

ISO 20022

## Target2-Securities - Collateral Management Reference Data

# Message Definition Report - Part 2

Approved by the Securities SEG on 17 April 2025.

This document provides details of the Message Definitions for Target2-Securities - Collateral Management Reference Data.

May 2025

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# 1 Message Set Overview

## Introduction

This document describes the Target2-Securities - Collateral Management Reference Data message set. It includes the new collateral management reference data MessageDefinitions that have been developed in the scope of the Target2-Securities Business Justification (See BJ#042) and approved by the Securities SEG on 17 April 2025.

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
reda.024.001.01 CollateralValueCreationRequestV01	<p>The CollateralValueCreationRequest message is sent by the instructing party to the executing party to request for the creation of a collateral value in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new collateral value with corresponding details.</p> <p>Processing and confirmation of the collateral value creation request message are provided via a collateral management status advice.</p>
reda.025.001.01 EligibleSecuritiesCreationRequestV01	<p>The EligibleSecuritiesCreationRequest message is exchanged between an instructing party and the executing party to request for the creation of an eligible securities in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new eligible securities with corresponding details.</p> <p>Processing and confirmation of the eligible securities creation request message are provided via a collateral management status advice.</p>
reda.026.001.01 EligibleCounterpartCSDCreationRequestV01	<p>The EligibleCounterpartCSDCreationRequest message is sent by an instructing party to the executing party to request for the creation of an eligible counterpart CSD in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new eligible counterpart CSD with corresponding details.</p> <p>Processing and confirmation of the eligible counterpart CSD creation request message are provided via a collateral management status advice.</p>
reda.027.001.01 CloseLinkCreationRequestV01	<p>The CloseLinkCreationRequest message is sent by an instructing party to the executing party to request for</p>

MessageDefinition	Definition
	<p>the creation of a close link in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new close link with corresponding details.</p> <p>Processing and confirmation of the close link creation request message are provided via a collateral management status advice.</p>
reda.028.001.01 CollateralDataStatusAdviceV01	<p>The CollateralDataStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information of a request on collateral management reference data.</p> <p>Usage:</p> <p>It aims at informing about the status of the request.</p>
reda.044.001.01 EligibleCounterpartCSDStatusAdviceV01	<p>The EligibleCounterpartCSDStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information for a request on eligible counterpart CSD reference data.</p> <p>Usage:</p> <p>It aims at informing about the status of the request.</p>
reda.075.001.01 EligibleSecuritiesDeletionRequestV01	<p>The EligibleSecuritiesDeletionRequest message is exchanged between an instructing party and the executing party to request for the deletion of an eligible securities in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the deletion of a existing eligible securities with corresponding details.</p> <p>Processing and confirmation of the eligible securities deletion request message are provided via a collateral management status advice.</p>
reda.077.001.01 CloseLinkDeletionRequestV01	<p>The CloseLinkDeletionRequest message is sent by an instructing party to the executing party to request for the deletion of a close link in the executing system collateral management reference data.</p> <p>Usage:</p> <p>It aims at instructing the deletion of an existing close link with corresponding details.</p> <p>Processing and confirmation of the close link creation request message are provided via a collateral management status advice.</p>

## **2       reda.024.001.01 CollateralValueCreationRequestV01**

### **2.1      MessageDefinition Functionality**

The CollateralValueCreationRequest message is sent by the instructing party to the executing party to request for the creation of a collateral value in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new collateral value with corresponding details.

Processing and confirmation of the collateral value creation request message are provided via a collateral management status advice.

Outline

The CollateralValueCreationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. CollateralValue  
Represents the valuation of securities positions for auto-collateralisation.
- C. PartyIdentification  
Identifies the party for which the eligible security is defined.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CollValCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		9
	<b>CollateralValue</b> <CollVal>	[1..*]			9
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±	C7, C8, C9, C10, C11	10
	<b>ValuationDate</b> <ValtnDt>	[1..1]	Date		11
	<b>ValuationCurrency</b> <ValtnCcy>	[0..1]	CodeSet	C1	11
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			11
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		11
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	11
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		12
	<b>ValuationCloseLinkPrice</b> <ValtnClsLkPric>	[0..1]			12
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		12
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	12
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		13
	<b>CleanPrice</b> <CleanPric>	[0..1]	±		13
	<b>AccruedInterest</b> <AcrdlIntrst>	[0..1]	±		13
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		13
	<b>CloseLinkHaircut</b> <ClsLkHrcut>	[0..1]	Rate		14
	<b>PoolFactor</b> <PoolFctr>	[0..1]	Rate		14
	<b>ForeignExchange</b> <FX>	[0..1]	±		14
	<b>PartyIdentification</b> <PtyId>	[1..1]			14
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		14
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		15
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C12	15

## 2.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**C2 ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**C3 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C4 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C5 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C7 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C8 DescriptionUsageRule**

Description must be used alone as the last resort.

**C9 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C10 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C11 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C12 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.



## 2.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "MessageHeader1" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

## 2.4.2 CollateralValue <CollVal>

*Presence:* [1..\*]

*Definition:* Represents the valuation of securities positions for auto-collateralisation.

