

**ISO 20022**

# **Issuers' Agent Communication for Corporate Actions**

**Approved by the Securities SEG on 04 November 2008**

## **Message Definition Report**

**Edition January 2009**

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## Overview

### Scope

This document describes a set of ISO 20022 message definitions developed by Euroclear SA/NV and approved by the Securities Standards Evaluation Group on 4 November 2008. The set of issuer agent message definitions described in this document is exchanged between the issuer or its agent and the CSD involved in a corporate action. This set covers the announcement of the corporate action, the instruction, the resource movement, the deactivation and standing instruction.

This set includes the following message definitions:

**1. Notification messages:**

- Agent CA notification advice (from issuer or its agent to CSD);
- Agent CA notification cancellation request (from issuer or its agent to CSD);
- Agent CA notification status advice (from CSD to issuer or its agent).

**2. Instruction messages:**

- Agent Corporate Action Election Advice (from CSD to issuer or its agent);
- Agent Corporate Action Election Cancellation Request (from CSD to issuer or its agent);
- Agent Corporate Action Election Amendment Request (from CSD to issuer or its agent);
- Agent Corporate Action Election Status Advice (from issuer or its agent to CSD);
- Agent Corporate Action Information Advice (from CSD to issuer or its agent);
- Agent Corporate Action Information Status Advice (from issuer or its agent to CSD);
- Agent Corporate Action Distribution Breakdown Advice (from CSD to issuer or its agent).

**3. Corporate action resource movement messages:**

- Agent Corporate Action Movement Instruction (from issuer or its agent to CSD);
- Agent Corporate Action Movement Confirmation (from CSD to issuer or its agent);
- Agent Corporate Action Movement Cancellation Request (from issuer or its agent to CSD);
- Agent Corporate Action Movement Status Advice (from CSD to issuer or its agent);
- Agent Corporate Action Global Distribution Authorisation Request (from CSD to issuer or its agent);
- Agent Corporate Action Global Distribution Status Advice (from issuer or its agent to CSD).

**4. Deactivation messages:**

- Agent Corporate Action Deactivation Instruction (from issuer or its agent to CSD);
- Agent Corporate Action Deactivation Cancellation Request (from issuer or its agent to CSD);
- Agent Corporate Action Deactivation Status Advice (from CSD to issuer or its agent).

**5. Standing instructions messages:**

- Agent Corporate Action Standing Instruction Request (from CSD to issuer or its agent);
- Agent Corporate Action Standing Instruction Cancellation Request (from CSD to issuer or its agent);
- Agent Corporate Action Standing Instruction Status Advice (from issuer or its agent to CSD).

### How to read

In compliance with ISO 20022, UML (Unified Modelling Language) is used to depict business and logical models. As knowledge of UML is not a requirement to discuss the business standards, the data format for the messages is presented in a user-friendlier way. This way of representation is automatically generated from the models, thereby ensuring absolute consistency between the model information and the published standard.

# Message Flows

## Issuers Agent Communication for Corporate Actions

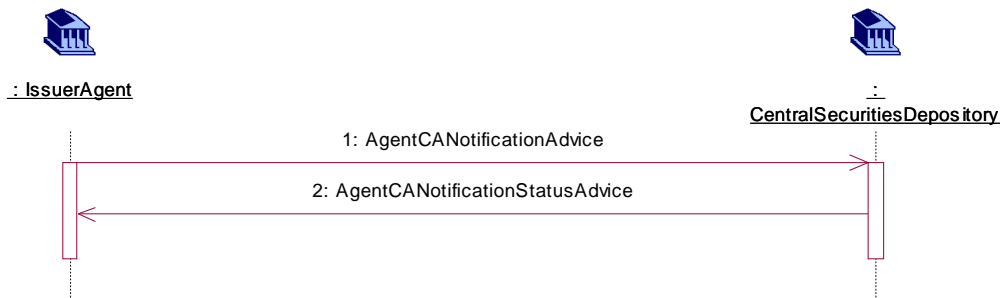
### Introduction

The following scenarios describe the corporate action activities flows for which messages have been designed.

### Scenario 1: Notification

In this scenario:

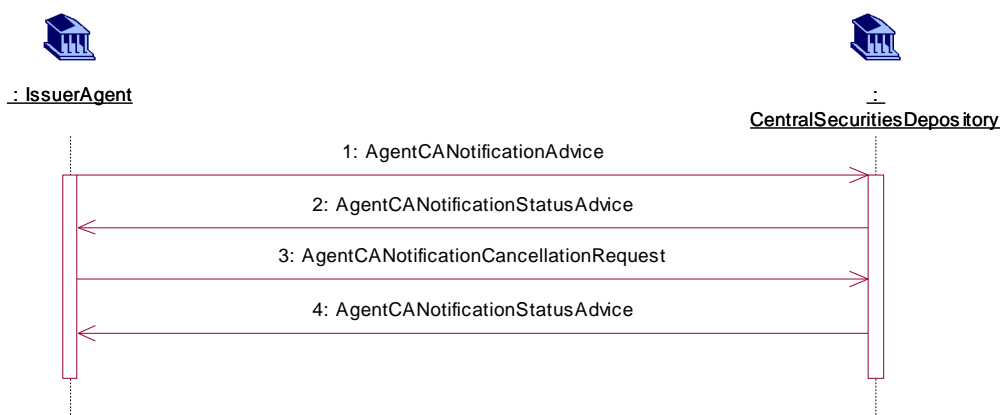
1. The issuer (agent) sends an Agent CA Notification Advice message
2. The CSD sends an Agent CA Notification Status Advice message



### Scenario 2: Notification cancellation

In this scenario:

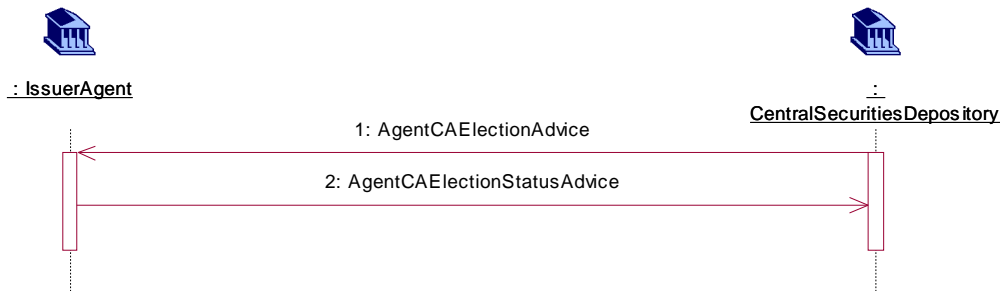
1. The issuer (agent) sends an Agent CA Notification Advice message
2. The CSD sends an Agent CA Notification Status Advice message to provide a status on the Notification advice.
3. The issuer (agent) sends an Agent CA Notification Cancellation Request message to cancel the previously sent message or the CA event itself.
4. The CSD sends an Agent CA Notification Status Advice message to provide a status on the cancellation request.



### Scenario 3: Election accepted by issuer (agent)

In this scenario:

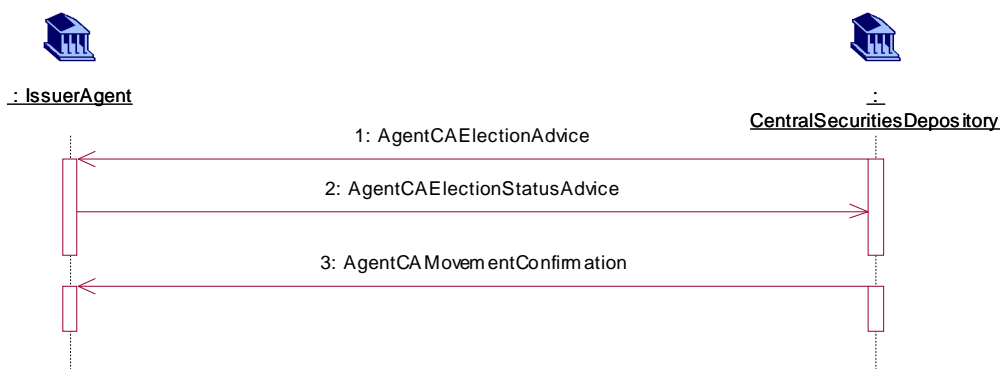
1. The CSD sends an Agent CA Election Advice message to the issuer (agent) based on elections received from its Participants or the default option.
2. The issuer (agent) sends an Agent CA Election Status Advice message with the status of the election instruction. In this scenario, the status is 'Accepted'.



### Scenario 4: Election rejected by issuer (agent)

In this scenario:

1. the CSD sends an Agent CA Election Advice message based on elections received from its participant or the default option. This message shows the movements of underlying resources from participants' accounts to the issuer account or from participant's available balance to the participant's sequestered balance.
2. the issuer (agent) rejects the CA election by sending an Agent CA Election Status Advice message with the status 'Rejected'. This implies that the CSD has to move back the underlying securities to the participant account or its available balance.
3. the CSD sends an Agent CA Movement Confirmation to confirm that the settlement has taken place, ie, the underlying resources have been moved back. Note: the CSD can send a Agent CA Movement Status Advice to provide the status on the settlement of underlying resources before the Movement Confirmation.

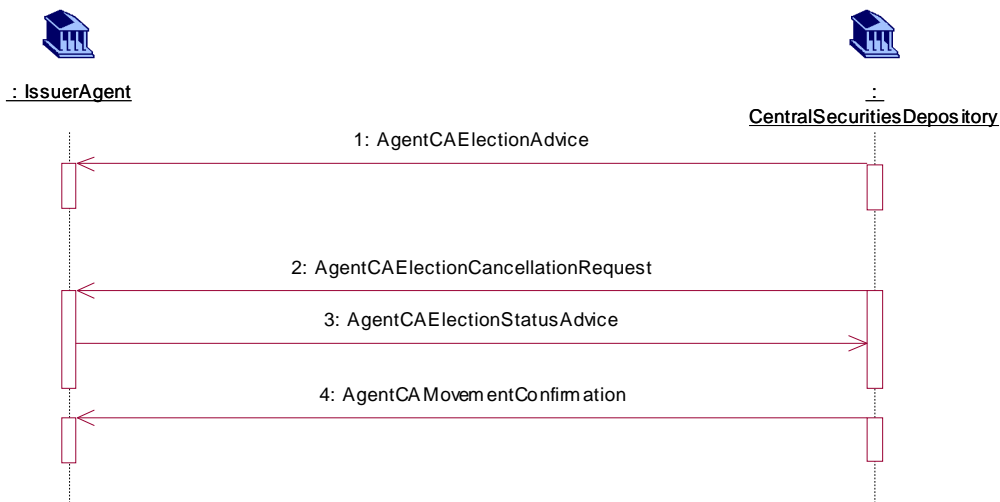


### Scenario 5: Election cancellation accepted by issuer (agent)

In this scenario:

1. the CSD sends an Agent CA Election Advice message.

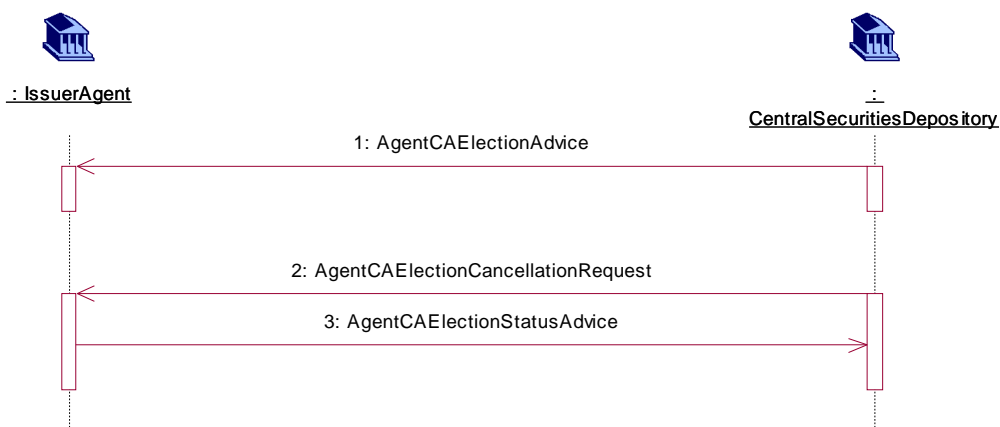
2. the CSD sends an Agent CA Election Cancellation Request message to cancel the previously sent election advice.
3. the issuer (agent) accepts the CA election cancellation request by sending an Agent CA Election Status Advice message with the status 'Accepted'. This implies that the CSD has to move back the underlying securities to the participant account or its available balance.
4. the CSD sends an Agent CA Movement Confirmation to confirm that the settlement has taken place, ie, the underlying resources have been moved back. Note: the CSD can send a Agent CA Movement Status Advice to provide the status on the settlement of underlying resources before the movement confirmation.



### Scenario 6: Election cancellation rejected by issuer (agent)

In this scenario:

1. the CSD sends an Agent CA Election Advice.
2. the CSD sends an Agent CA Election Cancellation Request message to cancel the previously sent election advice.
3. the issuer (agent) rejects the CA election cancellation instruction by sending an Agent CA Election Status Advice message with the status 'Rejected'.

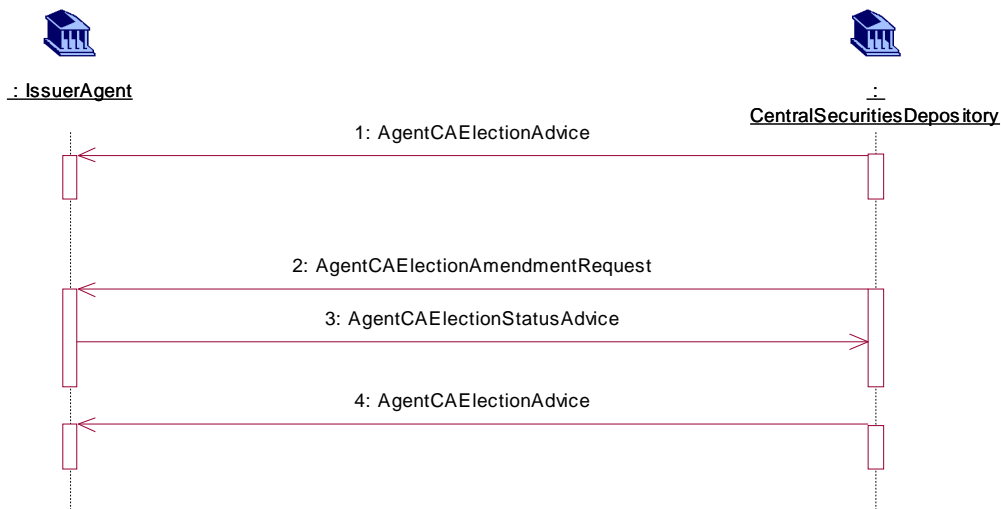




### Scenario 7: Election amendment accepted by issuer (agent)

In this scenario:

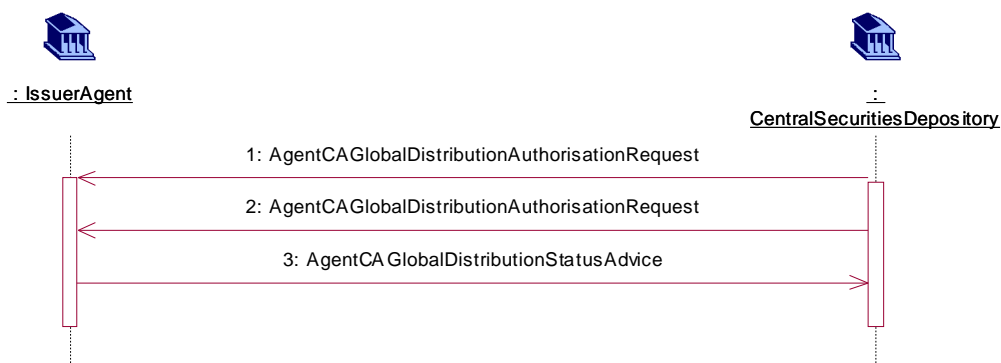
1. the CSD sends an Agent CA Election Advice.
2. the CSD sends an Agent CA Election Amendment Request message to request the amendment of the election advice that was previously sent.
3. the issuer (agent) accepts the CA election amendment request by sending a CA Election Status Advice message with the status 'Accepted'. This implies that the CSD has to move the underlying securities to appropriate issuer (agent) participant account or sequestered balance.
4. The CSD sends a new Agent CA Election Advice message with amended information and the movements to the appropriate account/balance.



### Scenario 8: Distribution led by CSD

In this scenario:

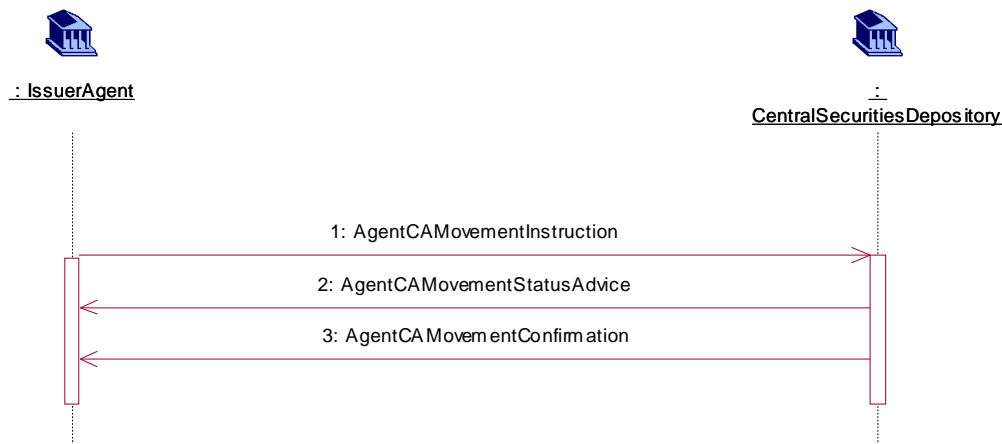
1. Optionally, a 1st Agent Global Distribution Authorisation Request message can be sent as a pre-advice with a pre-advice indicator set to yes.
2. Then the CSD sends an Agent Global Distribution Authorisation Request message to the issuer (agent).
3. The issuer (agent) sends a Global Distribution Status Advice message. If it is an authorization, the resource movements can take place. (If the issuer (agent) refuses to give its authorization, it stops the process).



## Scenario 9: Distribution led by issuer (agent)

In this scenario:

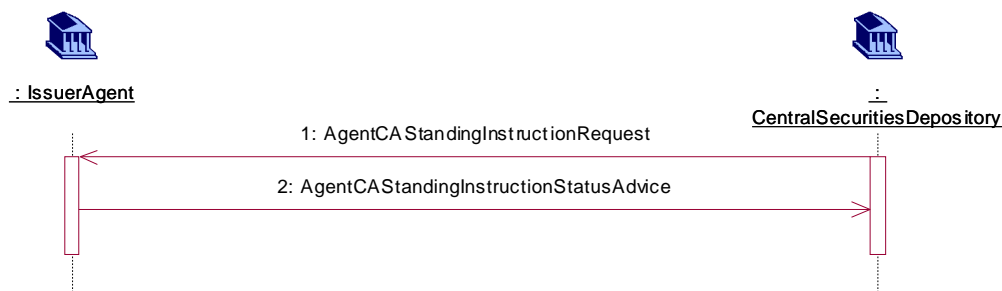
1. The issuer (agent) sends an Agent CA Movement Instruction message to the CSD. It includes the individual underlying resource movements and the individual outturn resource movements.
2. The CSD sends an Agent CA Movement Status Advice to the issuer (agent).
3. In case there is a settlement problem, the CSD sends an Agent CA Movement Status Advice message.
4. After the settlement, the CSD sends an Agent CA Movement Confirmation message.



## Scenario 10: Standing instruction

In this scenario:

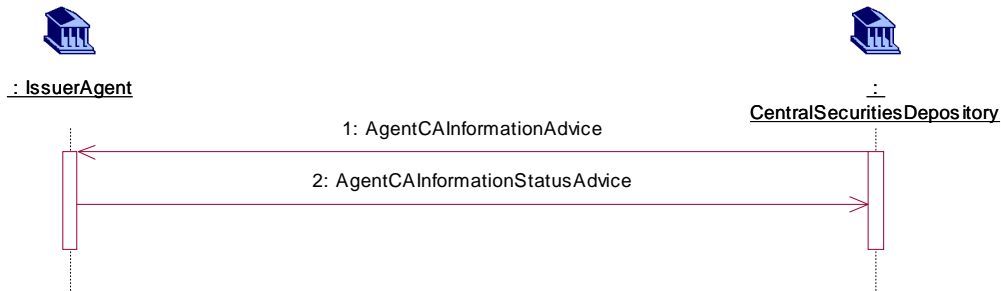
1. The CSD sends an Agent CA Standing Instruction message to the issuer (agent)
2. The issuer (agent) sends an Agent CA Standing Instruction Status Advice message with a positive or a negative status



## Scenario 11: Information Advice

In this scenario:

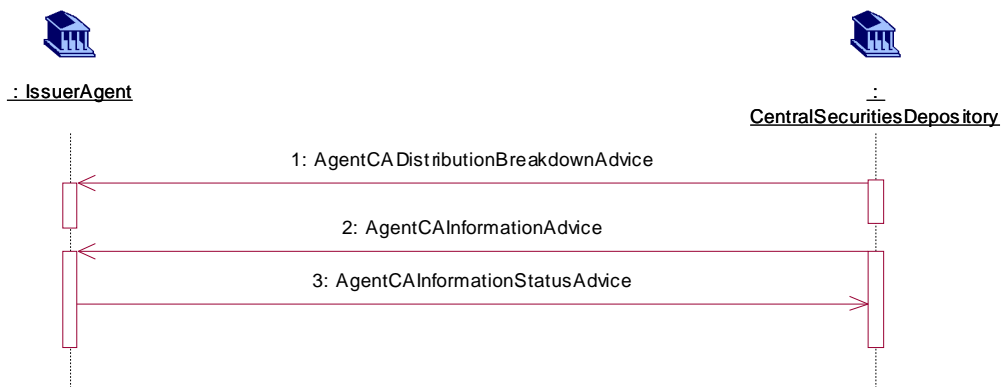
1. The CSD sends an Agent CA Information Advice.
2. The Agent sends an Agent CA Information status advice with the status of the information advice.



## Scenario 12: Delivery of proceeds outside the CSD

In this scenario:

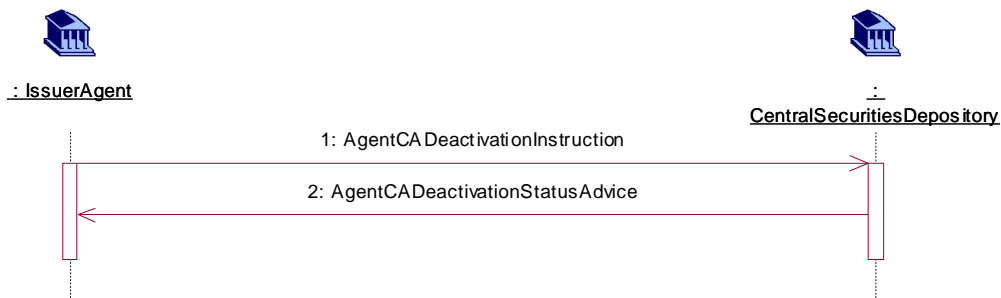
1. On record date, the CSD sends an Agent CA Distribution Breakdown Advice
2. When the information is available, the CSD sends an Agent CA Information Advice with delivery information, certification details, etc.
3. The Agent can send an Agent CA Information status advice with the status of the information advice.



## Scenario 13: Deactivation

In this scenario:

1. The issuer (agent) sends a CA Deactivation Instruction message to CSD
2. The CSD sends a CA Deactivation Status Advice message with positive or negative status.





# MX seev.009.001.01 AgentCANotificationAdviceV01

## Message Functionality

### Scope

This message is sent by an issuer (or its agent) to a CSD to:

- Provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD; and
- to update a corporate action notification. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information.

### Usage

This message is used:

- to provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD. The information can be complete or incomplete.
- to update a corporate action notification advice. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information. As per SMPG recommendation, all the information should be provided in the update, not only updated information.

An Agent Corporate Action Notification Status Advice is sent in reply to the Agent Corporate Action Notification Advice.

**Note:** The amendment of a corporate action notification is done through a replacement mechanism in line with both the ISO 15022 messages used in the flow between the CSD and its clients, and the ISO 20022 proxy voting messages.

### Outline

The message is composed of eight building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the advice by the sender of the message.

#### 2. Linked Notification Advice

This building block is mandatory. It contains the identification of the notification advice that was previously sent and which is linked to this notification advice.

#### 3. Notification General Information

This building block is mandatory. It contains general information about the notification such as the notification date and the Processed Status.

#### 4. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

#### 5. Corporate Action Details

This building block is mandatory. It contains the detailed information about the corporate action such as the corporate action reference, corporate action event type and the date details.

## 6. Corporate Action Option Details

This building block is optional and repeatable. It contains the detailed information about different options such as the Corporate Action Option and the Securities Movement Details Cash Movement Details.

## 7. Contact Details

This building block is optional and repeatable. It contains the contact information.

## 8. Narrative Details

This building block is optional. It contains free narrative information.

# Message Rules and Guidelines

## Rules

### 1. DefaultOptionRule

If the value of CorporateActionGeneralInformation/MandatoryVoluntaryEventType is Mandatory, then CorporateActionDetails/DefaultOptionNumber and CorporateActionDetails/DefaultOptionType must be present.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCANtfcnAdv>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		NotificationTypeAndLinkage	<NtfctnTpAndLkg>	[1..1]		
<u>2.1</u>		NotificationType	<NtfctnTp>	[1..1]	Code	
<u>2.2</u>		LinkedAgentCANotificationAdviceIdentification	<LkdAgtCANtfcnAdvId>	[0..1]	±	
<u>2.3</u>		LinkageType	<LkgTp>	[0..1]	±	
<u>2.4</u>		LinkedIssuerCorporateActionIdentification	<LkdIssrCorpActnId>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.5</u>		LinkedCorporateActionProcessingIdentification	<LkdCorpActnPrgId>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		NotificationGeneralInformation	<NtfctnGnlInf>	[1..1]		
<u>3.1</u>		AnnouncementDate	<AnncmntDt>	[0..1]	±	
<u>3.2</u>		FurtherDetailedAnnouncementDate	<FrthrDtldAnncmntDt>	[0..1]	±	
<u>3.3</u>		OfficialAnnouncementPublicationDate	<OffclAnncmntPblctnDt>	[0..1]	±	
<u>3.4</u>		ProcessingStatus	<PrgSts>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		CorporateActionDetails	<CorpActnDtls>	[1..1]		
<u>5.1</u>		EventStage	<EvtStag>	[0..n]	±	
<u>5.2</u>		DefaultOptionType	<DfltOptnTp>	[0..1]		
<u>5.3</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>5.4</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>5.5</u>		Identification	<Id>	[1..1]	Text	
<u>5.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.8</u>		DefaultOptionNumber	<DfltOptnNb>	[0..1]	Text	
<u>5.9</u>		CalculationMethod	<ClctnMtd>	[0..1]	±	
<u>5.10</u>		BackEndOddLotSecuritiesQuantity	<BckEndOddLotSeciesQty>	[0..1]		
<u>5.11</u>	{Or	Unit	<Unit>	[1..1]	Quantity	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
5.12	Or	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.13	Or}	Code	<Cd>	[1..1]	Code	
5.14		FrontEndOddLotSecuritiesQuantity	<FrntEndOddLotSctiesQty>	[0..1]		
5.15	{Or	Unit	<Unit>	[1..1]	Quantity	
5.16	Or	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.17	Or}	Code	<Cd>	[1..1]	Code	
5.18		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]		
5.19	{Or	Unit	<Unit>	[1..1]	Quantity	
5.20	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.21		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]		
5.22	{Or	Unit	<Unit>	[1..1]	Quantity	
5.23	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.24		IncrementalDenomination	<IncrmtlDnmtn>	[0..1]		
5.25	{Or	Unit	<Unit>	[1..1]	Quantity	
5.26	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.27		NewDenominationSecuritiesQuantity	<NewDnmtnSctiesQty>	[0..1]		
5.28	{Or	Unit	<Unit>	[1..1]	Quantity	
5.29	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.30		NewBoardLotSecuritiesQuantity	<NewBrdLotSctiesQty>	[0..1]		
5.31	{Or	Unit	<Unit>	[1..1]	Quantity	
5.32	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.33		SecuritiesQuantitySought	<SctiesQtySght>	[0..1]		
5.34	{Or	Unit	<Unit>	[1..1]	Quantity	
5.35	Or	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.36	Or}	Code	<Cd>	[1..1]	Code	
5.37		BaseDenomination	<BaseDnmtn>	[0..1]		
5.38	{Or	Unit	<Unit>	[1..1]	Quantity	
5.39	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
5.40		ChangeType	<ChngTp>	[0..n]	±	
5.41		OfferType	<OfferTp>	[0..n]	±	
5.42		RestrictionIndicator	<RstrctnInd>	[0..1]	Indicator	



