1360
	<b>Name</b> <Nm>	[1..1]	Text		1360
	<b>Identification</b> <Id>	[0..1]			1361
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1361
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361
	<b>Purpose</b> <Purp>	[0..1]	Text		1361
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1362
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1362
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1362
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1362
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1363
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1363
	<b>Depository</b> <Dpstry>	[0..1]			1364
	<b>Name</b> <Nm>	[1..1]	Text		1364

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	<b>Identification</b> <Id>	[0..1]			1365
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1365
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1365
	<b>Purpose</b> <Purp>	[0..1]	Text		1365
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1366
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1366
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1366
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1366
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1367
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1367
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1368
	<b>Name</b> <Nm>	[1..1]	Text		1368
	<b>Identification</b> <Id>	[0..1]			1369
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1369
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1369
	<b>Purpose</b> <Purp>	[0..1]	Text		1369
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1370
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1370
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1370
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1370
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1371
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1371
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1372
Or}	<b>Modify</b> <Modfy>	[1..1]			1372
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1388
	<b>Equity</b> <Eqty>	[0..1]			1396
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1397

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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1398
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1398
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1398
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1399
	<b>Warrant</b> <Warrt>	[0..1]			1399
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1400
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1400
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1401
	<b>Value</b> <Val>	[1..1]	±		1401
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1402
	<b>Type</b> <Tp>	[0..1]			1402
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1403
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1403
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1403
	<b>Name</b> <Nm>	[1..1]	Text		1404
	<b>Identification</b> <Id>	[0..1]			1404
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1405
	<b>Purpose</b> <Purp>	[0..1]	Text		1405
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1406
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1406
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1406
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1406
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1407
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1407
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1411

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1411
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1412
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1412
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1412
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1412
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1412
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1412
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1413
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1413
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1413
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1413
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1413
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1413
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1413
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1413
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1414
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1414
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1414
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1414
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1414
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1415
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1415
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1415
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1415
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1415
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1416
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1416
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1416
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1416
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1417

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1417
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1417
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1417
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1417
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1418
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1418
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1418
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1419
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1419
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1419
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1419
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1419
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1419
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1419
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1420
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnlyInd>	[0..1]	Indicator		1420
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1420
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1420
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1420
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1420
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1420
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1421
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1421
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1421
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1421
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1425

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1427
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1427
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1428
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1428
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1428
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1429
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1430
	<b>GlobalType</b> <GblTp>	[0..1]			1430
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1430
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1431
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1431
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1431
	<b>YieldRange</b> <YldRg>	[0..1]	±		1431
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1432
	<b>Purpose</b> <Purp>	[0..1]	Text		1432
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1432
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1433
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1433
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1433
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1433
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1433
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1433
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1434
	<b>Production</b> <Pdctn>	[0..1]	Text		1434
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1434
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1434

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Sector</b> <Sctr>	[0..1]	Text		1435
	<b>SubstitutionFrequency</b> <SbsttnFrqcy>	[0..1]	±		1435
	<b>SubstitutionLeft</b> <SbsttnLft>	[0..1]	Quantity		1435
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1435
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1435
	<b>PriceRange</b> <PricRg>	[0..1]	±		1436
	<b>Derivative</b> <Deriv>	[0..1]			1436
	<b>Future</b> <Futr>	[0..1]			1440
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1444
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1444
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1445
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1447
	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1448
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451
	<b>CashType</b> <CshTp>	[0..1]	Text		1452

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460
	<b>Option</b> <Optn>	[0..1]			1460
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1466
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1467



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1467
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1467
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1469
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475
	<b>CashType</b> <CshTp>	[0..1]	Text		1476

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1484
	<b>SecurityStatus</b> <SctySts>	[0..1]			1493
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1493
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1494
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1494
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1494
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1494
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1494
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1495
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1495
	<b>CouponAttachedNumber</b> <CpnAtchdNb>	[0..1]	Text		1495

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1495
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1495
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1495
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1496
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1496
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1496
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1496
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1497
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1497
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1497
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1497
	<b>Purpose</b> <Purp>	[0..1]	Text		1497
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1497
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1498
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1498
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1498
	<b>Issuance</b> <Issnc>	[0..1]			1498
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1500
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1500
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1500
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1500
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1500
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1500
	<b>Name</b> <Nm>	[1..1]	Text		1501
	<b>Identification</b> <Id>	[0..1]			1501
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1502
	<b>Purpose</b> <Purp>	[0..1]	Text		1502
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1503

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1503
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1503
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1504
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1504
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1504
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1505
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1505
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1505
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1506
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1506
	<b>Identification</b> <Id>	[0..1]	Text		1506
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1506
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1507
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1507
	<b>RoundLot</b> <RndLot>	[0..1]	±		1507
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1508
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1508
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1508
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1509
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1510
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1510
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1510
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1510

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1511
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1512
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1514
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1514
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1516
	<b>PutType</b> <PutTp>	[0..1]			1516
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1516
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1516
	<b>CallType</b> <CallTp>	[0..1]			1517
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1517
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1517
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1518
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1518
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1518
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1518
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1519
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1519
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1519
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1519
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1520
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1520
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1520
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1521
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1521

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Class</b> <Clss>	[0..1]	Text		1521
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1521
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1521
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1522
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1522
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1523
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1523
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1524
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1524
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1524
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1524
	<b>RedemptionType</b> <RedTp>	[0..1]			1525
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1525
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1525
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1526
	<b>Restriction</b> <Rstrctn>	[0..*]			1526
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1527
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1527
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1529
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1529
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>InvestorType</b> <InvstrTp>	[0..*]			1530
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1530

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1531
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1532
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1532
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1532
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1533
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1533
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1533
	<b>DeviatingSettlementUnit</b> <DevvgSttlmUnit>	[0..*]	±		1534
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1534
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1534
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1535
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1535
	<b>LegalForm</b> <LglForm>	[0..1]	±		1535
	<b>ContactName</b> <CtctNm>	[0..1]			1536
	<b>Name</b> <Nm>	[1..1]	Text		1536
	<b>Identification</b> <Id>	[0..1]			1536
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1537
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1537
	<b>Purpose</b> <Purp>	[0..1]	Text		1537
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1537
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1538
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1538
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1538
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1538
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1538
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1539
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1539
	<b>LeadManager</b> <LeadMgr>	[0..1]			1540
	<b>Name</b> <Nm>	[1..1]	Text		1540

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[0..1]			1541
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1541
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541
	<b>Purpose</b> <Purp>	[0..1]	Text		1541
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1542
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1542
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1542
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1542
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1543
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1543
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1544
	<b>Name</b> <Nm>	[1..1]	Text		1544
	<b>Identification</b> <Id>	[0..1]			1545
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1545
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545
	<b>Purpose</b> <Purp>	[0..1]	Text		1545
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1546
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1546
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1546
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1546
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1547
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1547
	<b>PayingAgent</b> <PngAgt>	[0..1]			1548
	<b>Name</b> <Nm>	[1..1]	Text		1548
	<b>Identification</b> <Id>	[0..1]			1549
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1549
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Purpose</b> <Purp>	[0..1]	Text		1549
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1550
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1550
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1550
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1550
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1551
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1551
	<b>Depository</b> <Dpstry>	[0..1]			1552
	<b>Name</b> <Nm>	[1..1]	Text		1552
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{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1553
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553
	<b>Purpose</b> <Purp>	[0..1]	Text		1553
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1554
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1554
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1554
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1554
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1555
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1555
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1556
	<b>Name</b> <Nm>	[1..1]	Text		1556
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1557
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1558

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1558
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1558
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1558
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1559
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1559
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1560
Or}	<b>Replace</b> <Rplc>	[1..1]			1560
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1576
	<b>Equity</b> <Eqty>	[0..1]			1584
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1585
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1585
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1585
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1586
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1586
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1586
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1587
	<b>Warrant</b> <Warrt>	[0..1]			1587
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1588
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1588
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1589
	<b>Value</b> <Val>	[1..1]	±		1589
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1590
	<b>Type</b> <Tp>	[0..1]			1590
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1591
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1591
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1591
	<b>Name</b> <Nm>	[1..1]	Text		1592
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1593

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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1594
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1594
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1594
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1594
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1594
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1595
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1595
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1599
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1599
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1600
	<b>InterestFixingDate</b> <IntrstFxgDt>	[0..1]	DateTime		1600
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1600
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1600
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1600
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1600
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1601
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1601
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1601
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1601
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1601
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1601
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1601
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1601
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1602
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1602
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1602
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1602
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1602

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	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1603
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1603
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1603
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1603
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1603
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1604
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1604
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1604
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1604
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1605
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1605
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1605
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1605
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1605
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1606
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1606
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1606
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1607
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1607
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1607
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1607
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1607
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1607
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1607
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1608
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1608
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1608
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1608
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1608
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1608

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1609
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1609
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1609
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1609
	<b>Value</b> <Val>	[1..1]	Rate		1610
	<b>CalculationType</b> <ClctnTp>	[0..1]			1610
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1610
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1613
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1613
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1613
	<b>Value</b> <Val>	[1..1]	±		1614
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1614
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1615
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1615
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1616
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1616
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1616
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1616
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1617
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1618
	<b>GlobalType</b> <GblTp>	[0..1]			1618
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1618
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1619
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgbly>	[0..1]	Indicator		1619
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1619
	<b>YieldRange</b> <YldRg>	[0..1]	±		1619
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1620
	<b>Purpose</b> <Purp>	[0..1]	Text		1620

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1620
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1621
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1621
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1621
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1621
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1621
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1621
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1622
	<b>Production</b> <Pdctn>	[0..1]	Text		1622
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1622
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1622
	<b>Sector</b> <Sctr>	[0..1]	Text		1623
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1623
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1623
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1623
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1623
	<b>PriceRange</b> <PricRg>	[0..1]	±		1624
	<b>Derivative</b> <Deriv>	[0..1]			1624
	<b>Future</b> <Futr>	[0..1]			1628
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1630
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1630
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1630
	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1632
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1632
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1633
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1633
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1635
	<b>TimeUnit</b> <TmUnit>	[0..1]			1635
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1636

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	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1639
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1639
	<b>CashType</b> <CshTp>	[0..1]	Text		1640
	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1641
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1648
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648

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	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1652
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1652
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1652
	<b>StrikePrice</b> <StrkPric>	[0..1]			1652
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1654
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1654
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1655
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1655
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1655
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1656
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1656
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1656
	<b>OptionType</b> <OptnTp>	[0..1]	±		1656
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1657
	<b>StrikeMultiplier</b> <StrkMltpl>	[0..1]	Quantity		1657
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1657
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1657
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1657
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1658
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1658
	<b>Standardisation</b> <Stdstn>	[0..1]			1658
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1658
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1659
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1660
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1663
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1663
	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1672
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1672
	<b>SecurityStatus</b> <SctySts>	[0..1]			1681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1682
	<b>ISOSecurityLongName</b> <ISOStyLngNm>	[0..1]	Text		1682
	<b>ISOSecurityShortName</b> <ISOStyShrtNm>	[0..1]	Text		1682
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1682
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1682
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1683
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1683
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1683
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1683
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1683
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1683
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1684
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1684
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1684
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1684
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1685
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1685
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1685
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1685
	<b>Purpose</b> <Purp>	[0..1]	Text		1685
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1685
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1686
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1686
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1686
	<b>Issuance</b> <Issnc>	[0..1]			1686
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1688
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1688
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1688

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1688
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1688
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1688
	<b>Name</b> <Nm>	[1..1]	Text		1689
	<b>Identification</b> <Id>	[0..1]			1689
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1690
	<b>Purpose</b> <Purp>	[0..1]	Text		1690
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1691
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1691
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1691
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1691
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1691
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1692
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1692
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1692
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1693
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1693
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1693
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1694
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1694
	<b>Identification</b> <Id>	[0..1]	Text		1694
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1694
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1695
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1695
	<b>RoundLot</b> <RndLot>	[0..1]	±		1695
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1696
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1696

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1696
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1696
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1696
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1697
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1697
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1697
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1698
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1698
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1698
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1698
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1699
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1700
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1700
	<b>Value</b> <Val>	[1..1]	±		1701
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1701
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1702
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1702
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1702
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1703
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1704
	<b>PutType</b> <PutTp>	[0..1]			1704
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1704
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1704
	<b>CallType</b> <CallTp>	[0..1]			1705
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1705
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1705
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1705
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1706
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1706
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1706
	<b>ConversionPeriod</b> <ConvvsPrd>	[0..1]	±		1706

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConversionRatioNumerator</b> <ConvRatioNmtr>	[0..1]	±		1707
	<b>ConversionRatioDenominator</b> <ConvRatioDnmtr>	[0..1]	±		1707
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1707
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1707
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1708
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1708
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1708
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1709
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1709
	<b>Class</b> <Clss>	[0..1]	Text		1709
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1709
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1709
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1710
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1710
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1710
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1711
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1712
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1712
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1712
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1712
	<b>RedemptionType</b> <RedTp>	[0..1]			1713
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1713
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1714
	<b>Restriction</b> <Rstrctn>	[0..*]			1714
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1715
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1715

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1716
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1716
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1716
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1716
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1717
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1717
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>InvestorType</b> <InvstrTp>	[0..*]			1718
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1719
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1720
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1720
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1720
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1721
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1721
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1721
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1721
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1722
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1722
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1722
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1723
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1723
	<b>LegalForm</b> <LglForm>	[0..1]	±		1723
	<b>ContactName</b> <CtctNm>	[0..1]			1724
	<b>Name</b> <Nm>	[1..1]	Text		1724
	<b>Identification</b> <Id>	[0..1]			1724
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1725
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1725
	<b>Purpose</b> <Purp>	[0..1]	Text		1725

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1725
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1726
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1726
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1726
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1726
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1726
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1727
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1727
	<b>LeadManager</b> <LeadMgr>	[0..1]			1728
	<b>Name</b> <Nm>	[1..1]	Text		1728
	<b>Identification</b> <Id>	[0..1]			1729
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1729
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1729
	<b>Purpose</b> <Purp>	[0..1]	Text		1729
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1730
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1730
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1730
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1730
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1731
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1731
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1732
	<b>Name</b> <Nm>	[1..1]	Text		1732
	<b>Identification</b> <Id>	[0..1]			1733
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1733
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1733
	<b>Purpose</b> <Purp>	[0..1]	Text		1733
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1734

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1734
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1734
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1734
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1735
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1735
	<b>PayingAgent</b> <PngAg>	[0..1]			1736
	<b>Name</b> <Nm>	[1..1]	Text		1736
	<b>Identification</b> <Id>	[0..1]			1737
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1737
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1737
	<b>Purpose</b> <Purp>	[0..1]	Text		1737
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1738
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1738
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1738
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1738
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1739
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1739
	<b>Depository</b> <Dpstry>	[0..1]			1740
	<b>Name</b> <Nm>	[1..1]	Text		1740
	<b>Identification</b> <Id>	[0..1]			1741
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1741
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1741
	<b>Purpose</b> <Purp>	[0..1]	Text		1741
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1742
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1742
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1742
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1742



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1743
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1743
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1744
	<b>Name</b> <Nm>	[1..1]	Text		1744
	<b>Identification</b> <Id>	[0..1]			1745
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1745
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1745
	<b>Purpose</b> <Purp>	[0..1]	Text		1745
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1746
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1746
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1746
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1746
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1747
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1747
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1748
	<b>UpdateReason</b> <UpdRsn>	[0..1]			1748
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1748
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1749
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C8, C9, C12	1749
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1750

## 28.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C3 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C4 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C5 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C7 DescriptionUsageRule**

Description must be used alone as the last resort.

**C8 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C9 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C10 MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

**C11 NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCalldate must be present.

**C12 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C13 PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

**C14 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 28.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

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## 28.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 28.4.2 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Request to maintain data's of a security.

**UpdateType <UpdTp>** contains one of the following **UpdateType36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>UpdateType &lt;UpdTp&gt;</b>	[1..3]			949
{Or	<b>Add &lt;Add&gt;</b>	[1..1]			996
	<b>FinancialInstrumentType &lt;FinInstrmTp&gt;</b>	[0..*]			1012
	<b>Equity &lt;Eqty&gt;</b>	[0..1]			1020
	<b>PreferenceToIncome &lt;PrefToIncm&gt;</b>	[1..1]			1021
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1021
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1021
	<b>MaturityDate &lt;MtrtyDt&gt;</b>	[0..1]	DateTime		1022
	<b>NonPaidAmount &lt;NonPdAmt&gt;</b>	[0..1]	Amount	C1, C5	1022
	<b>ParValue &lt;ParVal&gt;</b>	[0..1]	Amount	C1, C5	1022
	<b>VotingRightsPerShare &lt;VtngRghtsPerShr&gt;</b>	[0..1]	Quantity		1023
	<b>Warrant &lt;Warrt&gt;</b>	[0..1]			1023
	<b>Multiplier &lt;Mltplr&gt;</b>	[0..1]	Rate		1024
	<b>SubscriptionPrice &lt;SbcptPric&gt;</b>	[0..1]			1024
	<b>ValueType &lt;ValTp&gt;</b>	[0..1]	CodeSet		1025
	<b>Value &lt;Val&gt;</b>	[1..1]	±		1025
	<b>PriceType &lt;PricTp&gt;</b>	[0..1]	CodeSet		1026
	<b>Type &lt;Tp&gt;</b>	[0..1]			1026
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1027
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1027
	<b>WarrantAgent &lt;WarrtAgt&gt;</b>	[0..*]			1027
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1028
	<b>Identification &lt;Id&gt;</b>	[0..1]			1028
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1029
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1029
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1029
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1030
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1030
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1030

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1030
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1030
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1031
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1031
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1035
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1035
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1036
	<b>InterestFixingDate</b> <IntrstFxdt>	[0..1]	DateTime		1036
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1036
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1036
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1036
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1036
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1037
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1037
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1037
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1037
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1037
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1037
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1037
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1037
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1038
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1038
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1038
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1038
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1038
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1039
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1039
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1039
	<b>SubordinatedIndicator</b> <SubrdntInd>	[0..1]	Indicator		1039
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1039
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1040

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1040
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1040
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1040
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1041
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1041
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1041
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1041
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1041
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1042
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1043
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1043
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1043
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1043
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1043
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1043
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1043
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1044
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltYInd>	[0..1]	Indicator		1044
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1044
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1044
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1044
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1044
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1044
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1045
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1045
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1045
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1045
	<b>Value</b> <Val>	[1..1]	Rate		1046

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CalculationType</b> <ClctnTp>	[0..1]			1046
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1049
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1051
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1052
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1052
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1053
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1054
	<b>GlobalType</b> <GblTp>	[0..1]			1054
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1054
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1055
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1055
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1055
	<b>YieldRange</b> <YldRg>	[0..1]	±		1055
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1056
	<b>Purpose</b> <Purp>	[0..1]	Text		1056
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1056
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1057
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1057
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1057
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1057
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1057

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1057
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1058
	<b>Production</b> <Pdctn>	[0..1]	Text		1058
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1058
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1058
	<b>Sector</b> <Sctr>	[0..1]	Text		1059
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1059
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1059
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1059
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1059
	<b>PriceRange</b> <PricRg>	[0..1]	±		1060
	<b>Derivative</b> <Deriv>	[0..1]			1060
	<b>Future</b> <Futr>	[0..1]			1064
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084
	<b>Option</b> <Optn>	[0..1]			1084
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088
	<b>ConversionDate</b> <ConvDt>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1090
	<b>ConversionPeriod</b> <ConvPrd>	[0..1]			1091
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1091
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmntAssgnmtMtd>	[0..1]			1093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1108
	<b>SecurityStatus</b> <SctySts>	[0..1]			1117
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	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1119
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	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1119
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	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1120
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	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1121
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	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1121
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	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1122
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1122
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1126
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	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1127
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1127
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1128
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	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1128
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1129
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1129
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	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1134
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	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1135
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1136
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	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1142
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1142
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1142
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1142
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1143
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1143
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	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1145
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1146
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1146
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1147
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1147
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	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1148
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	<b>RedemptionType</b> <RedTp>	[0..1]			1149
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	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1150
	<b>Restriction</b> <Rstrctn>	[0..*]			1150
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1151
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Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1152
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	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1155
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1156
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1156
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	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1157
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1162
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1162
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1162



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	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1163
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1166
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1166
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1166
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1167
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1167
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1168
	<b>Name</b> <Nm>	[1..1]	Text		1168
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1170
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1170
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1170
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1171

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1174
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	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1175
	<b>Depository</b> <Dpstry>	[0..1]			1176
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	<b>Identification</b> <Id>	[0..1]			1177
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	<b>Purpose</b> <Purp>	[0..1]	Text		1177
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1178
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1178
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1178
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1178
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1179
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1179
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1180

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	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1182
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1182
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1183
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1183
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1184
Or	<b>Delete</b> <Del>	[1..1]			1184
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1200
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	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1209
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	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1210
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1210
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1210
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1211
	<b>Warrant</b> <Warrt>	[0..1]			1211
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1212
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1212
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
	<b>Value</b> <Val>	[1..1]	±		1213
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	<b>Identification</b> <Id>	[0..1]			1216
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1217
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1218
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1218
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1218
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1218
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1218
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1219
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1219
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1223
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1223
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1224
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1224
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1224
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1224
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1224
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1224
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1225
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1225
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1225
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1225
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1225
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1225

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	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1226
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1226
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1226
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	<b>PreFundedIndicator</b> <PreFnndInd>	[0..1]	Indicator		1227
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1227
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1227
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1227
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1227
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1228
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1228
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1229
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1229
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1229
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1229
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1229
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1230
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1231
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1231
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1231
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1231
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1231
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1231

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	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1232
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1232
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1232
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1232
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1232
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1232
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1232
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1233
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1233
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1233
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1233
	<b>Value</b> <Val>	[1..1]	Rate		1234
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	<b>RedemptionPrice</b> <RedPric>	[0..1]			1237
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	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
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	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1240
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	<b>YieldRange</b> <YldRg>	[0..1]	±		1243
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1244
	<b>Purpose</b> <Purp>	[0..1]	Text		1244
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1244
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1245
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1245
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1245
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1245
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1245
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1245
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1246
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	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1246
	<b>Sector</b> <Sctr>	[0..1]	Text		1247
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1247
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1247
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1247
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1247
	<b>PriceRange</b> <PricRg>	[0..1]	±		1248
	<b>Derivative</b> <Deriv>	[0..1]			1248
	<b>Future</b> <Futr>	[0..1]			1252
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
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	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
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	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272
	<b>Option</b> <Optn>	[0..1]			1272
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1279
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
	<b>OptionType</b> <OptnTp>	[0..1]	±		1280
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1281
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
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	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282

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	<b>Standardisation</b> <Stdstn>	[0..1]			1282
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
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	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294

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{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1296
	<b>SecurityStatus</b> <SctySts>	[0..1]			1305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1306
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1306
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1306
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	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1306
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1307
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1307
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1307
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1307
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1307
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1307
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1308
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1308
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1308
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1309
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1309
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1309
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1309
	<b>Purpose</b> <Purp>	[0..1]	Text		1309
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1309

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1310
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1310
	<b>Issuance</b> <Issnc>	[0..1]			1310
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1312
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1312
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1312
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1312
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1312
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1312
	<b>Name</b> <Nm>	[1..1]	Text		1313
	<b>Identification</b> <Id>	[0..1]			1313
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1314
	<b>Purpose</b> <Purp>	[0..1]	Text		1314
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1315
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1315
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1315
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1316
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1316
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1316
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1317
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1317
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1317
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1318

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	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1318
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1319
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1319
	<b>RoundLot</b> <RndLot>	[0..1]	±		1319
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1320
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1320
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1320
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1321
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1321
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1322
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1322
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1322
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1322
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1323
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1324
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1326
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1326
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	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1328
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	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1330
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1330
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1330
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1330
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1331
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1331
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1331
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1331
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1332
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1332
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1332
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1332
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1333
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1333
	<b>Class</b> <Clss>	[0..1]	Text		1333
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1333
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1334
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1334
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1334
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1335
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1335
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1336

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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1336
	<b>RedemptionType</b> <RedTp>	[0..1]			1337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1337
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1338
	<b>Restriction</b> <Rstrctn>	[0..*]			1338
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1339
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1339
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1340
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1341
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>InvestorType</b> <InvstrTp>	[0..*]			1342
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1343
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1344
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1344
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1345
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1345
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1345
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		1346
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1346

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	<b>LegalForm</b> <LglForm>	[0..1]	±		1347
	<b>ContactName</b> <CtctNm>	[0..1]			1348
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	<b>Identification</b> <Id>	[0..1]			1348
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1350
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1350
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1350
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1350
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1350
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1351
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1351
	<b>LeadManager</b> <LeadMgr>	[0..1]			1352
	<b>Name</b> <Nm>	[1..1]	Text		1352
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353
	<b>Purpose</b> <Purp>	[0..1]	Text		1353
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1354
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1354
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1354
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1354
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1355



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1356
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	<b>Identification</b> <Id>	[0..1]			1357
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357
	<b>Purpose</b> <Purp>	[0..1]	Text		1357
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1358
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1358
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1358
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1358
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1359
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1359
	<b>PayingAgent</b> <PngAgt>	[0..1]			1360
	<b>Name</b> <Nm>	[1..1]	Text		1360
	<b>Identification</b> <Id>	[0..1]			1361
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361
	<b>Purpose</b> <Purp>	[0..1]	Text		1361
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1362
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1362
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1362
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1362
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1363
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1363
	<b>Depository</b> <Dpstry>	[0..1]			1364

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	<b>Purpose</b> <Purp>	[0..1]	Text		1365
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1366
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1366
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1366
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1366
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1367
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1367
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1368
	<b>Name</b> <Nm>	[1..1]	Text		1368
	<b>Identification</b> <Id>	[0..1]			1369
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1369
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1369
	<b>Purpose</b> <Purp>	[0..1]	Text		1369
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1370
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1370
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1370
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1370
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1371
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1371
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1372
Or}	<b>Modify</b> <Modfy>	[1..1]			1372
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1388
	<b>Equity</b> <Eqty>	[0..1]			1396

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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1397
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1398
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1398
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1398
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1399
	<b>Warrant</b> <Warrt>	[0..1]			1399
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1400
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1400
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1401
	<b>Value</b> <Val>	[1..1]	±		1401
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1402
	<b>Type</b> <Tp>	[0..1]			1402
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1403
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1403
	<b>Name</b> <Nm>	[1..1]	Text		1404
	<b>Identification</b> <Id>	[0..1]			1404
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1405
	<b>Purpose</b> <Purp>	[0..1]	Text		1405
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1406
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1406
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1406
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1406
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1407
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1407

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	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1411
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1412
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1412
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1412
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1412
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1412
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1412
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1413
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1413
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1413
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1413
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1413
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1413
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1413
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1413
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1414
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1414
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1414
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1414
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1414
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1415
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1415
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1415
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1415
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1415
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1416
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1416
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1416
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1416

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1417
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1417
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1417
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1417
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1417
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1418
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1418
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1418
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1419
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1419
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1419
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1419
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1419
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1419
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1419
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1420
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1420
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1420
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1420
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1420
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1420
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1420
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1421
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1421
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1421
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1421
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Value</b> <Val>	[1..1]	±		1426
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1427
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1427
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1428
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428
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	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1428
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	<b>GlobalType</b> <GblTp>	[0..1]			1430
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1430
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1431
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1431
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1431
	<b>YieldRange</b> <YldRg>	[0..1]	±		1431
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1432
	<b>Purpose</b> <Purp>	[0..1]	Text		1432
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1432
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1433
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1433
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1433
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1433
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1433
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1433
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1434
	<b>Production</b> <Pdctn>	[0..1]	Text		1434
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1434

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Sector</b> <Sctr>	[0..1]	Text		1435
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1435
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1435
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1435
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1435
	<b>PriceRange</b> <PricRg>	[0..1]	±		1436
	<b>Derivative</b> <Deriv>	[0..1]			1436
	<b>Future</b> <Futr>	[0..1]			1440
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1444
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1444
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1445
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1447
	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1448
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460
	<b>Option</b> <Optn>	[0..1]			1460
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1466



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1467
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1469
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashType</b> <CshTp>	[0..1]	Text		1476
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1484
	<b>SecurityStatus</b> <SctySts>	[0..1]			1493
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1493
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1494
	<b>ISOSecurityLongName</b> <ISOsctyLngNm>	[0..1]	Text		1494
	<b>ISOSecurityShortName</b> <ISOsctyShrtNm>	[0..1]	Text		1494
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1494
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1494
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1495
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1495

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1495
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1495
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1495
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1495
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1496
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1496
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1496
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1496
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1497
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1497
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1497
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1497
	<b>Purpose</b> <Purp>	[0..1]	Text		1497
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1497
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1498
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1498
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1498
	<b>Issuance</b> <Issnc>	[0..1]			1498
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1500
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1500
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1500
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1500
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1500
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1500
	<b>Name</b> <Nm>	[1..1]	Text		1501
	<b>Identification</b> <Id>	[0..1]			1501
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1502
	<b>Purpose</b> <Purp>	[0..1]	Text		1502
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1502

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1503
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1503
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1503
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1504
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1504
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1504
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1505
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1505
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1505
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1506
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1506
	<b>Identification</b> <Id>	[0..1]	Text		1506
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1506
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1507
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1507
	<b>RoundLot</b> <RndLot>	[0..1]	±		1507
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1508
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1508
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1508
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1509
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1510
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1510

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1510
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1510
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1511
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1512
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1514
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1514
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1516
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	<b>FungibleIndicator</b> <FngblInd>	[0..1]	Indicator		1517
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1518
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1518
	<b>ConvertibleIndicator</b> <ConvbtblInd>	[0..1]	Indicator		1518
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1518
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1519
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1519
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1519
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1519
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1520
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1520
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1520
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	<b>Class</b> <Clss>	[0..1]	Text		1521
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1521
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1521
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1522
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1522
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1523
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1523
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1524
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1524
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1524
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1524
	<b>RedemptionType</b> <RedTp>	[0..1]			1525
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1525
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1525
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1526
	<b>Restriction</b> <Rstrctn>	[0..*]			1526
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1527
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1527
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1529
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530

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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1531
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1532
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1532
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1533
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1533
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1533
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1534
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1534
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1534
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1538
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1538
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1538
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1538
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1538
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1539

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	<b>LeadManager</b> <LeadMgr>	[0..1]			1540
	<b>Name</b> <Nm>	[1..1]	Text		1540
	<b>Identification</b> <Id>	[0..1]			1541
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541
	<b>Purpose</b> <Purp>	[0..1]	Text		1541
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1542
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1542
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1542
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1542
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1543
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1543
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1544
	<b>Name</b> <Nm>	[1..1]	Text		1544
	<b>Identification</b> <Id>	[0..1]			1545
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545
	<b>Purpose</b> <Purp>	[0..1]	Text		1545
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1546
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1546
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1546
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1546
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1547
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1547
	<b>PayingAgent</b> <PngAgt>	[0..1]			1548



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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549
	<b>Purpose</b> <Purp>	[0..1]	Text		1549
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1550
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1550
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1550
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1551
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1551
	<b>Depository</b> <Dpstry>	[0..1]			1552
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553
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	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1554
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1554
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1554
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1554
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1555
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1555
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1556
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1558
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1558
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1558
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1558
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1559
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1559
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1560
Or}	<b>Replace</b> <Rplc>	[1..1]			1560
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1576
	<b>Equity</b> <Eqty>	[0..1]			1584
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1585
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1585
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1586
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1586
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1586
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1587
	<b>Warrant</b> <Warrt>	[0..1]			1587
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1588
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1588
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1589
	<b>Value</b> <Val>	[1..1]	±		1589
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1590
	<b>Type</b> <Tp>	[0..1]			1590
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1591
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1591
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1591

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	<b>Purpose</b> <Purp>	[0..1]	Text		1593
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1594
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1594
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1594
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1594
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1594
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1595
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1595
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1599
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1599
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1600
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1600
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1600
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1600
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1600
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1600
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1601
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1601
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1601
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1601
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1601
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1601
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1601
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1601
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1602

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	<b>PreFundedIndicator</b> <PreFnddlInd>	[0..1]	Indicator		1603
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1603
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1603
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1603
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1603
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1604
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1604
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1604
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1604
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1605
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1605
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1605
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1605
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1605
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1606
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1606
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1606
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1607
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1607
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1607
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1607
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1607
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1607
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1607
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1608
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1608

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	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1608
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1608
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1608
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1609
	<b>InsuredIndicator</b> <InsrIdInd>	[0..1]	Indicator		1609
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1609
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1609
	<b>Value</b> <Val>	[1..1]	Rate		1610
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1613
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1613
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1613
	<b>Value</b> <Val>	[1..1]	±		1614
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1614
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1615
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1615
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1616
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1616
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1616
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1616
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1618
	<b>GlobalType</b> <GblTp>	[0..1]			1618
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1618
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1619
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1619

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1619
	<b>YieldRange</b> <YldRg>	[0..1]	±		1619
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1620
	<b>Purpose</b> <Purp>	[0..1]	Text		1620
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1620
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1621
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1621
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1621
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1621
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1621
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1621
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1622
	<b>Production</b> <Pdctn>	[0..1]	Text		1622
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1622
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1622
	<b>Sector</b> <Sctr>	[0..1]	Text		1623
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1623
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1623
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1623
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1623
	<b>PriceRange</b> <PricRg>	[0..1]	±		1624
	<b>Derivative</b> <Deriv>	[0..1]			1624
	<b>Future</b> <Futr>	[0..1]			1628
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1630
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	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1632
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1632
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1633

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	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1639
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1639
	<b>CashType</b> <CshTp>	[0..1]	Text		1640
	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
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	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648
	<b>Option</b> <Optn>	[0..1]			1648
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1652
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	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1652
	<b>StrikePrice</b> <StrkPric>	[0..1]			1652
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1654
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1654
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1655
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1655
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1655
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1656
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	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1657
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1657
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	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1658
	<b>Standardisation</b> <Stdstn>	[0..1]			1658
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	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1659
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1660
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1660
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1663
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1663
	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1672
	<b>SecurityStatus</b> <SctySts>	[0..1]			1681
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	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1682
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	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1682
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1683
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1683
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1683
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1683
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1683
	<b>CoveredIndicator</b> <CvrldInd>	[0..1]	Indicator		1683
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1684
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1684
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	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1684
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1685
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1685
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1685
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1685
	<b>Purpose</b> <Purp>	[0..1]	Text		1685
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1685
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1686
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1686
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1686

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	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1688
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1688
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1688
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	<b>Name</b> <Nm>	[1..1]	Text		1689
	<b>Identification</b> <Id>	[0..1]			1689
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1690
	<b>Purpose</b> <Purp>	[0..1]	Text		1690
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1691
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1691
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1691
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1691
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1691
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1692
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1692
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1692
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1693
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1693
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1693
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1694
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1694
	<b>Identification</b> <Id>	[0..1]	Text		1694
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1694
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1695

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	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1696
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1696
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1696
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1696
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1696
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1697
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1697
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1697
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1698
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1698
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1698
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1698
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1699
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1700
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1700
	<b>Value</b> <Val>	[1..1]	±		1701
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1701
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1702
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1702
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1702
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1703
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1704
	<b>PutType</b> <PutTp>	[0..1]			1704
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1704
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1704
	<b>CallType</b> <CallTp>	[0..1]			1705
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1705

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	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1706
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1706
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1706
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1706
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1707
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1707
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1707
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1707
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1708
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1708
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1708
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1709
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1709
	<b>Class</b> <C/ss>	[0..1]	Text		1709
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1709
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1709
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1710
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1710
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1710
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1711
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1712
	<b>CommonSafekeeper</b> <CmonStfkpr>	[0..1]			1712
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1712
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1712
	<b>RedemptionType</b> <RedTp>	[0..1]			1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1713
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1714
	<b>Restriction</b> <Rstrctn>	[0..*]			1714
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1715
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1715
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1716
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1716
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1716
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1716
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1717
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1717
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>InvestorType</b> <InvstrTp>	[0..*]			1718
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1719
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1720
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1720
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1720
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1721
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1721
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1721
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1721
	<b>DeviatingSettlementUnit</b> <DevvgSttlmUnit>	[0..*]	±		1722
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1722
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1722
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1723
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1723
	<b>LegalForm</b> <LglForm>	[0..1]	±		1723

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactName</b> <CtctNm>	[0..1]			1724
	<b>Name</b> <Nm>	[1..1]	Text		1724
	<b>Identification</b> <Id>	[0..1]			1724
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1725
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1725
	<b>Purpose</b> <Purp>	[0..1]	Text		1725
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1725
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1726
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1726
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1726
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1726
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1726
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1727
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1727
	<b>LeadManager</b> <LeadMgr>	[0..1]			1728
	<b>Name</b> <Nm>	[1..1]	Text		1728
	<b>Identification</b> <Id>	[0..1]			1729
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1729
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1729
	<b>Purpose</b> <Purp>	[0..1]	Text		1729
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1730
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1730
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1730
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1730
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1731
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1731
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1732
	<b>Name</b> <Nm>	[1..1]	Text		1732
	<b>Identification</b> <Id>	[0..1]			1733

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1733
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1733
	<b>Purpose</b> <Purp>	[0..1]	Text		1733
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1734
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1734
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1734
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1734
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1735
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1735
	<b>PayingAgent</b> <PngAgt>	[0..1]			1736
	<b>Name</b> <Nm>	[1..1]	Text		1736
	<b>Identification</b> <Id>	[0..1]			1737
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1737
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1737
	<b>Purpose</b> <Purp>	[0..1]	Text		1737
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1738
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1738
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1738
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1738
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1739
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1739
	<b>Depository</b> <Dpstry>	[0..1]			1740
	<b>Name</b> <Nm>	[1..1]	Text		1740
	<b>Identification</b> <Id>	[0..1]			1741
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1741
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1741
	<b>Purpose</b> <Purp>	[0..1]	Text		1741



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1742
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1742
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1742
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1742
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1743
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1743
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1744
	<b>Name</b> <Nm>	[1..1]	Text		1744
	<b>Identification</b> <Id>	[0..1]			1745
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1745
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1745
	<b>Purpose</b> <Purp>	[0..1]	Text		1745
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1746
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1746
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1746
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1746
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1747
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1747
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1748

### 28.4.2.1 UpdateType <UpdTp>

*Presence:* [1..3]

*Definition:* Request to add, modify or delete data's of a security.

**UpdateType <UpdTp>** contains one of the following **UpdateType35Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Add</b> <Add>	[1..1]			996
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1012
	<b>Equity</b> <Eqty>	[0..1]			1020
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1021
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1021
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1021
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1022
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1022
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1022
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1023
	<b>Warrant</b> <Warrt>	[0..1]			1023
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1024
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1024
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1025
	<b>Value</b> <Val>	[1..1]	±		1025
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1026
	<b>Type</b> <Tp>	[0..1]			1026
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1027
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1027
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1027
	<b>Name</b> <Nm>	[1..1]	Text		1028
	<b>Identification</b> <Id>	[0..1]			1028
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1029
	<b>Purpose</b> <Purp>	[0..1]	Text		1029
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1030
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1030
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1030
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1030

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1030
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1031
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1031
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1035
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1035
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1036
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1036
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1036
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1036
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1036
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1036
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1037
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1037
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1037
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1037
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1037
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1037
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1037
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1037
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1038
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1038
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1038
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1038
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1038
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1039
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1039
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1039
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1039
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1039
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1040
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1040

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1040
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1040
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1041
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1041
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1041
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1041
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1041
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1042
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1043
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1043
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1043
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1043
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1043
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1043
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1043
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1044
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1044
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1044
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1044
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1044
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1044
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1044
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1045
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1045
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1045
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1045
	<b>Value</b> <Val>	[1..1]	Rate		1046
	<b>CalculationType</b> <ClctnTp>	[0..1]			1046

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1049
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1051
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1052
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1052
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1053
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1054
	<b>GlobalType</b> <GblTp>	[0..1]			1054
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1054
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1055
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1055
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1055
	<b>YieldRange</b> <YldRg>	[0..1]	±		1055
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1056
	<b>Purpose</b> <Purp>	[0..1]	Text		1056
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1056
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1057
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1057
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1057
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1057
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1057
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1057

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1058
	<b>Production</b> <Pdctn>	[0..1]	Text		1058
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1058
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1058
	<b>Sector</b> <Sctr>	[0..1]	Text		1059
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1059
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1059
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1059
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1059
	<b>PriceRange</b> <PricRg>	[0..1]	±		1060
	<b>Derivative</b> <Deriv>	[0..1]			1060
	<b>Future</b> <Futr>	[0..1]			1064
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084
	<b>Option</b> <Optn>	[0..1]			1084
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	±		1089
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1090
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1091
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1091
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1108
	<b>SecurityStatus</b> <SctySts>	[0..1]			1117
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1117
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1118
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1118
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1118
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1118

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1118
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1119
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1119
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1119
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1119
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1119
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1119
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1120
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1120
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1120
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1121
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1121
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1121
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1121
	<b>Purpose</b> <Purp>	[0..1]	Text		1121
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1121
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1122
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1122
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1122
	<b>Issuance</b> <Issnc>	[0..1]			1122
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1124
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1124
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1124
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1124
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1124
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1124
	<b>Name</b> <Nm>	[1..1]	Text		1125
	<b>Identification</b> <Id>	[0..1]			1125
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1126

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Purpose</b> <Purp>	[0..1]	Text		1126
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1127
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1127
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1127
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1127
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1128
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1128
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1128
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1129
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1129
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1130
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1130
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1130
	<b>Identification</b> <Id>	[0..1]	Text		1130
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1130
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1131
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1131
	<b>RoundLot</b> <RndLot>	[0..1]	±		1131
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1132
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1132
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1132
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1132
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1132
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1133
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1133
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1133

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1134
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1134
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1134
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1134
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1135
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1136
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1136
	<b>Value</b> <Val>	[1..1]	±		1137
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1137
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1138
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1138
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1138
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1139
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1140
	<b>PutType</b> <PutTp>	[0..1]			1140
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1140
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1140
	<b>CallType</b> <CallTp>	[0..1]			1141
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1141
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1141
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1142
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1142
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1142
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1142
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1143
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1143
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1143
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1143
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1144

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1144
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1144
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1145
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1145
	<b>Class</b> <Clss>	[0..1]	Text		1145
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1145
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1145
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1146
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1146
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1146
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1147
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1147
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1148
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1148
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1148
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1148
	<b>RedemptionType</b> <RedTp>	[0..1]			1149
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1149
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1149
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1150
	<b>Restriction</b> <Rstrctn>	[0..*]			1150
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1151
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1151
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1152
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1152
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1152
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1153

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1153
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1153
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>InvestorType</b> <InvstrTp>	[0..*]			1154
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1154
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1155
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1156
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1156
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1156
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1157
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1157
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1157
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1157
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		1158
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1158
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1158
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1159
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1159
	<b>LegalForm</b> <LglForm>	[0..1]	±		1159
	<b>ContactName</b> <CtctNm>	[0..1]			1160
	<b>Name</b> <Nm>	[1..1]	Text		1160
	<b>Identification</b> <Id>	[0..1]			1160
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1161
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1161
	<b>Purpose</b> <Purp>	[0..1]	Text		1161
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1161
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1162
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1162
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1162
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1162

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1162
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1163
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1163
	<b>LeadManager</b> <LeadMgr>	[0..1]			1164
	<b>Name</b> <Nm>	[1..1]	Text		1164
	<b>Identification</b> <Id>	[0..1]			1165
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1165
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1165
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1166
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1166
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1166
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1166
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1167
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1167
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1168
	<b>Name</b> <Nm>	[1..1]	Text		1168
	<b>Identification</b> <Id>	[0..1]			1169
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1170
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1171

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	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1171
	<b>PayingAgent</b> <PngAgt>	[0..1]			1172
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1173
	<b>Purpose</b> <Purp>	[0..1]	Text		1173
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1174
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1174
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1174
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1174
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1175
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1175
	<b>Depository</b> <Dpstry>	[0..1]			1176
	<b>Name</b> <Nm>	[1..1]	Text		1176
	<b>Identification</b> <Id>	[0..1]			1177
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1177
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1177
	<b>Purpose</b> <Purp>	[0..1]	Text		1177
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1178
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1178
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1178
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1179
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1179
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1180



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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1182
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1182
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1183
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Or	<b>Delete</b> <Del>	[1..1]			1184
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	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1209
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1209
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1210
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1210
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1210
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1211
	<b>Warrant</b> <Warrt>	[0..1]			1211
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1212
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1212
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
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	<b>Identification</b> <Id>	[0..1]			1216
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1217
	<b>Purpose</b> <Purp>	[0..1]	Text		1217
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1218
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1218
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1218
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1218
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1219
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1219
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1223
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1223
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1224
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1224
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1224
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1224
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1224
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1224
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1225
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1225
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1225
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1225
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1225
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1225

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	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1226
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	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1226
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1226
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1226
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1227
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1227
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1227
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1227
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1227
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1228
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1228
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1229
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1229
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1229
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1229
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1229
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1230
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	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1231
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1231
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1231
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1231
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1231

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	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1232
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1232
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1232
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1232
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1232
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1232
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1232
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1233
	<b>InsuredIndicator</b> <InsrIdInd>	[0..1]	Indicator		1233
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1233
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1233
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	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
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	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1240
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	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1240
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	<b>Geographics</b> <Geogcs>	[0..1]	Text		1243
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	<b>CouponRange</b> <CpnRg>	[0..1]	±		1244
	<b>Purpose</b> <Purp>	[0..1]	Text		1244
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1244
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1245
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1245
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1245
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1245
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1245
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1245
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1246
	<b>Production</b> <Pdctn>	[0..1]	Text		1246
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1246
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1246
	<b>Sector</b> <Sctr>	[0..1]	Text		1247
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1247
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1247
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1247
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1247
	<b>PriceRange</b> <PricRg>	[0..1]	±		1248
	<b>Derivative</b> <Deriv>	[0..1]			1248
	<b>Future</b> <Futr>	[0..1]			1252
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1254
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	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1257
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1259
	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1260
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270

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	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272
	<b>Option</b> <Optn>	[0..1]			1272
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1279
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
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	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1281
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282

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	<b>Standardisation</b> <Stdstn>	[0..1]			1282
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	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
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	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
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{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1296
	<b>SecurityStatus</b> <SctySts>	[0..1]			1305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1305
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	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1306
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	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1306
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1307
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1307
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1307
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1307
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1307
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1307
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1308
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1308
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1308
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1309
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1309
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1309
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1309
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	<b>ClassificationType</b> <ClsfctnTp>	[0..1]			1309

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	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1310
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1310
	<b>Issuance</b> <Issnc>	[0..1]			1310
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1312
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1312
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1312
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1312
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1312
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1312
	<b>Name</b> <Nm>	[1..1]	Text		1313
	<b>Identification</b> <Id>	[0..1]			1313
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1314
	<b>Purpose</b> <Purp>	[0..1]	Text		1314
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1315
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1315
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1315
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1316
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1316
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1316
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1317
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1317
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1317
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	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1318
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1319
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1319
	<b>RoundLot</b> <RndLot>	[0..1]	±		1319
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1320
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1320
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1320
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1321
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Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1322
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1322
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1322
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1322
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1323
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1324
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1326
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1326
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1327
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1328
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	<b>CallType</b> <CallTp>	[0..1]			1329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1329
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	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1329
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1330
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1330
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1330
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1330
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1331
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1331
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1331
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1331
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Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1332
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1332
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1332
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1333
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1333
	<b>Class</b> <Clss>	[0..1]	Text		1333
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1333
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1334
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1334
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1334
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1335
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1336

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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1336
	<b>RedemptionType</b> <RedTp>	[0..1]			1337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1337
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1338
	<b>Restriction</b> <Rstrctn>	[0..*]			1338
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1339
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1339
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1340
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1341
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>InvestorType</b> <InvstrTp>	[0..*]			1342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1343
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1344
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1345
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1345
	<b>MinimumMultipleQuantity</b> <MinMltpQty>	[0..1]	±		1345
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1346
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1346

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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1347
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1347
	<b>LegalForm</b> <LglForm>	[0..1]	±		1347
	<b>ContactName</b> <CtctNm>	[0..1]			1348
	<b>Name</b> <Nm>	[1..1]	Text		1348
	<b>Identification</b> <Id>	[0..1]			1348
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1349
	<b>Purpose</b> <Purp>	[0..1]	Text		1349
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1349
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1350
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1350
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1350
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1350
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1350
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1351
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1351
	<b>LeadManager</b> <LeadMgr>	[0..1]			1352
	<b>Name</b> <Nm>	[1..1]	Text		1352
	<b>Identification</b> <Id>	[0..1]			1353
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353
	<b>Purpose</b> <Purp>	[0..1]	Text		1353
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1354
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1354
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1354
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1354
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1355

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1355
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1356
	<b>Name</b> <Nm>	[1..1]	Text		1356
	<b>Identification</b> <Id>	[0..1]			1357
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1357
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357
	<b>Purpose</b> <Purp>	[0..1]	Text		1357
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1358
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1358
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1358
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1358
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1359
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1359
	<b>PayingAgent</b> <PngAgt>	[0..1]			1360
	<b>Name</b> <Nm>	[1..1]	Text		1360
	<b>Identification</b> <Id>	[0..1]			1361
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1361
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361
	<b>Purpose</b> <Purp>	[0..1]	Text		1361
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1362
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1362
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1362
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1362
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1363
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1363
	<b>Depository</b> <Dpstry>	[0..1]			1364

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Identification</b> <Id>	[0..1]			1365
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1365
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1365
	<b>Purpose</b> <Purp>	[0..1]	Text		1365
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1366
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1366
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1366
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1366
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1367
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1367
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1368
	<b>Name</b> <Nm>	[1..1]	Text		1368
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1370
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1370
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1370
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1370
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1371
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1371
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1372
Or}	<b>Modify</b> <Modfy>	[1..1]			1372
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1388
	<b>Equity</b> <Eqty>	[0..1]			1396



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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1398
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1398
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1398
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1399
	<b>Warrant</b> <Warrt>	[0..1]			1399
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1400
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1400
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	<b>Value</b> <Val>	[1..1]	±		1401
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	<b>Type</b> <Tp>	[0..1]			1402
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	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1403
	<b>Name</b> <Nm>	[1..1]	Text		1404
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1406
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1406
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1406
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1406
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1407
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1407

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	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1412
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1412
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1412
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1412
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1412
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1413
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1413
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1413
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1413
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1413
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1413
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1413
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1413
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1414
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1414
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1414
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	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1414
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1415
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1415
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1415
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1415
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1415
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1416
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416
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	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1416

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	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1417
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1417
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1417
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1417
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1418
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1418
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	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1419
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1419
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1419
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1419
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1419
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1419
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1420
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1420
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1420
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1420
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1420
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1420
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1420
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1421
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1421
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1421
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1421
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
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	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425

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	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
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	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428
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	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1428
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	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1431
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1431
	<b>YieldRange</b> <YldRg>	[0..1]	±		1431
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1432
	<b>Purpose</b> <Purp>	[0..1]	Text		1432
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1432
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1433
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1433
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1433
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1433
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1433
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1433
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1434
	<b>Production</b> <Pdctn>	[0..1]	Text		1434
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1434

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	<b>SubstitutionFrequency</b> <SbsttnFrqcy>	[0..1]	±		1435
	<b>SubstitutionLeft</b> <SbsttnLft>	[0..1]	Quantity		1435
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1435
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1435
	<b>PriceRange</b> <PricRg>	[0..1]	±		1436
	<b>Derivative</b> <Deriv>	[0..1]			1436
	<b>Future</b> <Futr>	[0..1]			1440
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
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	<b>Value</b> <Val>	[1..1]	±		1443
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	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
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	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451

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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460
	<b>Option</b> <Optn>	[0..1]			1460
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1466

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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
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	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1484
	<b>SecurityStatus</b> <SctySts>	[0..1]			1493
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1493
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1494
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1494
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1494
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1494
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1494
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1495
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1495



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1495
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1495
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1495
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1495
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1496
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1496
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1496
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1496
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1497
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1497
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1497
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1497
	<b>Purpose</b> <Purp>	[0..1]	Text		1497
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1497
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1498
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1498
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1498
	<b>Issuance</b> <Issnc>	[0..1]			1498
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1500
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1500
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1500
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1500
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1500
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1500
	<b>Name</b> <Nm>	[1..1]	Text		1501
	<b>Identification</b> <Id>	[0..1]			1501
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1502
	<b>Purpose</b> <Purp>	[0..1]	Text		1502
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1502

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1503
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1503
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1503
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1504
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1504
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1504
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1505
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1505
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1505
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1506
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1506
	<b>Identification</b> <Id>	[0..1]	Text		1506
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1506
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1507
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1507
	<b>RoundLot</b> <RndLot>	[0..1]	±		1507
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1508
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1508
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1508
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1509
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1510
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1510

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1510
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1510
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1511
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1512
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1514
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1514
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1516
	<b>PutType</b> <PutTp>	[0..1]			1516
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1516
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1516
	<b>CallType</b> <CallTp>	[0..1]			1517
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1517
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1517
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1518
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1518
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1518
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1518
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1519
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1519
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1519
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1519
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1520
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1520
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1520
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1520

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1521
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1521
	<b>Class</b> <Cls>	[0..1]	Text		1521
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1521
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1521
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1522
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1522
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1523
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1523
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1524
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1524
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1524
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1524
	<b>RedemptionType</b> <RedTp>	[0..1]			1525
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1525
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1525
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1526
	<b>Restriction</b> <Rstrctn>	[0..*]			1526
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1527
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1527
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1529
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1529
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvestorType</b> <InvstrTp>	[0..*]			1530
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1530
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1531
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1532
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1532
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1532
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1533
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1533
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1533
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		1534
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1534
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1534
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1535
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1535
	<b>LegalForm</b> <LglForm>	[0..1]	±		1535
	<b>ContactName</b> <CtctNm>	[0..1]			1536
	<b>Name</b> <Nm>	[1..1]	Text		1536
	<b>Identification</b> <Id>	[0..1]			1536
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1537
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1537
	<b>Purpose</b> <Purp>	[0..1]	Text		1537
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1537
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1538
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1538
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1538
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1538
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1538
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1539

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1539
	<b>LeadManager</b> <LeadMgr>	[0..1]			1540
	<b>Name</b> <Nm>	[1..1]	Text		1540
	<b>Identification</b> <Id>	[0..1]			1541
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1541
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541
	<b>Purpose</b> <Purp>	[0..1]	Text		1541
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1542
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1542
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1542
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1542
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1543
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1543
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1544
	<b>Name</b> <Nm>	[1..1]	Text		1544
	<b>Identification</b> <Id>	[0..1]			1545
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1545
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545
	<b>Purpose</b> <Purp>	[0..1]	Text		1545
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1546
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1546
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1546
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1546
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1547
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1547
	<b>PayingAgent</b> <PngAgt>	[0..1]			1548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1548
	<b>Identification</b> <Id>	[0..1]			1549
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1549
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549
	<b>Purpose</b> <Purp>	[0..1]	Text		1549
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1550
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1550
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1550
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1550
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1551
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1551
	<b>Depository</b> <Dpstry>	[0..1]			1552
	<b>Name</b> <Nm>	[1..1]	Text		1552
	<b>Identification</b> <Id>	[0..1]			1553
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1553
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553
	<b>Purpose</b> <Purp>	[0..1]	Text		1553
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1554
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1554
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1554
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1554
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1555
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1555
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1556
	<b>Name</b> <Nm>	[1..1]	Text		1556
	<b>Identification</b> <Id>	[0..1]			1557
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1557

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1557
	<b>Purpose</b> <Purp>	[0..1]	Text		1557
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1558
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1558
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1558
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1558
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1559
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1559
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1560

#### 28.4.2.1.1 Add <Add>

*Presence:* [1..1]

*Definition:* Set of data requested to enrich a security.



Add <Add> contains the following **SecurityAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1012
	<b>Equity</b> <Eqty>	[0..1]			1020
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1021
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1021
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1021
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1022
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1022
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1022
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1023
	<b>Warrant</b> <Warrt>	[0..1]			1023
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1024
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1024
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1025
	<b>Value</b> <Val>	[1..1]	±		1025
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1026
	<b>Type</b> <Tp>	[0..1]			1026
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1027
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	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1027
	<b>Name</b> <Nm>	[1..1]	Text		1028
	<b>Identification</b> <Id>	[0..1]			1028
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1029
	<b>Purpose</b> <Purp>	[0..1]	Text		1029
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1030
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1030
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1030
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1030
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1030

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1031
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1031
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1035
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1035
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1036
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1036
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1036
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1036
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1036
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1036
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1037
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1037
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1037
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1037
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1037
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1037
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1037
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1037
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1038
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1038
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1038
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1038
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1038
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1039
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1039
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1039
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1039
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1039
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1040
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040

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	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1040
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1041
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1041
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1041
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1041
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1041
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1042
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1043
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1043
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1043
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1043
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1043
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1043
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1043
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1044
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1044
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1044
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1044
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1044
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1044
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1044
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1045
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1045
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1045
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1045
	<b>Value</b> <Val>	[1..1]	Rate		1046
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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052
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	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1052
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	<b>GlobalType</b> <GblTp>	[0..1]			1054
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	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1055
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1055
	<b>YieldRange</b> <YldRg>	[0..1]	±		1055
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1056
	<b>Purpose</b> <Purp>	[0..1]	Text		1056
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1056
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1057
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1057
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1057
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1057
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1057
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1057
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1058

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	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1058
	<b>Sector</b> <Sctr>	[0..1]	Text		1059
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1059
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1059
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1059
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1059
	<b>PriceRange</b> <PricRg>	[0..1]	±		1060
	<b>Derivative</b> <Deriv>	[0..1]			1060
	<b>Future</b> <Futr>	[0..1]			1064
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075

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	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084
	<b>Option</b> <Optn>	[0..1]			1084
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088
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	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1093
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1108
	<b>SecurityStatus</b> <SctySts>	[0..1]			1117
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1117
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1118
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1118
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1118
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1118
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1118



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1119
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1119
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1119
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1119
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1119
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1120
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1120
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1120
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1121
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1121
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1121
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1121
	<b>Purpose</b> <Purp>	[0..1]	Text		1121
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1121
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1122
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1122
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1122
	<b>Issuance</b> <Issnc>	[0..1]			1122
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1124
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1124
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1124
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1124
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1124
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1124
	<b>Name</b> <Nm>	[1..1]	Text		1125
	<b>Identification</b> <Id>	[0..1]			1125
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1126
	<b>Purpose</b> <Purp>	[0..1]	Text		1126

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1127
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1127
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1127
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1127
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1128
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1128
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1128
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1129
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1129
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1130
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1130
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1130
	<b>Identification</b> <Id>	[0..1]	Text		1130
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1130
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1131
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1131
	<b>RoundLot</b> <RndLot>	[0..1]	±		1131
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1132
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1132
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1132
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1132
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1132
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1133
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1133
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1133
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1134

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1134
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1134
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1134
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1135
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1136
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1136
	<b>Value</b> <Val>	[1..1]	±		1137
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1137
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1138
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1138
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1138
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1139
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1140
	<b>PutType</b> <PutTp>	[0..1]			1140
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1140
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1140
	<b>CallType</b> <CallTp>	[0..1]			1141
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1141
	<b>FungibleIndicator</b> <FngblInd>	[0..1]	Indicator		1141
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1142
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1142
	<b>ConvertibleIndicator</b> <ConvblInd>	[0..1]	Indicator		1142
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1142
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1143
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1143
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1143
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1143
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1144
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1144

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1144
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1145
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1145
	<b>Class</b> <Clss>	[0..1]	Text		1145
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1145
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1145
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1146
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1146
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1146
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1147
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1147
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1148
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1148
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1148
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1148
	<b>RedemptionType</b> <RedTp>	[0..1]			1149
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1149
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1149
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1150
	<b>Restriction</b> <Rstrctn>	[0..*]			1150
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1151
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1151
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1152
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1152
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1152
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1153
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1153

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1153
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>InvestorType</b> <InvstrTp>	[0..*]			1154
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1154
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1155
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1156
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1156
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1156
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1157
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1157
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1157
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1157
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1158
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1158
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1158
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1159
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1159
	<b>LegalForm</b> <LglForm>	[0..1]	±		1159
	<b>ContactName</b> <CtctNm>	[0..1]			1160
	<b>Name</b> <Nm>	[1..1]	Text		1160
	<b>Identification</b> <Id>	[0..1]			1160
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1161
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1161
	<b>Purpose</b> <Purp>	[0..1]	Text		1161
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1161
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1162
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1162
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1162
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1162
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1162

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1163
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1163
	<b>LeadManager</b> <LeadMgr>	[0..1]			1164
	<b>Name</b> <Nm>	[1..1]	Text		1164
	<b>Identification</b> <Id>	[0..1]			1165
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1165
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1165
	<b>Purpose</b> <Purp>	[0..1]	Text		1165
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1166
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1166
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1166
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1166
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1167
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1167
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1168
	<b>Name</b> <Nm>	[1..1]	Text		1168
	<b>Identification</b> <Id>	[0..1]			1169
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1169
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1169
	<b>Purpose</b> <Purp>	[0..1]	Text		1169
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1170
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1170
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1170
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1170
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1171
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1171

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayingAgent</b> <PngAgt>	[0..1]			1172
	<b>Name</b> <Nm>	[1..1]	Text		1172
	<b>Identification</b> <Id>	[0..1]			1173
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1173
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1173
	<b>Purpose</b> <Purp>	[0..1]	Text		1173
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1174
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1174
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1174
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1174
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1175
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1175
	<b>Depository</b> <Dpstry>	[0..1]			1176
	<b>Name</b> <Nm>	[1..1]	Text		1176
	<b>Identification</b> <Id>	[0..1]			1177
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1177
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1177
	<b>Purpose</b> <Purp>	[0..1]	Text		1177
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1178
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1178
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1178
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1178
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1179
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1179
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1180
	<b>Name</b> <Nm>	[1..1]	Text		1180
	<b>Identification</b> <Id>	[0..1]			1181

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1181
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1181
	<b>Purpose</b> <Purp>	[0..1]	Text		1181
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1182
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1182
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1182
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1182
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1183
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1183
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1184

#### 28.4.2.1.1.1 FinancialInstrumentType <FinInstrmTp>

*Presence:* [0..\*]

*Definition:* Provides additional details about the financial instrument.



**FinancialInstrumentType <FinInstrmTp>** contains the following **FinancialInstrument97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Equity</b> <Eqty>	[0..1]			1020
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1021
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1021
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1021
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1022
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1022
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1022
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1023
	<b>Warrant</b> <Warrt>	[0..1]			1023
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1024
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1024
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1025
	<b>Value</b> <Val>	[1..1]	±		1025
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1026
	<b>Type</b> <Tp>	[0..1]			1026
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1027
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1027
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1027
	<b>Name</b> <Nm>	[1..1]	Text		1028
	<b>Identification</b> <Id>	[0..1]			1028
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1029
	<b>Purpose</b> <Purp>	[0..1]	Text		1029
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1030
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1030
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1030
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1030
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1030

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1031
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1031
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1035
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1035
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1036
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1036
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1036
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1036
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1036
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1036
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1037
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1037
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1037
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1037
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1037
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1037
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1037
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1037
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1038
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1038
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1038
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1038
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1038
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1039
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1039
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1039
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1039
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1039
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1040
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1040
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1040
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1040
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1041
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1041
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1041
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1041
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1041
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1042
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1043
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1043
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1043
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1043
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1043
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1043
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1043
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1044
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1044
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1044
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1044
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1044
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1044
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1044
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1045
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1045
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1045
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1045
	<b>Value</b> <Val>	[1..1]	Rate		1046
	<b>CalculationType</b> <ClctnTp>	[0..1]			1046
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1049
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1051
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1052
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1052
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1053
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1054
	<b>GlobalType</b> <GblTp>	[0..1]			1054
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1054
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1055
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1055
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1055
	<b>YieldRange</b> <YldRg>	[0..1]	±		1055
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1056
	<b>Purpose</b> <Purp>	[0..1]	Text		1056
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1056
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1057
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1057
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1057
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1057
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1057
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1057
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1058

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1058
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1058
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1058
	<b>Sector</b> <Sctr>	[0..1]	Text		1059
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1059
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1059
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1059
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1059
	<b>PriceRange</b> <PricRg>	[0..1]	±		1060
	<b>Derivative</b> <Deriv>	[0..1]			1060
	<b>Future</b> <Futr>	[0..1]			1064
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084
	<b>Option</b> <Optn>	[0..1]			1084
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088
	<b>ConversionDate</b> <ConvDts>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1090
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1091
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1091
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108

#### 28.4.2.1.1.1.1 Equity <Eqty>

*Presence:* [0..1]

*Definition:* Financial instrument which represents a title of ownership in a company, ie, the shareholder is entitled to a part of the company's profit - usually by payment of a dividend - and to voting rights, if any. Each company issues generally different classes of shares, eg, ordinary or common shares, which have no guaranteed amount of dividend but carry voting rights, or preferred shares, which receive dividends before ordinary shares but have no voting right.



**Equity <Eqty>** contains the following **Equity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1021
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1021
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1021
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1022
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1022
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1022
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1023

#### 28.4.2.1.1.1.1.1 PreferenceToIncome <PrefToIncm>

*Presence:* [1..1]

*Definition:* Indicates the level of priority to claim on income and assets of the company in case of the payment of dividends and in the event of a bankruptcy, for example, ordinary/common stocks, preferred stocks, subordinated debt, etc.

**PreferenceToIncome <PrefToIncm>** contains one of the following **PreferenceToIncome5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1021
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1021

#### 28.4.2.1.1.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Preference to income expressed as an ISO 20022 code.

*Datatype:* "PreferenceToIncome1Code" on page 2841

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

#### 28.4.2.1.1.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Preference to income expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.1.2 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security will no longer exist, for example, redeemable preference shares.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.1.1.1.3 NonPaidAmount <NonPdAmt>

*Presence:* [0..1]

*Definition:* Nominal amount which is not paid yet.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.1.4 ParValue <ParVal>

*Presence:* [0..1]

*Definition:* Nominal value of an equity security.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

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Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.1.5 VotingRightsPerShare <VtngRghtsPerShr>**

*Presence:* [0..1]

*Definition:* Number of voting rights per share.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.1.1.2 Warrant <Warrt>**

*Presence:* [0..1]

*Definition:* Financial instrument that gives the holder the right to purchase shares or bonds at a given price within a specified time.

**Warrant <Warrt>** contains the following **Warrant4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1024
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1024
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1025
	<b>Value</b> <Val>	[1..1]	±		1025
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1026
	<b>Type</b> <Tp>	[0..1]			1026
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1027
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1027
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1027
	<b>Name</b> <Nm>	[1..1]	Text		1028
	<b>Identification</b> <Id>	[0..1]			1028
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1029
	<b>Purpose</b> <Purp>	[0..1]	Text		1029
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1030
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1030
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1030
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1030
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1030
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1031

#### 28.4.2.1.1.1.2.1 Multiplier <Mltplr>

*Presence:* [0..1]

*Definition:* Specifies the ratio or multiply factor used to convert from contracts to shares.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.1.1.1.2.2 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a warrant is entitled to buy the underlying instrument.

**SubscriptionPrice <SbcptPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1025
	<b>Value</b> <Val>	[1..1]	±		1025
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1026

#### 28.4.2.1.1.1.2.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.2.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates when a warrant can be exercised.

**Type <Tp>** contains one of the following **WarrantStyle3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1027
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1027

#### 28.4.2.1.1.1.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Warrant style expressed as an ISO 20022 code.

*Datatype:* "WarrantStyle1Code" on page 2857

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

#### 28.4.2.1.1.1.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Warrant style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.2.4 WarrantAgent <WarrtAgt>

*Presence:* [0..\*]

*Definition:* Entity appointed by the issuer to process the exercising of warrants, sometimes responsible for the issuance of the warrants into the market.

**WarrantAgent <WarrtAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1028
	<b>Identification &lt;Id&gt;</b>	[0..1]			1028
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1029
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1029
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1029
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1029
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1030
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1030
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1030
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1030
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1030
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1031

#### 28.4.2.1.1.2.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.1.2.4.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1028
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1029

#### 28.4.2.1.1.2.4.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858



## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.1.1.1.2.4.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.1.1.1.2.4.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.1.1.1.2.4.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.1.1.2.4.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.1.1.2.4.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

### 28.4.2.1.1.1.2.4.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.1.1.1.2.4.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.1.1.1.2.4.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

### 28.4.2.1.1.1.2.4.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.1.2.4.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.1.3 Debt <Debt>

*Presence:* [0..1]

*Definition:* Financial instruments evidencing moneys owed by the issuer to the holder on terms as specified.

*Impacted by:* C11 "NextCallableDateAndCallableIndicatorRule", C13 "PutableDateAndPutableIndicatorRule"

**Debt** <Debt> contains the following **Debt5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1035
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1035
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1036
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1036
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1036
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1036
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1036
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1036
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1037
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1037
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1037
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1037
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1037
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1037
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1037
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1037
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1038
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1038
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1038
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1038
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1038
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1039
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1039
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1039
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1039
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1039
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1040
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1040
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1040
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1040

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1041
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1041
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1041
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1041
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1041
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1042
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1043
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1043
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1043
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1043
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1043
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1043
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1043
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1044
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1044
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1044
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1044
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1044
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1044
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1044
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1045
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1045
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1045
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1045
	<b>Value</b> <Val>	[1..1]	Rate		1046
	<b>CalculationType</b> <ClctnTp>	[0..1]			1046
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1049

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1051
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1052
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1052
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1053
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1054
	<b>GlobalType</b> <GblTp>	[0..1]			1054
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1054
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1055
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1055
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1055
	<b>YieldRange</b> <YldRg>	[0..1]	±		1055
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1056
	<b>Purpose</b> <Purp>	[0..1]	Text		1056
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1056
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1057
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1057
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1057
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1057
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1057
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1057
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1058
	<b>Production</b> <Pdctn>	[0..1]	Text		1058
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1058

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1058
	<b>Sector</b> <Sctr>	[0..1]	Text		1059
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1059
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1059
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1059
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1059
	<b>PriceRange</b> <PricRg>	[0..1]	±		1060

#### Constraints

- **NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCaldate must be present.

- **PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

#### 28.4.2.1.1.1.3.1 PaymentCurrency <PmtCcy>

*Presence:* [0..1]

*Definition:* Currency of the payment.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.1.1.3.2 FaceAmount <FaceAmt>

*Presence:* [0..1]

*Definition:* Unit value of a debt security.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.3.3 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see "[Frequency35Choice](#)" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.1.1.3.4 InterestFixingDate <IntrstFxdt>

*Presence:* [0..1]

*Definition:* Date/time at which the rate determination is made, also called determination date.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.1.1.3.5 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* First date/time at which a security begins to accrue interest.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.1.1.3.6 FirstPaymentDate <FrstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the first interest payment is due to holders of the security.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.1.1.3.7 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.1.1.3.8 NextCouponDate <NxtCpnDt>

*Presence:* [0..1]

*Definition:* Date of the coupon attached to the physical security.

*Datatype:* "[ISODatetime](#)" on page 2858



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#### **28.4.2.1.1.1.3.9 PutableDate <PutbIDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.1.1.3.10 NextCallableDate <NxtClbIDt>**

*Presence:* [0..1]

*Definition:* Next date/time at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.1.1.3.11 NextFactorDate <NxtFctrDt>**

*Presence:* [0..1]

*Definition:* The date that the current factor will be changed to a new factor.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.1.1.3.12 ExpirationDate <XprtnDt>**

*Presence:* [0..1]

*Definition:* Date/time at which an interest bearing security becomes due and assets are to be repaid.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.1.1.3.13 PaymentDirectionIndicator <PmtDrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates the direction of payment for asset or mortgage backed securities, ie, whether the repaid capital is distributed (payment direction is down) or capitalized (payment direction is up).

*Datatype:* One of the following values must be used (see "PaymentDirectionIndicator" on page 2861):

- *Meaning When True:* Up
- *Meaning When False:* Down

#### **28.4.2.1.1.1.3.14 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.15 NextInterestRate <NxtIntrstRate>**

*Presence:* [0..1]

*Definition:* Specifies the interest rate applicable to the next interest payment period.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.16 OddCouponIndicator <OddCpnInd>**

*Presence:* [0..1]

*Definition:* Specifies whether the payment of the coupon (interest) on a bond is off the normal schedule.

---

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.17 CallableIndicator <ClblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.18 CPProgram <CPPrgm>**

*Presence:* [0..1]

*Definition:* The program under which a commercial paper is issued. The values that are most used are:

0 = N/A

1 = 3(a)3

2 = 4(2)

3 = 3(a)4

4 = 3(c)7

5 = 144A

6 = 3(a)2

99 = Other.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.1.1.3.19 CPRegistrationType <CPRegnTp>**

*Presence:* [0..1]

*Definition:* Registration type of a commercial paper issuance.

*Datatype:* "Max350Text" on page 2865

#### **28.4.2.1.1.1.3.20 InterestAccrualDate <IntrstAcrlDt>**

*Presence:* [0..1]

*Definition:* Start date used for calculating accrued interest on debt instruments which are being sold between interest payment dates. Often but not always the same as the issue date and the dated date.

*Datatype:* "ISODateTime" on page 2858

#### **28.4.2.1.1.1.3.21 PutableIndicator <PutblInd>**

*Presence:* [0..1]

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*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.22 PreFundedIndicator <PreFnddInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is being escrowed or collateralized either by direct obligations guaranteed by the US government, or by other types of securities. The maturity schedules of the securities in the escrow fund are determined in such a way to pay the maturity value, coupon, and premium payments (if any) of the refunded bonds.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.23 EscrowedIndicator <EscrwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is deposited in a fund that will be used to pay debt service on refunded securities.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.24 PerpetualIndicator <PerptlInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security has no maturity date.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.25 SubordinatedIndicator <SubrdntdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is a subordinated security.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.26 ExtendibleIndicator <XtndblInd>**

*Presence:* [0..1]

**Definition:** Indicates whether the security is extendible, eg, repayment may be extended or maturity changed.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.1.3.27 ExtendiblePeriod <XtndblPrd>

**Presence:** [0..1]

**Definition:** Period during which a date might be extended.

**ExtendiblePeriod <XtndblPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1040
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1040
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1040

##### 28.4.2.1.1.1.3.27.1 FromDateTime <FrDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 28.4.2.1.1.1.3.27.2 ToDateTime <ToDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 28.4.2.1.1.1.3.27.3 DateTimeRange <DtTmRg>

**Presence:** [1..1]

**Definition:** Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

##### 28.4.2.1.1.1.3.28 VariableRateIndicator <VarblRateInd>

**Presence:** [0..1]

**Definition:** Indicates whether the interest rate of an interest bearing instrument is reset periodically.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.29 OverAllotmentAmount <OverAlltmtAmt>**

*Presence:* [0..1]

*Definition:* Amount for which a security can be overallocated (as in greenshoe option).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.1.3.30 OverAllotmentRate <OverAlltmtRate>**

*Presence:* [0..1]

*Definition:* Percentage for which a security can be overallocated (as in greenshoe option).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.31 AmortisableIndicator <AmtsblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether repayment is made via regular principal and interest payments over time.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.32 InterestCalculationMethod <IntrstClctnMtd>**

*Presence:* [0..1]

*Definition:* Calculation method used to calculate interest and/or yield for a bond, for example, street convention.

*Datatype:* "Max70Text" on page 2867

#### **28.4.2.1.1.1.3.33 CapitalisedInterest <CptlsdIntrst>**

*Presence:* [0..1]

*Definition:* Specifies whether the interest amount is capitalised until maturity date or paid out at each interest payment date.

**CapitalisedInterest <CptIsdIntrst>** contains one of the following **DistributionPolicy2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1042
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1042

#### 28.4.2.1.1.1.3.33.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as an ISO 20022 code.

*Datatype:* "DistributionPolicy1Code" on page 2813

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

#### 28.4.2.1.1.1.3.33.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.3.34 ActualDenominationAmount <ActIDnmtnAmt>

*Presence:* [0..\*]

*Definition:* Nominal value per security unit.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.1.3.35 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Identifies the current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.36 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Identifies the new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.37 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Identifies the previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.38 Pieces <Pcs>**

*Presence:* [0..1]

*Definition:* Number of pieces composing a pool of financial assets.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.39 PoolsMaximum <PlsMax>**

*Presence:* [0..1]

*Definition:* Collection of assets by which a security is backed.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.40 PoolsPerMillion <PlsPerMln>**

*Presence:* [0..1]

*Definition:* Indicates per million the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.41 PoolsPerLot <PlsPerLot>**

*Presence:* [0..1]

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*Definition:* Indicates per lot the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.42 PoolsPerTrade <PlsPerTrad>**

*Presence:* [0..1]

*Definition:* Indicates per trade the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.43 ConstantPrePaymentPenaltyIndicator <CstPrePmtPnltyInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a penalty might be imposed to the borrower of a mortgage in case of prepayments occurring during the lockout period.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.44 LotIdentification <LotId>**

*Presence:* [0..1]

*Definition:* Identifies the lot constituting an asset backed or mortgage backed security issue.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.1.3.45 ConstantPrePaymentYield <CstPrePmtYld>**

*Presence:* [0..1]

*Definition:* Measure of prepayment as a yield of the current outstanding loan balance.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.46 WeightedAverageCoupon <WghtdAvrgCpn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average coupon of the fixed income instrument (expressed as a percentage).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.3.47 WeightedAverageLife <WghtdAvrgLife>**

*Presence:* [0..1]

*Definition:* Contains the weighted average life of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.48 WeightedAverageLoan <WghtdAvrgLn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average loan of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861



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#### **28.4.2.1.1.1.3.49 WeightedAverageMaturity <WghtdAvrgMtrty>**

*Presence:* [0..1]

*Definition:* Contains the weighted average maturity of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.3.50 InsuredIndicator <InsrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the instrument is backed by any kind of asset or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.51 BankQualifiedIndicator <BkQlfdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is bank qualified (usually applies to loan products).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.1.1.3.52 YieldCalculation <YldClctn>**

*Presence:* [0..\*]

*Definition:* Rate of return on an investment, based on the price.

**YieldCalculation <YldClctn>** contains the following **YieldCalculation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Rate		1046
	<b>CalculationType</b> <ClctnTp>	[0..1]			1046
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1049
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1051
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1051
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1051
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1052
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1052

#### 28.4.2.1.1.1.3.52.1 Value <Val>

*Presence:* [1..1]

*Definition:* Result of the yield calculation.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.1.1.3.52.2 CalculationType <ClctnTp>

*Presence:* [0..1]

*Definition:* Specifies the type of calculation.

**CalculationType <ClctnTp>** contains one of the following **CalculationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1046
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1049

#### 28.4.2.1.1.1.3.52.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Calculation type expressed as an ISO 20022 code.

*Datatype:* "CalculationType1Code" on page 2801

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CMPD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.

CodeName	Name	Definition
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.

CodeName	Name	Definition
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

#### 28.4.2.1.1.1.3.52.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Calculation type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.3.52.3 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price to which the yield has been calculated.

**RedemptionPrice <RedPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1049
	<b>Value</b> <Val>	[1..1]	±		1050
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1050

#### 28.4.2.1.1.1.3.52.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "[PriceValueType3Code](#)" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.3.52.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.3.52.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.3.52.4 ValueDate <ValDt>

*Presence:* [1..1]

*Definition:* Date/time on which the calculation is based, for example, valuation on October 1 (price date) based on price of September 19 (value date).

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.1.1.3.52.5 ValuePeriod <ValPrd>

*Presence:* [1..1]

*Definition:* Period on which the calculation is based.

**ValuePeriod <ValPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		1051
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		1052
Or}	<b>DateTimeRange &lt;DtTmRg&gt;</b>	[1..1]	±		1052

#### 28.4.2.1.1.1.3.52.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.1.1.1.3.52.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.1.1.3.52.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.1.1.3.52.6 CalculationDate <ClctnDt>

*Presence:* [1..1]

*Definition:* Clarifies yield irregularities associated with date, for example when it falls on a non-business day.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.1.1.3.53 InterestType <IntrstTp>

*Presence:* [0..1]

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Datatype:* "InterestType3Code" on page 2830

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

#### 28.4.2.1.1.1.3.54 InstrumentStructureType <InstrmStrTp>

*Presence:* [0..1]

*Definition:* Indicates the type of deal for structured finance.



**InstrumentStructureType <InstrmStrTp>** contains one of the following **InstrumentSubStructureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1053
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1054

#### 28.4.2.1.1.1.3.54.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as an ISO 20022 code.

*Datatype:* "InstrumentSubStructureType1Code" on page 2826

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.

CodeName	Name	Definition
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

#### 28.4.2.1.1.1.3.54.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.3.55 GlobalType <GbITp>

*Presence:* [0..1]

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

New Global Note (NGN): Form of global certificate which refers to the books and records of the ICSDs to determine the issue outstanding amount (IOA).

Classical Global Note (CGN): Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the issue outstanding amount (IOA).

**GlobalType <GbITp>** contains one of the following **GlobalNote2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1054
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1055

#### 28.4.2.1.1.1.3.55.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Global note expressed as an ISO 20022 code.

*Datatype:* "[GlobalNote1Code](#)" on page 2825

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

#### 28.4.2.1.1.1.3.55.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Global note expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.3.56 PotentialEuroSystemEligibility <PotntlEuroSysElgblty>

*Presence:* [0..1]

*Definition:* Flag to indicate the security is intended to be held in a manner that could allow the Eurosystem eligibility.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.1.3.57 Geographics <Geogcs>

*Presence:* [0..1]

*Definition:* Type of stipulation expressing geographical constraints on a fixed income instrument. It is expressed with a state or country abbreviation and a minimum or maximum percentage. Example: CA 0-80 (minimum of 80 percent in Californian assets).

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.1.1.1.3.58 YieldRange <YldRg>

*Presence:* [0..1]

*Definition:* Range of allowed yield.

**YieldRange <YldRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.1.1.3.59 CouponRange <CpnRg>

*Presence:* [0..1]

*Definition:* Identification of a range of coupon numbers attached to its related financial instrument.

**CouponRange <CpnRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.1.1.3.60 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.1.1.1.3.61 AlternativeMinimumTaxIndicator <AltrntvMinTaxInd>

*Presence:* [0..1]

*Definition:* Identifies whether the issue is subject to alternative minimum taxation (used for municipal bonds).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.1.3.62 AutoReinvestment <AutoRinvstmt>

*Presence:* [0..1]

*Definition:* Indicates an instruction to reinvest dividends in the underlying security (or proceeds at maturity in a similar instrument) if the current rate is <rate> or better.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.1.1.3.63 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.1.1.3.64 TransactionConditions <TxConds>

*Presence:* [0..1]

*Definition:* Indicates the conditions under which the order/trade is to be/was executed.

**TransactionConditions <TxConds>** contains one of the following elements (see "TradeTransactionCondition7Choice" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 28.4.2.1.1.1.3.65 LookBack <LookBck>

*Presence:* [0..1]

*Definition:* Indicates an instruction or attribute giving the number of days to be included in the look-back period for the investment. For example some options allow exercise based on the underlying asset's optimal value over the look-back period.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.1.1.3.66 MaximumSubstitution <MaxSbstitn>

*Presence:* [0..1]

*Definition:* Maximum number of time the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.1.1.3.67 MinimumIncrement <MinIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable increments of a security.

**MinimumIncrement <MinIncrmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.1.3.68 MinimumQuantity <MinQty>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable quantity of a security.

**MinimumQuantity <MinQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.1.3.69 Production <Pdctn>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond, particularly an mortgage back security (MBS), issued in a particular year.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.1.1.3.70 RestrictedIndicator <RstrctdInd>

*Presence:* [0..1]

*Definition:* Identifies if the securities is restricted or not (as per Rule 144).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.1.3.71 PriceFrequency <PricFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the frequency at which the bond is re-rated and therefore re-priced (bond attribute, particularly of floating rate and index linked instruments).

**PriceFrequency <PricFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.1.1.3.72 Sector <Sctr>

*Presence:* [0..1]

*Definition:* Indicates the market sector the security is classified as for example pharmaceuticals, automobile, housing, etc.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.1.1.3.73 SubstitutionFrequency <SbstitnFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the maximum number of times collateral can be substituted.

**SubstitutionFrequency <SbstitnFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.1.1.3.74 SubstitutionLeft <SbstitnLft>

*Presence:* [0..1]

*Definition:* Number of remaining times the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.1.1.3.75 WholePoolIndicator <WhlPoolInd>

*Presence:* [0..1]

*Definition:* Indicates a search criterion when looking to buy a mortgaged backed security that either is [yes] or is not [no] an entire pool.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.1.3.76 PriceSource <PricSrc>

*Presence:* [0..1]

*Definition:* Identifies the Benchmark source price (eg. BB Generic, BB Fairvalue, Brokertec.).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.1.1.3.77 PriceRange <PricRg>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond within a particular price range.

**PriceRange <PricRg>** contains the following elements (see "[AmountOrPercentageRange1](#)" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Optr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.1.1.4 Derivative <Deriv>

*Presence:* [0..1]

*Definition:* Choice between type of derivatives.



**Derivative <Deriv>** contains the following **Derivative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Future</b> <Futr>	[0..1]			1064
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084
	<b>Option</b> <Optn>	[0..1]			1084
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1090
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1091
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1091
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108

#### 28.4.2.1.1.4.1 Future <Futr>

*Presence:* [0..1]

*Definition:* Parameters for contracts which obligate the buyer to receive and the seller to deliver in the future the assets specified at an agreed price.

**Future <Futr>** contains the following **Future4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1066
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1066
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1068
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1068
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1069
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1069
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1071
	<b>TimeUnit</b> <TmUnit>	[0..1]			1071
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1072
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084

#### 28.4.2.1.1.1.4.1.1 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.1.1.1.4.1.2 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a Future will have to buy or sell the underlying instrument.

**ExercisePrice <ExrcPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1066
	<b>Value</b> <Val>	[1..1]	±		1067
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1067

#### 28.4.2.1.1.1.4.1.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.1.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.1.3 FutureDate <FutrDt>

*Presence:* [0..1]

*Definition:* Date on which future contracts settle.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.1.1.4.1.4 MinimumSize <MinSz>

*Presence:* [0..1]

*Definition:* Specifies the minimum ratio or multiply factor used to convert from contracts to shares.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.1.5 UnitOfMeasure <UnitOfMeasr>

*Presence:* [0..1]

*Definition:* Used to indicate the measurement unit of the underlying commodity on which the contract is based (for example, 2500 lbs of lean cattle, 1000 barrels of crude oil, 1000 bushels of corn, etc.).

**UnitOfMeasure <UnitOfMeasr>** contains one of the following **UnitOfMeasure7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1069
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1071

##### 28.4.2.1.1.1.4.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as an ISO 20022 code.

*Datatype:* "UnitOfMeasure9Code" on page 2855

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.

CodeName	Name	Definition
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuldOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.

CodeName	Name	Definition
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

#### 28.4.2.1.1.1.4.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.1.6 TimeUnit <TmUnit>

*Presence:* [0..1]

*Definition:* Used to indicate a time unit for the contract (for example days, weeks, months, etc.).

**TimeUnit <TmUnit>** contains one of the following **TimeUnit3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1072
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1072

#### 28.4.2.1.1.1.4.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Time unit expressed as an ISO 20022 code.

*Datatype:* "TimeUnit1Code" on page 2853

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

#### 28.4.2.1.1.1.4.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Time unit expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.1.7 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1074
	<b>Quantity</b> <Qty>	[0..1]			1074
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074
	<b>SettlementType</b> <SttlmTp>	[0..1]			1074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1075
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1075
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1075
	<b>CashType</b> <CshTp>	[0..1]	Text		1076
	<b>Price</b> <Pric>	[0..1]			1076
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1078
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079
	<b>EndPrice</b> <EndPric>	[0..1]			1080
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1082
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1082
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1083
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1083
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1084
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1084

#### 28.4.2.1.1.4.1.7.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.1.4.1.7.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1074
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1074

#### 28.4.2.1.1.4.1.7.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.1.4.1.7.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.4.1.7.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1075
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1075

#### 28.4.2.1.1.1.4.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.1.1.1.4.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.1.7.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.1.7.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.1.1.4.1.7.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1076
	<b>Value</b> <Val>	[1..1]	±		1077
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1077

##### 28.4.2.1.1.1.4.1.7.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.



CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.1.7.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.1.7.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.1.7.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1078
	<b>Value</b> <Val>	[1..1]	±		1079
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1079

#### 28.4.2.1.1.1.4.1.7.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.1.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.1.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.1.7.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1080
	<b>Value</b> <Val>	[1..1]	±		1081
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1081

#### 28.4.2.1.1.1.4.1.7.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.4.1.7.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.4.1.7.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.4.1.7.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.4.1.7.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.1.7.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.1.7.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1083
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1084

#### 28.4.2.1.1.1.4.1.7.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.4.1.7.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.1.4.1.7.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.4.1.7.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.1.4.2 Option <Optn>**

*Presence:* [0..1]



*Definition:* Contracts which grant to the holder either the privilege to purchase or the privilege to sell the assets specified at a predetermined price or formula at or within a time in the future.

**Option <Optn> contains the following Option15 elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1088
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1088
	<b>StrikePrice</b> <StrkPric>	[0..1]			1088
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1090
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1091
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1091
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1091
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1091
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1092
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092
	<b>OptionType</b> <OptnTp>	[0..1]	±		1092
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1093
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1093
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1094
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1094
	<b>Standardisation</b> <Stdstrn>	[0..1]			1094
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1095
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1096

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1096
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108

#### 28.4.2.1.1.1.4.2.1 OptionSettlementStyle <OptnSttlmStyle>

*Presence:* [0..1]

*Definition:* Specifies whether the option contract settles at the open or close of the market.

**OptionSettlementStyle <OptnSttlmStyle>** contains one of the following **SettleStyle2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1088
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1088

##### 28.4.2.1.1.1.4.2.1.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Settle style expressed as an ISO 20022 code.

*Datatype:* "SettleStyle1Code" on page 2851

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

##### 28.4.2.1.1.1.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settle style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.1.1.4.2.2 ConversionDate <ConvDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted according to the terms of the issue.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.1.1.4.2.3 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Amount of money for which goods, services or assets are offered, sold, or bought.

**StrikePrice <StrkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1089
	<b>Value</b> <Val>	[1..1]	±		1089
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1090

#### 28.4.2.1.1.1.4.2.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.2.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.2.4 MinimumExercisableQuantity <MinExrcblQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that must be exercised.

**MinimumExercisableQuantity <MinExrcblQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.1.4.2.5 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		1091
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		1091
Or}	DateTimeRange <DtTmRg>	[1..1]	±		1091

##### 28.4.2.1.1.1.4.2.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.1.1.4.2.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.1.1.4.2.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.1.1.4.2.6 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following **OptionStyle1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1092
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1092

##### 28.4.2.1.1.1.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style is defined using a code.

*Datatype:* "OptionStyle1Code" on page 2835

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

##### 28.4.2.1.1.1.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style is defined using a data source scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 28.4.2.1.1.1.4.2.7 OptionType <OptnTp>

*Presence:* [0..1]



**Definition:** Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see "OptionType8Choice" on page 2684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 28.4.2.1.1.1.4.2.8 StrikeValue <StrkVal>

**Presence:** [0..1]

**Definition:** Used for derivatives. The number of shares/units for the financial instrument involved in the option trade.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.1.1.4.2.9 StrikeMultiplier <StrkMltplr>

**Presence:** [0..1]

**Definition:** Used for derivatives. Multiplier applied to the strike price for the purpose of calculating the settlement value.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.1.1.4.2.10 InstrumentAssignmentMethod <InstrmAssgnmtMtd>

**Presence:** [0..1]

**Definition:** Method under which assignment was conducted.

**InstrumentAssignmentMethod <InstrmAssgnmtMtd>** contains one of the following **AssignmentMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1093
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1093

##### 28.4.2.1.1.1.4.2.10.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Assignment method expressed as an ISO 20022 code.

**Datatype:** "AssignmentMethod1Code" on page 2799

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

##### 28.4.2.1.1.1.4.2.10.2 Proprietary <Prtry>

**Presence:** [1..1]

*Definition:* Assignment method expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.2.11 VersionNumber <VrsnNb>

*Presence:* [0..1]

*Definition:* Number allocated by options exchanges to record that an option has undergone a change in its contract specifications (particularly adjustment of the strike price).

*Datatype:* "Number" on page 2862

#### 28.4.2.1.1.1.4.2.12 ExpiryLocation <XpryLctn>

*Presence:* [0..1]

*Definition:* Financial center where option expires.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 28.4.2.1.1.1.4.2.13 Standardisation <Stdstn>

*Presence:* [0..1]

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

**Standardisation <Stdstn>** contains one of the following **Standardisation3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095

##### 28.4.2.1.1.1.4.2.13.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Standardisation expressed as an ISO 20022 code.

*Datatype:* "Standardisation1Code" on page 2851

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying

CodeName	Name	Definition
		instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

#### 28.4.2.1.1.1.4.2.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Standardisation expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.2.14 TradingPartyRole <TradgPtyRole>

*Presence:* [0..1]

*Definition:* Specifies the party which is the buyer or the seller.

**TradingPartyRole <TradgPtyRole>** contains one of the following **OptionParty3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1095

##### 28.4.2.1.1.1.4.2.14.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Option party expressed as an ISO 20022 code.

*Datatype:* "[OptionParty1Code](#)" on page 2835

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

##### 28.4.2.1.1.1.4.2.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option party expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.2.15 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "[BaseOneRate](#)" on page 2862

#### 28.4.2.1.1.1.4.2.16 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1098
	<b>Quantity</b> <Qty>	[0..1]			1098
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098
	<b>SettlementType</b> <SttlmTp>	[0..1]			1098
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1099
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1099
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1099
	<b>CashType</b> <CshTp>	[0..1]	Text		1100
	<b>Price</b> <Pric>	[0..1]			1100
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1102
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103
	<b>EndPrice</b> <EndPric>	[0..1]			1104
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1106
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1106
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1107
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1107
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1108
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1108

#### 28.4.2.1.1.1.4.2.16.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.1.1.4.2.16.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1098
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1098

#### 28.4.2.1.1.1.4.2.16.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.1.1.4.2.16.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.2.16.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1099
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1099

#### 28.4.2.1.1.1.4.2.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.1.1.1.4.2.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.1.4.2.16.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.2.16.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.1.1.4.2.16.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1100
	<b>Value</b> <Val>	[1..1]	±		1101
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1101

#### 28.4.2.1.1.1.4.2.16.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.



CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.2.16.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.2.16.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.2.16.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1102
	<b>Value</b> <Val>	[1..1]	±		1103
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1103

#### 28.4.2.1.1.1.4.2.16.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.2.16.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.2.16.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.2.16.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1104
	<b>Value</b> <Val>	[1..1]	±		1105
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1105

#### 28.4.2.1.1.1.4.2.16.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.1.4.2.16.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.1.4.2.16.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.1.4.2.16.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.2.16.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.2.16.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.1.4.2.16.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1107
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1108

#### 28.4.2.1.1.1.4.2.16.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.1.1.4.2.16.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.1.4.2.16.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.1.1.4.2.16.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.1.2 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..\*]



*Definition:* Provides details about the financial instrument attributes of a particular leg.

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following  
**CommonFinancialInstrumentAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityStatus</b> <SctySts>	[0..1]			1117
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1117
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1118
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1118
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1118
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1118
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1118
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1119
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1119
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1119
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1119
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1119
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1119
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1120
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1120
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1120
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1121
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1121
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1121
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1121
	<b>Purpose</b> <Purp>	[0..1]	Text		1121
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1121
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1122
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1122
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1122
	<b>Issuance</b> <Issnc>	[0..1]			1122
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1124
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1124

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssueDate</b> <IssDt>	[0..1]	Date		1124
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1124
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1124
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1124
	<b>Name</b> <Nm>	[1..1]	Text		1125
	<b>Identification</b> <Id>	[0..1]			1125
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1126
	<b>Purpose</b> <Purp>	[0..1]	Text		1126
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1127
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1127
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1127
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1127
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1128
	<b>IssueNominalAmount</b> <IssNmnlAmt>	[0..1]	±		1128
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1128
	<b>IssueSize</b> <IssSz>	[0..1]	Quantity		1129
	<b>IssuePrice</b> <IssPric>	[0..1]	±		1129
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1130
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1130
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1130
	<b>Identification</b> <Id>	[0..1]	Text		1130
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1130
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1131
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1131
	<b>RoundLot</b> <RndLot>	[0..1]	±		1131
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1132

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1132
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1132
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1132
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1132
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1133
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1133
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1133
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1134
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1134
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1134
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1134
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1135
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1136
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1136
	<b>Value</b> <Val>	[1..1]	±		1137
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1137
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1138
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1138
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1138
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1139
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1140
	<b>PutType</b> <PutTp>	[0..1]			1140
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1140
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1140
	<b>CallType</b> <CallTp>	[0..1]			1141
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1141
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1141
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1142

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1142
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1142
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1142
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1143
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1143
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1143
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1143
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1144
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1144
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1144
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1145
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1145
	<b>Class</b> <Clss>	[0..1]	Text		1145
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1145
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1145
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1146
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1146
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1146
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1147
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1147
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1148
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1148
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1148
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1148
	<b>RedemptionType</b> <RedTp>	[0..1]			1149
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1149
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1149
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1150

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Restriction</b> <Rstrctn>	[0..*]			1150
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1151
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1151
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1152
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1152
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1152
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1153
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1153
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1153
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>InvestorType</b> <InvstrTp>	[0..*]			1154
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1154
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1155
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1156
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1156
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1156
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1157
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1157
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1157
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1157
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1158
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1158
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1158
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1159
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1159
	<b>LegalForm</b> <LglForm>	[0..1]	±		1159
	<b>ContactName</b> <CtctNm>	[0..1]			1160
	<b>Name</b> <Nm>	[1..1]	Text		1160
	<b>Identification</b> <Id>	[0..1]			1160

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1161
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1161
	<b>Purpose</b> <Purp>	[0..1]	Text		1161
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1161
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1162
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1162
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1162
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1162
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1162
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1163
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1163
	<b>LeadManager</b> <LeadMgr>	[0..1]			1164
	<b>Name</b> <Nm>	[1..1]	Text		1164
	<b>Identification</b> <Id>	[0..1]			1165
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1165
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1165
	<b>Purpose</b> <Purp>	[0..1]	Text		1165
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1166
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1166
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1166
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1166
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1167
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1167
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1168
	<b>Name</b> <Nm>	[1..1]	Text		1168
	<b>Identification</b> <Id>	[0..1]			1169
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1169
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1169
	<b>Purpose</b> <Purp>	[0..1]	Text		1169

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1170
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1170
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1170
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1170
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1171
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1171
	<b>PayingAgent</b> <PngAgt>	[0..1]			1172
	<b>Name</b> <Nm>	[1..1]	Text		1172
	<b>Identification</b> <Id>	[0..1]			1173
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1173
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1173
	<b>Purpose</b> <Purp>	[0..1]	Text		1173
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1174
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1174
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1174
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1174
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1175
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1175
	<b>Depository</b> <Dpstry>	[0..1]			1176
	<b>Name</b> <Nm>	[1..1]	Text		1176
	<b>Identification</b> <Id>	[0..1]			1177
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1177
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1177
	<b>Purpose</b> <Purp>	[0..1]	Text		1177
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1178



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1178
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1178
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1178
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1179
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1179
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1180
	<b>Name</b> <Nm>	[1..1]	Text		1180
	<b>Identification</b> <Id>	[0..1]			1181
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1181
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1181
	<b>Purpose</b> <Purp>	[0..1]	Text		1181
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1182
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1182
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1182
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1182
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1183
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1183

#### 28.4.2.1.1.2.1 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus** <SctySts> contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1117
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1118

##### 28.4.2.1.1.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

#### 28.4.2.1.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.2 ISOSecurityLongName <ISOSctyLngNm>

*Presence:* [0..1]

*Definition:* Name of the security.

*Datatype:* "[Max350Text](#)" on page 2865

#### 28.4.2.1.1.2.3 ISOSecurityShortName <ISOSctyShrtNm>

*Presence:* [0..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.1.1.2.4 NameValidFrom <NmVldFr>

*Presence:* [0..1]

*Definition:* Defines the date since when the name of the security is valid.

**NameValidFrom <NmVldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 28.4.2.1.1.2.5 DenominationCurrency <DnmtnCcy>

*Presence:* [0..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)

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*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### **Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### **28.4.2.1.1.2.6 CertificateNumber <CertNb>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier of a certificate assigned by the issuer.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.7 ContractVersionNumber <CtrctVrsnNb>**

*Presence:* [0..1]

*Definition:* Version number assigned to the contract associated with the security.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.1.2.8 CouponAttachedNumber <CpnAttchdNb>**

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

*Datatype:* "Max3NumericText" on page 2865

#### **28.4.2.1.1.2.9 TaxLotNumber <TaxLotNb>**

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.1.1.2.10 PoolNumber <PoolNb>**

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.1.1.2.11 CoveredIndicator <CvrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the derivative product is covered or not by an underlying financial instrument position.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.2.12 LegalRestrictions <LglRstrctns>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictions <LglRstrctns>** contains one of the following **LegalRestrictions4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1120

##### 28.4.2.1.1.2.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions1Code" on page 2831

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

##### 28.4.2.1.1.2.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.1.2.13 PositionLimit <PosLmt>

*Presence:* [0..1]

*Definition:* Position limits are created for the purpose of maintaining stable and fair markets. Contracts held by one individual investor with different brokers may be combined in order to gauge accurately the level of control held by one party.

Each option and futures contract will have varying position limits.

**PositionLimit <PosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.14 NearTermPositionLimit <NearTermPosLmt>

*Presence:* [0..1]

*Definition:* Near-term position limit for the instrument.

**NearTermPositionLimit <NearTermPosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.15 ListingDate <ListgDt>

*Presence:* [0..1]

*Definition:* Original Date/time at which the security is listed at the specific exchange or trading venue.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.1.2.16 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck to note which parties are entitled to receive the entitlement to a corporate event or vote at a meeting.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.1.1.2.17 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.1.2.18 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.1.1.2.19 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Information allowing the classification of a financial instrument, for example, with its ISO CFI (Classification of Financial Instrument) or product type.

**ClassificationType <ClssfctnTp>** contains the following **ClassificationType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1122
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1122
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1122

#### 28.4.2.1.1.2.19.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 28.4.2.1.1.2.19.2 FinancialInstrumentProductTypeCode <FinInstrmPdctTpCd>

*Presence:* [0..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstrumentProductType1Code" on page 2817

#### 28.4.2.1.1.2.19.3 AlternateClassification <AltrnClssfctn>

*Presence:* [0..\*]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 28.4.2.1.1.2.20 Issuance <Issnc>

*Presence:* [0..1]

*Definition:* Details regarding the issuance of an asset.

**Issuance <Issnc>** contains the following **Issuance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1124
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1124
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1124
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1124
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1124
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1124
	<b>Name</b> <Nm>	[1..1]	Text		1125
	<b>Identification</b> <Id>	[0..1]			1125
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1126
	<b>Purpose</b> <Purp>	[0..1]	Text		1126
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1126
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1127
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1127
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1127
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1127
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1128
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1128
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1128
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1129
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1129
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1130
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1130
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1130
	<b>Identification</b> <Id>	[0..1]	Text		1130
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1130

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#### **28.4.2.1.1.2.20.1 IssuePlace <IssePlc>**

*Presence:* [0..1]

*Definition:* Indicates where the financial instrument was issued.

*Datatype:* "MICIdentifier" on page 2860

#### **28.4.2.1.1.2.20.2 CountryOfIssue <CtryOfIsse>**

*Presence:* [0..1]

*Definition:* Country where a security is issued by the issuer or its agent.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.20.3 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.20.4 AnnouncementDate <AnncmntDt>**

*Presence:* [0..1]

*Definition:* Date/time, as announced by the issuer, at which the securities will be issued.

*Datatype:* "ISODateTime" on page 2858

#### **28.4.2.1.1.2.20.5 ISINValidFrom <ISINVldFr>**

*Presence:* [0..1]

*Definition:* Defines the date from which the instrument code is valid. This date can be before the actual issue date of an instrument for 'when-issued' securities, but may not be a date in the future for a new security.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.20.6 IssuerOrganisation <IssrOrg>**

*Presence:* [0..1]

*Definition:* Legal entity that has the right to issue securities.



**IssuerOrganisation <IssrOrg>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1125
	<b>Identification &lt;Id&gt;</b>	[0..1]			1125
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1126
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1126
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1126
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1126
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1127
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1127
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1127
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1127
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1127
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1128

#### 28.4.2.1.1.2.20.6.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.1.2.20.6.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1125
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1126

#### 28.4.2.1.1.2.20.6.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.1.1.2.20.6.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.1.1.2.20.6.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.1.1.2.20.6.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.1.2.20.6.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

Constraints

• Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

28.4.2.1.1.2.20.6.6 RegistrationDate <RegnDt>

Presence: [0..1]

Definition: Date and time at which a given organisation was officially registered.

Datatype: "ISODate" on page 2858

28.4.2.1.1.2.20.6.7 TaxIdentificationNumber <TaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: "Max35Text" on page 2865

28.4.2.1.1.2.20.6.8 NationalRegistrationNumber <NtlRegnNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 2865

28.4.2.1.1.2.20.6.9 PostalAddress <PstlAdr>

Presence: [1..5]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Impacted by: C10 "MailingIndicatorRule"

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

Constraints

• MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

28.4.2.1.1.2.20.6.10 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..1]

Definition: Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.20.6.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.20.7 IssueNominalAmount <IsseNmnlAmt>

*Presence:* [0..1]

*Definition:* Total original amount or quantity published.

**IssueNominalAmount <IsseNmnlAmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.20.8 FullIssuedAmount <FullIssdAmt>

*Presence:* [0..1]

**Definition:** Figure used as a control to verify whether the information provided is correct. It represents the issue size multiplied by the issue price.

**Impacted by:** C1 "ActiveCurrency", C5 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.2.20.9 IssueSize <IsseSz>

**Presence:** [0..1]

**Definition:** Represents the total amount/quantity of the proceeds from the sale of all securities in the initial offering. This amount/quantity is known after the new issue is priced.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.1.2.20.10 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of the asset.

**IssuePrice <IssePric>** contains the following elements (see "PriceValue1" on page 2724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

#### 28.4.2.1.1.2.20.11 IssuanceDistribution <IssncDstrbtn>

**Presence:** [0..1]

**Definition:** Way in which the issue will be marketed to the primary market, via individual dealers (so called non syndicated distribution) or via a syndicate of managers, underwriters and selling group members (so called syndicated distribution).

**IssuanceDistribution <IssncDstrbtn>** contains one of the following **SecuritiesTransactionType31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1130
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1130

#### 28.4.2.1.1.2.20.11.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType11Code" on page 2849

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

#### 28.4.2.1.1.2.20.11.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.20.12 GoverningLaw <GovngLaw>

*Presence:* [0..\*]

*Definition:* Jurisdiction (country, county, state, province, city) of the issue.

**GoverningLaw <GovngLaw>** contains the following **Jurisdiction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1130
	Country <Ctry>	[0..1]	CodeSet	C4	1130

#### 28.4.2.1.1.2.20.12.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Specifies the jurisdiction (county, state, province, city).

*Datatype:* "Max70Text" on page 2867

#### 28.4.2.1.1.2.20.12.2 Country <Ctry>

*Presence:* [0..1]

*Definition:* Specifies the country.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.1.2.21 TradingMarket <TradgMkt>

*Presence:* [0..\*]

*Definition:* Market(s) on which the security is traded.

**TradingMarket <TradgMkt>** contains the following **TradingParameters2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1131
	<b>RoundLot</b> <RndLot>	[0..1]	±		1131
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1132
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1132
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1132
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1132
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1132
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1133
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1133
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1133
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1134
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1134

##### 28.4.2.1.1.2.21.1 MarketIdentification <MktId>

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, ie, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS) (MIC - ISO 3166).

*Datatype:* "MICIdentifier" on page 2860

##### 28.4.2.1.1.2.21.2 RoundLot <RndLot>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**RoundLot <RndLot>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.21.3 TradeLotSize <TradLotSz>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**TradeLotSize <TradLotSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.21.4 SecondaryPlaceOfListing <ScndryPlcOfListg>

*Presence:* [0..5]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.1.1.2.21.5 MinimumTradedNominalQuantity <MinTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**MinimumTradedNominalQuantity <MinTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1132
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1132

##### 28.4.2.1.1.2.21.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 28.4.2.1.1.2.21.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]



*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.2.21.6 MaximumTradedNominalQuantity <MaxTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Maximum number of securities that can be traded.

**MaximumTradedNominalQuantity <MaxTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1133
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1133

#### 28.4.2.1.1.2.21.6.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.1.2.21.6.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.2.21.7 MinimumTradingPricingIncrement <MinTradgPricgIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum or smallest movement (up or down) in the price allowed for the security.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.1.2.21.8 PrimaryPlaceOfListingIdentification <PmryPlcOfListgld>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.1.1.2.22 SpreadAndBenchmarkCurve <SprdAndBchmkCrv>

*Presence:* [0..\*]

*Definition:* Indicates the spread to benchmark details of an indication of interest.

**SpreadAndBenchmarkCurve <SprdAndBchmkCrv>** contains the following **BenchmarkCurve6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1134
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1135
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1136
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1136
	<b>Value</b> <Val>	[1..1]	±		1137
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1137
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1138
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1138
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1138
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1139
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1140

#### 28.4.2.1.1.2.22.1 Spread <Sprd>

*Presence:* [0..1]

**Definition:** Either a swap spread or spread to benchmark depending upon order type. In case of a spread to benchmark, the price offset is expressed in terms of basis points relative to a benchmark - this can be a positive or a negative spread. In case of a swap spread, the price offset is a target spread for a swap.

**Datatype:** "DecimalNumber" on page 2861

#### 28.4.2.1.1.2.22.2 BenchmarkIdentification <BchmkId>

**Presence:** [0..1]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**BenchmarkIdentification <BchmkId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

#### 28.4.2.1.1.2.22.3 BenchmarkPrice <BchmkPric>

*Presence:* [0..1]

*Definition:* Identifies the price of the benchmark security.

**BenchmarkPrice <BchmkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1136
	<b>Value</b> <Val>	[1..1]	±		1137
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1137

#### 28.4.2.1.1.2.22.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.1.2.22.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.1.2.22.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.

CodeName	Name	Definition
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.1.2.22.4 BenchmarkCurveCurrency <BchmkCrvCcy>

*Presence:* [0..1]

*Definition:* Identifies the currency used for the benchmark curve.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.1.2.22.5 BenchmarkCurveName <BchmkCrvNm>

*Presence:* [0..1]

*Definition:* Identifies the name of the benchmark curve.

**BenchmarkCurveName <BchmkCrvNm>** contains one of the following **BenchmarkCurveName7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1138
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1139

#### 28.4.2.1.1.2.22.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as an ISO 20022 code.

*Datatype:* "BenchmarkCurveName1Code" on page 2800

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2

CodeName	Name	Definition
		years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.  London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.  The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.

#### 28.4.2.1.1.2.22.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.22.6 BenchmarkCurvePoint <BchmkCrvPt>

*Presence:* [0..1]

*Definition:* Identifies a point on a benchmark curve. The point can be stated via a combination of maturity month/year and coupon.

*Datatype:* "[Max256Text](#)" on page 2865

#### 28.4.2.1.1.2.23 PutType <PutTp>

*Presence:* [0..1]

*Definition:* Represents the type of put.

**PutType <PutTp>** contains one of the following **PutType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1140
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1140

##### 28.4.2.1.1.2.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Put type expressed as an ISO 20022 code.

*Datatype:* "[PutType1Code](#)" on page 2843

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

##### 28.4.2.1.1.2.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Put type expressed as a proprietary code.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.24 CallType <CallTp>

*Presence:* [0..1]

*Definition:* Represents the type of call.

**CallType <CallTp>** contains one of the following **CallType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1141
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1141

##### 28.4.2.1.1.2.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Call type expressed as an ISO 20022 code.

*Datatype:* "[CallType1Code](#)" on page 2803

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

##### 28.4.2.1.1.2.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Call type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.1.2.25 FungibleIndicator <Fngblnd>

*Presence:* [0..1]

*Definition:* Indicates whether a security is interchangeable, ie, the security is allowed to be replaced by another security, without loss of value.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.2.26 Confidential <Cnfdtl>

*Presence:* [0..1]

*Definition:* Indicates whether the details of the security are to be made available to the market, or kept private between ICSDs and agency network.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.2.27 PrivatePlacement <PrvtPlcmnt>

*Presence:* [0..1]

*Definition:* The sale of securities directly to private persons, institutional investors, or both outside a public offering. Such non-public deals (often without a publicly available prospectus) closing through the ICSDs are placed directly with investors.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.2.28 ConvertibleIndicator <ConvblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the investor or the issuer has a conversion option.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.1.2.29 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.1.2.30 ConversionRatioNumerator <ConvsRatioNmtr>

*Presence:* [0..1]

*Definition:* Number of target securities for the conversion.

**ConversionRatioNumerator <ConvsRatioNmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.31 ConversionRatioDenominator <ConvsRatioDnmtr>

*Presence:* [0..1]

*Definition:* Number of held securities for the conversion.

**ConversionRatioDenominator <ConvsRatioDnmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.32 PrimaryPlaceOfDeposit <PmryPlcOfDpst>

*Presence:* [0..1]

*Definition:* Primary place of deposit.

**PrimaryPlaceOfDeposit <PmryPlcOfDpst>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 28.4.2.1.1.2.33 TradingMethod <TradgMtd>

*Presence:* [0..1]

*Definition:* Indicates whether the notional amount value is to be traded in either an amount or in units.

**TradingMethod <TradgMtd>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1144
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1144

#### 28.4.2.1.1.2.33.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.1.2.33.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.1.2.34 TEFRRARule <TEFRARule>

*Presence:* [0..1]

*Definition:* Indicates the TEFRA rule under which the security is issued.

**TEFRARule <TEFRARule>** contains one of the following **TEFRARules3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1144
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1145

#### 28.4.2.1.1.2.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as an ISO 20022 code.

*Datatype:* "TEFRARules1Code" on page 2853

CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

#### 28.4.2.1.1.2.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.35 SerieNumber <SrNb>

*Presence:* [0..1]

*Definition:* Identifies the series number.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.1.1.2.36 Class <Clss>

*Presence:* [0..1]

*Definition:* Identifier that links multiple security classes.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.1.1.2.37 WithholdingTaxRegime <WhldgTaxRgm>

*Presence:* [0..\*]

*Definition:* Amount or percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTaxRegime <WhldgTaxRgm>** contains the following **SecurityWithHoldingTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1145
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1146

##### 28.4.2.1.1.2.37.1 WithholdingTaxValue <WhldgTaxVal>

*Presence:* [1..1]

*Definition:* Value of the withholding tax as rate, amount or not specified.

**WithholdingTaxValue <WhldgTaxVal>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 2661 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 28.4.2.1.1.2.37.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Represents the tax authority.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.1.2.38 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see "SecuritiesPaymentStatus5Choice" on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 28.4.2.1.1.2.39 InitialPhysicalForm <InitlPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities on the closing date.

**InitialPhysicalForm <InitlPhysForm>** contains one of the following **InitialPhysicalForm4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1147
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1147

#### 28.4.2.1.1.2.39.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm1Code" on page 2826

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.1.1.2.39.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.40 AfterExchangePhysicalForm <AftrXchgPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities after the exchange of the initial certificate issued on the closing date.

**AfterExchangePhysicalForm <AftrXchgPhysForm>** contains one of the following **InitialPhysicalForm3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1147
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1148

#### 28.4.2.1.1.2.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm2Code" on page 2826

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.1.1.2.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.41 CommonSafekeeper <CmonSfkpr>

*Presence:* [0..1]

*Definition:* Entity appointed by the ICSDs to provide safekeeping for securities in new global note (NGN) form.

**CommonSafekeeper <CmonSfkpr>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1148
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1148

##### 28.4.2.1.1.2.41.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.1.2.41.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.



**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.1.1.2.42 RedemptionType <RedTp>

*Presence:* [0..1]

*Definition:* Indicates the type of redemption at maturity.

**RedemptionType <RedTp>** contains one of the following **MaturityRedemptionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1149
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1149

##### 28.4.2.1.1.2.42.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as an ISO 20022 code.

*Datatype:* "[MaturityRedemptionType1Code](#)" on page 2833

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

##### 28.4.2.1.1.2.42.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.43 RedemptionPaymentCurrency <RedPmtCcy>

*Presence:* [0..1]

*Definition:* ISO currency for the payment of the cash proceeds.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.1.2.44 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Regulatory restriction(s) linked to the security.

**Restriction <Rstrctn>** contains the following **SecurityRestriction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1151
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1151
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1152
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1152
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1152
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1153
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1153
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1153
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154
	<b>InvestorType</b> <InvstrTp>	[0..*]			1154
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1154
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154

#### 28.4.2.1.1.2.44.1 EffectivePeriod <FctvPrd>

*Presence:* [0..1]

*Definition:* Period during which the restriction applies.

**EffectivePeriod <FctvPrd>** contains the following elements (see ["DateTimePeriod2"](#) on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

#### 28.4.2.1.1.2.44.2 RestrictionType <RstrctnTp>

*Presence:* [0..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**RestrictionType <RstrctnTp>** contains one of the following **SecurityRestrictionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1152
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1152

#### 28.4.2.1.1.2.44.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

*Datatype:* "RestrictionType1Code" on page 2847

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

#### 28.4.2.1.1.2.44.2.2 ProprietaryRestriction <PrtryRstrctn>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**ProprietaryRestriction <PrtryRstrctn>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.44.3 LegalRestrictionType <LglRstrctnTp>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictionType <LglRstrctnTp>** contains one of the following **LegalRestrictions5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1152
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1153

##### 28.4.2.1.1.2.44.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions2Code" on page 2832

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a

CodeName	Name	Definition
		Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

#### 28.4.2.1.1.2.44.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.44.4 InvestorRestrictionType <InvstrRstrctnTp>

*Presence:* [0..\*]

*Definition:* Specifies whether the restriction to be applied is relevant for citizen, resident, country.

**InvestorRestrictionType <InvstrRstrctnTp>** contains one of the following **InvestorRestrictionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1153
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154

#### 28.4.2.1.1.2.44.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as an ISO 20022 code.

*Datatype:* "[InvestorRestrictionType1Code](#)" on page 2831

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.

CodeName	Name	Definition
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

#### 28.4.2.1.1.2.44.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.44.5 InvestorType <InvstrTp>

*Presence:* [0..\*]

*Definition:* Type of investor that is allowed to hold the security.

**InvestorType <InvstrTp>** contains one of the following **InvestorType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1154
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1154

#### 28.4.2.1.1.2.44.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor type expressed as an ISO 20022 code.

*Datatype:* "[InvestorType1Code](#)" on page 2831

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

#### 28.4.2.1.1.2.44.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.45 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "[SecurityIdentification39](#)" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
     /ISIN is absent  
 Following Must be True  
     /OtherIdentification[\*] Must be present  
 Or     /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
     /OtherIdentification[\*] is absent  
 Following Must be True  
     /ISIN Must be present  
 Or     /Description Must be present

#### 28.4.2.1.1.2.46 SettlementInformation <SttlmInf>

*Presence:* [0..\*]

*Definition:* Settlement of the securities in a securities transaction, that is, the instruction to deliver or receive securities, involving the payment of an amount of money or not.

**SettlementInformation <SttlmInf>** contains the following **SettlementInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1156
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1156
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1157
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1157
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1157
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1157
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1158

##### 28.4.2.1.1.2.46.1 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [0..1]

*Definition:* Choice between formats for the quantity of security.

**SecuritiesQuantityType <SctiesQtyTp>** contains one of the following **SettlementUnitType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1156
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1157

##### 28.4.2.1.1.2.46.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as an ISO 20022 code.

*Datatype:* "SettlementUnitType1Code" on page 2851



CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

#### 28.4.2.1.1.2.46.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.46.2 ContractSettlementMonth <CtrctSttlmMnth>

*Presence:* [0..1]

*Definition:* Specifies when the contract (i.e. MBS/TBA) will settle.

*Datatype:* "[ISOYearMonth](#)" on page 2867

#### 28.4.2.1.1.2.46.3 MinimumDenomination <MinDnmtn>

*Presence:* [0..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

**MinimumDenomination <MinDnmtn>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.46.4 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

**MinimumMultipleQuantity <MinMltplQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.46.5 DeviatingSettlementUnit <DevtgSttlmUnit>

*Presence:* [0..\*]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**DeviatingSettlementUnit <DevtgSttlmUnit>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.1.2.47 FinancialInstrumentForm <FinInstrmForm>

*Presence:* [0..1]

*Definition:* Indicates the form of the financial Instrument.

**FinancialInstrumentForm <FinInstrmForm>** contains the following **FinancialInstrumentForm2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1158
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1159
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1159
	<b>LegalForm</b> <LglForm>	[0..1]	±		1159

##### 28.4.2.1.1.2.47.1 BookingAppearance <BookgApprnc>

*Presence:* [0..1]

*Definition:* Indicates the booking appearance of the financial Instrument.

**BookingAppearance <BookgApprnc>** contains one of the following **Appearance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1159
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1159

#### 28.4.2.1.1.2.47.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Appearance expressed as an ISO 20022 code.

*Datatype:* "Appearance1Code" on page 2799

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

#### 28.4.2.1.1.2.47.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Appearance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.1.2.47.2 LegalForm <LglForm>

*Presence:* [0..1]

*Definition:* Specifies the form, ie, ownership, of the security.

**LegalForm <LglForm>** contains one of the following elements (see "FormOfSecurity8Choice" on page 2674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

#### 28.4.2.1.1.2.48 ContactName <CtctNm>

*Presence:* [0..1]

*Definition:* Details (name, e-mail address and/or telephone number) of the person requesting the allocation of the ISIN used as a point of reference in case further clarifications are required.

**ContactName <CtctNm>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1160
	<b>Identification &lt;Id&gt;</b>	[0..1]			1160
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1161
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1161
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1161
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1161
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1162
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1162
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1162
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1162
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1162
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1163
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1163

##### 28.4.2.1.1.2.48.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

##### 28.4.2.1.1.2.48.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1161
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1161

#### 28.4.2.1.1.2.48.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 28.4.2.1.1.2.48.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.1.1.2.48.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.1.2.48.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

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#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.48.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.48.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.48.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.48.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.48.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.1.2.48.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.48.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.49 LeadManager <LeadMgr>

*Presence:* [0..1]

*Definition:* Entity appointed by the issuer to structure and lead the placement of a syndicated issue.

**LeadManager <LeadMgr>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1164
	<b>Identification</b> <Id>	[0..1]			1165
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1165
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1165
	<b>Purpose</b> <Purp>	[0..1]	Text		1165
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1166
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1166
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1166
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1166
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1166
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1167
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1167

##### 28.4.2.1.1.2.49.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.1.2.49.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1165
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1165

##### 28.4.2.1.1.2.49.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.1.2.49.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.1.2.49.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.1.2.49.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.49.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.49.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.49.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.49.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.49.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.1.2.49.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.49.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.50 PrincipalPayingAgent <PrncplPngAgt>

*Presence:* [0..1]

*Definition:* Main party appointed to distribute payment or securities on behalf of the issuer.

**PrincipalPayingAgent <PrncplPngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1168
	<b>Identification</b> <Id>	[0..1]			1169
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1169
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1169
	<b>Purpose</b> <Purp>	[0..1]	Text		1169
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1170
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1170
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1170
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1170
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1170
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1171
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1171

##### 28.4.2.1.1.2.50.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.1.2.50.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1169
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1169

##### 28.4.2.1.1.2.50.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.1.2.50.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.1.2.50.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.1.2.50.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.50.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.50.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.50.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.50.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.50.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.1.2.50.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.50.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.51 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Additional party appointed to distribute payment or securities on behalf of the issuer.

**PayingAgent <PngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1172
	<b>Identification</b> <Id>	[0..1]			1173
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1173
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1173
	<b>Purpose</b> <Purp>	[0..1]	Text		1173
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1174
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1174
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1174
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1174
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1174
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1175
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1175

##### 28.4.2.1.1.2.51.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.1.2.51.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1173
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1173

##### 28.4.2.1.1.2.51.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.1.2.51.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.1.2.51.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.1.2.51.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.51.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.51.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.51.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.51.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.51.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.1.2.51.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.51.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.52 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* Entity appointed by the relevant clearing system as a depository for instruments issued in global or definitive form. The depository keeps the securities for safekeeping purposes on behalf of the clearing system(s).

**Depository <Dpstry>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1176
	<b>Identification</b> <Id>	[0..1]			1177
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1177
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1177
	<b>Purpose</b> <Purp>	[0..1]	Text		1177
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1178
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1178
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1178
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1178
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1178
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1179
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1179

##### 28.4.2.1.1.2.52.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.1.2.52.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1177
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1177

##### 28.4.2.1.1.2.52.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.1.2.52.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.1.2.52.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.1.2.52.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.52.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.52.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.52.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.52.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.52.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.1.2.52.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.52.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.53 UnderlyingRisk <UndrlygRsk>

*Presence:* [0..1]

*Definition:* Entity(ies), asset(s) or security(ies) on which the credit responsibility lies as identified in the documentation.

**UnderlyingRisk <UndrlygRsk>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1180
	<b>Identification</b> <Id>	[0..1]			1181
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1181
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1181
	<b>Purpose</b> <Purp>	[0..1]	Text		1181
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1182
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1182
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1182
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1182
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1182
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1183
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1183

##### 28.4.2.1.1.2.53.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.1.2.53.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1181
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1181

##### 28.4.2.1.1.2.53.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.1.2.53.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.1.2.53.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

---

#### **28.4.2.1.1.2.53.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.53.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.1.2.53.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.1.2.53.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.53.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.1.2.53.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.1.2.53.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.2.53.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.1.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C14 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 28.4.2.1.2 Delete <Del>

*Presence:* [1..1]

*Definition:* Set of data requested for deletion from a security.

**Delete <Del>** contains the following **SecurityAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1200
	<b>Equity</b> <Eqty>	[0..1]			1208
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1209
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1209
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1210
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1210
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1210
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1211
	<b>Warrant</b> <Warrt>	[0..1]			1211
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1212
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1212
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
	<b>Value</b> <Val>	[1..1]	±		1213
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1214
	<b>Type</b> <Tp>	[0..1]			1214
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1215
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1215
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1215
	<b>Name</b> <Nm>	[1..1]	Text		1216
	<b>Identification</b> <Id>	[0..1]			1216
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1217
	<b>Purpose</b> <Purp>	[0..1]	Text		1217
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1218
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1218
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1218
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1218
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1218

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1219
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1219
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1223
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1223
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1224
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1224
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1224
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1224
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1224
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1224
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1225
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1225
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1225
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1225
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1225
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1225
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1225
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1225
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1226
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1226
	<b>CPRRegistrationType</b> <CPRegnTp>	[0..1]	Text		1226
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1226
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1226
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1227
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1227
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1227
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1227
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1227
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1228
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1228
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1228
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1229
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1229
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1229
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1229
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1230
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1231
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1231
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1231
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1231
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1231
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1231
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1231
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1232
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1232
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1232
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1232
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1232
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1232
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1232
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1233
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1233
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1233
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1233
	<b>Value</b> <Val>	[1..1]	Rate		1234
	<b>CalculationType</b> <ClctnTp>	[0..1]			1234
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1234

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1237
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1237
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1237
	<b>Value</b> <Val>	[1..1]	±		1238
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1238
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1239
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1239
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1240
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1240
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1240
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1240
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1240
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1241
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1242
	<b>GlobalType</b> <GblTp>	[0..1]			1242
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1242
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1243
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1243
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1243
	<b>YieldRange</b> <YldRg>	[0..1]	±		1243
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1244
	<b>Purpose</b> <Purp>	[0..1]	Text		1244
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1244
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1245
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1245
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1245
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1245
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1245
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1245
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1246



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1246
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1246
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1246
	<b>Sector</b> <Sctr>	[0..1]	Text		1247
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1247
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1247
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1247
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1247
	<b>PriceRange</b> <PricRg>	[0..1]	±		1248
	<b>Derivative</b> <Deriv>	[0..1]			1248
	<b>Future</b> <Futr>	[0..1]			1252
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1254
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1254
	<b>Value</b> <Val>	[1..1]	±		1255
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1255
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1256
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1256
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1257
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1257
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1259
	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1260
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1270
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272
	<b>Option</b> <Optn>	[0..1]			1272
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvvsPrd>	[0..1]			1279
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1279
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
	<b>OptionType</b> <OptnTp>	[0..1]	±		1280
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1281
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1281
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1281
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1282
	<b>Standardisation</b> <Stdstn>	[0..1]			1282
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1295
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1296
	<b>SecurityStatus</b> <SctySts>	[0..1]			1305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1306
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1306
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1306
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1306
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1307
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1307
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1307
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1307
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1307
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1307
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1308
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1308
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1308
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1309
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1309
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1309
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1309
	<b>Purpose</b> <Purp>	[0..1]	Text		1309
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1309
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1310
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1310
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1310
	<b>Issuance</b> <Issnc>	[0..1]			1310
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1312
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1312
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1312
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1312
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1312
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1312
	<b>Name</b> <Nm>	[1..1]	Text		1313
	<b>Identification</b> <Id>	[0..1]			1313
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1314
	<b>Purpose</b> <Purp>	[0..1]	Text		1314

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1315
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1315
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1315
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1316
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1316
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1316
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1317
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1317
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1318
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1318
	<b>Identification</b> <Id>	[0..1]	Text		1318
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1318
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1319
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1319
	<b>RoundLot</b> <RndLot>	[0..1]	±		1319
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1320
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1320
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1320
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1321
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1321
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1322

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1322
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1322
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1322
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1323
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1324
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1326
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1326
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1327
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1328
	<b>PutType</b> <PutTp>	[0..1]			1328
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1328
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1328
	<b>CallType</b> <CallTp>	[0..1]			1329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1329
	<b>FungibleIndicator</b> <FngblInd>	[0..1]	Indicator		1329
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1330
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1330
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1330
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1330
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1331
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1331
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1331
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1331
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1332
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1332

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1332
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1333
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1333
	<b>Class</b> <Clss>	[0..1]	Text		1333
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1333
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1334
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1334
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1334
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1335
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1336
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1336
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1336
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1336
	<b>RedemptionType</b> <RedTp>	[0..1]			1337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1337
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1338
	<b>Restriction</b> <Rstrctn>	[0..*]			1338
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1339
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1339
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1340
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1341



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>InvestorType</b> <InvstrTp>	[0..*]			1342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1343
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1344
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1345
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1345
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1345
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1346
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1346
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1346
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1347
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1347
	<b>LegalForm</b> <LglForm>	[0..1]	±		1347
	<b>ContactName</b> <CtctNm>	[0..1]			1348
	<b>Name</b> <Nm>	[1..1]	Text		1348
	<b>Identification</b> <Id>	[0..1]			1348
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1349
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1349
	<b>Purpose</b> <Purp>	[0..1]	Text		1349
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1349
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1350
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1350
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1350
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1350
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1350

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1351
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1351
	<b>LeadManager</b> <LeadMgr>	[0..1]			1352
	<b>Name</b> <Nm>	[1..1]	Text		1352
	<b>Identification</b> <Id>	[0..1]			1353
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1353
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353
	<b>Purpose</b> <Purp>	[0..1]	Text		1353
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1354
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1354
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1354
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1354
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1355
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1355
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1356
	<b>Name</b> <Nm>	[1..1]	Text		1356
	<b>Identification</b> <Id>	[0..1]			1357
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1357
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357
	<b>Purpose</b> <Purp>	[0..1]	Text		1357
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1358
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1358
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1358
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1358
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1359
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1359

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayingAgent</b> <PngAgt>	[0..1]			1360
	<b>Name</b> <Nm>	[1..1]	Text		1360
	<b>Identification</b> <Id>	[0..1]			1361
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1361
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361
	<b>Purpose</b> <Purp>	[0..1]	Text		1361
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1362
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1362
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1362
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1362
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1363
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1363
	<b>Depository</b> <Dpstry>	[0..1]			1364
	<b>Name</b> <Nm>	[1..1]	Text		1364
	<b>Identification</b> <Id>	[0..1]			1365
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1365
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1365
	<b>Purpose</b> <Purp>	[0..1]	Text		1365
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1366
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1366
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1366
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1366
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1367
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1367
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1368
	<b>Name</b> <Nm>	[1..1]	Text		1368
	<b>Identification</b> <Id>	[0..1]			1369

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1369
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1369
	<b>Purpose</b> <Purp>	[0..1]	Text		1369
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1370
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1370
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1370
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1370
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1371
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1371
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1372

#### 28.4.2.1.2.1 FinancialInstrumentType <FinInstrmTp>

*Presence:* [0..\*]

*Definition:* Provides additional details about the financial instrument.