**CollateralValue <CollVal>** contains the following **CollateralValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±	C7, C8, C9, C10, C11	10
	<b>ValuationDate</b> <ValtnDt>	[1..1]	Date		11
	<b>ValuationCurrency</b> <ValtnCcy>	[0..1]	CodeSet	C1	11
	<b>ValuationPrice</b> <ValtnPric>	[1..1]			11
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		11
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	11
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		12
	<b>ValuationCloseLinkPrice</b> <ValtnClsLkPric>	[0..1]			12
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		12
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	12
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		13
	<b>CleanPrice</b> <CleanPric>	[0..1]	±		13
	<b>AccruedInterest</b> <AcrdIntrst>	[0..1]	±		13
	<b>Haircut</b> <Hrcut>	[0..1]	Rate		13
	<b>CloseLinkHaircut</b> <ClsLkHrcut>	[0..1]	Rate		14
	<b>PoolFactor</b> <PoolFctr>	[0..1]	Rate		14
	<b>ForeignExchange</b> <FX>	[0..1]	±		14

### 2.4.2.1 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identification of a security by an ISIN.

*Impacted by:* C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C9 "ISINGuideline", C10 "ISINPresenceRule", C11 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <OthrId>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True

```

/ISIN Must be present  
Or /Description Must be present

### 2.4.2.2 ValuationDate <ValtnDt>

*Presence:* [1..1]

*Definition:* Valuation date for the price.

*Datatype:* "ISODate" on page 80

### 2.4.2.3 ValuationCurrency <ValtnCcy>

*Presence:* [0..1]

*Definition:* Provides details of the currency of the valuation.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 77

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 2.4.2.4 ValuationPrice <ValtnPric>

*Presence:* [1..1]

*Definition:* Provides details of the price provided for the security.

**ValuationPrice <ValtnPric>** contains one of the following **AmountOrCoefficientPrice2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		11
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	11
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		12

#### 2.4.2.4.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and implied currency.

*Datatype:* "ImpliedCurrencyAndAmount" on page 77

#### 2.4.2.4.2 AmountWithCurrency <AmtWthCcy>

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and explicit currency.

*Impacted by:* C2 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 76

**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**2.4.2.4.3 Coefficient <Coeff>**

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with a coefficient.

*Datatype:* "DecimalNumber" on page 82

**2.4.2.5 ValuationCloseLinkPrice <ValtnClsLkPric>**

*Presence:* [0..1]

*Definition:* Price of the security as of the valuation date in the collateral valuation currency where there is a close link between the credit consumer and the security provided as collateral.

**ValuationCloseLinkPrice <ValtnClsLkPric>** contains one of the following **AmountOrCoefficientPrice2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount		12
Or	<b>AmountWithCurrency</b> <AmtWthCcy>	[1..1]	Amount	C2, C5	12
Or}	<b>Coefficient</b> <Coeff>	[1..1]	Quantity		13

**2.4.2.5.1 Amount <Amt>**

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and implied currency.

*Datatype:* "ImpliedCurrencyAndAmount" on page 77

**2.4.2.5.2 AmountWithCurrency <AmtWthCcy>**

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with an amount and explicit currency.

*Impacted by:* C2 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 76

**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**2.4.2.5.3 Coefficient <Coeff>**

*Presence:* [1..1]

*Definition:* Provides details of the price when expressed with a coefficient.

*Datatype:* "DecimalNumber" on page 82

**2.4.2.6 CleanPrice <CleanPric>**

*Presence:* [0..1]

*Definition:* Price excluding the accrued interest.

**CleanPrice <CleanPric>** contains one of the following elements (see "PriceRateOrAmount6Choice" on page 75 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		75
Or	Amount <Amt>	[1..1]	Amount		75
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C2, C5	75

**2.4.2.7 AccruedInterest <AcrdIntrst>**

*Presence:* [0..1]

*Definition:* Amount of money or rate accrued interest computed in the case of interest bearing financial instruments.

**AccruedInterest <AcrdIntrst>** contains one of the following elements (see "PriceRateOrAmount6Choice" on page 75 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		75
Or	Amount <Amt>	[1..1]	Amount		75
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C2, C5	75

**2.4.2.8 Haircut <Hrcut>**

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage.

*Datatype:* "PercentageRate" on page 82

#### 2.4.2.9 CloseLinkHaircut <ClsLkHrcut>

*Presence:* [0..1]

*Definition:* Haircut or valuation factor on the security expressed as a percentage in case of close links.

*Datatype:* "PercentageRate" on page 82

#### 2.4.2.10 PoolFactor <PoolFctr>

*Presence:* [0..1]

*Definition:* Percentage that applies to price of the securities following a redemption.

*Datatype:* "PercentageRate" on page 82

#### 2.4.2.11 ForeignExchange <FX>

*Presence:* [0..1]

*Definition:* Information needed to process a currency exchange or conversion.

**ForeignExchange <FX>** contains the following elements (see "ForeignExchangeTerms23" on page 67 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	67
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	67
	ExchangeRate <XchgRate>	[1..1]	Rate		67
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C6	68

### 2.4.3 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the eligible security is defined.

**PartyIdentification <Ptyld>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		14
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		15

#### 2.4.3.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 2.4.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

#### 2.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C12 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

##### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **3       reda.025.001.01**

# **EligibleSecuritiesCreationRequestV01**

### **3.1      MessageDefinition Functionality**

The EligibleSecuritiesCreationRequest message is exchanged between an instructing party and the executing party to request for the creation of an eligible securities in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new eligible securities with corresponding details.

Processing and confirmation of the eligible securities creation request message are provided via a collateral management status advice.

Outline

The EligibleSecuritiesCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. EligibleSecurity

Represents securities defined eligible for auto-collateralisation.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.



## 3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblSctiesCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		18
	<b>EligibleSecurity</b> <ElgblScty>	[1..*]			18
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	18
	<b>CollateralisationCurrency</b> <CollstnCcy>	[0..1]	CodeSet	C1	20
	<b>EligibilitySetProfile</b> <ElgbltySetPrfl>	[0..1]	±		20
	<b>PartyIdentification</b> <PtyId>	[1..1]			20
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		20
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		21
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C9	21

## 3.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C5 DescriptionUsageRule

Description must be used alone as the last resort.