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">5.43</a>		PartialElectionIndicator	<PrtlElctnInd>	[0..1]	Indicator	
<a href="#">5.44</a>		ElectionType	<ElctnTp>	[0..1]	±	
<a href="#">5.45</a>		LotteryType	<LtryTp>	[0..1]		
<a href="#">5.46</a>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<a href="#">5.47</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">5.48</a>		Identification	<Id>	[1..1]	Text	
<a href="#">5.49</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">5.50</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">5.51</a>		IncomeType	<IncmTp>	[0..1]		
<a href="#">5.52</a>		Identification	<Id>	[1..1]	Text	
<a href="#">5.53</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">5.54</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">5.55</a>		DividendType	<DvddTp>	[0..1]	±	
<a href="#">5.56</a>		IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtn Tp>	[0..1]	±	
<a href="#">5.57</a>		CouponNumber	<CpnNb>	[0..n]	Text	
<a href="#">5.58</a>		InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays >	[0..1]	Quantity	
<a href="#">5.59</a>		NewDenominationCurrency	<NewDnmtnCcy>	[0..1]	Code	
<a href="#">5.60</a>		DateDetails	<DtDtls>	[0..1]		
<a href="#">5.61</a>		RecordDate	<RcrdDt>	[0..1]	±	
<a href="#">5.62</a>		EffectiveDate	<FctvDt>	[0..1]	±	
<a href="#">5.63</a>		CoverExpirationDate	<CoverXprtnDt>	[0..1]	±	
<a href="#">5.64</a>		EqualisationDate	<EqulstnDt>	[0..1]	±	
<a href="#">5.65</a>		MarginFixingDate	<MrgnFxdDt>	[0..1]	±	
<a href="#">5.66</a>		LotteryDate	<LtryDt>	[0..1]	±	
<a href="#">5.67</a>		ProtectDate	<PrctDt>	[0..1]	±	
<a href="#">5.68</a>		UnconditionalDate	<UcondlDt>	[0..1]	±	
<a href="#">5.69</a>		WhollyUnconditionalDate	<WhlyUcondlDt>	[0..1]	±	
<a href="#">5.70</a>		ResultsPublicationDate	<RsItsPblctnDt>	[0..1]	±	
<a href="#">5.71</a>		CourtApprovalDate	<CrtApprvlDt>	[0..1]	±	
<a href="#">5.72</a>		EarlyClosingDate	<EarlyClsgDt>	[0..1]	±	
<a href="#">5.73</a>		ExDividendDate	<ExDvddDt>	[0..1]	±	
<a href="#">5.74</a>		IndexFixingDate	<IndxFxdDt>	[0..1]	±	
<a href="#">5.75</a>		MaturityDate	<MtrtyDt>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">5.76</a>		TradingSuspendedDate	<TradgSspdDt>	[0..1]	±	
<a href="#">5.77</a>		CertificationDeadline	<CertfctnDdln>	[0..1]	±	
<a href="#">5.78</a>		RedemptionDate	<RedDt>	[0..1]	±	
<a href="#">5.79</a>		RegistrationDeadline	<RegnDdln>	[0..1]	±	
<a href="#">5.80</a>		ProrationDate	<PrratnDt>	[0..1]	±	
<a href="#">5.81</a>		DeadlineForTaxBreakdownInstruction	<DdlnForTaxBrkdwnInstr>	[0..1]	±	
<a href="#">5.82</a>		LapsedDate	<LpsdDt>	[0..1]	±	
<a href="#">5.83</a>		GuaranteedParticipationDate	<GrntedPrteptnDt>	[0..1]	±	
<a href="#">5.84</a>		ElectionToCounterpartyDeadline	<ElctnToCtrPtyDdln>	[0..1]	±	
<a href="#">5.85</a>		SpecialExDate	<SpclExDt>	[0..1]	±	
<a href="#">5.86</a>		PriceDetails	<PricDtls>	[0..n]		
<a href="#">5.87</a>		MaximumPrice	<MaxPric>	[0..1]	±	
<a href="#">5.88</a>		MinimumPrice	<MinPric>	[0..1]	±	
<a href="#">5.89</a>		PeriodDetails	<PrdDtls>	[0..1]		
<a href="#">5.90</a>		ActionPeriod	<ActnPrd>	[0..1]	±	
<a href="#">5.91</a>		CompulsoryPurchasePeriod	<CmplsryPurchsPrd>	[0..1]	±	
<a href="#">5.92</a>		InterestPeriod	<IntrstPrd>	[0..1]	±	
<a href="#">5.93</a>		BlockingPeriod	<BlckgPrd>	[0..1]	±	
<a href="#">5.94</a>		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±	
<a href="#">5.95</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]		
<a href="#">5.96</a>		Interest	<Intrst>	[0..1]	±	
<a href="#">5.97</a>		RelatedIndex	<RltdIndx>	[0..1]	±	
<a href="#">5.98</a>		PercentageSought	<PctgSght>	[0..1]	±	
<a href="#">5.99</a>		ReinvestmentDiscountToMarket	<RinvstmtDscntToMkt>	[0..1]	±	
<a href="#">5.100</a>		Spread	<Sprd>	[0..1]	±	
<a href="#">5.101</a>		BidInterval	<BidIntrvl>	[0..1]		
<a href="#">5.102</a>	{Or	Amount	<Amt>	[1..1]	Amount	
<a href="#">5.103</a>	Or}	NotSpecifiedRate	<NotSpctdRate>	[1..1]		
<a href="#">5.104</a>	{{Or	Code	<Cd>	[1..1]	Code	
<a href="#">5.105</a>	Or}}	Proprietary	<Prtry>	[1..1]		
<a href="#">5.106</a>		Identification	<Id>	[1..1]	Text	
<a href="#">5.107</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">5.108</a>		Issuer	<Issr>	[1..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">5.109</a>		Charges	<Chrgs>	[0..1]	±	
<a href="#">5.110</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]		
<a href="#">5.111</a>		InformationConditions	<InfConds>	[0..1]	Text	
<a href="#">5.112</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text	
<a href="#">5.113</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text	
<a href="#">5.114</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text	
<a href="#">5.115</a>		Offeror	<Offerr>	[0..1]	±	
<a href="#">5.116</a>		URLAddress	<URLAdr>	[0..1]	Text	
<a href="#">5.117</a>		AdditionalText	<AddtlTxt>	[0..1]	Text	
<a href="#">5.118</a>		CertificationRequiredIndicator	<CertfctnReqrdInd>	[0..1]	Indicator	
<a href="#">5.119</a>		CertificationType	<CertfctnTp>	[0..1]	±	
<a href="#">5.120</a>		CapitalGain	<CptlGn>	[0..1]	Code	
<a href="#">5.121</a>		TaxableIncomePerShareCalculated	<TaxblIncmPerShrClc td>	[0..1]	Code	
<a href="#">5.122</a>		NewPlaceOfIncorporation	<NewPlcOfIncorprtn>	[0..1]	Text	
<a href="#">5.123</a>		RenounceableEntitlementStatusType	<RnnclEntitlmntStsT p>	[0..1]	±	
<a href="#">5.124</a>		ConversionType	<Convstp>	[0..1]	±	
<a href="#">5.125</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator	
<a href="#">5.126</a>		DistributionType	<Dstrbtntp>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.0</a>		CorporateActionOptionDetails	<CorpActnOptnDtls>	[0..n]		
<a href="#">6.1</a>		OptionNumber	<OptnNb>	[1..1]	Text	
<a href="#">6.2</a>		OptionType	<OptnTp>	[1..1]		
<a href="#">6.3</a>	{Or	Code	<Cd>	[1..1]	Code	
<a href="#">6.4</a>	Or}	Proprietary	<Prtry>	[1..1]		
<a href="#">6.5</a>		Identification	<Id>	[1..1]	Text	
<a href="#">6.6</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">6.7</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">6.8</a>		OptionAvailabilityStatus	<OptnAvlbtySts>	[1..1]	±	
<a href="#">6.9</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
<a href="#">6.10</a>		CertificationType	<CertfctnTp>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.11</a>		AssentedLineSecurityIdentification	<AssntdLineSctyId>	[0..1]	±	
<a href="#">6.12</a>		AgentSecuritiesAccountIdentification	<AgtSciesAcctId>	[0..1]	Text	
<a href="#">6.13</a>		AgentCashAccountIdentification	<AgtCshAcctId>	[0..1]	±	
<a href="#">6.14</a>		OfferType	<OfferTp>	[0..n]	±	
<a href="#">6.15</a>		IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtn Tp>	[0..1]	±	
<a href="#">6.16</a>		WithdrawalAllowedIndicator	<WdrwlAllwdInd>	[1..1]	Indicator	
<a href="#">6.17</a>		ChangeAllowedIndicator	<ChngAllwdInd>	[1..1]	Indicator	
<a href="#">6.18</a>		DateDetails	<DtDtls>	[0..1]		
<a href="#">6.19</a>		CouponClippingDate	<CpnClpngDt>	[0..1]	±	
<a href="#">6.20</a>		ConsentExpirationDate	<CnsntXprtnDt>	[0..1]	±	
<a href="#">6.21</a>		ConsentRecordDate	<CnsntRcrdDt>	[0..1]	±	
<a href="#">6.22</a>		PaymentDate	<PmtDt>	[0..1]	±	
<a href="#">6.23</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±	
<a href="#">6.24</a>		MarketDeadline	<MktDdln>	[0..1]	±	
<a href="#">6.25</a>		ResponseDeadline	<RspnDdln>	[0..1]	±	
<a href="#">6.26</a>		DeadlineToSplit	<DdlnToSpl>	[0..1]	±	
<a href="#">6.27</a>		ExpiryDate	<XpryDt>	[0..1]	±	
<a href="#">6.28</a>		QuotationSettingDate	<QtnSetngDt>	[0..1]	±	
<a href="#">6.29</a>		SubscriptionCostDebitDate	<SbcptCostDbtDt>	[0..1]	±	
<a href="#">6.30</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]		
<a href="#">6.31</a>		WithholdingTax	<WhldgTax>	[0..1]	±	
<a href="#">6.32</a>		WithholdingOfForeignTax	<WhldgOfFrgnTax>	[0..1]	±	
<a href="#">6.33</a>		WithholdingOfLocalTax	<WhldgOfLclTax>	[0..1]	±	
<a href="#">6.34</a>		GermanLocalTax1	<GrmnLclTax1>	[0..1]	±	
<a href="#">6.35</a>		GermanLocalTax2	<GrmnLclTax2>	[0..1]	±	
<a href="#">6.36</a>		GermanLocalTax3	<GrmnLclTax3>	[0..1]	±	
<a href="#">6.37</a>		GermanLocalTax4	<GrmnLclTax4>	[0..1]	±	
<a href="#">6.38</a>		TaxOnIncome	<TaxOnInc>	[0..1]	±	
<a href="#">6.39</a>		TaxOnProfit	<TaxOnPrft>	[0..1]	±	
<a href="#">6.40</a>		TaxReclaim	<TaxRclm>	[0..1]	±	
<a href="#">6.41</a>		FiscalStamp	<FscIStmp>	[0..1]	±	
<a href="#">6.42</a>		Proration	<Prratn>	[0..1]	±	
<a href="#">6.43</a>		NewToOld	<NewToOd>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
6.44		NewSecuritiesToUnderlyingSecurities	<NewSciesToUndrly gScies>	[0..1]	±	
6.45		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgSc ties>	[0..1]	±	
6.46		AdditionalQuantityForSubscribedResul tantSecurities	<AddtlQtyForSbcbdR sltntScies>	[0..1]	±	
6.47		RelatedTax	<RltdTax>	[0..1]		
6.48		TaxType	<TaxTp>	[1..1]	±	
6.49		Amount	<Amt>	[1..1]	Amount	
6.50		NonResidentRate	<NonResdtRate>	[0..1]	±	
6.51		Charges	<Chrgs>	[0..1]	±	
6.52		InterestForUsedPayment	<IntrstForUsdPmt>	[0..1]	±	
6.53		IndexFactor	<IndxFctr>	[0..1]	±	
6.54		FullyFranked	<FullyFrnkd>	[0..1]	±	
6.55		GrossDividend	<GrssDvdd>	[0..1]	±	
6.56		NetDividend	<NetDvdd>	[0..1]	±	
6.57		FinalDividend	<FnlDvdd>	[0..1]		
6.58	{Or	Amount	<Amt>	[1..1]	Amount	
6.59	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±	
6.60		ProvisionalDividend	<PrvsnlDvdd>	[0..1]		
6.61	{Or	Amount	<Amt>	[1..1]	Amount	
6.62	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±	
6.63		CashIncentive	<CshInctiv>	[0..1]	±	
6.64		SolicitationFee	<SlctnFee>	[0..1]	±	
6.65		MaximumAllowedOversubscription	<MaxAllwdOvrsbcp>	[0..1]	±	
6.66		AdditionalTax	<AddtlTax>	[0..1]	±	
6.67		OriginalAmount	<OrgnlAmt>	[0..1]	Amount	
6.68		ExchangeRate	<XchgRate>	[0..1]		
6.69		UnitCurrency	<UnitCcy>	[1..1]	Code	
6.70		QuotedCurrency	<QtdCcy>	[1..1]	Code	
6.71		ExchangeRate	<XchgRate>	[1..1]	Rate	
6.72		ResultingAmount	<RsltgtAmt>	[1..1]	Amount	
6.73		ApplicableRate	<AplblRate>	[0..1]	±	
6.74		PriceDetails	<PricDtls>	[0..1]		
6.75		ExercisePrice	<ExrcPric>	[0..1]		
6.76	{Or	Amount	<Amt>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
6.77		AmountPriceType	<AmtPricTp>	[1..1]	±	
6.78		PriceValue	<PricVal>	[1..1]	Amount	
6.79	Or	Rate	<Rate>	[1..1]	±	
6.80	Or	NotSpecified	<NotSpefd>	[1..1]	±	
6.81	Or}	IndexPoints	<IndxPts>	[1..1]	Quantity	
6.82		IssuePrice	<IssePric>	[0..1]	±	
6.83		CashInLieuOfSharePrice	<CshInLieuOfShrPric>	[0..1]	±	
6.84		TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>	[0..1]		
6.85		AmountPriceType	<AmtPricTp>	[1..1]	±	
6.86		PriceValue	<PricVal>	[1..1]	Amount	
6.87		GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>	[0..1]	±	
6.88		GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>	[0..1]	±	
6.89		OverSubscriptionDepositPrice	<OverSbcptDpstPric>	[0..1]	±	
6.90		PeriodDetails	<PrdDtls>	[0..1]		
6.91		AssentedLinePeriod	<AssntdLinePrd>	[0..1]	±	
6.92		ActionPeriod	<ActnPrd>	[0..1]	±	
6.93		PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>	[0..1]	±	
6.94		ParallelTradingPeriod	<ParllTradgPrd>	[0..1]	±	
6.95		SellThruIssuerPeriod	<SellThruIssrPrd>	[0..1]	±	
6.96		RevocabilityPeriod	<RvcbltyPrd>	[0..1]	±	
6.97		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±	
6.98		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]		
6.99		SecurityIdentification	<SctyId>	[1..1]	±	
6.100		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
6.101		SecuritiesQuantity	<SctiesQty>	[0..1]		
6.102	{Or	Unit	<Unit>	[1..1]	Quantity	
6.103	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
6.104		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]		
6.105	{Or	Unit	<Unit>	[1..1]	Quantity	
6.106	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
6.107		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
6.108	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
6.109		FaceAmount	<FaceAmt>	[1..1]	Amount	
6.110		NewDenominationSecuritiesQuantity	<NewDnmtnSciesQty>	[0..1]		
6.111	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
6.112		FaceAmount	<FaceAmt>	[1..1]	Amount	
6.113		NewBoardLotSecuritiesQuantity	<NewBrdLotSciesQty>	[0..1]		
6.114	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
6.115		FaceAmount	<FaceAmt>	[1..1]	Amount	
6.116		ShareRanking	<ShrRnkg>	[0..1]	±	
6.117		AdditionalQuantityForSubscribedResulantSecurities	<AddtlQtyForSbcdbRslntScies>	[0..1]	±	
6.118		DateDetails	<DtDtls>	[0..1]		
6.119		PaymentDate	<PmtDt>	[0..1]	±	
6.120		AvailableDate	<AvlblDt>	[0..1]	±	
6.121		DividendRankingDate	<DvddRnkgDt>	[0..1]	±	
6.122		PariPassuDate	<PrpssDt>	[0..1]	±	
6.123		FirstDealingDate	<FrstDealgDt>	[0..1]	±	
6.124		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±	
6.125		PriceDetails	<PricDtls>	[0..1]		
6.126		IndicativePrice	<IndctvPric>	[0..1]	±	
6.127		MarketPrice	<MktPric>	[0..1]	±	
6.128		TradingPeriod	<TradgPrd>	[0..1]	±	
6.129		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>	[0..1]	±	
6.130		TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>	[0..1]	Indicator	
6.131		FractionDisposition	<FrctnDspstn>	[0..1]	±	
6.132		CashMovementDetails	<CshMvmntDtls>	[0..n]		
6.133		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
6.134		Currency	<Ccy>	[0..1]	Code	
6.135		DateDetails	<DtDtls>	[0..1]		
6.136		ForeignExchangeRateFixingDate	<FXRateFvgDt>	[0..1]	±	
6.137		ValueDate	<ValDt>	[0..1]	±	
6.138		PaymentDate	<PmtDt>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.139</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±	
<a href="#">6.140</a>		AmountDetails	<AmtDtls>	[0..1]		
<a href="#">6.141</a>		GrossCashAmount	<GrssCshAmt>	[0..1]	Amount	
<a href="#">6.142</a>		NetCashAmount	<NetCshAmt>	[0..1]	Amount	
<a href="#">6.143</a>		IssueDiscountAmount	<IsseDscntAmt>	[0..1]	Amount	
<a href="#">6.144</a>		SolicitationFees	<SlctnFees>	[0..1]	Amount	
<a href="#">6.145</a>		CashInLieuOfShare	<CshInLieuOfShr>	[0..1]	Amount	
<a href="#">6.146</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount	
<a href="#">6.147</a>		CapitalGain	<CptlGn>	[0..1]	Amount	
<a href="#">6.148</a>		InterestAmount	<IntrstAmt>	[0..1]	Amount	
<a href="#">6.149</a>		IndemnityAmount	<IndmntyAmt>	[0..1]	Amount	
<a href="#">6.150</a>		RedemptionPremiumAmount	<RedPrmAmt>	[0..1]	Amount	
<a href="#">6.151</a>		ManufacturedDividendAmount	<ManfctrdDvddAmt>	[0..1]	Amount	
<a href="#">6.152</a>		PrincipalOrCorpus	<PrncplOrCrps>	[0..1]	Amount	
<a href="#">6.153</a>		ReinvestmentAmount	<RinvstmtAmt>	[0..1]	Amount	
<a href="#">6.154</a>		MarketClaimAmount	<MktClmAmt>	[0..1]	Amount	
<a href="#">6.155</a>		FullyFrankedAmount	<FullyFrnkdtAmt>	[0..1]	Amount	
<a href="#">6.156</a>		UnfrankedAmount	<UfrnkdtAmt>	[0..1]	Amount	
<a href="#">6.157</a>		SundryOrOtherAmount	<SndryOrOthrAmt>	[0..1]	Amount	
<a href="#">6.158</a>		SpecialConcessionAmount	<SpclCncssnAmt>	[0..1]	Amount	
<a href="#">6.159</a>		EntitledAmount	<EntitldAmt>	[0..1]	Amount	
<a href="#">6.160</a>		CashIncentive	<CshIncentiv>	[0..1]	Amount	
<a href="#">6.161</a>		AdditionalSubscriptionCost	<AddtlSbcptCost>	[0..1]	Amount	
<a href="#">6.162</a>		TaxFreeAmount	<TaxFreeAmt>	[0..1]	Amount	
<a href="#">6.163</a>		TaxDeferredAmount	<TaxDfrrdAmt>	[0..1]	Amount	
<a href="#">6.164</a>		GermanLocalTax1Amount	<GrmnLclTax1Amt>	[0..1]	Amount	
<a href="#">6.165</a>		GermanLocalTax2Amount	<GrmnLclTax2Amt>	[0..1]	Amount	
<a href="#">6.166</a>		GermanLocalTax3Amount	<GrmnLclTax3Amt>	[0..1]	Amount	
<a href="#">6.167</a>		GermanLocalTax4Amount	<GrmnLclTax4Amt>	[0..1]	Amount	
<a href="#">6.168</a>		StockExchangeTaxAmount	<StockXchgTaxAmt>	[0..1]	Amount	
<a href="#">6.169</a>		TransferTaxAmount	<TrfTaxAmt>	[0..1]	Amount	
<a href="#">6.170</a>		TransactionTaxAmount	<TxTaxAmt>	[0..1]	Amount	
<a href="#">6.171</a>		ValueAddedTaxAmount	<ValAddedTaxAmt>	[0..1]	Amount	
<a href="#">6.172</a>		EURetentionTaxAmount	<EURtnnTaxAmt>	[0..1]	Amount	
<a href="#">6.173</a>		LocalTaxAmount	<LclTaxAmt>	[0..1]	Amount	



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.174</a>		PaymentLevyTaxAmount	<PmtLevyTaxAmt>	[0..1]	Amount	
<a href="#">6.175</a>		CountryNationalFederalTaxAmount	<CtryNtlFdrlTaxAmt>	[0..1]	Amount	
<a href="#">6.176</a>		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount	
<a href="#">6.177</a>		TaxReclaimAmount	<TaxRclmAmt>	[0..1]	Amount	
<a href="#">6.178</a>		TaxCreditAmount	<TaxCdtAmt>	[0..1]	Amount	
<a href="#">6.179</a>		WithholdingOfForeignTaxAmount	<WhldgOfFrngTaxAmt>	[0..1]	Amount	
<a href="#">6.180</a>		WithholdingOfLocalTaxAmount	<WhldgOfLclTaxAmt>	[0..1]	Amount	
<a href="#">6.181</a>		AdditionalTaxAmount	<AddtlTaxAmt>	[0..1]	Amount	
<a href="#">6.182</a>		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount	
<a href="#">6.183</a>		FiscalStampAmount	<FscIStmpAmt>	[0..1]	Amount	
<a href="#">6.184</a>		ExecutingBrokerAmount	<ExctgBrkrAmt>	[0..1]	Amount	
<a href="#">6.185</a>		PayingAgentCommissionAmount	<PngAgtComssnAmt>	[0..1]	Amount	
<a href="#">6.186</a>		LocalBrokerCommissionAmount	<LclBrkrComssnAmt>	[0..1]	Amount	
<a href="#">6.187</a>		PostageFeeAmount	<PstgFeeAmt>	[0..1]	Amount	
<a href="#">6.188</a>		RegulatoryFeesAmount	<RgltryFeesAmt>	[0..1]	Amount	
<a href="#">6.189</a>		ShippingFeesAmount	<ShppgFeesAmt>	[0..1]	Amount	
<a href="#">6.190</a>		ChargesAmount	<ChrgsAmt>	[0..1]	Amount	
<a href="#">6.191</a>		ExchangeRate	<XchgRate>	[0..1]		
<a href="#">6.192</a>		UnitCurrency	<UnitCcy>	[1..1]	Code	
<a href="#">6.193</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code	
<a href="#">6.194</a>		ExchangeRate	<XchgRate>	[1..1]	Rate	
<a href="#">6.195</a>		ResultingAmount	<RsltgAmt>	[1..1]	Amount	
<a href="#">6.196</a>		CorporateActionOtherAgentDetails	<CorpActnOthrAgtDtl>	[0..n]		
<a href="#">6.197</a>		AgentIdentification	<AgtId>	[1..1]	±	
<a href="#">6.198</a>		AgentRole	<AgtRole>	[1..1]		
<a href="#">6.199</a>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<a href="#">6.200</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">6.201</a>		Identification	<Id>	[1..1]	Text	
<a href="#">6.202</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">6.203</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">6.204</a>		ContactPerson	<CtctPrsn>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.205</a>		FractionDisposition	<FrctnDspstn>	[0..1]	±	
<a href="#">6.206</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator	
<a href="#">6.207</a>		OptionFeatures	<OptnFeatrs>	[0..n]	±	
<a href="#">6.208</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]		
<a href="#">6.209</a>		InformationConditions	<InfConds>	[0..1]	Text	
<a href="#">6.210</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text	
<a href="#">6.211</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text	
<a href="#">6.212</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text	
<a href="#">6.213</a>		Offeror	<Offerr>	[0..1]	±	
<a href="#">6.214</a>		URLAddress	<URLAdr>	[0..1]	Text	
<a href="#">6.215</a>		AdditionalText	<AddtlTxt>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">7.0</a>		ContactDetails	<CtctDtls>	[0..n]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">8.0</a>		AdditionalInformation	<AddtlInf>	[0..1]		
<a href="#">8.1</a>		InformationConditions	<InfConds>	[0..1]	Text	
<a href="#">8.2</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text	
<a href="#">8.3</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text	
<a href="#">8.4</a>		DeclarationDetails	<DclrtnDtls>	[0..1]	Text	
<a href="#">8.5</a>		RegistrationDetails	<RegnDtls>	[0..1]	Text	
<a href="#">8.6</a>		AdditionalText	<AddtlTxt>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the *AgentCANotificationAdviceV01* message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the advice.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

## 2.0 NotificationTypeAndLinkage <NtfctnTpAndLkg>

**Presence:** [1..1]

**Definition:** Provides information about the type of notification advice and linked message.

**Type:** The **NotificationTypeAndLinkage** block is composed of the following **LinkedCorporateAction1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>2.1</u>		NotificationType	<NtfctnTp>	[1..1]	Code
<u>2.2</u>		LinkedAgentCANotificationAdviceIdentification	<LkdAgtCANtfctnAdvId>	[0..1]	±
<u>2.3</u>		LinkageType	<LkgTp>	[0..1]	±
<u>2.4</u>		LinkedIssuerCorporateActionIdentification	<LkdIssrCorpActnId>	[0..1]	Text
<u>2.5</u>		LinkedCorporateActionProcessingIdentification	<LkdCorpActnPrgId>	[0..1]	Text

### 2.1 NotificationType <NtfctnTp>

**Presence:** [1..1]

**Definition:** The function of the notification e.g. new notification.

**Data Type:** Code

One of the following **CorporateActionNotificationType1Code** values must be used:

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

### 2.2 LinkedAgentCANotificationAdviceIdentification <LkdAgtCANtfctnAdvId>

**Presence:** [0..1]

**Definition:** The identification of the linked notification advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.3 LinkageType <LkgTp>

**Presence:** [0..1]

**Definition:** Specifies when the instruction is to be executed relative to a linked instruction.

**Type:** This message item is composed of one of the following *ProcessingPosition2FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [ProcessingPosition2FormatChoice](#) p.733 in 'Message Item Types' section.

## 2.4 LinkedIssuerCorporateActionIdentification <LkdIssrCorpActnId>

**Presence:** [0..1]

**Definition:** Reference given to the linked event by the CA event issuer (agent).

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 2.5 LinkedCorporateActionProcessingIdentification <LkdCorpActnPrcgId>

**Presence:** [0..1]

**Definition:** Reference assigned by the CSD to the linked corporate event.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 3.0 NotificationGeneralInformation <NtfctnGnllnf>

**Presence:** [1..1]

**Definition:** Provides general information about the notification advice.

**Type:** The *NotificationGeneralInformation* block is composed of the following *CorporateActionNotification1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.1</a>		AnnouncementDate	<AnncmntDt>	[0..1]	±
<a href="#">3.2</a>		FurtherDetailedAnnouncementDate	<FrthrDtldAnncmntDt>	[0..1]	±
<a href="#">3.3</a>		OfficialAnnouncementPublicationDate	<OffclAnncmntPblctnDt>	[0..1]	±
<a href="#">3.4</a>		ProcessingStatus	<PrcgSts>	[1..1]	±

### 3.1 AnnouncementDate <AnncmntDt>

**Presence:** [0..1]

**Definition:** Date/time at which the issuer announced that a corporate action event will occur.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcdfDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 3.2 FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>

**Presence:** [0..1]

**Definition:** Date/time at which additional information on the event will be announced, eg, exchange ratio announcement date.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcdfDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 3.3 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

**Presence:** [0..1]

**Definition:** Date/time at which the corporate action is legally announced by an official body, eg, publication by a governmental administration.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcdfDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 3.4 ProcessingStatus <PrcgSts>

**Presence:** [1..1]

**Definition:** Specifies the status of the details of the event.

**Type:** This message item is composed of one of the following **ProcessingStatus1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Code	<Cd>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [ProcessingStatus1FormatChoice](#) p.809 in 'Message Item Types' section.

#### 4.0 CorporateActionGeneralInformation <CorpActnGnllnf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation2* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[1..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	
	OtherUnderlyingSecurity	<OthrUndrlygScty>	[0..n]	

For additional Type information, please refer to [CorporateActionInformation2](#) p.689 in 'Message Item Types' section.

#### 5.0 CorporateActionDetails <CorpActnDtls>

**Presence:** [1..1]

**Definition:** Provides details information about the CA event.

**Type:** The *CorporateActionDetails* block is composed of the following *CorporateAction2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.1</a>		EventStage	<EvtStag>	[0..n]	±
<a href="#">5.2</a>		DefaultOptionType	<DfltOptnTp>	[0..1]	
<a href="#">5.8</a>		DefaultOptionNumber	<DfltOptnNb>	[0..1]	Text
<a href="#">5.9</a>		CalculationMethod	<ClctnMtd>	[0..1]	±
<a href="#">5.10</a>		BackEndOddLotSecuritiesQuantity	<BckEndOddLotSctiesQty>	[0..1]	
<a href="#">5.14</a>		FrontEndOddLotSecuritiesQuantity	<FrntEndOddLotSctiesQty>	[0..1]	
<a href="#">5.18</a>		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]	
<a href="#">5.21</a>		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]	
<a href="#">5.24</a>		IncrementalDenomination	<IncrmtlDnmtn>	[0..1]	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.27</a>		NewDenominationSecuritiesQuantity	<NewDnmtnSciesQty>	[0..1]	
<a href="#">5.30</a>		NewBoardLotSecuritiesQuantity	<NewBrdLotSciesQty>	[0..1]	
<a href="#">5.33</a>		SecuritiesQuantitySought	<SciesQtySght>	[0..1]	
<a href="#">5.37</a>		BaseDenomination	<BaseDnmtn>	[0..1]	
<a href="#">5.40</a>		ChangeType	<ChngTp>	[0..n]	±
<a href="#">5.41</a>		OfferType	<OfferTp>	[0..n]	±
<a href="#">5.42</a>		RestrictionIndicator	<RstrctnInd>	[0..1]	Indicator
<a href="#">5.43</a>		PartialElectionIndicator	<PrtlElctnInd>	[0..1]	Indicator
<a href="#">5.44</a>		ElectionType	<ElctnTp>	[0..1]	±
<a href="#">5.45</a>		LotteryType	<LtryTp>	[0..1]	
<a href="#">5.51</a>		IncomeType	<IncmtTp>	[0..1]	
<a href="#">5.55</a>		DividendType	<DvddTp>	[0..1]	±
<a href="#">5.56</a>		IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtnTp>	[0..1]	±
<a href="#">5.57</a>		CouponNumber	<CpnNb>	[0..n]	Text
<a href="#">5.58</a>		InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>	[0..1]	Quantity
<a href="#">5.59</a>		NewDenominationCurrency	<NewDnmtnCcy>	[0..1]	Code
<a href="#">5.60</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">5.86</a>		PriceDetails	<PricDtls>	[0..n]	
<a href="#">5.89</a>		PeriodDetails	<PrdDtls>	[0..1]	
<a href="#">5.95</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]	
<a href="#">5.110</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]	
<a href="#">5.118</a>		CertificationRequiredIndicator	<CertfctnReqrInd>	[0..1]	Indicator
<a href="#">5.119</a>		CertificationType	<CertfctnTp>	[0..1]	±
<a href="#">5.120</a>		CapitalGain	<CptlGn>	[0..1]	Code
<a href="#">5.121</a>		TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>	[0..1]	Code
<a href="#">5.122</a>		NewPlaceOfIncorporation	<NewPlcOfIncorprtn>	[0..1]	Text
<a href="#">5.123</a>		RenounceableEntitlementStatusType	<RnnclEntitlmntStsTp>	[0..1]	±
<a href="#">5.124</a>		ConversionType	<ConvstTp>	[0..1]	±
<a href="#">5.125</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator
<a href="#">5.126</a>		DistributionType	<DstrbtnTp>	[0..1]	±

## 5.1 EventStage <EvtStag>

**Presence:** [0..n]

**Definition:** Stage in the corporate action event life cycle.

**Type:** This message item is composed of one of the following *CorporateActionEventStage1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **CorporateActionEventStage1FormatChoice** p.666 in 'Message Item Types' section.

## 5.2 DefaultOptionType <DfltOptnTp>

**Presence:** [0..1]

**Definition:** Identifies the option that will be selected by default if no instruction is provided by account owner.

**Type:** This message item is composed of one of the following **CorporateActionOption1FormatChoice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 5.2 **DefaultOptionType**.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following **CorporateActionOptionType1Code** values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.



Code	Name	Definition
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

## 5.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.2 **DefaultOptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.5</u>		Identification	<Id>	[1..1]	Text
<u>5.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.7</u>		Issuer	<Issr>	[1..1]	Text

## 5.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.8 DefaultOptionNumber <DfltOptnNb>

**Presence:** [0..1]

**Definition:** Identifies the option number that will be selected by default if no instruction is provided by account owner.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## 5.9 CalculationMethod <ClctnMtd>

**Presence:** [0..1]

**Definition:** The method of calculation of drawings and partial redemptions.

**Type:** This message item is composed of one of the following *CorporateActionCalculationMethod1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CorporateActionCalculationMethod1FormatChoice* p.815 in 'Message Item Types' section.

## 5.10 BackEndOddLotSecuritiesQuantity <BckEndOddLotScitiesQty>

**Presence:** [0..1]

**Definition:** Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

**Type:** This message item is composed of one of the following *UnitOrFaceAmountOrCode1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.11</u>	{ Or	Unit	<Unit>	[1..1]	Quantity
<u>5.12</u>	Or	FaceAmount	<FaceAmt>	[1..1]	Amount
<u>5.13</u>	Or }	Code	<Cd>	[1..1]	Code

## 5.11 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice **5.10 BackEndOddLotSecuritiesQuantity**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.12 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.10 BackEndOddLotSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.13 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.10 BackEndOddLotSecuritiesQuantity.*

**Definition:** Quantity expressed as a code.

**Data Type:** Code

*One of the following **Quantity1Code** values must be used:*

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

## 5.14 FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSctiesQty>

**Presence:** [0..1]

**Definition:** Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmountOrCode1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.15	{Or	Unit	<Unit>	[1..1]	Quantity
5.16	Or	FaceAmount	<FaceAmt>	[1..1]	Amount
5.17	Or}	Code	<Cd>	[1..1]	Code

## 5.15 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.14 FrontEndOddLotSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.16 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.14 FrontEndOddLotSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.17 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.14 FrontEndOddLotSecuritiesQuantity.*

**Definition:** Quantity expressed as a code.

**Data Type:** Code

*One of the following **Quantity1Code** values must be used:*

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

## 5.18 MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>

**Presence:** [0..1]

**Definition:** Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.19	{Or	Unit	<Unit>	[1..1]	Quantity
5.20	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.19 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.18 MinimumExercisableSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.20 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.18 MinimumExercisableSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.21 MinimumExercisableMultipleSecuritiesQuantity <MinExrcbIMltplSctiesQty>

**Presence:** [0..1]

**Definition:** Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.22</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>5.23</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.22 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 5.21 *MinimumExercisableMultipleSecuritiesQuantity*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.23 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice 5.21 *MinimumExercisableMultipleSecuritiesQuantity*.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: *Currency* (Ccy) which is typed by *ActiveCurrencyCode*.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18

**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.24 IncrementalDenomination <IncrmtlDnmtn>

**Presence:** [0..1]

**Definition:** Amount used when the called amount is not met by running the lottery with the base denomination.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.25</u>	{ Or	Unit	<Unit>	[1..1]	Quantity
<u>5.26</u>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.25 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.24 **IncrementalDenomination**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 5.26 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.24 **IncrementalDenomination**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** **ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** **ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.27 NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>

**Presence:** [0..1]

**Definition:** New Denomination of the equity following, eg, an increase or decrease in nominal value.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.28	{Or	Unit	<Unit>	[1..1]	Quantity
5.29	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.28 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 5.27 NewDenominationSecuritiesQuantity.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.29 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice 5.27 NewDenominationSecuritiesQuantity.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.30 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

**Presence:** [0..1]

**Definition:** Quantity of equity that makes up the new board lot.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.31	{Or	Unit	<Unit>	[1..1]	Quantity
5.32	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 5.31 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.30 NewBoardLotSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

### 5.32 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.30 NewBoardLotSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 5.33 SecuritiesQuantitySought <SctiesQtySght>

**Presence:** [0..1]

**Definition:** Quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

**Type:** *This message item is composed of one of the following **UnitOrFaceAmountOrCode1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.34	{Or	Unit	<Unit>	[1..1]	Quantity
5.35	Or	FaceAmount	<FaceAmt>	[1..1]	Amount
5.36	Or}	Code	<Cd>	[1..1]	Code

### 5.34 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.33 SecuritiesQuantitySought.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 5.35 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.33 SecuritiesQuantitySought.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

### 5.36 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.33 SecuritiesQuantitySought.*

**Definition:** Quantity expressed as a code.

**Data Type:** Code

*One of the following **Quantity1Code** values must be used:*

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

### 5.37 BaseDenomination <BaseDnmtn>

**Presence:** [0..1]

**Definition:** The minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.38</a>	{Or	Unit	<Unit>	[1..1]	Quantity
<a href="#">5.39</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 5.38 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.37 **BaseDenomination**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 5.39 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.37 **BaseDenomination**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency,

consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.40 ChangeType <ChngTp>

**Presence:** [0..n]

**Definition:** Specifies the type of change announced.

**Type:** This message item is composed of one of the following *CorporateActionChangeType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CorporateActionChangeType1FormatChoice* p.817 in 'Message Item Types' section.

## 5.41 OfferType <OfferTp>

**Presence:** [0..n]

**Definition:** Specifies the conditions that apply to the offer.

**Type:** This message item is composed of one of the following *OfferType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *OfferType1FormatChoice* p.826 in 'Message Item Types' section.

## 5.42 RestrictionIndicator <RstrctnInd>

**Presence:** [0..1]

**Definition:** Indicates whether there is restrictions apply to the CA event or not.

Yes = There is restrictions.

No = There is no restrictions.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 5.43 PartialElectionIndicator <PrtlElctnInd>

**Presence:** [0..1]

**Definition:** Specifies if the issuer will allow the agent to accept partial elections. It is to allow split voting over options. It allows the client to elect more than one option to be selected per designated holding.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 5.44 ElectionType <ElctnTp>

**Presence:** [0..1]

**Definition:** Specifies the effect on the holdings of electing a Corporate Action option.

**Type:** This message item is composed of one of the following *ElectionMovementType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *ElectionMovementType1FormatChoice* p.821 in 'Message Item Types' section.

## 5.45 LotteryType <LtryTp>

**Presence:** [0..1]

**Definition:** Specifies the type of lottery announced.

**Type:** This message item is composed of one of the following *LotteryType1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.46	{ Or	Code	<Cd>	[1..1]	Code
5.47	Or }	Proprietary	<Prtry>	[1..1]	

## 5.46 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 5.45 *LotteryType*.

**Definition:** Standard code to specify the lottery type.

**Data Type:** Code

One of the following *LotteryType1Code* values must be used:

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

## 5.47 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 5.45 *LotteryType*.

**Definition:** Proprietary code to express the lottery type.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.48</u>		Identification	<Id>	[1..1]	Text
<u>5.49</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.50</u>		Issuer	<Issr>	[1..1]	Text

## 5.48 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.49 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.50 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.51 IncomeType <IncmTp>

**Presence:** [0..1]

**Definition:** Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.52</u>		Identification	<Id>	[1..1]	Text
<u>5.53</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.54</u>		Issuer	<Issr>	[1..1]	Text

## 5.52 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 5.53 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 5.54 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 5.55 DividendType <DvddTp>

**Presence:** [0..1]

**Definition:** Specifies the conditions in which a dividend is paid.

**Type:** This message item is composed of one of the following *CorporateActionFrequencyType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CorporateActionFrequencyType1FormatChoice* p.818 in 'Message Item Types' section.

### 5.56 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

**Presence:** [0..1]

**Definition:** Type of intermediates securities distribution, eg, stock dividend, reverse right.

**Type:** This message item is composed of one of the following *IntermediateSecurityDistributionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *IntermediateSecurityDistributionType1FormatChoice* p.824 in 'Message Item Types' section.

### 5.57 CouponNumber <CpnNb>

**Presence:** [0..n]

**Definition:** Number of the coupon attached/associated with a security.

**Data Type:** Max3NumericText

**Format:** [0-9]{1,3}

## 5.58 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

**Presence:** [0..1]

**Definition:** Number of days used for calculating the accrued interest amount.

**Data Type:** Number

**Format:** fractionDigits: 0

totalDigits: 18

## 5.59 NewDenominationCurrency <NewDnmtnCcy>

**Presence:** [0..1]

**Definition:** New denomination currency of the inancial instrument.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.60 DateDetails <DtDtls>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a CA event.

**Type:** This message item is composed of the following *CorporateActionDate2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.61</a>		RecordDate	<RcrdDt>	[0..1]	±
<a href="#">5.62</a>		EffectiveDate	<FctvDt>	[0..1]	±
<a href="#">5.63</a>		CoverExpirationDate	<CoverXprtnDt>	[0..1]	±
<a href="#">5.64</a>		EqualisationDate	<EqulstnDt>	[0..1]	±
<a href="#">5.65</a>		MarginFixingDate	<MrgnFxdDt>	[0..1]	±
<a href="#">5.66</a>		LotteryDate	<LtryDt>	[0..1]	±
<a href="#">5.67</a>		ProtectDate	<PrctDt>	[0..1]	±
<a href="#">5.68</a>		UnconditionalDate	<UcondlDt>	[0..1]	±
<a href="#">5.69</a>		WhollyUnconditionalDate	<WhlyUcondlDt>	[0..1]	±
<a href="#">5.70</a>		ResultsPublicationDate	<RsltsPblctnDt>	[0..1]	±
<a href="#">5.71</a>		CourtApprovalDate	<CrtApprvlDt>	[0..1]	±
<a href="#">5.72</a>		EarlyClosingDate	<EarlyClsgDt>	[0..1]	±
<a href="#">5.73</a>		ExDividendDate	<ExDvddDt>	[0..1]	±
<a href="#">5.74</a>		IndexFixingDate	<IndxFxdDt>	[0..1]	±



Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">5.75</a>		MaturityDate	<MtrtyDt>	[0..1]	±
<a href="#">5.76</a>		TradingSuspendedDate	<TradgSspdDt>	[0..1]	±
<a href="#">5.77</a>		CertificationDeadline	<CertfctnDdln>	[0..1]	±
<a href="#">5.78</a>		RedemptionDate	<RedDt>	[0..1]	±
<a href="#">5.79</a>		RegistrationDeadline	<RegnDdln>	[0..1]	±
<a href="#">5.80</a>		ProrationDate	<PrratnDt>	[0..1]	±
<a href="#">5.81</a>		DeadlineForTaxBreakdownInstruction	<DdlnForTaxBrkdwInstr>	[0..1]	±
<a href="#">5.82</a>		LapsedDate	<LpsdDt>	[0..1]	±
<a href="#">5.83</a>		GuaranteedParticipationDate	<GrntdPrctptnDt>	[0..1]	±
<a href="#">5.84</a>		ElectionToCounterpartyDeadline	<ElctnToCtrPtyDdln>	[0..1]	±
<a href="#">5.85</a>		SpecialExDate	<SpclExDt>	[0..1]	±

## 5.61 RecordDate <RcrdDt>

**Presence:** [0..1]

**Definition:** Date on which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.62 EffectiveDate <FctvDt>

**Presence:** [0..1]

**Definition:** Date on which a process is to be completed or becomes effective.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 5.63 CoverExpirationDate <CoverXprtnDt>

**Presence:** [0..1]

**Definition:** Last day a holder can deliver the securities that it had previously protected.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.64 EqualisationDate <EqulstnDt>

**Presence:** [0..1]

**Definition:** Date on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.65 MarginFixingDate <MrgnFxdDt>

**Presence:** [0..1]

**Definition:** Date/time at which the margin rate will be determined .

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.66 LotteryDate <LtryDt>

**Presence:** [0..1]

**Definition:** Date on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.67 ProtectDate <PrctDt>

**Presence:** [0..1]

**Definition:** Last date a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.68 UnconditionalDate <UcondIDt>

**Presence:** [0..1]

**Definition:** Date upon which the terms of the take-over become unconditional as to acceptances.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.69 WhollyUnconditionalDate <WhlyUcondIDt>

**Presence:** [0..1]

**Definition:** Date on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 5.70 ResultsPublicationDate <RsItsPblctnDt>

**Presence:** [0..1]

**Definition:** Date on which results are published, eg, results of an offer.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 5.71 CourtApprovalDate <CrtApprvlDt>

**Presence:** [0..1]

**Definition:** Date/time upon which the High Court provided approval.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 5.72 EarlyClosingDate <EarlyClsgDt>

**Presence:** [0..1]

**Definition:** First possible early closing date of an offer if different from the expiry date.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

### 5.73 ExDividendDate <ExDvddDt>

**Presence:** [0..1]

**Definition:** Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.74 IndexFixingDate <IndxFxgDt>

**Presence:** [0..1]

**Definition:** Date/time at which an index rate will be determined .

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.75 MaturityDate <MtrtyDt>

**Presence:** [0..1]

**Definition:** Date on which an interest bearing financial instrument becomes due and principal is repaid by the issuer to the investor.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.76 TradingSuspendedDate <TradgSspdDt>

**Presence:** [0..1]

**Definition:** Date on which trading of a security is suspended as the result of an event.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.77 CertificationDeadline <CertfctnDdln>

**Presence:** [0..1]

**Definition:** Deadline by which the beneficial ownership of securities must be declared.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.78 RedemptionDate <RedDt>

**Presence:** [0..1]

**Definition:** Date/time at which the securities will be redeemed (early) for payment of principal.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 5.79 RegistrationDeadline <RegnDdln>

**Presence:** [0..1]

**Definition:** Date on which instructions to register or registration details will be accepted.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 5.80 ProrationDate <PrratnDt>

**Presence:** [0..1]

**Definition:** Date (and time) at which an issuer will determine the proration amount/quantity of an offer.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 5.81 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

**Presence:** [0..1]

**Definition:** Date on until which tax breakdown instructions will be accepted.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 5.82 LapsedDate <LpsdDt>

**Presence:** [0..1]

**Definition:** Date/time at which an event/offer is terminated or lapsed.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

### 5.83 GuaranteedParticipationDate <GrntedPrtcptnDt>

**Presence:** [0..1]

**Definition:** Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.84 ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>

**Presence:** [0..1]

**Definition:** Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 5.85 SpecialExDate <SpclExDt>

**Presence:** [0..1]

**Definition:** Date/time as from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, eg, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.