**FinancialInstrumentType <FinInstrmTp>** contains the following **FinancialInstrument97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Equity</b> <Eqty>	[0..1]			1208
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1209
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1209
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1210
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1210
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1210
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1211
	<b>Warrant</b> <Warrt>	[0..1]			1211
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1212
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1212
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
	<b>Value</b> <Val>	[1..1]	±		1213
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1214
	<b>Type</b> <Tp>	[0..1]			1214
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1215
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1215
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1215
	<b>Name</b> <Nm>	[1..1]	Text		1216
	<b>Identification</b> <Id>	[0..1]			1216
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1217
	<b>Purpose</b> <Purp>	[0..1]	Text		1217
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1218
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1218
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1218
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1218
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1218

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1219
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1219
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1223
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1223
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1224
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1224
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1224
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1224
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1224
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1224
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1225
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1225
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1225
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1225
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1225
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1225
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1225
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1225
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1226
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1226
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1226
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1226
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1226
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1227
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1227
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1227
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1227
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1227
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1228
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1228
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1228
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1229
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1229
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1229
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1229
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1230
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1231
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1231
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1231
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1231
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1231
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1231
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1231
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1232
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1232
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1232
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1232
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1232
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1232
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1232
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1233
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1233
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1233
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1233
	<b>Value</b> <Val>	[1..1]	Rate		1234
	<b>CalculationType</b> <ClctnTp>	[0..1]			1234
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1234

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1237
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1237
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1237
	<b>Value</b> <Val>	[1..1]	±		1238
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1238
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1239
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1239
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1240
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1240
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1240
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1240
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1240
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1241
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1242
	<b>GlobalType</b> <GblTp>	[0..1]			1242
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1242
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1243
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1243
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1243
	<b>YieldRange</b> <YldRg>	[0..1]	±		1243
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1244
	<b>Purpose</b> <Purp>	[0..1]	Text		1244
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1244
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1245
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1245
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1245
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1245
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1245
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1245
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1246



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1246
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1246
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1246
	<b>Sector</b> <Sctr>	[0..1]	Text		1247
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1247
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1247
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1247
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1247
	<b>PriceRange</b> <PricRg>	[0..1]	±		1248
	<b>Derivative</b> <Deriv>	[0..1]			1248
	<b>Future</b> <Futr>	[0..1]			1252
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1254
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1254
	<b>Value</b> <Val>	[1..1]	±		1255
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1255
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1256
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1256
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1257
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1257
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1259
	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1260
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1270
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272
	<b>Option</b> <Optn>	[0..1]			1272
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvDts>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1279
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1279
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
	<b>OptionType</b> <OptnTp>	[0..1]	±		1280
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1281
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1281
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1281
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1282
	<b>Standardisation</b> <Stdstn>	[0..1]			1282
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1295
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296

#### 28.4.2.1.2.1.1 Equity <Eqty>

*Presence:* [0..1]

*Definition:* Financial instrument which represents a title of ownership in a company, ie, the shareholder is entitled to a part of the company's profit - usually by payment of a dividend - and to voting rights, if any. Each company issues generally different classes of shares, eg, ordinary or common shares, which have no guaranteed amount of dividend but carry voting rights, or preferred shares, which receive dividends before ordinary shares but have no voting right.

**Equity <Eqty>** contains the following **Equity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1209
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1209
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1210
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1210
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1210
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1211

#### 28.4.2.1.2.1.1.1 PreferenceToIncome <PrefToIncm>

*Presence:* [1..1]

*Definition:* Indicates the level of priority to claim on income and assets of the company in case of the payment of dividends and in the event of a bankruptcy, for example, ordinary/common stocks, preferred stocks, subordinated debt, etc.

**PreferenceToIncome <PrefToIncm>** contains one of the following **PreferenceToIncome5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1209
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1209

#### 28.4.2.1.2.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Preference to income expressed as an ISO 20022 code.

*Datatype:* "PreferenceToIncome1Code" on page 2841

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

#### 28.4.2.1.2.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Preference to income expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.1.2 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security will no longer exist, for example, redeemable preference shares.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.2.1.1.3 NonPaidAmount <NonPdAmt>

*Presence:* [0..1]

*Definition:* Nominal amount which is not paid yet.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.1.4 ParValue <ParVal>

*Presence:* [0..1]

*Definition:* Nominal value of an equity security.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

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Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.1.1.5 VotingRightsPerShare <VtngRghtsPerShr>**

*Presence:* [0..1]

*Definition:* Number of voting rights per share.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.2.1.1.2 Warrant <Warrt>**

*Presence:* [0..1]

*Definition:* Financial instrument that gives the holder the right to purchase shares or bonds at a given price within a specified time.

**Warrant <Warrt>** contains the following **Warrant4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1212
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1212
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
	<b>Value</b> <Val>	[1..1]	±		1213
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1214
	<b>Type</b> <Tp>	[0..1]			1214
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1215
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1215
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1215
	<b>Name</b> <Nm>	[1..1]	Text		1216
	<b>Identification</b> <Id>	[0..1]			1216
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1217
	<b>Purpose</b> <Purp>	[0..1]	Text		1217
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1218
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1218
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1218
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1218
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1218
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1219

#### 28.4.2.1.2.1.2.1 Multiplier <Mltplr>

*Presence:* [0..1]

*Definition:* Specifies the ratio or multiply factor used to convert from contracts to shares.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.1.2.1.2.2 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a warrant is entitled to buy the underlying instrument.



**SubscriptionPrice <SbcptPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1213
	<b>Value</b> <Val>	[1..1]	±		1213
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1214

#### 28.4.2.1.2.1.2.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.2.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.2.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates when a warrant can be exercised.

**Type <Tp>** contains one of the following **WarrantStyle3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1215
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1215

#### 28.4.2.1.2.1.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Warrant style expressed as an ISO 20022 code.

*Datatype:* "WarrantStyle1Code" on page 2857

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

#### 28.4.2.1.2.1.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Warrant style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.2.4 WarrantAgent <WarrtAgt>

*Presence:* [0..\*]

*Definition:* Entity appointed by the issuer to process the exercising of warrants, sometimes responsible for the issuance of the warrants into the market.

**WarrantAgent <WarrtAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1216
	<b>Identification &lt;Id&gt;</b>	[0..1]			1216
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1217
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1217
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1217
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1217
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1218
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1218
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1218
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1218
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1218
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1219

#### 28.4.2.1.2.1.2.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.2.1.2.4.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1216
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1217

#### 28.4.2.1.2.1.2.4.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.1.2.1.2.4.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.1.2.1.2.4.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.1.2.1.2.4.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.2.1.2.4.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.2.1.2.4.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

### 28.4.2.1.2.1.2.4.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.1.2.1.2.4.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.1.2.1.2.4.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

### 28.4.2.1.2.1.2.4.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.1.2.4.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.1.3 Debt <Debt>

*Presence:* [0..1]

*Definition:* Financial instruments evidencing moneys owed by the issuer to the holder on terms as specified.

*Impacted by:* C11 "NextCallableDateAndCallableIndicatorRule", C13 "PutableDateAndPutableIndicatorRule"

**Debt <Debt>** contains the following **Debt5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1223
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1223
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1224
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1224
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1224
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1224
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1224
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1224
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1225
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1225
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1225
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1225
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1225
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1225
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1225
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1225
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1226
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1226
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1226
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1226
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1226
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1227
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1227
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1227
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1227
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1227
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1228
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1228
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1228



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1229
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1229
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1229
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1229
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1230
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1231
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1231
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1231
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1231
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1231
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1231
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1231
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1232
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1232
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1232
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1232
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1232
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1232
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1232
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1233
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1233
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1233
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1233
	<b>Value</b> <Val>	[1..1]	Rate		1234
	<b>CalculationType</b> <ClctnTp>	[0..1]			1234
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1234
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1237
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1237
	<b>Value</b> <Val>	[1..1]	±		1238
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1238
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1239
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1239
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1240
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1240
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1240
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1240
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1240
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1241
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1242
	<b>GlobalType</b> <GblTp>	[0..1]			1242
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1242
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1243
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1243
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1243
	<b>YieldRange</b> <YldRg>	[0..1]	±		1243
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1244
	<b>Purpose</b> <Purp>	[0..1]	Text		1244
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1244
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1245
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1245
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1245
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1245
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1245
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1245
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1246
	<b>Production</b> <Pdctn>	[0..1]	Text		1246
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1246

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1246
	<b>Sector</b> <Sctr>	[0..1]	Text		1247
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1247
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1247
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1247
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1247
	<b>PriceRange</b> <PricRg>	[0..1]	±		1248

#### Constraints

- **NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCaldate must be present.

- **PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

#### 28.4.2.1.2.1.3.1 PaymentCurrency <PmtCcy>

*Presence:* [0..1]

*Definition:* Currency of the payment.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.2.1.3.2 FaceAmount <FaceAmt>

*Presence:* [0..1]

*Definition:* Unit value of a debt security.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.3.3 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see "[Frequency35Choice](#)" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.2.1.3.4 InterestFixingDate <IntrstFxdt>

*Presence:* [0..1]

*Definition:* Date/time at which the rate determination is made, also called determination date.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.2.1.3.5 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* First date/time at which a security begins to accrue interest.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.2.1.3.6 FirstPaymentDate <FrstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the first interest payment is due to holders of the security.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.2.1.3.7 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.2.1.3.8 NextCouponDate <NxtCpnDt>

*Presence:* [0..1]

*Definition:* Date of the coupon attached to the physical security.

*Datatype:* "[ISODatetime](#)" on page 2858

---

#### **28.4.2.1.2.1.3.9 PutableDate <PutbIDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.2.1.3.10 NextCallableDate <NxtClbIDt>**

*Presence:* [0..1]

*Definition:* Next date/time at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.2.1.3.11 NextFactorDate <NxtFctrDt>**

*Presence:* [0..1]

*Definition:* The date that the current factor will be changed to a new factor.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.2.1.3.12 ExpirationDate <XprtnDt>**

*Presence:* [0..1]

*Definition:* Date/time at which an interest bearing security becomes due and assets are to be repaid.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.2.1.3.13 PaymentDirectionIndicator <PmtDrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates the direction of payment for asset or mortgage backed securities, ie, whether the repaid capital is distributed (payment direction is down) or capitalized (payment direction is up).

*Datatype:* One of the following values must be used (see "PaymentDirectionIndicator" on page 2861):

- *Meaning When True:* Up
- *Meaning When False:* Down

#### **28.4.2.1.2.1.3.14 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.15 NextInterestRate <NxtIntrstRate>**

*Presence:* [0..1]

*Definition:* Specifies the interest rate applicable to the next interest payment period.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.16 OddCouponIndicator <OddCpnInd>**

*Presence:* [0..1]

*Definition:* Specifies whether the payment of the coupon (interest) on a bond is off the normal schedule.

---

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.17 CallableIndicator <ClblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.18 CPProgram <CPPrgm>**

*Presence:* [0..1]

*Definition:* The program under which a commercial paper is issued. The values that are most used are:

0 = N/A

1 = 3(a)3

2 = 4(2)

3 = 3(a)4

4 = 3(c)7

5 = 144A

6 = 3(a)2

99 = Other.

*Datatype:* ["Number" on page 2862](#)

#### **28.4.2.1.2.1.3.19 CPRegistrationType <CPRegnTp>**

*Presence:* [0..1]

*Definition:* Registration type of a commercial paper issuance.

*Datatype:* ["Max350Text" on page 2865](#)

#### **28.4.2.1.2.1.3.20 InterestAccrualDate <IntrstAcrlDt>**

*Presence:* [0..1]

*Definition:* Start date used for calculating accrued interest on debt instruments which are being sold between interest payment dates. Often but not always the same as the issue date and the dated date.

*Datatype:* ["ISODateTime" on page 2858](#)

#### **28.4.2.1.2.1.3.21 PutableIndicator <PutblInd>**

*Presence:* [0..1]

---

*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.22 PreFundedIndicator <PreFnddInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is being escrowed or collateralized either by direct obligations guaranteed by the US government, or by other types of securities. The maturity schedules of the securities in the escrow fund are determined in such a way to pay the maturity value, coupon, and premium payments (if any) of the refunded bonds.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.23 EscrowedIndicator <EscrwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is deposited in a fund that will be used to pay debt service on refunded securities.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.24 PerpetualIndicator <PerptlInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security has no maturity date.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.25 SubordinatedIndicator <SubrdntdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is a subordinated security.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.26 ExtendibleIndicator <XtndblInd>**

*Presence:* [0..1]

**Definition:** Indicates whether the security is extendible, eg, repayment may be extended or maturity changed.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.1.3.27 ExtendiblePeriod <XtndblPrd>

**Presence:** [0..1]

**Definition:** Period during which a date might be extended.

**ExtendiblePeriod <XtndblPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1228
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1228
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1228

##### 28.4.2.1.2.1.3.27.1 FromDateTime <FrDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Datatype:** ["ISODateTime"](#) on page 2858

##### 28.4.2.1.2.1.3.27.2 ToDateTime <ToDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Datatype:** ["ISODateTime"](#) on page 2858

##### 28.4.2.1.2.1.3.27.3 DateTimeRange <DtTmRg>

**Presence:** [1..1]

**Definition:** Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

##### 28.4.2.1.2.1.3.28 VariableRateIndicator <VarblRateInd>

**Presence:** [0..1]

**Definition:** Indicates whether the interest rate of an interest bearing instrument is reset periodically.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):



- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.29 OverAllotmentAmount <OverAlltmtAmt>**

*Presence:* [0..1]

*Definition:* Amount for which a security can be overallocated (as in greenshoe option).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.1.3.30 OverAllotmentRate <OverAlltmtRate>**

*Presence:* [0..1]

*Definition:* Percentage for which a security can be overallocated (as in greenshoe option).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.31 AmortisableIndicator <AmtsblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether repayment is made via regular principal and interest payments over time.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.32 InterestCalculationMethod <IntrstClctnMtd>**

*Presence:* [0..1]

*Definition:* Calculation method used to calculate interest and/or yield for a bond, for example, street convention.

*Datatype:* "Max70Text" on page 2867

#### **28.4.2.1.2.1.3.33 CapitalisedInterest <CptlsdIntrst>**

*Presence:* [0..1]

*Definition:* Specifies whether the interest amount is capitalised until maturity date or paid out at each interest payment date.

**CapitalisedInterest <CptIsdIntrst>** contains one of the following **DistributionPolicy2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1230

#### 28.4.2.1.2.1.3.33.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as an ISO 20022 code.

*Datatype:* "DistributionPolicy1Code" on page 2813

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

#### 28.4.2.1.2.1.3.33.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.3.34 ActualDenominationAmount <ActIDnmtnAmt>

*Presence:* [0..\*]

*Definition:* Nominal value per security unit.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.1.3.35 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Identifies the current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.36 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Identifies the new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.37 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Identifies the previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.38 Pieces <Pcs>**

*Presence:* [0..1]

*Definition:* Number of pieces composing a pool of financial assets.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.39 PoolsMaximum <PlsMax>**

*Presence:* [0..1]

*Definition:* Collection of assets by which a security is backed.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.40 PoolsPerMillion <PlsPerMln>**

*Presence:* [0..1]

*Definition:* Indicates per million the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.41 PoolsPerLot <PlsPerLot>**

*Presence:* [0..1]

---

*Definition:* Indicates per lot the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.42 PoolsPerTrade <PlsPerTrad>**

*Presence:* [0..1]

*Definition:* Indicates per trade the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.43 ConstantPrePaymentPenaltyIndicator <CstPrePmtPnltyInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a penalty might be imposed to the borrower of a mortgage in case of prepayments occurring during the lockout period.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.44 LotIdentification <LotId>**

*Presence:* [0..1]

*Definition:* Identifies the lot constituting an asset backed or mortgage backed security issue.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.1.3.45 ConstantPrePaymentYield <CstPrePmtYld>**

*Presence:* [0..1]

*Definition:* Measure of prepayment as a yield of the current outstanding loan balance.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.46 WeightedAverageCoupon <WghtdAvrgCpn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average coupon of the fixed income instrument (expressed as a percentage).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.3.47 WeightedAverageLife <WghtdAvrgLife>**

*Presence:* [0..1]

*Definition:* Contains the weighted average life of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.48 WeightedAverageLoan <WghtdAvrgLn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average loan of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

---

#### **28.4.2.1.2.1.3.49 WeightedAverageMaturity <WghtdAvrgMtrty>**

*Presence:* [0..1]

*Definition:* Contains the weighted average maturity of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.3.50 InsuredIndicator <InsrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the instrument is backed by any kind of asset or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.51 BankQualifiedIndicator <BkQlfdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is bank qualified (usually applies to loan products).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.2.1.3.52 YieldCalculation <YldClctn>**

*Presence:* [0..\*]

*Definition:* Rate of return on an investment, based on the price.

**YieldCalculation <YldClctn>** contains the following **YieldCalculation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Rate		1234
	<b>CalculationType</b> <ClctnTp>	[0..1]			1234
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1234
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1237
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1237
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1237
	<b>Value</b> <Val>	[1..1]	±		1238
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1238
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1239
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1239
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1239
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1240
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1240
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1240

#### 28.4.2.1.2.1.3.52.1 Value <Val>

*Presence:* [1..1]

*Definition:* Result of the yield calculation.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.2.1.3.52.2 CalculationType <ClctnTp>

*Presence:* [0..1]

*Definition:* Specifies the type of calculation.

**CalculationType <ClctnTp>** contains one of the following **CalculationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1234
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1237

#### 28.4.2.1.2.1.3.52.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Calculation type expressed as an ISO 20022 code.

*Datatype:* "CalculationType1Code" on page 2801

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CMPD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.

CodeName	Name	Definition
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.



CodeName	Name	Definition
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

#### 28.4.2.1.2.1.3.52.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Calculation type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.3.52.3 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price to which the yield has been calculated.

**RedemptionPrice <RedPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1237
	<b>Value</b> <Val>	[1..1]	±		1238
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1238

##### 28.4.2.1.2.1.3.52.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "[PriceValueType3Code](#)" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.3.52.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.3.52.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.3.52.4 ValueDate <ValDt>

*Presence:* [1..1]

*Definition:* Date/time on which the calculation is based, for example, valuation on October 1 (price date) based on price of September 19 (value date).

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.2.1.3.52.5 ValuePeriod <ValPrd>

*Presence:* [1..1]

*Definition:* Period on which the calculation is based.

**ValuePeriod <ValPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		1239
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		1240
Or}	<b>DateTimeRange &lt;DtTmRg&gt;</b>	[1..1]	±		1240

#### 28.4.2.1.2.1.3.52.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.1.2.1.3.52.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.2.1.3.52.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.2.1.3.52.6 CalculationDate <ClctnDt>

*Presence:* [1..1]

*Definition:* Clarifies yield irregularities associated with date, for example when it falls on a non-business day.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.2.1.3.53 InterestType <IntrstTp>

*Presence:* [0..1]

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Datatype:* "InterestType3Code" on page 2830

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

#### 28.4.2.1.2.1.3.54 InstrumentStructureType <InstrmStrTp>

*Presence:* [0..1]

*Definition:* Indicates the type of deal for structured finance.

**InstrumentStructureType <InstrmStrTp>** contains one of the following **InstrumentSubStructureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1241
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1242

#### 28.4.2.1.2.1.3.54.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as an ISO 20022 code.

*Datatype:* "InstrumentSubStructureType1Code" on page 2826

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.

CodeName	Name	Definition
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

#### 28.4.2.1.2.1.3.54.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.3.55 GlobalType <GbITp>

*Presence:* [0..1]

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

New Global Note (NGN): Form of global certificate which refers to the books and records of the ICSDs to determine the issue outstanding amount (IOA).

Classical Global Note (CGN): Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the issue outstanding amount (IOA).

**GlobalType <GbITp>** contains one of the following **GlobalNote2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1242
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1243

#### 28.4.2.1.2.1.3.55.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Global note expressed as an ISO 20022 code.

*Datatype:* "[GlobalNote1Code](#)" on page 2825

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

#### 28.4.2.1.2.1.3.55.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Global note expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.3.56 PotentialEuroSystemEligibility <PotntlEuroSysElgblty>

*Presence:* [0..1]

*Definition:* Flag to indicate the security is intended to be held in a manner that could allow the Eurosystem eligibility.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.1.3.57 Geographics <Geogcs>

*Presence:* [0..1]

*Definition:* Type of stipulation expressing geographical constraints on a fixed income instrument. It is expressed with a state or country abbreviation and a minimum or maximum percentage. Example: CA 0-80 (minimum of 80 percent in Californian assets).

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.1.2.1.3.58 YieldRange <YldRg>

*Presence:* [0..1]

*Definition:* Range of allowed yield.

**YieldRange <YldRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.2.1.3.59 CouponRange <CpnRg>

*Presence:* [0..1]

*Definition:* Identification of a range of coupon numbers attached to its related financial instrument.

**CouponRange <CpnRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.2.1.3.60 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.1.2.1.3.61 AlternativeMinimumTaxIndicator <AltrntvMinTaxInd>

*Presence:* [0..1]

*Definition:* Identifies whether the issue is subject to alternative minimum taxation (used for municipal bonds).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No



#### 28.4.2.1.2.1.3.62 AutoReinvestment <AutoRinvstmt>

*Presence:* [0..1]

*Definition:* Indicates an instruction to reinvest dividends in the underlying security (or proceeds at maturity in a similar instrument) if the current rate is <rate> or better.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.2.1.3.63 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.2.1.3.64 TransactionConditions <TxConds>

*Presence:* [0..1]

*Definition:* Indicates the conditions under which the order/trade is to be/was executed.

**TransactionConditions <TxConds>** contains one of the following elements (see "TradeTransactionCondition7Choice" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 28.4.2.1.2.1.3.65 LookBack <LookBck>

*Presence:* [0..1]

*Definition:* Indicates an instruction or attribute giving the number of days to be included in the look-back period for the investment. For example some options allow exercise based on the underlying asset's optimal value over the look-back period.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.2.1.3.66 MaximumSubstitution <MaxSbstitn>

*Presence:* [0..1]

*Definition:* Maximum number of time the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.2.1.3.67 MinimumIncrement <MinIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable increments of a security.

**MinimumIncrement <MinIncrmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.1.3.68 MinimumQuantity <MinQty>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable quantity of a security.

**MinimumQuantity <MinQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.1.3.69 Production <Pdctn>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond, particularly an mortgage back security (MBS), issued in a particular year.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.1.3.70 RestrictedIndicator <RstrctdInd>

*Presence:* [0..1]

*Definition:* Identifies if the securities is restricted or not (as per Rule 144).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.1.3.71 PriceFrequency <PricFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the frequency at which the bond is re-rated and therefore re-priced (bond attribute, particularly of floating rate and index linked instruments).

**PriceFrequency <PricFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.2.1.3.72 Sector <Sctr>

*Presence:* [0..1]

*Definition:* Indicates the market sector the security is classified as for example pharmaceuticals, automobile, housing, etc.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.1.3.73 SubstitutionFrequency <SbstitnFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the maximum number of times collateral can be substituted.

**SubstitutionFrequency <SbstitnFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.2.1.3.74 SubstitutionLeft <SbstitnLft>

*Presence:* [0..1]

*Definition:* Number of remaining times the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.2.1.3.75 WholePoolIndicator <WhlPoolInd>

*Presence:* [0..1]

*Definition:* Indicates a search criterion when looking to buy a mortgaged backed security that either is [yes] or is not [no] an entire pool.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.1.3.76 PriceSource <PricSrc>

*Presence:* [0..1]

*Definition:* Identifies the Benchmark source price (eg. BB Generic, BB Fairvalue, Brokertec.).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.1.3.77 PriceRange <PricRg>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond within a particular price range.

**PriceRange <PricRg>** contains the following elements (see "[AmountOrPercentageRange1](#)" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Optr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.2.1.4 Derivative <Deriv>

*Presence:* [0..1]

*Definition:* Choice between type of derivatives.

**Derivative <Deriv>** contains the following **Derivative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Future</b> <Futr>	[0..1]			1252
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1254
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1254
	<b>Value</b> <Val>	[1..1]	±		1255
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1255
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1256
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1256
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1257
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1257
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1259
	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1260
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1270
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272
	<b>Option</b> <Optn>	[0..1]			1272
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1279
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1279
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
	<b>OptionType</b> <OptnTp>	[0..1]	±		1280

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1281
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1281
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1281
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1282
	<b>Standardisation</b> <Stdstn>	[0..1]			1282
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1295
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296

#### 28.4.2.1.2.1.4.1 Future <Futr>

*Presence:* [0..1]

*Definition:* Parameters for contracts which obligate the buyer to receive and the seller to deliver in the future the assets specified at an agreed price.



**Future <Futr>** contains the following **Future4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1254
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1254
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1254
	<b>Value</b> <Val>	[1..1]	±		1255
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1255
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1256
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1256
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1257
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1257
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1259
	<b>TimeUnit</b> <TmUnit>	[0..1]			1259
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1260
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1270
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272

#### 28.4.2.1.2.1.4.1.1 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.1.2.1.4.1.2 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a Future will have to buy or sell the underlying instrument.

**ExercisePrice <ExrcPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1254
	<b>Value</b> <Val>	[1..1]	±		1255
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1255

#### 28.4.2.1.2.1.4.1.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.1.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.1.3 FutureDate <FutrDt>

*Presence:* [0..1]

*Definition:* Date on which future contracts settle.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.1.2.1.4.1.4 MinimumSize <MinSz>

*Presence:* [0..1]

*Definition:* Specifies the minimum ratio or multiply factor used to convert from contracts to shares.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.1.5 UnitOfMeasure <UnitOfMeasr>

*Presence:* [0..1]

*Definition:* Used to indicate the measurement unit of the underlying commodity on which the contract is based (for example, 2500 lbs of lean cattle, 1000 barrels of crude oil, 1000 bushels of corn, etc.).

**UnitOfMeasure <UnitOfMeasr>** contains one of the following **UnitOfMeasure7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1257
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1259

##### 28.4.2.1.2.1.4.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as an ISO 20022 code.

*Datatype:* "UnitOfMeasure9Code" on page 2855

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.

CodeName	Name	Definition
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuldOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.

CodeName	Name	Definition
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

#### 28.4.2.1.2.1.4.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.1.6 TimeUnit <TmUnit>

*Presence:* [0..1]

*Definition:* Used to indicate a time unit for the contract (for example days, weeks, months, etc.).

**TimeUnit <TmUnit>** contains one of the following **TimeUnit3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1260
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1260

#### 28.4.2.1.2.1.4.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Time unit expressed as an ISO 20022 code.

*Datatype:* "TimeUnit1Code" on page 2853

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

#### 28.4.2.1.2.1.4.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Time unit expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.1.7 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.



**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1262
	<b>Quantity</b> <Qty>	[0..1]			1262
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262
	<b>SettlementType</b> <SttlmTp>	[0..1]			1262
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1263
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1263
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1263
	<b>CashType</b> <CshTp>	[0..1]	Text		1264
	<b>Price</b> <Pric>	[0..1]			1264
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1266
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267
	<b>EndPrice</b> <EndPric>	[0..1]			1268
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1270
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1270
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1271
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1271
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1272
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1272

#### 28.4.2.1.2.1.4.1.7.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.2.1.4.1.7.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1262
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1262

#### 28.4.2.1.2.1.4.1.7.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.2.1.4.1.7.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.1.7.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1263
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1263

#### 28.4.2.1.2.1.4.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.1.2.1.4.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.1.7.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.1.7.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.1.4.1.7.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1264
	<b>Value</b> <Val>	[1..1]	±		1265
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1265

##### 28.4.2.1.2.1.4.1.7.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.1.7.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.1.7.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.1.7.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1266
	<b>Value</b> <Val>	[1..1]	±		1267
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1267

#### 28.4.2.1.2.1.4.1.7.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.1.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.1.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.1.7.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1268
	<b>Value</b> <Val>	[1..1]	±		1269
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1269

#### 28.4.2.1.2.1.4.1.7.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.



CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.1.7.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.1.7.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.1.7.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.1.7.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.1.7.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.1.7.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1271
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1272

#### 28.4.2.1.2.1.4.1.7.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.4.1.7.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.1.4.1.7.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.4.1.7.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.1.4.2 Option <Optn>**

*Presence:* [0..1]

*Definition:* Contracts which grant to the holder either the privilege to purchase or the privilege to sell the assets specified at a predetermined price or formula at or within a time in the future.

**Option <Optn> contains the following Option15 elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1276
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1276
	<b>StrikePrice</b> <StrkPric>	[0..1]			1276
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1278
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1279
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1279
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1279
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1279
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1280
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280
	<b>OptionType</b> <OptnTp>	[0..1]	±		1280
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1281
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1281
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1281
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1281
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1281
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1282
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1282
	<b>Standardisation</b> <Stdstrn>	[0..1]			1282
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1283
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1284

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1284
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1295
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296

#### 28.4.2.1.2.1.4.2.1 OptionSettlementStyle <OptnSttlmStyle>

*Presence:* [0..1]

*Definition:* Specifies whether the option contract settles at the open or close of the market.

**OptionSettlementStyle <OptnSttlmStyle>** contains one of the following **SettleStyle2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1276
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1276

##### 28.4.2.1.2.1.4.2.1.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Settle style expressed as an ISO 20022 code.

*Datatype:* "SettleStyle1Code" on page 2851

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

##### 28.4.2.1.2.1.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settle style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.2.1.4.2.2 ConversionDate <ConvDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted according to the terms of the issue.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.2.1.4.2.3 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Amount of money for which goods, services or assets are offered, sold, or bought.



**StrikePrice <StrkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1277
	<b>Value</b> <Val>	[1..1]	±		1277
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1278

#### 28.4.2.1.2.1.4.2.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.2.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.2.4 MinimumExercisableQuantity <MinExrcblQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that must be exercised.

**MinimumExercisableQuantity <MinExrcblQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.1.4.2.5 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		1279
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		1279
Or}	DateTimeRange <DtTmRg>	[1..1]	±		1279

##### 28.4.2.1.2.1.4.2.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.2.1.4.2.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.2.1.4.2.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.2.1.4.2.6 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following **OptionStyle1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1280
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1280

##### 28.4.2.1.2.1.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style is defined using a code.

*Datatype:* "OptionStyle1Code" on page 2835

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

##### 28.4.2.1.2.1.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style is defined using a data source scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 28.4.2.1.2.1.4.2.7 OptionType <OptnTp>

*Presence:* [0..1]

**Definition:** Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see "OptionType8Choice" on page 2684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 28.4.2.1.2.1.4.2.8 StrikeValue <StrkVal>

**Presence:** [0..1]

**Definition:** Used for derivatives. The number of shares/units for the financial instrument involved in the option trade.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.2.1.4.2.9 StrikeMultiplier <StrkMltplr>

**Presence:** [0..1]

**Definition:** Used for derivatives. Multiplier applied to the strike price for the purpose of calculating the settlement value.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.2.1.4.2.10 InstrumentAssignmentMethod <InstrmAssgnmtMtd>

**Presence:** [0..1]

**Definition:** Method under which assignment was conducted.

**InstrumentAssignmentMethod <InstrmAssgnmtMtd>** contains one of the following **AssignmentMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1281
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1281

##### 28.4.2.1.2.1.4.2.10.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Assignment method expressed as an ISO 20022 code.

**Datatype:** "AssignmentMethod1Code" on page 2799

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

##### 28.4.2.1.2.1.4.2.10.2 Proprietary <Prtry>

**Presence:** [1..1]

*Definition:* Assignment method expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.2.11 VersionNumber <VrsnNb>

*Presence:* [0..1]

*Definition:* Number allocated by options exchanges to record that an option has undergone a change in its contract specifications (particularly adjustment of the strike price).

*Datatype:* "Number" on page 2862

#### 28.4.2.1.2.1.4.2.12 ExpiryLocation <XpryLctn>

*Presence:* [0..1]

*Definition:* Financial center where option expires.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 28.4.2.1.2.1.4.2.13 Standardisation <Stdstn>

*Presence:* [0..1]

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

**Standardisation <Stdstn>** contains one of the following **Standardisation3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283

##### 28.4.2.1.2.1.4.2.13.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Standardisation expressed as an ISO 20022 code.

*Datatype:* "Standardisation1Code" on page 2851

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying

CodeName	Name	Definition
		instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

#### 28.4.2.1.2.1.4.2.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Standardisation expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.2.14 TradingPartyRole <TradgPtyRole>

*Presence:* [0..1]

*Definition:* Specifies the party which is the buyer or the seller.

**TradingPartyRole <TradgPtyRole>** contains one of the following **OptionParty3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1283

##### 28.4.2.1.2.1.4.2.14.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Option party expressed as an ISO 20022 code.

*Datatype:* "[OptionParty1Code](#)" on page 2835

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

##### 28.4.2.1.2.1.4.2.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option party expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.2.15 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "[BaseOneRate](#)" on page 2862

#### 28.4.2.1.2.1.4.2.16 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.



**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1286
	<b>Quantity</b> <Qty>	[0..1]			1286
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286
	<b>SettlementType</b> <SttlmTp>	[0..1]			1286
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1287
	<b>CashType</b> <CshTp>	[0..1]	Text		1288
	<b>Price</b> <Pric>	[0..1]			1288
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1290
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291
	<b>EndPrice</b> <EndPric>	[0..1]			1292
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1294
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1294
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1295
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1295
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1296
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1296

#### 28.4.2.1.2.1.4.2.16.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.2.1.4.2.16.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1286
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1286

#### 28.4.2.1.2.1.4.2.16.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.2.1.4.2.16.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.2.16.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType** <SttlmTp> contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1287
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1287

#### 28.4.2.1.2.1.4.2.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.1.2.1.4.2.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.1.4.2.16.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.2.16.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.1.4.2.16.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1288
	<b>Value</b> <Val>	[1..1]	±		1289
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1289

#### 28.4.2.1.2.1.4.2.16.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.2.16.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.2.16.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.2.16.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1290
	<b>Value</b> <Val>	[1..1]	±		1291
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1291

#### 28.4.2.1.2.1.4.2.16.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.2.16.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.2.16.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.2.16.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1292
	<b>Value</b> <Val>	[1..1]	±		1293
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1293

#### 28.4.2.1.2.1.4.2.16.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.



CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.1.4.2.16.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.1.4.2.16.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.1.4.2.16.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.2.16.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.2.16.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.1.4.2.16.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1295
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1296

#### 28.4.2.1.2.1.4.2.16.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.2.1.4.2.16.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.1.4.2.16.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.2.1.4.2.16.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.2.2 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..\*]

*Definition:* Provides details about the financial instrument attributes of a particular leg.

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following  
**CommonFinancialInstrumentAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityStatus</b> <SctySts>	[0..1]			1305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1306
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1306
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1306
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1306
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1306
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1307
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1307
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1307
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1307
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1307
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1307
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1308
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1308
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1308
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1309
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1309
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1309
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1309
	<b>Purpose</b> <Purp>	[0..1]	Text		1309
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1309
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1310
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1310
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1310
	<b>Issuance</b> <Issnc>	[0..1]			1310
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1312
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1312

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssueDate</b> <IssDt>	[0..1]	Date		1312
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1312
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1312
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1312
	<b>Name</b> <Nm>	[1..1]	Text		1313
	<b>Identification</b> <Id>	[0..1]			1313
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1314
	<b>Purpose</b> <Purp>	[0..1]	Text		1314
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1315
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1315
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1315
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1316
	<b>IssueNominalAmount</b> <IssNmnlAmt>	[0..1]	±		1316
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1316
	<b>IssueSize</b> <IssSz>	[0..1]	Quantity		1317
	<b>IssuePrice</b> <IssPric>	[0..1]	±		1317
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1318
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1318
	<b>Identification</b> <Id>	[0..1]	Text		1318
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1318
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1319
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1319
	<b>RoundLot</b> <RndLot>	[0..1]	±		1319
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1320
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1320
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1321
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1321
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1322
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1322
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1322
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1322
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1323
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1324
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1326
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1326
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1327
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1328
	<b>PutType</b> <PutTp>	[0..1]			1328
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1328
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1328
	<b>CallType</b> <CallTp>	[0..1]			1329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1329
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1329
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1330



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1330
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1330
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1330
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1331
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1331
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1331
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1331
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1332
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1332
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1332
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1332
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1333
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1333
	<b>Class</b> <Clss>	[0..1]	Text		1333
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1333
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1334
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1334
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1334
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1335
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1335
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1335
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1336
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1336
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1336
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1336
	<b>RedemptionType</b> <RedTp>	[0..1]			1337
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1337
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1338

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Restriction</b> <Rstrctn>	[0..*]			1338
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1339
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1339
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1340
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1341
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>InvestorType</b> <InvstrTp>	[0..*]			1342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1343
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1344
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1345
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1345
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1345
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		1346
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1346
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1346
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1347
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1347
	<b>LegalForm</b> <LglForm>	[0..1]	±		1347
	<b>ContactName</b> <CtctNm>	[0..1]			1348
	<b>Name</b> <Nm>	[1..1]	Text		1348
	<b>Identification</b> <Id>	[0..1]			1348

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1349
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1349
	<b>Purpose</b> <Purp>	[0..1]	Text		1349
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1349
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1350
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1350
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1350
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1350
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1350
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1351
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1351
	<b>LeadManager</b> <LeadMgr>	[0..1]			1352
	<b>Name</b> <Nm>	[1..1]	Text		1352
	<b>Identification</b> <Id>	[0..1]			1353
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1353
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353
	<b>Purpose</b> <Purp>	[0..1]	Text		1353
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1354
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1354
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1354
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1354
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1355
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1355
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1356
	<b>Name</b> <Nm>	[1..1]	Text		1356
	<b>Identification</b> <Id>	[0..1]			1357
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1357
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357
	<b>Purpose</b> <Purp>	[0..1]	Text		1357

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1358
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1358
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1358
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1358
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1359
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1359
	<b>PayingAgent</b> <PngAgt>	[0..1]			1360
	<b>Name</b> <Nm>	[1..1]	Text		1360
	<b>Identification</b> <Id>	[0..1]			1361
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1361
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361
	<b>Purpose</b> <Purp>	[0..1]	Text		1361
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1362
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1362
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1362
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1362
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1363
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1363
	<b>Depository</b> <Dpstry>	[0..1]			1364
	<b>Name</b> <Nm>	[1..1]	Text		1364
	<b>Identification</b> <Id>	[0..1]			1365
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1365
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1365
	<b>Purpose</b> <Purp>	[0..1]	Text		1365
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1366

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1366
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1366
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1366
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1367
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1367
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1368
	<b>Name</b> <Nm>	[1..1]	Text		1368
	<b>Identification</b> <Id>	[0..1]			1369
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1369
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1369
	<b>Purpose</b> <Purp>	[0..1]	Text		1369
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1370
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1370
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1370
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1370
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1371
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1371

#### 28.4.2.1.2.2.1 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus** <SctySts> contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1306

##### 28.4.2.1.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

#### 28.4.2.1.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.2 ISOSecurityLongName <ISOSctyLngNm>

*Presence:* [0..1]

*Definition:* Name of the security.

*Datatype:* "[Max350Text](#)" on page 2865

#### 28.4.2.1.2.2.3 ISOSecurityShortName <ISOSctyShrtNm>

*Presence:* [0..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.1.2.2.4 NameValidFrom <NmVldFr>

*Presence:* [0..1]

*Definition:* Defines the date since when the name of the security is valid.

**NameValidFrom <NmVldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 28.4.2.1.2.2.5 DenominationCurrency <DnmtnCcy>

*Presence:* [0..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)

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*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### **Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### **28.4.2.1.2.2.6 CertificateNumber <CertNb>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier of a certificate assigned by the issuer.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.7 ContractVersionNumber <CtrctVrsnNb>**

*Presence:* [0..1]

*Definition:* Version number assigned to the contract associated with the security.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.2.2.8 CouponAttachedNumber <CpnAttchdNb>**

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

*Datatype:* "Max3NumericText" on page 2865

#### **28.4.2.1.2.2.9 TaxLotNumber <TaxLotNb>**

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.1.2.2.10 PoolNumber <PoolNb>**

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.1.2.2.11 CoveredIndicator <CvrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the derivative product is covered or not by an underlying financial instrument position.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.2.12 LegalRestrictions <LglRstrctns>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictions <LglRstrctns>** contains one of the following **LegalRestrictions4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1308

##### 28.4.2.1.2.2.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions1Code" on page 2831

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

##### 28.4.2.1.2.2.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.2.2.13 PositionLimit <PosLmt>

*Presence:* [0..1]

*Definition:* Position limits are created for the purpose of maintaining stable and fair markets. Contracts held by one individual investor with different brokers may be combined in order to gauge accurately the level of control held by one party.

Each option and futures contract will have varying position limits.



**PositionLimit <PosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.14 NearTermPositionLimit <NearTermPosLmt>

*Presence:* [0..1]

*Definition:* Near-term position limit for the instrument.

**NearTermPositionLimit <NearTermPosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.15 ListingDate <ListgDt>

*Presence:* [0..1]

*Definition:* Original Date/time at which the security is listed at the specific exchange or trading venue.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.2.2.16 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck to note which parties are entitled to receive the entitlement to a corporate event or vote at a meeting.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.2.2.17 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.2.2.18 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.1.2.2.19 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Information allowing the classification of a financial instrument, for example, with its ISO CFI (Classification of Financial Instrument) or product type.

**ClassificationType <ClssfctnTp>** contains the following **ClassificationType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1310
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1310
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1310

#### 28.4.2.1.2.2.19.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 28.4.2.1.2.2.19.2 FinancialInstrumentProductTypeCode <FinInstrmPdctTpCd>

*Presence:* [0..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstrumentProductType1Code" on page 2817

#### 28.4.2.1.2.2.19.3 AlternateClassification <AltrnClssfctn>

*Presence:* [0..\*]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 28.4.2.1.2.2.20 Issuance <Issnc>

*Presence:* [0..1]

*Definition:* Details regarding the issuance of an asset.

**Issuance <Issnc>** contains the following **Issuance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1312
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1312
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1312
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1312
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1312
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1312
	<b>Name</b> <Nm>	[1..1]	Text		1313
	<b>Identification</b> <Id>	[0..1]			1313
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1314
	<b>Purpose</b> <Purp>	[0..1]	Text		1314
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1315
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1315
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1315
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1316
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1316
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1316
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1317
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1317
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1318
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1318
	<b>Identification</b> <Id>	[0..1]	Text		1318
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1318

---

#### **28.4.2.1.2.2.20.1 IssuePlace <IssePlc>**

*Presence:* [0..1]

*Definition:* Indicates where the financial instrument was issued.

*Datatype:* "MICIdentifier" on page 2860

#### **28.4.2.1.2.2.20.2 CountryOfIssue <CtryOfIsse>**

*Presence:* [0..1]

*Definition:* Country where a security is issued by the issuer or its agent.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.20.3 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.20.4 AnnouncementDate <AnncmntDt>**

*Presence:* [0..1]

*Definition:* Date/time, as announced by the issuer, at which the securities will be issued.

*Datatype:* "ISODateTime" on page 2858

#### **28.4.2.1.2.2.20.5 ISINValidFrom <ISINVldFr>**

*Presence:* [0..1]

*Definition:* Defines the date from which the instrument code is valid. This date can be before the actual issue date of an instrument for 'when-issued' securities, but may not be a date in the future for a new security.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.20.6 IssuerOrganisation <IssrOrg>**

*Presence:* [0..1]

*Definition:* Legal entity that has the right to issue securities.

**IssuerOrganisation <IssrOrg>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1313
	<b>Identification &lt;Id&gt;</b>	[0..1]			1313
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1314
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1314
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1314
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1314
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1315
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1315
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1315
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1315
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1315
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1316

#### 28.4.2.1.2.2.20.6.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.2.2.20.6.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1313
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1314

#### 28.4.2.1.2.2.20.6.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.1.2.2.20.6.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.1.2.2.20.6.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.1.2.2.20.6.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.2.2.20.6.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.2.2.20.6.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.2.2.20.6.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.2.20.6.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.2.20.6.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.20.6.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.20.6.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.20.7 IssueNominalAmount <IsseNmnlAmt>

*Presence:* [0..1]

*Definition:* Total original amount or quantity published.

**IssueNominalAmount <IsseNmnlAmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.20.8 FullIssuedAmount <FullIssdAmt>

*Presence:* [0..1]



**Definition:** Figure used as a control to verify whether the information provided is correct. It represents the issue size multiplied by the issue price.

**Impacted by:** C1 "ActiveCurrency", C5 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.2.20.9 IssueSize <IsseSz>

**Presence:** [0..1]

**Definition:** Represents the total amount/quantity of the proceeds from the sale of all securities in the initial offering. This amount/quantity is known after the new issue is priced.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.2.2.20.10 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of the asset.

**IssuePrice <IssePric>** contains the following elements (see "PriceValue1" on page 2724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

#### 28.4.2.1.2.2.20.11 IssuanceDistribution <IssncDstrbtn>

**Presence:** [0..1]

**Definition:** Way in which the issue will be marketed to the primary market, via individual dealers (so called non syndicated distribution) or via a syndicate of managers, underwriters and selling group members (so called syndicated distribution).

**IssuanceDistribution <IssncDstrbtn>** contains one of the following **SecuritiesTransactionType31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1318
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1318

#### 28.4.2.1.2.2.20.11.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType11Code" on page 2849

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

#### 28.4.2.1.2.2.20.11.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.20.12 GoverningLaw <GovngLaw>

*Presence:* [0..\*]

*Definition:* Jurisdiction (country, county, state, province, city) of the issue.

**GoverningLaw <GovngLaw>** contains the following **Jurisdiction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1318
	Country <Ctry>	[0..1]	CodeSet	C4	1318

##### 28.4.2.1.2.2.20.12.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Specifies the jurisdiction (county, state, province, city).

*Datatype:* "Max70Text" on page 2867

##### 28.4.2.1.2.2.20.12.2 Country <Ctry>

*Presence:* [0..1]

*Definition:* Specifies the country.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.2.2.21 TradingMarket <TradgMkt>

*Presence:* [0..\*]

*Definition:* Market(s) on which the security is traded.

**TradingMarket <TradgMkt>** contains the following **TradingParameters2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1319
	<b>RoundLot</b> <RndLot>	[0..1]	±		1319
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1320
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1320
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1320
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1321
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1321
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1322
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1322

##### 28.4.2.1.2.2.21.1 MarketIdentification <MktId>

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, ie, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS) (MIC - ISO 3166).

*Datatype:* "MICIdentifier" on page 2860

##### 28.4.2.1.2.2.21.2 RoundLot <RndLot>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**RoundLot <RndLot>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.21.3 TradeLotSize <TradLotSz>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**TradeLotSize <TradLotSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.21.4 SecondaryPlaceOfListing <ScndryPlcOfListg>

*Presence:* [0..5]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.1.2.2.21.5 MinimumTradedNominalQuantity <MinTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**MinimumTradedNominalQuantity <MinTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1320
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1320

##### 28.4.2.1.2.2.21.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 28.4.2.1.2.2.21.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.2.21.6 MaximumTradedNominalQuantity <MaxTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Maximum number of securities that can be traded.

**MaximumTradedNominalQuantity <MaxTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1321
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1321

#### 28.4.2.1.2.2.21.6.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.2.2.21.6.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.2.21.7 MinimumTradingPricingIncrement <MinTradgPricgIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum or smallest movement (up or down) in the price allowed for the security.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.2.2.21.8 PrimaryPlaceOfListingIdentification <PmryPlcOfListgld>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.1.2.2.22 SpreadAndBenchmarkCurve <SprdAndBchmkCrv>

*Presence:* [0..\*]

*Definition:* Indicates the spread to benchmark details of an indication of interest.

**SpreadAndBenchmarkCurve <SprdAndBchmkCrv>** contains the following **BenchmarkCurve6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1322
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1323
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1324
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1326
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1326
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1327
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1328

#### 28.4.2.1.2.2.22.1 Spread <Sprd>

*Presence:* [0..1]

**Definition:** Either a swap spread or spread to benchmark depending upon order type. In case of a spread to benchmark, the price offset is expressed in terms of basis points relative to a benchmark - this can be a positive or a negative spread. In case of a swap spread, the price offset is a target spread for a swap.

**Datatype:** "DecimalNumber" on page 2861

#### 28.4.2.1.2.2.2.2.2 BenchmarkIdentification <BchmkId>

**Presence:** [0..1]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**BenchmarkIdentification <BchmkId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

#### 28.4.2.1.2.2.22.3 BenchmarkPrice <BchmkPric>

*Presence:* [0..1]

*Definition:* Identifies the price of the benchmark security.

**BenchmarkPrice <BchmkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1324
	<b>Value</b> <Val>	[1..1]	±		1325
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1325

#### 28.4.2.1.2.2.22.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).



CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.2.2.22.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.2.2.22.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.

CodeName	Name	Definition
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.2.2.22.4 BenchmarkCurveCurrency <BchmkCrvCcy>

*Presence:* [0..1]

*Definition:* Identifies the currency used for the benchmark curve.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.2.2.22.5 BenchmarkCurveName <BchmkCrvNm>

*Presence:* [0..1]

*Definition:* Identifies the name of the benchmark curve.

**BenchmarkCurveName <BchmkCrvNm>** contains one of the following **BenchmarkCurveName7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1327

#### 28.4.2.1.2.2.22.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as an ISO 20022 code.

*Datatype:* "BenchmarkCurveName1Code" on page 2800

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2

CodeName	Name	Definition
		years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.  London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.  The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.

#### 28.4.2.1.2.2.22.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.22.6 BenchmarkCurvePoint <BchmkCrvPt>

*Presence:* [0..1]

*Definition:* Identifies a point on a benchmark curve. The point can be stated via a combination of maturity month/year and coupon.

*Datatype:* "[Max256Text](#)" on page 2865

#### 28.4.2.1.2.2.23 PutType <PutTp>

*Presence:* [0..1]

*Definition:* Represents the type of put.

**PutType <PutTp>** contains one of the following **PutType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1328
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1328

##### 28.4.2.1.2.2.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Put type expressed as an ISO 20022 code.

*Datatype:* "[PutType1Code](#)" on page 2843

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

##### 28.4.2.1.2.2.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Put type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.24 CallType <CallTp>

*Presence:* [0..1]

*Definition:* Represents the type of call.

**CallType <CallTp>** contains one of the following **CallType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1329

##### 28.4.2.1.2.2.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Call type expressed as an ISO 20022 code.

*Datatype:* "[CallType1Code](#)" on page 2803

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

##### 28.4.2.1.2.2.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Call type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.2.2.25 FungibleIndicator <Fngblnd>

*Presence:* [0..1]

**Definition:** Indicates whether a security is interchangeable, ie, the security is allowed to be replaced by another security, without loss of value.

**Datatype:** One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.2.26 Confidential <Cnfdtl>

**Presence:** [0..1]

**Definition:** Indicates whether the details of the security are to be made available to the market, or kept private between ICSDs and agency network.

**Datatype:** One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.2.27 PrivatePlacement <PrvtPlcmnt>

**Presence:** [0..1]

**Definition:** The sale of securities directly to private persons, institutional investors, or both outside a public offering. Such non-public deals (often without a publicly available prospectus) closing through the ICSDs are placed directly with investors.

**Datatype:** One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.2.28 ConvertibleIndicator <ConvblInd>

**Presence:** [0..1]

**Definition:** Indicates whether the investor or the issuer has a conversion option.

**Datatype:** One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.2.2.29 ConversionPeriod <ConvsPrd>

**Presence:** [0..1]

**Definition:** Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.2.2.30 ConversionRatioNumerator <ConvsRatioNmtr>

*Presence:* [0..1]

*Definition:* Number of target securities for the conversion.

**ConversionRatioNumerator <ConvsRatioNmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.31 ConversionRatioDenominator <ConvsRatioDnmtr>

*Presence:* [0..1]

*Definition:* Number of held securities for the conversion.

**ConversionRatioDenominator <ConvsRatioDnmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.32 PrimaryPlaceOfDeposit <PmryPlcOfDpst>

*Presence:* [0..1]

*Definition:* Primary place of deposit.

**PrimaryPlaceOfDeposit <PmryPlcOfDpst>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 28.4.2.1.2.2.33 TradingMethod <TradgMtd>

*Presence:* [0..1]

*Definition:* Indicates whether the notional amount value is to be traded in either an amount or in units.

**TradingMethod <TradgMtd>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1332
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1332

#### 28.4.2.1.2.2.33.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.2.2.33.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.2.2.34 TEFRRARule <TEFRARule>

*Presence:* [0..1]

*Definition:* Indicates the TEFRA rule under which the security is issued.

**TEFRARule <TEFRARule>** contains one of the following **TEFRARules3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1332
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1333

#### 28.4.2.1.2.2.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as an ISO 20022 code.

*Datatype:* "TEFRARules1Code" on page 2853



CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

#### 28.4.2.1.2.2.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.35 SerieNumber <SrNb>

*Presence:* [0..1]

*Definition:* Identifies the series number.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.1.2.2.36 Class <Clss>

*Presence:* [0..1]

*Definition:* Identifier that links multiple security classes.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.1.2.2.37 WithholdingTaxRegime <WhldgTaxRgm>

*Presence:* [0..\*]

*Definition:* Amount or percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTaxRegime <WhldgTaxRgm>** contains the following **SecurityWithHoldingTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1333
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1334

##### 28.4.2.1.2.2.37.1 WithholdingTaxValue <WhldgTaxVal>

*Presence:* [1..1]

*Definition:* Value of the withholding tax as rate, amount or not specified.

**WithholdingTaxValue <WhldgTaxVal>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 2661 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 28.4.2.1.2.2.37.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Represents the tax authority.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.2.2.38 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see "SecuritiesPaymentStatus5Choice" on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 28.4.2.1.2.2.39 InitialPhysicalForm <InitlPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities on the closing date.

**InitialPhysicalForm <InitlPhysForm>** contains one of the following **InitialPhysicalForm4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1335
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1335

#### 28.4.2.1.2.2.39.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm1Code" on page 2826

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.1.2.2.39.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.40 AfterExchangePhysicalForm <AftrXchgPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities after the exchange of the initial certificate issued on the closing date.

**AfterExchangePhysicalForm <AftrXchgPhysForm>** contains one of the following **InitialPhysicalForm3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1335
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1336

#### 28.4.2.1.2.2.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm2Code" on page 2826

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.1.2.2.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.41 CommonSafekeeper <CmonSfkpr>

*Presence:* [0..1]

*Definition:* Entity appointed by the ICSDs to provide safekeeping for securities in new global note (NGN) form.

**CommonSafekeeper <CmonSfkpr>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1336
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1336

##### 28.4.2.1.2.2.41.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.2.2.41.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.1.2.2.42 RedemptionType <RedTp>

*Presence:* [0..1]

*Definition:* Indicates the type of redemption at maturity.

**RedemptionType <RedTp>** contains one of the following **MaturityRedemptionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1337

##### 28.4.2.1.2.2.42.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as an ISO 20022 code.

*Datatype:* "[MaturityRedemptionType1Code](#)" on page 2833

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

##### 28.4.2.1.2.2.42.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.43 RedemptionPaymentCurrency <RedPmtCcy>

*Presence:* [0..1]

*Definition:* ISO currency for the payment of the cash proceeds.

*Impacted by:* C1 "[ActiveCurrency](#)"

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.2.2.44 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Regulatory restriction(s) linked to the security.

**Restriction <Rstrctn>** contains the following **SecurityRestriction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1339
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1339
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1340
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1341
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342
	<b>InvestorType</b> <InvstrTp>	[0..*]			1342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342

#### 28.4.2.1.2.2.44.1 EffectivePeriod <FctvPrd>

*Presence:* [0..1]

*Definition:* Period during which the restriction applies.

**EffectivePeriod <FctvPrd>** contains the following elements (see ["DateTimePeriod2"](#) on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

#### 28.4.2.1.2.2.44.2 RestrictionType <RstrctnTp>

*Presence:* [0..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**RestrictionType <RstrctnTp>** contains one of the following **SecurityRestrictionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1340
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1340

#### 28.4.2.1.2.2.44.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

*Datatype:* "RestrictionType1Code" on page 2847

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

#### 28.4.2.1.2.2.44.2.2 ProprietaryRestriction <PrtryRstrctn>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**ProprietaryRestriction <PrtryRstrctn>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.44.3 LegalRestrictionType <LglRstrctnTp>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictionType <LglRstrctnTp>** contains one of the following **LegalRestrictions5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1341

##### 28.4.2.1.2.2.44.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions2Code" on page 2832

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a



CodeName	Name	Definition
		Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

#### 28.4.2.1.2.2.44.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.44.4 InvestorRestrictionType <InvstrRstrctnTp>

*Presence:* [0..\*]

*Definition:* Specifies whether the restriction to be applied is relevant for citizen, resident, country.

**InvestorRestrictionType <InvstrRstrctnTp>** contains one of the following **InvestorRestrictionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342

#### 28.4.2.1.2.2.44.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as an ISO 20022 code.

*Datatype:* "[InvestorRestrictionType1Code](#)" on page 2831

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.

CodeName	Name	Definition
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

#### 28.4.2.1.2.2.44.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.44.5 InvestorType <InvstrTp>

*Presence:* [0..\*]

*Definition:* Type of investor that is allowed to hold the security.

**InvestorType <InvstrTp>** contains one of the following **InvestorType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1342

#### 28.4.2.1.2.2.44.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor type expressed as an ISO 20022 code.

*Datatype:* "[InvestorType1Code](#)" on page 2831

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

#### 28.4.2.1.2.2.44.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.45 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C8 "ISINGuideline"](#), [C9 "ISINPresenceRule"](#), [C12 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "[SecurityIdentification39](#)" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
 /ISIN is absent  
 Following Must be True  
 /OtherIdentification[\*] Must be present  
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
 /OtherIdentification[\*] is absent  
 Following Must be True  
 /ISIN Must be present  
 Or /Description Must be present

#### 28.4.2.1.2.2.46 SettlementInformation <SttlmInf>

*Presence:* [0..\*]

*Definition:* Settlement of the securities in a securities transaction, that is, the instruction to deliver or receive securities, involving the payment of an amount of money or not.

**SettlementInformation <SttlmInf>** contains the following **SettlementInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1345
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1345
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1345
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1346

##### 28.4.2.1.2.2.46.1 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [0..1]

*Definition:* Choice between formats for the quantity of security.

**SecuritiesQuantityType <SctiesQtyTp>** contains one of the following **SettlementUnitType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1345

##### 28.4.2.1.2.2.46.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as an ISO 20022 code.

*Datatype:* "SettlementUnitType1Code" on page 2851

CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

#### 28.4.2.1.2.2.46.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.46.2 ContractSettlementMonth <CtrctSttlmMnth>

*Presence:* [0..1]

*Definition:* Specifies when the contract (i.e. MBS/TBA) will settle.

*Datatype:* "[ISOYearMonth](#)" on page 2867

#### 28.4.2.1.2.2.46.3 MinimumDenomination <MinDnmtn>

*Presence:* [0..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

**MinimumDenomination <MinDnmtn>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.46.4 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

**MinimumMultipleQuantity <MinMltplQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.46.5 DeviatingSettlementUnit <DevtgSttlmUnit>

*Presence:* [0..\*]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**DeviatingSettlementUnit <DevtgSttlmUnit>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.2.2.47 FinancialInstrumentForm <FinInstrmForm>

*Presence:* [0..1]

*Definition:* Indicates the form of the financial Instrument.

**FinancialInstrumentForm <FinInstrmForm>** contains the following **FinancialInstrumentForm2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1346
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1347
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1347
	<b>LegalForm</b> <LglForm>	[0..1]	±		1347

##### 28.4.2.1.2.2.47.1 BookingAppearance <BookgApprnc>

*Presence:* [0..1]

*Definition:* Indicates the booking appearance of the financial Instrument.

**BookingAppearance <BookgApprnc>** contains one of the following **Appearance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1347
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1347

#### 28.4.2.1.2.2.47.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Appearance expressed as an ISO 20022 code.

*Datatype:* "Appearance1Code" on page 2799

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

#### 28.4.2.1.2.2.47.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Appearance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.2.2.47.2 LegalForm <LglForm>

*Presence:* [0..1]

*Definition:* Specifies the form, ie, ownership, of the security.

**LegalForm <LglForm>** contains one of the following elements (see "FormOfSecurity8Choice" on page 2674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

#### 28.4.2.1.2.2.48 ContactName <CtctNm>

*Presence:* [0..1]

*Definition:* Details (name, e-mail address and/or telephone number) of the person requesting the allocation of the ISIN used as a point of reference in case further clarifications are required.

**ContactName <CtctNm>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1348
	<b>Identification &lt;Id&gt;</b>	[0..1]			1348
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1349
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1349
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1349
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1349
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1350
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1350
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1350
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1350
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1350
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1351
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1351

##### 28.4.2.1.2.2.48.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

##### 28.4.2.1.2.2.48.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.



**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1349
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1349

#### 28.4.2.1.2.2.48.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 28.4.2.1.2.2.48.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.1.2.2.48.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.2.2.48.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

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#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.48.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.48.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.48.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.48.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.48.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.48.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.48.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.49 LeadManager <LeadMgr>

*Presence:* [0..1]

*Definition:* Entity appointed by the issuer to structure and lead the placement of a syndicated issue.

**LeadManager <LeadMgr>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1352
	<b>Identification</b> <Id>	[0..1]			1353
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1353
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353
	<b>Purpose</b> <Purp>	[0..1]	Text		1353
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1354
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1354
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1354
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1354
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1354
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1355
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1355

##### 28.4.2.1.2.2.49.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.2.2.49.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1353
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1353

##### 28.4.2.1.2.2.49.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.2.2.49.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.2.2.49.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

---

#### **28.4.2.1.2.2.49.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.49.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.49.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.49.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.49.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.49.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.49.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.49.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.50 PrincipalPayingAgent <PrncplPngAgt>

*Presence:* [0..1]

*Definition:* Main party appointed to distribute payment or securities on behalf of the issuer.

**PrincipalPayingAgent <PrncplPngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1356
	<b>Identification</b> <Id>	[0..1]			1357
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1357
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357
	<b>Purpose</b> <Purp>	[0..1]	Text		1357
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1358
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1358
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1358
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1358
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1358
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1359
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1359

##### 28.4.2.1.2.2.50.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.2.2.50.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1357
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1357

##### 28.4.2.1.2.2.50.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.2.2.50.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.2.2.50.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.2.2.50.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.50.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.50.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.50.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.50.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.50.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.50.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.50.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.51 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Additional party appointed to distribute payment or securities on behalf of the issuer.

**PayingAgent <PngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1360
	<b>Identification</b> <Id>	[0..1]			1361
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1361
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361
	<b>Purpose</b> <Purp>	[0..1]	Text		1361
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1362
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1362
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1362
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1362
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1362
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1363
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1363

##### 28.4.2.1.2.2.51.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.2.2.51.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1361
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1361

##### 28.4.2.1.2.2.51.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.2.2.51.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.2.2.51.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.2.2.51.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.51.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.51.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.51.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.51.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.51.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.51.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.51.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.52 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* Entity appointed by the relevant clearing system as a depository for instruments issued in global or definitive form. The depository keeps the securities for safekeeping purposes on behalf of the clearing system(s).

**Depository <Dpstry>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1364
	<b>Identification</b> <Id>	[0..1]			1365
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1365
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1365
	<b>Purpose</b> <Purp>	[0..1]	Text		1365
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1366
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1366
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1366
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1366
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1366
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1367
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1367

##### 28.4.2.1.2.2.52.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.2.2.52.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1365
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1365

##### 28.4.2.1.2.2.52.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.2.2.52.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.2.2.52.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.2.2.52.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.52.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.52.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.52.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.52.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.52.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.52.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.52.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.53 UnderlyingRisk <UndrlygRsk>

*Presence:* [0..1]

*Definition:* Entity(ies), asset(s) or security(ies) on which the credit responsibility lies as identified in the documentation.

**UnderlyingRisk <UndrlygRsk>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1368
	<b>Identification</b> <Id>	[0..1]			1369
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1369
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1369
	<b>Purpose</b> <Purp>	[0..1]	Text		1369
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1370
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1370
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1370
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1370
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1370
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1371
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1371

##### 28.4.2.1.2.2.53.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.2.2.53.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1369
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1369

##### 28.4.2.1.2.2.53.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.2.2.53.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.2.2.53.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.2.2.53.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.53.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.2.2.53.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.2.2.53.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.53.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.2.2.53.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.2.2.53.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.2.53.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.2.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C14 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 28.4.2.1.3 Modify <Modfy>

*Presence:* [1..1]

*Definition:* Set of data requested to modify a security.



**Modify <Modify>** contains the following **SecurityAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1388
	<b>Equity</b> <Eqty>	[0..1]			1396
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1397
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1397
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1398
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1398
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1398
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1399
	<b>Warrant</b> <Warrt>	[0..1]			1399
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1400
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1400
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1401
	<b>Value</b> <Val>	[1..1]	±		1401
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1402
	<b>Type</b> <Tp>	[0..1]			1402
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1403
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1403
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1403
	<b>Name</b> <Nm>	[1..1]	Text		1404
	<b>Identification</b> <Id>	[0..1]			1404
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1405
	<b>Purpose</b> <Purp>	[0..1]	Text		1405
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1406
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1406
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1406
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1406

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1407
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1407
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1411
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1411
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1412
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1412
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1412
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1412
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1412
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1412
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1413
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1413
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1413
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1413
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1413
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1413
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1413
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1413
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1414
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1414
	<b>CPRRegistrationType</b> <CPRegnTp>	[0..1]	Text		1414
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1414
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1414
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1415
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1415
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1415
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1415
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1415
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1416
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1416
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1416
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1416
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1417
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1417
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1417
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1417
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1417
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1418
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1418
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1418
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1419
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1419
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1419
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1419
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1419
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1419
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1419
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1420
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1420
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1420
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1420
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1420
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1420
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1420
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1421
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1421
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1421
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1421
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1425
	<b>Value</b> <Val>	[1..1]	±		1426
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1427
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1427
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1428
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1428
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1428
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1429
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1430
	<b>GlobalType</b> <GblTp>	[0..1]			1430
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1430
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1431
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1431
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1431
	<b>YieldRange</b> <YldRg>	[0..1]	±		1431
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1432
	<b>Purpose</b> <Purp>	[0..1]	Text		1432
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1432
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1433
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1433
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1433
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1433
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1433
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1433
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1434

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1434
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1434
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1434
	<b>Sector</b> <Sctr>	[0..1]	Text		1435
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1435
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1435
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1435
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1435
	<b>PriceRange</b> <PricRg>	[0..1]	±		1436
	<b>Derivative</b> <Deriv>	[0..1]			1436
	<b>Future</b> <Futr>	[0..1]			1440
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1444
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1444
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1445
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1447
	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1448
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
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	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460
	<b>Option</b> <Optn>	[0..1]			1460
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1467
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1467
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475

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	<b>CashType</b> <CshTp>	[0..1]	Text		1476
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1484
	<b>SecurityStatus</b> <SctySts>	[0..1]			1493
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1493
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1494
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1494
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1494
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1494
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1494



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1495
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1495
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1495
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1495
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1495
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1496
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1496
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1496
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1496
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1497
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1497
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1497
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1497
	<b>Purpose</b> <Purp>	[0..1]	Text		1497
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1497
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1498
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1498
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1498
	<b>Issuance</b> <Issnc>	[0..1]			1498
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1500
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1500
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1500
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1500
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1500
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1500
	<b>Name</b> <Nm>	[1..1]	Text		1501
	<b>Identification</b> <Id>	[0..1]			1501
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1502
	<b>Purpose</b> <Purp>	[0..1]	Text		1502

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1503
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1503
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1503
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1504
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1504
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1504
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1505
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1505
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1505
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1506
	<b>Identification</b> <Id>	[0..1]	Text		1506
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1506
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1507
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1507
	<b>RoundLot</b> <RndLot>	[0..1]	±		1507
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1508
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1508
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1508
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1509
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1510

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1510
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1510
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1511
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1512
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1514
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1514
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1516
	<b>PutType</b> <PutTp>	[0..1]			1516
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	<b>FungibleIndicator</b> <FngblInd>	[0..1]	Indicator		1517
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1518
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1518
	<b>ConvertibleIndicator</b> <ConvblInd>	[0..1]	Indicator		1518
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1518
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1519
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1519
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1519
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1519
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1520
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1520

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1521
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1521
	<b>Class</b> <Clss>	[0..1]	Text		1521
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1521
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1521
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1522
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1522
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1523
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1523
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1524
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1524
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1524
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1524
	<b>RedemptionType</b> <RedTp>	[0..1]			1525
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1525
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1525
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1526
	<b>Restriction</b> <Rstrctn>	[0..*]			1526
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1527
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1527
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1529

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1529
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>InvestorType</b> <InvstrTp>	[0..*]			1530
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1530
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1531
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1532
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1532
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1532
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1533
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1533
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1533
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		1534
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1534
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1534
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1535
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1535
	<b>LegalForm</b> <LglForm>	[0..1]	±		1535
	<b>ContactName</b> <CtctNm>	[0..1]			1536
	<b>Name</b> <Nm>	[1..1]	Text		1536
	<b>Identification</b> <Id>	[0..1]			1536
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1537
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1537
	<b>Purpose</b> <Purp>	[0..1]	Text		1537
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1537
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1538
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1538
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1538
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1538
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1538

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1539
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1539
	<b>LeadManager</b> <LeadMgr>	[0..1]			1540
	<b>Name</b> <Nm>	[1..1]	Text		1540
	<b>Identification</b> <Id>	[0..1]			1541
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1541
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541
	<b>Purpose</b> <Purp>	[0..1]	Text		1541
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1542
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1542
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1542
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1542
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1543
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1543
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1544
	<b>Name</b> <Nm>	[1..1]	Text		1544
	<b>Identification</b> <Id>	[0..1]			1545
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1545
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545
	<b>Purpose</b> <Purp>	[0..1]	Text		1545
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1546
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1546
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1546
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1546
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1547
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1547

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayingAgent</b> <PngAgt>	[0..1]			1548
	<b>Name</b> <Nm>	[1..1]	Text		1548
	<b>Identification</b> <Id>	[0..1]			1549
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1549
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549
	<b>Purpose</b> <Purp>	[0..1]	Text		1549
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1550
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1550
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1550
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1550
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1551
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1551
	<b>Depository</b> <Dpstry>	[0..1]			1552
	<b>Name</b> <Nm>	[1..1]	Text		1552
	<b>Identification</b> <Id>	[0..1]			1553
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1553
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553
	<b>Purpose</b> <Purp>	[0..1]	Text		1553
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1554
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1554
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1554
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1554
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1555
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1555
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1556
	<b>Name</b> <Nm>	[1..1]	Text		1556
	<b>Identification</b> <Id>	[0..1]			1557

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1557
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1557
	<b>Purpose</b> <Purp>	[0..1]	Text		1557
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1558
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1558
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1558
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1558
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1559
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1559
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1560

#### 28.4.2.1.3.1 FinancialInstrumentType <FinInstrmTp>

*Presence:* [0..\*]

*Definition:* Provides additional details about the financial instrument.



**FinancialInstrumentType <FinInstrmTp>** contains the following **FinancialInstrument97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Equity</b> <Eqty>	[0..1]			1396
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1397
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1397
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1398
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1398
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1398
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1399
	<b>Warrant</b> <Warrt>	[0..1]			1399
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1400
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1400
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1401
	<b>Value</b> <Val>	[1..1]	±		1401
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1402
	<b>Type</b> <Tp>	[0..1]			1402
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1403
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1403
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1403
	<b>Name</b> <Nm>	[1..1]	Text		1404
	<b>Identification</b> <Id>	[0..1]			1404
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1405
	<b>Purpose</b> <Purp>	[0..1]	Text		1405
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1406
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1406
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1406
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1406

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1407
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1407
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1411
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1411
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1412
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1412
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1412
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1412
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1412
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1412
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1413
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1413
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1413
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1413
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1413
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1413
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1413
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1413
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1414
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1414
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1414
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1414
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1414
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1415
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1415
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1415
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1415
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1415
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1416
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1416
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1416
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1416
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1417
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1417
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1417
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1417
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1417
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1418
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1418
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1418
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1419
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1419
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1419
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1419
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1419
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1419
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1419
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1420
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1420
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1420
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1420
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1420
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1420
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1420
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1421
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1421
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1421
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1421
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1425
	<b>Value</b> <Val>	[1..1]	±		1426
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1427
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1427
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1428
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1428
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1428
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1429
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1430
	<b>GlobalType</b> <GblTp>	[0..1]			1430
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1430
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1431
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1431
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1431
	<b>YieldRange</b> <YldRg>	[0..1]	±		1431
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1432
	<b>Purpose</b> <Purp>	[0..1]	Text		1432
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1432
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1433
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1433
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1433
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1433
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1433
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1433
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1434

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1434
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1434
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1434
	<b>Sector</b> <Sctr>	[0..1]	Text		1435
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1435
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1435
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1435
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1435
	<b>PriceRange</b> <PricRg>	[0..1]	±		1436
	<b>Derivative</b> <Deriv>	[0..1]			1436
	<b>Future</b> <Futr>	[0..1]			1440
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1444
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1444
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1445
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1447
	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1448
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451
	<b>CashType</b> <CshTp>	[0..1]	Text		1452
	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460
	<b>Option</b> <Optn>	[0..1]			1460
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvDts>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1466
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1467
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1467
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1467
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1469
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475
	<b>CashType</b> <CshTp>	[0..1]	Text		1476
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484

#### 28.4.2.1.3.1.1 Equity <Eqty>

*Presence:* [0..1]

*Definition:* Financial instrument which represents a title of ownership in a company, ie, the shareholder is entitled to a part of the company's profit - usually by payment of a dividend - and to voting rights, if any. Each company issues generally different classes of shares, eg, ordinary or common shares, which have no guaranteed amount of dividend but carry voting rights, or preferred shares, which receive dividends before ordinary shares but have no voting right.



**Equity <Eqty>** contains the following **Equity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1397
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1397
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1398
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1398
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1398
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1399

#### 28.4.2.1.3.1.1.1 PreferenceToIncome <PrefToIncm>

*Presence:* [1..1]

*Definition:* Indicates the level of priority to claim on income and assets of the company in case of the payment of dividends and in the event of a bankruptcy, for example, ordinary/common stocks, preferred stocks, subordinated debt, etc.

**PreferenceToIncome <PrefToIncm>** contains one of the following **PreferenceToIncome5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1397
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1397

##### 28.4.2.1.3.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Preference to income expressed as an ISO 20022 code.

*Datatype:* "PreferenceToIncome1Code" on page 2841

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

##### 28.4.2.1.3.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Preference to income expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.1.2 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security will no longer exist, for example, redeemable preference shares.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.3.1.1.3 NonPaidAmount <NonPdAmt>

*Presence:* [0..1]

*Definition:* Nominal amount which is not paid yet.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.1.4 ParValue <ParVal>

*Presence:* [0..1]

*Definition:* Nominal value of an equity security.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

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Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.1.1.5 VotingRightsPerShare <VtngRghtsPerShr>**

*Presence:* [0..1]

*Definition:* Number of voting rights per share.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.3.1.1.2 Warrant <Warrt>**

*Presence:* [0..1]

*Definition:* Financial instrument that gives the holder the right to purchase shares or bonds at a given price within a specified time.

**Warrant <Warrt>** contains the following **Warrant4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1400
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1400
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1401
	<b>Value</b> <Val>	[1..1]	±		1401
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1402
	<b>Type</b> <Tp>	[0..1]			1402
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1403
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1403
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1403
	<b>Name</b> <Nm>	[1..1]	Text		1404
	<b>Identification</b> <Id>	[0..1]			1404
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1405
	<b>Purpose</b> <Purp>	[0..1]	Text		1405
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1406
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1406
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1406
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1406
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1407

#### 28.4.2.1.3.1.2.1 Multiplier <Mltplr>

*Presence:* [0..1]

*Definition:* Specifies the ratio or multiply factor used to convert from contracts to shares.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.1.3.1.2.2 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a warrant is entitled to buy the underlying instrument.

**SubscriptionPrice <SbcptPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1401
	<b>Value</b> <Val>	[1..1]	±		1401
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1402

#### 28.4.2.1.3.1.2.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.2.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.2.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates when a warrant can be exercised.

**Type <Tp>** contains one of the following **WarrantStyle3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1403
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1403

#### 28.4.2.1.3.1.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Warrant style expressed as an ISO 20022 code.

*Datatype:* "WarrantStyle1Code" on page 2857

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

#### 28.4.2.1.3.1.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Warrant style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.2.4 WarrantAgent <WarrtAgt>

*Presence:* [0..\*]

*Definition:* Entity appointed by the issuer to process the exercising of warrants, sometimes responsible for the issuance of the warrants into the market.

**WarrantAgent <WarrtAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1404
	<b>Identification &lt;Id&gt;</b>	[0..1]			1404
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1405
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1405
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1405
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1405
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1406
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1406
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1406
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1406
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1406
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1407

#### 28.4.2.1.3.1.2.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.3.1.2.4.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1404
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1405

#### 28.4.2.1.3.1.2.4.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858



## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.1.3.1.2.4.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.1.3.1.2.4.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.1.3.1.2.4.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.3.1.2.4.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.3.1.2.4.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

### 28.4.2.1.3.1.2.4.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.1.3.1.2.4.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.1.3.1.2.4.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

### 28.4.2.1.3.1.2.4.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.1.2.4.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.1.3 Debt <Debt>

*Presence:* [0..1]

*Definition:* Financial instruments evidencing moneys owed by the issuer to the holder on terms as specified.

*Impacted by:* C11 "NextCallableDateAndCallableIndicatorRule", C13 "PutableDateAndPutableIndicatorRule"

**Debt** <Debt> contains the following **Debt5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1411
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1411
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1412
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1412
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1412
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1412
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1412
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1412
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1413
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1413
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1413
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1413
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1413
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1413
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1413
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1413
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1414
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1414
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1414
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1414
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1414
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1415
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1415
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1415
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1415
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1415
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1416
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1416
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1416
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1416

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1417
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1417
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1417
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1417
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1417
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1418
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1418
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1418
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1419
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1419
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1419
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1419
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1419
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1419
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1419
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1420
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1420
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1420
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1420
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1420
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1420
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1420
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1421
	<b>InsuredIndicator</b> <InsrdInd>	[0..1]	Indicator		1421
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1421
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1421
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1425
	<b>Value</b> <Val>	[1..1]	±		1426
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1427
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1427
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1428
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1428
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1428
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1429
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1430
	<b>GlobalType</b> <GblTp>	[0..1]			1430
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1430
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1431
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1431
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1431
	<b>YieldRange</b> <YldRg>	[0..1]	±		1431
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1432
	<b>Purpose</b> <Purp>	[0..1]	Text		1432
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1432
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1433
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1433
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1433
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1433
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1433
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1433
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1434
	<b>Production</b> <Pdctn>	[0..1]	Text		1434
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1434

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1434
	<b>Sector</b> <Sctr>	[0..1]	Text		1435
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1435
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1435
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1435
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1435
	<b>PriceRange</b> <PricRg>	[0..1]	±		1436

#### Constraints

- **NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCaldate must be present.

- **PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

#### 28.4.2.1.3.1.3.1 PaymentCurrency <PmtCcy>

*Presence:* [0..1]

*Definition:* Currency of the payment.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.3.1.3.2 FaceAmount <FaceAmt>

*Presence:* [0..1]

*Definition:* Unit value of a debt security.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.3.3 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see "[Frequency35Choice](#)" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.3.1.3.4 InterestFixingDate <IntrstFxdt>

*Presence:* [0..1]

*Definition:* Date/time at which the rate determination is made, also called determination date.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.3.1.3.5 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* First date/time at which a security begins to accrue interest.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.3.1.3.6 FirstPaymentDate <FrstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the first interest payment is due to holders of the security.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.3.1.3.7 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.1.3.1.3.8 NextCouponDate <NxtCpnDt>

*Presence:* [0..1]

*Definition:* Date of the coupon attached to the physical security.

*Datatype:* "[ISODatetime](#)" on page 2858



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#### **28.4.2.1.3.1.3.9 PutableDate <PutbIDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.3.1.3.10 NextCallableDate <NxtClbIDt>**

*Presence:* [0..1]

*Definition:* Next date/time at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.3.1.3.11 NextFactorDate <NxtFctrDt>**

*Presence:* [0..1]

*Definition:* The date that the current factor will be changed to a new factor.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.3.1.3.12 ExpirationDate <XprtnDt>**

*Presence:* [0..1]

*Definition:* Date/time at which an interest bearing security becomes due and assets are to be repaid.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.1.3.1.3.13 PaymentDirectionIndicator <PmtDrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates the direction of payment for asset or mortgage backed securities, ie, whether the repaid capital is distributed (payment direction is down) or capitalized (payment direction is up).

*Datatype:* One of the following values must be used (see "PaymentDirectionIndicator" on page 2861):

- *Meaning When True:* Up
- *Meaning When False:* Down

#### **28.4.2.1.3.1.3.14 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.15 NextInterestRate <NxtIntrstRate>**

*Presence:* [0..1]

*Definition:* Specifies the interest rate applicable to the next interest payment period.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.16 OddCouponIndicator <OddCpnInd>**

*Presence:* [0..1]

*Definition:* Specifies whether the payment of the coupon (interest) on a bond is off the normal schedule.

---

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.17 CallableIndicator <ClblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.18 CPProgram <CPPrgm>**

*Presence:* [0..1]

*Definition:* The program under which a commercial paper is issued. The values that are most used are:

0 = N/A

1 = 3(a)3

2 = 4(2)

3 = 3(a)4

4 = 3(c)7

5 = 144A

6 = 3(a)2

99 = Other.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.3.1.3.19 CPRegistrationType <CPRegnTp>**

*Presence:* [0..1]

*Definition:* Registration type of a commercial paper issuance.

*Datatype:* "Max350Text" on page 2865

#### **28.4.2.1.3.1.3.20 InterestAccrualDate <IntrstAcrlDt>**

*Presence:* [0..1]

*Definition:* Start date used for calculating accrued interest on debt instruments which are being sold between interest payment dates. Often but not always the same as the issue date and the dated date.

*Datatype:* "ISODateTime" on page 2858

#### **28.4.2.1.3.1.3.21 PutableIndicator <PutblInd>**

*Presence:* [0..1]

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*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.22 PreFundedIndicator <PreFnddInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is being escrowed or collateralized either by direct obligations guaranteed by the US government, or by other types of securities. The maturity schedules of the securities in the escrow fund are determined in such a way to pay the maturity value, coupon, and premium payments (if any) of the refunded bonds.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.23 EscrowedIndicator <EscrwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is deposited in a fund that will be used to pay debt service on refunded securities.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.24 PerpetualIndicator <PerptlInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security has no maturity date.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.25 SubordinatedIndicator <SubrdntdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is a subordinated security.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.26 ExtendibleIndicator <XtndblInd>**

*Presence:* [0..1]

**Definition:** Indicates whether the security is extendible, eg, repayment may be extended or maturity changed.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.1.3.27 ExtendiblePeriod <XtndblPrd>

**Presence:** [0..1]

**Definition:** Period during which a date might be extended.

**ExtendiblePeriod <XtndblPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1416
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1416
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1416

##### 28.4.2.1.3.1.3.27.1 FromDateTime <FrDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 28.4.2.1.3.1.3.27.2 ToDateTime <ToDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 28.4.2.1.3.1.3.27.3 DateTimeRange <DtTmRg>

**Presence:** [1..1]

**Definition:** Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.3.1.3.28 VariableRateIndicator <VarblRateInd>

**Presence:** [0..1]

**Definition:** Indicates whether the interest rate of an interest bearing instrument is reset periodically.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.29 OverAllotmentAmount <OverAlltmtAmt>**

*Presence:* [0..1]

*Definition:* Amount for which a security can be overallocated (as in greenshoe option).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.1.3.30 OverAllotmentRate <OverAlltmtRate>**

*Presence:* [0..1]

*Definition:* Percentage for which a security can be overallocated (as in greenshoe option).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.31 AmortisableIndicator <AmtsblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether repayment is made via regular principal and interest payments over time.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.32 InterestCalculationMethod <IntrstClctnMtd>**

*Presence:* [0..1]

*Definition:* Calculation method used to calculate interest and/or yield for a bond, for example, street convention.

*Datatype:* "Max70Text" on page 2867

#### **28.4.2.1.3.1.3.33 CapitalisedInterest <CptlsdIntrst>**

*Presence:* [0..1]

*Definition:* Specifies whether the interest amount is capitalised until maturity date or paid out at each interest payment date.

**CapitalisedInterest <CptIsdIntrst>** contains one of the following **DistributionPolicy2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1418
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1418

#### 28.4.2.1.3.1.3.33.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as an ISO 20022 code.

*Datatype:* "DistributionPolicy1Code" on page 2813

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

#### 28.4.2.1.3.1.3.33.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.3.34 ActualDenominationAmount <ActIDnmtnAmt>

*Presence:* [0..\*]

*Definition:* Nominal value per security unit.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.1.3.35 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Identifies the current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.36 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Identifies the new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.37 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Identifies the previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.38 Pieces <Pcs>**

*Presence:* [0..1]

*Definition:* Number of pieces composing a pool of financial assets.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.39 PoolsMaximum <PlsMax>**

*Presence:* [0..1]

*Definition:* Collection of assets by which a security is backed.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.40 PoolsPerMillion <PlsPerMln>**

*Presence:* [0..1]

*Definition:* Indicates per million the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.41 PoolsPerLot <PlsPerLot>**

*Presence:* [0..1]

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*Definition:* Indicates per lot the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.42 PoolsPerTrade <PlsPerTrad>**

*Presence:* [0..1]

*Definition:* Indicates per trade the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.43 ConstantPrePaymentPenaltyIndicator <CstPrePmtPnltyInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a penalty might be imposed to the borrower of a mortgage in case of prepayments occurring during the lockout period.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.44 LotIdentification <LotId>**

*Presence:* [0..1]

*Definition:* Identifies the lot constituting an asset backed or mortgage backed security issue.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.1.3.45 ConstantPrePaymentYield <CstPrePmtYld>**

*Presence:* [0..1]

*Definition:* Measure of prepayment as a yield of the current outstanding loan balance.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.46 WeightedAverageCoupon <WghtdAvrgCpn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average coupon of the fixed income instrument (expressed as a percentage).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.3.47 WeightedAverageLife <WghtdAvrgLife>**

*Presence:* [0..1]

*Definition:* Contains the weighted average life of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.48 WeightedAverageLoan <WghtdAvrgLn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average loan of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861



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#### **28.4.2.1.3.1.3.49 WeightedAverageMaturity <WghtdAvrgMtrty>**

*Presence:* [0..1]

*Definition:* Contains the weighted average maturity of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.3.50 InsuredIndicator <InsrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the instrument is backed by any kind of asset or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.51 BankQualifiedIndicator <BkQlfdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is bank qualified (usually applies to loan products).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.1.3.1.3.52 YieldCalculation <YldClctn>**

*Presence:* [0..\*]

*Definition:* Rate of return on an investment, based on the price.

**YieldCalculation <YldClctn>** contains the following **YieldCalculation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Rate		1422
	<b>CalculationType</b> <ClctnTp>	[0..1]			1422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1425
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1425
	<b>Value</b> <Val>	[1..1]	±		1426
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1427
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1427
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1427
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1428
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1428

#### 28.4.2.1.3.1.3.52.1 Value <Val>

*Presence:* [1..1]

*Definition:* Result of the yield calculation.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.3.1.3.52.2 CalculationType <ClctnTp>

*Presence:* [0..1]

*Definition:* Specifies the type of calculation.

**CalculationType <ClctnTp>** contains one of the following **CalculationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1425

#### 28.4.2.1.3.1.3.52.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Calculation type expressed as an ISO 20022 code.

*Datatype:* "CalculationType1Code" on page 2801

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CMPD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.

CodeName	Name	Definition
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.

CodeName	Name	Definition
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

#### 28.4.2.1.3.1.3.52.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Calculation type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.3.52.3 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price to which the yield has been calculated.

**RedemptionPrice <RedPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1425
	<b>Value</b> <Val>	[1..1]	±		1426
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1426

##### 28.4.2.1.3.1.3.52.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "[PriceValueType3Code](#)" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.3.52.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.3.52.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.3.52.4 ValueDate <ValDt>

*Presence:* [1..1]

*Definition:* Date/time on which the calculation is based, for example, valuation on October 1 (price date) based on price of September 19 (value date).

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.3.1.3.52.5 ValuePeriod <ValPrd>

*Presence:* [1..1]

*Definition:* Period on which the calculation is based.

**ValuePeriod <ValPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		1427
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		1428
Or}	<b>DateTimeRange &lt;DtTmRg&gt;</b>	[1..1]	±		1428

#### 28.4.2.1.3.1.3.52.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.1.3.1.3.52.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.3.1.3.52.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.3.1.3.52.6 CalculationDate <ClctnDt>

*Presence:* [1..1]

*Definition:* Clarifies yield irregularities associated with date, for example when it falls on a non-business day.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.3.1.3.53 InterestType <IntrstTp>

*Presence:* [0..1]

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Datatype:* "InterestType3Code" on page 2830

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

#### 28.4.2.1.3.1.3.54 InstrumentStructureType <InstrmStrTp>

*Presence:* [0..1]

*Definition:* Indicates the type of deal for structured finance.



**InstrumentStructureType <InstrmStrTp>** contains one of the following **InstrumentSubStructureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1429
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1430

#### 28.4.2.1.3.1.3.54.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as an ISO 20022 code.

*Datatype:* "InstrumentSubStructureType1Code" on page 2826

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.

CodeName	Name	Definition
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

#### 28.4.2.1.3.1.3.54.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.3.55 GlobalType <GbITp>

*Presence:* [0..1]

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

New Global Note (NGN): Form of global certificate which refers to the books and records of the ICSDs to determine the issue outstanding amount (IOA).

Classical Global Note (CGN): Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the issue outstanding amount (IOA).

**GlobalType <GbITp>** contains one of the following **GlobalNote2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1430
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1431

#### 28.4.2.1.3.1.3.55.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Global note expressed as an ISO 20022 code.

*Datatype:* "[GlobalNote1Code](#)" on page 2825

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

#### 28.4.2.1.3.1.3.55.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Global note expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.3.56 PotentialEuroSystemEligibility <PotntlEuroSysElgblty>

*Presence:* [0..1]

*Definition:* Flag to indicate the security is intended to be held in a manner that could allow the Eurosystem eligibility.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.1.3.57 Geographics <Geogcs>

*Presence:* [0..1]

*Definition:* Type of stipulation expressing geographical constraints on a fixed income instrument. It is expressed with a state or country abbreviation and a minimum or maximum percentage. Example: CA 0-80 (minimum of 80 percent in Californian assets).

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.1.3.1.3.58 YieldRange <YldRg>

*Presence:* [0..1]

*Definition:* Range of allowed yield.

**YieldRange <YldRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.3.1.3.59 CouponRange <CpnRg>

*Presence:* [0..1]

*Definition:* Identification of a range of coupon numbers attached to its related financial instrument.

**CouponRange <CpnRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.3.1.3.60 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.1.3.1.3.61 AlternativeMinimumTaxIndicator <AltrntvMinTaxInd>

*Presence:* [0..1]

*Definition:* Identifies whether the issue is subject to alternative minimum taxation (used for municipal bonds).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.1.3.62 AutoReinvestment <AutoRinvstmt>

*Presence:* [0..1]

*Definition:* Indicates an instruction to reinvest dividends in the underlying security (or proceeds at maturity in a similar instrument) if the current rate is <rate> or better.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.3.1.3.63 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.3.1.3.64 TransactionConditions <TxConds>

*Presence:* [0..1]

*Definition:* Indicates the conditions under which the order/trade is to be/was executed.

**TransactionConditions <TxConds>** contains one of the following elements (see "TradeTransactionCondition7Choice" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 28.4.2.1.3.1.3.65 LookBack <LookBck>

*Presence:* [0..1]

*Definition:* Indicates an instruction or attribute giving the number of days to be included in the look-back period for the investment. For example some options allow exercise based on the underlying asset's optimal value over the look-back period.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.3.1.3.66 MaximumSubstitution <MaxSbstitn>

*Presence:* [0..1]

*Definition:* Maximum number of time the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.3.1.3.67 MinimumIncrement <MinIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable increments of a security.

**MinimumIncrement <MinIncrmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.1.3.68 MinimumQuantity <MinQty>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable quantity of a security.

**MinimumQuantity <MinQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.1.3.69 Production <Pdctn>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond, particularly an mortgage back security (MBS), issued in a particular year.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.1.3.70 RestrictedIndicator <RstrctdInd>

*Presence:* [0..1]

*Definition:* Identifies if the securities is restricted or not (as per Rule 144).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.1.3.71 PriceFrequency <PricFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the frequency at which the bond is re-rated and therefore re-priced (bond attribute, particularly of floating rate and index linked instruments).

**PriceFrequency <PricFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.3.1.3.72 Sector <Sctr>

*Presence:* [0..1]

*Definition:* Indicates the market sector the security is classified as for example pharmaceuticals, automobile, housing, etc.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.1.3.73 SubstitutionFrequency <SbstitnFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the maximum number of times collateral can be substituted.

**SubstitutionFrequency <SbstitnFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.1.3.1.3.74 SubstitutionLeft <SbstitnLft>

*Presence:* [0..1]

*Definition:* Number of remaining times the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.3.1.3.75 WholePoolIndicator <WhlPoolInd>

*Presence:* [0..1]

*Definition:* Indicates a search criterion when looking to buy a mortgaged backed security that either is [yes] or is not [no] an entire pool.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.1.3.76 PriceSource <PricSrc>

*Presence:* [0..1]

*Definition:* Identifies the Benchmark source price (eg. BB Generic, BB Fairvalue, Brokertec.).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.1.3.77 PriceRange <PricRg>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond within a particular price range.

**PriceRange <PricRg>** contains the following elements (see "[AmountOrPercentageRange1](#)" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Optr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.1.3.1.4 Derivative <Deriv>

*Presence:* [0..1]

*Definition:* Choice between type of derivatives.



**Derivative <Deriv>** contains the following **Derivative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Future</b> <Futr>	[0..1]			1440
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1444
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1444
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1445
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1447
	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1448
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451
	<b>CashType</b> <CshTp>	[0..1]	Text		1452
	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460
	<b>Option</b> <Optn>	[0..1]			1460
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1466
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1467
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1467
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1467
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1469
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475
	<b>CashType</b> <CshTp>	[0..1]	Text		1476
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484

#### 28.4.2.1.3.1.4.1 Future <Futr>

*Presence:* [0..1]

*Definition:* Parameters for contracts which obligate the buyer to receive and the seller to deliver in the future the assets specified at an agreed price.

**Future <Futr>** contains the following **Future4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1442
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1442
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1444
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1444
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1445
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1447
	<b>TimeUnit</b> <TmUnit>	[0..1]			1447
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1448
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1448
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1448
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451
	<b>CashType</b> <CshTp>	[0..1]	Text		1452
	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460

#### 28.4.2.1.3.1.4.1.1 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.1.3.1.4.1.2 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a Future will have to buy or sell the underlying instrument.

**ExercisePrice <ExrcPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1442
	<b>Value</b> <Val>	[1..1]	±		1443
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1443

#### 28.4.2.1.3.1.4.1.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.1.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.1.3 FutureDate <FutrDt>

*Presence:* [0..1]

*Definition:* Date on which future contracts settle.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.1.3.1.4.1.4 MinimumSize <MinSz>

*Presence:* [0..1]

*Definition:* Specifies the minimum ratio or multiply factor used to convert from contracts to shares.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.1.5 UnitOfMeasure <UnitOfMeasr>

*Presence:* [0..1]

*Definition:* Used to indicate the measurement unit of the underlying commodity on which the contract is based (for example, 2500 lbs of lean cattle, 1000 barrels of crude oil, 1000 bushels of corn, etc.).

**UnitOfMeasure <UnitOfMeasr>** contains one of the following **UnitOfMeasure7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1445
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1447

##### 28.4.2.1.3.1.4.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as an ISO 20022 code.

*Datatype:* "UnitOfMeasure9Code" on page 2855

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.

CodeName	Name	Definition
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuldOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.

CodeName	Name	Definition
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

#### 28.4.2.1.3.1.4.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.1.6 TimeUnit <TmUnit>

*Presence:* [0..1]

*Definition:* Used to indicate a time unit for the contract (for example days, weeks, months, etc.).

**TimeUnit <TmUnit>** contains one of the following **TimeUnit3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1448
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1448

#### 28.4.2.1.3.1.4.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Time unit expressed as an ISO 20022 code.

*Datatype:* "TimeUnit1Code" on page 2853

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

#### 28.4.2.1.3.1.4.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Time unit expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.1.7 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1450
	<b>Quantity</b> <Qty>	[0..1]			1450
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450
	<b>SettlementType</b> <SttlmTp>	[0..1]			1450
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1451
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1451
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1451
	<b>CashType</b> <CshTp>	[0..1]	Text		1452
	<b>Price</b> <Pric>	[0..1]			1452
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1454
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455
	<b>EndPrice</b> <EndPric>	[0..1]			1456
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1458
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1458
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1459
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1459
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1460
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1460

#### 28.4.2.1.3.1.4.1.7.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.3.1.4.1.7.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1450
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1450

#### 28.4.2.1.3.1.4.1.7.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.3.1.4.1.7.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.1.7.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1451
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1451

#### 28.4.2.1.3.1.4.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.1.3.1.4.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.1.7.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.1.7.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.1.4.1.7.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1452
	<b>Value</b> <Val>	[1..1]	±		1453
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1453

##### 28.4.2.1.3.1.4.1.7.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.



CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.1.7.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.1.7.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.1.7.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1454
	<b>Value</b> <Val>	[1..1]	±		1455
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1455

#### 28.4.2.1.3.1.4.1.7.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.1.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.1.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.1.7.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1456
	<b>Value</b> <Val>	[1..1]	±		1457
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1457

#### 28.4.2.1.3.1.4.1.7.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.1.7.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.1.7.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.1.7.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.1.7.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.1.7.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.1.7.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1459
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1460

#### 28.4.2.1.3.1.4.1.7.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.4.1.7.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.1.4.1.7.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.4.1.7.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.1.4.2 Option <Optn>**

*Presence:* [0..1]



*Definition:* Contracts which grant to the holder either the privilege to purchase or the privilege to sell the assets specified at a predetermined price or formula at or within a time in the future.

**Option <Optn>** contains the following **Option15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1464
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1464
	<b>StrikePrice</b> <StrkPric>	[0..1]			1464
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1466
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1467
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1467
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1467
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1467
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1468
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468
	<b>OptionType</b> <OptnTp>	[0..1]	±		1468
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1469
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1469
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1469
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1469
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1470
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1470
	<b>Standardisation</b> <Stdstrn>	[0..1]			1470
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1471
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1472

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1472
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475
	<b>CashType</b> <CshTp>	[0..1]	Text		1476
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484

#### 28.4.2.1.3.1.4.2.1 OptionSettlementStyle <OptnSttlmStyle>

*Presence:* [0..1]

*Definition:* Specifies whether the option contract settles at the open or close of the market.

**OptionSettlementStyle <OptnSttlmStyle>** contains one of the following **SettleStyle2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1464
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1464

##### 28.4.2.1.3.1.4.2.1.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Settle style expressed as an ISO 20022 code.

*Datatype:* "SettleStyle1Code" on page 2851

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

##### 28.4.2.1.3.1.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settle style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.3.1.4.2.2 ConversionDate <ConvDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted according to the terms of the issue.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.3.1.4.2.3 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Amount of money for which goods, services or assets are offered, sold, or bought.

**StrikePrice <StrkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1465
	<b>Value</b> <Val>	[1..1]	±		1465
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1466

#### 28.4.2.1.3.1.4.2.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.2.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.2.4 MinimumExercisableQuantity <MinExrcblQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that must be exercised.

**MinimumExercisableQuantity <MinExrcblQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.1.4.2.5 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		1467
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		1467
Or}	DateTimeRange <DtTmRg>	[1..1]	±		1467

##### 28.4.2.1.3.1.4.2.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.3.1.4.2.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.1.3.1.4.2.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.3.1.4.2.6 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following **OptionStyle1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1468
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1468

##### 28.4.2.1.3.1.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style is defined using a code.

*Datatype:* "OptionStyle1Code" on page 2835

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

##### 28.4.2.1.3.1.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style is defined using a data source scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 28.4.2.1.3.1.4.2.7 OptionType <OptnTp>

*Presence:* [0..1]



**Definition:** Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see "OptionType8Choice" on page 2684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 28.4.2.1.3.1.4.2.8 StrikeValue <StrkVal>

**Presence:** [0..1]

**Definition:** Used for derivatives. The number of shares/units for the financial instrument involved in the option trade.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.3.1.4.2.9 StrikeMultiplier <StrkMltplr>

**Presence:** [0..1]

**Definition:** Used for derivatives. Multiplier applied to the strike price for the purpose of calculating the settlement value.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.3.1.4.2.10 InstrumentAssignmentMethod <InstrmAssgnmtMtd>

**Presence:** [0..1]

**Definition:** Method under which assignment was conducted.

**InstrumentAssignmentMethod <InstrmAssgnmtMtd>** contains one of the following **AssignmentMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1469
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1469

##### 28.4.2.1.3.1.4.2.10.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Assignment method expressed as an ISO 20022 code.

**Datatype:** "AssignmentMethod1Code" on page 2799

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

##### 28.4.2.1.3.1.4.2.10.2 Proprietary <Prtry>

**Presence:** [1..1]

*Definition:* Assignment method expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.2.11 VersionNumber <VrsnNb>

*Presence:* [0..1]

*Definition:* Number allocated by options exchanges to record that an option has undergone a change in its contract specifications (particularly adjustment of the strike price).

*Datatype:* "Number" on page 2862

#### 28.4.2.1.3.1.4.2.12 ExpiryLocation <XpryLctn>

*Presence:* [0..1]

*Definition:* Financial center where option expires.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 28.4.2.1.3.1.4.2.13 Standardisation <Stdstn>

*Presence:* [0..1]

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

**Standardisation <Stdstn>** contains one of the following **Standardisation3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1470
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471

##### 28.4.2.1.3.1.4.2.13.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Standardisation expressed as an ISO 20022 code.

*Datatype:* "Standardisation1Code" on page 2851

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying

CodeName	Name	Definition
		instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

#### 28.4.2.1.3.1.4.2.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Standardisation expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.2.14 TradingPartyRole <TradgPtyRole>

*Presence:* [0..1]

*Definition:* Specifies the party which is the buyer or the seller.

**TradingPartyRole <TradgPtyRole>** contains one of the following **OptionParty3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1471
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1471

##### 28.4.2.1.3.1.4.2.14.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Option party expressed as an ISO 20022 code.

*Datatype:* "[OptionParty1Code](#)" on page 2835

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

##### 28.4.2.1.3.1.4.2.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option party expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.2.15 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "[BaseOneRate](#)" on page 2862

#### 28.4.2.1.3.1.4.2.16 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1474
	<b>Quantity</b> <Qty>	[0..1]			1474
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474
	<b>SettlementType</b> <SttlmTp>	[0..1]			1474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1475
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1475
	<b>CashType</b> <CshTp>	[0..1]	Text		1476
	<b>Price</b> <Pric>	[0..1]			1476
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1478
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479
	<b>EndPrice</b> <EndPric>	[0..1]			1480
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1482
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1482
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1483
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1483
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1484
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1484

#### 28.4.2.1.3.1.4.2.16.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.1.3.1.4.2.16.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1474
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1474

##### 28.4.2.1.3.1.4.2.16.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 28.4.2.1.3.1.4.2.16.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.2.16.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1475
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1475

#### 28.4.2.1.3.1.4.2.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.1.3.1.4.2.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.1.4.2.16.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.2.16.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.1.4.2.16.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1476
	<b>Value</b> <Val>	[1..1]	±		1477
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1477

##### 28.4.2.1.3.1.4.2.16.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.



CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.2.16.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.2.16.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.2.16.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1478
	<b>Value</b> <Val>	[1..1]	±		1479
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1479

#### 28.4.2.1.3.1.4.2.16.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.2.16.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.2.16.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.2.16.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1480
	<b>Value</b> <Val>	[1..1]	±		1481
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1481

#### 28.4.2.1.3.1.4.2.16.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.1.4.2.16.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.1.4.2.16.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.1.4.2.16.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.2.16.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.2.16.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.1.4.2.16.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1483
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1484

#### 28.4.2.1.3.1.4.2.16.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.1.3.1.4.2.16.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.1.4.2.16.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.1.3.1.4.2.16.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.1.3.2 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..\*]



*Definition:* Provides details about the financial instrument attributes of a particular leg.

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following  
**CommonFinancialInstrumentAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityStatus</b> <SctySts>	[0..1]			1493
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1493
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1494
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1494
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1494
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1494
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1494
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1495
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1495
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1495
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1495
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1495
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1495
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1496
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1496
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1496
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1496
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1497
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1497
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1497
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1497
	<b>Purpose</b> <Purp>	[0..1]	Text		1497
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1497
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1498
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1498
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1498
	<b>Issuance</b> <Issnc>	[0..1]			1498
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1500
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1500

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssueDate</b> <IssDt>	[0..1]	Date		1500
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1500
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1500
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1500
	<b>Name</b> <Nm>	[1..1]	Text		1501
	<b>Identification</b> <Id>	[0..1]			1501
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1502
	<b>Purpose</b> <Purp>	[0..1]	Text		1502
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1503
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1503
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1503
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1504
	<b>IssueNominalAmount</b> <IssNmnlAmt>	[0..1]	±		1504
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1504
	<b>IssueSize</b> <IssSz>	[0..1]	Quantity		1505
	<b>IssuePrice</b> <IssPric>	[0..1]	±		1505
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1505
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1506
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1506
	<b>Identification</b> <Id>	[0..1]	Text		1506
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1506
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1507
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1507
	<b>RoundLot</b> <RndLot>	[0..1]	±		1507
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1508

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1508
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1508
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1509
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1510
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1510
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1510
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1510
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1511
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1512
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1514
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1514
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1516
	<b>PutType</b> <PutTp>	[0..1]			1516
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1516
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1516
	<b>CallType</b> <CallTp>	[0..1]			1517
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1517
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1517
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1518

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1518
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1518
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1518
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1519
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1519
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1519
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1519
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1520
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1520
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1520
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1521
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1521
	<b>Class</b> <Clss>	[0..1]	Text		1521
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1521
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1521
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1522
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1522
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1523
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1523
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1524
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1524
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1524
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1524
	<b>RedemptionType</b> <RedTp>	[0..1]			1525
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1525
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1525
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1526

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Restriction</b> <Rstrctn>	[0..*]			1526
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1527
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1527
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1529
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1529
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>InvestorType</b> <InvstrTp>	[0..*]			1530
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1530
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1531
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1532
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1532
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1532
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1533
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1533
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1533
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1534
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1534
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1534
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1535
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1535
	<b>LegalForm</b> <LglForm>	[0..1]	±		1535
	<b>ContactName</b> <CtctNm>	[0..1]			1536
	<b>Name</b> <Nm>	[1..1]	Text		1536
	<b>Identification</b> <Id>	[0..1]			1536

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1537
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1537
	<b>Purpose</b> <Purp>	[0..1]	Text		1537
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1537
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1538
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1538
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1538
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1538
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1538
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1539
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1539
	<b>LeadManager</b> <LeadMgr>	[0..1]			1540
	<b>Name</b> <Nm>	[1..1]	Text		1540
	<b>Identification</b> <Id>	[0..1]			1541
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1541
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541
	<b>Purpose</b> <Purp>	[0..1]	Text		1541
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1542
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1542
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1542
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1542
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1543
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1543
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1544
	<b>Name</b> <Nm>	[1..1]	Text		1544
	<b>Identification</b> <Id>	[0..1]			1545
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1545
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545
	<b>Purpose</b> <Purp>	[0..1]	Text		1545

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1546
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1546
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1546
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1546
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1547
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1547
	<b>PayingAgent</b> <PngAgt>	[0..1]			1548
	<b>Name</b> <Nm>	[1..1]	Text		1548
	<b>Identification</b> <Id>	[0..1]			1549
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1549
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549
	<b>Purpose</b> <Purp>	[0..1]	Text		1549
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1550
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1550
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1550
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1550
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1551
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1551
	<b>Depository</b> <Dpstry>	[0..1]			1552
	<b>Name</b> <Nm>	[1..1]	Text		1552
	<b>Identification</b> <Id>	[0..1]			1553
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1553
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553
	<b>Purpose</b> <Purp>	[0..1]	Text		1553
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1554



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1554
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1554
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1554
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1555
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1555
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1556
	<b>Name</b> <Nm>	[1..1]	Text		1556
	<b>Identification</b> <Id>	[0..1]			1557
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1557
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1557
	<b>Purpose</b> <Purp>	[0..1]	Text		1557
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1558
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1558
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1558
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1558
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1559
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1559

#### 28.4.2.1.3.2.1 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus** <SctySts> contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1493
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1494

##### 28.4.2.1.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

#### 28.4.2.1.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.2 ISOSecurityLongName <ISOSctyLngNm>

*Presence:* [0..1]

*Definition:* Name of the security.

*Datatype:* "[Max350Text](#)" on page 2865

#### 28.4.2.1.3.2.3 ISOSecurityShortName <ISOSctyShrtNm>

*Presence:* [0..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.1.3.2.4 NameValidFrom <NmVldFr>

*Presence:* [0..1]

*Definition:* Defines the date since when the name of the security is valid.

**NameValidFrom <NmVldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 28.4.2.1.3.2.5 DenominationCurrency <DnmtnCcy>

*Presence:* [0..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)

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*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### **Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### **28.4.2.1.3.2.6 CertificateNumber <CertNb>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier of a certificate assigned by the issuer.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.7 ContractVersionNumber <CtrctVrsnNb>**

*Presence:* [0..1]

*Definition:* Version number assigned to the contract associated with the security.

*Datatype:* "Number" on page 2862

#### **28.4.2.1.3.2.8 CouponAttachedNumber <CpnAttchdNb>**

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

*Datatype:* "Max3NumericText" on page 2865

#### **28.4.2.1.3.2.9 TaxLotNumber <TaxLotNb>**

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.1.3.2.10 PoolNumber <PoolNb>**

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.1.3.2.11 CoveredIndicator <CvrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the derivative product is covered or not by an underlying financial instrument position.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.2.12 LegalRestrictions <LglRstrctns>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictions <LglRstrctns>** contains one of the following **LegalRestrictions4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1496
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1496

##### 28.4.2.1.3.2.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions1Code" on page 2831

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

##### 28.4.2.1.3.2.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.3.2.13 PositionLimit <PosLmt>

*Presence:* [0..1]

*Definition:* Position limits are created for the purpose of maintaining stable and fair markets. Contracts held by one individual investor with different brokers may be combined in order to gauge accurately the level of control held by one party.

Each option and futures contract will have varying position limits.

**PositionLimit <PosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.14 NearTermPositionLimit <NearTermPosLmt>

*Presence:* [0..1]

*Definition:* Near-term position limit for the instrument.

**NearTermPositionLimit <NearTermPosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.15 ListingDate <ListgDt>

*Presence:* [0..1]

*Definition:* Original Date/time at which the security is listed at the specific exchange or trading venue.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.3.2.16 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck to note which parties are entitled to receive the entitlement to a corporate event or vote at a meeting.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.1.3.2.17 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.3.2.18 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.1.3.2.19 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Information allowing the classification of a financial instrument, for example, with its ISO CFI (Classification of Financial Instrument) or product type.

**ClassificationType <ClssfctnTp>** contains the following **ClassificationType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1498
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1498
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1498

#### 28.4.2.1.3.2.19.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 28.4.2.1.3.2.19.2 FinancialInstrumentProductTypeCode <FinInstrmPdctTpCd>

*Presence:* [0..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstrumentProductType1Code" on page 2817

#### 28.4.2.1.3.2.19.3 AlternateClassification <AltrnClssfctn>

*Presence:* [0..\*]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 28.4.2.1.3.2.20 Issuance <Issnc>

*Presence:* [0..1]

*Definition:* Details regarding the issuance of an asset.

**Issuance <Issnc>** contains the following **Issuance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1500
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1500
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1500
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1500
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1500
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1500
	<b>Name</b> <Nm>	[1..1]	Text		1501
	<b>Identification</b> <Id>	[0..1]			1501
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1502
	<b>Purpose</b> <Purp>	[0..1]	Text		1502
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1502
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1503
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1503
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1503
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1504
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1504
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1504
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1505
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1505
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1505
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1506
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1506
	<b>Identification</b> <Id>	[0..1]	Text		1506
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1506

---

#### **28.4.2.1.3.2.20.1 IssuePlace <IssePlc>**

*Presence:* [0..1]

*Definition:* Indicates where the financial instrument was issued.

*Datatype:* "MICIdentifier" on page 2860

#### **28.4.2.1.3.2.20.2 CountryOfIssue <CtryOfIsse>**

*Presence:* [0..1]

*Definition:* Country where a security is issued by the issuer or its agent.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.20.3 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.20.4 AnnouncementDate <AnncmntDt>**

*Presence:* [0..1]

*Definition:* Date/time, as announced by the issuer, at which the securities will be issued.

*Datatype:* "ISODateTime" on page 2858

#### **28.4.2.1.3.2.20.5 ISINValidFrom <ISINVldFr>**

*Presence:* [0..1]

*Definition:* Defines the date from which the instrument code is valid. This date can be before the actual issue date of an instrument for 'when-issued' securities, but may not be a date in the future for a new security.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.20.6 IssuerOrganisation <IssrOrg>**

*Presence:* [0..1]

*Definition:* Legal entity that has the right to issue securities.



**IssuerOrganisation <IssrOrg>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1501
	<b>Identification &lt;Id&gt;</b>	[0..1]			1501
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1502
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1502
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1502
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1502
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1503
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1503
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1503
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1503
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1503
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1504

#### 28.4.2.1.3.2.20.6.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.3.2.20.6.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1501
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1502

#### 28.4.2.1.3.2.20.6.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.1.3.2.20.6.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.1.3.2.20.6.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.1.3.2.20.6.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.1.3.2.20.6.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.3.2.20.6.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.1.3.2.20.6.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.2.20.6.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.2.20.6.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.20.6.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.20.6.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.20.7 IssueNominalAmount <IsseNmnlAmt>

*Presence:* [0..1]

*Definition:* Total original amount or quantity published.

**IssueNominalAmount <IsseNmnlAmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtdsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.20.8 FullIssuedAmount <FullIssdAmt>

*Presence:* [0..1]

**Definition:** Figure used as a control to verify whether the information provided is correct. It represents the issue size multiplied by the issue price.

**Impacted by:** C1 "ActiveCurrency", C5 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.2.20.9 IssueSize <IsseSz>

**Presence:** [0..1]

**Definition:** Represents the total amount/quantity of the proceeds from the sale of all securities in the initial offering. This amount/quantity is known after the new issue is priced.

**Datatype:** "Number" on page 2862

#### 28.4.2.1.3.2.20.10 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of the asset.

**IssuePrice <IssePric>** contains the following elements (see "PriceValue1" on page 2724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

#### 28.4.2.1.3.2.20.11 IssuanceDistribution <IssncDstrbtn>

**Presence:** [0..1]

**Definition:** Way in which the issue will be marketed to the primary market, via individual dealers (so called non syndicated distribution) or via a syndicate of managers, underwriters and selling group members (so called syndicated distribution).

**IssuanceDistribution <IssncDstrbtn>** contains one of the following **SecuritiesTransactionType31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1506
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1506

#### 28.4.2.1.3.2.20.11.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType11Code" on page 2849

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

#### 28.4.2.1.3.2.20.11.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.20.12 GoverningLaw <GovngLaw>

*Presence:* [0..\*]

*Definition:* Jurisdiction (country, county, state, province, city) of the issue.

**GoverningLaw <GovngLaw>** contains the following **Jurisdiction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1506
	Country <Ctry>	[0..1]	CodeSet	C4	1506

#### 28.4.2.1.3.2.20.12.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Specifies the jurisdiction (county, state, province, city).

*Datatype:* "Max70Text" on page 2867

#### 28.4.2.1.3.2.20.12.2 Country <Ctry>

*Presence:* [0..1]

*Definition:* Specifies the country.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.3.2.21 TradingMarket <TradgMkt>

*Presence:* [0..\*]

*Definition:* Market(s) on which the security is traded.

**TradingMarket <TradgMkt>** contains the following **TradingParameters2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1507
	<b>RoundLot</b> <RndLot>	[0..1]	±		1507
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1508
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1508
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1508
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1509
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1510
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1510

##### 28.4.2.1.3.2.21.1 MarketIdentification <MktId>

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, ie, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS) (MIC - ISO 3166).

*Datatype:* "MICIdentifier" on page 2860

##### 28.4.2.1.3.2.21.2 RoundLot <RndLot>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**RoundLot <RndLot>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.21.3 TradeLotSize <TradLotSz>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**TradeLotSize <TradLotSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.21.4 SecondaryPlaceOfListing <ScndryPlcOfListg>

*Presence:* [0..5]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.1.3.2.21.5 MinimumTradedNominalQuantity <MinTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**MinimumTradedNominalQuantity <MinTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1508
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1508

##### 28.4.2.1.3.2.21.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 28.4.2.1.3.2.21.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]



*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.2.21.6 MaximumTradedNominalQuantity <MaxTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Maximum number of securities that can be traded.

**MaximumTradedNominalQuantity <MaxTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1509
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1509

#### 28.4.2.1.3.2.21.6.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.3.2.21.6.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.2.21.7 MinimumTradingPricingIncrement <MinTradgPricgIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum or smallest movement (up or down) in the price allowed for the security.

*Datatype:* "Number" on page 2862

#### 28.4.2.1.3.2.21.8 PrimaryPlaceOfListingIdentification <PmryPlcOfListgld>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.1.3.2.22 SpreadAndBenchmarkCurve <SprdAndBchmkCrv>

*Presence:* [0..\*]

*Definition:* Indicates the spread to benchmark details of an indication of interest.

**SpreadAndBenchmarkCurve <SprdAndBchmkCrv>** contains the following **BenchmarkCurve6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1510
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1511
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1512
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1514
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1514
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1516

#### 28.4.2.1.3.2.22.1 Spread <Sprd>

*Presence:* [0..1]

**Definition:** Either a swap spread or spread to benchmark depending upon order type. In case of a spread to benchmark, the price offset is expressed in terms of basis points relative to a benchmark - this can be a positive or a negative spread. In case of a swap spread, the price offset is a target spread for a swap.

**Datatype:** "DecimalNumber" on page 2861

#### 28.4.2.1.3.2.22.2 BenchmarkIdentification <BchmkId>

**Presence:** [0..1]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**BenchmarkIdentification <BchmkId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 28.4.2.1.3.2.22.3 BenchmarkPrice <BchmkPric>

*Presence:* [0..1]

*Definition:* Identifies the price of the benchmark security.

**BenchmarkPrice <BchmkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1512
	<b>Value</b> <Val>	[1..1]	±		1513
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1513

#### 28.4.2.1.3.2.22.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.1.3.2.22.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.1.3.2.22.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.

CodeName	Name	Definition
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.1.3.2.22.4 BenchmarkCurveCurrency <BchmkCrvCcy>

*Presence:* [0..1]

*Definition:* Identifies the currency used for the benchmark curve.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.3.2.22.5 BenchmarkCurveName <BchmkCrvNm>

*Presence:* [0..1]

*Definition:* Identifies the name of the benchmark curve.

**BenchmarkCurveName <BchmkCrvNm>** contains one of the following **BenchmarkCurveName7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1514
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1515

#### 28.4.2.1.3.2.22.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as an ISO 20022 code.

*Datatype:* "BenchmarkCurveName1Code" on page 2800

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2

CodeName	Name	Definition
		years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.  London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.  The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.

#### 28.4.2.1.3.2.22.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.22.6 BenchmarkCurvePoint <BchmkCrvPt>

*Presence:* [0..1]

*Definition:* Identifies a point on a benchmark curve. The point can be stated via a combination of maturity month/year and coupon.

*Datatype:* "[Max256Text](#)" on page 2865

#### 28.4.2.1.3.2.23 PutType <PutTp>

*Presence:* [0..1]

*Definition:* Represents the type of put.

**PutType <PutTp>** contains one of the following **PutType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1516
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1516

##### 28.4.2.1.3.2.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Put type expressed as an ISO 20022 code.

*Datatype:* "[PutType1Code](#)" on page 2843

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

##### 28.4.2.1.3.2.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Put type expressed as a proprietary code.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.24 CallType <CallTp>

*Presence:* [0..1]

*Definition:* Represents the type of call.

**CallType <CallTp>** contains one of the following **CallType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1517

##### 28.4.2.1.3.2.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Call type expressed as an ISO 20022 code.

*Datatype:* "[CallType1Code](#)" on page 2803

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

##### 28.4.2.1.3.2.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Call type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.1.3.2.25 FungibleIndicator <Fngblnd>

*Presence:* [0..1]

*Definition:* Indicates whether a security is interchangeable, ie, the security is allowed to be replaced by another security, without loss of value.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.2.26 Confidential <Cnfdtl>

*Presence:* [0..1]

*Definition:* Indicates whether the details of the security are to be made available to the market, or kept private between ICSDs and agency network.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.2.27 PrivatePlacement <PrvtPlcmnt>

*Presence:* [0..1]

*Definition:* The sale of securities directly to private persons, institutional investors, or both outside a public offering. Such non-public deals (often without a publicly available prospectus) closing through the ICSDs are placed directly with investors.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.2.28 ConvertibleIndicator <ConvblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the investor or the issuer has a conversion option.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.1.3.2.29 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.1.3.2.30 ConversionRatioNumerator <ConvsRatioNmtr>

*Presence:* [0..1]

*Definition:* Number of target securities for the conversion.

**ConversionRatioNumerator <ConvsRatioNmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.31 ConversionRatioDenominator <ConvsRatioDnmtr>

*Presence:* [0..1]

*Definition:* Number of held securities for the conversion.

**ConversionRatioDenominator <ConvsRatioDnmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.32 PrimaryPlaceOfDeposit <PmryPlcOfDpst>

*Presence:* [0..1]

*Definition:* Primary place of deposit.

**PrimaryPlaceOfDeposit <PmryPlcOfDpst>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 28.4.2.1.3.2.33 TradingMethod <TradgMtd>

*Presence:* [0..1]

*Definition:* Indicates whether the notional amount value is to be traded in either an amount or in units.

**TradingMethod <TradgMtd>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1520
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1520

#### 28.4.2.1.3.2.33.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.1.3.2.33.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.1.3.2.34 TEFRRARule <TEFRARule>

*Presence:* [0..1]

*Definition:* Indicates the TEFRA rule under which the security is issued.

**TEFRARule <TEFRARule>** contains one of the following **TEFRARules3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1521

#### 28.4.2.1.3.2.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as an ISO 20022 code.

*Datatype:* "TEFRARules1Code" on page 2853

CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

#### 28.4.2.1.3.2.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.35 SerieNumber <SrNb>

*Presence:* [0..1]

*Definition:* Identifies the series number.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.1.3.2.36 Class <Clss>

*Presence:* [0..1]

*Definition:* Identifier that links multiple security classes.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.1.3.2.37 WithholdingTaxRegime <WhldgTaxRgm>

*Presence:* [0..\*]

*Definition:* Amount or percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTaxRegime <WhldgTaxRgm>** contains the following **SecurityWithHoldingTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1521
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1522

##### 28.4.2.1.3.2.37.1 WithholdingTaxValue <WhldgTaxVal>

*Presence:* [1..1]

*Definition:* Value of the withholding tax as rate, amount or not specified.

**WithholdingTaxValue <WhldgTaxVal>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 2661 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 28.4.2.1.3.2.37.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Represents the tax authority.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.1.3.2.38 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see "SecuritiesPaymentStatus5Choice" on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 28.4.2.1.3.2.39 InitialPhysicalForm <InitlPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities on the closing date.

**InitialPhysicalForm <InitlPhysForm>** contains one of the following **InitialPhysicalForm4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1523
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1523

#### 28.4.2.1.3.2.39.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm1Code" on page 2826

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.1.3.2.39.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.40 AfterExchangePhysicalForm <AftrXchgPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities after the exchange of the initial certificate issued on the closing date.

**AfterExchangePhysicalForm <AftrXchgPhysForm>** contains one of the following **InitialPhysicalForm3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1523
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1524

#### 28.4.2.1.3.2.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm2Code" on page 2826

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.1.3.2.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.41 CommonSafekeeper <CmonSfkpr>

*Presence:* [0..1]

*Definition:* Entity appointed by the ICSDs to provide safekeeping for securities in new global note (NGN) form.

**CommonSafekeeper <CmonSfkpr>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1524
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1524

##### 28.4.2.1.3.2.41.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.3.2.41.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.



**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.1.3.2.42 RedemptionType <RedTp>

*Presence:* [0..1]

*Definition:* Indicates the type of redemption at maturity.

**RedemptionType <RedTp>** contains one of the following **MaturityRedemptionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1525
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1525

##### 28.4.2.1.3.2.42.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as an ISO 20022 code.

*Datatype:* "[MaturityRedemptionType1Code](#)" on page 2833

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

##### 28.4.2.1.3.2.42.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.43 RedemptionPaymentCurrency <RedPmtCcy>

*Presence:* [0..1]

*Definition:* ISO currency for the payment of the cash proceeds.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.1.3.2.44 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Regulatory restriction(s) linked to the security.

**Restriction <Rstrctn>** contains the following **SecurityRestriction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1527
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1527
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1529
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1529
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530
	<b>InvestorType</b> <InvstrTp>	[0..*]			1530
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1530
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530

#### 28.4.2.1.3.2.44.1 EffectivePeriod <FctvPrd>

*Presence:* [0..1]

*Definition:* Period during which the restriction applies.

**EffectivePeriod <FctvPrd>** contains the following elements (see ["DateTimePeriod2"](#) on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

#### 28.4.2.1.3.2.44.2 RestrictionType <RstrctnTp>

*Presence:* [0..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**RestrictionType <RstrctnTp>** contains one of the following **SecurityRestrictionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1528
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1528

#### 28.4.2.1.3.2.44.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

*Datatype:* "RestrictionType1Code" on page 2847

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

#### 28.4.2.1.3.2.44.2.2 ProprietaryRestriction <PrtryRstrctn>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**ProprietaryRestriction <PrtryRstrctn>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.44.3 LegalRestrictionType <LglRstrctnTp>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictionType <LglRstrctnTp>** contains one of the following **LegalRestrictions5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1529

##### 28.4.2.1.3.2.44.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions2Code" on page 2832

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a

CodeName	Name	Definition
		Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

#### 28.4.2.1.3.2.44.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.44.4 InvestorRestrictionType <InvstrRstrctnTp>

*Presence:* [0..\*]

*Definition:* Specifies whether the restriction to be applied is relevant for citizen, resident, country.

**InvestorRestrictionType <InvstrRstrctnTp>** contains one of the following **InvestorRestrictionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1529
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530

#### 28.4.2.1.3.2.44.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as an ISO 20022 code.

*Datatype:* "[InvestorRestrictionType1Code](#)" on page 2831

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.

CodeName	Name	Definition
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

#### 28.4.2.1.3.2.44.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.44.5 InvestorType <InvstrTp>

*Presence:* [0..\*]

*Definition:* Type of investor that is allowed to hold the security.

**InvestorType <InvstrTp>** contains one of the following **InvestorType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1530
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1530

#### 28.4.2.1.3.2.44.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor type expressed as an ISO 20022 code.

*Datatype:* "[InvestorType1Code](#)" on page 2831

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

#### 28.4.2.1.3.2.44.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.45 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C8 "ISINGuideline"](#), [C9 "ISINPresenceRule"](#), [C12 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "[SecurityIdentification39](#)" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
 /ISIN is absent  
 Following Must be True  
 /OtherIdentification[\*] Must be present  
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
 /OtherIdentification[\*] is absent  
 Following Must be True  
 /ISIN Must be present  
 Or /Description Must be present

#### 28.4.2.1.3.2.46 SettlementInformation <SttlmInf>

*Presence:* [0..\*]

*Definition:* Settlement of the securities in a securities transaction, that is, the instruction to deliver or receive securities, involving the payment of an amount of money or not.

**SettlementInformation <SttlmInf>** contains the following **SettlementInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1532
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1532
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1533
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1533
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1533
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1534

##### 28.4.2.1.3.2.46.1 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [0..1]

*Definition:* Choice between formats for the quantity of security.

**SecuritiesQuantityType <SctiesQtyTp>** contains one of the following **SettlementUnitType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1532
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1533

##### 28.4.2.1.3.2.46.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as an ISO 20022 code.

*Datatype:* "SettlementUnitType1Code" on page 2851



CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

#### 28.4.2.1.3.2.46.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.46.2 ContractSettlementMonth <CtrctSttlmMnth>

*Presence:* [0..1]

*Definition:* Specifies when the contract (i.e. MBS/TBA) will settle.

*Datatype:* "[ISOYearMonth](#)" on page 2867

#### 28.4.2.1.3.2.46.3 MinimumDenomination <MinDnmtn>

*Presence:* [0..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

**MinimumDenomination <MinDnmtn>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.46.4 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

**MinimumMultipleQuantity <MinMltplQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.46.5 DeviatingSettlementUnit <DevtgSttlmUnit>

*Presence:* [0..\*]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**DeviatingSettlementUnit <DevtgSttlmUnit>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.1.3.2.47 FinancialInstrumentForm <FinInstrmForm>

*Presence:* [0..1]

*Definition:* Indicates the form of the financial Instrument.

**FinancialInstrumentForm <FinInstrmForm>** contains the following **FinancialInstrumentForm2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1534
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1535
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1535
	<b>LegalForm</b> <LglForm>	[0..1]	±		1535

##### 28.4.2.1.3.2.47.1 BookingAppearance <BookgApprnc>

*Presence:* [0..1]

*Definition:* Indicates the booking appearance of the financial Instrument.