### C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C7 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C8 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C9 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 3.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1" on page 68](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

### 3.4.2 EligibleSecurity <ElgblScty>

*Presence:* [1..\*]

*Definition:* Represents securities defined eligible for auto-collateralisation.

**EligibleSecurity <ElgblScty>** contains the following **EligibleSecurity5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	18
	CollateralisationCurrency <ColltnCcy>	[0..1]	CodeSet	C1	20
	EligibilitySetProfile <ElgbltySetPrfl>	[0..1]	±		20
	PartyIdentification <PtyId>	[1..1]			20
{Or	OrganisationIdentification <OrgId>	[1..1]	±		20
Or}	CombinedIdentification <CmbndId>	[1..1]	±		21

#### 3.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <Othrlid>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 3.4.2.2 CollateralisationCurrency <CollstnCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 78

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 3.4.2.3 EligibilitySetProfile <ElgblySetPrfl>

*Presence:* [0..1]

*Definition:* Number identifying the collateral eligibility set profile of the counterparty.

**EligibilitySetProfile <ElgblySetPrfl>** contains the following elements (see "GenericIdentification1" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		65
	SchemeName <SchmeNm>	[0..1]	Text		65
	Issuer <Issr>	[0..1]	Text		65

### 3.4.2.4 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the eligible security is defined.

**PartyIdentification <Ptyld>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		20
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		21

#### 3.4.2.4.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 3.4.2.4.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

### 3.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C9 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4      **reda.026.001.01**

# **EligibleCounterpartCSDCreationRequestV01**

### 4.1      **MessageDefinition Functionality**

The EligibleCounterpartCSDCreationRequest message is sent by an instructing party to the executing party to request for the creation of an eligible counterpart CSD in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new eligible counterpart CSD with corresponding details.

Processing and confirmation of the eligible counterpart CSD creation request message are provided via a collateral management status advice.

Outline

The EligibleCounterpartCSDCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. EligibleCounterpartCSD

Represents a party to be identified as eligible for the instructing party.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblCntrptCSDCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		24
	<b>EligibleCounterpartCSD</b> <ElgblCntrptCSD>	[1..1]			24
	<b>IssuerIdentification</b> <IssrId>	[1..1]			25
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		25
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		25
	<b>EligibleCounterpartIdentification</b> <ElgblCntrptId>	[1..1]			25
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		26
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		26
	<b>ValidFrom</b> <VldFr>	[1..1]	Date		26
	<b>ValidTo</b> <VldTo>	[0..1]	Date		26
	<b>EligibilityType</b> <ElgbltyTp>	[1..1]	CodeSet		26
	<b>EligibilityIdentification</b> <ElgbltyId>	[1..1]			27
{Or	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	27
Or	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	IdentifierSet		27
Or}	<b>IssuerCSDIdentification</b> <IssrCSDId>	[1..1]			28
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		28
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		28
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C3	28

## 4.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

### 4.4.2 EligibleCounterpartCSD <ElgblCntrptCSD>

*Presence:* [1..1]

*Definition:* Represents a party to be identified as eligible for the instructing party.

**EligibleCounterpartCSD <ElgblCntrptCSD>** contains the following **EligibleCounterpart3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerIdentification <IssrId>	[1..1]			25
{Or	OrganisationIdentification <OrgId>	[1..1]	±		25
Or}	CombinedIdentification <CmbndId>	[1..1]	±		25
	EligibleCounterpartIdentification <ElgblCntrptId>	[1..1]			25
{Or	OrganisationIdentification <OrgId>	[1..1]	±		26
Or}	CombinedIdentification <CmbndId>	[1..1]	±		26
	ValidFrom <VldFr>	[1..1]	Date		26
	ValidTo <VldTo>	[0..1]	Date		26
	EligibilityType <ElgbltyTp>	[1..1]	CodeSet		26
	EligibilityIdentification <ElgbltyId>	[1..1]			27
{Or	Country <Ctry>	[1..1]	CodeSet	C2	27
Or	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	IdentifierSet		27
Or}	IssuerCSDIdentification <IssrCSDId>	[1..1]			28
{Or	OrganisationIdentification <OrgId>	[1..1]	±		28
Or}	CombinedIdentification <CmbndId>	[1..1]	±		28



#### 4.4.2.1 IssuerIdentification <IssrId>

*Presence:* [1..1]

*Definition:* Unique business identifier code used to identify the party providing the eligible counterpart information.

**IssuerIdentification <IssrId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		25
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		25

##### 4.4.2.1.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

##### 4.4.2.1.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

##### 4.4.2.2 EligibleCounterpartIdentification <ElgblCntrptId>

*Presence:* [1..1]

*Definition:* Unique business identifier code used to identify the central securities depository to be defined as eligible.

**EligibleCounterpartIdentification <ElgblCntrptId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		26
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		26

#### 4.4.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 4.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

#### 4.4.2.3 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the eligible counterpart is valid.

*Datatype:* "[ISODate](#)" on page 80

#### 4.4.2.4 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Date until when the eligible counterpart is valid.

*Datatype:* "[ISODate](#)" on page 80

#### 4.4.2.5 EligibilityType <ElgbltyTp>

*Presence:* [1..1]

*Definition:* Defines the type of eligibility.

*Datatype:* "EligibilityType1Code" on page 79

CodeName	Name	Definition
SECU	Securities	Eligibility applies at securities level.
ISCS	IssuerCSD	Eligibility applies at the level of issuer CSD.  All of the securities issued by the issuer CSD are eligible.
CTRY	Country	Eligibility applies at country level.  All of the securities issued in that country are eligible.

#### 4.4.2.6 EligibilityIdentification <ElgbltyId>

*Presence:* [1..1]

*Definition:* Unique identification of the eligible counterpart party.

**EligibilityIdentification <ElgbltyId>** contains one of the following **EligibilityIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Country</b> <Ctry>	[1..1]	CodeSet	C2	27
Or	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	IdentifierSet		27
Or}	<b>IssuerCSDIdentification</b> <IssrCSDId>	[1..1]			28
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		28
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		28

##### 4.4.2.6.1 Country <Ctry>

*Presence:* [1..1]

*Definition:* Country code used to identify the issuance country to be defined as eligible.

*Impacted by:* C2 "Country"

*Datatype:* "CountryCode" on page 78

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

##### 4.4.2.6.2 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* ISIN used to identify the security to be defined as eligible.

*Datatype:* "ISINOct2015Identifier" on page 81