## 5.86 PriceDetails <PricDtls>

**Presence:** [0..n]

**Definition:** Provides information about the prices related to a CA event.

**Type:** This message item is composed of the following *CorporateActionPrice2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.87</u>		MaximumPrice	<MaxPric>	[0..1]	±
<u>5.88</u>		MinimumPrice	<MinPric>	[0..1]	±

## 5.87 MaximumPrice <MaxPric>

**Presence:** [0..1]

**Definition:** Maximum or cap price at which a holder can bid, e.g. on a Dutch auction offer.

**Type:** This message item is composed of one of the following *PriceFormat3Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or }	Rate	<Rate>	[1..1]	

For additional Type information, please refer to *PriceFormat3Choice* p.769 in 'Message Item Types' section.

## 5.88 MinimumPrice <MinPric>

**Presence:** [0..1]

**Definition:** Minimum or floor price at which a holder can bid, e.g. on a Dutch auction offer.

**Type:** This message item is composed of one of the following *PriceFormat3Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or }	Rate	<Rate>	[1..1]	

For additional Type information, please refer to *PriceFormat3Choice* p.769 in 'Message Item Types' section.

## 5.89 PeriodDetails <PrdDtls>

**Presence:** [0..1]

**Definition:** Provides information about the periods related to a CA event.

**Type:** This message item is composed of the following *CorporateActionPeriod1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.90</u>		ActionPeriod	<ActnPrd>	[0..1]	±
<u>5.91</u>		CompulsoryPurchasePeriod	<CmplsryPurchsPrd>	[0..1]	±
<u>5.92</u>		InterestPeriod	<IntrstPrd>	[0..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.93</a>		BlockingPeriod	<BlckgPrd>	[0..1]	±
<a href="#">5.94</a>		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±

## 5.90 ActionPeriod <ActnPrd>

**Presence:** [0..1]

**Definition:** Period during which the specified option, or all options of the event, remains valid, eg, offer period.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 5.91 CompulsoryPurchasePeriod <CmplsrPurchsPrd>

**Presence:** [0..1]

**Definition:** Period during a take-over where any outstanding equity must be purchased by the take-over company.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 5.92 InterestPeriod <IntrstPrd>

**Presence:** [0..1]

**Definition:** Period during which the interest rate has been applied.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 5.93 BlockingPeriod <BlckgPrd>

**Presence:** [0..1]

**Definition:** Period during which the security is blocked.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 5.94 PriceCalculationPeriod <PricClctnPrd>

**Presence:** [0..1]

**Definition:** Period during which the price of a security is determined.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 5.95 RateAndAmountDetails <RateAndAmtDtls>

**Presence:** [0..1]

**Definition:** Provides information about rates and amounts related to a CA event.

**Type:** This message item is composed of the following **CorporateActionRate1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.96</a>		Interest	<Intrst>	[0..1]	±
<a href="#">5.97</a>		RelatedIndex	<RltdIndx>	[0..1]	±
<a href="#">5.98</a>		PercentageSought	<PctgSght>	[0..1]	±
<a href="#">5.99</a>		ReinvestmentDiscountToMarket	<RinvstmtDscntToMkt>	[0..1]	±
<a href="#">5.100</a>		Spread	<Sprd>	[0..1]	±
<a href="#">5.101</a>		BidInterval	<BidIntrvl>	[0..1]	
<a href="#">5.109</a>		Charges	<Chrgs>	[0..1]	±

## 5.96 Interest <Intrst>

**Presence:** [0..1]

**Definition:** Annual rate of a financial instrument.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

## 5.97 RelatedIndex <RltdIndx>

**Presence:** [0..1]

**Definition:** Index rate related to the interest rate of the forthcoming interest payment.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or}	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateFormat1Choice](#) p.787 in 'Message Item Types' section.

## 5.98 PercentageSought <PctgSght>

**Presence:** [0..1]

**Definition:** Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term 'any and all'.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or}	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateFormat1Choice](#) p.787 in 'Message Item Types' section.

## 5.99 ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>

**Presence:** [0..1]

**Definition:** Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or}	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateFormat1Choice](#) p.787 in 'Message Item Types' section.

## 5.100 Spread <Sprd>

**Presence:** [0..1]

**Definition:** Margin allowed over or under a given rate.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

## 5.101 BidInterval <BidIntrvl>

**Presence:** [0..1]

**Definition:** Acceptable price increment used for submitting a bid.

**Type:** This message item is composed of one of the following **AmountAndRateFormat3Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.102</u>	{ Or	Amount	<Amt>	[1..1]	Amount
<u>5.103</u>	Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

## 5.102 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice **5.101 BidInterval**.

**Definition:** Number of monetary units specified in a currency.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 5.103 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

*This message item is part of choice 5.101 BidInterval.*

**Definition:** The rate is not specified.

**Type:** This message item is composed of one of the following *RateValueType6FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.104</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.105</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 5.104 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.103 NotSpecifiedRate.*

**Definition:** Standard code to specify the value of a rate.

**Data Type:** Code

*One of the following *RateValueType6Code* values must be used:*

Code	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

### 5.105 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.103 NotSpecifiedRate.*

**Definition:** Proprietary code to express the value of a rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.106</u>		Identification	<Id>	[1..1]	Text
<u>5.107</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.108</u>		Issuer	<Issr>	[1..1]	Text

### 5.106 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

**5.107 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**5.108 Issuer <lssr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**5.109 Charges <Chrgs>****Presence:** [0..1]**Definition:** Rate used to calculate the amount of the charges/fees that cannot be categorised.**Type:** This message item is composed of one of the following *RateAndAmountFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpefdRate>	[1..1]	

For additional Type information, please refer to *RateAndAmountFormat1Choice* p.734 in 'Message Item Types' section.

**5.110 CorporateActionAdditionalInformation <CorpActnAddtlInf>****Presence:** [0..1]**Definition:** Provides additional information.**Type:** This message item is composed of the following *CorporateActionNarrative1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.111</a>		InformationConditions	<InfConds>	[0..1]	Text
<a href="#">5.112</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text
<a href="#">5.113</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text
<a href="#">5.114</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text
<a href="#">5.115</a>		Offeror	<Offerr>	[0..1]	±
<a href="#">5.116</a>		URLAddress	<URLAdr>	[0..1]	Text
<a href="#">5.117</a>		AdditionalText	<AddtlTxt>	[0..1]	Text

### 5.111 InformationConditions <InfConds>

**Presence:** [0..1]

**Definition:** Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 5.112 InformationToComplyWith <InfToCmplyWth>

**Presence:** [0..1]

**Definition:** Provides information conditions to the account owner that are to be complied with, eg, not open to US/ Canadian residents, QIB or SIL to be provided.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 5.113 TaxationConditions <TaxtnConds>

**Presence:** [0..1]

**Definition:** Provides taxation conditions that cannot be included within the structured fields of this message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 5.114 NewCompanyName <NewCpnyNm>

**Presence:** [0..1]

**Definition:** Provide the new name of a company following a name change

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 5.115 Offeror <Offerr>

**Presence:** [0..1]

**Definition:** Provides the entity making the offer and is different from the issuing company.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 5.116 URLAddress <URLAdr>

**Presence:** [0..1]



**Definition:** Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

**Data Type:** Max256Text

**Format:** maxLength: 256

minLength: 1

### 5.117 AdditionalText <AddtlTxt>

**Presence:** [0..1]

**Definition:** Provides additional information or specifies in more detail the content of a message.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

### 5.118 CertificationRequiredIndicator <CertfctnReqrdInd>

**Presence:** [0..1]

**Definition:** Indicates whether certification is required from the account owner.

**Data Type:** *One of the following YesNoIndicator values must be used:*

MeaningWhenTrue: Yes

MeaningWhenFalse: No

### 5.119 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** *This message item is composed of one of the following BeneficiaryCertificationType1FormatChoice element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **BeneficiaryCertificationType1FormatChoice** p.665 in 'Message Item Types' section.

### 5.120 CapitalGain <CptlGn>

**Presence:** [0..1]

**Definition:** Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (...) (Article 6(1d)).

**Data Type:** Code

*When this message item is present, one of the following EUCapitalGain2Code values must be used:*

Code	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope for the directive.

### 5.121 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

**Presence:** [0..1]

**Definition:** Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

**Data Type:** Code

When this message item is present, one of the following *TaxableIncomePerShareCalculated2Code* values must be used:

Code	Name	Definition
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

### 5.122 NewPlaceOfIncorporation <NewPlcOfIncorprtn>

**Presence:** [0..1]

**Definition:** New company's place of incorporation.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 5.123 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

**Presence:** [0..1]

**Definition:** Specifies whether terms of the event allow resale of the rights.

**Type:** This message item is composed of one of the following *RenounceableStatus1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *RenounceableStatus1FormatChoice* p.811 in 'Message Item Types' section.

### 5.124 ConversionType <ConvstP>

**Presence:** [0..1]

**Definition:** Specifies the conversion type of an instrument.

**Type:** This message item is composed of one of the following *ConversionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [ConversionType1FormatChoice](#) p.814 in 'Message Item Types' section.

### 5.125 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

**Presence:** [0..1]

**Definition:** Indicates whether redemption charges apply.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

### 5.126 DistributionType <DstrbtnTp>

**Presence:** [0..1]

**Definition:** Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

**Type:** This message item is composed of one of the following **DistributionType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DistributionType1FormatChoice](#) p.820 in 'Message Item Types' section.

## 6.0 CorporateActionOptionDetails <CorpActnOptnDtls>

**Presence:** [0..n]

**Definition:** Provides detailed information about the option of the CA event.

**Type:** The **CorporateActionOptionDetails** block is composed of the following **CorporateActionOption1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.1</a>		OptionNumber	<OptnNb>	[1..1]	Text
<a href="#">6.2</a>		OptionType	<OptnTp>	[1..1]	
<a href="#">6.8</a>		OptionAvailabilityStatus	<OptnAvlbtys>	[1..1]	±
<a href="#">6.9</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<a href="#">6.10</a>		CertificationType	<CertfctnTp>	[0..1]	±
<a href="#">6.11</a>		AssentedLineSecurityIdentification	<AssntdLineSctyId>	[0..1]	±
<a href="#">6.12</a>		AgentSecuritiesAccountIdentification	<AgtSctiesAcctId>	[0..1]	Text
<a href="#">6.13</a>		AgentCashAccountIdentification	<AgtCshAcctId>	[0..1]	±
<a href="#">6.14</a>		OfferType	<OfferTp>	[0..n]	±
<a href="#">6.15</a>		IntermediateSecuritiesDistributionType	<IntrmdtSctiesDstrbtnTp>	[0..1]	±
<a href="#">6.16</a>		WithdrawalAllowedIndicator	<WdrwlAllwdInd>	[1..1]	Indicator
<a href="#">6.17</a>		ChangeAllowedIndicator	<ChngAllwdInd>	[1..1]	Indicator
<a href="#">6.18</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">6.30</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.74</a>		PriceDetails	<PricDtls>	[0..1]	
<a href="#">6.90</a>		PeriodDetails	<PrdDtls>	[0..1]	
<a href="#">6.98</a>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]	
<a href="#">6.132</a>		CashMovementDetails	<CshMvmntDtls>	[0..n]	
<a href="#">6.196</a>		CorporateActionOtherAgentDetails	<CorpActnOthrAgtDtls>	[0..n]	
<a href="#">6.205</a>		FractionDisposition	<FrctnDspstn>	[0..1]	±
<a href="#">6.206</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator
<a href="#">6.207</a>		OptionFeatures	<OptnFeatrs>	[0..n]	±
<a href="#">6.208</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]	

**Rule(s):** ChangeAllowedRule

If the value of ChangeAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

**WithdrawalAllowedRule**

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

**6.1 OptionNumber <OptnNb>**

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

**6.2 OptionType <OptnTp>**

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.3</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">6.4</a>	Or}	Proprietary	<Prtry>	[1..1]	

**6.3 Code <Cd>**

**Presence:** [1..1]

*This message item is part of choice 6.2 OptionType.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

*One of the following *CorporateActionOptionType1Code* values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather

Code	Name	Definition
		than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

## 6.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.2 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.5</u>		Identification	<Id>	[1..1]	Text
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
6.7		Issuer	<Issr>	[1..1]	Text

## 6.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 6.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.8 OptionAvailabilityStatus <OptnAvlbtYsts>

**Presence:** [1..1]

**Definition:** Specifies the status of the option.

**Type:** This message item is composed of one of the following *CorporateActionEventStatus2FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CorporateActionEventStatus2FormatChoice* p.808 in 'Message Item Types' section.

## 6.9 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 6.10 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following *BeneficiaryCertificationType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *BeneficiaryCertificationType1FormatChoice* p.665 in 'Message Item Types' section.

## 6.11 AssentedLineSecurityIdentification <AssntdLineSctyld>

**Presence:** [0..1]

**Definition:** Identification of a temporary security used for processing reasons, eg, contra security used in the US.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

## 6.12 AgentSecuritiesAccountIdentification <AgtSctiesAcctld>

**Presence:** [0..1]

**Definition:** Identification of the safekeeping account held by an agent at the CSD.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.13 AgentCashAccountIdentification <AgtCshAcctld>

**Presence:** [0..1]

**Definition:** Identification of the cash account held by an agent at the CSD.

**Type:** This message item is composed of one of the following *AccountIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	CashAccountIdentification	<CshAcctld>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctld>	[1..1]	Text

For additional Type information, please refer to *AccountIdentification2Choice* p.649 in 'Message Item Types' section.

## 6.14 OfferType <OfferTp>

**Presence:** [0..n]

**Definition:** Specifies the conditions that apply to the offer.

**Type:** This message item is composed of one of the following *OfferType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *OfferType1FormatChoice* p.826 in 'Message Item Types' section.

## 6.15 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

**Presence:** [0..1]

**Definition:** Type of intermediates securities distribution, eg, stock dividend, reverse right.

**Type:** This message item is composed of one of the following *IntermediateSecurityDistributionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *IntermediateSecurityDistributionType1FormatChoice* p.824 in 'Message Item Types' section.

## 6.16 WithdrawalAllowedIndicator <WdrwlAllwdInd>

**Presence:** [1..1]

**Definition:** Indicates whether withdrawal of instruction is allowed.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 6.17 ChangeAllowedIndicator <ChngAllwdInd>

**Presence:** [1..1]

**Definition:** Indicates whether change of instruction is allowed.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 6.18 DateDetails <DtDtls>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a CA option.

**Type:** This message item is composed of the following *CorporateActionDate4* element(s):



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.19</a>		CouponClippingDate	<CpnClpngDt>	[0..1]	±
<a href="#">6.20</a>		ConsentExpirationDate	<CnsntXprtnDt>	[0..1]	±
<a href="#">6.21</a>		ConsentRecordDate	<CnsntRcrdDt>	[0..1]	±
<a href="#">6.22</a>		PaymentDate	<PmtDt>	[0..1]	±
<a href="#">6.23</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±
<a href="#">6.24</a>		MarketDeadline	<MktDdln>	[0..1]	±
<a href="#">6.25</a>		ResponseDeadline	<RspnDdln>	[0..1]	±
<a href="#">6.26</a>		DeadlineToSplit	<DdlnToSpl>	[0..1]	±
<a href="#">6.27</a>		ExpiryDate	<XpryDt>	[0..1]	±
<a href="#">6.28</a>		QuotationSettingDate	<QtnSetngDt>	[0..1]	±
<a href="#">6.29</a>		SubscriptionCostDebitDate	<SbcptCostDbtDt>	[0..1]	±

## 6.19 CouponClippingDate <CpnClpngDt>

**Presence:** [0..1]

**Definition:** Date/time at which the coupons are to be/were submitted for payment of interest.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

## 6.20 ConsentExpirationDate <CnsntXprtnDt>

**Presence:** [0..1]

**Definition:** Last date/time at which a holder can consent to the changes sought by the corporation.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

## 6.21 ConsentRecordDate <CnsntRcrdDt>

**Presence:** [0..1]

**Definition:** Date/time used by the offeror to determine the beneficiary eligible to participate in a consent based on the registered owner of the securities, eg, beneficial owner of consent record. The consent record date qualifier is used to indicate that a record date only applies to a certain part of the offer, not the entire offer.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 6.22 PaymentDate <PmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which the distribution is due to take place (cash and/or securities).

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 6.23 EarliestPaymentDate <EarlstPmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 6.24 MarketDeadline <MktDdl>

**Presence:** [0..1]

**Definition:** Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.25 ResponseDeadline <RspnDdln>

**Presence:** [0..1]

**Definition:** Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in an SLA.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.26 DeadlineToSplit <DdlnToSpl>

**Presence:** [0..1]

**Definition:** Deadline by which instructions must be received to split securities, eg, of physical certificates.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.27 ExpiryDate <XpryDt>

**Presence:** [0..1]

**Definition:** Date/time at which an order expires or on which a privilege or offer terminates.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.28 QuotationSettingDate <QtnSetngDt>

**Presence:** [0..1]

**Definition:** Date/time at which the price of a security is determined.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.29 SubscriptionCostDebitDate <SbcptCostDbtDt>

**Presence:** [0..1]

**Definition:** Date/time by which cash must be in place in order to take part in the event.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.30 RateAndAmountDetails <RateAndAmtDtls>

**Presence:** [0..1]

**Definition:** Provides information about rates and amounts related to a CA option.

**Type:** This message item is composed of the following [CorporateActionRate2](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.31</a>		WithholdingTax	<WhldgTax>	[0..1]	±
<a href="#">6.32</a>		WithholdingOfForeignTax	<WhldgOfFrngTax>	[0..1]	±
<a href="#">6.33</a>		WithholdingOfLocalTax	<WhldgOfLclTax>	[0..1]	±
<a href="#">6.34</a>		GermanLocalTax1	<GrmnLclTax1>	[0..1]	±
<a href="#">6.35</a>		GermanLocalTax2	<GrmnLclTax2>	[0..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.36</a>		GermanLocalTax3	<GrmnLclTax3>	[0..1]	±
<a href="#">6.37</a>		GermanLocalTax4	<GrmnLclTax4>	[0..1]	±
<a href="#">6.38</a>		TaxOnIncome	<TaxOnIncm>	[0..1]	±
<a href="#">6.39</a>		TaxOnProfit	<TaxOnPrft>	[0..1]	±
<a href="#">6.40</a>		TaxReclaim	<TaxRclm>	[0..1]	±
<a href="#">6.41</a>		FiscalStamp	<FscIStmp>	[0..1]	±
<a href="#">6.42</a>		Proration	<Prratn>	[0..1]	±
<a href="#">6.43</a>		NewToOld	<NewToOd>	[0..1]	±
<a href="#">6.44</a>		NewSecuritiesToUnderlyingSecurities	<NewSciesToUndrlygScties>	[0..1]	±
<a href="#">6.45</a>		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>	[0..1]	±
<a href="#">6.46</a>		AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbdRsltntScies>	[0..1]	±
<a href="#">6.47</a>		RelatedTax	<RltdTax>	[0..1]	
<a href="#">6.50</a>		NonResidentRate	<NonResdtRate>	[0..1]	±
<a href="#">6.51</a>		Charges	<Chrgs>	[0..1]	±
<a href="#">6.52</a>		InterestForUsedPayment	<IntrstForUsdPmt>	[0..1]	±
<a href="#">6.53</a>		IndexFactor	<IndxFctr>	[0..1]	±
<a href="#">6.54</a>		FullyFranked	<FullyFrnkd>	[0..1]	±
<a href="#">6.55</a>		GrossDividend	<GrssDvdd>	[0..1]	±
<a href="#">6.56</a>		NetDividend	<NetDvdd>	[0..1]	±
<a href="#">6.57</a>		FinalDividend	<FnlDvdd>	[0..1]	
<a href="#">6.60</a>		ProvisionalDividend	<PrvsnlDvdd>	[0..1]	
<a href="#">6.63</a>		CashIncentive	<CshInctiv>	[0..1]	±
<a href="#">6.64</a>		SolicitationFee	<SlctnFee>	[0..1]	±
<a href="#">6.65</a>		MaximumAllowedOversubscription	<MaxAllwdOvrsbcpt>	[0..1]	±
<a href="#">6.66</a>		AdditionalTax	<AddtlTax>	[0..1]	±
<a href="#">6.67</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount
<a href="#">6.68</a>		ExchangeRate	<XchgRate>	[0..1]	
<a href="#">6.73</a>		ApplicableRate	<AplblRate>	[0..1]	±

### 6.31 WithholdingTax <WhldgTax>

**Presence:** [0..1]

**Definition:** Percentage of a cash distribution that will be withheld by a tax authority.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

### 6.32 WithholdingOfForeignTax <WhldgOfFrngTax>

**Presence:** [0..1]

**Definition:** Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

### 6.33 WithholdingOfLocalTax <WhldgOfLclTax>

**Presence:** [0..1]

**Definition:** Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

### 6.34 GermanLocalTax1 <GrmnLclTax1>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

### 6.35 GermanLocalTax2 <GrmnLclTax2>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

### 6.36 GermanLocalTax3 <GrmnLclTax3>

**Presence:** [0..1]

**Definition:** Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

### 6.37 GermanLocalTax4 <GrmnLclTax4>

**Presence:** [0..1]

**Definition:** Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

### 6.38 TaxOnIncome <TaxOnIncm>

**Presence:** [0..1]

**Definition:** Taxation applied on an amount clearly identified as an income.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateFormat1Choice](#) p.787 in 'Message Item Types' section.

### 6.39 TaxOnProfit <TaxOnPrft>

**Presence:** [0..1]

**Definition:** Taxation applied on an amount clearly identified as capital profits, capital gains.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateFormat1Choice](#) p.787 in 'Message Item Types' section.

### 6.40 TaxReclaim <TaxRclm>

**Presence:** [0..1]

**Definition:** Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateFormat1Choice](#) p.787 in 'Message Item Types' section.

### 6.41 FiscalStamp <FscIStmp>

**Presence:** [0..1]

**Definition:** Percentage of fiscal tax to apply.

**Type:** This message item is composed of one of the following [RateFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate



Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

## 6.42 Proration <Prratn>

**Presence:** [0..1]

**Definition:** Proportionate allocation used for the offer.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

## 6.43 NewToOld <NewToOd>

**Presence:** [0..1]

**Definition:** Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, eg, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

**Type:** This message item is composed of one of the following **RatioFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or	AmountToQuantity	<AmtToQty>	[1..1]	
Or	QuantityToAmount	<QtyToAmt>	[1..1]	
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RatioFormat2Choice** p.794 in 'Message Item Types' section.

## 6.44 NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>

**Presence:** [0..1]

**Definition:** Quantity of new equities that will be derived by the exercise of a given quantity of intermediate securities.

**Type:** This message item is composed of one of the following **RatioFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or	AmountToQuantity	<AmtToQty>	[1..1]	

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or	QuantityToAmount	<QtyToAmt>	[1..1]	
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RatioFormat2Choice** p.794 in 'Message Item Types' section.

#### 6.45 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>

**Presence:** [0..1]

**Definition:** Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

**Type:** This message item is composed of one of the following **RatioFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RatioFormat1Choice** p.791 in 'Message Item Types' section.

#### 6.46 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScities>

**Presence:** [0..1]

**Definition:** Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

**Type:** This message item is composed of one of the following **RatioFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RatioFormat1Choice** p.791 in 'Message Item Types' section.

#### 6.47 RelatedTax <RltdTax>

**Presence:** [0..1]

**Definition:** Percentage of the gross dividend rate on which tax must be paid .

**Type:** This message item is composed of the following **RelatedTaxType1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.48		TaxType	<TaxTp>	[1..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.49		Amount	<Amt>	[1..1]	Amount

## 6.48 TaxType <TaxTp>

**Presence:** [1..1]

**Definition:** Specifies the type of tax.

**Type:** This message item is composed of one of the following *TaxType3FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *TaxType3FormatChoice* p.812 in 'Message Item Types' section.

## 6.49 Amount <Amt>

**Presence:** [1..1]

**Definition:** The value of the related tax expressed as an amount.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.50 NonResidentRate <NonResdtRate>

**Presence:** [0..1]

**Definition:** Rate per share to which a non-resident is entitled.

**Type:** This message item is composed of one of the following *RateAndAmountFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

## 6.51 Charges <Chrgs>

**Presence:** [0..1]

**Definition:** Rate used to calculate the amount of the charges/fees that cannot be categorised.

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

## 6.52 InterestForUsedPayment <IntrstForUsdPmt>

**Presence:** [0..1]

**Definition:** The actual interest rate used for the payment of the interest for the specified interest period.

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

## 6.53 IndexFactor <IndxFctr>

**Presence:** [0..1]

**Definition:** Public index rate applied to the amount paid to adjust it to inflation.

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

## 6.54 FullyFranked <FullyFrnkdd>

**Presence:** [0..1]

**Definition:** Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

## 6.55 GrossDividend <GrssDvdd>

**Presence:** [0..1]

**Definition:** Cash dividend amount per equity before deductions or allowances have been made.

**Type:** This message item is composed of one of the following [GrossDividendRate1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	
Or	Amount	<Amt>	[1..1]	Amount
Or }	RateTypeAmount	<RateTpAmt>	[1..1]	

For additional Type information, please refer to [GrossDividendRate1Choice](#) p.777 in 'Message Item Types' section.

## 6.56 NetDividend <NetDvdd>

**Presence:** [0..1]

**Definition:** Cash dividend amount per equity after deductions or allowances have been made.

**Type:** This message item is composed of one of the following [NetDividendRate1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	
Or	Amount	<Amt>	[1..1]	Amount
Or }	RateTypeAmount	<RateTpAmt>	[1..1]	

For additional Type information, please refer to [NetDividendRate1Choice](#) p.782 in 'Message Item Types' section.

## 6.57 FinalDividend <FnIDvdd>

**Presence:** [0..1]

**Definition:** Dividend is final.

**Type:** This message item is composed of one of the following **AmountAndRateFormat2Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
6.58	{Or	Amount	<Amt>	[1..1]	Amount
6.59	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±

## 6.58 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice 6.57 **FinalDividend**.

**Definition:** Number of monetary units specified in a currency.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.59 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

This message item is part of choice 6.57 **FinalDividend**.

**Definition:** The rate is not specified.

**Type:** This message item is composed of one of the following **RateType12FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **RateType12FormatChoice** p.789 in 'Message Item Types' section.

## 6.60 ProvisionalDividend <PrvsnlDvdd>

**Presence:** [0..1]

**Definition:** Dividend is provisional.

**Type:** This message item is composed of one of the following **AmountAndRateFormat2Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.61	{Or	Amount	<Amt>	[1..1]	Amount
6.62	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±

### 6.61 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice **6.60 ProvisionalDividend**.

**Definition:** Number of monetary units specified in a currency.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.62 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

This message item is part of choice **6.60 ProvisionalDividend**.

**Definition:** The rate is not specified.

**Type:** This message item is composed of one of the following **RateType12FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **RateType12FormatChoice** p.789 in 'Message Item Types' section.

### 6.63 CashIncentive <CshIncentiv>

**Presence:** [0..1]

**Definition:** Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcedRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

### 6.64 SolicitationFee <SlctnFee>

**Presence:** [0..1]

**Definition:** Cash rate made available in an offer in order to encourage participation in the offer.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcedRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

### 6.65 MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>

**Presence:** [0..1]

**Definition:** A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, eg, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50%.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcedRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

### 6.66 AdditionalTax <AddtlTax>

**Presence:** [0..1]

**Definition:** Rate used for additional tax that cannot be categorised.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):



Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

## 6.67 OriginalAmount <OrgnlAmt>

**Presence:** [0..1]

**Definition:** Amount in its original currency when conversion from/into another currency has occurred.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.68 ExchangeRate <XchgRate>

**Presence:** [0..1]

**Definition:** Provides information about a foreign exchange.

**Type:** This message item is composed of the following **ForeignExchangeTerms8** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.69</u>		UnitCurrency	<UnitCcy>	[1..1]	Code
<u>6.70</u>		QuotedCurrency	<QtdCcy>	[1..1]	Code
<u>6.71</u>		ExchangeRate	<XchgRate>	[1..1]	Rate
<u>6.72</u>		ResultingAmount	<RsltgtAmt>	[1..1]	Amount

## 6.69 UnitCurrency <UnitCcy>

**Presence:** [1..1]

**Definition:** Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.70 QuotedCurrency <QtdCcy>

**Synonym(s):** CounterCurrency (Statement Business Modeling), IndirectCurrency (Statement Business Modeling)

**Presence:** [1..1]

**Definition:** Currency into which the base currency is converted, in a currency exchange.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.71 ExchangeRate <XchgRate>

**Synonym(s):** :92A::EXCH (ISO 15022)

**Presence:** [1..1]

**Definition:** Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

## 6.72 ResultingAmount <RsltAmt>

**Presence:** [1..1]

**Definition:** Amount of money resulting from a foreign exchange transaction.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.73 ApplicableRate <ApblRate>****Presence:** [0..1]**Definition:** Rate applicable to the event announced, eg, redemption rate for a redemption event.**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Rate	<Rate>	[1..1]	Rate
Or}	NotSpecifiedRate	<NotSpctdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

**6.74 PriceDetails <PrctDtls>****Presence:** [0..1]**Definition:** Provides information about the prices related to a CA option.**Type:** This message item is composed of the following **CorporateActionPrice1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.75</u>		ExercisePrice	<ExrcPric>	[0..1]	
<u>6.82</u>		IssuePrice	<IssePric>	[0..1]	±
<u>6.83</u>		CashInLieuOfSharePrice	<CshInLieuOfShrPric>	[0..1]	±
<u>6.84</u>		TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>	[0..1]	
<u>6.87</u>		GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>	[0..1]	±
<u>6.88</u>		GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>	[0..1]	±
<u>6.89</u>		OverSubscriptionDepositPrice	<OverSbcptDpstPric>	[0..1]	±

**6.75 ExercisePrice <ExrcPric>****Presence:** [0..1]

**Definition:** 1. Price at which security will be purchased/sold if warrant is exercised, either as an actual amount or a percentage.  
 2. Price at which a bond is converted to underlying security either as an actual amount or a percentage.  
 3. Strike price of an option, represented either as an actual amount, a percentage or or a number of points above an index.

**Type:** This message item is composed of one of the following **PriceFormat4Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
6.76	{Or	Amount	<Amt>	[1..1]	
6.79	Or	Rate	<Rate>	[1..1]	±
6.80	Or	NotSpecified	<NotSpcfd>	[1..1]	±
6.81	Or}	IndexPoints	<IndxPts>	[1..1]	Quantity

## 6.76 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice 6.75 **ExercisePrice**.

**Definition:** Price expressed as a currency and amount.

**Type:** This message item is composed of the following **AmountPrice1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
6.77		AmountPriceType	<AmtPricTp>	[1..1]	±
6.78		PriceValue	<PricVal>	[1..1]	Amount

## 6.77 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** This message item is composed of one of the following **AmountPriceType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **AmountPriceType1FormatChoice** p.751 in 'Message Item Types' section.

## 6.78 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.79 Rate <Rate>

**Presence:** [1..1]

*This message item is part of choice 6.75 ExercisePrice.*

**Definition:** Price expressed as a rate, ie, percentage.

**Type:** This message item is composed of the following **PriceRate1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	RateType	<RateTp>	[1..1]	
	Rate	<Rate>	[1..1]	Rate

For additional Type information, please refer to **PriceRate1** p.773 in 'Message Item Types' section.

## 6.80 NotSpecified <NotSpcfd>

**Presence:** [1..1]

*This message item is part of choice 6.75 ExercisePrice.*

**Definition:** The value of the price is not specified.

**Type:** This message item is composed of one of the following **PriceValueType5FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **PriceValueType5FormatChoice** p.776 in 'Message Item Types' section.

## 6.81 IndexPoints <IndxPts>

**Presence:** [1..1]

*This message item is part of choice 6.75 ExercisePrice.*

**Definition:** Price expressed as index points.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 6.82 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of a financial instrument.

**Type:** This message item is composed of one of the following *PriceFormat2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or }	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to *PriceFormat2Choice* p.763 in 'Message Item Types' section.

## 6.83 CashInLieuOfSharePrice <CshInLieuOfShrPric>

**Presence:** [0..1]

**Definition:** Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

**Type:** This message item is composed of one of the following *PriceFormat2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or }	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to *PriceFormat2Choice* p.763 in 'Message Item Types' section.

## 6.84 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

**Presence:** [0..1]

**Definition:** Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments within the scope of the EU Savings directive.

**Type:** This message item is composed of the following *AmountPrice1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.85</u>		AmountPriceType	<AmtPricTp>	[1..1]	±
<u>6.86</u>		PriceValue	<PricVal>	[1..1]	Amount

## 6.85 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** This message item is composed of one of the following *AmountPriceType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **AmountPriceType1FormatChoice** p.751 in 'Message Item Types' section.

## 6.86 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAnd13DecimalAmount**

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.87 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

**Presence:** [0..1]

**Definition:** Generic cash price received per product by the underlying security holder either as a percentage or an amount, eg, redemption price.

**Type:** *This message item is composed of one of the following **PriceFormat1Choice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	Rate
Or	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	[1..1]	
Or	AmountPricePerAmount	<AmtPricPerAmt>	[1..1]	
Or}	NotSpecified	<NotSpcfd>	[1..1]	

For additional Type information, please refer to **PriceFormat1Choice** p.753 in 'Message Item Types' section.

## 6.88 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

**Presence:** [0..1]

**Definition:** Generic cash price paid per product by the underlying security holder either as a percentage or an amount, eg, reinvestment price.

**Type:** This message item is composed of one of the following **PriceFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or}	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to **PriceFormat2Choice** p.763 in 'Message Item Types' section.

## 6.89 OverSubscriptionDepositPrice <OverSbcptDpstPric>

**Presence:** [0..1]

**Definition:** Amount of money required per over-subscribed equity as defined by the issuer.

**Type:** This message item is composed of one of the following **PriceFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or}	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to **PriceFormat2Choice** p.763 in 'Message Item Types' section.