**BookingAppearance <BookgApprnc>** contains one of the following **Appearance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1535
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1535

#### 28.4.2.1.3.2.47.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Appearance expressed as an ISO 20022 code.

*Datatype:* "Appearance1Code" on page 2799

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

#### 28.4.2.1.3.2.47.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Appearance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.1.3.2.47.2 LegalForm <LglForm>

*Presence:* [0..1]

*Definition:* Specifies the form, ie, ownership, of the security.

**LegalForm <LglForm>** contains one of the following elements (see "FormOfSecurity8Choice" on page 2674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

#### 28.4.2.1.3.2.48 ContactName <CtctNm>

*Presence:* [0..1]

*Definition:* Details (name, e-mail address and/or telephone number) of the person requesting the allocation of the ISIN used as a point of reference in case further clarifications are required.

**ContactName <CtctNm>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1536
	<b>Identification &lt;Id&gt;</b>	[0..1]			1536
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1537
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1537
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1537
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1537
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1538
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1538
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1538
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1538
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1538
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1539
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1539

##### 28.4.2.1.3.2.48.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

##### 28.4.2.1.3.2.48.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1537
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1537

#### 28.4.2.1.3.2.48.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 28.4.2.1.3.2.48.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.1.3.2.48.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.1.3.2.48.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

---

#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.48.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.48.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.48.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.48.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.48.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.48.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.48.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.49 LeadManager <LeadMgr>

*Presence:* [0..1]

*Definition:* Entity appointed by the issuer to structure and lead the placement of a syndicated issue.

**LeadManager <LeadMgr>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1540
	<b>Identification</b> <Id>	[0..1]			1541
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1541
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541
	<b>Purpose</b> <Purp>	[0..1]	Text		1541
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1542
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1542
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1542
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1542
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1542
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1543
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1543

##### 28.4.2.1.3.2.49.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.3.2.49.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1541
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1541

##### 28.4.2.1.3.2.49.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.3.2.49.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.3.2.49.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.3.2.49.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.49.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.49.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.49.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.49.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.49.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.49.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.49.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.50 PrincipalPayingAgent <PrncplPngAgt>

*Presence:* [0..1]

*Definition:* Main party appointed to distribute payment or securities on behalf of the issuer.

**PrincipalPayingAgent <PrncplPngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1544
	<b>Identification</b> <Id>	[0..1]			1545
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1545
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545
	<b>Purpose</b> <Purp>	[0..1]	Text		1545
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1546
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1546
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1546
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1546
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1546
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1547
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1547

##### 28.4.2.1.3.2.50.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.3.2.50.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1545
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1545

##### 28.4.2.1.3.2.50.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.3.2.50.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.3.2.50.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.3.2.50.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.50.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.50.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.50.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.50.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.50.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.50.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.50.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.51 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Additional party appointed to distribute payment or securities on behalf of the issuer.

**PayingAgent <PngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1548
	<b>Identification</b> <Id>	[0..1]			1549
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1549
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549
	<b>Purpose</b> <Purp>	[0..1]	Text		1549
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1550
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1550
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1550
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1550
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1550
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1551
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1551

##### 28.4.2.1.3.2.51.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.3.2.51.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1549
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1549

##### 28.4.2.1.3.2.51.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.3.2.51.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.3.2.51.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.3.2.51.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.51.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.51.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.51.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.51.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.51.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.51.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.51.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.52 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* Entity appointed by the relevant clearing system as a depository for instruments issued in global or definitive form. The depository keeps the securities for safekeeping purposes on behalf of the clearing system(s).

**Depository <Dpstry>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1552
	<b>Identification</b> <Id>	[0..1]			1553
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1553
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553
	<b>Purpose</b> <Purp>	[0..1]	Text		1553
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1554
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1554
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1554
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1554
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1554
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1555
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1555

##### 28.4.2.1.3.2.52.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.1.3.2.52.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1553
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1553

##### 28.4.2.1.3.2.52.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.3.2.52.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.3.2.52.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.3.2.52.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.52.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.52.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.52.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.52.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.52.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.52.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.52.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.53 UnderlyingRisk <UndrlygRsk>

*Presence:* [0..1]

*Definition:* Entity(ies), asset(s) or security(ies) on which the credit responsibility lies as identified in the documentation.

**UnderlyingRisk <UndrlygRsk>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1556
	<b>Identification</b> <Id>	[0..1]			1557
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1557
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1557
	<b>Purpose</b> <Purp>	[0..1]	Text		1557
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1558
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1558
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1558
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1558
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1558
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1559
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1559

##### 28.4.2.1.3.2.53.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.1.3.2.53.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1557
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1557

##### 28.4.2.1.3.2.53.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.1.3.2.53.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.1.3.2.53.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.1.3.2.53.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.53.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.1.3.2.53.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.1.3.2.53.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.53.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.1.3.2.53.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.1.3.2.53.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.2.53.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see  
"CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.1.3.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C14 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on  
page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of  
ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 28.4.2.2 Replace <Rplc>

*Presence:* [1..1]

*Definition:* Request to replace all present data of a security.

Replace <Rplc> contains the following SecurityAttributes12 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..*]			1576
	<b>Equity</b> <Eqty>	[0..1]			1584
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1585
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1585
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1585
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1586
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1586
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1586
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1587
	<b>Warrant</b> <Warrt>	[0..1]			1587
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1588
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1588
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1589
	<b>Value</b> <Val>	[1..1]	±		1589
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1590
	<b>Type</b> <Tp>	[0..1]			1590
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1591
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1591
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1591
	<b>Name</b> <Nm>	[1..1]	Text		1592
	<b>Identification</b> <Id>	[0..1]			1592
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1592
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1593
	<b>Purpose</b> <Purp>	[0..1]	Text		1593
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1594
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1594
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1594
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1594
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1594

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1595
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1595
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1599
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1599
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1600
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1600
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1600
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1600
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1600
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1600
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1601
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1601
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1601
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1601
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1601
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1601
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1601
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1601
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1602
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1602
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1602
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1602
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1602
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1603
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1603
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1603
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1603
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1603
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1604
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1604

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	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1604
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1605
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1605
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1605
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1605
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1605
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1606
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1606
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1606
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1607
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1607
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1607
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1607
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1607
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1607
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1607
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1608
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltlyInd>	[0..1]	Indicator		1608
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1608
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1608
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1608
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1608
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1608
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1609
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1609
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1609
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1609
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	<b>ValueDate</b> <ValDt>	[1..1]	Date		1615
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1615
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1616
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1616
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	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1616
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	<b>GlobalType</b> <GblTp>	[0..1]			1618
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1619
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1619
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1619
	<b>YieldRange</b> <YldRg>	[0..1]	±		1619
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1620
	<b>Purpose</b> <Purp>	[0..1]	Text		1620
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1620
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1621
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1621
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1621
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1621
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1621
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1621
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1622



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Sector</b> <Sctr>	[0..1]	Text		1623
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1623
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1623
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1623
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1623
	<b>PriceRange</b> <PricRg>	[0..1]	±		1624
	<b>Derivative</b> <Deriv>	[0..1]			1624
	<b>Future</b> <Futr>	[0..1]			1628
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1630
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1630
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	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1632
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1632
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1633
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	<b>TimeUnit</b> <TmUnit>	[0..1]			1635
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1636
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1636
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1636
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
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	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1648
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648
	<b>Option</b> <Optn>	[0..1]			1648
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1652
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1652
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1652
	<b>StrikePrice</b> <StrkPric>	[0..1]			1652
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653

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	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1655
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1655
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1655
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1656
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1656
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1656
	<b>OptionType</b> <OptnTp>	[0..1]	±		1656
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1657
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1657
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1657
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	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1658
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1658
	<b>Standardisation</b> <Stdstn>	[0..1]			1658
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1658
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1659
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1660
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1660
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1672
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1672
	<b>SecurityStatus</b> <SctySts>	[0..1]			1681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1682
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1682
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1682
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1682
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1682

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1683
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1683
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1683
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1683
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1683
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1684
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1684
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1684
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1684
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1685
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1685
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1685
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1685
	<b>Purpose</b> <Purp>	[0..1]	Text		1685
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1685
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1686
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1686
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1686
	<b>Issuance</b> <Issnc>	[0..1]			1686
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1688
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1688
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1688
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1688
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1688
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1688
	<b>Name</b> <Nm>	[1..1]	Text		1689
	<b>Identification</b> <Id>	[0..1]			1689
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1690
	<b>Purpose</b> <Purp>	[0..1]	Text		1690

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1691
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1691
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1691
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1691
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1691
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1692
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1692
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1692
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1693
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1693
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1693
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1694
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1694
	<b>Identification</b> <Id>	[0..1]	Text		1694
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1694
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1695
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1695
	<b>RoundLot</b> <RndLot>	[0..1]	±		1695
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1696
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1696
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1696
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1696
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1696
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1697
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1697
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1697
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1698

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1698
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1698
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1698
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1699
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1700
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1700
	<b>Value</b> <Val>	[1..1]	±		1701
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1701
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1702
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1702
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1702
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1703
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1704
	<b>PutType</b> <PutTp>	[0..1]			1704
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1704
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1704
	<b>CallType</b> <CallTp>	[0..1]			1705
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1705
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1705
	<b>FungibleIndicator</b> <FngblInd>	[0..1]	Indicator		1705
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1706
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1706
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1706
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1706
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1707
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1707
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1707
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1707
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1708
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1708

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1708
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1709
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1709
	<b>Class</b> <Clss>	[0..1]	Text		1709
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1709
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1709
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1710
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1710
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1710
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1711
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1712
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1712
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1712
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1712
	<b>RedemptionType</b> <RedTp>	[0..1]			1713
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1713
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1714
	<b>Restriction</b> <Rstrctn>	[0..*]			1714
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1715
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1715
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1716
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1716
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1716
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1716
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1717
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1717



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1717
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>InvestorType</b> <InvstrTp>	[0..*]			1718
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1719
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1720
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1720
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1720
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1721
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1721
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1721
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1721
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1722
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1722
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1722
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1723
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1723
	<b>LegalForm</b> <LglForm>	[0..1]	±		1723
	<b>ContactName</b> <CtctNm>	[0..1]			1724
	<b>Name</b> <Nm>	[1..1]	Text		1724
	<b>Identification</b> <Id>	[0..1]			1724
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1725
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1725
	<b>Purpose</b> <Purp>	[0..1]	Text		1725
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1725
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1726
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1726
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1726
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1726
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1726

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1727
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1727
	<b>LeadManager</b> <LeadMgr>	[0..1]			1728
	<b>Name</b> <Nm>	[1..1]	Text		1728
	<b>Identification</b> <Id>	[0..1]			1729
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1729
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1729
	<b>Purpose</b> <Purp>	[0..1]	Text		1729
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1730
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1730
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1730
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1730
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1731
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1731
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1732
	<b>Name</b> <Nm>	[1..1]	Text		1732
	<b>Identification</b> <Id>	[0..1]			1733
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1733
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1733
	<b>Purpose</b> <Purp>	[0..1]	Text		1733
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1734
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1734
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1734
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1734
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1735
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1735

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PayingAgent</b> <PngAgt>	[0..1]			1736
	<b>Name</b> <Nm>	[1..1]	Text		1736
	<b>Identification</b> <Id>	[0..1]			1737
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1737
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1737
	<b>Purpose</b> <Purp>	[0..1]	Text		1737
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1738
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1738
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1738
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1738
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1739
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1739
	<b>Depository</b> <Dpstry>	[0..1]			1740
	<b>Name</b> <Nm>	[1..1]	Text		1740
	<b>Identification</b> <Id>	[0..1]			1741
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1741
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1741
	<b>Purpose</b> <Purp>	[0..1]	Text		1741
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1742
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1742
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1742
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1742
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1743
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1743
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1744
	<b>Name</b> <Nm>	[1..1]	Text		1744
	<b>Identification</b> <Id>	[0..1]			1745

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1745
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1745
	<b>Purpose</b> <Purp>	[0..1]	Text		1745
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1746
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1746
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1746
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1746
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1747
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1747
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	1748

#### 28.4.2.2.1 FinancialInstrumentType <FinInstrmTp>

*Presence:* [0..\*]

*Definition:* Provides additional details about the financial instrument.

**FinancialInstrumentType <FinInstrmTp>** contains the following **FinancialInstrument97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Equity</b> <Eqty>	[0..1]			1584
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1585
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1585
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1585
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1586
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1586
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1586
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1587
	<b>Warrant</b> <Warrt>	[0..1]			1587
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1588
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1588
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1589
	<b>Value</b> <Val>	[1..1]	±		1589
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1590
	<b>Type</b> <Tp>	[0..1]			1590
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1591
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1591
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1591
	<b>Name</b> <Nm>	[1..1]	Text		1592
	<b>Identification</b> <Id>	[0..1]			1592
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1592
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1593
	<b>Purpose</b> <Purp>	[0..1]	Text		1593
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1594
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1594
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1594
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1594
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1594

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1595
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1595
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1599
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1599
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1600
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1600
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1600
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1600
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1600
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1600
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1601
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1601
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1601
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1601
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1601
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1601
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1601
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1601
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1602
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1602
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1602
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1602
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1602
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1603
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1603
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1603
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1603
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1603
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1604
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1604
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1604

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1604
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1604
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1605
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1605
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1605
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1605
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1605
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1606
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1606
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1606
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1607
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1607
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1607
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1607
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1607
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1607
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1607
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1608
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1608
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1608
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1608
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1608
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1608
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1608
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1609
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1609
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1609
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1609
	<b>Value</b> <Val>	[1..1]	Rate		1610
	<b>CalculationType</b> <ClctnTp>	[0..1]			1610
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1610

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1613
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1613
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1613
	<b>Value</b> <Val>	[1..1]	±		1614
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1614
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1615
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1615
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1615
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1616
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1616
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1616
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1616
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1617
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1618
	<b>GlobalType</b> <GblTp>	[0..1]			1618
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1618
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1619
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1619
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1619
	<b>YieldRange</b> <YldRg>	[0..1]	±		1619
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1620
	<b>Purpose</b> <Purp>	[0..1]	Text		1620
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1620
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1621
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1621
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1621
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1621
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1621
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1621
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1622



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1622
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1622
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1622
	<b>Sector</b> <Sctr>	[0..1]	Text		1623
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1623
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1623
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1623
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1623
	<b>PriceRange</b> <PricRg>	[0..1]	±		1624
	<b>Derivative</b> <Deriv>	[0..1]			1624
	<b>Future</b> <Futr>	[0..1]			1628
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1630
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1630
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1630
	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1632
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1632
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1633
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1633
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1635
	<b>TimeUnit</b> <TmUnit>	[0..1]			1635
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1636
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1636
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1636
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1639
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1639
	<b>CashType</b> <CshTp>	[0..1]	Text		1640
	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1641
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1648
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648
	<b>Option</b> <Optn>	[0..1]			1648
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1652
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1652
	<b>ConversionDate</b> <ConvstDt>	[0..1]	DateTime		1652
	<b>StrikePrice</b> <StrkPric>	[0..1]			1652
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1654
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1654
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1655
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1655
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1655
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1655
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1656
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1656
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1656
	<b>OptionType</b> <OptnTp>	[0..1]	±		1656
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1657
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1657
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1657
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1657
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1657
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1658
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1658
	<b>Standardisation</b> <Stdstn>	[0..1]			1658
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1658
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1659
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1660
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1660
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1663
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1663
	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1672
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672

#### 28.4.2.2.1.1 Equity <Eqty>

*Presence:* [0..1]

*Definition:* Financial instrument which represents a title of ownership in a company, ie, the shareholder is entitled to a part of the company's profit - usually by payment of a dividend - and to voting rights, if any. Each company issues generally different classes of shares, eg, ordinary or common shares, which have no guaranteed amount of dividend but carry voting rights, or preferred shares, which receive dividends before ordinary shares but have no voting right.

**Equity <Eqty>** contains the following **Equity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1585
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1585
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1585
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1586
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1586
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1586
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1587

#### 28.4.2.2.1.1.1 PreferenceToIncome <PrefToIncm>

*Presence:* [1..1]

*Definition:* Indicates the level of priority to claim on income and assets of the company in case of the payment of dividends and in the event of a bankruptcy, for example, ordinary/common stocks, preferred stocks, subordinated debt, etc.

**PreferenceToIncome <PrefToIncm>** contains one of the following **PreferenceToIncome5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1585
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1585

##### 28.4.2.2.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Preference to income expressed as an ISO 20022 code.

*Datatype:* "PreferenceToIncome1Code" on page 2841

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

##### 28.4.2.2.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Preference to income expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.1.2 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security will no longer exist, for example, redeemable preference shares.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.2.1.1.3 NonPaidAmount <NonPdAmt>

*Presence:* [0..1]

*Definition:* Nominal amount which is not paid yet.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.1.4 ParValue <ParVal>

*Presence:* [0..1]

*Definition:* Nominal value of an equity security.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

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Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.1.1.5 VotingRightsPerShare <VtngRghtsPerShr>**

*Presence:* [0..1]

*Definition:* Number of voting rights per share.

*Datatype:* "Number" on page 2862

#### **28.4.2.2.1.2 Warrant <Warrt>**

*Presence:* [0..1]

*Definition:* Financial instrument that gives the holder the right to purchase shares or bonds at a given price within a specified time.

**Warrant <Warrt>** contains the following **Warrant4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1588
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1588
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1589
	<b>Value</b> <Val>	[1..1]	±		1589
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1590
	<b>Type</b> <Tp>	[0..1]			1590
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1591
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1591
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1591
	<b>Name</b> <Nm>	[1..1]	Text		1592
	<b>Identification</b> <Id>	[0..1]			1592
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1592
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1593
	<b>Purpose</b> <Purp>	[0..1]	Text		1593
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1593
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1594
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1594
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1594
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1594
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1594
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1595

#### 28.4.2.2.1.2.1 Multiplier <Mltplr>

*Presence:* [0..1]

*Definition:* Specifies the ratio or multiply factor used to convert from contracts to shares.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.2.1.2.2 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a warrant is entitled to buy the underlying instrument.



**SubscriptionPrice <SbcptPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1589
	<b>Value</b> <Val>	[1..1]	±		1589
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1590

#### 28.4.2.2.1.2.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.2.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.2.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.2.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates when a warrant can be exercised.

**Type <Tp>** contains one of the following **WarrantStyle3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1591
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1591

#### 28.4.2.2.1.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Warrant style expressed as an ISO 20022 code.

*Datatype:* "WarrantStyle1Code" on page 2857

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

#### 28.4.2.2.1.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Warrant style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.2.4 WarrantAgent <WarrtAgt>

*Presence:* [0..\*]

*Definition:* Entity appointed by the issuer to process the exercising of warrants, sometimes responsible for the issuance of the warrants into the market.

**WarrantAgent <WarrtAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1592
	<b>Identification &lt;Id&gt;</b>	[0..1]			1592
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1592
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1593
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1593
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1593
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1593
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1594
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1594
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1594
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1594
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1594
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1595

#### 28.4.2.2.1.2.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.2.1.2.4.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1592
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1593

#### 28.4.2.2.1.2.4.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.2.1.2.4.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.2.1.2.4.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.2.1.2.4.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.2.1.2.4.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.2.1.2.4.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

### 28.4.2.2.1.2.4.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.2.1.2.4.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

### 28.4.2.2.1.2.4.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

### 28.4.2.2.1.2.4.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.1.2.4.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.1.3 Debt <Debt>

*Presence:* [0..1]

*Definition:* Financial instruments evidencing moneys owed by the issuer to the holder on terms as specified.

*Impacted by:* C11 "NextCallableDateAndCallableIndicatorRule", C13 "PutableDateAndPutableIndicatorRule"

**Debt <Debt>** contains the following **Debt5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1599
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1599
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1600
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1600
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1600
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1600
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1600
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1600
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1601
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1601
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1601
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1601
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1601
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1601
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1601
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1601
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1602
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1602
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1602
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1602
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1602
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1603
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1603
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1603
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1603
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1603
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1604
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1604
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1604
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1604
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1604



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1605
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1605
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1605
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1605
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1605
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1606
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1606
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1606
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1607
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1607
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1607
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1607
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1607
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1607
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1607
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1608
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1608
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1608
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1608
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1608
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1608
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1608
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1609
	<b>InsuredIndicator</b> <InsrdInd>	[0..1]	Indicator		1609
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1609
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1609
	<b>Value</b> <Val>	[1..1]	Rate		1610
	<b>CalculationType</b> <ClctnTp>	[0..1]			1610
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1610
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1613
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1613

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1613
	<b>Value</b> <Val>	[1..1]	±		1614
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1614
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1615
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1615
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1615
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1616
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1616
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1616
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1616
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1617
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1618
	<b>GlobalType</b> <GblTp>	[0..1]			1618
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1618
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1619
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1619
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1619
	<b>YieldRange</b> <YldRg>	[0..1]	±		1619
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1620
	<b>Purpose</b> <Purp>	[0..1]	Text		1620
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1620
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1621
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1621
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1621
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1621
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1621
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1621
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1622
	<b>Production</b> <Pdctn>	[0..1]	Text		1622
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1622

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1622
	<b>Sector</b> <Sctr>	[0..1]	Text		1623
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1623
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1623
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1623
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1623
	<b>PriceRange</b> <PricRg>	[0..1]	±		1624

#### Constraints

- **NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCaldate must be present.

- **PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

#### 28.4.2.2.1.3.1 PaymentCurrency <PmtCcy>

*Presence:* [0..1]

*Definition:* Currency of the payment.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.2.1.3.2 FaceAmount <FaceAmt>

*Presence:* [0..1]

*Definition:* Unit value of a debt security.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.3.3 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see "[Frequency35Choice](#)" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.2.1.3.4 InterestFixingDate <IntrstFxdt>

*Presence:* [0..1]

*Definition:* Date/time at which the rate determination is made, also called determination date.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.2.1.3.5 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* First date/time at which a security begins to accrue interest.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.2.1.3.6 FirstPaymentDate <FrstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the first interest payment is due to holders of the security.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.2.1.3.7 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 28.4.2.2.1.3.8 NextCouponDate <NxtCpnDt>

*Presence:* [0..1]

*Definition:* Date of the coupon attached to the physical security.

*Datatype:* "[ISODatetime](#)" on page 2858

---

#### **28.4.2.2.1.3.9 PutableDate <PutblDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.2.1.3.10 NextCallableDate <NxtClblDt>**

*Presence:* [0..1]

*Definition:* Next date/time at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.2.1.3.11 NextFactorDate <NxtFctrDt>**

*Presence:* [0..1]

*Definition:* The date that the current factor will be changed to a new factor.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.2.1.3.12 ExpirationDate <XprtnDt>**

*Presence:* [0..1]

*Definition:* Date/time at which an interest bearing security becomes due and assets are to be repaid.

*Datatype:* "ISODatetime" on page 2858

#### **28.4.2.2.1.3.13 PaymentDirectionIndicator <PmtDrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates the direction of payment for asset or mortgage backed securities, ie, whether the repaid capital is distributed (payment direction is down) or capitalized (payment direction is up).

*Datatype:* One of the following values must be used (see "PaymentDirectionIndicator" on page 2861):

- *Meaning When True:* Up
- *Meaning When False:* Down

#### **28.4.2.2.1.3.14 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.15 NextInterestRate <NxtIntrstRate>**

*Presence:* [0..1]

*Definition:* Specifies the interest rate applicable to the next interest payment period.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.16 OddCouponIndicator <OddCpnInd>**

*Presence:* [0..1]

*Definition:* Specifies whether the payment of the coupon (interest) on a bond is off the normal schedule.

---

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.17 CallableIndicator <ClblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.18 CPPProgram <CPPrgm>**

*Presence:* [0..1]

*Definition:* The program under which a commercial paper is issued. The values that are most used are:

0 = N/A

1 = 3(a)3

2 = 4(2)

3 = 3(a)4

4 = 3(c)7

5 = 144A

6 = 3(a)2

99 = Other.

*Datatype:* ["Number" on page 2862](#)

#### **28.4.2.2.1.3.19 CPRegistrationType <CPRegnTp>**

*Presence:* [0..1]

*Definition:* Registration type of a commercial paper issuance.

*Datatype:* ["Max350Text" on page 2865](#)

#### **28.4.2.2.1.3.20 InterestAccrualDate <IntrstAcrlDt>**

*Presence:* [0..1]

*Definition:* Start date used for calculating accrued interest on debt instruments which are being sold between interest payment dates. Often but not always the same as the issue date and the dated date.

*Datatype:* ["ISODateTime" on page 2858](#)

#### **28.4.2.2.1.3.21 PutableIndicator <PutblInd>**

*Presence:* [0..1]

---

*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.22 PreFundedIndicator <PreFnddInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is being escrowed or collateralized either by direct obligations guaranteed by the US government, or by other types of securities. The maturity schedules of the securities in the escrow fund are determined in such a way to pay the maturity value, coupon, and premium payments (if any) of the refunded bonds.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.23 EscrowedIndicator <EscrwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is deposited in a fund that will be used to pay debt service on refunded securities.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.24 PerpetualIndicator <PerptlInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security has no maturity date.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.25 SubordinatedIndicator <SubrdntdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is a subordinated security.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.26 ExtendibleIndicator <XtndblInd>**

*Presence:* [0..1]

**Definition:** Indicates whether the security is extendible, eg, repayment may be extended or maturity changed.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.1.3.27 ExtendiblePeriod <XtndblPrd>

**Presence:** [0..1]

**Definition:** Period during which a date might be extended.

**ExtendiblePeriod <XtndblPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1604
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1604
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1604

##### 28.4.2.2.1.3.27.1 FromDateTime <FrDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 28.4.2.2.1.3.27.2 ToDateTime <ToDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 28.4.2.2.1.3.27.3 DateTimeRange <DtTmRg>

**Presence:** [1..1]

**Definition:** Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.2.1.3.28 VariableRateIndicator <VarblRateInd>

**Presence:** [0..1]

**Definition:** Indicates whether the interest rate of an interest bearing instrument is reset periodically.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):



- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.29 OverAllotmentAmount <OverAlltmtAmt>**

*Presence:* [0..1]

*Definition:* Amount for which a security can be overallocated (as in greenshoe option).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.1.3.30 OverAllotmentRate <OverAlltmtRate>**

*Presence:* [0..1]

*Definition:* Percentage for which a security can be overallocated (as in greenshoe option).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.31 AmortisableIndicator <Amtsblnd>**

*Presence:* [0..1]

*Definition:* Indicates whether repayment is made via regular principal and interest payments over time.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.32 InterestCalculationMethod <IntrstClctnMtd>**

*Presence:* [0..1]

*Definition:* Calculation method used to calculate interest and/or yield for a bond, for example, street convention.

*Datatype:* "Max70Text" on page 2867

#### **28.4.2.2.1.3.33 CapitalisedInterest <CptlsdIntrst>**

*Presence:* [0..1]

*Definition:* Specifies whether the interest amount is capitalised until maturity date or paid out at each interest payment date.

**CapitalisedInterest <CptIsdIntrst>** contains one of the following **DistributionPolicy2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1606
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1606

#### 28.4.2.2.1.3.33.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as an ISO 20022 code.

*Datatype:* "DistributionPolicy1Code" on page 2813

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

#### 28.4.2.2.1.3.33.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.3.34 ActualDenominationAmount <ActlDnmtnAmt>

*Presence:* [0..\*]

*Definition:* Nominal value per security unit.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.1.3.35 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Identifies the current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.36 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Identifies the new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.37 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Identifies the previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.38 Pieces <Pcs>**

*Presence:* [0..1]

*Definition:* Number of pieces composing a pool of financial assets.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.39 PoolsMaximum <PlsMax>**

*Presence:* [0..1]

*Definition:* Collection of assets by which a security is backed.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.40 PoolsPerMillion <PlsPerMln>**

*Presence:* [0..1]

*Definition:* Indicates per million the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.41 PoolsPerLot <PlsPerLot>**

*Presence:* [0..1]

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*Definition:* Indicates per lot the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.42 PoolsPerTrade <PlsPerTrad>**

*Presence:* [0..1]

*Definition:* Indicates per trade the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.43 ConstantPrePaymentPenaltyIndicator <CstPrePmtPnltyInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a penalty might be imposed to the borrower of a mortgage in case of prepayments occurring during the lockout period.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.44 LotIdentification <LotId>**

*Presence:* [0..1]

*Definition:* Identifies the lot constituting an asset backed or mortgage backed security issue.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.1.3.45 ConstantPrePaymentYield <CstPrePmtYld>**

*Presence:* [0..1]

*Definition:* Measure of prepayment as a yield of the current outstanding loan balance.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.46 WeightedAverageCoupon <WghtdAvrgCpn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average coupon of the fixed income instrument (expressed as a percentage).

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.3.47 WeightedAverageLife <WghtdAvrgLife>**

*Presence:* [0..1]

*Definition:* Contains the weighted average life of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.48 WeightedAverageLoan <WghtdAvrgLn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average loan of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

---

#### **28.4.2.2.1.3.49 WeightedAverageMaturity <WghtdAvrgMtrty>**

*Presence:* [0..1]

*Definition:* Contains the weighted average maturity of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.3.50 InsuredIndicator <InsrldInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the instrument is backed by any kind of asset or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.51 BankQualifiedIndicator <BkQlfdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is bank qualified (usually applies to loan products).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **28.4.2.2.1.3.52 YieldCalculation <YldClctn>**

*Presence:* [0..\*]

*Definition:* Rate of return on an investment, based on the price.

**YieldCalculation <YldClctn>** contains the following **YieldCalculation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Rate		1610
	<b>CalculationType</b> <ClctnTp>	[0..1]			1610
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1610
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1613
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1613
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1613
	<b>Value</b> <Val>	[1..1]	±		1614
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1614
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1615
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1615
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1615
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1616
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1616

#### 28.4.2.2.1.3.52.1 Value <Val>

*Presence:* [1..1]

*Definition:* Result of the yield calculation.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.2.1.3.52.2 CalculationType <ClctnTp>

*Presence:* [0..1]

*Definition:* Specifies the type of calculation.

**CalculationType <ClctnTp>** contains one of the following **CalculationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1610
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1613

#### 28.4.2.2.1.3.52.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Calculation type expressed as an ISO 20022 code.

*Datatype:* "CalculationType1Code" on page 2801

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CMPD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.

CodeName	Name	Definition
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.



CodeName	Name	Definition
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

#### 28.4.2.2.1.3.52.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Calculation type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.3.52.3 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price to which the yield has been calculated.

**RedemptionPrice <RedPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1613
	<b>Value</b> <Val>	[1..1]	±		1614
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1614

##### 28.4.2.2.1.3.52.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "[PriceValueType3Code](#)" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.3.52.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.3.52.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.3.52.4 ValueDate <ValDt>

*Presence:* [1..1]

*Definition:* Date/time on which the calculation is based, for example, valuation on October 1 (price date) based on price of September 19 (value date).

*Datatype:* "ISODate" on page 2858

#### 28.4.2.2.1.3.52.5 ValuePeriod <ValPrd>

*Presence:* [1..1]

*Definition:* Period on which the calculation is based.

**ValuePeriod <ValPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		1615
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		1616
Or}	<b>DateTimeRange &lt;DtTmRg&gt;</b>	[1..1]	±		1616

#### 28.4.2.2.1.3.52.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.2.1.3.52.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* ["ISODatetime" on page 2858](#)

#### 28.4.2.2.1.3.52.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.2.1.3.52.6 CalculationDate <ClctnDt>

*Presence:* [1..1]

*Definition:* Clarifies yield irregularities associated with date, for example when it falls on a non-business day.

*Datatype:* ["ISODatetime" on page 2858](#)

#### 28.4.2.2.1.3.53 InterestType <IntrstTp>

*Presence:* [0..1]

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Datatype:* ["InterestType3Code" on page 2830](#)

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

#### 28.4.2.2.1.3.54 InstrumentStructureType <InstrmStrTp>

*Presence:* [0..1]

*Definition:* Indicates the type of deal for structured finance.

**InstrumentStructureType <InstrmStrTp>** contains one of the following **InstrumentSubStructureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1617
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1618

#### 28.4.2.2.1.3.54.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as an ISO 20022 code.

*Datatype:* "InstrumentSubStructureType1Code" on page 2826

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.

CodeName	Name	Definition
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

#### 28.4.2.2.1.3.54.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.3.55 GlobalType <GblTp>

*Presence:* [0..1]

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

New Global Note (NGN): Form of global certificate which refers to the books and records of the ICSDs to determine the issue outstanding amount (IOA).

Classical Global Note (CGN): Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the issue outstanding amount (IOA).

**GlobalType <GblTp>** contains one of the following **GlobalNote2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1618
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1619

#### 28.4.2.2.1.3.55.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Global note expressed as an ISO 20022 code.

*Datatype:* "[GlobalNote1Code](#)" on page 2825

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

#### 28.4.2.2.1.3.55.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Global note expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.3.56 PotentialEuroSystemEligibility <PotntlEuroSysElgblty>

*Presence:* [0..1]

*Definition:* Flag to indicate the security is intended to be held in a manner that could allow the Eurosystem eligibility.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.1.3.57 Geographics <Geogcs>

*Presence:* [0..1]

*Definition:* Type of stipulation expressing geographical constraints on a fixed income instrument. It is expressed with a state or country abbreviation and a minimum or maximum percentage. Example: CA 0-80 (minimum of 80 percent in Californian assets).

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.2.1.3.58 YieldRange <YldRg>

*Presence:* [0..1]

*Definition:* Range of allowed yield.

**YieldRange <YldRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.2.1.3.59 CouponRange <CpnRg>

*Presence:* [0..1]

*Definition:* Identification of a range of coupon numbers attached to its related financial instrument.

**CouponRange <CpnRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.2.1.3.60 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.2.1.3.61 AlternativeMinimumTaxIndicator <AltrntvMinTaxInd>

*Presence:* [0..1]

*Definition:* Identifies whether the issue is subject to alternative minimum taxation (used for municipal bonds).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No



#### 28.4.2.2.1.3.62 AutoReinvestment <AutoRinvstmt>

*Presence:* [0..1]

*Definition:* Indicates an instruction to reinvest dividends in the underlying security (or proceeds at maturity in a similar instrument) if the current rate is <rate> or better.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.2.1.3.63 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.2.1.3.64 TransactionConditions <TxConds>

*Presence:* [0..1]

*Definition:* Indicates the conditions under which the order/trade is to be/was executed.

**TransactionConditions <TxConds>** contains one of the following elements (see "TradeTransactionCondition7Choice" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 28.4.2.2.1.3.65 LookBack <LookBck>

*Presence:* [0..1]

*Definition:* Indicates an instruction or attribute giving the number of days to be included in the look-back period for the investment. For example some options allow exercise based on the underlying asset's optimal value over the look-back period.

*Datatype:* "Number" on page 2862

#### 28.4.2.2.1.3.66 MaximumSubstitution <MaxSbstitn>

*Presence:* [0..1]

*Definition:* Maximum number of time the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.2.1.3.67 MinimumIncrement <MinIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable increments of a security.

**MinimumIncrement <MinIncrmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.1.3.68 MinimumQuantity <MinQty>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable quantity of a security.

**MinimumQuantity <MinQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.1.3.69 Production <Pdctn>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond, particularly an mortgage back security (MBS), issued in a particular year.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.1.3.70 RestrictedIndicator <RstrctdInd>

*Presence:* [0..1]

*Definition:* Identifies if the securities is restricted or not (as per Rule 144).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.1.3.71 PriceFrequency <PricFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the frequency at which the bond is re-rated and therefore re-priced (bond attribute, particularly of floating rate and index linked instruments).

**PriceFrequency <PricFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.2.1.3.72 Sector <Sctr>

*Presence:* [0..1]

*Definition:* Indicates the market sector the security is classified as for example pharmaceuticals, automobile, housing, etc.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.1.3.73 SubstitutionFrequency <SbstitnFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the maximum number of times collateral can be substituted.

**SubstitutionFrequency <SbstitnFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 28.4.2.2.1.3.74 SubstitutionLeft <SbstitnLft>

*Presence:* [0..1]

*Definition:* Number of remaining times the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 28.4.2.2.1.3.75 WholePoolIndicator <WhlPoolInd>

*Presence:* [0..1]

*Definition:* Indicates a search criterion when looking to buy a mortgaged backed security that either is [yes] or is not [no] an entire pool.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.1.3.76 PriceSource <PricSrc>

*Presence:* [0..1]

*Definition:* Identifies the Benchmark source price (eg. BB Generic, BB Fairvalue, Brokertec.).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.1.3.77 PriceRange <PricRg>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond within a particular price range.

**PriceRange <PricRg>** contains the following elements (see "[AmountOrPercentageRange1](#)" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Optr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 28.4.2.2.1.4 Derivative <Deriv>

*Presence:* [0..1]

*Definition:* Choice between type of derivatives.

**Derivative <Deriv>** contains the following **Derivative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Future</b> <Futr>	[0..1]			1628
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1630
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1630
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1630
	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1632
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1632
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1633
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1633
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1635
	<b>TimeUnit</b> <TmUnit>	[0..1]			1635
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1636
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1636
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1636
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1639
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1639
	<b>CashType</b> <CshTp>	[0..1]	Text		1640
	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1641
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1648
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648
	<b>Option</b> <Optn>	[0..1]			1648
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1652
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1652
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1652
	<b>StrikePrice</b> <StrkPric>	[0..1]			1652
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1654
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1654
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1655
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1655
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1655
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1655
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1656
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1656
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1656
	<b>OptionType</b> <OptnTp>	[0..1]	±		1656

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1657
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1657
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1657
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1657
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1657
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1658
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1658
	<b>Standardisation</b> <Stdstn>	[0..1]			1658
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1658
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1659
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1660
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1660
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1663
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1663
	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1672
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672

#### 28.4.2.2.1.4.1 Future <Futr>

*Presence:* [0..1]

*Definition:* Parameters for contracts which obligate the buyer to receive and the seller to deliver in the future the assets specified at an agreed price.



**Future <Futr>** contains the following **Future4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1630
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1630
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1630
	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1632
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1632
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1633
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1633
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1635
	<b>TimeUnit</b> <TmUnit>	[0..1]			1635
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1636
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1636
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1636
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1639
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1639
	<b>CashType</b> <CshTp>	[0..1]	Text		1640
	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1641
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1648
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648

#### 28.4.2.2.1.4.1.1 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "BaseOneRate" on page 2862

#### 28.4.2.2.1.4.1.2 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a Future will have to buy or sell the underlying instrument.

**ExercisePrice <ExrcPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1630
	<b>Value</b> <Val>	[1..1]	±		1631
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1631

#### 28.4.2.2.1.4.1.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.1.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.1.3 FutureDate <FutrDt>

*Presence:* [0..1]

*Definition:* Date on which future contracts settle.

*Datatype:* "ISODatetime" on page 2858

#### 28.4.2.2.1.4.1.4 MinimumSize <MinSz>

*Presence:* [0..1]

*Definition:* Specifies the minimum ratio or multiply factor used to convert from contracts to shares.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.1.5 UnitOfMeasure <UnitOfMeasr>

*Presence:* [0..1]

*Definition:* Used to indicate the measurement unit of the underlying commodity on which the contract is based (for example, 2500 lbs of lean cattle, 1000 barrels of crude oil, 1000 bushels of corn, etc.).

**UnitOfMeasure <UnitOfMeasr>** contains one of the following **UnitOfMeasure7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1633
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1635

##### 28.4.2.2.1.4.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as an ISO 20022 code.

*Datatype:* "UnitOfMeasure9Code" on page 2855

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.

CodeName	Name	Definition
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuldOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.

CodeName	Name	Definition
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

#### 28.4.2.2.1.4.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.1.6 TimeUnit <TmUnit>

*Presence:* [0..1]

*Definition:* Used to indicate a time unit for the contract (for example days, weeks, months, etc.).

**TimeUnit <TmUnit>** contains one of the following **TimeUnit3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1636
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1636

#### 28.4.2.2.1.4.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Time unit expressed as an ISO 20022 code.

*Datatype:* "TimeUnit1Code" on page 2853

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

#### 28.4.2.2.1.4.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Time unit expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.1.7 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.



**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1638
	<b>Quantity</b> <Qty>	[0..1]			1638
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638
	<b>SettlementType</b> <SttlmTp>	[0..1]			1638
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1639
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1639
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1639
	<b>CashType</b> <CshTp>	[0..1]	Text		1640
	<b>Price</b> <Pric>	[0..1]			1640
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1641
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1642
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643
	<b>EndPrice</b> <EndPric>	[0..1]			1644
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1646
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1646
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1647
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1647
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1648
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1648

#### 28.4.2.2.1.4.1.7.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.2.1.4.1.7.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1638
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1638

##### 28.4.2.2.1.4.1.7.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 28.4.2.2.1.4.1.7.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.1.7.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1639
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1639

#### 28.4.2.2.1.4.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.2.1.4.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.1.7.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.1.7.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.1.4.1.7.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1640
	<b>Value</b> <Val>	[1..1]	±		1641
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1641

##### 28.4.2.2.1.4.1.7.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.1.7.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.1.7.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.1.7.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1642
	<b>Value</b> <Val>	[1..1]	±		1643
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1643

#### 28.4.2.2.1.4.1.7.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.1.7.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.1.7.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.1.7.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1644
	<b>Value</b> <Val>	[1..1]	±		1645
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1645

#### 28.4.2.2.1.4.1.7.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.



CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.1.7.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.1.7.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.1.7.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.1.7.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.1.7.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.1.7.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1647
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1648

#### 28.4.2.2.1.4.1.7.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.4.1.7.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.1.4.1.7.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.4.1.7.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.1.4.2 Option <Optn>**

*Presence:* [0..1]

*Definition:* Contracts which grant to the holder either the privilege to purchase or the privilege to sell the assets specified at a predetermined price or formula at or within a time in the future.

**Option <Optn>** contains the following **Option15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1652
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1652
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1652
	<b>StrikePrice</b> <StrkPric>	[0..1]			1652
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1654
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1654
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1655
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1655
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1655
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1655
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1656
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1656
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1656
	<b>OptionType</b> <OptnTp>	[0..1]	±		1656
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1657
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1657
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1657
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1657
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1657
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1658
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1658
	<b>Standardisation</b> <Stdstrn>	[0..1]			1658
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1658
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1659
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1660

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1660
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1663
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1663
	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1672
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672

#### 28.4.2.2.1.4.2.1 OptionSettlementStyle <OptnSttlmStyle>

*Presence:* [0..1]

*Definition:* Specifies whether the option contract settles at the open or close of the market.

**OptionSettlementStyle <OptnSttlmStyle>** contains one of the following **SettleStyle2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1652

##### 28.4.2.2.1.4.2.1.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Settle style expressed as an ISO 20022 code.

*Datatype:* "SettleStyle1Code" on page 2851

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

##### 28.4.2.2.1.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settle style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.2.1.4.2.2 ConversionDate <ConvsDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted according to the terms of the issue.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.2.1.4.2.3 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Amount of money for which goods, services or assets are offered, sold, or bought.



**StrikePrice <StrkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1653
	<b>Value</b> <Val>	[1..1]	±		1653
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1654

#### 28.4.2.2.1.4.2.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.2.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.2.4 MinimumExercisableQuantity <MinExrcblQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that must be exercised.

**MinimumExercisableQuantity <MinExrcblQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.1.4.2.5 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		1655
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		1655
Or}	DateTimeRange <DtTmRg>	[1..1]	±		1655

##### 28.4.2.2.1.4.2.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.2.1.4.2.5.2.ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

##### 28.4.2.2.1.4.2.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.2.1.4.2.6 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following **OptionStyle1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1656
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1656

##### 28.4.2.2.1.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style is defined using a code.

*Datatype:* "OptionStyle1Code" on page 2835

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

##### 28.4.2.2.1.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style is defined using a data source scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 28.4.2.2.1.4.2.7 OptionType <OptnTp>

*Presence:* [0..1]

**Definition:** Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see "OptionType8Choice" on page 2684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 28.4.2.2.1.4.2.8 StrikeValue <StrkVal>

**Presence:** [0..1]

**Definition:** Used for derivatives. The number of shares/units for the financial instrument involved in the option trade.

**Datatype:** "Number" on page 2862

#### 28.4.2.2.1.4.2.9 StrikeMultiplier <StrkMltplr>

**Presence:** [0..1]

**Definition:** Used for derivatives. Multiplier applied to the strike price for the purpose of calculating the settlement value.

**Datatype:** "Number" on page 2862

#### 28.4.2.2.1.4.2.10 InstrumentAssignmentMethod <InstrmAssgnmtMtd>

**Presence:** [0..1]

**Definition:** Method under which assignment was conducted.

**InstrumentAssignmentMethod <InstrmAssgnmtMtd>** contains one of the following **AssignmentMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1657
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1657

##### 28.4.2.2.1.4.2.10.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Assignment method expressed as an ISO 20022 code.

**Datatype:** "AssignmentMethod1Code" on page 2799

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

##### 28.4.2.2.1.4.2.10.2 Proprietary <Prtry>

**Presence:** [1..1]

*Definition:* Assignment method expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.2.11 VersionNumber <VrsnNb>

*Presence:* [0..1]

*Definition:* Number allocated by options exchanges to record that an option has undergone a change in its contract specifications (particularly adjustment of the strike price).

*Datatype:* "Number" on page 2862

#### 28.4.2.2.1.4.2.12 ExpiryLocation <XpryLctn>

*Presence:* [0..1]

*Definition:* Financial center where option expires.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 28.4.2.2.1.4.2.13 Standardisation <Stdstn>

*Presence:* [0..1]

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

**Standardisation <Stdstn>** contains one of the following **Standardisation3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1658
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659

#### 28.4.2.2.1.4.2.13.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Standardisation expressed as an ISO 20022 code.

*Datatype:* "Standardisation1Code" on page 2851

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying

CodeName	Name	Definition
		instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

#### 28.4.2.2.1.4.2.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Standardisation expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.2.14 TradingPartyRole <TradgPtyRole>

*Presence:* [0..1]

*Definition:* Specifies the party which is the buyer or the seller.

**TradingPartyRole <TradgPtyRole>** contains one of the following **OptionParty3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1659
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1659

##### 28.4.2.2.1.4.2.14.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Option party expressed as an ISO 20022 code.

*Datatype:* "[OptionParty1Code](#)" on page 2835

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

##### 28.4.2.2.1.4.2.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option party expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.2.15 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "[BaseOneRate](#)" on page 2862

#### 28.4.2.2.1.4.2.16 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.



**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1662
	<b>Quantity</b> <Qty>	[0..1]			1662
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662
	<b>SettlementType</b> <SttlmTp>	[0..1]			1662
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1663
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1663
	<b>CashType</b> <CshTp>	[0..1]	Text		1664
	<b>Price</b> <Pric>	[0..1]			1664
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1666
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667
	<b>EndPrice</b> <EndPric>	[0..1]			1668
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1670
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1670
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1671
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1671
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1672
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1672

#### 28.4.2.2.1.4.2.16.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

#### 28.4.2.2.1.4.2.16.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1662
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1662

#### 28.4.2.2.1.4.2.16.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.2.1.4.2.16.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.2.16.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.

**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1663
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1663

#### 28.4.2.2.1.4.2.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 28.4.2.2.1.4.2.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.1.4.2.16.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.2.16.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.1.4.2.16.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1664
	<b>Value</b> <Val>	[1..1]	±		1665
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1665

##### 28.4.2.2.1.4.2.16.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.2.16.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.2.16.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.2.16.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1666
	<b>Value</b> <Val>	[1..1]	±		1667
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1667

#### 28.4.2.2.1.4.2.16.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.2.16.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.2.16.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.2.16.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1668
	<b>Value</b> <Val>	[1..1]	±		1669
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1669

#### 28.4.2.2.1.4.2.16.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.



CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.1.4.2.16.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.1.4.2.16.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "[TypeOfPrice1Code](#)" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.1.4.2.16.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.2.16.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.2.16.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.1.4.2.16.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1671
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1672

#### 28.4.2.2.1.4.2.16.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **28.4.2.2.1.4.2.16.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.1.4.2.16.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **28.4.2.2.1.4.2.16.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **28.4.2.2.2 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..\*]

*Definition:* Provides details about the financial instrument attributes of a particular leg.

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following  
**CommonFinancialInstrumentAttributes12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityStatus</b> <SctySts>	[0..1]			1681
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1682
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[0..1]	Text		1682
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[0..1]	Text		1682
	<b>NameValidFrom</b> <NmVldFr>	[0..1]	±		1682
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1682
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1683
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1683
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1683
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1683
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1683
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1683
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1684
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1684
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1684
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1684
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1685
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1685
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1685
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1685
	<b>Purpose</b> <Purp>	[0..1]	Text		1685
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1685
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1686
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1686
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1686
	<b>Issuance</b> <Issnc>	[0..1]			1686
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1688
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1688

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssueDate</b> <IssDt>	[0..1]	Date		1688
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1688
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1688
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1688
	<b>Name</b> <Nm>	[1..1]	Text		1689
	<b>Identification</b> <Id>	[0..1]			1689
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1690
	<b>Purpose</b> <Purp>	[0..1]	Text		1690
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1691
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1691
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1691
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1691
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1691
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1692
	<b>IssueNominalAmount</b> <IssNmnlAmt>	[0..1]	±		1692
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1692
	<b>IssueSize</b> <IssSz>	[0..1]	Quantity		1693
	<b>IssuePrice</b> <IssPric>	[0..1]	±		1693
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1693
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1694
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1694
	<b>Identification</b> <Id>	[0..1]	Text		1694
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1694
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1695
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1695
	<b>RoundLot</b> <RndLot>	[0..1]	±		1695
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1696

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1696
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1696
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1696
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1696
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1697
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1697
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1697
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1698
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1698
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1698
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1698
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1699
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1700
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1700
	<b>Value</b> <Val>	[1..1]	±		1701
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1701
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1702
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1702
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1702
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1703
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1704
	<b>PutType</b> <PutTp>	[0..1]			1704
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1704
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1704
	<b>CallType</b> <CallTp>	[0..1]			1705
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1705
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1705
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1705
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1706



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1706
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1706
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1706
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1707
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1707
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1707
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1707
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1708
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1708
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1708
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1709
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1709
	<b>Class</b> <Clss>	[0..1]	Text		1709
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1709
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1709
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1710
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1710
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1710
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1711
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1712
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1712
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1712
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1712
	<b>RedemptionType</b> <RedTp>	[0..1]			1713
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1713
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1714

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Restriction</b> <Rstrctn>	[0..*]			1714
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1715
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1715
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1716
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1716
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1716
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1716
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1717
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1717
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>InvestorType</b> <InvstrTp>	[0..*]			1718
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1719
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1720
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1720
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1720
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1721
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1721
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1721
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1721
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1722
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			1722
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1722
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1723
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1723
	<b>LegalForm</b> <LglForm>	[0..1]	±		1723
	<b>ContactName</b> <CtctNm>	[0..1]			1724
	<b>Name</b> <Nm>	[1..1]	Text		1724
	<b>Identification</b> <Id>	[0..1]			1724

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1725
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1725
	<b>Purpose</b> <Purp>	[0..1]	Text		1725
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1725
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1726
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1726
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1726
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1726
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1726
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1727
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1727
	<b>LeadManager</b> <LeadMgr>	[0..1]			1728
	<b>Name</b> <Nm>	[1..1]	Text		1728
	<b>Identification</b> <Id>	[0..1]			1729
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1729
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1729
	<b>Purpose</b> <Purp>	[0..1]	Text		1729
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1730
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1730
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1730
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1730
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1731
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1731
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			1732
	<b>Name</b> <Nm>	[1..1]	Text		1732
	<b>Identification</b> <Id>	[0..1]			1733
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1733
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1733
	<b>Purpose</b> <Purp>	[0..1]	Text		1733

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1734
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1734
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1734
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1734
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1735
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1735
	<b>PayingAgent</b> <PngAgt>	[0..1]			1736
	<b>Name</b> <Nm>	[1..1]	Text		1736
	<b>Identification</b> <Id>	[0..1]			1737
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1737
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1737
	<b>Purpose</b> <Purp>	[0..1]	Text		1737
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1738
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1738
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1738
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1738
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1739
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1739
	<b>Depository</b> <Dpstry>	[0..1]			1740
	<b>Name</b> <Nm>	[1..1]	Text		1740
	<b>Identification</b> <Id>	[0..1]			1741
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1741
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1741
	<b>Purpose</b> <Purp>	[0..1]	Text		1741
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1742

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1742
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1742
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1742
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1743
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1743
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			1744
	<b>Name</b> <Nm>	[1..1]	Text		1744
	<b>Identification</b> <Id>	[0..1]			1745
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1745
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1745
	<b>Purpose</b> <Purp>	[0..1]	Text		1745
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1746
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1746
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1746
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1746
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1747
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1747

#### 28.4.2.2.2.1 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus** <SctySts> contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1682

##### 28.4.2.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

#### 28.4.2.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.2 ISOSecurityLongName <ISOSctyLngNm>

*Presence:* [0..1]

*Definition:* Name of the security.

*Datatype:* "[Max350Text](#)" on page 2865

#### 28.4.2.2.2.3 ISOSecurityShortName <ISOSctyShrtNm>

*Presence:* [0..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* "[Max35Text](#)" on page 2865

#### 28.4.2.2.2.4 NameValidFrom <NmVldFr>

*Presence:* [0..1]

*Definition:* Defines the date since when the name of the security is valid.

**NameValidFrom <NmVldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 28.4.2.2.2.5 DenominationCurrency <DnmtnCcy>

*Presence:* [0..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)

---

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

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#### **Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### **28.4.2.2.2.6 CertificateNumber <CertNb>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier of a certificate assigned by the issuer.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.7 ContractVersionNumber <CtrctVrsnNb>**

*Presence:* [0..1]

*Definition:* Version number assigned to the contract associated with the security.

*Datatype:* "Number" on page 2862

#### **28.4.2.2.2.8 CouponAttachedNumber <CpnAttchdNb>**

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

*Datatype:* "Max3NumericText" on page 2865

#### **28.4.2.2.2.9 TaxLotNumber <TaxLotNb>**

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.2.2.10 PoolNumber <PoolNb>**

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

*Datatype:* "Max15NumericText" on page 2864

#### **28.4.2.2.2.11 CoveredIndicator <CvrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the derivative product is covered or not by an underlying financial instrument position.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.2.12 LegalRestrictions <LgIRstrctns>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictions <LgIRstrctns>** contains one of the following **LegalRestrictions4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1684
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1684

##### 28.4.2.2.2.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions1Code" on page 2831

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

##### 28.4.2.2.2.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.2.2.13 PositionLimit <PosLmt>

*Presence:* [0..1]

*Definition:* Position limits are created for the purpose of maintaining stable and fair markets. Contracts held by one individual investor with different brokers may be combined in order to gauge accurately the level of control held by one party.

Each option and futures contract will have varying position limits.



**PositionLimit <PosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.14 NearTermPositionLimit <NearTermPosLmt>

*Presence:* [0..1]

*Definition:* Near-term position limit for the instrument.

**NearTermPositionLimit <NearTermPosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.15 ListingDate <ListgDt>

*Presence:* [0..1]

*Definition:* Original Date/time at which the security is listed at the specific exchange or trading venue.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.2.2.16 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck to note which parties are entitled to receive the entitlement to a corporate event or vote at a meeting.

*Datatype:* "ISODateTime" on page 2858

#### 28.4.2.2.2.17 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.2.2.18 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 28.4.2.2.2.19 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Information allowing the classification of a financial instrument, for example, with its ISO CFI (Classification of Financial Instrument) or product type.

**ClassificationType <ClssfctnTp>** contains the following **ClassificationType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1686
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1686
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1686

#### 28.4.2.2.2.19.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 28.4.2.2.2.19.2 FinancialInstrumentProductTypeCode <FinInstrmPdctTpCd>

*Presence:* [0..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstrumentProductType1Code" on page 2817

#### 28.4.2.2.2.19.3 AlternateClassification <AltrnClssfctn>

*Presence:* [0..\*]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 28.4.2.2.2.20 Issuance <Issnc>

*Presence:* [0..1]

*Definition:* Details regarding the issuance of an asset.

**Issuance <Issnc>** contains the following **Issuance5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1688
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1688
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1688
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1688
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1688
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1688
	<b>Name</b> <Nm>	[1..1]	Text		1689
	<b>Identification</b> <Id>	[0..1]			1689
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1690
	<b>Purpose</b> <Purp>	[0..1]	Text		1690
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1690
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1691
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1691
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1691
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1691
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1691
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1692
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1692
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1692
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1693
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1693
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1693
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1694
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1694
	<b>Identification</b> <Id>	[0..1]	Text		1694
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1694

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#### **28.4.2.2.2.20.1 IssuePlace <IssePlc>**

*Presence:* [0..1]

*Definition:* Indicates where the financial instrument was issued.

*Datatype:* "MICIdentifier" on page 2860

#### **28.4.2.2.2.20.2 CountryOfIssue <CtryOfIsse>**

*Presence:* [0..1]

*Definition:* Country where a security is issued by the issuer or its agent.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.20.3 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.20.4 AnnouncementDate <AnncmntDt>**

*Presence:* [0..1]

*Definition:* Date/time, as announced by the issuer, at which the securities will be issued.

*Datatype:* "ISODateTime" on page 2858

#### **28.4.2.2.2.20.5 ISINValidFrom <ISINVldFr>**

*Presence:* [0..1]

*Definition:* Defines the date from which the instrument code is valid. This date can be before the actual issue date of an instrument for 'when-issued' securities, but may not be a date in the future for a new security.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.20.6 IssuerOrganisation <IssrOrg>**

*Presence:* [0..1]

*Definition:* Legal entity that has the right to issue securities.

**IssuerOrganisation <IssrOrg>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1689
	<b>Identification &lt;Id&gt;</b>	[0..1]			1689
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1690
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1690
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1690
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1690
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1691
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1691
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1691
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1691
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1691
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1692

#### 28.4.2.2.2.20.6.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.2.2.20.6.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1689
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1690

#### 28.4.2.2.2.20.6.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.2.20.6.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.2.20.6.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 28.4.2.2.20.6.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 28.4.2.2.20.6.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.2.2.20.6.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.2.2.20.6.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.2.20.6.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.2.20.6.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.2.20.6.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.20.6.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.20.7 IssueNominalAmount <IsseNmnlAmt>

*Presence:* [0..1]

*Definition:* Total original amount or quantity published.

**IssueNominalAmount <IsseNmnlAmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.20.8 FullIssuedAmount <FullIssdAmt>

*Presence:* [0..1]



**Definition:** Figure used as a control to verify whether the information provided is correct. It represents the issue size multiplied by the issue price.

**Impacted by:** C1 "ActiveCurrency", C5 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.2.20.9 IssueSize <IsseSz>

**Presence:** [0..1]

**Definition:** Represents the total amount/quantity of the proceeds from the sale of all securities in the initial offering. This amount/quantity is known after the new issue is priced.

**Datatype:** "Number" on page 2862

#### 28.4.2.2.2.20.10 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of the asset.

**IssuePrice <IssePric>** contains the following elements (see "PriceValue1" on page 2724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

#### 28.4.2.2.2.20.11 IssuanceDistribution <IssncDstrbtn>

**Presence:** [0..1]

**Definition:** Way in which the issue will be marketed to the primary market, via individual dealers (so called non syndicated distribution) or via a syndicate of managers, underwriters and selling group members (so called syndicated distribution).

**IssuanceDistribution <IssncDstrbtn>** contains one of the following **SecuritiesTransactionType31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1694
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1694

#### 28.4.2.2.2.20.11.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType11Code" on page 2849

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

#### 28.4.2.2.2.20.11.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.20.12 GoverningLaw <GovngLaw>

*Presence:* [0..\*]

*Definition:* Jurisdiction (country, county, state, province, city) of the issue.

**GoverningLaw <GovngLaw>** contains the following **Jurisdiction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1694
	Country <Ctry>	[0..1]	CodeSet	C4	1694

##### 28.4.2.2.2.20.12.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Specifies the jurisdiction (county, state, province, city).

*Datatype:* "Max70Text" on page 2867

##### 28.4.2.2.2.20.12.2 Country <Ctry>

*Presence:* [0..1]

*Definition:* Specifies the country.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.2.2.21 TradingMarket <TradgMkt>

*Presence:* [0..\*]

*Definition:* Market(s) on which the security is traded.

**TradingMarket <TradgMkt>** contains the following **TradingParameters2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1695
	<b>RoundLot</b> <RndLot>	[0..1]	±		1695
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1696
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1696
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1696
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1696
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1696
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1697
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1697
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1697
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1698
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1698

##### 28.4.2.2.2.21.1 MarketIdentification <MktId>

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, ie, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS) (MIC - ISO 3166).

*Datatype:* "MICIdentifier" on page 2860

##### 28.4.2.2.2.21.2 RoundLot <RndLot>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**RoundLot <RndLot>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.21.3 TradeLotSize <TradLotSz>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**TradeLotSize <TradLotSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.21.4 SecondaryPlaceOfListing <ScndryPlcOfListg>

*Presence:* [0..5]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.2.2.21.5 MinimumTradedNominalQuantity <MinTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**MinimumTradedNominalQuantity <MinTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1696
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1696

##### 28.4.2.2.2.21.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 28.4.2.2.2.21.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.2.21.6 MaximumTradedNominalQuantity <MaxTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Maximum number of securities that can be traded.

**MaximumTradedNominalQuantity <MaxTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1697
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1697

#### 28.4.2.2.2.21.6.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.2.2.21.6.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.2.21.7 MinimumTradingPricingIncrement <MinTradgPricgIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum or smallest movement (up or down) in the price allowed for the security.

*Datatype:* "Number" on page 2862

#### 28.4.2.2.2.21.8 PrimaryPlaceOfListingIdentification <PmryPlcOfListgld>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 28.4.2.2.2.22 SpreadAndBenchmarkCurve <SprdAndBchmkCrv>

*Presence:* [0..\*]

*Definition:* Indicates the spread to benchmark details of an indication of interest.

**SpreadAndBenchmarkCurve <SprdAndBchmkCrv>** contains the following **BenchmarkCurve6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1698
	<b>BenchmarkIdentification</b> <BchmkId>	[0..1]	±	C6, C7, C8, C9, C12	1699
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1700
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1700
	<b>Value</b> <Val>	[1..1]	±		1701
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1701
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1702
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1702
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1702
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1703
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1704

#### 28.4.2.2.2.22.1 Spread <Sprd>

*Presence:* [0..1]

**Definition:** Either a swap spread or spread to benchmark depending upon order type. In case of a spread to benchmark, the price offset is expressed in terms of basis points relative to a benchmark - this can be a positive or a negative spread. In case of a swap spread, the price offset is a target spread for a swap.

**Datatype:** "DecimalNumber" on page 2861

#### 28.4.2.2.2.2.2 BenchmarkIdentification <BchmkId>

**Presence:** [0..1]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**BenchmarkIdentification <BchmkId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

#### 28.4.2.2.22.3 BenchmarkPrice <BchmkPric>

*Presence:* [0..1]

*Definition:* Identifies the price of the benchmark security.

**BenchmarkPrice <BchmkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1700
	<b>Value</b> <Val>	[1..1]	±		1701
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1701

##### 28.4.2.2.22.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).



CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 28.4.2.2.22.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 28.4.2.2.22.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.

CodeName	Name	Definition
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 28.4.2.2.2.22.4 BenchmarkCurveCurrency <BchmkCrvCcy>

*Presence:* [0..1]

*Definition:* Identifies the currency used for the benchmark curve.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.2.2.22.5 BenchmarkCurveName <BchmkCrvNm>

*Presence:* [0..1]

*Definition:* Identifies the name of the benchmark curve.

**BenchmarkCurveName <BchmkCrvNm>** contains one of the following **BenchmarkCurveName7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1702
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1703

##### 28.4.2.2.2.22.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as an ISO 20022 code.

*Datatype:* "BenchmarkCurveName1Code" on page 2800

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2

CodeName	Name	Definition
		years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.  London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.  The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.

#### 28.4.2.2.22.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.22.6 BenchmarkCurvePoint <BchmkCrvPt>

*Presence:* [0..1]

*Definition:* Identifies a point on a benchmark curve. The point can be stated via a combination of maturity month/year and coupon.

*Datatype:* "[Max256Text](#)" on page 2865

#### 28.4.2.2.23 PutType <PutTp>

*Presence:* [0..1]

*Definition:* Represents the type of put.

**PutType <PutTp>** contains one of the following **PutType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1704
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1704

#### 28.4.2.2.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Put type expressed as an ISO 20022 code.

*Datatype:* "[PutType1Code](#)" on page 2843

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

#### 28.4.2.2.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Put type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.24 CallType <CallTp>

*Presence:* [0..1]

*Definition:* Represents the type of call.

**CallType <CallTp>** contains one of the following **CallType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1705
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1705

##### 28.4.2.2.2.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Call type expressed as an ISO 20022 code.

*Datatype:* "[CallType1Code](#)" on page 2803

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

##### 28.4.2.2.2.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Call type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 28.4.2.2.2.25 FungibleIndicator <Engblnd>

*Presence:* [0..1]

*Definition:* Indicates whether a security is interchangeable, ie, the security is allowed to be replaced by another security, without loss of value.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.26 Confidential <Cnfdtl>

*Presence:* [0..1]

*Definition:* Indicates whether the details of the security are to be made available to the market, or kept private between ICSDs and agency network.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.27 PrivatePlacement <PrvtPlcmnt>

*Presence:* [0..1]

*Definition:* The sale of securities directly to private persons, institutional investors, or both outside a public offering. Such non-public deals (often without a publicly available prospectus) closing through the ICSDs are placed directly with investors.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.28 ConvertibleIndicator <ConvtblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the investor or the issuer has a conversion option.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 28.4.2.2.29 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 28.4.2.2.2.30 ConversionRatioNumerator <ConvsRatioNmtr>

*Presence:* [0..1]

*Definition:* Number of target securities for the conversion.

**ConversionRatioNumerator <ConvsRatioNmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.31 ConversionRatioDenominator <ConvsRatioDnmtr>

*Presence:* [0..1]

*Definition:* Number of held securities for the conversion.

**ConversionRatioDenominator <ConvsRatioDnmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.32 PrimaryPlaceOfDeposit <PmryPlcOfDpst>

*Presence:* [0..1]

*Definition:* Primary place of deposit.

**PrimaryPlaceOfDeposit <PmryPlcOfDpst>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 28.4.2.2.2.33 TradingMethod <TradgMtd>

*Presence:* [0..1]

*Definition:* Indicates whether the notional amount value is to be traded in either an amount or in units.

**TradingMethod <TradgMtd>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1708
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1708

#### 28.4.2.2.2.33.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 28.4.2.2.2.33.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 28.4.2.2.2.34 TEFRRARule <TEFRARule>

*Presence:* [0..1]

*Definition:* Indicates the TEFRA rule under which the security is issued.

**TEFRARule <TEFRARule>** contains one of the following **TEFRARules3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1709

#### 28.4.2.2.2.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as an ISO 20022 code.

*Datatype:* "TEFRARules1Code" on page 2853



CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

#### 28.4.2.2.2.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.35 SerieNumber <SrNb>

*Presence:* [0..1]

*Definition:* Identifies the series number.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.2.2.36 Class <Clss>

*Presence:* [0..1]

*Definition:* Identifier that links multiple security classes.

*Datatype:* "[Max16Text](#)" on page 2864

#### 28.4.2.2.2.37 WithholdingTaxRegime <WhldgTaxRgm>

*Presence:* [0..\*]

*Definition:* Amount or percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTaxRegime <WhldgTaxRgm>** contains the following **SecurityWithHoldingTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1709
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1710

##### 28.4.2.2.2.37.1 WithholdingTaxValue <WhldgTaxVal>

*Presence:* [1..1]

*Definition:* Value of the withholding tax as rate, amount or not specified.

**WithholdingTaxValue <WhldgTaxVal>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 2661 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 28.4.2.2.2.37.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Represents the tax authority.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.2.2.38 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see "SecuritiesPaymentStatus5Choice" on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 28.4.2.2.2.39 InitialPhysicalForm <InitlPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities on the closing date.

**InitialPhysicalForm <InitlPhysForm>** contains one of the following **InitialPhysicalForm4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1711
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1711

#### 28.4.2.2.2.39.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm1Code" on page 2826

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.2.2.39.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.40 AfterExchangePhysicalForm <AftrXchgPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities after the exchange of the initial certificate issued on the closing date.

**AfterExchangePhysicalForm <AftrXchgPhysForm>** contains one of the following **InitialPhysicalForm3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1711
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1712

#### 28.4.2.2.2.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm2Code" on page 2826

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

#### 28.4.2.2.2.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.41 CommonSafekeeper <CmonSfkpr>

*Presence:* [0..1]

*Definition:* Entity appointed by the ICSDs to provide safekeeping for securities in new global note (NGN) form.

**CommonSafekeeper <CmonSfkpr>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1712
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1712

##### 28.4.2.2.2.41.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.2.2.41.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.2.2.42 RedemptionType <RedTp>

*Presence:* [0..1]

*Definition:* Indicates the type of redemption at maturity.

**RedemptionType <RedTp>** contains one of the following **MaturityRedemptionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1713

##### 28.4.2.2.2.42.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as an ISO 20022 code.

*Datatype:* "[MaturityRedemptionType1Code](#)" on page 2833

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

##### 28.4.2.2.2.42.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.43 RedemptionPaymentCurrency <RedPmtCcy>

*Presence:* [0..1]

*Definition:* ISO currency for the payment of the cash proceeds.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 28.4.2.2.2.44 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Regulatory restriction(s) linked to the security.

**Restriction <Rstrctn>** contains the following **SecurityRestriction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1715
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1715
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1716
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1716
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1716
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1716
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1717
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1717
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718
	<b>InvestorType</b> <InvstrTp>	[0..*]			1718
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718

#### 28.4.2.2.2.44.1 EffectivePeriod <FctvPrd>

*Presence:* [0..1]

*Definition:* Period during which the restriction applies.

**EffectivePeriod <FctvPrd>** contains the following elements (see ["DateTimePeriod2"](#) on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

#### 28.4.2.2.2.44.2 RestrictionType <RstrctnTp>

*Presence:* [0..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**RestrictionType <RstrctnTp>** contains one of the following **SecurityRestrictionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1716
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1716

#### 28.4.2.2.2.44.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

*Datatype:* "RestrictionType1Code" on page 2847

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

#### 28.4.2.2.2.44.2.2 ProprietaryRestriction <PrtryRstrctn>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**ProprietaryRestriction <PrtryRstrctn>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.44.3 LegalRestrictionType <LglRstrctnTp>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictionType <LglRstrctnTp>** contains one of the following **LegalRestrictions5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1716
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1717

#### 28.4.2.2.2.44.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions2Code" on page 2832

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a



CodeName	Name	Definition
		Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

#### 28.4.2.2.2.44.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.44.4 InvestorRestrictionType <InvstrRstrctnTp>

*Presence:* [0..\*]

*Definition:* Specifies whether the restriction to be applied is relevant for citizen, resident, country.

**InvestorRestrictionType <InvstrRstrctnTp>** contains one of the following **InvestorRestrictionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1717
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718

#### 28.4.2.2.2.44.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as an ISO 20022 code.

*Datatype:* "[InvestorRestrictionType1Code](#)" on page 2831

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.

CodeName	Name	Definition
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

#### 28.4.2.2.2.44.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.44.5 InvestorType <InvstrTp>

*Presence:* [0..\*]

*Definition:* Type of investor that is allowed to hold the security.

**InvestorType <InvstrTp>** contains one of the following **InvestorType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1718

##### 28.4.2.2.2.44.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor type expressed as an ISO 20022 code.

*Datatype:* "[InvestorType1Code](#)" on page 2831

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

##### 28.4.2.2.2.44.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.45 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C8 "ISINGuideline"](#), [C9 "ISINPresenceRule"](#), [C12 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "[SecurityIdentification39](#)" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
     /ISIN is absent  
 Following Must be True  
     /OtherIdentification[\*] Must be present  
 Or     /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
     /OtherIdentification[\*] is absent  
 Following Must be True  
     /ISIN Must be present  
 Or     /Description Must be present

#### 28.4.2.2.2.46 SettlementInformation <SttlmInf>

*Presence:* [0..\*]

*Definition:* Settlement of the securities in a securities transaction, that is, the instruction to deliver or receive securities, involving the payment of an amount of money or not.

**SettlementInformation <SttlmInf>** contains the following **SettlementInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			1720
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1720
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1721
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		1721
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		1721
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		1721
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		1722

##### 28.4.2.2.2.46.1 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [0..1]

*Definition:* Choice between formats for the quantity of security.

**SecuritiesQuantityType <SctiesQtyTp>** contains one of the following **SettlementUnitType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1720
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1721

##### 28.4.2.2.2.46.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as an ISO 20022 code.

*Datatype:* "SettlementUnitType1Code" on page 2851

CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

#### 28.4.2.2.2.46.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.46.2 ContractSettlementMonth <CtrctSttlmMnth>

*Presence:* [0..1]

*Definition:* Specifies when the contract (i.e. MBS/TBA) will settle.

*Datatype:* "[ISOYearMonth](#)" on page 2867

#### 28.4.2.2.2.46.3 MinimumDenomination <MinDnmtn>

*Presence:* [0..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

**MinimumDenomination <MinDnmtn>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.46.4 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

**MinimumMultipleQuantity <MinMltplQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.46.5 DeviatingSettlementUnit <DevgtSttlmUnit>

*Presence:* [0..\*]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**DeviatingSettlementUnit <DevgtSttlmUnit>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 28.4.2.2.2.47 FinancialInstrumentForm <FinInstrmForm>

*Presence:* [0..1]

*Definition:* Indicates the form of the financial Instrument.

**FinancialInstrumentForm <FinInstrmForm>** contains the following **FinancialInstrumentForm2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			1722
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1723
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1723
	<b>LegalForm</b> <LglForm>	[0..1]	±		1723

##### 28.4.2.2.2.47.1 BookingAppearance <BookgApprnc>

*Presence:* [0..1]

*Definition:* Indicates the booking appearance of the financial Instrument.

**BookingAppearance <BookgApprnc>** contains one of the following **Appearance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1723
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1723

#### 28.4.2.2.2.47.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Appearance expressed as an ISO 20022 code.

*Datatype:* "Appearance1Code" on page 2799

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

#### 28.4.2.2.2.47.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Appearance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 28.4.2.2.2.47.2 LegalForm <LglForm>

*Presence:* [0..1]

*Definition:* Specifies the form, ie, ownership, of the security.

**LegalForm <LglForm>** contains one of the following elements (see "FormOfSecurity8Choice" on page 2674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

#### 28.4.2.2.2.48 ContactName <CtctNm>

*Presence:* [0..1]

*Definition:* Details (name, e-mail address and/or telephone number) of the person requesting the allocation of the ISIN used as a point of reference in case further clarifications are required.

**ContactName <CtctNm>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1724
	<b>Identification &lt;Id&gt;</b>	[0..1]			1724
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1725
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1725
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1725
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1725
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1726
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1726
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1726
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1726
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1726
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1727
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1727

##### 28.4.2.2.2.48.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

##### 28.4.2.2.2.48.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.



**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1725
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1725

#### 28.4.2.2.2.48.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 28.4.2.2.2.48.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 28.4.2.2.2.48.2.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.2.48.2.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

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#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.48.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.48.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.48.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.48.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.48.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.2.48.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.48.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.49 LeadManager <LeadMgr>

*Presence:* [0..1]

*Definition:* Entity appointed by the issuer to structure and lead the placement of a syndicated issue.

**LeadManager <LeadMgr>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1728
	<b>Identification</b> <Id>	[0..1]			1729
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1729
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1729
	<b>Purpose</b> <Purp>	[0..1]	Text		1729
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1730
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1730
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1730
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1730
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1730
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1731
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1731

##### 28.4.2.2.2.49.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.2.2.49.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1729
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1729

##### 28.4.2.2.2.49.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.2.2.49.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.2.2.49.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### 28.4.2.2.2.49.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.2.2.49.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 28.4.2.2.2.49.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 28.4.2.2.2.49.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.2.49.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 28.4.2.2.2.49.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstIAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.2.49.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.49.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.50 PrincipalPayingAgent <PrncplPngAgt>

*Presence:* [0..1]

*Definition:* Main party appointed to distribute payment or securities on behalf of the issuer.

**PrincipalPayingAgent <PrncplPngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1732
	<b>Identification</b> <Id>	[0..1]			1733
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1733
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1733
	<b>Purpose</b> <Purp>	[0..1]	Text		1733
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1734
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1734
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1734
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1734
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1734
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1735
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1735

##### 28.4.2.2.2.50.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



#### 28.4.2.2.2.50.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1733
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1733

##### 28.4.2.2.2.50.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.2.2.50.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.2.2.50.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.2.2.50.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.50.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.50.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.50.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.50.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.50.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstIAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.2.50.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.50.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.51 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Additional party appointed to distribute payment or securities on behalf of the issuer.

**PayingAgent <PngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1736
	<b>Identification</b> <Id>	[0..1]			1737
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1737
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1737
	<b>Purpose</b> <Purp>	[0..1]	Text		1737
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1738
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1738
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1738
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1738
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1738
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1739
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1739

##### 28.4.2.2.2.51.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.2.2.51.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1737
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1737

##### 28.4.2.2.2.51.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.2.2.51.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.2.2.51.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.2.2.51.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.51.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.51.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.51.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.51.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.51.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.2.51.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.51.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.52 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* Entity appointed by the relevant clearing system as a depository for instruments issued in global or definitive form. The depository keeps the securities for safekeeping purposes on behalf of the clearing system(s).

**Depository <Dpstry>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1740
	<b>Identification</b> <Id>	[0..1]			1741
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1741
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1741
	<b>Purpose</b> <Purp>	[0..1]	Text		1741
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1742
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1742
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1742
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1742
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1742
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1743
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1743

##### 28.4.2.2.52.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863



## 28.4.2.2.2.52.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1741
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1741

### 28.4.2.2.2.52.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 28.4.2.2.2.52.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 28.4.2.2.2.52.2.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.2.2.52.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.52.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.52.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.52.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.52.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.52.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstIAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrlnd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.52.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.52.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.2.53 UnderlyingRisk <UndrlygRsk>

*Presence:* [0..1]

*Definition:* Entity(ies), asset(s) or security(ies) on which the credit responsibility lies as identified in the documentation.

**UnderlyingRisk <UndrlygRsk>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1744
	<b>Identification</b> <Id>	[0..1]			1745
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1745
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1745
	<b>Purpose</b> <Purp>	[0..1]	Text		1745
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1746
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1746
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1746
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1746
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1746
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1747
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1747

##### 28.4.2.2.2.53.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 28.4.2.2.2.53.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1745
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1745

##### 28.4.2.2.2.53.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 28.4.2.2.2.53.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

##### 28.4.2.2.2.53.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "Max35Text" on page 2865

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#### **28.4.2.2.2.53.4 TaxationCountry <TaxtnCtry>**

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.53.5 RegistrationCountry <RegnCtry>**

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **28.4.2.2.2.53.6 RegistrationDate <RegnDt>**

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### **28.4.2.2.2.53.7 TaxIdentificationNumber <TaxIdNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.53.8 NationalRegistrationNumber <NtlRegnNb>**

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### **28.4.2.2.2.53.9 PostalAddress <PstlAdr>**

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 28.4.2.2.53.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 28.4.2.2.53.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

### 28.4.2.2.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C14 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 28.4.3 UpdateReason <UpdRsn>

*Presence:* [0..1]

*Definition:* Reason for the update of a security.

**UpdateReason <UpdRsn>** contains one of the following **SecuritiesUpdateReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1748
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1749

### 28.4.3.1 Code <Cd>

*Presence:* [1..1]



*Definition:* Update reason, as published in an external code set.

*Datatype:* "ExternalSecuritiesUpdateReason1Code" on page 2819

### 28.4.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Update reason, in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 28.4.4 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

*Impacted by:* C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "[SecurityIdentification39](#)" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**  
Description must be used alone as the last resort.
- **ISINGuideline**  
When an ISIN code exists, it is strongly recommended that the ISIN be used.
- **ISINPresenceRule**  
If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.  

On Condition  
    /ISIN is absent  
Following Must be True  
    /OtherIdentification[\*] Must be present  
Or     /Description Must be present
- **OtherIdentificationPresenceRule**  
If OtherIdentification is not present then either ISIN or Description must be present.  

On Condition  
    /OtherIdentification[\*] is absent  
Following Must be True  
    /ISIN Must be present  
Or     /Description Must be present

28.4.5

SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C14 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on [page 2666](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 29      **reda.008.001.01**

## **SecurityCreationStatusAdviceV01**

### **29.1      MessageDefinition Functionality**

#### SCOPE

An executing/servicing party sends a SecurityCreationStatusAdvice message to an instructing party to report the status of a SecurityCreationRequest message previously sent by the instructing party.

The SecurityCreationStatusAdvice message may be sent as a response to the request of the instructing party or not.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

Initiator: executing/servicing party.

#### Outline

The SecurityCreationStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. FinancialInstrumentIdentification  
Identification of the financial instrument.
- C. ProcessingStatus  
Represents the processing status.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 29.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctyCreStsAdv&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			1753
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		1753
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		1753
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		1753
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C2, C3, C4, C5, C6	1753
	<b>ProcessingStatus</b> <PrccgSts>	[1..1]	±		1754
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C7	1755

## 29.3 Constraints

### C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C2 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C3 DescriptionUsageRule

Description must be used alone as the last resort.

### C4 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C5 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C6 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 29.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 29.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		1753
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		1753
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		1753

### 29.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 29.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 29.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

## 29.4.2 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Identification of the financial instrument.

*Impacted by:* C2 "DescriptionPresenceRule", C3 "DescriptionUsageRule", C4 "ISINGuideline", C5 "ISINPresenceRule", C6 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

## 29.4.3 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Represents the processing status.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see  
 "ProcessingStatus72Choice" on page 2763 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]			2763
	Reason <Rsn>	[0..*]	±	C6	2763
Or	PendingProcessing <PdgPrcg>	[1..1]	±		2764
Or	Rejected <Rjctd>	[1..1]	±		2764
Or	Completed <Cmpltd>	[1..1]			2764
	Reason <Rsn>	[0..*]	±	C6	2765
Or}	Proprietary <Prtry>	[1..1]	±		2765

## 29.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C7 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on  
 page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of  
 ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# **30      reda.009.001.01 SecurityActivityAdviceV01**

## **30.1    MessageDefinition Functionality**

The SecurityActivityReport message is sent by the executing party to an instructing party containing information about changes on securities reference data.

Scope and usage:

It aims at informing about the changes occurred during a business date for securities reference data.

Outline

The SecurityActivityAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

C. SecurityActivity

Activity report of changes occurred for a financial instrument defined in the system.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 30.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctyActvtyAdv<	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		1758
	<b>Pagination</b> <Pgntn>	[1..1]	±		1758
	<b>SecurityActivity</b> <SctyActvty>	[1..1]			1758
	<b>SystemDate</b> <SysDt>	[1..1]	Date		1759
	<b>Change</b> <Chng>	[0..*]			1759
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C1, C2, C3, C4, C5	1759
	<b>FieldName</b> <FldNm>	[1..1]	Text		1760
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		1760
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		1760
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		1760
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	1761

## 30.3 Constraints

### C1 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C2 DescriptionUsageRule

Description must be used alone as the last resort.

### C3 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C4 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C5 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C6 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 30.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 30.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 30.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

**Pagination <Pgntn>** contains the following elements (see "[Pagination1](#)" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

### 30.4.3 SecurityActivity <SctyActvty>

*Presence:* [1..1]

*Definition:* Activity report of changes occurred for a financial instrument defined in the system.

**SecurityActivity <SctyActvty>** contains the following **SecurityStatement3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemDate</b> <SysDt>	[1..1]	Date		1759
	<b>Change</b> <Chng>	[0..*]			1759
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C1, C2, C3, C4, C5	1759
	<b>FieldName</b> <FldNm>	[1..1]	Text		1760
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		1760
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		1760
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		1760

### 30.4.3.1 SystemDate <SysDt>

*Presence:* [1..1]

*Definition:* Date for which the statement is valid.

*Datatype:* "ISODate" on page 2858

### 30.4.3.2 Change <Chng>

*Presence:* [0..\*]

*Definition:* Provides information on the actual change occurred to a financial instrument.

**Change <Chng>** contains the following **SecuritiesReferenceDataChange3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C1, C2, C3, C4, C5	1759
	<b>FieldName</b> <FldNm>	[1..1]	Text		1760
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		1760
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		1760
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		1760

#### 30.4.3.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identifies the financial instrument for which the changes are listed in the advice.

*Impacted by:* C1 "DescriptionPresenceRule", C2 "DescriptionUsageRule", C3 "ISINGuideline", C4 "ISINPresenceRule", C5 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

---

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 30.4.3.2.2 **FieldName <FldNm>**

*Presence:* [1..1]

*Definition:* Name of the element, as specified in the short tag name for the field in the message.

*Datatype:* "Max35Text" on page 2865

#### 30.4.3.2.3 **OldFieldValue <OdFldVal>**

*Presence:* [1..1]

*Definition:* Value of the related field before the change was applied.

*Datatype:* "Max350Text" on page 2865

#### 30.4.3.2.4 **NewFieldValue <NewFldVal>**

*Presence:* [1..1]

*Definition:* Value of the related field after the change was applied.

*Datatype:* "Max350Text" on page 2865

#### 30.4.3.2.5 **OperationTimeStamp <OprTmStmp>**

*Presence:* [1..1]

*Definition:* Specifies the timestamp of the operation.

*Datatype:* "ISODatetime" on page 2858

### 30.4.4      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C6 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

**Constraints**

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 31      **reda.010.001.01**

## **SecurityQueryV01**

### **31.1    MessageDefinition Functionality**

#### SCOPE

An instructing party sends a SecurityQuery message to an executing/servicing party to request a report of financial instrument details in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

The request is sent when the instructing party needs to see data of a security data within the executing/servicing party system.

Initiator: instructing party.

#### Outline

The SecurityQueryV01 MessageDefinition is composed of 5 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. RequestType  
Defines the type of action to be performed in the request.
- C. SearchCriteria  
Defines the criteria to be used to query the securities reference data by the executing system.
- D. SmallSetReturnCriteria  
Defines the expected securities reference data to be returned.
- E. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 31.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctyQry&gt;</i>	[1..1]		C6	
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		1765
	<b>RequestType</b> <ReqTp>	[0..1]	±		1766
	<b>SearchCriteria</b> <SchCrit>	[1..1]			1766
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C4, C5, C7, C8, C9	1768
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1769
	<b>MaturityDate</b> <MtrtyDt>	[0..1]			1769
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		1769
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		1769
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		1769
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		1770
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		1770
	<b>IssueDate</b> <IsseDt>	[0..1]			1770
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		1770
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		1770
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		1771
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		1771
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		1771
	<b>IssueCurrency</b> <IsseCcy>	[0..1]	CodeSet	C1	1771
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C3	1771
	<b>SecurityStatus</b> <SctySts>	[0..1]			1772
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1772
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1772
	<b>MaintainingCSD</b> <MntngCSD>	[0..1]			1772
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1773
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1773
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			1773
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1773
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1774

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			1774
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1774
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1774
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			1775
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1775
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1775
	<b>CSD</b> <CSD>	[0..1]			1776
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1776
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1776
	<b>SmallSetReturnCriteria</b> <SmlSetRtrCrit>	[0..1]			1776
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	Indicator		1777
	<b>ISOSecurityLongName</b> <ISOScyLngNm>	[1..1]	Indicator		1777
	<b>ISOSecurityShortName</b> <ISOScyShrtNm>	[1..1]	Indicator		1778
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[1..1]	Indicator		1778
	<b>MaturityDate</b> <MtrtyDt>	[1..1]	Indicator		1778
	<b>IssueDate</b> <IsseDt>	[1..1]	Indicator		1778
	<b>IssueCurrency</b> <IsseCcy>	[1..1]	Indicator		1778
	<b>CountryOfIssue</b> <CtryOfIsse>	[1..1]	Indicator		1778
	<b>SecurityStatus</b> <ScySts>	[1..1]	Indicator		1779
	<b>InvestorCSD</b> <InvstrCSD>	[1..1]	Indicator		1779
	<b>IssuerCSD</b> <IssrCSD>	[1..1]	Indicator		1779
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[1..1]	Indicator		1779
	<b>CSD</b> <CSD>	[1..1]	Indicator		1779
	<b>SecuritiesQuantityType</b> <SciesQtyTp>	[1..1]	Indicator		1780
	<b>MinimumDenomination</b> <MinDnmtn>	[1..1]	Indicator		1780
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[1..1]	Indicator		1780
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[1..1]	Indicator		1780
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	1780



## 31.3 Constraints

### C1 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C5 **DescriptionUsageRule**

Description must be used alone as the last resort.

### C6 **FullSetRules**

If SmallSetReturnCriteria is not present then the full set of security reference data is expected.  
*This constraint is defined at the MessageDefinition level.*

### C7 **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C8 **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C9 **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

### C10 **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 31.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 31.4.1 **MessageHeader <MsgHdr>**

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader1" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 31.4.2 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Defines the type of action to be performed in the request.

**RequestType <ReqTp>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

## 31.4.3 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to be used to query the securities reference data by the executing system.

**SearchCriteria <SchCrit>** contains the following **SecuritiesSearchCriteria4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C4, C5, C7, C8, C9	1768
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1769
	<b>MaturityDate</b> <MtrtyDt>	[0..1]			1769
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		1769
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		1769
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		1769
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		1770
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		1770
	<b>IssueDate</b> <IsseDt>	[0..1]			1770
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		1770
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		1770
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		1771
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		1771
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		1771
	<b>IssueCurrency</b> <IsseCcy>	[0..1]	CodeSet	C1	1771
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C3	1771
	<b>SecurityStatus</b> <SctySts>	[0..1]			1772
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1772
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1772
	<b>MaintainingCSD</b> <MntngCSD>	[0..1]			1772
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1773
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1773
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			1773
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1773
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1774
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			1774
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1774
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1774
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			1775
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1775

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		1775
	<b>CSD</b> <CSD>	[0..1]			1776
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		1776
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		1776

### 31.4.3.1 FinancialInstrumentIdentification <FinInstrmld>

*Presence:* [0..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification** <FinInstrmld> contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <Othrld>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

### 31.4.3.2 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

### 31.4.3.3 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

**MaturityDate <MtrtyDt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		1769
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		1769
Or	<b>FromDate</b> <FrDt>	[1..1]	±		1769
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		1770
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		1770

#### 31.4.3.3.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 31.4.3.3.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 31.4.3.3.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 31.4.3.3.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 31.4.3.3.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 31.4.3.4 IssueDate <IsseDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

**IssueDate <IsseDt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		1770
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		1770
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		1771
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		1771
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		1771

##### 31.4.3.4.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 31.4.3.4.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

### 31.4.3.4.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see ["DatePeriod2"](#) on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

### 31.4.3.4.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODate"](#) on page 2858

### 31.4.3.4.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODate"](#) on page 2858

### 31.4.3.5 IssueCurrency <IsseCcy>

*Presence:* [0..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* [C1 "ActiveOrHistoricCurrency"](#)

*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 2798

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 31.4.3.6 CountryOfIssue <CtryOfIsse>

*Presence:* [0..1]

*Definition:* Primary market or country where a security is issued by the issuer or its agent.

*Impacted by:* [C3 "Country"](#)

*Datatype:* ["CountryCode"](#) on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 31.4.3.7 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus <SctySts>** contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1772
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1772

#### 31.4.3.7.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

#### 31.4.3.7.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 31.4.3.8 MaintainingCSD <MntngCSD>

*Presence:* [0..1]

*Definition:* Entity involved in an activity.



**MaintainingCSD <MntngCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1773
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1773

#### 31.4.3.8.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 31.4.3.8.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 31.4.3.9 InvestorCSD <InvstrCSD>

*Presence:* [0..1]

*Definition:* Entity involved in an activity.

**InvestorCSD <InvstrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1773
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1774

#### 31.4.3.9.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 31.4.3.9.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 31.4.3.10 IssuerCSD <IssrCSD>

*Presence:* [0..1]

*Definition:* Entity involved in an activity.

**IssuerCSD <IssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		1774
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		1774

#### 31.4.3.10.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 31.4.3.10.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

**Definition:** Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 31.4.3.11 TechnicalIssuerCSD <TechIssrCSD>

**Presence:** [0..1]

**Definition:** Technical issuer of a security.

**TechnicalIssuerCSD <TechIssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1775
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1775

#### 31.4.3.11.1 OrganisationIdentification <OrgId>

**Presence:** [1..1]

**Definition:** Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 31.4.3.11.2 CombinedIdentification <CmbndId>

**Presence:** [1..1]

**Definition:** Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 31.4.3.12 CSD <CSD>

*Presence:* [0..1]

*Definition:* CSD of a security.

**CSD <CSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		1776
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		1776

#### 31.4.3.12.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 31.4.3.12.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 31.4.4 SmallSetReturnCriteria <SmlSetRtrCrit>

*Presence:* [0..1]

*Definition:* Defines the expected securities reference data to be returned.

**SmallSetReturnCriteria** <SmlSetRtrCrit> contains the following **SecuritiesReturnCriteria1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	Indicator		1777
	<b>ISOSecurityLongName</b> <ISOSctyLngNm>	[1..1]	Indicator		1777
	<b>ISOSecurityShortName</b> <ISOSctyShrtNm>	[1..1]	Indicator		1778
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[1..1]	Indicator		1778
	<b>MaturityDate</b> <MtrtyDt>	[1..1]	Indicator		1778
	<b>IssueDate</b> <IsseDt>	[1..1]	Indicator		1778
	<b>IssueCurrency</b> <IsseCcy>	[1..1]	Indicator		1778
	<b>CountryOfIssue</b> <CtryOfIsse>	[1..1]	Indicator		1778
	<b>SecurityStatus</b> <SctySts>	[1..1]	Indicator		1779
	<b>InvestorCSD</b> <InvstrCSD>	[1..1]	Indicator		1779
	<b>IssuerCSD</b> <IssrCSD>	[1..1]	Indicator		1779
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[1..1]	Indicator		1779
	<b>CSD</b> <CSD>	[1..1]	Indicator		1779
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[1..1]	Indicator		1780
	<b>MinimumDenomination</b> <MinDnmtn>	[1..1]	Indicator		1780
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[1..1]	Indicator		1780
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[1..1]	Indicator		1780

#### 31.4.4.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 31.4.4.2 ISOSecurityLongName <ISOSctyLngNm>

*Presence:* [1..1]

*Definition:* Name of the security.

*Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.4.3 ISOSecurityShortName <ISOStyShrtNm>

*Presence:* [1..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.4.4 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [1..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.4.5 MaturityDate <MtrtyDt>

*Presence:* [1..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.4.6 IssueDate <IsseDt>

*Presence:* [1..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.4.7 IssueCurrency <IsseCcy>

*Presence:* [1..1]

*Definition:* Currency in which a security is issued or redenominated.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.4.8 CountryOfIssue <CtryOfIsse>

*Presence:* [1..1]

---

*Definition:* Primary market or country where a security is issued by the issuer or its agent.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **31.4.4.9 SecurityStatus <SctySts>**

*Presence:* [1..1]

*Definition:* Specifies the status of the security within its lifecycle.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **31.4.4.10 InvestorCSD <InvstrCSD>**

*Presence:* [1..1]

*Definition:* CSD Investor of a security.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **31.4.4.11 IssuerCSD <IssrCSD>**

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **31.4.4.12 TechnicalIssuerCSD <TechIssrCSD>**

*Presence:* [1..1]

*Definition:* Technical issuer of a security.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **31.4.4.13 CSD <CSD>**

*Presence:* [1..1]

*Definition:* CSD of a security.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 31.4.4.14 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [1..1]

*Definition:* Quantity of a security.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 31.4.4.15 MinimumDenomination <MinDnmtn>

*Presence:* [1..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 31.4.4.16 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [1..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 31.4.4.17 DeviatingSettlementUnit <DevtgSttlmUnit>

*Presence:* [1..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 31.4.5 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C10 "SupplementaryDataRule"](#)



**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **32      reda.012.001.01 SecurityReportV01**

### **32.1    MessageDefinition Functionality**

#### **SCOPE**

An executing/servicing party sends a SecurityReport message to an instructing party to advise the last known image of securities data's.

The report may be sent upon request (for example a query) of the instructing party or push by the executing/servicing party.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

Initiator: executing/servicing party.

#### **Outline**

The SecurityReportV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

##### **A. MessageHeader**

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

##### **B. Pagination**

Provides details on the page number of the message.

##### **C. SecurityReportOrError**

Provides the financial instruments details or error raised during the generation of the report.

##### **D. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 32.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctyRpt>	[1..1]			
	MessageHeader <MsgHdr>	[0..1]			1801
	MessageIdentification <MsgId>	[1..1]	Text		1801
	CreationDateTime <CreDtTm>	[0..1]	DateTime		1801
	OriginalBusinessInstruction <OrgnlBizInstr>	[0..1]	±		1801
	Pagination <Pgntn>	[1..1]	±		1801
	SecurityReportOrError <SctyRptOrErr>	[1..1]			1802
{Or	SecurityReportOrBusinessError <SctyRptOrBizErr>	[1..1]			1819
{Or	SecurityReport <SctyRpt>	[1..*]			1836
	FinancialInstrumentIdentification <FinInstrmId>	[0..*]	±	C6, C7, C8, C9, C12	1853
	FinancialInstrumentType <FinInstrmTp>	[0..1]			1854
	Equity <Eqty>	[0..1]			1862
	PreferenceToIncome <PrefToIncm>	[1..1]			1863
{Or	Code <Cd>	[1..1]	CodeSet		1863
Or}	Proprietary <Prtry>	[1..1]	±		1863
	MaturityDate <MtrtyDt>	[0..1]	DateTime		1864
	NonPaidAmount <NonPdAmt>	[0..1]	Amount	C1, C5	1864
	ParValue <ParVal>	[0..1]	Amount	C1, C5	1864
	VotingRightsPerShare <VtngRghtsPerShr>	[0..1]	Quantity		1865
	Warrant <Warrt>	[0..1]			1865
	Multiplier <Mltplr>	[0..1]	Rate		1866
	SubscriptionPrice <SbcptPric>	[0..1]			1866
	ValueType <ValTp>	[0..1]	CodeSet		1867
	Value <Val>	[1..1]	±		1867
	PriceType <PricTp>	[0..1]	CodeSet		1868
	Type <Tp>	[0..1]			1868
{Or	Code <Cd>	[1..1]	CodeSet		1869
Or}	Proprietary <Prtry>	[1..1]	±		1869
	WarrantAgent <WarrtAgt>	[0..*]			1869

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		1870
	<b>Identification</b> <Id>	[0..1]			1870
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1871
	<b>Purpose</b> <Purp>	[0..1]	Text		1871
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1872
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1872
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1872
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1872
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1873
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1873
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1877
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1877
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1878
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1878
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1878
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1878
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1878
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1878
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1879
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1879
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1879
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1879
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1879
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1879
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1879
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1879
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1880

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1880
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1880
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1880
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1880
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1881
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1881
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1881
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1881
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1881
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1882
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1882
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1883
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1883
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1883
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1883
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1883
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1884
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1885
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1885
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1885
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1885
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1885
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1885
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1885
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1886
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1886

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1886
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1886
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1886
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1886
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1886
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1887
	<b>InsuredIndicator</b> <InsrIdInd>	[0..1]	Indicator		1887
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1887
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1887
	<b>Value</b> <Val>	[1..1]	Rate		1888
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1894
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1894
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896
	<b>GlobalType</b> <GblTp>	[0..1]			1896
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1897

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1897
	<b>YieldRange</b> <YldRg>	[0..1]	±		1897
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1898
	<b>Purpose</b> <Purp>	[0..1]	Text		1898
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1898
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1899
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1899
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1899
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1899
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1899
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1899
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1900
	<b>Production</b> <Pdctn>	[0..1]	Text		1900
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1900
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1900
	<b>Sector</b> <Sctr>	[0..1]	Text		1901
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1901
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1901
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1901
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1901
	<b>PriceRange</b> <PricRg>	[0..1]	±		1902
	<b>Derivative</b> <Deriv>	[0..1]			1902
	<b>Future</b> <Futr>	[0..1]			1906
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926
	<b>Option</b> <Optn>	[0..1]			1926
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1930
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1930
	<b>StrikePrice</b> <StrkPric>	[0..1]			1930
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1933
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1935
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1936
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
	<b>Quantity</b> <Qty>	[0..1]			1940
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1950
	<b>SecurityStatus</b> <SctySts>	[0..1]			1960
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1960
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1960
	<b>FinancialInstrumentName</b> <FinInstrmNm>	[0..*]			1961
	<b>ISOShortName</b> <ISOShrtNm>	[0..1]	Text		1961
	<b>ISOLongName</b> <ISOLngNm>	[0..1]	Text		1961
	<b>ValidFrom</b> <VldFr>	[0..1]	±		1961
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1961
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1962
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1962
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1962
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1962
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1962
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1962
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1962
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1963
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1963
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1963
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1964
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1964
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1964
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1964
	<b>Purpose</b> <Purp>	[0..1]	Text		1964
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1964
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1965
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1965

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1965
	<b>Issuance</b> <Issnc>	[0..1]			1965
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1966
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1967
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1967
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1967
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1967
	<b>Name</b> <Nm>	[1..1]	Text		1968
	<b>Identification</b> <Id>	[0..1]			1968
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1968
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1969
	<b>Purpose</b> <Purp>	[0..1]	Text		1969
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1970
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1970
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1970
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1971
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1971
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1971
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1972
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1972
	<b>IssuanceDistribution</b> <IssncDstrbtrn>	[0..1]			1972
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1973
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1973
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1973
	<b>Identification</b> <Id>	[0..1]	Text		1973
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1973
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1974

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1974
	<b>RoundLot</b> <RndLot>	[0..1]	±		1974
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1975
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1975
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1975
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1976
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1977
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1977
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1977
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1977
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1978
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1979
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1981
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1982
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1983
	<b>PutType</b> <PutTp>	[0..1]			1983
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1983
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1983
	<b>CallType</b> <CallTp>	[0..1]			1984
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1984
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1984

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1984
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1985
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1985
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1985
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1985
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1986
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1986
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1986
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1986
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1987
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1987
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1987
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1987
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1988
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1988
	<b>Class</b> <Cls>	[0..1]	Text		1988
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1988
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1988
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1989
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1989
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1989
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1990
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1990
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1991
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1991
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1991
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1991
	<b>RedemptionType</b> <RedTp>	[0..1]			1992
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1992

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1992
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1993
	<b>Restriction</b> <Rstrctn>	[0..*]			1993
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1994
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1994
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1995
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1996
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>InvestorType</b> <InvstrTp>	[0..*]			1997
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>FinancialInstrumentIdentificationValidity</b> <FinInstrmIdVldty>	[0..*]			1998
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1998
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1999
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1999
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			2000
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2000
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2000
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		2001
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		2001
	<b>MinimumMultipleQuantity</b> <MinMltpQty>	[0..1]	±		2001
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		2001
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			2002
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			2002
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003
	<b>LegalForm</b> <LglForm>	[0..1]	±		2003
	<b>ContactName</b> <CtctNm>	[0..1]			2003
	<b>Name</b> <Nm>	[1..1]	Text		2004
	<b>Identification</b> <Id>	[0..1]			2004
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2004
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2005
	<b>Purpose</b> <Purp>	[0..1]	Text		2005
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2006
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2006
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2006
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2006
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2006
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2007
	<b>LeadManager</b> <LeadMgr>	[0..1]			2007
	<b>Name</b> <Nm>	[1..1]	Text		2008
	<b>Identification</b> <Id>	[0..1]			2008
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2008
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009
	<b>Purpose</b> <Purp>	[0..1]	Text		2009
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2010
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2010
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2010
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2010
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2010
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2011
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			2011



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	<b>Identification</b> <Id>	[0..1]			2012
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2012
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2013
	<b>Purpose</b> <Purp>	[0..1]	Text		2013
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2014
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2014
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2014
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2014
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2014
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2015
	<b>PayingAgent</b> <PngAgt>	[0..1]			2015
	<b>Name</b> <Nm>	[1..1]	Text		2016
	<b>Identification</b> <Id>	[0..1]			2016
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2016
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2017
	<b>Purpose</b> <Purp>	[0..1]	Text		2017
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2018
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2018
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2018
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2018
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2018
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2019
	<b>Depository</b> <Dpstry>	[0..1]			2019
	<b>Name</b> <Nm>	[1..1]	Text		2020
	<b>Identification</b> <Id>	[0..1]			2020
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2020

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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2021
	<b>Purpose</b> <Purp>	[0..1]	Text		2021
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2022
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2022
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2022
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2022
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2022
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2023
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			2023
	<b>Name</b> <Nm>	[1..1]	Text		2024
	<b>Identification</b> <Id>	[0..1]			2024
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2025
	<b>Purpose</b> <Purp>	[0..1]	Text		2025
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2026
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2026
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2026
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2026
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2026
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2027
	<b>SecurityCSDLLink</b> <SctyCSDLk>	[0..*]			2027
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2028
	<b>ValidTo</b> <VldTo>	[0..1]	±		2028
	<b>SecurityMaintenance</b> <SctyMntnc>	[0..1]	Indicator		2029
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			2029
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2029

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2030
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2030
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2031
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]			2031
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2032
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C8, C9, C12	2032
	<b>BusinessError</b> <BizErr>	[1..*]			2033
	<b>Error</b> <Err>	[1..1]			2034
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2034
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2034
	<b>Description</b> <Desc>	[0..1]	Text		2034
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2034
	<b>Error</b> <Err>	[1..1]			2035
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2035
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2035
	<b>Description</b> <Desc>	[0..1]	Text		2035
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C14	2035

## 32.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C3 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C4 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C5 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C7 DescriptionUsageRule**

Description must be used alone as the last resort.

**C8 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C9 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C10 MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

**C11 NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCalldate must be present.

**C12 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C13 PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

**C14 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 32.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 32.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		1801
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		1801
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		1801

### 32.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 32.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 32.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

## 32.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Provides details on the page number of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

### 32.4.3 SecurityReportOrError <SctyRptOrErr>

*Presence:* [1..1]

*Definition:* Provides the financial instruments details or error raised during the generation of the report.

**SecurityReportOrError <SctyRptOrErr>** contains one of the following **SecurityOrOperationalError4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecurityReportOrBusinessError</b> <SctyRptOrBizErr>	[1..1]			1819
{Or	<b>SecurityReport</b> <SctyRpt>	[1..*]			1836
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C8, C9, C12	1853
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..1]			1854
	<b>Equity</b> <Eqty>	[0..1]			1862
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1863
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1863
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1863
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1864
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1864
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1864
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1865
	<b>Warrant</b> <Warrt>	[0..1]			1865
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1866
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1866
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1867
	<b>Value</b> <Val>	[1..1]	±		1867
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1868
	<b>Type</b> <Tp>	[0..1]			1868
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1869
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1869
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1869
	<b>Name</b> <Nm>	[1..1]	Text		1870
	<b>Identification</b> <Id>	[0..1]			1870
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1871
	<b>Purpose</b> <Purp>	[0..1]	Text		1871
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1871

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1872
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1872
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1872
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1872
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1873
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1873
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1877
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1877
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1878
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1878
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1878
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1878
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1878
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1878
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1879
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1879
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1879
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1879
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1879
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1879
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1879
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1879
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1880
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1880
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1880
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1880
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1880
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1881
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1881
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1881



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1881
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1881
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1882
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1882
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1883
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1883
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1883
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1883
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1883
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1884
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1885
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1885
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1885
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1885
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1885
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1885
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1885
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1886
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnlyInd>	[0..1]	Indicator		1886
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1886
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1886
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1886
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1886
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1886
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1887
	<b>InsuredIndicator</b> <InsrdInd>	[0..1]	Indicator		1887

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1887
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1887
	<b>Value</b> <Val>	[1..1]	Rate		1888
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1894
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1894
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896
	<b>GlobalType</b> <GblTp>	[0..1]			1896
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1897
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1897
	<b>YieldRange</b> <YldRg>	[0..1]	±		1897
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1898
	<b>Purpose</b> <Purp>	[0..1]	Text		1898
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1898
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1899
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1899

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1899
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1899
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1899
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1899
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1900
	<b>Production</b> <Pdctn>	[0..1]	Text		1900
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1900
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1900
	<b>Sector</b> <Sctr>	[0..1]	Text		1901
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1901
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1901
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1901
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1901
	<b>PriceRange</b> <PricRg>	[0..1]	±		1902
	<b>Derivative</b> <Deriv>	[0..1]			1902
	<b>Future</b> <Futr>	[0..1]			1906
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
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	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926
	<b>Option</b> <Optn>	[0..1]			1926
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Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930
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	<b>StrikePrice</b> <StrkPric>	[0..1]			1930
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
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Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934
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	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
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	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
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	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940

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Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
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	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1950
	<b>SecurityStatus</b> <SctySts>	[0..1]			1960
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	<b>ISOLongName</b> <ISOLngNm>	[0..1]	Text		1961
	<b>ValidFrom</b> <VldFr>	[0..1]	±		1961
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1961
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1962
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1962
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1962
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1962
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1962
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1962
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1962
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1963
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1963
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1964
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1964
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1964
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1964
	<b>Purpose</b> <Purp>	[0..1]	Text		1964
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1964
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1965
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1965
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1965
	<b>Issuance</b> <Issnc>	[0..1]			1965
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1966
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1967
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1967
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1967
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1967

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{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1968
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1969
	<b>Purpose</b> <Purp>	[0..1]	Text		1969
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1970
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1970
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1970
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1971
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1971
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1971
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1972
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1972
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1972
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1973
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1973
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1973
	<b>Identification</b> <Id>	[0..1]	Text		1973
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1973
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1974
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1974
	<b>RoundLot</b> <RndLot>	[0..1]	±		1974
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1975
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1975
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1975
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975



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	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1976
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1977
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1977
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1977
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1977
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1978
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1979
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1981
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1982
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1983
	<b>PutType</b> <PutTp>	[0..1]			1983
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1983
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	<b>CallType</b> <CallTp>	[0..1]			1984
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1984
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1984
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1984
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1985
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1985
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1985
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1985
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1986

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConversionRatioDenominator</b> <ConvRatioDnmtr>	[0..1]	±		1986
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1986
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1986
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1987
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1987
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1987
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1987
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1988
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1988
	<b>Class</b> <Clss>	[0..1]	Text		1988
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1988
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1988
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1989
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1989
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1989
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1990
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1990
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1991
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1991
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1991
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1991
	<b>RedemptionType</b> <RedTp>	[0..1]			1992
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1992
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1992
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1993
	<b>Restriction</b> <Rstrctn>	[0..*]			1993
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1994
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1994
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1995
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1996
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>InvestorType</b> <InvstrTp>	[0..*]			1997
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>FinancialInstrumentIdentificationValidity</b> <FinInstrmIdVldty>	[0..*]			1998
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1998
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1999
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1999
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			2000
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2000
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	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		2001
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		2001
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			2002
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			2002
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003
	<b>LegalForm</b> <LglForm>	[0..1]	±		2003
	<b>ContactName</b> <CtctNm>	[0..1]			2003
	<b>Name</b> <Nm>	[1..1]	Text		2004
	<b>Identification</b> <Id>	[0..1]			2004
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2004

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Purpose</b> <Purp>	[0..1]	Text		2005
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2006
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2006
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2006
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2006
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2006
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2007
	<b>LeadManager</b> <LeadMgr>	[0..1]			2007
	<b>Name</b> <Nm>	[1..1]	Text		2008
	<b>Identification</b> <Id>	[0..1]			2008
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009
	<b>Purpose</b> <Purp>	[0..1]	Text		2009
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2010
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2010
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2010
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2010
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2010
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2011
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			2011
	<b>Name</b> <Nm>	[1..1]	Text		2012
	<b>Identification</b> <Id>	[0..1]			2012
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2012
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2013
	<b>Purpose</b> <Purp>	[0..1]	Text		2013
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Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2014
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2014
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2014
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2014
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2014
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2015
	<b>PayingAgent</b> <PngAgt>	[0..1]			2015
	<b>Name</b> <Nm>	[1..1]	Text		2016
	<b>Identification</b> <Id>	[0..1]			2016
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2016
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2017
	<b>Purpose</b> <Purp>	[0..1]	Text		2017
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2018
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2018
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2018
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2018
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2018
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2019
	<b>Depository</b> <Dpstry>	[0..1]			2019
	<b>Name</b> <Nm>	[1..1]	Text		2020
	<b>Identification</b> <Id>	[0..1]			2020
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2020
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2021
	<b>Purpose</b> <Purp>	[0..1]	Text		2021
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2022
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2022

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2022
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2022
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2022
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2023
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			2023
	<b>Name</b> <Nm>	[1..1]	Text		2024
	<b>Identification</b> <Id>	[0..1]			2024
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2025
	<b>Purpose</b> <Purp>	[0..1]	Text		2025
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2026
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2026
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2026
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2026
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2026
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2027
	<b>SecurityCSDLLink</b> <SctyCSDLk>	[0..*]			2027
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2028
	<b>ValidTo</b> <VldTo>	[0..1]	±		2028
	<b>SecurityMaintenance</b> <SctyMntnc>	[0..1]	Indicator		2029
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			2029
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2029
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2030
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2030
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2031

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]			2031
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2032
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C8, C9, C12	2032
	<b>BusinessError</b> <BizErr>	[1..*]			2033
	<b>Error</b> <Err>	[1..1]			2034
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2034
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2034
	<b>Description</b> <Desc>	[0..1]	Text		2034
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2034
	<b>Error</b> <Err>	[1..1]			2035
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2035
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2035
	<b>Description</b> <Desc>	[0..1]	Text		2035

### 32.4.3.1 SecurityReportOrBusinessError <SctyRptOrBizErr>

*Presence:* [1..1]

*Definition:* Provides the financial instruments details or the business error.

**SecurityReportOrBusinessError <SctyRptOrBizErr> contains one of the following SecurityOrBusinessError4Choice elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecurityReport</b> <SctyRpt>	[1..*]			1836
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C8, C9, C12	1853
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..1]			1854
	<b>Equity</b> <Eqty>	[0..1]			1862
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1863
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1863
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1863
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1864
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1864
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1864
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1865
	<b>Warrant</b> <Warrt>	[0..1]			1865
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1866
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1866
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1867
	<b>Value</b> <Val>	[1..1]	±		1867
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1868
	<b>Type</b> <Tp>	[0..1]			1868
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1869
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1869
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1869
	<b>Name</b> <Nm>	[1..1]	Text		1870
	<b>Identification</b> <Id>	[0..1]			1870
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1871
	<b>Purpose</b> <Purp>	[0..1]	Text		1871
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1872



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1872
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1872
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1872
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1873
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1873
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1877
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1877
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1878
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1878
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1878
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1878
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1878
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1878
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1879
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1879
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1879
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1879
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1879
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1879
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1879
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1879
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1880
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1880
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1880
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1880
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1880
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1881
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1881
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1881
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1881

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1881
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1882
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1882
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1883
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1883
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1883
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1883
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1883
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1884
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1885
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1885
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1885
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1885
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1885
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1885
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1885
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1886
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1886
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1886
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1886
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1886
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1886
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1886
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1887
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1887
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1887

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1887
	<b>Value</b> <Val>	[1..1]	Rate		1888
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1894
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1894
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896
	<b>GlobalType</b> <GblTp>	[0..1]			1896
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1897
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1897
	<b>YieldRange</b> <YldRg>	[0..1]	±		1897
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1898
	<b>Purpose</b> <Purp>	[0..1]	Text		1898
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1898
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1899
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1899
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1899

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1899
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1899
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1899
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1900
	<b>Production</b> <Pdctn>	[0..1]	Text		1900
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1900
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1900
	<b>Sector</b> <Sctr>	[0..1]	Text		1901
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1901
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1901
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1901
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1901
	<b>PriceRange</b> <PricRg>	[0..1]	±		1902
	<b>Derivative</b> <Deriv>	[0..1]			1902
	<b>Future</b> <Futr>	[0..1]			1906
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926
	<b>Option</b> <Optn>	[0..1]			1926
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1930
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1930
	<b>StrikePrice</b> <StrkPric>	[0..1]			1930
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1933
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1935
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1936
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
	<b>Quantity</b> <Qty>	[0..1]			1940

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1950
	<b>SecurityStatus</b> <SctySts>	[0..1]			1960
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1960
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1960

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	<b>ISOShortName</b> <ISOShrtNm>	[0..1]	Text		1961
	<b>ISOLongName</b> <ISOLngNm>	[0..1]	Text		1961
	<b>ValidFrom</b> <VldFr>	[0..1]	±		1961
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1961
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1962
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1962
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1962
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1962
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1962
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1962
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1962
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1963
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1963
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1963
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1964
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1964
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1964
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1964
	<b>Purpose</b> <Purp>	[0..1]	Text		1964
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1964
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1965
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1965
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1965
	<b>Issuance</b> <Issnc>	[0..1]			1965
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1966
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1967
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1967
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1967
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1967
	<b>Name</b> <Nm>	[1..1]	Text		1968



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1969
	<b>Purpose</b> <Purp>	[0..1]	Text		1969
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1970
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1970
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1970
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1971
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1971
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1971
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1972
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1972
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1972
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1973
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1973
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1973
	<b>Identification</b> <Id>	[0..1]	Text		1973
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1973
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1974
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1974
	<b>RoundLot</b> <RndLot>	[0..1]	±		1974
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1975
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1975
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1975
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1976
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1977
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1977
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1977
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1977
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1978
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1979
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1981
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1982
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1983
	<b>PutType</b> <PutTp>	[0..1]			1983
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1983
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1983
	<b>CallType</b> <CallTp>	[0..1]			1984
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1984
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1984
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1984
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1985
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1985
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1985
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1985
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1986

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConversionRatioDenominator</b> <ConvRatioDnmtr>	[0..1]	±		1986
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1986
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1986
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1987
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1987
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1987
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1987
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1988
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1988
	<b>Class</b> <Clss>	[0..1]	Text		1988
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1988
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1988
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1989
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1989
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1989
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1990
	<b>AfterExchangePhysicalForm</b> <AfrXchgPhysForm>	[0..1]			1990
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1991
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1991
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1991
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1991
	<b>RedemptionType</b> <RedTp>	[0..1]			1992
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1992
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1992
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1993
	<b>Restriction</b> <Rstrctn>	[0..*]			1993
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1994
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1994
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1995
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1996
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>InvestorType</b> <InvstrTp>	[0..*]			1997
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>FinancialInstrumentIdentificationValidity</b> <FinInstrmIdVldty>	[0..*]			1998
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1998
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1999
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1999
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			2000
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2000
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2000
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		2001
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		2001
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		2001
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		2001
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			2002
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			2002
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003
	<b>LegalForm</b> <LglForm>	[0..1]	±		2003
	<b>ContactName</b> <CtctNm>	[0..1]			2003
	<b>Name</b> <Nm>	[1..1]	Text		2004
	<b>Identification</b> <Id>	[0..1]			2004
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2004

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2006
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2006
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2006
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2006
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2006
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2007
	<b>LeadManager</b> <LeadMgr>	[0..1]			2007
	<b>Name</b> <Nm>	[1..1]	Text		2008
	<b>Identification</b> <Id>	[0..1]			2008
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2008
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009
	<b>Purpose</b> <Purp>	[0..1]	Text		2009
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2010
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2010
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2010
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2010
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2010
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2011
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			2011
	<b>Name</b> <Nm>	[1..1]	Text		2012
	<b>Identification</b> <Id>	[0..1]			2012
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2012
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2013
	<b>Purpose</b> <Purp>	[0..1]	Text		2013
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2013

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2014
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2014
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2014
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2014
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2014
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2015
	<b>PayingAgent</b> <PngAgt>	[0..1]			2015
	<b>Name</b> <Nm>	[1..1]	Text		2016
	<b>Identification</b> <Id>	[0..1]			2016
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2016
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2017
	<b>Purpose</b> <Purp>	[0..1]	Text		2017
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2018
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2018
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2018
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2018
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2018
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2019
	<b>Depository</b> <Dpstry>	[0..1]			2019
	<b>Name</b> <Nm>	[1..1]	Text		2020
	<b>Identification</b> <Id>	[0..1]			2020
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2020
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2021
	<b>Purpose</b> <Purp>	[0..1]	Text		2021
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2022
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2022

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2022
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2022
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2022
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2023
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			2023
	<b>Name</b> <Nm>	[1..1]	Text		2024
	<b>Identification</b> <Id>	[0..1]			2024
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2025
	<b>Purpose</b> <Purp>	[0..1]	Text		2025
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2026
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2026
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2026
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2026
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2026
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2027
	<b>SecurityCSDLLink</b> <SctyCSDLk>	[0..*]			2027
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2028
	<b>ValidTo</b> <VldTo>	[0..1]	±		2028
	<b>SecurityMaintenance</b> <SctyMntnc>	[0..1]	Indicator		2029
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			2029
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2029
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2030
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2030
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2031

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]			2031
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2032
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C8, C9, C12	2032
	<b>BusinessError</b> <BizErr>	[1..*]			2033
	<b>Error</b> <Err>	[1..1]			2034
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2034
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2034
	<b>Description</b> <Desc>	[0..1]	Text		2034

#### 32.4.3.1.1 SecurityReport <SctyRpt>

*Presence:* [1..\*]

*Definition:* Provides the financial instruments details.



**SecurityReport <SctyRpt>** contains the following **SecurityAttributes11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C6, C7, C8, C9, C12	1853
	<b>FinancialInstrumentType</b> <FinInstrmTp>	[0..1]			1854
	<b>Equity</b> <Eqty>	[0..1]			1862
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1863
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1863
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1863
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1864
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1864
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1864
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1865
	<b>Warrant</b> <Warrt>	[0..1]			1865
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1866
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1866
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1867
	<b>Value</b> <Val>	[1..1]	±		1867
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1868
	<b>Type</b> <Tp>	[0..1]			1868
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1869
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1869
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1869
	<b>Name</b> <Nm>	[1..1]	Text		1870
	<b>Identification</b> <Id>	[0..1]			1870
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1871
	<b>Purpose</b> <Purp>	[0..1]	Text		1871
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1872
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1872
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1872

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1872
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1873
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1873
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1877
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1877
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1878
	<b>InterestFixingDate</b> <IntrstFngDt>	[0..1]	DateTime		1878
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1878
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1878
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1878
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1878
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1879
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1879
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1879
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1879
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1879
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1879
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1879
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1879
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1880
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1880
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1880
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1880
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1880
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1881
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1881
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1881
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1881
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1881
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1882

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1882
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1883
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1883
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1883
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1883
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1883
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1884
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1885
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1885
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1885
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1885
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1885
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1885
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1885
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1886
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltYld>	[0..1]	Indicator		1886
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1886
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1886
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1886
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1886
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1886
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1887
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1887
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1887
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1887
	<b>Value</b> <Val>	[1..1]	Rate		1888

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1894
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1894
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896
	<b>GlobalType</b> <GblTp>	[0..1]			1896
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1897
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1897
	<b>YieldRange</b> <YldRg>	[0..1]	±		1897
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1898
	<b>Purpose</b> <Purp>	[0..1]	Text		1898
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1898
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1899
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1899
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1899
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1899
	<b>MaximumSubstitution</b> <MaxSbstitn>	[0..1]	Quantity		1899

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1899
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1900
	<b>Production</b> <Pdctn>	[0..1]	Text		1900
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1900
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1900
	<b>Sector</b> <Sctr>	[0..1]	Text		1901
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1901
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1901
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1901
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1901
	<b>PriceRange</b> <PricRg>	[0..1]	±		1902
	<b>Derivative</b> <Deriv>	[0..1]			1902
	<b>Future</b> <Futr>	[0..1]			1906
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926
	<b>Option</b> <Optn>	[0..1]			1926
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1930
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930
	<b>ConversionDate</b> <ConvstDt>	[0..1]	DateTime		1930
	<b>StrikePrice</b> <StrkPric>	[0..1]			1930

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1933
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1935
	<b>StrikeMultiplier</b> <StrkMltpl>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1936
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
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{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..*]			1950
	<b>SecurityStatus</b> <SctySts>	[0..1]			1960
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1960
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1960
	<b>FinancialInstrumentName</b> <FinInstrmNm>	[0..*]			1961
	<b>ISOShortName</b> <ISOShrtnm>	[0..1]	Text		1961



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ISOLongName</b> <ISOLngNm>	[0..1]	Text		1961
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	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1961
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1962
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1962
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1962
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1962
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1962
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1962
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1962
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1963
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1963
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1963
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1964
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1964
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1964
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1964
	<b>Purpose</b> <Purp>	[0..1]	Text		1964
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1964
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1965
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	<b>Issuance</b> <Issnc>	[0..1]			1965
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1966
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1967
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1967
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1967
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1967
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Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1970
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1970
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1970
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1971
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1971
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1971
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1972
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1972
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1972
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	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1973
	<b>Identification</b> <Id>	[0..1]	Text		1973
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1973
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1974
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1974
	<b>RoundLot</b> <RndLot>	[0..1]	±		1974
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1975
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1975
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1975
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1976
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1977
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1977
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1977
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1977
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1978
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1979
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980
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	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1981
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1983
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	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1985
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1985
	<b>ConvertibleIndicator</b> <Convtblnd>	[0..1]	Indicator		1985
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1985
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1986
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1986
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1986
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1986

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Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1987
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1987
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1987
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1988
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1988
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	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1988
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1988
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1989
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1989
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1989
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1990
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1990
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1991
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1991
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1991
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1991
	<b>RedemptionType</b> <RedTp>	[0..1]			1992
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1992
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1992
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1993
	<b>Restriction</b> <Rstrctn>	[0..*]			1993
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1994
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1994
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1995
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1996
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>InvestorType</b> <InvstrTp>	[0..*]			1997
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>FinancialInstrumentIdentificationValidity</b> <FinInstrmIdVldty>	[0..*]			1998
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1998
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1999
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1999
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			2000
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	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		2001
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		2001
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		2001
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			2002
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			2002
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003
	<b>LegalForm</b> <LglForm>	[0..1]	±		2003
	<b>ContactName</b> <CtctNm>	[0..1]			2003
	<b>Name</b> <Nm>	[1..1]	Text		2004
	<b>Identification</b> <Id>	[0..1]			2004
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2004
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2005
	<b>Purpose</b> <Purp>	[0..1]	Text		2005
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2005

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2006
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2006
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2006
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2006
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2006
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2007
	<b>LeadManager</b> <LeadMgr>	[0..1]			2007
	<b>Name</b> <Nm>	[1..1]	Text		2008
	<b>Identification</b> <Id>	[0..1]			2008
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2008
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009
	<b>Purpose</b> <Purp>	[0..1]	Text		2009
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2010
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2010
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2010
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2010
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2010
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2011
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			2011
	<b>Name</b> <Nm>	[1..1]	Text		2012
	<b>Identification</b> <Id>	[0..1]			2012
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2013
	<b>Purpose</b> <Purp>	[0..1]	Text		2013
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2014
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2014

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2014
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2015
	<b>PayingAgent</b> <PngAgt>	[0..1]			2015
	<b>Name</b> <Nm>	[1..1]	Text		2016
	<b>Identification</b> <Id>	[0..1]			2016
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Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2017
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	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2018
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2018
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2018
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2018
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2018
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2019
	<b>Depository</b> <Dpstry>	[0..1]			2019
	<b>Name</b> <Nm>	[1..1]	Text		2020
	<b>Identification</b> <Id>	[0..1]			2020
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2020
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2021
	<b>Purpose</b> <Purp>	[0..1]	Text		2021
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2022
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2022
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2022
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2022
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2022

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
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	<b>Name</b> <Nm>	[1..1]	Text		2024
	<b>Identification</b> <Id>	[0..1]			2024
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2025
	<b>Purpose</b> <Purp>	[0..1]	Text		2025
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2026
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2026
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2026
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2026
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2026
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2027
	<b>SecurityCSDLLink</b> <SctyCSDLk>	[0..*]			2027
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2028
	<b>ValidTo</b> <VldTo>	[0..1]	±		2028
	<b>SecurityMaintenance</b> <SctyMntnc>	[0..1]	Indicator		2029
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			2029
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2029
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2030
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2030
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2031
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]			2031
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032



### 32.4.3.1.1.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..\*]

*Definition:* Way(s) of identifying the security.

*Impacted by:* C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

---

/ISIN Must be present  
Or /Description Must be present

#### **32.4.3.1.1.2 FinancialInstrumentType <FinInstrmTp>**

*Presence:* [0..1]

*Definition:* Provides additional details about the financial instrument.

**FinancialInstrumentType <FinInstrmTp>** contains the following **FinancialInstrument97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Equity</b> <Eqty>	[0..1]			1862
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1863
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1863
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1863
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1864
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1864
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1864
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1865
	<b>Warrant</b> <Warrt>	[0..1]			1865
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1866
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1866
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1867
	<b>Value</b> <Val>	[1..1]	±		1867
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1868
	<b>Type</b> <Tp>	[0..1]			1868
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1869
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1869
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1869
	<b>Name</b> <Nm>	[1..1]	Text		1870
	<b>Identification</b> <Id>	[0..1]			1870
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1871
	<b>Purpose</b> <Purp>	[0..1]	Text		1871
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1872
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1872
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1872
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1872

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1873
	<b>Debt</b> <Debt>	[0..1]		C11, C13	1873
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1877
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1877
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1878
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1878
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1878
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1878
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1878
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1878
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1879
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	DateTime		1879
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1879
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1879
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1879
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1879
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1879
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1879
	<b>CallableIndicator</b> <CllblInd>	[0..1]	Indicator		1880
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1880
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1880
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1880
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1880
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1881
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1881
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1881
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1881
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1881
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1882
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1882
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1883
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1883
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1883
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1883
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1883
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1884
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1885
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1885
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1885
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1885
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1885
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1885
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1885
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1886
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1886
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1886
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1886
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1886
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1886
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1886
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1887
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1887
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1887
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1887
	<b>Value</b> <Val>	[1..1]	Rate		1888
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1894
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1894
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896
	<b>GlobalType</b> <GblTp>	[0..1]			1896
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1897
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1897
	<b>YieldRange</b> <YldRg>	[0..1]	±		1897
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1898
	<b>Purpose</b> <Purp>	[0..1]	Text		1898
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1898
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1899
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1899
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1899
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1899
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1899
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1899
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1900

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Production</b> <Pdctn>	[0..1]	Text		1900
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1900
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1900
	<b>Sector</b> <Sctr>	[0..1]	Text		1901
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1901
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1901
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1901
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1901
	<b>PriceRange</b> <PricRg>	[0..1]	±		1902
	<b>Derivative</b> <Deriv>	[0..1]			1902
	<b>Future</b> <Futr>	[0..1]			1906
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926
	<b>Option</b> <Optn>	[0..1]			1926
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1930
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930
	<b>ConversionDate</b> <ConvDts>	[0..1]	DateTime		1930
	<b>StrikePrice</b> <StrkPric>	[0..1]			1930
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1933
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1935
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1936
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
	<b>Quantity</b> <Qty>	[0..1]			1940
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950

#### 32.4.3.1.1.2.1 Equity <Eqty>

*Presence:* [0..1]

*Definition:* Financial instrument which represents a title of ownership in a company, ie, the shareholder is entitled to a part of the company's profit - usually by payment of a dividend - and to voting rights, if any. Each company issues generally different classes of shares, eg, ordinary or common shares, which have no guaranteed amount of dividend but carry voting rights, or preferred shares, which receive dividends before ordinary shares but have no voting right.