**4.4.2.6.3 IssuerCSDIdentification <IssrCSDId>***Presence:* [1..1]*Definition:* Issuer CSD identification used to identify the securities to be defined as eligible.**IssuerCSDIdentification <IssrCSDId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		28
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		28

**4.4.2.6.3.1 OrganisationIdentification <OrgId>***Presence:* [1..1]*Definition:* Provides the identification of a party.**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

**4.4.2.6.3.2 CombinedIdentification <CmbndId>***Presence:* [1..1]*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

**4.4.3 SupplementaryData <SplmtryData>***Presence:* [0..\*]*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.*Impacted by:* [C3 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 5      **reda.027.001.01**

## **CloseLinkCreationRequestV01**

### 5.1      **MessageDefinition Functionality**

The CloseLinkCreationRequest message is sent by an instructing party to the executing party to request for the creation of a close link in the executing system collateral management reference data.

Usage:

It aims at instructing the creation of a new close link with corresponding details.

Processing and confirmation of the close link creation request message are provided via a collateral management status advice.

Outline

The CloseLinkCreationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. CloseLink  
Represents a linkage between issuer/debtor/guarantor and its counterparty.
- C. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ClsLkCreReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		32
	<b>CloseLink</b> <ClsLk>	[1..1]			32
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	32
	<b>PartyIdentification</b> <PtyId>	[1..1]			33
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		34
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		34
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			34
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		35
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		35
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C8	35

## 5.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C4 DescriptionUsageRule

Description must be used alone as the last resort.

### C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C7 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C8 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

### 5.4.2 CloseLink <ClsLk>

*Presence:* [1..1]

*Definition:* Represents a linkage between issuer/debtor/guarantor and its counterparty.

**CloseLink <ClsLk>** contains the following **CloseLink5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	32
	<b>PartyIdentification</b> <PtyId>	[1..1]			33
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		34
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		34
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			34
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		35
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		35

#### 5.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

*Definition:* Identification of a security by an ISIN.



*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <Othrlid>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 5.4.2.2 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the close link is defined.

**PartyIdentification <PtyId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		34
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		34

#### 5.4.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 5.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		72

#### 5.4.2.3 CreditProviderIdentification <CdtPrvdrId>

*Presence:* [0..1]

*Definition:* Identifies the credit provider party for which the close link information is provided.

**CreditProviderIdentification <CdtPrvdrId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		35
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		35

#### 5.4.2.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 5.4.2.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

### 5.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C8 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **6        reda.028.001.01 CollateralDataStatusAdviceV01**

### **6.1      MessageDefinition Functionality**

The CollateralDataStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information of a request on collateral management reference data.

Usage:

It aims at informing about the status of the request.

Outline

The CollateralDataStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. MessageHeader  
Common business identification for the message.
- B. StatusReason  
Specifies the reason for the status report.
- C. StatusReasonAndFinancialInstrument  
Specifies the reason for the status report per financial instrument and eligibility set.
- D. SupplementaryData  
Additional information that cannot be captured in the structured elements and/or any other specific block.

## 6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;CollDataStsAdv&gt;</i>	[1..1]		C6, C7	
	<b>MessageHeader</b> <MsgHdr>	[0..1]			38
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		39
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		39
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		39
	<b>StatusReason</b> <StsRsn>	[0..1]		C8	39
	<b>Status</b> <Sts>	[1..1]	CodeSet		40
	<b>Reason</b> <Rsn>	[0..*]			40
	<b>Reason</b> <Rsn>	[1..1]			40
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		41
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		41
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		41
	<b>StatusReasonAndFinancialInstrument</b> <StsRsnAndFinInstrm>	[0..1]		C9	41
	<b>Status</b> <Sts>	[1..1]	CodeSet		42
	<b>Reason</b> <Rsn>	[0..*]			42
	<b>Reason</b> <Rsn>	[1..1]			43
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		43
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		43
	<b>FinancialInstrument</b> <FinInstrm>	[0..1]	±	C1, C2, C3, C4, C5	43
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		44
	<b>EligibilitySetProfile</b> <ElgbltySetPrfl>	[0..1]	±		45
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	45

## 6.3 Constraints

### C1 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C2 DescriptionUsageRule

Description must be used alone as the last resort.

**C3 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C4 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C5 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C6 StatusReasonAndFinancialInstrumentPresenceRule**

If Status Reason And Financial Instrument is present then Status Reason cannot be present

On Condition

/StatusReasonAndFinancialInstrument is present

Following Must be True

/StatusReason Must be absent

*This constraint is defined at the MessageDefinition level.*

**C7 StatusReasonPresenceRule**

If Status Reason is present then Status Reason And Financial Instrument cannot be present

On Condition

/StatusReason is present

Following Must be True

/StatusReasonAndFinancialInstrument Must be absent

*This constraint is defined at the MessageDefinition level.*

**C8 StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

**C9 StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

**C10 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 6.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification &lt;MsgId&gt;</b>	[1..1]	Text		39
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	DateTime		39
	<b>OriginalBusinessInstruction &lt;OrgnlBizInstr&gt;</b>	[0..1]	±		39

#### 6.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 83

#### 6.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 80

#### 6.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		69
	MessageNameIdentification <MsgNmId>	[0..1]	Text		69