## 6.90 PeriodDetails <PrdDtls>

**Presence:** [0..1]

**Definition:** Provides information about the periods related to a CA option.

**Type:** This message item is composed of the following **CorporateActionPeriod2** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.91</u>		AssentedLinePeriod	<AssntdLinePrd>	[0..1]	±
<u>6.92</u>		ActionPeriod	<ActnPrd>	[0..1]	±
<u>6.93</u>		PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>	[0..1]	±
<u>6.94</u>		ParallelTradingPeriod	<ParllTradgPrd>	[0..1]	±
<u>6.95</u>		SellThruIssuerPeriod	<SellThruIssrPrd>	[0..1]	±
<u>6.96</u>		RevocabilityPeriod	<RvcbltyPrd>	[0..1]	±
<u>6.97</u>		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±

## 6.91 AssentedLinePeriod <AssntdLinePrd>

**Presence:** [0..1]

**Definition:** Period during which the assented line is available.

**Type:** This message item is composed of the following **Period1** element(s):



Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 6.92 ActionPeriod <ActnPrd>

**Presence:** [0..1]

**Definition:** Period during which the specified option, or all options of the event, remains valid, eg, offer period.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 6.93 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

**Presence:** [0..1]

**Definition:** Period during which the privilege is not available, eg, this can happen whenever a meeting takes place or whenever a coupon payment is due.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 6.94 ParallelTradingPeriod <ParllTradgPrd>

**Presence:** [0..1]

**Definition:** Period during which both old and new equity may be traded simultaneously, eg, consolidation of equity or splitting of equity.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 6.95 SellThruIssuerPeriod <SellThruIssrPrd>

**Presence:** [0..1]

**Definition:** Period (last day included) during which an account owner can surrender or sell securities to the issuer and receive the sale proceeds.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 6.96 RevocabilityPeriod <RvcbltyPrd>

**Presence:** [0..1]

**Definition:** Period during which the shareholder can revoke, change or withdraw its instruction.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 6.97 PriceCalculationPeriod <PricClctnPrd>

**Presence:** [0..1]

**Definition:** Period during which the price of a security is determined (for outturn securities).

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 6.98 SecuritiesMovementDetails <SctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the securities movement linked to the CA option.

**Type:** This message item is composed of the following *SecurityOption1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.99		SecurityIdentification	<SctyId>	[1..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">6.100</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">6.101</a>		SecuritiesQuantity	<SctiesQty>	[0..1]	
<a href="#">6.104</a>		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]	
<a href="#">6.107</a>		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]	
<a href="#">6.110</a>		NewDenominationSecuritiesQuantity	<NewDnmtnSctiesQty>	[0..1]	
<a href="#">6.113</a>		NewBoardLotSecuritiesQuantity	<NewBrdLotSctiesQty>	[0..1]	
<a href="#">6.116</a>		ShareRanking	<ShrRnkg>	[0..1]	±
<a href="#">6.117</a>		AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbdRslnstScties>	[0..1]	±
<a href="#">6.118</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">6.125</a>		PriceDetails	<PricDtls>	[0..1]	
<a href="#">6.128</a>		TradingPeriod	<TradgPrd>	[0..1]	±
<a href="#">6.129</a>		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScties>	[0..1]	±
<a href="#">6.130</a>		TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>	[0..1]	Indicator
<a href="#">6.131</a>		FractionDisposition	<FrctnDspstn>	[0..1]	±

## 6.99 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *FinancialInstrumentDescription3* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	SecurityIdentification	<SctyId>	[1..1]	
	PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
	SafekeepingPlace	<SfkpgPlc>	[0..1]	

For additional Type information, please refer to *FinancialInstrumentDescription3* p.800 in 'Message Item Types' section.

## 6.100 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following *CreditDebitCode* values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**6.101 SecuritiesQuantity <SctiesQty>****Presence:** [0..1]**Definition:** Quantity of financial instrument.**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.102</u>	{ Or	Unit	<Unit>	[1..1]	Quantity
<u>6.103</u>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

**6.102 Unit <Unit>****Presence:** [1..1]*This message item is part of choice **6.101 SecuritiesQuantity**.***Definition:** Quantity expressed as a number, eg, a number of shares.**Data Type:** DecimalNumber**Format:** fractionDigits: 17

totalDigits: 18

**6.103 FaceAmount <FaceAmt>****Presence:** [1..1]*This message item is part of choice **6.101 SecuritiesQuantity**.***Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.**Data Type:** ActiveCurrencyAndAmount*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.***Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.104 MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>****Presence:** [0..1]

**Definition:** Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.105	{Or	Unit	<Unit>	[1..1]	Quantity
6.106	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 6.105 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice **6.104 MinimumExercisableSecuritiesQuantity**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 6.106 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice **6.104 MinimumExercisableSecuritiesQuantity**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.107 MinimumExercisableMultipleSecuritiesQuantity <MinExrcbIMltplSctiesQty>

**Presence:** [0..1]

**Definition:** Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.108	{Or	Unit	<Unit>	[1..1]	Quantity
6.109	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 6.108 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 6.107 MinimumExercisableMultipleSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

### 6.109 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 6.107 MinimumExercisableMultipleSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.110 NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>

**Presence:** [0..1]

**Definition:** New denomination of the financial instrument following, eg, an increase or decrease in nominal value.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.111	{Or	Unit	<Unit>	[1..1]	Quantity

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.112	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 6.111 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 6.110 NewDenominationSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 6.112 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 6.110 NewDenominationSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

### 6.113 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

**Presence:** [0..1]

**Definition:** Quantity of equity that makes up the new board lot.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.114	{Or	Unit	<Unit>	[1..1]	Quantity
6.115	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 6.114 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 6.113 NewBoardLotSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 6.115 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 6.113 NewBoardLotSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

## 6.116 ShareRanking <ShrRnkg>

**Presence:** [0..1]

**Definition:** Specifies whether the shares are ranking for dividend or pari passu.

**Type:** *This message item is composed of one of the following **ShareRanking1FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

*For additional Type information, please refer to ShareRanking1FormatChoice p.737 in 'Message Item Types' section.*



**6.117 AdditionalQuantityForSubscribedResultantSecurities****<AddtlQtyForSbcbdRsltntScities>****Presence:** [0..1]**Definition:** Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.**Type:** This message item is composed of the following *QuantityToQuantityRatio1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Quantity1	<Qty1>	[1..1]	Quantity
	Quantity2	<Qty2>	[1..1]	Quantity

For additional Type information, please refer to *QuantityToQuantityRatio1* p.787 in 'Message Item Types' section.**6.118 DateDetails <DtDtIs>****Presence:** [0..1]**Definition:** Provides information about the dates related to a securities movement.**Type:** This message item is composed of the following *CorporateActionDate3* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.119</a>		PaymentDate	<PmtDt>	[0..1]	±
<a href="#">6.120</a>		AvailableDate	<AvlblDt>	[0..1]	±
<a href="#">6.121</a>		DividendRankingDate	<DvddRnkgDt>	[0..1]	±
<a href="#">6.122</a>		PariPassuDate	<PrpssDt>	[0..1]	±
<a href="#">6.123</a>		FirstDealingDate	<FrstDealgDt>	[0..1]	±
<a href="#">6.124</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±

**6.119 PaymentDate <PmtDt>****Presence:** [0..1]**Definition:** Date/time at which the distribution is due to take place (cash and/or securities).**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.**6.120 AvailableDate <AvlblDt>****Presence:** [0..1]

**Definition:** Date/time at which securities become available for sale.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 6.121 DividendRankingDate <DvddRnkgDt>

**Presence:** [0..1]

**Definition:** Date/time at which a security will be entitled to a dividend.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 6.122 PariPassuDate <PrpssDt>

**Presence:** [0..1]

**Definition:** Date on which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 6.123 FirstDealingDate <FrstDealgDt>

**Presence:** [0..1]

**Definition:** Date/time at which new securities begin trading.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.124 EarliestPaymentDate <EarlstPmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

## 6.125 PriceDetails <PricDtIs>

**Presence:** [0..1]

**Definition:** Provides information about the prices related to a securities movement.

**Type:** This message item is composed of the following [CorporateActionPrice4](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.126</a>		IndicativePrice	<IndctvPric>	[0..1]	±
<a href="#">6.127</a>		MarketPrice	<MktPric>	[0..1]	±

## 6.126 IndicativePrice <IndctvPric>

**Presence:** [0..1]

**Definition:** Estimated price, eg, for valuation purposes.

**Type:** This message item is composed of one of the following [PriceFormat2Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or }	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to [PriceFormat2Choice](#) p.763 in 'Message Item Types' section.

**6.127 MarketPrice <MktPric>****Synonym(s):** :90a::MRKT// (ISO 15022)**Presence:** [0..1]**Definition:** Last reported/known price of a financial instrument in a market.**Type:** This message item is composed of one of the following **PriceFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or }	NotSpecified	<NotSpced>	[1..1]	

For additional Type information, please refer to **PriceFormat2Choice** p.763 in 'Message Item Types' section.**6.128 TradingPeriod <TradgPrd>****Presence:** [0..1]**Definition:** Period during which intermediate securities are tradable in a secondary market.**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.**6.129 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>****Presence:** [0..1]**Definition:** Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.**Type:** This message item is composed of the following **QuantityToQuantityRatio1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Quantity1	<Qty1>	[1..1]	Quantity
	Quantity2	<Qty2>	[1..1]	Quantity

For additional Type information, please refer to **QuantityToQuantityRatio1** p.787 in 'Message Item Types' section.**6.130 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>****Presence:** [0..1]**Definition:** Specifies that the security is a temporary security.**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

### 6.131 FractionDisposition <FrctnDspstn>

**Presence:** [0..1]

**Definition:** Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Type:** This message item is composed of one of the following *FractionDispositionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *FractionDispositionType1FormatChoice* p.823 in 'Message Item Types' section.

### 6.132 CashMovementDetails <CshMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the cash movement linked to the CA option.

**Type:** This message item is composed of the following *CashOption1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.133</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">6.134</a>		Currency	<Ccy>	[0..1]	Code
<a href="#">6.135</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">6.140</a>		AmountDetails	<AmtDtls>	[0..1]	
<a href="#">6.191</a>		ExchangeRate	<XchgRate>	[0..1]	

### 6.133 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Indicates whether it is a debit or a credit.

**Data Type:** Code

One of the following *CreditDebitCode* values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 6.134 Currency <Ccy>

**Presence:** [0..1]

**Definition:** Currency of the option.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.135 DateDetails <DtDtls>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a cash movement.

**Type:** This message item is composed of the following *CorporateActionDate5* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.136		ForeignExchangeRateFixingDate	<FXRateFxdt>	[0..1]	±
6.137		ValueDate	<ValDt>	[0..1]	±
6.138		PaymentDate	<PmtDt>	[0..1]	±
6.139		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±

### 6.136 ForeignExchangeRateFixingDate <FXRateFxdt>

**Presence:** [0..1]

**Definition:** Date/time at which a foreign exchange rate will be determined.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpfcdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 6.137 ValueDate <ValDt>

**Presence:** [0..1]

**Definition:** Date/time at which assets become available to the account owner (in a credit entry), or cease to be available to the account owner (in a debit entry).

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpfcdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

**6.138 PaymentDate <PmtDt>****Presence:** [0..1]**Definition:** Date/time at which the distribution is due to take place (cash and/or securities).**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.**6.139 EarliestPaymentDate <EarlstPmtDt>****Presence:** [0..1]**Definition:** Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.**6.140 AmountDetails <AmtDtls>****Presence:** [0..1]**Definition:** Provides information about the amounts related to a cash movement.**Type:** This message item is composed of the following *CorporateActionAmounts1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.141</a>		GrossCashAmount	<GrssCshAmt>	[0..1]	Amount
<a href="#">6.142</a>		NetCashAmount	<NetCshAmt>	[0..1]	Amount
<a href="#">6.143</a>		IssueDiscountAmount	<IsseDscentAmt>	[0..1]	Amount
<a href="#">6.144</a>		SolicitationFees	<SlctnFees>	[0..1]	Amount
<a href="#">6.145</a>		CashInLieuOfShare	<CshInLieuOfShr>	[0..1]	Amount
<a href="#">6.146</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount
<a href="#">6.147</a>		CapitalGain	<CptlGn>	[0..1]	Amount
<a href="#">6.148</a>		InterestAmount	<IntrstAmt>	[0..1]	Amount
<a href="#">6.149</a>		IndemnityAmount	<IndmntyAmt>	[0..1]	Amount

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.150</a>		RedemptionPremiumAmount	<RedPrmAmt>	[0..1]	Amount
<a href="#">6.151</a>		ManufacturedDividendAmount	<ManfctrdDvddAmt>	[0..1]	Amount
<a href="#">6.152</a>		PrincipalOrCorpus	<PrncplOrCrps>	[0..1]	Amount
<a href="#">6.153</a>		ReinvestmentAmount	<RinvstmtAmt>	[0..1]	Amount
<a href="#">6.154</a>		MarketClaimAmount	<MktClmAmt>	[0..1]	Amount
<a href="#">6.155</a>		FullyFrankedAmount	<FullyFrnkdtAmt>	[0..1]	Amount
<a href="#">6.156</a>		UnfrankedAmount	<UfrnkdtAmt>	[0..1]	Amount
<a href="#">6.157</a>		SundryOrOtherAmount	<SndryOrOthrAmt>	[0..1]	Amount
<a href="#">6.158</a>		SpecialConcessionAmount	<SpclCncssnAmt>	[0..1]	Amount
<a href="#">6.159</a>		EntitledAmount	<EntitldAmt>	[0..1]	Amount
<a href="#">6.160</a>		CashIncentive	<CshIncentiv>	[0..1]	Amount
<a href="#">6.161</a>		AdditionalSubscriptionCost	<AddtlSbcptCost>	[0..1]	Amount
<a href="#">6.162</a>		TaxFreeAmount	<TaxFreeAmt>	[0..1]	Amount
<a href="#">6.163</a>		TaxDeferredAmount	<TaxDfrrdAmt>	[0..1]	Amount
<a href="#">6.164</a>		GermanLocalTax1Amount	<GrmnLclTax1Amt>	[0..1]	Amount
<a href="#">6.165</a>		GermanLocalTax2Amount	<GrmnLclTax2Amt>	[0..1]	Amount
<a href="#">6.166</a>		GermanLocalTax3Amount	<GrmnLclTax3Amt>	[0..1]	Amount
<a href="#">6.167</a>		GermanLocalTax4Amount	<GrmnLclTax4Amt>	[0..1]	Amount
<a href="#">6.168</a>		StockExchangeTaxAmount	<StockXchgTaxAmt>	[0..1]	Amount
<a href="#">6.169</a>		TransferTaxAmount	<TrfTaxAmt>	[0..1]	Amount
<a href="#">6.170</a>		TransactionTaxAmount	<TxTaxAmt>	[0..1]	Amount
<a href="#">6.171</a>		ValueAddedTaxAmount	<ValAddedTaxAmt>	[0..1]	Amount
<a href="#">6.172</a>		EURetentionTaxAmount	<EURtntnTaxAmt>	[0..1]	Amount
<a href="#">6.173</a>		LocalTaxAmount	<LclTaxAmt>	[0..1]	Amount
<a href="#">6.174</a>		PaymentLevyTaxAmount	<PmtLevyTaxAmt>	[0..1]	Amount
<a href="#">6.175</a>		CountryNationalFederalTaxAmount	<CtryNtlFdrlTaxAmt>	[0..1]	Amount
<a href="#">6.176</a>		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount
<a href="#">6.177</a>		TaxReclaimAmount	<TaxRclmAmt>	[0..1]	Amount
<a href="#">6.178</a>		TaxCreditAmount	<TaxCdtAmt>	[0..1]	Amount
<a href="#">6.179</a>		WithholdingOfForeignTaxAmount	<WhldgOfFrngTaxAmt>	[0..1]	Amount
<a href="#">6.180</a>		WithholdingOfLocalTaxAmount	<WhldgOfLclTaxAmt>	[0..1]	Amount
<a href="#">6.181</a>		AdditionalTaxAmount	<AddtlTaxAmt>	[0..1]	Amount
<a href="#">6.182</a>		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount
<a href="#">6.183</a>		FiscalStampAmount	<FscLStmpAmt>	[0..1]	Amount
<a href="#">6.184</a>		ExecutingBrokerAmount	<ExctgBrkrAmt>	[0..1]	Amount
<a href="#">6.185</a>		PayingAgentCommissionAmount	<PngAgtComssnAmt>	[0..1]	Amount



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.186</a>		LocalBrokerCommissionAmount	<LclBrkrComssnAmt>	[0..1]	Amount
<a href="#">6.187</a>		PostageFeeAmount	<PstgFeeAmt>	[0..1]	Amount
<a href="#">6.188</a>		RegulatoryFeesAmount	<RgltryFeesAmt>	[0..1]	Amount
<a href="#">6.189</a>		ShippingFeesAmount	<ShppgFeesAmt>	[0..1]	Amount
<a href="#">6.190</a>		ChargesAmount	<ChrgsAmt>	[0..1]	Amount

## 6.141 GrossCashAmount <GrssCshAmt>

**Presence:** [0..1]

**Definition:** Amount of money before any deductions and allowances have been made.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.142 NetCashAmount <NetCshAmt>

**Presence:** [0..1]

**Definition:** Amount of money after deductions and allowances have been made, if any, ie, the total amount +/- charges/fees.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

#### CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.143 IssueDiscountAmount <IsseDscntAmt>

**Presence:** [0..1]

**Definition:** Amount of money defined as a discount on a new issue or on a tranche of an existing issue.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.144 SolicitationFees <SlctnFees>

**Presence:** [0..1]

**Definition:** Amount of cash premium made available in order to encourage participation in the offer. Payment is made to a third party who has solicited an entity to take part in the offer.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.145 CashInLieuOfShare <CshInLieuOfShr>

**Presence:** [0..1]

**Definition:** Cash disbursement in lieu of a fractional quantity of, eg, equity.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.146 OriginalAmount <OrgnlAmt>

**Presence:** [0..1]

**Definition:** Amount in its original currency when conversion from/into another currency has occurred.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.147 CapitalGain <CptlGn>

**Presence:** [0..1]

**Definition:** Amount of money distributed as the result of a capital gain.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.148 InterestAmount <IntrstAmt>

**Presence:** [0..1]

**Definition:** Amount of money representing a coupon payment.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.149 IndemnityAmount <IndmntyAmt>

**Presence:** [0..1]

**Definition:** (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.150 RedemptionPremiumAmount <RedPrmAmt>

**Presence:** [0..1]

**Definition:** Amount of money (not interest) in addition to the principal at the redemption of a bond.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**  
ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

### 6.151 ManufacturedDividendAmount <ManfctrdDvddAmt>

**Presence:** [0..1]

**Definition:** Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**  
ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

### 6.152 PrincipalOrCorpus <PrncplOrCrps>

**Presence:** [0..1]

**Definition:** Amount of money representing a distribution of a bond's principal, eg, repayment of outstanding debt.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.153 ReinvestmentAmount <RinvstmtAmt>

**Presence:** [0..1]

**Definition:** Amount of money reinvested in additional securities.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.154 MarketClaimAmount <MktClmAmt>

**Presence:** [0..1]

**Definition:** Amount of money resulting from a market claim.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.155 FullyFrankedAmount <FullyFrnkdAmt>

**Presence:** [0..1]

**Definition:** Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.156 UnfrankedAmount <UfrnkAmt>

**Presence:** [0..1]



**Definition:** Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.157 SundryOrOtherAmount <SndryOrOthrAmt>

**Presence:** [0..1]

**Definition:** Amount of money related to taxable income that cannot be categorised.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.158 SpecialConcessionAmount <SpclCncssnAmt>

**Presence:** [0..1]

**Definition:** Amount of drawdown or other reduction from or in addition to the deal price.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.159 EntitledAmount <EntitldAmt>

**Presence:** [0..1]

**Definition:** Cash amount based on terms of corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.160 CashIncentive <CshIncntiv>

**Presence:** [0..1]

**Definition:** Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.161 AdditionalSubscriptionCost <AddtlSbcptCost>

**Presence:** [0..1]

**Definition:** Additional costs - coming on top of the subscription costs - which the subscriber should pay as per the subscription process. Not to be used for the subscription cost itself.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.162 TaxFreeAmount <TaxFreeAmt>

**Presence:** [0..1]

**Definition:** Amount of money that has not been subject to taxation.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.163 TaxDeferredAmount <TaxDfrdAmt>

**Presence:** [0..1]

**Definition:** Amount of income eligible for deferred taxation.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

# ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.164 GermanLocalTax1Amount <GrmnLclTax1Amt>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

# ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.165 GermanLocalTax2Amount <GrmnLclTax2Amt>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.166 GermanLocalTax3Amount <GrmnLclTax3Amt>**

**Presence:** [0..1]

**Definition:** Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.167 GermanLocalTax4Amount <GrmnLclTax4Amt>**

**Presence:** [0..1]

**Definition:** Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### **ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### **6.168 StockExchangeTaxAmount <StockXchgTaxAmt>**

**Presence:** [0..1]

**Definition:** Amount of stock exchange tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### **ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### **6.169 TransferTaxAmount <TrfTaxAmt>**

**Presence:** [0..1]

**Definition:** Tax levied on a transfer of ownership of financial instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

**CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode****ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.170 TransactionTaxAmount <TxTaxAmt>**

**Presence:** [0..1]

**Definition:** Amount of transaction tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

**CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode****ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.171 ValueAddedTaxAmount <ValAddedTaxAmt>**

**Presence:** [0..1]

**Definition:** Tax on value added.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}



**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.172 EURetentionTaxAmount <EURtnTaxAmt>

**Presence:** [0..1]

**Definition:** Total amount of tax withheld at source in conformance with the EU Savings Directive.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.173 LocalTaxAmount <LclTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of tax charged by the jurisdiction in which the financial instrument settles.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.174 PaymentLevyTaxAmount <PmtLevyTaxAmt>

**Presence:** [0..1]

**Definition:** Payment levy tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.175 CountryNationalFederalTaxAmount <CtryNtlFdrITaxAmt>

**Presence:** [0..1]

**Definition:** Amount of country, national or federal tax charged by the jurisdiction in which the account servicer is located.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.176 StampDutyAmount <StmpDtyAmt>

**Presence:** [0..1]

**Definition:** Amount of stamp duty.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.177 TaxReclaimAmount <TaxRclmAmt>

**Presence:** [0..1]

**Definition:** Amount that was paid in excess of actual tax obligation and was reclaimed.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.178 TaxCreditAmount <TaxCdtAmt>

**Presence:** [0..1]

**Definition:** Amount of taxes that have been previously paid in relation to the taxable event.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.179 WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of money withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
 minInclusive: 0  
 totalDigits: 18  
**ActiveCurrencyCode**  
 [A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.180 WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of money withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
 minInclusive: 0  
 totalDigits: 18  
**ActiveCurrencyCode**  
 [A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.181 AdditionalTaxAmount <AddtlTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of additional taxes that cannot be categorised.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.182 WithholdingTaxAmount <WhldgTaxAmt>****Presence:** [0..1]**Definition:** Amount of a cash distribution that will be withheld by a tax authority.**Data Type:** ActiveCurrencyAndAmount*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.***Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.183 FiscalStampAmount <FscIStmpAmt>****Presence:** [0..1]**Definition:** Amount of fiscal tax to apply.**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.184 ExecutingBrokerAmount <ExctgBrkrAmt>

**Presence:** [0..1]

**Definition:** Amount of money paid to an executing broker as a commission.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.185 PayingAgentCommissionAmount <PngAgtComssnAmt>

**Presence:** [0..1]

**Definition:** Amount of paying/sub-paying agent commission.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.186 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

**Presence:** [0..1]

**Definition:** Local broker's commission.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.187 PostageFeeAmount <PstgFeeAmt>

**Presence:** [0..1]



**Definition:** Amount of money paid for delivery by regular post mail.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.188 RegulatoryFeesAmount <RgltryFeesAmt>

**Presence:** [0..1]

**Definition:** Amount of money charged by a regulatory authority, eg, Securities and Exchange fees.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.189 ShippingFeesAmount <ShppgFeesAmt>

**Presence:** [0..1]

**Definition:** Amount of money (including insurance) paid for delivery by carrier.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.190 ChargesAmount <ChrgsAmt>

**Presence:** [0..1]

**Definition:** Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.191 ExchangeRate <XchgRate>****Presence:** [0..1]**Definition:** Provides information about a foreign exchange.**Type:** This message item is composed of the following *ForeignExchangeTerms8* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.192</a>		UnitCurrency	<UnitCcy>	[1..1]	Code
<a href="#">6.193</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code
<a href="#">6.194</a>		ExchangeRate	<XchgRate>	[1..1]	Rate
<a href="#">6.195</a>		ResultingAmount	<RsltAmt>	[1..1]	Amount

**6.192 UnitCurrency <UnitCcy>****Presence:** [1..1]**Definition:** Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.**Data Type:** ActiveCurrencyCode**Format:** [A-Z]{3,3}**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.193 QuotedCurrency <QtdCcy>****Synonym(s):** CounterCurrency (Statement Business Modeling), IndirectCurrency (Statement Business Modeling)**Presence:** [1..1]**Definition:** Currency into which the base currency is converted, in a currency exchange.**Data Type:** ActiveCurrencyCode**Format:** [A-Z]{3,3}**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.194 ExchangeRate <XchgRate>****Synonym(s):** :92A::EXCH (ISO 15022)**Presence:** [1..1]**Definition:** Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10

totalDigits: 11

## 6.195 ResultingAmount <RsltgAmt>

**Presence:** [1..1]

**Definition:** Amount of money resulting from a foreign exchange transaction.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.196 CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>

**Presence:** [0..n]

**Definition:** Provides information about the agents linked to the CA option.

**Type:** *This message item is composed of the following **CorporateActionAgent1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.197</u>		AgentIdentification	<AgtId>	[1..1]	±
<u>6.198</u>		AgentRole	<AgtRole>	[1..1]	
<u>6.204</u>		ContactPerson	<CtctPrsn>	[0..1]	±

## 6.197 AgentIdentification <AgtId>

**Presence:** [1..1]

**Definition:** Identification of the agent.

**Type:** *This message item is composed of one of the following **PartyIdentification2Choice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

## 6.198 AgentRole <AgtRole>

**Presence:** [1..1]

**Definition:** Role played by the agent.

**Type:** This message item is composed of one of the following **AgentRole1FormatChoice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.199</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.200</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 6.199 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **6.198 AgentRole**.

**Definition:** Standard code to specify the role of the agent.

**Data Type:** Code

One of the following **AgentRole2Code** values must be used:

Code	Name	Definition
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/ offeror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.

## 6.200 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice **6.198 AgentRole**.

**Definition:** Proprietary code to express the role of the agent.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.201</a>		Identification	<Id>	[1..1]	Text
<a href="#">6.202</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">6.203</a>		Issuer	<Issr>	[1..1]	Text

### 6.201 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.202 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.203 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.204 ContactPerson <CtctPrsn>

**Presence:** [0..1]

**Definition:** Contact person at the agent.

**Type:** This message item is composed of the following **NameAndAddress5** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Name	<Nm>	[1..1]	Text
	Address	<Adr>	[0..1]	

For additional Type information, please refer to **NameAndAddress5** p.749 in 'Message Item Types' section.

### 6.205 FractionDisposition <FrctnDspstn>

**Presence:** [0..1]

**Definition:** Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Type:** This message item is composed of one of the following *FractionDispositionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *FractionDispositionType1FormatChoice* p.823 in 'Message Item Types' section.

## 6.206 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

**Presence:** [0..1]

**Definition:** Indicates whether redemption charges apply.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 6.207 OptionFeatures <OptnFeatrs>

**Presence:** [0..n]

**Definition:** Specifies the features that may apply to a corporate action option.

**Type:** This message item is composed of one of the following *OptionFeatures1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *OptionFeatures1FormatChoice* p.828 in 'Message Item Types' section.

## 6.208 CorporateActionAdditionalInformation <CorpActnAddtlInf>

**Presence:** [0..1]

**Definition:** Provides additional information.

**Type:** This message item is composed of the following *CorporateActionNarrative1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.209</u>		InformationConditions	<InfConds>	[0..1]	Text
<u>6.210</u>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text
<u>6.211</u>		TaxationConditions	<TaxtnConds>	[0..1]	Text
<u>6.212</u>		NewCompanyName	<NewCpnyNm>	[0..1]	Text
<u>6.213</u>		Offeror	<Offerr>	[0..1]	±
<u>6.214</u>		URLAddress	<URLAdr>	[0..1]	Text
<u>6.215</u>		AdditionalText	<AddtlTxt>	[0..1]	Text

## 6.209 InformationConditions <InfConds>

**Presence:** [0..1]

**Definition:** Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.210 InformationToComplyWith <InfToCmplyWth>

**Presence:** [0..1]

**Definition:** Provides information conditions to the account owner that are to be complied with, eg, not open to US/ Canadian residents, QIB or SIL to be provided.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.211 TaxationConditions <TaxtnConds>

**Presence:** [0..1]

**Definition:** Provides taxation conditions that cannot be included within the structured fields of this message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.212 NewCompanyName <NewCpnyNm>

**Presence:** [0..1]

**Definition:** Provide the new name of a company following a name change

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.213 Offeror <Offerr>

**Presence:** [0..1]

**Definition:** Provides the entity making the offer and is different from the issuing company.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

## 6.214 URLAddress <URLAdr>

**Presence:** [0..1]



**Definition:** Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

**Data Type:** Max256Text

**Format:** maxLength: 256

minLength: 1

## 6.215 AdditionalText <AddtlTxt>

**Presence:** [0..1]

**Definition:** Provides additional information or specifies in more detail the content of a message.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 7.0 ContactDetails <CtctDtls>

**Presence:** [0..n]

**Definition:** Provides information about the contact responsible for the transaction identified in the message.

**Type:** This message item is composed of the following **ContactPerson1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	ContactPerson	<CtctPrsn>	[1..1]	
	InstitutionIdentification	<InstnId>	[0..1]	

For additional Type information, please refer to **ContactPerson1** p.743 in 'Message Item Types' section.

## 8.0 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Provides additional information.

**Type:** The **AdditionalInformation** block is composed of the following **CorporateActionNarrative2** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.1</u>		InformationConditions	<InfConds>	[0..1]	Text
<u>8.2</u>		InformationToComplyWith	<InfToCmplWth>	[0..1]	Text
<u>8.3</u>		TaxationConditions	<TaxtnConds>	[0..1]	Text
<u>8.4</u>		DeclarationDetails	<DclrtnDtls>	[0..1]	Text
<u>8.5</u>		RegistrationDetails	<RegnDtls>	[0..1]	Text
<u>8.6</u>		AdditionalText	<AddtlTxt>	[0..1]	Text

## 8.1 InformationConditions <InfConds>

**Presence:** [0..1]

**Definition:** Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.2 InformationToComplyWith <InfToCmplyWth>

**Presence:** [0..1]

**Definition:** Provides information conditions to the account owner that are to be complied with, eg, not open to US/ Canadian residents, QIB or SIL to be provided.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.3 TaxationConditions <TaxtnConds>

**Presence:** [0..1]

**Definition:** Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the SLA.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.4 DeclarationDetails <DclrtnDtls>

**Presence:** [0..1]

**Definition:** Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.5 RegistrationDetails <RegnDtls>

**Presence:** [0..1]

**Definition:** Provides information required for the registration.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.6 AdditionalText <AddtlTxt>

**Presence:** [0..1]

**Definition:** Provides additional information or specifies in more detail the content of a message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

An agent (ISAGGB2L) sends a CA notification advice message to a CSD, Euroclear UK and Ireland (CRSTGB22). The notification is of a voluntary tender offer for the security ISIN GB3333A53L22. The agent of this CA event is ISAGGB2L. The event has 2 options:

- A cash option (001): 2 GBP per underlying security with the market deadline on April 10, 2008 and the action period starts on March 25 to April 10, 2008.
- A securities and cash option (002): 1 GBP per security and a security with ISIN GB1234567890 per underlying security. The market deadline is on April 10, 2008 and the action period starts on from March 25 to April 10, 2008.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	NOTIF123

NotificationTypeAndLinkage	
NotificationType	New

NotificationGeneralInformation	
ProcessingStatus	Complete

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

CorporateActionDetails	
EventStage	Action period
PartialElectionIndicator	FALSE
ElectionType	Restricted

CorporateActionOptionDetails (1st Occurrence)	
OptionNumber	001
OptionType	Cash
OptionAvailabilityStatus	Active

<b>CorporateActionOptionDetails (1st Occurrence)</b>	
MarketDeadline	10/04/2008
GenericCashPriceReceivedPerProduct	GBP 2 (Actual amount)
FinancialInstrumentQuantity	1
ActionPeriod	From 25/03/2008 To 10/04/2008
<b>CashMovementDetails</b>	
CreditDebitIndicator	Credit

<b>CorporateActionOptionDetails (2nd Occurrence)</b>	
OptionNumber	002
OptionType	Cash and Securities
OptionAvailabilityStatus	Active
MarketDeadline	10/04/2008
NewToOld	1/1
GenericCashPriceReceivedPerProduct	GBP 1 (Actual amount)
FinancialInstrumentQuantity	1
ActionPeriod	From 25/03/2008 To 10/04/2008
<b>SecuritiesMovementDetails</b>	
SecurityIdentification	GB1234567890
CreditDebitIndicator	Credit
<b>CashMovementDetails</b>	
CreditDebitIndicator	Credit

## XML Instance

```

<AgtCANtfctnAdv>
  <Id>
    <Id>NOTIF123</Id>
  </Id>
  <NtfctnTpAndLkg>
    <NtfctnTp>NEWM</NtfctnTp>
  </NtfctnTpAndLkg>
  <NtfctnGnlInf>
    <PrgSts>
      <Cd>COMP</Cd>
    </PrgSts>
  </NtfctnGnlInf>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
  </CorpActnGnlInf>
</AgtCANtfctnAdv>

```

```
<IssrCorpActnId>TNDOF123</IssrCorpActnId>
<EvtTp>
  <Cd>TEND</Cd>
</EvtTp>
<EvtPrcgTp>
  <Cd>REOR</Cd>
</EvtPrcgTp>
<MndtryVlntryEvtTp>
  <Cd>VOLU</Cd>
</MndtryVlntryEvtTp>
<UndrlygScty>
  <SctyId>
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  </SctyId>
  <SfkpgPlc>
    <BICOrBEI>CRSTGB22</BICOrBEI>
  </SfkpgPlc>
</UndrlygScty>
</CorpActnGnlInf>
<CorpActnDtls>
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  </EvtStag>
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  <ElctnTp>
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  </ElctnTp>
</CorpActnDtls>
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  <OptnTp>
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  </OptnTp>
  <OptnAvlbtySts>
    <Cd>ACTI</Cd>
  </OptnAvlbtySts>
  <WdrwlAllwdInd>>false</WdrwlAllwdInd>
  <ChngAllwdInd>>true</ChngAllwdInd>
  <DtDtls>
    <MktDdln>
      <Dt>
        <Dt>2008-04-11</Dt>
      </Dt>
    </MktDdln>
  </DtDtls>
  <PricDtls>
    <GncCshPricRcvdPerPdct>
      <AmtPricPerFinInstrmQty>
        <AmtPricTp>
          <Cd>ACTU</Cd>
        </AmtPricTp>
        <PricVal Ccy = "GBP">2</PricVal>
```

```
<FinInstrmQty>
  <Unit>1</Unit>
</FinInstrmQty>
</AmtPricPerFinInstrmQty>
</GncCshPricRcvdPerPdct>
</PricDtls>
<PrdDtls>
  <ActnPrd>
    <StartDt>
      <Dt>
        <Dt>2008-03-25</Dt>
      </Dt>
    </StartDt>
    <EndDt>
      <Dt>
        <Dt>2008-04-10</Dt>
      </Dt>
    </EndDt>
  </ActnPrd>
</PrdDtls>
</CorpActnOptnDtls>
<CorpActnOptnDtls>
  <OptnNb>002</OptnNb>
  <OptnTp>
    <Cd>CASE</Cd>
  </OptnTp>
  <OptnAvlbtySts>
    <Cd>ACTI</Cd>
  </OptnAvlbtySts>
  <WdrwlAllwdInd>>false</WdrwlAllwdInd>
  <ChngAllwdInd>>true</ChngAllwdInd>
  <DtDtls>
    <MktDdln>
      <Dt>
        <Dt>2008-04-10</Dt>
      </Dt>
    </MktDdln>
  </DtDtls>
  <RateAndAmtDtls>
    <NewToOd>
      <QtyToQty>
        <Qty1>1</Qty1>
        <Qty2>1</Qty2>
      </QtyToQty>
    </NewToOd>
  </RateAndAmtDtls>
</PricDtls>
  <GncCshPricRcvdPerPdct>
  <AmtPricPerFinInstrmQty>
    <AmtPricTp>
      <Cd>ACTU</Cd>
    </AmtPricTp>
```

```
<PricVal Ccy = "GBP">1</PricVal>
<FinInstrmQty>
  <Unit>1</Unit>
</FinInstrmQty>
</AmtPricPerFinInstrmQty>
</GncCshPricRcvdPerPdct>
</PricDtls>
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  <ActnPrd>
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      </Dt>
    </StartDt>
    <EndDt>
      <Dt>
        <Dt>2008-04-10</Dt>
      </Dt>
    </EndDt>
  </ActnPrd>
</PrdDtls>
<SctiesMvmntDtls>
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    <SctyId>
      <ISIN>GB1234567890</ISIN>
    </SctyId>
  </SctyId>
  <CdtDbtInd>CRDT</CdtDbtInd>
</SctiesMvmntDtls>
<CshMvmntDtls>
  <CdtDbtInd>CRDT</CdtDbtInd>
</CshMvmntDtls>
</CorpActnOptnDtls>
</AgtCANtfctnAdv>
```

# MX seev.010.001.01 AgentCANotificationCancellationRequestV01

## Message Functionality

### Scope

This message is sent by an issuer (or its agent) to a CSD to request the cancellation of a notification advice message.