**Equity <Eqty>** contains the following **Equity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreferenceToIncome</b> <PrefToIncm>	[1..1]			1863
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1863
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1863
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1864
	<b>NonPaidAmount</b> <NonPdAmt>	[0..1]	Amount	C1, C5	1864
	<b>ParValue</b> <ParVal>	[0..1]	Amount	C1, C5	1864
	<b>VotingRightsPerShare</b> <VtngRghtsPerShr>	[0..1]	Quantity		1865

#### 32.4.3.1.1.2.1.1 PreferenceToIncome <PrefToIncm>

*Presence:* [1..1]

*Definition:* Indicates the level of priority to claim on income and assets of the company in case of the payment of dividends and in the event of a bankruptcy, for example, ordinary/common stocks, preferred stocks, subordinated debt, etc.

**PreferenceToIncome <PrefToIncm>** contains one of the following **PreferenceToIncome5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1863
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1863

##### 32.4.3.1.1.2.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Preference to income expressed as an ISO 20022 code.

*Datatype:* "PreferenceToIncome1Code" on page 2841

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

##### 32.4.3.1.1.2.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Preference to income expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.1.2 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time at which the security will no longer exist, for example, redeemable preference shares.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 32.4.3.1.1.2.1.3 NonPaidAmount <NonPdAmt>

*Presence:* [0..1]

*Definition:* Nominal amount which is not paid yet.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.1.4 ParValue <ParVal>

*Presence:* [0..1]

*Definition:* Nominal value of an equity security.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

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Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.2.1.5 VotingRightsPerShare <VtngRghtsPerShr>**

*Presence:* [0..1]

*Definition:* Number of voting rights per share.

*Datatype:* "Number" on page 2862

#### **32.4.3.1.1.2.2 Warrant <Warrt>**

*Presence:* [0..1]

*Definition:* Financial instrument that gives the holder the right to purchase shares or bonds at a given price within a specified time.

**Warrant <Warrt>** contains the following **Warrant4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Multiplier</b> <Mltplr>	[0..1]	Rate		1866
	<b>SubscriptionPrice</b> <SbcptPric>	[0..1]			1866
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1867
	<b>Value</b> <Val>	[1..1]	±		1867
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1868
	<b>Type</b> <Tp>	[0..1]			1868
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1869
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1869
	<b>WarrantAgent</b> <WarrtAgt>	[0..*]			1869
	<b>Name</b> <Nm>	[1..1]	Text		1870
	<b>Identification</b> <Id>	[0..1]			1870
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1871
	<b>Purpose</b> <Purp>	[0..1]	Text		1871
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1871
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1872
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1872
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1872
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1872
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1873

#### 32.4.3.1.1.2.2.1 Multiplier <Mltplr>

*Presence:* [0..1]

*Definition:* Specifies the ratio or multiply factor used to convert from contracts to shares.

*Datatype:* "BaseOneRate" on page 2862

#### 32.4.3.1.1.2.2.2 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a warrant is entitled to buy the underlying instrument.

**SubscriptionPrice <SbcptPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1867
	<b>Value</b> <Val>	[1..1]	±		1867
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1868

#### 32.4.3.1.1.2.2.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.2.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

### 32.4.3.1.1.2.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

### 32.4.3.1.1.2.2.3 Type <Tp>

*Presence:* [0..1]



*Definition:* Indicates when a warrant can be exercised.

**Type <Tp>** contains one of the following **WarrantStyle3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1869
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1869

#### 32.4.3.1.1.2.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Warrant style expressed as an ISO 20022 code.

*Datatype:* "WarrantStyle1Code" on page 2857

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

#### 32.4.3.1.1.2.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Warrant style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.2.4 WarrantAgent <WarrtAgt>

*Presence:* [0..\*]

*Definition:* Entity appointed by the issuer to process the exercising of warrants, sometimes responsible for the issuance of the warrants into the market.

**WarrantAgent <WarrtAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1870
	<b>Identification &lt;Id&gt;</b>	[0..1]			1870
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1871
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1871
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1871
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1871
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1872
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1872
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1872
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1872
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1872
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1873

#### 32.4.3.1.1.2.2.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.2.2.4.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1870
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1871

#### 32.4.3.1.1.2.2.4.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 32.4.3.1.1.2.2.4.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 32.4.3.1.1.2.2.4.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 32.4.3.1.1.2.2.4.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 32.4.3.1.1.2.2.4.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.2.2.4.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.2.2.4.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.2.2.4.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.2.2.4.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.2.2.4.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.2.2.4.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.2.3 Debt <Debt>

*Presence:* [0..1]

*Definition:* Financial instruments evidencing moneys owed by the issuer to the holder on terms as specified.

*Impacted by:* C11 "NextCallableDateAndCallableIndicatorRule", C13 "PutableDateAndPutableIndicatorRule"

**Debt <Debt>** contains the following **Debt5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentCurrency</b> <PmtCcy>	[0..1]	CodeSet	C1	1877
	<b>FaceAmount</b> <FaceAmt>	[0..1]	Amount	C1, C5	1877
	<b>PaymentFrequency</b> <PmtFrqcy>	[0..1]	±		1878
	<b>InterestFixingDate</b> <IntrstFxdDt>	[0..1]	DateTime		1878
	<b>DatedDate</b> <DtdDt>	[0..1]	DateTime		1878
	<b>FirstPaymentDate</b> <FrstPmtDt>	[0..1]	DateTime		1878
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	DateTime		1878
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	DateTime		1878
	<b>PutableDate</b> <PutblDt>	[0..1]	DateTime		1879
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	DateTime		1879
	<b>NextFactorDate</b> <NxtFctrDt>	[0..1]	DateTime		1879
	<b>ExpirationDate</b> <XprtnDt>	[0..1]	DateTime		1879
	<b>PaymentDirectionIndicator</b> <PmtDrctnInd>	[0..1]	Indicator		1879
	<b>InterestRate</b> <IntrstRate>	[0..1]	Rate		1879
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	Rate		1879
	<b>OddCouponIndicator</b> <OddCpnInd>	[0..1]	Indicator		1879
	<b>CallableIndicator</b> <ClblInd>	[0..1]	Indicator		1880
	<b>CPPProgram</b> <CPPrgm>	[0..1]	Quantity		1880
	<b>CPRegistrationType</b> <CPRegnTp>	[0..1]	Text		1880
	<b>InterestAccrualDate</b> <IntrstAcrlDt>	[0..1]	DateTime		1880
	<b>PutableIndicator</b> <PutblInd>	[0..1]	Indicator		1880
	<b>PreFundedIndicator</b> <PreFnddInd>	[0..1]	Indicator		1881
	<b>EscrowedIndicator</b> <EscrwdInd>	[0..1]	Indicator		1881
	<b>PerpetualIndicator</b> <PerptlInd>	[0..1]	Indicator		1881
	<b>SubordinatedIndicator</b> <SubrdntdInd>	[0..1]	Indicator		1881
	<b>ExtendibleIndicator</b> <XtndblInd>	[0..1]	Indicator		1881
	<b>ExtendiblePeriod</b> <XtndblPrd>	[0..1]			1882
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882
	<b>VariableRateIndicator</b> <VarblRateInd>	[0..1]	Indicator		1882

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OverAllotmentAmount</b> <OverAlltmtAmt>	[0..1]	Amount	C1, C5	1883
	<b>OverAllotmentRate</b> <OverAlltmtRate>	[0..1]	Rate		1883
	<b>AmortisableIndicator</b> <AmtsblInd>	[0..1]	Indicator		1883
	<b>InterestCalculationMethod</b> <IntrstClctnMtd>	[0..1]	Text		1883
	<b>CapitalisedInterest</b> <CptlsdIntrst>	[0..1]			1883
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884
	<b>ActualDenominationAmount</b> <ActlDnmtnAmt>	[0..*]	Amount	C1, C5	1884
	<b>CurrentFactor</b> <CurFctr>	[0..1]	Rate		1885
	<b>NextFactor</b> <NxtFctr>	[0..1]	Rate		1885
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	Rate		1885
	<b>Pieces</b> <Pcs>	[0..1]	Quantity		1885
	<b>PoolsMaximum</b> <PlsMax>	[0..1]	Quantity		1885
	<b>PoolsPerMillion</b> <PlsPerMln>	[0..1]	Quantity		1885
	<b>PoolsPerLot</b> <PlsPerLot>	[0..1]	Quantity		1885
	<b>PoolsPerTrade</b> <PlsPerTrad>	[0..1]	Quantity		1886
	<b>ConstantPrePaymentPenaltyIndicator</b> <CstPrePmtPnltyInd>	[0..1]	Indicator		1886
	<b>LotIdentification</b> <LotId>	[0..1]	Text		1886
	<b>ConstantPrePaymentYield</b> <CstPrePmtYld>	[0..1]	Rate		1886
	<b>WeightedAverageCoupon</b> <WghtdAvrgCpn>	[0..1]	Rate		1886
	<b>WeightedAverageLife</b> <WghtdAvrgLife>	[0..1]	Quantity		1886
	<b>WeightedAverageLoan</b> <WghtdAvrgLn>	[0..1]	Quantity		1886
	<b>WeightedAverageMaturity</b> <WghtdAvrgMtrty>	[0..1]	Quantity		1887
	<b>InsuredIndicator</b> <InsrldInd>	[0..1]	Indicator		1887
	<b>BankQualifiedIndicator</b> <BkQlfdInd>	[0..1]	Indicator		1887
	<b>YieldCalculation</b> <YldClctn>	[0..*]			1887
	<b>Value</b> <Val>	[1..1]	Rate		1888
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894
	<b>InterestType</b> <IntrstTp>	[0..1]	CodeSet		1894
	<b>InstrumentStructureType</b> <InstrmStrTp>	[0..1]			1894
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896
	<b>GlobalType</b> <GblTp>	[0..1]			1896
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897
	<b>PotentialEuroSystemEligibility</b> <PotntlEuroSysElgblty>	[0..1]	Indicator		1897
	<b>Geographics</b> <Geogcs>	[0..1]	Text		1897
	<b>YieldRange</b> <YldRg>	[0..1]	±		1897
	<b>CouponRange</b> <CpnRg>	[0..1]	±		1898
	<b>Purpose</b> <Purp>	[0..1]	Text		1898
	<b>AlternativeMinimumTaxIndicator</b> <AltrntvMinTaxInd>	[0..1]	Indicator		1898
	<b>AutoReinvestment</b> <AutoRinvstmt>	[0..1]	Rate		1899
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		1899
	<b>TransactionConditions</b> <TxConds>	[0..1]	±		1899
	<b>LookBack</b> <LookBck>	[0..1]	Quantity		1899
	<b>MaximumSubstitution</b> <MaxSbsttn>	[0..1]	Quantity		1899
	<b>MinimumIncrement</b> <MinIncrmt>	[0..1]	±		1899
	<b>MinimumQuantity</b> <MinQty>	[0..1]	±		1900
	<b>Production</b> <Pdctn>	[0..1]	Text		1900
	<b>RestrictedIndicator</b> <RstrctdInd>	[0..1]	Indicator		1900



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceFrequency</b> <PricFrqcy>	[0..1]	±		1900
	<b>Sector</b> <Sctr>	[0..1]	Text		1901
	<b>SubstitutionFrequency</b> <SbstitnFrqcy>	[0..1]	±		1901
	<b>SubstitutionLeft</b> <SbstitnLft>	[0..1]	Quantity		1901
	<b>WholePoolIndicator</b> <WhlPoolInd>	[0..1]	Indicator		1901
	<b>PriceSource</b> <PricSrc>	[0..1]	Text		1901
	<b>PriceRange</b> <PricRg>	[0..1]	±		1902

#### Constraints

- **NextCallableDateAndCallableIndicatorRule**

If CallableIndicator is present, then NextCaldate must be present.

- **PutableDateAndPutableIndicatorRule**

If PutableIndicator is present, then PutableDate must be present.

#### 32.4.3.1.1.2.3.1 PaymentCurrency <PmtCcy>

*Presence:* [0..1]

*Definition:* Currency of the payment.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 32.4.3.1.1.2.3.2 FaceAmount <FaceAmt>

*Presence:* [0..1]

*Definition:* Unit value of a debt security.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 32.4.3.1.1.2.3.3 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see "[Frequency35Choice](#)" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

### 32.4.3.1.1.2.3.4 InterestFixingDate <IntrstFxdt>

*Presence:* [0..1]

*Definition:* Date/time at which the rate determination is made, also called determination date.

*Datatype:* "[ISODatetime](#)" on page 2858

### 32.4.3.1.1.2.3.5 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* First date/time at which a security begins to accrue interest.

*Datatype:* "[ISODatetime](#)" on page 2858

### 32.4.3.1.1.2.3.6 FirstPaymentDate <FrstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the first interest payment is due to holders of the security.

*Datatype:* "[ISODatetime](#)" on page 2858

### 32.4.3.1.1.2.3.7 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "[ISODatetime](#)" on page 2858

### 32.4.3.1.1.2.3.8 NextCouponDate <NxtCpnDt>

*Presence:* [0..1]

*Definition:* Date of the coupon attached to the physical security.

*Datatype:* "[ISODatetime](#)" on page 2858

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#### **32.4.3.1.1.2.3.9 PutableDate <PutbIDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODateTime" on page 2858

#### **32.4.3.1.1.2.3.10 NextCallableDate <NxtClbIDt>**

*Presence:* [0..1]

*Definition:* Next date/time at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODateTime" on page 2858

#### **32.4.3.1.1.2.3.11 NextFactorDate <NxtFctrDt>**

*Presence:* [0..1]

*Definition:* The date that the current factor will be changed to a new factor.

*Datatype:* "ISODateTime" on page 2858

#### **32.4.3.1.1.2.3.12 ExpirationDate <XprtnDt>**

*Presence:* [0..1]

*Definition:* Date/time at which an interest bearing security becomes due and assets are to be repaid.

*Datatype:* "ISODateTime" on page 2858

#### **32.4.3.1.1.2.3.13 PaymentDirectionIndicator <PmtDrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates the direction of payment for asset or mortgage backed securities, ie, whether the repaid capital is distributed (payment direction is down) or capitalized (payment direction is up).

*Datatype:* One of the following values must be used (see "PaymentDirectionIndicator" on page 2861):

- *Meaning When True:* Up
- *Meaning When False:* Down

#### **32.4.3.1.1.2.3.14 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.15 NextInterestRate <NxtIntrstRate>**

*Presence:* [0..1]

*Definition:* Specifies the interest rate applicable to the next interest payment period.

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.16 OddCouponIndicator <OddCpnInd>**

*Presence:* [0..1]

*Definition:* Specifies whether the payment of the coupon (interest) on a bond is off the normal schedule.

---

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.17 CallableIndicator <ClblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.18 CPProgram <CPPrgm>**

*Presence:* [0..1]

*Definition:* The program under which a commercial paper is issued. The values that are most used are:

0 = N/A

1 = 3(a)3

2 = 4(2)

3 = 3(a)4

4 = 3(c)7

5 = 144A

6 = 3(a)2

99 = Other.

*Datatype:* "Number" on page 2862

#### **32.4.3.1.1.2.3.19 CPRegistrationType <CPRegnTp>**

*Presence:* [0..1]

*Definition:* Registration type of a commercial paper issuance.

*Datatype:* "Max350Text" on page 2865

#### **32.4.3.1.1.2.3.20 InterestAccrualDate <IntrstAcrlDt>**

*Presence:* [0..1]

*Definition:* Start date used for calculating accrued interest on debt instruments which are being sold between interest payment dates. Often but not always the same as the issue date and the dated date.

*Datatype:* "ISODateTime" on page 2858

#### **32.4.3.1.1.2.3.21 PutableIndicator <PutblInd>**

*Presence:* [0..1]

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*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.22 PreFundedIndicator <PreFnddInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is being escrowed or collateralized either by direct obligations guaranteed by the US government, or by other types of securities. The maturity schedules of the securities in the escrow fund are determined in such a way to pay the maturity value, coupon, and premium payments (if any) of the refunded bonds.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.23 EscrowedIndicator <EscrwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether an interest bearing instrument is deposited in a fund that will be used to pay debt service on refunded securities.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.24 PerpetualIndicator <PerptlInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security has no maturity date.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.25 SubordinatedIndicator <SubrdntdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is a subordinated security.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2861](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.26 ExtendibleIndicator <XtndblInd>**

*Presence:* [0..1]

**Definition:** Indicates whether the security is extendible, eg, repayment may be extended or maturity changed.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.2.3.27 ExtendiblePeriod <XtndbIPrd>

**Presence:** [0..1]

**Definition:** Period during which a date might be extended.

**ExtendiblePeriod <XtndbIPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1882
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1882
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1882

##### 32.4.3.1.1.2.3.27.1 FromDateTime <FrDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 32.4.3.1.1.2.3.27.2 ToDateTime <ToDtTm>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Datatype:** ["ISODatetime"](#) on page 2858

##### 32.4.3.1.1.2.3.27.3 DateTimeRange <DtTmRg>

**Presence:** [1..1]

**Definition:** Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 32.4.3.1.1.2.3.28 VariableRateIndicator <VarbIRateInd>

**Presence:** [0..1]

**Definition:** Indicates whether the interest rate of an interest bearing instrument is reset periodically.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.29 OverAllotmentAmount <OverAlltmtAmt>**

*Presence:* [0..1]

*Definition:* Amount for which a security can be overallocated (as in greenshoe option).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.2.3.30 OverAllotmentRate <OverAlltmtRate>**

*Presence:* [0..1]

*Definition:* Percentage for which a security can be overallocated (as in greenshoe option).

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.31 AmortisableIndicator <AmtsblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether repayment is made via regular principal and interest payments over time.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.32 InterestCalculationMethod <IntrstClctnMtd>**

*Presence:* [0..1]

*Definition:* Calculation method used to calculate interest and/or yield for a bond, for example, street convention.

*Datatype:* "Max70Text" on page 2867

#### **32.4.3.1.1.2.3.33 CapitalisedInterest <CptlsdIntrst>**

*Presence:* [0..1]

*Definition:* Specifies whether the interest amount is capitalised until maturity date or paid out at each interest payment date.

**CapitalisedInterest <CptIsdIntrst>** contains one of the following **DistributionPolicy2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1884
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1884

#### 32.4.3.1.1.2.3.33.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as an ISO 20022 code.

*Datatype:* "DistributionPolicy1Code" on page 2813

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

#### 32.4.3.1.1.2.3.33.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Distribution policy expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.3.34 ActualDenominationAmount <ActIDnmtnAmt>

*Presence:* [0..\*]

*Definition:* Nominal value per security unit.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.2.3.35 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Identifies the current factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.36 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Identifies the new factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.37 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Identifies the previous factor expressed as a decimal between 0 and 1 defining the outstanding principal of the bond (for factored securities).

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.38 Pieces <Pcs>**

*Presence:* [0..1]

*Definition:* Number of pieces composing a pool of financial assets.

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.39 PoolsMaximum <PlsMax>**

*Presence:* [0..1]

*Definition:* Collection of assets by which a security is backed.

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.40 PoolsPerMillion <PlsPerMln>**

*Presence:* [0..1]

*Definition:* Indicates per million the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.41 PoolsPerLot <PlsPerLot>**

*Presence:* [0..1]

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*Definition:* Indicates per lot the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.42 PoolsPerTrade <PlsPerTrad>**

*Presence:* [0..1]

*Definition:* Indicates per trade the collection of loans, mortgages or other assets assembled by an originator as the basis for a security.

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.43 ConstantPrePaymentPenaltyIndicator <CstPrePmtPnltyInd>**

*Presence:* [0..1]

*Definition:* Indicates whether a penalty might be imposed to the borrower of a mortgage in case of prepayments occurring during the lockout period.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.44 LotIdentification <LotId>**

*Presence:* [0..1]

*Definition:* Identifies the lot constituting an asset backed or mortgage backed security issue.

*Datatype:* "Max35Text" on page 2865

#### **32.4.3.1.1.2.3.45 ConstantPrePaymentYield <CstPrePmtYld>**

*Presence:* [0..1]

*Definition:* Measure of prepayment as a yield of the current outstanding loan balance.

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.46 WeightedAverageCoupon <WghtdAvrgCpn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average coupon of the fixed income instrument (expressed as a percentage).

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.3.47 WeightedAverageLife <WghtdAvrgLife>**

*Presence:* [0..1]

*Definition:* Contains the weighted average life of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.48 WeightedAverageLoan <WghtdAvrgLn>**

*Presence:* [0..1]

*Definition:* Contains the weighted average loan of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

---

#### **32.4.3.1.1.2.3.49 WeightedAverageMaturity <WghtdAvrgMtrty>**

*Presence:* [0..1]

*Definition:* Contains the weighted average maturity of the fixed income instrument (expressed in months).

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.3.50 InsuredIndicator <InsrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the instrument is backed by any kind of asset or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.51 BankQualifiedIndicator <BkQlfdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the security is bank qualified (usually applies to loan products).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.2.3.52 YieldCalculation <YldClctn>**

*Presence:* [0..\*]

*Definition:* Rate of return on an investment, based on the price.

**YieldCalculation <YldClctn>** contains the following **YieldCalculation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Rate		1888
	<b>CalculationType</b> <ClctnTp>	[0..1]			1888
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891
	<b>RedemptionPrice</b> <RedPric>	[0..1]			1891
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892
	<b>ValueDate</b> <ValDt>	[1..1]	Date		1893
	<b>ValuePeriod</b> <ValPrd>	[1..1]			1893
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1893
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1894
	<b>CalculationDate</b> <ClctnDt>	[1..1]	DateTime		1894

#### 32.4.3.1.1.2.3.52.1 Value <Val>

*Presence:* [1..1]

*Definition:* Result of the yield calculation.

*Datatype:* "PercentageRate" on page 2862

#### 32.4.3.1.1.2.3.52.2 CalculationType <ClctnTp>

*Presence:* [0..1]

*Definition:* Specifies the type of calculation.

**CalculationType <ClctnTp>** contains one of the following **CalculationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1888
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1891

#### 32.4.3.1.1.2.3.52.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Calculation type expressed as an ISO 20022 code.

*Datatype:* "CalculationType1Code" on page 2801

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CMPD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.

CodeName	Name	Definition
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.

CodeName	Name	Definition
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

### 32.4.3.1.1.2.3.52.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Calculation type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.2.3.52.3 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price to which the yield has been calculated.

**RedemptionPrice <RedPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1891
	<b>Value</b> <Val>	[1..1]	±		1892
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1892

#### 32.4.3.1.1.2.3.52.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "[PriceValueType3Code](#)" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.3.52.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.3.52.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to



CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.3.52.4 ValueDate <ValDt>

*Presence:* [1..1]

*Definition:* Date/time on which the calculation is based, for example, valuation on October 1 (price date) based on price of September 19 (value date).

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.2.3.52.5 ValuePeriod <ValPrd>

*Presence:* [1..1]

*Definition:* Period on which the calculation is based.

**ValuePeriod <ValPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		1893
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		1894
Or}	<b>DateTimeRange &lt;DtTmRg&gt;</b>	[1..1]	±		1894

#### 32.4.3.1.1.2.3.52.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

### 32.4.3.1.1.2.3.52.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

### 32.4.3.1.1.2.3.52.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

### 32.4.3.1.1.2.3.52.6 CalculationDate <ClctnDt>

*Presence:* [1..1]

*Definition:* Clarifies yield irregularities associated with date, for example when it falls on a non-business day.

*Datatype:* "ISODatetime" on page 2858

### 32.4.3.1.1.2.3.53 InterestType <IntrstTp>

*Presence:* [0..1]

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Datatype:* "InterestType3Code" on page 2830

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

### 32.4.3.1.1.2.3.54 InstrumentStructureType <InstrmStrTp>

*Presence:* [0..1]

*Definition:* Indicates the type of deal for structured finance.

**InstrumentStructureType <InstrmStrTp>** contains one of the following  
**InstrumentSubStructureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1895
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1896

### 32.4.3.1.1.2.3.54.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as an ISO 20022 code.

*Datatype:* "InstrumentSubStructureType1Code" on page 2826

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.

CodeName	Name	Definition
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

#### 32.4.3.1.1.2.3.54.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Instrument sub-structure type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.3.55 GlobalType <GbITp>

*Presence:* [0..1]

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

New Global Note (NGN): Form of global certificate which refers to the books and records of the ICSDs to determine the issue outstanding amount (IOA).

Classical Global Note (CGN): Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the issue outstanding amount (IOA).

**GlobalType <GbITp>** contains one of the following **GlobalNote2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1896
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1897

#### 32.4.3.1.1.2.3.55.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Global note expressed as an ISO 20022 code.

*Datatype:* "[GlobalNote1Code](#)" on page 2825

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

### 32.4.3.1.1.2.3.55.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Global note expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.2.3.56 PotentialEuroSystemEligibility <PotntlEuroSysElgblty>

*Presence:* [0..1]

*Definition:* Flag to indicate the security is intended to be held in a manner that could allow the Eurosystem eligibility.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 32.4.3.1.1.2.3.57 Geographics <Geogcs>

*Presence:* [0..1]

*Definition:* Type of stipulation expressing geographical constraints on a fixed income instrument. It is expressed with a state or country abbreviation and a minimum or maximum percentage. Example: CA 0-80 (minimum of 80 percent in Californian assets).

*Datatype:* "[Max35Text](#)" on page 2865

### 32.4.3.1.1.2.3.58 YieldRange <YldRg>

*Presence:* [0..1]

*Definition:* Range of allowed yield.

**YieldRange <YldRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 32.4.3.1.1.2.3.59 CouponRange <CpnRg>

*Presence:* [0..1]

*Definition:* Identification of a range of coupon numbers attached to its related financial instrument.

**CouponRange <CpnRg>** contains the following elements (see "AmountOrPercentageRange1" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 32.4.3.1.1.2.3.60 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 32.4.3.1.1.2.3.61 AlternativeMinimumTaxIndicator <AltrntvMinTaxInd>

*Presence:* [0..1]

*Definition:* Identifies whether the issue is subject to alternative minimum taxation (used for municipal bonds).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.2.3.62 AutoReinvestment <AutoRinvstmt>

*Presence:* [0..1]

*Definition:* Indicates an instruction to reinvest dividends in the underlying security (or proceeds at maturity in a similar instrument) if the current rate is <rate> or better.

*Datatype:* "PercentageRate" on page 2862

#### 32.4.3.1.1.2.3.63 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 32.4.3.1.1.2.3.64 TransactionConditions <TxConds>

*Presence:* [0..1]

*Definition:* Indicates the conditions under which the order/trade is to be/was executed.

**TransactionConditions <TxConds>** contains one of the following elements (see "TradeTransactionCondition7Choice" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 32.4.3.1.1.2.3.65 LookBack <LookBck>

*Presence:* [0..1]

*Definition:* Indicates an instruction or attribute giving the number of days to be included in the look-back period for the investment. For example some options allow exercise based on the underlying asset's optimal value over the look-back period.

*Datatype:* "Number" on page 2862

#### 32.4.3.1.1.2.3.66 MaximumSubstitution <MaxSbstitn>

*Presence:* [0..1]

*Definition:* Maximum number of time the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 32.4.3.1.1.2.3.67 MinimumIncrement <MinIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable increments of a security.

**MinimumIncrement <MinIncrmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.2.3.68 MinimumQuantity <MinQty>

*Presence:* [0..1]

*Definition:* Indicates the minimum tradable quantity of a security.

**MinimumQuantity <MinQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.2.3.69 Production <Pdctn>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond, particularly an mortgage back security (MBS), issued in a particular year.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.2.3.70 RestrictedIndicator <RstrctdInd>

*Presence:* [0..1]

*Definition:* Identifies if the securities is restricted or not (as per Rule 144).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.2.3.71 PriceFrequency <PricFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the frequency at which the bond is re-rated and therefore re-priced (bond attribute, particularly of floating rate and index linked instruments).



**PriceFrequency <PricFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 32.4.3.1.1.2.3.72 Sector <Sctr>

*Presence:* [0..1]

*Definition:* Indicates the market sector the security is classified as for example pharmaceuticals, automobile, housing, etc.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.2.3.73 SubstitutionFrequency <SbstitnFrqcy>

*Presence:* [0..1]

*Definition:* Indicates the maximum number of times collateral can be substituted.

**SubstitutionFrequency <SbstitnFrqcy>** contains one of the following elements (see "Frequency35Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 32.4.3.1.1.2.3.74 SubstitutionLeft <SbstitnLft>

*Presence:* [0..1]

*Definition:* Number of remaining times the collateral can be substitute.

*Datatype:* "Number" on page 2862

#### 32.4.3.1.1.2.3.75 WholePoolIndicator <WhlPoolInd>

*Presence:* [0..1]

*Definition:* Indicates a search criterion when looking to buy a mortgaged backed security that either is [yes] or is not [no] an entire pool.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.2.3.76 PriceSource <PricSrc>

*Presence:* [0..1]

*Definition:* Identifies the Benchmark source price (eg. BB Generic, BB Fairvalue, Brokertec.).

*Datatype:* "Max35Text" on page 2865

### 32.4.3.1.1.2.3.77 PriceRange <PricRg>

*Presence:* [0..1]

*Definition:* Indicates a search criterion used when looking to buy a bond within a particular price range.

**PriceRange <PricRg>** contains the following elements (see "[AmountOrPercentageRange1](#)" on page 2659 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Optr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

### 32.4.3.1.1.2.4 Derivative <Deriv>

*Presence:* [0..1]

*Definition:* Choice between type of derivatives.

**Derivative <Deriv>** contains the following **Derivative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Future</b> <Futr>	[0..1]			1906
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926
	<b>Option</b> <Optn>	[0..1]			1926
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1930
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1930
	<b>StrikePrice</b> <StrkPric>	[0..1]			1930
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1933
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1935
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1936
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
	<b>Quantity</b> <Qty>	[0..1]			1940
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950

#### 32.4.3.1.1.2.4.1 Future <Futr>

*Presence:* [0..1]

*Definition:* Parameters for contracts which obligate the buyer to receive and the seller to deliver in the future the assets specified at an agreed price.

**Future <Futr> contains the following Future4 elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1908
	<b>ExercisePrice</b> <ExrcPric>	[0..1]			1908
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909
	<b>FutureDate</b> <FutrDt>	[0..1]	DateTime		1910
	<b>MinimumSize</b> <MinSz>	[0..1]	Amount	C1, C5	1910
	<b>UnitOfMeasure</b> <UnitOfMeasr>	[0..1]			1911
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1911
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1913
	<b>TimeUnit</b> <TmUnit>	[0..1]			1913
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1914
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926

#### 32.4.3.1.1.2.4.1.1 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "BaseOneRate" on page 2862

#### 32.4.3.1.1.2.4.1.2 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a Future will have to buy or sell the underlying instrument.

**ExercisePrice <ExrcPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1908
	<b>Value</b> <Val>	[1..1]	±		1909
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1909

#### 32.4.3.1.1.2.4.1.2.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for



CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.1.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.1.2.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.4.1.3 FutureDate <FutrDt>

*Presence:* [0..1]

*Definition:* Date on which future contracts settle.

*Datatype:* "ISODatetime" on page 2858

#### 32.4.3.1.1.2.4.1.4 MinimumSize <MinSz>

*Presence:* [0..1]

*Definition:* Specifies the minimum ratio or multiply factor used to convert from contracts to shares.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.1.5 UnitOfMeasure <UnitOfMeasr>

*Presence:* [0..1]

*Definition:* Used to indicate the measurement unit of the underlying commodity on which the contract is based (for example, 2500 lbs of lean cattle, 1000 barrels of crude oil, 1000 bushels of corn, etc.).

**UnitOfMeasure <UnitOfMeasr>** contains one of the following **UnitOfMeasure7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1911
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1913

##### 32.4.3.1.1.2.4.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as an ISO 20022 code.

*Datatype:* "UnitOfMeasure9Code" on page 2855

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.

CodeName	Name	Definition
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuldOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.

CodeName	Name	Definition
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

#### 32.4.3.1.1.2.4.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unit of measure expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.1.6 TimeUnit <TmUnit>

*Presence:* [0..1]

*Definition:* Used to indicate a time unit for the contract (for example days, weeks, months, etc.).

**TimeUnit <TmUnit>** contains one of the following **TimeUnit3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1914
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1914

#### 32.4.3.1.1.2.4.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Time unit expressed as an ISO 20022 code.

*Datatype:* "TimeUnit1Code" on page 2853

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

#### 32.4.3.1.1.2.4.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Time unit expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.1.7 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1916
	<b>Quantity</b> <Qty>	[0..1]			1916
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916
	<b>SettlementType</b> <SttlmTp>	[0..1]			1916
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1917
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1917
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1917
	<b>CashType</b> <CshTp>	[0..1]	Text		1918
	<b>Price</b> <Pric>	[0..1]			1918
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1920
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921
	<b>EndPrice</b> <EndPric>	[0..1]			1922
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1924
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1924
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1925
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1925
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1926
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1926

### 32.4.3.1.1.2.4.1.7.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

### 32.4.3.1.1.2.4.1.7.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1916
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1916

### 32.4.3.1.1.2.4.1.7.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

### 32.4.3.1.1.2.4.1.7.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 32.4.3.1.1.2.4.1.7.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.



**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1917
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1917

#### 32.4.3.1.1.2.4.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 32.4.3.1.1.2.4.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.1.7.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.1.7.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.2.4.1.7.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1918
	<b>Value</b> <Val>	[1..1]	±		1919
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1919

##### 32.4.3.1.1.2.4.1.7.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.1.7.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.1.7.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.4.1.7.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1920
	<b>Value</b> <Val>	[1..1]	±		1921
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1921

#### 32.4.3.1.1.2.4.1.7.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.1.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.1.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.4.1.7.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1922
	<b>Value</b> <Val>	[1..1]	±		1923
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1923

#### 32.4.3.1.1.2.4.1.7.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.1.7.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.1.7.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.4.1.7.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.1.7.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"



*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.1.7.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.1.7.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1925
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1926

#### 32.4.3.1.1.2.4.1.7.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

---

*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.4.1.7.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.2.4.1.7.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.4.1.7.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.2.4.2 Option <Optn>**

*Presence:* [0..1]

*Definition:* Contracts which grant to the holder either the privilege to purchase or the privilege to sell the assets specified at a predetermined price or formula at or within a time in the future.

**Option <Optn>** contains the following **Option15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionSettlementStyle</b> <OptnSttlmStyle>	[0..1]			1930
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930
	<b>ConversionDate</b> <ConvsDt>	[0..1]	DateTime		1930
	<b>StrikePrice</b> <StrkPric>	[0..1]			1930
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932
	<b>MinimumExercisableQuantity</b> <MinExrcblQty>	[0..1]	±		1932
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]			1933
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		1933
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		1933
Or}	<b>DateTimeRange</b> <DtTmRg>	[1..1]	±		1933
	<b>OptionStyle</b> <OptnStyle>	[0..1]			1934
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934
	<b>OptionType</b> <OptnTp>	[0..1]	±		1934
	<b>StrikeValue</b> <StrkVal>	[0..1]	Quantity		1935
	<b>StrikeMultiplier</b> <StrkMltplr>	[0..1]	Quantity		1935
	<b>InstrumentAssignmentMethod</b> <InstrmAssgnmtMtd>	[0..1]			1935
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935
	<b>VersionNumber</b> <VrsnNb>	[0..1]	Quantity		1936
	<b>ExpiryLocation</b> <XpryLctn>	[0..1]	Text		1936
	<b>Standardisation</b> <Stdstrn>	[0..1]			1936
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>TradingPartyRole</b> <TradgPtyRole>	[0..1]			1937
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937
	<b>ContractSize</b> <CtrctSz>	[0..1]	Rate		1938

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalUnderlyingAttributes</b> <AddtlUndrlygAttrbts>	[0..*]			1938
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
	<b>Quantity</b> <Qty>	[0..1]			1940
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950

### 32.4.3.1.1.2.4.2.1 OptionSettlementStyle <OptnSttlmStyle>

*Presence:* [0..1]

*Definition:* Specifies whether the option contract settles at the open or close of the market.

**OptionSettlementStyle <OptnSttlmStyle>** contains one of the following **SettleStyle2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[0..*]	CodeSet		1930
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1930

#### 32.4.3.1.1.2.4.2.1.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Settle style expressed as an ISO 20022 code.

*Datatype:* "SettleStyle1Code" on page 2851

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

#### 32.4.3.1.1.2.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settle style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.2.2 ConversionDate <ConvDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted according to the terms of the issue.

*Datatype:* "ISODatetime" on page 2858

#### 32.4.3.1.1.2.4.2.3 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Amount of money for which goods, services or assets are offered, sold, or bought.

**StrikePrice <StrkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1931
	<b>Value</b> <Val>	[1..1]	±		1931
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1932

#### 32.4.3.1.1.2.4.2.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

### 32.4.3.1.1.2.4.2.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* "TypeOfPrice1Code" on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

### 32.4.3.1.1.2.4.2.4 MinimumExercisableQuantity <MinExrcblQty>

*Presence:* [0..1]



*Definition:* Minimum quantity of securities that must be exercised.

**MinimumExercisableQuantity <MinExrcblQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

### 32.4.3.1.1.2.4.2.5 ConversionPeriod <ConvsPrd>

*Presence:* [0..1]

*Definition:* Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains one of the following **DateTimePeriod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		1933
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		1933
Or}	DateTimeRange <DtTmRg>	[1..1]	±		1933

#### 32.4.3.1.1.2.4.2.5.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODatetime" on page 2858

#### 32.4.3.1.1.2.4.2.5.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODatetime" on page 2858

#### 32.4.3.1.1.2.4.2.5.3 DateTimeRange <DtTmRg>

*Presence:* [1..1]

*Definition:* Range of time between a start date and time and an end date and time.

**DateTimeRange <DtTmRg>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

### 32.4.3.1.1.2.4.2.6 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following **OptionStyle1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1934
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1934

#### 32.4.3.1.1.2.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style is defined using a code.

*Datatype:* "OptionStyle1Code" on page 2835

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

#### 32.4.3.1.1.2.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style is defined using a data source scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

#### 32.4.3.1.1.2.4.2.7 OptionType <OptnTp>

*Presence:* [0..1]

**Definition:** Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see "OptionType8Choice" on page 2684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 32.4.3.1.1.2.4.2.8 StrikeValue <StrkVal>

**Presence:** [0..1]

**Definition:** Used for derivatives. The number of shares/units for the financial instrument involved in the option trade.

**Datatype:** "Number" on page 2862

#### 32.4.3.1.1.2.4.2.9 StrikeMultiplier <StrkMltplr>

**Presence:** [0..1]

**Definition:** Used for derivatives. Multiplier applied to the strike price for the purpose of calculating the settlement value.

**Datatype:** "Number" on page 2862

#### 32.4.3.1.1.2.4.2.10 InstrumentAssignmentMethod <InstrmAssgnmtMtd>

**Presence:** [0..1]

**Definition:** Method under which assignment was conducted.

**InstrumentAssignmentMethod <InstrmAssgnmtMtd>** contains one of the following **AssignmentMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1935
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1935

##### 32.4.3.1.1.2.4.2.10.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Assignment method expressed as an ISO 20022 code.

**Datatype:** "AssignmentMethod1Code" on page 2799

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

##### 32.4.3.1.1.2.4.2.10.2 Proprietary <Prtry>

**Presence:** [1..1]

*Definition:* Assignment method expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.2.11 VersionNumber <VrsnNb>

*Presence:* [0..1]

*Definition:* Number allocated by options exchanges to record that an option has undergone a change in its contract specifications (particularly adjustment of the strike price).

*Datatype:* "[Number](#)" on page 2862

#### 32.4.3.1.1.2.4.2.12 ExpiryLocation <XpryLctn>

*Presence:* [0..1]

*Definition:* Financial center where option expires.

*Datatype:* "[Max4AlphaNumericText](#)" on page 2866

#### 32.4.3.1.1.2.4.2.13 Standardisation <Stdstn>

*Presence:* [0..1]

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

**Standardisation <Stdstn>** contains one of the following **Standardisation3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1936
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937

##### 32.4.3.1.1.2.4.2.13.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Standardisation expressed as an ISO 20022 code.

*Datatype:* "[Standardisation1Code](#)" on page 2851

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying

CodeName	Name	Definition
		instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

#### 32.4.3.1.1.2.4.2.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Standardisation expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.2.14 TradingPartyRole <TradgPtyRole>

*Presence:* [0..1]

*Definition:* Specifies the party which is the buyer or the seller.

**TradingPartyRole <TradgPtyRole>** contains one of the following **OptionParty3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..*]	CodeSet		1937
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1937

##### 32.4.3.1.1.2.4.2.14.1 Code <Cd>

*Presence:* [1..\*]

*Definition:* Option party expressed as an ISO 20022 code.

*Datatype:* "[OptionParty1Code](#)" on page 2835

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

##### 32.4.3.1.1.2.4.2.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option party expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.2.15 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

*Datatype:* "[BaseOneRate](#)" on page 2862

#### 32.4.3.1.1.2.4.2.16 AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>

*Presence:* [0..\*]

*Definition:* Provides more information about the underlying instrument.

**AdditionalUnderlyingAttributes <AddtlUndrlygAttrbts>** contains the following **UnderlyingAttributes4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AllocationPercentage</b> <AllcnPctg>	[0..1]	Rate		1940
	<b>Quantity</b> <Qty>	[0..1]			1940
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940
	<b>SettlementType</b> <SttlmTp>	[0..1]			1940
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1941
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1941
	<b>CashAmount</b> <CshAmt>	[0..1]	Amount	C1, C5	1941
	<b>CashType</b> <CshTp>	[0..1]	Text		1942
	<b>Price</b> <Pric>	[0..1]			1942
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943
	<b>DirtyPrice</b> <DrtyPric>	[0..1]			1944
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945
	<b>EndPrice</b> <EndPric>	[0..1]			1946
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947
	<b>StartValue</b> <StartVal>	[0..1]	Amount	C1, C5	1948
	<b>CurrentValue</b> <CurVal>	[0..1]	Amount	C1, C5	1948
	<b>EndValue</b> <EndVal>	[0..1]	Amount	C1, C5	1949
	<b>AdjustedQuantity</b> <AdjstdQty>	[0..1]			1949
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950
	<b>ExchangeRate</b> <XchgRate>	[0..1]	Rate		1950
	<b>CapValue</b> <CapVal>	[0..1]	Amount	C1, C5	1950

### 32.4.3.1.1.2.4.2.16.1 AllocationPercentage <AllcnPctg>

*Presence:* [0..1]

*Definition:* Percent of the strike price that this underlying represents.

*Datatype:* "PercentageRate" on page 2862

### 32.4.3.1.1.2.4.2.16.2 Quantity <Qty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security.

**Quantity <Qty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1940
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1940

### 32.4.3.1.1.2.4.2.16.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

### 32.4.3.1.1.2.4.2.16.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 32.4.3.1.1.2.4.2.16.3 SettlementType <SttlmTp>

*Presence:* [0..1]

*Definition:* Indicates order settlement period for the underlying instrument. Represents the number of days until settlement; for example, 2 means T+1 settlement, 4 means T+3 settlement, 5 means T+4 settlement.



**SettlementType <SttlmTp>** contains one of the following **SettlementType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1941
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1941

#### 32.4.3.1.1.2.4.2.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement type expressed as an ISO 20022 code.

*Datatype:* "SettlementType1Code" on page 2851

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

#### 32.4.3.1.1.2.4.2.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.2.4.2.16.4 CashAmount <CshAmt>

*Presence:* [0..1]

*Definition:* Cash amount associated with the underlying component. Necessary for derivatives that deliver into more than one underlying instrument and one of the underlying's is a fixed cash value.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.2.16.5 CashType <CshTp>

*Presence:* [0..1]

*Definition:* Represents how the cash will be calculated. Indicates that the cash is either fixed or a difference value (difference between strike and current underlying price).

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.2.4.2.16.6 Price <Pric>

*Presence:* [0..1]

*Definition:* In a financing deal, clean price (percent-of-par or per unit) of the underlying security or basket.

**Price <Pric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1942
	<b>Value</b> <Val>	[1..1]	±		1943
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1943

##### 32.4.3.1.1.2.4.2.16.6.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.

CodeName	Name	Definition
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.2.16.6.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.2.16.6.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).

CodeName	Name	Definition
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

### 32.4.3.1.1.2.4.2.16.7 DirtyPrice <DrtyPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket. "Dirty" means it includes accrued interest.

**DirtyPrice <DrtyPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1944
	<b>Value</b> <Val>	[1..1]	±		1945
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1945

### 32.4.3.1.1.2.4.2.16.7.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.

CodeName	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.2.16.7.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.2.16.7.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.

CodeName	Name	Definition
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.4.2.16.8 EndPrice <EndPric>

*Presence:* [0..1]

*Definition:* In a financing deal, price (percent-of-par or per unit) of the underlying security or basket at the end of the agreement.

**EndPrice <EndPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1946
	<b>Value</b> <Val>	[1..1]	±		1947
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1947

#### 32.4.3.1.1.2.4.2.16.8.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.

CodeName	Name	Definition
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

#### 32.4.3.1.1.2.4.2.16.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 32.4.3.1.1.2.4.2.16.8.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to

CodeName	Name	Definition
		sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.2.4.2.16.9 StartValue <StartVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the start of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.2.16.10 CurrentValue <CurVal>

*Presence:* [0..1]

*Definition:* Currency value currently attributed to this collateral.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"



*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.2.16.11 EndValue <EndVal>

*Presence:* [0..1]

*Definition:* Currency value attributed to this collateral at the end of the agreement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.2.4.2.16.12 AdjustedQuantity <AdjstdQty>

*Presence:* [0..1]

*Definition:* Unit amount of the underlying security (shares) adjusted for pending corporate action not yet allocated.

**AdjustedQuantity <AdjstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1949
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1950

#### 32.4.3.1.1.2.4.2.16.12.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

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*Datatype:* "DecimalNumber" on page 2861

#### **32.4.3.1.1.2.4.2.16.12.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.2.4.2.16.13 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Foreign exchange rate used to compute the current value.

*Datatype:* "PercentageRate" on page 2862

#### **32.4.3.1.1.2.4.2.16.14 CapValue <CapVal>**

*Presence:* [0..1]

*Definition:* Maximum notional value for a financial instrument that is capped.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **32.4.3.1.1.3 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..\*]

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*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following **CommonFinancialInstrumentAttributes11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityStatus</b> <SctySts>	[0..1]			1960
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1960
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1960
	<b>FinancialInstrumentName</b> <FinInstrmNm>	[0..*]			1961
	<b>ISOShortName</b> <ISOShrtNm>	[0..1]	Text		1961
	<b>ISOLongName</b> <ISOLngNm>	[0..1]	Text		1961
	<b>ValidFrom</b> <VldFr>	[0..1]	±		1961
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C2	1961
	<b>CertificateNumber</b> <CertNb>	[0..1]	Text		1962
	<b>ContractVersionNumber</b> <CtrctVrsnNb>	[0..1]	Quantity		1962
	<b>CouponAttachedNumber</b> <CpnAttchdNb>	[0..1]	Text		1962
	<b>TaxLotNumber</b> <TaxLotNb>	[0..1]	Text		1962
	<b>PoolNumber</b> <PoolNb>	[0..1]	Text		1962
	<b>CoveredIndicator</b> <CvrdInd>	[0..1]	Indicator		1962
	<b>LegalRestrictions</b> <LglRstrctns>	[0..1]			1962
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1963
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1963
	<b>PositionLimit</b> <PosLmt>	[0..1]	±		1963
	<b>NearTermPositionLimit</b> <NearTermPosLmt>	[0..1]	±		1964
	<b>ListingDate</b> <ListgDt>	[0..1]	Date		1964
	<b>RecordDate</b> <RcrdDt>	[0..1]	DateTime		1964
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		1964
	<b>Purpose</b> <Purp>	[0..1]	Text		1964
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]			1964
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1965
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1965
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1965
	<b>Issuance</b> <Issnc>	[0..1]			1965
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1966

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1967
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1967
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1967
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1967
	<b>Name</b> <Nm>	[1..1]	Text		1968
	<b>Identification</b> <Id>	[0..1]			1968
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1968
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1969
	<b>Purpose</b> <Purp>	[0..1]	Text		1969
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1970
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1970
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1970
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1971
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1971
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1971
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1972
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1972
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1972
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1973
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1973
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1973
	<b>Identification</b> <Id>	[0..1]	Text		1973
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1973
	<b>TradingMarket</b> <TradgMkt>	[0..*]			1974
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1974
	<b>RoundLot</b> <RndLot>	[0..1]	±		1974
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1975

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1975
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1975
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1976
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1977
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgld>	[0..1]	IdentifierSet		1977
	<b>SpreadAndBenchmarkCurve</b> <SprdAndBchmkCrv>	[0..*]			1977
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1977
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1978
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1979
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1981
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1982
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1983
	<b>PutType</b> <PutTp>	[0..1]			1983
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1983
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1983
	<b>CallType</b> <CallTp>	[0..1]			1984
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1984
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1984
	<b>FungibleIndicator</b> <Fngblnd>	[0..1]	Indicator		1984
	<b>Confidential</b> <Cnfdtl>	[0..1]	Indicator		1985

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PrivatePlacement</b> <PrvtPlcmnt>	[0..1]	Indicator		1985
	<b>ConvertibleIndicator</b> <ConvtblInd>	[0..1]	Indicator		1985
	<b>ConversionPeriod</b> <ConvsPrd>	[0..1]	±		1985
	<b>ConversionRatioNumerator</b> <ConvsRatioNmtr>	[0..1]	±		1986
	<b>ConversionRatioDenominator</b> <ConvsRatioDnmtr>	[0..1]	±		1986
	<b>PrimaryPlaceOfDeposit</b> <PmryPlcOfDpst>	[0..1]	±		1986
	<b>TradingMethod</b> <TradgMtd>	[0..1]			1986
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1987
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1987
	<b>TEFRARule</b> <TEFRARule>	[0..1]			1987
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1987
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1988
	<b>SerieNumber</b> <SrNb>	[0..1]	Text		1988
	<b>Class</b> <Clss>	[0..1]	Text		1988
	<b>WithholdingTaxRegime</b> <WhldgTaxRgm>	[0..*]			1988
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1988
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1989
	<b>PaymentStatus</b> <PmtSts>	[0..1]	±		1989
	<b>InitialPhysicalForm</b> <InitlPhysForm>	[0..1]			1989
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1990
	<b>AfterExchangePhysicalForm</b> <AftrXchgPhysForm>	[0..1]			1990
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1991
	<b>CommonSafekeeper</b> <CmonSfkpr>	[0..1]			1991
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1991
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1991
	<b>RedemptionType</b> <RedTp>	[0..1]			1992
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1992
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1992
	<b>RedemptionPaymentCurrency</b> <RedPmtCcy>	[0..1]	CodeSet	C1	1993

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Restriction</b> <Rstrctn>	[0..*]			1993
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1994
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1994
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1995
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1996
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>InvestorType</b> <InvstrTp>	[0..*]			1997
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>FinancialInstrumentIdentificationValidity</b> <FinInstrmIdVldty>	[0..*]			1998
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1998
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1999
	<b>SettlementInformation</b> <SttlmInf>	[0..*]			1999
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			2000
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2000
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2000
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		2001
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		2001
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		2001
	<b>DeviatingSettlementUnit</b> <DevtgSttlmUnit>	[0..*]	±		2001
	<b>FinancialInstrumentForm</b> <FinInstrmForm>	[0..1]			2002
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			2002
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003
	<b>LegalForm</b> <LglForm>	[0..1]	±		2003



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactName</b> <CtctNm>	[0..1]			2003
	<b>Name</b> <Nm>	[1..1]	Text		2004
	<b>Identification</b> <Id>	[0..1]			2004
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2004
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2005
	<b>Purpose</b> <Purp>	[0..1]	Text		2005
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2005
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2006
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2006
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2006
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2006
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2006
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2007
	<b>LeadManager</b> <LeadMgr>	[0..1]			2007
	<b>Name</b> <Nm>	[1..1]	Text		2008
	<b>Identification</b> <Id>	[0..1]			2008
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2008
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009
	<b>Purpose</b> <Purp>	[0..1]	Text		2009
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2010
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2010
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2010
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2010
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2010
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2011
	<b>PrincipalPayingAgent</b> <PrncplPngAgt>	[0..1]			2011
	<b>Name</b> <Nm>	[1..1]	Text		2012
	<b>Identification</b> <Id>	[0..1]			2012

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2012
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2013
	<b>Purpose</b> <Purp>	[0..1]	Text		2013
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2013
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2014
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2014
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2014
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2014
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2014
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2015
	<b>PayingAgent</b> <PngAgt>	[0..1]			2015
	<b>Name</b> <Nm>	[1..1]	Text		2016
	<b>Identification</b> <Id>	[0..1]			2016
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2016
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2017
	<b>Purpose</b> <Purp>	[0..1]	Text		2017
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2017
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2018
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2018
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2018
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2018
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2018
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2019
	<b>Depository</b> <Dpstry>	[0..1]			2019
	<b>Name</b> <Nm>	[1..1]	Text		2020
	<b>Identification</b> <Id>	[0..1]			2020
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2020
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2021
	<b>Purpose</b> <Purp>	[0..1]	Text		2021

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2021
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2022
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2022
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2022
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2022
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2022
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2023
	<b>UnderlyingRisk</b> <UndrlygRsk>	[0..1]			2023
	<b>Name</b> <Nm>	[1..1]	Text		2024
	<b>Identification</b> <Id>	[0..1]			2024
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2025
	<b>Purpose</b> <Purp>	[0..1]	Text		2025
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2025
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2026
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2026
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2026
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2026
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2026
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2027
	<b>SecurityCSDLLink</b> <SctyCSDLk>	[0..*]			2027
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2028
	<b>ValidTo</b> <VldTo>	[0..1]	±		2028
	<b>SecurityMaintenance</b> <SctyMntnc>	[0..1]	Indicator		2029
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			2029
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2029
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2030

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2030
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2031
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]			2031
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032

#### 32.4.3.1.1.3.1 SecurityStatus <SctySts>

*Presence:* [0..1]

*Definition:* Specifies the status of the security within its lifecycle.

**SecurityStatus** <SctySts> contains one of the following **SecurityStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1960
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1960

##### 32.4.3.1.1.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Security status expressed as an ISO 20022 code.

*Datatype:* "SecurityStatus2Code" on page 2850

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

##### 32.4.3.1.1.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Security status expressed as a proprietary code.

**Proprietary** <Prtry> contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.3.2 FinancialInstrumentName <FinInstrmNm>

*Presence:* [0..\*]

*Definition:* Name of the security.

**FinancialInstrumentName <FinInstrmNm>** contains the following **FinancialInstrumentName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ISOShortName &lt;ISOShrNm&gt;</b>	[0..1]	Text		1961
	<b>ISOLongName &lt;ISOLngNm&gt;</b>	[0..1]	Text		1961
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	±		1961

#### 32.4.3.1.1.3.2.1 ISOShortName <ISOShrNm>

*Presence:* [0..1]

*Definition:* Short name of the security expressed as ISO 18773/18774.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.2.2 ISOLongName <ISOLngNm>

*Presence:* [0..1]

*Definition:* Name of the security.

*Datatype:* "Max350Text" on page 2865

#### 32.4.3.1.1.3.2.3 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Defines the date since when the name of the security is valid.

**ValidFrom <VldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 32.4.3.1.1.3.3 DenominationCurrency <DnmtnCcy>

*Presence:* [0..1]

*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### **32.4.3.1.1.3.4 CertificateNumber <CertNb>**

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier of a certificate assigned by the issuer.

*Datatype:* "Max35Text" on page 2865

#### **32.4.3.1.1.3.5 ContractVersionNumber <CtrctVrsnNb>**

*Presence:* [0..1]

*Definition:* Version number assigned to the contract associated with the security.

*Datatype:* "Number" on page 2862

#### **32.4.3.1.1.3.6 CouponAttachedNumber <CpnAttchdNb>**

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

*Datatype:* "Max3NumericText" on page 2865

#### **32.4.3.1.1.3.7 TaxLotNumber <TaxLotNb>**

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

*Datatype:* "Max15NumericText" on page 2864

#### **32.4.3.1.1.3.8 PoolNumber <PoolNb>**

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

*Datatype:* "Max15NumericText" on page 2864

#### **32.4.3.1.1.3.9 CoveredIndicator <CvrdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the derivative product is covered or not by an underlying financial instrument position.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **32.4.3.1.1.3.10 LegalRestrictions <LglRstrctns>**

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictions <LglRstrctns>** contains one of the following **LegalRestrictions4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1963
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1963

#### 32.4.3.1.1.3.10.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions1Code" on page 2831

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

#### 32.4.3.1.1.3.10.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.11 PositionLimit <PosLmt>

*Presence:* [0..1]

*Definition:* Position limits are created for the purpose of maintaining stable and fair markets. Contracts held by one individual investor with different brokers may be combined in order to gauge accurately the level of control held by one party.

Each option and futures contract will have varying position limits.

**PositionLimit <PosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.12 NearTermPositionLimit <NearTermPosLmt>

*Presence:* [0..1]

*Definition:* Near-term position limit for the instrument.

**NearTermPositionLimit <NearTermPosLmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.13 ListingDate <ListgDt>

*Presence:* [0..1]

*Definition:* Original Date/time at which the security is listed at the specific exchange or trading venue.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.14 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck to note which parties are entitled to receive the entitlement to a corporate event or vote at a meeting.

*Datatype:* "ISODatetime" on page 2858

#### 32.4.3.1.1.3.15 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.16 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Reason for which money is raised through the issuance of a security.

*Datatype:* "Max256Text" on page 2865

#### 32.4.3.1.1.3.17 ClassificationType <ClssfctnTp>

*Presence:* [0..1]



*Definition:* Information allowing the classification of a financial instrument, for example, with its ISO CFI (Classification of Financial Instrument) or product type.

**ClassificationType <ClssfctnTp>** contains the following **ClassificationType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClassificationFinancialInstrument</b> <ClssfctnFinInstrm>	[0..1]	IdentifierSet		1965
	<b>FinancialInstrumentProductTypeCode</b> <FinInstrmPdctTpCd>	[0..1]	CodeSet		1965
	<b>AlternateClassification</b> <AltrnClssfctn>	[0..*]	±		1965

#### 32.4.3.1.1.3.17.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 32.4.3.1.1.3.17.2 FinancialInstrumentProductTypeCode <FinInstrmPdctTpCd>

*Presence:* [0..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstrumentProductType1Code" on page 2817

#### 32.4.3.1.1.3.17.3 AlternateClassification <AltrnClssfctn>

*Presence:* [0..\*]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnClssfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 32.4.3.1.1.3.18 Issuance <Issnc>

*Presence:* [0..1]

*Definition:* Details regarding the issuance of an asset.

**Issuance <Issnc>** contains the following **Issuance6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuePlace</b> <IssePlc>	[0..1]	IdentifierSet		1966
	<b>CountryOfIssue</b> <CtryOfIsse>	[0..1]	CodeSet	C4	1967
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		1967
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	DateTime		1967
	<b>IssuerOrganisation</b> <IssrOrg>	[0..1]			1967
	<b>Name</b> <Nm>	[1..1]	Text		1968
	<b>Identification</b> <Id>	[0..1]			1968
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1968
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1969
	<b>Purpose</b> <Purp>	[0..1]	Text		1969
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		1970
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		1970
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		1970
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		1971
	<b>IssueNominalAmount</b> <IsseNmnlAmt>	[0..1]	±		1971
	<b>FullIssuedAmount</b> <FullIssdAmt>	[0..1]	Amount	C1, C5	1971
	<b>IssueSize</b> <IsseSz>	[0..1]	Quantity		1972
	<b>IssuePrice</b> <IssePric>	[0..1]	±		1972
	<b>IssuanceDistribution</b> <IssncDstrbtn>	[0..1]			1972
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1973
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1973
	<b>GoverningLaw</b> <GovngLaw>	[0..*]			1973
	<b>Identification</b> <Id>	[0..1]	Text		1973
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C4	1973

#### 32.4.3.1.1.3.18.1 IssuePlace <IssePlc>

*Presence:* [0..1]

*Definition:* Indicates where the financial instrument was issued.

---

*Datatype:* "MICIdentifier" on page 2860

#### **32.4.3.1.1.3.18.2 CountryOfIssue <CtryOfIsse>**

*Presence:* [0..1]

*Definition:* Country where a security is issued by the issuer or its agent.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **32.4.3.1.1.3.18.3 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date/time at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **32.4.3.1.1.3.18.4 AnnouncementDate <AnncmntDt>**

*Presence:* [0..1]

*Definition:* Date/time, as announced by the issuer, at which the securities will be issued.

*Datatype:* "ISODateTime" on page 2858

#### **32.4.3.1.1.3.18.5 IssuerOrganisation <IssrOrg>**

*Presence:* [0..1]

*Definition:* Legal entity that has the right to issue securities.

**IssuerOrganisation <IssrOrg>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		1968
	<b>Identification &lt;Id&gt;</b>	[0..1]			1968
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1968
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1969
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		1969
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	1969
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	1969
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		1970
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		1970
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		1970
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	1970
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		1970
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		1971

#### 32.4.3.1.1.3.18.5.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.18.5.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	1968
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		1969

#### 32.4.3.1.1.3.18.5.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

### • AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 32.4.3.1.1.3.18.5.2.2 ProprietaryIdentification <Prtryld>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 32.4.3.1.1.3.18.5.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

#### 32.4.3.1.1.3.18.5.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.18.5.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.18.5.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.18.5.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.18.5.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.18.5.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.18.5.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.18.5.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.18.6 IssueNominalAmount <IsseNmnlAmt>

*Presence:* [0..1]

*Definition:* Total original amount or quantity published.

**IssueNominalAmount <IsseNmnlAmt>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.18.7 FullIssuedAmount <FullIssdAmt>

*Presence:* [0..1]

**Definition:** Figure used as a control to verify whether the information provided is correct. It represents the issue size multiplied by the issue price.

**Impacted by:** C1 "ActiveCurrency", C5 "CurrencyAmount"

**Datatype:** "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.3.18.8 IssueSize <IsseSz>

**Presence:** [0..1]

**Definition:** Represents the total amount/quantity of the proceeds from the sale of all securities in the initial offering. This amount/quantity is known after the new issue is priced.

**Datatype:** "Number" on page 2862

#### 32.4.3.1.1.3.18.9 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of the asset.

**IssuePrice <IssePric>** contains the following elements (see "PriceValue1" on page 2724 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

#### 32.4.3.1.1.3.18.10 IssuanceDistribution <IssncDstrbtn>

**Presence:** [0..1]

**Definition:** Way in which the issue will be marketed to the primary market, via individual dealers (so called non syndicated distribution) or via a syndicate of managers, underwriters and selling group members (so called syndicated distribution).

**IssuanceDistribution <IssncDstrbtn>** contains one of the following **SecuritiesTransactionType31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1973
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1973



### 32.4.3.1.1.3.18.10.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType11Code" on page 2849

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

### 32.4.3.1.1.3.18.10.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities transaction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.3.18.11 GoverningLaw <GovngLaw>

*Presence:* [0..\*]

*Definition:* Jurisdiction (country, county, state, province, city) of the issue.

**GoverningLaw <GovngLaw>** contains the following **Jurisdiction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1973
	Country <Ctry>	[0..1]	CodeSet	C4	1973

#### 32.4.3.1.1.3.18.11.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Specifies the jurisdiction (county, state, province, city).

*Datatype:* "Max70Text" on page 2867

#### 32.4.3.1.1.3.18.11.2 Country <Ctry>

*Presence:* [0..1]

*Definition:* Specifies the country.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.19 TradingMarket <TradgMkt>

*Presence:* [0..\*]

*Definition:* Market(s) on which the security is traded.

**TradingMarket <TradgMkt>** contains the following **TradingParameters2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MarketIdentification</b> <MktId>	[0..1]	IdentifierSet		1974
	<b>RoundLot</b> <RndLot>	[0..1]	±		1974
	<b>TradeLotSize</b> <TradLotSz>	[0..1]	±		1975
	<b>SecondaryPlaceOfListing</b> <ScndryPlcOfListg>	[0..5]	IdentifierSet		1975
	<b>MinimumTradedNominalQuantity</b> <MinTraddNmnlQty>	[0..1]			1975
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975
	<b>MaximumTradedNominalQuantity</b> <MaxTraddNmnlQty>	[0..1]			1976
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976
	<b>MinimumTradingPricingIncrement</b> <MinTradgPricgIncrmt>	[0..1]	Quantity		1977
	<b>PrimaryPlaceOfListingIdentification</b> <PmryPlcOfListgId>	[0..1]	IdentifierSet		1977

##### 32.4.3.1.1.3.19.1 MarketIdentification <MktId>

*Presence:* [0..1]

*Definition:* Code allocated to places of trade, ie, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS) (MIC - ISO 3166).

*Datatype:* "MICIdentifier" on page 2860

##### 32.4.3.1.1.3.19.2 RoundLot <RndLot>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**RoundLot <RndLot>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.19.3 TradeLotSize <TradLotSz>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**TradeLotSize <TradLotSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.19.4 SecondaryPlaceOfListing <ScndryPlcOfListg>

*Presence:* [0..5]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 32.4.3.1.1.3.19.5 MinimumTradedNominalQuantity <MinTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum number of securities that can be traded.

**MinimumTradedNominalQuantity <MinTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1975
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1975

##### 32.4.3.1.1.3.19.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

##### 32.4.3.1.1.3.19.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.3.19.6 MaximumTradedNominalQuantity <MaxTraddNmnlQty>

*Presence:* [0..1]

*Definition:* Maximum number of securities that can be traded.

**MaximumTradedNominalQuantity <MaxTraddNmnlQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1976
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1976

#### 32.4.3.1.1.3.19.6.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 32.4.3.1.1.3.19.6.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.3.19.7 MinimumTradingPricingIncrement <MinTradgPricgIncrmt>

*Presence:* [0..1]

*Definition:* Indicates the minimum or smallest movement (up or down) in the price allowed for the security.

*Datatype:* "Number" on page 2862

#### 32.4.3.1.1.3.19.8 PrimaryPlaceOfListingIdentification <PmryPlcOfListgld>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

*Datatype:* "MICIdentifier" on page 2860

#### 32.4.3.1.1.3.20 SpreadAndBenchmarkCurve <SprdAndBchmkCrv>

*Presence:* [0..\*]

*Definition:* Indicates the spread to benchmark details of an indication of interest.

**SpreadAndBenchmarkCurve <SprdAndBchmkCrv>** contains the following **BenchmarkCurve6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Spread</b> <Sprd>	[0..1]	Quantity		1977
	<b>BenchmarkIdentification</b> <Bchmkld>	[0..1]	±	C6, C7, C8, C9, C12	1978
	<b>BenchmarkPrice</b> <BchmkPric>	[0..1]			1979
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980
	<b>BenchmarkCurveCurrency</b> <BchmkCrvCcy>	[0..1]	CodeSet	C2	1981
	<b>BenchmarkCurveName</b> <BchmkCrvNm>	[0..1]			1981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1982
	<b>BenchmarkCurvePoint</b> <BchmkCrvPt>	[0..1]	Text		1983

##### 32.4.3.1.1.3.20.1 Spread <Sprd>

*Presence:* [0..1]

**Definition:** Either a swap spread or spread to benchmark depending upon order type. In case of a spread to benchmark, the price offset is expressed in terms of basis points relative to a benchmark - this can be a positive or a negative spread. In case of a swap spread, the price offset is a target spread for a swap.

**Datatype:** "DecimalNumber" on page 2861

### 32.4.3.1.1.3.20.2 BenchmarkIdentification <BchmkId>

**Presence:** [0..1]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C8 "ISINGuideline", C9 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

**BenchmarkIdentification <BchmkId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 32.4.3.1.1.3.20.3 BenchmarkPrice <BchmkPric>

*Presence:* [0..1]

*Definition:* Identifies the price of the benchmark security.

**BenchmarkPrice <BchmkPric>** contains the following **Price8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValueType</b> <ValTp>	[0..1]	CodeSet		1979
	<b>Value</b> <Val>	[1..1]	±		1980
	<b>PriceType</b> <PricTp>	[0..1]	CodeSet		1980

### 32.4.3.1.1.3.20.3.1 ValueType <ValTp>

*Presence:* [0..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* "PriceValueType3Code" on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

### 32.4.3.1.1.3.20.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, eg, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

### 32.4.3.1.1.3.20.3.3 PriceType <PricTp>

*Presence:* [0..1]

*Definition:* Type and information about a price.

*Datatype:* ["TypeOfPrice1Code"](#) on page 2854

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.



CodeName	Name	Definition
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

#### 32.4.3.1.1.3.20.4 BenchmarkCurveCurrency <BchmkCrvCcy>

*Presence:* [0..1]

*Definition:* Identifies the currency used for the benchmark curve.

*Impacted by:* C2 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 32.4.3.1.1.3.20.5 BenchmarkCurveName <BchmkCrvNm>

*Presence:* [0..1]

*Definition:* Identifies the name of the benchmark curve.

**BenchmarkCurveName <BchmkCrvNm>** contains one of the following **BenchmarkCurveName7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1982

#### 32.4.3.1.1.3.20.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as an ISO 20022 code.

*Datatype:* "BenchmarkCurveName1Code" on page 2800

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2

CodeName	Name	Definition
		years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	<p>Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.</p> <p>London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.</p>
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	<p>In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.</p> <p>The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.</p>
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	<p>Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.</p>

### 32.4.3.1.1.3.20.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Benchmark curve name expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.20.6 BenchmarkCurvePoint <BchmkCrvPt>

*Presence:* [0..1]

*Definition:* Identifies a point on a benchmark curve. The point can be stated via a combination of maturity month/year and coupon.

*Datatype:* "[Max256Text](#)" on page 2865

#### 32.4.3.1.1.3.21 PutType <PutTp>

*Presence:* [0..1]

*Definition:* Represents the type of put.

**PutType <PutTp>** contains one of the following **PutType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1983
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1983

##### 32.4.3.1.1.3.21.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Put type expressed as an ISO 20022 code.

*Datatype:* "[PutType1Code](#)" on page 2843

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

##### 32.4.3.1.1.3.21.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Put type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.22 CallType <CallTp>

*Presence:* [0..1]

*Definition:* Represents the type of call.

**CallType <CallTp>** contains one of the following **CallType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1984
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1984

##### 32.4.3.1.1.3.22.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Call type expressed as an ISO 20022 code.

*Datatype:* "[CallType1Code](#)" on page 2803

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

##### 32.4.3.1.1.3.22.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Call type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

##### 32.4.3.1.1.3.23 FungibleIndicator <Fngblnd>

*Presence:* [0..1]

**Definition:** Indicates whether a security is interchangeable, ie, the security is allowed to be replaced by another security, without loss of value.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.3.24 Confidential <Cnfdtl>

**Presence:** [0..1]

**Definition:** Indicates whether the details of the security are to be made available to the market, or kept private between ICSDs and agency network.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.3.25 PrivatePlacement <PrvtPlcmnt>

**Presence:** [0..1]

**Definition:** The sale of securities directly to private persons, institutional investors, or both outside a public offering. Such non-public deals (often without a publicly available prospectus) closing through the ICSDs are placed directly with investors.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.3.26 ConvertibleIndicator <ConvblInd>

**Presence:** [0..1]

**Definition:** Indicates whether the investor or the issuer has a conversion option.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.3.27 ConversionPeriod <ConvsPrd>

**Presence:** [0..1]

**Definition:** Period during which a convertible security may be converted according to the terms of the issue.

**ConversionPeriod <ConvsPrd>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

### 32.4.3.1.1.3.28 ConversionRatioNumerator <ConvsRatioNmtr>

*Presence:* [0..1]

*Definition:* Number of target securities for the conversion.

**ConversionRatioNumerator <ConvsRatioNmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

### 32.4.3.1.1.3.29 ConversionRatioDenominator <ConvsRatioDnmtr>

*Presence:* [0..1]

*Definition:* Number of held securities for the conversion.

**ConversionRatioDenominator <ConvsRatioDnmtr>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

### 32.4.3.1.1.3.30 PrimaryPlaceOfDeposit <PmryPlcOfDpst>

*Presence:* [0..1]

*Definition:* Primary place of deposit.

**PrimaryPlaceOfDeposit <PmryPlcOfDpst>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 32.4.3.1.1.3.31 TradingMethod <TradgMtd>

*Presence:* [0..1]

*Definition:* Indicates whether the notional amount value is to be traded in either an amount or in units.

**TradingMethod <TradgMtd>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		1987
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	1987

#### 32.4.3.1.1.3.31.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 32.4.3.1.1.3.31.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 32.4.3.1.1.3.32 TEFRRARule <TEFRARule>

*Presence:* [0..1]

*Definition:* Indicates the TEFRA rule under which the security is issued.

**TEFRARule <TEFRARule>** contains one of the following **TEFRARules3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1987
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1988

#### 32.4.3.1.1.3.32.1 Code <Cd>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as an ISO 20022 code.

*Datatype:* "TEFRARules1Code" on page 2853

CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

### 32.4.3.1.1.3.32.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* TEFRA rules expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.3.33 SerieNumber <SrNb>

*Presence:* [0..1]

*Definition:* Identifies the series number.

*Datatype:* "[Max16Text](#)" on page 2864

### 32.4.3.1.1.3.34 Class <Clss>

*Presence:* [0..1]

*Definition:* Identifier that links multiple security classes.

*Datatype:* "[Max16Text](#)" on page 2864

### 32.4.3.1.1.3.35 WithholdingTaxRegime <WhldgTaxRgm>

*Presence:* [0..\*]

*Definition:* Amount or percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTaxRegime <WhldgTaxRgm>** contains the following **SecurityWithHoldingTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTaxValue</b> <WhldgTaxVal>	[1..1]	±		1988
	<b>Country</b> <Ctry>	[1..1]	CodeSet	C4	1989

#### 32.4.3.1.1.3.35.1 WithholdingTaxValue <WhldgTaxVal>

*Presence:* [1..1]

*Definition:* Value of the withholding tax as rate, amount or not specified.



**WithholdingTaxValue <WhldgTaxVal>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 2661 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 32.4.3.1.1.3.35.2 Country <Ctr>

*Presence:* [1..1]

*Definition:* Represents the tax authority.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.36 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see "SecuritiesPaymentStatus5Choice" on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 32.4.3.1.1.3.37 InitialPhysicalForm <InitlPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities on the closing date.

**InitialPhysicalForm <InitlPhysForm>** contains one of the following **InitialPhysicalForm4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1990
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1990

### 32.4.3.1.1.3.37.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm1Code" on page 2826

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

### 32.4.3.1.1.3.37.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.3.38 AfterExchangePhysicalForm <AftrXchgPhysForm>

*Presence:* [0..1]

*Definition:* Indicates the physical form of the securities after the exchange of the initial certificate issued on the closing date.

**AfterExchangePhysicalForm <AftrXchgPhysForm>** contains one of the following **InitialPhysicalForm3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		1990
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		1991

### 32.4.3.1.1.3.38.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as an ISO 20022 code.

*Datatype:* "InitialPhysicalForm2Code" on page 2826

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

### 32.4.3.1.1.3.38.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Initial physical form expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.3.39 CommonSafekeeper <CmonSfkpr>

*Presence:* [0..1]

*Definition:* Entity appointed by the ICSDs to provide safekeeping for securities in new global note (NGN) form.

**CommonSafekeeper <CmonSfkpr>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	1991
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		1991

#### 32.4.3.1.1.3.39.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 32.4.3.1.1.3.39.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 32.4.3.1.1.3.40 RedemptionType <RedTp>

*Presence:* [0..1]

*Definition:* Indicates the type of redemption at maturity.

**RedemptionType <RedTp>** contains one of the following **MaturityRedemptionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1992
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1992

##### 32.4.3.1.1.3.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as an ISO 20022 code.

*Datatype:* "[MaturityRedemptionType1Code](#)" on page 2833

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

##### 32.4.3.1.1.3.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Maturity redemption type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.41 RedemptionPaymentCurrency <RedPmtCcy>

*Presence:* [0..1]

*Definition:* ISO currency for the payment of the cash proceeds.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 32.4.3.1.1.3.42 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Regulatory restriction(s) linked to the security.

**Restriction <Rstrctn>** contains the following **SecurityRestriction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EffectivePeriod</b> <FctvPrd>	[0..1]	±		1994
	<b>RestrictionType</b> <RstrctnTp>	[0..1]			1994
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995
	<b>LegalRestrictionType</b> <LglRstrctnTp>	[0..1]			1995
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996
	<b>InvestorRestrictionType</b> <InvstrRstrctnTp>	[0..*]			1996
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997
	<b>InvestorType</b> <InvstrTp>	[0..*]			1997
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997

#### 32.4.3.1.1.3.42.1 EffectivePeriod <FctvPrd>

*Presence:* [0..1]

*Definition:* Period during which the restriction applies.

**EffectivePeriod <FctvPrd>** contains the following elements (see ["DateTimePeriod2"](#) on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

#### 32.4.3.1.1.3.42.2 RestrictionType <RstrctnTp>

*Presence:* [0..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**RestrictionType <RstrctnTp>** contains one of the following **SecurityRestrictionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>RestrictionType</b> <RstrctnTp>	[1..1]	CodeSet		1995
Or}	<b>ProprietaryRestriction</b> <PrtryRstrctn>	[1..1]	±		1995

### 32.4.3.1.1.3.42.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

*Datatype:* "RestrictionType1Code" on page 2847

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

### 32.4.3.1.1.3.42.2.2 ProprietaryRestriction <PrtryRstrctn>

*Presence:* [1..1]

*Definition:* Type of the restriction, for example, selling restriction, buying restriction, placing restriction.

**ProprietaryRestriction <PrtryRstrctn>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 32.4.3.1.1.3.42.3 LegalRestrictionType <LglRstrctnTp>

*Presence:* [0..1]

*Definition:* Specifies the regulatory restrictions applicable to a security.

**LegalRestrictionType <LglRstrctnTp>** contains one of the following **LegalRestrictions5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1995
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1996

#### 32.4.3.1.1.3.42.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as an ISO 20022 code.

*Datatype:* "LegalRestrictions2Code" on page 2832

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a

CodeName	Name	Definition
		Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

#### 32.4.3.1.1.3.42.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Legal restrictions expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.42.4 InvestorRestrictionType <InvstrRstrctnTp>

*Presence:* [0..\*]

*Definition:* Specifies whether the restriction to be applied is relevant for citizen, resident, country.

**InvestorRestrictionType <InvstrRstrctnTp>** contains one of the following **InvestorRestrictionType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1996
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997

#### 32.4.3.1.1.3.42.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as an ISO 20022 code.

*Datatype:* "[InvestorRestrictionType1Code](#)" on page 2831

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.



CodeName	Name	Definition
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

#### 32.4.3.1.1.3.42.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor restriction type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.42.5 InvestorType <InvstrTp>

*Presence:* [0..\*]

*Definition:* Type of investor that is allowed to hold the security.

**InvestorType <InvstrTp>** contains one of the following **InvestorType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		1997
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		1997

#### 32.4.3.1.1.3.42.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Investor type expressed as an ISO 20022 code.

*Datatype:* "[InvestorType1Code](#)" on page 2831

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

#### 32.4.3.1.1.3.42.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Investor type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.43 FinancialInstrumentIdentificationValidity <FinInstrmIdVldty>

*Presence:* [0..\*]

*Definition:* Specifies the date from which the financial instrument identification is valid.

**FinancialInstrumentIdentificationValidity <FinInstrmIdVldty>** contains the following **FinancialInstrumentIdentificationValidity3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C6, C7, C8, C9, C12	1998
	<b>ISINValidFrom</b> <ISINVldFr>	[0..1]	Date		1999

##### 32.4.3.1.1.3.43.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C8 "ISINGuideline"](#), [C9 "ISINPresenceRule"](#), [C12 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "[SecurityIdentification39](#)" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

---

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 32.4.3.1.1.3.43.2 ISINValidFrom <ISINVldFr>

*Presence:* [0..1]

*Definition:* Defines the date from which the instrument code is valid. This date can be before the actual issue date of an instrument for 'when-issued' securities, but may not be a date in the future for a new security.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.44 SettlementInformation <SttlmInf>

*Presence:* [0..\*]

*Definition:* Settlement of the securities in a securities transaction, that is, the instruction to deliver or receive securities, involving the payment of an amount of money or not.

**SettlementInformation <SttlmInf>** contains the following **SettlementInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityType</b> <SctiesQtyTp>	[0..1]			2000
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2000
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2000
	<b>ContractSettlementMonth</b> <CtrctSttlmMnth>	[0..1]	YearMonth		2001
	<b>MinimumDenomination</b> <MinDnmtn>	[0..1]	±		2001
	<b>MinimumMultipleQuantity</b> <MinMltplQty>	[0..1]	±		2001
	<b>DeviatingSettlementUnit</b> <DevgtSttlmUnit>	[0..*]	±		2001

#### 32.4.3.1.1.3.44.1 SecuritiesQuantityType <SctiesQtyTp>

*Presence:* [0..1]

*Definition:* Choice between formats for the quantity of security.

**SecuritiesQuantityType <SctiesQtyTp>** contains one of the following **SettlementUnitType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2000
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2000

##### 32.4.3.1.1.3.44.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as an ISO 20022 code.

*Datatype:* "SettlementUnitType1Code" on page 2851

CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

##### 32.4.3.1.1.3.44.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement unit type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.44.2 ContractSettlementMonth <CtrctSttlmMnth>

*Presence:* [0..1]

*Definition:* Specifies when the contract (i.e. MBS/TBA) will settle.

*Datatype:* "[ISOYearMonth](#)" on page 2867

#### 32.4.3.1.1.3.44.3 MinimumDenomination <MinDnmtn>

*Presence:* [0..1]

*Definition:* Indicates the minimum quantity (unit or nominal) of a security.

**MinimumDenomination <MinDnmtn>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.44.4 MinimumMultipleQuantity <MinMltplQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity (unit or nominal) of securities.

**MinimumMultipleQuantity <MinMltplQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.44.5 DeviatingSettlementUnit <DevtgSttlmUnit>

*Presence:* [0..\*]

*Definition:* Minimum quantity of securities that can be purchased without incurring a larger fee. For example, if the round lot size is 100 and the trade is for 125 shares, then 100 will be processed without a fee and the remaining 25 will incur a service fee for being an odd lot size.

**DeviatingSettlementUnit <DevgtSttlmUnit>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 32.4.3.1.1.3.45 FinancialInstrumentForm <FinInstrmForm>

*Presence:* [0..1]

*Definition:* Indicates the form of the financial Instrument.

**FinancialInstrumentForm <FinInstrmForm>** contains the following **FinancialInstrumentForm2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BookingAppearance</b> <BookgApprnc>	[0..1]			2002
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003
	<b>LegalForm</b> <LglForm>	[0..1]	±		2003

##### 32.4.3.1.1.3.45.1 BookingAppearance <BookgApprnc>

*Presence:* [0..1]

*Definition:* Indicates the booking appearance of the financial Instrument.

**BookingAppearance <BookgApprnc>** contains one of the following **Appearance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2002
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2003

##### 32.4.3.1.1.3.45.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Appearance expressed as an ISO 20022 code.

*Datatype:* "Appearance1Code" on page 2799

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.

CodeName	Name	Definition
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

#### 32.4.3.1.1.3.45.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Appearance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 32.4.3.1.1.3.45.2 LegalForm <LglForm>

*Presence:* [0..1]

*Definition:* Specifies the form, ie, ownership, of the security.

**LegalForm <LglForm>** contains one of the following elements (see "[FormOfSecurity8Choice](#)" on page 2674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

#### 32.4.3.1.1.3.46 ContactName <CtctNm>

*Presence:* [0..1]

*Definition:* Details (name, e-mail address and/or telephone number) of the person requesting the allocation of the ISIN used as a point of reference in case further clarifications are required.

**ContactName <CtctNm>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2004
	<b>Identification &lt;Id&gt;</b>	[0..1]			2004
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2004
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2005
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		2005
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	2005
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	2005
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		2006
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		2006
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		2006
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	2006
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		2006
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		2007

#### 32.4.3.1.1.3.46.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.46.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2004
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2005

#### 32.4.3.1.1.3.46.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858



## Constraints

### • AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 32.4.3.1.1.3.46.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 32.4.3.1.1.3.46.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

#### 32.4.3.1.1.3.46.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.46.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.46.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.46.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.46.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.46.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.46.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.46.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.47 LeadManager <LeadMgr>

*Presence:* [0..1]

*Definition:* Entity appointed by the issuer to structure and lead the placement of a syndicated issue.

**LeadManager <LeadMgr>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2008
	<b>Identification</b> <Id>	[0..1]			2008
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2008
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009
	<b>Purpose</b> <Purp>	[0..1]	Text		2009
	<b>TaxationCountry</b> <TaxtnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationCountry</b> <RegnCtry>	[0..1]	CodeSet	C4	2009
	<b>RegistrationDate</b> <RegnDt>	[0..1]	Date		2010
	<b>TaxIdentificationNumber</b> <TaxIdNb>	[0..1]	Text		2010
	<b>NationalRegistrationNumber</b> <NtlRegnNb>	[0..1]	Text		2010
	<b>PostalAddress</b> <PstlAdr>	[1..5]	±	C10	2010
	<b>PrimaryCommunicationAddress</b> <PmryComAdr>	[0..1]	±		2010
	<b>SecondaryCommunicationAddress</b> <ScndryComAdr>	[0..1]	±		2011

#### 32.4.3.1.1.3.47.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.47.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C3	2008
Or}	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		2009

#### 32.4.3.1.1.3.47.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

### • AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 32.4.3.1.1.3.47.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 32.4.3.1.1.3.47.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

#### 32.4.3.1.1.3.47.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.47.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.47.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.47.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.47.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.47.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.47.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.47.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.48 PrincipalPayingAgent <PrncplPngAgt>

*Presence:* [0..1]

*Definition:* Main party appointed to distribute payment or securities on behalf of the issuer.

**PrincipalPayingAgent <PrncplPngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2012
	<b>Identification &lt;Id&gt;</b>	[0..1]			2012
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2012
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2013
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		2013
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	2013
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	2013
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		2014
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		2014
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		2014
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	2014
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		2014
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		2015

#### 32.4.3.1.1.3.48.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.48.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2012
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2013

#### 32.4.3.1.1.3.48.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858



## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 32.4.3.1.1.3.48.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 32.4.3.1.1.3.48.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 32.4.3.1.1.3.48.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 32.4.3.1.1.3.48.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.48.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.48.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.48.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.48.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.48.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.48.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.49 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Additional party appointed to distribute payment or securities on behalf of the issuer.

**PayingAgent <PngAgt>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2016
	<b>Identification &lt;Id&gt;</b>	[0..1]			2016
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2016
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2017
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		2017
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	2017
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	2017
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		2018
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		2018
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		2018
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	2018
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		2018
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		2019

#### 32.4.3.1.1.3.49.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.49.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2016
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2017

#### 32.4.3.1.1.3.49.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

## Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 32.4.3.1.1.3.49.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 32.4.3.1.1.3.49.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

### 32.4.3.1.1.3.49.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 32.4.3.1.1.3.49.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.49.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.49.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.49.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.49.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.49.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.49.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.50 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* Entity appointed by the relevant clearing system as a depository for instruments issued in global or definitive form. The depository keeps the securities for safekeeping purposes on behalf of the clearing system(s).

**Depository <Dpstry>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2020
	<b>Identification &lt;Id&gt;</b>	[0..1]			2020
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2020
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2021
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		2021
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	2021
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	2021
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		2022
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		2022
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		2022
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	2022
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		2022
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		2023

#### 32.4.3.1.1.3.50.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.50.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2020
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2021

#### 32.4.3.1.1.3.50.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858



## Constraints

### • AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 32.4.3.1.1.3.50.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 32.4.3.1.1.3.50.3 Purpose <Purp>

*Presence:* [0..1]

*Definition:* Purpose of the organisation, eg, charity.

*Datatype:* "[Max35Text](#)" on page 2865

#### 32.4.3.1.1.3.50.4 TaxationCountry <TaxtnCtry>

*Presence:* [0..1]

*Definition:* Country of taxation of an organisation.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.50.5 RegistrationCountry <RegnCtry>

*Presence:* [0..1]

*Definition:* Country in which the organisation is registered.

*Impacted by:* [C4 "Country"](#)

*Datatype:* "[CountryCode](#)" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.50.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.50.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.50.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.50.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.50.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.50.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.51 UnderlyingRisk <UndrlygRsk>

*Presence:* [0..1]

*Definition:* Entity(ies), asset(s) or security(ies) on which the credit responsibility lies as identified in the documentation.

**UnderlyingRisk <UndrlygRsk>** contains the following **Organisation38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2024
	<b>Identification &lt;Id&gt;</b>	[0..1]			2024
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2025
	<b>Purpose &lt;Purp&gt;</b>	[0..1]	Text		2025
	<b>TaxationCountry &lt;TaxtnCtry&gt;</b>	[0..1]	CodeSet	C4	2025
	<b>RegistrationCountry &lt;RegnCtry&gt;</b>	[0..1]	CodeSet	C4	2025
	<b>RegistrationDate &lt;RegnDt&gt;</b>	[0..1]	Date		2026
	<b>TaxIdentificationNumber &lt;TaxIdNb&gt;</b>	[0..1]	Text		2026
	<b>NationalRegistrationNumber &lt;NtlRegnNb&gt;</b>	[0..1]	Text		2026
	<b>PostalAddress &lt;PstlAdr&gt;</b>	[1..5]	±	C10	2026
	<b>PrimaryCommunicationAddress &lt;PmryComAdr&gt;</b>	[0..1]	±		2026
	<b>SecondaryCommunicationAddress &lt;ScndryComAdr&gt;</b>	[0..1]	±		2027

#### 32.4.3.1.1.3.51.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.1.1.3.51.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC &lt;AnyBIC&gt;</b>	[1..1]	IdentifierSet	C3	2024
Or}	<b>ProprietaryIdentification &lt;PrtryId&gt;</b>	[1..1]	±		2025

#### 32.4.3.1.1.3.51.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

32.4.3.1.1.3.51.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

32.4.3.1.1.3.51.3 Purpose <Purp>

Presence: [0..1]

Definition: Purpose of the organisation, eg, charity.

Datatype: "Max35Text" on page 2865

32.4.3.1.1.3.51.4 TaxationCountry <TaxtnCtry>

Presence: [0..1]

Definition: Country of taxation of an organisation.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 2812

Constraints

- Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

32.4.3.1.1.3.51.5 RegistrationCountry <RegnCtry>

Presence: [0..1]

Definition: Country in which the organisation is registered.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 2812

## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 32.4.3.1.1.3.51.6 RegistrationDate <RegnDt>

*Presence:* [0..1]

*Definition:* Date and time at which a given organisation was officially registered.

*Datatype:* "ISODate" on page 2858

#### 32.4.3.1.1.3.51.7 TaxIdentificationNumber <TaxIdNb>

*Presence:* [0..1]

*Definition:* Number assigned by a tax authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.51.8 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [0..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* "Max35Text" on page 2865

#### 32.4.3.1.1.3.51.9 PostalAddress <PstlAdr>

*Presence:* [1..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

*Impacted by:* C10 "MailingIndicatorRule"

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress3" on page 2719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

## Constraints

### • MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 32.4.3.1.1.3.51.10 PrimaryCommunicationAddress <PmryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**PrimaryCommunicationAddress <PmryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.51.11 SecondaryCommunicationAddress <ScndryComAdr>

*Presence:* [0..1]

*Definition:* Communication device number or electronic address used for communication.

**SecondaryCommunicationAddress <ScndryComAdr>** contains the following elements (see "CommunicationAddress3" on page 2720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 32.4.3.1.1.3.52 SecurityCSDLLink <SctyCSDLk>

*Presence:* [0..\*]

*Definition:* Defines how the CSD is linked to the security.

**SecurityCSDLk <SctyCSDLk>** contains the following **SecurityCSDLk7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2028
	<b>ValidTo</b> <VldTo>	[0..1]	±		2028
	<b>SecurityMaintenance</b> <SctyMntnc>	[0..1]	Indicator		2029
	<b>IssuerCSD</b> <IssrCSD>	[0..1]			2029
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2029
	<b>InvestorCSD</b> <InvstrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2030
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2030
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2030
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2031
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]			2031
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032

#### 32.4.3.1.1.3.52.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Defines the date since when the CSD is linked to the security.

**ValidFrom <VldFr>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 32.4.3.1.1.3.52.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Defines the date until when the CSD is linked to the security.



**ValidTo <VldTo>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 32.4.3.1.1.3.52.3 SecurityMaintenance <SctyMntnc>

*Presence:* [0..1]

*Definition:* Specify if the involved CSD (issuer/technical issuer/investor) is also the maintainer of related reference data.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.1.3.52.4 IssuerCSD <IssrCSD>

*Presence:* [0..1]

*Definition:* CSD Issuer of a security.

**IssuerCSD <IssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2029
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2029

##### 32.4.3.1.1.3.52.4.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see ["PartyIdentification136"](#) on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

##### 32.4.3.1.1.3.52.4.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 32.4.3.1.1.3.52.5 InvestorCSD <InvstrCSD>

*Presence:* [0..1]

*Definition:* CSD Investor of a security.

**InvestorCSD <InvstrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2030
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2030

#### 32.4.3.1.1.3.52.5.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 32.4.3.1.1.3.52.5.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 32.4.3.1.1.3.52.6 TechnicalIssuerCSD <TechIssrCSD>

*Presence:* [0..1]

*Definition:* Technical issuer of a security.

**TechnicalIssuerCSD <TechIssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2031
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2031

#### 32.4.3.1.1.3.52.6.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <Orgld>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 32.4.3.1.1.3.52.6.2 CombinedIdentification <Cmbndld>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <Cmbndld>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyld>	[0..1]	±		2700

#### 32.4.3.1.1.3.52.7 IssuanceAccount <IssncAcct>

*Presence:* [0..\*]

*Definition:* Account to or from which a securities entry is made.

**IssuanceAccount <IssncAcct>** contains the following **IssuanceAccount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuanceAccount</b> <IssncAcct>	[1..1]	±		2031
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2032

#### 32.4.3.1.1.3.52.7.1 IssuanceAccount <IssncAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a securities entry is made.

**IssuanceAccount <IssncAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 32.4.3.1.1.3.52.7.2 PrimaryAccountIndicator <PmryAcctInd>

*Presence:* [1..1]

*Definition:* Defines if the related issuance account is the primary account or not.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 32.4.3.1.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Provides the business error details.

**BusinessError <BizErr>** contains the following **BusinessError4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification &lt;FinInstrmId&gt;</b>	[1..1]	±	C6, C7, C8, C9, C12	2032
	<b>BusinessError &lt;BizErr&gt;</b>	[1..*]			2033
	<b>Error &lt;Err&gt;</b>	[1..1]			2034
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2034
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2034
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2034

##### 32.4.3.1.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Way(s) of identifying the security.

*Impacted by:* C6 "[DescriptionPresenceRule](#)", C7 "[DescriptionUsageRule](#)", C8 "[ISINGuideline](#)", C9 "[ISINPresenceRule](#)", C12 "[OtherIdentificationPresenceRule](#)"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

#### 32.4.3.1.2.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Provides the business error.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2034
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2034
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2034
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2034

#### 32.4.3.1.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2034
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2034

##### 32.4.3.1.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandlerling1Code" on page 2820

##### 32.4.3.1.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

##### 32.4.3.1.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

#### 32.4.3.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Provides the operational error details.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2035
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2035
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2035
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2035

#### 32.4.3.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2035
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2035

##### 32.4.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

##### 32.4.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

##### 32.4.3.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

## 32.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C14 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



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## 33      **reda.013.001.01**

# **SecurityDeletionRequestV01**

### 33.1    **MessageDefinition Functionality**

#### SCOPE

An instructing party sends a SecurityDeletionRequest message to an executing/servicing party to advise the complete removal of a financial instrument entry from their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

The request is sent when the instructing party identified that a security is wrongly created in the securities coverage of the executing/servicing party. The instructing party needs this security to be removed from the executing /servicing party database.

This message can be used when a security identification code was issued too quickly or if a security identification code needs to be reused (for example, in case of money market instrument). The result of this message is a complete removal of the security identification from the executing/servicing party's database.

Initiator: instructing party.

#### Outline

The SecurityDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. FinancialInstrumentIdentification

Identification of the financial instrument.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 33.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctyDeltnReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2038
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C1, C2, C3, C4, C5	2039
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	2040

## 33.3 Constraints

### C1 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C2 DescriptionUsageRule

Description must be used alone as the last resort.

### C3 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C4 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C5 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C6 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 33.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 33.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader1" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 33.4.2 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