	CreationDateTime <CreDtTm>	[0..1]	DateTime		69

### 6.4.2 StatusReason <StsRsn>

*Presence:* [0..1]

*Definition:* Specifies the reason for the status report.

*Impacted by:* C8 "StatusReasonRule"

**StatusReason <StsRsn>** contains the following **CollateralStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		40
	<b>Reason &lt;Rsn&gt;</b>	[0..*]			40
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			40
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		41
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		41
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		41

#### Constraints

- **StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

#### 6.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the collateral data maintenance instruction.

*Datatype:* "Status6Code" on page 80

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

#### 6.4.2.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a collateral data maintenance instruction.

**Reason <Rsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			40
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		41
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		41
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		41

#### 6.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.



**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		41
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		41

#### 6.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 80

#### 6.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 83

#### 6.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

*Usage:* Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 83

### 6.4.3 StatusReasonAndFinancialInstrument <StsRsnAndFinInstrm>

*Presence:* [0..1]

*Definition:* Specifies the reason for the status report per financial instrument and eligibility set.

*Impacted by:* C9 "StatusReasonRule"

**StatusReasonAndFinancialInstrument <StsRsnAndFinInstrm>** contains the following **CollateralStatusReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		42
	<b>Reason &lt;Rsn&gt;</b>	[0..*]			42
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			43
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		43
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		43
	<b>FinancialInstrument &lt;FinInstrm&gt;</b>	[0..1]	±	C1, C2, C3, C4, C5	43
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		44
	<b>EligibilitySetProfile &lt;ElgbltySetPrfl&gt;</b>	[0..1]	±		45

#### Constraints

- **StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

#### 6.4.3.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the collateral data maintenance instruction.

*Datatype:* "Status10Code" on page 80

CodeName	Name	Definition
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.
REJT	Rejected	Instruction has been rejected.
PART	PartiallyCompleted	Processing has been partially completed.

#### 6.4.3.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a collateral data maintenance instruction.

**Reason <Rsn>** contains the following **StatusReasonInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			43
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		43
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		43
	<b>FinancialInstrument &lt;FinInstrm&gt;</b>	[0..1]	±	C1, C2, C3, C4, C5	43
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		44

#### 6.4.3.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		43
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		43

##### 6.4.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalCollateralReferenceDataStatusReason1Code" on page 79

##### 6.4.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification1](#)" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		65
	SchemeName <SchmeNm>	[0..1]	Text		65
	Issuer <Issr>	[0..1]	Text		65

#### 6.4.3.2.2 FinancialInstrument <FinInstrm>

*Presence:* [0..1]

*Definition:* Financial instrument representing a sum of rights of the investor vis-a-vis the issuer.

*Impacted by:* C1 "DescriptionPresenceRule", C2 "DescriptionUsageRule", C3 "ISINGuideline", C4 "ISINPresenceRule", C5 "OtherIdentificationPresenceRule"

**FinancialInstrument <FinInstrm>** contains the following elements (see "SecurityIdentification19" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <Othrlid>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

#### 6.4.3.2.3 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

*Usage:* Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 83

#### 6.4.3.3 EligibilitySetProfile <ElgbltySetPrfl>

*Presence:* [0..1]

*Definition:* Number identifying the collateral eligibility set profile of the counterparty.

**EligibilitySetProfile <ElgbltySetPrfl>** contains the following elements (see "GenericIdentification1" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		65
	SchemeName <SchmeNm>	[0..1]	Text		65
	Issuer <Issr>	[0..1]	Text		65

#### 6.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C10 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

##### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **7       reda.044.001.01**

## **EligibleCounterpartCSDStatusAdviceV01**

### **7.1      MessageDefinition Functionality**

The EligibleCounterpartCSDStatusAdvice message is sent by the executing party to an instructing party (the requestor) to provide information for a request on eligible counterpart CSD reference data.

Usage:

It aims at informing about the status of the request.

Outline

The EligibleCounterpartCSDStatusAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. StatusReason

Specifies the reason for the status report.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;ElgblCntrptCSDStsAdv&gt;</i>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]			47
	<b>MessageIdentification</b> <MsgId>	[1..1]	Text		48
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		48
	<b>OriginalBusinessInstruction</b> <OrgnlBizInstr>	[0..1]	±		48
	<b>StatusReason</b> <StsRsn>	[1..1]		C1	48
	<b>Status</b> <Sts>	[1..1]	CodeSet		49
	<b>Reason</b> <Rsn>	[0..*]			49
	<b>Reason</b> <Rsn>	[1..1]			49
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		50
Or}	<b>Proprietary</b> <Prtry>	[1..1]	Text		50
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		50
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C2	50

## 7.3 Constraints

### C1 StatusReasonRule

If Status equals 'Rejected' then Reason must be present.

### C2 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 7.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MessageIdentification &lt;MsgId&gt;</b>	[1..1]	Text		48
	<b>CreationDateTime &lt;CreDtTm&gt;</b>	[0..1]	DateTime		48
	<b>OriginalBusinessInstruction &lt;OrgnlBizInstr&gt;</b>	[0..1]	±		48

#### 7.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 83

#### 7.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 80

#### 7.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		69
	MessageNameIdentification <MsgNmId>	[0..1]	Text		69
	CreationDateTime <CreDtTm>	[0..1]	DateTime		69

### 7.4.2 StatusReason <StsRsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

*Impacted by:* C1 "StatusReasonRule"



**StatusReason <StsRsn>** contains the following **CollateralStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]	CodeSet		49
	<b>Reason &lt;Rsn&gt;</b>	[0..*]			49
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			49
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		50
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		50
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		50

#### Constraints

- **StatusReasonRule**

If Status equals 'Rejected' then Reason must be present.