### Usage

When this message is used to request the cancellation of a notification advice message, the function of the message must be cancellation.

When this message is used to request the withdrawal of a Corporate Action event or option, then the function of the message must be withdrawal.

In both cases, the building block notification advice identification must be present to link this cancellation request to the notification advice that was previously sent.

### Outline

The message is composed of four building blocks:

#### 1. Identification

This building block is mandatory. It contains the identification assigned to the cancellation request by the sender of the message.

#### 2. Agent Corporate Action Notification Advice Identification

This building block is mandatory. It contains the identification of the notification advice that was previously sent for which the cancellation is requested.

#### 3. Corporate Action General Information

This building block is mandatory. It contains information about the corporate action.

#### 4. Notification Advice Details

This building block is optional. It contains information about the notification advice to be cancelled.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCANtfctnCxlReq >	[1..1]		



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		NotificationCancellationTypeAndLinkage	<NtfctnCxITpAndLkg>	[1..1]		
<u>2.1</u>		NotificationCancellationType	<NtfctnCxITp>	[1..1]	Code	
<u>2.2</u>		LinkedAgentCANotificationAdviceIdentification	<LkdAgtCANtfctnAdvId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		CorporateActionNotificationDetails	<CorpActnNtfctnDtls>	[0..1]		
<u>4.1</u>		CorporateActionDetails	<CorpActnDtls>	[1..1]		
<u>4.2</u>		EventStage	<EvtStag>	[0..n]	±	
<u>4.3</u>		DefaultOptionType	<DfltOptnTp>	[0..1]		
<u>4.4</u>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<u>4.5</u>		Proprietary	<Prtry>	[1..1]		
<u>4.6</u>		Identification	<Id>	[1..1]	Text	
<u>4.7</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>4.8</u>		Issuer	<Issr>	[1..1]	Text	
<u>4.9</u>		DefaultOptionNumber	<DfltOptnNb>	[0..1]	Text	
<u>4.10</u>		CalculationMethod	<ClctnMtd>	[0..1]	±	
<u>4.11</u>		BackEndOddLotSecuritiesQuantity	<BckEndOddLotSctie sQty>	[0..1]		
<u>4.12</u>	{ Or	Unit	<Unit>	[1..1]	Quantity	
<u>4.13</u>	Or	FaceAmount	<FaceAmt>	[1..1]	Amount	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
4.14	Or}	Code	<Cd>	[1..1]	Code	
4.15		FrontEndOddLotSecuritiesQuantity	<FrntEndOddLotSctiesQty>	[0..1]		
4.16	{Or	Unit	<Unit>	[1..1]	Quantity	
4.17	Or	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.18	Or}	Code	<Cd>	[1..1]	Code	
4.19		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]		
4.20	{Or	Unit	<Unit>	[1..1]	Quantity	
4.21	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.22		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]		
4.23	{Or	Unit	<Unit>	[1..1]	Quantity	
4.24	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.25		IncrementalDenomination	<IncrmtlDnmtn>	[0..1]		
4.26	{Or	Unit	<Unit>	[1..1]	Quantity	
4.27	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.28		NewDenominationSecuritiesQuantity	<NewDnmtnSctiesQty>	[0..1]		
4.29	{Or	Unit	<Unit>	[1..1]	Quantity	
4.30	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.31		NewBoardLotSecuritiesQuantity	<NewBrdLotSctiesQty>	[0..1]		
4.32	{Or	Unit	<Unit>	[1..1]	Quantity	
4.33	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.34		SecuritiesQuantitySought	<SctiesQtySght>	[0..1]		
4.35	{Or	Unit	<Unit>	[1..1]	Quantity	
4.36	Or	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.37	Or}	Code	<Cd>	[1..1]	Code	
4.38		BaseDenomination	<BaseDnmtn>	[0..1]		
4.39	{Or	Unit	<Unit>	[1..1]	Quantity	
4.40	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.41		ChangeType	<ChngTp>	[0..n]	±	
4.42		OfferType	<OfferTp>	[0..n]	±	
4.43		RestrictionIndicator	<RstrctnInd>	[0..1]	Indicator	
4.44		PartialElectionIndicator	<PrtlElctnInd>	[0..1]	Indicator	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
4.45		ElectionType	<ElctnTp>	[0..1]	±	
4.46		LotteryType	<LtryTp>	[0..1]		
4.47	{ Or Or }	Code	<Cd>	[1..1]	Code	
4.48		Proprietary	<Prtry>	[1..1]		
4.49		Identification	<Id>	[1..1]	Text	
4.50		SchemeName	<SchmeNm>	[0..1]	Text	
4.51		Issuer	<Issr>	[1..1]	Text	
4.52		IncomeType	<IncmTp>	[0..1]		
4.53		Identification	<Id>	[1..1]	Text	
4.54		SchemeName	<SchmeNm>	[0..1]	Text	
4.55		Issuer	<Issr>	[1..1]	Text	
4.56		DividendType	<DvddTp>	[0..1]	±	
4.57		IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtn Tp>	[0..1]	±	
4.58		CouponNumber	<CpnNb>	[0..n]	Text	
4.59		InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays >	[0..1]	Quantity	
4.60		NewDenominationCurrency	<NewDnmtnCcy>	[0..1]	Code	
4.61		DateDetails	<DtDtls>	[0..1]		
4.62		RecordDate	<RcrdDt>	[0..1]	±	
4.63		EffectiveDate	<FctvDt>	[0..1]	±	
4.64		CoverExpirationDate	<CoverXprtnDt>	[0..1]	±	
4.65		EqualisationDate	<EqulstnDt>	[0..1]	±	
4.66		MarginFixingDate	<MrgnFvgDt>	[0..1]	±	
4.67		LotteryDate	<LtryDt>	[0..1]	±	
4.68		ProtectDate	<PrctDt>	[0..1]	±	
4.69		UnconditionalDate	<UcondlDt>	[0..1]	±	
4.70		WhollyUnconditionalDate	<WhlyUcondlDt>	[0..1]	±	
4.71		ResultsPublicationDate	<RsItsPblctnDt>	[0..1]	±	
4.72		CourtApprovalDate	<CrtApprvlDt>	[0..1]	±	
4.73		EarlyClosingDate	<EarlyClsgDt>	[0..1]	±	
4.74		ExDividendDate	<ExDvddDt>	[0..1]	±	
4.75		IndexFixingDate	<IndxFvgDt>	[0..1]	±	
4.76		MaturityDate	<MtrtyDt>	[0..1]	±	
4.77		TradingSuspendedDate	<TradgSspdDt>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.78</a>		CertificationDeadline	<CertfctnDdln>	[0..1]	±	
<a href="#">4.79</a>		RedemptionDate	<RedDt>	[0..1]	±	
<a href="#">4.80</a>		RegistrationDeadline	<RegnDdln>	[0..1]	±	
<a href="#">4.81</a>		ProrationDate	<PrratnDt>	[0..1]	±	
<a href="#">4.82</a>		DeadlineForTaxBreakdownInstruction	<DdlnForTaxBrkdwnInstr>	[0..1]	±	
<a href="#">4.83</a>		LapsedDate	<LpsdDt>	[0..1]	±	
<a href="#">4.84</a>		GuaranteedParticipationDate	<GrntedPrtcpnDt>	[0..1]	±	
<a href="#">4.85</a>		ElectionToCounterpartyDeadline	<ElctnToCtrPtyDdln>	[0..1]	±	
<a href="#">4.86</a>		SpecialExDate	<SpclExDt>	[0..1]	±	
<a href="#">4.87</a>		PriceDetails	<PricDtls>	[0..n]		
<a href="#">4.88</a>		MaximumPrice	<MaxPric>	[0..1]	±	
<a href="#">4.89</a>		MinimumPrice	<MinPric>	[0..1]	±	
<a href="#">4.90</a>		PeriodDetails	<PrdDtls>	[0..1]		
<a href="#">4.91</a>		ActionPeriod	<ActnPrd>	[0..1]	±	
<a href="#">4.92</a>		CompulsoryPurchasePeriod	<CmplsryPurchsPrd>	[0..1]	±	
<a href="#">4.93</a>		InterestPeriod	<IntrstPrd>	[0..1]	±	
<a href="#">4.94</a>		BlockingPeriod	<BlckgPrd>	[0..1]	±	
<a href="#">4.95</a>		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±	
<a href="#">4.96</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]		
<a href="#">4.97</a>		Interest	<Intrst>	[0..1]	±	
<a href="#">4.98</a>		RelatedIndex	<RltdIndx>	[0..1]	±	
<a href="#">4.99</a>		PercentageSought	<PctgSght>	[0..1]	±	
<a href="#">4.100</a>		ReinvestmentDiscountToMarket	<RinvstmtDscntToMkt>	[0..1]	±	
<a href="#">4.101</a>		Spread	<Sprd>	[0..1]	±	
<a href="#">4.102</a>		BidInterval	<BidIntrvl>	[0..1]		
<a href="#">4.103</a>	{Or	Amount	<Amt>	[1..1]	Amount	
<a href="#">4.104</a>	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]		
<a href="#">4.105</a>	{{Or	Code	<Cd>	[1..1]	Code	
<a href="#">4.106</a>	Or}}	Proprietary	<Prtry>	[1..1]		
<a href="#">4.107</a>		Identification	<Id>	[1..1]	Text	
<a href="#">4.108</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.109</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.110</a>		Charges	<Chrgs>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.111</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]		
<a href="#">4.112</a>		InformationConditions	<InfConds>	[0..1]	Text	
<a href="#">4.113</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text	
<a href="#">4.114</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text	
<a href="#">4.115</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text	
<a href="#">4.116</a>		Offeror	<Offerr>	[0..1]	±	
<a href="#">4.117</a>		URLAddress	<URLAdr>	[0..1]	Text	
<a href="#">4.118</a>		AdditionalText	<AddtlTxt>	[0..1]	Text	
<a href="#">4.119</a>		CertificationRequiredIndicator	<CertfctnReqrdInd>	[0..1]	Indicator	
<a href="#">4.120</a>		CertificationType	<CertfctnTp>	[0..1]	±	
<a href="#">4.121</a>		CapitalGain	<CptlGn>	[0..1]	Code	
<a href="#">4.122</a>		TaxableIncomePerShareCalculated	<TaxblIncmPerShrClc td>	[0..1]	Code	
<a href="#">4.123</a>		NewPlaceOfIncorporation	<NewPlcOfIncorprtn>	[0..1]	Text	
<a href="#">4.124</a>		RenounceableEntitlementStatusType	<RnnclEntitlmntStsT p>	[0..1]	±	
<a href="#">4.125</a>		ConversionType	<ConvstTp>	[0..1]	±	
<a href="#">4.126</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator	
<a href="#">4.127</a>		DistributionType	<Dstrbtp>	[0..1]	±	
<a href="#">4.128</a>		CorporateActionOptionDetails	<CorpActnOptnDtls>	[0..n]		
<a href="#">4.129</a>		OptionNumber	<OptnNb>	[1..1]	Text	
<a href="#">4.130</a>		OptionType	<OptnTp>	[1..1]		
<a href="#">4.131</a>	{Or Or}	Code	<Cd>	[1..1]	Code	
<a href="#">4.132</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">4.133</a>		Identification	<Id>	[1..1]	Text	
<a href="#">4.134</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.135</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.136</a>		OptionAvailabilityStatus	<OptnAvlbtySts>	[1..1]	±	
<a href="#">4.137</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
<a href="#">4.138</a>		CertificationType	<CertfctnTp>	[0..1]	±	
<a href="#">4.139</a>		AssentedLineSecurityIdentification	<AssntdLineSctyId>	[0..1]	±	
<a href="#">4.140</a>		AgentSecuritiesAccountIdentification	<AgtSciesAcctId>	[0..1]	Text	
<a href="#">4.141</a>		AgentCashAccountIdentification	<AgtCshAcctId>	[0..1]	±	
<a href="#">4.142</a>		OfferType	<OfferTp>	[0..n]	±	
<a href="#">4.143</a>		IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtp>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.144</a>		WithdrawalAllowedIndicator	<WdrwlAllwdInd>	[1..1]	Indicator	
<a href="#">4.145</a>		ChangeAllowedIndicator	<ChngAllwdInd>	[1..1]	Indicator	
<a href="#">4.146</a>		DateDetails	<DtDtls>	[0..1]		
<a href="#">4.147</a>		CouponClippingDate	<CpnClpngDt>	[0..1]	±	
<a href="#">4.148</a>		ConsentExpirationDate	<CnsntXprtnDt>	[0..1]	±	
<a href="#">4.149</a>		ConsentRecordDate	<CnsntRcrdDt>	[0..1]	±	
<a href="#">4.150</a>		PaymentDate	<PmtDt>	[0..1]	±	
<a href="#">4.151</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±	
<a href="#">4.152</a>		MarketDeadline	<MktDdln>	[0..1]	±	
<a href="#">4.153</a>		ResponseDeadline	<RspnDdln>	[0..1]	±	
<a href="#">4.154</a>		DeadlineToSplit	<DdlnToSpl>	[0..1]	±	
<a href="#">4.155</a>		ExpiryDate	<XpryDt>	[0..1]	±	
<a href="#">4.156</a>		QuotationSettingDate	<QtnSetngDt>	[0..1]	±	
<a href="#">4.157</a>		SubscriptionCostDebitDate	<SbcptCostDbtDt>	[0..1]	±	
<a href="#">4.158</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]		
<a href="#">4.159</a>		WithholdingTax	<WhldgTax>	[0..1]	±	
<a href="#">4.160</a>		WithholdingOfForeignTax	<WhldgOfFrngTax>	[0..1]	±	
<a href="#">4.161</a>		WithholdingOfLocalTax	<WhldgOfLclTax>	[0..1]	±	
<a href="#">4.162</a>		GermanLocalTax1	<GrmnLclTax1>	[0..1]	±	
<a href="#">4.163</a>		GermanLocalTax2	<GrmnLclTax2>	[0..1]	±	
<a href="#">4.164</a>		GermanLocalTax3	<GrmnLclTax3>	[0..1]	±	
<a href="#">4.165</a>		GermanLocalTax4	<GrmnLclTax4>	[0..1]	±	
<a href="#">4.166</a>		TaxOnIncome	<TaxOnInc>	[0..1]	±	
<a href="#">4.167</a>		TaxOnProfit	<TaxOnPrft>	[0..1]	±	
<a href="#">4.168</a>		TaxReclaim	<TaxRclm>	[0..1]	±	
<a href="#">4.169</a>		FiscalStamp	<FscIStmp>	[0..1]	±	
<a href="#">4.170</a>		Proration	<Prratn>	[0..1]	±	
<a href="#">4.171</a>		NewToOld	<NewToOd>	[0..1]	±	
<a href="#">4.172</a>		NewSecuritiesToUnderlyingSecurities	<NewSciesToUndrlygScies>	[0..1]	±	
<a href="#">4.173</a>		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>	[0..1]	±	
<a href="#">4.174</a>		AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdRsltntScies>	[0..1]	±	
<a href="#">4.175</a>		RelatedTax	<RltdTax>	[0..1]		
<a href="#">4.176</a>		TaxType	<TaxTp>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.177</a>		Amount	<Amt>	[1..1]	Amount	
<a href="#">4.178</a>		NonResidentRate	<NonResdtRate>	[0..1]	±	
<a href="#">4.179</a>		Charges	<Chrgs>	[0..1]	±	
<a href="#">4.180</a>		InterestForUsedPayment	<IntrstForUsdPmt>	[0..1]	±	
<a href="#">4.181</a>		IndexFactor	<IndxFctr>	[0..1]	±	
<a href="#">4.182</a>		FullyFranked	<FullyFrnk>	[0..1]	±	
<a href="#">4.183</a>		GrossDividend	<GrssDvdd>	[0..1]	±	
<a href="#">4.184</a>		NetDividend	<NetDvdd>	[0..1]	±	
<a href="#">4.185</a>		FinalDividend	<FnlDvdd>	[0..1]		
<a href="#">4.186</a>	{Or	Amount	<Amt>	[1..1]	Amount	
<a href="#">4.187</a>	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±	
<a href="#">4.188</a>		ProvisionalDividend	<PrvsnlDvdd>	[0..1]		
<a href="#">4.189</a>	{Or	Amount	<Amt>	[1..1]	Amount	
<a href="#">4.190</a>	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±	
<a href="#">4.191</a>		CashIncentive	<CshInctiv>	[0..1]	±	
<a href="#">4.192</a>		SolicitationFee	<SlctnFee>	[0..1]	±	
<a href="#">4.193</a>		MaximumAllowedOversubscription	<MaxAllwdOvrsbpt>	[0..1]	±	
<a href="#">4.194</a>		AdditionalTax	<AddtlTax>	[0..1]	±	
<a href="#">4.195</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount	
<a href="#">4.196</a>		ExchangeRate	<XchgRate>	[0..1]		
<a href="#">4.197</a>		UnitCurrency	<UnitCcy>	[1..1]	Code	
<a href="#">4.198</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code	
<a href="#">4.199</a>		ExchangeRate	<XchgRate>	[1..1]	Rate	
<a href="#">4.200</a>		ResultingAmount	<RsltgtAmt>	[1..1]	Amount	
<a href="#">4.201</a>		ApplicableRate	<AplblRate>	[0..1]	±	
<a href="#">4.202</a>		PriceDetails	<PricDtls>	[0..1]		
<a href="#">4.203</a>		ExercisePrice	<ExrcPric>	[0..1]		
<a href="#">4.204</a>	{Or	Amount	<Amt>	[1..1]		
<a href="#">4.205</a>		AmountPriceType	<AmtPricTp>	[1..1]	±	
<a href="#">4.206</a>		PriceValue	<PricVal>	[1..1]	Amount	
<a href="#">4.207</a>	Or	Rate	<Rate>	[1..1]	±	
<a href="#">4.208</a>	Or	NotSpecified	<NotSpcfd>	[1..1]	±	
<a href="#">4.209</a>	Or}	IndexPoints	<IndxPts>	[1..1]	Quantity	
<a href="#">4.210</a>		IssuePrice	<IssePric>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.211</a>		CashInLieuOfSharePrice	<CshInLieuOfShrPric>	[0..1]	±	
<a href="#">4.212</a>		TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>	[0..1]		
<a href="#">4.213</a>		AmountPriceType	<AmtPricTp>	[1..1]	±	
<a href="#">4.214</a>		PriceValue	<PricVal>	[1..1]	Amount	
<a href="#">4.215</a>		GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>	[0..1]	±	
<a href="#">4.216</a>		GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>	[0..1]	±	
<a href="#">4.217</a>		OverSubscriptionDepositPrice	<OverSbcptDpstPric>	[0..1]	±	
<a href="#">4.218</a>		PeriodDetails	<PrdDtls>	[0..1]		
<a href="#">4.219</a>		AssentedLinePeriod	<AssntdLinePrd>	[0..1]	±	
<a href="#">4.220</a>		ActionPeriod	<ActnPrd>	[0..1]	±	
<a href="#">4.221</a>		PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>	[0..1]	±	
<a href="#">4.222</a>		ParallelTradingPeriod	<ParllTradgPrd>	[0..1]	±	
<a href="#">4.223</a>		SellThruIssuerPeriod	<SellThruIssrPrd>	[0..1]	±	
<a href="#">4.224</a>		RevocabilityPeriod	<RvcbltyPrd>	[0..1]	±	
<a href="#">4.225</a>		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±	
<a href="#">4.226</a>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]		
<a href="#">4.227</a>		SecurityIdentification	<SctyId>	[1..1]	±	
<a href="#">4.228</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<a href="#">4.229</a>		SecuritiesQuantity	<SctiesQty>	[0..1]		
<a href="#">4.230</a>	{Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">4.231</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">4.232</a>		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]		
<a href="#">4.233</a>	{Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">4.234</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">4.235</a>		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]		
<a href="#">4.236</a>	{Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">4.237</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">4.238</a>		NewDenominationSecuritiesQuantity	<NewDnmtnSctiesQty>	[0..1]		
<a href="#">4.239</a>	{Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">4.240</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
4.241		NewBoardLotSecuritiesQuantity	<NewBrdLotSciesQty>	[0..1]		
4.242	{Or	Unit	<Unit>	[1..1]	Quantity	
4.243	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.244		ShareRanking	<ShrRnkg>	[0..1]	±	
4.245		AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdRsltntScies>	[0..1]	±	
4.246		DateDetails	<DtDtls>	[0..1]		
4.247		PaymentDate	<PmtDt>	[0..1]	±	
4.248		AvailableDate	<AvlblDt>	[0..1]	±	
4.249		DividendRankingDate	<DvddRnkgDt>	[0..1]	±	
4.250		PariPassuDate	<PrpssDt>	[0..1]	±	
4.251		FirstDealingDate	<FrstDealgDt>	[0..1]	±	
4.252		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±	
4.253		PriceDetails	<PricDtls>	[0..1]		
4.254		IndicativePrice	<IndctvPric>	[0..1]	±	
4.255		MarketPrice	<MktPric>	[0..1]	±	
4.256		TradingPeriod	<TradgPrd>	[0..1]	±	
4.257		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>	[0..1]	±	
4.258		TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>	[0..1]	Indicator	
4.259		FractionDisposition	<FrctnDspstn>	[0..1]	±	
4.260		CashMovementDetails	<CshMvmntDtls>	[0..n]		
4.261		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
4.262		Currency	<Ccy>	[0..1]	Code	
4.263		DateDetails	<DtDtls>	[0..1]		
4.264		ForeignExchangeRateFixingDate	<FXRateFxdDt>	[0..1]	±	
4.265		ValueDate	<ValDt>	[0..1]	±	
4.266		PaymentDate	<PmtDt>	[0..1]	±	
4.267		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±	
4.268		AmountDetails	<AmtDtls>	[0..1]		
4.269		GrossCashAmount	<GrssCshAmt>	[0..1]	Amount	
4.270		NetCashAmount	<NetCshAmt>	[0..1]	Amount	
4.271		IssueDiscountAmount	<IsseDscntAmt>	[0..1]	Amount	
4.272		SolicitationFees	<SlctnFees>	[0..1]	Amount	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.273</a>		CashInLieuOfShare	<CshInLieuOfShr>	[0..1]	Amount	
<a href="#">4.274</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount	
<a href="#">4.275</a>		CapitalGain	<CptlGn>	[0..1]	Amount	
<a href="#">4.276</a>		InterestAmount	<IntrstAmt>	[0..1]	Amount	
<a href="#">4.277</a>		IndemnityAmount	<IndmntyAmt>	[0..1]	Amount	
<a href="#">4.278</a>		RedemptionPremiumAmount	<RedPrmAmt>	[0..1]	Amount	
<a href="#">4.279</a>		ManufacturedDividendAmount	<ManfctrdDvddAmt>	[0..1]	Amount	
<a href="#">4.280</a>		PrincipalOrCorpus	<PrncplOrCrps>	[0..1]	Amount	
<a href="#">4.281</a>		ReinvestmentAmount	<RinvstmtAmt>	[0..1]	Amount	
<a href="#">4.282</a>		MarketClaimAmount	<MktClmAmt>	[0..1]	Amount	
<a href="#">4.283</a>		FullyFrankedAmount	<FullyFrnkdAmt>	[0..1]	Amount	
<a href="#">4.284</a>		UnfrankedAmount	<UfrnkAmt>	[0..1]	Amount	
<a href="#">4.285</a>		SundryOrOtherAmount	<SndryOrOthrAmt>	[0..1]	Amount	
<a href="#">4.286</a>		SpecialConcessionAmount	<SpclCncssnAmt>	[0..1]	Amount	
<a href="#">4.287</a>		EntitledAmount	<EntitldAmt>	[0..1]	Amount	
<a href="#">4.288</a>		CashIncentive	<CshIncentiv>	[0..1]	Amount	
<a href="#">4.289</a>		AdditionalSubscriptionCost	<AddtlSbcptCost>	[0..1]	Amount	
<a href="#">4.290</a>		TaxFreeAmount	<TaxFreeAmt>	[0..1]	Amount	
<a href="#">4.291</a>		TaxDeferredAmount	<TaxDfrdAmt>	[0..1]	Amount	
<a href="#">4.292</a>		GermanLocalTax1Amount	<GrmnLclTax1Amt>	[0..1]	Amount	
<a href="#">4.293</a>		GermanLocalTax2Amount	<GrmnLclTax2Amt>	[0..1]	Amount	
<a href="#">4.294</a>		GermanLocalTax3Amount	<GrmnLclTax3Amt>	[0..1]	Amount	
<a href="#">4.295</a>		GermanLocalTax4Amount	<GrmnLclTax4Amt>	[0..1]	Amount	
<a href="#">4.296</a>		StockExchangeTaxAmount	<StockXchgTaxAmt>	[0..1]	Amount	
<a href="#">4.297</a>		TransferTaxAmount	<TrfTaxAmt>	[0..1]	Amount	
<a href="#">4.298</a>		TransactionTaxAmount	<TxTaxAmt>	[0..1]	Amount	
<a href="#">4.299</a>		ValueAddedTaxAmount	<ValAddedTaxAmt>	[0..1]	Amount	
<a href="#">4.300</a>		EURetentionTaxAmount	<EURtntnTaxAmt>	[0..1]	Amount	
<a href="#">4.301</a>		LocalTaxAmount	<LclTaxAmt>	[0..1]	Amount	
<a href="#">4.302</a>		PaymentLevyTaxAmount	<PmtLevyTaxAmt>	[0..1]	Amount	
<a href="#">4.303</a>		CountryNationalFederalTaxAmount	<CtryNtlFdrlTaxAmt>	[0..1]	Amount	
<a href="#">4.304</a>		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount	
<a href="#">4.305</a>		TaxReclaimAmount	<TaxRclmAmt>	[0..1]	Amount	
<a href="#">4.306</a>		TaxCreditAmount	<TaxCdtAmt>	[0..1]	Amount	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.307</a>		WithholdingOfForeignTaxAmount	<WhldgOfFrgnTaxAmt>	[0..1]	Amount	
<a href="#">4.308</a>		WithholdingOfLocalTaxAmount	<WhldgOfLclTaxAmt>	[0..1]	Amount	
<a href="#">4.309</a>		AdditionalTaxAmount	<AddtlTaxAmt>	[0..1]	Amount	
<a href="#">4.310</a>		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount	
<a href="#">4.311</a>		FiscalStampAmount	<FscIStmpAmt>	[0..1]	Amount	
<a href="#">4.312</a>		ExecutingBrokerAmount	<ExctgBrkrAmt>	[0..1]	Amount	
<a href="#">4.313</a>		PayingAgentCommissionAmount	<PngAgtComssnAmt>	[0..1]	Amount	
<a href="#">4.314</a>		LocalBrokerCommissionAmount	<LclBrkrComssnAmt>	[0..1]	Amount	
<a href="#">4.315</a>		PostageFeeAmount	<PstgFeeAmt>	[0..1]	Amount	
<a href="#">4.316</a>		RegulatoryFeesAmount	<RgltryFeesAmt>	[0..1]	Amount	
<a href="#">4.317</a>		ShippingFeesAmount	<ShppgFeesAmt>	[0..1]	Amount	
<a href="#">4.318</a>		ChargesAmount	<ChrgsAmt>	[0..1]	Amount	
<a href="#">4.319</a>		ExchangeRate	<XchgRate>	[0..1]		
<a href="#">4.320</a>		UnitCurrency	<UnitCcy>	[1..1]	Code	
<a href="#">4.321</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code	
<a href="#">4.322</a>		ExchangeRate	<XchgRate>	[1..1]	Rate	
<a href="#">4.323</a>		ResultingAmount	<RsltgAmt>	[1..1]	Amount	
<a href="#">4.324</a>		CorporateActionOtherAgentDetails	<CorpActnOthrAgtDtls>	[0..n]		
<a href="#">4.325</a>		AgentIdentification	<AgtId>	[1..1]	±	
<a href="#">4.326</a>		AgentRole	<AgtRole>	[1..1]		
<a href="#">4.327</a>	{Or	Code	<Cd>	[1..1]	Code	
<a href="#">4.328</a>	Or}	Proprietary	<Prtry>	[1..1]		
<a href="#">4.329</a>		Identification	<Id>	[1..1]	Text	
<a href="#">4.330</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.331</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.332</a>		ContactPerson	<CtctPrsn>	[0..1]	±	
<a href="#">4.333</a>		FractionDisposition	<FrctnDspstn>	[0..1]	±	
<a href="#">4.334</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator	
<a href="#">4.335</a>		OptionFeatures	<OptnFeatr>	[0..n]	±	
<a href="#">4.336</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]		
<a href="#">4.337</a>		InformationConditions	<InfConds>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.338</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text	
<a href="#">4.339</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text	
<a href="#">4.340</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text	
<a href="#">4.341</a>		Offeror	<Offerr>	[0..1]	±	
<a href="#">4.342</a>		URLAddress	<URLAdr>	[0..1]	Text	
<a href="#">4.343</a>		AdditionalText	<AddtlTxt>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCANotificationCancellationRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the cancellation request.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 NotificationCancellationTypeAndLinkage <NtfctnCxlTpAndLkg>

**Presence:** [1..1]

**Definition:** Provides information about the type of notification cancellation request and linked message.

**Type:** The **NotificationCancellationTypeAndLinkage** block is composed of the following **NotificationCancellation1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">2.1</a>		NotificationCancellationType	<NtfctnCxlTp>	[1..1]	Code
<a href="#">2.2</a>		LinkedAgentCANotificationAdviceIdentification	<LkdAgtCANtfctnAdvId>	[1..1]	±

#### 2.1 NotificationCancellationType <NtfctnCxlTp>

**Presence:** [1..1]

**Definition:** The function of the notification e.g. new notification.

**Data Type:** Code

One of the following **CorporateActionNotificationType2Code** values must be used:

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

## 2.2 LinkedAgentCANotificationAdviceldentification <LkdAgtCANtfctnAdvcl>

**Presence:** [1..1]

**Definition:** The identification of the linked notification advice.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 3.0 CorporateActionGeneralInformation <CorpActnGnllnf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation2* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[1..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	
	OtherUnderlyingSecurity	<OthrUndrlygScty>	[0..n]	

For additional Type information, please refer to *CorporateActionInformation2* p.689 in 'Message Item Types' section.

## 4.0 CorporateActionNotificationDetails <CorpActnNtfctnDtls>

**Presence:** [0..1]

**Definition:** Detailed information of the notification advice to be cancelled.

**Type:** The *CorporateActionNotificationDetails* block is composed of the following *CorporateActionNotificationAdvice1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.1</a>		CorporateActionDetails	<CorpActnDtls>	[1..1]	
<a href="#">4.128</a>		CorporateActionOptionDetails	<CorpActnOptnDtls>	[0..n]	

## 4.1 CorporateActionDetails <CorpActnDtls>

**Presence:** [1..1]

**Definition:** Provides detailed information about the corporate action event.

**Type:** This message item is composed of the following *CorporateAction2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.2</a>		EventStage	<EvtStag>	[0..n]	±
<a href="#">4.3</a>		DefaultOptionType	<DfltOptnTp>	[0..1]	
<a href="#">4.9</a>		DefaultOptionNumber	<DfltOptnNb>	[0..1]	Text
<a href="#">4.10</a>		CalculationMethod	<ClctnMtd>	[0..1]	±
<a href="#">4.11</a>		BackEndOddLotSecuritiesQuantity	<BckEndOddLotSciesQty>	[0..1]	
<a href="#">4.15</a>		FrontEndOddLotSecuritiesQuantity	<FrntEndOddLotSciesQty>	[0..1]	
<a href="#">4.19</a>		MinimumExercisableSecuritiesQuantity	<MinExrcblSciesQty>	[0..1]	
<a href="#">4.22</a>		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSciesQty>	[0..1]	
<a href="#">4.25</a>		IncrementalDenomination	<IncrmtlDnmtn>	[0..1]	
<a href="#">4.28</a>		NewDenominationSecuritiesQuantity	<NewDnmtnSciesQty>	[0..1]	
<a href="#">4.31</a>		NewBoardLotSecuritiesQuantity	<NewBrdLotSciesQty>	[0..1]	
<a href="#">4.34</a>		SecuritiesQuantitySought	<SciesQtySght>	[0..1]	
<a href="#">4.38</a>		BaseDenomination	<BaseDnmtn>	[0..1]	
<a href="#">4.41</a>		ChangeType	<ChngTp>	[0..n]	±
<a href="#">4.42</a>		OfferType	<OfferTp>	[0..n]	±
<a href="#">4.43</a>		RestrictionIndicator	<RstrctnInd>	[0..1]	Indicator
<a href="#">4.44</a>		PartialElectionIndicator	<PrtlElctnInd>	[0..1]	Indicator
<a href="#">4.45</a>		ElectionType	<ElctnTp>	[0..1]	±
<a href="#">4.46</a>		LotteryType	<LtryTp>	[0..1]	
<a href="#">4.52</a>		IncomeType	<IncmTp>	[0..1]	
<a href="#">4.56</a>		DividendType	<DvddTp>	[0..1]	±
<a href="#">4.57</a>		IntermediateSecuritiesDistributionType	<IntrmdtSciesDstrbtp>	[0..1]	±
<a href="#">4.58</a>		CouponNumber	<CpnNb>	[0..n]	Text
<a href="#">4.59</a>		InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>	[0..1]	Quantity
<a href="#">4.60</a>		NewDenominationCurrency	<NewDnmtnCcy>	[0..1]	Code

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.61</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">4.87</a>		PriceDetails	<PricDtls>	[0..n]	
<a href="#">4.90</a>		PeriodDetails	<PrdDtls>	[0..1]	
<a href="#">4.96</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]	
<a href="#">4.111</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]	
<a href="#">4.119</a>		CertificationRequiredIndicator	<CertfctnReqrdInd>	[0..1]	Indicator
<a href="#">4.120</a>		CertificationType	<CertfctnTp>	[0..1]	±
<a href="#">4.121</a>		CapitalGain	<CptlGn>	[0..1]	Code
<a href="#">4.122</a>		TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>	[0..1]	Code
<a href="#">4.123</a>		NewPlaceOfIncorporation	<NewPlcOfIncorprtn>	[0..1]	Text
<a href="#">4.124</a>		RenounceableEntitlementStatusType	<RnnclEntitlmntStsTp>	[0..1]	±
<a href="#">4.125</a>		ConversionType	<ConvsTp>	[0..1]	±
<a href="#">4.126</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator
<a href="#">4.127</a>		DistributionType	<DstrbtnTp>	[0..1]	±

## 4.2 EventStage <EvtStag>

**Presence:** [0..n]

**Definition:** Stage in the corporate action event life cycle.

**Type:** This message item is composed of one of the following *CorporateActionEventStage1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CorporateActionEventStage1FormatChoice* p.666 in 'Message Item Types' section.

## 4.3 DefaultOptionType <DfltOptnTp>

**Presence:** [0..1]

**Definition:** Identifies the option that will be selected by default if no instruction is provided by account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.4</a>	{ Or	Code	<Cd>	[1..1]	Code
<a href="#">4.5</a>	Or }	Proprietary	<Prtry>	[1..1]	

## 4.4 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.3 DefaultOptionType.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

*One of the following **CorporateActionOptionType1Code** values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.



## 4.5 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.3 **DefaultOptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.6		Identification	<Id>	[1..1]	Text
4.7		SchemeName	<SchmeNm>	[0..1]	Text
4.8		Issuer	<Issr>	[1..1]	Text

## 4.6 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 4.7 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 4.8 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 4.9 DefaultOptionNumber <DfltOptnNb>

**Presence:** [0..1]

**Definition:** Identifies the option number that will be selected by default if no instruction is provided by account owner.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## 4.10 CalculationMethod <ClctnMtd>

**Presence:** [0..1]

**Definition:** The method of calculation of drawings and partial redemptions.

**Type:** *This message item is composed of one of the following **CorporateActionCalculationMethod1FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to CorporateActionCalculationMethod1FormatChoice p.815 in 'Message Item Types' section.