*Impacted by:* C1 "DescriptionPresenceRule", C2 "DescriptionUsageRule", C3 "ISINGuideline", C4 "ISINPresenceRule", C5 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
```

Following Must be True  
    /OtherIdentification[\*] Must be present  
Or     /Description Must be present

• **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
    /OtherIdentification[\*] is absent  
Following Must be True  
    /ISIN Must be present  
Or     /Description Must be present

**33.4.3       SupplementaryData <SplmtryData>**

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C6 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

**Constraints**

• **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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## **34      reda.014.001.02 PartyCreationRequestV02**

### **34.1    MessageDefinition Functionality**

Scope:

The PartyCreationRequest message is sent by an instructing party to the executing party to request for the creation of party reference data for a new party in the executing system.

Usage:

It aims at instructing the creation of a new party with corresponding details.

Processing and confirmation of the party creation request are provided via a party status advice.

Outline

The PartyCreationRequestV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. Party

Specifies the details of the party to be created in the system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 34.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2043
	<b>Party</b> <Pty>	[1..1]			2043
	<b>PartyIdentification</b> <PtyId>	[1..1]			2045
	<b>Identification</b> <Id>	[1..1]	±		2045
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2045
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2045
	<b>Address</b> <Adr>	[0..*]	±		2045
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2046
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2047
	<b>ClosingDate</b> <ClsGdt>	[0..1]	Date		2047
	<b>Type</b> <Tp>	[1..1]			2047
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2048
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2048
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2048
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2048
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2048
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2049
	<b>Name</b> <Nm>	[1..1]	Text		2049
	<b>Value</b> <Val>	[1..1]	Text		2049
	<b>Name</b> <Nm>	[0..1]			2049
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2049
	<b>Name</b> <Nm>	[1..1]	Text		2049
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2050
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2050
	<b>LockStatus</b> <LckSts>	[0..1]			2050
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2050
	<b>Status</b> <Sts>	[1..1]	CodeSet		2050
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2051
	<b>Restriction</b> <Rstrctn>	[0..*]			2051

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2051
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2051
	<b>Type</b> <Tp>	[1..1]	Text		2051
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	2051

## 34.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 34.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 34.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 34.4.2 Party <Pty>

*Presence:* [1..1]

*Definition:* Specifies the details of the party to be created in the system.

**Party <Pty>** contains the following **SystemParty7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]			2045
	<b>Identification</b> <Id>	[1..1]	±		2045
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2045
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2045
	<b>Address</b> <Adr>	[0..*]	±		2045
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2046
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2047
	<b>ClosingDate</b> <ClsGdt>	[0..1]	Date		2047
	<b>Type</b> <Tp>	[1..1]			2047
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2048
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2048
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2048
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2048
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2048
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2049
	<b>Name</b> <Nm>	[1..1]	Text		2049
	<b>Value</b> <Val>	[1..1]	Text		2049
	<b>Name</b> <Nm>	[0..1]			2049
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2049
	<b>Name</b> <Nm>	[1..1]	Text		2049
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2050
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2050
	<b>LockStatus</b> <LckSts>	[0..1]			2050
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2050
	<b>Status</b> <Sts>	[1..1]	CodeSet		2050
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2051
	<b>Restriction</b> <Rstrctn>	[0..*]			2051
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2051
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2051
	<b>Type</b> <Tp>	[1..1]	Text		2051



### 34.4.2.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Unique identification of the party within the system.

**PartyIdentification <PtyId>** contains the following **SystemPartyIdentification9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	±		2045
	<b>ResponsiblePartyIdentification &lt;RspnsblPtyId&gt;</b>	[0..1]	±		2045
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2045

#### 34.4.2.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 34.4.2.1.2 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the responsible party.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 34.4.2.1.3 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting date from which the identification is valid.

*Datatype:* "[ISODate](#)" on page 2858

### 34.4.2.2 Address <Adr>

*Presence:* [0..\*]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

### 34.4.2.3 ContactDetails <CtctDtls>

*Presence:* [0..\*]

*Definition:* Specifies the options on how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 34.4.2.4 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

*Datatype:* "ISODate" on page 2858

#### 34.4.2.5 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

*Datatype:* "ISODate" on page 2858

#### 34.4.2.6 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2048
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2048

#### 34.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

#### 34.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 34.4.2.7 TechnicalAddress <TechAdr>

*Presence:* [0..\*]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2048
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2048

##### 34.4.2.7.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

##### 34.4.2.7.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

#### 34.4.2.8 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..\*]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2049
	<b>Value</b> <Val>	[1..1]	Text		2049

##### 34.4.2.8.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

##### 34.4.2.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 34.4.2.9 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **PartyName4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2049
	<b>Name</b> <Nm>	[1..1]	Text		2049
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2050

##### 34.4.2.9.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

##### 34.4.2.9.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

### 34.4.2.9.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

### 34.4.2.10 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

### 34.4.2.11 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2050
	<b>Status</b> <Sts>	[1..1]	CodeSet		2050
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2051

#### 34.4.2.11.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

#### 34.4.2.11.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

34.4.2.11.3 LockReason <LckRsn>

*Presence:* [0..\*]  
*Definition:* Specifies the underlying reason for the locking of the party.  
*Datatype:* "Max35Text" on page 2865

34.4.2.12 Restriction <Rstrctn>

*Presence:* [0..\*]  
*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **SystemRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2051
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2051
	<b>Type</b> <Tp>	[1..1]	Text		2051

34.4.2.12.1 ValidFrom <VldFr>

*Presence:* [1..1]  
*Definition:* Specifies the date from which the restriction is valid.  
*Datatype:* "ISODatetime" on page 2858

34.4.2.12.2 ValidTo <VldTo>

*Presence:* [0..1]  
*Definition:* Specifies the date until which the restriction is valid.  
*Datatype:* "ISODatetime" on page 2858

34.4.2.12.3 Type <Tp>

*Presence:* [1..1]  
*Definition:* Specifies the identification of a restriction.  
*Datatype:* "Max35Text" on page 2865

34.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



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# 35      **reda.015.001.01**

## **PartyQueryV01**

### **35.1      MessageDefinition Functionality**

Scope:

The PartyQuery message is sent by a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading party or a stock exchange to the executing party to query for the party reference data of a party defined in the system.

Outline

The PartyQueryV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the query message.

B. SearchCriteria

Defines the criteria to be used to query the party reference data by the executing system.

C. ReturnCriteria

Defines the expected party reference data to be returned.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 35.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;PtyQry&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2056
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2056
	<b>OpeningDate</b> <OpngDt>	[0..1]			2058
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2058
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2058
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2058
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2059
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2059
	<b>ClosingDate</b> <ClsgDt>	[0..1]			2059
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2059
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2059
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2059
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2060
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2060
	<b>Type</b> <Tp>	[0..1]			2060
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2060
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2060
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2060
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		2061
	<b>RestrictionIdentification</b> <RstrctnId>	[0..1]	Text		2061
	<b>RestrictionIssueDate</b> <RstrctnIsseDt>	[0..1]			2061
{Or	<b>DateTime</b> <DtTm>	[1..1]			2062
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2062
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2062
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2063
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2063
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2063
Or}	<b>Date</b> <Dt>	[1..1]			2063
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2063

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2063
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2064
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2064
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2064
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2064
	<b>LockStatus</b> <LckSts>	[0..1]			2064
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2065
	<b>Status</b> <Sts>	[1..1]	CodeSet		2065
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2065
	<b>ReturnCriteria</b> <RtrCrit>	[0..1]			2065
	<b>OpeningDate</b> <OpngDt>	[0..1]	Indicator		2066
	<b>ClosingDate</b> <ClsGdt>	[0..1]	Indicator		2066
	<b>Type</b> <Tp>	[0..1]	Indicator		2066
	<b>PartyIdentification</b> <PtyId>	[0..1]	Indicator		2067
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	Indicator		2067
	<b>RestrictionIdentification</b> <RstrctnId>	[0..1]	Indicator		2067
	<b>RestrictedOnDate</b> <RstrctdOnDt>	[0..1]	Indicator		2067
	<b>Name</b> <Nm>	[0..1]	Indicator		2067
	<b>ShortName</b> <ShrtNm>	[0..1]	Indicator		2068
	<b>Address</b> <Adr>	[0..1]	Indicator		2068
	<b>TechnicalAddress</b> <TechAdr>	[0..1]	Indicator		2068
	<b>ContactDetails</b> <CtctDtls>	[0..1]	Indicator		2068
	<b>ResidenceType</b> <ResTp>	[0..1]	Indicator		2068
	<b>LockStatus</b> <LckSts>	[0..1]	Indicator		2069
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..1]	Indicator		2069
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2069

### 35.3 Constraints

- C1

AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.
- C2

Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3

SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

### 35.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

#### 35.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the query message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader2](#)" on page 2664 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2664
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2664
	RequestType <ReqTp>	[0..1]			2664
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2664
Or	Enquiry <Enqry>	[1..1]	CodeSet		2665
Or}	Proprietary <Prtry>	[1..1]	±		2665

#### 35.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to be used to query the party reference data by the executing system.

**SearchCriteria <SchCrit>** contains the following **PartyDataSearchCriteria2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OpeningDate</b> <OpngDt>	[0..1]			2058
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2058
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2058
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2058
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2059
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2059
	<b>ClosingDate</b> <ClsdDt>	[0..1]			2059
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2059
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2059
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2059
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2060
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2060
	<b>Type</b> <Tp>	[0..1]			2060
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2060
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2060
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2060
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		2061
	<b>RestrictionIdentification</b> <RstrctnId>	[0..1]	Text		2061
	<b>RestrictionIssueDate</b> <RstrctnIsseDt>	[0..1]			2061
{Or	<b>DateTime</b> <DtTm>	[1..1]			2062
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2062
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2062
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		2063
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2063
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2063
Or}	<b>Date</b> <Dt>	[1..1]			2063
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2063
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2063
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2064
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2064
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2064

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2064
	<b>LockStatus</b> <LckSts>	[0..1]			2064
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2065
	<b>Status</b> <Sts>	[1..1]	CodeSet		2065
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2065

### 35.4.2.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

**OpeningDate** <OpngDt> contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2058
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2058
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2058
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2059
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2059

#### 35.4.2.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate** <FrToDt> contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 35.4.2.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.2 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

**ClosingDate <ClsgDt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2059
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2059
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2059
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2060
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2060

##### 35.4.2.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 35.4.2.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

##### 35.4.2.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 35.4.2.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2060
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2060

##### 35.4.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

##### 35.4.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 35.4.2.4 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the maintenance of the party reference data.



**ResponsiblePartyIdentification <RspnsblPtyld>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 35.4.2.5 PartyIdentification <Ptyld>

*Presence:* [0..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**PartyIdentification <Ptyld>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 35.4.2.6 RestrictionIdentification <RstrctnId>

*Presence:* [0..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 2865

#### 35.4.2.7 RestrictionIssueDate <RstrctnIsseDt>

*Presence:* [0..1]

*Definition:* Specifies the date when the restriction for the party has been issued.

**RestrictionIssueDate <RstrctnlIsseDt>** contains one of the following **DateAndDateTimeSearch4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>DateTime &lt;DtTm&gt;</b>	[1..1]			2062
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		2062
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		2062
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		2063
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		2063
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		2063
Or}	<b>Date &lt;Dt&gt;</b>	[1..1]			2063
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		2063
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		2063
Or	<b>FromDate &lt;FrToDt&gt;</b>	[1..1]	±		2064
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		2064
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		2064

#### 35.4.2.7.1 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Patterns to search a date time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		2062
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		2062
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		2063
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		2063
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		2063

##### 35.4.2.7.1.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

##### 35.4.2.7.1.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

### 35.4.2.7.1.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

### 35.4.2.7.1.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime"](#) on page 2858

### 35.4.2.7.1.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime"](#) on page 2858

### 35.4.2.7.2 Date <Dt>

*Presence:* [1..1]

*Definition:* Patterns to search a date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2063
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2063
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2064
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2064
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2064

#### 35.4.2.7.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* ["ISODate"](#) on page 2858

#### 35.4.2.7.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

### 35.4.2.7.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

### 35.4.2.7.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

### 35.4.2.7.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

## 35.4.2.8 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

## 35.4.2.9 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValidFrom <VldFr>	[0..1]	Date		2065
	Status <Sts>	[1..1]	CodeSet		2065
	LockReason <LckRsn>	[0..*]	Text		2065

#### 35.4.2.9.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

#### 35.4.2.9.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

#### 35.4.2.9.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865

### 35.4.3 ReturnCriteria <RtrCrit>

*Presence:* [0..1]

*Definition:* Defines the expected party reference data to be returned.

**ReturnCriteria <RtrCrit>** contains the following **PartyDataReturnCriteria2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OpeningDate</b> <OpngDt>	[0..1]	Indicator		2066
	<b>ClosingDate</b> <ClsdDt>	[0..1]	Indicator		2066
	<b>Type</b> <Tp>	[0..1]	Indicator		2066
	<b>PartyIdentification</b> <PtyId>	[0..1]	Indicator		2067
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	Indicator		2067
	<b>RestrictionIdentification</b> <RstrctnId>	[0..1]	Indicator		2067
	<b>RestrictedOnDate</b> <RstrctdOnDt>	[0..1]	Indicator		2067
	<b>Name</b> <Nm>	[0..1]	Indicator		2067
	<b>ShortName</b> <ShrtNm>	[0..1]	Indicator		2068
	<b>Address</b> <Adr>	[0..1]	Indicator		2068
	<b>TechnicalAddress</b> <TechAdr>	[0..1]	Indicator		2068
	<b>ContactDetails</b> <CtctDtls>	[0..1]	Indicator		2068
	<b>ResidenceType</b> <ResTp>	[0..1]	Indicator		2068
	<b>LockStatus</b> <LckSts>	[0..1]	Indicator		2069
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..1]	Indicator		2069

### 35.4.3.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Indicates whether the opening date of the party is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 35.4.3.2 ClosingDate <ClsdDt>

*Presence:* [0..1]

*Definition:* Indicates whether the closing date of the party is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 35.4.3.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates whether the type is requested.

---

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.4 PartyIdentification <PtyId>**

*Presence:* [0..1]

*Definition:* Indicates whether the unique identification to unambiguously identify the party within the system is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.5 ResponsiblePartyIdentification <RspnsblPtyId>**

*Presence:* [0..1]

*Definition:* Indicates whether the responsible party who initially created the party reference data is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.6 RestrictionIdentification <RstrctnId>**

*Presence:* [0..1]

*Definition:* Indicates whether the identification of the restriction is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.7 RestrictedOnDate <RstrctdOnDt>**

*Presence:* [0..1]

*Definition:* Indicates whether the date at which a restriction for party has been issued is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.8 Name <Nm>**

*Presence:* [0..1]

*Definition:* Indicates whether the name for the party is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 2861](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.9 ShortName <ShrtNm>**

*Presence:* [0..1]

*Definition:* Indicates whether the short name for the party is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.10 Address <Adr>**

*Presence:* [0..1]

*Definition:* Indicates whether the address for the party is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.11 TechnicalAddress <TechAdr>**

*Presence:* [0..1]

*Definition:* Indicates whether the technical addresses for the party are requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.12 ContactDetails <CtctDtls>**

*Presence:* [0..1]

*Definition:* Indicates whether the party contact details are requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **35.4.3.13 ResidenceType <ResTp>**

*Presence:* [0..1]

*Definition:* Indicates whether the residence type is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested



35.4.3.14 LockStatus <LckSts>

- Presence:* [0..1]
- Definition:* Indicates whether the lock status is requested.
- Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):
- *Meaning When True:* Requested
  - *Meaning When False:* Not Requested

35.4.3.15 MarketSpecificAttribute <MktSpcfcAttr>

- Presence:* [0..1]
- Definition:* Indicates whether the market specific attributes for the party are requested.
- Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):
- *Meaning When True:* Requested
  - *Meaning When False:* Not Requested

35.4.4 SupplementaryData <SplmtryData>

- Presence:* [0..\*]
- Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.
- Impacted by:* C3 "SupplementaryDataRule"
- SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# **36      reda.016.001.01 PartyStatusAdviceV01**

## **36.1    MessageDefinition Functionality**

### **Scope:**

The PartyStatusAdvice message is sent by the executing party to the instructing party to provide details about the processing of a request on party reference data (create or update).

### **Usage:**

When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.

When processing is successfully performed, the message includes the related party identification.

### **Outline**

The PartyStatusAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

#### **A. MessageHeader**

Common business identification for the message.

#### **B. PartyStatus**

Status of the party involved in the originating message.

#### **C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 36.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyStsAdv>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2072
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2072
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2072
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2072
	<b>PartyStatus</b> <PtySts>	[1..1]		C3, C4	2072
	<b>Status</b> <Sts>	[1..1]	CodeSet		2073
	<b>StatusReason</b> <StsRsn>	[0..*]			2073
	<b>Reason</b> <Rsn>	[1..1]			2074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2074
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2074
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2074
	<b>SystemPartyIdentification</b> <SysPtyId>	[0..1]	±		2074
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C5	2075

## 36.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 PartyPresenceRule

If Status equals 'Completed' then RelatedPartyIdentification must be present and ResponsiblePartyIdentification must be present.

### C4 StatusReasonRule

If Status equals 'Rejected' then StatusReason must be present.

### C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 36.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 36.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2072
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2072
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2072

#### 36.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

#### 36.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

#### 36.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 36.4.2 PartyStatus <PtySts>

*Presence:* [1..1]

*Definition:* Status of the party involved in the originating message.

Impacted by: C3 "PartyPresenceRule", C4 "StatusReasonRule"

**PartyStatus** <PtySts> contains the following **PartyStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]	CodeSet		2073
	<b>StatusReason</b> <StsRsn>	[0..*]			2073
	<b>Reason</b> <Rsn>	[1..1]			2074
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2074
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2074
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2074
	<b>SystemPartyIdentification</b> <SysPtyId>	[0..1]	±		2074

#### Constraints

- **PartyPresenceRule**

If Status equals 'Completed' then RelatedPartyIdentification must be present and ResponsiblePartyIdentification must be present.

- **StatusReasonRule**

If Status equals 'Rejected' then StatusReason must be present.

### 36.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the party maintenance instruction.

*Datatype:* "Status6Code" on page 2852

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

### 36.4.2.2 StatusReason <StsRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the status of an object.

**StatusReason <StsRsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2074
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2074
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2074
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2074

#### 36.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2074
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2074

##### 36.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 2820

##### 36.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 36.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

#### 36.4.2.3 SystemPartyIdentification <SysPtyId>

*Presence:* [0..1]

*Definition:* Specifications of a party defined within a system.

**SystemPartyIdentification <SysPtyId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 36.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C5 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# **37      reda.017.001.02 PartyReportV02**

## **37.1    MessageDefinition Functionality**

Scope:

The PartyReport message is sent by the executing party to a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading party or a stock exchange to provide detailed information on the requested party reference data of the party defined in the system.

Outline

The PartyReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

B. ReportOrError

Provides information on report or error resulting from the originating query message.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 37.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2079
	<b>ReportOnError</b> <RptOrErr>	[1..1]			2079
{Or	<b>PartyReport</b> <PtyRpt>	[1..*]			2081
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2083
	<b>PartyOnError</b> <PtyOrErr>	[1..1]			2083
{Or	<b>SystemParty</b> <SysPty>	[1..1]			2085
	<b>PartyIdentification</b> <PtyId>	[0..1]			2087
	<b>Identification</b> <Id>	[1..1]	±		2087
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2087
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2087
	<b>Address</b> <Adr>	[0..1]	±		2087
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2088
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2089
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2089
	<b>Type</b> <Tp>	[0..1]			2089
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2090
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2090
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2090
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2090
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2090
	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[0..*]			2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>Value</b> <Val>	[1..1]	Text		2091
	<b>Name</b> <Nm>	[0..1]			2091
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2091
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2092
	<b>LockStatus</b> <LckSts>	[0..1]			2092

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2092
	<b>Status</b> <Sts>	[1..1]	CodeSet		2092
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2092
	<b>Restriction</b> <Rstrctn>	[0..*]			2093
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2093
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2093
	<b>Type</b> <Tp>	[1..1]	Text		2093
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2093
	<b>Error</b> <Err>	[1..1]			2093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2094
	<b>Description</b> <Desc>	[0..1]	Text		2094
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			2094
	<b>Error</b> <Err>	[1..1]			2095
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2095
	<b>Description</b> <Desc>	[0..1]	Text		2095
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	2095

## 37.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 37.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 37.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader3](#)" on page 2653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2654
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2654
	RequestType <ReqTp>	[0..1]			2654
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2654
Or	Enquiry <Enqry>	[1..1]	CodeSet		2654
Or}	Proprietary <Prtry>	[1..1]	±		2655
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2655
	QueryName <QryNm>	[0..1]	Text		2655

### 37.4.2 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **PartyOrOperationalError4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>PartyReport</b> <PtyRpt>	[1..*]			2081
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2083
	<b>PartyOrError</b> <PtyOrErr>	[1..1]			2083
{Or	<b>SystemParty</b> <SysPty>	[1..1]			2085
	<b>PartyIdentification</b> <PtyId>	[0..1]			2087
	<b>Identification</b> <Id>	[1..1]	±		2087
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2087
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2087
	<b>Address</b> <Adr>	[0..1]	±		2087
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2088
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2089
	<b>ClosingDate</b> <ClsGdt>	[0..1]	Date		2089
	<b>Type</b> <Tp>	[0..1]			2089
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2090
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2090
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2090
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2090
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2090
	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[0..*]			2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>Value</b> <Val>	[1..1]	Text		2091
	<b>Name</b> <Nm>	[0..1]			2091
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2091
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2092
	<b>LockStatus</b> <LckSts>	[0..1]			2092
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2092
	<b>Status</b> <Sts>	[1..1]	CodeSet		2092
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2092
	<b>Restriction</b> <Rstrctn>	[0..*]			2093

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2093
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2093
	<b>Type</b> <Tp>	[1..1]	Text		2093
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2093
	<b>Error</b> <Err>	[1..1]			2093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2094
	<b>Description</b> <Desc>	[0..1]	Text		2094
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			2094
	<b>Error</b> <Err>	[1..1]			2095
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2095
	<b>Description</b> <Desc>	[0..1]	Text		2095

### 37.4.2.1 PartyReport <PtyRpt>

*Presence:* [1..\*]

*Definition:* Report information about party reference data.

**PartyReport <PtyRpt>** contains the following **PartyReport4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2083
	<b>PartyOrError</b> <PtyOrErr>	[1..1]			2083
{Or	<b>SystemParty</b> <SysPty>	[1..1]			2085
	<b>PartyIdentification</b> <PtyId>	[0..1]			2087
	<b>Identification</b> <Id>	[1..1]	±		2087
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2087
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2087
	<b>Address</b> <Adr>	[0..1]	±		2087
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2088
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2089
	<b>ClosingDate</b> <ClsGdt>	[0..1]	Date		2089
	<b>Type</b> <Tp>	[0..1]			2089
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2090
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2090
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2090
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2090
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2090
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>Value</b> <Val>	[1..1]	Text		2091
	<b>Name</b> <Nm>	[0..1]			2091
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2091
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2092
	<b>LockStatus</b> <LckSts>	[0..1]			2092
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2092
	<b>Status</b> <Sts>	[1..1]	CodeSet		2092
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2092
	<b>Restriction</b> <Rstrctn>	[0..*]			2093
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2093

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2093
	<b>Type</b> <Tp>	[1..1]	Text		2093
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2093
	<b>Error</b> <Err>	[1..1]			2093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2094
	<b>Description</b> <Desc>	[0..1]	Text		2094

#### 37.4.2.1.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**PartyIdentification <PtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 37.4.2.1.2 PartyOrError <PtyOrErr>

*Presence:* [1..1]

*Definition:* Identifies the returned party reference data or error information.

**PartyOrError <PtyOrErr>** contains one of the following **PartyOrBusinessError4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SystemParty</b> <SysPty>	[1..1]			2085
	<b>PartyIdentification</b> <PtyId>	[0..1]			2087
	<b>Identification</b> <Id>	[1..1]	±		2087
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2087
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2087
	<b>Address</b> <Adr>	[0..1]	±		2087
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2088
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2089
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2089
	<b>Type</b> <Tp>	[0..1]			2089
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2090
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2090
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2090
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2090
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2090
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>Value</b> <Val>	[1..1]	Text		2091
	<b>Name</b> <Nm>	[0..1]			2091
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2091
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2092
	<b>LockStatus</b> <LckSts>	[0..1]			2092
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2092
	<b>Status</b> <Sts>	[1..1]	CodeSet		2092
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2092
	<b>Restriction</b> <Rstrctn>	[0..*]			2093
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2093
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2093
	<b>Type</b> <Tp>	[1..1]	Text		2093



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2093
	<b>Error</b> <Err>	[1..1]			2093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2094
	<b>Description</b> <Desc>	[0..1]	Text		2094

#### 37.4.2.1.2.1 SystemParty <SysPty>

*Presence:* [1..1]

*Definition:* Specifications of a party defined within a system.

**SystemParty <SysPty> contains the following SystemParty6 elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[0..1]			2087
	<b>Identification</b> <Id>	[1..1]	±		2087
	<b>ResponsiblePartyIdentification</b> <RspnsblPtyId>	[0..1]	±		2087
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2087
	<b>Address</b> <Adr>	[0..1]	±		2087
	<b>ContactDetails</b> <CtctDtls>	[0..*]	±		2088
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2089
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2089
	<b>Type</b> <Tp>	[0..1]			2089
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2090
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2090
	<b>TechnicalAddress</b> <TechAdr>	[0..*]			2090
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2090
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2090
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>Value</b> <Val>	[1..1]	Text		2091
	<b>Name</b> <Nm>	[0..1]			2091
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2091
	<b>ResidenceType</b> <ResTp>	[0..1]	CodeSet		2092
	<b>LockStatus</b> <LckSts>	[0..1]			2092
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2092
	<b>Status</b> <Sts>	[1..1]	CodeSet		2092
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2092
	<b>Restriction</b> <Rstrctn>	[0..*]			2093
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2093
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2093
	<b>Type</b> <Tp>	[1..1]	Text		2093

### 37.4.2.1.2.1.1 PartyIdentification <Ptyld>

*Presence:* [0..1]

*Definition:* Unique identification of the party within the system.

**PartyIdentification <Ptyld>** contains the following **SystemPartyIdentification9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]	±		2087
	<b>ResponsiblePartyIdentification &lt;RspnsblPtyld&gt;</b>	[0..1]	±		2087
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2087

#### 37.4.2.1.2.1.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 37.4.2.1.2.1.1.2 ResponsiblePartyIdentification <RspnsblPtyld>

*Presence:* [0..1]

*Definition:* Unique identification of the responsible party.

**ResponsiblePartyIdentification <RspnsblPtyld>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 37.4.2.1.2.1.1.3 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting date from which the identification is valid.

*Datatype:* "[ISODate](#)" on page 2858

#### 37.4.2.1.2.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

#### 37.4.2.1.2.1.3 ContactDetails <CtctDtls>

*Presence:* [0..\*]

*Definition:* Specifies the options on how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 37.4.2.1.2.1.4 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

*Datatype:* "ISODate" on page 2858

#### 37.4.2.1.2.1.5 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

*Datatype:* "ISODate" on page 2858

#### 37.4.2.1.2.1.6 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2090
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2090

#### 37.4.2.1.2.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

#### 37.4.2.1.2.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 37.4.2.1.2.1.7 TechnicalAddress <TechAdr>

*Presence:* [0..\*]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2090
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2090

##### 37.4.2.1.2.1.7.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

##### 37.4.2.1.2.1.7.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

### 37.4.2.1.2.1.8 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..\*]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>Value</b> <Val>	[1..1]	Text		2091

#### 37.4.2.1.2.1.8.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

#### 37.4.2.1.2.1.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 37.4.2.1.2.1.9 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **PartyName4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2091
	<b>Name</b> <Nm>	[1..1]	Text		2091
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2091

#### 37.4.2.1.2.1.9.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

#### 37.4.2.1.2.1.9.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

#### 37.4.2.1.2.1.9.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

#### 37.4.2.1.2.1.10 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

#### 37.4.2.1.2.1.11 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2092
	<b>Status</b> <Sts>	[1..1]	CodeSet		2092
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2092

##### 37.4.2.1.2.1.11.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

##### 37.4.2.1.2.1.11.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

##### 37.4.2.1.2.1.11.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865



### 37.4.2.1.2.1.12 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **SystemRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2093
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2093
	<b>Type</b> <Tp>	[1..1]	Text		2093

#### 37.4.2.1.2.1.12.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 37.4.2.1.2.1.12.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 37.4.2.1.2.1.12.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 2865

#### 37.4.2.1.2.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error</b> <Err>	[1..1]			2093
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2094
	<b>Description</b> <Desc>	[0..1]	Text		2094

#### 37.4.2.1.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2094
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2094

#### 37.4.2.1.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ErrorHandling1Code" on page 2814

CodeName	Name	Definition
X020	DataNotYetAvailable	Specifies the error code when the data requested are not yet available.
X030	MessageSizeLimitExceeded	Specifies the error code when the data requested generates a message size that exceed the processing capacity.
X050	DataNotAvailable	Specifies the error code when the data requested have not been found.

#### 37.4.2.1.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 37.4.2.1.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 37.4.2.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error</b> <Err>	[1..1]			2095
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2095
	<b>Description</b> <Desc>	[0..1]	Text		2095

### 37.4.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2095
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2095

#### 37.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* ["ExternalSystemErrorHandling1Code" on page 2820](#)

#### 37.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* ["Max35Text" on page 2865](#)

#### 37.4.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* ["Max140Text" on page 2863](#)

## 37.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C4 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1" on page 2666](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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## 38      **reda.018.001.01**

# **SecuritiesAccountCreationRequestV01**

### 38.1    **MessageDefinition Functionality**

The SecuritiesAccountCreationRequest message is sent by an instructing party to the executing party to instruct the creation of a new securities account with the required account attributes details.

Usage:

Processing and confirmation of the securities account creation request message are provided via a SecuritiesAccountStatusAdvice message.

Outline

The SecuritiesAccountCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SecuritiesAccount

Securities account to be created in the executing party system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 38.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2098
	<b>SecuritiesAccount</b> <SctiesAcct>	[1..1]			2098
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2099
	<b>Identification</b> <Id>	[1..1]	Text		2099
	<b>Type</b> <Tp>	[1..1]			2100
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2100
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2100
	<b>OpeningDate</b> <OpngDt>	[1..1]	Date		2101
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2101
	<b>HoldIndicator</b> <HldInd>	[1..1]	Indicator		2101
	<b>NegativePosition</b> <NegPos>	[1..1]	Indicator		2101
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2101
	<b>Name</b> <Nm>	[1..1]	Text		2102
	<b>Value</b> <Val>	[1..1]	Text		2102
	<b>Restriction</b> <Rstrctn>	[0..*]			2102
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2102
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2102
	<b>Type</b> <Tp>	[1..1]	Text		2102
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2103
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2103
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2103

## 38.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3    **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

**38.4    Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

**38.4.1        MessageHeader <MsgHdr>**

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

**38.4.2        SecuritiesAccount <SctiesAcct>**

*Presence:* [1..1]  
*Definition:* Securities account to be created in the executing party system.

**SecuritiesAccount <SctiesAcct>** contains the following **SystemSecuritiesAccount7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2099
	<b>Identification</b> <Id>	[1..1]	Text		2099
	<b>Type</b> <Tp>	[1..1]			2100
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2100
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2100
	<b>OpeningDate</b> <OpngDt>	[1..1]	Date		2101
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2101
	<b>HoldIndicator</b> <HldInd>	[1..1]	Indicator		2101
	<b>NegativePosition</b> <NegPos>	[1..1]	Indicator		2101
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2101
	<b>Name</b> <Nm>	[1..1]	Text		2102
	<b>Value</b> <Val>	[1..1]	Text		2102
	<b>Restriction</b> <Rstrctn>	[0..*]			2102
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2102
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2102
	<b>Type</b> <Tp>	[1..1]	Text		2102
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2103
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2103

#### 38.4.2.1 AccountOwner <AcctOwnr>

*Presence:* [1..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 38.4.2.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.

*Datatype:* "Max35Text" on page 2865

### 38.4.2.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type of the securities account.

**Type <Tp>** contains one of the following **SystemSecuritiesAccountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2100
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2100

#### 38.4.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System securities account type, in a coded form.

*Datatype:* "SystemSecuritiesAccountType1Code" on page 2852

CodeName	Name	Definition
CSDP	CSDParticipantAccount	Account owned by a CSD Participant .
CSDM	CSDMirrorAccount	Account operated by CSD for mirroring positions .
ICSA	InterCSDAccount	Account operated by CSD for settlement of securities legs for instructions involving internal CSD s.
TOFF	TechnicalOffsetAccount	Account operated by CSDs in a direct holding market context.
CSDO	CSDOmnibusAccount	Account operated by CSD in cross-CSD settlement context.
ISSA	IssuanceAccount	Account reflecting the holdings of the participants of the issuer CSD for a given financial instrument.

#### 38.4.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System securities account type, in a proprietary form.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 38.4.2.4 OpeningDate <OpngDt>

*Presence:* [1..1]

*Definition:* Legal opening date of the securities account.

*Datatype:* "[ISODate](#)" on page 2858

#### 38.4.2.5 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Legal closing date of the securities account.

*Datatype:* "[ISODate](#)" on page 2858

#### 38.4.2.6 HoldIndicator <HldInd>

*Presence:* [1..1]

*Definition:* Indicates whether the securities account is on hold or not.

Usage:

- Meaning when true: account is in hold status.
- Meaning when false: account is in release status.

*Datatype:* One of the following values must be used (see "[TrueFalseIndicator](#)" on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 38.4.2.7 NegativePosition <NegPos>

*Presence:* [1..1]

*Definition:* Indicates whether the securities account can hold a negative position in a security or not.

*Datatype:* One of the following values must be used (see "[TrueFalseIndicator](#)" on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 38.4.2.8 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..\*]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute** <MktSpfcAttr> contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2102
	<b>Value</b> <Val>	[1..1]	Text		2102

#### 38.4.2.8.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

#### 38.4.2.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 38.4.2.9 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Defines the specific processing characteristics for a securities account to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction** <Rstrctn> contains the following **SystemRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2102
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2102
	<b>Type</b> <Tp>	[1..1]	Text		2102

##### 38.4.2.9.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

##### 38.4.2.9.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

##### 38.4.2.9.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 2865

### 38.4.2.10 EndInvestorFlag <EndInvstrFlg>

*Presence:* [0..1]

*Definition:* Specifies information to identify securities accounts where allocation instructions are posted.

*Datatype:* "Exact4AlphaNumericText" on page 2863

### 38.4.2.11 PricingScheme <PricgSchme>

*Presence:* [0..1]

*Definition:* Defines how the price is applied to the securities account.

*Datatype:* "Exact4AlphaNumericText" on page 2863

## 38.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# **39      reda.019.001.01 SecuritiesAccountQueryV01**

## **39.1    MessageDefinition Functionality**

The SecuritiesAccountQuery message sent by an instructing party to the executing party to request the details related to the securities account.

### Outline

The SecuritiesAccountQueryV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the query message.

B. SearchCriteria

Defines the criteria to be used to query the securities account reference data by the executing system.

C. ReturnCriteria

Defines the expected securities account reference data to be returned.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 39.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctiesAcctQry&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2106
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2107
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		2108
	<b>AccountServicer</b> <AcctSvcr>	[0..1]	±		2108
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2109
	<b>PartyType</b> <PtyTp>	[0..1]			2109
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2109
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2109
	<b>OpeningDate</b> <OpngDt>	[0..1]			2109
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2110
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2110
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2110
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2110
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2110
	<b>ClosingDate</b> <ClsgDt>	[0..1]			2110
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2111
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2111
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2111
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2111
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2111
	<b>AccountType</b> <AcctTp>	[0..1]			2112
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2112
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2112
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2113
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2113
	<b>ReturnCriteria</b> <RtrCrit>	[0..1]			2113
	<b>AccountIdentification</b> <AcctId>	[0..1]	Indicator		2113
	<b>PartyIdentification</b> <PtyId>	[0..1]	Indicator		2113
	<b>PartyType</b> <PtyTp>	[0..1]	Indicator		2114

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountServicer</b> <AcctSvcr>	[0..1]	Indicator		2114
	<b>AccountType</b> <AcctTp>	[0..1]	Indicator		2114
	<b>OpeningDate</b> <OpngDt>	[0..1]	Indicator		2114
	<b>ClosingDate</b> <ClsDt>	[0..1]	Indicator		2114
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Indicator		2115
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Indicator		2115
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2115

## 39.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 39.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 39.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the query message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader2" on page 2664 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2664
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2664
	RequestType <ReqTp>	[0..1]			2664
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2664
Or	Enquiry <Enqry>	[1..1]	CodeSet		2665
Or}	Proprietary <Prtry>	[1..1]	±		2665

## 39.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to be used to query the securities account reference data by the executing system.

**SearchCriteria <SchCrit>** contains the following **SecuritiesAccountSearchCriteria2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		2108
	<b>AccountServicer</b> <AcctSvcr>	[0..1]	±		2108
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2109
	<b>PartyType</b> <PtyTp>	[0..1]			2109
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2109
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2109
	<b>OpeningDate</b> <OpngDt>	[0..1]			2109
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2110
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2110
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2110
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2110
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2110
	<b>ClosingDate</b> <ClsqDt>	[0..1]			2110
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2111
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2111
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2111
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2111
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2111
	<b>AccountType</b> <AcctTp>	[0..1]			2112
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2112
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2112
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2113
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2113

### 39.4.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.

*Datatype:* "Max35Text" on page 2865

### 39.4.2.2 AccountServicer <AcctSvcr>

*Presence:* [0..1]

*Definition:* Party that services the account.



**AccountServicer <AcctSvcr>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 39.4.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 39.4.2.4 PartyType <PtyTp>

*Presence:* [0..1]

*Definition:* Specifies the type of the party for which securities account data have been queried.

**PartyType <PtyTp>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2109
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2109

#### 39.4.2.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "[ExternalSystemPartyType1Code](#)" on page 2821

#### 39.4.2.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "[Max35Text](#)" on page 2865

### 39.4.2.5 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Legal opening date for the securities account.

**OpeningDate <OpngDt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2110
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2110
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2110
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2110
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2110

#### 39.4.2.5.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.5.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.5.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 39.4.2.5.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.5.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.6 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Legal closing date for the securities account.

**ClosingDate <ClsgDt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2111
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2111
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2111
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2111
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2111

#### 39.4.2.6.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.6.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.6.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 39.4.2.6.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 39.4.2.6.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

### 39.4.2.7 AccountType <AcctTp>

*Presence:* [0..1]

*Definition:* Specifies the type of securities account.

**AccountType <AcctTp>** contains one of the following **SystemSecuritiesAccountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2112
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2112

#### 39.4.2.7.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System securities account type, in a coded form.

*Datatype:* "SystemSecuritiesAccountType1Code" on page 2852

CodeName	Name	Definition
CSDP	CSDParticipantAccount	Account owned by a CSD Participant .
CSDM	CSDMirrorAccount	Account operated by CSD for mirroring positions .
ICSA	InterCSDAccount	Account operated by CSD for settlement of securities legs for instructions involving internal CSD s.
TOFF	TechnicalOffsetAccount	Account operated by CSDs in a direct holding market context.
CSDO	CSDOmnibusAccount	Account operated by CSD in cross-CSD settlement context.
ISSA	IssuanceAccount	Account reflecting the holdings of the participants of the issuer CSD for a given financial instrument.

#### 39.4.2.7.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System securities account type, in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 39.4.2.8 EndInvestorFlag <EndInvstrFlg>

*Presence:* [0..1]

*Definition:* Specifies information to identify securities accounts where allocation instructions are posted.

*Datatype:* "Exact4AlphaNumericText" on page 2863

### 39.4.2.9 PricingScheme <PricgSchme>

*Presence:* [0..1]

*Definition:* Defines how the price is applied to the securities account.

*Datatype:* "Exact4AlphaNumericText" on page 2863

## 39.4.3 ReturnCriteria <RtrCrit>

*Presence:* [0..1]

*Definition:* Defines the expected securities account reference data to be returned.

**ReturnCriteria <RtrCrit>** contains the following **SecuritiesAccountReturnCriteria1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Indicator		2113
	<b>PartyIdentification</b> <PtyId>	[0..1]	Indicator		2113
	<b>PartyType</b> <PtyTp>	[0..1]	Indicator		2114
	<b>AccountServicer</b> <AcctSvcr>	[0..1]	Indicator		2114
	<b>AccountType</b> <AcctTp>	[0..1]	Indicator		2114
	<b>OpeningDate</b> <OpngDt>	[0..1]	Indicator		2114
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Indicator		2114
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Indicator		2115
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Indicator		2115

#### 39.4.3.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Indicates whether the identification of the account is requested.

*Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 39.4.3.2 PartyIdentification <PtyId>

*Presence:* [0..1]

*Definition:* Indicates whether the identification of the party owning the account is requested.

*Datatype:* One of the following values must be used (see "RequestedIndicator" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 39.4.3.3 PartyType <PtyTp>

*Presence:* [0..1]

*Definition:* Indicates whether the type of the party owning the account is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 39.4.3.4 AccountServicer <AcctSvcr>

*Presence:* [0..1]

*Definition:* Indicates whether the account servicer is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 39.4.3.5 AccountType <AcctTp>

*Presence:* [0..1]

*Definition:* Indicates whether the account type is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 39.4.3.6 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Indicates whether the opening date for the account is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 39.4.3.7 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Indicates whether the closing date for the account is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 2861):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

39.4.3.8 EndInvestorFlag <EndInvstrFlg>

- Presence: [0..1]
- Definition: Specifies information to identify securities accounts where allocation instructions are posted.
- Datatype: One of the following values must be used (see "RequestedIndicator" on page 2861):
- Meaning When True: Requested
  - Meaning When False: Not Requested

39.4.3.9 PricingScheme <PricgSchme>

- Presence: [0..1]
- Definition: Defines how the price is applied to the securities account.
- Datatype: One of the following values must be used (see "RequestedIndicator" on page 2861):
- Meaning When True: Requested
  - Meaning When False: Not Requested

39.4.4 SupplementaryData <SplmtryData>

- Presence: [0..\*]
- Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.
- Impacted by: C3 "SupplementaryDataRule"
- SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **40      reda.020.001.01**

## **SecuritiesAccountStatusAdviceV01**

### **40.1    MessageDefinition Functionality**

The SecuritiesAccountStatusAdvice message is send by the the executing party to an instructing party to provide the status of the execution of an creation, modification or deletion of securities account reference data.

#### Usage:

When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.

When the processing is succesfully performed, the message includes the related securities account identification.

#### Outline

The SecuritiesAccountStatusAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SecuritiesAccountStatus

Status of the securities account involved in the originating message.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 40.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctStsAdv>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2117
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2118
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2118
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2118
	<b>SecuritiesAccountStatus</b> <SctiesAcctSts>	[1..1]		C1, C2	2118
	<b>RelatedSecuritiesAccount</b> <RltdSctiesAcct>	[0..1]	±		2119
	<b>Status</b> <Sts>	[1..1]	CodeSet		2119
	<b>StatusReason</b> <StsRsn>	[0..*]			2120
	<b>Reason</b> <Rsn>	[1..1]			2120
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2120
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2120
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2120

## 40.3 Constraints

### C1 PresenceRule

If Status equals COMP (Completed) then RelatedSecuritiesAccount must be present.

### C2 StatusReasonRule

If Status equals REJT (Rejected) then StatusReason must be present.

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 40.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 40.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2118
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2118
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2118

#### 40.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

#### 40.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

#### 40.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 40.4.2 SecuritiesAccountStatus <SctiesAcctSts>

*Presence:* [1..1]

*Definition:* Status of the securities account involved in the originating message.

*Impacted by:* C1 "PresenceRule", C2 "StatusReasonRule"

**SecuritiesAccountStatus <SctiesAcctSts>** contains the following **SecuritiesAccountStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RelatedSecuritiesAccount</b> <RltdSctiesAcct>	[0..1]	±		2119
	<b>Status</b> <Sts>	[1..1]	CodeSet		2119
	<b>StatusReason</b> <StsRsn>	[0..*]			2120
	<b>Reason</b> <Rsn>	[1..1]			2120
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2120
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2120
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2120

#### Constraints

- **PresenceRule**

If Status equals COMP (Completed) then RelatedSecuritiesAccount must be present.

- **StatusReasonRule**

If Status equals REJT (Rejected) then StatusReason must be present.

#### 40.4.2.1 RelatedSecuritiesAccount <RltdSctiesAcct>

*Presence:* [0..1]

*Definition:* Unique identification of the securities account referenced by a request.

**RelatedSecuritiesAccount <RltdSctiesAcct>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 40.4.2.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the securities account maintenance instruction.

*Datatype:* "Status6Code" on page 2852

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

### 40.4.2.3 StatusReason <StsRsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a securities account maintenance instruction.

**StatusReason <StsRsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2120
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2120
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2120
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2120

#### 40.4.2.3.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2120
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2120

##### 40.4.2.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 2820

##### 40.4.2.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 40.4.2.3.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

### 40.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# **41      reda.021.001.01 SecuritiesAccountReportV01**

## **41.1    MessageDefinition Functionality**

The SecuritiesAccountReport message sent by the executing party to an instructing party to provide the details of the securities account details as requested in the query.

### Outline

The SecuritiesAccountReportV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

B. Pagination

Provides details on the page number of the message.

C. ReportOrError

Provides information on report or error resulting from the originating query message.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 41.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2124
	<b>Pagination</b> <Pgntn>	[1..1]	±		2125
	<b>ReportOrError</b> <RptOrErr>	[1..1]			2125
{Or	<b>SecuritiesAccountReport</b> <SctiesAcctRpt>	[1..*]			2127
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2128
	<b>SecuritiesAccountOrError</b> <SctiesAcctOrErr>	[1..1]			2129
{Or	<b>SecuritiesAccount</b> <SctiesAcct>	[1..1]			2130
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2131
	<b>ClosingDate</b> <ClsGdt>	[0..1]	Date		2131
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2131
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2132
	<b>Type</b> <Tp>	[0..1]			2132
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2132
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2133
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2133
	<b>PartyType</b> <PtyTp>	[0..1]			2133
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2133
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2134
	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[0..*]			2134
	<b>Name</b> <Nm>	[1..1]	Text		2134
	<b>Value</b> <Val>	[1..1]	Text		2134
	<b>Restriction</b> <Rstrctn>	[0..*]			2134
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2134
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2135
	<b>Type</b> <Tp>	[1..1]	Text		2135
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2135
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2135
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2135
	<b>Error</b> <Err>	[1..1]			2135

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2136
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2136
	<b>Description</b> <Desc>	[0..1]	Text		2136
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			2136
	<b>Error</b> <Err>	[1..1]			2136
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2136
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2137
	<b>Description</b> <Desc>	[0..1]	Text		2137
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2137

## 41.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 41.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 41.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.



**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader3" on page 2653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2654
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2654
	RequestType <ReqTp>	[0..1]			2654
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2654
Or	Enquiry <Enqry>	[1..1]	CodeSet		2654
Or}	Proprietary <Prtry>	[1..1]	±		2655
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2655
	QueryName <QryNm>	[0..1]	Text		2655

## 41.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Provides details on the page number of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 41.4.3 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr> contains one of the following SecuritiesAccountOrOperationalError3Choice elements**

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountReport</b> <SctiesAcctRpt>	[1..*]			2127
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2128
	<b>SecuritiesAccountOrError</b> <SctiesAcctOrErr>	[1..1]			2129
{Or	<b>SecuritiesAccount</b> <SctiesAcct>	[1..1]			2130
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2131
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2131
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2131
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2132
	<b>Type</b> <Tp>	[0..1]			2132
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2132
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2133
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2133
	<b>PartyType</b> <PtyTp>	[0..1]			2133
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2133
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2134
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2134
	<b>Name</b> <Nm>	[1..1]	Text		2134
	<b>Value</b> <Val>	[1..1]	Text		2134
	<b>Restriction</b> <Rstrctn>	[0..*]			2134
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2134
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2135
	<b>Type</b> <Tp>	[1..1]	Text		2135
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2135
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2135
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2135
	<b>Error</b> <Err>	[1..1]			2135
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2136
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2136
	<b>Description</b> <Desc>	[0..1]	Text		2136
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2136

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error</b> <Err>	[1..1]			2136
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2136
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2137
	<b>Description</b> <Desc>	[0..1]	Text		2137

#### 41.4.3.1 SecuritiesAccountReport <SctiesAcctRpt>

*Presence:* [1..\*]

*Definition:* Report information about securities account reference data.

**SecuritiesAccountReport <SctiesAcctRpt>** contains the following **SecuritiesAccountReport3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2128
	<b>SecuritiesAccountOrError</b> <SctiesAcctOrErr>	[1..1]			2129
{Or	<b>SecuritiesAccount</b> <SctiesAcct>	[1..1]			2130
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2131
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2131
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2131
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2132
	<b>Type</b> <Tp>	[0..1]			2132
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2132
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2133
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2133
	<b>PartyType</b> <PtyTp>	[0..1]			2133
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2133
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2134
	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[0..*]			2134
	<b>Name</b> <Nm>	[1..1]	Text		2134
	<b>Value</b> <Val>	[1..1]	Text		2134
	<b>Restriction</b> <Rstrctn>	[0..*]			2134
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2134
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2135
	<b>Type</b> <Tp>	[1..1]	Text		2135
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2135
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2135
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2135
	<b>Error</b> <Err>	[1..1]			2135
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2136
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2136
	<b>Description</b> <Desc>	[0..1]	Text		2136

#### 41.4.3.1.1 SecuritiesAccountIdentification <SctiesAcctId>

Presence: [1..1]

*Definition:* Unique and unambiguous identification for the system security account.

**SecuritiesAccountIdentification <SctiesAcctId>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 41.4.3.1.2 SecuritiesAccountOrError <SctiesAcctOrErr>

*Presence:* [1..1]

*Definition:* Specifies the returned securities account reference data or error information.

**SecuritiesAccountOrError** <SctiesAcctOrErr> contains one of the following **SecuritiesAccountOrBusinessError3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccount</b> <SctiesAcct>	[1..1]			2130
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2131
	<b>ClosingDate</b> <ClsdDt>	[0..1]	Date		2131
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2131
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2132
	<b>Type</b> <Tp>	[0..1]			2132
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2132
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2133
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2133
	<b>PartyType</b> <PtyTp>	[0..1]			2133
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2133
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2134
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2134
	<b>Name</b> <Nm>	[1..1]	Text		2134
	<b>Value</b> <Val>	[1..1]	Text		2134
	<b>Restriction</b> <Rstrctn>	[0..*]			2134
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2134
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2135
	<b>Type</b> <Tp>	[1..1]	Text		2135
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2135
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2135
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2135
	<b>Error</b> <Err>	[1..1]			2135
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2136
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2136
	<b>Description</b> <Desc>	[0..1]	Text		2136

#### 41.4.3.1.2.1 SecuritiesAccount <SctiesAcct>

*Presence:* [1..1]

*Definition:* Specifies the data requested for the securities account.

**SecuritiesAccount <SctiesAcct>** contains the following **SystemSecuritiesAccount6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2131
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2131
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2131
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2132
	<b>Type</b> <Tp>	[0..1]			2132
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2132
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2133
	<b>AccountOwner</b> <AcctOwnr>	[1..1]	±		2133
	<b>PartyType</b> <PtyTp>	[0..1]			2133
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2133
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2134
	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[0..*]			2134
	<b>Name</b> <Nm>	[1..1]	Text		2134
	<b>Value</b> <Val>	[1..1]	Text		2134
	<b>Restriction</b> <Rstrctn>	[0..*]			2134
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2134
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2135
	<b>Type</b> <Tp>	[1..1]	Text		2135
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2135
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2135

#### 41.4.3.1.2.1.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Legal opening date of the securities account.

*Datatype:* "ISODate" on page 2858

#### 41.4.3.1.2.1.2 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Legal closing date of the securities account.

*Datatype:* "ISODate" on page 2858

#### 41.4.3.1.2.1.3 HoldIndicator <HldInd>

*Presence:* [0..1]

*Definition:* Indicates whether the securities account is on hold or not.

Usage:

- Meaning when true: account is in hold status.
- Meaning when false: account is in release status.

*Datatype:* One of the following values must be used (see "TrueFalseIndicator" on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 41.4.3.1.2.1.4 NegativePosition <NegPos>

*Presence:* [0..1]

*Definition:* Indicates whether the securities account can hold a negative position in a security or not.

*Datatype:* One of the following values must be used (see "TrueFalseIndicator" on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 41.4.3.1.2.1.5 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type of the securities account.

**Type <Tp>** contains one of the following **SystemSecuritiesAccountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2132
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2133

#### 41.4.3.1.2.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System securities account type, in a coded form.

*Datatype:* "SystemSecuritiesAccountType1Code" on page 2852

CodeName	Name	Definition
CSDP	CSDParticipantAccount	Account owned by a CSD Participant .
CSDM	CSDMirrorAccount	Account operated by CSD for mirroring positions .
ICSA	InterCSDAccount	Account operated by CSD for settlement of securities legs for instructions involving internal CSD s.
TOFF	TechnicalOffsetAccount	Account operated by CSDs in a direct holding market context.



CodeName	Name	Definition
CSDO	CSDOmnibusAccount	Account operated by CSD in cross-CSD settlement context.
ISSA	IssuanceAccount	Account reflecting the holdings of the participants of the issuer CSD for a given financial instrument.

#### 41.4.3.1.2.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System securities account type, in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 41.4.3.1.2.1.6 AccountOwner <AcctOwnr>

*Presence:* [1..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 41.4.3.1.2.1.7 PartyType <PtyTp>

*Presence:* [0..1]

*Definition:* Specifies the type of party owning the account.

**PartyType <PtyTp>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2133
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2134

#### 41.4.3.1.2.1.7.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "[ExternalSystemPartyType1Code](#)" on page 2821

#### 41.4.3.1.2.1.7.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 41.4.3.1.2.1.8 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..\*]

*Definition:* Additional attributes defined by a central security depository for a securities account.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2134
	<b>Value</b> <Val>	[1..1]	Text		2134

##### 41.4.3.1.2.1.8.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

##### 41.4.3.1.2.1.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

##### 41.4.3.1.2.1.9 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Defines the specific processing characteristics for a securities account to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **SystemRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2134
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2135
	<b>Type</b> <Tp>	[1..1]	Text		2135

##### 41.4.3.1.2.1.9.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 41.4.3.1.2.1.9.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 41.4.3.1.2.1.9.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 2865

#### 41.4.3.1.2.1.10 EndInvestorFlag <EndInvstrFlg>

*Presence:* [0..1]

*Definition:* Specifies information to identify securities accounts where allocation instructions are posted.

*Datatype:* "Exact4AlphaNumericText" on page 2863

#### 41.4.3.1.2.1.11 PricingScheme <PricgSchme>

*Presence:* [0..1]

*Definition:* Defines how the price is applied to the securities account.

*Datatype:* "Exact4AlphaNumericText" on page 2863

#### 41.4.3.1.2.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2135
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2136
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2136
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2136

#### 41.4.3.1.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2136
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2136

#### 41.4.3.1.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 41.4.3.1.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 41.4.3.1.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 41.4.3.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2136
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2136
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2137
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2137

#### 41.4.3.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2136
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2137

#### 41.4.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

41.4.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]  
*Definition:* Specification of the error, in free format.  
*Datatype:* "Max35Text" on page 2865

41.4.3.2.2 Description <Desc>

*Presence:* [0..1]  
*Definition:* Specification of the error, in free format.  
*Datatype:* "Max140Text" on page 2863

41.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# 42      **reda.022.001.02**

## **PartyModificationRequestV02**

### **42.1    MessageDefinition Functionality**

Scope:

The PartyModificationRequest message is sent by the instructing party to the executing party to request for an update of the party reference data of a party defined in the executing system.

Usage:

It aims at instructing the update of an existing party by amending its existing details or by providing additional details.

Processing and confirmation of the party modification request message are provided via a party status advice.

Outline

The PartyModificationRequestV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SystemPartyIdentification

Unique identification, as assigned by the executing system, to unambiguously identify the party to be modified.

C. Modification

Identifies the list of requested modifications to be executed by the system.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 42.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;PtyModReq&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2140
	<b>SystemPartyIdentification</b> <SysPtyId>	[1..1]	±		2140
	<b>Modification</b> <Mod>	[1..*]			2141
	<b>ScopeIndication</b> <ScplIndctn>	[1..1]	CodeSet		2143
	<b>RequestedModification</b> <ReqdMod>	[1..1]			2143
{Or	<b>SystemPartyDate</b> <SysPtyDt>	[1..1]			2144
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2145
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2145
Or	<b>PartyIdentification</b> <PtyId>	[1..1]			2145
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2145
	<b>Identification</b> <Id>	[0..1]	±		2145
Or	<b>PartyName</b> <PtyNm>	[1..1]			2146
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2146
	<b>Name</b> <Nm>	[0..1]	Text		2146
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2146
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]	±		2146
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2147
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2147
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2148
Or	<b>PartyAddress</b> <PtyAdr>	[1..1]	±		2148
Or	<b>ResidenceType</b> <ResTp>	[1..1]	CodeSet		2149
Or	<b>LockStatus</b> <LckSts>	[1..1]			2150
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2150
	<b>Status</b> <Sts>	[1..1]	CodeSet		2150
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2150
Or	<b>SystemRestriction</b> <SysRstrctn>	[1..1]			2150
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2151
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2151
	<b>Type</b> <Tp>	[1..1]	Text		2151

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2151
	<b>Name</b> <Nm>	[1..1]	Text		2151
	<b>Value</b> <Val>	[1..1]	Text		2151
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	2151

## 42.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 42.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 42.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 42.4.2 SystemPartyIdentification <SysPtyId>

*Presence:* [1..1]



*Definition:* Unique identification, as assigned by the executing system, to unambiguously identify the party to be modified.

**SystemPartyIdentification <SysPtyId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 42.4.3 Modification <Mod>

*Presence:* [1..\*]

*Definition:* Identifies the list of requested modifications to be executed by the system.

**Modification <Mod>** contains the following **SystemPartyModification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ScopeIndication</b> <ScplIndctn>	[1..1]	CodeSet		2143
	<b>RequestedModification</b> <ReqdMod>	[1..1]			2143
{Or	<b>SystemPartyDate</b> <SysPtyDt>	[1..1]			2144
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2145
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2145
Or	<b>PartyIdentification</b> <PtyId>	[1..1]			2145
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2145
	<b>Identification</b> <Id>	[0..1]	±		2145
Or	<b>PartyName</b> <PtyNm>	[1..1]			2146
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2146
	<b>Name</b> <Nm>	[0..1]	Text		2146
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2146
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]	±		2146
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2147
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2147
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2148
Or	<b>PartyAddress</b> <PtyAdr>	[1..1]	±		2148
Or	<b>ResidenceType</b> <ResTp>	[1..1]	CodeSet		2149
Or	<b>LockStatus</b> <LckSts>	[1..1]			2150
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2150
	<b>Status</b> <Sts>	[1..1]	CodeSet		2150
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2150
Or	<b>SystemRestriction</b> <SysRstrctn>	[1..1]			2150
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2151
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2151
	<b>Type</b> <Tp>	[1..1]	Text		2151
Or}	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2151
	<b>Name</b> <Nm>	[1..1]	Text		2151
	<b>Value</b> <Val>	[1..1]	Text		2151

---

#### 42.4.3.1 ScopeIndication <ScplIndctn>

*Presence:* [1..1]

*Definition:* Specifies the type of requested modification.

*Datatype:* "DataModification1Code" on page 2812

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

#### 42.4.3.2 RequestedModification <ReqdMod>

*Presence:* [1..1]

*Definition:* Specifies the set of elements to be modified for the party reference data.

**RequestedModification <ReqdMod>** contains one of the following **SystemPartyModification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SystemPartyDate</b> <SysPtyDt>	[1..1]			2144
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2145
	<b>ClosingDate</b> <ClsdDt>	[0..1]	Date		2145
Or	<b>PartyIdentification</b> <PtyId>	[1..1]			2145
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2145
	<b>Identification</b> <Id>	[0..1]	±		2145
Or	<b>PartyName</b> <PtyNm>	[1..1]			2146
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2146
	<b>Name</b> <Nm>	[0..1]	Text		2146
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2146
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]	±		2146
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2147
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2147
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2148
Or	<b>PartyAddress</b> <PtyAdr>	[1..1]	±		2148
Or	<b>ResidenceType</b> <ResTp>	[1..1]	CodeSet		2149
Or	<b>LockStatus</b> <LckSts>	[1..1]			2150
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2150
	<b>Status</b> <Sts>	[1..1]	CodeSet		2150
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2150
Or	<b>SystemRestriction</b> <SysRstrctn>	[1..1]			2150
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2151
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2151
	<b>Type</b> <Tp>	[1..1]	Text		2151
Or}	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2151
	<b>Name</b> <Nm>	[1..1]	Text		2151
	<b>Value</b> <Val>	[1..1]	Text		2151

#### 42.4.3.2.1 SystemPartyDate <SysPtyDt>

*Presence:* [1..1]

*Definition:* Specifies the opening and closing dates, as assigned by the system.

**SystemPartyDate <SysPtyDt>** contains the following **SystemParty2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OpeningDate</b> <OpngDt>	[0..1]	Date		2145
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2145

#### 42.4.3.2.1.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

*Datatype:* "ISODate" on page 2858

#### 42.4.3.2.1.2 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

*Datatype:* "ISODate" on page 2858

#### 42.4.3.2.2 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**PartyIdentification <PtyId>** contains the following **SystemPartyIdentification10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2145
	<b>Identification</b> <Id>	[0..1]	±		2145

##### 42.4.3.2.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting date from which the identification is valid.

*Datatype:* "ISODate" on page 2858

##### 42.4.3.2.2.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous way to identify a system party.

**Identification <Id>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

42.4.3.2.3 PartyName <PtyNm>

*Presence:* [1..1]

*Definition:* Specifies the name by which a party is known and which is usually used to identify that party.

**PartyName <PtyNm>** contains the following **PartyName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2146
	<b>Name</b> <Nm>	[0..1]	Text		2146
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2146

42.4.3.2.3.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

42.4.3.2.3.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

42.4.3.2.3.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

42.4.3.2.4 ContactDetails <CtctDtls>

*Presence:* [1..1]

*Definition:* Specifies the options on how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 42.4.3.2.5 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2147
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2148

##### 42.4.3.2.5.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

---

### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 42.4.3.2.5.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

#### 42.4.3.2.6 PartyAddress <PtyAdr>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.



**PartyAddress <PtyAdr>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

#### 42.4.3.2.7 ResidenceType <ResTp>

*Presence:* [1..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

#### 42.4.3.2.8 LockStatus <LckSts>

*Presence:* [1..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2150
	<b>Status</b> <Sts>	[1..1]	CodeSet		2150
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2150

##### 42.4.3.2.8.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

##### 42.4.3.2.8.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

##### 42.4.3.2.8.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865

#### 42.4.3.2.9 SystemRestriction <SysRstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**SystemRestriction <SysRstrctn>** contains the following **SystemRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2151
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2151
	<b>Type</b> <Tp>	[1..1]	Text		2151

#### 42.4.3.2.9.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 42.4.3.2.9.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 42.4.3.2.9.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 2865

#### 42.4.3.2.10 MarketSpecificAttribute <MktSpfcAttr>

*Presence:* [1..1]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpfcAttr>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2151
	<b>Value</b> <Val>	[1..1]	Text		2151

##### 42.4.3.2.10.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

##### 42.4.3.2.10.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

### 42.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **43      reda.023.001.01**

## **SecuritiesAccountModificationRequestV01**

### **43.1    MessageDefinition Functionality**

The SecuritiesAccountModificationRequest message is sent by an instructing party to the executing party to instruct the update of an existing securities account by amending its existing attributes or by providing additional attributes details.

Usage:

Processing and confirmation of the securities account creation request message are provided via a SecuritiesAccountStatusAdvice message.

Outline

The SecuritiesAccountModificationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. AccountIdentification  
Identification of the securities account to be updated in the executing party system.
- C. Modification  
Further details about the requested modification.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 43.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctModReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2154
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		2155
	<b>Modification</b> <Mod>	[1..*]			2155
	<b>ScopeIndication</b> <ScplIndctn>	[1..1]	CodeSet		2156
	<b>RequestedModification</b> <ReqdMod>	[1..1]			2156
{Or	<b>SystemSecuritiesAccount</b> <SysSctiesAcct>	[1..1]			2157
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2157
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2157
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2158
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2158
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2158
Or	<b>SystemRestriction</b> <SysRstrctn>	[1..1]			2158
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2158
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2159
	<b>Type</b> <Tp>	[1..1]	Text		2159
Or}	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2159
	<b>Name</b> <Nm>	[1..1]	Text		2159
	<b>Value</b> <Val>	[1..1]	Text		2159
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C1	2159

## 43.3 Constraints

### C1 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 43.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 43.4.1 MessageHeader <MsgHdr>

Presence: [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 43.4.2 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the securities account to be updated in the executing party system.

**AccountIdentification <AcctId>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

## 43.4.3 Modification <Mod>

*Presence:* [1..\*]

*Definition:* Further details about the requested modification.

**Modification <Mod>** contains the following **SecuritiesAccountModification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ScopeIndication</b> <ScplIndctn>	[1..1]	CodeSet		2156
	<b>RequestedModification</b> <ReqdMod>	[1..1]			2156
{Or	<b>SystemSecuritiesAccount</b> <SysScetiesAcct>	[1..1]			2157
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2157
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2157
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2158
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2158
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2158
Or	<b>SystemRestriction</b> <SysRstrctn>	[1..1]			2158
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2158
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2159
	<b>Type</b> <Tp>	[1..1]	Text		2159
Or}	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2159
	<b>Name</b> <Nm>	[1..1]	Text		2159
	<b>Value</b> <Val>	[1..1]	Text		2159

#### 43.4.3.1 ScopeIndication <ScplIndctn>

*Presence:* [1..1]

*Definition:* Specifies the type of requested modification.

*Datatype:* "DataModification1Code" on page 2812

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

#### 43.4.3.2 RequestedModification <ReqdMod>

*Presence:* [1..1]

*Definition:* Specifies which elements to be modified for the securities account reference data.



**RequestedModification <ReqdMod>** contains one of the following **SecuritiesAccountModification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SystemSecuritiesAccount</b> <SysSctiesAcct>	[1..1]			2157
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2157
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2157
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2158
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2158
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2158
Or	<b>SystemRestriction</b> <SysRstrctn>	[1..1]			2158
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2158
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2159
	<b>Type</b> <Tp>	[1..1]	Text		2159
Or}	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2159
	<b>Name</b> <Nm>	[1..1]	Text		2159
	<b>Value</b> <Val>	[1..1]	Text		2159

#### 43.4.3.2.1 SystemSecuritiesAccount <SysSctiesAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a securities entry is made.

**SystemSecuritiesAccount <SysSctiesAcct>** contains the following **SystemSecuritiesAccount5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ClosingDate</b> <ClsgDt>	[0..1]	Date		2157
	<b>HoldIndicator</b> <HldInd>	[0..1]	Indicator		2157
	<b>NegativePosition</b> <NegPos>	[0..1]	Indicator		2158
	<b>EndInvestorFlag</b> <EndInvstrFlg>	[0..1]	Text		2158
	<b>PricingScheme</b> <PricgSchme>	[0..1]	Text		2158

##### 43.4.3.2.1.1 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Legal closing date of the securities account.

*Datatype:* "ISODate" on page 2858

##### 43.4.3.2.1.2 HoldIndicator <HldInd>

*Presence:* [0..1]

*Definition:* Indicates whether the securities account is on hold or not.

Usage:

- Meaning when true: account is in hold status.
- Meaning when false: account is in release status.

*Datatype:* One of the following values must be used (see ["TrueFalseIndicator" on page 2861](#)):

- *Meaning When True:* True
- *Meaning When False:* False

#### 43.4.3.2.1.3 NegativePosition <NegPos>

*Presence:* [0..1]

*Definition:* Indicates whether the securities account can hold a negative position in a security or not.

*Datatype:* One of the following values must be used (see ["TrueFalseIndicator" on page 2861](#)):

- *Meaning When True:* True
- *Meaning When False:* False

#### 43.4.3.2.1.4 EndInvestorFlag <EndInvstrFlg>

*Presence:* [0..1]

*Definition:* Specifies information to identify securities accounts where allocation instructions are posted.

*Datatype:* ["Exact4AlphaNumericText" on page 2863](#)

#### 43.4.3.2.1.5 PricingScheme <PricgSchme>

*Presence:* [0..1]

*Definition:* Defines how the price is applied to the securities account.

*Datatype:* ["Exact4AlphaNumericText" on page 2863](#)

#### 43.4.3.2.2 SystemRestriction <SysRstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**SystemRestriction <SysRstrctn>** contains the following **SystemRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2158
	<b>ValidTo</b> <VldTo>	[0..1]	DateTime		2159
	<b>Type</b> <Tp>	[1..1]	Text		2159

##### 43.4.3.2.2.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 43.4.3.2.2.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 43.4.3.2.2.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 2865

#### 43.4.3.2.3 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [1..1]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2159
	<b>Value</b> <Val>	[1..1]	Text		2159

##### 43.4.3.2.3.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

##### 43.4.3.2.3.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

### 43.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C1 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# 44      **reda.024.001.01**

## **CollateralValueCreationRequestV01**

### **44.1      MessageDefinition Functionality**

The CollateralValueCreationRequest message is sent by the instructing party to the executing party to request for the creation of a collateral value in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new collateral value with corresponding details.

Processing and confirmation of the collateral value creation request message are provided via a collateral management status advice.

Outline

The CollateralValueCreationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. CollateralValue  
Represents the valuation of securities positions for auto-collateralisation.
- C. PartyIdentification  
Identifies the party for which the eligible security is defined.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 44.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CollValCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2164
	<b>CollateralValue</b> <CollVal>	[1..*]			2164
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±	C7, C8, C9, C10, C11	2165
	<b>ValuationDate</b> <ValtnDt>	[1..1]	Date		2166
	<b>ValuationCurrency</b> <ValtnCcy>	[0..1]	CodeSet	C1	2166
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			2166
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		2166
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	2166
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		2167
	<b>ValuationCloseLinkPrice</b> <ValtnClsLkPric>	[0..1]			2167
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		2167
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	2167
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		2168
	<b>CleanPrice</b> <CleanPric>	[0..1]	±		2168
	<b>AccruedInterest</b> <AcrdlIntrst>	[0..1]	±		2168
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		2168
	<b>CloseLinkHaircut</b> <ClsLkHrcut>	[0..1]	Rate		2169
	<b>PoolFactor</b> <PoolFctr>	[0..1]	Rate		2169
	<b>ForeignExchange</b> <FX>	[0..1]	±		2169
	<b>PartyIdentification</b> <PtyId>	[1..1]			2169
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2169
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2170
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C12	2170

## 44.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**C2 ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C3 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C4 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C5 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C7 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C8 DescriptionUsageRule**

Description must be used alone as the last resort.

**C9 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C10 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C11 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C12 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 44.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 44.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader1" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 44.4.2 CollateralValue <CollVal>

*Presence:* [1..\*]

*Definition:* Represents the valuation of securities positions for auto-collateralisation.

**CollateralValue <CollVal>** contains the following **CollateralValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±	C7, C8, C9, C10, C11	2165
	<b>ValuationDate</b> <ValtnDt>	[1..1]	Date		2166
	<b>ValuationCurrency</b> <ValtnCcy>	[0..1]	CodeSet	C1	2166
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			2166
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		2166
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	2166
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		2167
	<b>ValuationCloseLinkPrice</b> <ValtnClsLkPric>	[0..1]			2167
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		2167
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	2167
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		2168
	<b>CleanPrice</b> <CleanPric>	[0..1]	±		2168
	<b>AccruedInterest</b> <AcrdlIntrst>	[0..1]	±		2168
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		2168
	<b>CloseLinkHaircut</b> <ClsLkHrcut>	[0..1]	Rate		2169
	<b>PoolFactor</b> <PoolFctr>	[0..1]	Rate		2169
	<b>ForeignExchange</b> <FX>	[0..1]	±		2169



#### 44.4.2.1 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identification of a security by an ISIN.

*Impacted by:* C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C9 "ISINGuideline", C10 "ISINPresenceRule", C11 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrld>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

##### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present  
Or /Description Must be present

#### 44.4.2.2 ValuationDate <ValtnDt>

*Presence:* [1..1]

*Definition:* Valuation date for the price.

*Datatype:* "ISODate" on page 2858

#### 44.4.2.3 ValuationCurrency <ValtnCcy>

*Presence:* [0..1]

*Definition:* Provides details of the currency of the valuation.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 44.4.2.4 ValuationPrice <ValtnPric>

*Presence:* [1..1]

*Definition:* Provides details of the price provided for the security.

**ValuationPrice <ValtnPric>** contains one of the following **AmountOrCoefficientPrice2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		2166
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	2166
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		2167

##### 44.4.2.4.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and implied currency.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

##### 44.4.2.4.2 AmountWithCurrency <AmtWthCcy>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and explicit currency.

*Impacted by:* C2 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2795

## Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 44.4.2.4.3 Coefficient <Coeff>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with a coefficient.

*Datatype:* "DecimalNumber" on page 2861

### 44.4.2.5 ValuationCloseLinkPrice <ValtnCIsLkPric>

*Presence:* [0..1]

*Definition:* Price of the security as of the valuation date in the collateral valuation currency where there is a close link between the credit consumer and the security provided as collateral.

**ValuationCloseLinkPrice <ValtnCIsLkPric>** contains one of the following **AmountOrCoefficientPrice2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		2167
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	2167
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		2168

#### 44.4.2.5.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and implied currency.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

#### 44.4.2.5.2 AmountWithCurrency <AmtWthCcy>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and explicit currency.

*Impacted by:* C2 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2795

## Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 44.4.2.5.3 Coefficient <Coeff>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with a coefficient.

*Datatype:* "DecimalNumber" on page 2861

### 44.4.2.6 CleanPrice <CleanPric>

*Presence:* [0..1]

*Definition:* Price excluding the accrued interest.

**CleanPrice <CleanPric>** contains one of the following elements (see "PriceRateOrAmount6Choice" on page 2723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2723
Or	Amount <Amt>	[1..1]	Amount		2724
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C5	2724

### 44.4.2.7 AccruedInterest <AcrdIntrst>

*Presence:* [0..1]

*Definition:* Amount of money or rate accrued interest computed in the case of interest bearing financial instruments.

**AccruedInterest <AcrdIntrst>** contains one of the following elements (see "PriceRateOrAmount6Choice" on page 2723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2723
Or	Amount <Amt>	[1..1]	Amount		2724
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C5	2724

### 44.4.2.8 Haircut <Hrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

#### 44.4.2.9 CloseLinkHaircut <ClsLkHrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage in case of close links.

*Datatype:* "PercentageRate" on page 2862

#### 44.4.2.10 PoolFactor <PoolFctr>

*Presence:* [0..1]

*Definition:* Percentage that applies to price of the securities following a redemption.

*Datatype:* "PercentageRate" on page 2862

#### 44.4.2.11 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "ForeignExchangeTerms23" on page 2668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2668
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2669
	ExchangeRate <XchgRate>	[1..1]	Rate		2669
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C4	2669

### 44.4.3 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the eligible security is defined.

**PartyIdentification <Ptyld>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2169
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2170

#### 44.4.3.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 44.4.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 44.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C12 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

##### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 45      **reda.025.001.01**

## **EligibleSecuritiesCreationRequestV01**

### **45.1      MessageDefinition Functionality**

The EligibleSecuritiesCreationRequest message is exchanged between an instructing party and the executing party to request for the creation of an eligible securities in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new eligible securities with corresponding details.

Processing and confirmation of the eligible securities creation request message are provided via a collateral management status advice.

Outline

The EligibleSecuritiesCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. EligibleSecurity

Represents securities defined eligible for auto-collateralisation.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 45.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblSctiesCreReq>	[1..1]			
	MessageHeader <MsgHdr>	[0..1]	±		2173
	EligibleSecurity <ElgblScty>	[1..*]			2173
	SecurityIdentification <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	2173
	CollateralisationCurrency <CollstnCcy>	[0..1]	CodeSet	C1	2175
	EligibilitySetProfile <ElgbltySetPrfl>	[0..1]	±		2175
	PartyIdentification <PtyId>	[1..1]			2175
{Or	OrganisationIdentification <OrgId>	[1..1]	±		2175
Or}	CombinedIdentification <CmbndId>	[1..1]	±		2176
	SupplementaryData <SplmtryData>	[0..*]	±	C9	2176

## 45.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C5 DescriptionUsageRule

Description must be used alone as the last resort.

### C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.



#### C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

#### C8 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

#### C9 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 45.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 45.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1" on page 2644](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 45.4.2 EligibleSecurity <ElgblScty>

*Presence:* [1..\*]

*Definition:* Represents securities defined eligible for auto-collateralisation.

**EligibleSecurity <ElgblScty>** contains the following **EligibleSecurity5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	2173
	CollateralisationCurrency <CollstnCcy>	[0..1]	CodeSet	C1	2175
	EligibilitySetProfile <ElgbltySetPrfl>	[0..1]	±		2175
	PartyIdentification <PtyId>	[1..1]			2175
{Or	OrganisationIdentification <OrgId>	[1..1]	±		2175
Or}	CombinedIdentification <CmbndId>	[1..1]	±		2176

#### 45.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrlid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

## Constraints

### • DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

### • DescriptionUsageRule

Description must be used alone as the last resort.

### • ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### • ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

### • OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 45.4.2.2 CollateralisationCurrency <CollstnCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

##### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### 45.4.2.3 EligibilitySetProfile <ElgbltySetPrfl>

*Presence:* [0..1]

*Definition:* Number identifying the collateral eligibility set profile of the counterparty.

**EligibilitySetProfile <ElgbltySetPrfl>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 45.4.2.4 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the eligible security is defined.

**PartyIdentification <Ptyld>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2175
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2176

##### 45.4.2.4.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 45.4.2.4.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 45.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C9 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **46      reda.026.001.01**

## **EligibleCounterpartCSDCreationRequestV01**

### **46.1    MessageDefinition Functionality**

The EligibleCounterpartCSDCreationRequest message is sent by an instructing party to the executing party to request for the creation of an eligible counterpart CSD in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new eligible counterpart CSD with corresponding details.

Processing and confirmation of the eligible counterpart CSD creation request message are provided via a collateral management status advice.

Outline

The EligibleCounterpartCSDCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. EligibleCounterpartCSD

Represents a party to be identified as eligible for the instructing party.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 46.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblCntrptCSDCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2179
	<b>EligibleCounterpartCSD</b> <ElgblCntrptCSD>	[1..1]			2179
	<b>IssuerIdentification</b> <IssrId>	[1..1]			2180
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2180
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2180
	<b>EligibleCounterpartIdentification</b> <ElgblCntrptId>	[1..1]			2180
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2181
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2181
	<b>ValidFrom</b> <VldFr>	[1..1]	Date		2181
	<b>ValidTo</b> <VldTo>	[0..1]	Date		2181
	<b>EligibilityType</b> <ElgbltyTp>	[1..1]	CodeSet		2181
	<b>EligibilityIdentification</b> <ElgbltyId>	[1..1]			2182
{Or	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	2182
Or	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	IdentifierSet		2182
Or}	<b>IssuerCSDIdentification</b> <IssrCSDId>	[1..1]			2183
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2183
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2183
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2183

## 46.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 46.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 46.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1"](#) on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 46.4.2 EligibleCounterpartCSD <ElgblCntrptCSD>

*Presence:* [1..1]

*Definition:* Represents a party to be identified as eligible for the instructing party.

**EligibleCounterpartCSD <ElgblCntrptCSD>** contains the following **EligibleCounterpart3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerIdentification <IssrId>	[1..1]			2180
{Or	OrganisationIdentification <OrgId>	[1..1]	±		2180
Or}	CombinedIdentification <CmbndId>	[1..1]	±		2180
	EligibleCounterpartIdentification <ElgblCntrptId>	[1..1]			2180
{Or	OrganisationIdentification <OrgId>	[1..1]	±		2181
Or}	CombinedIdentification <CmbndId>	[1..1]	±		2181
	ValidFrom <VldFr>	[1..1]	Date		2181
	ValidTo <VldTo>	[0..1]	Date		2181
	EligibilityType <ElgbltyTp>	[1..1]	CodeSet		2181
	EligibilityIdentification <ElgbltyId>	[1..1]			2182
{Or	Country <Ctry>	[1..1]	CodeSet	C2	2182
Or	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	IdentifierSet		2182
Or}	IssuerCSDIdentification <IssrCSDId>	[1..1]			2183
{Or	OrganisationIdentification <OrgId>	[1..1]	±		2183
Or}	CombinedIdentification <CmbndId>	[1..1]	±		2183

#### 46.4.2.1 IssuerIdentification <IssrId>

*Presence:* [1..1]

*Definition:* Unique business identifier code used to identify the party providing the eligible counterpart information.

**IssuerIdentification <IssrId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2180
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2180

##### 46.4.2.1.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

##### 46.4.2.1.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

##### 46.4.2.2 EligibleCounterpartIdentification <ElgblCntrptId>

*Presence:* [1..1]

*Definition:* Unique business identifier code used to identify the central securities depository to be defined as eligible.



**EligibleCounterpartIdentification <ElgblCntrptId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2181
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2181

#### 46.4.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 46.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 46.4.2.3 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the eligible counterpart is valid.

*Datatype:* "[ISODate](#)" on page 2858

#### 46.4.2.4 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Date until when the eligible counterpart is valid.

*Datatype:* "[ISODate](#)" on page 2858

#### 46.4.2.5 EligibilityType <ElgbltyTp>

*Presence:* [1..1]

**Definition:** Defines the type of eligibility.

**Datatype:** "EligibilityType1Code" on page 2813

CodeName	Name	Definition
SECU	Securities	Eligibility applies at securities level.
ISCS	IssuerCSD	Eligibility applies at the level of issuer CSD.  All of the securities issued by the issuer CSD are eligible.
CTRY	Country	Eligibility applies at country level.  All of the securities issued in that country are eligible.

#### 46.4.2.6 EligibilityIdentification <Elgbltyld>

**Presence:** [1..1]

**Definition:** Unique identification of the eligible counterpart party.

**EligibilityIdentification <Elgbltyld>** contains one of the following **EligibilityIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	2182
Or	<b>FinancialInstrumentIdentification</b> <FinInstrmld>	[1..1]	IdentifierSet		2182
Or}	<b>IssuerCSDIdentification</b> <IssrCSDld>	[1..1]			2183
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2183
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2183

##### 46.4.2.6.1 Country <Ctry>

**Presence:** [1..1]

**Definition:** Country code used to identify the issuance country to be defined as eligible.

**Impacted by:** C2 "Country"

**Datatype:** "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

##### 46.4.2.6.2 FinancialInstrumentIdentification <FinInstrmld>

**Presence:** [1..1]

**Definition:** ISIN used to identify the security to be defined as eligible.

**Datatype:** "ISINOct2015Identifier" on page 2860

#### 46.4.2.6.3 IssuerCSDIdentification <IssrCSDId>

*Presence:* [1..1]

*Definition:* Issuer CSD identification used to identify the securities to be defined as eligible.

**IssuerCSDIdentification <IssrCSDId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2183
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2183

##### 46.4.2.6.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

##### 46.4.2.6.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 46.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C3 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 47      **reda.027.001.01**

## **CloseLinkCreationRequestV01**

### **47.1      MessageDefinition Functionality**

The CloseLinkCreationRequest message is sent by an instructing party to the executing party to request for the creation of a close link in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new close link with corresponding details.

Processing and confirmation of the close link creation request message are provided via a collateral management status advice.

Outline

The CloseLinkCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. CloseLink  
Represents a linkage between issuer/debtor/guarantor and its counterparty.
- C. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 47.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ClsLkCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2187
	<b>CloseLink</b> <ClsLk>	[1..1]			2187
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	2187
	<b>PartyIdentification</b> <PtyId>	[1..1]			2188
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2189
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2189
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			2189
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2190
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2190
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C8	2190

## 47.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C4 DescriptionUsageRule

Description must be used alone as the last resort.

### C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

#### C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

#### C8 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 47.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 47.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 47.4.2 CloseLink <ClsLk>

*Presence:* [1..1]

*Definition:* Represents a linkage between issuer/debtor/guarantor and its counterparty.

**CloseLink <ClsLk>** contains the following **CloseLink5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	2187
	<b>PartyIdentification</b> <PtyId>	[1..1]			2188
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2189
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2189
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			2189
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2190
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2190

#### 47.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

*Definition:* Identification of a security by an ISIN.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrlid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

#### 47.4.2.2 PartyIdentification <Ptyld>

*Presence:* [1..1]



*Definition:* Identifies the party for which the close link is defined.

**PartyIdentification <PtyId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2189
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2189

#### 47.4.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on [page 2687](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 47.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on [page 2699](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		2700

#### 47.4.2.3 CreditProviderIdentification <CdtPrvdrId>

*Presence:* [0..1]

*Definition:* Identifies the credit provider party for which the close link information is provided.

**CreditProviderIdentification <CdtPrvdrId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2190
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2190

#### 47.4.2.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 47.4.2.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 47.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C8 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# **48      reda.028.001.01**

## **CollateralDataStatusAdviceV01**

### **48.1    MessageDefinition Functionality**

The CollateralDataStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information of a request on collateral management reference data.

Usage:

It aims at informing about the status of the request.

Outline

The CollateralDataStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. StatusReason  
Specifies the reason for the status report.
- C. StatusReasonAndFinancialInstrument  
Specifies the reason for the status report per financial instrument and eligibility set.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 48.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;CollDataStsAdv&gt;</i>	[1..1]		C6, C7	
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2193
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2194
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2194
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2194
	<b>StatusReason</b> <StsRsn>	[0..1]		C8	2194
	<b>Status</b> <Sts>	[1..1]	CodeSet		2195
	<b>Reason</b> <Rsn>	[0..*]			2195
	<b>Reason</b> <Rsn>	[1..1]			2195
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2196
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2196
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2196
	<b>StatusReasonAndFinancialInstrument</b> <StsRsnAndFinInstrm>	[0..1]		C9	2196
	<b>Status</b> <Sts>	[1..1]	CodeSet		2197
	<b>Reason</b> <Rsn>	[0..*]			2197
	<b>Reason</b> <Rsn>	[1..1]			2198
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2198
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2198
	<b>FinancialInstrument</b> <FinInstrm>	[0..1]	±	C1, C2, C3, C4, C5	2198
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2199
	<b>EligibilitySetProfile</b> <ElgbltySetPrfl>	[0..1]	±		2200
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	2200

## 48.3 Constraints

### C1 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C2 DescriptionUsageRule

Description must be used alone as the last resort.

---

**C3 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C4 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C5 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C6 StatusReasonAndFinancialInstrumentPresenceRule**

If Status Reason And Financial Instrument is present then Status Reason cannot be present

On Condition

`/StatusReasonAndFinancialInstrument is present`

Following Must be True

`/StatusReason Must be absent`

*This constraint is defined at the MessageDefinition level.*

**C7 StatusReasonPresenceRule**

If Status Reason is present then Status Reason And Financial Instrument cannot be present

On Condition

`/StatusReason is present`

Following Must be True

`/StatusReasonAndFinancialInstrument Must be absent`

*This constraint is defined at the MessageDefinition level.*

**C8 StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

**C9 StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

**C10 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 48.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 48.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2194
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2194
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2194

#### 48.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

#### 48.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

#### 48.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 48.4.2 StatusReason <StsRsn>

*Presence:* [0..1]

*Definition:* Specifies the reason for the status report.

*Impacted by:* C8 "StatusReasonRule"

**StatusReason <StsRsn>** contains the following **CollateralStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2195
	<b>Reason &lt;Rsn&gt;</b>	[0..*]			2195
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2195
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2196
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2196
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2196

#### Constraints

- **StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

#### 48.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the collateral data maintenance instruction.

*Datatype:* "Status6Code" on page 2852

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

#### 48.4.2.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a collateral data maintenance instruction.

**Reason <Rsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2195
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2196
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2196
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2196

#### 48.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2196
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2196

#### 48.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 2820

#### 48.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 48.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

### 48.4.3 StatusReasonAndFinancialInstrument <StsRsnAndFinInstrm>

*Presence:* [0..1]

*Definition:* Specifies the reason for the status report per financial instrument and eligibility set.

*Impacted by:* C9 "StatusReasonRule"



**StatusReasonAndFinancialInstrument <StsRsnAndFinInstrm>** contains the following **CollateralStatusReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]	CodeSet		2197
	<b>Reason</b> <Rsn>	[0..*]			2197
	<b>Reason</b> <Rsn>	[1..1]			2198
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2198
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2198
	<b>FinancialInstrument</b> <FinInstrm>	[0..1]	±	C1, C2, C3, C4, C5	2198
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2199
	<b>EligibilitySetProfile</b> <ElgbltySetPrfl>	[0..1]	±		2200

#### Constraints

- **StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

#### 48.4.3.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the collateral data maintenance instruction.

*Datatype:* "Status10Code" on page 2852

CodeName	Name	Definition
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.
REJT	Rejected	Instruction has been rejected.
PART	PartiallyCompleted	Processing has been partially completed.

#### 48.4.3.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a collateral data maintenance instruction.

**Reason <Rsn>** contains the following **StatusReasonInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2198
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2198
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		2198
	<b>FinancialInstrument &lt;FinInstrm&gt;</b>	[0..1]	±	C1, C2, C3, C4, C5	2198
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2199

#### 48.4.3.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2198
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		2198

##### 48.4.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalCollateralReferenceDataStatusReason1Code" on page 2816

##### 48.4.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 48.4.3.2.2 FinancialInstrument <FinInstrm>

*Presence:* [0..1]

*Definition:* Financial instrument representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C1 "DescriptionPresenceRule", C2 "DescriptionUsageRule", C3 "ISINGuideline", C4 "ISINPresenceRule", C5 "OtherIdentificationPresenceRule"

**FinancialInstrument <FinInstrm>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrlid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or
    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

#### 48.4.3.2.3 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

#### 48.4.3.3 EligibilitySetProfile <ElgbltySetPrfl>

*Presence:* [0..1]

*Definition:* Number identifying the collateral eligibility set profile of the counterparty.

**EligibilitySetProfile <ElgbltySetPrfl>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 48.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C10 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

##### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 49      **reda.029.001.01**

## **SecurityMaintenanceStatusAdviceV01**

### 49.1    **MessageDefinition Functionality**

#### SCOPE

An executing/servicing party sends a SecurityMaintenanceStatusAdvice message to an instructing party to report the status of a SecurityMaintenanceRequest message previously sent by the instructing party.

The SecurityMaintenanceStatusAdvice message may be sent as a response to the request of the instructing party or not.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

Initiator: executing/servicing party.

#### Outline

The SecurityMaintenanceStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. FinancialInstrumentIdentification  
Identification of the financial instrument.
- C. ProcessingStatus  
Represents the processing status.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 49.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctyMntncStsAdv>	[1..1]			
	MessageHeader <MsgHdr>	[0..1]			2203
	MessageIdentification <MsgId>	[1..1]	Text		2203
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2203
	OriginalBusinessInstruction <OrgnlBizInstr>	[0..1]	±		2203
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C2, C3, C4, C5, C6	2203
	ProcessingStatus <PrcgSts>	[1..1]	±		2204
	SupplementaryData <SplmtryData>	[0..*]	±	C7	2205

## 49.3 Constraints

### C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C2 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C3 DescriptionUsageRule

Description must be used alone as the last resort.

### C4 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C5 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C6 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 49.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 49.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2203
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2203
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2203

### 49.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 49.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 49.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

## 49.4.2 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Identification of the financial instrument.

*Impacted by:* C2 "DescriptionPresenceRule", C3 "DescriptionUsageRule", C4 "ISINGuideline", C5 "ISINPresenceRule", C6 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see  
"SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrlId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

## 49.4.3 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Represents the processing status.



**ProcessingStatus <PrcgSts>** contains one of the following elements (see  
 "ProcessingStatus72Choice" on page 2763 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]			2763
	Reason <Rsn>	[0..*]	±	C6	2763
Or	PendingProcessing <PdgPrcg>	[1..1]	±		2764
Or	Rejected <Rjctd>	[1..1]	±		2764
Or	Completed <Cmpltd>	[1..1]			2764
	Reason <Rsn>	[0..*]	±	C6	2765
Or}	Proprietary <Prtry>	[1..1]	±		2765

## 49.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C7 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on  
 page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of  
 ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 50      **reda.030.001.01**

## **SecurityDeletionStatusAdviceV01**

### **50.1      MessageDefinition Functionality**

#### SCOPE

An executing/servicing party sends a SecurityDeletionStatusAdvice message to an instructing party to report the status of a SecurityDeletionRequest message previously sent by the instructing party.

The SecurityDeletionStatusAdvice message is sent as a response to the request of the instructing party.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

Initiator: executing/servicing party.

#### Outline

The SecurityDeletionStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. FinancialInstrumentIdentification  
Identification of the financial instrument.
- C. ProcessingStatus  
Represents the processing status.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 50.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctyDeltnStsAdvc>	[1..1]			
	MessageHeader <MsgHdr>	[0..1]			2208
	MessageIdentification <MsgId>	[1..1]	Text		2208
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2208
	OriginalBusinessInstruction <OrgnlBizInstr>	[0..1]	±		2208
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C2, C3, C4, C5, C6	2208
	ProcessingStatus <PrccgSts>	[1..1]	±		2209
	SupplementaryData <SplmtryData>	[0..*]	±	C7	2210

## 50.3 Constraints

### C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C2 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C3 DescriptionUsageRule

Description must be used alone as the last resort.

### C4 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C5 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C6 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 50.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 50.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2208
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2208
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2208

### 50.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 50.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 50.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

## 50.4.2 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Identification of the financial instrument.

*Impacted by:* C2 "DescriptionPresenceRule", C3 "DescriptionUsageRule", C4 "ISINGuideline", C5 "ISINPresenceRule", C6 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

## 50.4.3 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Represents the processing status.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus72Choice" on page 2763 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]			2763
	Reason <Rsn>	[0..*]	±	C6	2763
Or	PendingProcessing <PdgPrcg>	[1..1]	±		2764
Or	Rejected <Rjctd>	[1..1]	±		2764
Or	Completed <Cmpltd>	[1..1]			2764
	Reason <Rsn>	[0..*]	±	C6	2765
Or}	Proprietary <Prtry>	[1..1]	±		2765

## 50.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C7 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 51 reda.031.001.01 PartyDeletionRequestV01

## 51.1 MessageDefinition Functionality

Scope:

The PartyDeletionRequest message is sent by the instructing party to the executing party to request a deletion of a party defined in the executing system.

Usage:

It aims at instructing the deletion of an existing party by providing its identification.

Processing of the party deletion request message is provided via a party status advice.

Outline

The PartyDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SystemPartyIdentification

Unique identification, as assigned by the executing system, to unambiguously identify the party to be deleted.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 51.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyDeltnReq>	[1..1]			
	MessageHeader <MsgHdr>	[0..1]	±		2212
	SystemPartyIdentification <SysPtyId>	[1..1]	±		2212
	SupplementaryData <SplmtryData>	[0..*]	±	C3	2212

## 51.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 51.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 51.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 51.4.2 SystemPartyIdentification <SysPtyId>

*Presence:* [1..1]

*Definition:* Unique identification, as assigned by the executing system, to unambiguously identify the party to be deleted.

**SystemPartyIdentification <SysPtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 51.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]



*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 52 reda.032.001.01 SecuritiesAccountDeletionRequestV01

### 52.1 MessageDefinition Functionality

The SecuritiesAccountDeletionRequest message is sent by an instructing party to the executing party to request for the deletion of a securities account from the securities account reference data defined in the system of the executing party.

Usage:

It aims at instructing the deletion of an existing securities account providing securities account identification.

The result of the deletion is provided through a SecuritiesAccountStatusAdvice message.

Outline

The SecuritiesAccountDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. AccountIdentification  
Identification of the securities account to be deleted from the executing party system.
- C. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

### 52.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ScitiesAcctDeltnReq>	[1..1]			
	MessageHeader <MsgHdr>	[0..1]	±		2215
	AccountIdentification <AcctId>	[1..1]	±		2215
	SupplementaryData <SplmtryData>	[0..*]	±	C1	2215

## 52.3 Constraints

### C1 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 52.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 52.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 52.4.2 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the securities account to be deleted from the executing party system.

**AccountIdentification <AcctId>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

### 52.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C1 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 53 reda.033.001.01 SecuritiesAuditTrailQueryV01

## 53.1 MessageDefinition Functionality

The SecuritiesAuditTrailQuery message is sent by an instructing party to the executing party to query for the securities audit trail recorded in the system.

### Outline

The SecuritiesAuditTrailQueryV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

#### A. MessageHeader

Common business identification for the message.

#### B. SearchCriteria

Defines the criteria to be used to query the securities audit trail by the executing system.

#### C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 53.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;ScitiesAudtTrlQry&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2218
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2218
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C1, C2, C3, C4, C5	2219
	<b>DatePeriod</b> <DtPrd>	[0..1]			2220
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2220
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2220
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2220
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2221
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2221
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	2221

### 53.3 Constraints

- C1 DescriptionPresenceRule**  
If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.
- C2 DescriptionUsageRule**  
Description must be used alone as the last resort.
- C3 ISINGuideline**  
When an ISIN code exists, it is strongly recommended that the ISIN be used.
- C4 ISINPresenceRule**  
If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.
- C5 OtherIdentificationPresenceRule**  
If OtherIdentification is not present then either ISIN or Description must be present.
- C6 SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

### 53.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

#### 53.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.  
**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

#### 53.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]  
*Definition:* Defines the criteria to be used to query the securities audit trail by the executing system.

**SearchCriteria <SchCrit>** contains the following **SecuritiesAuditTrailSearchCriteria4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification &lt;FinInstrmId&gt;</b>	[0..1]	±	C1, C2, C3, C4, C5	2219
	<b>DatePeriod &lt;DtPrd&gt;</b>	[0..1]			2220
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		2220
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		2220
Or	<b>FromToDate &lt;FrToDt&gt;</b>	[1..1]	±		2220
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		2221
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		2221

### 53.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..1]

*Definition:* Describes security to be queried.

*Impacted by:* C1 "DescriptionPresenceRule", C2 "DescriptionUsageRule", C3 "ISINGuideline", C4 "ISINPresenceRule", C5 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification39" on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 53.4.2.2 DatePeriod <DtPrd>

*Presence:* [0..1]

*Definition:* Describes date period for querying information.

**DatePeriod <DtPrd>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2220
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2220
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2220
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2221
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2221

#### 53.4.2.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 53.4.2.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 53.4.2.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.



**FromDate <FrToDt>** contains the following elements (see ["DatePeriod2"](#) on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 53.4.2.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODate"](#) on page 2858

#### 53.4.2.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODate"](#) on page 2858

### 53.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C6 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# **54      reda.034.001.01 SecuritiesAuditTrailReportV01**

## **54.1    MessageDefinition Functionality**

The SecuritiesAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested securities audit trail recorded in the system.

### Outline

The SecuritiesAuditTrailReportV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. ReportOrError

Provides information on report or error resulting from the originating query message.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 54.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAudtTrlRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2224
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2225
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2225
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2225
	<b>ReportOnError</b> <RptOrErr>	[1..1]			2225
{Or	<b>SecuritiesAuditTrailReport</b> <SctiesAudtTrlRpt>	[1..*]			2226
	<b>SecuritiesAuditTrailOnError</b> <SctiesAudtTrlOrErr>	[1..1]			2227
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2228
	<b>FieldName</b> <FldNm>	[1..1]	Text		2228
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2228
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2229
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2229
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2229
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2229
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2229
	<b>Error</b> <Err>	[1..1]			2229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2230
	<b>Description</b> <Desc>	[0..1]	Text		2230
	<b>DatePeriod</b> <DtPrd>	[0..1]			2230
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2230
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2230
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2230
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2231
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2231
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C1, C2, C3, C4, C5	2231
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			2232
	<b>Error</b> <Err>	[1..1]			2232

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2232
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2233
	<b>Description</b> <Desc>	[0..1]	Text		2233
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	2233

## 54.3 Constraints

### C1 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C2 DescriptionUsageRule

Description must be used alone as the last resort.

### C3 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C4 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C5 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C6 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 54.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 54.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2225
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2225
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2225

54.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

54.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

54.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

54.4.2 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **SecuritiesAuditTrailOrOperationalError4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAuditTrailReport</b> <SctiesAudtTrlRpt>	[1..*]			2226
	<b>SecuritiesAuditTrailOrError</b> <SctiesAudtTrlOrErr>	[1..1]			2227
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2228
	<b>FieldName</b> <FldNm>	[1..1]	Text		2228
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2228
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2229
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2229
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2229
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2229
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2229
	<b>Error</b> <Err>	[1..1]			2229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2230
	<b>Description</b> <Desc>	[0..1]	Text		2230
	<b>DatePeriod</b> <DtPrd>	[0..1]			2230
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2230
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2230
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2230
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2231
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2231
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C1, C2, C3, C4, C5	2231
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2232
	<b>Error</b> <Err>	[1..1]			2232
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2232
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2233
	<b>Description</b> <Desc>	[0..1]	Text		2233

#### 54.4.2.1 SecuritiesAuditTrailReport <SctiesAudtTrlRpt>

*Presence:* [1..\*]

*Definition:* Report information about securities reference data.

**SecuritiesAuditTrailReport <SctiesAudtTrlRpt>** contains the following **SecuritiesAuditTrailReport4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAuditTrailOnError &lt;SctiesAudtTrlOrErr&gt;</b>	[1..1]			2227
{Or	<b>AuditTrail &lt;AudtTrl&gt;</b>	[1..*]			2228
	<b>FieldName &lt;FldNm&gt;</b>	[1..1]	Text		2228
	<b>OldFieldValue &lt;OdFldVal&gt;</b>	[1..1]	Text		2228
	<b>NewFieldValue &lt;NewFldVal&gt;</b>	[1..1]	Text		2229
	<b>OperationTimeStamp &lt;OprTmStmp&gt;</b>	[1..1]	DateTime		2229
	<b>InstructingUser &lt;InstgUsr&gt;</b>	[1..1]	Text		2229
	<b>ApprovingUser &lt;AprvgUsr&gt;</b>	[0..1]	Text		2229
Or}	<b>BusinessError &lt;BizErr&gt;</b>	[1..*]			2229
	<b>Error &lt;Err&gt;</b>	[1..1]			2229
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2230
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2230
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2230
	<b>DatePeriod &lt;DtPrd&gt;</b>	[0..1]			2230
{Or	<b>FromDate &lt;FrDt&gt;</b>	[1..1]	Date		2230
Or	<b>ToDate &lt;ToDt&gt;</b>	[1..1]	Date		2230
Or	<b>FromToDate &lt;FrToDt&gt;</b>	[1..1]	±		2230
Or	<b>EqualDate &lt;EQDt&gt;</b>	[1..1]	Date		2231
Or}	<b>NotEqualDate &lt;NEQDt&gt;</b>	[1..1]	Date		2231
	<b>FinancialInstrumentIdentification &lt;FinInstrmId&gt;</b>	[1..1]	±	C1, C2, C3, C4, C5	2231

#### 54.4.2.1.1 SecuritiesAuditTrailOnError <SctiesAudtTrlOrErr>

*Presence:* [1..1]

*Definition:* Identifies the returned securities reference data or error information.

**SecuritiesAuditTrailOrError <SctiesAudtTrlOrErr>** contains one of the following **AuditTrailOrBusinessError6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2228
	<b>FieldName</b> <FldNm>	[1..1]	Text		2228
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2228
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2229
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2229
	<b>InstructingUser</b> <InstgUshr>	[1..1]	Text		2229
	<b>ApprovingUser</b> <ApprvgUshr>	[0..1]	Text		2229
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2229
	<b>Error</b> <Err>	[1..1]			2229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2230
	<b>Description</b> <Desc>	[0..1]	Text		2230

#### 54.4.2.1.1.1 AuditTrail <AudtTrl>

*Presence:* [1..\*]

*Definition:* Details of the static data audit trail retrieved from the system.

**AuditTrail <AudtTrl>** contains the following **AuditTrail1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FieldName</b> <FldNm>	[1..1]	Text		2228
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2228
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2229
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2229
	<b>InstructingUser</b> <InstgUshr>	[1..1]	Text		2229
	<b>ApprovingUser</b> <ApprvgUshr>	[0..1]	Text		2229

##### 54.4.2.1.1.1.1 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the field whose value has been changed.

*Datatype:* "Max35Text" on page 2865

##### 54.4.2.1.1.1.2 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the field before the change.



*Datatype:* "Max350Text" on page 2865

#### 54.4.2.1.1.1.3 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the field after the change.

*Datatype:* "Max350Text" on page 2865

#### 54.4.2.1.1.1.4 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Timestamp of the change.

*Datatype:* "ISODateTime" on page 2858

#### 54.4.2.1.1.1.5 InstructingUser <InstgUsr>

*Presence:* [1..1]

*Definition:* User who instructed the change.

*Datatype:* "Max256Text" on page 2865

#### 54.4.2.1.1.1.6 ApprovingUser <ApprvgUsr>

*Presence:* [0..1]

*Definition:* User who approved the change instructed by the instructing user.

*Datatype:* "Max256Text" on page 2865

#### 54.4.2.1.1.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error</b> <Err>	[1..1]			2229
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2230
	<b>Description</b> <Desc>	[0..1]	Text		2230

#### 54.4.2.1.1.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2230
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2230

#### 54.4.2.1.1.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 54.4.2.1.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 54.4.2.1.1.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

#### 54.4.2.1.2 DatePeriod <DtPrd>

*Presence:* [0..1]

*Definition:* Period in dates for which the audit trail is provided.

**DatePeriod <DtPrd>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2230
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2230
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2230
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2231
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2231

##### 54.4.2.1.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 54.4.2.1.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

##### 54.4.2.1.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDate <FrToDt>** contains the following elements (see ["DatePeriod2"](#) on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 54.4.2.1.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODate"](#) on page 2858

#### 54.4.2.1.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODate"](#) on page 2858

#### 54.4.2.1.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identifies the securities for which the audit trail is provided.

*Impacted by:* [C1 "DescriptionPresenceRule"](#), [C2 "DescriptionUsageRule"](#), [C3 "ISINGuideline"](#), [C4 "ISINPresenceRule"](#), [C5 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see ["SecurityIdentification39"](#) on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <OthrId>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 54.4.2.2 OperationalError <OprlErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OprlErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2232
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2232
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2233
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2233

##### 54.4.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2232
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2233

##### 54.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

**54.4.2.2.1.2 Proprietary <Prtry>**

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

**54.4.2.2.2 Description <Desc>**

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

**54.4.3        SupplementaryData <SplmtryData>**

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C6 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

**Constraints**

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# 55      **reda.035.001.01**

## **SecuritiesAccountActivityAdviceV01**

### 55.1    **MessageDefinition Functionality**

The SecuritieAccountActivityReport message is sent by the executing party to an instructing party containing information about changes on securities account reference data.

Scope and usage:

It aims at informing about the changes occurred during a business date for securities account reference data.

Outline

The SecuritiesAccountActivityAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

C. SecuritiesAccountActivity

Activity report of changes occurred for a specific securities account defined in the system.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 55.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctActvtyAdv<	[1..1]			
	MessageHeader <MsgHdr>	[0..1]	±		2235
	Pagination <Pgntn>	[1..1]	±		2236
	SecuritiesAccountActivity <SctiesAcctActvty>	[1..1]			2236
	SystemDate <SysDt>	[1..1]	Date		2236
	Change <Chng>	[0..*]			2236
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	±		2237
	FieldName <FldNm>	[1..1]	Text		2237
	OldFieldValue <OdFldVal>	[1..1]	Text		2237
	NewFieldValue <NewFldVal>	[1..1]	Text		2237
	OperationTimeStamp <OprTmStmp>	[1..1]	DateTime		2237
	SupplementaryData <SplmtryData>	[0..*]	±	C1	2238

## 55.3 Constraints

### C1 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 55.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 55.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 55.4.2 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 55.4.3 SecuritiesAccountActivity <SctiesAcctActvty>

*Presence:* [1..1]

*Definition:* Activity report of changes occurred for a specific securities account defined in the system.

**SecuritiesAccountActivity <SctiesAcctActvty>** contains the following **SecuritiesAccountStatement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemDate</b> <SysDt>	[1..1]	Date		2236
	<b>Change</b> <Chng>	[0..*]			2236
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2237
	<b>FieldName</b> <FldNm>	[1..1]	Text		2237
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2237
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2237
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2237

### 55.4.3.1 SystemDate <SysDt>

*Presence:* [1..1]

*Definition:* Date for which the statement is valid.

*Datatype:* "ISODate" on page 2858

### 55.4.3.2 Change <Chng>

*Presence:* [0..\*]

*Definition:* Provides information on the actual change occurred to a securities account.



**Change <Chng>** contains the following **SecuritiesAccountReferenceDataChange2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2237
	<b>FieldName</b> <FldNm>	[1..1]	Text		2237
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2237
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2237
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2237

#### 55.4.3.2.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Identifies the securities account for which the changes are listed in the advice.

**SecuritiesAccountIdentification** <SctiesAcctId> contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 55.4.3.2.2 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the element, as specified in the short tag name for the field in the message.

*Datatype:* "Max35Text" on page 2865

#### 55.4.3.2.3 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the related field before the change was applied.

*Datatype:* "Max350Text" on page 2865

#### 55.4.3.2.4 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the related field after the change was applied.

*Datatype:* "Max350Text" on page 2865

#### 55.4.3.2.5 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Specifies the timestamp of the operation.

*Datatype:* "ISODateTime" on page 2858

## 55.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C1 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 56 reda.036.001.01 SecuritiesAccountAuditTrailQueryV01

## 56.1 MessageDefinition Functionality

The SecuritiesAccountAuditTrailQuery message is sent by an instructing party to the executing party to query for the securities account audit trail recorded in the system.

Outline

The SecuritiesAccountAuditTrailQueryV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. SearchCriteria  
Defines the criteria to be used to query the securities account audit trail by the executing system.
- C. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 56.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctAudtTrlQry>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2240
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2240
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[0..1]	±		2240
	<b>DatePeriod</b> <DtPrd>	[0..1]			2241
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2241
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2241
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2241
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2242
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2242
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C1	2242

## 56.3 Constraints

### C1 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 56.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 56.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1" on page 2644](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 56.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to be used to query the securities account audit trail by the executing system.

**SearchCriteria <SchCrit>** contains the following **SecuritiesAccountAuditTrailSearchCriteria3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[0..1]	±		2240
	<b>DatePeriod</b> <DtPrd>	[0..1]			2241
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2241
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2241
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2241
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2242
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2242

#### 56.4.2.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [0..1]

*Definition:* Unique identification of the securities account to be queried.

**SecuritiesAccountIdentification <SctiesAcctId>** contains the following elements (see  
"SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 56.4.2.2 DatePeriod <DtPrd>

*Presence:* [0..1]

*Definition:* Specifies the period for querying information.

**DatePeriod <DtPrd>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2241
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2241
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2241
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2242
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2242

##### 56.4.2.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 56.4.2.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

##### 56.4.2.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 56.4.2.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 56.4.2.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

### 56.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C1 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# **57      reda.037.001.01**

## **SecuritiesAccountAuditTrailReportV01**

### **57.1    MessageDefinition Functionality**

The SecuritiesAccountAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested securities account audit trail recorded in the system.

#### Outline

The SecuritiesAccountAuditTrailReportV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. ReportOrError

Provides information on report or error resulting from the originating query message.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 57.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesAcctAudtTrlRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2245
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2245
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2245
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2246
	<b>ReportOnError</b> <RptOrErr>	[1..1]			2246
{Or	<b>SecuritiesAccountAuditTrailReport</b> <SctiesAcctAudtTrlRpt>	[1..*]			2247
	<b>SecuritiesAccountAuditTrailOnError</b> <SctiesAcctAudtTrlOrErr>	[1..1]			2248
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2249
	<b>FieldName</b> <FldNm>	[1..1]	Text		2249
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2249
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2250
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2250
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2250
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2250
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2250
	<b>Error</b> <Err>	[1..1]			2250
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2251
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2251
	<b>Description</b> <Desc>	[0..1]	Text		2251
	<b>DatePeriod</b> <DtPrd>	[0..1]			2251
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2251
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2251
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2251
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2252
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2252
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2252
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2252
	<b>Error</b> <Err>	[1..1]			2252



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2253
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2253
	<b>Description</b> <Desc>	[0..1]	Text		2253
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C1	2253

## 57.3 Constraints

### C1 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 57.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 57.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2245
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2245
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2246

#### 57.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

#### 57.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

### 57.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see  
"OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 57.4.2 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **SecuritiesAccountAuditTrailOrOperationalError3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountAuditTrailReport</b> <SctiesAcctAudtTrlRpt>	[1..*]			2247
	<b>SecuritiesAccountAuditTrailOrError</b> <SctiesAcctAudtTrlOrErr>	[1..1]			2248
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2249
	<b>FieldName</b> <FldNm>	[1..1]	Text		2249
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2249
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2250
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2250
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2250
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2250
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2250
	<b>Error</b> <Err>	[1..1]			2250
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2251
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2251
	<b>Description</b> <Desc>	[0..1]	Text		2251
	<b>DatePeriod</b> <DtPrd>	[0..1]			2251
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2251
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2251
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2251
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2252
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2252
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2252
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2252
	<b>Error</b> <Err>	[1..1]			2252
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2253
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2253
	<b>Description</b> <Desc>	[0..1]	Text		2253

#### 57.4.2.1 SecuritiesAccountAuditTrailReport <SctiesAcctAudtTrlRpt>

*Presence:* [1..\*]

*Definition:* Report information about securities account reference data.

**SecuritiesAccountAuditTrailReport <SctiesAcctAudtTrIRpt>** contains the following  
**SecuritiesAccountAuditTrailReport3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccountAuditTrailOrError</b> <SctiesAcctAudtTrlOrErr>	[1..1]			2248
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2249
	<b>FieldName</b> <FldNm>	[1..1]	Text		2249
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2249
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2250
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2250
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2250
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2250
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2250
	<b>Error</b> <Err>	[1..1]			2250
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2251
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2251
	<b>Description</b> <Desc>	[0..1]	Text		2251
	<b>DatePeriod</b> <DtPrd>	[0..1]			2251
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2251
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2251
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2251
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2252
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2252
	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	±		2252

**57.4.2.1.1 SecuritiesAccountAuditTrailOrError <SctiesAcctAudtTrlOrErr>**

*Presence:* [1..1]

*Definition:* Provides the returned securities account reference data or error information.

**SecuritiesAccountAuditTrailOrError <SctiesAcctAudtTrlOrErr>** contains one of the following  
**AuditTrailOrBusinessError6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2249
	<b>FieldName</b> <FldNm>	[1..1]	Text		2249
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2249
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2250
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2250
	<b>InstructingUser</b> <InstgUshr>	[1..1]	Text		2250
	<b>ApprovingUser</b> <ApprvgUshr>	[0..1]	Text		2250
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2250
	<b>Error</b> <Err>	[1..1]			2250
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2251
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2251
	<b>Description</b> <Desc>	[0..1]	Text		2251

#### 57.4.2.1.1.1 AuditTrail <AudtTrl>

*Presence:* [1..\*]

*Definition:* Details of the static data audit trail retrieved from the system.

**AuditTrail <AudtTrl>** contains the following **AuditTrail1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FieldName</b> <FldNm>	[1..1]	Text		2249
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2249
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2250
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2250
	<b>InstructingUser</b> <InstgUshr>	[1..1]	Text		2250
	<b>ApprovingUser</b> <ApprvgUshr>	[0..1]	Text		2250

##### 57.4.2.1.1.1.1 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the field whose value has been changed.

*Datatype:* "Max35Text" on page 2865

##### 57.4.2.1.1.1.2 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the field before the change.

*Datatype:* "Max350Text" on page 2865

#### 57.4.2.1.1.1.3 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the field after the change.

*Datatype:* "Max350Text" on page 2865

#### 57.4.2.1.1.1.4 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Timestamp of the change.

*Datatype:* "ISODateTime" on page 2858

#### 57.4.2.1.1.1.5 InstructingUser <InstgUsr>

*Presence:* [1..1]

*Definition:* User who instructed the change.

*Datatype:* "Max256Text" on page 2865

#### 57.4.2.1.1.1.6 ApprovingUser <ApprvgUsr>

*Presence:* [0..1]

*Definition:* User who approved the change instructed by the instructing user.

*Datatype:* "Max256Text" on page 2865

#### 57.4.2.1.1.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2250
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2251
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2251
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2251

#### 57.4.2.1.1.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2251
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2251

#### 57.4.2.1.1.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 57.4.2.1.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 57.4.2.1.1.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

#### 57.4.2.1.2 DatePeriod <DtPrd>

*Presence:* [0..1]

*Definition:* Period in dates for which the audit trail is provided.

**DatePeriod <DtPrd>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2251
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2251
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2251
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2252
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2252

##### 57.4.2.1.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 57.4.2.1.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

##### 57.4.2.1.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 57.4.2.1.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 57.4.2.1.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 57.4.2.1.3 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Identifies the securities account for which the audit trail is provided.

**SecuritiesAccountIdentification <SctiesAcctId>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 57.4.2.2 OperationalError <OprlErr>

*Presence:* [1..\*]

*Definition:* Provides details about an operational error.

**OperationalError <OprlErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2252
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2253
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2253
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2253

#### 57.4.2.2.1 Error <Err>

*Presence:* [1..1]



*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2253
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2253

#### 57.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 57.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 57.4.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 57.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C1 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# **58      reda.041.001.02 PartyActivityAdviceV02**

## **58.1    MessageDefinition Functionality**

The PartyActivityReport message is sent by the executing party to an instructing party containing information about changes on party reference data.

Scope and usage:

It aims at informing about the changes occurred during a business date for party reference data.

Outline

The PartyActivityAdviceV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. PartyActivity

Activity report of changes occurred for a party defined in the system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 58.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyActvtyAdv>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2258
	<b>PartyActivity</b> <PtyActvty>	[1..1]			2258
	<b>SystemDate</b> <SysDt>	[1..1]	Date		2261
	<b>Change</b> <Chng>	[0..*]			2261
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2264
	<b>Record</b> <Rcrd>	[1..*]			2264
{Or	<b>Address</b> <Adr>	[1..1]			2267
	<b>Old</b> <Od>	[1..1]	±		2267
	<b>New</b> <New>	[1..1]	±		2268
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2269
	<b>Old</b> <Od>	[1..1]	±		2269
	<b>New</b> <New>	[1..1]	±		2270
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2271
	<b>Old</b> <Od>	[1..1]	Date		2271
	<b>New</b> <New>	[1..1]	Date		2271
Or	<b>ClosingDate</b> <ClsgDt>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]	Date		2272
	<b>New</b> <New>	[1..1]	Date		2272
Or	<b>Type</b> <Tp>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]			2272
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
	<b>New</b> <New>	[1..1]			2273
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2273
	<b>Old</b> <Od>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2274
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2274

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>New</b> <New>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2275
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2275
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2275
	<b>Old</b> <Od>	[1..1]			2275
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
	<b>New</b> <New>	[1..1]			2276
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
Or	<b>Name</b> <Nm>	[1..1]			2276
	<b>Old</b> <Od>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2277
	<b>Name</b> <Nm>	[1..1]	Text		2277
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2277
	<b>New</b> <New>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2278
	<b>Name</b> <Nm>	[1..1]	Text		2278
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2278
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2278
	<b>Old</b> <Od>	[1..1]	CodeSet		2278
	<b>New</b> <New>	[1..1]	CodeSet		2279
Or	<b>LockStatus</b> <LckSts>	[1..1]			2279
	<b>Old</b> <Od>	[1..1]			2279
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2280
	<b>New</b> <New>	[1..1]			2280
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2281

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2281
	<b>Old</b> <Od>	[1..1]			2281
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2282
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2282
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2282
	<b>New</b> <New>	[1..1]			2282
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2283
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2283
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2284
Or}	<b>Other</b> <Othr>	[1..*]			2284
	<b>FieldName</b> <FldNm>	[1..1]	Text		2284
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2284
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2284
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2284
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	2284

## 58.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4    **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

58.4    **Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

58.4.1        **MessageHeader <MsgHdr>**

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

58.4.2        **PartyActivity <PtyActvty>**

*Presence:* [1..1]

*Definition:* Activity report of changes occurred for a party defined in the system.

**PartyActivity <PtyActvty>** contains the following **PartyStatement3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SystemDate</b> <SysDt>	[1..1]	Date		2261
	<b>Change</b> <Chng>	[0..*]			2261
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2264
	<b>Record</b> <Rcrd>	[1..*]			2264
{Or	<b>Address</b> <Adr>	[1..1]			2267
	<b>Old</b> <Od>	[1..1]	±		2267
	<b>New</b> <New>	[1..1]	±		2268
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2269
	<b>Old</b> <Od>	[1..1]	±		2269
	<b>New</b> <New>	[1..1]	±		2270
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2271
	<b>Old</b> <Od>	[1..1]	Date		2271
	<b>New</b> <New>	[1..1]	Date		2271
Or	<b>ClosingDate</b> <ClsGdt>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]	Date		2272
	<b>New</b> <New>	[1..1]	Date		2272
Or	<b>Type</b> <Tp>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]			2272
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
	<b>New</b> <New>	[1..1]			2273
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2273
	<b>Old</b> <Od>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2274
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2274
	<b>New</b> <New>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2275
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2275
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2275

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2275
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
	<b>New</b> <New>	[1..1]			2276
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
Or	<b>Name</b> <Nm>	[1..1]			2276
	<b>Old</b> <Od>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2277
	<b>Name</b> <Nm>	[1..1]	Text		2277
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2277
	<b>New</b> <New>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2278
	<b>Name</b> <Nm>	[1..1]	Text		2278
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2278
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2278
	<b>Old</b> <Od>	[1..1]	CodeSet		2278
	<b>New</b> <New>	[1..1]	CodeSet		2279
Or	<b>LockStatus</b> <LckSts>	[1..1]			2279
	<b>Old</b> <Od>	[1..1]			2279
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2280
	<b>New</b> <New>	[1..1]			2280
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2281
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2281
	<b>Old</b> <Od>	[1..1]			2281
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2282
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2282
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2282
	<b>New</b> <New>	[1..1]			2282
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2283
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2283
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2284
Or}	<b>Other</b> <Othr>	[1..*]			2284
	<b>FieldName</b> <FldNm>	[1..1]	Text		2284
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2284
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2284
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2284

#### 58.4.2.1 SystemDate <SysDt>

*Presence:* [1..1]

*Definition:* Date for which the statement is valid.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2 Change <Chng>

*Presence:* [0..\*]

*Definition:* Provides information on the actual change occurred to a party.

**Change <Chng>** contains the following **PartyReferenceDataChange3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2264
	<b>Record</b> <Rcrd>	[1..*]			2264
{Or	<b>Address</b> <Adr>	[1..1]			2267
	<b>Old</b> <Od>	[1..1]	±		2267
	<b>New</b> <New>	[1..1]	±		2268
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2269
	<b>Old</b> <Od>	[1..1]	±		2269
	<b>New</b> <New>	[1..1]	±		2270
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2271
	<b>Old</b> <Od>	[1..1]	Date		2271
	<b>New</b> <New>	[1..1]	Date		2271
Or	<b>ClosingDate</b> <ClsgDt>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]	Date		2272
	<b>New</b> <New>	[1..1]	Date		2272
Or	<b>Type</b> <Tp>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]			2272
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
	<b>New</b> <New>	[1..1]			2273
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2273
	<b>Old</b> <Od>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2274
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2274
	<b>New</b> <New>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2275
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2275
Or	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[1..1]			2275
	<b>Old</b> <Od>	[1..1]			2275
	<b>Name</b> <Nm>	[1..1]	Text		2276

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Value</b> <Val>	[1..1]	Text		2276
	<b>New</b> <New>	[1..1]			2276
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
Or	<b>Name</b> <Nm>	[1..1]			2276
	<b>Old</b> <Od>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2277
	<b>Name</b> <Nm>	[1..1]	Text		2277
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2277
	<b>New</b> <New>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2278
	<b>Name</b> <Nm>	[1..1]	Text		2278
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2278
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2278
	<b>Old</b> <Od>	[1..1]	CodeSet		2278
	<b>New</b> <New>	[1..1]	CodeSet		2279
Or	<b>LockStatus</b> <LckSts>	[1..1]			2279
	<b>Old</b> <Od>	[1..1]			2279
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2280
	<b>New</b> <New>	[1..1]			2280
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2281
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2281
	<b>Old</b> <Od>	[1..1]			2281
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2282
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2282

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2282
	<b>New</b> <New>	[1..1]			2282
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2283
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2283
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2284
Or}	<b>Other</b> <Othr>	[1..*]			2284
	<b>FieldName</b> <FldNm>	[1..1]	Text		2284
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2284
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2284
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2284

#### 58.4.2.2.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Identifies the party for which the changes are listed in the advice.

**PartyIdentification <PtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on [page 2699](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 58.4.2.2.2 Record <Rcrd>

*Presence:* [1..\*]

*Definition:* Provides the party data record for which details of the change are provided.

**Record <Rcrd>** contains one of the following **UpdateLogPartyRecord2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Address</b> <Adr>	[1..1]			2267
	<b>Old</b> <Od>	[1..1]	±		2267
	<b>New</b> <New>	[1..1]	±		2268
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2269
	<b>Old</b> <Od>	[1..1]	±		2269
	<b>New</b> <New>	[1..1]	±		2270