#### 7.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the collateral data maintenance instruction.

*Datatype:* "Status6Code" on page 80

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

#### 7.4.2.2 Reason <Rsn>

*Presence:* [0..\*]

*Definition:* Reason for the status of a collateral data maintenance instruction.

**Reason <Rsn>** contains the following **StatusReasonInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..1]			49
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		50
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		50
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		50

##### 7.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		50
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	Text		50

#### 7.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 80

#### 7.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 83

#### 7.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 83

### 7.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C2 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 8      **reda.075.001.01**

# **EligibleSecuritiesDeletionRequestV01**

### 8.1      **MessageDefinition Functionality**

The EligibleSecuritiesDeletionRequest message is exchanged between an instructing party and the executing party to request for the deletion of an eligible securities in the executing system collateral management reference data.

#### Usage:

It aims at instructing the deletion of a existing eligible securities with corresponding details.

Processing and confirmation of the eligible securities deletion request message are provided via a collateral management status advice.

#### Outline

The EligibleSecuritiesDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. EligibleSecurity

Represents securities defined eligible for auto-collateralisation.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ElgblScitiesDeltnReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		53
	<b>EligibleSecurity</b> <ElgblScty>	[1..*]			53
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	53
	<b>CollateralisationCurrency</b> <CollstnCcy>	[0..1]	CodeSet	C1	55
	<b>EligibilitySetProfile</b> <ElgbltySetPrfl>	[0..1]	±		55
	<b>PartyIdentification</b> <PtyId>	[1..1]			55
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		55
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		56
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C9	56

## 8.3 Constraints

### C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C5 DescriptionUsageRule

Description must be used alone as the last resort.

### C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C7 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C8 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C9 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 8.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see ["MessageHeader1" on page 68](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

### 8.4.2 EligibleSecurity <ElgblScty>

*Presence:* [1..\*]

*Definition:* Represents securities defined eligible for auto-collateralisation.

**EligibleSecurity <ElgblScty>** contains the following **EligibleSecurity5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..*]	±	C4, C5, C6, C7, C8	53
	CollateralisationCurrency <CollstnCcy>	[0..1]	CodeSet	C1	55
	EligibilitySetProfile <ElgbltySetPrfl>	[0..1]	±		55
	PartyIdentification <PtyId>	[1..1]			55
{Or	OrganisationIdentification <OrgId>	[1..1]	±		55
Or}	CombinedIdentification <CmbndId>	[1..1]	±		56

#### 8.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

**Definition:** Identification of a security by an ISIN.

**Impacted by:** C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <Othrlid>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 8.4.2.2 CollateralisationCurrency <CollstnCcy>

*Presence:* [0..1]

*Definition:* Currency which may be processed by the system. A system may process transactions in a single currency or in multiple currencies.

*Impacted by:* C1 "ActiveOrHistoricCurrency"

*Datatype:* "ActiveOrHistoricCurrencyCode" on page 78

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 8.4.2.3 EligibilitySetProfile <ElgblytSetPrfl>

*Presence:* [0..1]

*Definition:* Number identifying the collateral eligibility set profile of the counterparty.

**EligibilitySetProfile <ElgblytSetPrfl>** contains the following elements (see "GenericIdentification1" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		65
	SchemeName <SchmeNm>	[0..1]	Text		65
	Issuer <Issr>	[0..1]	Text		65

### 8.4.2.4 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the eligible security is defined.

**PartyIdentification <Ptyld>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <Orgld>	[1..1]	±		55
Or}	<b>CombinedIdentification</b> <Cmbndld>	[1..1]	±		56

#### 8.4.2.4.1 OrganisationIdentification <Orgld>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 8.4.2.4.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

### 8.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C9 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



# 9      **reda.077.001.01**

## **CloseLinkDeletionRequestV01**

### 9.1      **MessageDefinition Functionality**

The CloseLinkDeletionRequest message is sent by an instructing party to the executing party to request for the deletion of a close link in the executing system collateral management reference data.

Usage:

It aims at instructing the deletion of an existing close link with corresponding details.

Processing and confirmation of the close link creation request message are provided via a collateral management status advice.

Outline

The CloseLinkDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. CloseLink

Represents a linkage between issuer/debtor/guarantor and its counterparty.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ClsLkDeltnReq>	[1..1]			
	<b>MessageHeader</b> <MsgHdr>	[0..1]	±		59
	<b>CloseLink</b> <ClsLk>	[1..*]			59
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	59
	<b>PartyIdentification</b> <PtyId>	[1..1]			60
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		61
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		61
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			61
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		62
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		62
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C8	62

## 9.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

### C4 DescriptionUsageRule

Description must be used alone as the last resort.

### C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

### C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C7 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C8 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 9.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

### 9.4.2 CloseLink <ClsLk>

*Presence:* [1..\*]

*Definition:* Represents a linkage between issuer/debtor/guarantor and its counterparty.

**CloseLink <ClsLk>** contains the following **CloseLink5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..*]	±	C3, C4, C5, C6, C7	59
	<b>PartyIdentification</b> <PtyId>	[1..1]			60
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		61
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		61
	<b>CreditProviderIdentification</b> <CdtPrvdrId>	[0..1]			61
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		62
Or}	<b>CombinedIdentification</b> <CmbndId>	[1..1]	±		62

#### 9.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..\*]

*Definition:* Identification of a security by an ISIN.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification19" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <Othrlid>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 9.4.2.2 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Identifies the party for which the close link is defined.