#### 4.11 BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>

**Presence:** [0..1]

**Definition:** Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

**Type:** This message item is composed of one of the following *UnitOrFaceAmountOrCode1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.12	{Or	Unit	<Unit>	[1..1]	Quantity
4.13	Or	FaceAmount	<FaceAmt>	[1..1]	Amount
4.14	Or}	Code	<Cd>	[1..1]	Code

#### 4.12 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice **4.11 BackEndOddLotSecuritiesQuantity**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.13 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice **4.11 BackEndOddLotSecuritiesQuantity**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18

**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.14 Code <Cd>**

**Presence:** [1..1]

*This message item is part of choice **4.11 BackEndOddLotSecuritiesQuantity**.*

**Definition:** Quantity expressed as a code.

**Data Type:** Code

*One of the following **Quantity1Code** values must be used:*

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

**4.15 FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSctiesQty>**

**Presence:** [0..1]

**Definition:** Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmountOrCode1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.16</a>	{Or	Unit	<Unit>	[1..1]	Quantity
<a href="#">4.17</a>	Or	FaceAmount	<FaceAmt>	[1..1]	Amount
<a href="#">4.18</a>	Or}	Code	<Cd>	[1..1]	Code

**4.16 Unit <Unit>**

**Presence:** [1..1]

*This message item is part of choice **4.15 FrontEndOddLotSecuritiesQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

**4.17 FaceAmount <FaceAmt>**

**Presence:** [1..1]

*This message item is part of choice **4.15 FrontEndOddLotSecuritiesQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.18 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.15 FrontEndOddLotSecuritiesQuantity.*

**Definition:** Quantity expressed as a code.

**Data Type:** Code

*One of the following **Quantity1Code** values must be used:*

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

## 4.19 MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>

**Presence:** [0..1]

**Definition:** Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.20	{Or	Unit	<Unit>	[1..1]	Quantity
4.21	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.20 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.19 MinimumExercisableSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.21 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.19 MinimumExercisableSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.22 MinimumExercisableMultipleSecuritiesQuantity <MinExrcbIMltplScitiesQty>

**Presence:** [0..1]

**Definition:** Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.23	{Or	Unit	<Unit>	[1..1]	Quantity
4.24	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.23 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.22 MinimumExercisableMultipleSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 4.24 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.22 MinimumExercisableMultipleSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.25 IncrementalDenomination <IncrmtlDnmtn>

**Presence:** [0..1]

**Definition:** Amount used when the called amount is not met by running the lottery with the base denomination.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.26	{Or	Unit	<Unit>	[1..1]	Quantity
4.27	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.26 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.25 IncrementalDenomination.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 4.27 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.25 IncrementalDenomination.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.28 NewDenominationSecuritiesQuantity <NewDnmtnScitiesQty>

**Presence:** [0..1]

**Definition:** New Denomination of the equity following, eg, an increase or decrease in nominal value.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.29	{Or	Unit	<Unit>	[1..1]	Quantity
4.30	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.29 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.28 NewDenominationSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 4.30 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.28 NewDenominationSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.31 NewBoardLotSecuritiesQuantity <NewBrdLotScitiesQty>

**Presence:** [0..1]

**Definition:** Quantity of equity that makes up the new board lot.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.32	{Or	Unit	<Unit>	[1..1]	Quantity
4.33	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 4.32 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.31 **NewBoardLotSecuritiesQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 4.33 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.31 **NewBoardLotSecuritiesQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**  
fractionDigits: 5



minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.34 SecuritiesQuantitySought <SctiesQtySght>****Presence:** [0..1]

**Definition:** Quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

**Type:** This message item is composed of one of the following *UnitOrFaceAmountOrCode1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.35	{Or	Unit	<Unit>	[1..1]	Quantity
4.36	Or	FaceAmount	<FaceAmt>	[1..1]	Amount
4.37	Or}	Code	<Cd>	[1..1]	Code

**4.35 Unit <Unit>****Presence:** [1..1]

This message item is part of choice **4.34 SecuritiesQuantitySought**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber**Format:** fractionDigits: 17

totalDigits: 18

**4.36 FaceAmount <FaceAmt>****Presence:** [1..1]

This message item is part of choice **4.34 SecuritiesQuantitySought**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.37 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.34 SecuritiesQuantitySought.*

**Definition:** Quantity expressed as a code.

**Data Type:** Code

*One of the following Quantity1Code values must be used:*

Code	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

### 4.38 BaseDenomination <BaseDnmtn>

**Presence:** [0..1]

**Definition:** The minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

**Type:** *This message item is composed of one of the following UnitOrFaceAmount1Choice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.39	{Or	Unit	<Unit>	[1..1]	Quantity
4.40	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 4.39 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.38 BaseDenomination.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

#### 4.40 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.38 **BaseDenomination**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.41 ChangeType <ChngTp>

**Presence:** [0..n]

**Definition:** Specifies the type of change announced.

**Type:** *This message item is composed of one of the following **CorporateActionChangeType1FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

*For additional Type information, please refer to **CorporateActionChangeType1FormatChoice** p.817 in 'Message Item Types' section.*

#### 4.42 OfferType <OfferTp>

**Presence:** [0..n]

**Definition:** Specifies the conditions that apply to the offer.

**Type:** *This message item is composed of one of the following **OfferType1FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [OfferType1FormatChoice](#) p.826 in 'Message Item Types' section.

#### 4.43 RestrictionIndicator <RstrctnInd>

**Presence:** [0..1]

**Definition:** Indicates whether there is restrictions apply to the CA event or not.

Yes = There is restrictions.

No = There is no restrictions.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.44 PartialElectionIndicator <PrtlElctnInd>

**Presence:** [0..1]

**Definition:** Specifies if the issuer will allow the agent to accept partial elections. It is to allow split voting over options.  
It allows the client to elect more than one option to be selected per designated holding.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.45 ElectionType <ElctnTp>

**Presence:** [0..1]

**Definition:** Specifies the effect on the holdings of electing a Corporate Action option.

**Type:** This message item is composed of one of the following **ElectionMovementType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [ElectionMovementType1FormatChoice](#) p.821 in 'Message Item Types' section.

#### 4.46 LotteryType <LtryTp>

**Presence:** [0..1]

**Definition:** Specifies the type of lottery announced.

**Type:** This message item is composed of one of the following **LotteryType1FormatChoice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.47	{Or	Code	<Cd>	[1..1]	Code
4.48	Or}	Proprietary	<Prtry>	[1..1]	

#### 4.47 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.46 **LotteryType**.*

**Definition:** Standard code to specify the lottery type.

**Data Type:** Code

*One of the following **LotteryType1Code** values must be used:*

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

#### 4.48 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.46 **LotteryType**.*

**Definition:** Proprietary code to express the lottery type.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.49</a>		Identification	<Id>	[1..1]	Text
<a href="#">4.50</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">4.51</a>		Issuer	<Issr>	[1..1]	Text

#### 4.49 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.50 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.51 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

**4.52 IncomeType <IncmTp>****Presence:** [0..1]**Definition:** Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at [www.smpg.info](http://www.smpg.info).**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.53</a>		Identification	<Id>	[1..1]	Text
<a href="#">4.54</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">4.55</a>		Issuer	<Issr>	[1..1]	Text

**4.53 Identification <Id>****Presence:** [1..1]**Definition:** Identification assigned by an institution.**Data Type:** Max4AlphaNumericText**Format:** [a-zA-Z0-9]{1,4}**4.54 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**4.55 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**4.56 DividendType <DvddTp>****Presence:** [0..1]**Definition:** Specifies the conditions in which a dividend is paid.**Type:** This message item is composed of one of the following *CorporateActionFrequencyType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to CorporateActionFrequencyType1FormatChoice p.818 in 'Message Item Types' section.

#### 4.57 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

**Presence:** [0..1]

**Definition:** Type of intermediates securities distribution, eg, stock dividend, reverse right.

**Type:** This message item is composed of one of the following IntermediateSecurityDistributionType1FormatChoice element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to IntermediateSecurityDistributionType1FormatChoice p.824 in 'Message Item Types' section.

#### 4.58 CouponNumber <CpnNb>

**Presence:** [0..n]

**Definition:** Number of the coupon attached/associated with a security.

**Data Type:** Max3NumericText

**Format:** [0-9]{1,3}

#### 4.59 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

**Presence:** [0..1]

**Definition:** Number of days used for calculating the accrued interest amount.

**Data Type:** Number

**Format:** fractionDigits: 0  
totalDigits: 18

#### 4.60 NewDenominationCurrency <NewDnmtnCcy>

**Presence:** [0..1]

**Definition:** New denomination currency of the financial instrument.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.61 DateDetails <DtDtls>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a CA event.

**Type:** This message item is composed of the following CorporateActionDate2 element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.62</a>		RecordDate	<RcrdDt>	[0..1]	±
<a href="#">4.63</a>		EffectiveDate	<FctvDt>	[0..1]	±
<a href="#">4.64</a>		CoverExpirationDate	<CoverXprtnDt>	[0..1]	±
<a href="#">4.65</a>		EqualisationDate	<EqulstnDt>	[0..1]	±
<a href="#">4.66</a>		MarginFixingDate	<MrgnFvgDt>	[0..1]	±
<a href="#">4.67</a>		LotteryDate	<LtryDt>	[0..1]	±
<a href="#">4.68</a>		ProtectDate	<PrctDt>	[0..1]	±
<a href="#">4.69</a>		UnconditionalDate	<UcondlDt>	[0..1]	±
<a href="#">4.70</a>		WhollyUnconditionalDate	<WhlyUcondlDt>	[0..1]	±
<a href="#">4.71</a>		ResultsPublicationDate	<RsItsPblctnDt>	[0..1]	±
<a href="#">4.72</a>		CourtApprovalDate	<CrtApprvlDt>	[0..1]	±
<a href="#">4.73</a>		EarlyClosingDate	<EarlyClsgDt>	[0..1]	±
<a href="#">4.74</a>		ExDividendDate	<ExDvddDt>	[0..1]	±
<a href="#">4.75</a>		IndexFixingDate	<IndxFvgDt>	[0..1]	±
<a href="#">4.76</a>		MaturityDate	<MtrtyDt>	[0..1]	±
<a href="#">4.77</a>		TradingSuspendedDate	<TradgSspdDt>	[0..1]	±
<a href="#">4.78</a>		CertificationDeadline	<CertfctnDdln>	[0..1]	±
<a href="#">4.79</a>		RedemptionDate	<RedDt>	[0..1]	±
<a href="#">4.80</a>		RegistrationDeadline	<RegnDdln>	[0..1]	±
<a href="#">4.81</a>		ProrationDate	<PrratnDt>	[0..1]	±
<a href="#">4.82</a>		DeadlineForTaxBreakdownInstruction	<DdlnForTaxBrkdwnInstr>	[0..1]	±
<a href="#">4.83</a>		LapsedDate	<LpsdDt>	[0..1]	±
<a href="#">4.84</a>		GuaranteedParticipationDate	<GrntedPrctptnDt>	[0..1]	±
<a href="#">4.85</a>		ElectionToCounterpartyDeadline	<ElctnToCtrPtyDdln>	[0..1]	±
<a href="#">4.86</a>		SpecialExDate	<SpclExDt>	[0..1]	±

## 4.62 RecordDate <RcrdDt>

**Presence:** [0..1]

**Definition:** Date on which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code



Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.63 EffectiveDate <FctvDt>

**Presence:** [0..1]

**Definition:** Date on which a process is to be completed or becomes effective.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.64 CoverExpirationDate <CoverXprtnDt>

**Presence:** [0..1]

**Definition:** Last day a holder can deliver the securities that it had previously protected.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.65 EqualisationDate <EqulstnDt>

**Presence:** [0..1]

**Definition:** Date on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.66 MarginFixingDate <MrgnFxdDt>

**Presence:** [0..1]

**Definition:** Date/time at which the margin rate will be determined .

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

#### 4.67 LotteryDate <LtryDt>

**Presence:** [0..1]

**Definition:** Date on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

#### 4.68 ProtectDate <PrctDt>

**Presence:** [0..1]

**Definition:** Last date a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

#### 4.69 UnconditionalDate <UcondIDt>

**Presence:** [0..1]

**Definition:** Date upon which the terms of the take-over become unconditional as to acceptances.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.70 WhollyUnconditionalDate <WhlyUcondlDt>

**Presence:** [0..1]

**Definition:** Date on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.71 ResultsPublicationDate <RsItsPblctnDt>

**Presence:** [0..1]

**Definition:** Date on which results are published, eg, results of an offer.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.72 CourtApprovalDate <CrtApprvlDt>

**Presence:** [0..1]

**Definition:** Date/time upon which the High Court provided approval.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.73 EarlyClosingDate <EarlyClsgDt>

**Presence:** [0..1]

**Definition:** First possible early closing date of an offer if different from the expiry date.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.74 ExDividendDate <ExDvddDt>

**Presence:** [0..1]

**Definition:** Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.75 IndexFixingDate <IndxFxgDt>

**Presence:** [0..1]

**Definition:** Date/time at which an index rate will be determined .

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.76 MaturityDate <MtrtyDt>

**Presence:** [0..1]

**Definition:** Date on which an interest bearing financial instrument becomes due and principal is repaid by the issuer to the investor.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.77 TradingSuspendedDate <TradgSspdDt>

**Presence:** [0..1]

**Definition:** Date on which trading of a security is suspended as the result of an event.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.78 CertificationDeadline <CertfctnDdln>

**Presence:** [0..1]

**Definition:** Deadline by which the beneficial ownership of securities must be declared.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.79 RedemptionDate <RedDt>

**Presence:** [0..1]

**Definition:** Date/time at which the securities will be redeemed (early) for payment of principal.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.80 RegistrationDeadline <RegnDdln>

**Presence:** [0..1]

**Definition:** Date on which instructions to register or registration details will be accepted.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.81 ProrationDate <PrratnDt>

**Presence:** [0..1]

**Definition:** Date (and time) at which an issuer will determine the proration amount/quantity of an offer.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.82 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

**Presence:** [0..1]

**Definition:** Date on until which tax breakdown instructions will be accepted.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.83 LapsedDate <LpsdDt>

**Presence:** [0..1]

**Definition:** Date/time at which an event/offer is terminated or lapsed.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.84 GuaranteedParticipationDate <GrntedPrtcptnDt>

**Presence:** [0..1]

**Definition:** Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.85 ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>

**Presence:** [0..1]

**Definition:** Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

### 4.86 SpecialExDate <SpclExDt>

**Presence:** [0..1]

**Definition:** Date/time as from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, eg, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

## 4.87 PriceDetails <PricDtls>

**Presence:** [0..n]

**Definition:** Provides information about the prices related to a CA event.

**Type:** This message item is composed of the following **CorporateActionPrice2** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
4.88		MaximumPrice	<MaxPric>	[0..1]	±
4.89		MinimumPrice	<MinPric>	[0..1]	±

## 4.88 MaximumPrice <MaxPric>

**Presence:** [0..1]

**Definition:** Maximum or cap price at which a holder can bid, e.g. on a Dutch auction offer.

**Type:** This message item is composed of one of the following **PriceFormat3Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Amount	<Amt>	[1..1]	
Or }	Rate	<Rate>	[1..1]	

For additional Type information, please refer to **PriceFormat3Choice** p.769 in 'Message Item Types' section.

## 4.89 MinimumPrice <MinPric>

**Presence:** [0..1]

**Definition:** Minimum or floor price at which a holder can bid, e.g. on a Dutch auction offer.

**Type:** This message item is composed of one of the following **PriceFormat3Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Amount	<Amt>	[1..1]	
Or }	Rate	<Rate>	[1..1]	



For additional Type information, please refer to **PriceFormat3Choice** p.769 in 'Message Item Types' section.

## 4.90 PeriodDetails <PrdDtls>

**Presence:** [0..1]

**Definition:** Provides information about the periods related to a CA event.

**Type:** This message item is composed of the following **CorporateActionPeriod1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.91</a>		ActionPeriod	<ActnPrd>	[0..1]	±
<a href="#">4.92</a>		CompulsoryPurchasePeriod	<CmplsryPurchsPrd>	[0..1]	±
<a href="#">4.93</a>		InterestPeriod	<IntrstPrd>	[0..1]	±
<a href="#">4.94</a>		BlockingPeriod	<BlckgPrd>	[0..1]	±
<a href="#">4.95</a>		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±

## 4.91 ActionPeriod <ActnPrd>

**Presence:** [0..1]

**Definition:** Period during which the specified option, or all options of the event, remains valid, eg, offer period.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 4.92 CompulsoryPurchasePeriod <CmplsryPurchsPrd>

**Presence:** [0..1]

**Definition:** Period during a take-over where any outstanding equity must be purchased by the take-over company.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

## 4.93 InterestPeriod <IntrstPrd>

**Presence:** [0..1]

**Definition:** Period during which the interest rate has been applied.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

#### 4.94 BlockingPeriod <BlckgPrd>

**Presence:** [0..1]

**Definition:** Period during which the security is blocked.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

#### 4.95 PriceCalculationPeriod <PricClctnPrd>

**Presence:** [0..1]

**Definition:** Period during which the price of a security is determined.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

#### 4.96 RateAndAmountDetails <RateAndAmtDtls>

**Presence:** [0..1]

**Definition:** Provides information about rates and amounts related to a CA event.

**Type:** This message item is composed of the following **CorporateActionRate1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.97</a>		Interest	<Intrst>	[0..1]	±
<a href="#">4.98</a>		RelatedIndex	<RltdIndx>	[0..1]	±
<a href="#">4.99</a>		PercentageSought	<PctgSght>	[0..1]	±
<a href="#">4.100</a>		ReinvestmentDiscountToMarket	<RinvstmtDscntToMkt>	[0..1]	±
<a href="#">4.101</a>		Spread	<Sprd>	[0..1]	±
<a href="#">4.102</a>		BidInterval	<BidIntrvl>	[0..1]	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.110		Charges	<Chrgs>	[0..1]	±

#### 4.97 Interest <Intrst>

**Presence:** [0..1]

**Definition:** Annual rate of a financial instrument.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

#### 4.98 RelatedIndex <RltdIdx>

**Presence:** [0..1]

**Definition:** Index rate related to the interest rate of the forthcoming interest payment.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.99 PercentageSought <PctgSght>

**Presence:** [0..1]

**Definition:** Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term 'any and all'.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.100 ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>

**Presence:** [0..1]

**Definition:** Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.101 Spread <Sprd>

**Presence:** [0..1]

**Definition:** Margin allowed over or under a given rate.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.102 BidInterval <BidIntrvl>

**Presence:** [0..1]

**Definition:** Acceptable price increment used for submitting a bid.

**Type:** This message item is composed of one of the following **AmountAndRateFormat3Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.103	{ Or	Amount	<Amt>	[1..1]	Amount
4.104	Or }	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

#### 4.103 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice **4.102 BidInterval**.

**Definition:** Number of monetary units specified in a currency.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

#### CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.104 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

*This message item is part of choice 4.102 BidInterval.*

**Definition:** The rate is not specified.

**Type:** This message item is composed of one of the following *RateValueType6FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.105	{Or	Code	<Cd>	[1..1]	Code
4.106	Or}	Proprietary	<Prtry>	[1..1]	

### 4.105 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.104 NotSpecifiedRate.*

**Definition:** Standard code to specify the value of a rate.

**Data Type:** Code

*One of the following *RateValueType6Code* values must be used:*

Code	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

### 4.106 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.104 NotSpecifiedRate.*

**Definition:** Proprietary code to express the value of a rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.107		Identification	<Id>	[1..1]	Text
4.108		SchemeName	<SchmeNm>	[0..1]	Text

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.109		Issuer	<Issr>	[1..1]	Text

#### 4.107 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.108 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.109 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.110 Charges <Chrgs>

**Presence:** [0..1]

**Definition:** Rate used to calculate the amount of the charges/fees that cannot be categorised.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpefdRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

#### 4.111 CorporateActionAdditionalInformation <CorpActnAddtlInf>

**Presence:** [0..1]

**Definition:** Provides additional information.

**Type:** This message item is composed of the following **CorporateActionNarrative1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.112</a>		InformationConditions	<InfConds>	[0..1]	Text
<a href="#">4.113</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text
<a href="#">4.114</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text
<a href="#">4.115</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text
<a href="#">4.116</a>		Offeror	<Offerr>	[0..1]	±
<a href="#">4.117</a>		URLAddress	<URLAdr>	[0..1]	Text
<a href="#">4.118</a>		AdditionalText	<AddtlTxt>	[0..1]	Text

#### 4.112 InformationConditions <InfConds>

**Presence:** [0..1]

**Definition:** Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 4.113 InformationToComplyWith <InfToCmplyWth>

**Presence:** [0..1]

**Definition:** Provides information conditions to the account owner that are to be complied with, eg, not open to US/ Canadian residents, QIB or SIL to be provided.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 4.114 TaxationConditions <TaxtnConds>

**Presence:** [0..1]

**Definition:** Provides taxation conditions that cannot be included within the structured fields of this message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 4.115 NewCompanyName <NewCpnyNm>

**Presence:** [0..1]

**Definition:** Provide the new name of a company following a name change

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 4.116 Offeror <Offerr>

**Presence:** [0..1]

**Definition:** Provides the entity making the offer and is different from the issuing company.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

#### 4.117 URLAddress <URLAdr>

**Presence:** [0..1]

**Definition:** Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

**Data Type:** Max256Text

**Format:** maxLength: 256  
minLength: 1

#### 4.118 AdditionalText <AddtlTxt>

**Presence:** [0..1]

**Definition:** Provides additional information or specifies in more detail the content of a message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 4.119 CertificationRequiredIndicator <CertfctnReqrdInd>

**Presence:** [0..1]

**Definition:** Indicates whether certification is required from the account owner.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.120 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following **BeneficiaryCertificationType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **BeneficiaryCertificationType1FormatChoice** p.665 in 'Message Item Types' section.



**4.121 CapitalGain <CptlGn>****Presence:** [0..1]**Definition:** Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (...) (Article 6(1d)).**Data Type:** Code*When this message item is present, one of the following **EUCapitalGain2Code** values must be used:*

Code	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

**4.122 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>****Presence:** [0..1]**Definition:** Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.**Data Type:** Code*When this message item is present, one of the following **TaxableIncomePerShareCalculated2Code** values must be used:*

Code	Name	Definition
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

**4.123 NewPlaceOfIncorporation <NewPlcOfIncorprtn>****Presence:** [0..1]**Definition:** New company's place of incorporation.**Data Type:** Max70Text**Format:** maxLength: 70  
minLength: 1**4.124 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>****Presence:** [0..1]**Definition:** Specifies whether terms of the event allow resale of the rights.**Type:** *This message item is composed of one of the following **RenounceableStatus1FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **RenounceableStatus1FormatChoice** p.811 in 'Message Item Types' section.

## 4.125 ConversionType <ConvstP>

**Presence:** [0..1]

**Definition:** Specifies the conversion type of an instrument.

**Type:** This message item is composed of one of the following **ConversionType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **ConversionType1FormatChoice** p.814 in 'Message Item Types' section.

## 4.126 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

**Presence:** [0..1]

**Definition:** Indicates whether redemption charges apply.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 4.127 DistributionType <DstrbtnTp>

**Presence:** [0..1]

**Definition:** Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

**Type:** This message item is composed of one of the following **DistributionType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DistributionType1FormatChoice** p.820 in 'Message Item Types' section.

## 4.128 CorporateActionOptionDetails <CorpActnOptnDtls>

**Presence:** [0..n]

**Definition:** Provides information about an option of a CA event.

**Type:** This message item is composed of the following **CorporateActionOption1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.129</a>		OptionNumber	<OptnNb>	[1..1]	Text
<a href="#">4.130</a>		OptionType	<OptnTp>	[1..1]	
<a href="#">4.136</a>		OptionAvailabilityStatus	<OptnAvlbtySts>	[1..1]	±
<a href="#">4.137</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.138</a>		CertificationType	<CertfctnTp>	[0..1]	±
<a href="#">4.139</a>		AssentedLineSecurityIdentification	<AssntdLineSctyId>	[0..1]	±
<a href="#">4.140</a>		AgentSecuritiesAccountIdentification	<AgtSctiesAcctId>	[0..1]	Text
<a href="#">4.141</a>		AgentCashAccountIdentification	<AgtCshAcctId>	[0..1]	±
<a href="#">4.142</a>		OfferType	<OfferTp>	[0..n]	±
<a href="#">4.143</a>		IntermediateSecuritiesDistributionType	<IntrmdtSctiesDstrbtnTp>	[0..1]	±
<a href="#">4.144</a>		WithdrawalAllowedIndicator	<WdrwlAllwdInd>	[1..1]	Indicator
<a href="#">4.145</a>		ChangeAllowedIndicator	<ChngAllwdInd>	[1..1]	Indicator
<a href="#">4.146</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">4.158</a>		RateAndAmountDetails	<RateAndAmtDtls>	[0..1]	
<a href="#">4.202</a>		PriceDetails	<PricDtls>	[0..1]	
<a href="#">4.218</a>		PeriodDetails	<PrdDtls>	[0..1]	
<a href="#">4.226</a>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]	
<a href="#">4.260</a>		CashMovementDetails	<CshMvmntDtls>	[0..n]	
<a href="#">4.324</a>		CorporateActionOtherAgentDetails	<CorpActnOthrAgtDtls>	[0..n]	
<a href="#">4.333</a>		FractionDisposition	<FrctnDspstn>	[0..1]	±
<a href="#">4.334</a>		RedemptionChargesAppliedIndicator	<RedChrgsApldInd>	[0..1]	Indicator
<a href="#">4.335</a>		OptionFeatures	<OptnFeatrs>	[0..n]	±
<a href="#">4.336</a>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]	

**Rule(s):** ChangeAllowedRule

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

WithdrawalAllowedRule

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

## 4.129 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** {0-9}{3}

## 4.130 OptionType <OptnTp>

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.131</a>	{Or	Code	<Cd>	[1..1]	Code

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.132	Or}	Proprietary	<Prtry>	[1..1]	

#### 4.131 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.130 OptionType.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

*One of the following **CorporateActionOptionType1Code** values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

Code	Name	Definition
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

#### 4.132 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.130 OptionType.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.133</a>		Identification	<Id>	[1..1]	Text
<a href="#">4.134</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">4.135</a>		Issuer	<Issr>	[1..1]	Text

#### 4.133 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.134 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.135 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.136 OptionAvailabilityStatus <OptnAvlbtys>

**Presence:** [1..1]

**Definition:** Specifies the status of the option.

**Type:** *This message item is composed of one of the following **CorporateActionEventStatus2FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to CorporateActionEventStatus2FormatChoice p.808 in 'Message Item Types' section.

#### 4.137 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.138 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following **BeneficiaryCertificationType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to BeneficiaryCertificationType1FormatChoice p.665 in 'Message Item Types' section.

#### 4.139 AssentedLineSecurityIdentification <AssntdLineSctyld>

**Presence:** [0..1]

**Definition:** Identification of a temporary security used for processing reasons, eg, contra security used in the US.

**Type:** This message item is composed of the following **SecurityIdentification7** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to SecurityIdentification7 p.806 in 'Message Item Types' section.

#### 4.140 AgentSecuritiesAccountIdentification <AgtSctiesAcctId>

**Presence:** [0..1]

**Definition:** Identification of the safekeeping account held by an agent at the CSD.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.141 AgentCashAccountIdentification <AgtCshAcctId>

**Presence:** [0..1]

**Definition:** Identification of the cash account held by an agent at the CSD.

**Type:** This message item is composed of one of the following *AccountIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SciesAcctId>	[1..1]	Text

For additional Type information, please refer to *AccountIdentification2Choice* p.649 in 'Message Item Types' section.

#### 4.142 OfferType <OfferTp>

**Presence:** [0..n]

**Definition:** Specifies the conditions that apply to the offer.

**Type:** This message item is composed of one of the following *OfferType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *OfferType1FormatChoice* p.826 in 'Message Item Types' section.

#### 4.143 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

**Presence:** [0..1]

**Definition:** Type of intermediates securities distribution, eg, stock dividend, reverse right.

**Type:** This message item is composed of one of the following *IntermediateSecurityDistributionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *IntermediateSecurityDistributionType1FormatChoice* p.824 in 'Message Item Types' section.

#### 4.144 WithdrawalAllowedIndicator <WdrwlAllwdInd>

**Presence:** [1..1]

**Definition:** Indicates whether withdrawal of instruction is allowed.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.145 ChangeAllowedIndicator <ChngAllwdInd>

**Presence:** [1..1]

**Definition:** Indicates whether change of instruction is allowed.

**Data Type:** *One of the following **YesNoIndicator** values must be used:*

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.146 DateDetails <DtDtls>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a CA option.

**Type:** *This message item is composed of the following **CorporateActionDate4** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.147</a>		CouponClippingDate	<CpnClpngDt>	[0..1]	±
<a href="#">4.148</a>		ConsentExpirationDate	<CnsntXprtnDt>	[0..1]	±
<a href="#">4.149</a>		ConsentRecordDate	<CnsntRcrdDt>	[0..1]	±
<a href="#">4.150</a>		PaymentDate	<PmtDt>	[0..1]	±
<a href="#">4.151</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±
<a href="#">4.152</a>		MarketDeadline	<MktDdln>	[0..1]	±
<a href="#">4.153</a>		ResponseDeadline	<RspnDdln>	[0..1]	±
<a href="#">4.154</a>		DeadlineToSplit	<DdlnToSpl>	[0..1]	±
<a href="#">4.155</a>		ExpiryDate	<XpryDt>	[0..1]	±
<a href="#">4.156</a>		QuotationSettingDate	<QtnSetngDt>	[0..1]	±
<a href="#">4.157</a>		SubscriptionCostDebitDate	<SbcptCostDbtDt>	[0..1]	±

#### 4.147 CouponClippingDate <CpnClpngDt>

**Presence:** [0..1]

**Definition:** Date/time at which the coupons are to be/were submitted for payment of interest.

**Type:** *This message item is composed of one of the following **DateFormat4Choice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpfcdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.148 ConsentExpirationDate <CnsntXprtnDt>

**Presence:** [0..1]



**Definition:** Last date/time at which a holder can consent to the changes sought by the corporation.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.149 ConsentRecordDate <CnsntRcrdDt>

**Presence:** [0..1]

**Definition:** Date/time used by the offeror to determine the beneficiary eligible to participate in a consent based on the registered owner of the securities, eg, beneficial owner of consent record. The consent record date qualifier is used to indicate that a record date only applies to a certain part of the offer, not the entire offer.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.150 PaymentDate <PmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which the distribution is due to take place (cash and/or securities).

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.151 EarliestPaymentDate <EarlstPmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.152 MarketDeadline <MktDdln>

**Presence:** [0..1]

**Definition:** Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.153 ResponseDeadline <RspnDdln>

**Presence:** [0..1]

**Definition:** Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in an SLA.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.154 DeadlineToSplit <DdlnToSpl>

**Presence:** [0..1]

**Definition:** Deadline by which instructions must be received to split securities, eg, of physical certificates.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpecfdDt>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.155 ExpiryDate <XpryDt>

**Presence:** [0..1]

**Definition:** Date/time at which an order expires or on which a privilege or offer terminates.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.156 QuotationSettingDate <QtnSetngDt>

**Presence:** [0..1]

**Definition:** Date/time at which the price of a security is determined.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

#### 4.157 SubscriptionCostDebitDate <SbcptCostDbtDt>

**Presence:** [0..1]

**Definition:** Date/time by which cash must be in place in order to take part in the event.

**Type:** This message item is composed of one of the following [DateFormat4Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [DateFormat4Choice](#) p.657 in 'Message Item Types' section.

**4.158 RateAndAmountDetails <RateAndAmtDtls>****Presence:** [0..1]**Definition:** Provides information about rates and amounts related to a CA option.**Type:** This message item is composed of the following *CorporateActionRate2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.159</a>		WithholdingTax	<WhldgTax>	[0..1]	±
<a href="#">4.160</a>		WithholdingOfForeignTax	<WhldgOfFrgnTax>	[0..1]	±
<a href="#">4.161</a>		WithholdingOfLocalTax	<WhldgOfLclTax>	[0..1]	±
<a href="#">4.162</a>		GermanLocalTax1	<GrmnLclTax1>	[0..1]	±
<a href="#">4.163</a>		GermanLocalTax2	<GrmnLclTax2>	[0..1]	±
<a href="#">4.164</a>		GermanLocalTax3	<GrmnLclTax3>	[0..1]	±
<a href="#">4.165</a>		GermanLocalTax4	<GrmnLclTax4>	[0..1]	±
<a href="#">4.166</a>		TaxOnIncome	<TaxOnInc>	[0..1]	±
<a href="#">4.167</a>		TaxOnProfit	<TaxOnPrft>	[0..1]	±
<a href="#">4.168</a>		TaxReclaim	<TaxRclm>	[0..1]	±
<a href="#">4.169</a>		FiscalStamp	<FscIStmp>	[0..1]	±
<a href="#">4.170</a>		Proration	<Prratn>	[0..1]	±
<a href="#">4.171</a>		NewToOld	<NewToOd>	[0..1]	±
<a href="#">4.172</a>		NewSecuritiesToUnderlyingSecurities	<NewSciesToUndrlygScties>	[0..1]	±
<a href="#">4.173</a>		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>	[0..1]	±
<a href="#">4.174</a>		AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbRslntScies>	[0..1]	±
<a href="#">4.175</a>		RelatedTax	<RltdTax>	[0..1]	
<a href="#">4.178</a>		NonResidentRate	<NonResdtRate>	[0..1]	±
<a href="#">4.179</a>		Charges	<Chrgs>	[0..1]	±
<a href="#">4.180</a>		InterestForUsedPayment	<IntrstForUsdPmt>	[0..1]	±
<a href="#">4.181</a>		IndexFactor	<IndxFctr>	[0..1]	±
<a href="#">4.182</a>		FullyFranked	<FullyFrnk>	[0..1]	±
<a href="#">4.183</a>		GrossDividend	<GrssDvdd>	[0..1]	±
<a href="#">4.184</a>		NetDividend	<NetDvdd>	[0..1]	±
<a href="#">4.185</a>		FinalDividend	<FnlDvdd>	[0..1]	
<a href="#">4.188</a>		ProvisionalDividend	<PrvsnlDvdd>	[0..1]	
<a href="#">4.191</a>		CashIncentive	<CshInctiv>	[0..1]	±
<a href="#">4.192</a>		SolicitationFee	<SlctnFee>	[0..1]	±
<a href="#">4.193</a>		MaximumAllowedOversubscription	<MaxAllwdOvrsbcpt>	[0..1]	±
<a href="#">4.194</a>		AdditionalTax	<AddtlTax>	[0..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.195		OriginalAmount	<OrgnlAmt>	[0..1]	Amount
4.196		ExchangeRate	<XchgRate>	[0..1]	
4.201		ApplicableRate	<AplblRate>	[0..1]	±

#### 4.159 WithholdingTax <WhldgTax>

**Presence:** [0..1]

**Definition:** Percentage of a cash distribution that will be withheld by a tax authority.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Rate	<Rate>	[1..1]	Rate
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.160 WithholdingOfForeignTax <WhldgOfFrngTax>

**Presence:** [0..1]

**Definition:** Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

#### 4.161 WithholdingOfLocalTax <WhldgOfLclTax>

**Presence:** [0..1]

**Definition:** Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

#### 4.162 GermanLocalTax1 <GrmnLclTax1>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpefdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

#### 4.163 GermanLocalTax2 <GrmnLclTax2>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpefdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

#### 4.164 GermanLocalTax3 <GrmnLclTax3>

**Presence:** [0..1]

**Definition:** Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpefdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

**4.165 GermanLocalTax4 <GrmnLclTax4>****Presence:** [0..1]**Definition:** Local tax (Ertrag Besitzanteil) yield liable for interest down payment tax.**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.**4.166 TaxOnIncome <TaxOnIncm>****Presence:** [0..1]**Definition:** Taxation applied on an amount clearly identified as an income.**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.**4.167 TaxOnProfit <TaxOnPrft>****Presence:** [0..1]**Definition:** Taxation applied on an amount clearly identified as capital profits, capital gains.**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.**4.168 TaxReclaim <TaxRclm>****Presence:** [0..1]**Definition:** Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.169 FiscalStamp <FscIStmp>

**Presence:** [0..1]

**Definition:** Percentage of fiscal tax to apply.

**Type:** This message item is composed of one of the following ***RateFormat1Choice*** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.170 Proration <Prratn>

**Presence:** [0..1]

**Definition:** Proportionate allocation used for the offer.

**Type:** This message item is composed of one of the following ***RateFormat1Choice*** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.171 NewToOld <NewToOd>

**Presence:** [0..1]

**Definition:** Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, eg, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

**Type:** This message item is composed of one of the following ***RatioFormat2Choice*** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or	AmountToQuantity	<AmtToQty>	[1..1]	
Or	QuantityToAmount	<QtyToAmt>	[1..1]	
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	



For additional Type information, please refer to **RatioFormat2Choice** p.794 in 'Message Item Types' section.