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2271
	<b>Old</b> <Od>	[1..1]	Date		2271
	<b>New</b> <New>	[1..1]	Date		2271
Or	<b>ClosingDate</b> <ClsdDt>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]	Date		2272
	<b>New</b> <New>	[1..1]	Date		2272
Or	<b>Type</b> <Tp>	[1..1]			2272
	<b>Old</b> <Od>	[1..1]			2272
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
	<b>New</b> <New>	[1..1]			2273
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2273
	<b>Old</b> <Od>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2274
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2274
	<b>New</b> <New>	[1..1]			2274
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2275
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2275
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2275
	<b>Old</b> <Od>	[1..1]			2275
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
	<b>New</b> <New>	[1..1]			2276

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
Or	<b>Name</b> <Nm>	[1..1]			2276
	<b>Old</b> <Od>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2277
	<b>Name</b> <Nm>	[1..1]	Text		2277
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2277
	<b>New</b> <New>	[1..1]			2277
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2278
	<b>Name</b> <Nm>	[1..1]	Text		2278
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2278
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2278
	<b>Old</b> <Od>	[1..1]	CodeSet		2278
	<b>New</b> <New>	[1..1]	CodeSet		2279
Or	<b>LockStatus</b> <LckSts>	[1..1]			2279
	<b>Old</b> <Od>	[1..1]			2279
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2280
	<b>New</b> <New>	[1..1]			2280
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2280
	<b>Status</b> <Sts>	[1..1]	CodeSet		2280
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2281
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2281
	<b>Old</b> <Od>	[1..1]			2281
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2282
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2282
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2282
	<b>New</b> <New>	[1..1]			2282

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2283
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2283
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2284
Or}	<b>Other</b> <Othr>	[1..*]			2284
	<b>FieldName</b> <FldNm>	[1..1]	Text		2284
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2284
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2284

#### 58.4.2.2.2.1 Address <Adr>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following **UpdateLogAddress2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]	±		2267
	<b>New</b> <New>	[1..1]	±		2268

#### 58.4.2.2.2.1.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

#### 58.4.2.2.2.1.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change



**New <New>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

#### 58.4.2.2.2.2 ContactDetails <CtctDtls>

*Presence:* [1..1]

*Definition:* Specifies the contact details of the party.

**ContactDetails <CtctDtls>** contains the following **UpdateLogContact2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Old <Od>	[1..1]	±		2269
	New <New>	[1..1]	±		2270

#### 58.4.2.2.2.2.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 58.4.2.2.2.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 58.4.2.2.2.3 OpeningDate <OpngDt>

*Presence:* [1..1]

*Definition:* Specifies the opening date of the party.

**OpeningDate <OpngDt>** contains the following **UpdateLogDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]	Date		2271
	<b>New</b> <New>	[1..1]	Date		2271

##### 58.4.2.2.2.3.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 2858

##### 58.4.2.2.2.3.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2.2.4 ClosingDate <ClsgDt>

*Presence:* [1..1]

*Definition:* Specifies the closing date of the party.

**ClosingDate <ClsgDt>** contains the following **UpdateLogDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]	Date		2272
	<b>New &lt;New&gt;</b>	[1..1]	Date		2272

##### 58.4.2.2.2.4.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 2858

##### 58.4.2.2.2.4.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2.2.5 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains the following **UpdateLogSystemPartyType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2272
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2273
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2273
	<b>New &lt;New&gt;</b>	[1..1]			2273
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2273
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2273

##### 58.4.2.2.2.5.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273

#### 58.4.2.2.2.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

#### 58.4.2.2.2.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.5.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2273
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2273

#### 58.4.2.2.2.5.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

#### 58.4.2.2.2.5.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.6 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains the following **UpdateLogTechnicalAddress1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2274
{Or	<b>BICFI &lt;BICFI&gt;</b>	[1..1]	IdentifierSet	C2	2274
Or}	<b>TechnicalAddress &lt;TechAdr&gt;</b>	[1..1]	Text		2274
	<b>New &lt;New&gt;</b>	[1..1]			2274
{Or	<b>BICFI &lt;BICFI&gt;</b>	[1..1]	IdentifierSet	C2	2275
Or}	<b>TechnicalAddress &lt;TechAdr&gt;</b>	[1..1]	Text		2275

#### 58.4.2.2.2.6.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI &lt;BICFI&gt;</b>	[1..1]	IdentifierSet	C2	2274
Or}	<b>TechnicalAddress &lt;TechAdr&gt;</b>	[1..1]	Text		2274

##### 58.4.2.2.2.6.1.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

##### 58.4.2.2.2.6.1.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

##### 58.4.2.2.2.6.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2275
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2275

#### 58.4.2.2.2.6.2.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 58.4.2.2.2.6.2.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

#### 58.4.2.2.2.7 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [1..1]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **UpdateLogMarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2275
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276
	<b>New</b> <New>	[1..1]			2276
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276

#### 58.4.2.2.2.7.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276

#### 58.4.2.2.2.7.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.7.1.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 58.4.2.2.2.7.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2276
	<b>Value</b> <Val>	[1..1]	Text		2276

#### 58.4.2.2.2.7.2.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.7.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 58.4.2.2.2.8 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name by which a party is known and which is usually used to identify that party.



**Name <Nm>** contains the following **UpdateLogPartyName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2277
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2277
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2277
	<b>ShortName &lt;ShrtNm&gt;</b>	[0..1]	Text		2277
	<b>New &lt;New&gt;</b>	[1..1]			2277
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2278
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2278
	<b>ShortName &lt;ShrtNm&gt;</b>	[0..1]	Text		2278

#### 58.4.2.2.2.8.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyName4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2277
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2277
	<b>ShortName &lt;ShrtNm&gt;</b>	[0..1]	Text		2277

#### 58.4.2.2.2.8.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2.2.8.1.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

#### 58.4.2.2.2.8.1.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.8.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyName4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2278
	<b>Name</b> <Nm>	[1..1]	Text		2278
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2278

#### 58.4.2.2.2.8.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2.2.8.2.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

#### 58.4.2.2.2.8.2.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.9 ResidenceType <ResTp>

*Presence:* [1..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

**ResidenceType <ResTp>** contains the following **UpdateLogResidenceType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]	CodeSet		2278
	<b>New</b> <New>	[1..1]	CodeSet		2279

#### 58.4.2.2.2.9.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.

CodeName	Name	Definition
MXED	Mixed	Residence is mixed

#### 58.4.2.2.9.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

#### 58.4.2.2.10 LockStatus <LckSts>

*Presence:* [1..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **UpdateLogPartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2279
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2280
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2280
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2280
	<b>New &lt;New&gt;</b>	[1..1]			2280
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2280
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2280
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2281

##### 58.4.2.2.10.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2280
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2280
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2280

#### 58.4.2.2.2.10.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2.2.10.1.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

#### 58.4.2.2.2.10.1.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.10.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2280
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2280
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2281

#### 58.4.2.2.2.10.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

#### 58.4.2.2.2.10.2.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

#### 58.4.2.2.2.10.2.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865

#### 58.4.2.2.2.11 Restriction <Rstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **UpdateLogRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2281
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2282
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2282
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2282
	<b>New</b> <New>	[1..1]			2282
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2283
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2283
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2284

##### 58.4.2.2.2.11.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **Restriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2282
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2282
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2282

#### 58.4.2.2.2.11.1.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType <RstrctnTp>** contains one of the following **CodeOrProprietary1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	Text		2282
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2282

##### 58.4.2.2.2.11.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 2866

##### 58.4.2.2.2.11.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 58.4.2.2.2.11.1.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

##### 58.4.2.2.2.11.1.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

##### 58.4.2.2.2.11.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **Restriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2283
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2283
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2284

#### 58.4.2.2.2.11.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType <RstrctnTp>** contains one of the following **CodeOrProprietary1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	Text		2283
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2283

##### 58.4.2.2.2.11.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 2866

##### 58.4.2.2.2.11.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

##### 58.4.2.2.2.11.2.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 58.4.2.2.2.11.2.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 58.4.2.2.2.12 Other <Othr>

*Presence:* [1..\*]

*Definition:* Specifies a proprietary update type.

**Other <Othr>** contains the following **UpdateLogProprietary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FieldName</b> <FldNm>	[1..1]	Text		2284
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2284
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2284

##### 58.4.2.2.2.12.1 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the field whose value has been changed.

*Datatype:* "Max35Text" on page 2865

##### 58.4.2.2.2.12.2 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the field before the change.

*Datatype:* "Max350Text" on page 2865

##### 58.4.2.2.2.12.3 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the field after the change.

*Datatype:* "Max350Text" on page 2865

#### 58.4.2.2.3 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Specifies the timestamp of the operation.

*Datatype:* "ISODateTime" on page 2858

### 58.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C4 "SupplementaryDataRule"



**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 59 reda.042.001.01 PartyAuditTrailQueryV01

## 59.1 MessageDefinition Functionality

The PartyAuditTrailQuery message is sent by an instructing party to the executing party to query for the party audit trail recorded in the system.

Outline

The PartyAuditTrailQueryV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SearchCriteria

Defines the criteria to be used to query the party audit trail by the executing system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 59.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyAudtTrlQry>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2287
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2287
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		2288
	<b>DatePeriod</b> <DtPrd>	[0..1]			2288
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2288
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2289
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2289
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2289
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2289
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	2289

## 59.3 Constraints

- C1

**AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.
- C2

**Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3

**SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 59.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 59.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 59.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]  
*Definition:* Defines the criteria to be used to query the party audit trail by the executing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[0..1]	±		2288
	<b>DatePeriod</b> <DtPrd>	[0..1]			2288
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2288
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2289
Or	<b>FromDate</b> <FrDt>	[1..1]	±		2289
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2289
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2289

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2288
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2289
Or	<b>FromDate</b> <FrDt> <b>ToDate</b> <ToDt>	[1..1]	±		2289
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2289
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2289

*Datatype: "ISODate" on page 2858*

#### 59.4.2.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* ["ISODate" on page 2858](#)

#### 59.4.2.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see ["DatePeriod2" on page 2601](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 59.4.2.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODate" on page 2858](#)

#### 59.4.2.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODate" on page 2858](#)

### 59.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C3 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1" on page 2666](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

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# **60      reda.043.001.02 PartyAuditTrailReportV02**

## **60.1    MessageDefinition Functionality**

The PartyAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested party audit trail recorded in the system.

### Outline

The PartyAuditTrailReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. ReportOnError  
Provides the party audit trail data or error resulting from the audit trail query request.
- C. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 60.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PtyAuditTrlRpt>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2294
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2295
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2295
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2295
	<b>ReportOnError</b> <RptOrErr>	[1..1]			2295
{Or	<b>PartyAuditTrailReport</b> <PtyAuditTrlRpt>	[1..*]			2299
	<b>PartyAuditTrailOnError</b> <PtyAuditTrlOrErr>	[1..1]			2302
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2305
	<b>Record</b> <Rcrd>	[1..*]			2308
{Or	<b>Address</b> <Adr>	[1..1]			2311
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2313
	<b>Old</b> <Od>	[1..1]	±		2313
	<b>New</b> <New>	[1..1]	±		2314
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2315
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315
Or	<b>ClosingDate</b> <ClsgDt>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]	Date		2316
	<b>New</b> <New>	[1..1]	Date		2316
Or	<b>Type</b> <Tp>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]			2316
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
	<b>New</b> <New>	[1..1]			2317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2317

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2318
	<b>New</b> <New>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319
Or	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[1..1]			2319
	<b>Old</b> <Od>	[1..1]			2319
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
	<b>New</b> <New>	[1..1]			2320
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
Or	<b>Name</b> <Nm>	[1..1]			2320
	<b>Old</b> <Od>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2321
	<b>Name</b> <Nm>	[1..1]	Text		2321
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2321
	<b>New</b> <New>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2322
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323
Or	<b>LockStatus</b> <LckSts>	[1..1]			2323
	<b>Old</b> <Od>	[1..1]			2323
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2324
	<b>New</b> <New>	[1..1]			2324



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2325
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326
	<b>New</b> <New>	[1..1]			2326
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328
Or}	<b>Other</b> <Othr>	[1..*]			2328
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2328
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2328
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2328
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2329
	<b>Error</b> <Err>	[1..1]			2329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2329
	<b>Description</b> <Desc>	[0..1]	Text		2329
	<b>DatePeriod</b> <DtPrd>	[0..1]			2329
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2330
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2330

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2330
Or}	<b>Date</b> <Dt>	[1..1]	Date		2330
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2330
Or}	<b>OperationalError</b> <OpriErr>	[1..*]			2331
	<b>Error</b> <Err>	[1..1]			2331
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2331
	<b>Description</b> <Desc>	[0..1]	Text		2331
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C4	2332

## 60.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 60.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 60.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2295
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2295
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2295

#### 60.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

#### 60.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

#### 60.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 60.4.2 ReportOnError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides the party audit trail data or error resulting from the audit trail query request.

**ReportOrError <RptOrErr>** contains one of the following **PartyAuditTrailOrError3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>PartyAuditTrailReport</b> <PtyAudtTrlRpt>	[1..*]			2299
	<b>PartyAuditTrailOrError</b> <PtyAudtTrlOrErr>	[1..1]			2302
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2305
	<b>Record</b> <Rcrd>	[1..*]			2308
{Or	<b>Address</b> <Adr>	[1..1]			2311
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2313
	<b>Old</b> <Od>	[1..1]	±		2313
	<b>New</b> <New>	[1..1]	±		2314
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2315
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315
Or	<b>ClosingDate</b> <ClsgDt>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]	Date		2316
	<b>New</b> <New>	[1..1]	Date		2316
Or	<b>Type</b> <Tp>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]			2316
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
	<b>New</b> <New>	[1..1]			2317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2317
	<b>Old</b> <Od>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2318
	<b>New</b> <New>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2319

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2319
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
	<b>New</b> <New>	[1..1]			2320
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
Or	<b>Name</b> <Nm>	[1..1]			2320
	<b>Old</b> <Od>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2321
	<b>Name</b> <Nm>	[1..1]	Text		2321
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2321
	<b>New</b> <New>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2322
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323
Or	<b>LockStatus</b> <LckSts>	[1..1]			2323
	<b>Old</b> <Od>	[1..1]			2323
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2324
	<b>New</b> <New>	[1..1]			2324
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2325
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326
	<b>New</b> <New>	[1..1]			2326
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328
Or}	<b>Other</b> <Othr>	[1..*]			2328
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2328
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2328
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2328
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2329
	<b>Error</b> <Err>	[1..1]			2329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2329
	<b>Description</b> <Desc>	[0..1]	Text		2329
	<b>DatePeriod</b> <DtPrd>	[0..1]			2329
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2330
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2330
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2330
Or}	<b>Date</b> <Dt>	[1..1]	Date		2330
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2330
Or}	<b>OperationalError</b> <OprlErr>	[1..*]			2331
	<b>Error</b> <Err>	[1..1]			2331
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2331

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		2331

#### 60.4.2.1 PartyAuditTrailReport <PtyAudtTrlRpt>

*Presence:* [1..\*]

*Definition:* Report information about party reference data.

**PartyAuditTrailReport <PtyAudtTrlRpt>** contains the following **PartyAuditTrailReport4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyAuditTrailOrError</b> <PtyAudtTrlOrErr>	[1..1]			2302
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2305
	<b>Record</b> <Rcrd>	[1..*]			2308
{Or	<b>Address</b> <Adr>	[1..1]			2311
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2313
	<b>Old</b> <Od>	[1..1]	±		2313
	<b>New</b> <New>	[1..1]	±		2314
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2315
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315
Or	<b>ClosingDate</b> <ClsgDt>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]	Date		2316
	<b>New</b> <New>	[1..1]	Date		2316
Or	<b>Type</b> <Tp>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]			2316
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
	<b>New</b> <New>	[1..1]			2317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2317
	<b>Old</b> <Od>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2318
	<b>New</b> <New>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319
Or	<b>MarketSpecificAttribute</b> <MktSpcfcAttr>	[1..1]			2319
	<b>Old</b> <Od>	[1..1]			2319



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
	<b>New</b> <New>	[1..1]			2320
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
Or	<b>Name</b> <Nm>	[1..1]			2320
	<b>Old</b> <Od>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2321
	<b>Name</b> <Nm>	[1..1]	Text		2321
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2321
	<b>New</b> <New>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2322
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323
Or	<b>LockStatus</b> <LckSts>	[1..1]			2323
	<b>Old</b> <Od>	[1..1]			2323
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2324
	<b>New</b> <New>	[1..1]			2324
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2325
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326
	<b>New</b> <New>	[1..1]			2326
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328
Or}	<b>Other</b> <Othr>	[1..*]			2328
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2328
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2328
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2328
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2329
	<b>Error</b> <Err>	[1..1]			2329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2329
	<b>Description</b> <Desc>	[0..1]	Text		2329
	<b>DatePeriod</b> <DtPrd>	[0..1]			2329
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2330
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2330
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2330
Or}	<b>Date</b> <Dt>	[1..1]	Date		2330
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		2330

#### 60.4.2.1.1 PartyAuditTrailOrError <PtyAudtTrlOrErr>

*Presence:* [1..1]

*Definition:* Identifies the returned party reference data or error information.

**PartyAuditTrailOrError <PtyAudtTrlOrErr>** contains one of the following **PartyAuditTrailOrError4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AuditTrail</b> <AudtTrl>	[1..*]			2305
	<b>Record</b> <Rcrd>	[1..*]			2308
{Or	<b>Address</b> <Adr>	[1..1]			2311
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2313
	<b>Old</b> <Od>	[1..1]	±		2313
	<b>New</b> <New>	[1..1]	±		2314
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2315
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315
Or	<b>ClosingDate</b> <ClsgDt>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]	Date		2316
	<b>New</b> <New>	[1..1]	Date		2316
Or	<b>Type</b> <Tp>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]			2316
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
	<b>New</b> <New>	[1..1]			2317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2317
	<b>Old</b> <Od>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2318
	<b>New</b> <New>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2319
	<b>Old</b> <Od>	[1..1]			2319

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
	<b>New</b> <New>	[1..1]			2320
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
Or	<b>Name</b> <Nm>	[1..1]			2320
	<b>Old</b> <Od>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2321
	<b>Name</b> <Nm>	[1..1]	Text		2321
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2321
	<b>New</b> <New>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2322
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323
Or	<b>LockStatus</b> <LckSts>	[1..1]			2323
	<b>Old</b> <Od>	[1..1]			2323
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2324
	<b>New</b> <New>	[1..1]			2324
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2325
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326
	<b>New</b> <New>	[1..1]			2326
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328
Or}	<b>Other</b> <Othr>	[1..*]			2328
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2328
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2328
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2328
Or}	<b>BusinessError</b> <BizErr>	[1..*]			2329
	<b>Error</b> <Err>	[1..1]			2329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2329
	<b>Description</b> <Desc>	[0..1]	Text		2329

#### 60.4.2.1.1.1 AuditTrail <AudtTrl>

*Presence:* [1..\*]

*Definition:* Provides the details of the audit trail data reported.

**AuditTrail <AudTrl>** contains the following **PartyAuditTrail2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Record</b> <Rcrd>	[1..*]			2308
{Or	<b>Address</b> <Adr>	[1..1]			2311
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2313
	<b>Old</b> <Od>	[1..1]	±		2313
	<b>New</b> <New>	[1..1]	±		2314
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2315
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315
Or	<b>ClosingDate</b> <ClsGdt>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]	Date		2316
	<b>New</b> <New>	[1..1]	Date		2316
Or	<b>Type</b> <Tp>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]			2316
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
	<b>New</b> <New>	[1..1]			2317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2317
	<b>Old</b> <Od>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2318
	<b>New</b> <New>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2319
	<b>Old</b> <Od>	[1..1]			2319
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>New</b> <New>	[1..1]			2320
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
Or	<b>Name</b> <Nm>	[1..1]			2320
	<b>Old</b> <Od>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2321
	<b>Name</b> <Nm>	[1..1]	Text		2321
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2321
	<b>New</b> <New>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2322
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323
Or	<b>LockStatus</b> <LckSts>	[1..1]			2323
	<b>Old</b> <Od>	[1..1]			2323
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2324
	<b>New</b> <New>	[1..1]			2324
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2325
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>New</b> <New>	[1..1]			2326
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328
Or}	<b>Other</b> <Othr>	[1..*]			2328
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328
	<b>OperationTimeStamp</b> <OprTmStmp>	[1..1]	DateTime		2328
	<b>InstructingUser</b> <InstgUsr>	[1..1]	Text		2328
	<b>ApprovingUser</b> <ApprvgUsr>	[0..1]	Text		2328

#### 60.4.2.1.1.1.1 Record <Rcrd>

*Presence:* [1..\*]

*Definition:* Individual record of the party audit trail.



**Record <Rcrd>** contains one of the following **UpdateLogPartyRecord2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Address</b> <Adr>	[1..1]			2311
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312
Or	<b>ContactDetails</b> <CtctDtls>	[1..1]			2313
	<b>Old</b> <Od>	[1..1]	±		2313
	<b>New</b> <New>	[1..1]	±		2314
Or	<b>OpeningDate</b> <OpngDt>	[1..1]			2315
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315
Or	<b>ClosingDate</b> <ClsdDt>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]	Date		2316
	<b>New</b> <New>	[1..1]	Date		2316
Or	<b>Type</b> <Tp>	[1..1]			2316
	<b>Old</b> <Od>	[1..1]			2316
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
	<b>New</b> <New>	[1..1]			2317
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317
Or	<b>TechnicalAddress</b> <TechAdr>	[1..1]			2317
	<b>Old</b> <Od>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2318
	<b>New</b> <New>	[1..1]			2318
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319
Or	<b>MarketSpecificAttribute</b> <MktSpfcAttr>	[1..1]			2319
	<b>Old</b> <Od>	[1..1]			2319
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
	<b>New</b> <New>	[1..1]			2320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
Or	<b>Name</b> <Nm>	[1..1]			2320
	<b>Old</b> <Od>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2321
	<b>Name</b> <Nm>	[1..1]	Text		2321
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2321
	<b>New</b> <New>	[1..1]			2321
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322
Or	<b>ResidenceType</b> <ResTp>	[1..1]			2322
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323
Or	<b>LockStatus</b> <LckSts>	[1..1]			2323
	<b>Old</b> <Od>	[1..1]			2323
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2324
	<b>New</b> <New>	[1..1]			2324
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325
Or	<b>Restriction</b> <Rstrctn>	[1..1]			2325
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326
	<b>New</b> <New>	[1..1]			2326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328
Or}	<b>Other</b> <Othr>	[1..*]			2328
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328

#### 60.4.2.1.1.1.1.1 Address <Adr>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following **UpdateLogAddress2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]	±		2311
	<b>New</b> <New>	[1..1]	±		2312

#### 60.4.2.1.1.1.1.1.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

#### 60.4.2.1.1.1.1.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "PostalAddress28" on page 2713 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

#### 60.4.2.1.1.1.2 ContactDetails <CtctDtls>

*Presence:* [1..1]

*Definition:* Specifies the contact details of the party.

**ContactDetails <CtctDtls>** contains the following **UpdateLogContact2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Old <Od>	[1..1]	±		2313
	New <New>	[1..1]	±		2314

#### 60.4.2.1.1.1.2.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 60.4.2.1.1.1.2.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "Contact14" on page 2700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTitl>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 60.4.2.1.1.1.1.3 OpeningDate <OpngDt>

*Presence:* [1..1]

*Definition:* Specifies the opening date of the party.

**OpeningDate <OpngDt>** contains the following **UpdateLogDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]	Date		2315
	<b>New</b> <New>	[1..1]	Date		2315

##### 60.4.2.1.1.1.1.3.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 2858

##### 60.4.2.1.1.1.1.3.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.1.1.1.4 ClosingDate <ClsgDt>

*Presence:* [1..1]

*Definition:* Specifies the closing date of the party.

**ClosingDate <ClsgDt>** contains the following **UpdateLogDate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]	Date		2316
	<b>New &lt;New&gt;</b>	[1..1]	Date		2316

##### 60.4.2.1.1.1.1.4.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 2858

##### 60.4.2.1.1.1.1.4.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.1.1.1.5 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains the following **UpdateLogSystemPartyType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2316
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2317
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2317
	<b>New &lt;New&gt;</b>	[1..1]			2317
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2317
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2317

##### 60.4.2.1.1.1.1.5.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.



**Old <Od>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317

#### 60.4.2.1.1.1.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

#### 60.4.2.1.1.1.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.5.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **SystemPartyType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2317
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2317

#### 60.4.2.1.1.1.5.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 2821

#### 60.4.2.1.1.1.5.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.6 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains the following **UpdateLogTechnicalAddress1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2318
{Or	<b>BICFI &lt;BICFI&gt;</b>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress &lt;TechAdr&gt;</b>	[1..1]	Text		2318
	<b>New &lt;New&gt;</b>	[1..1]			2318
{Or	<b>BICFI &lt;BICFI&gt;</b>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress &lt;TechAdr&gt;</b>	[1..1]	Text		2319

#### 60.4.2.1.1.1.6.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI &lt;BICFI&gt;</b>	[1..1]	IdentifierSet	C2	2318
Or}	<b>TechnicalAddress &lt;TechAdr&gt;</b>	[1..1]	Text		2318

#### 60.4.2.1.1.1.6.1.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 60.4.2.1.1.1.6.1.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

#### 60.4.2.1.1.1.6.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **TechnicalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICFI</b> <BICFI>	[1..1]	IdentifierSet	C2	2319
Or}	<b>TechnicalAddress</b> <TechAdr>	[1..1]	Text		2319

#### 60.4.2.1.1.1.6.2.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 60.4.2.1.1.1.6.2.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 2865

#### 60.4.2.1.1.1.7 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [1..1]

*Definition:* Additional attributes defined by a central security depositary for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following  
**UpdateLogMarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2319
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320
	<b>New</b> <New>	[1..1]			2320
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320

#### 60.4.2.1.1.1.7.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320

#### 60.4.2.1.1.1.7.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.7.1.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 60.4.2.1.1.1.7.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **MarketSpecificAttribute1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Name</b> <Nm>	[1..1]	Text		2320
	<b>Value</b> <Val>	[1..1]	Text		2320

#### 60.4.2.1.1.1.7.2.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.7.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 2865

#### 60.4.2.1.1.1.8 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **UpdateLogPartyName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2321
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2321
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2321
	<b>ShortName &lt;ShrtNm&gt;</b>	[0..1]	Text		2321
	<b>New &lt;New&gt;</b>	[1..1]			2321
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2322
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2322
	<b>ShortName &lt;ShrtNm&gt;</b>	[0..1]	Text		2322

#### 60.4.2.1.1.1.8.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyName4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2321
	<b>Name &lt;Nm&gt;</b>	[1..1]	Text		2321
	<b>ShortName &lt;ShrtNm&gt;</b>	[0..1]	Text		2321

#### 60.4.2.1.1.1.8.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.1.1.8.1.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

#### 60.4.2.1.1.1.8.1.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.8.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyName4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2322
	<b>Name</b> <Nm>	[1..1]	Text		2322
	<b>ShortName</b> <ShrtNm>	[0..1]	Text		2322

#### 60.4.2.1.1.1.1.8.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.1.1.1.8.2.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

#### 60.4.2.1.1.1.1.8.2.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.1.9 ResidenceType <ResTp>

*Presence:* [1..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

**ResidenceType <ResTp>** contains the following **UpdateLogResidenceType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]	CodeSet		2322
	<b>New</b> <New>	[1..1]	CodeSet		2323

#### 60.4.2.1.1.1.1.9.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.

CodeName	Name	Definition
MXED	Mixed	Residence is mixed

#### 60.4.2.1.1.1.1.9.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ResidenceType1Code" on page 2846

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

#### 60.4.2.1.1.1.1.10 LockStatus <LckSts>

*Presence:* [1..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **UpdateLogPartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old &lt;Od&gt;</b>	[1..1]			2323
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2324
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2324
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2324
	<b>New &lt;New&gt;</b>	[1..1]			2324
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2324
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2324
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2325

#### 60.4.2.1.1.1.1.10.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom &lt;VldFr&gt;</b>	[0..1]	Date		2324
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2324
	<b>LockReason &lt;LckRsn&gt;</b>	[0..*]	Text		2324

#### 60.4.2.1.1.1.1.10.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.1.1.1.10.1.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

#### 60.4.2.1.1.1.1.10.1.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.1.10.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyLockStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidFrom</b> <VldFr>	[0..1]	Date		2324
	<b>Status</b> <Sts>	[1..1]	CodeSet		2324
	<b>LockReason</b> <LckRsn>	[0..*]	Text		2325

#### 60.4.2.1.1.1.1.10.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.1.1.1.10.2.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 2833

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.



#### 60.4.2.1.1.1.10.2.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.11 Restriction <Rstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **UpdateLogRestriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Old</b> <Od>	[1..1]			2325
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326
	<b>New</b> <New>	[1..1]			2326
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328

#### 60.4.2.1.1.1.11.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **Restriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2326
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2326
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2326

#### 60.4.2.1.1.1.1.1.1.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType <RstrctnTp>** contains one of the following **CodeOrProprietary1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	Text		2326
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2326

#### 60.4.2.1.1.1.1.1.1.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 2866

#### 60.4.2.1.1.1.1.1.1.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

#### 60.4.2.1.1.1.1.1.1.1.1.1.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 60.4.2.1.1.1.1.1.1.1.1.1.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 60.4.2.1.1.1.1.1.1.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **Restriction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RestrictionType</b> <RstrctnTp>	[1..1]			2327
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327
	<b>ValidFrom</b> <VldFr>	[1..1]	DateTime		2327
	<b>ValidUntil</b> <VldUntil>	[0..1]	DateTime		2328

#### 60.4.2.1.1.1.11.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType** <RstrctnTp> contains one of the following **CodeOrProprietary1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	Text		2327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2327

#### 60.4.2.1.1.1.11.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 2866

#### 60.4.2.1.1.1.11.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary** <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

#### 60.4.2.1.1.1.11.2.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 2858

#### 60.4.2.1.1.1.11.2.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODateTime" on page 2858

#### 60.4.2.1.1.1.1.12 Other <Othr>

*Presence:* [1..\*]

*Definition:* Specifies a proprietary update type.

**Other <Othr>** contains the following **UpdateLogProprietary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FieldName</b> <FldNm>	[1..1]	Text		2328
	<b>OldFieldValue</b> <OdFldVal>	[1..1]	Text		2328
	<b>NewFieldValue</b> <NewFldVal>	[1..1]	Text		2328

#### 60.4.2.1.1.1.1.12.1 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the field whose value has been changed.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.1.1.12.2 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the field before the change.

*Datatype:* "Max350Text" on page 2865

#### 60.4.2.1.1.1.1.12.3 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the field after the change.

*Datatype:* "Max350Text" on page 2865

#### 60.4.2.1.1.1.2 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Timestamp of the change.

*Datatype:* "ISODateTime" on page 2858

#### 60.4.2.1.1.1.3 InstructingUser <InstgUsr>

*Presence:* [1..1]

*Definition:* User who instructed the change.

*Datatype:* "Max256Text" on page 2865

#### 60.4.2.1.1.1.4 ApprovingUser <ApprvgUsr>

*Presence:* [0..1]

*Definition:* User who approved the change instructed by the instructing user.

*Datatype:* "Max256Text" on page 2865

#### 60.4.2.1.1.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error &lt;Err&gt;</b>	[1..1]			2329
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2329
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2329
	<b>Description &lt;Desc&gt;</b>	[0..1]	Text		2329

#### 60.4.2.1.1.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2329
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2329

#### 60.4.2.1.1.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandling1Code" on page 2820

#### 60.4.2.1.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 2865

#### 60.4.2.1.1.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

#### 60.4.2.1.2 DatePeriod <DtPrd>

*Presence:* [0..1]

*Definition:* Period in dates for which the audit trail is provided.

**DatePeriod <DtPrd>** contains one of the following **DatePeriod3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2330
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2330
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2330
Or}	<b>Date</b> <Dt>	[1..1]	Date		2330

#### 60.4.2.1.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Range of time between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 60.4.2.1.2.4 Date <Dt>

*Presence:* [1..1]

*Definition:* Single date entry

*Datatype:* "ISODate" on page 2858

#### 60.4.2.1.3 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Identifies the party for which the audit trail is provided.

**PartyIdentification <PtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 60.4.2.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Error</b> <Err>	[1..1]			2331
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2331
	<b>Description</b> <Desc>	[0..1]	Text		2331

##### 60.4.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2331

##### 60.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "[ExternalSystemErrorHandling1Code](#)" on page 2820

##### 60.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "[Max35Text](#)" on page 2865

##### 60.4.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 2863

### 60.4.3      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

**Constraints**

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



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# 61      **reda.044.001.01**

## **EligibleCounterpartCSDStatusAdviceV01**

### 61.1    **MessageDefinition Functionality**

The EligibleCounterpartCSDStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information for a request on eligible counterpart CSD reference data.

Usage:

It aims at informing about the status of the request.

Outline

The EligibleCounterpartCSDStatusAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. StatusReason

Specifies the reason for the status report.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 61.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblCntrptCSDStsAdv>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2334
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2335
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2335
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2335
	<b>StatusReason</b> <StsRsn>	[1..1]		C1	2335
	<b>Status</b> <Sts>	[1..1]	CodeSet		2336
	<b>Reason</b> <Rsn>	[0..*]			2336
	<b>Reason</b> <Rsn>	[1..1]			2336
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2337
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2337
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2337
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C2	2337

## 61.3 Constraints

### C1 StatusReasonRule

If Status equals 'Rejected' then Reason must be present.

### C2 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 61.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 61.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2335
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2335
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2335

#### 61.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

#### 61.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

#### 61.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 61.4.2 StatusReason <StsRsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

*Impacted by:* C1 "StatusReasonRule"

**StatusReason <StsRsn>** contains the following **CollateralStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		2336
	<b>Reason &lt;Rsn&gt;</b>	[0..*]			2336
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2336
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2337
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2337
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2337

#### Constraints

- **StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

#### 61.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the collateral data maintenance instruction.

*Datatype:* "Status6Code" on page 2852

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

#### 61.4.2.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a collateral data maintenance instruction.

**Reason <Rsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2336
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2337
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2337
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2337

#### 61.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2337
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2337

#### 61.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 2820

#### 61.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 61.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

### 61.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C2 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 62      **reda.045.001.01**

## **SecurityCSDLinkCreationRequestV01**

### 62.1    **MessageDefinition Functionality**

#### SCOPE

An instructing party sends a SecurityCSDLinkCreationRequest message to an executing/servicing party to request the creation of the link between a financial instrument and a CSD in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security CSD Link static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

The request is sent when the instructing party identified a gap in the security CSD Link coverage of the executing/servicing party. The instructing party needs this security CSD Link to be set-up at the executing /servicing party to perform its activities.

Initiator: instructing party.

#### Outline

The SecurityCSDLinkCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SecurityCSDLink

This Securities CSD Link logical entity shall assign a security to a CSD in T2S in order to define the eligibility of the instrument for settlement in that CSD. An attribute within this entity shall specify which CSD is responsible for maintaining the instrument static data.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 62.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctyCSDLkCreReq&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2340
	<b>SecurityCSDLink</b> <SctyCSDLk>	[1..*]			2341
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C9	2341
	<b>IssuerInvestorCSD</b> <IssrInvstrCSD>	[1..1]			2342
{Or	<b>IssuerCSD</b> <IssrCSD>	[1..1]			2343
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2343
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2343
Or}	<b>InvestorCSD</b> <InvstrCSD>	[1..1]			2344
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2344
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2344
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2344
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2345
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2345
	<b>SecurityMaintenance</b> <SctyMntnc>	[1..1]	Indicator		2345
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]		C7, C8, C10	2345
	<b>IssuanceAccount</b> <IssncAcct>	[0..1]	±		2346
	<b>IssuanceBlockchainAddressOrWallet</b> <IssncBlckChainAdrOrWlt>	[0..1]	±		2346
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2347
	<b>DefaultLink</b> <DfltLk>	[0..1]	Indicator		2347
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2347
	<b>ValidTo</b> <VldTo>	[0..1]	±		2347
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C11	2348

## 62.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

- C2 Country**  
The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3 DescriptionPresenceRule**  
If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.
- C4 DescriptionUsageRule**  
Description must be used alone as the last resort.
- C5 ISINGuideline**  
When an ISIN code exists, it is strongly recommended that the ISIN be used.
- C6 ISINPresenceRule**  
If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.
- C7 IssuanceAccountOrBlockChainAddress1Rule**  
If IssuanceAccount is present, IssuanceBlockChainAddressOrWallet must be absent.
- C8 IssuanceAccountOrBlockChainAddress2Rule**  
If IssuanceBlockChainAddressOrWallet is present, IssuanceAccount must be absent.
- C9 OtherIdentificationPresenceRule**  
If OtherIdentification is not present then either ISIN or Description must be present.
- C10 SecuritiesAccountOrBlockChainAddress3Rule**  
Either IssuanceAccount or IssuanceBlockChainAddressOrWallet must be present but not both.
- C11 SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 62.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 62.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1" on page 2644](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644



## 62.4.2 SecurityCSDLink <SctyCSDLk>

*Presence:* [1..\*]

*Definition:* This Securities CSD Link logical entity shall assign a security to a CSD in T2S in order to define the eligibility of the instrument for settlement in that CSD. An attribute within this entity shall specify which CSD is responsible for maintaining the instrument static data.

**SecurityCSDLink <SctyCSDLk>** contains the following **SecurityCSDLink12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C9	2341
	<b>IssuerInvestorCSD</b> <IssrInvstrCSD>	[1..1]			2342
{Or	<b>IssuerCSD</b> <IssrCSD>	[1..1]			2343
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2343
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2343
Or}	<b>InvestorCSD</b> <InvstrCSD>	[1..1]			2344
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2344
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2344
	<b>TechnicalIssuerCSD</b> <TechIssrCSD>	[0..1]			2344
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2345
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2345
	<b>SecurityMaintenance</b> <SctyMntnc>	[1..1]	Indicator		2345
	<b>IssuanceAccount</b> <IssncAcct>	[0..*]		C7, C8, C10	2345
	<b>IssuanceAccount</b> <IssncAcct>	[0..1]	±		2346
	<b>IssuanceBlockchainAddressOrWallet</b> <IssncBlckChainAdrOrWlt>	[0..1]	±		2346
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2347
	<b>DefaultLink</b> <DfltLk>	[0..1]	Indicator		2347
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2347
	<b>ValidTo</b> <VldTo>	[0..1]	±		2347

### 62.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see  
"SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrlId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 62.4.2.2 IssuerInvestorCSD <IssrInvstrCSD>

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

**IssuerInvestorCSD <IssrInvstrCSD>** contains one of the following **IssuerOrInvestor2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IssuerCSD &lt;IssrCSD&gt;</b>	[1..1]			2343
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2343
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2343
Or}	<b>InvestorCSD &lt;InvstrCSD&gt;</b>	[1..1]			2344
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2344
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2344

#### 62.4.2.2.1 IssuerCSD <IssrCSD>

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

**IssuerCSD <IssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2343
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2343

##### 62.4.2.2.1.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

##### 62.4.2.2.1.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 62.4.2.2.2 InvestorCSD <InvstrCSD>

*Presence:* [1..1]

*Definition:* CSD Investor of a security.

**InvestorCSD <InvstrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2344
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2344

#### 62.4.2.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 62.4.2.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 62.4.2.3 TechnicalIssuerCSD <TechIssrCSD>

*Presence:* [0..1]

*Definition:* Technical issuer of a security.

**TechnicalIssuerCSD <TechIssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2345
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2345

#### 62.4.2.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 62.4.2.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 62.4.2.4 SecurityMaintenance <SctyMntnc>

*Presence:* [1..1]

*Definition:* Specifies if the involved CSD (issuer/technical issuer/investor) is also the maintainer of related reference data.

*Datatype:* One of the following values must be used (see "[TrueFalseIndicator](#)" on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 62.4.2.5 IssuanceAccount <IssncAcct>

*Presence:* [0..\*]

*Definition:* Account to or from which a securities entry is made.

*Impacted by:* C7 "IssuanceAccountOrBlockChainAddress1Rule", C8  
 "IssuanceAccountOrBlockChainAddress2Rule", C10 "SecuritiesAccountOrBlockChainAddress3Rule"

**IssuanceAccount <IssncAcct>** contains the following **IssuanceAccount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuanceAccount</b> </issncAcct>	[0..1]	±		2346
	<b>IssuanceBlockChainAddressOrWallet</b> </issncBlckChainAdrOrWllt>	[0..1]	±		2346
	<b>PrimaryAccountIndicator</b> <PmryAcctInd>	[1..1]	Indicator		2347

#### Constraints

- **IssuanceAccountOrBlockChainAddress1Rule**

If IssuanceAccount is present, IssuanceBlockChainAddressOrWallet must be absent.

On Condition

/IssuanceAccount is present

Following Must be True

/IssuanceBlockChainAddressOrWallet Must be absent

- **IssuanceAccountOrBlockChainAddress2Rule**

If IssuanceBlockChainAddressOrWallet is present, IssuanceAccount must be absent.

On Condition

/IssuanceBlockChainAddressOrWallet is present

Following Must be True

/IssuanceAccount Must be absent

- **SecuritiesAccountOrBlockChainAddress3Rule**

Either IssuanceAccount or IssuanceBlockChainAddressOrWallet must be present but not both.

Following Must be True

/IssuanceAccount Must be present

Or /IssuanceBlockChainAddressOrWallet Must be present

#### 62.4.2.5.1 IssuanceAccount <IssncAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**IssuanceAccount <IssncAcct>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 62.4.2.5.2 IssuanceBlockChainAddressOrWallet <IssncBlckChainAdrOrWllt>

*Presence:* [0..1]

**Definition:** issuance blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

**IssuanceBlockchainAddressOrWallet <IssncBlckChainAdrOrWllt>** contains the following elements (see "BlockchainAddressWallet3" on page 2667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2667
	Type <Tp>	[0..1]	±		2667
	Name <Nm>	[0..1]	Text		2667

#### 62.4.2.5.3 PrimaryAccountIndicator <PmryAcctInd>

**Presence:** [1..1]

**Definition:** Defines if the related issuance account is the primary account or not.

**Datatype:** One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 62.4.2.6 DefaultLink <DfltLk>

**Presence:** [0..1]

**Definition:** Specifies whether the security CSD link is the default link.

**Usage:** when DefaultLink is absent, value is No/false

**Datatype:** One of the following values must be used (see "TrueFalseIndicator" on page 2861):

- *Meaning When True:* True
- *Meaning When False:* False

#### 62.4.2.7 ValidFrom <VldFr>

**Presence:** [1..1]

**Definition:** Defines the date since when the CSD is linked to the security.

**ValidFrom <VldFr>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 62.4.2.8 ValidTo <VldTo>

**Presence:** [0..1]

**Definition:** Defines the date until when the CSD is linked to the security.

**ValidTo <VldTo>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

## 62.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C11 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



# **63      reda.046.001.01**

## **SecurityCSDLinkMaintenanceRequestV01**

### **63.1    MessageDefinition Functionality**

#### **SCOPE**

An instructing party sends a SecurityCSDLinkMaintenanceRequest message to an executing/servicing party to request the maintenance of the link between a financial instrument and a CSD in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security CSD Link static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### **USAGE**

The request is sent when the instructing party identified a gap in the security CSD Link coverage of the executing/servicing party. The instructing party needs this security to be maintained at the executing / servicing party to perform its activities.

Initiator: instructing party.

#### **Outline**

The SecurityCSDLinkMaintenanceRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader
  - Common business identification for the message.
- B. SecurityCSDLinkIdentification
  - Provides a unique and unambiguous identification for the Security CSD Link.
- C. Update
  - Provides data that needs to be maintained.
- D. SupplementaryData
  - Additional information that cannot be captured in the structured elements and/or any other specific block.

## 63.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctyCSDLkMntncReq&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2351
	<b>SecurityCSDLinkIdentification</b> <SctyCSDLkId>	[1..1]			2351
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	2352
	<b>IssuerInvestorCSD</b> <IssrInvstrCSD>	[1..1]			2353
{Or	<b>IssuerCSD</b> <IssrCSD>	[1..1]			2353
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2354
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2354
Or}	<b>InvestorCSD</b> <InvstrCSD>	[1..1]			2354
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2354
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2355
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2355
	<b>Update</b> <Upd>	[1..1]			2355
	<b>DefaultLink</b> <DfltLk>	[0..1]	Indicator		2355
	<b>ValidTo</b> <VldTo>	[1..1]	±		2356
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C8	2356

## 63.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C4 DescriptionUsageRule

Description must be used alone as the last resort.

**C5 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C6 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C7 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C8 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 63.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 63.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 63.4.2 SecurityCSDLinkIdentification <SctyCSDLkId>

*Presence:* [1..1]

*Definition:* Provides a unique and unambiguous identification for the Security CSD Link.

**SecurityCSDLinkIdentification <SctyCSDLkId>** contains the following **SecurityCSDLink9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification &lt;FinInstrmId&gt;</b>	[1..1]	±	C3, C4, C5, C6, C7	2352
	<b>IssuerInvestorCSD &lt;IssrInvstrCSD&gt;</b>	[1..1]			2353
{Or	<b>IssuerCSD &lt;IssrCSD&gt;</b>	[1..1]			2353
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2354
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2354
Or}	<b>InvestorCSD &lt;InvstrCSD&gt;</b>	[1..1]			2354
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2354
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2355
	<b>ValidFrom &lt;VldFr&gt;</b>	[1..1]	±		2355

#### 63.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 63.4.2.2 IssuerInvestorCSD <IssrInvstrCSD>

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

**IssuerInvestorCSD <IssrInvstrCSD>** contains one of the following **IssuerOrInvestor2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IssuerCSD</b> <IssrCSD>	[1..1]			2353
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2354
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2354
Or}	<b>InvestorCSD</b> <InvstrCSD>	[1..1]			2354
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2354
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2355

#### 63.4.2.2.1 IssuerCSD <IssrCSD>

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

**IssuerCSD <IssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2354
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2354

#### 63.4.2.2.1.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 63.4.2.2.1.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 63.4.2.2.2 InvestorCSD <InvstrCSD>

*Presence:* [1..1]

*Definition:* CSD Investor of a security.

**InvestorCSD <InvstrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2354
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2355

#### 63.4.2.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 63.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 63.4.2.3 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Defines the date since when the CSD is linked to the security.

**ValidFrom <VldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 63.4.3 Update <Upd>

*Presence:* [1..1]

*Definition:* Provides data that needs to be maintained.

**Update <Upd>** contains the following **SecurityCSDLinkUpdate3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DefaultLink <DfltLk>	[0..1]	Indicator		2355
	ValidTo <VldTo>	[1..1]	±		2356

#### 63.4.3.1 DefaultLink <DfltLk>

*Presence:* [0..1]

*Definition:* Specifies whether the security CSD link is the default link.

Usage: when DefaultLink is absent, value is No/false

*Datatype*: One of the following values must be used (see "TrueFalseIndicator" on page 2861):

- *Meaning When True*: True
- *Meaning When False*: False

### 63.4.3.2 ValidTo <VldTo>

*Presence*: [1..1]

*Definition*: Defines the date until when the CSD is linked to the security.

**ValidTo <VldTo>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

## 63.4.4 SupplementaryData <SplmtryData>

*Presence*: [0..\*]

*Definition*: Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by*: C8 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



---

# 64      **reda.047.001.01**

## **SecurityCSDLinkStatusAdviceV01**

### **64.1      MessageDefinition Functionality**

#### SCOPE

An executing/servicing party sends a SecurityCSDLinkStatusAdvice message to an instructing party to report the status of a SecurityCSDLink Request message previously sent by the instructing party.

The SecurityCSDLinkStatusAdvice message may be sent as a response to the request of the instructing party or not.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish security CSD Link static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

Initiator: executing/servicing party.

#### Outline

The SecurityCSDLinkStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader
  - Common business identification for the message.
- B. SecurityCSDLinkStatus
  - Represents the processing status.
- C. SecurityCSDLinkIdentification
  - Provides a unique and unambiguous identification for the Security CSD Link.
- D. SupplementaryData
  - Additional information that cannot be captured in the structured elements and/or any other specific block.

## 64.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;SctyCSDLkStsAdv&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2359
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2359
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2360
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2360
	<b>SecurityCSDLkStatus</b> <SctyCSDLkSts>	[1..1]		C8	2360
	<b>Status</b> <Sts>	[1..1]	CodeSet		2361
	<b>StatusReason</b> <StsRsn>	[0..*]			2361
	<b>Reason</b> <Rsn>	[1..1]			2361
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2361
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2362
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2362
	<b>SecurityCSDLkIdentification</b> <SctyCSDLkId>	[0..1]			2362
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	2362
	<b>IssuerInvestorCSD</b> <IssrInvstrCSD>	[1..1]			2363
{Or	<b>IssuerCSD</b> <IssrCSD>	[1..1]			2364
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2364
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2364
Or}	<b>InvestorCSD</b> <InvstrCSD>	[1..1]			2365
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2365
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2365
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2365
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C9	2366

## 64.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

- C2

Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3

DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.
- C4

DescriptionUsageRule

Description must be used alone as the last resort.
- C5

ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.
- C6

ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.
- C7

OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.
- C8

StatusReasonRule

If Status equals "Rejected" then StatusReason must be present.
- C9

SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 64.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 64.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2359
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2360
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2360

#### 64.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]  
*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

Datatype: "Max35Text" on page 2865

#### 64.4.1.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the message was created.

Datatype: "ISODateTime" on page 2858

#### 64.4.1.3 OriginalBusinessInstruction <OrgnBizInstr>

Presence: [0..1]

Definition: Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

### 64.4.2 SecurityCSDLinkStatus <SctyCSDLkSts>

Presence: [1..1]

Definition: Represents the processing status.

Impacted by: C8 "StatusReasonRule"

**SecurityCSDLinkStatus <SctyCSDLkSts>** contains the following **CSDLinkStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]	CodeSet		2361
	<b>StatusReason</b> <StsRsn>	[0..*]			2361
	<b>Reason</b> <Rsn>	[1..1]			2361
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2361
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2362
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2362

## Constraints

- **StatusReasonRule**

If Status equals "Rejected" then StatusReason must be present.

### 64.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the party maintenance instruction.

*Datatype:* "Status6Code" on page 2852

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

### 64.4.2.2 StatusReason <StsRsn>

*Presence:* [0..\*]

*Definition:* Underlying reason related to the creation of a transaction.

**StatusReason <StsRsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2361
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2361
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2362
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2362

#### 64.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2361
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2362

##### 64.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 2820

#### 64.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 64.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

### 64.4.3 SecurityCSDLLinkIdentification <SctyCSDLkld>

*Presence:* [0..1]

*Definition:* Provides a unique and unambiguous identification for the Security CSD Link.

**SecurityCSDLLinkIdentification <SctyCSDLkld>** contains the following **SecurityCSDLLink9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification &lt;FinInstrmld&gt;</b>	[1..1]	±	C3, C4, C5, C6, C7	2362
	<b>IssuerInvestorCSD &lt;IssrInvstrCSD&gt;</b>	[1..1]			2363
{Or	<b>IssuerCSD &lt;IssrCSD&gt;</b>	[1..1]			2364
{Or	<b>OrganisationIdentification &lt;Orgld&gt;</b>	[1..1]	±		2364
Or}	<b>CombinedIdentification &lt;Cmbndld&gt;</b>	[1..1]	±		2364
Or}	<b>InvestorCSD &lt;InvstrCSD&gt;</b>	[1..1]			2365
{Or	<b>OrganisationIdentification &lt;Orgld&gt;</b>	[1..1]	±		2365
Or}	<b>CombinedIdentification &lt;Cmbndld&gt;</b>	[1..1]	±		2365
	<b>ValidFrom &lt;VldFr&gt;</b>	[1..1]	±		2365

#### 64.4.3.1 FinancialInstrumentIdentification <FinInstrmld>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see  
 "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 64.4.3.2 IssuerInvestorCSD <IssrInvstrCSD>

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

**IssuerInvestorCSD <IssrInvstrCSD>** contains one of the following **IssuerOrInvestor2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IssuerCSD &lt;IssrCSD&gt;</b>	[1..1]			2364
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2364
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2364
Or}	<b>InvestorCSD &lt;InvstrCSD&gt;</b>	[1..1]			2365
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2365
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2365

#### 64.4.3.2.1 IssuerCSD <IssrCSD>

*Presence:* [1..1]

*Definition:* CSD Issuer of a security.

**IssuerCSD <IssrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2364
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2364

##### 64.4.3.2.1.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

##### 64.4.3.2.1.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.



**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 64.4.3.2.2 InvestorCSD <InvstrCSD>

*Presence:* [1..1]

*Definition:* CSD Investor of a security.

**InvestorCSD <InvstrCSD>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2365
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2365

##### 64.4.3.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

##### 64.4.3.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 64.4.3.3 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Defines the date since when the CSD is linked to the security.

**ValidFrom <VldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

## 64.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C9 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 65      **reda.049.001.01**

## **AccountLinkCreationRequestV01**

### **65.1      MessageDefinition Functionality**

#### SCOPE:

The AccountLinkCreationRequest message is sent by the instructing party to the executing/servicing party to request the creation of an account link between a securities account and a cash account in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish account link static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE

The request is sent when the instructing party identified a gap in the account link coverage of the executing/servicing party. The instructing party needs this account link to be set-up at the executing / servicing party to perform its activities.

Initiator: instructing party.

#### Outline

The AccountLinkCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. AccountLink

Defines how the securities account is linked to the cash account.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 65.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctLkCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2369
	<b>AccountLink</b> <AcctLk>	[1..*]		C3, C4, C5	2369
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		2370
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	±		2370
	<b>CashAccount</b> <CshAcct>	[1..1]	±		2370
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2371
	<b>ValidTo</b> <VldTo>	[0..1]	±		2371
	<b>DefaultIndicator</b> <DfltInd>	[1..1]	Indicator		2371
	<b>CollateralisationIndicator</b> <CollstnInd>	[1..1]	Indicator		2371
	<b>CashSettlementIndicator</b> <CshSttlmInd>	[1..1]	Indicator		2372
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	2372

## 65.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C3 SecuritiesAccountOrBlockChainAddress1Rule

If SecuritiesAccount is present, BlockChainAddressOrWallet must be absent.

### C4 SecuritiesAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SecuritiesAccount must be absent.

### C5 SecuritiesAccountOrBlockChainAddress3Rule

Either SecuritiesAccount or BlockChainAddressOrWallet must be present but not both.

### C6 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 65.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 65.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1"](#) on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 65.4.2 AccountLink <AcctLk>

*Presence:* [1..\*]

*Definition:* Defines how the securities account is linked to the cash account.

*Impacted by:* [C3 "SecuritiesAccountOrBlockChainAddress1Rule"](#), [C4 "SecuritiesAccountOrBlockChainAddress2Rule"](#), [C5 "SecuritiesAccountOrBlockChainAddress3Rule"](#)

**AccountLink <AcctLk>** contains the following **AccountLink7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		2370
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlIt>	[0..1]	±		2370
	<b>CashAccount</b> <CshAcct>	[1..1]	±		2370
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2371
	<b>ValidTo</b> <VldTo>	[0..1]	±		2371
	<b>DefaultIndicator</b> <DfltInd>	[1..1]	Indicator		2371
	<b>CollateralisationIndicator</b> <CollstnInd>	[1..1]	Indicator		2371
	<b>CashSettlementIndicator</b> <CshSttlmInd>	[1..1]	Indicator		2372

#### Constraints

- **SecuritiesAccountOrBlockChainAddress1Rule**

If SecuritiesAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SecuritiesAccount is present

Following Must be True  
/BlockChainAddressOrWallet Must be absent

- **SecuritiesAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SecuritiesAccount must be absent.

On Condition  
/BlockChainAddressOrWallet is present  
Following Must be True  
/SecuritiesAccount Must be absent

- **SecuritiesAccountOrBlockChainAddress3Rule**

Either SecuritiesAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True  
/SecuritiesAccount Must be present  
Or /BlockChainAddressOrWallet Must be present

### 65.4.2.1 SecuritiesAccount <SctiesAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SecuritiesAccount <SctiesAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

### 65.4.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

**BlockChainAddressOrWallet <BlckChainAdrOrWlIt>** contains the following elements (see "[BlockChainAddressWallet3](#)" on page 2667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2667
	Type <Tp>	[0..1]	±		2667
	Name <Nm>	[0..1]	Text		2667

### 65.4.2.3 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a cash entry is made.

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount38](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2585
	Type <Tp>	[0..1]	±		2585
	Currency <Ccy>	[0..1]	CodeSet	C1	2585
	Name <Nm>	[0..1]	Text		2586
	Proxy <Prxy>	[0..1]	±		2586

#### 65.4.2.4 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Defines the date since when the securities account is linked to the cash account.

**ValidFrom <VldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 65.4.2.5 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Defines the date until when the securities account is linked to the cash account.

**ValidTo <VldTo>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 65.4.2.6 DefaultIndicator <DfltInd>

*Presence:* [1..1]

*Definition:* Specifies whether created account link is set as default for settlement.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 65.4.2.7 CollateralisationIndicator <CollstnInd>

*Presence:* [1..1]

*Definition:* Specifies whether market infrastructure can use the securities, earmarked as collateral and held on the securities account, for auto-collateralisation operations on the linked market infrastructure dedicated cash account.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 65.4.2.8 CashSettlementIndicator <CshSttlmInd>

*Presence:* [1..1]

*Definition:* Specifies whether market infrastructure can use the link between the securities account and the market infrastructure dedicated cash account for the settlement of the cash leg of a settlement instruction.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 65.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C6 ["SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



# 66      **reda.050.001.01**

## **AccountLinkMaintenanceRequestV01**

### 66.1    **MessageDefinition Functionality**

#### SCOPE:

The AccountLinkMaintenanceRequest message is sent by the instructing party to the executing/servicing party to request for the maintenance of an account link in their system.

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish account link static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE:

The request is sent when the instructing party identified a gap in the account link coverage of the executing/servicing party. The instructing party needs this account link to be maintained at the executing /servicing party to perform its activities.

Initiator: instructing party.

#### Outline

The AccountLinkMaintenanceRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. AccountLinkIdentification  
Provides a unique and unambiguous identification for the account link.
- C. Update  
Provides data that needs to be maintained.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 66.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctLkMntncReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2375
	<b>AccountLinkIdentification</b> <AcctLkId>	[1..1]		C3, C4, C5	2375
	<b>SecuritiesAccount</b> <ScetiesAcct>	[0..1]	±		2376
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWllt>	[0..1]	±		2376
	<b>CashAccount</b> <CshAcct>	[1..1]	±		2376
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2376
	<b>Update</b> <Upd>	[1..1]			2377
	<b>ValidTo</b> <VldTo>	[1..1]	±		2377
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C6	2377

## 66.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C3 SecuritiesAccountOrBlockChainAddress1Rule

If SecuritiesAccount is present, BlockChainAddressOrWallet must be absent.

### C4 SecuritiesAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SecuritiesAccount must be absent.

### C5 SecuritiesAccountOrBlockChainAddress3Rule

Either SecuritiesAccount or BlockChainAddressOrWallet must be present but not both.

### C6 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 66.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 66.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader1" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

## 66.4.2 AccountLinkIdentification <AcctLkId>

*Presence:* [1..1]

*Definition:* Provides a unique and unambiguous identification for the account link.

*Impacted by:* C3 "SecuritiesAccountOrBlockChainAddress1Rule", C4 "SecuritiesAccountOrBlockChainAddress2Rule", C5 "SecuritiesAccountOrBlockChainAddress3Rule"

**AccountLinkIdentification <AcctLkId>** contains the following **AccountLink8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccount</b> <ScctiesAcct>	[0..1]	±		2376
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWllet>	[0..1]	±		2376
	<b>CashAccount</b> <CshAcct>	[1..1]	±		2376
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2376

### Constraints

- **SecuritiesAccountOrBlockChainAddress1Rule**

If SecuritiesAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SecuritiesAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SecuritiesAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SecuritiesAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SecuritiesAccount Must be absent

- **SecuritiesAccountOrBlockChainAddress3Rule**

Either SecuritiesAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SecuritiesAccount Must be present

Or /BlockChainAddressOrWallet Must be present

#### 66.4.2.1 SecuritiesAccount <SctiesAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SecuritiesAccount <SctiesAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 66.4.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

**BlockChainAddressOrWallet <BlckChainAdrOrWlIt>** contains the following elements (see "[BlockChainAddressWallet3](#)" on page 2667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2667
	Type <Tp>	[0..1]	±		2667
	Name <Nm>	[0..1]	Text		2667

#### 66.4.2.3 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a cash entry is made.

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount38](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2585
	Type <Tp>	[0..1]	±		2585
	Currency <Ccy>	[0..1]	CodeSet	C1	2585
	Name <Nm>	[0..1]	Text		2586
	Proxy <Prxy>	[0..1]	±		2586

#### 66.4.2.4 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Defines the date since when the securities account is linked to the cash account.

**ValidFrom <VldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

## 66.4.3 Update <Upd>

*Presence:* [1..1]

*Definition:* Provides data that needs to be maintained.

**Update <Upd>** contains the following **AccountLinkUpdate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ValidTo &lt;VldTo&gt;</b>	[1..1]	±		2377

### 66.4.3.1 ValidTo <VldTo>

*Presence:* [1..1]

*Definition:* Defines the date until when the securities account is linked to the cash account.

**ValidTo <VldTo>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

## 66.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C6 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

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## Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 67      **reda.051.001.01**

## **AccountLinkStatusAdviceV01**

### **67.1      MessageDefinition Functionality**

#### SCOPE:

The AccountLinkStatusAdvice message is sent by the executing/servicing party to the instructing party to provide details about the processing of a request on account link reference data (create or update).

The instructing party - executing/servicing party relationship may be:

- Central Securities Depositories (CSD) who would like to publish account link static data, or
- a Corporate, or
- a Bank, or
- a Market Infrastructure, or
- a Market Data Provider.

#### USAGE:

Initiator: executing/servicing party

When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.

When the processing is successfully performed, the message includes the related account link identification.

#### Outline

The AccountLinkStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. AccountLinkStatus  
Represents the processing status.
- C. AccountLinkIdentification  
Provides a unique and unambiguous identification for the account link.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 67.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctLkStsAdv>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			2381
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2381
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2381
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2381
	<b>AccountLinkStatus</b> <AcctLkSts>	[1..1]		C6	2382
	<b>Status</b> <Sts>	[1..1]	CodeSet		2382
	<b>StatusReason</b> <StsRsn>	[0..*]			2382
	<b>Reason</b> <Rsn>	[1..1]			2383
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2383
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2383
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2383
	<b>AccountLinkIdentification</b> <AcctLkId>	[0..1]		C3, C4, C5	2383
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		2384
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	±		2384
	<b>CashAccount</b> <CshAcct>	[1..1]	±		2385
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2385
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C7	2385

## 67.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### C3 SecuritiesAccountOrBlockChainAddress1Rule

If SecuritiesAccount is present, BlockChainAddressOrWallet must be absent.



- C4    SecuritiesAccountOrBlockChainAddress2Rule**  
If BlockChainAddressOrWallet is present, SecuritiesAccount must be absent.
- C5    SecuritiesAccountOrBlockChainAddress3Rule**  
Either SecuritiesAccount or BlockChainAddressOrWallet must be present but not both.
- C6    StatusReasonRule**  
If Status equals "Rejected" then StatusReason must be present.
- C7    SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 67.4    Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 67.4.1    MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		2381
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		2381
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		2381

#### 67.4.1.1    MessageIdentification <MsgId>

*Presence:* [1..1]  
*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.  
  
Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.  
*Datatype:* "Max35Text" on page 2865

#### 67.4.1.2    CreationDateTime <CreDtTm>

*Presence:* [0..1]  
*Definition:* Date and time at which the message was created.  
*Datatype:* "ISODateTime" on page 2858

#### 67.4.1.3    OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]  
*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction** <OrgnlBizInstr> contains the following elements (see "OriginalBusinessInstruction1" on page 2658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

## 67.4.2 AccountLinkStatus <AcctLkSts>

*Presence:* [1..1]

*Definition:* Represents the processing status.

*Impacted by:* C6 "StatusReasonRule"

**AccountLinkStatus** <AcctLkSts> contains the following **AccountLinkStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]	CodeSet		2382
	<b>StatusReason</b> <StsRsn>	[0..*]			2382
	<b>Reason</b> <Rsn>	[1..1]			2383
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2383
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		2383
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		2383

### Constraints

- **StatusReasonRule**

If Status equals "Rejected" then StatusReason must be present.

### 67.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the account link instruction.

*Datatype:* "Status6Code" on page 2852

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

### 67.4.2.2 StatusReason <StsRsn>

*Presence:* [0..\*]

*Definition:* Underlying reason related to the creation of a transaction.

**StatusReason <StsRsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			2383
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2383
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2383
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		2383

#### 67.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		2383
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		2383

##### 67.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 2820

##### 67.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 2865

##### 67.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 2863

### 67.4.3 AccountLinkIdentification <AcctLkId>

*Presence:* [0..1]

*Definition:* Provides a unique and unambiguous identification for the account link.

*Impacted by:* C3 "SecuritiesAccountOrBlockChainAddress1Rule", C4 "SecuritiesAccountOrBlockChainAddress2Rule", C5 "SecuritiesAccountOrBlockChainAddress3Rule"

**AccountLinkIdentification <AcctLkId>** contains the following **AccountLink8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesAccount</b> <SctiesAcct>	[0..1]	±		2384
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlIt>	[0..1]	±		2384
	<b>CashAccount</b> <CshAcct>	[1..1]	±		2385
	<b>ValidFrom</b> <VldFr>	[1..1]	±		2385

#### Constraints

- **SecuritiesAccountOrBlockChainAddress1Rule**

If SecuritiesAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SecuritiesAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SecuritiesAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SecuritiesAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SecuritiesAccount Must be absent

- **SecuritiesAccountOrBlockChainAddress3Rule**

Either SecuritiesAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SecuritiesAccount Must be present

Or /BlockChainAddressOrWallet Must be present

#### 67.4.3.1 SecuritiesAccount <SctiesAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SecuritiesAccount <SctiesAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 67.4.3.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

**BlockChainAddressOrWallet <BlickChainAdrOrWilt>** contains the following elements (see "[BlockChainAddressWallet3](#)" on page 2667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2667
	Type <Tp>	[0..1]	±		2667
	Name <Nm>	[0..1]	Text		2667

### 67.4.3.3 CashAccount <CshAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a cash entry is made.

**CashAccount <CshAcct>** contains the following elements (see "[CashAccount38](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2585
	Type <Tp>	[0..1]	±		2585
	Currency <Ccy>	[0..1]	CodeSet	C1	2585
	Name <Nm>	[0..1]	Text		2586
	Proxy <Prxy>	[0..1]	±		2586

### 67.4.3.4 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Defines the date since when the securities account is linked to the cash account.

**ValidFrom <VldFr>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

## 67.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C7 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 68      **reda.075.001.01**

## **EligibleSecuritiesDeletionRequestV01**

### **68.1      MessageDefinition Functionality**

The EligibleSecuritiesDeletionRequest message is exchanged between an instructing party and the executing party to request for the deletion of an eligible securities in the executing system collateral management reference data.

#### Usage:

It aims at instructing the deletion of a existing eligible securities with corresponding details.

Processing and confirmation of the eligible securities deletion request message are provided via a collateral management status advice.

#### Outline

The EligibleSecuritiesDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. EligibleSecurity

Represents securities defined eligible for auto-collateralisation.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 68.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblScitiesDeltnReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2389
	<b>EligibleSecurity</b> <ElgblScty>	[1..*]			2389
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	2389
	<b>CollateralisationCurrency</b> <CollstnCcy>	[0..1]	CodeSet	C1	2391
	<b>EligibilitySetProfile</b> <ElgbltySetPrfl>	[0..1]	±		2391
	<b>PartyIdentification</b> <PtyId>	[1..1]			2391
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2391
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2392
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C9	2392

## 68.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C5 DescriptionUsageRule

Description must be used alone as the last resort.

### C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.



#### C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

#### C8 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

#### C9 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 68.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 68.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1" on page 2644](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 68.4.2 EligibleSecurity <ElgblScty>

*Presence:* [1..\*]

*Definition:* Represents securities defined eligible for auto-collateralisation.

**EligibleSecurity <ElgblScty>** contains the following **EligibleSecurity5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	2389
	CollateralisationCurrency <CollstnCcy>	[0..1]	CodeSet	C1	2391
	EligibilitySetProfile <ElgbltySetPrfl>	[0..1]	±		2391
	PartyIdentification <PtyId>	[1..1]			2391
{Or	OrganisationIdentification <OrgId>	[1..1]	±		2391
Or}	CombinedIdentification <CmbndId>	[1..1]	±		2392

#### 68.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrlid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

## Constraints

### • DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

### • DescriptionUsageRule

Description must be used alone as the last resort.

### • ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### • ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

### • OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

### 68.4.2.2 CollateralisationCurrency <CollstnCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 68.4.2.3 EligibilitySetProfile <ElgbltySetPrfl>

*Presence:* [0..1]

*Definition:* Number identifying the collateral eligibility set profile of the counterparty.

**EligibilitySetProfile <ElgbltySetPrfl>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 68.4.2.4 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the eligible security is defined.

**PartyIdentification <Ptyld>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		2391
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		2392

#### 68.4.2.4.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 68.4.2.4.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 68.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C9 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 69      **reda.077.001.01**

## **CloseLinkDeletionRequestV01**

### **69.1      MessageDefinition Functionality**

The CloseLinkDeletionRequest message is sent by an instructing party to the executing party to request for the deletion of a close link in the executing system collateral management reference data.

Usage:

It aims at instructing the deletion of an existing close link with corresponding details.

Processing and confirmation of the close link creation request message are provided via a collateral management status advice.

Outline

The CloseLinkDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. CloseLink

Represents a linkage between issuer/debtor/guarantor and its counterparty.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 69.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ClsLkDltnReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		2395
	<b>CloseLink</b> <ClsLk>	[1..*]			2395
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	2395
	<b>PartyIdentification</b> <PtyId>	[1..1]			2396
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2397
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2397
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			2397
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2398
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2398
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C8	2398

## 69.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C4 DescriptionUsageRule

Description must be used alone as the last resort.

### C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

#### C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

#### C8 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 69.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 69.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 2644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

### 69.4.2 CloseLink <ClsLk>

*Presence:* [1..\*]

*Definition:* Represents a linkage between issuer/debtor/guarantor and its counterparty.

**CloseLink <ClsLk>** contains the following **CloseLink5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	2395
	<b>PartyIdentification</b> <PtyId>	[1..1]			2396
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2397
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2397
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			2397
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		2398
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		2398

#### 69.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

*Definition:* Identification of a security by an ISIN.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrlid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

#### 69.4.2.2 PartyIdentification <Ptyld>

*Presence:* [1..1]



*Definition:* Identifies the party for which the close link is defined.

**PartyIdentification <PtyId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2397
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2397

#### 69.4.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on [page 2687](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 69.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on [page 2699](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		2700

#### 69.4.2.3 CreditProviderIdentification <CdtPrvdrId>

*Presence:* [0..1]

*Definition:* Identifies the credit provider party for which the close link information is provided.

**CreditProviderIdentification <CdtPrvdrId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		2398
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		2398

### 69.4.2.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

### 69.4.2.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 69.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C8 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

---

# 70      **semt.028.001.01**

## **IntraPositionMovementQueryV01**

### **70.1      MessageDefinition Functionality**

The IntraPositionMovementQuery message is sent from an account owner/requestor to a settlement infrastructure to query intra-position movement instructions, along with their current status, based on a set of search criteria.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

Outline

The IntraPositionMovementQueryV01 MessageDefinition is composed of 2 MessageBuildingBlocks:

A. QueryDefinition

Defines the intra-position movement instruction query criteria.

B. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 70.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;IntraPosMvmntQry&gt;</i>	[1..1]			
	<b>QueryDefinition</b> <QryDef>	[1..1]			2405
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2410
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2410
	<b>References</b> <Refs>	[0..*]			2415
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		2415
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2415
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2415
Or	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2415
Or	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[1..1]	Text		2415
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2416
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		2416
	<b>Status</b> <Sts>	[0..1]			2416
	<b>Type</b> <Tp>	[1..1]			2417
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2417
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		2417
	<b>Settled</b> <Sttld>	[0..1]	±	C1	2417
	<b>DatePeriod</b> <DtPrd>	[0..1]			2418
{Or	<b>Date</b> <Dt>	[1..1]			2418
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2418
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2419
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2419
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2419
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2419
Or}	<b>DateTime</b> <DtTm>	[1..1]			2419
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2419
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2420
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2420
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2420

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2420
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C5, C6, C7, C8, C9	2420
	<b>SafekeepingAccountOwner</b> <SfkpgAcctOwnr>	[0..*]	±		2421
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2422
	<b>BalanceType</b> <BalTp>	[0..*]		C3	2422
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2422
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2423
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..*]	±		2423
	<b>SettlementQuantity</b> <SttlmQty>	[0..1]			2423
{Or	<b>Quantity</b> <Qty>	[1..1]			2424
{Or	<b>Unit</b> <Unit>	[1..1]			2425
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2426
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2427
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2429
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2429
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2429
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2429
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2430
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2430
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2431

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettledQuantity</b> <SttldQty>	[0..1]			2431
{Or	<b>Quantity</b> <Qty>	[1..1]			2432
{Or	<b>Unit</b> <Unit>	[1..1]			2433
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2434
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2435
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2437
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2437
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2437
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2437
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2438
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2438
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2439
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[0..1]			2439
{Or	<b>Date</b> <Dt>	[1..1]			2440
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2440
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2440
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2440
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2440
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2440
Or}	<b>DateTime</b> <DtTm>	[1..1]			2441

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2441
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2441
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2441
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2441
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2441
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]			2442
{Or	<b>Date</b> <Dt>	[1..1]			2442
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2442
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2443
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2443
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2443
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2443
Or}	<b>DateTime</b> <DtTm>	[1..1]			2443
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2443
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2444
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2444
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2444
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2444
	<b>Priority</b> <Prty>	[0..*]	±		2444
	<b>CountryOfIssue</b> <CtryOfIssse>	[0..*]	CodeSet	C4	2444
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2445
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2445
{Or	<b>Date</b> <Dt>	[1..1]			2445
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2446
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2446
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2446
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2446
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2446
Or}	<b>DateTime</b> <DtTm>	[1..1]			2446
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2447
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2447

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2447
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2447
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2447
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	2448

## 70.3 Constraints

### C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 BalanceFromToRule

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C6 DescriptionUsageRule

Description must be used alone as the last resort.

### C7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

### C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

### C10 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



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## 70.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 70.4.1 QueryDefinition <QryDef>

*Presence:* [1..1]

*Definition:* Defines the intra-position movement instruction query criteria.

**QueryDefinition <QryDef>** contains the following **IntraPositionQueryDefinition8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2410
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2410
	<b>References</b> <Refs>	[0..*]			2415
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		2415
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2415
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2415
Or	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2415
Or	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[1..1]	Text		2415
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2416
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		2416
	<b>Status</b> <Sts>	[0..1]			2416
	<b>Type</b> <Tp>	[1..1]			2417
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2417
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		2417
	<b>Settled</b> <Sttld>	[0..1]	±	C1	2417
	<b>DatePeriod</b> <DtPrd>	[0..1]			2418
{Or	<b>Date</b> <Dt>	[1..1]			2418
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2418
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2419
Or	<b>FromDateTo</b> <FrToDt>	[1..1]	±		2419
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2419
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2419
Or}	<b>DateTime</b> <DtTm>	[1..1]			2419
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2419
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2420
Or	<b>FromDateTimeTo</b> <FrToDtTm>	[1..1]	±		2420
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2420
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2420

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C5, C6, C7, C8, C9	2420
	<b>SafekeepingAccountOwner</b> <SfkpgAcctOwnr>	[0..*]	±		2421
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2422
	<b>BalanceType</b> <BalTp>	[0..*]		C3	2422
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2422
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2423
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..*]	±		2423
	<b>SettlementQuantity</b> <SttlmQty>	[0..1]			2423
{Or	<b>Quantity</b> <Qty>	[1..1]			2424
{Or	<b>Unit</b> <Unit>	[1..1]			2425
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2426
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2427
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2429
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2429
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2429
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2429
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2430
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2430
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2431
	<b>SettledQuantity</b> <SttldQty>	[0..1]			2431

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Quantity</b> <Qty>	[1..1]			2432
{Or	<b>Unit</b> <Unit>	[1..1]			2433
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2434
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2435
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2437
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2437
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2437
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2437
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2438
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2438
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2439
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[0..1]			2439
{Or	<b>Date</b> <Dt>	[1..1]			2440
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2440
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2440
Or	<b>FromDate</b> <FrDt>	[1..1]	±		2440
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2440
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2440
Or}	<b>DateTime</b> <DtTm>	[1..1]			2441
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2441

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2441
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		2441
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2441
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2441
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]			2442
{Or	<b>Date</b> <Dt>	[1..1]			2442
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2442
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2443
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2443
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2443
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2443
Or}	<b>DateTime</b> <DtTm>	[1..1]			2443
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2443
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2444
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		2444
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2444
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2444
	<b>Priority</b> <Prty>	[0..*]	±		2444
	<b>CountryOfIssue</b> <CtryOfIssse>	[0..*]	CodeSet	C4	2444
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2445
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2445
{Or	<b>Date</b> <Dt>	[1..1]			2445
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2446
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2446
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2446
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2446
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2446
Or}	<b>DateTime</b> <DtTm>	[1..1]			2446
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2447
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2447
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2447

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2447
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2447

#### 70.4.1.1 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

#### 70.4.1.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to extract the intra-position movement instruction information.

**SearchCriteria <SchCrit>** contains the following **IntraPositionQueryCriteria8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>References &lt;Refs&gt;</b>	[0..*]			2415
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		2415
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2415
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2415
Or	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2415
Or	<b>ProcessorTransactionIdentification</b> <PrCrTxId>	[1..1]	Text		2415
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2416
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		2416
	<b>Status &lt;Sts&gt;</b>	[0..1]			2416
	<b>Type &lt;Tp&gt;</b>	[1..1]			2417
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2417
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		2417
	<b>Settled</b> <Sttld>	[0..1]	±	C1	2417
	<b>DatePeriod</b> <DtPrd>	[0..1]			2418
{Or	<b>Date</b> <Dt>	[1..1]			2418
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2418
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2419
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2419
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2419
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2419
Or}	<b>DateTime</b> <DtTm>	[1..1]			2419
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2419
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2420
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2420
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2420
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2420
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..*]	±	C5, C6, C7, C8, C9	2420
	<b>SafekeepingAccountOwner</b> <SfkpgAcctOwnr>	[0..*]	±		2421

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2422
	<b>BalanceType</b> <BalTp>	[0..*]		C3	2422
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2422
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2423
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..*]	±		2423
	<b>SettlementQuantity</b> <SttlmQty>	[0..1]			2423
{Or	<b>Quantity</b> <Qty>	[1..1]			2424
{Or	<b>Unit</b> <Unit>	[1..1]			2425
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2426
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2427
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2429
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2429
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2429
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2429
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2430
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2430
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2431
	<b>SettledQuantity</b> <SttldQty>	[0..1]			2431
{Or	<b>Quantity</b> <Qty>	[1..1]			2432
{Or	<b>Unit</b> <Unit>	[1..1]			2433



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2434
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2435
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2437
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2437
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2437
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2437
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2438
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2438
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2439
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[0..1]			2439
{Or	<b>Date</b> <Dt>	[1..1]			2440
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2440
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2440
Or	<b>FromDate</b> <FrDt>	[1..1]	±		2440
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2440
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2440
Or}	<b>DateTime</b> <DtTm>	[1..1]			2441
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2441
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2441
Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	±		2441

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2441
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2441
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]			2442
{Or	<b>Date</b> <Dt>	[1..1]			2442
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2442
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2443
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2443
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2443
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2443
Or}	<b>DateTime</b> <DtTm>	[1..1]			2443
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2443
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2444
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2444
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2444
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2444
	<b>Priority</b> <Prty>	[0..*]	±		2444
	<b>CountryOfIssue</b> <CtryOfIss>	[0..*]	CodeSet	C4	2444
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2445
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2445
{Or	<b>Date</b> <Dt>	[1..1]			2445
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2446
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2446
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2446
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2446
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2446
Or}	<b>DateTime</b> <DtTm>	[1..1]			2446
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2447
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2447
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2447
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2447
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2447

#### 70.4.1.2.1 References <Refs>

*Presence:* [0..\*]

*Definition:* Collective reference identifying a set of messages.

**References <Refs>** contains one of the following **References82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		2415
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2415
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2415
Or	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2415
Or	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[1..1]	Text		2415
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2416
Or}	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		2416

##### 70.4.1.2.1.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

##### 70.4.1.2.1.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

##### 70.4.1.2.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

##### 70.4.1.2.1.4 CounterpartyMarketInfrastructureTransactionIdentification <CtrPtyMktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

##### 70.4.1.2.1.5 ProcessorTransactionIdentification <PrcrTxId>

*Presence:* [1..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 70.4.1.2.1.6 PoolIdIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 70.4.1.2.1.7 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [1..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

#### 70.4.1.2.2 Status <Sts>

*Presence:* [0..1]

*Definition:* Provides the status of settlement of a transaction.

**Status <Sts>** contains the following **IntraPositionQueryStatus3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[1..1]			2417
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		2417
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		2417
	<b>Settled</b> <Sttld>	[0..1]	±	C1	2417
	<b>DatePeriod</b> <DtPrd>	[0..1]			2418
{Or	<b>Date</b> <Dt>	[1..1]			2418
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2418
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2419
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2419
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2419
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2419
Or}	<b>DateTime</b> <DtTm>	[1..1]			2419
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2419
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2420
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2420
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2420
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2420

#### 70.4.1.2.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Defines the status type of query criteria.

**Type <Tp>** contains the following **IntraPositionStatusType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2417
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±		2417
	<b>Settled</b> <Sttld>	[0..1]	±	C1	2417

##### 70.4.1.2.2.1.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "[ProcessingStatus68Choice](#)" on page 2747 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2748
Or}	Proprietary <Prtry>	[1..1]	±		2748

##### 70.4.1.2.2.1.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

**SettlementStatus <SttlmSts>** contains one of the following elements (see "[SettlementStatus26Choice](#)" on page 2731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2731
Or}	Proprietary <Prtry>	[1..1]	±		2732

##### 70.4.1.2.2.1.3 Settled <Sttld>

*Presence:* [0..1]

*Definition:* Specifies the state or the condition.

*Impacted by:* C1 "[AdditionalReasonInformationRule](#)"

**Settled <Sttld>** contains the following elements (see "[ProprietaryReason4](#)" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

- **AdditionalReasonInformationRule**

#### 70.4.1.2.2.2 DatePeriod <DtPrd>

**Definition:** Specified date period of the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			2418
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2418
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2419
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2419
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2419
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2419
Or}	<b>DateTime</b> <DtTm>	[1..1]			2419
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2419
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2420
Or	<b>FromDateTime</b> <FrToDtTm>	[1..1]	±		2420
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2420
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2420

*Definition:* Specified date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2418
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2419
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2419
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2419
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2419

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.2.2.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.2.2.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 70.4.1.2.2.2.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.2.2.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.2.2.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2419
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2420
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2420
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2420
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2420

#### 70.4.1.2.2.2.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 70.4.1.2.2.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

#### 70.4.1.2.2.2.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 70.4.1.2.2.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODateTime" on page 2858

#### 70.4.1.2.2.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODateTime" on page 2858

#### 70.4.1.2.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [0..\*]

*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C5 "DescriptionPresenceRule", C6 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"



**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

#### 70.4.1.2.4 SafekeepingAccountOwner <SfkpgAcctOwnr>

*Presence:* [0..\*]

*Definition:* Party that owns the account.

**SafekeepingAccountOwner <SfkpgAcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 70.4.1.2.5 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..\*]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 70.4.1.2.6 BalanceType <BalTp>

*Presence:* [0..\*]

*Definition:* Balance to which the amount of money is moved.

*Impacted by:* [C3 "BalanceFromToRule"](#)

**BalanceType <BalTp>** contains the following **IntraPositionType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2422
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2423

##### Constraints

- **BalanceFromToRule**

The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.

##### 70.4.1.2.6.1 BalanceFrom <BalFr>

*Presence:* [0..1]

*Definition:* Balance from which the securities are moving.

**BalanceFrom <BalFr>** contains the following elements (see "SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2600

#### 70.4.1.2.6.2 BalanceTo <BalTo>

*Presence:* [0..1]

*Definition:* Balance to which the securities are moving.

**BalanceTo <BalTo>** contains the following elements (see "SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2600

#### 70.4.1.2.7 SecuritiesSubBalanceIdentification <SctiesSubBalId>

*Presence:* [0..\*]

*Definition:* Number identifying a lot constituting the sub-balance.

**SecuritiesSubBalanceIdentification <SctiesSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 70.4.1.2.8 SettlementQuantity <SttlmQty>

*Presence:* [0..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following **QuantitySearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Quantity</b> <Qty>	[1..1]			2424
{Or	<b>Unit</b> <Unit>	[1..1]			2425
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2426
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2427
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2429
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2429
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2429
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2429
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2430
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2430
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2431

#### 70.4.1.2.8.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument in units, original face amount or current face amount.

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantitySearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]			2425
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2426
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2427
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2429
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2429
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2429
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2429

#### 70.4.1.2.8.1.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example a number of shares.

**Unit <Unit>** contains one of the following **QuantityRange1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2426
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2427
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2429
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2429

#### 70.4.1.2.8.1.1.1 FromQuantity <FrQty>

*Presence:* [1..1]

*Definition:* Lower boundary of a range of quantity values.

**FromQuantity** <FrQty> contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2426
	<b>Included</b> <Incl>	[1..1]	Indicator		2426

#### 70.4.1.2.8.1.1.1.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.8.1.1.1.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 70.4.1.2.8.1.1.2 ToQuantity <ToQty>

*Presence:* [1..1]

*Definition:* Upper boundary of a range of quantity values.

**ToQuantity <ToQty>** contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2427
	<b>Included</b> <Incl>	[1..1]	Indicator		2427

##### 70.4.1.2.8.1.1.2.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

##### 70.4.1.2.8.1.1.2.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

##### 70.4.1.2.8.1.1.3 FromToQuantity <FrToQty>

*Presence:* [1..1]

*Definition:* Range of valid quantity values.

**FromToQuantity <FrToQty>** contains the following **FromToQuantityRange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FromQuantity</b> <FrQty>	[1..1]			2427
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428
	<b>ToQuantity</b> <ToQty>	[1..1]			2428
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2428
	<b>Included</b> <Incl>	[1..1]	Indicator		2428

##### 70.4.1.2.8.1.1.3.1 FromQuantity <FrQty>

*Presence:* [1..1]

*Definition:* Lower boundary of a range of quantity values.

**FromQuantity <FrQty>** contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary &lt;Bdry&gt;</b>	[1..1]	Quantity		2428
	<b>Included &lt;Incl&gt;</b>	[1..1]	Indicator		2428

#### 70.4.1.2.8.1.1.3.1.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.8.1.1.3.1.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 70.4.1.2.8.1.1.3.2 ToQuantity <ToQty>

*Presence:* [1..1]

*Definition:* Upper boundary of a range of quantity values.

**ToQuantity <ToQty>** contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary &lt;Bdry&gt;</b>	[1..1]	Quantity		2428
	<b>Included &lt;Incl&gt;</b>	[1..1]	Indicator		2428

#### 70.4.1.2.8.1.1.3.2.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.8.1.1.3.2.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No



#### 70.4.1.2.8.1.1.4 EqualQuantity <EQQty>

*Presence:* [1..1]

*Definition:* Exact value a quantity must match to be considered valid.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.8.1.1.5 NotEqualQuantity <NEQQty>

*Presence:* [1..1]

*Definition:* Value that a quantity must not match to be considered valid.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.8.1.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is the principal, of a debt instrument.

**FaceAmount <FaceAmt>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.8.1.3 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

**AmortisedValue <AmtsdVal>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.8.2 OriginalAndCurrentFace <OrgnlAndCurFace>

*Presence:* [1..1]

*Definition:* Original and current value of an asset-back instrument.

**OriginalAndCurrentFace <OrgnlAndCurFace>** contains the following **FinancialInstrumentQuantitySearch2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2430
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2431

#### 70.4.1.2.8.2.1 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is the principal, of a debt instrument.

**FaceAmount <FaceAmt>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.8.2.2 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

**AmortisedValue <AmtsdVal>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.9 SettledQuantity <SttldQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instrument effectively settled.

**SettledQuantity <SttldQty>** contains one of the following **QuantitySearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Quantity</b> <Qty>	[1..1]			2432
{Or	<b>Unit</b> <Unit>	[1..1]			2433
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2434
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2435
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2437
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2437
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2437
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2437
Or}	<b>OriginalAndCurrentFace</b> <OrgnlAndCurFace>	[1..1]			2438
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2438
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2439

#### 70.4.1.2.9.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument in units, original face amount or current face amount.

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantitySearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]			2433
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2434
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2435
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2437
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2437
Or	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2437
Or}	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2437

#### 70.4.1.2.9.1.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example a number of shares.

**Unit <Unit>** contains one of the following **QuantityRange1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromQuantity</b> <FrQty>	[1..1]			2434
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434
Or	<b>ToQuantity</b> <ToQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435
Or	<b>FromToQuantity</b> <FrToQty>	[1..1]			2435
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
Or	<b>EqualQuantity</b> <EQQty>	[1..1]	Quantity		2437
Or}	<b>NotEqualQuantity</b> <NEQQty>	[1..1]	Quantity		2437

#### 70.4.1.2.9.1.1.1 FromQuantity <FrQty>

*Presence:* [1..1]

*Definition:* Lower boundary of a range of quantity values.

**FromQuantity** <FrQty> contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2434
	<b>Included</b> <Incl>	[1..1]	Indicator		2434

#### 70.4.1.2.9.1.1.1.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.9.1.1.1.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 70.4.1.2.9.1.1.2 ToQuantity <ToQty>

*Presence:* [1..1]

*Definition:* Upper boundary of a range of quantity values.

**ToQuantity <ToQty>** contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2435
	<b>Included</b> <Incl>	[1..1]	Indicator		2435

##### 70.4.1.2.9.1.1.2.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

##### 70.4.1.2.9.1.1.2.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

##### 70.4.1.2.9.1.1.3 FromToQuantity <FrToQty>

*Presence:* [1..1]

*Definition:* Range of valid quantity values.

**FromToQuantity <FrToQty>** contains the following **FromToQuantityRange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FromQuantity</b> <FrQty>	[1..1]			2435
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436
	<b>ToQuantity</b> <ToQty>	[1..1]			2436
	<b>Boundary</b> <Bdry>	[1..1]	Quantity		2436
	<b>Included</b> <Incl>	[1..1]	Indicator		2436

##### 70.4.1.2.9.1.1.3.1 FromQuantity <FrQty>

*Presence:* [1..1]

*Definition:* Lower boundary of a range of quantity values.

**FromQuantity <FrQty>** contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary &lt;Bdry&gt;</b>	[1..1]	Quantity		2436
	<b>Included &lt;Incl&gt;</b>	[1..1]	Indicator		2436

#### 70.4.1.2.9.1.1.3.1.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.9.1.1.3.1.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 70.4.1.2.9.1.1.3.2 ToQuantity <ToQty>

*Presence:* [1..1]

*Definition:* Upper boundary of a range of quantity values.

**ToQuantity <ToQty>** contains the following **QuantityRangeBoundary1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Boundary &lt;Bdry&gt;</b>	[1..1]	Quantity		2436
	<b>Included &lt;Incl&gt;</b>	[1..1]	Indicator		2436

#### 70.4.1.2.9.1.1.3.2.1 Boundary <Bdry>

*Presence:* [1..1]

*Definition:* Quantity value of the range limit.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.9.1.1.3.2.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary quantity is included in the range of quantity values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No



#### 70.4.1.2.9.1.1.4 EqualQuantity <EQQty>

*Presence:* [1..1]

*Definition:* Exact value a quantity must match to be considered valid.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.9.1.1.5 NotEqualQuantity <NEQQty>

*Presence:* [1..1]

*Definition:* Value that a quantity must not match to be considered valid.

*Datatype:* "DecimalNumber" on page 2861

#### 70.4.1.2.9.1.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is the principal, of a debt instrument.

**FaceAmount <FaceAmt>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.9.1.3 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

**AmortisedValue <AmtsdVal>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.9.2 OriginalAndCurrentFace <OrgnlAndCurFace>

*Presence:* [1..1]

*Definition:* Original and current value of an asset-back instrument.

**OriginalAndCurrentFace <OrgnlAndCurFace>** contains the following **FinancialInstrumentQuantitySearch2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FaceAmount</b> <FaceAmt>	[1..1]	±		2438
	<b>AmortisedValue</b> <AmtsdVal>	[1..1]	±		2439

#### 70.4.1.2.9.2.1 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is the principal, of a debt instrument.

**FaceAmount <FaceAmt>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.9.2.2 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

**AmortisedValue <AmtsdVal>** contains one of the following elements (see "ImpliedCurrencyAmountRange1Choice" on page 2595 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 70.4.1.2.10 IntendedSettlementDate <IntnddSttlmDt>

*Presence:* [0..1]

*Definition:* Date and time at which the securities are intended to be moved.

**IntendedSettlementDate <IntnddSttlmDt>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			2440
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2440
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2440
Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2440
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2440
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2440
Or}	<b>DateTime</b> <DtTm>	[1..1]			2441
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2441
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2441
Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	±		2441
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2441
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2441

#### 70.4.1.2.10.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2440
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2440
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2440
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2440
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2440

##### 70.4.1.2.10.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

##### 70.4.1.2.10.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

##### 70.4.1.2.10.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

##### 70.4.1.2.10.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

##### 70.4.1.2.10.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.10.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2441
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2441
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2441
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2441
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2441

##### 70.4.1.2.10.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODateTime" on page 2858

##### 70.4.1.2.10.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODateTime" on page 2858

##### 70.4.1.2.10.2.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

##### 70.4.1.2.10.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODateTime" on page 2858

##### 70.4.1.2.10.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODateTime" on page 2858

#### 70.4.1.2.11 EffectiveSettlementDate <FctvSttlmDt>

*Presence:* [0..1]

*Definition:* Date and time at which the securities are moved.

**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			2442
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2442
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2443
Or	<b>FromDate</b> <FrDt>	[1..1]	±		2443
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2443
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2443
Or}	<b>DateTime</b> <DtTm>	[1..1]			2443
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2443
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2444
Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	±		2444
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2444
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2444

##### 70.4.1.2.11.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2442
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2443
Or	<b>FromDate</b> <FrDt>	[1..1]	±		2443
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2443
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2443

##### 70.4.1.2.11.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.11.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.11.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 70.4.1.2.11.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.11.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.11.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime &lt;FrDtTm&gt;</b>	[1..1]	DateTime		2443
Or	<b>ToDateTime &lt;ToDtTm&gt;</b>	[1..1]	DateTime		2444
Or	<b>FromToDateTime &lt;FrToDtTm&gt;</b>	[1..1]	±		2444
Or	<b>EqualDateTime &lt;EQDtTm&gt;</b>	[1..1]	DateTime		2444
Or}	<b>NotEqualDateTime &lt;NEQDtTm&gt;</b>	[1..1]	DateTime		2444

#### 70.4.1.2.11.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 70.4.1.2.11.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* ["ISODateTime" on page 2858](#)

#### 70.4.1.2.11.2.3 FromDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 70.4.1.2.11.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODateTime" on page 2858](#)

#### 70.4.1.2.11.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODateTime" on page 2858](#)

#### 70.4.1.2.12 Priority <Prty>

*Presence:* [0..\*]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see ["PriorityNumeric4Choice" on page 2643](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 70.4.1.2.13 CountryOfIssue <CtryOfIsse>

*Presence:* [0..\*]

*Definition:* Primary market or country where a security is issued by the issuer or its agent.

*Impacted by:* [C4 "Country"](#)

*Datatype:* ["CountryCode" on page 2812](#)



## Constraints

### • Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## 70.4.1.2.14 MessageOriginator <MsgOrgtr>

*Presence:* [0..\*]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

## 70.4.1.2.15 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Specifies the creation date/time of the intra-position movement.

**CreationDateTime <CreDtTm>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			2445
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2446
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2446
Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2446
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2446
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2446
Or}	<b>DateTime</b> <DtTm>	[1..1]			2446
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2447
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2447
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2447
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2447
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2447

### 70.4.1.2.15.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2446
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2446
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2446
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2446
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2446

#### 70.4.1.2.15.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.15.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.15.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 70.4.1.2.15.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.15.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 70.4.1.2.15.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2447
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2447
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2447
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2447
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2447

#### 70.4.1.2.15.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 70.4.1.2.15.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 70.4.1.2.15.2.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 70.4.1.2.15.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODatetime" on page 2858

#### 70.4.1.2.15.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODatetime" on page 2858

## 70.4.2 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C10 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **71        semt.029.001.01**

## **IntraPositionMovementQueryResponseV01**

### **71.1    MessageDefinition Functionality**

The IntraPositionMovementQueryResponse message is sent from a settlement infrastructure to an account owner/requestor to provide all intra-position movement instructions satisfying the selection criteria, as defined within the query, returning current attributes and latest status.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

#### **Outline**

The IntraPositionMovementQueryResponseV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. **Pagination**  
    Pagination of the message.
- B. **ReportGeneralDetails**  
    General characteristics related to the report information.
- C. **Movements**  
    Identifies the intra-position movements.

## 71.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraPosMvmntQryRspn>	[1..1]		C3, C4, C18, C19	
	<b>Pagination</b> <Pgntn>	[1..1]	±		2454
	<b>ReportGeneralDetails</b> <RptGnlDtls>	[1..1]		C20	2454
	<b>QueryReference</b> <QryRef>	[0..1]	Text		2455
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		2455
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2455
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		2455
	<b>Movements</b> <Mvmnts>	[0..*]		C1, C21, C23	2456
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2459
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2459
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			2459
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2459
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2460
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2460
	<b>Movement</b> <Mvmnt>	[1..*]		C14	2461
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2463
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2464
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			2464
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2464
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2464
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2465
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		2465
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		2465
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		2466
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		2466
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2466
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		2466
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			2466

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceFrom</b> <BalFr>	[1..1]	±		2468
	<b>BalanceTo</b> <BalTo>	[1..1]	±		2468
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C9, C10, C12, C13, C15	2468
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..1]	±	C5, C17	2469
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2471
	<b>SettledQuantity</b> <SttldQty>	[0..1]	±		2471
	<b>PreviouslySettledQuantity</b> <PrevsllySttldQty>	[0..1]	±		2472
	<b>RemainingToBeSettledQuantity</b> <RmngToBeSttldQty>	[0..1]	±		2472
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2472
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		2473
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2473
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2473
	<b>Linkages</b> <Lnkgs>	[0..*]			2473
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C25	2474
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C22	2474
	<b>Reference</b> <Ref>	[1..1]			2475
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		2475
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2475
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2476
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2476
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2476
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2476
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2476
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2476
	<b>SecuritiesSubBalanceIdentification</b> <SciesSubBalId>	[0..1]	±		2477
	<b>Priority</b> <Prty>	[0..1]	±		2477
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C11	2477
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2478
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2478

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		2478
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C24	2478

## 71.3 Constraints

### C1 AccountOwnerRule

AccountOwner must be present or Movement(\*)/AccountOwner must be present, but not both.

### C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C3 ActivityIndicatorNoRule

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then Movements must be absent.

*This constraint is defined at the MessageDefinition level.*

### C4 ActivityIndicatorYesRule

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then Movements must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 AdditionalDetailsRule

Unless bilaterally agreed between the Sender and Receiver, if FinancialInstrumentAttributeAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

### C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C9 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.



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**C10 DescriptionUsageRule**

Description must be used alone as the last resort.

**C11 EventTypeRule**

The use of a specific corporate action event type code is allowed only if this specific event triggers a securities movement as per the Event Interpretation Grid published by the Securities Market Practice Group (SMPG).

The corporate action event indicator code OTHR must only be used in case no other corporate action event code is appropriate.

The corporate action event indicator code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

The corporate action event indicator code REDM must only be used when the redemption is decided by the issuer and not by the holders.

**C12 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C13 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C14 NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

**C15 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C16 PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

**C17 PlaceOfListingRule**

If PlaceOfListing is used and an ISO 10383 MIC exists for the market to be identified, the ISO 10383 Market Identifier Code (MIC) must be used.

**C18 QueryTypeFullRule**

If ReportGeneralDetails/QueryType is equal to Full, then Movements(\*)/Movement/MovementDetails must be present.

*This constraint is defined at the MessageDefinition level.*

**C19 QueryTypeStatusRule**

If ReportGeneralDetails/QueryType is equal to Status, then Movements(\*)/Movement/MovementDetails must be absent.

*This constraint is defined at the MessageDefinition level.*

#### C20 ReportIdentificationRule

If the report has multiple pages, then the ReportIdentification must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different report identifications. For example, a daily report sent on day 1 would have ReportIdentification 001, on day 2, 002, etc.

#### C21 SafekeepingAccountRule

SafekeepingAccount must be present or Movement(\*)/SafekeepingAccount must be present, but not both.

#### C22 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### C23 StatusAndReasonRule

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

#### C24 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### C25 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 71.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 71.4.1 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "[Pagination1](#)" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

### 71.4.2 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

Impacted by: C20 "ReportIdentificationRule"

**ReportGeneralDetails <RptGnIDtls>** contains the following **IntraPositionReport4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryReference</b> <QryRef>	[0..1]	Text		2455
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		2455
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2455
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		2455

#### Constraints

- **ReportIdentificationRule**

If the report has multiple pages, then the ReportIdentification must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different report identifications. For example, a daily report sent on day 1 would have ReportIdentification 001, on day 2, 002, etc.

#### 71.4.2.1 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the query message sent to request this statement.

*Datatype:* "Max35Text" on page 2865

#### 71.4.2.2 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a report.

*Datatype:* "Max35Text" on page 2865

#### 71.4.2.3 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

#### 71.4.2.4 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

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*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 71.4.3 Movements <Mvmnts>

*Presence:* [0..\*]

*Definition:* Identifies the intra-position movements.

*Impacted by:* C1 "AccountOwnerRule", C21 "SafekeepingAccountRule", C23 "StatusAndReasonRule"

**Movements <Mvmnts>** contains the following **IntraPositionMovements6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2459
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2459
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			2459
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2459
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2460
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2460
	<b>Movement</b> <Mvmnt>	[1..*]		C14	2461
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2463
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2464
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			2464
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2464
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2464
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2465
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		2465
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		2465
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		2466
	<b>ProcessorTransactionIdentification</b> <PrcrTxld>	[0..1]	Text		2466
	<b>PoolIdentification</b> <Poolld>	[0..1]	Text		2466
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		2466
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			2466
	<b>BalanceFrom</b> <BalFr>	[1..1]	±		2468
	<b>BalanceTo</b> <BalTo>	[1..1]	±		2468
	<b>FinancialInstrumentIdentification</b> <FinInstrmld>	[1..1]	±	C9, C10, C12, C13, C15	2468
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..1]	±	C5, C17	2469
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2471
	<b>SettledQuantity</b> <SttldQty>	[0..1]	±		2471
	<b>PreviouslySettledQuantity</b> <PrevsllySttldQty>	[0..1]	±		2472
	<b>RemainingToBeSettledQuantity</b> <RmngToBeSttldQty>	[0..1]	±		2472

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2472
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		2473
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2473
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2473
	<b>Linkages</b> <Lnkgs>	[0..*]			2473
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C25	2474
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C22	2474
	<b>Reference</b> <Ref>	[1..1]			2475
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxld>	[1..1]	Text		2475
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		2475
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		2476
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		2476
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[1..1]	Text		2476
Or	<b>PoolIdentification</b> <Poolld>	[1..1]	Text		2476
Or}	<b>OtherTransactionIdentification</b> <OthrTxld>	[1..1]	Text		2476
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2476
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalld>	[0..1]	±		2477
	<b>Priority</b> <Prty>	[0..1]	±		2477
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C11	2477
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2478
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2478
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		2478
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C24	2478

#### Constraints

- **AccountOwnerRule**

AccountOwner must be present or Movement(\*)/AccountOwner must be present, but not both.

- **SafekeepingAccountRule**

SafekeepingAccount must be present or Movement(\*)/SafekeepingAccount must be present, but not both.

- **StatusAndReasonRule**

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

### 71.4.3.1 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on [page 2699](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 71.4.3.2 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on [page 2591](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

### 71.4.3.3 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following **IntraPositionStatusAndReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2459
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2460
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2460

#### 71.4.3.3.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus99Choice" on page 2780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2781
Or	Rejected <Rjctd>	[1..1]	±		2781
Or	Repair <Rpr>	[1..1]	±		2781
Or	Cancelled <Canc>	[1..1]	±		2782
Or}	Proprietary <Prtry>	[1..1]	±		2782

#### 71.4.3.3.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* C16 "PendingToFailingRule"

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus34Choice" on page 2787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2788
Or}	Reason <Rsn>	[1..*]	±		2788
Or	Failing <Fng>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2789
Or}	Reason <Rsn>	[1..*]	±		2789
Or}	Proprietary <Prtry>	[1..1]	±		2789

#### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

#### 71.4.3.3.3 Settled <Sttld>

*Presence:* [0..1]

*Definition:* Specifies the state or the condition.

*Impacted by:* C6 "AdditionalReasonInformationRule"



**Settled <Sttld>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 71.4.3.4 Movement <Mvmnt>

*Presence:* [1..\*]

*Definition:* Identifies the individual transaction.

*Impacted by:* C14 "NoAccountOwnerTransactionIdentificationRule"

**Movement <Mvmnt>** contains the following **IntraPositionMovement10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2463
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2464
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]			2464
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2464
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2464
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2465
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[1..1]	Text		2465
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		2465
	<b>MarketInfrastructureTransactionIdentification</b> <MktlnfrstrctrTxld>	[0..1]	Text		2466
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		2466
	<b>PoolIdentification</b> <Poolld>	[0..1]	Text		2466
	<b>CorporateActionEventIdentification</b> <CorpActnEvtld>	[0..1]	Text		2466
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			2466
	<b>BalanceFrom</b> <BalFr>	[1..1]	±		2468
	<b>BalanceTo</b> <BalTo>	[1..1]	±		2468
	<b>FinancialInstrumentIdentification</b> <FinInstrmld>	[1..1]	±	C9, C10, C12, C13, C15	2468
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..1]	±	C5, C17	2469
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2471
	<b>SettledQuantity</b> <SttldQty>	[0..1]	±		2471
	<b>PreviouslySettledQuantity</b> <PrevsllySttldQty>	[0..1]	±		2472
	<b>RemainingToBeSettledQuantity</b> <RmngToBeSttldQty>	[0..1]	±		2472
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2472
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		2473
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2473
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2473
	<b>Linkages</b> <Lnkgs>	[0..*]			2473
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C25	2474
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C22	2474

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reference</b> <Ref>	[1..1]			2475
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		2475
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2475
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2476
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2476
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2476
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2476
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2476
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2476
	<b>SecuritiesSubBalanceIdentification</b> <SciesSubBalId>	[0..1]	±		2477
	<b>Priority</b> <Prty>	[0..1]	±		2477
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C11	2477
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2478
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2478
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		2478
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C24	2478

#### Constraints

- **NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

#### 71.4.3.4.1 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 71.4.3.4.2 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 71.4.3.4.3 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following **IntraPositionStatusAndReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2464
	<b>SettlementStatus</b> <SttlmSts>	[0..*]	±	C16	2464
	<b>Settled</b> <Sttld>	[0..1]	±	C6	2465

##### 71.4.3.4.3.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "[ProcessingStatus99Choice](#)" on page 2780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2781
Or	Rejected <Rjctd>	[1..1]	±		2781
Or	Repair <Rpr>	[1..1]	±		2781
Or	Cancelled <Canc>	[1..1]	±		2782
Or}	Proprietary <Prtry>	[1..1]	±		2782

##### 71.4.3.4.3.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* [C16 "PendingToFailingRule"](#)

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus34Choice" on page 2787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2788
Or}	Reason <Rsn>	[1..*]	±		2788
Or	Failing <Fng>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2789
Or}	Reason <Rsn>	[1..*]	±		2789
Or}	Proprietary <Prtry>	[1..1]	±		2789

#### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

#### 71.4.3.4.3.3 Settled <Sttld>

*Presence:* [0..1]

*Definition:* Specifies the state or the condition.

*Impacted by:* C6 "AdditionalReasonInformationRule"

**Settled <Sttld>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 71.4.3.4.4 AccountOwnerTransactionIdentification <AcctOwnrTxld>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.5 AccountServicerTransactionIdentification <AcctSvcrTxld>

*Presence:* [0..1]

---

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### **71.4.3.4.6 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **71.4.3.4.7 ProcessorTransactionIdentification <PrcrTxId>**

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### **71.4.3.4.8 PoolIdentification <PoolId>**

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### **71.4.3.4.9 CorporateActionEventIdentification <CorpActnEvtId>**

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

#### **71.4.3.4.10 MovementDetails <MvmntDtls>**

*Presence:* [0..1]

*Definition:* Identifies additional details of the transaction.

**MovementDetails <MvmntDtls>** contains the following **IntraPositionMovement8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BalanceFrom</b> <BalFr>	[1..1]	±		2468
	<b>BalanceTo</b> <BalTo>	[1..1]	±		2468
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C9, C10, C12, C13, C15	2468
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..1]	±	C5, C17	2469
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2471
	<b>SettledQuantity</b> <SttldQty>	[0..1]	±		2471
	<b>PreviouslySettledQuantity</b> <PrevsllySttldQty>	[0..1]	±		2472
	<b>RemainingToBeSettledQuantity</b> <RmngToBeSttldQty>	[0..1]	±		2472
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2472
	<b>EffectiveSettlementDate</b> <FctvSttlmDt>	[0..1]	±		2473
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2473
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2473
	<b>Linkages</b> <Lnkgs>	[0..*]			2473
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C25	2474
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C22	2474
	<b>Reference</b> <Ref>	[1..1]			2475
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2475
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2475
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2476
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2476
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2476
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2476
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2476
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2476
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2477
	<b>Priority</b> <Prty>	[0..1]	±		2477
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C11	2477

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2478
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2478
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		2478
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C24	2478

#### 71.4.3.4.10.1 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the securities are moving.

**BalanceFrom <BalFr>** contains the following elements (see ["SecuritiesSubBalanceTypeAndQuantityBreakdown3"](#) on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2600

#### 71.4.3.4.10.2 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the securities are moving.

**BalanceTo <BalTo>** contains the following elements (see ["SecuritiesSubBalanceTypeAndQuantityBreakdown3"](#) on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2600

#### 71.4.3.4.10.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C9 "DescriptionPresenceRule", C10 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C15 "OtherIdentificationPresenceRule"



**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 71.4.3.4.10.4 FinancialInstrumentAttributes <FinInstrmAttrbts>

*Presence:* [0..1]

*Definition:* Elements characterising a financial instrument.

*Impacted by:* C5 "AdditionalDetailsRule", C17 "PlaceOfListingRule"

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following elements (see "FinancialInstrumentAttributes92" on page 2616 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfListing <PlcOfListg>	[0..1]	±		2618
	DayCountBasis <DayCntBsis>	[0..1]	±		2618
	RegistrationForm <RegnForm>	[0..1]	±		2619
	PaymentFrequency <PmtFrqcy>	[0..1]	±		2619
	PaymentStatus <PmtSts>	[0..1]	±		2619
	VariableRateChangeFrequency <VarblRateChngFrqcy>	[0..1]	±		2619
	ClassificationType <ClssfctnTp>	[0..1]	±		2620
	OptionStyle <OptnStyle>	[0..1]	±		2620
	OptionType <OptnTp>	[0..1]	±		2620
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C1	2620
	CouponDate <CpnDt>	[0..1]	Date		2621
	ExpiryDate <XpryDt>	[0..1]	Date		2621
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		2621
	MaturityDate <MtrtyDt>	[0..1]	Date		2621
	IssueDate <IssDt>	[0..1]	Date		2621
	NextCallableDate <NxtCllblDt>	[0..1]	Date		2621
	PutableDate <PutblDt>	[0..1]	Date		2622
	DatedDate <DtdDt>	[0..1]	Date		2622
	FirstPaymentDate <FrstPmtDt>	[0..1]	Date		2622
	PreviousFactor <PrvsFctr>	[0..1]	Rate		2622
	CurrentFactor <CurFctr>	[0..1]	Rate		2622
	NextFactor <NxtFctr>	[0..1]	Rate		2622
	InterestRate <IntrstRate>	[0..1]	Rate		2622
	YieldToMaturityRate <YldToMtrtyRate>	[0..1]	Rate		2622
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		2623
	IndexRateBasis <IndxRateBsis>	[0..1]	Rate		2623
	CouponAttachedNumber <CpnAttchdNb>	[0..1]	±		2623
	PoolNumber <PoolNb>	[0..1]	±		2623
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2623
	VariableRateIndicator <VarblRateInd>	[0..1]	Indicator		2624

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CallableIndicator <CllblInd>	[0..1]	Indicator		2624
	PutableIndicator <PutblInd>	[0..1]	Indicator		2624
	MarketOrIndicativePrice <MktOrIndctvPric>	[0..1]	±		2624
	ExercisePrice <ExrcPric>	[0..1]	±		2625
	SubscriptionPrice <SbcptPric>	[0..1]	±		2625
	ConversionPrice <ConvsPric>	[0..1]	±		2625
	StrikePrice <StrkPric>	[0..1]	±		2625
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		2626
	ContractSize <CtrctSz>	[0..1]	±		2626
	UnderlyingFinancialInstrumentIdentification <UndrlygFinInstrmId>	[0..*]	±	C3, C4, C5, C6, C7	2626
	FinancialInstrumentAttributeAdditionalDetails <FinInstrmAttrAddtlDtls>	[0..1]	Text		2627

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the Sender and Receiver, if FinancialInstrumentAttributeAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **PlaceOfListingRule**

If PlaceOfListing is used and an ISO 10383 MIC exists for the market to be identified, the ISO 10383 Market Identifier Code (MIC) must be used.

#### 71.4.3.4.10.5 SettlementQuantity <SttlmQty>

*Presence:* [1..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 71.4.3.4.10.6 SettledQuantity <SttldQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instrument effectively settled.

**SettledQuantity <SttldQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 71.4.3.4.10.7 PreviouslySettledQuantity <PrevsllySttldQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instrument previously settled.

**PreviouslySettledQuantity <PrevsllySttldQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 71.4.3.4.10.8 RemainingToBeSettledQuantity <RmngToBeSttldQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instrument remaining to be settled.

**RemainingToBeSettledQuantity <RmngToBeSttldQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 71.4.3.4.10.9 IntendedSettlementDate <IntnddSttldDt>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are intended to be moved.

**IntendedSettlementDate <IntnddSttldDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 71.4.3.4.10.10 EffectiveSettlementDate <FctvSttlmDt>

*Presence:* [0..1]

*Definition:* Date and time at which the securities are moved.

**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 71.4.3.4.10.11 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODatetime" on page 2858

#### 71.4.3.4.10.12 AcknowledgedStatusTimeStamp <AckdStsTmStmp>

*Presence:* [0..1]

*Definition:* Time stamp on when the transaction is acknowledged.

*Datatype:* "ISODatetime" on page 2858

#### 71.4.3.4.10.13 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Link to another transaction that must be processed after, before or at the same time.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C25	2474
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C22	2474
	<b>Reference</b> <Ref>	[1..1]			2475
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2475
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2475
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2476
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2476
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktlnfrstrctrTxId>	[1..1]	Text		2476
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2476
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2476
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2476

#### 71.4.3.4.10.13.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C25 "WithLinkageRule"

**ProcessingPosition** <PrcgPos> contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2672
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2673

#### Constraints

- WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 71.4.3.4.10.13.2 MessageNumber <MsgNb>

*Presence:* [0..1]

**Definition:** Message type number/message identifier of the message referenced in the linkage sequence.

**Impacted by:** C22 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 71.4.3.4.10.13.3 Reference <Ref>

**Presence:** [1..1]

**Definition:** Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesSttlmTxId>	[1..1]	Text		2475
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2475
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2476
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2476
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfstrctrTxId>	[1..1]	Text		2476
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2476
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2476

#### 71.4.3.4.10.13.3.1 SecuritiesSettlementTransactionIdentification <SciesSttlmTxId>

**Presence:** [1..1]

**Definition:** Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

**Datatype:** "Max35Text" on page 2865

#### 71.4.3.4.10.13.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

**Presence:** [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.10.13.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.10.13.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.10.13.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.10.13.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.10.13.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 71.4.3.4.10.13.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686



#### 71.4.3.4.10.14 SecuritiesSubBalanceIdentification <SctiesSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**SecuritiesSubBalanceIdentification <SctiesSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 71.4.3.4.10.15 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 71.4.3.4.10.16 CorporateActionEventType <CorpActnEvtTp>

*Presence:* [0..1]

*Definition:* Specifies the type of corporate event.

*Impacted by:* C11 "EventTypeRule"

**CorporateActionEventType <CorpActnEvtTp>** contains one of the following elements (see "CorporateActionEventType56Choice" on page 2646 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2647
Or}	Proprietary <Prtry>	[1..1]	±		2653

#### Constraints

- **EventTypeRule**

The use of a specific corporate action event type code is allowed only if this specific event triggers a securities movement as per the Event Interpretation Grid published by the Securities Market Practice Group (SMPG).

The corporate action event indicator code OTHR must only be used in case no other corporate action event code is appropriate.

The corporate action event indicator code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

The corporate action event indicator code REDM must only be used when the redemption is decided by the issuer and not by the holders.

#### 71.4.3.4.10.17 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 71.4.3.4.10.18 CreationDateTime <CreDtTm>

*Presence:* [1..1]

*Definition:* Specifies the creation date/time of the intra-position movement.

*Datatype:* "[ISODatetime](#)" on page 2858

#### 71.4.3.4.10.19 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "[Max350Text](#)" on page 2865

#### 71.4.3.4.10.20 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C24 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **72      semt.030.001.01**

## **SecuritiesSettlementConditionsModificationRequestQueryV01**

### **72.1    MessageDefinition Functionality**

The SecuritiesSettlementConditionsModificationRequestQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of settlement or intra-position movement modification instruction(s) based on a set of search criteria or business attributes.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

#### Outline

The SecuritiesSettlementConditionsModificationRequestQueryV01 MessageDefinition is composed of 2 MessageBuildingBlocks:

#### A. QueryDefinition

Defines the intra-position movement modification query criteria.

#### B. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 72.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;ScitiesSttlmCondsModReqQry&gt;</i>	[1..1]			
	<b>QueryDefinition</b> <QryDef>	[1..1]			2481
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2482
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2483
	<b>ModificationRequestIdentification</b> <ModReqId>	[0..*]	Text		2483
	<b>InstructionQueryType</b> <InstrQryTp>	[1..1]	CodeSet		2483
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2484
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2484
	<b>AccountOwner</b> <AcctOwnr>	[0..*]	±		2484
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2485
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2485
{Or	<b>Date</b> <Dt>	[1..1]			2485
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2486
Or	<b>FromDateTo</b> <FrToDt>	[1..1]	±		2486
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2486
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2486
Or}	<b>DateTime</b> <DtTm>	[1..1]			2486
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2487
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2487
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2487
	<b>SupplementaryData</b> <SplntryData>	[0..*]	±	C3	2488

## 72.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 72.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 72.4.1 QueryDefinition <QryDef>

*Presence:* [1..1]

*Definition:* Defines the intra-position movement modification query criteria.

**QueryDefinition <QryDef>** contains the following **SecuritiesModificationQueryDefinition1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2482
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2483
	<b>ModificationRequestIdentification</b> <ModReqId>	[0..*]	Text		2483
	<b>InstructionQueryType</b> <InstrQryTp>	[1..1]	CodeSet		2483
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]	±		2484
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2484
	<b>AccountOwner</b> <AcctOwnr>	[0..*]	±		2484
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2485
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2485
{Or	<b>Date</b> <Dt>	[1..1]			2485
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2486
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2486
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2486
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2486
Or}	<b>DateTime</b> <DtTm>	[1..1]			2486
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2487
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2487
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2487

### 72.4.1.1 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

## 72.4.1.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to extract the intra-position movement instruction information.

**SearchCriteria <SchCrit>** contains the following **SecuritiesModificationQueryCriteria1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ModificationRequestIdentification</b> <ModReqId>	[0..*]	Text		2483
	<b>InstructionQueryType</b> <InstrQryTp>	[1..1]	CodeSet		2483
	<b>ProcessingStatus</b> <PrcgSts>	[0..*]	±		2484
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2484
	<b>AccountOwner</b> <AcctOwnr>	[0..*]	±		2484
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2485
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2485
{Or	<b>Date</b> <Dt>	[1..1]			2485
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2486
Or	<b>FromDateTo</b> <FrToDt>	[1..1]	±		2486
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2486
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2486
Or}	<b>DateTime</b> <DtTm>	[1..1]			2486
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2487
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2487
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2487

### 72.4.1.2.1 ModificationRequestIdentification <ModReqId>

*Presence:* [0..\*]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

### 72.4.1.2.2 InstructionQueryType <InstrQryTp>

*Presence:* [1..1]

*Definition:* Type of underlying transaction to be modified, such as an settlement instruction or an intra-position movement.

*Datatype:* "InstructionQueryType1Code" on page 2826

CodeName	Name	Definition
SETT	SettlementInstruction	Query is performed on settlement transactions.
IPOS	IntraPositionMovement	Query is performed on intra-position movements.
BOTH	SettlementAndIntraPosition	Query is performed on both settlement transactions and intra-position movements.

#### 72.4.1.2.3 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides the status of settlement of a transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "[ModificationProcessingStatus9Choice](#)" on page 2768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2768
Or}	Proprietary <Prtry>	[1..1]	±		2769

#### 72.4.1.2.4 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..\*]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 72.4.1.2.5 AccountOwner <AcctOwnr>

*Presence:* [0..\*]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700



#### 72.4.1.2.6 MessageOriginator <MsgOrgtr>

*Presence:* [0..\*]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 72.4.1.2.7 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Specifies the creation date/time of the intra-position movement.

**CreationDateTime <CreDtTm>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			2485
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2486
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2486
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2486
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2486
Or}	<b>DateTime</b> <DtTm>	[1..1]			2486
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2487
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2487
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2487

##### 72.4.1.2.7.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2486
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2486
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2486
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2486
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2486

#### 72.4.1.2.7.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 72.4.1.2.7.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 72.4.1.2.7.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate** <FrToDt> contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 72.4.1.2.7.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 72.4.1.2.7.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 72.4.1.2.7.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2487
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2487
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2487
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2487
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2487

#### 72.4.1.2.7.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 72.4.1.2.7.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODatetime" on page 2858

#### 72.4.1.2.7.2.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime <FrToDtTm>** contains the following elements (see "DateTimePeriod1" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 72.4.1.2.7.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODatetime" on page 2858

#### 72.4.1.2.7.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODatetime" on page 2858

## 72.4.2 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **73      semt.031.001.01 SecuritiesSettlementConditionsModificationRequestReportV01**

## **73.1    MessageDefinition Functionality**

The SecuritiesSettlementConditionsModificationRequestReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of the settlement or intra-position movement modification request(s), as defined within the query.

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").

### **Outline**

The SecuritiesSettlementConditionsModificationRequestReportV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. **Pagination**  
    Pagination of the message.
- B. **ReportGeneralDetails**  
    General characteristics related to the report information.
- C. **Modifications**  
    Report on the condition modifications requests.
- D. **SupplementaryData**  
    Additional information that cannot be captured in the structured elements and/or any other specific block.

## 73.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SciesSttlmCondsModReqRpt>	[1..1]		C4, C5	
	Pagination <Pgntn>	[1..1]	±		2495
	ReportGeneralDetails <RptGnlDtls>	[1..1]		C24	2495
	ReportNumber <RptNb>	[0..1]	±		2496
	QueryReference <QryRef>	[0..1]	Text		2496
	ReportIdentification <RptId>	[0..1]	Text		2497
	ReportDateTime <RptDtTm>	[0..1]	±		2497
	ReportPeriod <RptPrd>	[0..1]	±		2497
	QueryType <QryTp>	[0..1]	CodeSet		2497
	Frequency <Frqcy>	[0..1]	±		2497
	UpdateType <UpdTp>	[1..1]	±		2498
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		2498
	Modifications <Mods>	[0..*]		C1, C22, C25	2498
	AccountOwner <AcctOwnr>	[0..1]	±		2501
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2501
	ProcessingStatus <PrcgSts>	[0..1]	±		2502
	Modification <Mod>	[1..*]			2502