**PartyIdentification <PtyId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		61
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		61

#### 9.4.2.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 9.4.2.2.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsbIPtyId>	[0..1]	±		72

#### 9.4.2.3 CreditProviderIdentification <CdtPrvdrId>

*Presence:* [0..1]

*Definition:* Identifies the credit provider party for which the close link information is provided.

**CreditProviderIdentification <CdtPrvdrId>** contains one of the following **SystemPartyIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		62
Or}	<b>CombinedIdentification &lt;CmbndId&gt;</b>	[1..1]	±		62

#### 9.4.2.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Provides the identification of a party.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification136](#)" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 9.4.2.3.2 CombinedIdentification <CmbndId>

*Presence:* [1..1]

*Definition:* Identifies the party with the combined identification of both the responsible entity and the party itself.

**CombinedIdentification <CmbndId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

### 9.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C8 "[SupplementaryDataRule](#)"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 10 Message Items Types

## 10.1 MessageComponents

### 10.1.1 Financial Instrument

#### 10.1.1.1 SecurityIdentification19

*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		64
	OtherIdentification <OthrId>	[0..*]			64
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64
	Description <Desc>	[0..1]	Text		65

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
 /OtherIdentification[\*] is absent  
 Following Must be True  
 /ISIN Must be present  
 Or /Description Must be present

#### 10.1.1.1.1 ISIN <ISIN>

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINOct2015Identifier" on page 81

#### 10.1.1.1.2 OtherIdentification <OthrId>

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

**OtherIdentification <OthrId>** contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		64
	Suffix <Sfx>	[0..1]	Text		64
	Type <Tp>	[1..1]	±		64

##### 10.1.1.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* "Max35Text" on page 83

##### 10.1.1.1.2.2 Suffix <Sfx>

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* "Max16Text" on page 83

##### 10.1.1.1.2.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of the identification.



**Type <Tp>** contains one of the following elements (see ["IdentificationSource3Choice"](#) on page 66 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	Text		66

#### 10.1.1.1.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* ["Max140Text"](#) on page 83

## 10.1.2 Identification Information

### 10.1.2.1 GenericIdentification1

*Definition:* Information related to an identification, for example party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		65
	SchemeName <SchmeNm>	[0..1]	Text		65
	Issuer <Issr>	[0..1]	Text		65

#### 10.1.2.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* ["Max35Text"](#) on page 83

#### 10.1.2.1.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* ["Max35Text"](#) on page 83

#### 10.1.2.1.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* ["Max35Text"](#) on page 83

### 10.1.2.2 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		66
	Issuer <Issr>	[1..1]	Text		66
	SchemeName <SchmeNm>	[0..1]	Text		66

#### 10.1.2.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 83

#### 10.1.2.2.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 83

#### 10.1.2.2.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 83

#### 10.1.2.3 IdentificationSource3Choice

*Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	Text		66

#### 10.1.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.

*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 79

#### 10.1.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

*Datatype:* "Max35Text" on page 83

## 10.1.3 Miscellaneous

### 10.1.3.1 ForeignExchangeTerms23

*Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	67
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	67
	ExchangeRate <XchgRate>	[1..1]	Rate		67
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C6	68

#### 10.1.3.1.1 UnitCurrency <UnitCcy>

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 77

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 10.1.3.1.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 77

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 10.1.3.1.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 82

#### 10.1.3.1.4 ResultingAmount <RsltgAmt>

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C6 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 76

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 10.1.3.2 MessageHeader1

Definition: Set of characteristics, such as the identification or the creation date and time, specific to the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		68
	CreationDateTime <CreDtTm>	[0..1]	DateTime		68

##### 10.1.3.2.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Point to point reference, as assigned by the sender, to unambiguously identify the message.

Usage: The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

Datatype: "Max35Text" on page 83

##### 10.1.3.2.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the message was created.

Datatype: "ISODateTime" on page 80

### 10.1.3.3 OriginalBusinessInstruction1

*Definition:* Unique identification, as assigned by the original requestor, to unambiguously identify the business instruction message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		69
	MessageNameIdentification <MsgNmId>	[0..1]	Text		69
	CreationDateTime <CreDtTm>	[0..1]	DateTime		69

#### 10.1.3.3.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the original initiating party, to unambiguously identify the original instruction message.

*Datatype:* "Max35Text" on page 83

#### 10.1.3.3.2 MessageNameIdentification <MsgNmId>

*Presence:* [0..1]

*Definition:* Specifies the instruction message name identifier to which the message refers.

*Datatype:* "Max35Text" on page 83

#### 10.1.3.3.3 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 80

### 10.1.3.4 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		69
	Envelope <Envlp>	[1..1]	(External Schema)		70

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 10.1.3.4.1 PlaceAndName <PlcAndNm>

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 83

#### 10.1.3.4.2 Envelope <Envlp>

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

## 10.1.4 Party Identification

### 10.1.4.1 PartyIdentification120Choice

*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		70
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		71

#### 10.1.4.1.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 81

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 10.1.4.1.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		66
	Issuer <Issr>	[1..1]	Text		66
	SchemeName <SchmeNm>	[0..1]	Text		66

#### 10.1.4.1.3 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		72
	Address <Adr>	[0..1]	±		73

#### 10.1.4.2 PartyIdentification136

*Definition:* Identification of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

##### 10.1.4.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 70 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		70
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		71