#### 4.172 NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>

**Presence:** [0..1]

**Definition:** Quantity of new equities that will be derived by the exercise of a given quantity of intermediate securities.

**Type:** This message item is composed of one of the following **RatioFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or	AmountToQuantity	<AmtToQty>	[1..1]	
Or	QuantityToAmount	<QtyToAmt>	[1..1]	
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RatioFormat2Choice** p.794 in 'Message Item Types' section.

#### 4.173 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

**Presence:** [0..1]

**Definition:** Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

**Type:** This message item is composed of one of the following **RatioFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RatioFormat1Choice** p.791 in 'Message Item Types' section.

#### 4.174 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties>

**Presence:** [0..1]

**Definition:** Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

**Type:** This message item is composed of one of the following **RatioFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	QuantityToQuantity	<QtyToQty>	[1..1]	
Or	AmountToAmount	<AmtToAmt>	[1..1]	
Or }	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	

For additional Type information, please refer to **RatioFormat1Choice** p.791 in 'Message Item Types' section.

#### 4.175 RelatedTax <RltdTax>

**Presence:** [0..1]

**Definition:** Percentage of the gross dividend rate on which tax must be paid .

**Type:** This message item is composed of the following **RelatedTaxType1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.176</u>		TaxType	<TaxTp>	[1..1]	±
<u>4.177</u>		Amount	<Amt>	[1..1]	Amount

#### 4.176 TaxType <TaxTp>

**Presence:** [1..1]

**Definition:** Specifies the type of tax.

**Type:** This message item is composed of one of the following **TaxType3FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **TaxType3FormatChoice** p.812 in 'Message Item Types' section.

#### 4.177 Amount <Amt>

**Presence:** [1..1]

**Definition:** The value of the related tax expressed as an amount.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.178 NonResidentRate <NonResdtRate>

**Presence:** [0..1]

**Definition:** Rate per share to which a non-resident is entitled.

**Type:** This message item is composed of one of the following *RateAndAmountFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to *RateAndAmountFormat1Choice* p.734 in 'Message Item Types' section.

#### 4.179 Charges <Chrgs>

**Presence:** [0..1]

**Definition:** Rate used to calculate the amount of the charges/fees that cannot be categorised.

**Type:** This message item is composed of one of the following *RateAndAmountFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to *RateAndAmountFormat1Choice* p.734 in 'Message Item Types' section.

#### 4.180 InterestForUsedPayment <IntrstForUsdPmt>

**Presence:** [0..1]

**Definition:** The actual interest rate used for the payment of the interest for the specified interest period.

**Type:** This message item is composed of one of the following *RateAndAmountFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to *RateAndAmountFormat1Choice* p.734 in 'Message Item Types' section.

#### 4.181 IndexFactor <IndxFctr>

**Presence:** [0..1]

**Definition:** Public index rate applied to the amount paid to adjust it to inflation.

**Type:** This message item is composed of one of the following *RateAndAmountFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

#### 4.182 FullyFranked <FullyFrnkcd>

**Presence:** [0..1]

**Definition:** Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.

**Type:** This message item is composed of one of the following [RateAndAmountFormat1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or }	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	

For additional Type information, please refer to [RateAndAmountFormat1Choice](#) p.734 in 'Message Item Types' section.

#### 4.183 GrossDividend <GrssDvdd>

**Presence:** [0..1]

**Definition:** Cash dividend amount per equity before deductions or allowances have been made.

**Type:** This message item is composed of one of the following [GrossDividendRate1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	
Or	Amount	<Amt>	[1..1]	Amount
Or }	RateTypeAmount	<RateTpAmt>	[1..1]	

For additional Type information, please refer to [GrossDividendRate1Choice](#) p.777 in 'Message Item Types' section.

#### 4.184 NetDividend <NetDvdd>

**Presence:** [0..1]

**Definition:** Cash dividend amount per equity after deductions or allowances have been made.

**Type:** This message item is composed of one of the following [NetDividendRate1Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	
Or	Amount	<Amt>	[1..1]	Amount

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	RateTypeAmount	<RateTpAmt>	[1..1]	

For additional Type information, please refer to NetDividendRate1Choice p.782 in 'Message Item Types' section.

#### 4.185 FinalDividend <FnIDvdd>

**Presence:** [0..1]

**Definition:** Dividend is final.

**Type:** This message item is composed of one of the following *AmountAndRateFormat2Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.186	{Or	Amount	<Amt>	[1..1]	Amount
4.187	Or}	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	±

#### 4.186 Amount <Amt>

**Presence:** [1..1]

*This message item is part of choice 4.185 FinalDividend.*

**Definition:** Number of monetary units specified in a currency.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.187 NotSpecifiedRate <NotSpcdfRate>

**Presence:** [1..1]

*This message item is part of choice 4.185 FinalDividend.*

**Definition:** The rate is not specified.

**Type:** This message item is composed of one of the following **RateType12FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **RateType12FormatChoice** p.789 in 'Message Item Types' section.

#### 4.188 ProvisionalDividend <PrvsnlDvdd>

**Presence:** [0..1]

**Definition:** Dividend is provisional.

**Type:** This message item is composed of one of the following **AmountAndRateFormat2Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.189</u>	{Or	Amount	<Amt>	[1..1]	Amount
<u>4.190</u>	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	±

#### 4.189 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice **4.188 ProvisionalDividend**.

**Definition:** Number of monetary units specified in a currency.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.190 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

This message item is part of choice **4.188 ProvisionalDividend**.

**Definition:** The rate is not specified.

**Type:** This message item is composed of one of the following **RateType12FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **RateType12FormatChoice** p.789 in 'Message Item Types' section.

#### 4.191 CashIncentive <CshIncntiv>

**Presence:** [0..1]

**Definition:** Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.192 SolicitationFee <SlctnFee>

**Presence:** [0..1]

**Definition:** Cash rate made available in an offer in order to encourage participation in the offer.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.193 MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>

**Presence:** [0..1]

**Definition:** A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, eg, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50%.

**Type:** This message item is composed of one of the following **RateFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.

#### 4.194 AdditionalTax <AddtlTax>

**Presence:** [0..1]

**Definition:** Rate used for additional tax that cannot be categorised.

**Type:** This message item is composed of one of the following **RateAndAmountFormat1Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or	Amount	<Amt>	[1..1]	Amount
Or}	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

For additional Type information, please refer to **RateAndAmountFormat1Choice** p.734 in 'Message Item Types' section.

#### 4.195 OriginalAmount <OrgnlAmt>

**Presence:** [0..1]

**Definition:** Amount in its original currency when conversion from/into another currency has occurred.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.196 ExchangeRate <XchgRate>

**Presence:** [0..1]

**Definition:** Provides information about a foreign exchange.



**Type:** This message item is composed of the following *ForeignExchangeTerms8* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.197</a>		UnitCurrency	<UnitCcy>	[1..1]	Code
<a href="#">4.198</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code
<a href="#">4.199</a>		ExchangeRate	<XchgRate>	[1..1]	Rate
<a href="#">4.200</a>		ResultingAmount	<RsltgAmt>	[1..1]	Amount

#### 4.197 UnitCurrency <UnitCcy>

**Presence:** [1..1]

**Definition:** Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.198 QuotedCurrency <QtdCcy>

**Synonym(s):** CounterCurrency (Statement Business Modeling), IndirectCurrency (Statement Business Modeling)

**Presence:** [1..1]

**Definition:** Currency into which the base currency is converted, in a currency exchange.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.199 ExchangeRate <XchgRate>

**Synonym(s):** :92A::EXCH (ISO 15022)

**Presence:** [1..1]

**Definition:** Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

## 4.200 ResultingAmount <RsltAmt>

**Presence:** [1..1]

**Definition:** Amount of money resulting from a foreign exchange transaction.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.201 ApplicableRate <AplblRate>

**Presence:** [0..1]

**Definition:** Rate applicable to the event announced, eg, redemption rate for a redemption event.

**Type:** *This message item is composed of one of the following **RateFormat1Choice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Rate	<Rate>	[1..1]	Rate
Or }	NotSpecifiedRate	<NotSpdfdRate>	[1..1]	

*For additional Type information, please refer to **RateFormat1Choice** p.787 in 'Message Item Types' section.*

## 4.202 PriceDetails <PricDtls>

**Presence:** [0..1]

**Definition:** Provides information about the prices related to a CA option.

**Type:** *This message item is composed of the following **CorporateActionPrice1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.203</u>		ExercisePrice	<ExrcPric>	[0..1]	
<u>4.210</u>		IssuePrice	<IssePric>	[0..1]	±
<u>4.211</u>		CashInLieuOfSharePrice	<CshInLieuOfShrPric>	[0..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
4.212		TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>	[0..1]	
4.215		GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>	[0..1]	±
4.216		GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>	[0..1]	±
4.217		OverSubscriptionDepositPrice	<OverSbcptDpstPric>	[0..1]	±

#### 4.203 ExercisePrice <ExrcPric>

**Presence:** [0..1]

**Definition:** 1. Price at which security will be purchased/sold if warrant is exercised, either as an actual amount or a percentage.  
2. Price at which a bond is converted to underlying security either as an actual amount or a percentage.  
3. Strike price of an option, represented either as an actual amount, a percentage or or a number of points above an index.

**Type:** This message item is composed of one of the following **PriceFormat4Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
4.204	{Or	Amount	<Amt>	[1..1]	
4.207	Or	Rate	<Rate>	[1..1]	±
4.208	Or	NotSpecified	<NotSpefd>	[1..1]	±
4.209	Or}	IndexPoints	<IndxPts>	[1..1]	Quantity

#### 4.204 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice 4.203 **ExercisePrice**.

**Definition:** Price expressed as a currency and amount.

**Type:** This message item is composed of the following **AmountPrice1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
4.205		AmountPriceType	<AmtPricTp>	[1..1]	±
4.206		PriceValue	<PricVal>	[1..1]	Amount

#### 4.205 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** This message item is composed of one of the following **AmountPriceType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	Code	<Cd>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [AmountPriceType1FormatChoice](#) p.751 in 'Message Item Types' section.

#### 4.206 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.207 Rate <Rate>

**Presence:** [1..1]

*This message item is part of choice [4.203 ExercisePrice](#).*

**Definition:** Price expressed as a rate, ie, percentage.

**Type:** This message item is composed of the following **PriceRate1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	RateType	<RateTp>	[1..1]	
	Rate	<Rate>	[1..1]	Rate

For additional Type information, please refer to [PriceRate1](#) p.773 in 'Message Item Types' section.

#### 4.208 NotSpecified <NotSpcfd>

**Presence:** [1..1]

*This message item is part of choice [4.203 ExercisePrice](#).*

**Definition:** The value of the price is not specified.

**Type:** This message item is composed of one of the following **PriceValueType5FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [PriceValueType5FormatChoice](#) p.776 in 'Message Item Types' section.

#### 4.209 IndexPoints <IndxPts>

**Presence:** [1..1]

*This message item is part of choice 4.203 [ExercisePrice](#).*

**Definition:** Price expressed as index points.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

#### 4.210 IssuePrice <IssePric>

**Presence:** [0..1]

**Definition:** Initial issue price of a financial instrument.

**Type:** This message item is composed of one of the following [PriceFormat2Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or}	NotSpecified	<NotSpefd>	[1..1]	

For additional Type information, please refer to [PriceFormat2Choice](#) p.763 in 'Message Item Types' section.

#### 4.211 CashInLieuOfSharePrice <CshInLieuOfShrPric>

**Presence:** [0..1]

**Definition:** Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

**Type:** This message item is composed of one of the following [PriceFormat2Choice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or}	NotSpecified	<NotSpefd>	[1..1]	

For additional Type information, please refer to [PriceFormat2Choice](#) p.763 in 'Message Item Types' section.

#### 4.212 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

**Presence:** [0..1]

**Definition:** Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments within the scope of the EU Savings directive.

**Type:** This message item is composed of the following [AmountPrice1](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.213		AmountPriceType	<AmtPricTp>	[1..1]	±
4.214		PriceValue	<PricVal>	[1..1]	Amount

#### 4.213 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** This message item is composed of one of the following *AmountPriceType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *AmountPriceType1FormatChoice* p.751 in 'Message Item Types' section.

#### 4.214 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

This data type must be used with the following XML Attribute: *Currency (Ccy)* which is typed by *ActiveCurrencyCode*.

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.215 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

**Presence:** [0..1]

**Definition:** Generic cash price received per product by the underlying security holder either as a percentage or an amount, eg, redemption price.

**Type:** This message item is composed of one of the following *PriceFormat1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	Rate

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	[1..1]	
Or	AmountPricePerAmount	<AmtPricPerAmt>	[1..1]	
Or}	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to **PriceFormat1Choice** p.753 in 'Message Item Types' section.

#### 4.216 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

**Presence:** [0..1]

**Definition:** Generic cash price paid per product by the underlying security holder either as a percentage or an amount, eg, reinvestment price.

**Type:** This message item is composed of one of the following **PriceFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or}	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to **PriceFormat2Choice** p.763 in 'Message Item Types' section.

#### 4.217 OverSubscriptionDepositPrice <OverSbcptDpstPric>

**Presence:** [0..1]

**Definition:** Amount of money required per over-subscribed equity as defined by the issuer.

**Type:** This message item is composed of one of the following **PriceFormat2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or}	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to **PriceFormat2Choice** p.763 in 'Message Item Types' section.

#### 4.218 PeriodDetails <PrdDtls>

**Presence:** [0..1]

**Definition:** Provides information about the periods related to a CA option.

**Type:** This message item is composed of the following **CorporateActionPeriod2** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.219		AssentedLinePeriod	<AssntdLinePrd>	[0..1]	±
4.220		ActionPeriod	<ActnPrd>	[0..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.221		PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>	[0..1]	±
4.222		ParallelTradingPeriod	<ParllTradgPrd>	[0..1]	±
4.223		SellThruIssuerPeriod	<SellThruIssrPrd>	[0..1]	±
4.224		RevocabilityPeriod	<RvcbltyPrd>	[0..1]	±
4.225		PriceCalculationPeriod	<PricClctnPrd>	[0..1]	±

#### 4.219 AssentedLinePeriod <AssntdLinePrd>

**Presence:** [0..1]

**Definition:** Period during which the assented line is available.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

#### 4.220 ActionPeriod <ActnPrd>

**Presence:** [0..1]

**Definition:** Period during which the specified option, or all options of the event, remains valid, eg, offer period.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

#### 4.221 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

**Presence:** [0..1]

**Definition:** Period during which the privilege is not available, eg, this can happen whenever a meeting takes place or whenever a coupon payment is due.

**Type:** This message item is composed of the following **Period1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.



## 4.222 ParallelTradingPeriod <ParllTradgPrd>

**Presence:** [0..1]

**Definition:** Period during which both old and new equity may be traded simultaneously, eg, consolidation of equity or splitting of equity.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 4.223 SellThrulIssuerPeriod <SellThrulssrPrd>

**Presence:** [0..1]

**Definition:** Period (last day included) during which an account owner can surrender or sell securities to the issuer and receive the sale proceeds.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 4.224 RevocabilityPeriod <RvcbltyPrd>

**Presence:** [0..1]

**Definition:** Period during which the shareholder can revoke, change or withdraw its instruction.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 4.225 PriceCalculationPeriod <PricClctnPrd>

**Presence:** [0..1]

**Definition:** Period during which the price of a security is determined (for outturn securities).

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to **Period1** p.659 in 'Message Item Types' section.

#### 4.226 SecuritiesMovementDetails <SctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the securities movement linked to the CA option.

**Type:** This message item is composed of the following **SecurityOption1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.227</a>		SecurityIdentification	<SctyId>	[1..1]	±
<a href="#">4.228</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">4.229</a>		SecuritiesQuantity	<SctiesQty>	[0..1]	
<a href="#">4.232</a>		MinimumExercisableSecuritiesQuantity	<MinExrcblSctiesQty>	[0..1]	
<a href="#">4.235</a>		MinimumExercisableMultipleSecuritiesQuantity	<MinExrcblMltplSctiesQty>	[0..1]	
<a href="#">4.238</a>		NewDenominationSecuritiesQuantity	<NewDnmtnSctiesQty>	[0..1]	
<a href="#">4.241</a>		NewBoardLotSecuritiesQuantity	<NewBrdLotSctiesQty>	[0..1]	
<a href="#">4.244</a>		ShareRanking	<ShrRnkg>	[0..1]	±
<a href="#">4.245</a>		AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbRslnScties>	[0..1]	±
<a href="#">4.246</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">4.253</a>		PriceDetails	<PricDtls>	[0..1]	
<a href="#">4.256</a>		TradingPeriod	<TradgPrd>	[0..1]	±
<a href="#">4.257</a>		AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScties>	[0..1]	±
<a href="#">4.258</a>		TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>	[0..1]	Indicator
<a href="#">4.259</a>		FractionDisposition	<FrctnDspstn>	[0..1]	±

#### 4.227 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following **FinancialInstrumentDescription3** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	SecurityIdentification	<SctyId>	[1..1]	
	PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
	SafekeepingPlace	<SfkgPlc>	[0..1]	

For additional Type information, please refer to **FinancialInstrumentDescription3** p.800 in 'Message Item Types' section.

#### 4.228 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 4.229 SecuritiesQuantity <SctiesQty>

**Presence:** [0..1]

**Definition:** Quantity of financial instrument.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.230	{Or	Unit	<Unit>	[1..1]	Quantity
4.231	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.230 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice **4.229 SecuritiesQuantity**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.231 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice **4.229 SecuritiesQuantity**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.232 MinimumExercisableSecuritiesQuantity <MinExrcblScitiesQty>

**Presence:** [0..1]

**Definition:** Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.233	{Or	Unit	<Unit>	[1..1]	Quantity
4.234	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.233 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 4.232 *MinimumExercisableSecuritiesQuantity*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 4.234 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice 4.232 *MinimumExercisableSecuritiesQuantity*.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: *Currency (Ccy)* which is typed by *ActiveCurrencyCode*.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.235 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplScitiesQty>**

**Presence:** [0..1]

**Definition:** Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.236	{Or	Unit	<Unit>	[1..1]	Quantity
4.237	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

**4.236 Unit <Unit>**

**Presence:** [1..1]

This message item is part of choice **4.235 MinimumExercisableMultipleSecuritiesQuantity**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

**4.237 FaceAmount <FaceAmt>**

**Presence:** [1..1]

This message item is part of choice **4.235 MinimumExercisableMultipleSecuritiesQuantity**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.238 NewDenominationSecuritiesQuantity <NewDnmtnSctiesQty>

**Presence:** [0..1]

**Definition:** New denomination of the financial instrument following, eg, an increase or decrease in nominal value.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.239	{Or	Unit	<Unit>	[1..1]	Quantity
4.240	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.239 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.238 NewDenominationSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

#### 4.240 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.238 NewDenominationSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency,

consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.241 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

**Presence:** [0..1]

**Definition:** Quantity of equity that makes up the new board lot.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.242	{Or	Unit	<Unit>	[1..1]	Quantity
4.243	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.242 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 4.241 *NewBoardLotSecuritiesQuantity*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

#### 4.243 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice 4.241 *NewBoardLotSecuritiesQuantity*.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: *Currency (Ccy)* which is typed by *ActiveCurrencyCode*.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.244 ShareRanking <ShrRnkg>

**Presence:** [0..1]

**Definition:** Specifies whether the shares are ranking for dividend or pari passu.

**Type:** This message item is composed of one of the following *ShareRanking1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *ShareRanking1FormatChoice* p.737 in 'Message Item Types' section.

#### 4.245 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslntScities>

**Presence:** [0..1]

**Definition:** Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

**Type:** This message item is composed of the following *QuantityToQuantityRatio1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Quantity1	<Qty1>	[1..1]	Quantity
	Quantity2	<Qty2>	[1..1]	Quantity

For additional Type information, please refer to *QuantityToQuantityRatio1* p.787 in 'Message Item Types' section.

#### 4.246 DateDetails <DtDtIs>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a securities movement.

**Type:** This message item is composed of the following *CorporateActionDate3* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.247</a>		PaymentDate	<PmtDt>	[0..1]	±
<a href="#">4.248</a>		AvailableDate	<AvlblDt>	[0..1]	±
<a href="#">4.249</a>		DividendRankingDate	<DvddRnkgDt>	[0..1]	±
<a href="#">4.250</a>		PariPassuDate	<PrpssDt>	[0..1]	±
<a href="#">4.251</a>		FirstDealingDate	<FrstDealgDt>	[0..1]	±
<a href="#">4.252</a>		EarliestPaymentDate	<EarlstPmtDt>	[0..1]	±

#### 4.247 PaymentDate <PmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which the distribution is due to take place (cash and/or securities).



**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.248 AvailableDate <AvlbidDt>

**Presence:** [0..1]

**Definition:** Date/time at which securities become available for sale.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.249 DividendRankingDate <DvddRnkgDt>

**Presence:** [0..1]

**Definition:** Date/time at which a security will be entitled to a dividend.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.250 PariPassuDate <PrpssDt>

**Presence:** [0..1]

**Definition:** Date on which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.251 FirstDealingDate <FrstDealgDt>

**Presence:** [0..1]

**Definition:** Date/time at which new securities begin trading.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.252 EarliestPaymentDate <EarlstPmtDt>

**Presence:** [0..1]

**Definition:** Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

#### 4.253 PriceDetails <PricDtls>

**Presence:** [0..1]

**Definition:** Provides information about the prices related to a securities movement.

**Type:** This message item is composed of the following **CorporateActionPrice4** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.254		IndicativePrice	<IndctvPric>	[0..1]	±
4.255		MarketPrice	<MktPric>	[0..1]	±

## 4.254 IndicativePrice <IndctvPric>

**Presence:** [0..1]

**Definition:** Estimated price, eg, for valuation purposes.

**Type:** This message item is composed of one of the following *PriceFormat2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or }	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to *PriceFormat2Choice* p.763 in 'Message Item Types' section.

## 4.255 MarketPrice <MktPric>

**Synonym(s):** :90a::MRKT// (ISO 15022)

**Presence:** [0..1]

**Definition:** Last reported/known price of a financial instrument in a market.

**Type:** This message item is composed of one of the following *PriceFormat2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Amount	<Amt>	[1..1]	
Or	Rate	<Rate>	[1..1]	
Or }	NotSpecified	<NotSpdfd>	[1..1]	

For additional Type information, please refer to *PriceFormat2Choice* p.763 in 'Message Item Types' section.

## 4.256 TradingPeriod <TradgPrd>

**Presence:** [0..1]

**Definition:** Period during which intermediate securities are tradable in a secondary market.

**Type:** This message item is composed of the following *Period1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StartDate	<StartDt>	[1..1]	
	EndDate	<EndDt>	[1..1]	

For additional Type information, please refer to *Period1* p.659 in 'Message Item Types' section.

## 4.257 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

**Presence:** [0..1]

**Definition:** Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

**Type:** This message item is composed of the following *QuantityToQuantityRatio1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Quantity1	<Qty1>	[1..1]	Quantity
	Quantity2	<Qty2>	[1..1]	Quantity

For additional Type information, please refer to [QuantityToQuantityRatio1](#) p.787 in 'Message Item Types' section.

#### 4.258 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

**Presence:** [0..1]

**Definition:** Specifies that the security is a temporary security.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.259 FractionDisposition <FrctnDspstn>

**Presence:** [0..1]

**Definition:** Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Type:** This message item is composed of one of the following **FractionDispositionType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [FractionDispositionType1FormatChoice](#) p.823 in 'Message Item Types' section.

#### 4.260 CashMovementDetails <CshMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the cash movement linked to the CA option.

**Type:** This message item is composed of the following **CashOption1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.261</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">4.262</a>		Currency	<Ccy>	[0..1]	Code
<a href="#">4.263</a>		DateDetails	<DtDtls>	[0..1]	
<a href="#">4.268</a>		AmountDetails	<AmtDtls>	[0..1]	
<a href="#">4.319</a>		ExchangeRate	<XchgRate>	[0..1]	

#### 4.261 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Indicates whether it is a debit or a credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 4.262 Currency <Ccy>

**Presence:** [0..1]

**Definition:** Currency of the option.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.263 DateDetails <DtDtls>

**Presence:** [0..1]

**Definition:** Provides information about the dates related to a cash movement.

**Type:** This message item is composed of the following **CorporateActionDate5** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">4.264</a>		ForeignExchangeRateFixingDate	<FXRateFxdt>	[0..1]	±
<a href="#">4.265</a>		ValueDate	<ValDt>	[0..1]	±
<a href="#">4.266</a>		PaymentDate	<PmtDt>	[0..1]	±
<a href="#">4.267</a>		EarliestPaymentDate	<EarlStPmtDt>	[0..1]	±

#### 4.264 ForeignExchangeRateFixingDate <FXRateFxdt>

**Presence:** [0..1]

**Definition:** Date/time at which a foreign exchange rate will be determined.

**Type:** This message item is composed of one of the following **DateFormat4Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpfcdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **DateFormat4Choice** p.657 in 'Message Item Types' section.

**4.265 ValueDate <ValDt>****Presence:** [0..1]**Definition:** Date/time at which assets become available to the account owner (in a credit entry), or cease to be available to the account owner (in a debit entry).**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.**4.266 PaymentDate <PmtDt>****Presence:** [0..1]**Definition:** Date/time at which the distribution is due to take place (cash and/or securities).**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.**4.267 EarliestPaymentDate <EarlstPmtDt>****Presence:** [0..1]**Definition:** Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.**4.268 AmountDetails <AmtDtIs>****Presence:** [0..1]**Definition:** Provides information about the amounts related to a cash movement.

**Type:** This message item is composed of the following *CorporateActionAmounts1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.269</a>		GrossCashAmount	<GrssCshAmt>	[0..1]	Amount
<a href="#">4.270</a>		NetCashAmount	<NetCshAmt>	[0..1]	Amount
<a href="#">4.271</a>		IssueDiscountAmount	<IsseDscntAmt>	[0..1]	Amount
<a href="#">4.272</a>		SolicitationFees	<SlctnFees>	[0..1]	Amount
<a href="#">4.273</a>		CashInLieuOfShare	<CshInLieuOfShr>	[0..1]	Amount
<a href="#">4.274</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount
<a href="#">4.275</a>		CapitalGain	<CptlGn>	[0..1]	Amount
<a href="#">4.276</a>		InterestAmount	<IntrstAmt>	[0..1]	Amount
<a href="#">4.277</a>		IndemnityAmount	<IndmntyAmt>	[0..1]	Amount
<a href="#">4.278</a>		RedemptionPremiumAmount	<RedPrmAmt>	[0..1]	Amount
<a href="#">4.279</a>		ManufacturedDividendAmount	<ManfctrdDvddAmt>	[0..1]	Amount
<a href="#">4.280</a>		PrincipalOrCorpus	<PrncplOrCrps>	[0..1]	Amount
<a href="#">4.281</a>		ReinvestmentAmount	<RinvstmtAmt>	[0..1]	Amount
<a href="#">4.282</a>		MarketClaimAmount	<MktClmAmt>	[0..1]	Amount
<a href="#">4.283</a>		FullyFrankedAmount	<FullyFrnkdtAmt>	[0..1]	Amount
<a href="#">4.284</a>		UnfrankedAmount	<UfrnkdtAmt>	[0..1]	Amount
<a href="#">4.285</a>		SundryOrOtherAmount	<SndryOrOthrAmt>	[0..1]	Amount
<a href="#">4.286</a>		SpecialConcessionAmount	<SpclCncssnAmt>	[0..1]	Amount
<a href="#">4.287</a>		EntitledAmount	<EntitldAmt>	[0..1]	Amount
<a href="#">4.288</a>		CashIncentive	<CshIncentiv>	[0..1]	Amount
<a href="#">4.289</a>		AdditionalSubscriptionCost	<AddtlSbcptCost>	[0..1]	Amount
<a href="#">4.290</a>		TaxFreeAmount	<TaxFreeAmt>	[0..1]	Amount
<a href="#">4.291</a>		TaxDeferredAmount	<TaxDfrrdAmt>	[0..1]	Amount
<a href="#">4.292</a>		GermanLocalTax1Amount	<GrmnLclTax1Amt>	[0..1]	Amount
<a href="#">4.293</a>		GermanLocalTax2Amount	<GrmnLclTax2Amt>	[0..1]	Amount
<a href="#">4.294</a>		GermanLocalTax3Amount	<GrmnLclTax3Amt>	[0..1]	Amount
<a href="#">4.295</a>		GermanLocalTax4Amount	<GrmnLclTax4Amt>	[0..1]	Amount
<a href="#">4.296</a>		StockExchangeTaxAmount	<StockXchgTaxAmt>	[0..1]	Amount
<a href="#">4.297</a>		TransferTaxAmount	<TrfTaxAmt>	[0..1]	Amount
<a href="#">4.298</a>		TransactionTaxAmount	<TxTaxAmt>	[0..1]	Amount
<a href="#">4.299</a>		ValueAddedTaxAmount	<ValAddedTaxAmt>	[0..1]	Amount
<a href="#">4.300</a>		EURRetentionTaxAmount	<EURtntnTaxAmt>	[0..1]	Amount
<a href="#">4.301</a>		LocalTaxAmount	<LclTaxAmt>	[0..1]	Amount
<a href="#">4.302</a>		PaymentLevyTaxAmount	<PmtLevyTaxAmt>	[0..1]	Amount
<a href="#">4.303</a>		CountryNationalFederalTaxAmount	<CtryNtlFdrlTaxAmt>	[0..1]	Amount

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.304</a>		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount
<a href="#">4.305</a>		TaxReclaimAmount	<TaxRclmAmt>	[0..1]	Amount
<a href="#">4.306</a>		TaxCreditAmount	<TaxCdtAmt>	[0..1]	Amount
<a href="#">4.307</a>		WithholdingOfForeignTaxAmount	<WhldgOfFrgnTaxAmt>	[0..1]	Amount
<a href="#">4.308</a>		WithholdingOfLocalTaxAmount	<WhldgOfLclTaxAmt>	[0..1]	Amount
<a href="#">4.309</a>		AdditionalTaxAmount	<AddtlTaxAmt>	[0..1]	Amount
<a href="#">4.310</a>		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount
<a href="#">4.311</a>		FiscalStampAmount	<FscIStmpAmt>	[0..1]	Amount
<a href="#">4.312</a>		ExecutingBrokerAmount	<ExctgBrkrAmt>	[0..1]	Amount
<a href="#">4.313</a>		PayingAgentCommissionAmount	<PngAgtComssnAmt>	[0..1]	Amount
<a href="#">4.314</a>		LocalBrokerCommissionAmount	<LclBrkrComssnAmt>	[0..1]	Amount
<a href="#">4.315</a>		PostageFeeAmount	<PstgFeeAmt>	[0..1]	Amount
<a href="#">4.316</a>		RegulatoryFeesAmount	<RgltryFeesAmt>	[0..1]	Amount
<a href="#">4.317</a>		ShippingFeesAmount	<ShppgFeesAmt>	[0..1]	Amount
<a href="#">4.318</a>		ChargesAmount	<ChrgsAmt>	[0..1]	Amount

#### 4.269 GrossCashAmount <GrssCshAmt>

**Presence:** [0..1]

**Definition:** Amount of money before any deductions and allowances have been made.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005



## 4.270 NetCashAmount <NetCshAmt>

**Presence:** [0..1]

**Definition:** Amount of money after deductions and allowances have been made, if any, ie, the total amount +/- charges/fees.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.271 IssueDiscountAmount <IsseDscntAmt>

**Presence:** [0..1]

**Definition:** Amount of money defined as a discount on a new issue or on a tranche of an existing issue.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.272 SolicitationFees <SlctnFees>

**Presence:** [0..1]

**Definition:** Amount of cash premium made available in order to encourage participation in the offer. Payment is made to a third party who has solicited an entity to take part in the offer.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.273 CashInLieuOfShare <CshInLieuOfShr>

**Presence:** [0..1]

**Definition:** Cash disbursement in lieu of a fractional quantity of, eg, equity.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency,

consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.274 OriginalAmount <OrgnlAmt>

**Presence:** [0..1]

**Definition:** Amount in its original currency when conversion from/into another currency has occurred.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.275 CapitalGain <CptlGn>

**Presence:** [0..1]

**Definition:** Amount of money distributed as the result of a capital gain.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.276 InterestAmount <IntrstAmt>

**Presence:** [0..1]

**Definition:** Amount of money representing a coupon payment.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.277 IndemnityAmount <IndmntyAmt>

**Presence:** [0..1]

**Definition:** (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.278 RedemptionPremiumAmount <RedPrmAmt>**

**Presence:** [0..1]

**Definition:** Amount of money (not interest) in addition to the principal at the redemption of a bond.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

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The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.279 ManufacturedDividendAmount <ManfctrdDvddAmt>**

**Presence:** [0..1]

**Definition:** Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

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(Fatal) Error Code: Sw.Stds.D00005

## 4.280 PrincipalOrCorpus <PrncplOrCrps>

**Presence:** [0..1]

**Definition:** Amount of money representing a distribution of a bond's principal, eg, repayment of outstanding debt.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

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(Fatal) Error Code: Sw.Stds.D00005

## 4.281 ReinvestmentAmount <RinvstmtAmt>

**Presence:** [0..1]

**Definition:** Amount of money reinvested in additional securities.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

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The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.282 MarketClaimAmount <MktCImAmt>**

**Presence:** [0..1]

**Definition:** Amount of money resulting from a market claim.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

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The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.283 FullyFrankedAmount <FullyFrnkdAmt>**

**Presence:** [0..1]

**Definition:** Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

**CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode****ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.284 UnfrankedAmount <UfrnkAmt>**

**Presence:** [0..1]

**Definition:** Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

**CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode****ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.285 SundryOrOtherAmount <SndryOrOthrAmt>**

**Presence:** [0..1]

**Definition:** Amount of money related to taxable income that cannot be categorised.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}



**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.286 SpecialConcessionAmount <SpclCncssnAmt>

**Presence:** [0..1]

**Definition:** Amount of drawdown or other reduction from or in addition to the deal price.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.287 EntitledAmount <EntitldAmt>

**Presence:** [0..1]

**Definition:** Cash amount based on terms of corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.288 CashIncentive <CshIncntiv>

**Presence:** [0..1]

**Definition:** Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.289 AdditionalSubscriptionCost <AddtlSbcptCost>

**Presence:** [0..1]

**Definition:** Additional costs - coming on top of the subscription costs - which the subscriber should pay as per the subscription process. Not to be used for the subscription cost itself.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**  
ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

## 4.290 TaxFreeAmount <TaxFreeAmt>

**Presence:** [0..1]

**Definition:** Amount of money that has not been subject to taxation.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**  
ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

## 4.291 TaxDeferredAmount <TaxDfrdAmt>

**Presence:** [0..1]

**Definition:** Amount of income eligible for deferred taxation.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.292 GermanLocalTax1Amount <GrmnLclTax1Amt>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.293 GermanLocalTax2Amount <GrmnLclTax2Amt>

**Presence:** [0..1]

**Definition:** Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.294 GermanLocalTax3Amount <GrmnLclTax3Amt>

**Presence:** [0..1]

**Definition:** Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

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(Fatal) Error Code: Sw.Stds.D00005

#### 4.295 GermanLocalTax4Amount <GrmnLclTax4Amt>

**Presence:** [0..1]

**Definition:** Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.296 StockExchangeTaxAmount <StockXchgTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of stock exchange tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.297 TransferTaxAmount <TrfTaxAmt>

**Presence:** [0..1]

**Definition:** Tax levied on a transfer of ownership of financial instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.298 TransactionTaxAmount <TxTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of transaction tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.299 ValueAddedTaxAmount <ValAddedTaxAmt>

**Presence:** [0..1]

**Definition:** Tax on value added.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.300 EURetentionTaxAmount <EURtnTaxAmt>

**Presence:** [0..1]

**Definition:** Total amount of tax withheld at source in conformance with the EU Savings Directive.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005



### 4.301 LocalTaxAmount <LclTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of tax charged by the jurisdiction in which the financial instrument settles.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.302 PaymentLevyTaxAmount <PmtLevyTaxAmt>

**Presence:** [0..1]

**Definition:** Payment levy tax.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.303 CountryNationalFederalTaxAmount <CtryNtlFdrITaxAmt>

**Presence:** [0..1]

**Definition:** Amount of country, national or federal tax charged by the jurisdiction in which the account servicer is located.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.304 StampDutyAmount <StmpDtyAmt>

**Presence:** [0..1]

**Definition:** Amount of stamp duty.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.305 TaxReclaimAmount <TaxRclmAmt>

**Presence:** [0..1]

**Definition:** Amount that was paid in excess of actual tax obligation and was reclaimed.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.306 TaxCreditAmount <TaxCdtAmt>

**Presence:** [0..1]

**Definition:** Amount of taxes that have been previously paid in relation to the taxable event.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.307 WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of money withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.308 WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of money withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency,

consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.309 AdditionalTaxAmount <AddtlTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of additional taxes that cannot be categorised.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.310 WithholdingTaxAmount <WhldgTaxAmt>

**Presence:** [0..1]

**Definition:** Amount of a cash distribution that will be withheld by a tax authority.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.311 FiscalStampAmount <FscIStmpAmt>

**Presence:** [0..1]

**Definition:** Amount of fiscal tax to apply.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.312 ExecutingBrokerAmount <ExctgBrkrAmt>

**Presence:** [0..1]

**Definition:** Amount of money paid to an executing broker as a commission.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

#### ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.313 PayingAgentCommissionAmount <PngAgtComssnAmt>

**Presence:** [0..1]

**Definition:** Amount of paying/sub-paying agent commission.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.314 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

**Presence:** [0..1]

**Definition:** Local broker's commission.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.315 PostageFeeAmount <PstgFeeAmt>**

**Presence:** [0..1]

**Definition:** Amount of money paid for delivery by regular post mail.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.316 RegulatoryFeesAmount <RgltryFeesAmt>**

**Presence:** [0..1]

**Definition:** Amount of money charged by a regulatory authority, eg, Securities and Exchange fees.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007



**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.317 ShippingFeesAmount <ShppgFeesAmt>**

**Presence:** [0..1]

**Definition:** Amount of money (including insurance) paid for delivery by carrier.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.318 ChargesAmount <ChrgsAmt>**

**Presence:** [0..1]

**Definition:** Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.319 ExchangeRate <XchgRate>

**Presence:** [0..1]

**Definition:** Provides information about a foreign exchange.

**Type:** This message item is composed of the following *ForeignExchangeTerms8* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.320</a>		UnitCurrency	<UnitCcy>	[1..1]	Code
<a href="#">4.321</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code
<a href="#">4.322</a>		ExchangeRate	<XchgRate>	[1..1]	Rate
<a href="#">4.323</a>		ResultingAmount	<RsltAmt>	[1..1]	Amount

## 4.320 UnitCurrency <UnitCcy>

**Presence:** [1..1]

**Definition:** Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.321 QuotedCurrency <QtdCcy>

**Synonym(s):** CounterCurrency (Statement Business Modeling), IndirectCurrency (Statement Business Modeling)

**Presence:** [1..1]

**Definition:** Currency into which the base currency is converted, in a currency exchange.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.322 ExchangeRate <XchgRate>

**Synonym(s):** :92A::EXCH (ISO 15022)

**Presence:** [1..1]

**Definition:** Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

### 4.323 ResultingAmount <RsltAmt>

**Presence:** [1..1]

**Definition:** Amount of money resulting from a foreign exchange transaction.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.324 CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>

**Presence:** [0..n]

**Definition:** Provides information about the agents linked to the CA option.

**Type:** This message item is composed of the following **CorporateActionAgent1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.325		AgentIdentification	<AgtId>	[1..1]	±
4.326		AgentRole	<AgtRole>	[1..1]	
4.332		ContactPerson	<CtctPrsn>	[0..1]	±

### 4.325 AgentIdentification <AgtId>

**Presence:** [1..1]

**Definition:** Identification of the agent.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

### 4.326 AgentRole <AgtRole>

**Presence:** [1..1]

**Definition:** Role played by the agent.

**Type:** This message item is composed of one of the following *AgentRole1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.327	{ Or	Code	<Cd>	[1..1]	Code
4.328	Or }	Proprietary	<Prtry>	[1..1]	

### 4.327 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 4.326 *AgentRole*.

**Definition:** Standard code to specify the role of the agent.

**Data Type:** Code

One of the following *AgentRole2Code* values must be used:

Code	Name	Definition
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/ offeror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security

Code	Name	Definition
		proceeds related to a specific distribution to other CSD Parties.