	AccountOwner <AcctOwnr>	[0..1]	±		2505
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2505
	ProcessingStatus <PrcgSts>	[0..1]	±		2505
	RequestReference <ReqRef>	[1..1]	Text		2506
	StatusDate <StsDt>	[0..1]	DateTime		2506
	RequestDetails <ReqDtls>	[0..1]		C15, C17, C26	2506
	Reference <Ref>	[1..1]		C23	2508
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		2509
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		2509
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		2509

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2509
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		2509
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2509
	<b>CommonIdentification</b> <CmonId>	[0..1]	Text		2510
	<b>TradeIdentification</b> <TradId>	[0..1]	Text		2510
	<b>RestrictionReference</b> <RstrctnRef>	[0..*]	±		2510
	<b>AutomaticBorrowing</b> <AutomtcBrrwg>	[0..1]	±		2510
	<b>RetainIndicator</b> <RtnInd>	[0..1]	Indicator		2510
	<b>Linkage</b> <Lkg>	[0..1]	±		2510
	<b>Priority</b> <Prty>	[0..1]	±		2511
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		2511
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	CodeSet		2511
	<b>SecuritiesRTGS</b> <ScitiesRTGS>	[0..1]	±		2512
	<b>HoldIndicator</b> <HldInd>	[0..1]	±		2512
	<b>MatchingDenial</b> <MtchgDnl>	[0..1]	±		2512
	<b>UnilateralSplit</b> <UnltrlSpl>	[0..1]	±		2512
	<b>Linkages</b> <Lnkgs>	[0..*]			2513
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C29	2513
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C27	2514
	<b>Reference</b> <Ref>	[1..1]			2514
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScitiesSttlmTxId>	[1..1]	Text		2515
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2515
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2515
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2515
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2515
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2516
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2516
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2516
	<b>Underlying</b> <Undrlyg>	[0..1]			2516
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2517

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2518
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2519
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2519
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2519
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2520
	<b>SecuritiesSubBalanceIdentification</b> <SciesSubBalId>	[0..1]	±		2520
	<b>Priority</b> <Prty>	[0..1]	±		2520
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2520
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2521
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2522
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2522
	<b>TradeDate</b> <TradDt>	[0..1]	±		2523
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2523
	<b>DeliveringSettlementParties</b> <DlvrngSttlmPties>	[0..1]	±	C18, C19, C20, C21	2523
	<b>ReceivingSettlementParties</b> <RcvngSttlmPties>	[0..1]	±	C18, C19, C20, C21	2524
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C28	2525

## 73.3 Constraints

### C1 AccountOwnerRule

AccountOwner must be present or Modification(\*)/AccountOwner must be present, but not both.

### C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.



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**C3 ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C4 ActivityIndicatorNoRule**

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then Modifications must be absent.

*This constraint is defined at the MessageDefinition level.*

**C5 ActivityIndicatorYesRule**

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then Modifications must be present.

*This constraint is defined at the MessageDefinition level.*

**C6 AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C7 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C8 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C9 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C10 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C11 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C12 DescriptionUsageRule**

Description must be used alone as the last resort.

**C13 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

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**C14 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C15 ModificationRequestPresenceRule**

At least one securities settlement conditions modification request type must be present.

**C16 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C17 OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and receiver.

**C18 Party2PresenceRule**

If Party2 is present, then Party1 must be present.

**C19 Party3PresenceRule**

If Party3 is present, then Party2 must be present.

**C20 Party4PresenceRule**

If Party4 is present, then Party3 must be present.

**C21 Party5PresenceRule**

If Party5 is present, then Party4 must be present.

**C22 ProcessingStatusRule**

ProcessingStatus must be present or Modification(\*)/ProcessingStatus must be present, but not both.

**C23 ReferencePresenceRule**

At least one reference must be present.

**C24 ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

**C25 SafekeepingAccountRule**

SafekeepingAccount must be present or Modification(\*)/SafekeepingAccount must be present, but not both.

**C26 SettlementConditionModificationApplicabilityRule**

All settlement condition modification request types do not apply to all account servicers.

- C27 ShortLongNumberRule**  
ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.
- C28 SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.
- C29 WithLinkageRule**  
If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 73.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 73.4.1 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

### 73.4.2 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C24 "ReportNumberRule"

**ReportGeneralDetails <RptGnIDtls>** contains the following **SecuritiesTransactionReport5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		2496
	<b>QueryReference</b> <QryRef>	[0..1]	Text		2496
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		2497
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		2497
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		2497
	<b>QueryType</b> <QryTp>	[0..1]	CodeSet		2497
	<b>Frequency</b> <Frqcy>	[0..1]	±		2497
	<b>UpdateType</b> <UpdTp>	[1..1]	±		2498
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		2498

#### Constraints

- **ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

#### 73.4.2.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see ["Number3Choice"](#) on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

#### 73.4.2.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the settlement and intra-position query message sent to request this report.

*Datatype:* ["Max35Text"](#) on page 2865

### 73.4.2.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* "Max35Text" on page 2865

### 73.4.2.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

### 73.4.2.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see "Period7Choice" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

### 73.4.2.6 QueryType <QryTp>

*Presence:* [0..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

### 73.4.2.7 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see ["Frequency22Choice"](#) on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

### 73.4.2.8 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see ["UpdateType15Choice"](#) on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

### 73.4.2.9 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 73.4.3 Modifications <Mods>

*Presence:* [0..\*]

*Definition:* Report on the condition modifications requests.

*Impacted by:* [C1 "AccountOwnerRule"](#), [C22 "ProcessingStatusRule"](#), [C25 "SafekeepingAccountRule"](#)

**Modifications <Mods>** contains the following **SecuritiesModification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2501
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2501
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		2502
	<b>Modification</b> <Mod>	[1..*]			2502
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2505
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2505
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		2505
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		2506
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2506
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C15, C17, C26	2506
	<b>Reference</b> <Ref>	[1..1]		C23	2508
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		2509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2509
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2509
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2509
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		2509
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2509
	<b>CommonIdentification</b> <CmonId>	[0..1]	Text		2510
	<b>TradIdentification</b> <TradId>	[0..1]	Text		2510
	<b>RestrictionReference</b> <RstrctnRef>	[0..*]	±		2510
	<b>AutomaticBorrowing</b> <AutomtcBrrwg>	[0..1]	±		2510
	<b>RetainIndicator</b> <RtnInd>	[0..1]	Indicator		2510
	<b>Linkage</b> <Lkg>	[0..1]	±		2510
	<b>Priority</b> <Prty>	[0..1]	±		2511
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		2511
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	CodeSet		2511
	<b>SecuritiesRTGS</b> <ScitiesRTGS>	[0..1]	±		2512
	<b>HoldIndicator</b> <HldInd>	[0..1]	±		2512

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MatchingDenial</b> <MtchgDnl>	[0..1]	±		2512
	<b>UnilateralSplit</b> <UnltrlSpl>	[0..1]	±		2512
	<b>Linkages</b> <Lnkgs>	[0..*]			2513
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C29	2513
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C27	2514
	<b>Reference</b> <Ref>	[1..1]			2514
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxld>	[1..1]	Text		2515
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		2515
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		2515
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		2515
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktlnfrstrctrTxld>	[1..1]	Text		2515
Or	<b>PoolIdentification</b> <Poolld>	[1..1]	Text		2516
Or}	<b>OtherTransactionIdentification</b> <OthrTxld>	[1..1]	Text		2516
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2516
	<b>Underlying</b> <Undrlyg>	[0..1]			2516
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2517
	<b>FinancialInstrumentIdentification</b> <FinInstrmld>	[1..1]	±	C11, C12, C13, C14, C16	2518
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2519
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2519
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2519
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2520
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalld>	[0..1]	±		2520
	<b>Priority</b> <Prty>	[0..1]	±		2520
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2520
	<b>FinancialInstrumentIdentification</b> <FinInstrmld>	[1..1]	±	C11, C12, C13, C14, C16	2521



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2522
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2522
	<b>TradeDate</b> <TradDt>	[0..1]	±		2523
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2523
	<b>DeliveringSettlementParties</b> <DlvrgSttlmPties>	[0..1]	±	C18, C19, C20, C21	2523
	<b>ReceivingSettlementParties</b> <RcvgSttlmPties>	[0..1]	±	C18, C19, C20, C21	2524

#### Constraints

- **AccountOwnerRule**

AccountOwner must be present or Modification(\*)/AccountOwner must be present, but not both.

- **ProcessingStatusRule**

ProcessingStatus must be present or Modification(\*)/ProcessingStatus must be present, but not both.

- **SafekeepingAccountRule**

SafekeepingAccount must be present or Modification(\*)/SafekeepingAccount must be present, but not both.

#### 73.4.3.1 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtlyId>	[0..1]	±		2700

#### 73.4.3.2 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

### 73.4.3.3 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus71Choice" on page 2752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2752
Or	Pending <Pdg>	[1..1]	±		2752
Or	Rejected <Rjctd>	[1..1]	±		2753
Or	Repair <Rpr>	[1..1]	±		2753
Or	Denied <Dnd>	[1..1]	±		2754
Or	Completed <Cmpltd>	[1..1]	±	C6	2754
Or}	Proprietary <Prtry>	[1..1]	±		2754

### 73.4.3.4 Modification <Mod>

*Presence:* [1..\*]

*Definition:* Identifies the individual transaction.

**Modification <Mod>** contains the following **SecuritiesModificationTransaction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2505
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2505
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		2505
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		2506
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2506
	<b>RequestDetails</b> <ReqDtls>	[0..1]		C15, C17, C26	2506
	<b>Reference</b> <Ref>	[1..1]		C23	2508
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		2509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2509
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2509
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2509
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		2509
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2509
	<b>CommonIdentification</b> <CmonId>	[0..1]	Text		2510
	<b>TradeIdentification</b> <TradId>	[0..1]	Text		2510
	<b>RestrictionReference</b> <RstrctnRef>	[0..*]	±		2510
	<b>AutomaticBorrowing</b> <AutomtcBrrwg>	[0..1]	±		2510
	<b>RetainIndicator</b> <RtnInd>	[0..1]	Indicator		2510
	<b>Linkage</b> <Lkg>	[0..1]	±		2510
	<b>Priority</b> <Prty>	[0..1]	±		2511
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		2511
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	CodeSet		2511
	<b>SecuritiesRTGS</b> <SciesRTGS>	[0..1]	±		2512
	<b>HoldIndicator</b> <HldInd>	[0..1]	±		2512
	<b>MatchingDenial</b> <MtchgDnl>	[0..1]	±		2512
	<b>UnilateralSplit</b> <UnltrlSplt>	[0..1]	±		2512
	<b>Linkages</b> <Lnkgs>	[0..*]			2513
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C29	2513

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C27	2514
	<b>Reference</b> <Ref>	[1..1]			2514
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2515
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2515
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2515
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2515
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2515
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2516
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2516
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2516
	<b>Underlying</b> <Undrlyg>	[0..1]			2516
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2517
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2518
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2519
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2519
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2519
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2520
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2520
	<b>Priority</b> <Prty>	[0..1]	±		2520
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2520
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2521
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2522
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2522
	<b>TradeDate</b> <TradDt>	[0..1]	±		2523
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2523

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DeliveringSettlementParties</b> <DlvrgSttlmPties>	[0..1]	±	C18, C19, C20, C21	2523
	<b>ReceivingSettlementParties</b> <RcvrgSttlmPties>	[0..1]	±	C18, C19, C20, C21	2524

#### 73.4.3.4.1 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 73.4.3.4.2 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 73.4.3.4.3 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see  
"ProcessingStatus71Choice" on page 2752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2752
Or	Pending <Pdg>	[1..1]	±		2752
Or	Rejected <Rjctd>	[1..1]	±		2753
Or	Repair <Rpr>	[1..1]	±		2753
Or	Denied <Dnd>	[1..1]	±		2754
Or	Completed <Cmpltd>	[1..1]	±	C6	2754
Or}	Proprietary <Prtry>	[1..1]	±		2754

#### 73.4.3.4.4 RequestReference <ReqRef>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the modification request.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.5 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODateTime" on page 2858

#### 73.4.3.4.6 RequestDetails <ReqDtls>

*Presence:* [0..1]

*Definition:* Details of the request providing the changes and references of the instruction for which the modification is requested.

*Impacted by:* C15 "ModificationRequestPresenceRule", C17 "OtherProcessingRule", C26  
"SettlementConditionModificationApplicabilityRule"

**RequestDetails <ReqDtls>** contains the following **RequestDetails33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reference</b> <Ref>	[1..1]		C23	2508
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		2509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2509
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2509
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2509
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		2509
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2509
	<b>CommonIdentification</b> <CmonId>	[0..1]	Text		2510
	<b>TradeIdentification</b> <TradeId>	[0..1]	Text		2510
	<b>RestrictionReference</b> <RstrctnRef>	[0..*]	±		2510
	<b>AutomaticBorrowing</b> <AutomtcBrrwg>	[0..1]	±		2510
	<b>RetainIndicator</b> <RtnInd>	[0..1]	Indicator		2510
	<b>Linkage</b> <Lkg>	[0..1]	±		2510
	<b>Priority</b> <Prty>	[0..1]	±		2511
	<b>OtherProcessing</b> <OthrPrcg>	[0..*]	±		2511
	<b>PartialSettlementIndicator</b> <PrtlSttlmInd>	[0..1]	CodeSet		2511
	<b>SecuritiesRTGS</b> <SctiesRTGS>	[0..1]	±		2512
	<b>HoldIndicator</b> <HldInd>	[0..1]	±		2512
	<b>MatchingDenial</b> <MtchgDnl>	[0..1]	±		2512
	<b>UnilateralSplit</b> <UnltrlSpl>	[0..1]	±		2512
	<b>Linkages</b> <Lnkgs>	[0..*]			2513
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C29	2513
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C27	2514
	<b>Reference</b> <Ref>	[1..1]			2514
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2515
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2515
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2515

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2515
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2515
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2516
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2516
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2516

#### Constraints

- **ModificationRequestPresenceRule**

At least one securities settlement conditions modification request type must be present.

- **OtherProcessingRule**

If OtherProcessing is used, then its usage must be pre-agreed between the sender and receiver.

- **SettlementConditionModificationApplicabilityRule**

All settlement condition modification request types do not apply to all account servicers.

#### 73.4.3.4.6.1 Reference <Ref>

*Presence:* [1..1]

*Definition:* References of the transaction for which the securities settlement condition modification is requested.

*Impacted by:* C23 "ReferencePresenceRule"

**Reference <Ref>** contains the following **References32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		2509
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2509
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2509
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2509
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		2509
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2509
	<b>CommonIdentification</b> <CmonId>	[0..1]	Text		2510
	<b>TradIdentification</b> <TradId>	[0..1]	Text		2510



## Constraints

### • ReferencePresenceRule

At least one reference must be present.

On Condition

/AccountOwnerTransactionIdentification is absent  
And /AccountServicerTransactionIdentification is absent  
And /MarketInfrastructureTransactionIdentification is absent  
And /ProcessorTransactionIdentification is absent  
And /PoolIdentification is absent

Following Must be True

/CommonIdentification Must be present  
Or /TradeIdentification Must be present  
Or /CounterpartyMarketInfrastructureTransactionIdentification Must be present

#### 73.4.3.4.6.1.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.2 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.4 CounterpartyMarketInfrastructureTransactionIdentification <CtrPtyMktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.5 ProcessorTransactionIdentification <PrcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction assigned by the processor of the instruction other than the account owner, the account servicer and the market infrastructure.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.6 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.7 CommonIdentification <CmonId>

*Presence:* [0..1]

*Definition:* Unique reference agreed upon by the two trade counterparties to identify the trade.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.1.8 TradeIdentification <TradId>

*Presence:* [0..1]

*Definition:* Reference assigned to the trade by the investor or the trading party. This reference will be used throughout the trade life cycle to access/update the trade details.

*Datatype:* "Max52Text" on page 2866

#### 73.4.3.4.6.2 RestrictionReference <RstrctnRef>

*Presence:* [0..\*]

*Definition:* Restriction references applied on the transaction for which the securities settlement condition modification is requested.

**RestrictionReference <RstrctnRef>** contains the following elements (see "RestrictionIdentification1" on page 2670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		2670
	Identification <Id>	[1..1]	Text		2670

#### 73.4.3.4.6.3 AutomaticBorrowing <AutomtcBrrwg>

*Presence:* [0..1]

*Definition:* Condition for automatic borrowing.

**AutomaticBorrowing <AutomtcBrrwg>** contains one of the following elements (see "AutomaticBorrowing7Choice" on page 2673 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2673
Or}	Proprietary <Prtry>	[1..1]	±		2673

#### 73.4.3.4.6.4 RetainIndicator <RtnInd>

*Presence:* [0..1]

*Definition:* Specifies whether the instruction due to expire is confirmed for settlement.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 73.4.3.4.6.5 Linkage <Lkg>

*Presence:* [0..1]

**Definition:** Specifies the type of linkage requested.

**Linkage <Lkg>** contains one of the following elements (see "[LinkageType3Choice](#)" on page 2639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2640

#### 73.4.3.4.6.6 Priority <Prty>

**Presence:** [0..1]

**Definition:** Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "[PriorityNumeric4Choice](#)" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 73.4.3.4.6.7 OtherProcessing <OthrPrcg>

**Presence:** [0..\*]

**Definition:** Specifies another type of processing change request.

**OtherProcessing <OthrPrcg>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 73.4.3.4.6.8 PartialSettlementIndicator <PrtlSttlmInd>

**Presence:** [0..1]

**Definition:** Specifies whether partial settlement is allowed.

**Datatype:** "[SettlementTransactionCondition5Code](#)" on page 2850

CodeName	Name	Definition
PART	PartialAllowed	Partial settlement is allowed.
NPAR	PartialNotAllowed	Partial settlement is not allowed.
PARC	PartialSettlementCashThresholdAllowed	Partial settlement is allowed but must satisfy a cash value minimum (value defined in static data).

CodeName	Name	Definition
PARQ	PartialSettlementQuantityThresholdAllowed	Partial settlement is allowed but must satisfy a minimum quantity of securities (quantity defined in static data).

#### 73.4.3.4.6.9 SecuritiesRTGS <SctiesRTGS>

*Presence:* [0..1]

*Definition:* Specifies whether the settlement transaction is to be settled through an RTGS or a non RTGS system.

**SecuritiesRTGS <SctiesRTGS>** contains one of the following elements (see "[SecuritiesRTGS4Choice](#)" on page 2655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Indicator <Ind>	[1..1]	Indicator		2656
Or}	Proprietary <Prtry>	[1..1]	±		2656

#### 73.4.3.4.6.10 HoldIndicator <HldInd>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is on hold/blocked/frozen.

**HoldIndicator <HldInd>** contains the following elements (see "[HoldIndicator6](#)" on page 2671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		2671
	Reason <Rsn>	[0..*]	±		2672

#### 73.4.3.4.6.11 MatchingDenial <MtchgDnl>

*Presence:* [0..1]

*Definition:* Specifies the matching processing change requested.

**MatchingDenial <MtchgDnl>** contains one of the following elements (see "[MatchingDenied3Choice](#)" on page 2761 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2761
Or}	Proprietary <Prtry>	[1..1]	±		2761

#### 73.4.3.4.6.12 UnilateralSplit <UnltrSpl>

*Presence:* [0..1]

*Definition:* Specifies that the transaction is requested to be unilaterally split.

**UnilateralSplit <UnltrlSpl>** contains one of the following elements (see "[UnilateralSplit3Choice](#)" on page 2668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2668
Or}	Proprietary <Prtry>	[1..1]	±		2668

#### 73.4.3.4.6.13 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Information regarding the linkage requested.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C29	2513
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C27	2514
	<b>Reference</b> <Ref>	[1..1]			2514
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SciesStlrmTxld>	[1..1]	Text		2515
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntld>	[1..1]	Text		2515
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntld>	[1..1]	Text		2515
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[1..1]	Text		2515
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktlnfrstrctrTxld>	[1..1]	Text		2515
Or	<b>PoolIdentification</b> <Poolld>	[1..1]	Text		2516
Or}	<b>OtherTransactionIdentification</b> <OthrTxld>	[1..1]	Text		2516
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2516

##### 73.4.3.4.6.13.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C29 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "[ProcessingPosition7Choice](#)" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

## Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

### 73.4.3.4.6.13.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C27 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "[DocumentNumber5Choice](#)" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

## Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

### 73.4.3.4.6.13.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.

**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2515
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2515
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2515
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2515
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2515
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2516
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2516

#### 73.4.3.4.6.13.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.3.6 PoolIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 73.4.3.4.6.13.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see  
"PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

#### 73.4.3.4.7 Underlying <Undrlyg>

*Presence:* [0..1]

*Definition:* Identifies additional details of the transaction.



**Underlying <Undrlyg>** contains one of the following **SettlementOrIntraPosition3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2517
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2518
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2519
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2519
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2519
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2520
	<b>SecuritiesSubBalanceIdentification</b> <SciesSubBalId>	[0..1]	±		2520
	<b>Priority</b> <Prty>	[0..1]	±		2520
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2520
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2521
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2522
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2522
	<b>TradeDate</b> <TradDt>	[0..1]	±		2523
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2523
	<b>DeliveringSettlementParties</b> <DlvrGSttlmPties>	[0..1]	±	C18, C19, C20, C21	2523
	<b>ReceivingSettlementParties</b> <RcvGSttlmPties>	[0..1]	±	C18, C19, C20, C21	2524

#### 73.4.3.4.7.1 IntraPositionMovement <IntraPosMvmnt>

*Presence:* [1..1]

*Definition:* Specifies the requested intra-position movement details.

**IntraPositionMovement** <IntraPosMvmnt> contains the following **IntraPosition6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2518
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2519
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2519
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2519
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2520
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2520
	<b>Priority</b> <Prty>	[0..1]	±		2520

#### 73.4.3.4.7.1.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C13 "ISINGuideline", C14 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification** <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
 /ISIN is absent  
 Following Must be True  
 /OtherIdentification[\*] Must be present  
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
 /OtherIdentification[\*] is absent  
 Following Must be True  
 /ISIN Must be present  
 Or /Description Must be present

#### 73.4.3.4.7.1.2 SettlementQuantity <SttlmQty>

*Presence:* [1..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 73.4.3.4.7.1.3 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 73.4.3.4.7.1.4 BalanceFrom <BalFr>

*Presence:* [0..1]

*Definition:* Balance from which the securities are moving.

**BalanceFrom <BalFr>** contains the following elements (see  
"SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2600

#### 73.4.3.4.7.1.5 BalanceTo <BalTo>

*Presence:* [0..1]

*Definition:* Balance to which the securities are moving.

**BalanceTo <BalTo>** contains the following elements (see  
"SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2600

#### 73.4.3.4.7.1.6 SecuritiesSubBalanceIdentification <SctiesSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**SecuritiesSubBalanceIdentification <SctiesSubBalId>** contains the following elements (see  
"GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 73.4.3.4.7.1.7 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 73.4.3.4.7.2 SettlementTransaction <SttlmTx>

*Presence:* [1..1]

*Definition:* Specifies the requested settlement transaction details.

**SettlementTransaction <SttlmTx>** contains the following **TransactionDetails126** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C16	2521
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2522
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2522
	<b>TradeDate</b> <TradDt>	[0..1]	±		2523
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2523
	<b>DeliveringSettlementParties</b> <DlvrgSttlmPties>	[0..1]	±	C18, C19, C20, C21	2523
	<b>ReceivingSettlementParties</b> <RcvgSttlmPties>	[0..1]	±	C18, C19, C20, C21	2524

#### 73.4.3.4.7.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C13 "ISINGuideline", C14 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition  
/Description is absent  
Following Must be True

/ISIN Must be present  
Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
/ISIN is absent  
Following Must be True  
/OtherIdentification[\*] Must be present  
Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
/OtherIdentification[\*] is absent  
Following Must be True  
/ISIN Must be present  
Or /Description Must be present

#### 73.4.3.4.7.2.2 SettlementQuantity <SttlmQty>

*Presence:* [1..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following elements (see "[Quantity6Choice](#)" on page 2630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		2630
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		2631

#### 73.4.3.4.7.2.3 SettlementAmount <SttlmAmt>

*Presence:* [0..1]

*Definition:* Total amount of money to be paid or received in exchange for the securities.

**SettlementAmount <SttlmAmt>** contains the following elements (see "[AmountAndDirection51](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2593
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		2593
	OriginalCurrencyAndOrderedAmount <OrgnlCcyAndOrdrdAmt>	[0..1]	Amount	C1, C5	2593

#### 73.4.3.4.7.2.4 TradeDate <TradDt>

*Presence:* [0..1]

*Definition:* Specifies the date/time on which the trade was executed.

**TradeDate <TradDt>** contains one of the following elements (see "[TradeDate8Choice](#)" on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		2603
Or}	DateCode <DtCd>	[1..1]			2603
{Or	Code <Cd>	[1..1]	CodeSet		2603
Or}	Proprietary <Prtry>	[1..1]	±		2603

#### 73.4.3.4.7.2.5 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are to be delivered or received.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[SettlementDate17Choice](#)" on page 2604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		2604
Or}	DateCode <DtCd>	[1..1]	±		2604

#### 73.4.3.4.7.2.6 DeliveringSettlementParties <DlvrgSttlmPties>

*Presence:* [0..1]

*Definition:* Identifies the chain of delivering settlement parties.

*Impacted by:* [C18 "Party2PresenceRule"](#), [C19 "Party3PresenceRule"](#), [C20 "Party4PresenceRule"](#), [C21 "Party5PresenceRule"](#)

**DeliveringSettlementParties <DlvrgSttlmPties>** contains the following elements (see "[SettlementParties78](#)" on page 2727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2728
	Party1 <Pty1>	[0..1]	±		2728
	Party2 <Pty2>	[0..1]	±		2729
	Party3 <Pty3>	[0..1]	±		2729
	Party4 <Pty4>	[0..1]	±		2729
	Party5 <Pty5>	[0..1]	±		2730

## Constraints

### • Party2PresenceRule

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

### • Party3PresenceRule

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

### • Party4PresenceRule

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

### • Party5PresenceRule

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```

## 73.4.3.4.7.2.7 ReceivingSettlementParties <RcvgSttlmPties>

*Presence:* [0..1]

*Definition:* Identifies the chain of receiving settlement parties.

*Impacted by:* C18 "Party2PresenceRule", C19 "Party3PresenceRule", C20 "Party4PresenceRule", C21 "Party5PresenceRule"

**ReceivingSettlementParties <RcvgSttlmPties>** contains the following elements (see "SettlementParties78" on page 2727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2728
	Party1 <Pty1>	[0..1]	±		2728
	Party2 <Pty2>	[0..1]	±		2729
	Party3 <Pty3>	[0..1]	±		2729
	Party4 <Pty4>	[0..1]	±		2729
	Party5 <Pty5>	[0..1]	±		2730



Constraints

- Party2PresenceRule**  
If Party2 is present, then Party1 must be present.  
On Condition  
    /Party2 is present  
Following Must be True  
    /Party1 Must be present
- Party3PresenceRule**  
If Party3 is present, then Party2 must be present.  
On Condition  
    /Party3 is present  
Following Must be True  
    /Party2 Must be present
- Party4PresenceRule**  
If Party4 is present, then Party3 must be present.  
On Condition  
    /Party4 is present  
Following Must be True  
    /Party3 Must be present
- Party5PresenceRule**  
If Party5 is present, then Party4 must be present.  
On Condition  
    /Party5 is present  
Following Must be True  
    /Party4 Must be present

73.4.4      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C28 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **74      semt.032.001.01**

## **SecuritiesTransactionCancellationRequestQueryV01**

### **74.1    MessageDefinition Functionality**

The SecuritiesTransactionCancellationRequestQuery message is sent from an account owner/requestor to a settlement infrastructure to query for the status of settlement or intra-position movement cancellation instruction(s) based on a set of search criteria or business attributes.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

#### **Outline**

The SecuritiesTransactionCancellationRequestQueryV01 MessageDefinition is composed of 2 MessageBuildingBlocks:

#### **A. QueryDefinition**

Defines the intra-position movement cancellation query criteria.

#### **B. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 74.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ScitiesTxCxlReqQry>	[1..1]			
	QueryDefinition <QryDef>	[1..1]			2528
	QueryType <QryTp>	[1..1]	CodeSet		2529
	SearchCriteria <SchCrit>	[1..1]			2530
	CancellationRequestIdentification <CxlReqId>	[0..*]	Text		2530
	InstructionQueryType <InstrQryTp>	[1..1]	CodeSet		2531
	ProcessingStatus <PrcgSts>	[0..*]			2531
{Or	Code <Cd>	[1..1]	CodeSet		2531
Or}	Proprietary <Prtry>	[1..1]	±		2532
	SafekeepingAccount <SfkpgAcct>	[0..*]	±		2532
	AccountOwner <AcctOwnr>	[0..*]	±		2532
	MessageOriginator <MsgOrgtr>	[0..*]	±		2532
	CreationDateTime <CreDtTm>	[0..1]			2533
{Or	Date <Dt>	[1..1]			2533
{Or	FromDate <FrDt>	[1..1]	Date		2534
Or	ToDate <ToDt>	[1..1]	Date		2534
Or	FromToDate <FrToDt>	[1..1]	±		2534
Or	EqualDate <EQDt>	[1..1]	Date		2534
Or}	NotEqualDate <NEQDt>	[1..1]	Date		2534
Or}	DateTime <DtTm>	[1..1]			2534
{Or	FromDateTime <FrDtTm>	[1..1]	DateTime		2535
Or	ToDateTime <ToDtTm>	[1..1]	DateTime		2535
Or	FromToDateTime <FrToDtTm>	[1..1]	±		2535
Or	EqualDateTime <EQDtTm>	[1..1]	DateTime		2535
Or}	NotEqualDateTime <NEQDtTm>	[1..1]	DateTime		2535
	SupplementaryData <SplmtryData>	[0..*]	±	C3	2536

## 74.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 74.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 74.4.1 QueryDefinition <QryDef>

*Presence:* [1..1]

*Definition:* Defines the intra-position movement cancellation query criteria.

**QueryDefinition <QryDef>** contains the following **SecuritiesCancellationQueryDefinition1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QueryType</b> <QryTp>	[1..1]	CodeSet		2529
	<b>SearchCriteria</b> <SchCrit>	[1..1]			2530
	<b>CancellationRequestIdentification</b> <CxlReqId>	[0..*]	Text		2530
	<b>InstructionQueryType</b> <InstrQryTp>	[1..1]	CodeSet		2531
	<b>ProcessingStatus</b> <PrpgSts>	[0..*]			2531
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2531
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2532
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..*]	±		2532
	<b>AccountOwner</b> <AcctOwnr>	[0..*]	±		2532
	<b>MessageOriginator</b> <MsgOrgtr>	[0..*]	±		2532
	<b>CreationDateTime</b> <CreDtTm>	[0..1]			2533
{Or	<b>Date</b> <Dt>	[1..1]			2533
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2534
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2534
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2534
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2534
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2534
Or}	<b>DateTime</b> <DtTm>	[1..1]			2534
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2535
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2535
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2535
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2535
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2535

#### 74.4.1.1 QueryType <QryTp>

*Presence:* [1..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.



*Datatype:* "Max35Text" on page 2865

#### 74.4.1.2.2 InstructionQueryType <InstrQryTp>

*Presence:* [1..1]

*Definition:* Type of underlying transaction to be cancelled, such as an settlement instruction or an intra-position movement.

*Datatype:* "InstructionQueryType1Code" on page 2826

CodeName	Name	Definition
SETT	SettlementInstruction	Query is performed on settlement transactions.
IPOS	IntraPositionMovement	Query is performed on intra-position movements.
BOTH	SettlementAndIntraPosition	Query is performed on both settlement transactions and intra-position movements.

#### 74.4.1.2.3 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides the status of settlement of a transaction.

**ProcessingStatus <PrcgSts>** contains one of the following **CancellationProcessingStatus9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		2531
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		2532

##### 74.4.1.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Provides the status of a cancellation request.

*Datatype:* "CancellationProcessingStatus3Code" on page 2804

CodeName	Name	Definition
CANP	PendingCancellation	Cancellation is pending. It is not known at this time whether cancellation can be affected.
REJT	Rejected	Cancellation has been rejected for further processing.
REPR	InRepair	Cancellation request is in repair.
PACK	Accepted	Cancellation request has been acknowledged for further processing by the account servicer.
DEND	Denied	Cancellation will not be executed.
CAND	CancellationCompleted	Cancellation has been completed.

#### 74.4.1.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Provides the status of a cancellation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 74.4.1.2.4 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..\*]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 74.4.1.2.5 AccountOwner <AcctOwnr>

*Presence:* [0..\*]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 74.4.1.2.6 MessageOriginator <MsgOrgtr>

*Presence:* [0..\*]

*Definition:* Party that originated the message, if other than the sender.



**MessageOriginator <MsgOrgtr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 74.4.1.2.7 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Specifies the creation date/time of the settlement instruction or the intra-position movement.

**CreationDateTime <CreDtTm>** contains one of the following **DateAndDateTimeSearch5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Date</b> <Dt>	[1..1]			2533
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2534
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2534
Or	<b>FromToDate</b> <FrToDt>	[1..1]	±		2534
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2534
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2534
Or}	<b>DateTime</b> <DtTm>	[1..1]			2534
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2535
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2535
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2535
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2535
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2535

##### 74.4.1.2.7.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDate</b> <FrDt>	[1..1]	Date		2534
Or	<b>ToDate</b> <ToDt>	[1..1]	Date		2534
Or	<b>FromDate</b> <FrToDt>	[1..1]	±		2534
Or	<b>EqualDate</b> <EQDt>	[1..1]	Date		2534
Or}	<b>NotEqualDate</b> <NEQDt>	[1..1]	Date		2534

#### 74.4.1.2.7.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 74.4.1.2.7.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 74.4.1.2.7.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate** <FrToDt> contains the following elements (see "DatePeriod2" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 74.4.1.2.7.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 2858

#### 74.4.1.2.7.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 2858

#### 74.4.1.2.7.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

**DateTime <DtTm>** contains one of the following **DateTimeSearch2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>FromDateTime</b> <FrDtTm>	[1..1]	DateTime		2535
Or	<b>ToDateTime</b> <ToDtTm>	[1..1]	DateTime		2535
Or	<b>FromToDateTime</b> <FrToDtTm>	[1..1]	±		2535
Or	<b>EqualDateTime</b> <EQDtTm>	[1..1]	DateTime		2535
Or}	<b>NotEqualDateTime</b> <NEQDtTm>	[1..1]	DateTime		2535

#### 74.4.1.2.7.2.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* ["ISODatetime" on page 2858](#)

#### 74.4.1.2.7.2.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* ["ISODatetime" on page 2858](#)

#### 74.4.1.2.7.2.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime** <FrToDtTm> contains the following elements (see ["DateTimePeriod1" on page 2606](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 74.4.1.2.7.2.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime" on page 2858](#)

#### 74.4.1.2.7.2.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime" on page 2858](#)

74.4.2

SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on [page 2666](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **75      semt.033.001.01**

## **SecuritiesTransactionCancellationRequestReportV01**

### **75.1    MessageDefinition Functionality**

The SecuritiesTransactionCancellationRequestReport message is sent from a settlement infrastructure to an account owner/requestor to provide full details or current status values of settlement or the intra-position movement cancellation request(s), as defined within the query.

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message for information (the sub-function of the message is "Copy Duplicate").

#### Outline

The SecuritiesTransactionCancellationRequestReportV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. Pagination  
    Pagination of the message.
- B. ReportGeneralDetails  
    General characteristics related to the report information.
- C. Cancellations  
    Report on the transaction cancellation requests.
- D. SupplementaryData  
    Additional information that cannot be captured in the structured elements and/or any other specific block.

## 75.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SciesTxCxlReqRpt>	[1..1]		C4, C5	
	Pagination <Pgntn>	[1..1]	±		2541
	ReportGeneralDetails <RptGnlDtls>	[1..1]		C21	2542
	ReportNumber <RptNb>	[0..1]	±		2542
	QueryReference <QryRef>	[0..1]	Text		2543
	ReportIdentification <RptId>	[0..1]	Text		2543
	ReportDateTime <RptDtTm>	[0..1]	±		2543
	ReportPeriod <RptPrd>	[0..1]	±		2543
	QueryType <QryTp>	[0..1]	CodeSet		2543
	Frequency <Frqcy>	[0..1]	±		2544
	UpdateType <UpdTp>	[1..1]	±		2544
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		2544
	Cancellations <Cxls>	[0..*]		C1, C20, C22	2544
	AccountOwner <AcctOwnr>	[0..1]	±		2546
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2546
	ProcessingStatus <PrcgSts>	[0..1]	±		2547
	Cancellation <Cxl>	[1..*]			2547
	AccountOwner <AcctOwnr>	[0..1]	±		2549
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2549
	ProcessingStatus <PrcgSts>	[0..1]	±		2549
	RequestReference <ReqRef>	[1..1]	Text		2550
	StatusDate <StsDt>	[0..1]	DateTime		2550
	TransactionIdentification <TxId>	[0..1]			2550
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		2550
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		2551
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		2551
	CounterpartyMarketInfrastructureTransactionIdentification <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2551
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		2551
	PoolIdentification <PoolId>	[0..1]	Text		2551

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Underlying</b> <Undrlyg>	[0..1]			2551
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2552
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2553
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2554
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2554
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2554
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2555
	<b>SecuritiesSubBalanceIdentification</b> <ScitiesSubBalId>	[0..1]	±		2555
	<b>Priority</b> <Prty>	[0..1]	±		2555
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2555
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2556
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2557
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2557
	<b>TradeDate</b> <TradDt>	[0..1]	±		2558
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2558
	<b>DeliveringSettlementParties</b> <DlvrngSttlmPties>	[0..1]	±	C16, C17, C18, C19	2558
	<b>ReceivingSettlementParties</b> <RcvgSttlmPties>	[0..1]	±	C16, C17, C18, C19	2559
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	2560

## 75.3 Constraints

### C1 AccountOwnerRule

AccountOwner must be present or Cancellation(\*)/AccountOwner must be present, but not both.

### C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**C3 ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C4 ActivityIndicatorNoRule**

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then Cancellations must be absent.

*This constraint is defined at the MessageDefinition level.*

**C5 ActivityIndicatorYesRule**

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then Cancellations must be present.

*This constraint is defined at the MessageDefinition level.*

**C6 AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C7 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C8 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C9 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C10 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C11 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C12 DescriptionUsageRule**

Description must be used alone as the last resort.

**C13 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.



**C14 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C15 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C16 Party2PresenceRule**

If Party2 is present, then Party1 must be present.

**C17 Party3PresenceRule**

If Party3 is present, then Party2 must be present.

**C18 Party4PresenceRule**

If Party4 is present, then Party3 must be present.

**C19 Party5PresenceRule**

If Party5 is present, then Party4 must be present.

**C20 ProcessingStatusRule**

ProcessingStatus must be present or Cancellation(\*)/ProcessingStatus must be present, but not both.

**C21 ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

**C22 SafekeepingAccountRule**

SafekeepingAccount must be present or Cancellation(\*)/SafekeepingAccount must be present, but not both.

**C23 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 75.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 75.4.1 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 75.4.2 ReportGeneralDetails <RptGnDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C21 "ReportNumberRule"

**ReportGeneralDetails <RptGnDtls>** contains the following **SecuritiesTransactionReport5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		2542
	<b>QueryReference</b> <QryRef>	[0..1]	Text		2543
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		2543
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		2543
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		2543
	<b>QueryType</b> <QryTp>	[0..1]	CodeSet		2543
	<b>Frequency</b> <Frqcy>	[0..1]	±		2544
	<b>UpdateType</b> <UpdTp>	[1..1]	±		2544
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		2544

### Constraints

- **ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### 75.4.2.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see "Number3Choice" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

#### 75.4.2.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the settlement and intra-position query message sent to request this report.

*Datatype:* "Max35Text" on page 2865

#### 75.4.2.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* "Max35Text" on page 2865

#### 75.4.2.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 75.4.2.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see "Period7Choice" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

#### 75.4.2.6 QueryType <QryTp>

*Presence:* [0..1]

*Definition:* Defines the type of query.

*Datatype:* "MovementResponseType1Code" on page 2834

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

### 75.4.2.7 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see "Frequency22Choice" on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

### 75.4.2.8 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see "UpdateType15Choice" on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

### 75.4.2.9 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 75.4.3 Cancellations <Cxls>

*Presence:* [0..\*]

*Definition:* Report on the transaction cancellation requests.

*Impacted by:* C1 "AccountOwnerRule", C20 "ProcessingStatusRule", C22 "SafekeepingAccountRule"

**Cancellations <Cxl>** contains the following **SecuritiesCancellation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2546
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2546
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		2547
	<b>Cancellation</b> <Cxl>	[1..*]			2547
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2549
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2549
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		2549
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		2550
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2550
	<b>TransactionIdentification</b> <Txld>	[0..1]			2550
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxld>	[0..1]	Text		2550
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxld>	[0..1]	Text		2551
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxld>	[0..1]	Text		2551
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxld>	[0..1]	Text		2551
	<b>ProcessorTransactionIdentification</b> <PrctrTxld>	[0..1]	Text		2551
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2551
	<b>Underlying</b> <Undrlyg>	[0..1]			2551
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2552
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2553
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2554
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2554
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2554
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2555
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2555
	<b>Priority</b> <Prty>	[0..1]	±		2555
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2555

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2556
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2557
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2557
	<b>TradeDate</b> <TradDt>	[0..1]	±		2558
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2558
	<b>DeliveringSettlementParties</b> <DlvrngSttlmPties>	[0..1]	±	C16, C17, C18, C19	2558
	<b>ReceivingSettlementParties</b> <RcvngSttlmPties>	[0..1]	±	C16, C17, C18, C19	2559

#### Constraints

- **AccountOwnerRule**

AccountOwner must be present or Cancellation(\*)/AccountOwner must be present, but not both.

- **ProcessingStatusRule**

ProcessingStatus must be present or Cancellation(\*)/ProcessingStatus must be present, but not both.

- **SafekeepingAccountRule**

SafekeepingAccount must be present or Cancellation(\*)/SafekeepingAccount must be present, but not both.

#### 75.4.3.1 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner** <AcctOwnr> contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtYId>	[0..1]	±		2700

#### 75.4.3.2 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

### 75.4.3.3 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "[ProcessingStatus69Choice](#)" on page 2778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PendingCancellation <PdgCxl>	[1..1]	±		2778
Or	Rejected <Rjctd>	[1..1]	±		2778
Or	Repair <Rpr>	[1..1]	±		2779
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2779
Or	Proprietary <Prtry>	[1..1]	±		2780
Or	Denied <Dnd>	[1..1]	±		2780
Or}	Cancelled <Canc>	[1..1]	±		2780

### 75.4.3.4 Cancellation <Cxl>

*Presence:* [1..\*]

*Definition:* Identifies the individual transaction.

**Cancellation <Cxl>** contains the following **SecuritiesCancellationTransaction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]	±		2549
	<b>SafekeepingAccount</b> <SfkpgAcct>	[0..1]	±		2549
	<b>ProcessingStatus</b> <PrcgSts>	[0..1]	±		2549
	<b>RequestReference</b> <ReqRef>	[1..1]	Text		2550
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2550
	<b>TransactionIdentification</b> <TxId>	[0..1]			2550
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		2550
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2551
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2551
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2551
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		2551
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2551
	<b>Underlying</b> <Undrlyg>	[0..1]			2551
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2552
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2553
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2554
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2554
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2554
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2555
	<b>SecuritiesSubBalanceIdentification</b> <ScitiesSubBalId>	[0..1]	±		2555
	<b>Priority</b> <Prty>	[0..1]	±		2555
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2555
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2556
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2557
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2557



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TradeDate</b> <TradDt>	[0..1]	±		2558
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2558
	<b>DeliveringSettlementParties</b> <DlvrgSttlmPties>	[0..1]	±	C16, C17, C18, C19	2558
	<b>ReceivingSettlementParties</b> <RcvgSttlmPties>	[0..1]	±	C16, C17, C18, C19	2559

#### 75.4.3.4.1 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 75.4.3.4.2 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 75.4.3.4.3 ProcessingStatus <PrcgSts>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see  
"ProcessingStatus69Choice" on page 2778 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PendingCancellation <PdgCxl>	[1..1]	±		2778
Or	Rejected <Rjctd>	[1..1]	±		2778
Or	Repair <Rpr>	[1..1]	±		2779
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2779
Or	Proprietary <Prtry>	[1..1]	±		2780
Or	Denied <Dnd>	[1..1]	±		2780
Or}	Cancelled <Canc>	[1..1]	±		2780

#### 75.4.3.4.4 RequestReference <ReqRef>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the cancellation request.

*Datatype:* "Max35Text" on page 2865

#### 75.4.3.4.5 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODateTime" on page 2858

#### 75.4.3.4.6 TransactionIdentification <TxId>

*Presence:* [0..1]

*Definition:* References of the transaction for which the intra-position modification is requested.

**TransactionIdentification <TxId>** contains the following **References33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[0..1]	Text		2550
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2551
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2551
	<b>CounterpartyMarketInfrastructureTransactionIdentification</b> <CtrPtyMktInfrstrctrTxId>	[0..1]	Text		2551
	<b>ProcessorTransactionIdentification</b> <PrcrTxId>	[0..1]	Text		2551
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2551

##### 75.4.3.4.6.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### **75.4.3.4.6.2 AccountServicerTransactionIdentification <AcctSvcrTxId>**

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### **75.4.3.4.6.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **75.4.3.4.6.4 CounterpartyMarketInfrastructureTransactionIdentification <CtrPtyMktInfrstrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### **75.4.3.4.6.5 ProcessorTransactionIdentification <PrctrTxId>**

*Presence:* [0..1]

*Definition:* Identification of the transaction assigned by the processor of the instruction other than the account owner, the account servicer and the market infrastructure.

*Datatype:* "Max35Text" on page 2865

#### **75.4.3.4.6.6 PoolIdentification <PoolId>**

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### **75.4.3.4.7 Underlying <Undrlyg>**

*Presence:* [0..1]

*Definition:* Identifies additional details of the transaction.

**Underlying <Undrlyg>** contains one of the following **SettlementOrIntraPosition3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IntraPositionMovement</b> <IntraPosMvmnt>	[1..1]			2552
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2553
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2554
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2554
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2554
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2555
	<b>SecuritiesSubBalanceIdentification</b> <SciesSubBalId>	[0..1]	±		2555
	<b>Priority</b> <Prty>	[0..1]	±		2555
Or}	<b>SettlementTransaction</b> <SttlmTx>	[1..1]			2555
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2556
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2557
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2557
	<b>TradeDate</b> <TradDt>	[0..1]	±		2558
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2558
	<b>DeliveringSettlementParties</b> <DlvrGSttlmPties>	[0..1]	±	C16, C17, C18, C19	2558
	<b>ReceivingSettlementParties</b> <RcvGSttlmPties>	[0..1]	±	C16, C17, C18, C19	2559

#### 75.4.3.4.7.1 IntraPositionMovement <IntraPosMvmnt>

*Presence:* [1..1]

*Definition:* Specifies the requested intra-position movement details.

**IntraPositionMovement** <IntraPosMvmnt> contains the following **IntraPosition6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2553
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2554
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2554
	<b>BalanceFrom</b> <BalFr>	[0..1]	±		2554
	<b>BalanceTo</b> <BalTo>	[0..1]	±		2555
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2555
	<b>Priority</b> <Prty>	[0..1]	±		2555

#### 75.4.3.4.7.1.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C13 "ISINGuideline", C14 "ISINPresenceRule", C15 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification** <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
 /ISIN is absent  
 Following Must be True  
 /OtherIdentification[\*] Must be present  
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
 /OtherIdentification[\*] is absent  
 Following Must be True  
 /ISIN Must be present  
 Or /Description Must be present

#### 75.4.3.4.7.1.2 SettlementQuantity <SttlmQty>

*Presence:* [1..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 75.4.3.4.7.1.3 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are to be moved.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 75.4.3.4.7.1.4 BalanceFrom <BalFr>

*Presence:* [0..1]

*Definition:* Balance from which the securities are moving.

**BalanceFrom <BalFr>** contains the following elements (see  
"SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdn>	[0..*]	±		2600

#### 75.4.3.4.7.1.5 BalanceTo <BalTo>

*Presence:* [0..1]

*Definition:* Balance to which the securities are moving.

**BalanceTo <BalTo>** contains the following elements (see  
"SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdn>	[0..*]	±		2600

#### 75.4.3.4.7.1.6 SecuritiesSubBalanceIdentification <SctiesSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**SecuritiesSubBalanceIdentification <SctiesSubBalId>** contains the following elements (see  
"GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 75.4.3.4.7.1.7 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 75.4.3.4.7.2 SettlementTransaction <SttlmTx>

*Presence:* [1..1]

*Definition:* Specifies the requested settlement transaction details.

**SettlementTransaction <SttlmTx>** contains the following **TransactionDetails126** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C11, C12, C13, C14, C15	2556
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2557
	<b>SettlementAmount</b> <SttlmAmt>	[0..1]	±		2557
	<b>TradeDate</b> <TradDt>	[0..1]	±		2558
	<b>SettlementDate</b> <SttlmDt>	[1..1]	±		2558
	<b>DeliveringSettlementParties</b> <DlvrgSttlmPties>	[0..1]	±	C16, C17, C18, C19	2558
	<b>ReceivingSettlementParties</b> <RcvgSttlmPties>	[0..1]	±	C16, C17, C18, C19	2559

#### 75.4.3.4.7.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C13 "ISINGuideline", C14 "ISINPresenceRule", C15 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition  
/Description is absent  
Following Must be True



/ISIN Must be present  
Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
/ISIN is absent  
Following Must be True  
/OtherIdentification[\*] Must be present  
Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
/OtherIdentification[\*] is absent  
Following Must be True  
/ISIN Must be present  
Or /Description Must be present

#### 75.4.3.4.7.2.2 SettlementQuantity <SttlmQty>

*Presence:* [1..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following elements (see "[Quantity6Choice](#)" on page 2630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		2630
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		2631

#### 75.4.3.4.7.2.3 SettlementAmount <SttlmAmt>

*Presence:* [0..1]

*Definition:* Total amount of money to be paid or received in exchange for the securities.

**SettlementAmount <SttlmAmt>** contains the following elements (see "[AmountAndDirection51](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2593
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		2593
	OriginalCurrencyAndOrderedAmount <OrgnlCcyAndOrdrdAmt>	[0..1]	Amount	C1, C5	2593

#### 75.4.3.4.7.2.4 TradeDate <TradDt>

*Presence:* [0..1]

*Definition:* Specifies the date/time on which the trade was executed.

**TradeDate <TradDt>** contains one of the following elements (see "[TradeDate8Choice](#)" on page 2602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		2603
Or}	DateCode <DtCd>	[1..1]			2603
{Or	Code <Cd>	[1..1]	CodeSet		2603
Or}	Proprietary <Prtry>	[1..1]	±		2603

#### 75.4.3.4.7.2.5 SettlementDate <SttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are to be delivered or received.

**SettlementDate <SttlmDt>** contains one of the following elements (see "[SettlementDate17Choice](#)" on page 2604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		2604
Or}	DateCode <DtCd>	[1..1]	±		2604

#### 75.4.3.4.7.2.6 DeliveringSettlementParties <DlvrgSttlmPties>

*Presence:* [0..1]

*Definition:* Identifies the chain of delivering settlement parties.

*Impacted by:* [C16 "Party2PresenceRule"](#), [C17 "Party3PresenceRule"](#), [C18 "Party4PresenceRule"](#), [C19 "Party5PresenceRule"](#)

**DeliveringSettlementParties <DlvrgSttlmPties>** contains the following elements (see "[SettlementParties78](#)" on page 2727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2728
	Party1 <Pty1>	[0..1]	±		2728
	Party2 <Pty2>	[0..1]	±		2729
	Party3 <Pty3>	[0..1]	±		2729
	Party4 <Pty4>	[0..1]	±		2729
	Party5 <Pty5>	[0..1]	±		2730

## Constraints

### • Party2PresenceRule

If Party2 is present, then Party1 must be present.

On Condition  
/Party2 is present  
Following Must be True  
/Party1 Must be present

### • Party3PresenceRule

If Party3 is present, then Party2 must be present.

On Condition  
/Party3 is present  
Following Must be True  
/Party2 Must be present

### • Party4PresenceRule

If Party4 is present, then Party3 must be present.

On Condition  
/Party4 is present  
Following Must be True  
/Party3 Must be present

### • Party5PresenceRule

If Party5 is present, then Party4 must be present.

On Condition  
/Party5 is present  
Following Must be True  
/Party4 Must be present

## 75.4.3.4.7.2.7 ReceivingSettlementParties <RcvgSttlmPties>

*Presence:* [0..1]

*Definition:* Identifies the chain of receiving settlement parties.

*Impacted by:* C16 "Party2PresenceRule", C17 "Party3PresenceRule", C18 "Party4PresenceRule", C19 "Party5PresenceRule"

**ReceivingSettlementParties <RcvgSttlmPties>** contains the following elements (see "SettlementParties78" on page 2727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2728
	Party1 <Pty1>	[0..1]	±		2728
	Party2 <Pty2>	[0..1]	±		2729
	Party3 <Pty3>	[0..1]	±		2729
	Party4 <Pty4>	[0..1]	±		2729
	Party5 <Pty5>	[0..1]	±		2730

Constraints

- Party2PresenceRule**  
If Party2 is present, then Party1 must be present.  
On Condition  
    /Party2 is present  
Following Must be True  
    /Party1 Must be present
- Party3PresenceRule**  
If Party3 is present, then Party2 must be present.  
On Condition  
    /Party3 is present  
Following Must be True  
    /Party2 Must be present
- Party4PresenceRule**  
If Party4 is present, then Party3 must be present.  
On Condition  
    /Party4 is present  
Following Must be True  
    /Party3 Must be present
- Party5PresenceRule**  
If Party5 is present, then Party4 must be present.  
On Condition  
    /Party5 is present  
Following Must be True  
    /Party4 Must be present

75.4.4      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C23 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

Constraints

- SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **76      semt.034.001.01**

## **IntraPositionMovementPendingReportV01**

### **76.1    MessageDefinition Functionality**

This IntraPositionMovementPendingReport message is sent from a settlement infrastructure to an account owner/request to report the intra-position movement instructions, previously sent by the account owner, that have a pending status.

The message may also be used to:

- re-send a message sent by the account owner to the account servicer (the sub-function of the message is "Duplicate")
- provide a third party with a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy")
- re-send to a third party a copy of a message being sent by the account owner for information (the sub-function of the message is "Copy Duplicate").

#### **Outline**

The IntraPositionMovementPendingReportV01 MessageDefinition is composed of 5 MessageBuildingBlocks:

- A. **Pagination**  
    Pagination of the message.
- B. **ReportGeneralDetails**  
    General characteristics related to the report information.
- C. **AccountOwner**  
    Party that owns the account.
- D. **SafekeepingAccount**  
    Account to or from which a securities entry is made.
- E. **Movements**  
    Identifies the transactions.

## 76.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <IntraPosMvmntPdgRpt>	[1..1]		C2, C3	
	Pagination <Pgntn>	[1..1]	±		2566
	ReportGeneralDetails <RptGnlDtls>	[1..1]		C17	2566
	ReportNumber <RptNb>	[0..1]	±		2566
	QueryReference <QryRef>	[0..1]	Text		2567
	ReportIdentification <RptId>	[0..1]	Text		2567
	ReportDateTime <RptDtTm>	[0..1]	±		2567
	ReportPeriod <RptPrd>	[0..1]	±		2567
	Frequency <Frqcy>	[0..1]	±		2567
	UpdateType <UpdTp>	[1..1]	±		2568
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		2568
	AccountOwner <AcctOwnr>	[0..1]	±		2568
	SafekeepingAccount <SfkpgAcct>	[1..1]	±		2568
	Movements <Mvmnts>	[0..*]		C19	2569
	StatusAndReason <StsAndRsn>	[0..1]	±		2571
	Movement <Mvmnt>	[1..*]		C13	2571
	StatusAndReason <StsAndRsn>	[0..1]	±		2573
	AccountOwnerTransactionIdentification <AcctOwnrTxld>	[1..1]	Text		2573
	AccountServicerTransactionIdentification <AcctSvcrTxld>	[0..1]	Text		2573
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxld>	[0..1]	Text		2573
	ProcessorTransactionIdentification <PrctrTxld>	[0..1]	Text		2574
	CorporateActionEventIdentification <CorpActnEvtld>	[0..1]	Text		2574
	PoolIdentification <PoolId>	[0..1]	Text		2574
	BalanceFrom <BalFr>	[1..1]	±		2574
	BalanceTo <BalTo>	[1..1]	±		2574
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C8, C9, C11, C12, C14	2574
	FinancialInstrumentAttributes <FinInstrmAttrbts>	[0..1]	±	C4, C16	2576
	SettlementQuantity <SttlmQty>	[1..1]	±		2578

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2578
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2579
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2579
	<b>Linkages</b> <Lnkgs>	[0..*]			2579
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C21	2580
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C18	2580
	<b>Reference</b> <Ref>	[1..1]			2580
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2581
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2581
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2581
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2581
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2581
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2582
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2582
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2582
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2582
	<b>Priority</b> <Prty>	[0..1]	±		2582
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C10	2583
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2583
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2583
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		2583
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C20	2584

## 76.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 ActivityIndicatorNoRule

If ReportGeneralDetails/ActivityIndicator is equal to No (false or 0) then Movements must be absent.

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*This constraint is defined at the MessageDefinition level.*

**C3 ActivityIndicatorYesRule**

If ReportGeneralDetails/ActivityIndicator is equal to Yes (true or 1) then Movements must be present.

*This constraint is defined at the MessageDefinition level.*

**C4 AdditionalDetailsRule**

Unless bilaterally agreed between the Sender and Receiver, if FinancialInstrumentAttributeAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

**C5 AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C6 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C7 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C8 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C9 DescriptionUsageRule**

Description must be used alone as the last resort.

**C10 EventTypeRule**

The use of a specific corporate action event type code is allowed only if this specific event triggers a securities movement as per the Event Interpretation Grid published by the Securities Market Practice Group (SMPG).

The corporate action event indicator code OTHR must only be used in case no other corporate action event code is appropriate.

The corporate action event indicator code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

The corporate action event indicator code REDM must only be used when the redemption is decided by the issuer and not by the holders.

**C11 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C12 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.



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**C13 NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

**C14 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C15 PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

**C16 PlaceOfListingRule**

If PlaceOfListing is used and an ISO 10383 MIC exists for the market to be identified, the ISO 10383 Market Identifier Code (MIC) must be used.

**C17 ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

**C18 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

**C19 StatusAndReasonRule**

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

**C20 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

**C21 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 76.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

## 76.4.1 Pagination <Pgntn>

*Presence:* [1..1]

*Definition:* Pagination of the message.

**Pagination <Pgntn>** contains the following elements (see "Pagination1" on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

## 76.4.2 ReportGeneralDetails <RptGnIDtls>

*Presence:* [1..1]

*Definition:* General characteristics related to the report information.

*Impacted by:* C17 "ReportNumberRule"

**ReportGeneralDetails <RptGnIDtls>** contains the following **IntraPositionReport7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReportNumber</b> <RptNb>	[0..1]	±		2566
	<b>QueryReference</b> <QryRef>	[0..1]	Text		2567
	<b>ReportIdentification</b> <RptId>	[0..1]	Text		2567
	<b>ReportDateTime</b> <RptDtTm>	[0..1]	±		2567
	<b>ReportPeriod</b> <RptPrd>	[0..1]	±		2567
	<b>Frequency</b> <Frqcy>	[0..1]	±		2567
	<b>UpdateType</b> <UpdTp>	[1..1]	±		2568
	<b>ActivityIndicator</b> <ActvtyInd>	[1..1]	Indicator		2568

### Constraints

- **ReportNumberRule**

If Long number (Exact5NumericTest) is used, then the report must be a delta reports (UpdateType: DELT).

If the report has multiple pages, then the ReportNumber must remain the same through all the pages. It is a unique number to the report.

Two reports of the same type sent one after the other to the same receiver in relation to the same safekeeping account must have different ReportNumbers. For example, a daily report sent on day 1 would have ReportNumber 001, on day 2, 002, etc.

### 76.4.2.1 ReportNumber <RptNb>

*Presence:* [0..1]

*Definition:* Sequential number of the report.

**ReportNumber <RptNb>** contains one of the following elements (see ["Number3Choice"](#) on page 2642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

#### 76.4.2.2 QueryReference <QryRef>

*Presence:* [0..1]

*Definition:* Identification of the query message sent to request this statement.

*Datatype:* ["Max35Text"](#) on page 2865

#### 76.4.2.3 ReportIdentification <RptId>

*Presence:* [0..1]

*Definition:* Reference common to all pages of a statement.

*Datatype:* ["Max35Text"](#) on page 2865

#### 76.4.2.4 ReportDateTime <RptDtTm>

*Presence:* [0..1]

*Definition:* Date and time when the report was created.

**ReportDateTime <RptDtTm>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 76.4.2.5 ReportPeriod <RptPrd>

*Presence:* [0..1]

*Definition:* Period for the statement.

**ReportPeriod <RptPrd>** contains one of the following elements (see ["Period7Choice"](#) on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

#### 76.4.2.6 Frequency <Frqcy>

*Presence:* [0..1]

*Definition:* Frequency of the statement.

**Frequency <Frqcy>** contains one of the following elements (see "Frequency22Choice" on page 2632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

#### 76.4.2.7 UpdateType <UpdTp>

*Presence:* [1..1]

*Definition:* Indicates whether the statement is complete or contains changes only.

**UpdateType <UpdTp>** contains one of the following elements (see "UpdateType15Choice" on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

#### 76.4.2.8 ActivityIndicator <ActvtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether there is activity or information update reported in the statement.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 76.4.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that owns the account.

**AccountOwner <AcctOwnr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

### 76.4.4 SafekeepingAccount <SfkpgAcct>

*Presence:* [1..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "SecuritiesAccount19" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

## 76.4.5 Movements <Mvmnts>

*Presence:* [0..\*]

*Definition:* Identifies the transactions.

*Impacted by:* C19 "StatusAndReasonRule"

**Movements <Mvmnts>** contains the following **IntraPositionPending11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]	±		2571
	<b>Movement</b> <Mvmnt>	[1..*]		C13	2571
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]	±		2573
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		2573
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2573
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2573
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		2574
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		2574
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2574
	<b>BalanceFrom</b> <BalFr>	[1..1]	±		2574
	<b>BalanceTo</b> <BalTo>	[1..1]	±		2574
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C8, C9, C11, C12, C14	2574
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..1]	±	C4, C16	2576
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2578
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2578
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2579
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2579
	<b>Linkages</b> <Lnkgs>	[0..*]			2579
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C21	2580
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C18	2580
	<b>Reference</b> <Ref>	[1..1]			2580
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2581
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2581
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2581
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2581
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2581

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2582
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2582
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2582
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalId>	[0..1]	±		2582
	<b>Priority</b> <Prty>	[0..1]	±		2582
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C10	2583
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2583
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2583
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrccAddtlDtls>	[0..1]	Text		2583
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C20	2584

#### Constraints

- **StatusAndReasonRule**

StatusAndReason must be present or Movement(\*)/StatusAndReason must be present, but not both.

#### 76.4.5.1 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following elements (see ["PendingStatusAndReason4"](#) on page 2730 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProcessingStatus <PrccSts>	[0..*]	±		2730
	SettlementStatus <SttlmSts>	[0..*]	±	C15	2731

#### 76.4.5.2 Movement <Mvmnt>

*Presence:* [1..\*]

*Definition:* Identifies the individual transaction.

*Impacted by:* [C13 "NoAccountOwnerTransactionIdentificationRule"](#)

**Movement <Mvmnt>** contains the following **IntraPositionPending12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StatusAndReason</b> <StsAndRsn>	[0..1]	±		2573
	<b>AccountOwnerTransactionIdentification</b> <AcctOwnrTxId>	[1..1]	Text		2573
	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[0..1]	Text		2573
	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[0..1]	Text		2573
	<b>ProcessorTransactionIdentification</b> <PrctrTxId>	[0..1]	Text		2574
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		2574
	<b>PoolIdentification</b> <PoolId>	[0..1]	Text		2574
	<b>BalanceFrom</b> <BalFr>	[1..1]	±		2574
	<b>BalanceTo</b> <BalTo>	[1..1]	±		2574
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C8, C9, C11, C12, C14	2574
	<b>FinancialInstrumentAttributes</b> <FinInstrmAttrbts>	[0..1]	±	C4, C16	2576
	<b>SettlementQuantity</b> <SttlmQty>	[1..1]	±		2578
	<b>IntendedSettlementDate</b> <IntnddSttlmDt>	[1..1]	±		2578
	<b>StatusDate</b> <StsDt>	[0..1]	DateTime		2579
	<b>AcknowledgedStatusTimeStamp</b> <AckdStsTmStmp>	[0..1]	DateTime		2579
	<b>Linkages</b> <Lnkgs>	[0..*]			2579
	<b>ProcessingPosition</b> <PrccgPos>	[0..1]	±	C21	2580
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C18	2580
	<b>Reference</b> <Ref>	[1..1]			2580
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <ScetiesSttlmTxId>	[1..1]	Text		2581
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2581
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2581
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2581
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2581
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2582
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2582



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2582
	<b>SecuritiesSubBalanceIdentification</b> <SctiesSubBalld>	[0..1]	±		2582
	<b>Priority</b> <Prty>	[0..1]	±		2582
	<b>CorporateActionEventType</b> <CorpActnEvtTp>	[0..1]	±	C10	2583
	<b>MessageOriginator</b> <MsgOrgtr>	[0..1]	±		2583
	<b>CreationDateTime</b> <CreDtTm>	[1..1]	DateTime		2583
	<b>InstructionProcessingAdditionalDetails</b> <InstrPrcgAddtlDtls>	[0..1]	Text		2583
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C20	2584

#### Constraints

- **NoAccountOwnerTransactionIdentificationRule**

If no reference is available for the AccountOwnerTransactionIdentification, for example, the transaction was sent by fax, then the AccountOwnerTransactionIdentification must be NONREF.

#### 76.4.5.2.1 StatusAndReason <StsAndRsn>

*Presence:* [0..1]

*Definition:* Status and status reason of the transaction.

**StatusAndReason <StsAndRsn>** contains the following elements (see ["PendingStatusAndReason4"](#) on page 2730 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProcessingStatus <PrcgSts>	[0..*]	±		2730
	SettlementStatus <SttlmSts>	[0..*]	±	C15	2731

#### 76.4.5.2.2 AccountOwnerTransactionIdentification <AcctOwnrTxld>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* ["Max35Text"](#) on page 2865

#### 76.4.5.2.3 AccountServicerTransactionIdentification <AcctSvcrTxld>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* ["Max35Text"](#) on page 2865

#### 76.4.5.2.4 MarketInfrastructureTransactionIdentification <MktlnfrstrctrTxld>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.5 ProcessorTransactionIdentification <PcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.6 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.7 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.8 BalanceFrom <BalFr>

*Presence:* [1..1]

*Definition:* Balance from which the securities are moving.

**BalanceFrom <BalFr>** contains the following elements (see "SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdw>	[0..*]	±		2600

#### 76.4.5.2.9 BalanceTo <BalTo>

*Presence:* [1..1]

*Definition:* Balance to which the securities are moving.

**BalanceTo <BalTo>** contains the following elements (see "SecuritiesSubBalanceTypeAndQuantityBreakdown3" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdw>	[0..*]	±		2600

#### 76.4.5.2.10 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

**Definition:** Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

**Impacted by:** C8 "DescriptionPresenceRule", C9 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C14 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <OthrId>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

---

#### **76.4.5.2.11 FinancialInstrumentAttributes <FinInstrmAttrbts>**

*Presence:* [0..1]

*Definition:* Elements characterising a financial instrument.

*Impacted by:* C4 "AdditionalDetailsRule", C16 "PlaceOfListingRule"

**FinancialInstrumentAttributes <FinInstrmAttrbts>** contains the following elements (see "FinancialInstrumentAttributes92" on page 2616 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfListing <PlcOfListg>	[0..1]	±		2618
	DayCountBasis <DayCntBsis>	[0..1]	±		2618
	RegistrationForm <RegnForm>	[0..1]	±		2619
	PaymentFrequency <PmtFrqcy>	[0..1]	±		2619
	PaymentStatus <PmtSts>	[0..1]	±		2619
	VariableRateChangeFrequency <VarblRateChngFrqcy>	[0..1]	±		2619
	ClassificationType <ClssfctnTp>	[0..1]	±		2620
	OptionStyle <OptnStyle>	[0..1]	±		2620
	OptionType <OptnTp>	[0..1]	±		2620
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C1	2620
	CouponDate <CpnDt>	[0..1]	Date		2621
	ExpiryDate <XpryDt>	[0..1]	Date		2621
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		2621
	MaturityDate <MtrtyDt>	[0..1]	Date		2621
	IssueDate <IssDt>	[0..1]	Date		2621
	NextCallableDate <NxtCllblDt>	[0..1]	Date		2621
	PutableDate <PutblDt>	[0..1]	Date		2622
	DatedDate <DtdDt>	[0..1]	Date		2622
	FirstPaymentDate <FrstPmtDt>	[0..1]	Date		2622
	PreviousFactor <PrvsFctr>	[0..1]	Rate		2622
	CurrentFactor <CurFctr>	[0..1]	Rate		2622
	NextFactor <NxtFctr>	[0..1]	Rate		2622
	InterestRate <IntrstRate>	[0..1]	Rate		2622
	YieldToMaturityRate <YldToMtrtyRate>	[0..1]	Rate		2622
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		2623
	IndexRateBasis <IndxRateBsis>	[0..1]	Rate		2623
	CouponAttachedNumber <CpnAttchdNb>	[0..1]	±		2623
	PoolNumber <PoolNb>	[0..1]	±		2623
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2623
	VariableRateIndicator <VarblRateInd>	[0..1]	Indicator		2624

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CallableIndicator <CllblInd>	[0..1]	Indicator		2624
	PutableIndicator <PutblInd>	[0..1]	Indicator		2624
	MarketOrIndicativePrice <MktOrIndctvPric>	[0..1]	±		2624
	ExercisePrice <ExrcPric>	[0..1]	±		2625
	SubscriptionPrice <SbcptPric>	[0..1]	±		2625
	ConversionPrice <ConvsPric>	[0..1]	±		2625
	StrikePrice <StrkPric>	[0..1]	±		2625
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		2626
	ContractSize <CtrctSz>	[0..1]	±		2626
	UnderlyingFinancialInstrumentIdentification <UndrlygFinInstrmId>	[0..*]	±	C3, C4, C5, C6, C7	2626
	FinancialInstrumentAttributeAdditionalDetails <FinInstrmAttrAddtlDtls>	[0..1]	Text		2627

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the Sender and Receiver, if FinancialInstrumentAttributeAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **PlaceOfListingRule**

If PlaceOfListing is used and an ISO 10383 MIC exists for the market to be identified, the ISO 10383 Market Identifier Code (MIC) must be used.

#### 76.4.5.2.12 SettlementQuantity <SttlmQty>

*Presence:* [1..1]

*Definition:* Total quantity of securities to be settled.

**SettlementQuantity <SttlmQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsVal>	[1..1]	Amount		2630

#### 76.4.5.2.13 IntendedSettlementDate <IntnddSttlmDt>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are intended to be moved.

**IntendedSettlementDate <IntnddSttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 76.4.5.2.14 StatusDate <StsDt>

*Presence:* [0..1]

*Definition:* Date and time at which the status was assigned.

*Datatype:* "ISODatetime" on page 2858

#### 76.4.5.2.15 AcknowledgedStatusTimeStamp <AckdStsTmStmp>

*Presence:* [0..1]

*Definition:* Time stamp on when the transaction is acknowledged.

*Datatype:* "ISODatetime" on page 2858

#### 76.4.5.2.16 Linkages <Lnkgs>

*Presence:* [0..\*]

*Definition:* Link to another transaction that must be processed after, before or at the same time.

**Linkages <Lnkgs>** contains the following **Linkages57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessingPosition</b> <PrcgPos>	[0..1]	±	C21	2580
	<b>MessageNumber</b> <MsgNb>	[0..1]	±	C18	2580
	<b>Reference</b> <Ref>	[1..1]			2580
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2581
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2581
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2581
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2581
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfstrctrTxId>	[1..1]	Text		2581
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2582
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2582
	<b>ReferenceOwner</b> <RefOwnr>	[0..1]	±		2582

#### 76.4.5.2.16.1 ProcessingPosition <PrcgPos>

*Presence:* [0..1]

*Definition:* When the transaction is to be executed relative to a linked transaction.

*Impacted by:* C21 "WithLinkageRule"

**ProcessingPosition <PrcgPos>** contains one of the following elements (see "ProcessingPosition7Choice" on page 2672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

##### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 76.4.5.2.16.2 MessageNumber <MsgNb>

*Presence:* [0..1]

*Definition:* Message type number/message identifier of the message referenced in the linkage sequence.

*Impacted by:* C18 "ShortLongNumberRule"

**MessageNumber <MsgNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 2607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

##### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 76.4.5.2.16.3 Reference <Ref>

*Presence:* [1..1]

*Definition:* Reference to the linked transaction.



**Reference <Ref>** contains one of the following **References34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesSettlementTransactionIdentification</b> <SctiesSttlmTxId>	[1..1]	Text		2581
Or	<b>IntraPositionMovementIdentification</b> <IntraPosMvmntId>	[1..1]	Text		2581
Or	<b>IntraBalanceMovementIdentification</b> <IntraBalMvmntId>	[1..1]	Text		2581
Or	<b>AccountServicerTransactionIdentification</b> <AcctSvcrTxId>	[1..1]	Text		2581
Or	<b>MarketInfrastructureTransactionIdentification</b> <MktInfrstrctrTxId>	[1..1]	Text		2581
Or	<b>PoolIdentification</b> <PoolId>	[1..1]	Text		2582
Or}	<b>OtherTransactionIdentification</b> <OthrTxId>	[1..1]	Text		2582

#### 76.4.5.2.16.3.1 SecuritiesSettlementTransactionIdentification <SctiesSttlmTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of a securities settlement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.3.2 IntraPositionMovementIdentification <IntraPosMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-position movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.3.3 IntraBalanceMovementIdentification <IntraBalMvmntId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the intra-balance movement transaction as known by the account owner (or instructing party acting on its behalf).

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.3.4 AccountServicerTransactionIdentification <AcctSvcrTxId>

*Presence:* [1..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.3.5 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.3.6 PoolIdIdentification <PoolId>

*Presence:* [1..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.3.7 OtherTransactionIdentification <OthrTxId>

*Presence:* [1..1]

*Definition:* Identification of a transaction that cannot be identified using a standard reference element present in the message.

*Datatype:* "Max35Text" on page 2865

#### 76.4.5.2.16.4 ReferenceOwner <RefOwnr>

*Presence:* [0..1]

*Definition:* Party responsible for generating the reference.

**ReferenceOwner <RefOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 2686 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

#### 76.4.5.2.17 SecuritiesSubBalanceIdentification <SctiesSubBalId>

*Presence:* [0..1]

*Definition:* Number identifying a lot constituting the sub-balance.

**SecuritiesSubBalanceIdentification <SctiesSubBalId>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 76.4.5.2.18 Priority <Prty>

*Presence:* [0..1]

*Definition:* Specifies whether the transaction is to be executed with a high priority.

**Priority <Prty>** contains one of the following elements (see "PriorityNumeric4Choice" on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 76.4.5.2.19 CorporateActionEventType <CorpActnEvtTp>

*Presence:* [0..1]

*Definition:* Specifies the type of corporate event.

*Impacted by:* C10 "EventTypeRule"

**CorporateActionEventType <CorpActnEvtTp>** contains one of the following elements (see "CorporateActionEventType56Choice" on page 2646 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2647
Or}	Proprietary <Prtry>	[1..1]	±		2653

#### Constraints

- **EventTypeRule**

The use of a specific corporate action event type code is allowed only if this specific event triggers a securities movement as per the Event Interpretation Grid published by the Securities Market Practice Group (SMPG).

The corporate action event indicator code OTHR must only be used in case no other corporate action event code is appropriate.

The corporate action event indicator code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

The corporate action event indicator code REDM must only be used when the redemption is decided by the issuer and not by the holders.

#### 76.4.5.2.20 MessageOriginator <MsgOrgtr>

*Presence:* [0..1]

*Definition:* Party that originated the message, if other than the sender.

**MessageOriginator <MsgOrgtr>** contains the following elements (see "SystemPartyIdentification8" on page 2699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		2700

#### 76.4.5.2.21 CreationDateTime <CreDtTm>

*Presence:* [1..1]

*Definition:* Specifies the creation date/time of the intra-position movement.

*Datatype:* "ISODatetime" on page 2858

#### 76.4.5.2.22 InstructionProcessingAdditionalDetails <InstrPrcgAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional settlement processing information which can not be included within the structured fields of the message.

*Datatype:* "Max350Text" on page 2865

#### 76.4.5.2.23 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C20 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 2666 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 77 Message Items Types

## 77.1 MessageComponents

### 77.1.1 Account

#### 77.1.1.1 CashAccount38

*Definition:* Provides the details to identify an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2585
	Type <Tp>	[0..1]	±		2585
	Currency <Ccy>	[0..1]	CodeSet	C1	2585
	Name <Nm>	[0..1]	Text		2586
	Proxy <Prxy>	[0..1]	±		2586

##### 77.1.1.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.

**Identification <Id>** contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

##### 77.1.1.1.2 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the nature, or use of the account.

**Type <Tp>** contains one of the following elements (see "[CashAccountType2Choice](#)" on page 2587 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2588

##### 77.1.1.1.3 Currency <Ccy>

*Presence:* [0..1]

*Definition:* Identification of the currency in which the account is held.

Usage: Currency should only be used in case one and the same account number covers several currencies

and the initiating party needs to identify which currency needs to be used for settlement on the account.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

**Constraints**

- **ActiveOrHistoricCurrency**  
The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**77.1.1.1.4 Name <Nm>**

*Presence:* [0..1]

*Definition:* Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

*Datatype:* "Max70Text" on page 2867

**77.1.1.1.5 Proxy <Prxy>**

*Presence:* [0..1]

*Definition:* Specifies an alternate assumed name for the identification of the account.

**Proxy <Prxy>** contains the following elements (see "ProxyAccountIdentification1" on page 2586 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			2587
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2587
	Identification <Id>	[1..1]	Text		2587

**77.1.1.2 ProxyAccountIdentification1**

*Definition:* Information related to a proxy identification of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			2587
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2587
	Identification <Id>	[1..1]	Text		2587

#### 77.1.1.2.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of the proxy identification.

**Type <Tp>** contains one of the following **ProxyAccountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2587

##### 77.1.1.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalProxyAccountType1Code" on page 2819

##### 77.1.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 2865

##### 77.1.1.2.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification used to indicate the account identification under another specified name.

*Datatype:* "Max2048Text" on page 2864

#### 77.1.1.3 CashAccountType2Choice

*Definition:* Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2588

##### 77.1.1.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Account type, in a coded form.

*Datatype:* "ExternalCashAccountType1Code" on page 2815

#### 77.1.1.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Nature or use of the account in a proprietary form.

*Datatype:* "Max35Text" on page 2865

#### 77.1.1.4 GenericAccountIdentification1

*Definition:* Information related to a generic account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2588
	SchemeName <SchmeNm>	[0..1]			2588
{Or	Code <Cd>	[1..1]	CodeSet		2588
Or}	Proprietary <Prtry>	[1..1]	Text		2588
	Issuer <Issr>	[0..1]	Text		2589

##### 77.1.1.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max34Text" on page 2865

##### 77.1.1.4.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **AccountSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2588
Or}	Proprietary <Prtry>	[1..1]	Text		2588

##### 77.1.1.4.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalAccountIdentification1Code" on page 2815

##### 77.1.1.4.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.



*Datatype:* "Max35Text" on page 2865

#### 77.1.1.4.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.1.5 CashAccount40

*Definition:* Provides the details to identify an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		2589
	Type <Tp>	[0..1]	±		2589
	Currency <Ccy>	[0..1]	CodeSet	C1	2590
	Name <Nm>	[0..1]	Text		2590
	Proxy <Prxy>	[0..1]	±		2590

##### Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True  
 /Identification Must be present  
 Or /Proxy Must be present

##### 77.1.1.5.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.

**Identification <Id>** contains one of the following elements (see "AccountIdentification4Choice" on page 2592 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

##### 77.1.1.5.2 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the nature, or use of the account.

**Type <Tp>** contains one of the following elements (see "[CashAccountType2Choice](#)" on page 2587 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2588

### 77.1.1.5.3 Currency <Ccy>

*Presence:* [0..1]

*Definition:* Identification of the currency in which the account is held.

Usage: Currency should only be used in case one and the same account number covers several currencies

and the initiating party needs to identify which currency needs to be used for settlement on the account.

*Impacted by:* [C1 "ActiveOrHistoricCurrency"](#)

*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 2798

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 77.1.1.5.4 Name <Nm>

*Presence:* [0..1]

*Definition:* Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

*Datatype:* ["Max70Text"](#) on page 2867

### 77.1.1.5.5 Proxy <Prxy>

*Presence:* [0..1]

*Definition:* Specifies an alternate assumed name for the identification of the account.

**Proxy <Prxy>** contains the following elements (see "[ProxyAccountIdentification1](#)" on page 2586 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			2587
{Or	Code <Cd>	[1..1]	CodeSet		2587
Or}	Proprietary <Prtry>	[1..1]	Text		2587
	Identification <Id>	[1..1]	Text		2587

## 77.1.2 Account Identification

### 77.1.2.1 SecuritiesAccount19

*Definition:* Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 77.1.2.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unambiguous identification for the account between the account owner and the account servicer.

*Datatype:* "[Max35Text](#)" on page 2865

#### 77.1.2.1.2 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type of securities account.

**Type <Tp>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.2.1.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Description of the account.

*Datatype:* "[Max70Text](#)" on page 2867

## 77.1.2.2 AccountIdentification4Choice

*Definition:* Specifies the unique identification of an account as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C2	2592
Or}	Other <Othr>	[1..1]	±		2592

### 77.1.2.2.1 IBAN <IBAN>

*Presence:* [1..1]

*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

*Impacted by:* C2 "IBAN"

*Datatype:* "IBAN2007Identifier" on page 2859

#### Constraints

- IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

### 77.1.2.2.2 Other <Othr>

*Presence:* [1..1]

*Definition:* Unique identification of an account, as assigned by the account servicer, using an identification scheme.

**Other <Othr>** contains the following elements (see "GenericAccountIdentification1" on page 2588 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2588
	SchemeName <SchmeNm>	[0..1]			2588
{Or	Code <Cd>	[1..1]	CodeSet		2588
Or}	Proprietary <Prtry>	[1..1]	Text		2588
	Issuer <Issr>	[0..1]	Text		2589

## 77.1.3 Amount

### 77.1.3.1 AmountAndDirection51

*Definition:* Amount of money debited or credited on the books of an account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2593
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		2593
	OriginalCurrencyAndOrderedAmount <OrgnlCcyAndOrdrdAmt>	[0..1]	Amount	C1, C5	2593

#### 77.1.3.1.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money in the cash entry.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 77.1.3.1.2 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Indicates whether an entry is a credit or a debit.

*Datatype:* "CreditDebitCode" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 77.1.3.1.3 OriginalCurrencyAndOrderedAmount <OrgnlCcyAndOrdrdAmt>

*Presence:* [0..1]

*Definition:* Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

*Impacted by:* C1 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2795

## Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 77.1.3.2 Amount2Choice

*Definition:* Choice between an amount with or without the currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountWithoutCurrency <AmtWthtCcy>	[1..1]	Amount		2594
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C1, C4	2594

#### 77.1.3.2.1 AmountWithoutCurrency <AmtWthtCcy>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

#### 77.1.3.2.2 AmountWithCurrency <AmtWthCcy>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency where the unit of currency is explicit.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

## Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 77.1.3.3 AmountAndDirection5

*Definition:* Amount of money debited or credited on the books of an account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C4	2595
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2595

#### 77.1.3.3.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of money that is debited or credited.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 77.1.3.3.2 CreditDebit <CdtDbt>

*Presence:* [0..1]

*Definition:* Indicates if the amount is a debited or a credited.

*Datatype:* "CreditDebitCode" on page 2812

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

## 77.1.4 Amount Range

### 77.1.4.1 ImpliedCurrencyAmountRange1Choice

*Definition:* Choice between ranges of values in which an amount is considered valid or a specified amount value which has to be matched or unmatched to be valid.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromAmount <FrAmt>	[1..1]	±		2596
Or	ToAmount <ToAmt>	[1..1]	±		2596
Or	FromToAmount <FrToAmt>	[1..1]			2596
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597
Or	EqualAmount <EQAmt>	[1..1]	Amount		2597
Or}	NotEqualAmount <NEQAmt>	[1..1]	Amount		2597

#### 77.1.4.1.1 FromAmount <FrAmt>

*Presence:* [1..1]

*Definition:* Lower boundary of a range of amount values.

**FromAmount <FrAmt>** contains the following elements (see "[AmountRangeBoundary1](#)" on page 2597 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BoundaryAmount <BdryAmt>	[1..1]	Amount		2597
	Included <Incl>	[1..1]	Indicator		2598

#### 77.1.4.1.2 ToAmount <ToAmt>

*Presence:* [1..1]

*Definition:* Upper boundary of a range of amount values.

**ToAmount <ToAmt>** contains the following elements (see "[AmountRangeBoundary1](#)" on page 2597 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BoundaryAmount <BdryAmt>	[1..1]	Amount		2597
	Included <Incl>	[1..1]	Indicator		2598

#### 77.1.4.1.3 FromToAmount <FrToAmt>

*Presence:* [1..1]

*Definition:* Range of valid amount values.

**FromToAmount <FrToAmt>** contains the following **FromToAmountRange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromAmount <FrAmt>	[1..1]	±		2597
	ToAmount <ToAmt>	[1..1]	±		2597



#### 77.1.4.1.3.1 FromAmount <FrAmt>

*Presence:* [1..1]

*Definition:* Lower boundary of a range of amount values.

**FromAmount <FrAmt>** contains the following elements (see ["AmountRangeBoundary1"](#) on page 2597 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BoundaryAmount <BdryAmt>	[1..1]	Amount		2597
	Included <Incl>	[1..1]	Indicator		2598

#### 77.1.4.1.3.2 ToAmount <ToAmt>

*Presence:* [1..1]

*Definition:* Upper boundary of a range of amount values.

**ToAmount <ToAmt>** contains the following elements (see ["AmountRangeBoundary1"](#) on page 2597 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BoundaryAmount <BdryAmt>	[1..1]	Amount		2597
	Included <Incl>	[1..1]	Indicator		2598

#### 77.1.4.1.4 EqualAmount <EQAmt>

*Presence:* [1..1]

*Definition:* Exact value an amount must match to be considered valid.

*Datatype:* ["ImpliedCurrencyAndAmount"](#) on page 2796

#### 77.1.4.1.5 NotEqualAmount <NEQAmt>

*Presence:* [1..1]

*Definition:* Value that an amount must not match to be considered valid.

*Datatype:* ["ImpliedCurrencyAndAmount"](#) on page 2796

### 77.1.4.2 AmountRangeBoundary1

*Definition:* Limit for an amount range.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BoundaryAmount <BdryAmt>	[1..1]	Amount		2597
	Included <Incl>	[1..1]	Indicator		2598

#### 77.1.4.2.1 BoundaryAmount <BdryAmt>

*Presence:* [1..1]

*Definition:* Amount value of the range limit.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

#### 77.1.4.2.2 Included <Incl>

*Presence:* [1..1]

*Definition:* Indicates whether the boundary amount is included in the range of amount values.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 77.1.5 Balance

### 77.1.5.1 SecuritiesBalanceType6Choice

*Definition:* Choice of format for the balance type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2598
Or}	Proprietary <Prtry>	[1..1]	±		2600

#### 77.1.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Sub-balance expressed as an ISO 20022 code.

*Datatype:* "SecuritiesBalanceType11Code" on page 2847

CodeName	Name	Definition
BLOK	Blocked	Balance of financial instruments that are blocked.
AWAS	AvailableWithNoAdditionalStatus	Balance of financial instruments that are freely available with no specific additional status.
AVAI	Available	Balance of financial instruments that are available.
BLCA	BlockedCorporateAction	Balance of financial instrument blocked following a corporate action, including proxy activities.
BLOT	BlockedTrading	Balance of financial instruments that are blocked for trading.
BLOV	BlockedAuthenticity	Balance of financial instruments that are blocked for verification of authenticity.
BORR	Borrowed	Balance of financial instruments that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this

CodeName	Name	Definition
		message, and are deposited with a third party for the purpose of collateralisation.
COLA	EligibleForCollateralPurposes	Balance of securities that are eligible for use for collateral purposes.
LOAN	OnLoan	Loan for consumption.
MARG	DerivativesMargin	Account is used when financing is by the broker/dealer.
PECA	PendingCorporateActionReceipt	Balance of financial instrument pending receipt following a corporate action.
PEDA	PendingCorporateActionDelivery	Balance of financial instrument pending delivery following a corporate action.
PLED	Pledged	Balance of securities that belong to and is kept in the safekeeping account indicated within this message, and that are pledged.
REGO	OutForRegistration	Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).
RSTR	Restricted	Balance of financial instruments that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Other. See Narrative.
TRAN	InTransshipment	Transaction has been generated due to transformation following a corporate action.
DRAW	Drawn	Settlement transactions relates to drawn securities.
CLEN	TaxExempt	Tax-exempt financial instruments are to be settled.
DIRT	NonTaxExempt	Taxable financial instruments are to be settled.
NOMI	Registered	Balance of financial instruments that are registered (in nominee name or in the name of the beneficial owner).
SPOS	StreetPosition	Balance of financial instruments that remain registered in the name of the prior beneficial owner.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
ISSU	Issued	In issuer agent / depository communication, balance of issued financial instruments for which legal documentation has been received.
QUAS	Quasilssued	In issuer agent / depository communication, balance of issued financial instruments for which legal documentation has not yet been received.

CodeName	Name	Definition
LODE	PendingOnLoanDelivery	Balance of financial instruments that are pending delivery in relation to a lending transaction.

#### 77.1.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Sub-balance expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.5.2 SecuritiesSubBalanceTypeAndQuantityBreakdown3

*Definition:* Quantity breakdown information for a specific securities balance.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2600
	QuantityBreakdown <QtyBrkdwn>	[0..*]	±		2600

##### 77.1.5.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**Type <Tp>** contains one of the following elements (see "[SecuritiesBalanceType6Choice](#)" on page 2598 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2598
Or}	Proprietary <Prtry>	[1..1]	±		2600

##### 77.1.5.2.2 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following elements (see "[QuantityBreakdown32](#)" on page 2725 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LotNumber <LotNb>	[0..1]	±		2725
	LotQuantity <LotQty>	[0..1]	±		2725
	SecuritiesSubBalanceType <ScitiesSubBalTp>	[0..1]	±		2725

## 77.1.6 Date Period

### 77.1.6.1 Period7Choice

*Definition:* Choice between date and date-time for the specification of a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromDateDateTimeToDateTime <FrDtTmToDtTm>	[1..1]	±		2601
Or}	FromDateToDate <FrDtToDt>	[1..1]	±		2601

#### 77.1.6.1.1 FromDateTimeToDateTime <FrDtTmToDtTm>

*Presence:* [1..1]

*Definition:* Time span defined by a start date and time, and an end date and time.

**FromDateTimeToDateTime <FrDtTmToDtTm>** contains the following elements (see "[DateTimePeriod1](#)" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDtTm>	[1..1]	DateTime		2606
	ToDate <ToDtTm>	[1..1]	DateTime		2606

#### 77.1.6.1.2 FromDateToDate <FrDtToDt>

*Presence:* [1..1]

*Definition:* Time span defined by a start date and time, and an end date and time.

**FromDateToDate <FrDtToDt>** contains the following elements (see "[Period2](#)" on page 2606 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2606
	ToDate <ToDt>	[1..1]	Date		2606

### 77.1.6.2 DatePeriod2

*Definition:* Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2602
	ToDate <ToDt>	[1..1]	Date		2602

#### 77.1.6.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 2858

#### 77.1.6.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 2858

#### 77.1.6.3 DateTimePeriod2

*Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2602
	ToDateTime <ToDtTm>	[0..1]	DateTime		2602

##### 77.1.6.3.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODateTime" on page 2858

##### 77.1.6.3.2 ToDateTime <ToDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODateTime" on page 2858

### 77.1.7 Date Time

#### 77.1.7.1 TradeDate8Choice

*Definition:* Choice of format for the trade date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		2603
Or}	DateCode <DtCd>	[1..1]			2603
{Or	Code <Cd>	[1..1]	CodeSet		2603
Or}	Proprietary <Prtry>	[1..1]	±		2603

#### 77.1.7.1.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as an ISO date.

**Date <Dt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 77.1.7.1.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Date expressed as a code.

**DateCode <DtCd>** contains one of the following **TradeDateCode3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2603
Or}	Proprietary <Prtry>	[1..1]	±		2603

##### 77.1.7.1.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Trade date expressed as an ISO 20022 code.

*Datatype:* "[DateType3Code](#)" on page 2812

CodeName	Name	Definition
VARI	Various	Partial trades have occurred over a period of two or more days.

##### 77.1.7.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Trade date expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.7.2 SettlementDate17Choice

*Definition:* Choice of format for the settlement date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		2604
Or}	DateCode <DtCd>	[1..1]	±		2604

#### 77.1.7.2.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date in ISO format.

**Date <Dt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 2605 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

#### 77.1.7.2.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Date and time at which the securities are to be delivered or received.

**DateCode <DtCd>** contains one of the following elements (see "[SettlementDateCode7Choice](#)" on page 2604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2605
Or}	Proprietary <Prtry>	[1..1]	±		2605

### 77.1.7.3 SettlementDateCode7Choice

*Definition:* Choice of format for the settlement date code.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2605
Or}	Proprietary <Prtry>	[1..1]	±		2605

#### 77.1.7.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Settlement date expressed as an ISO 20022 code.

*Datatype:* "SettlementDate4Code" on page 2850

CodeName	Name	Definition
WISS	WhenIssued	Settlement is to be done when the security is issued.

#### 77.1.7.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Settlement date expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.7.4 DateAndDateTime2Choice

*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2605
Or}	DateTime <DtTm>	[1..1]	DateTime		2605

##### 77.1.7.4.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

*Datatype:* "ISODate" on page 2858

##### 77.1.7.4.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

*Datatype:* "ISODateTime" on page 2858

## 77.1.7.5 Period2

*Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		2606
	ToDate <ToDt>	[1..1]	Date		2606

### 77.1.7.5.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

*Datatype:* "ISODate" on page 2858

### 77.1.7.5.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

*Datatype:* "ISODate" on page 2858

## 77.1.8 Date Time Period

### 77.1.8.1 DateTimePeriod1

*Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		2606
	ToDateTime <ToDtTm>	[1..1]	DateTime		2606

#### 77.1.8.1.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the period starts.

*Datatype:* "ISODateTime" on page 2858

#### 77.1.8.1.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the period ends.

*Datatype:* "ISODateTime" on page 2858

## 77.1.9 Document

### 77.1.9.1 DocumentNumber5Choice

*Definition:* Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		2607
Or	LongNumber <LngNb>	[1..1]	Text		2607
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		2607

#### Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 77.1.9.1.1 ShortNumber <ShrtNb>

*Presence:* [1..1]

*Definition:* Message type number of the document referenced.

*Datatype:* "Exact3NumericText" on page 2862

#### 77.1.9.1.2 LongNumber <LngNb>

*Presence:* [1..1]

*Definition:* MX Message identifier of the referenced document.

*Datatype:* "ISO20022MessageIdentificationText" on page 2863

#### 77.1.9.1.3 ProprietaryNumber <PrtryNb>

*Presence:* [1..1]

*Definition:* Proprietary document identification.

**ProprietaryNumber <PrtryNb>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

## 77.1.10 Financial Institution Identification

### 77.1.10.1 ClearingSystemMemberIdentification2

*Definition:* Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		2608
	MemberIdentification <Mmbld>	[1..1]	Text		2608

#### 77.1.10.1.1 ClearingSystemIdentification <ClrSysId>

*Presence:* [0..1]

*Definition:* Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

**ClearingSystemIdentification <ClrSysId>** contains one of the following elements (see "[ClearingSystemIdentification2Choice](#)" on page 2792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	Text		2792

#### 77.1.10.1.2 MemberIdentification <Mmbld>

*Presence:* [1..1]

*Definition:* Identification of a member of a clearing system.

*Datatype:* "[Max35Text](#)" on page 2865

### 77.1.10.2 GenericFinancialIdentification1

*Definition:* Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2608
	SchemeName <SchmeNm>	[0..1]			2609
{Or	Code <Cd>	[1..1]	CodeSet		2609
Or}	Proprietary <Prtry>	[1..1]	Text		2609
	Issuer <Issr>	[0..1]	Text		2609

#### 77.1.10.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* "Max35Text" on page 2865

#### 77.1.10.2.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2609
Or}	Proprietary <Prtry>	[1..1]	Text		2609

##### 77.1.10.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstitutionIdentification1Code" on page 2816

##### 77.1.10.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 2865

#### 77.1.10.2.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.10.3 BranchAndFinancialInstitutionIdentification8

*Definition:* Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			2610
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612
	BranchIdentification <BrnchId>	[0..1]			2613
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

#### 77.1.10.3.1 FinancialInstitutionIdentification <FinInstnId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

**FinancialInstitutionIdentification <FinInstnId>** contains the following **FinancialInstitutionIdentification23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C3	2610
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2611
	LEI <LEI>	[0..1]	IdentifierSet		2611
	Name <Nm>	[0..1]	Text		2611
	PostalAddress <PstlAdr>	[0..1]	±		2611
	Other <Othr>	[0..1]	±		2612

##### 77.1.10.3.1.1 BICFI <BICFI>

*Presence:* [0..1]

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C3 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 2859

## Constraints

### • BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 77.1.10.3.1.2 ClearingSystemMemberIdentification <ClrSysMmbld>

*Presence:* [0..1]

*Definition:* Information used to identify a member within a clearing system.

**ClearingSystemMemberIdentification <ClrSysMmbld>** contains the following elements (see "ClearingSystemMemberIdentification2" on page 2608 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		2608
	MemberIdentification <Mmbld>	[1..1]	Text		2608

#### 77.1.10.3.1.3 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identifier of the financial institution.

*Datatype:* "LEIIdentifier" on page 2860

#### 77.1.10.3.1.4 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* "Max140Text" on page 2863

#### 77.1.10.3.1.5 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress27" on page 2707 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2708
{Or	Code <Cd>	[1..1]	CodeSet		2708
Or}	Proprietary <Prtry>	[1..1]	±		2709
	CareOf <CareOf>	[0..1]	Text		2709
	Department <Dept>	[0..1]	Text		2709
	SubDepartment <SubDept>	[0..1]	Text		2709
	StreetName <StrtNm>	[0..1]	Text		2709
	BuildingNumber <BldgNb>	[0..1]	Text		2710
	BuildingName <BldgNm>	[0..1]	Text		2710
	Floor <Flr>	[0..1]	Text		2710
	UnitNumber <UnitNb>	[0..1]	Text		2710
	PostBox <PstBx>	[0..1]	Text		2710
	Room <Room>	[0..1]	Text		2710
	PostCode <PstCd>	[0..1]	Text		2710
	TownName <TwnNm>	[0..1]	Text		2710
	TownLocationName <TwnLctnNm>	[0..1]	Text		2711
	DistrictName <DstrctNm>	[0..1]	Text		2711
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2711
	Country <Ctry>	[0..1]	CodeSet	C3	2711
	AddressLine <AdrLine>	[0..7]	Text		2711

#### 77.1.10.3.1.6 Other <Othr>

*Presence:* [0..1]

*Definition:* Unique identification of an agent, as assigned by an institution, using an identification scheme.



**Other <Othr>** contains the following elements (see "[GenericFinancialIdentification1](#)" on page 2608 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2608
	SchemeName <SchmeNm>	[0..1]			2609
{Or	Code <Cd>	[1..1]	CodeSet		2609
Or}	Proprietary <Prtry>	[1..1]	Text		2609
	Issuer <Issr>	[0..1]	Text		2609

#### 77.1.10.3.2 BranchIdentification <BrnchId>

*Presence:* [0..1]

*Definition:* Identifies a specific branch of a financial institution.

*Usage:* This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

**BranchIdentification <BrnchId>** contains the following **BranchData5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2613
	LEI <LEI>	[0..1]	IdentifierSet		2613
	Name <Nm>	[0..1]	Text		2613
	PostalAddress <PstlAdr>	[0..1]	±		2613

##### 77.1.10.3.2.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a branch of a financial institution.

*Datatype:* "[Max35Text](#)" on page 2865

##### 77.1.10.3.2.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification for the branch of the financial institution.

*Datatype:* "[LEIIdentifier](#)" on page 2860

##### 77.1.10.3.2.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* "[Max140Text](#)" on page 2863

##### 77.1.10.3.2.4 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress27" on page 2707 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2708
{Or	Code <Cd>	[1..1]	CodeSet		2708
Or}	Proprietary <Prtry>	[1..1]	±		2709
	CareOf <CareOf>	[0..1]	Text		2709
	Department <Dept>	[0..1]	Text		2709
	SubDepartment <SubDept>	[0..1]	Text		2709
	StreetName <StrtNm>	[0..1]	Text		2709
	BuildingNumber <BldgNb>	[0..1]	Text		2710
	BuildingName <BldgNm>	[0..1]	Text		2710
	Floor <Flr>	[0..1]	Text		2710
	UnitNumber <UnitNb>	[0..1]	Text		2710
	PostBox <PstBx>	[0..1]	Text		2710
	Room <Room>	[0..1]	Text		2710
	PostCode <PstCd>	[0..1]	Text		2710
	TownName <TwnNm>	[0..1]	Text		2710
	TownLocationName <TwnLctnNm>	[0..1]	Text		2711
	DistrictName <DstrctNm>	[0..1]	Text		2711
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2711
	Country <Ctry>	[0..1]	CodeSet	C3	2711
	AddressLine <AdrLine>	[0..7]	Text		2711

## 77.1.11 Financial Instrument

### 77.1.11.1 SecurityIdentification19

*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrid>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

## Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

### 77.1.11.1.1 ISIN <ISIN>

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* ["ISINOct2015Identifier" on page 2860](#)

#### 77.1.11.1.2 OtherIdentification <OthrId>

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

**OtherIdentification <OthrId>** contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616

##### 77.1.11.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* ["Max35Text" on page 2865](#)

##### 77.1.11.1.2.2 Suffix <Sfx>

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* ["Max16Text" on page 2864](#)

##### 77.1.11.1.2.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of the identification.

**Type <Tp>** contains one of the following elements (see ["IdentificationSource3Choice" on page 2636](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2637
Or}	Proprietary <Prtry>	[1..1]	Text		2637

##### 77.1.11.1.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* ["Max140Text" on page 2863](#)

#### 77.1.11.2 FinancialInstrumentAttributes92

*Definition:* Elements characterising a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfListing <PlcOfListg>	[0..1]	±		2618
	DayCountBasis <DayCntBsis>	[0..1]	±		2618
	RegistrationForm <RegnForm>	[0..1]	±		2619
	PaymentFrequency <PmtFrqcy>	[0..1]	±		2619
	PaymentStatus <PmtSts>	[0..1]	±		2619
	VariableRateChangeFrequency <VarblRateChngFrqcy>	[0..1]	±		2619
	ClassificationType <ClssfctnTp>	[0..1]	±		2620
	OptionStyle <OptnStyle>	[0..1]	±		2620
	OptionType <OptnTp>	[0..1]	±		2620
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C1	2620
	CouponDate <CpnDt>	[0..1]	Date		2621
	ExpiryDate <XpryDt>	[0..1]	Date		2621
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		2621
	MaturityDate <MtrtyDt>	[0..1]	Date		2621
	IssueDate <IssDt>	[0..1]	Date		2621
	NextCallableDate <NxtClblDt>	[0..1]	Date		2621
	PutableDate <PutblDt>	[0..1]	Date		2622
	DatedDate <DtdDt>	[0..1]	Date		2622
	FirstPaymentDate <FrstPmtDt>	[0..1]	Date		2622
	PreviousFactor <PrvsFctr>	[0..1]	Rate		2622
	CurrentFactor <CurFctr>	[0..1]	Rate		2622
	NextFactor <NxtFctr>	[0..1]	Rate		2622
	InterestRate <IntrstRate>	[0..1]	Rate		2622
	YieldToMaturityRate <YldToMtrtyRate>	[0..1]	Rate		2622
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		2623
	IndexRateBasis <IndxRateBsis>	[0..1]	Rate		2623
	CouponAttachedNumber <CpnAttchdNb>	[0..1]	±		2623
	PoolNumber <PoolNb>	[0..1]	±		2623
	QuantityBreakdown <QtyBrkdown>	[0..*]	±		2623
	VariableRateIndicator <VarblRateInd>	[0..1]	Indicator		2624
	CallableIndicator <ClblInd>	[0..1]	Indicator		2624

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PutableIndicator <PutblInd>	[0..1]	Indicator		2624
	MarketOrIndicativePrice <MktOrIndctvPric>	[0..1]	±		2624
	ExercisePrice <ExrcPric>	[0..1]	±		2625
	SubscriptionPrice <SbcptPric>	[0..1]	±		2625
	ConversionPrice <ConvsPric>	[0..1]	±		2625
	StrikePrice <StrkPric>	[0..1]	±		2625
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		2626
	ContractSize <CtrctSz>	[0..1]	±		2626
	UnderlyingFinancialInstrumentIdentification <UndrlygFinInstrmId>	[0..*]	±	C3, C4, C5, C6, C7	2626
	FinancialInstrumentAttributeAdditionalDetails <FinInstrmAttrAddtlDtls>	[0..1]	Text		2627

#### Constraints

- **AdditionalDetailsRule**

Unless bilaterally agreed between the Sender and Receiver, if FinancialInstrumentAttributeAdditionalDetails is present, then it must not contain information that can be provided in a structured field.

- **PlaceOfListingRule**

If PlaceOfListing is used and an ISO 10383 MIC exists for the market to be identified, the ISO 10383 Market Identifier Code (MIC) must be used.

#### 77.1.11.2.1 PlaceOfListing <PlcOfListg>

*Presence:* [0..1]

*Definition:* Market(s) on which the security is listed.

**PlaceOfListing <PlcOfListg>** contains one of the following elements (see "MarketIdentification3Choice" on page 2639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		2639
Or}	Description <Desc>	[1..1]	Text		2639

#### 77.1.11.2.2 DayCountBasis <DayCntBsis>

*Presence:* [0..1]

*Definition:* Specifies the computation method of (accrued) interest of the security.

**DayCountBasis <DayCntBsis>** contains one of the following elements (see ["InterestComputationMethodFormat4Choice"](#) on page 2675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2675
Or}	Proprietary <Prtry>	[1..1]	±		2678

#### 77.1.11.2.3 RegistrationForm <RegnForm>

*Presence:* [0..1]

*Definition:* Specifies the form, this is, ownership, of the security.

**RegistrationForm <RegnForm>** contains one of the following elements (see ["FormOfSecurity6Choice"](#) on page 2682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2682
Or}	Proprietary <Prtry>	[1..1]	±		2682

#### 77.1.11.2.4 PaymentFrequency <PmtFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of an interest payment.

**PaymentFrequency <PmtFrqcy>** contains one of the following elements (see ["Frequency23Choice"](#) on page 2633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2634

#### 77.1.11.2.5 PaymentStatus <PmtSts>

*Presence:* [0..1]

*Definition:* Status of payment of a security at a particular time.

**PaymentStatus <PmtSts>** contains one of the following elements (see ["SecuritiesPaymentStatus5Choice"](#) on page 2765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 77.1.11.2.6 VariableRateChangeFrequency <VarblRateChngFrqcy>

*Presence:* [0..1]

*Definition:* Specifies the frequency of change to the variable rate of an interest bearing instrument.

**VariableRateChangeFrequency <VarblRateChngFrqcy>** contains one of the following elements (see ["Frequency23Choice"](#) on page 2633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2634

#### 77.1.11.2.7 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

**ClassificationType <ClssfctnTp>** contains one of the following elements (see ["ClassificationType32Choice"](#) on page 2641 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		2641
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		2641

#### 77.1.11.2.8 OptionStyle <OptnStyle>

*Presence:* [0..1]

*Definition:* Specifies how an option can be exercised (American, European, Bermudan).

**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 2665 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2666
Or}	Proprietary <Prtry>	[1..1]	±		2666

#### 77.1.11.2.9 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

**OptionType <OptnTp>** contains one of the following elements (see ["OptionType6Choice"](#) on page 2643 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2643
Or}	Proprietary <Prtry>	[1..1]	±		2643

#### 77.1.11.2.10 DenominationCurrency <DnmtnCcy>

*Presence:* [0..1]



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*Definition:* Currency in which a security is issued or redenominated.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2798

#### **Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### **77.1.11.2.11 CouponDate <CpnDt>**

*Presence:* [0..1]

*Definition:* Next payment date of an interest bearing financial instrument.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.12 ExpiryDate <XpryDt>**

*Presence:* [0..1]

*Definition:* Date on which a privilege expires.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.13 FloatingRateFixingDate <FltgRateFxdt>**

*Presence:* [0..1]

*Definition:* Date at which the interest rate of an interest bearing security will be calculated and reset, according to the terms of the issue.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.14 MaturityDate <MtrtyDt>**

*Presence:* [0..1]

*Definition:* Planned final repayment date at the time of issuance.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.15 IssueDate <IsseDt>**

*Presence:* [0..1]

*Definition:* Date at which the security was made available.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.16 NextCallableDate <NxtCllbDt>**

*Presence:* [0..1]

*Definition:* Next date at which the issuer has the right to pay the security prior to maturity.

*Datatype:* "ISODate" on page 2858

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#### **77.1.11.2.17 PutableDate <PutblDt>**

*Presence:* [0..1]

*Definition:* Date at which the holder has the right to ask for redemption of the security prior to final maturity.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.18 DatedDate <DtdDt>**

*Presence:* [0..1]

*Definition:* First date at which a security begins to accrue interest.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.19 FirstPaymentDate <FrstPmtDt>**

*Presence:* [0..1]

*Definition:* Date at which the first interest payment is due to holders of the security.

*Datatype:* "ISODate" on page 2858

#### **77.1.11.2.20 PreviousFactor <PrvsFctr>**

*Presence:* [0..1]

*Definition:* Rate expressed as a decimal between 0 and 1 that was applicable before the current factor and defines the outstanding principal of the financial instrument (for factored securities).

*Datatype:* "BaseOneRate" on page 2862

#### **77.1.11.2.21 CurrentFactor <CurFctr>**

*Presence:* [0..1]

*Definition:* Rate expressed as a decimal between 0 and 1 defining the outstanding principal of the financial instrument (for factored securities).

*Datatype:* "BaseOneRate" on page 2862

#### **77.1.11.2.22 NextFactor <NxtFctr>**

*Presence:* [0..1]

*Definition:* Rate expressed as a decimal between 0 and 1 that will be applicable as of the next factor date and defines the outstanding principal of the financial instrument (for factored securities).

*Datatype:* "BaseOneRate" on page 2862

#### **77.1.11.2.23 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Per annum ratio of interest paid to the principal amount of the financial instrument for a specific period of time.

*Datatype:* "PercentageRate" on page 2862

#### **77.1.11.2.24 YieldToMaturityRate <YldToMtrtyRate>**

*Presence:* [0..1]

*Definition:* Rate of return anticipated on a bond when held until maturity date.

*Datatype:* ["PercentageRate" on page 2862](#)

#### 77.1.11.2.25 NextInterestRate <NxtIntrstRate>

*Presence:* [0..1]

*Definition:* Interest rate applicable to the next interest payment period in relation to variable rate instruments.

*Datatype:* ["PercentageRate" on page 2862](#)

#### 77.1.11.2.26 IndexRateBasis <IndxRateBsis>

*Presence:* [0..1]

*Definition:* Specifies the reference rate for fixed income instruments where the price of the instrument is indexed to the price of an underlying benchmark.

*Datatype:* ["PercentageRate" on page 2862](#)

#### 77.1.11.2.27 CouponAttachedNumber <CpnAttchdNb>

*Presence:* [0..1]

*Definition:* Number of the coupon attached to the physical security.

**CouponAttachedNumber <CpnAttchdNb>** contains one of the following elements (see ["Number22Choice" on page 2674](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2674
Or}	Long <Lng>	[1..1]	±		2674

#### 77.1.11.2.28 PoolNumber <PoolNb>

*Presence:* [0..1]

*Definition:* Number identifying a group of underlying assets assigned by the issuer of a factored security.

**PoolNumber <PoolNb>** contains the following elements (see ["GenericIdentification37" on page 2637](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 77.1.11.2.29 QuantityBreakdown <QtyBrkdwn>

*Presence:* [0..\*]

*Definition:* Breakdown of a quantity into lots such as tax lots, instrument series.

**QuantityBreakdown <QtyBrkdwn>** contains the following elements (see "[QuantityBreakdown31](#)" on page 2726 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LotNumber <LotNb>	[1..1]	±		2726
	LotQuantity <LotQty>	[0..1]	±		2726

#### 77.1.11.2.30 VariableRateIndicator <VarblRateInd>

*Presence:* [0..1]

*Definition:* Indicates whether the interest rate of an interest bearing instrument is reset periodically.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 77.1.11.2.31 CallableIndicator <ClblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the issuer has the right to pay the security prior to maturity. Also called RetractableIndicator.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 77.1.11.2.32 PutableIndicator <PutblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the holder has the right to ask for redemption of the security prior to final maturity. Also called RedeemableIndicator.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 77.1.11.2.33 MarketOrIndicativePrice <MktOrIndctvPric>

*Presence:* [0..1]

*Definition:* Value of the price, for example, as a currency and value per unit or as a percentage.

**MarketOrIndicativePrice <MktOrIndctvPric>** contains one of the following elements (see "[PriceType4Choice](#)" on page 2723 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Market <Mkt>	[1..1]	±		2723
Or}	Indicative <Indctv>	[1..1]	±		2723

#### 77.1.11.2.34 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder of a derivative will buy or sell the underlying instrument.

**ExercisePrice <ExrcPric>** contains the following elements (see "Price7" on page 2721 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

#### 77.1.11.2.35 SubscriptionPrice <SbcptPric>

*Presence:* [0..1]

*Definition:* Pre-determined price at which the holder of a right is entitled to buy the underlying instrument.

**SubscriptionPrice <SbcptPric>** contains the following elements (see "Price7" on page 2721 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

#### 77.1.11.2.36 ConversionPrice <ConvsPric>

*Presence:* [0..1]

*Definition:* Price of one target security in the conversion.

**ConversionPrice <ConvsPric>** contains the following elements (see "Price7" on page 2721 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

#### 77.1.11.2.37 StrikePrice <StrkPric>

*Presence:* [0..1]

*Definition:* Predetermined price at which the holder will have to buy or sell the underlying instrument.

**StrikePrice <StrkPric>** contains the following elements (see "Price7" on page 2721 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

### 77.1.11.2.38 MinimumNominalQuantity <MinNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum nominal quantity of financial instrument.

**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

### 77.1.11.2.39 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a quantity.

**ContractSize <CtrctSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

### 77.1.11.2.40 UnderlyingFinancialInstrumentIdentification <UndrlygFinInstrmld>

*Presence:* [0..\*]

*Definition:* Identification of the underlying security.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**UnderlyingFinancialInstrumentIdentification <UndrlygFinInstrmld>** contains the following elements (see "SecurityIdentification19" on page 2614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2615
	OtherIdentification <Othrld>	[0..*]			2616
	Identification <Id>	[1..1]	Text		2616
	Suffix <Sfx>	[0..1]	Text		2616
	Type <Tp>	[1..1]	±		2616
	Description <Desc>	[0..1]	Text		2616

---

## Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

### 77.1.11.2.41 FinancialInstrumentAttributeAdditionalDetails <FinInstrmAttrAddtlDtls>

*Presence:* [0..1]

*Definition:* Provides additional information about the financial instrument in narrative form.

*Datatype:* "Max350Text" on page 2865

### 77.1.11.3 SecurityIdentification39

*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		2628
	OtherIdentification <Othrid>	[0..*]			2629
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629
	Description <Desc>	[0..1]	Text		2629

## Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 77.1.11.3.1 ISIN <ISIN>

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.



*Datatype:* ["ISIN2021Identifier" on page 2860](#)

### 77.1.11.3.2 OtherIdentification <OthrId>

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

**OtherIdentification <OthrId>** contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2629
	Suffix <Sfx>	[0..1]	Text		2629
	Type <Tp>	[1..1]	±		2629

#### 77.1.11.3.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* ["Max35Text" on page 2865](#)

#### 77.1.11.3.2.2 Suffix <Sfx>

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* ["Max16Text" on page 2864](#)

#### 77.1.11.3.2.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of the identification.

**Type <Tp>** contains one of the following elements (see ["IdentificationSource3Choice" on page 2636](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2637
Or}	Proprietary <Prtry>	[1..1]	Text		2637

#### 77.1.11.3.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* ["Max140Text" on page 2863](#)

## 77.1.12 Financial Instrument Quantity

### 77.1.12.1 FinancialInstrumentQuantity1Choice

*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 77.1.12.1.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 2861

#### 77.1.12.1.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

#### 77.1.12.1.3 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

### 77.1.12.2 Quantity6Choice

*Definition:* Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		2630
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		2631

#### 77.1.12.2.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument in units, original face amount or current face amount.

**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

### 77.1.12.2 OriginalAndCurrentFace <OrgnlAndCurFace>

*Presence:* [1..1]

*Definition:* Original and current value of an asset-back instrument.

**OriginalAndCurrentFace <OrgnlAndCurFace>** contains the following elements (see "[OriginalAndCurrentQuantities1](#)" on page 2727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		2727
	AmortisedValue <AmtsdVal>	[1..1]	Amount		2727

## 77.1.13 Foreign Exchange

### 77.1.13.1 ForeignExchangeTerms24

*Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2631
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2631
	ExchangeRate <XchgRate>	[1..1]	Rate		2632
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C4	2632

#### 77.1.13.1.1 UnitCurrency <UnitCcy>

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 77.1.13.1.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 2797

Constraints

- ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

77.1.13.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 2862

77.1.13.1.4 ResultingAmount <RsltgAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C4 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2794

Constraints

- ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

77.1.14 Frequency

77.1.14.1 Frequency22Choice

Definition: Choice of format for a frequency, for example, the frequency of delivery of a statement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2633
Or}	Proprietary <Prtry>	[1..1]	±		2633

#### 77.1.14.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Frequency expressed as an ISO 20022 code.

*Datatype:* "EventFrequency7Code" on page 2814

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

#### 77.1.14.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Frequency expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.14.2 Frequency23Choice

*Definition:* Choice of format for a frequency, for example, a payment frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2634

#### 77.1.14.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Frequency expressed as an ISO 20022 code.

*Datatype:* "EventFrequency3Code" on page 2814

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
MNTH	Monthly	Event takes place every month or once a month.
QUTR	Quarterly	Event takes place every three months or four times a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
WEEK	Weekly	Event takes place once a week.

#### 77.1.14.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Frequency expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.14.3 Frequency35Choice

*Definition:* Choice of format for a frequency, for example, the frequency of payment.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2634
Or}	Proprietary <Prtry>	[1..1]	±		2635

#### 77.1.14.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Frequency expressed as an ISO 20022 code.

*Datatype:* "Frequency5Code" on page 2825

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
MNTH	Monthly	Event takes place every month or once a month.
QURT	Quarterly	Event takes place every three months or four times a year.
MIAN	SemiAnnual	Event takes place every six months or two times a year.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place on request or as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
TEND	TenDays	Event takes place every ten business days.

#### 77.1.14.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Frequency expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.15 Identification Information

### 77.1.15.1 GenericIdentification13

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

#### 77.1.15.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max4AlphaNumericText" on page 2866

#### 77.1.15.1.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.1.3 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

### 77.1.15.2 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 77.1.15.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.2.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.2.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 2865

### 77.1.15.3 IdentificationSource3Choice

*Definition:* Choice between source of identification of a financial instrument.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2637
Or}	Proprietary <Prtry>	[1..1]	Text		2637

#### 77.1.15.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.

*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 2817

#### 77.1.15.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.4 GenericIdentification37

*Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

##### 77.1.15.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 2865

##### 77.1.15.4.2 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.5 GenericIdentification1

*Definition:* Information related to an identification, for example party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 77.1.15.5.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.5.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.5.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

### 77.1.15.6 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.15.6.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 2863

#### 77.1.15.6.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.15.6.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 2865

## 77.1.16 Market

### 77.1.16.1 MarketIdentification3Choice

*Definition:* Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		2639
Or}	Description <Desc>	[1..1]	Text		2639

#### 77.1.16.1.1 MarketIdentifierCode <MktldrCd>

*Presence:* [1..1]

*Definition:* Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

*Datatype:* "MICIdentifier" on page 2860

#### 77.1.16.1.2 Description <Desc>

*Presence:* [1..1]

*Definition:* Description of the market when no Market Identifier Code is available.

*Datatype:* "Max35Text" on page 2865

## 77.1.17 Miscellaneous

### 77.1.17.1 LinkageType3Choice

*Definition:* Choice of format for the linkage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2640

#### 77.1.17.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Linkage type expressed as an ISO 20022 code.

*Datatype:* "LinkageType1Code" on page 2832

CodeName	Name	Definition
LINK	Link	Request is to link the referenced transactions.
UNLK	Unlink	Request is to unlink the referenced transactions.
SOFT	Soft	Request is to soft link the referenced transactions.

### 77.1.17.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Linkage type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.17.2 SequenceRange1Choice

*Definition:* Specifies a choice of sequences.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FromSequence <FrSeq>	[1..1]	Text		2640
Or	ToSequence <ToSeq>	[1..1]	Text		2640
Or	FromToSequence <FrToSeq>	[1..*]			2640
	FromSequence <FrSeq>	[1..1]	Text		2641
	ToSequence <ToSeq>	[1..1]	Text		2641
Or	EqualSequence <EQSeq>	[1..*]	Text		2641
Or}	NotEqualSequence <NEQSeq>	[1..*]	Text		2641

#### 77.1.17.2.1 FromSequence <FrSeq>

*Presence:* [1..1]

*Definition:* Start sequence of the range.

*Datatype:* "[Max35Text](#)" on page 2865

#### 77.1.17.2.2 ToSequence <ToSeq>

*Presence:* [1..1]

*Definition:* End sequence of the range.

*Datatype:* "[Max35Text](#)" on page 2865

#### 77.1.17.2.3 FromToSequence <FrToSeq>

*Presence:* [1..\*]

*Definition:* Particular sequence range specified between a start sequence and an end sequence.

**FromToSequence <FrToSeq>** contains the following **SequenceRange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromSequence <FrSeq>	[1..1]	Text		2641
	ToSequence <ToSeq>	[1..1]	Text		2641

#### 77.1.17.2.3.1 FromSequence <FrSeq>

*Presence:* [1..1]

*Definition:* Start sequence of the range.

*Datatype:* "Max35Text" on page 2865

#### 77.1.17.2.3.2 ToSequence <ToSeq>

*Presence:* [1..1]

*Definition:* End sequence of the range.

*Datatype:* "Max35Text" on page 2865

#### 77.1.17.2.4 EqualSequence <EQSeq>

*Presence:* [1..\*]

*Definition:* Specified sequence to match.

*Datatype:* "Max35Text" on page 2865

#### 77.1.17.2.5 NotEqualSequence <NEQSeq>

*Presence:* [1..\*]

*Definition:* Specified sequence to be excluded.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.3 ClassificationType32Choice

*Definition:* Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		2641
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		2641

#### 77.1.17.3.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

*Presence:* [1..1]

*Definition:* ISO 10962 Classification of Financial Instrument (CFI).

*Datatype:* "CFIOct2015Identifier" on page 2859

#### 77.1.17.3.2 AlternateClassification <AltrnClssfctn>

*Presence:* [1..1]

*Definition:* Proprietary classification of financial instrument.

**AlternateClassification <AltrnCissfctn>** contains the following elements (see "GenericIdentification36" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 77.1.17.4 Number3Choice

*Definition:* Choice of 3 and 5 exact numeric number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2642
Or}	Long <Lng>	[1..1]	Text		2642

##### 77.1.17.4.1 Short <Shrt>

*Presence:* [1..1]

*Definition:* Number of maximum 3 numeric text.

*Datatype:* "Exact3NumericText" on page 2862

##### 77.1.17.4.2 Long <Lng>

*Presence:* [1..1]

*Definition:* Number of maximum 5 numeric text. Is only to be used in a delta statement.

*Datatype:* "Exact5NumericText" on page 2863

#### 77.1.17.5 Pagination1

*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2642
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2642

##### 77.1.17.5.1 PageNumber <PgNb>

*Presence:* [1..1]

*Definition:* Page number.

*Datatype:* "Max5NumericText" on page 2867

##### 77.1.17.5.2 LastPageIndicator <LastPgInd>

*Presence:* [1..1]

*Definition:* Indicates the last page.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 77.1.17.6 OptionType6Choice

*Definition:* Choice of format for the option type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2643
Or}	Proprietary <Prtry>	[1..1]	±		2643

#### 77.1.17.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as an ISO 20022 code.

*Datatype:* ["OptionType1Code"](#) on page 2836

CodeName	Name	Definition
CALL	Call	Right to buy a quantity of an asset for an agreed price at exercise date.
PUTO	Put	Right to sell a quantity of an asset for an agreed price at exercise date.

#### 77.1.17.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification30"](#) on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.7 PriorityNumeric4Choice

*Definition:* Choice of format for the priority.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Numeric <Nmrc>	[1..1]	Text		2644
Or}	Proprietary <Prtry>	[1..1]	±		2644

#### 77.1.17.7.1 Numeric <Nmrc>

*Presence:* [1..1]

*Definition:* Specifies the execution priority of the instruction with a number between 0001 and 9999.

*Datatype:* "Exact4NumericText" on page 2863

#### 77.1.17.7.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the execution priority of the instruction with a proprietary scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.8 MessageHeader1

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <Msgld>	[1..1]	Text		2644
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2644

##### 77.1.17.8.1 MessageIdentification <Msgld>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

##### 77.1.17.8.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

#### 77.1.17.9 AdditionalParameters16

*Definition:* Specifies additional parameters to the message or transaction.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartialSettlement <PrtlSttlm>	[0..1]	CodeSet		2645
	PreviousPartialConfirmationIdentification <PrvsPrtlConflD>	[0..1]	Text		2645
	AccountOwnerTransactionIdentification <AcctOwnrTxld>	[0..1]	Text		2645
	AccountServicerTransactionIdentification <AcctSvcrTxld>	[0..1]	Text		2645
	PoolIdentification <PoolId>	[0..1]	Text		2646
	CorporateActionEventIdentification <CorpActnEvtld>	[0..1]	Text		2646
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxld>	[0..1]	Text		2646
	ProcessorTransactionIdentification <PrcrTxld>	[0..1]	Text		2646

#### 77.1.17.9.1 PartialSettlement <PrtlSttlm>

*Presence:* [0..1]

*Definition:* Specifies partial settlement information.

*Datatype:* "PartialSettlement2Code" on page 2836

CodeName	Name	Definition
PAIN	PartialSettlement	Confirmation is for partial settlement. Part of the transaction remains unsettled.
PARC	PartiallyConfirmed	Confirmation is for partial settlement. No additional settlement will take place.

#### 77.1.17.9.2 PreviousPartialConfirmationIdentification <PrvsPrtlConflD>

*Presence:* [0..1]

*Definition:* Identification of the confirmation previously sent to confirm the partial settlement of a transaction.

*Datatype:* "Max35Text" on page 2865

#### 77.1.17.9.3 AccountOwnerTransactionIdentification <AcctOwnrTxld>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

*Datatype:* "Max35Text" on page 2865

#### 77.1.17.9.4 AccountServicerTransactionIdentification <AcctSvcrTxld>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction as known by the account servicer.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.9.5 PoolIdentification <PoolId>

*Presence:* [0..1]

*Definition:* Collective reference identifying a set of messages.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.9.6 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [0..1]

*Definition:* Identification assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.9.7 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

*Presence:* [0..1]

*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.9.8 ProcessorTransactionIdentification <PcrTxId>

*Presence:* [0..1]

*Definition:* Identification of the transaction as assigned by the processor.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.10 CorporateActionEventType56Choice

*Definition:* Choice of format for the repair reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2647
Or}	Proprietary <Prtry>	[1..1]	±		2653

#### Constraints

- **EventTypeRule**

The use of a specific corporate action event type code is allowed only if this specific event triggers a securities movement as per the Event Interpretation Grid published by the Securities Market Practice Group (SMPG).

The corporate action event indicator code OTHR must only be used in case no other corporate action event code is appropriate.

The corporate action event indicator code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

The corporate action event indicator code REDM must only be used when the redemption is decided by the issuer and not by the holders.

#### 77.1.17.10.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Corporate action event type expressed as an ISO 20022 code.

*Datatype:* "CorporateActionEventType24Code" on page 2805

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is

CodeName	Name	Definition
		commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in

CodeName	Name	Definition
		advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the

CodeName	Name	Definition
		aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.



CodeName	Name	Definition
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

### 77.1.17.10.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Corporate action event type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.17.11 MessageHeader3

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2654
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2654
	RequestType <ReqTp>	[0..1]			2654
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2654
Or	Enquiry <Enqry>	[1..1]	CodeSet		2654
Or}	Proprietary <Prtry>	[1..1]	±		2655
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2655
	QueryName <QryNm>	[0..1]	Text		2655

### 77.1.17.11.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.11.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 77.1.17.11.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType <ReqTp>** contains one of the following **RequestType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2654
Or	Enquiry <Enqry>	[1..1]	CodeSet		2654
Or}	Proprietary <Prtry>	[1..1]	±		2655

#### 77.1.17.11.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "RequestType1Code" on page 2846

CodeName	Name	Definition
RT01	ReleasePending	Type is a request to release the pending transactions.
RT02	HoldNormal	Type is a request to hold normal transactions.
RT03	Cancellation	Type is a request to cancellation a transaction.
RT04	ReSequence	Type is a request to change the sequence of the transactions.
RT05	ReleaseSuspicious	Type is a request to release the suspicious transactions.

#### 77.1.17.11.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "RequestType2Code" on page 2846

CodeName	Name	Definition
RT11	BankPositionEnquiry	Type is an enquiry on the bank's position.
RT12	SpecificTransactionEnquiry	Type is an enquiry on a specific transaction.
RT13	QueuedTransactionEnquiry	Type is an enquiry on a queued transaction.
RT14	SuspiciousTransactionEnquiry	Type is an enquiry on a suspicious transaction.
RT15	PendingInwardCreditsEnquiry	Type is an enquiry on pending inward credits.

#### 77.1.17.11.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 77.1.17.11.4 OriginalBusinessQuery <OrgnlBizQry>

*Presence:* [0..1]

*Definition:* Unique identification of the original query message.

**OriginalBusinessQuery <OrgnlBizQry>** contains the following elements (see "[OriginalBusinessQuery1](#)" on page 2680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2680
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2680
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2681

#### 77.1.17.11.5 QueryName <QryNm>

*Presence:* [0..1]

*Definition:* Recalls the criteria (search and return criteria) defined in a preceding query.

*Datatype:* "[Max35Text](#)" on page 2865

#### 77.1.17.12 SecuritiesRTGS4Choice

*Definition:* Choice of format for the securities RTGS information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Indicator <Ind>	[1..1]	Indicator		2656
Or}	Proprietary <Prtry>	[1..1]	±		2656

#### 77.1.17.12.1 Indicator <Ind>

*Presence:* [1..1]

*Definition:* Specifies whether the settlement transaction is to be settled through an RTGS or a non RTGS system.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 77.1.17.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities RTGS information expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.13 MessageHeader7

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2657
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2657
	RequestType <ReqTp>	[0..1]			2657
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2657
Or	Enquiry <Enqry>	[1..1]	CodeSet		2657
Or}	Proprietary <Prtry>	[1..1]	±		2657
	OriginalBusinessQuery <OrgnlBizQry>	[0..1]	±		2658
	QueryName <QryNm>	[0..1]	Text		2658

### 77.1.17.13.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.13.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 77.1.17.13.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType <ReqTp>** contains one of the following **RequestType4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2657
Or	Enquiry <Enqry>	[1..1]	CodeSet		2657
Or}	Proprietary <Prtry>	[1..1]	±		2657

#### 77.1.17.13.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "ExternalPaymentControlRequestType1Code" on page 2818

#### 77.1.17.13.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "ExternalEnquiryRequestType1Code" on page 2816

#### 77.1.17.13.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 77.1.17.13.4 OriginalBusinessQuery <OrgnlBizQry>

*Presence:* [0..1]

*Definition:* Unique identification of the original query message.

**OriginalBusinessQuery <OrgnlBizQry>** contains the following elements (see "[OriginalBusinessQuery1](#)" on page 2680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2680
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2680
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2681

#### 77.1.17.13.5 QueryName <QryNm>

*Presence:* [0..1]

*Definition:* Recalls the criteria (search and return criteria) defined in a preceding query.

*Datatype:* "[Max35Text](#)" on page 2865

#### 77.1.17.14 OriginalBusinessInstruction1

*Definition:* Unique identification, as assigned by the original requestor, to unambiguously identify the business instruction message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2658
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2659
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2659

##### 77.1.17.14.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the original initiating party, to unambiguously identify the original instruction message.

*Datatype:* "[Max35Text](#)" on page 2865

### 77.1.17.14.2 MessageNameIdentification <MsgNmId>

*Presence:* [0..1]

*Definition:* Specifies the instruction message name identifier to which the message refers.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.14.3 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 77.1.17.15 AmountOrPercentageRange1

*Definition:* Provides constrains on a range of business values.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operation <Opr>	[0..1]	CodeSet		2659
	Term <Term>	[0..10]			2659
	Operator <Oprtr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 77.1.17.15.1 Operation <Opr>

*Presence:* [0..1]

*Definition:* Indication of the relationship between two variables.

*Datatype:* "Operation1Code" on page 2835

CodeName	Name	Definition
TILL	Till	Indicates a range delimited by two variables.
ORRR	Or	Indicates either of two variables is valid, but not both.
ANDD	And	Indicates that only when both variables are given, the operation is valid.

#### 77.1.17.15.2 Term <Term>

*Presence:* [0..10]

*Definition:* Indicates one of the constraints of a range of business values.

**Term <Term>** contains the following **Term1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Operator <Optr>	[1..1]	CodeSet		2660
	Value <Val>	[1..1]			2660
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

#### 77.1.17.15.2.1 Operator <Optr>

*Presence:* [1..1]

*Definition:* Provides the relationship between a variable and a fixed value.

*Datatype:* "Operator1Code" on page 2835

CodeName	Name	Definition
SMAL	SmallerThan	Smaller than.
SMEQ	SmallerOrEqualTo	Smaller or equal to.
GREA	GreaterThan	Greater than.
GREQ	GreaterOrEqualTo	Greater or equal to.
EQAL	EqualTo	Equal to.

#### 77.1.17.15.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Indicates the value.

**Value <Val>** contains one of the following **RateOrAbsoluteValue1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RateValue <RateVal>	[1..1]	Rate		2660
Or}	AbsoluteValue <AbsVal>	[1..1]	Quantity		2660

##### 77.1.17.15.2.2.1 RateValue <RateVal>

*Presence:* [1..1]

*Definition:* A rate expressed as a percentage.

*Datatype:* "PercentageRate" on page 2862

##### 77.1.17.15.2.2.2 AbsoluteValue <AbsVal>

*Presence:* [1..1]

*Definition:* Absolute value determined with a number.

*Datatype:* "Number" on page 2862



## 77.1.17.16 RateAndAmountFormat1Choice

*Definition:* Choice of format between rate, amount and not specified.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2661
Or	Amount <Amt>	[1..1]	Amount	C1, C4	2661
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			2661
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

### 77.1.17.16.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* The value is expressed as a rate.

*Datatype:* "PercentageRate" on page 2862

### 77.1.17.16.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* The value is expressed as a currency and amount.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 77.1.17.16.3 NotSpecifiedRate <NotSpcdfRate>

*Presence:* [1..1]

*Definition:* No value is specified.

**NotSpecifiedRate** <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2662
Or}	Proprietary <Prtry>	[1..1]	±		2662

#### 77.1.17.16.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of rate.

*Datatype:* "RateType12Code" on page 2843

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

#### 77.1.17.16.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636

#### 77.1.17.17 RegistrationReason5

*Definition:* Reason of registration.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2662
{Or	Code <Cd>	[1..1]	CodeSet		2663
Or}	Proprietary <Prtry>	[1..1]	±		2663
	AdditionalInformation <AddtlInf>	[0..1]	Text		2663

#### 77.1.17.17.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason of the holding status.

**Code <Cd>** contains one of the following **Registration10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2663
Or}	Proprietary <Prtry>	[1..1]	±		2663

#### 77.1.17.17.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Registration information expressed as an ISO 20022 code.

*Datatype:* "Registration2Code" on page 2844

CodeName	Name	Definition
PTYH	PartyHold	You or your party set the instruction in a hold/frozen/preadvice mode.
CSDH	CSDHold	Central securities depository sets the instruction in a hold/frozen/preadvice mode.
CDEL	ConditionalDelivery	Instruction is in a hold/frozen/preadvice mode as it fulfils predefined conditional delivery rules in the market infrastructure platform for conditional delivery.
CVAL	CSDValidation	Instruction is in a hold/frozen/preadvice mode as it fulfils predefined conditions of a restriction processing type in the market infrastructure platform.

#### 77.1.17.17.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Registration information expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.17.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

## 77.1.17.18 MessageHeader2

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2664
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2664
	RequestType <ReqTp>	[0..1]			2664
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2664
Or	Enquiry <Enqry>	[1..1]	CodeSet		2665
Or}	Proprietary <Prtry>	[1..1]	±		2665

### 77.1.17.18.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.18.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 77.1.17.18.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType <ReqTp>** contains one of the following **RequestType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2664
Or	Enquiry <Enqry>	[1..1]	CodeSet		2665
Or}	Proprietary <Prtry>	[1..1]	±		2665

#### 77.1.17.18.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "RequestType1Code" on page 2846

CodeName	Name	Definition
RT01	ReleasePending	Type is a request to release the pending transactions.
RT02	HoldNormal	Type is a request to hold normal transactions.
RT03	Cancellation	Type is a request to cancellation a transaction.
RT04	ReSequence	Type is a request to change the sequence of the transactions.
RT05	ReleaseSuspicious	Type is a request to release the suspicious transactions.

#### 77.1.17.18.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "RequestType2Code" on page 2846

CodeName	Name	Definition
RT11	BankPositionEnquiry	Type is an enquiry on the bank's position.
RT12	SpecificTransactionEnquiry	Type is an enquiry on a specific transaction.
RT13	QueuedTransactionEnquiry	Type is an enquiry on a queued transaction.
RT14	SuspiciousTransactionEnquiry	Type is an enquiry on a suspicious transaction.
RT15	PendingInwardCreditsEnquiry	Type is an enquiry on pending inward credits.

#### 77.1.17.18.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

#### 77.1.17.19 OptionStyle8Choice

*Definition:* Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2666
Or}	Proprietary <Prtry>	[1..1]	±		2666

#### 77.1.17.19.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option style expressed as an ISO 20022 code.

*Datatype:* "OptionStyle2Code" on page 2836

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

#### 77.1.17.19.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.20 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		2666
	Envelope <Envlp>	[1..1]	(External Schema)		2667

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 77.1.17.20.1 PlaceAndName <PlcAndNm>

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 2865

### 77.1.17.20.2 Envelope <Envlp>

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

### 77.1.17.21 BlockChainAddressWallet3

*Definition:* Digital account where digital assets or digital tokens can be stored and where an entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2667
	Type <Tp>	[0..1]	±		2667
	Name <Nm>	[0..1]	Text		2667

#### 77.1.17.21.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unambiguous identification for the account between the account owner and the account servicer.

*Datatype:* "Max140Text" on page 2863

#### 77.1.17.21.2 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type of securities account.

**Type <Tp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.21.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Description of the account.

*Datatype:* "Max70Text" on page 2867

## 77.1.17.22 UnilateralSplit3Choice

*Definition:* Specifies the matching processing change requested.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2668
Or}	Proprietary <Prtry>	[1..1]	±		2668

### 77.1.17.22.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unilateral split expressed as an ISO 20022 code.

*Datatype:* "SecuritiesTransactionType5Code" on page 2850

CodeName	Name	Definition
TRAD	Trade	Relates to the settlement of a trade.

### 77.1.17.22.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unilateral split expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.17.23 ForeignExchangeTerms23

*Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	2668
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	2669
	ExchangeRate <XchgRate>	[1..1]	Rate		2669
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C4	2669

### 77.1.17.23.1 UnitCurrency <UnitCcy>

*Presence:* [1..1]



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*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 77.1.17.23.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 2797

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 77.1.17.23.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOneRate" on page 2862

### 77.1.17.23.4 ResultingAmount <RsltgAmt>

*Presence:* [1..1]

*Definition:* Counter value of a foreign exchange conversion.

*Impacted by:* C1 "ActiveCurrency", C4 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 2794

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 77.1.17.24 RestrictionIdentification1

*Definition:* Restriction References applied on the transaction for which the securities settlement condition modification is requested.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		2670
	Identification <Id>	[1..1]	Text		2670

### 77.1.17.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Restriction identification removal or addition applied on the transaction expressed as a code.

*Datatype:* "RestrictionReference1Code" on page 2846

CodeName	Name	Definition
ADDC	AddCashRestrictionReference	Addition of a cash restriction reference representing a cash sub-balance to be utilised within the settlement instruction for the debiting or crediting of cash.
ADDS	AddSecuritiesRestrictionReference	Addition of a securities restriction reference representing a securities sub-position to be utilised within the settlement instruction for the delivery or receipt of securities.
REMC	RemoveCashRestrictionReference	Removal of a cash restriction reference, already present within the settlement instruction, representing a cash sub-balance to be utilised within the settlement instruction for the debiting or crediting of cash.
REMS	RemoveSecuritiesRestrictionReference	Removal of a securities restriction reference, already present within the settlement instruction, representing a securities sub-position to be utilised within the settlement instruction for the delivery or receipt of securities.

### 77.1.17.24.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Restriction identification applied on the transaction.

*Datatype:* "Max35Text" on page 2865

## 77.1.17.25 YieldedOrValueType1Choice

*Definition:* Choice of value type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Yielded <Yldd>	[1..1]	Indicator		2671
Or}	ValueType <ValTp>	[1..1]	CodeSet		2671

### 77.1.17.25.1 Yielded <Yldd>

*Presence:* [1..1]

*Definition:* Indicates whether the price is expressed as a yield.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 77.1.17.25.2 ValueType <ValTp>

*Presence:* [1..1]

*Definition:* Type of value in which the price is expressed.

*Datatype:* ["PriceValueType1Code"](#) on page 2842

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

## 77.1.17.26 HoldIndicator6

*Definition:* Specifies whether the transaction is on hold/blocked/frozen.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		2671
	Reason <Rsn>	[0..*]	±		2672

### 77.1.17.26.1 Indicator <Ind>

*Presence:* [1..1]

*Definition:* Specifies whether the transaction is on hold/blocked/frozen.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 77.1.17.26.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Specifies the reason of the registration status.

**Reason <Rsn>** contains the following elements (see "RegistrationReason5" on page 2662 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2662
{Or	Code <Cd>	[1..1]	CodeSet		2663
Or}	Proprietary <Prtry>	[1..1]	±		2663
	AdditionalInformation <AddtlInf>	[0..1]	Text		2663

### 77.1.17.27 ProcessingPosition7Choice

*Definition:* Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2672
Or}	Proprietary <Prtry>	[1..1]	±		2673

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

### 77.1.17.27.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Processing position expressed as an ISO 20022 code.

*Datatype:* "ProcessingPosition3Code" on page 2843

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

CodeName	Name	Definition
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

#### 77.1.17.27.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Processing position expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.17.28 AutomaticBorrowing7Choice

*Definition:* Choice of format for the automatic borrowing information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2673
Or}	Proprietary <Prtry>	[1..1]	±		2673

##### 77.1.17.28.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Condition for automatic borrowing expressed as an ISO 20022 code.

*Datatype:* "[AutoBorrowing2Code](#)" on page 2799

CodeName	Name	Definition
LAMI	LastResort	Only last resort borrowing should be considered to make settlement occur.
NBOR	NoAutomatic	No automatic borrowing should take place.
YBOR	Automatic	Automatic borrowing should take place.
RTRN	Return	Return of stocks should take place.

##### 77.1.17.28.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Condition for automatic borrowing expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.17.29 Number22Choice

*Definition:* Choice number format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Short <Shrt>	[1..1]	Text		2674
Or}	Long <Lng>	[1..1]	±		2674

### 77.1.17.29.1 Short <Shrt>

*Presence:* [1..1]

*Definition:* Number of maximum 3 numeric text.

*Datatype:* "[Exact3NumericText](#)" on page 2862

### 77.1.17.29.2 Long <Lng>

*Presence:* [1..1]

*Definition:* Number of maximum 35 text, with the possibility to provide an issuer for the number identification.

**Long <Lng>** contains the following elements (see "[GenericIdentification1](#)" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

## 77.1.17.30 FormOfSecurity8Choice

*Definition:* Choice of format for form of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2674
Or}	Proprietary <Prtry>	[1..1]	±		2675

### 77.1.17.30.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Form of security expressed as an ISO 20022 code.

*Datatype:* "FormOfSecurity1Code" on page 2825

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

### 77.1.17.30.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Form of security expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.17.31 InterestComputationMethodFormat4Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2675
Or}	Proprietary <Prtry>	[1..1]	±		2678

#### 77.1.17.31.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the method used to compute accruing interest of a financial instrument.

*Datatype:* "InterestComputationMethod2Code" on page 2827

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the

CodeName	Name	Definition
		period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is



CodeName	Name	Definition
		assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of

CodeName	Name	Definition
		February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

### 77.1.17.31.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the format of interest computation method.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.17.32 NumberCount1Choice

*Definition:* Choice of number count type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CurrentInstructionNumber <CurlInstrNb>	[1..1]	Text		2679
Or}	TotalNumber <TtlNb>	[1..1]		C11, C7	2679
	CurrentInstructionNumber <CurlInstrNb>	[1..1]	Text		2680
	TotalOfLinkedInstructions <TtlOfLkdInstrs>	[1..1]	Text		2680

#### Constraints

- **CurrentInstructionNumberRule**

If the Sender wants to indicate that this instruction is linked to one or more settlement instruction, then the CurrentInstructionNumber must be specified.

#### 77.1.17.32.1 CurrentInstructionNumber <CurlInstrNb>

*Presence:* [1..1]

*Definition:* Sequential number of the instruction in a range of linked settlement instructions.

*Datatype:* "[Exact3NumericText](#)" on page 2862

#### 77.1.17.32.2 TotalNumber <TtlNb>

*Presence:* [1..1]

*Definition:* Total numbers of settlement transactions, receipts and deliveries, and the concerned settlement transaction number.

*Impacted by:* [C11 "CurrentInstructionNumberRule"](#), [C7 "BlockTradeGuideline"](#)

**TotalNumber <TtlNb>** contains the following **TotalNumber1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrentInstructionNumber <CurlInstrNb>	[1..1]	Text		2680
	TotalOfLinkedInstructions <TtlOfLkdInstrs>	[1..1]	Text		2680

## Constraints

- **BlockTradeGuideline**

In a block trade instruction, the total number of children must be provided using TotalOfLinkedInstructions.

The CurrentInstructionNumber of the parent must be 000. The children must have an incremental CurrentInstructionNumber from 001 to 999.

For more details, see the relevant market practice document on [www.smpg.info](http://www.smpg.info).

- **CurrentInstructionNumberRule**

If the Sender wants to indicate that this instruction is linked to one or more settlement instruction, then the CurrentInstructionNumber must be specified.

### 77.1.17.32.2.1 CurrentInstructionNumber <CurInstrNb>

*Presence:* [1..1]

*Definition:* Sequential number of the instruction in a range of linked settlement instructions.

*Datatype:* "Exact3NumericText" on page 2862

### 77.1.17.32.2.2 TotalOfLinkedInstructions <TtlOfLkdInstrs>

*Presence:* [1..1]

*Definition:* Total number of settlement instructions that are linked together.

*Datatype:* "Exact3NumericText" on page 2862

## 77.1.17.33 OriginalBusinessQuery1

*Definition:* Unique identification, as assigned by the original requestor, to unambiguously identify the business query message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2680
	MessageNameIdentification <MsgNmId>	[0..1]	Text		2680
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2681

### 77.1.17.33.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the original initiating party, to unambiguously identify the original query message.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.33.2 MessageNameIdentification <MsgNmId>

*Presence:* [0..1]

*Definition:* Specifies the query message name identifier to which the message refers.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.33.3 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 2858

### 77.1.17.34 MarketInfrastructureIdentification1Choice

*Definition:* Specifies the market infrastructure identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	Text		2681

#### 77.1.17.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Infrastructure through which the payment instruction is processed, as published in an external clearing system identification code list.

*Datatype:* "ExternalMarketInfrastructure1Code" on page 2817

#### 77.1.17.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Clearing system identification in a proprietary form.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.35 UpdateType15Choice

*Definition:* Choice of format for the update information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	±		2682

#### 77.1.17.35.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Indicates whether the report is complete or contains changes only.

*Datatype:* "StatementUpdateType1Code" on page 2852

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

### 77.1.17.35.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Indicates whether the report is complete or contains changes only.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.17.36 FormOfSecurity6Choice

*Definition:* Choice of format for the form of securities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2682
Or}	Proprietary <Prtry>	[1..1]	±		2682

#### 77.1.17.36.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Form of the security expressed as an ISO 20022 code.

*Datatype:* "[FormOfSecurity1Code](#)" on page 2825

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

#### 77.1.17.36.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Form of the security expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.17.37 MessageHeader9

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		2683
	CreationDateTime <CreDtTm>	[0..1]	DateTime		2683
	RequestType <ReqTp>	[0..1]			2683
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2683
Or	Enquiry <Enqry>	[1..1]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

### 77.1.17.37.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 2865

### 77.1.17.37.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 2858

### 77.1.17.37.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType <ReqTp>** contains one of the following **RequestType4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentControl <PmtCtrl>	[1..1]	CodeSet		2683
Or	Enquiry <Enqry>	[1..1]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 77.1.17.37.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "ExternalPaymentControlRequestType1Code" on page 2818

### 77.1.17.37.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "ExternalEnquiryRequestType1Code" on page 2816

### 77.1.17.37.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification1" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638
	Issuer <Issr>	[0..1]	Text		2638

### 77.1.17.38 OptionType8Choice

*Definition:* Choice of format for option type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..*]	CodeSet		2684
Or}	Proprietary <Prtry>	[1..1]	±		2684

#### 77.1.17.38.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Option type expressed as an ISO 20022 code.

*Datatype:* "OptionType1Code" on page 2836

CodeName	Name	Definition
CALL	Call	Right to buy a quantity of an asset for an agreed price at exercise date.
PUTO	Put	Right to sell a quantity of an asset for an agreed price at exercise date.

#### 77.1.17.38.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.18 Party Identification

### 77.1.18.1 PartyIdentification120Choice

*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2685
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2685
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2686

#### 77.1.18.1.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* [C2 "AnyBIC"](#)

*Datatype:* ["AnyBICDec2014Identifier"](#) on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 77.1.18.1.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

#### 77.1.18.1.3 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 2718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2718
	Address <Adr>	[0..1]	±		2718

#### 77.1.18.2 PartyIdentification127Choice

*Definition:* Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2686
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2686

##### 77.1.18.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C2 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 2858

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 77.1.18.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 2636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2636
	Issuer <Issr>	[1..1]	Text		2636
	SchemeName <SchmeNm>	[0..1]	Text		2636

### 77.1.18.3 PartyIdentification136

*Definition:* Identification of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 77.1.18.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 2685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2685
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2685
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2686

#### 77.1.18.3.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* "[LEIIdentifier](#)" on page 2860

### 77.1.18.4 PartyIdentification148

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2688
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2688
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2688