##### 10.1.4.2.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 82

### 10.1.4.3 SystemPartyIdentification8

*Definition:* Unique and unambiguous identification of a party within a system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	ResponsiblePartyIdentification <RspnsblPtyId>	[0..1]	±		72

#### 10.1.4.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**Identification <Id>** contains the following elements (see "PartyIdentification136" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

#### 10.1.4.3.2 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the maintenance of the party reference data.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "PartyIdentification136" on page 71 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	LEI <LEI>	[0..1]	IdentifierSet		71

## 10.1.5 Postal Address

### 10.1.5.1 NameAndAddress5

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		72
	Address <Adr>	[0..1]	±		73

#### 10.1.5.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.



*Datatype:* "Max350Text" on page 83

#### 10.1.5.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following elements (see "PostalAddress1" on page 73 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		73
	AddressLine <AdrLine>	[0..5]	Text		74
	StreetName <StrtNm>	[0..1]	Text		74
	BuildingNumber <BldgNb>	[0..1]	Text		74
	PostCode <PstCd>	[0..1]	Text		74
	TownName <TwnNm>	[0..1]	Text		74
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		74
	Country <Ctry>	[1..1]	CodeSet	C2	74

#### 10.1.5.2 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		73
	AddressLine <AdrLine>	[0..5]	Text		74
	StreetName <StrtNm>	[0..1]	Text		74
	BuildingNumber <BldgNb>	[0..1]	Text		74
	PostCode <PstCd>	[0..1]	Text		74
	TownName <TwnNm>	[0..1]	Text		74
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		74
	Country <Ctry>	[1..1]	CodeSet	C2	74

#### 10.1.5.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 78

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 10.1.5.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 83

#### 10.1.5.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 83

#### 10.1.5.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 83

#### 10.1.5.2.5 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 83

#### 10.1.5.2.6 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 83

#### 10.1.5.2.7 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 83

#### 10.1.5.2.8 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C2 "Country"

*Datatype:* "CountryCode" on page 78

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## 10.1.6 Price

### 10.1.6.1 PriceRateOrAmount6Choice

*Definition:* Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		75
Or	Amount <Amt>	[1..1]	Amount		75
Or}	AmountWithCurrency <AmtWthCcy>	[1..1]	Amount	C2, C5	75

#### 10.1.6.1.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, that is percentage.

*Datatype:* "PercentageRate" on page 82

#### 10.1.6.1.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as an implied currency and amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 77

#### 10.1.6.1.3 AmountWithCurrency <AmtWthCcy>

*Presence:* [1..1]

*Definition:* Price expressed as an explicit currency and amount.

*Impacted by:* C2 "ActiveOrHistoricCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 76

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 10.2 Message Datatypes

### 10.2.1 Amount

#### 10.2.1.1 ActiveCurrencyAndAmount

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 77

#### Format

minInclusive	0
totalDigits	18
fractionDigits	5

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 10.2.1.2 ActiveOrHistoricCurrencyAndAmount

*Definition:* A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 78

**Format**

minInclusive	0
totalDigits	18
fractionDigits	5

**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**10.2.1.3 ImpliedCurrencyAndAmount**

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

**Format**

minInclusive	0
totalDigits	18
fractionDigits	5

**10.2.2 CodeSet****10.2.2.1 ActiveCurrencyCode**

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

**Format**

pattern	[A-Z]{3,3}
---------	------------

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**10.2.2.2 ActiveOrHistoricCurrencyCode**

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

**Format**

pattern [A-Z]{3,3}

**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**10.2.2.3 AddressType2Code**

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

**10.2.2.4 CountryCode**

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

**Format**

pattern [A-Z]{2,2}

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**10.2.2.5 EligibilityType1Code**

*Definition:* Defines the type of eligibility.

*Type:* CodeSet

CodeName	Name	Definition
SECU	Securities	Eligibility applies at securities level.
ISCS	IssuerCSD	Eligibility applies at the level of issuer CSD.  All of the securities issued by the issuer CSD are eligible.
CTRY	Country	Eligibility applies at country level.  All of the securities issued in that country are eligible.

**10.2.2.6 ExternalCollateralReferenceDataStatusReason1Code**

*Definition:* Specifies the status reason, as published in an external collateral reference data status reason code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

**10.2.2.7 ExternalFinancialInstrumentIdentificationType1Code**

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 10.2.2.8 ExternalStatusReason1Code

*Definition:* Specifies the status reason, as published in an external status reason code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 10.2.2.9 Status10Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.
REJT	Rejected	Instruction has been rejected.
PART	PartiallyCompleted	Processing has been partially completed.

### 10.2.2.10 Status6Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
REJT	Rejected	Instruction has been rejected.
COMP	Completed	Processing has been completed.
QUED	Queued	Instruction is queued.

## 10.2.3 Date

### 10.2.3.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

## 10.2.4 DateTime

### 10.2.4.1 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with



UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

## 10.2.5 IdentifierSet

### 10.2.5.1 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 10.2.5.2 ISINOct2015Identifier

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

**Format**

pattern	[A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}
---------	-----------------------------------

**10.2.5.3 LEIIdentifier**

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

*Identification scheme:* Global LEI System; LEIIdentifier

**Format**

pattern	[A-Z0-9]{18,18}[0-9]{2,2}
---------	---------------------------

**10.2.6           Quantity**

**10.2.6.1 DecimalNumber**

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

**Format**

totalDigits	18
fractionDigits	17

**10.2.7           Rate**

**10.2.7.1 BaseOneRate**

*Definition:* Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

*Type:* Rate

**Format**

totalDigits	11
fractionDigits	10
baseValue	1.0

**10.2.7.2 PercentageRate**

*Definition:* Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

*Type:* Rate

**Format**

totalDigits	11
fractionDigits	10

baseValue	100.0
-----------	-------

## 10.2.8      Text

### 10.2.8.1   Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

minLength	1
maxLength	140

### 10.2.8.2   Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

minLength	1
maxLength	16

### 10.2.8.3   Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

minLength	1
maxLength	350

### 10.2.8.4   Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

minLength	1
maxLength	35

### 10.2.8.5   Max70Text

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

**Format**

minLength	1
maxLength	70