Or}	Country <Ctry>	[1..1]	CodeSet	C3	2689
	LEI <LEI>	[0..1]	IdentifierSet		2689
	ProcessingIdentification <PrctlId>	[0..1]	Text		2689

#### 77.1.18.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following **PartyIdentification122Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2688
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2688
Or}	Country <Ctry>	[1..1]	CodeSet	C3	2689

##### 77.1.18.4.1.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

*Impacted by:* C2 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

##### 77.1.18.4.1.2 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

**NameAndAddress <NmAndAdr>** contains the following elements (see ["NameAndAddress5"](#) on page 2718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2718
	Address <Adr>	[0..1]	±		2718

#### 77.1.18.4.1.3 Country <Ctry>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

*Impacted by:* [C3 "Country"](#)

*Datatype:* ["CountryCode"](#) on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 77.1.18.4.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* ["LEIIdentifier"](#) on page 2860

#### 77.1.18.4.3 ProcessingIdentification <PrcgId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction for the party identified.

*Datatype:* ["Max35Text"](#) on page 2865

#### 77.1.18.5 PartyIdentification272

*Definition:* Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2690
	PostalAddress <PstlAdr>	[0..1]	±		2691
	Identification <Id>	[0..1]			2691
{Or	OrganisationIdentification <OrgId>	[1..1]			2692
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer <Issr>	[0..1]	Text		2694
Or}	PrivateIdentification <PrvtId>	[1..1]			2694
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	2697
	ContactDetails <CtctDtls>	[0..1]	±		2697

#### 77.1.18.5.1 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

### 77.1.18.5.2 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress27" on page 2707 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2708
{Or	Code <Cd>	[1..1]	CodeSet		2708
Or}	Proprietary <Prtry>	[1..1]	±		2709
	CareOf <CareOf>	[0..1]	Text		2709
	Department <Dept>	[0..1]	Text		2709
	SubDepartment <SubDept>	[0..1]	Text		2709
	StreetName <StrtNm>	[0..1]	Text		2709
	BuildingNumber <BldgNb>	[0..1]	Text		2710
	BuildingName <BldgNm>	[0..1]	Text		2710
	Floor <Flr>	[0..1]	Text		2710
	UnitNumber <UnitNb>	[0..1]	Text		2710
	PostBox <PstBx>	[0..1]	Text		2710
	Room <Room>	[0..1]	Text		2710
	PostCode <PstCd>	[0..1]	Text		2710
	TownName <TwnNm>	[0..1]	Text		2710
	TownLocationName <TwnLctnNm>	[0..1]	Text		2711
	DistrictName <DstrctNm>	[0..1]	Text		2711
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2711
	Country <Ctry>	[0..1]	CodeSet	C3	2711
	AddressLine <AdrLine>	[0..7]	Text		2711

### 77.1.18.5.3 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a party.

**Identification <Id>** contains one of the following **Party52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]			2692
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer </Issr>	[0..1]	Text		2694
Or}	PrivateIdentification <PrvtId>	[1..1]			2694
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer </Issr>	[0..1]	Text		2697

#### 77.1.18.5.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.



**OrganisationIdentification <OrgId>** contains the following **OrganisationIdentification39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C2	2693
	LEI <LEI>	[0..1]	IdentifierSet		2693
	Other <Othr>	[0..*]			2693
	Identification </Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer </Issr>	[0..1]	Text		2694

#### 77.1.18.5.3.1.1 AnyBIC <AnyBIC>

*Presence:* [0..1]

*Definition:* Business identification code of the organisation.

*Impacted by:* C2 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 2858

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 77.1.18.5.3.1.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* "LEIIdentifier" on page 2860

#### 77.1.18.5.3.1.3 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of an organisation, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericOrganisationIdentification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2694
	SchemeName <SchmeNm>	[0..1]			2694
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694
	Issuer <Issr>	[0..1]	Text		2694

#### 77.1.18.5.3.1.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max256Text" on page 2865

#### 77.1.18.5.3.1.3.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2694
Or}	Proprietary <Prtry>	[1..1]	Text		2694

#### 77.1.18.5.3.1.3.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalOrganisationIdentification1Code" on page 2818

#### 77.1.18.5.3.1.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 2865

#### 77.1.18.5.3.1.3.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.18.5.3.2 PrivateIdentification <PrvtId>

*Presence:* [1..1]

**Definition:** Unique and unambiguous identification of a person, for example a passport.

**PrivateIdentification <PrvtId>** contains the following **PersonIdentification18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			2695
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696
	Other <Othr>	[0..*]			2696
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697

#### 77.1.18.5.3.2.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

**Presence:** [0..1]

**Definition:** Date and place of birth of a person.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		2695
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2695
	CityOfBirth <CityOfBirth>	[1..1]	Text		2696
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	2696

##### 77.1.18.5.3.2.1.1 BirthDate <BirthDt>

**Presence:** [1..1]

**Definition:** Date on which a person is born.

**Datatype:** "ISODate" on page 2858

##### 77.1.18.5.3.2.1.2 ProvinceOfBirth <PrvcOfBirth>

**Presence:** [0..1]

**Definition:** Province where a person was born.

**Datatype:** "Max35Text" on page 2865

#### 77.1.18.5.3.2.1.3 CityOfBirth <CityOfBirth>

*Presence:* [1..1]

*Definition:* City where a person was born.

*Datatype:* "Max35Text" on page 2865

#### 77.1.18.5.3.2.1.4 CountryOfBirth <CtryOfBirth>

*Presence:* [1..1]

*Definition:* Country where a person was born.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 77.1.18.5.3.2.2 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericPersonIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2696
	SchemeName <SchmeNm>	[0..1]			2696
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697
	Issuer <Issr>	[0..1]	Text		2697

##### 77.1.18.5.3.2.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* "Max256Text" on page 2865

##### 77.1.18.5.3.2.2.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2697
Or}	Proprietary <Prtry>	[1..1]	Text		2697

#### 77.1.18.5.3.2.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalPersonIdentification1Code" on page 2819

#### 77.1.18.5.3.2.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 2865

#### 77.1.18.5.3.2.2.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 2865

#### 77.1.18.5.4 CountryOfResidence <CtryOfRes>

*Presence:* [0..1]

*Definition:* Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 2812

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 77.1.18.5.5 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Set of elements used to indicate how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact13" on page 2704 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2704
	Name <Nm>	[0..1]	Text		2705
	PhoneNumber <PhneNb>	[0..1]	Text		2705
	MobileNumber <MobNb>	[0..1]	Text		2705
	FaxNumber <FaxNb>	[0..1]	Text		2705
	URLAddress <URLAdr>	[0..1]	Text		2705
	EmailAddress <EmailAdr>	[0..1]	Text		2705
	EmailPurpose <EmailPurp>	[0..1]	Text		2705
	JobTitle <JobTitl>	[0..1]	Text		2706
	Responsibility <Rspnsblty>	[0..1]	Text		2706
	Department <Dept>	[0..1]	Text		2706
	Other <Othr>	[0..*]			2706
	ChannelType <ChanlTp>	[1..1]	Text		2706
	Identification <Id>	[0..1]	Text		2706
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2706

### 77.1.18.6 PartyIdentificationAndAccount170

*Definition:* Party and account details.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2698
	LEI <LEI>	[0..1]	IdentifierSet		2699
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2699
	ProcessingIdentification <Prcgld>	[0..1]	Text		2699

#### 77.1.18.6.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 2685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2685
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2685
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2686

#### 77.1.18.6.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* "[LEIIdentifier](#)" on page 2860

#### 77.1.18.6.3 SafekeepingAccount <SfkpgAcct>

*Presence:* [0..1]

*Definition:* Account to or from which a securities entry is made.

**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 2591 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2591
	Type <Tp>	[0..1]	±		2591
	Name <Nm>	[0..1]	Text		2591

#### 77.1.18.6.4 ProcessingIdentification <PrcgId>

*Presence:* [0..1]

*Definition:* Unambiguous identification of the transaction for the party identified.

*Datatype:* "[Max35Text](#)" on page 2865

#### 77.1.18.7 SystemPartyIdentification8

*Definition:* Unique and unambiguous identification of a party within a system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2699
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		2700

##### 77.1.18.7.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**Identification <Id>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

#### 77.1.18.7.2 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the maintenance of the party reference data.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "PartyIdentification136" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2687
	LEI <LEI>	[0..1]	IdentifierSet		2687

## 77.1.19 Person Identification

### 77.1.19.1 Contact14

*Definition:* Specifies the details of the contact person.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2701
	Name <Nm>	[0..1]	Text		2701
	PhoneNumber <PhneNb>	[0..1]	Text		2702
	MobileNumber <MobNb>	[0..1]	Text		2702
	FaxNumber <FaxNb>	[0..1]	Text		2702
	URLAddress <URLAdr>	[0..1]	Text		2702
	EmailAddress <EmailAdr>	[0..1]	Text		2702
	EmailPurpose <EmailPurp>	[0..1]	Text		2702
	JobTitle <JobTit>	[0..1]	Text		2702
	Responsibility <Rspnsblty>	[0..1]	Text		2702
	Department <Dept>	[0..1]	Text		2703
	Other <Othr>	[0..*]			2703
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2703
	ValidFrom <VldFr>	[0..1]	Date		2704
	ValidTo <VldTo>	[0..1]	Date		2704

#### 77.1.19.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* "NamePrefix2Code" on page 2834

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 77.1.19.1.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

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#### **77.1.19.1.3 PhoneNumber <PhneNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

#### **77.1.19.1.4 MobileNumber <MobNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

#### **77.1.19.1.5 FaxNumber <FaxNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

#### **77.1.19.1.6 URLAddress <URLAdr>**

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example an address used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 2864

#### **77.1.19.1.7 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 2865

#### **77.1.19.1.8 EmailPurpose <EmailPurp>**

*Presence:* [0..1]

*Definition:* Purpose for which an email address may be used.

*Datatype:* "Max35Text" on page 2865

#### **77.1.19.1.9 JobTitle <JobTitl>**

*Presence:* [0..1]

*Definition:* Title of the function.

*Datatype:* "Max35Text" on page 2865

#### **77.1.19.1.10 Responsibility <Rspnsblty>**

*Presence:* [0..1]

*Definition:* Role of a person in an organisation.

*Datatype:* "Max35Text" on page 2865

#### 77.1.19.1.11 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 2867

#### 77.1.19.1.12 Other <Othr>

*Presence:* [0..\*]

*Definition:* Contact details in another form.

**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanlTp>	[1..1]	Text		2703
	Identification <Id>	[0..1]	Text		2703

##### 77.1.19.1.12.1 ChannelType <ChanlTp>

*Presence:* [1..1]

*Definition:* Method used to contact the financial institution's contact for the specific tax region.

*Datatype:* "Max4Text" on page 2866

##### 77.1.19.1.12.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Communication value such as phone number or email address.

*Datatype:* "Max128Text" on page 2863

#### 77.1.19.1.13 PreferredMethod <PrefrdMtd>

*Presence:* [0..1]

*Definition:* Preferred method used to reach the technical contact.

*Datatype:* "PreferredContactMethod2Code" on page 2841

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.
PHON	Phone	Preferred method used to reach the contact is per phone.

#### 77.1.19.1.14 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting validity date for the contact.

*Datatype:* "ISODate" on page 2858

#### 77.1.19.1.15 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Ending validity date for the contact.

*Datatype:* "ISODate" on page 2858

### 77.1.19.2 Contact13

*Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2704
	Name <Nm>	[0..1]	Text		2705
	PhoneNumber <PhneNb>	[0..1]	Text		2705
	MobileNumber <MobNb>	[0..1]	Text		2705
	FaxNumber <FaxNb>	[0..1]	Text		2705
	URLAddress <URLAdr>	[0..1]	Text		2705
	EmailAddress <EmailAdr>	[0..1]	Text		2705
	EmailPurpose <EmailPurp>	[0..1]	Text		2705
	JobTitle <JobTitl>	[0..1]	Text		2706
	Responsibility <Rspnsblty>	[0..1]	Text		2706
	Department <Dept>	[0..1]	Text		2706
	Other <Othr>	[0..*]			2706
	ChannelType <ChanlTp>	[1..1]	Text		2706
	Identification <Id>	[0..1]	Text		2706
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		2706

#### 77.1.19.2.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* "NamePrefix2Code" on page 2834

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.

CodeName	Name	Definition
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 77.1.19.2.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 2863

#### 77.1.19.2.3 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

#### 77.1.19.2.4 MobileNumber <MobNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

#### 77.1.19.2.5 FaxNumber <FaxNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

#### 77.1.19.2.6 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example an address used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 2864

#### 77.1.19.2.7 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 2865

#### 77.1.19.2.8 EmailPurpose <EmailPurp>

*Presence:* [0..1]

*Definition:* Purpose for which an email address may be used.

*Datatype:* "Max35Text" on page 2865

#### 77.1.19.2.9 JobTitle <JobTitl>

*Presence:* [0..1]

*Definition:* Title of the function.

*Datatype:* "Max35Text" on page 2865

#### 77.1.19.2.10 Responsibility <Rspnsblty>

*Presence:* [0..1]

*Definition:* Role of a person in an organisation.

*Datatype:* "Max35Text" on page 2865

#### 77.1.19.2.11 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 2867

#### 77.1.19.2.12 Other <Othr>

*Presence:* [0..\*]

*Definition:* Contact details in another form.

**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanlTp>	[1..1]	Text		2706
	Identification <Id>	[0..1]	Text		2706

##### 77.1.19.2.12.1 ChannelType <ChanlTp>

*Presence:* [1..1]

*Definition:* Method used to contact the financial institution's contact for the specific tax region.

*Datatype:* "Max4Text" on page 2866

##### 77.1.19.2.12.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Communication value such as phone number or email address.

*Datatype:* "Max128Text" on page 2863

#### 77.1.19.2.13 PreferredMethod <PrefrdMtd>

*Presence:* [0..1]

*Definition:* Preferred method used to reach the contact.

*Datatype:* "PreferredContactMethod2Code" on page 2841

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.

CodeName	Name	Definition
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.
PHON	Phone	Preferred method used to reach the contact is per phone.

## 77.1.20 Postal Address

### 77.1.20.1 PostalAddress27

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2708
{Or	Code <Cd>	[1..1]	CodeSet		2708
Or}	Proprietary <Prtry>	[1..1]	±		2709
	CareOf <CareOf>	[0..1]	Text		2709
	Department <Dept>	[0..1]	Text		2709
	SubDepartment <SubDept>	[0..1]	Text		2709
	StreetName <StrtNm>	[0..1]	Text		2709
	BuildingNumber <BldgNb>	[0..1]	Text		2710
	BuildingName <BldgNm>	[0..1]	Text		2710
	Floor <Flr>	[0..1]	Text		2710
	UnitNumber <UnitNb>	[0..1]	Text		2710
	PostBox <PstBx>	[0..1]	Text		2710
	Room <Room>	[0..1]	Text		2710
	PostCode <PstCd>	[0..1]	Text		2710
	TownName <TwnNm>	[0..1]	Text		2710
	TownLocationName <TwnLctnNm>	[0..1]	Text		2711
	DistrictName <DstrctNm>	[0..1]	Text		2711
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2711
	Country <Ctry>	[0..1]	CodeSet	C3	2711
	AddressLine <AdrLine>	[0..7]	Text		2711

#### 77.1.20.1.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2708
Or}	Proprietary <Prtry>	[1..1]	±		2709

#### 77.1.20.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of address expressed as a code.

*Datatype:* "AddressType2Code" on page 2798



CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 77.1.20.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of address expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.20.1.2 CareOf <CareOf>

*Presence:* [0..1]

*Definition:* Identifies an addressee that is accepting the correspondence for the intended recipient. Using care of ensures the correspondence reaches the right recipient rather than getting returned to the sender.

*Datatype:* "[Max140Text](#)" on page 2863

#### 77.1.20.1.3 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "[Max70Text](#)" on page 2867

#### 77.1.20.1.4 SubDepartment <SubDept>

*Presence:* [0..1]

*Definition:* Identification of a sub-division of a large organisation or building.

*Datatype:* "[Max70Text](#)" on page 2867

#### 77.1.20.1.5 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.1.6 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.1.7 BuildingName <BldgNm>**

*Presence:* [0..1]

*Definition:* Name of the building or house.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.1.8 Floor <Flr>**

*Presence:* [0..1]

*Definition:* Floor or storey within a building.

*Datatype:* "Max70Text" on page 2867

#### **77.1.20.1.9 UnitNumber <UnitNb>**

*Presence:* [0..1]

*Definition:* Identifies a flat or dwelling within the building.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.1.10 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.1.11 Room <Room>**

*Presence:* [0..1]

*Definition:* Building room number.

*Datatype:* "Max70Text" on page 2867

#### **77.1.20.1.12 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.1.13 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

---

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.1.14 TownLocationName <TwnLctnNm>**

*Presence:* [0..1]

*Definition:* Specific location name within the town.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.1.15 DistrictName <DstrctNm>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision within a country sub-division.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.1.16 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country such as state, region, county.

*Datatype:* "Max35Text" on page 2865

#### **77.1.20.1.17 Country <Ctry>**

*Presence:* [0..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **77.1.20.1.18 AddressLine <AdrLine>**

*Presence:* [0..7]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

*Datatype:* "Max70Text" on page 2867

#### **77.1.20.2 PostalAddress1**

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2712
	AddressLine <AdrLine>	[0..5]	Text		2712
	StreetName <StrtNm>	[0..1]	Text		2712
	BuildingNumber <BldgNb>	[0..1]	Text		2712
	PostCode <PstCd>	[0..1]	Text		2713
	TownName <TwnNm>	[0..1]	Text		2713
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2713
	Country <Ctry>	[1..1]	CodeSet	C3	2713

#### 77.1.20.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 2798

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 77.1.20.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 2867

#### 77.1.20.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 2867

#### 77.1.20.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

---

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.2.5 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.2.6 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 2865

#### **77.1.20.2.7 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 2865

#### **77.1.20.2.8 Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **77.1.20.3 PostalAddress28**

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2714
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715
	CareOf <CareOf>	[0..1]	Text		2715
	Department <Dept>	[0..1]	Text		2715
	SubDepartment <SubDept>	[0..1]	Text		2715
	StreetName <StrtNm>	[0..1]	Text		2715
	BuildingNumber <BldgNb>	[0..1]	Text		2716
	BuildingName <BldgNm>	[0..1]	Text		2716
	Floor <Flr>	[0..1]	Text		2716
	UnitNumber <UnitNb>	[0..1]	Text		2716
	PostBox <PstBx>	[0..1]	Text		2716
	Room <Room>	[0..1]	Text		2716
	PostCode <PstCd>	[0..1]	Text		2716
	TownName <TwnNm>	[0..1]	Text		2716
	TownLocationName <TwnLctnNm>	[0..1]	Text		2717
	DistrictName <DstrctNm>	[0..1]	Text		2717
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2717
	Country <Ctry>	[0..1]	CodeSet	C3	2717
	AddressLine <AdrLine>	[0..7]	Text		2717
	ValidFrom <VldFr>	[0..1]	Date		2717

### 77.1.20.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2714
Or}	Proprietary <Prtry>	[1..1]	±		2715

#### 77.1.20.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of address expressed as a code.

*Datatype:* "AddressType2Code" on page 2798

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 77.1.20.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of address expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.20.3.2 CareOf <CareOf>

*Presence:* [0..1]

*Definition:* Identifies an addressee that is accepting the correspondence for the intended recipient. Using care of ensures the correspondence reaches the right recipient rather than getting returned to the sender.

*Datatype:* "Max140Text" on page 2863

#### 77.1.20.3.3 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 2867

#### 77.1.20.3.4 SubDepartment <SubDept>

*Presence:* [0..1]

*Definition:* Identification of a sub-division of a large organisation or building.

*Datatype:* "Max70Text" on page 2867

#### 77.1.20.3.5 StreetName <StrtNm>

*Presence:* [0..1]

---

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.3.6 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.3.7 BuildingName <BldgNm>**

*Presence:* [0..1]

*Definition:* Name of the building or house.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.3.8 Floor <Flr>**

*Presence:* [0..1]

*Definition:* Floor or storey within a building.

*Datatype:* "Max70Text" on page 2867

#### **77.1.20.3.9 UnitNumber <UnitNb>**

*Presence:* [0..1]

*Definition:* Identifies a flat or dwelling within the building.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.3.10 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.3.11 Room <Room>**

*Presence:* [0..1]

*Definition:* Building room number.

*Datatype:* "Max70Text" on page 2867

#### **77.1.20.3.12 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 2864

#### **77.1.20.3.13 TownName <TwnNm>**

*Presence:* [0..1]



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*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.3.14 TownLocationName <TwnLctnNm>**

*Presence:* [0..1]

*Definition:* Specific location name within the town.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.3.15 DistrictName <DstrctNm>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision within a country sub-division.

*Datatype:* "Max140Text" on page 2863

#### **77.1.20.3.16 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country such as state, region, county.

*Datatype:* "Max35Text" on page 2865

#### **77.1.20.3.17 Country <Ctry>**

*Presence:* [0..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 2812

##### **Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **77.1.20.3.18 AddressLine <AdrLine>**

*Presence:* [0..7]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

*Datatype:* "Max70Text" on page 2867

#### **77.1.20.3.19 ValidFrom <VldFr>**

*Presence:* [0..1]

*Definition:* Specifies the date from which the address is valid.

*Datatype:* "ISODate" on page 2858

#### **77.1.20.4 NameAndAddress4**

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2718
	Address <Adr>	[1..1]	±		2718

#### 77.1.20.4.1 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

#### 77.1.20.4.2 Address <Adr>

*Presence:* [1..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following elements (see "PostalAddress1" on page 2711 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2712
	AddressLine <AdrLine>	[0..5]	Text		2712
	StreetName <StrtNm>	[0..1]	Text		2712
	BuildingNumber <BldgNb>	[0..1]	Text		2712
	PostCode <PstCd>	[0..1]	Text		2713
	TownName <TwnNm>	[0..1]	Text		2713
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2713
	Country <Ctry>	[1..1]	CodeSet	C3	2713

#### 77.1.20.5 NameAndAddress5

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2718
	Address <Adr>	[0..1]	±		2718

##### 77.1.20.5.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 2865

##### 77.1.20.5.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following elements (see "PostalAddress1" on page 2711 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2712
	AddressLine <AdrLine>	[0..5]	Text		2712
	StreetName <StrtNm>	[0..1]	Text		2712
	BuildingNumber <BldgNb>	[0..1]	Text		2712
	PostCode <PstCd>	[0..1]	Text		2713
	TownName <TwnNm>	[0..1]	Text		2713
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2713
	Country <Ctry>	[1..1]	CodeSet	C3	2713

### 77.1.20.6 PostalAddress3

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[1..1]	CodeSet		2719
	MailingIndicator <MIngInd>	[1..1]	Indicator		2719
	RegistrationAddressIndicator <RegnAdrInd>	[1..1]	Indicator		2720
	NameAndAddress <NmAndAdr>	[1..1]	±		2720

#### Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

#### 77.1.20.6.1 AddressType <AdrTp>

*Presence:* [1..1]

*Definition:* Type of address.

*Datatype:* "AddressType1Code" on page 2798

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

#### 77.1.20.6.2 MailingIndicator <MIngInd>

*Presence:* [1..1]

*Definition:* Indicates whether mail should be sent to an address.

*Datatype*: One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True*: Yes
- *Meaning When False*: No

### 77.1.20.6.3 RegistrationAddressIndicator <RegnAdrlnd>

*Presence*: [1..1]

*Definition*: Indicates whether the address is the official address of the party.

*Datatype*: One of the following values must be used (see ["YesNoIndicator"](#) on page 2861):

- *Meaning When True*: Yes
- *Meaning When False*: No

### 77.1.20.6.4 NameAndAddress <NmAndAdr>

*Presence*: [1..1]

*Definition*: Information that locates and identifies a specific address, as defined by postal services.

**NameAndAddress <NmAndAdr>** contains the following elements (see ["NameAndAddress4"](#) on page 2717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2718
	Address <Adr>	[1..1]	±		2718

### 77.1.20.7 CommunicationAddress3

*Definition*: Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Email <Email>	[0..1]	Text		2720
	Phone <Phne>	[0..1]	Text		2720
	Mobile <Mob>	[0..1]	Text		2721
	FaxNumber <FaxNb>	[0..1]	Text		2721
	TelexAddress <TlxAdr>	[0..1]	Text		2721
	URLAddress <URLAdr>	[0..1]	Text		2721

#### 77.1.20.7.1 Email <Email>

*Presence*: [0..1]

*Definition*: Address for electronic mail (e-mail).

*Datatype*: ["Max256Text"](#) on page 2865

#### 77.1.20.7.2 Phone <Phne>

*Presence*: [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

### 77.1.20.7.3 Mobile <Mob>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

### 77.1.20.7.4 FaxNumber <FaxNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 2867

### 77.1.20.7.5 TelexAddress <TlxAdr>

*Presence:* [0..1]

*Definition:* Address for a telex machine.

*Datatype:* "Max35Text" on page 2865

### 77.1.20.7.6 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example an address used over the www (HTTP) service.

*Datatype:* "Max256Text" on page 2865

## 77.1.21 Price

### 77.1.21.1 Price7

*Definition:* Type and information about a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

#### 77.1.21.1.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Specification of the price type.

**Type <Tp>** contains one of the following elements (see ["YieldedOrValueType1Choice"](#) on page 2671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Yielded <Yldd>	[1..1]	Indicator		2671
Or}	ValueType <ValTp>	[1..1]	CodeSet		2671

#### 77.1.21.1.2 Value <Val>

*Presence:* [1..1]

*Definition:* Value of the price, for example, as a currency and value.

**Value <Val>** contains one of the following elements (see ["PriceRateOrAmount3Choice"](#) on page 2722 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

#### 77.1.21.2 PriceRateOrAmount3Choice

*Definition:* Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2722
Or}	Amount <Amt>	[1..1]	Amount	C1	2722

##### 77.1.21.2.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, that is percentage.

*Datatype:* ["PercentageRate"](#) on page 2862

##### 77.1.21.2.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and value.

*Impacted by:* C1 ["ActiveOrHistoricCurrency"](#)

*Datatype:* ["ActiveOrHistoricCurrencyAnd13DecimalAmount"](#) on page 2795

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 77.1.21.3 PriceType4Choice

*Definition:* Choice of price type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Market <Mkt>	[1..1]	±		2723
Or}	Indicative <Indctv>	[1..1]	±		2723

#### 77.1.21.3.1 Market <Mkt>

*Presence:* [1..1]

*Definition:* Last reported price of a financial instrument in a market, determined by supply and demand.

**Market <Mkt>** contains the following elements (see "Price7" on page 2721 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

#### 77.1.21.3.2 Indicative <Indctv>

*Presence:* [1..1]

*Definition:* Estimated price, for valuation purposes.

**Indicative <Indctv>** contains the following elements (see "Price7" on page 2721 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2721
	Value <Val>	[1..1]	±		2722

### 77.1.21.4 PriceRateOrAmount6Choice

*Definition:* Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2723
Or	Amount <Amt>	[1..1]	Amount		2724
Or}	AmountWithCurrency <AmtWithCcy>	[1..1]	Amount	C1, C5	2724

#### 77.1.21.4.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, that is percentage.

*Datatype:* "PercentageRate" on page 2862

**77.1.21.4.2 Amount <Amt>**

*Presence:* [1..1]  
*Definition:* Price expressed as an implied currency and amount.  
*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

**77.1.21.4.3 AmountWithCurrency <AmtWthCcy>**

*Presence:* [1..1]  
*Definition:* Price expressed as an explicit currency and amount.  
*Impacted by:* C1 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"  
*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2795

**Constraints**

- **ActiveOrHistoricCurrency**  
The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.
- **CurrencyAmount**  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
  
Note: The decimal separator is a dot.

**77.1.22 Price Value**

**77.1.22.1 PriceValue1**

*Definition:* Value given to a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	2724

**77.1.22.1.1 Amount <Amt>**

*Presence:* [1..1]  
*Definition:* Price expressed as a currency and value.  
*Impacted by:* C1 "ActiveCurrency"  
*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2794

**Constraints**

- **ActiveCurrency**  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

## 77.1.23 Quantity

### 77.1.23.1 QuantityBreakdown32

*Definition:* Details of breakdown of a quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LotNumber <LotNb>	[0..1]	±		2725
	LotQuantity <LotQty>	[0..1]	±		2725
	SecuritiesSubBalanceType <SctiesSubBalTp>	[0..1]	±		2725

#### 77.1.23.1.1 LotNumber <LotNb>

*Presence:* [0..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see ["GenericIdentification37"](#) on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 77.1.23.1.2 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

#### 77.1.23.1.3 SecuritiesSubBalanceType <SctiesSubBalTp>

*Presence:* [0..1]

*Definition:* Specifies the securities sub balance type indicator (example restriction type for a market infrastructure).

**SecuritiesSubBalanceType <SctiesSubBalTp>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.23.2 QuantityBreakdown31

*Definition:* Details of breakdown of a quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LotNumber <LotNb>	[1..1]	±		2726
	LotQuantity <LotQty>	[0..1]	±		2726

#### 77.1.23.2.1 LotNumber <LotNb>

*Presence:* [1..1]

*Definition:* Identification, for tax purposes, of a lot of identical securities that are bought at a certain date and at a certain price.

**LotNumber <LotNb>** contains the following elements (see "GenericIdentification37" on page 2637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2637
	Issuer <Issr>	[0..1]	Text		2637

#### 77.1.23.2.2 LotQuantity <LotQty>

*Presence:* [0..1]

*Definition:* Quantity of financial instruments that is part of the lot described.

**LotQuantity <LotQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2630
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2630
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2630

## 77.1.24 Securities Quantity

### 77.1.24.1 OriginalAndCurrentQuantities1

*Definition:* Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		2727
	AmortisedValue <AmtsdVal>	[1..1]	Amount		2727

#### 77.1.24.1.1 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

#### 77.1.24.1.2 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 2796

## 77.1.25 Settlement Chain

### 77.1.25.1 SettlementParties78

*Definition:* Chain of parties involved in the settlement of a transaction, including receipts and deliveries, book transfers, treasury deals, or other activities, resulting in the movement of a security or amount of money from one account to another.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2728
	Party1 <Pty1>	[0..1]	±		2728
	Party2 <Pty2>	[0..1]	±		2729
	Party3 <Pty3>	[0..1]	±		2729
	Party4 <Pty4>	[0..1]	±		2729
	Party5 <Pty5>	[0..1]	±		2730

#### Constraints

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

On Condition  
 /Party2 is present  
 Following Must be True  
 /Party1 Must be present

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition  
 /Party3 is present  
 Following Must be True  
 /Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition  
 /Party4 is present  
 Following Must be True  
 /Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition  
 /Party5 is present  
 Following Must be True  
 /Party4 Must be present

### 77.1.25.1.1 Depository <Dpstry>

*Presence:* [0..1]

*Definition:* First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments.

**Depository <Dpstry>** contains the following elements (see "[PartyIdentification148](#)" on page 2687 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2688
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	2688
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2688
Or}	Country <Ctry>	[1..1]	CodeSet	C3	2689
	LEI <LEI>	[0..1]	IdentifierSet		2689
	ProcessingIdentification <PrcgId>	[0..1]	Text		2689

### 77.1.25.1.2 Party1 <Pty1>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain interacts with the depository.

**Party1 <Pty1>** contains the following elements (see "[PartyIdentificationAndAccount170](#)" on page 2698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2698
	LEI <LEI>	[0..1]	IdentifierSet		2699
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2699
	ProcessingIdentification <PrcgId>	[0..1]	Text		2699

#### 77.1.25.1.3 Party2 <Pty2>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain interacts with the party 1.

**Party2 <Pty2>** contains the following elements (see "[PartyIdentificationAndAccount170](#)" on page 2698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2698
	LEI <LEI>	[0..1]	IdentifierSet		2699
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2699
	ProcessingIdentification <PrcgId>	[0..1]	Text		2699

#### 77.1.25.1.4 Party3 <Pty3>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain interacts with the party 2.

**Party3 <Pty3>** contains the following elements (see "[PartyIdentificationAndAccount170](#)" on page 2698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2698
	LEI <LEI>	[0..1]	IdentifierSet		2699
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2699
	ProcessingIdentification <PrcgId>	[0..1]	Text		2699

#### 77.1.25.1.5 Party4 <Pty4>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain interacts with the party 3.

**Party4 <Pty4>** contains the following elements (see "[PartyIdentificationAndAccount170](#)" on page 2698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2698
	LEI <LEI>	[0..1]	IdentifierSet		2699
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2699
	ProcessingIdentification <PrcgId>	[0..1]	Text		2699

#### 77.1.25.1.6 Party5 <Pty5>

*Presence:* [0..1]

*Definition:* Party that, in a settlement chain interacts with the party 4.

**Party5 <Pty5>** contains the following elements (see "[PartyIdentificationAndAccount170](#)" on page 2698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2698
	LEI <LEI>	[0..1]	IdentifierSet		2699
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2699
	ProcessingIdentification <PrcgId>	[0..1]	Text		2699

## 77.1.26 Status

### 77.1.26.1 PendingStatusAndReason4

*Definition:* Choice of the intra-balance movement status type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProcessingStatus <PrcgSts>	[0..*]	±		2730
	SettlementStatus <SttlmSts>	[0..*]	±	C15	2731

#### 77.1.26.1.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "ProcessingStatus100Choice" on page 2784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2785
Or	Repair <Rpr>	[1..1]	±		2785
Or	Cancelled <Canc>	[1..1]	±		2785
Or}	Proprietary <Prtry>	[1..1]	±		2785

#### 77.1.26.1.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* C15 "PendingToFailingRule"

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus34Choice" on page 2787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2788
Or}	Reason <Rsn>	[1..*]	±		2788
Or	Failing <Fng>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2789
Or}	Reason <Rsn>	[1..*]	±		2789
Or}	Proprietary <Prtry>	[1..1]	±		2789

#### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

#### 77.1.26.2 SettlementStatus26Choice

*Definition:* Choice of format for the settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2731
Or}	Proprietary <Prtry>	[1..1]	±		2732

##### 77.1.26.2.1 Code <Cd>

*Presence:* [1..1]

**Definition:** Provides the status of settlement of an instruction/financial instrument movement.

**Datatype:** "SecuritiesSettlementStatus1Code" on page 2849

CodeName	Name	Definition
PEND	Pending	Instruction is pending. Settlement at the instructed settlement date is still possible.
PENF	Failing	Instruction is failing. Settlement at the instructed settlement date is no longer possible.

### 77.1.26.2.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Provides the status of settlement of an instruction/financial instrument movement.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.26.3 ProprietaryStatusAndReason6

**Definition:** Provides the proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

#### 77.1.26.3.1 ProprietaryStatus <PrtrySts>

**Presence:** [1..1]

**Definition:** Proprietary identification of the status related to an instruction.

**ProprietaryStatus <PrtrySts>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.3.2 ProprietaryReason <PrtryRsn>

**Presence:** [0..\*]



*Definition:* Proprietary identification of the reason related to a proprietary status.

*Impacted by:* C6 "AdditionalReasonInformationRule"

**ProprietaryReason <PrtryRsn>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### 77.1.26.4 FailingReason7

*Definition:* Specifies the reason why the instruction or request has a failing settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2733
{Or	Code <Cd>	[1..1]	CodeSet		2733
Or}	Proprietary <Prtry>	[1..1]	±		2737
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2737

#### 77.1.26.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a failing status.

**Code <Cd>** contains one of the following **FailingReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2733
Or}	Proprietary <Prtry>	[1..1]	±		2737

##### 77.1.26.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a failing settlement status.

*Datatype:* "FailingReason3Code" on page 2821

CodeName	Name	Definition
AWMO	AwaitingMoney	Financial instruments are delivered, but still awaiting money from counterparty.

CodeName	Name	Definition
BYIY	BuyInProcedure	Buy-in procedure has started on the market (on your behalf if your instruction is a receipt, by the counterparty if your instruction is a delivery).
CLAT	CounterpartyTooLateForSettlement	Counterparty's instruction was too late for settlement, that is the matching or settlement problems was solved too late.
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CANR	CancellationConfirmationRequested	Instruction was in suspense. Suspense period is finished so your cancellation or confirmation of instruction is required.
CAIS	AwaitingSecurities	Awaiting financial instruments from a corporate action or other procedure, for example, conversion, dematerialisation, exchange, registration, stamping, splitting.
OBJT	UnderObjection	Financial instruments are, for example, stolen, in dispute, under objection.
AWSH	AwaitingSecuritiesFromCounterparty	Financial instruments have not yet been received from the counterparty (if receive against payment trade), the money has been delivered.
PHSE	PhysicalDeliveryDelay	Settlement is physical. Financial instruments are being delivered.
STCD	ConfirmationDiscrepancy	Discrepancy in the settlement confirmation.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
MLAT	MoneySecuritiesTooLateForSettlement	Covering money/financial instruments were received too late for completing settlement on a same day basis.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CHAS	EnquirySent	A chaser/enquiry has been sent.
NEWI	NewIssues	Financial instrument is a new issue and not yet available/tradable.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable financial instruments in counterparty's account or counterparty does not hold financial instruments.
MUNO	MultipleSettlementAmount	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.
GLOB	GlobalFormSecurities	Settlement cannot be executed; financial instruments are in global form.

CodeName	Name	Definition
PREA	YourInstructionOnHold	Your instruction is a preadvice, that is, for matching only.
PART	TradeSettlesInPartials	Trade will settle in partials.
NOFX	NoForeignExchangeInstruction	A foreign exchange instruction from you is missing.
CMON	CounterpartyInsufficientMoney	Insufficient money in counterparty's account.
YCOL	CollateralShortage	Insufficient collateral in your account to execute the instruction.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
DEPO	RefusedDepositForIssueOfDepositoryReceipts	Deposit of shares for the issuing of depository receipts has been refused. The allotment granted by the issuer is exceeded by your transaction.
FLIM	MaximumForeignLimitReached	Insufficient deliverable financial instruments in your account as maximum foreign limit has been reached.
INCA	IncomeAdjustementRequired	Financial instruments require income adjustment, for example, dividend or interest.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LALO	SecuritiesLoanedOut	Financial instruments are out on loan.
MONY	InsufficientMoney	Insufficient money in your account.
NCON	ConfirmationNotReceived	Confirmation of settlement has not yet been received.
REFS	NotInGoodOrder	Delivery/receipt was refused because physical financial instruments are not in good order.
SDUT	LackOfStampDutyInformation	Stamp duty information is missing.
BATC	ProcessingBatchDifference	Processing batch differs in the counterparty's instruction, for example, daytime/real-time versus overnight.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to, for example, a corporate action event, realignment.
CPEC	CounterpartyInReceivership	Counterparty is in receivership (form of bankruptcy where a court appointed person - the receiver - manages the affairs of the business).

CodeName	Name	Definition
MINO	MinimumSettlementAmount	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
IAAD	StatusReasonInvestigation	Pending reason being investigated.
OTHR	Other	Other. See Narrative.
PHCK	PhysicalSecuritiesVerification	Physical financial instruments have been received and are being checked for authenticity.
BENO	BeneficialOwnershipDisagreement	Disagreement in beneficial ownership.
BOTH	BothInstructionsOnHold	Counterparty's instruction and your instruction are on hold/frozen/ in a preadvice mode.
CLHT	ClearingHouseTrade	Instructed settlement date does not agree with the settlement date on the clearing house trade, that is, a specific type of trade in India.
DENO	UnavailableDeliverableDenominatedQuantity	Quantity instructed does not match the denomination available/deliverable. Physical securities need to be obtained in deliverable denominated quantities.
DISA	NaturalDisaster	Exceptional closing of all financial institutions due to natural disaster, for example, earthquake.
DKNY	CounterpartyReturnedShares	Counterparty has returned or refuses the securities.
FROZ	SecuritiesFrozenAtCSD	Financial instruments are blocked at the Central Security Depository (CSD) following a corporate event.
LAAW	AwaitingOtherTransaction	Awaiting settlement of a purchase to cover failing positions.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
LIQU	InsufficientCentralBankLiquidity	Central bank liquidity is insufficient.
PRCY	CounterpartyInstructionOnHold	Counterparty's instruction is a preadvice, that is, for matching only.
REGT	CertificatesRejected	Certificates have been lodged with the registrar but rejected due to incomplete documentation or foreign ownership limitation reached.
SETS	SettlementSystemMethodModified	Settlement system/method has been modified at central securities depository to allow settlement.
CERT	WrongCertificatesNumbers	Certificate number error.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

#### 77.1.26.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a failing settlement status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.4.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.5 CancellationReason9

*Definition:* Specifies the reason why the instruction or request is cancelled.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2737
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2737

#### 77.1.26.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction is cancelled.

**Code <Cd>** contains one of the following elements (see "[CancellationReason19Choice](#)" on page 2737 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2738
Or}	Proprietary <Prtry>	[1..1]	±		2738

#### 77.1.26.5.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.6 CancellationReason19Choice

*Definition:* Choice of format for the cancellation reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2738
Or}	Proprietary <Prtry>	[1..1]	±		2738

#### 77.1.26.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the related instruction is cancelled, or the related cancellation request is executed.

*Datatype:* "CancelledStatusReason13Code" on page 2804

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
CXLR	EndOfLife	Transaction is rejected by the executing party, the rejection is final therefore the order is cancelled in the system.
CANT	CancelledDueToTransformation	Original transaction has been cancelled and replaced due to a corporate action.
CANZ	CancelledSplitPartialSettlement	Original transaction has been cancelled and replaced to allow a partial or split settlement.
CORP	CancelledDueToCorporateAction	Transaction has been cancelled due to a corporate action.
SCEX	SecuritiesNoLongerEligible	Transaction has been cancelled; the security no longer exists or is no longer eligible on the market instructed. For corporate action related cancellation, CORP should be used.
OTHR	Other	Other. See Narrative.
CTHP	CancelledByThirdParty	Instruction is cancelled by a Third party.

#### 77.1.26.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the related instruction is cancelled, or the related cancellation request is executed.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.26.7 PendingStatusAndReason2

*Definition:* Choice of the intra-balance movement status type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProcessingStatus <PrcgSts>	[0..*]	±		2739
	SettlementStatus <SttlmSts>	[0..*]	±	C14	2739

### 77.1.26.7.1 ProcessingStatus <PrcgSts>

*Presence:* [0..\*]

*Definition:* Provides details on the processing status of the transaction.

**ProcessingStatus <PrcgSts>** contains one of the following elements (see "[ProcessingStatus66Choice](#)" on page 2762 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2762
Or	Repair <Rpr>	[1..1]	±		2762
Or	Cancelled <Canc>	[1..1]	±		2762
Or}	Proprietary <Prtry>	[1..1]	±		2763

### 77.1.26.7.2 SettlementStatus <SttlmSts>

*Presence:* [0..\*]

*Definition:* Provides the settlement status of a transaction.

*Impacted by:* [C14 "PendingToFailingRule"](#)

**SettlementStatus <SttlmSts>** contains one of the following elements (see "SettlementStatus16Choice" on page 2743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2743
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2743
Or}	Reason <Rsn>	[1..*]	±		2744
Or	Failing <Fng>	[1..1]			2744
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2744
Or}	Reason <Rsn>	[1..*]	±		2744
Or}	Proprietary <Prtry>	[1..1]	±		2745

#### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

### 77.1.26.8 ProcessingStatus67Choice

*Definition:* Choice of format for the processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rejected <Rjctd>	[1..1]	±		2740
Or	Repair <Rpr>	[1..1]	±		2741
Or	Cancelled <Canc>	[1..1]	±		2741
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2741
Or}	Proprietary <Prtry>	[1..1]	±		2742

#### 77.1.26.8.1 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Instruction or request has been rejected for further processing.



**Rejected <Rjctd>** contains one of the following elements (see "[RejectionOrRepairStatus38Choice](#)" on page 2749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

#### 77.1.26.8.2 Repair <Rpr>

*Presence:* [1..1]

*Definition:* Instruction or request is accepted but in repair.

**Repair <Rpr>** contains one of the following elements (see "[RejectionOrRepairStatus38Choice](#)" on page 2749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

#### 77.1.26.8.3 Cancelled <Canc>

*Presence:* [1..1]

*Definition:* Instruction has been cancelled. The status on the processing of a cancellation request must be provided using a cancellation request report message.

**Cancelled <Canc>** contains one of the following elements (see "[CancellationStatus14Choice](#)" on page 2766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2766
Or}	Reason <Rsn>	[1..*]	±		2766

#### 77.1.26.8.4 AcknowledgedAccepted <AckdAccptd>

*Presence:* [1..1]

*Definition:* Instruction has been acknowledged by the account servicer.

**AcknowledgedAccepted <AckdAccptd>** contains one of the following elements (see "[AcknowledgedAcceptedStatus21Choice](#)" on page 2767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2767
Or}	Reason <Rsn>	[1..*]	±		2767

#### 77.1.26.8.5 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies a choice of status for the processing of an intra-balance movement.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

#### 77.1.26.9 ProprietaryReason4

*Definition:* Proprietary identification of the reason related to a status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

##### 77.1.26.9.1 Reason <Rsn>

*Presence:* [0..1]

*Definition:* Proprietary identification of the reason related to a status.

**Reason <Rsn>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.26.9.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional information about the processed instruction.

*Datatype:* "Max210Text" on page 2864

## 77.1.26.10 SettlementStatus16Choice

*Definition:* Choice of format for the settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2743
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2743
Or}	Reason <Rsn>	[1..*]	±		2744
Or	Failing <Fng>	[1..1]			2744
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2744
Or}	Reason <Rsn>	[1..*]	±		2744
Or}	Proprietary <Prtry>	[1..1]	±		2745

### Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

### 77.1.26.10.1 Pending <Pdg>

*Presence:* [1..1]

*Definition:* Instruction is pending. Settlement at the instructed settlement date is still possible.

**Pending <Pdg>** contains one of the following **PendingStatus36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2743
Or}	Reason <Rsn>	[1..*]	±		2744

#### 77.1.26.10.1.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 77.1.26.10.1.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the pending status.

**Reason <Rsn>** contains the following elements (see "[PendingReason14](#)" on page 2769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2769
{Or	Code <Cd>	[1..1]	CodeSet		2769
Or}	Proprietary <Prtry>	[1..1]	±		2772
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2773

### 77.1.26.10.2 Failing <FIng>

*Presence:* [1..1]

*Definition:* Instruction is failing. Settlement at the instructed settlement date is no longer possible.

**Failing <FIng>** contains one of the following **FailingStatus9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2744
Or}	Reason <Rsn>	[1..*]	±		2744

#### 77.1.26.10.2.1 NoSpecifiedReason <NoSpctdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "[NoReasonCode](#)" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.10.2.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the failing status.

**Reason <Rsn>** contains the following elements (see "[FailingReason7](#)" on page 2733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2733
{Or	Code <Cd>	[1..1]	CodeSet		2733
Or}	Proprietary <Prtry>	[1..1]	±		2737
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2737

### 77.1.26.10.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary status.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

### 77.1.26.11 Reason18Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[0..*]	±	C6	2745
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2745

#### 77.1.26.11.1 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Specifies the reason of the Status.

*Impacted by:* C6 "[AdditionalReasonInformationRule](#)"

**Reason <Rsn>** contains the following elements (see "[ProprietaryReason4](#)" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 77.1.26.11.2 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "[NoReasonCode](#)" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

## 77.1.26.12 PendingReason28Choice

*Definition:* Choice of format for the pending reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2746
Or}	Proprietary <Prtry>	[1..1]	±		2746

### 77.1.26.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason code why the instruction or request is pending.

*Datatype:* "PendingReason6Code" on page 2840

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CONF	AwaitingConfirmation	Awaiting confirmation from the counterparty.
OTHR	Other	Other. See Narrative.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.

### 77.1.26.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason code why the instruction or request is pending, using a proprietary format.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.26.13 PendingReason30Choice

*Definition:* Choice of format for the pending reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2747
Or}	Proprietary <Prtry>	[1..1]	±		2747

#### 77.1.26.13.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the cancellation request is pending.

*Datatype:* "PendingReason9Code" on page 2840

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CONF	AwaitingConfirmation	Awaiting confirmation from the counterparty.
OTHR	Other	Other. See Narrative.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.
CDAC	ConditionalDeliveryAwaitingCancellation	Awaiting cancellation of one of the party.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

#### 77.1.26.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the cancellation request is pending.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.14 ProcessingStatus68Choice

*Definition:* Choice of format for the processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2748
Or}	Proprietary <Prtry>	[1..1]	±		2748

#### 77.1.26.14.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Provides the status of an instruction.

*Datatype:* "TransactionProcessingStatus3Code" on page 2854

CodeName	Name	Definition
CAND	Cancelled	Instruction has been cancelled.
PACK	AcknowledgedAccepted	Instruction has been acknowledged/ accepted for further processing by the account servicer.
REJT	Rejected	Instruction has been rejected for further processing.
REPR	InRepair	Instruction is accepted but in repair.

#### 77.1.26.14.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies a choice of status for the processing of an intra-balance movement.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.15 CancellationStatus15Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2748
Or}	Reason <Rsn>	[1..*]			2749
	Code <Cd>	[1..1]	±		2749
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2749

#### 77.1.26.15.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]



*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

## 77.1.26.15.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the cancellation status.

**Reason <Rsn>** contains the following **CancellationReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2749
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2749

### 77.1.26.15.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction is cancelled.

**Code <Cd>** contains one of the following elements (see "CancellationReason21Choice" on page 2757 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2758
Or}	Proprietary <Prtry>	[1..1]	±		2758

### 77.1.26.15.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

## 77.1.26.16 RejectionOrRepairStatus38Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

#### 77.1.26.16.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.16.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the rejection or repair status.

**Reason <Rsn>** contains the following **RejectionOrRepairReason32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

##### 77.1.26.16.2.1 Code <Cd>

*Presence:* [0..\*]

*Definition:* Specifies the reason why the instruction/request has a rejected or repair status.

**Code <Cd>** contains one of the following **RejectionAndRepairReason32Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751

##### 77.1.26.16.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a repair or rejection status.

*Datatype:* "RejectionReason33Code" on page 2844

CodeName	Name	Definition
CASH	CashAccount	Unrecognised or invalid cash account.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DMON	SettlementAmountRejection	Unrecognised or invalid settlement amount.
NCRR	SettlementAmountCurrencyRejection	Unrecognised or invalid settlement amount currency.
LATE	MarketDeadlineMissed	Received after market deadline.
INVL	InvalidLink	Invalid or unrecognized link.
INVB	InvalidBalance	Instruction aims at using an invalid balance.
INVN	InvalidLotNumber	Invalid or unrecognized lot number.
VALR	InvalidRule	Account servicer validation rule rejection.
MONY	InsufficientMoney	Insufficient money in your account.
CAEV	CorporateActionRejection	Corporate action pending on the financial instrument instructed.
DDAT	SettlementDateRejection	Unrecognised or invalid settlement date.
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
MINO	MinimumSettlementQuantity	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
MUNO	MultipleSettlementQuantity	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.

#### 77.1.26.16.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a repair or rejection status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.16.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.17 ProcessingStatus71Choice

*Definition:* Choice of format for the processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2752
Or	Pending <Pdg>	[1..1]	±		2752
Or	Rejected <Rjctd>	[1..1]	±		2753
Or	Repair <Rpr>	[1..1]	±		2753
Or	Denied <Dnd>	[1..1]	±		2754
Or	Completed <Cmpltd>	[1..1]	±	C6	2754
Or}	Proprietary <Prtry>	[1..1]	±		2754

#### 77.1.26.17.1 AcknowledgedAccepted <AckdAccptd>

*Presence:* [1..1]

*Definition:* Request has been acknowledged by the account servicer.

**AcknowledgedAccepted <AckdAccptd>** contains one of the following elements (see "[AcknowledgedAcceptedStatus21Choice](#)" on page 2767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2767
Or}	Reason <Rsn>	[1..*]	±		2767

#### 77.1.26.17.2 Pending <Pdg>

*Presence:* [1..1]

*Definition:* Modification is pending. It is not known at this time whether modification can be affected.

**Pending <Pdg>** contains one of the following elements (see "[PendingStatus38Choice](#)" on page 2754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2755
Or}	Reason <Rsn>	[1..*]			2755
	Code <Cd>	[1..1]	±		2755
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2755

### 77.1.26.17.3 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Modification request has been rejected for further processing.

**Rejected <Rjctd>** contains one of the following elements (see "[RejectionOrRepairStatus40Choice](#)" on page 2776 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2776
Or}	Reason <Rsn>	[1..*]			2776
	Code <Cd>	[1..1]			2777
{Or	Code <Cd>	[1..1]	CodeSet		2777
Or}	Proprietary <Prtry>	[1..1]	±		2777
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2778

### 77.1.26.17.4 Repair <Rpr>

*Presence:* [1..1]

*Definition:* Instruction or request is accepted but in repair.

**Repair <Rpr>** contains one of the following elements (see "[RejectionOrRepairStatus39Choice](#)" on page 2756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2756
Or}	Reason <Rsn>	[1..*]			2756
	Code <Cd>	[1..1]			2756
{Or	Code <Cd>	[1..1]	CodeSet		2757
Or}	Proprietary <Prtry>	[1..1]	±		2757
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2757

### 77.1.26.17.5 Denied <Dnd>

*Presence:* [1..1]

*Definition:* Modification request will not be executed.

**Denied <Dnd>** contains one of the following elements (see "[DeniedStatus16Choice](#)" on page 2760 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2760
Or}	Reason <Rsn>	[1..*]			2760
	Code <Cd>	[1..1]	±		2760
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2761

### 77.1.26.17.6 Completed <Cmpltd>

*Presence:* [1..1]

*Definition:* Modification request was completed.

*Impacted by:* [C6 "AdditionalReasonInformationRule"](#)

**Completed <Cmpltd>** contains the following elements (see "[ProprietaryReason4](#)" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### 77.1.26.17.7 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Status that cannot be reported using one of the available standard status.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

### 77.1.26.18 PendingStatus38Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2755
Or}	Reason <Rsn>	[1..*]			2755
	Code <Cd>	[1..1]	±		2755
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2755

#### 77.1.26.18.1 NoSpecifiedReason <NoSpdfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.18.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the pending status.

**Reason <Rsn>** contains the following **PendingReason16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2755
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2755

##### 77.1.26.18.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why a cancellation request sent for the related instruction is pending.

**Code <Cd>** contains one of the following elements (see "PendingReason28Choice" on page 2746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2746
Or}	Proprietary <Prtry>	[1..1]	±		2746

##### 77.1.26.18.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

## 77.1.26.19 RejectionOrRepairStatus39Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2756
Or}	Reason <Rsn>	[1..*]			2756
	Code <Cd>	[1..1]			2756
{Or	Code <Cd>	[1..1]	CodeSet		2757
Or}	Proprietary <Prtry>	[1..1]	±		2757
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2757

### 77.1.26.19.1 NoSpecifiedReason <NoSpdfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 77.1.26.19.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the rejection or repair status.

**Reason <Rsn>** contains the following **RejectionOrRepairReason33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2756
{Or	Code <Cd>	[1..1]	CodeSet		2757
Or}	Proprietary <Prtry>	[1..1]	±		2757
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2757

#### 77.1.26.19.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a rejected or repair status.

**Code <Cd>** contains one of the following **RejectionAndRepairReason33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2757
Or}	Proprietary <Prtry>	[1..1]	±		2757



#### 77.1.26.19.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a repair or rejection status.

*Datatype:* "RejectionReason34Code" on page 2845

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
LATE	MarketDeadlineMissed	Received after market deadline.
CASH	CashAccount	Unrecognised or invalid cash account.
NRGM	NoMatch	More than one instruction match the criteria.
NRGN	NotFoundRejection	Instruction could not be found.
OTHR	Other	Other. See Narrative.
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.

#### 77.1.26.19.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a repair or rejection status.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.19.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

#### 77.1.26.20 CancellationReason21Choice

*Definition:* Choice of format for the cancellation reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2758
Or}	Proprietary <Prtry>	[1..1]	±		2758

### 77.1.26.20.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the related instruction is cancelled, or the related cancellation request is executed.

*Datatype:* "CancelledStatusReason5Code" on page 2804

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

### 77.1.26.20.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the related instruction is cancelled, or the related cancellation request is executed.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.26.21 AcknowledgementReason9

*Definition:* Specifies additional information about the processed instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2758
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2758

### 77.1.26.21.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason provided for the status.

**Code <Cd>** contains one of the following elements (see "[AcknowledgementReason12Choice](#)" on page 2759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2759
Or}	Proprietary <Prtry>	[1..1]	±		2759

### 77.1.26.21.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

## 77.1.26.22 AcknowledgementReason12Choice

*Definition:* Choice of format for the acknowledgement reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2759
Or}	Proprietary <Prtry>	[1..1]	±		2759

### 77.1.26.22.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies additional information about the processed instruction.

*Datatype:* "AcknowledgementReason5Code" on page 2797

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline. Processed on best effort basis.
SMPG	MarketPracticeRuleDiscrepancy	Instruction is accepted but does not comply with the market practice rule published for the concerned market or process.
OTHR	Other	Other. See Narrative.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
RQWV	AcceptedWithoutVotingRights	Instruction registration is accepted but the registration is not in full, that is, not with voting rights.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.

### 77.1.26.22.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies additional information about the processed instruction.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.26.23 DeniedStatus16Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2760
Or}	Reason <Rsn>	[1..*]			2760
	Code <Cd>	[1..1]	±		2760
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2761

#### 77.1.26.23.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "[NoReasonCode](#)" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.23.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the denied status.

**Reason <Rsn>** contains the following **DeniedReason11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2760
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2761

##### 77.1.26.23.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the request has a denied status.

**Code <Cd>** contains one of the following elements (see "[DeniedReason16Choice](#)" on page 2775 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2775
Or}	Proprietary <Prtry>	[1..1]	±		2776

#### 77.1.26.23.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.24 MatchingDenied3Choice

*Definition:* Specifies the matching processing change requested.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2761
Or}	Proprietary <Prtry>	[1..1]	±		2761

##### 77.1.26.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the execution of a matching denial process.

*Datatype:* "[MatchingProcess1Code](#)" on page 2833

CodeName	Name	Definition
UNMT	Unmatch	The referenced transaction is requested to be unmatched.
MTRE	ResumeMatching	Matching process is to be resumed for the referenced transaction.

##### 77.1.26.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the execution of a matching denial process.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.1.26.25 ProcessingStatus66Choice

*Definition:* Choice of format for the processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2762
Or	Repair <Rpr>	[1..1]	±		2762
Or	Cancelled <Canc>	[1..1]	±		2762
Or}	Proprietary <Prtry>	[1..1]	±		2763

### 77.1.26.25.1 AcknowledgedAccepted <AckdAccptd>

*Presence:* [1..1]

*Definition:* Instruction has been acknowledged by the account servicer.

**AcknowledgedAccepted <AckdAccptd>** contains one of the following elements (see "[AcknowledgedAcceptedStatus21Choice](#)" on page 2767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2767
Or}	Reason <Rsn>	[1..*]	±		2767

### 77.1.26.25.2 Repair <Rpr>

*Presence:* [1..1]

*Definition:* Instruction or request is accepted but in repair.

**Repair <Rpr>** contains one of the following elements (see "[RejectionOrRepairStatus38Choice](#)" on page 2749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

### 77.1.26.25.3 Cancelled <Canc>

*Presence:* [1..1]

*Definition:* Instruction has been cancelled. The status on the processing of a cancellation request must be provided using a cancellation request report message.

**Cancelled <Canc>** contains one of the following elements (see "CancellationStatus14Choice" on page 2766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2766
Or}	Reason <Rsn>	[1..*]	±		2766

#### 77.1.26.25.4 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies a choice of status for the processing of an intra-balance movement.

**Proprietary <Prtry>** contains the following elements (see "ProprietaryStatusAndReason6" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

#### 77.1.26.26 ProcessingStatus72Choice

*Definition:* Specifies the status and the reason of the operation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]			2763
	Reason <Rsn>	[0..*]	±	C6	2763
Or	PendingProcessing <PdgPrpg>	[1..1]	±		2764
Or	Rejected <Rjctd>	[1..1]	±		2764
Or	Completed <Cmpltd>	[1..1]			2764
	Reason <Rsn>	[0..*]	±	C6	2765
Or}	Proprietary <Prtry>	[1..1]	±		2765

##### 77.1.26.26.1 AcknowledgedAccepted <AckdAccptd>

*Presence:* [1..1]

*Definition:* Instruction has been acknowledged by the executing party.

**AcknowledgedAccepted <AckdAccptd>** contains the following Reason4 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..*]	±	C6	2763

##### 77.1.26.26.1.1 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Specifies the reason of the Status.

*Impacted by:* C6 "AdditionalReasonInformationRule"

**Reason <Rsn>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### 77.1.26.26.2 PendingProcessing <PdgPrcg>

*Presence:* [1..1]

*Definition:* Instruction is pendingprocessing by the executing party.

**PendingProcessing <PdgPrcg>** contains one of the following elements (see "Reason18Choice" on page 2745 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[0..*]	±	C6	2745
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2745

### 77.1.26.26.3 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Instruction is rejected by the executing party.

**Rejected <Rjctd>** contains one of the following elements (see "Reason18Choice" on page 2745 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[0..*]	±	C6	2745
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2745

### 77.1.26.26.4 Completed <Cmpltd>

*Presence:* [1..1]

*Definition:* Instruction has been completed by the executing party.

**Completed <Cmpltd>** contains the following **Reason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..*]	±	C6	2765



#### 77.1.26.26.4.1 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Specifies the reason of the Status.

*Impacted by:* C6 "AdditionalReasonInformationRule"

**Reason <Rsn>** contains the following elements (see "ProprietaryReason4" on page 2742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		2742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2743

#### Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 77.1.26.26.5 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Status that cannot be reported using one of the available standard status.

**Proprietary <Prtry>** contains the following elements (see "ProprietaryStatusAndReason6" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

#### 77.1.26.27 SecuritiesPaymentStatus5Choice

*Definition:* Choice of format for the status of payment of a security at a particular time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2765
Or}	Proprietary <Prtry>	[1..1]	±		2766

#### 77.1.26.27.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Securities payment status expressed as an ISO 20022 code.

*Datatype:* "SecuritiesPaymentStatus1Code" on page 2849

CodeName	Name	Definition
FULL	FullyPaid	Security is fully paid.
NILL	NillPaid	Security is nill paid.

CodeName	Name	Definition
PART	PartiallyPaid	Security is partially paid.

#### 77.1.26.27.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Securities payment status expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.28 CancellationStatus14Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2766
Or}	Reason <Rsn>	[1..*]	±		2766

##### 77.1.26.28.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "[NoReasonCode](#)" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

##### 77.1.26.28.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the cancellation status.

**Reason <Rsn>** contains the following elements (see "[CancellationReason9](#)" on page 2737 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2737
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2737

## 77.1.26.29 AcknowledgedAcceptedStatus21Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2767
Or}	Reason <Rsn>	[1..*]	±		2767

### 77.1.26.29.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 77.1.26.29.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the acknowledged accepted status.

**Reason <Rsn>** contains the following elements (see "AcknowledgementReason9" on page 2758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2758
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2758

## 77.1.26.30 AcknowledgementReason15Choice

*Definition:* Choice of format for the acknowledgement reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2767
Or}	Proprietary <Prtry>	[1..1]	±		2768

### 77.1.26.30.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies additional information about the processed instruction.

*Datatype:* "AcknowledgementReason3Code" on page 2797

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline. Processed on best effort basis.

CodeName	Name	Definition
SMPG	MarketPracticeRuleDiscrepancy	Instruction is accepted but does not comply with the market practice rule published for the concerned market or process.
OTHR	Other	Other. See Narrative.

### 77.1.26.30.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies additional information about the processed instruction.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.26.31 ModificationProcessingStatus9Choice

*Definition:* Choice of modification processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2768
Or}	Proprietary <Prtry>	[1..1]	±		2769

#### 77.1.26.31.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Provides the status of a modification request.

*Datatype:* "[ModificationProcessingStatus1Code](#)" on page 2834

CodeName	Name	Definition
PACK	Accepted	Modification request has been acknowledged for further processing by the account servicer.
REJT	Rejected	Modification has been rejected for further processing.
MODC	Completed	Modification has been completed.
DEND	Denied	Modification will not be executed.
MODP	Pending	Modification is pending. It is not known at this time whether modified can be executed.
REPR	InRepair	Modification request is in repair.

### 77.1.26.31.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Provides the status of a modification request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

### 77.1.26.32 PendingReason14

*Definition:* Specifies the reason why the instruction or request has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2769
{Or	Code <Cd>	[1..1]	CodeSet		2769
Or}	Proprietary <Prtry>	[1..1]	±		2772
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2773

#### 77.1.26.32.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a pending status.

**Code <Cd>** contains one of the following **PendingReason26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2769
Or}	Proprietary <Prtry>	[1..1]	±		2772

##### 77.1.26.32.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a pending status.

*Datatype:* "[PendingReason10Code](#)" on page 2837

CodeName	Name	Definition
AWMO	AwaitingMoney	Financial instruments are delivered, but still awaiting money from counterparty.
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.

CodeName	Name	Definition
CAIS	AwaitingSecurities	Awaiting financial instruments from a corporate action or other procedure, for example, conversion, dematerialisation, exchange, registration, stamping, splitting.
REFU	InstructionRefusedOrNotRecognised	Instruction has been refused or not recognised and is represented automatically.
AWSH	AwaitingSecuritiesFromCounterparty	Financial instruments have not yet been received from the counterparty (if receive against payment trade), the money has been delivered.
PHSE	PhysicalDeliveryDelay	Settlement is physical. Financial instruments are being delivered.
TAMM	TradeAmendedInMarket	Trade is being amended in the market.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CHAS	EnquirySent	A chaser/enquiry has been sent.
NEWI	NewIssues	Financial instrument is a new issue and not yet available/tradable.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable financial instruments in counterparty's account or counterparty does not hold financial instruments.
MUNO	MultipleSettlementAmount	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.
GLOB	GlobalFormSecurities	Settlement cannot be executed; financial instruments are in global form.
PREA	YourInstructionOnHold	Your instruction is a preadvice, that is, for matching only.
PART	TradeSettlesInPartials	Trade will settle in partials.
NMAS	NoMatchingRequired	Instruction has not been matched; matching process is not required.
NOFX	NoForeignExchangeInstruction	A foreign exchange instruction from you is missing.
CMON	CounterpartyInsufficientMoney	Insufficient money in counterparty's account.
YCOL	CollateralShortage	Insufficient collateral in your account to execute the instruction.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
DEPO	RefusedDepositForIssueOfDepositoryReceipts	Deposit of shares for the issuing of depository receipts has been refused.

CodeName	Name	Definition
		The allotment granted by the issuer is exceeded by your transaction.
FLIM	MaximumForeignLimitReached	Insufficient deliverable financial instruments in your account as maximum foreign limit has been reached.
INCA	IncomeAdjustementRequired	Financial instruments require income adjustment, for example, dividend or interest.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
FUTU	AwaitingSettlementDate	Awaiting settlement date. No settlement problems to be reported.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LALO	SecuritiesLoanedOut	Financial instruments are out on loan.
MONY	InsufficientMoney	Insufficient money in your account.
NCON	ConfirmationNotReceived	Confirmation of settlement has not yet been received.
REFS	NotInGoodOrder	Delivery/receipt was refused because physical financial instruments are not in good order.
SDUT	LackOfStampDutyInformation	Stamp duty information is missing.
BATC	ProcessingBatchDifference	Processing batch differs in the counterparty's instruction, for example, daytime/real-time versus overnight.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to, for example, a corporate action event, realignment.
CPEC	CounterpartyInReceivership	Counterparty is in receivership (form of bankruptcy where a court appointed person - the receiver - manages the affairs of the business).
MINO	MinimumSettlementAmount	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
IAAD	StatusReasonInvestigation	Pending reason being investigated.
OTHR	Other	Other. See Narrative.
PHCK	PhysicalSecuritiesVerification	Physical financial instruments have been received and are being checked for authenticity.
BENO	BeneficialOwnershipDisagreement	Disagreement in beneficial ownership.
BOTH	BothInstructionsOnHold	Counterparty's instruction and your instruction are on hold/frozen/ in a preadvice mode.

CodeName	Name	Definition
CLHT	ClearingHouseTrade	Instructed settlement date does not agree with the settlement date on the clearing house trade, that is, a specific type of trade in India.
DENO	UnavailableDeliverableDenominatedQuantity	Quantity instructed does not match the denomination available/deliverable. Physical securities need to be obtained in deliverable denominated quantities.
DISA	NaturalDisaster	Exceptional closing of all financial institutions due to natural disaster, for example, earthquake.
DKNY	CounterpartyReturnedShares	Counterparty has returned or refuses the securities.
FROZ	SecuritiesFrozenAtCSD	Financial instruments are blocked at the Central Security Depository (CSD) following a corporate event.
LAAW	AwaitingOtherTransaction	Awaiting settlement of a purchase to cover failing positions.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
LIQU	InsufficientCentralBankLiquidity	Central bank liquidity is insufficient.
PRCY	CounterpartyInstructionOnHold	Counterparty's instruction is a preadvice, that is, for matching only.
REGT	CertificatesRejected	Certificates have been lodged with the registrar but rejected due to incomplete documentation or foreign ownership limitation reached.
SETS	SettlementSystemMethodModified	Settlement system/method has been modified at central securities depository to allow settlement.
CERT	WrongCertificatesNumbers	Certificate number error.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

#### 77.1.26.32.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a pending status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638



## 77.1.26.32.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

## 77.1.26.33 PendingStatus39Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2773
Or}	Reason <Rsn>	[1..*]			2773
	Code <Cd>	[1..1]	±		2773
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2774

### 77.1.26.33.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 77.1.26.33.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the pending status.

**Reason <Rsn>** contains the following **PendingReason17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2773
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2774

#### 77.1.26.33.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why a cancellation request sent for the related instruction is pending.

**Code <Cd>** contains one of the following elements (see "PendingReason30Choice" on page 2746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2747
Or}	Proprietary <Prtry>	[1..1]	±		2747

#### 77.1.26.33.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "Max210Text" on page 2864

#### 77.1.26.34 AcknowledgedAcceptedStatus24Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2774
Or}	Reason <Rsn>	[1..*]			2774
	Code <Cd>	[1..1]	±		2774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2775

#### 77.1.26.34.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.34.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the acknowledged accepted status.

**Reason <Rsn>** contains the following **AcknowledgementReason12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2775

#### 77.1.26.34.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason provided for the status.

**Code <Cd>** contains one of the following elements (see "[AcknowledgementReason15Choice](#)" on page 2767 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2767
Or}	Proprietary <Prtry>	[1..1]	±		2768

#### 77.1.26.34.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.35 DeniedReason16Choice

*Definition:* Choice of format for the denied reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2775
Or}	Proprietary <Prtry>	[1..1]	±		2776

#### 77.1.26.35.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the request was denied.

*Datatype:* "[DeniedReason4Code](#)" on page 2812

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline.
DCAN	DeniedSinceAlreadyCancelled	Cancellation request was denied since the instruction has already been cancelled.
DPRG	DeniedSinceInProgress	Cancellation request was denied because the process of settlement is in progress.
DREP	DeniedSinceRepoEnded	Cancellation request was denied because the repo was cancelled.
DSET	DeniedSinceAlreadySettled	Cancellation request was denied because the instruction was already settled.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
CDRG	ConditionalRegistrar	Execution is denied due to the execution of a process at the registrar.

CodeName	Name	Definition
CDCY	ConditionalCurrency	Execution is denied due to a process linked to the currency of the transaction.
CDRE	ConditionalRealignment	Execution is denied due to the execution of a process of realignment at the issuer CSD.

#### 77.1.26.35.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the request was denied.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.36 RejectionOrRepairStatus40Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2776
Or}	Reason <Rsn>	[1..*]			2776
	Code <Cd>	[1..1]			2777
{Or	Code <Cd>	[1..1]	CodeSet		2777
Or}	Proprietary <Prtry>	[1..1]	±		2777
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2778

##### 77.1.26.36.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "[NoReasonCode](#)" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

##### 77.1.26.36.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the rejection or repair status.

**Reason <Rsn>** contains the following **RejectionOrRepairReason34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2777
{Or	Code <Cd>	[1..1]	CodeSet		2777
Or}	Proprietary <Prtry>	[1..1]	±		2777
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2778

#### 77.1.26.36.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a rejected or repair status.

**Code <Cd>** contains one of the following **RejectionAndRepairReason34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2777
Or}	Proprietary <Prtry>	[1..1]	±		2777

#### 77.1.26.36.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a repair or rejection status.

*Datatype:* "RejectionReason35Code" on page 2845

CodeName	Name	Definition
CASH	CashAccount	Unrecognised or invalid cash account.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.
LATE	MarketDeadlineMissed	Received after market deadline.
DDAT	SettlementDateRejection	Unrecognised or invalid settlement date.
NRGN	NotFoundRejection	Instruction could not be found.
OTHR	Other	Other. See Narrative.
INVM	InvalidModificationRequest	Invalid or unrecognized modification request.
INVL	InvalidLink	Invalid or unrecognized link.

#### 77.1.26.36.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction/request has a repair or rejection status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.36.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.37 ProcessingStatus69Choice

*Definition:* Choice of format for the processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PendingCancellation <PdgCxl>	[1..1]	±		2778
Or	Rejected <Rjctd>	[1..1]	±		2778
Or	Repair <Rpr>	[1..1]	±		2779
Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2779
Or	Proprietary <Prtry>	[1..1]	±		2780
Or	Denied <Dnd>	[1..1]	±		2780
Or}	Cancelled <Canc>	[1..1]	±		2780

#### 77.1.26.37.1 PendingCancellation <PdgCxl>

*Presence:* [1..1]

*Definition:* Cancellation is pending processing.

**PendingCancellation <PdgCxl>** contains one of the following elements (see "[PendingStatus39Choice](#)" on page 2773 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2773
Or}	Reason <Rsn>	[1..*]			2773
	Code <Cd>	[1..1]	±		2773
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2774

#### 77.1.26.37.2 Rejected <Rjctd>

*Presence:* [1..1]

**Definition:** Instruction or request has been rejected for further processing.

**Rejected <Rjctd>** contains one of the following elements (see "[RejectionOrRepairStatus39Choice](#)" on page 2756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2756
Or}	Reason <Rsn>	[1..*]			2756
	Code <Cd>	[1..1]			2756
{Or	Code <Cd>	[1..1]	CodeSet		2757
Or}	Proprietary <Prtry>	[1..1]	±		2757
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2757

### 77.1.26.37.3 Repair <Rpr>

**Presence:** [1..1]

**Definition:** Instruction or request is accepted but in repair.

**Repair <Rpr>** contains one of the following elements (see "[RejectionOrRepairStatus39Choice](#)" on page 2756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2756
Or}	Reason <Rsn>	[1..*]			2756
	Code <Cd>	[1..1]			2756
{Or	Code <Cd>	[1..1]	CodeSet		2757
Or}	Proprietary <Prtry>	[1..1]	±		2757
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2757

### 77.1.26.37.4 AcknowledgedAccepted <AckdAccptd>

**Presence:** [1..1]

**Definition:** Instruction has been acknowledged by the account servicer.

**AcknowledgedAccepted <AckdAccptd>** contains one of the following elements (see "[AcknowledgedAcceptedStatus24Choice](#)" on page 2774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2774
Or}	Reason <Rsn>	[1..*]			2774
	Code <Cd>	[1..1]	±		2774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2775

### 77.1.26.37.5 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Status that cannot be reported using one of the available standard status.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

### 77.1.26.37.6 Denied <Dnd>

*Presence:* [1..1]

*Definition:* Instruction/Request will not be executed.

**Denied <Dnd>** contains one of the following elements (see "[DeniedStatus16Choice](#)" on page 2760 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2760
Or}	Reason <Rsn>	[1..*]			2760
	Code <Cd>	[1..1]	±		2760
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2761

### 77.1.26.37.7 Cancelled <Canc>

*Presence:* [1..1]

*Definition:* Cancellation requested executed.

**Cancelled <Canc>** contains one of the following elements (see "[CancellationStatus15Choice](#)" on page 2748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2748
Or}	Reason <Rsn>	[1..*]			2749
	Code <Cd>	[1..1]	±		2749
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2749

### 77.1.26.38 ProcessingStatus99Choice

*Definition:* Choice of format for the processing status.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2781
Or	Rejected <Rjctd>	[1..1]	±		2781
Or	Repair <Rpr>	[1..1]	±		2781
Or	Cancelled <Canc>	[1..1]	±		2782
Or}	Proprietary <Prtry>	[1..1]	±		2782

#### 77.1.26.38.1 AcknowledgedAccepted <AckdAccptd>

*Presence:* [1..1]

*Definition:* Instruction has been acknowledged by the account servicer.

**AcknowledgedAccepted <AckdAccptd>** contains one of the following elements (see "AcknowledgedAcceptedStatus34Choice" on page 2782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2783
Or}	Reason <Rsn>	[1..*]	±		2783

#### 77.1.26.38.2 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Instruction or request has been rejected for further processing.

**Rejected <Rjctd>** contains one of the following elements (see "RejectionOrRepairStatus38Choice" on page 2749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

#### 77.1.26.38.3 Repair <Rpr>

*Presence:* [1..1]

*Definition:* Instruction or request is accepted but in repair.

**Repair <Rpr>** contains one of the following elements (see "[RejectionOrRepairStatus38Choice](#)" on page 2749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

#### 77.1.26.38.4 Cancelled <Canc>

*Presence:* [1..1]

*Definition:* Instruction has been cancelled. The status on the processing of a cancellation request must be provided using a cancellation request report message.

**Cancelled <Canc>** contains one of the following elements (see "[CancellationStatus14Choice](#)" on page 2766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2766
Or}	Reason <Rsn>	[1..*]	±		2766

#### 77.1.26.38.5 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies a choice of status for the processing of an intra-balance movement.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

#### 77.1.26.39 AcknowledgedAcceptedStatus34Choice

*Definition:* Specifies whether the status is provided with a reason or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2783
Or}	Reason <Rsn>	[1..*]	±		2783

### 77.1.26.39.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 77.1.26.39.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the acknowledged accepted status.

**Reason <Rsn>** contains the following elements (see "AcknowledgementReason22" on page 2784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2784
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2784

### 77.1.26.40 AcknowledgementReason25Choice

*Definition:* Choice of format for the acknowledgement reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2783
Or}	Proprietary <Prtry>	[1..1]	±		2783

#### 77.1.26.40.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies additional information about the processed instruction.

*Datatype:* "AcknowledgementReason11Code" on page 2796

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
SMPG	MarketPracticeRuleDiscrepancy	Instruction is accepted but does not comply with the market practice rule published for the concerned market or process.

#### 77.1.26.40.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies additional information about the processed instruction.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.41 AcknowledgementReason22

*Definition:* Specifies additional information about the processed instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2784
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2784

##### 77.1.26.41.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason provided for the status.

**Code <Cd>** contains one of the following elements (see "[AcknowledgementReason25Choice](#)" on page 2783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2783
Or}	Proprietary <Prtry>	[1..1]	±		2783

##### 77.1.26.41.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.42 ProcessingStatus100Choice

*Definition:* Choice of format for the processing status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AcknowledgedAccepted <AckdAccptd>	[1..1]	±		2785
Or	Repair <Rpr>	[1..1]	±		2785
Or	Cancelled <Canc>	[1..1]	±		2785
Or}	Proprietary <Prtry>	[1..1]	±		2785

### 77.1.26.42.1 AcknowledgedAccepted <AckdAccptd>

*Presence:* [1..1]

*Definition:* Instruction has been acknowledged by the account servicer.

**AcknowledgedAccepted <AckdAccptd>** contains one of the following elements (see "[AcknowledgedAcceptedStatus34Choice](#)" on page 2782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2783
Or}	Reason <Rsn>	[1..*]	±		2783

### 77.1.26.42.2 Repair <Rpr>

*Presence:* [1..1]

*Definition:* Instruction or request is accepted but in repair.

**Repair <Rpr>** contains one of the following elements (see "[RejectionOrRepairStatus38Choice](#)" on page 2749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2750
Or}	Reason <Rsn>	[1..*]			2750
	Code <Cd>	[0..*]			2750
{Or	Code <Cd>	[1..1]	CodeSet		2750
Or}	Proprietary <Prtry>	[1..1]	±		2751
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2752

### 77.1.26.42.3 Cancelled <Canc>

*Presence:* [1..1]

*Definition:* Instruction has been cancelled. The status on the processing of a cancellation request must be provided using a cancellation request report message.

**Cancelled <Canc>** contains one of the following elements (see "[CancellationStatus14Choice](#)" on page 2766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2766
Or}	Reason <Rsn>	[1..*]	±		2766

### 77.1.26.42.4 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies a choice of status for the processing of an intra-balance movement.

**Proprietary <Prtry>** contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

### 77.1.26.43 PendingReason34

*Definition:* Specifies the reason why the instruction or request has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2786
{Or	Code <Cd>	[1..1]	CodeSet		2786
Or}	Proprietary <Prtry>	[1..1]	±		2787
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2787

#### 77.1.26.43.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a pending status.

**Code <Cd>** contains one of the following **PendingReason75Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2786
Or}	Proprietary <Prtry>	[1..1]	±		2787

##### 77.1.26.43.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a pending status.

*Datatype:* "[PendingReason31Code](#)" on page 2839

CodeName	Name	Definition
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
PART	TradeSettlesInPartials	Trade will settle in partials.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
FUTU	AwaitingSettlementDate	Awaiting settlement date. No settlement problems to be reported.

CodeName	Name	Definition
LACK	LackOfSecurities	Insufficient financial instruments in your account.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to a corporate action event, realignment, etc.
OTHR	Other	Other. See Narrative.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

#### 77.1.26.43.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a pending status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.43.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

#### 77.1.26.44 SettlementStatus34Choice

*Definition:* Choice of format for the settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Pending <Pdg>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2788
Or}	Reason <Rsn>	[1..*]	±		2788
Or	Failing <FIng>	[1..1]			2788
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2789
Or}	Reason <Rsn>	[1..*]	±		2789
Or}	Proprietary <Prtry>	[1..1]	±		2789

## Constraints

- **PendingToFailingRule**

A pending transaction (PEND) becomes a failing transaction (PENF) on the settlement date instructed in the message, during the end of day reporting.

### 77.1.26.44.1 Pending <Pdg>

*Presence:* [1..1]

*Definition:* Instruction is pending. Settlement at the instructed settlement date is still possible.

**Pending <Pdg>** contains one of the following **PendingStatus79Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2788
Or}	Reason <Rsn>	[1..*]	±		2788

#### 77.1.26.44.1.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.44.1.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the pending status.

**Reason <Rsn>** contains the following elements (see "PendingReason34" on page 2786 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2786
{Or	Code <Cd>	[1..1]	CodeSet		2786
Or}	Proprietary <Prtry>	[1..1]	±		2787
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2787

### 77.1.26.44.2 Failing <FIng>

*Presence:* [1..1]

*Definition:* Instruction is failing. Settlement at the instructed settlement date is no longer possible.



**Failing <FIng>** contains one of the following **FailingStatus17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2789
Or}	Reason <Rsn>	[1..*]	±		2789

#### 77.1.26.44.2.1 NoSpecifiedReason <NoSpdfdRsn>

*Presence:* [1..1]

*Definition:* Indicates that there is no reason available or to report.

*Datatype:* "NoReasonCode" on page 2834

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 77.1.26.44.2.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Specifies the reason of the failing status.

**Reason <Rsn>** contains the following elements (see "FailingReason15" on page 2789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2790
{Or	Code <Cd>	[1..1]	CodeSet		2790
Or}	Proprietary <Prtry>	[1..1]	±		2791
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2791

#### 77.1.26.44.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary status.

**Proprietary <Prtry>** contains the following elements (see "ProprietaryStatusAndReason6" on page 2732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		2732
	ProprietaryReason <PrtryRsn>	[0..*]	±	C6	2732

### 77.1.26.45 FailingReason15

*Definition:* Specifies the reason why the instruction or request has a failing settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2790
{Or	Code <Cd>	[1..1]	CodeSet		2790
Or}	Proprietary <Prtry>	[1..1]	±		2791
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2791

#### 77.1.26.45.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a failing status.

**Code <Cd>** contains one of the following **FailingReason20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2790
Or}	Proprietary <Prtry>	[1..1]	±		2791

##### 77.1.26.45.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a failing settlement status.

*Datatype:* "FailingReason6Code" on page 2824

CodeName	Name	Definition
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
PART	TradeSettlesInPartials	Trade will settle in partials.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to a corporate action event, realignment, etc.
OTHR	Other	Other. See Narrative.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
CERT	WrongCertificatesNumbers	Certificate number error.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.

CodeName	Name	Definition
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

#### 77.1.26.45.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason why the instruction has a failing settlement status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

#### 77.1.26.45.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Provides additional reason information that cannot be provided in a structured field.

*Datatype:* "[Max210Text](#)" on page 2864

## 77.1.27 System Identification

### 77.1.27.1 SystemIdentification2Choice

*Definition:* Information used to identify a cash clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketInfrastructureIdentification <MktInfrstrctrId>	[1..1]	±		2791
Or}	Country <Ctry>	[1..1]	CodeSet	C3	2792

#### 77.1.27.1.1 MarketInfrastructureIdentification <MktInfrstrctrId>

*Presence:* [1..1]

*Definition:* Clearing service selected for a transaction.

**MarketInfrastructureIdentification <MktInfrstrctrId>** contains one of the following elements (see "[MarketInfrastructureIdentification1Choice](#)" on page 2681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2681
Or}	Proprietary <Prtry>	[1..1]	Text		2681

### 77.1.27.1.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country in which the system is located.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 2812

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 77.1.27.2 ClearingSystemIdentification2Choice

*Definition:* Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	Text		2792

#### 77.1.27.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Identification of a clearing system, in a coded form as published in an external list.

*Datatype:* "ExternalClearingSystemIdentification1Code" on page 2816

#### 77.1.27.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

*Datatype:* "Max35Text" on page 2865

## 77.1.28 Transaction Type

### 77.1.28.1 TradeTransactionCondition7Choice

*Definition:* Choice of format for trade transaction condition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2792
Or}	Proprietary <Prtry>	[1..1]	±		2793

#### 77.1.28.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Trade transaction condition expressed as an ISO 20022 code.

*Datatype:* "TradeTransactionCondition2Code" on page 2853

CodeName	Name	Definition
SPCC	SpecialCumCoupon	Indicates whether the trade is executed with a special cum coupon.
SECN	SpecialExCoupon	Indicates whether the trade is executed with a special ex coupon.
SEBN	SpecialExBonus	Indicates whether the trade is executed special ex bonus.
SCBN	SpecialCumBonus	Indicates whether the trade is executed special cum bonus.
SCRT	SpecialCumRights	Indicates whether the trade is executed special cum rights.
SERT	SpecialExRights	Indicates whether the trade is executed special ex rights.
SCCR	SpecialCumCapitalRepayments	Indicates whether the trade is executed special cum capital repayments.
SECR	SpecialExCapitalRepayments	Indicates whether the trade is executed special ex capital repayments.
CAST	CashSettlement	Indicates whether the trade is executed with a cash settlement.
SPPR	SpecialPrice	Indicates whether the trade is executed with a special price.
SPCU	SpecialCumDividend	Indicates whether the trade is executed with a special cum dividend, that is, buying after the ex date and getting the dividend.
SPEX	SpecialExDividend	Indicates whether the trade is executed with a special ex dividend, that is, selling before the ex date without the coupon.
GTDL	GuaranteedDelivery	Indicates whether the delivery of the financial instrument on settlement date is guaranteed.

#### 77.1.28.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Trade transaction condition expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2638
	Issuer <Issr>	[1..1]	Text		2638
	SchemeName <SchmeNm>	[0..1]	Text		2638

## 77.2 Message Datatypes

### 77.2.1 Amount

#### 77.2.1.1 ActiveCurrencyAnd13DecimalAmount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 2797

#### Format

minInclusive	0
totalDigits	18
fractionDigits	13

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 77.2.1.2 ActiveCurrencyAndAmount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 2797

#### Format

minInclusive	0
totalDigits	18
fractionDigits	5

### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 77.2.1.3 ActiveOrHistoricCurrencyAnd13DecimalAmount

*Definition:* A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 2798

### Format

minInclusive	0
totalDigits	18
fractionDigits	13

### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

## 77.2.1.4 ActiveOrHistoricCurrencyAndAmount

*Definition:* A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 2798

#### Format

minInclusive	0
totalDigits	18
fractionDigits	5

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 77.2.1.5 ImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

#### Format

minInclusive	0
totalDigits	18
fractionDigits	5

## 77.2.2 CodeSet

### 77.2.2.1 AcknowledgementReason11Code

*Definition:* Specifies additional information about the processed instruction.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
SMPG	MarketPracticeRuleDiscrepancy	Instruction is accepted but does not comply with the market practice rule published for the concerned market or process.



### 77.2.2.2 AcknowledgementReason3Code

*Definition:* Specifies additional information about the processed instruction.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline. Processed on best effort basis.
SMPG	MarketPracticeRuleDiscrepancy	Instruction is accepted but does not comply with the market practice rule published for the concerned market or process.
OTHR	Other	Other. See Narrative.

### 77.2.2.3 AcknowledgementReason5Code

*Definition:* Specifies additional information about the processed instruction.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline. Processed on best effort basis.
SMPG	MarketPracticeRuleDiscrepancy	Instruction is accepted but does not comply with the market practice rule published for the concerned market or process.
OTHR	Other	Other. See Narrative.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
RQWV	AcceptedWithoutVotingRights	Instruction registration is accepted but the registration is not in full, that is, not with voting rights.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.

### 77.2.2.4 ActiveCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

#### Format

pattern [A-Z]{3,3}

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 77.2.2.5 ActiveOrHistoricCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

#### Format

pattern [A-Z]{3,3}

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 77.2.2.6 AddressType1Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

### 77.2.2.7 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

CodeName	Name	Definition
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

### 77.2.2.8 AdjustmentDirection1Code

*Definition:* Specifies whether the adjustment is added or subtracted to the total amount.

*Type:* CodeSet

CodeName	Name	Definition
ADDD	Added	Adjustment amount must be added to the total amount.
SUBS	Subtracted	Adjustment amount must be subtracted from the total amount.

### 77.2.2.9 Appearance1Code

*Definition:* Specifies the deliverability of a security.

*Type:* CodeSet

CodeName	Name	Definition
DELI	Deliverable	Physical certificates exist.
NDEL	NotDeliverable	Not yet deliverable contract.
LIMI	Limited	Limited or partial deliverability.
BENT	BookEntry	Security exists only as an electronic record, ie, there are no physical certificates representing the security.
DFBE	DeferredBookEntry	Deferred printing, book entry.
DLBE	DeliverableBookEntry	Deliverable, book entry.
TMPG	TemporaryGlobal	Deferred printing, global certificate.
GLOB	Global	Not deliverable, global certificate.

### 77.2.2.10 AssignmentMethod1Code

*Definition:* Method under which assignment was conducted.

*Type:* CodeSet

CodeName	Name	Definition
RAND	Random	Assignment was conducted randomly.
PROR	Prorata	Assignment was conducted on a prorata basis.

### 77.2.2.11 AutoBorrowing2Code

*Definition:* Specifies the condition under which automatic borrowing is allowed.

Type: CodeSet

CodeName	Name	Definition
LAMI	LastResort	Only last resort borrowing should be considered to make settlement occur.
NBOR	NoAutomatic	No automatic borrowing should take place.
YBOR	Automatic	Automatic borrowing should take place.
RTRN	Return	Return of stocks should take place.

### 77.2.2.12 BalanceCounterparty1Code

*Definition:* Specifies the type of counterparty to be taken into account for calculation of the balance.

Type: CodeSet

CodeName	Name	Definition
BILA	Bilateral	Balance calculated regarding one member in the system.
MULT	Multilateral	Balance calculated regarding all members in the system.

### 77.2.2.13 BenchmarkCurveName1Code

*Definition:* Identifies a benchmark curve name.

Type: CodeSet

CodeName	Name	Definition
MAAA	MuniAAA	Benchmark curve used for municipals based on the best credit rating for municipal market debt.
FUSW	FutureSWAP	Portion of a synthetic curve that is composed of Eurodollar or Treasury or similar Futures and Swap rates. The term usually begins at 3 months to 2 years for the futures strip component with the Swaps filling in the points to 10 years and beyond.
LIBI	LIBID	Rate at which major international banks are willing to take deposits from one another, is normally 1/8 percent below LIBOR.  London InterBank Bid Rate, the rate bid by banks on Eurocurrency deposits; the international rate that banks lend to other banks.
LIBO	LIBOR	London Interbank Offered Rate, the interest rate that major international banks in London charge each other for borrowing.
SWAP	SWAP	In curve construction, Swap is the long portion of the curve constituting about 3 years to 30 years term.

CodeName	Name	Definition
		The exchange of one security, currency or interest rate for another to change the maturity (bonds), or quality of issues (stocks or bonds), or because investment objectives have changed.
TREA	Treasury	Treasury benchmark that comes in three types: the yield curve, the par curve, and the spot curve. All curves also have a constituent time series.
EURI	Euribor	Euro Interbank Offer Rate is the rate at which Euro inter-bank term deposits within the Euro zone are offered by one prime bank to another prime bank.
PFAN	Pfandbriefe	Pfandbriefe security is a collateralised bullet bond backed by either mortgage loans or loans to the public sector. Pfandbriefe differ from traditional asset-backed securities in significant ways. The most important difference is that Pfandbriefe carry no pre-payment risk since they remain on the balance sheet of the issuing institution. Therefore, their spreads over sovereign bonds are attributable to liquidity and credit quality alone. New indices have been created and existing indices have been modified in response to the growing importance of the Pfandbriefe market. The Deutsche Borse has three synthetic indices called REX, JEX, and PEX. The Pfandbriefe curve is used as a reference for credit as well as mortgage market.

### 77.2.2.14 CalculationType1Code

*Definition:* Specifies the yield computation method.

*Type:* CodeSet

CodeName	Name	Definition
AFTX	AfterTax	The yield on the bond net of any tax consequences from holding the bond. The discount on municipal securities can be subject to both capital gains taxes and ordinary income taxes. Calculated from dollar price.
ANNU	Annual	The annual interest or dividend income an investment earns, expressed as a percentage of the investment's total value.
ISSU	AtIssue	The yield of the bond offered on the issue date.
AVMA	ToAverageMaturity	The yield achieved by substituting a bond's average maturity for the issue's final maturity date.
BOOK	Book	The yield of a security calculated by using its book value instead of the

CodeName	Name	Definition
		current market price. This term is typically used in the US domestic market.
YTNC	YieldToNextCall	Yield of a bond to the next possible call date.
CHCL	ChangeSinceClose	The change in the yield since the previous day's closing yield.
CLOS	Closing	The yield of a bond based on the closing price.
CMPD	Compound	The yield of certain Japanese bonds based on its price. Certain Japanese bonds have irregular first or last coupons, and the yield is calculated compound for these irregular periods.
CUYI	CurrentYield	The ratio of the interest payment amount to the clean price.
TRGR	TrueGross	Yield calculated using the price including accrued interest, where coupon dates are moved from holidays and weekends to the next trading day.
GVEQ	GovernmentEquivalent	Ask yield based on semi-annual coupons compounding in all periods and actual/ actual calendar.
FLAS	InflationAssumption	Based on price, the return an investor would require on a normal bond that would make the real return equal to that of the inflation-indexed bond, assuming a constant inflation rate.
NVFL	InverseFloater	Inverse Floater Bond Yield - Inverse floater semi-annual bond equivalent rate.
LSCL	LastClose	Most Recent Closing Yield - The last available yield stored in history, computed using price.
LSMT	LastMonth	Closing Yield Most Recent Month - The yield of a bond based on the closing price as of the most recent month's end.
LSQR	LastQuarter	Closing Yield Most Recent Quarter - The yield of a bond based on the closing price as of the most recent quarter's end.
LSYR	LastYear	Closing Yield Most Recent Year - The yield of a bond based on the closing price as of the most recent year's end.
LGAL	LongestAverageLife	The yield assuming only mandatory sinks are taken. This results in a lower paydown of debt; the yield is then calculated to the final payment date.
MARK	MarkToMarket	An adjustment in the valuation of a securities portfolio to reflect the current market values of the respective securities in the portfolio.
YTMA	YieldToMaturity	Internal rate of return an investor would achieve if he or she purchased that bond

CodeName	Name	Definition
		at its current dirty price, and held it to maturity, assuming all coupon and principal payments are received as scheduled.
NXRF	ToNextRefund	Yield To Next Refund (Sinking Fund Bonds) - Yield assuming all bonds are redeemed at the next refund date at the redemption price.
PNAV	OpenAverage	The average yield of the respective securities in the portfolio.
NXPT	ToNextPut	The yield to the date at which the bond holder can next put the bond to the issuer.
PRCL	PreviousClose	The yield of a bond based on the closing price 1 day ago.
PRYL	Proceeds	The CD equivalent yield when the remaining time to maturity is less than two years.
SEMI	SemiAnnual	The yield of a bond whose coupon payments are reinvested semi-annually.
SHLF	ShortestAverageLife	The yield assuming that all sinks (mandatory and voluntary) are taken at par. This results in a faster paydown of debt; the yield is then calculated to the shortest average life date.
SPLL	Simple	The yield of a bond assuming no reinvestment of coupon payments. (Act/360 day count).
TXQV	TaxEquivalent	The after tax yield grossed up by the maximum federal tax rate of 39.6%. For comparison to taxable yields.
TTDT	ToTenderDate	The yield on a Municipal bond to its mandatory tender date.
TRYL	True	The yield calculated with coupon dates moved from a weekend or holiday to the next valid settlement date.
WRST	ToWorstConvention	The lowest yield to all possible redemption date scenarios.

### 77.2.2.15 CallType1Code

*Definition:* Defines the type of execution of the call feature.

*Type:* CodeSet

CodeName	Name	Definition
LOTT	Lottery	Type of execution of the call feature is a lottery.
PRTA	ProRata	Type of execution of the call feature is pro-rata.

### 77.2.2.16 CancellationProcessingStatus3Code

*Definition:* Specifies the status of a cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
CANP	PendingCancellation	Cancellation is pending. It is not known at this time whether cancellation can be affected.
REJT	Rejected	Cancellation has been rejected for further processing.
REPR	InRepair	Cancellation request is in repair.
PACK	Accepted	Cancellation request has been acknowledged for further processing by the account servicer.
DEND	Denied	Cancellation will not be executed.
CAND	CancellationCompleted	Cancellation has been completed.

### 77.2.2.17 CancelledStatusReason13Code

*Definition:* Specifies the underlying reason for the cancellation of the associated transaction.

*Type:* CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
CXLR	EndOfLife	Transaction is rejected by the executing party, the rejection is final therefore the order is cancelled in the system.
CANT	CancelledDueToTransformation	Original transaction has been cancelled and replaced due to a corporate action.
CANZ	CancelledSplitPartialSettlement	Original transaction has been cancelled and replaced to allow a partial or split settlement.
CORP	CancelledDueToCorporateAction	Transaction has been cancelled due to a corporate action.
SCEX	SecuritiesNoLongerEligible	Transaction has been cancelled; the security no longer exists or is no longer eligible on the market instructed. For corporate action related cancellation, CORP should be used.
OTHR	Other	Other. See Narrative.
CTHP	CancelledByThirdParty	Instruction is cancelled by a Third party.

### 77.2.2.18 CancelledStatusReason5Code

*Definition:* Specifies the underlying reason for the cancellation of the associated transaction.



Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

### 77.2.2.19 ClearingChannel2Code

*Definition:* Specifies the clearing channel for the routing of the transaction, as part of the payment type identification.

Type: CodeSet

CodeName	Name	Definition
RTGS	RealTimeGrossSettlementSystem	Clearing channel is a real-time gross settlement system.
RTNS	RealTimeNetSettlementSystem	Clearing channel is a real-time net settlement system.
MPNS	MassPaymentNetSystem	Clearing channel is a mass payment net settlement system.
BOOK	BookTransfer	Payment through internal book transfer.

### 77.2.2.20 CopyDuplicate1Code

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 77.2.2.21 CorporateActionEventType24Code

*Definition:* Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be

CodeName	Name	Definition
		combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up

CodeName	Name	Definition
		shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

CodeName	Name	Definition
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on

CodeName	Name	Definition
		preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

CodeName	Name	Definition
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

CodeName	Name	Definition
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

#### 77.2.2.22 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

##### Format

pattern [A-Z]{2,2}

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 77.2.2.23 CreditDebitCode

*Definition:* Specifies if an operation is an increase or a decrease.

*Type:* CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 77.2.2.24 DataModification1Code

*Definition:* Specified the type of modification to be applied on a data set.

*Type:* CodeSet

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

#### 77.2.2.25 DateType3Code

*Definition:* Specifies a type of date.

*Type:* CodeSet

CodeName	Name	Definition
VARI	Various	Partial trades have occurred over a period of two or more days.

#### 77.2.2.26 DeniedReason4Code

*Definition:* Specifies the denied reason.

*Type:* CodeSet



CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Received after the account servicer's deadline.
DCAN	DeniedSinceAlreadyCancelled	Cancellation request was denied since the instruction has already been cancelled.
DPRG	DeniedSinceInProgress	Cancellation request was denied because the process of settlement is in progress.
DREP	DeniedSinceRepoEnded	Cancellation request was denied because the repo was cancelled.
DSET	DeniedSinceAlreadySettled	Cancellation request was denied because the instruction was already settled.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
CDRG	ConditionalRegistrar	Execution is denied due to the execution of a process at the registrar.
CDCY	ConditionalCurrency	Execution is denied due to a process linked to the currency of the transaction.
CDRE	ConditionalRealignment	Execution is denied due to the execution of a process of realignment at the issuer CSD.

### 77.2.2.27 DistributionPolicy1Code

*Definition:* Specifies if income is to be paid out (distributed) or retained (accumulated).

*Type:* CodeSet

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

### 77.2.2.28 EligibilityType1Code

*Definition:* Defines the type of eligibility.

*Type:* CodeSet

CodeName	Name	Definition
SECU	Securities	Eligibility applies at securities level.
ISCS	IssuerCSD	Eligibility applies at the level of issuer CSD.  All of the securities issued by the issuer CSD are eligible.

CodeName	Name	Definition
CTRY	Country	Eligibility applies at country level.  All of the securities issued in that country are eligible.

### 77.2.2.29 ErrorHandler1Code

*Definition:* Error codes generated when the response to a query exceeds the maximum size or the data is not available.

*Type:* CodeSet

CodeName	Name	Definition
X020	DataNotYetAvailable	Specifies the error code when the data requested are not yet available.
X030	MessageSizeLimitExceeded	Specifies the error code when the data requested generates a message size that exceed the processing capacity.
X050	DataNotAvailable	Specifies the error code when the data requested have not been found.

### 77.2.2.30 EventFrequency3Code

*Definition:* Specifies the regularity of an event.

*Type:* CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
MNTH	Monthly	Event takes place every month or once a month.
QUTR	Quarterly	Event takes place every three months or four times a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
WEEK	Weekly	Event takes place once a week.

### 77.2.2.31 EventFrequency7Code

*Definition:* Specifies the regularity of an event.

*Type:* CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.

CodeName	Name	Definition
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

### 77.2.2.32 ExternalAccountIdentification1Code

*Definition:* Specifies the external account identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 77.2.2.33 ExternalBalanceType1Code

*Definition:* Specifies the balance type, as published in an external balance type code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 77.2.2.34 ExternalCashAccountType1Code

*Definition:* Specifies the nature, or use, of the cash account in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

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*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 77.2.2.35 ExternalClearingSystemIdentification1Code

*Definition:* Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	5

### 77.2.2.36 ExternalCollateralReferenceDataStatusReason1Code

*Definition:* Specifies the status reason, as published in an external collateral reference data status reason code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 77.2.2.37 ExternalEnquiryRequestType1Code

*Definition:* Specifies the external request type code for the enquiry on a position in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code set published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 77.2.2.38 ExternalFinancialInstitutionIdentification1Code

*Definition:* Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### **77.2.2.39 ExternalFinancialInstrumentIdentificationType1Code**

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### **77.2.2.40 ExternalFinancialInstrumentProductType1Code**

*Definition:* Specifies the external financial instrument product type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### **77.2.2.41 ExternalMarketInfrastructure1Code**

*Definition:* Specifies the market infrastructure identification, as published in an external market infrastructure code list.

This is a synonym of the external cash clearing system code list, extended outside the pure cash domains.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	3

**77.2.2.42 ExternalOrganisationIdentification1Code**

*Definition:* Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

**77.2.2.43 ExternalPaymentControlRequestType1Code**

*Definition:* Specifies the external request type code for a payment control command in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code set published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

**77.2.2.44 ExternalPaymentMethode1Code**

*Definition:* Specifies the method of payment for the remittance of the invoicer to the invoicee.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

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#### 77.2.2.45 ExternalPersonIdentification1Code

*Definition:* Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	4

#### 77.2.2.46 ExternalProxyAccountType1Code

*Definition:* Specifies the external proxy account type code, as published in the proxy account type external code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	4

#### 77.2.2.47 ExternalSecuritiesUpdateReason1Code

*Definition:* Specifies the securities update reason, as published in an external securities update reason code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	4

#### 77.2.2.48 ExternalServiceCategory1Code

*Definition:* Specifies the service category, as published in an external status reason code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	4

### 77.2.2.49 ExternalStatusReason1Code

*Definition:* Specifies the status reason, as published in an external status reason code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 77.2.2.50 ExternalSystemBalanceType1Code

*Definition:* Specifies the system balance type, as published in an external system balance type code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 77.2.2.51 ExternalSystemErrorHandling1Code

*Definition:* Specifies the error code as generated by the system in the response, as published in an external balance type code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 77.2.2.52 ExternalSystemEventType1Code

*Definition:* Specifies the type an event generated within a system, as published in an system event type code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4



### 77.2.2.53 ExternalSystemPartyType1Code

*Definition:* Specifies the system party type, as published in an external system party type code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 77.2.2.54 FailingReason3Code

*Definition:* Specifies the reason the transaction/instruction is failing settlement. Settlement on the instructed settlement date is no longer possible.

*Type:* CodeSet

CodeName	Name	Definition
AWMO	AwaitingMoney	Financial instruments are delivered, but still awaiting money from counterparty.
BYIY	BuyInProcedure	Buy-in procedure has started on the market (on your behalf if your instruction is a receipt, by the counterparty if your instruction is a delivery).
CLAT	CounterpartyTooLateForSettlement	Counterparty's instruction was too late for settlement, that is the matching or settlement problems was solved too late.
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CANR	CancellationConfirmationRequested	Instruction was in suspense. Suspense period is finished so your cancellation or confirmation of instruction is required.
CAIS	AwaitingSecurities	Awaiting financial instruments from a corporate action or other procedure, for example, conversion, dematerialisation, exchange, registration, stamping, splitting.
OBJT	UnderObjection	Financial instruments are, for example, stolen, in dispute, under objection.
AWSH	AwaitingSecuritiesFromCounterparty	Financial instruments have not yet been received from the counterparty (if receive against payment trade), the money has been delivered.
PHSE	PhysicalDeliveryDelay	Settlement is physical. Financial instruments are being delivered.
STCD	ConfirmationDiscrepancy	Discrepancy in the settlement confirmation.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.

CodeName	Name	Definition
MLAT	MoneySecuritiesTooLateForSettlement	Covering money/financial instruments were received too late for completing settlement on a same day basis.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CHAS	EnquirySent	A chaser/enquiry has been sent.
NEWI	NewIssues	Financial instrument is a new issue and not yet available/tradable.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable financial instruments in counterparty's account or counterparty does not hold financial instruments.
MUNO	MultipleSettlementAmount	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.
GLOB	GlobalFormSecurities	Settlement cannot be executed; financial instruments are in global form.
PREA	YourInstructionOnHold	Your instruction is a preadvice, that is, for matching only.
PART	TradeSettlesInPartials	Trade will settle in partials.
NOFX	NoForeignExchangeInstruction	A foreign exchange instruction from you is missing.
CMON	CounterpartyInsufficientMoney	Insufficient money in counterparty's account.
YCOL	CollateralShortage	Insufficient collateral in your account to execute the instruction.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
DEPO	RefusedDepositForIssueOfDepositoryReceipts	Deposit of shares for the issuing of depository receipts has been refused. The allotment granted by the issuer is exceeded by your transaction.
FLIM	MaximumForeignLimitReached	Insufficient deliverable financial instruments in your account as maximum foreign limit has been reached.
INCA	IncomeAdjustmentRequired	Financial instruments require income adjustment, for example, dividend or interest.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LALO	SecuritiesLoanedOut	Financial instruments are out on loan.
MONY	InsufficientMoney	Insufficient money in your account.

CodeName	Name	Definition
NCON	ConfirmationNotReceived	Confirmation of settlement has not yet been received.
REFS	NotInGoodOrder	Delivery/receipt was refused because physical financial instruments are not in good order.
SDUT	LackOfStampDutyInformation	Stamp duty information is missing.
BATC	ProcessingBatchDifference	Processing batch differs in the counterparty's instruction, for example, daytime/real-time versus overnight.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to, for example, a corporate action event, realignment.
CPEC	CounterpartyInReceivership	Counterparty is in receivership (form of bankruptcy where a court appointed person - the receiver - manages the affairs of the business).
MINO	MinimumSettlementAmount	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
IAAD	StatusReasonInvestigation	Pending reason being investigated.
OTHR	Other	Other. See Narrative.
PHCK	PhysicalSecuritiesVerification	Physical financial instruments have been received and are being checked for authenticity.
BENO	BeneficialOwnershipDisagreement	Disagreement in beneficial ownership.
BOTH	BothInstructionsOnHold	Counterparty's instruction and your instruction are on hold/frozen/ in a preadvice mode.
CLHT	ClearingHouseTrade	Instructed settlement date does not agree with the settlement date on the clearing house trade, that is, a specific type of trade in India.
DENO	UnavailableDeliverableDenominatedQuantity	Quantity instructed does not match the denomination available/deliverable. Physical securities need to be obtained in deliverable denominated quantities.
DISA	NaturalDisaster	Exceptional closing of all financial institutions due to natural disaster, for example, earthquake.
DKNY	CounterpartyReturnedShares	Counterparty has returned or refuses the securities.
FROZ	SecuritiesFrozenAtCSD	Financial instruments are blocked at the Central Security Depository (CSD) following a corporate event.
LAAW	AwaitingOtherTransaction	Awaiting settlement of a purchase to cover failing positions.

CodeName	Name	Definition
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
LIQU	InsufficientCentralBankLiquidity	Central bank liquidity is insufficient.
PRCY	CounterpartyInstructionOnHold	Counterparty's instruction is a preadvice, that is, for matching only.
REGT	CertificatesRejected	Certificates have been lodged with the registrar but rejected due to incomplete documentation or foreign ownership limitation reached.
SETS	SettlementSystemMethodModified	Settlement system/method has been modified at central securities depository to allow settlement.
CERT	WrongCertificatesNumbers	Certificate number error.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

### 77.2.2.55 FailingReason6Code

*Definition:* Specifies the reason the transaction/instruction is failing settlement. Settlement on the instructed settlement date is no longer possible.

*Type:* CodeSet

CodeName	Name	Definition
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
PART	TradeSettlesInPartials	Trade will settle in partials.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to a corporate action event, realignment, etc.
OTHR	Other	Other. See Narrative.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
CERT	WrongCertificatesNumbers	Certificate number error.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

### 77.2.2.56 FormOfSecurity1Code

*Definition:* Form of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

### 77.2.2.57 Frequency5Code

*Definition:* Specifies the regularity of an event.

*Type:* CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
MNTH	Monthly	Event takes place every month or once a month.
QURT	Quarterly	Event takes place every three months or four times a year.
MIAN	SemiAnnual	Event takes place every six months or two times a year.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place on request or as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
TEND	TenDays	Event takes place every ten business days.

### 77.2.2.58 GlobalNote1Code

*Definition:* Identifies if the security will be issued in New Global Note (NGN) or Classical Global Note (CGN).

*Type:* CodeSet

CodeName	Name	Definition
NGNO	NewGlobalNote	Form of global certificate which refers to the books and records of the ICSDs to determine the Issue Outstanding Amount (IOA).

CodeName	Name	Definition
CGNO	ClassicalGlobalNote	Form of global certificate which requires physical annotation on the attached schedule to reflect changes in the Issue Outstanding Amount (IOA).

### 77.2.2.59 InitialPhysicalForm1Code

*Definition:* Indicates the physical form of the securities.

*Type:* CodeSet

CodeName	Name	Definition
GTGT	GT	Initial Physical Form is GT.
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

### 77.2.2.60 InitialPhysicalForm2Code

*Definition:* Indicates the physical form of the securities.

*Type:* CodeSet

CodeName	Name	Definition
GPGP	GP	Initial Physical Form is GP.
DERN	Definitive	Initial Physical Form is definitive.

### 77.2.2.61 InstructionQueryType1Code

*Definition:* Specifies the type of instruction queried.

*Type:* CodeSet

CodeName	Name	Definition
SETT	SettlementInstruction	Query is performed on settlement transactions.
IPOS	IntraPositionMovement	Query is performed on intra-position movements.
BOTH	SettlementAndIntraPosition	Query is performed on both settlement transactions and intra-position movements.

### 77.2.2.62 InstrumentSubStructureType1Code

*Definition:* Indicates the type of deal for structured finance.

*Type:* CodeSet

CodeName	Name	Definition
ABSE	AssetBackedSecurity	Sub structured type of the financial instrument is an asset backed security.
AIRT	AirlineTrust	Sub structured type of the financial instrument is an airline trust.

CodeName	Name	Definition
AUTT	AutoTrust	Sub structured type of the financial instrument is an auto trust.
CBOB	CollateralBondObligation	Sub structured type of the financial instrument is a collateral bond obligation.
CDOB	CollateralDebtObligation	Sub structured type of the financial instrument is a collateral debt obligation.
CLNO	CreditLinkedNotes	Sub-structured type of the financial instrument is a credit linked note.
CLOB	CollateralLoanObligation	Sub structured type of the financial instrument is a collateral loan obligation.
CMBS	CommercialMortgage	Sub structured type of the financial instrument is a commercial mortgage.
CSMR	Consumer	Sub structured type of the financial instrument is a consumer.
CRCT	CreditCardTrust	Sub structured type of the financial instrument is a credit card trust.
HELO	HomeEquityLoans	Sub structured type of the financial instrument is a home equity loan.
LPNO	LoanParticipationNote	Sub structured type of the financial instrument is a loan participation notes.
PFAB	CoveredBond	Sub structured type of the financial instrument is a covered bond.
PYRT	PaymentRight	Sub structured type of the financial instrument is a payment right.
REPK	Repackagings	Sub structured type of the financial instrument is a repackaging.
RMBS	ResidentialMortgage	Sub structured type of the financial instrument is a residential mortgage.
SCBO	StructuredCoveredBond	Sub structured type of the financial instrument is a structured covered bond.
STRB	StraightBond	Sub structured type of the financial instrument is a straight bond.
STUT	StudentLoanTrust	Sub structured type of the financial instrument is a student loan trust.
WBSE	WholeBusinessSecurity	Sub structured type of the financial instrument is a whole business security.

### 77.2.2.63 InterestComputationMethod2Code

*Definition:* Specifies the method used to compute accruing interest of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

CodeName	Name	Definition
		same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day



CodeName	Name	Definition
		year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day

CodeName	Name	Definition
		year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

#### 77.2.2.64 InterestType3Code

*Definition:* Indicates whether interest rate is fixed, variable or other.

*Type:* CodeSet

CodeName	Name	Definition
ZCPN	ZeroCoupon	Indicates that the type of interest is a zero coupon.
FIXD	Fixed	Indicates that the type of interest is fixed.

CodeName	Name	Definition
FLRN	FloatingRateNote	Indicates that the type of interest is a floating rate note.
DUAL	DualBasis	Indicates that the type of interest is a dual basis.
INDE	Index	Indicates that the type of interest is index.
DSCO	Discounted	Indicates that the type of interest is discounted.

### 77.2.2.65 InvestorRestrictionType1Code

*Definition:* Specifies to whom or what the restriction applies.

*Type:* CodeSet

CodeName	Name	Definition
LERE	LegalResident	Restriction applies to a legal resident.
CITI	Citizen	Restriction applies to a citizen.
INDV	Individual	Restriction applies to an individual.

### 77.2.2.66 InvestorType1Code

*Definition:* Specifies the type of investor.

*Type:* CodeSet

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PROF	Professional	Investor is a professional or institutional investor.
STAF	Staff	Investor is an employee.
PPER	PhysicalPerson	Investor is a physical person.

### 77.2.2.67 LegalRestrictions1Code

*Definition:* Specifies the regulatory restrictions applicable to a security.

*Type:* CodeSet

CodeName	Name	Definition
USLE	USLegal144A	Ownership or transfer of an unregistered security issued, pursuant to US legal restrictions 144A.
NORE	NoRestrictions	Ownership or transfer of a security that is not subject to restrictions.
REST	Restrictions	Ownership or transfer of a security that is subject to restrictions, and not pursuant to 144A.

### 77.2.2.68 LegalRestrictions2Code

*Definition:* Specifies the regulatory restrictions applicable to a security.

*Type:* CodeSet

CodeName	Name	Definition
JURO	JurisdictionOwnership	Investors outside this jurisdiction can purchase this security, for example, a Reg S security can only be held by an investor outside the US.
PPLA	PrivatePlacements	Only investors qualified by the issuer in this jurisdiction may purchase this security.
ACRI	AccreditedInvestor	Only investors qualified by the regulator in this jurisdiction may purchase this security.
MARG	Margin	Issuer defines whether the security may be purchased with borrowed money (US and Japan).
PRIV	Privilege	Privileges, for example, voting rights, of holding the security may be restricted by the issuer.

### 77.2.2.69 LimitType4Code

*Definition:* Specifies the type of risk management limit.

*Type:* CodeSet

CodeName	Name	Definition
EXGT	ExternalGuarantee	Limit is related to a cap amount granted by a national central bank or a settlement bank.
UCDT	UnsecuredCredit	Limit is related to a cap amount granted by a national central bank or a settlement bank, but generally unsecured outside of the market infrastructure.
ACOL	AutoCollateralisation	Limit is related to a credit operation that is or can be triggered when a buyer does not have a sufficient amount of money to settle a securities transaction in order to improve its cash position for the next settlement cycle. The credit provided can be secured using securities already held by the buyer ("collateral stocks") or the securities that are being purchased ("collateral flows").

### 77.2.2.70 LinkageType1Code

*Definition:* Type of linkage requested.

*Type:* CodeSet

CodeName	Name	Definition
LINK	Link	Request is to link the referenced transactions.
UNLK	Unlink	Request is to unlink the referenced transactions.
SOFT	Soft	Request is to soft link the referenced transactions.

### 77.2.2.71 LockStatus1Code

*Definition:* Specifies the lock status of a party.

*Type:* CodeSet

CodeName	Name	Definition
LOCK	Locked	Party has been locked for operations.
ULCK	Unlocked	Party is ready for operations.

### 77.2.2.72 MatchingProcess1Code

*Definition:* Specifies the action to be performed on the matching process.

*Type:* CodeSet

CodeName	Name	Definition
UNMT	Unmatch	The referenced transaction is requested to be unmatched.
MTRE	ResumeMatching	Matching process is to be resumed for the referenced transaction.

### 77.2.2.73 MaturityRedemptionType1Code

*Definition:* Indicates the type of redemption at maturity.

*Type:* CodeSet

CodeName	Name	Definition
FRED	FinalRedemption	Indicates that the type of redemption at maturity is final.
PRNR	PartialRedemptionWithoutReduction	Indicates that the type of redemption at maturity is partial without reduction.
PRWR	PartialRedemptionWithReduction	Indicates that the type of redemption at maturity is partial with reduction.
RNDM	DrawingAtRandom	Indicates that the type of redemption at maturity is drawing at random.
PRRA	DrawingProRata	Indicates that the type of redemption at maturity is drawing pro-rata.
CALL	Call	Indicates that the type of redemption at maturity is call.
PUUT	Put	Indicates that the type of redemption at maturity is put.

### 77.2.2.74 ModificationProcessingStatus1Code

*Definition:* Specifies the status of a cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
PACK	Accepted	Modification request has been acknowledged for further processing by the account servicer.
REJT	Rejected	Modification has been rejected for further processing.
MODC	Completed	Modification has been completed.
DEND	Denied	Modification will not be executed.
MODP	Pending	Modification is pending. It is not known at this time whether modified can be executed.
REPR	InRepair	Modification request is in repair.

### 77.2.2.75 MovementResponseType1Code

*Definition:* Specifies the type of movement response to be returned.

*Type:* CodeSet

CodeName	Name	Definition
FULL	Full	Response will include full details on the movements reported.
STTS	Status	Response will include limited details including the status on the movements reported.

### 77.2.2.76 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

### 77.2.2.77 NoReasonCode

*Definition:* Specifies that there is no reason available.

*Type:* CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 77.2.2.78 Operation1Code

*Definition:* Indicates the relationship between two variables.

*Type:* CodeSet

CodeName	Name	Definition
TILL	Till	Indicates a range delimited by two variables.
ORRR	Or	Indicates either of two variables is valid, but not both.
ANDD	And	Indicates that only when both variables are given, the operation is valid.

### 77.2.2.79 Operator1Code

*Definition:* Code containing the operator used to indicate the relationship between a variable and a fixed value.

*Type:* CodeSet

CodeName	Name	Definition
SMAL	SmallerThan	Smaller than.
SMEQ	SmallerOrEqualTo	Smaller or equal to.
GREA	GreaterThan	Greater than.
GREQ	GreaterOrEqualTo	Greater or equal to.
EQAL	EqualTo	Equal to.

### 77.2.2.80 OptionParty1Code

*Definition:* Specifies if a trade party is a buyer or a seller.

*Type:* CodeSet

CodeName	Name	Definition
SLLR	Seller	Seller in a trade.
BYER	Buyer	Buyer in a trade.

### 77.2.2.81 OptionStyle1Code

*Definition:* Defines how an option can be exercised.

*Type:* CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.

CodeName	Name	Definition
EURO	European	Option that can be exercised on expiry date only.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.

### 77.2.2.82 OptionStyle2Code

*Definition:* Defines how an option can be exercised.

*Type:* CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

### 77.2.2.83 OptionType1Code

*Definition:* Indicates whether it is a Call option (right to purchase a specific underlying asset) or a Put option (right to sell a specific underlying asset).

*Type:* CodeSet

CodeName	Name	Definition
CALL	Call	Right to buy a quantity of an asset for an agreed price at exercise date.
PUTO	Put	Right to sell a quantity of an asset for an agreed price at exercise date.

### 77.2.2.84 PartialSettlement2Code

*Definition:* Information about partial settlement.

*Type:* CodeSet

CodeName	Name	Definition
PAIN	PartialSettlement	Confirmation is for partial settlement. Part of the transaction remains unsettled.
PARC	PartiallyConfirmed	Confirmation is for partial settlement. No additional settlement will take place.



## 77.2.2.85 PendingReason10Code

*Definition:* Specifies the reason the transaction/instruction is pending settlement. Settlement on the instructed settlement date is still possible.

*Type:* CodeSet

CodeName	Name	Definition
AWMO	AwaitingMoney	Financial instruments are delivered, but still awaiting money from counterparty.
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CAIS	AwaitingSecurities	Awaiting financial instruments from a corporate action or other procedure, for example, conversion, dematerialisation, exchange, registration, stamping, splitting.
REFU	InstructionRefusedOrNotRecognised	Instruction has been refused or not recognised and is represented automatically.
AWSH	AwaitingSecuritiesFromCounterparty	Financial instruments have not yet been received from the counterparty (if receive against payment trade), the money has been delivered.
PHSE	PhysicalDeliveryDelay	Settlement is physical. Financial instruments are being delivered.
TAMM	TradeAmendedInMarket	Trade is being amended in the market.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CHAS	EnquirySent	A chaser/enquiry has been sent.
NEWI	NewIssues	Financial instrument is a new issue and not yet available/tradable.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable financial instruments in counterparty's account or counterparty does not hold financial instruments.
MUNO	MultipleSettlementAmount	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.
GLOB	GlobalFormSecurities	Settlement cannot be executed; financial instruments are in global form.
PREA	YourInstructionOnHold	Your instruction is a preadvice, that is, for matching only.
PART	TradeSettlesInPartials	Trade will settle in partials.
NMAS	NoMatchingRequired	Instruction has not been matched; matching process is not required.

CodeName	Name	Definition
NOFX	NoForeignExchangeInstruction	A foreign exchange instruction from you is missing.
CMON	CounterpartyInsufficientMoney	Insufficient money in counterparty's account.
YCOL	CollateralShortage	Insufficient collateral in your account to execute the instruction.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
DEPO	RefusedDepositForIssueOfDepositoryReceipts	Deposit of shares for the issuing of depository receipts has been refused. The allotment granted by the issuer is exceeded by your transaction.
FLIM	MaximumForeignLimitReached	Insufficient deliverable financial instruments in your account as maximum foreign limit has been reached.
INCA	IncomeAdjustementRequired	Financial instruments require income adjustment, for example, dividend or interest.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
FUTU	AwaitingSettlementDate	Awaiting settlement date. No settlement problems to be reported.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LALO	SecuritiesLoanedOut	Financial instruments are out on loan.
MONY	InsufficientMoney	Insufficient money in your account.
NCON	ConfirmationNotReceived	Confirmation of settlement has not yet been received.
REFS	NotInGoodOrder	Delivery/receipt was refused because physical financial instruments are not in good order.
SDUT	LackOfStampDutyInformation	Stamp duty information is missing.
BATC	ProcessingBatchDifference	Processing batch differs in the counterparty's instruction, for example, daytime/real-time versus overnight.
CYCL	AwaitingNextSettlementCycle	Your instruction is confirmed in the local market or is ready for settlement, awaiting next settlement cycle.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to, for example, a corporate action event, realignment.
CPEC	CounterpartyInReceivership	Counterparty is in receivership (form of bankruptcy where a court appointed person - the receiver - manages the affairs of the business).
MINO	MinimumSettlementAmount	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.

CodeName	Name	Definition
IAAD	StatusReasonInvestigation	Pending reason being investigated.
OTHR	Other	Other. See Narrative.
PHCK	PhysicalSecuritiesVerification	Physical financial instruments have been received and are being checked for authenticity.
BENO	BeneficialOwnershipDisagreement	Disagreement in beneficial ownership.
BOTH	BothInstructionsOnHold	Counterparty's instruction and your instruction are on hold/frozen/ in a preadvice mode.
CLHT	ClearingHouseTrade	Instructed settlement date does not agree with the settlement date on the clearing house trade, that is, a specific type of trade in India.
DENO	UnavailableDeliverableDenominatedQuantity	Quantity instructed does not match the denomination available/deliverable. Physical securities need to be obtained in deliverable denominated quantities.
DISA	NaturalDisaster	Exceptional closing of all financial institutions due to natural disaster, for example, earthquake.
DKNY	CounterpartyReturnedShares	Counterparty has returned or refuses the securities.
FROZ	SecuritiesFrozenAtCSD	Financial instruments are blocked at the Central Security Depository (CSD) following a corporate event.
LAAW	AwaitingOtherTransaction	Awaiting settlement of a purchase to cover failing positions.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
LIQU	InsufficientCentralBankLiquidity	Central bank liquidity is insufficient.
PRCY	CounterpartyInstructionOnHold	Counterparty's instruction is a preadvice, that is, for matching only.
REGT	CertificatesRejected	Certificates have been lodged with the registrar but rejected due to incomplete documentation or foreign ownership limitation reached.
SETS	SettlementSystemMethodModified	Settlement system/method has been modified at central securities depository to allow settlement.
CERT	WrongCertificatesNumbers	Certificate number error.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

### 77.2.2.86 PendingReason31Code

*Definition:* Specifies the reason the transaction/instruction is pending settlement. Settlement on the instructed settlement date is still possible.

Type: CodeSet

CodeName	Name	Definition
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
PART	TradeSettlesInPartials	Trade will settle in partials.
COLL	SecuritiesPledgedAsCollateral	Financial instruments are not deliverable as they are pledged as collateral.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
FUTU	AwaitingSettlementDate	Awaiting settlement date. No settlement problems to be reported.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
SBLO	SecuritiesBlocked	Financial instruments are blocked due to a corporate action event, realignment, etc.
OTHR	Other	Other. See Narrative.
PRSY	SystemOnHold	Transaction was put on hold/frozen by the system.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

### 77.2.2.87 PendingReason6Code

*Definition:* Specifies the reason why a cancellation request sent for the related instruction is pending.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CONF	AwaitingConfirmation	Awaiting confirmation from the counterparty.
OTHR	Other	Other. See Narrative.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.

### 77.2.2.88 PendingReason9Code

*Definition:* Specifies the reason why a cancellation request sent for the related instruction is pending.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
CONF	AwaitingConfirmation	Awaiting confirmation from the counterparty.
OTHR	Other	Other. See Narrative.
CDRG	ConditionalRegistrar	Execution is conditional to the execution of a process at the registrar.
CDCY	ConditionalCurrency	Execution is conditional to the execution of a process linked to the currency of the transaction.
CDRE	ConditionalRealignment	Execution is conditional to the execution of a process of realignment at the issuer CSD.
CDAC	ConditionalDeliveryAwaitingCancellation	Awaiting cancellation of one of the party.
INBC	IncompleteNumberCount	Not all the instructions part of a pool have been received.

### 77.2.2.89 PreferenceToIncome1Code

*Definition:* Indicates the level of priority to claim on income and assets of

the company in case of the payment of dividends and in the event of a bankruptcy, eg, ordinary/common stocks, preferred stocks, subordinated debt, etc.

*Type:* CodeSet

CodeName	Name	Definition
ORDN	Ordinary	Indicates an ordinary/common preference to income.
PFRD	Preferred	Indicates a preferred claim upon income and assets.

### 77.2.2.90 PreferredContactMethod2Code

*Definition:* Preferred method used to reach the individual contact within an organisation.

*Type:* CodeSet

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.

CodeName	Name	Definition
PHON	Phone	Preferred method used to reach the contact is per phone.

### 77.2.2.91 PriceValueType1Code

*Definition:* Specifies a type of value of the price.

*Type:* CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.

### 77.2.2.92 PriceValueType3Code

*Definition:* Specifies a type of value of the price.

*Type:* CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PARV	Par	Price is the face amount.
YIEL	Yield	Price expressed as a yield.
SPRE	Spread	Difference between a market maker's bid and asked price.
PEUN	PerUnit	Price expressed per unit.
ABSO	Absolute	Price is expressed as absolute.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

CodeName	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).

### 77.2.2.93 ProcessingPosition3Code

*Definition:* Specifies the processing position.

*Type:* CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

### 77.2.2.94 PutType1Code

*Definition:* Defines the type of execution of the put feature.

*Type:* CodeSet

CodeName	Name	Definition
MAND	Mandatory	Type of execution of the put feature is mandatory.
OPTI	Optional	Type of execution of the put feature is optional.
TWOS	Both	Type of execution of the put feature could be optional or mandatory.

### 77.2.2.95 RateType12Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

### 77.2.2.96 Registration2Code

*Definition:* Specifies whether registration should occur upon receipt.

*Type:* CodeSet

CodeName	Name	Definition
PTYH	PartyHold	You or your party set the instruction in a hold/frozen/preadvice mode.
CSDH	CSDHold	Central securities depository sets the instruction in a hold/frozen/preadvice mode.
CDEL	ConditionalDelivery	Instruction is in a hold/frozen/preadvice mode as it fulfils predefined conditional delivery rules in the market infrastructure platform for conditional delivery.
CVAL	CSDValidation	Instruction is in a hold/frozen/preadvice mode as it fulfils predefined conditions of a restriction processing type in the market infrastructure platform.

### 77.2.2.97 RejectionReason33Code

*Definition:* Specifies the reason why the instruction/cancellation request has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
CASH	CashAccount	Unrecognised or invalid cash account.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DMON	SettlementAmountRejection	Unrecognised or invalid settlement amount.
NCRR	SettlementAmountCurrencyRejection	Unrecognised or invalid settlement amount currency.
LATE	MarketDeadlineMissed	Received after market deadline.
INVL	InvalidLink	Invalid or unrecognized link.
INVB	InvalidBalance	Instruction aims at using an invalid balance.
INVN	InvalidLotNumber	Invalid or unrecognized lot number.
VALR	InvalidRule	Account servicer validation rule rejection.
MONY	InsufficientMoney	Insufficient money in your account.
CAEV	CorporateActionRejection	Corporate action pending on the financial instrument instructed.
DDAT	SettlementDateRejection	Unrecognised or invalid settlement date.
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.



CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
MINO	MinimumSettlementQuantity	Quantity instructed is lower than the minimum existing settlement quantity for the financial instrument.
MUNO	MultipleSettlementQuantity	Quantity instructed is not a multiple of an existing settlement quantity lot for the financial instrument.

### 77.2.2.98 RejectionReason34Code

*Definition:* Specifies the reason why the instruction/cancellation request has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
LATE	MarketDeadlineMissed	Received after market deadline.
CASH	CashAccount	Unrecognised or invalid cash account.
NRGM	NoMatch	More than one instruction match the criteria.
NRGN	NotFoundRejection	Instruction could not be found.
OTHR	Other	Other. See Narrative.
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.

### 77.2.2.99 RejectionReason35Code

*Definition:* Specifies the reason why the instruction/cancellation request has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
CASH	CashAccount	Unrecognised or invalid cash account.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
REFE	ReferenceRejection	Instruction has a reference identical to another previously received instruction.
LATE	MarketDeadlineMissed	Received after market deadline.
DDAT	SettlementDateRejection	Unrecognised or invalid settlement date.
NRGN	NotFoundRejection	Instruction could not be found.
OTHR	Other	Other. See Narrative.
INVM	InvalidModificationRequest	Invalid or unrecognized modification request.
INVL	InvalidLink	Invalid or unrecognized link.

### 77.2.2.100 RequestType1Code

*Definition:* Specifies the request used to further detail the type of information that will be queried.

*Type:* CodeSet

CodeName	Name	Definition
RT01	ReleasePending	Type is a request to release the pending transactions.
RT02	HoldNormal	Type is a request to hold normal transactions.
RT03	Cancellation	Type is a request to cancellation a transaction.
RT04	ReSequence	Type is a request to change the sequence of the transactions.
RT05	ReleaseSuspicious	Type is a request to release the suspicious transactions.

### 77.2.2.101 RequestType2Code

*Definition:* Specifies the request used to further detail the type of information that will be queried.

*Type:* CodeSet

CodeName	Name	Definition
RT11	BankPositionEnquiry	Type is an enquiry on the bank's position.
RT12	SpecificTransactionEnquiry	Type is an enquiry on a specific transaction.
RT13	QueuedTransactionEnquiry	Type is an enquiry on a queued transaction.
RT14	SuspiciousTransactionEnquiry	Type is an enquiry on a suspicious transaction.
RT15	PendingInwardCreditsEnquiry	Type is an enquiry on pending inward credits.

### 77.2.2.102 ResidenceType1Code

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Type:* CodeSet

CodeName	Name	Definition
DMST	Domestic	Residence is domestic.
FRGN	Foreign	Residence is foreign.
MXED	Mixed	Residence is mixed

### 77.2.2.103 RestrictionReference1Code

*Definition:* Specifies the restriction references to be applied to a transaction.

*Type:* CodeSet

### Format

minLength	1
maxLength	4

CodeName	Name	Definition
ADDC	AddCashRestrictionReference	Addition of a cash restriction reference representing a cash sub-balance to be utilised within the settlement instruction for the debiting or crediting of cash.
ADDS	AddSecuritiesRestrictionReference	Addition of a securities restriction reference representing a securities sub-position to be utilised within the settlement instruction for the delivery or receipt of securities.
REMC	RemoveCashRestrictionReference	Removal of a cash restriction reference, already present within the settlement instruction, representing a cash sub-balance to be utilised within the settlement instruction for the debiting or crediting of cash.
REMS	RemoveSecuritiesRestrictionReference	Removal of a securities restriction reference, already present within the settlement instruction, representing a securities sub-position to be utilised within the settlement instruction for the delivery or receipt of securities.

### 77.2.2.104 RestrictionType1Code

*Definition:* Specifies the type of restriction.

*Type:* CodeSet

CodeName	Name	Definition
SELR	Selling	Selling restriction.
BUYR	Buying	Buying restriction.
PLAR	Placing	Placing restriction.
HOLR	Holding	Holding restriction.
VOTR	Voting	Voting restriction.

### 77.2.2.105 SecuritiesBalanceType11Code

*Definition:* Specifies the nature of the securities or investment fund balance.

*Type:* CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of financial instruments that are blocked.
AWAS	AvailableWithNoAdditionalStatus	Balance of financial instruments that are freely available with no specific additional status.

CodeName	Name	Definition
AVAI	Available	Balance of financial instruments that are available.
BLCA	BlockedCorporateAction	Balance of financial instrument blocked following a corporate action, including proxy activities.
BLOT	BlockedTrading	Balance of financial instruments that are blocked for trading.
BLOV	BlockedAuthenticity	Balance of financial instruments that are blocked for verification of authenticity.
BORR	Borrowed	Balance of financial instruments that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
COLA	EligibleForCollateralPurposes	Balance of securities that are eligible for use for collateral purposes.
LOAN	OnLoan	Loan for consumption.
MARG	DerivativesMargin	Account is used when financing is by the broker/dealer.
PECA	PendingCorporateActionReceipt	Balance of financial instrument pending receipt following a corporate action.
PEDA	PendingCorporateActionDelivery	Balance of financial instrument pending delivery following a corporate action.
PLED	Pledged	Balance of securities that belong to and is kept in the safekeeping account indicated within this message, and that are pledged.
REGO	OutForRegistration	Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).
RSTR	Restricted	Balance of financial instruments that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Other. See Narrative.
TRAN	InTransshipment	Transaction has been generated due to transformation following a corporate action.
DRAW	Drawn	Settlement transactions relates to drawn securities.
CLEN	TaxExempt	Tax-exempt financial instruments are to be settled.
DIRT	NonTaxExempt	Taxable financial instruments are to be settled.

CodeName	Name	Definition
NOMI	Registered	Balance of financial instruments that are registered (in nominee name or in the name of the beneficial owner).
SPOS	StreetPosition	Balance of financial instruments that remain registered in the name of the prior beneficial owner.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
ISSU	Issued	In issuer agent / depository communication, balance of issued financial instruments for which legal documentation has been received.
QUAS	Quasilssued	In issuer agent / depository communication, balance of issued financial instruments for which legal documentation has not yet been received.
LODE	PendingOnLoanDelivery	Balance of financial instruments that are pending delivery in relation to a lending transaction.

#### 77.2.2.106 SecuritiesPaymentStatus1Code

*Definition:* Specifies the state of payment of a security at a particular time.

*Type:* CodeSet

CodeName	Name	Definition
FULL	FullyPaid	Security is fully paid.
NILL	NillPaid	Security is nill paid.
PART	PartiallyPaid	Security is partially paid.

#### 77.2.2.107 SecuritiesSettlementStatus1Code

*Definition:* Provides the status of settlement of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
PEND	Pending	Instruction is pending. Settlement at the instructed settlement date is still possible.
PENF	Failing	Instruction is failing. Settlement at the instructed settlement date is no longer possible.

#### 77.2.2.108 SecuritiesTransactionType11Code

*Definition:* Specifies underlying information regarding the type of settlement transaction.

*Type:* CodeSet

CodeName	Name	Definition
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes.) under a program and without syndication arrangement.
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.

### 77.2.2.109 SecuritiesTransactionType5Code

*Definition:* Specifies underlying information regarding the type of transaction.

*Type:* CodeSet

CodeName	Name	Definition
TRAD	Trade	Relates to the settlement of a trade.

### 77.2.2.110 SecurityStatus2Code

*Definition:* Specifies the status of the security.

*Type:* CodeSet

CodeName	Name	Definition
ACTV	Active	The status is active.
INAC	Inactive	The status is inactive.
SUSP	Suspended	The status is suspended.

### 77.2.2.111 SettlementDate4Code

*Definition:* Specifies the date of settlement, in coded form.

*Type:* CodeSet

CodeName	Name	Definition
WISS	WhenIssued	Settlement is to be done when the security is issued.

### 77.2.2.112 SettlementTransactionCondition5Code

*Definition:* Specifies the conditions under which the order/trade is to be settled.

*Type:* CodeSet

CodeName	Name	Definition
PART	PartialAllowed	Partial settlement is allowed.
NPAR	PartialNotAllowed	Partial settlement is not allowed.
PARC	PartialSettlementCashThresholdAllowed	Partial settlement is allowed but must satisfy a cash value minimum (value defined in static data).
PARQ	PartialSettlementQuantityThresholdAllowed	Partial settlement is allowed but must satisfy a minimum quantity of securities (quantity defined in static data).

### 77.2.2.113 SettlementType1Code

*Definition:* Indicates how an option trade is settled.

*Type:* CodeSet

CodeName	Name	Definition
PRIN	Principal	Option trade is settled as principal.
NETO	NettedOff	Option trade is netted off against another trade.

### 77.2.2.114 SettlementUnitType1Code

*Definition:* Type of settlement foreseen for the security.

*Type:* CodeSet

CodeName	Name	Definition
FAMT	FaceAmount	Represent the face amount, for example the principal of a debt instrument.
UNIT	Unit	Represent the unit as a number, for example a number of shares.

### 77.2.2.115 SettleStyle1Code

*Definition:* Specifies when the option contract settles.

*Type:* CodeSet

CodeName	Name	Definition
SETC	SettleOnClose	Settlement is only allowed on the closing of the future contract.
SETO	SettleOnOpen	Settlement is only allowed on the opening of the future contract.

### 77.2.2.116 Standardisation1Code

*Definition:* Specifies whether the terms of the security (underlying instruments, expiration date, contract size) are defined according to the exchange specifications or whether they can be user defined.

*Type:* CodeSet

CodeName	Name	Definition
FLEX	Flexible	Derivatives defined according to exchange specifications, but certain characteristics can be user defined.
NSTA	NonStandardised	Custom-made instrument between two parties. Underlying instruments, expiration date and contract size of the derivatives are not standardised.
STAN	Standardised	The underlying instruments, expiration date and contract size of the derivatives are standardised.

### 77.2.2.117 StatementUpdateType1Code

*Definition:* Specifies the nature of a statement update, for example, it is a complete statement.

*Type:* CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

### 77.2.2.118 Status10Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.
REJT	Rejected	Instruction has been rejected.
PART	PartiallyCompleted	Processing has been partially completed.

### 77.2.2.119 Status6Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

### 77.2.2.120 SystemSecuritiesAccountType1Code

*Definition:* Lists the type of the securities account specific for a system.

*Type:* CodeSet

CodeName	Name	Definition
CSDP	CSDParticipantAccount	Account owned by a CSD Participant .
CSDM	CSDMirrorAccount	Account operated by CSD for mirroring positions .
ICSA	InterCSDAccount	Account operated by CSD for settlement of securities legs for instructions involving internal CSD s.
TOFF	TechnicalOffsetAccount	Account operated by CSDs in a direct holding market context.



CodeName	Name	Definition
CSDO	CSDOmnibusAccount	Account operated by CSD in cross-CSD settlement context.
ISSA	IssuanceAccount	Account reflecting the holdings of the participants of the issuer CSD for a given financial instrument.

### 77.2.2.121 TEFRARules1Code

*Definition:* Indicates the Tax Equity and Fiscal Responsibility Act (TEFRA) rule levied by the IRS under which the security is issued.

*Type:* CodeSet

CodeName	Name	Definition
RULC	C	Indicates that the security is issued under the TEFRA rule C.
RULD	D	Indicates that the security is issued under the TEFRA rule D.

### 77.2.2.122 TimeUnit1Code

*Definition:* Unit of time associated with the contract.

*Type:* CodeSet

CodeName	Name	Definition
DAYC	CalendarDay	Time unit is calendar day.
HOUR	Hour	Time unit is hour.
MINU	Minute	Time unit is minute.
MNTH	Month	Time unit is month.
SECO	Second	Time unit is second.
WEEK	Week	Time unit is week.
YEAR	Year	Time unit is year.

### 77.2.2.123 TradeTransactionCondition2Code

*Definition:* Specifies the conditions under which the order/trade is to be executed.

*Type:* CodeSet

CodeName	Name	Definition
SPCC	SpecialCumCoupon	Indicates whether the trade is executed with a special cum coupon.
SECN	SpecialExCoupon	Indicates whether the trade is executed with a special ex coupon.
SEBN	SpecialExBonus	Indicates whether the trade is executed special ex bonus.
SCBN	SpecialCumBonus	Indicates whether the trade is executed special cum bonus.

CodeName	Name	Definition
SCRT	SpecialCumRights	Indicates whether the trade is executed special cum rights.
SERT	SpecialExRights	Indicates whether the trade is executed special ex rights.
SCCR	SpecialCumCapitalRepayments	Indicates whether the trade is executed special cum capital repayments.
SECR	SpecialExCapitalRepayments	Indicates whether the trade is executed special ex capital repayments.
CAST	CashSettlement	Indicates whether the trade is executed with a cash settlement.
SPPR	SpecialPrice	Indicates whether the trade is executed with a special price.
SPCU	SpecialCumDividend	Indicates whether the trade is executed with a special cum dividend, that is, buying after the ex date and getting the dividend.
SPEX	SpecialExDividend	Indicates whether the trade is executed with a special ex dividend, that is, selling before the ex date without the coupon.
GTDL	GuaranteedDelivery	Indicates whether the delivery of the financial instrument on settlement date is guaranteed.

### 77.2.2.124 TransactionProcessingStatus3Code

*Definition:* Provides the processing status of a transaction (at account servicer level).

*Type:* CodeSet

CodeName	Name	Definition
CAND	Cancelled	Instruction has been cancelled.
PACK	AcknowledgedAccepted	Instruction has been acknowledged/ accepted for further processing by the account servicer.
REJT	Rejected	Instruction has been rejected for further processing.
REPR	InRepair	Instruction is accepted but in repair.

### 77.2.2.125 TypeOfPrice1Code

*Definition:* Specifies the type of price and information about the price.

*Type:* CodeSet

CodeName	Name	Definition
AVER	Average	Price is an average execution price.
AVOV	AverageOverride	Price is an override of the average price.

CodeName	Name	Definition
COMB	Combined	Price is composed of the combined expenses (used in the UK market).
GREX	GrossOfAll	Price is a gross execution price. The price is an all inclusive price, ie, including all charges, fees, and taxes.
LIMI	Limit	Price is the limit price of a limit order, eg, a customer might put in a limit order to sell financial instruments at 67 or to buy at 60.
NET2	Net	Price is a net price, ie, net only of local broker's commission, local fees and local taxes.
NDIS	NetDisclosed	Price is net to the disclosed client.
NET1	NetOfAll	Price is a net price, ie, net of all charges, fees and taxes.
NUND	NetUndisclosed	Price is net to the client undisclosed (used in the UK market).
NOGR	NotionalGross	Price is notional gross (used in the UK market).
PARV	ParValue	Price is equal to the nominal or face value of the instrument.
RDAV	RoundedAverage	Price is a rounded average price.
STOP	Stop	Price is a stop price used in an order to buy. The order becomes a market order when the financial instrument trades at or above the stop price after the order is submitted. In an order to sell the order becomes a market order when the financial instrument trades at or below the stop price.

### 77.2.2.126 UnitOfMeasure9Code

*Definition:* Identifies the unit of measure by means of a code. The code is taken from UN/ECE Recommendation 20.

*Type:* CodeSet

CodeName	Name	Definition
BAGG	Bag	Code for a bag.
BALE	Bale	Code for a bale.
BOTL	Bottle	Code for a bottle.
BOXX	Box	Code for a box.
CRTN	Carton	Code for a carton.
CELI	Centilitre	Unit of volume that is equal to one hundredth of a litre.
CMET	Centimetre	Unit of measure that is equal to one hundredth of a metre.

CodeName	Name	Definition
CNTR	Container	Code for a container.
CRAT	Crate	Code for a crate.
CBIN	CubicInch	Measure of a volume, one inch by one inch by one inch.
CBME	CubicMeters	Unit of volume that is equal to one meter in length, breadth and height or also equal to 1000 liters.
CBML	CubicMillimetre	Unit of volume that is equal to one thousandth of a litre.
PIEC	Piece	Standard length of cloth, wallpaper, as an item for sale or amount of a substance.
FOOT	Foot	Unit of length equal to 1/3 yard.
GBFO	GBFuildOunce	Unit of volume equal to 2, 841 306 centilitre.
GBGA	GBGallon	Unit of volume that is equal to 8 pints.
GBPI	GBPint	Unit of volume that is equal to 568 cubic centimetres.
GBQA	GBQuart	Unit of volume that is equal to 2 pints.
GBTN	GBTon	Measure of weight, in Britain 2240 lb (long ton).
GRAM	Gram	Unit of measure that is equal to a 1, 000th of a kilo.
INCH	Inch	Measure of length equal to 2.54 cm.
KILO	Kilogram	Basic unit of mass in the SI system, 1000 grams.
KMET	Kilometre	Unit of measure that is equal to 1, 000 meters.
LITR	Litre	Unit of volume that is equal to a thousand cubic centimetres.
METR	Metre	Unit of length in the metric system, equal to 39.37 inches.
TONE	MetricTons	Unit of mass equal to 1000 kilograms; equivalent to approximately 2,204.6 pounds, 1.102 short tons (US) or 0.984 long tons (imperial).
MILE	Mile	Unit of length equal to 1, 760 yards.
MMET	Millimetre	Unit of measure that is a thousandth of one metre.
MILI	MilliLitre	Unit of volume that is equal to one thousandth of a litre.
PUND	Pound	Unit of weight equal to 0.454 kilograms.
USOU	USOunce	Unit of weight equal to a sixteenth of a pound.

CodeName	Name	Definition
SCMT	SquareCentimetre	Measure of a surface, one centimetre by one centimetre.
SQFO	SquareFoot	Measure of a surface, one foot by one foot.
SQIN	SquareInch	Measure of a surface, one inch by one inch.
SQKI	SquareKilometre	Measure of a surface, one kilometre by one kilometre.
SMET	SquareMetre	Measure of a surface, one metre by one metre.
SQMI	SquareMile	Measure of a surface, one mile by one mile.
SMIL	SquareMillimetre	Measure of a surface, one millimetre by one millimetre.
SQYA	SquareYard	Measure of a surface, one yard by one yard.
USBA	USBarrel	Unit of volume equal to 158, 9873 litre.
USFO	USFluidOunce	Unit of volume equal to 2, 957353 centilitre.
USGA	USGallon	Unit of volume that is equal to 8 pints.
USPI	USPint	Unit of volume that is equal to 473 cubic centimetres.
USQA	USQuart	Unit of volume that is equal to 2 pints.
USTN	USTon	Measure of weight, in the US 2000 lb (short ton).
YARD	Yard	Unit of length equal to 3 feet or 0.9144 metre.
GBOU	GBOunce	Unit of weight equal to a sixteenth of a pound.
ACRE	Acre	Unit of measure equal to 4, 840 square yards.
ARES	Are	Unit of measure equal to a 100 square meters.
HECT	Hectare	Unit of measure that is equal to 10, 000 square meters.

### 77.2.2.127 WarrantStyle1Code

*Definition:* Defines how an option can be exercised.

*Type:* CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

CodeName	Name	Definition
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.

## 77.2.3 Date

### 77.2.3.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

## 77.2.4 DateTime

### 77.2.4.1 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

## 77.2.5 IdentifierSet

### 77.2.5.1 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

---

### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 77.2.5.2 BICFIDec2014Identifier

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### 77.2.5.3 CFIOct2015Identifier

*Definition:* Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

*Type:* IdentifierSet

*Identification scheme:* ANNA; CFIdentifier

### Format

pattern [A-Z]{6,6}

### 77.2.5.4 IBAN2007Identifier

*Definition:* The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

*Type:* IdentifierSet

*Identification scheme:* National Banking Association; International Bank Account Number (ISO 13616)

### Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

---

## Constraints

### • IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## 77.2.5.5 ISIN2021Identifier

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

### Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

## 77.2.5.6 ISINOct2015Identifier

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

### Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

## 77.2.5.7 LEIIdentifier

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

*Identification scheme:* Global LEI System; LEIIdentifier

### Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

## 77.2.5.8 MICIdentifier

*Definition:* Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.



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*Type:* IdentifierSet

*Identification scheme:* SWIFT; MICIdentifier

**Format**

pattern [A-Z0-9]{4,4}

## 77.2.6 Indicator

### 77.2.6.1 PaymentDirectionIndicator

*Definition:* The direction of capital repayment for asset backed securities.

*Type:* Indicator

*Meaning When True:* Up

*Meaning When False:* Down

### 77.2.6.2 RequestedIndicator

*Definition:* Indicates if requested or not.

*Type:* Indicator

*Meaning When True:* Requested

*Meaning When False:* Not Requested

### 77.2.6.3 TrueFalseIndicator

*Definition:* A flag indicating a True or False value.

*Type:* Indicator

*Meaning When True:* True

*Meaning When False:* False

### 77.2.6.4 YesNoIndicator

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

## 77.2.7 Quantity

### 77.2.7.1 DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

**Format**

totalDigits 18

fractionDigits	17
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77.2.7.2 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits	18
fractionDigits	0

77.2.8 Rate

77.2.8.1 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	1.0

77.2.8.2 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

77.2.9 Text

77.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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### 77.2.9.2 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern	[a-zA-Z0-9]{4}
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### 77.2.9.3 Exact4NumericText

*Definition:* Specifies a numeric string with an exact length of 4 digits.

*Type:* Text

**Format**

pattern	[0-9]{4}
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### 77.2.9.4 Exact5NumericText

*Definition:* Specifies a numeric string with an exact length of 5 digits.

*Type:* Text

**Format**

pattern	[0-9]{5}
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### 77.2.9.5 ISO20022MessageIdentificationText

*Definition:* ISO 20022 Message identifier of an MX message.

*Type:* Text

**Format**

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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### 77.2.9.6 Max128Text

*Definition:* Specifies a character string with a maximum length of 128 characters.

*Type:* Text

**Format**

minLength	1
maxLength	128

### 77.2.9.7 Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

minLength	1
maxLength	140

**77.2.9.8 Max15NumericText**

*Definition:* Specifies a numeric string with a maximum length of 15 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,15}
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**77.2.9.9 Max16Text**

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

minLength	1
maxLength	16

**77.2.9.10 Max2048Text**

*Definition:* Specifies a character string with a maximum length of 2048 characters.

*Type:* Text

**Format**

minLength	1
maxLength	2048

**77.2.9.11 Max20AlphaNumericText**

*Definition:* Specifies an alphanumeric string with a maximum length of 20 characters.

*Type:* Text

**Format**

minLength	1
maxLength	20
pattern	[a-zA-Z0-9]{1,20}

**77.2.9.12 Max210Text**

*Definition:* Specifies a character string with a maximum length of 210 characters.

*Type:* Text

Format	
minLength	1
maxLength	210

77.2.9.13 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.  
*Type:* Text

Format	
minLength	1
maxLength	256

77.2.9.14 Max34Text

*Definition:* Specifies a character string with a maximum length of 34 characters.  
*Type:* Text

Format	
minLength	1
maxLength	34

77.2.9.15 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.  
*Type:* Text

Format	
minLength	1
maxLength	350

77.2.9.16 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.  
*Type:* Text

Format	
minLength	1
maxLength	35

77.2.9.17 Max3NumericText

*Definition:* Specifies a numeric string with a maximum length of 3 digits.  
*Type:* Text

**Format**

pattern	[0-9]{1,3}
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**77.2.9.18 Max40Text**

*Definition:* Specifies a character string with a maximum length of 40 characters.

*Type:* Text

**Format**

minLength	1
maxLength	40

**77.2.9.19 Max4AlphaNumericText**

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

**77.2.9.20 Max4Text**

*Definition:* Specifies a character string with a maximum length of 4 characters.

*Type:* Text

**Format**

minLength	1
maxLength	4

**77.2.9.21 Max500Text**

*Definition:* Specifies a character string with a maximum length of 500 characters.

*Type:* Text

**Format**

minLength	1
maxLength	500

**77.2.9.22 Max52Text**

*Definition:* Specifies a character string with a maximum length of 52 characters.

*Type:* Text

Format	
minLength	1
maxLength	52

**77.2.9.23 Max5NumericText**

*Definition:* Specifies a numeric string with a maximum length of 5 digits.  
*Type:* Text

Format	
pattern	[0-9]{1,5}

**77.2.9.24 Max70Text**

*Definition:* Specifies a character string with a maximum length of 70characters.  
*Type:* Text

Format	
minLength	1
maxLength	70

**77.2.9.25 PhoneNumber**

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

*Type:* Text

Format	
pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}

**77.2.10 YearMonth**

**77.2.10.1 ISOYearMonth**

*Definition:* Month within a particular calendar year represented by YYYY-MM (ISO 8601).  
*Type:* YearMonth