### 4.328 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.326 AgentRole.*

**Definition:** Proprietary code to express the role of the agent.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.329</u>		Identification	<Id>	[1..1]	Text
<u>4.330</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.331</u>		Issuer	<Issr>	[1..1]	Text

### 4.329 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 4.330 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 4.331 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 4.332 ContactPerson <CtctPrsn>

**Presence:** [0..1]

**Definition:** Contact person at the agent.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Name	<Nm>	[1..1]	Text

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Address	<Adr>	[0..1]	

For additional Type information, please refer to [NameAndAddress5](#) p.749 in 'Message Item Types' section.

#### 4.333 FractionDisposition <FrctnDspstn>

**Presence:** [0..1]

**Definition:** Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Type:** This message item is composed of one of the following *FractionDispositionType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [FractionDispositionType1FormatChoice](#) p.823 in 'Message Item Types' section.

#### 4.334 RedemptionChargesAppliedIndicator <RedChrgsApldInd>

**Presence:** [0..1]

**Definition:** Indicates whether redemption charges apply.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 4.335 OptionFeatures <OptnFeatrs>

**Presence:** [0..n]

**Definition:** Specifies the features that may apply to a corporate action option.

**Type:** This message item is composed of one of the following *OptionFeatures1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [OptionFeatures1FormatChoice](#) p.828 in 'Message Item Types' section.

#### 4.336 CorporateActionAdditionalInformation <CorpActnAddtlInf>

**Presence:** [0..1]

**Definition:** Provides additional information.

**Type:** This message item is composed of the following *CorporateActionNarrative1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.337</a>		InformationConditions	<InfConds>	[0..1]	Text
<a href="#">4.338</a>		InformationToComplyWith	<InfToCmplyWth>	[0..1]	Text
<a href="#">4.339</a>		TaxationConditions	<TaxtnConds>	[0..1]	Text
<a href="#">4.340</a>		NewCompanyName	<NewCpnyNm>	[0..1]	Text
<a href="#">4.341</a>		Offeror	<Offerr>	[0..1]	±
<a href="#">4.342</a>		URLAddress	<URLAdr>	[0..1]	Text
<a href="#">4.343</a>		AdditionalText	<AddtlTxt>	[0..1]	Text

### 4.337 InformationConditions <InfConds>

**Presence:** [0..1]

**Definition:** Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 4.338 InformationToComplyWith <InfToCmplyWth>

**Presence:** [0..1]

**Definition:** Provides information conditions to the account owner that are to be complied with, eg, not open to US/ Canadian residents, QIB or SIL to be provided.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 4.339 TaxationConditions <TaxtnConds>

**Presence:** [0..1]

**Definition:** Provides taxation conditions that cannot be included within the structured fields of this message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 4.340 NewCompanyName <NewCpnyNm>

**Presence:** [0..1]

**Definition:** Provide the new name of a company following a name change

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 4.341 Offeror <Offerr>

**Presence:** [0..1]

**Definition:** Provides the entity making the offer and is different from the issuing company.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

#### 4.342 URLAddress <URLAdr>

**Presence:** [0..1]

**Definition:** Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

**Data Type:** Max256Text

**Format:** maxLength: 256  
minLength: 1

#### 4.343 AdditionalText <AddtlTxt>

**Presence:** [0..1]

**Definition:** Provides additional information or specifies in more detail the content of a message.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### Business Example

#### Narrative

An agent (ISAGGB2L) sends a CA notification cancellation request message to a CSD, Euroclear UK and Ireland (CRSTGB22). The request to withdraw a CA event that was previously announced by an Agent CA notification advice (identification: NOTIF123).

#### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	NOTIF123CANX

NotificationCancellationTypeAndLinkage	
NotificationCancellationType	Withdrawal
LinkedAgentCANotificationAdviceIdentification	NOTIF123



CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

## XML Instance

```

<AgtCANtfctnCxlReq>
  <Id>
    <Id>NOTIF123CANX</Id>
  </Id>
  <NtfctnCxlTpAndLkg>
    <NtfctnCxlTp>WITH</NtfctnCxlTp>
    <LkdAgtCANtfctnAdvId>
      <Id>NOTIF123</Id>
    </LkdAgtCANtfctnAdvId>
  </NtfctnCxlTpAndLkg>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <EvtPrgTp>
      <Cd>REOR</Cd>
    </EvtPrgTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
</AgtCANtfctnCxlReq>

```

# MX seev.011.001.01 AgentCANotificationStatusAdviceV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or change in status, of a notification advice or notification cancellation request.

### Usage

When this message is used to report the status of a notification advice then the building block Agent Corporate Action Notification Advice Identification must be present.

When this message is used to provide the status of a notification cancellation request then the building block Notification Cancellation Request Identification must be present.

### Outline

The message is composed of four building blocks:

#### 1. Identification

This building block is mandatory. It contains the identification assigned to the status advice by the sender of the message.

#### 2. Choice between Agent Corporate Action Notification Advice Identification and Agent Corporate Action Notification Cancellation Request Identification

It is a mandatory choice. It contains the identification of the notification advice or notification cancellation request that was previously received and for which a status is reported.

#### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

#### 4. Choice between Notification Advice Status and Notification Cancellation Request Status

It is a mandatory choice between:

- Notification Advice Status provides the status of the notification advice. It can be:
  - Processed Status. On top of the status, additional information may be provided.
  - Rejected Status - when the notification advice is rejected. On top of the status, a reason must be given. Additional information may also be given.
- Notification Cancellation Request Status provides the status of the notification cancellation request. It can be:
  - Processed Status. On top of the status, additional information may be given.
  - Rejected Status - when the cancellation request is rejected. On top of the status, a reason must be given. Additional information may also be given.

## Message Rules and Guidelines

### Rules

#### 1. NotificationAdviceStatusRule

If AgentCANotificationAdviceIdentification is present then NotificationAdviceStatus must be present.

#### 2. NotificationCancellationRequestStatusRule

If AgentCANotificationCancellationRequestIdentification is present then NotificationCancellationRequestStatus must be present.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCANtfcnStsAdv c>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCANotificationAdviceIdentification	<AgtCANtfcnAdvId >	[1..1]	±	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		AgentCANotificationCancellationRequestIdentification	<AgtCANtfcnCxlReq Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		NotificationCancellationRequestStatus	<NtfctnCxlReqSts>	[1..1]		
<u>5.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>5.2</u>		Status	<Sts>	[1..1]		
<u>5.3</u>	{{Or Or}}	Code	<Cd>	[1..1]	Code	
<u>5.4</u>		Proprietary	<Prtry>	[1..1]		
<u>5.5</u>		Identification	<Id>	[1..1]	Text	
<u>5.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<u>5.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<u>5.10</u>		Reason	<Rsn>	[1..n]		
<u>5.11</u>	{{Or Or}}	Code	<Cd>	[1..1]	Code	
<u>5.12</u>		Proprietary	<Prtry>	[1..1]		
<u>5.13</u>		Identification	<Id>	[1..1]	Text	
<u>5.14</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.15</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		NotificationAdviceStatus	<NtfctnAdvSts>	[1..1]		
<u>6.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>6.2</u>		Status	<Sts>	[1..1]		
<u>6.3</u>	{{Or Or}}	Code	<Cd>	[1..1]	Code	
<u>6.4</u>		Proprietary	<Prtry>	[1..1]		
<u>6.5</u>		Identification	<Id>	[1..1]	Text	
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>6.7</u>		Issuer	<Issr>	[1..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
6.8		AdditionalInformation	<AddtlInf>	[0..1]	Text	
6.9	Or}	RejectedStatus	<RjctdSts>	[1..1]		
6.10		Reason	<Rsn>	[1..n]		
6.11	{{Or	Code	<Cd>	[1..1]	Code	
6.12	Or}}	Proprietary	<Prtry>	[1..1]		
6.13		Identification	<Id>	[1..1]	Text	
6.14		SchemeName	<SchmeNm>	[0..1]	Text	
6.15		Issuer	<Issr>	[1..1]	Text	
6.16		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCANotificationStatusAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCANotificationAdviceIdentification <AgtCANtfctnAdvclId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Notification Advice for which a status is given.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 3.0 AgentCANotificationCancellationRequestIdentification <AgtCANtfctnCxlReqId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Notification Cancellation Request for which a status is given.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

#### 4.0 CorporateActionGeneralInformation <CorpActnGnllInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation2* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[1..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	
	OtherUnderlyingSecurity	<OthrUndrlygScty>	[0..n]	

For additional Type information, please refer to *CorporateActionInformation2* p.689 in 'Message Item Types' section.

#### 5.0 NotificationCancellationRequestStatus <NtfctnCxlReqSts>

**Presence:** [1..1]

**Definition:** Status of the Notification Cancellation Request sent by the issuer (agent).

**Type:** The *NotificationCancellationRequestStatus* block is composed of one of the following *NotificationCancellationRequestStatus1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>5.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

##### 5.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

This message item is part of choice 5.0 *NotificationCancellationRequestStatus*.

**Definition:** Provides information about the processing status of the cancellation request.

**Type:** This message item is composed of the following *NotificationCancellationProcessingStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.2</u>		Status	<Sts>	[1..1]	
<u>5.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following *ProcessedStatus2FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 5.2 *Status*.

**Definition:** Standard code to specify the processing status of a cancellation request.

**Data Type:** Code

One of the following *ProcessedStatus2Code* values must be used:

Code	Name	Definition
COMP	Completed	The request has been completed.
RECE	Received	The instruction/request has been received.

## 5.4 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 5.2 *Status*.

**Definition:** Proprietary code to express the processing status of a cancellation request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.5</u>		Identification	<Id>	[1..1]	Text
<u>5.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.7</u>		Issuer	<Issr>	[1..1]	Text

## 5.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 5.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 5.0 NotificationCancellationRequestStatus.*

**Definition:** Provides information about the rejection status.

**Type:** *This message item is composed of the following NotificationCancellationRejectionReason1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.10</u>		Reason	<Rsn>	[1..n]	
<u>5.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** *This message item is composed of one of the following RejectionReason11FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.11</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.12</u>	Or}	Proprietary	<Prtry>	[1..1]	



## 5.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.10 **Reason**.*

**Definition:** Standard code to specify the reason of a rejection of the notification cancellation request.

**Data Type:** Code

*One of the following **RejectionReason11Code** values must be used:*

Code	Name	Definition
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.

## 5.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.10 **Reason**.*

**Definition:** Proprietary code to express the reason of a rejection of the notification cancellation request.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.13</u>		Identification	<Id>	[1..1]	Text
<u>5.14</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.15</u>		Issuer	<Issr>	[1..1]	Text

## 5.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 5.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.0 NotificationAdviceStatus <NtfctnAdvcsSts>

**Presence:** [1..1]

**Definition:** Status of the notification advice sent by the issuer (agent).

**Type:** *The NotificationAdviceStatus block is composed of one of the following NotificationAdviceStatus1Choice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>6.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

### 6.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 NotificationAdviceStatus.*

**Definition:** Provides information about the processing status of advice.

**Type:** *This message item is composed of the following NotificationProcessingStatus1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.2</u>		Status	<Sts>	[1..1]	
<u>6.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

### 6.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** *This message item is composed of one of the following ProcessedStatus1FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 6.3 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.2 Status.*

**Definition:** Standard code to specify to express the processing status of the notification advice.

**Data Type:** Code

*One of the following **ProcessedStatus1Code** values must be used:*

Code	Name	Definition
PACK	Accepted	The request is accepted.
RECE	Received	The instruction/request has been received.
SENT	SentToMarket	The advice has been sent to the market.
WARN	AcceptedWithWarning	The announcement has been accepted but another source has provided different information.

### 6.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.2 Status.*

**Definition:** Proprietary code to express the processing status of the notification advice.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>6.5</u>		Identification	<Id>	[1..1]	Text
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>6.7</u>		Issuer	<Issr>	[1..1]	Text

### 6.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 NotificationAdviceStatus.*

**Definition:** Provides information about the rejection status.

**Type:** *This message item is composed of the following **NotificationRejectionReason1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.10</u>		Reason	<Rsn>	[1..n]	
<u>6.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 6.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** *This message item is composed of one of the following **RejectionReason6FormatChoice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.11</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.12</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 6.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of the notification advice.

**Data Type:** Code

*One of the following **RejectionReason6Code** values must be used:*

Code	Name	Definition
ACRU	UnexpectedAccruedInterest	Accrued interest is not applicable for this type of security.
AGID	NotDefinedAgent	Party is not defined as an agent
BDAY	NotBusinessDay	Date is not a business day for the security market
DFLT	MissingDefaultOption	One option must be marked as the default.

Code	Name	Definition
DISP	NotEligibleDisperseSecurity	The disperse security is not eligible in the I(CSD) Service Provider Owner.
ELIG	NonEligibleSecurity	The security is not eligible.
ENDP	MissingPeriodEndDate	Period Ending Date or Code is missing.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
GAMN	GrossAmountLessThanNetAmount	Gross amount is less than net amount.
MCER	MissingCertification	Certification Indicator is not filled in.
NOAC	NoAccessToService	Agent has no access to the service.
NPAT	NonActiveParty	Party is not active.
PDAY	EffectiveDayAfterPaymentDate	Effective date is after the payment date.
PERI	PeriodEndDateBeforeStartDate	Period Ending date is before Period Starting date.
RDTE	RecordDateAfterPaymentDate	Record date is after payment date.
RESU	MissingResultingAmount	Resulting amount must be filled in as Exchange rate is present.
SECO	MissingOption	A second option is mandatory.
SECT	WrongSecurityType	Security type does not match with the type of corporate action.
STAR	MissingPeriodStartDate	Period Starting Date or Code is missing
VALU	ValueDateBeforePaymentDate	Value date is before payment date.
XRAT	MissingExchangeRate	There cannot be a resulting amount without an exchange rate.

## 6.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of the notification advice.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.13</a>		Identification	<Id>	[1..1]	Text
<a href="#">6.14</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">6.15</a>		Issuer	<Issr>	[1..1]	Text

### 6.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

After having completed the cancellation request, the CSD sends the status of the CA notification cancellation request (identification: NOTIF123CANX) through a Agent CA notification status advice.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	NOTIF123CANXSTAT

AgentCorporateActionNotificationCancellationRequestIdentification	
Identification	NOTIF123CANX

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L

CorporateActionGeneralInformation	
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

NotificationCancellationRequestStatus	
ProcessedStatus	Completed

## XML Instance

```

<AgtCANtfctnStsAdv>
  <Id>
    <Id>NOTIF123CANXSTAT</Id>
  </Id>
  <AgtCANtfctnCxlReqId>
    <Id>NOTIF123CANX</Id>
  </AgtCANtfctnCxlReqId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <EvtPrcgTp>
      <Cd>REOR</Cd>
    </EvtPrcgTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
      <SfkpgPlc>
        <BICOrBEI>CRSTGB22</BICOrBEI>
      </SfkpgPlc>
    </UndrlygScty>
  </CorpActnGnlInf>
  <NtfctnCxlReqSts>
    <PrdSts>
      <Sts>

```

```
    <Cd>COMP</Cd>
  </Sts>
</PrcdSts>
</NtfctnCxlReqSts>
</AgtCANtfctnStsAdv>
```



## MX seev.012.001.01 AgentCAElectionAdviceV01

### Message Functionality

#### Scope

This message is sent by a CSD to the issuer (or its agent) to provide information about the clients' election instruction, the registration details, the delivery details, etc. In case of an election with underlying resource movements, it also confirms that these have been completed. This message may also be sent in case of an amendment of an election, once the CSD has completed the required resource movements.

#### Usage

This message can be used for a new election advice or an amended election advice.

If this message is used for a new election advice, the function of the message must be 'new election'.

If this message is used for an amended election advice, the function of the message must be 'option change' and the identification of the previously sent election advice must be present.

This message can include the cash movements and/or securities movements in the case of an election with underlying resource movements. Additionally, this message can include delivery, certification and beneficial owner details.

**Note:** this information can be also sent separately in the Agent Corporate Action Information advice message.

#### Outline

The message is composed of six building blocks:

##### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the advice by the sender of the message.

##### 2. Election Advice Type And Linkage

This building block is mandatory. It contains information about the type of election advice, i.e. whether it is a new movement that is reported or a change of option, and if it is a change of option, the linked messages.

##### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action, such as the corporate action reference and the underlying security identification.

##### 4. Election Details

This building block is mandatory. It contains detailed information about the election itself, such as the instructed quantity. It may also give additional information on any securities and cash movements.

##### 5. Additional Information

This building block is optional. It contains additional information such as the registration details and the identification of the beneficial owner.

##### 6. Contact Details

This building block is optional. It contains information about the contact person in case of any problems.

## Message Rules and Guidelines

### Rules

#### 1. OptionTypeRule

If ElectionDetails/OptionType is present, then OptionType is not allowed in any occurrences of ElectionDetails/SecuritiesMovementDetails/AccountDetails

If ElectionDetails/SecuritiesMovementDetails/AccountDetails/OptionType is present at least once then ElectionDetails/CorporateActionOptionType is not allowed.

#### 2. OptionNumberRule

If ElectionDetails/OptionNumber is present, then OptionNumber is not allowed in any occurrences of ElectionDetails/SecuritiesMovementDetails/AccountDetails

If ElectionDetails/SecuritiesMovementDetails/AccountDetails/OptionNumber is present at least once then ElectionDetails/CorporateActionOptionNumber is not allowed.

#### 3. ElectionType2Rule

If ElectionAdviceTypeAndLinkages/ElectionType is CHAN, then ElectionDetails/SecuritiesMovementDetails/AccountDetails/OptionNumber, ElectionDetails/SecuritiesMovementDetails/AccountDetails/OptionType must be present.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAElctnAdv>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		ElectionAdviceTypeAndLinkage	<ElctnAdvTpAndLk g>	[1..1]		
<u>2.1</u>		ElectionType	<ElctnTp>	[1..1]	Code	
<u>2.2</u>		PreviousAgentCAElectionAdviceIdentification	<PrvsAgtCAElctnAdv cId>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.3</u>		AgentCAElectionStatusAdviceIdentification	<AgtCAElctnStsAdvId>	[0..1]	±	
<u>2.4</u>		AgentCAElectionAmendmentRequestIdentification	<AgtCAElctnAmdmntReqId>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		ElectionDetails	<ElctnDtls>	[1..1]		
<u>4.1</u>		AccountDetails	<AcctDtls>	[0..1]	±	
<u>4.2</u>		OptionType	<OptnTp>	[0..1]		
<u>4.3</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>4.4</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>4.5</u>		Identification	<Id>	[1..1]	Text	
<u>4.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>4.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>4.8</u>		OptionNumber	<OptnNb>	[0..1]	Text	
<u>4.9</u>		InstructedUnderlyingSecuritiesQuantity	<InstdUndrlygSciesQty>	[0..1]		
<u>4.10</u>	{Or	Unit	<Unit>	[1..1]	Quantity	
<u>4.11</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>4.12</u>		InstructedSecuritiesQuantityToReceive	<InstdSciesQtyToRcv>	[0..1]		
<u>4.13</u>	{Or	Unit	<Unit>	[1..1]	Quantity	
<u>4.14</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>4.15</u>		ProposedRate	<PropsdRate>	[0..1]	Rate	
<u>4.16</u>		CashMovementDetails	<CshMvmntDtls>	[0..n]		
<u>4.17</u>		PostingIdentification	<PstngId>	[0..1]	Text	
<u>4.18</u>		PostingDateTime	<PstngDtTm>	[0..1]	±	
<u>4.19</u>		PostingAmount	<PstngAmt>	[1..1]	Amount	
<u>4.20</u>		AccountDetails	<AcctDtls>	[1..2]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
4.21		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
4.22		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
4.23		AccountIdentification	<AcctId>	[1..1]	±	
4.24		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]		
4.25		SecurityIdentification	<SctyId>	[1..1]	±	
4.26		PostingDateTime	<PstngDtTm>	[0..1]	±	
4.27		PostingIdentification	<PstngId>	[0..1]	Text	
4.28		PostingQuantity	<PstngQty>	[1..1]		
4.29	{ Or	Unit	<Unit>	[1..1]	Quantity	
4.30	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.31		AccountDetails	<AcctDtls>	[1..2]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
5.0		AdditionalInformation	<AddtlInf>	[0..1]		
5.1		BeneficialOwnerDetails	<BnfcIOwnrDtls>	[0..n]		
5.2		BeneficialOwnerIdentification	<BnfcIOwnrId>	[1..1]	±	
5.3		AdditionalIdentification	<AddtlId>	[0..1]		
5.4		Identification	<Id>	[1..1]	Text	
5.5		IdentificationType	<IdTp>	[1..1]		
5.6	{ Or	Code	<Cd>	[1..1]	Code	
5.7	Or }	Proprietary	<Prtry>	[1..1]		
5.8		Identification	<Id>	[1..1]	Text	
5.9		SchemeName	<SchmeNm>	[0..1]	Text	
5.10		Issuer	<Issr>	[1..1]	Text	
5.11		Issuer	<Issr>	[0..1]	Text	
5.12		Nationality	<Ntlty>	[0..1]	Code	
5.13		DomicileCountry	<DmclCtry>	[0..1]	Code	
5.14		NonDomicileCountry	<NonDmclCtry>	[0..1]	Code	
5.15		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
5.16		CertificationType	<CertfctnTp>	[0..1]	±	
5.17		DeclarationDetails	<DclrtDtls>	[0..1]	Text	
5.18		SecurityIdentification	<SctyId>	[0..1]	±	
5.19		ElectedSecuritiesQuantity	<ElctdSctiesQty>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
5.20	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
5.21		FaceAmount	<FaceAmt>	[1..1]	Amount	
5.22		RegistrationDetails	<RegnDtls>	[0..1]	Text	
5.23		ReceiverIdentification	<RcvrId>	[0..1]	±	
5.24		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
5.25		CertificationType	<CertfctnTp>	[0..1]	±	
5.26		DeliveryDetails	<DlvryDtls>	[0..n]		
5.27	{Or Or}	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text	
5.28		CashAccountIdentification	<CshAcctId>	[1..1]	±	
5.29		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
5.30		AccountServicerIdentification	<AcctSvcrId>	[0..1]	±	
5.31		AdditionalInstruction	<AddtlInstr>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
6.0		ContactDetails	<CtctDtls>	[0..1]	±	

## Message Items Description

The following section identifies the elements of the **AgentCAElectionAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 ElectionAdviceTypeAndLinkage <ElctnAdvctpAndLkg>

**Presence:** [1..1]

**Definition:** Provides information about the type of election advice and linked messages.

**Type:** The **ElectionAdviceTypeAndLinkage** block is composed of the following **ElectionAdviceFunction1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>2.1</u>		ElectionType	<ElctnTp>	[1..1]	Code
<u>2.2</u>		PreviousAgentCAElectionAdviceIdentification	<PrvsAgtCAElctnAdvId>	[0..1]	±
<u>2.3</u>		AgentCAElectionStatusAdviceIdentification	<AgtCAElctnStsAdvId>	[0..1]	±
<u>2.4</u>		AgentCAElectionAmendmentRequestIdentification	<AgtCAElctnAmdmntReqId>	[0..1]	±

**Rule(s):** ElectionTypeRule

If ElectionType is CHAN, then PreviousAgentCAElectionAdviceIdentification and AgentCAElectionStatusAdviceIdentification are mandatory.

## 2.1 ElectionType <ElctnTp>

**Presence:** [1..1]

**Definition:** Type of election advice.

**Data Type:** Code

One of the following **ElectionType1Code** values must be used:

Code	Name	Definition
CHAN	OptionChange	Election advice which is the result of an option change.
NEWM	NewElection	New election advice.

## 2.2 PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvId>

**Presence:** [0..1]

**Definition:** Identification of the previous Agent Corporate Action Election Advice that is being amended.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

## 2.3 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId>

**Presence:** [0..1]

**Definition:** Identification of the Agent Corporate Action Election Status Advice by which the issuer (agent) accepts the election amendment request.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.4 AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>

**Presence:** [0..1]

**Definition:** Identification of the Agent Corporate Action Election Amendment Request by which the CSD request the authorisation to amend an election.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 3.0 CorporateActionGeneralInformation <CorpActnGnllInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 4.0 ElectionDetails <ElctnDtls>

**Presence:** [1..1]

**Definition:** Provides information about the election(s).

**Type:** The **ElectionDetails** block is composed of the following [CorporateActionElection3](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.1</a>		AccountDetails	<AcctDtls>	[0..1]	±
<a href="#">4.2</a>		OptionType	<OptnTp>	[0..1]	
<a href="#">4.8</a>		OptionNumber	<OptnNb>	[0..1]	Text

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">4.9</a>		InstructedUnderlyingSecuritiesQuantity	<InstdUndrlygSctiesQty>	[0..1]	
<a href="#">4.12</a>		InstructedSecuritiesQuantityToReceive	<InstdSctiesQtyToRcv>	[0..1]	
<a href="#">4.15</a>		ProposedRate	<PropsdRate>	[0..1]	Rate
<a href="#">4.16</a>		CashMovementDetails	<CshMvmntDtls>	[0..n]	
<a href="#">4.24</a>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]	

## 4.1 AccountDetails <AcctDtls>

**Presence:** [0..1]

**Definition:** Provides information about the account.

**Type:** This message item is composed of the following *SecuritiesAccount7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text

For additional Type information, please refer to *SecuritiesAccount7* p.625 in 'Message Item Types' section.

## 4.2 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">4.3</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">4.4</a>	Or}	Proprietary	<Prtry>	[1..1]	

## 4.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **4.2 OptionType**.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.



Code	Name	Definition
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

#### 4.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.2 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.5</u>		Identification	<Id>	[1..1]	Text
<u>4.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.7</u>		Issuer	<Issr>	[1..1]	Text

#### 4.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.8 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

#### 4.9 InstructedUnderlyingSecuritiesQuantity <InstdUndrlygScitiesQty>

**Presence:** [0..1]

**Definition:** Quantity of underlying securities to which this instruction applies.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.10	{Or	Unit	<Unit>	[1..1]	Quantity
4.11	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.10 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.9 **InstructedUnderlyingSecuritiesQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.11 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.9 InstructedUnderlyingSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.12 InstructedSecuritiesQuantityToReceive <InstdSciesQtyToRcv>

**Presence:** [0..1]

**Definition:** Quantity of the benefits that the account owner wants to receive, eg, as a result of dividend reinvestment.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.13	{Or	Unit	<Unit>	[1..1]	Quantity
4.14	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.13 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.12 InstructedSecuritiesQuantityToReceive.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

#### 4.14 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice **4.12 InstructedSecuritiesQuantityToReceive**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.15 ProposedRate <PropsdRate>

**Presence:** [0..1]

**Definition:** Rate proposed in a remarketing of variable rate notes.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10

totalDigits: 11

#### 4.16 CashMovementDetails <CshMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the cash movement resulting from the election instruction.

**Type:** This message item is composed of the following **CorporateActionCashMovements2** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.17</u>		PostingIdentification	<PstngId>	[0..1]	Text
<u>4.18</u>		PostingDateTime	<PstngDtTm>	[0..1]	±
<u>4.19</u>		PostingAmount	<PstngAmt>	[1..1]	Amount
<u>4.20</u>		AccountDetails	<AcctDtls>	[1..2]	

#### 4.17 PostingIdentification <PstngId>

**Presence:** [0..1]

**Definition:** Posting identification of the cash movement.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### 4.18 PostingDateTime <PstngDtTm>

**Presence:** [0..1]

**Definition:** Posting date of the cash movement.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Date	<Dt>	[1..1]	DateTime
Or}	DateTime	<DtTm>	[1..1]	DateTime

For additional Type information, please refer to *DateAndDateTimeChoice* p.656 in 'Message Item Types' section.

#### 4.19 PostingAmount <PstngAmt>

**Presence:** [1..1]

**Definition:** Amount posted as a result of the cash movement.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.20 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited as a result of the movement.

**Type:** This message item is composed of the following *CashAccount19* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.21		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
4.22		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
4.23		AccountIdentification	<AcctId>	[1..1]	±

#### 4.21 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 4.22 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

#### 4.23 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following **AccountIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to **AccountIdentification2Choice** p.649 in 'Message Item Types' section.

#### 4.24 SecuritiesMovementDetails <SctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the securities movement resulting from the election instruction.

**Type:** This message item is composed of the following *CorporateActionSecuritiesMovement2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.25		SecurityIdentification	<SctyId>	[1..1]	±
4.26		PostingDateTime	<PstngDtTm>	[0..1]	±
4.27		PostingIdentification	<PstngId>	[0..1]	Text
4.28		PostingQuantity	<PstngQty>	[1..1]	
4.31		AccountDetails	<AcctDtls>	[1..2]	±

#### 4.25 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

#### 4.26 PostingDateTime <PstngDtTm>

**Presence:** [0..1]

**Definition:** Date and time of the posting of the movement.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	DateTime
Or }	DateTime	<DtTm>	[1..1]	DateTime

For additional Type information, please refer to *DateAndDateTimeChoice* p.656 in 'Message Item Types' section.

#### 4.27 PostingIdentification <PstngId>

**Presence:** [0..1]

**Definition:** Posting identification of the securities movement.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 4.28 PostingQuantity <PstngQty>

**Presence:** [1..1]

**Definition:** Securities quantity posted as a result of the securities movement.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.29	{Or	Unit	<Unit>	[1..1]	Quantity
4.30	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.29 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.28 **PostingQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 4.30 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.28 **PostingQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.31 AccountDetails <AcctDtls>

**Presence:** [1..2]



**Definition:** Provides information about the account which is debited/credited as a result of the movement.

**Type:** This message item is composed of the following **SecuritiesAccount9** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text
	BalanceType	<BalTp>	[0..1]	
	OptionType	<OptnTp>	[0..1]	
	OptionNumber	<OptnNb>	[0..1]	Text
	SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code

For additional Type information, please refer to **SecuritiesAccount9** p.640 in 'Message Item Types' section.

## 5.0 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Provides additional information about the delivery details, beneficial owner details, etc.

**Type:** The **AdditionalInformation** block is composed of the following **CorporateActionAdditionalInformation1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1</u>		BeneficialOwnerDetails	<BnfcIOwnrDtls>	[0..n]	
<u>5.22</u>		RegistrationDetails	<RegnDtls>	[0..1]	Text
<u>5.23</u>		ReceiverIdentification	<RcvrId>	[0..1]	±
<u>5.24</u>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<u>5.25</u>		CertificationType	<CertfctnTp>	[0..1]	±
<u>5.26</u>		DeliveryDetails	<DlvryDtls>	[0..n]	
<u>5.31</u>		AdditionalInstruction	<AddtlInstr>	[0..1]	Text

### 5.1 BeneficialOwnerDetails <BnfcIOwnrDtls>

**Presence:** [0..n]

**Definition:** Provides information about the beneficial owner of the securities.

**Type:** This message item is composed of the following **BeneficialOwner1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.2</u>		BeneficialOwnerIdentification	<BnfcIOwnrId>	[1..1]	±
<u>5.3</u>		AdditionalIdentification	<AddtlId>	[0..1]	
<u>5.12</u>		Nationality	<Ntlty>	[0..1]	Code
<u>5.13</u>		DomicileCountry	<DmclCtry>	[0..1]	Code

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">5.14</a>		NonDomicileCountry	<NonDmclCtry>	[0..1]	Code
<a href="#">5.15</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<a href="#">5.16</a>		CertificationType	<CertfctnTp>	[0..1]	±
<a href="#">5.17</a>		DeclarationDetails	<DclrtnDtls>	[0..1]	Text
<a href="#">5.18</a>		SecurityIdentification	<SctyId>	[0..1]	±
<a href="#">5.19</a>		ElectedSecuritiesQuantity	<ElctdSciesQty>	[1..1]	

## 5.2 BeneficialOwnerIdentification <BnfcIOwnrId>

**Presence:** [1..1]

**Definition:** Identification of the party that is the beneficial owner of the specified securities.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 5.3 AdditionalIdentification <AddtlId>

**Presence:** [0..1]

**Definition:** Additional identification of the party that is the beneficial owner of the specified securities.

**Type:** This message item is composed of the following *GenericIdentification16* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">5.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">5.5</a>		IdentificationType	<IdTp>	[1..1]	
<a href="#">5.11</a>		Issuer	<Issr>	[0..1]	Text

## 5.4 Identification <Id>

**Presence:** [1..1]

**Definition:** The identifier.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.5 IdentificationType <IdTp>

**Presence:** [1..1]

**Definition:** Specifies the nature of the identifier.

**Type:** This message item is composed of one of the following *PersonIdentificationType3Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.6</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.7</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.6 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 5.5 *IdentificationType*.

**Definition:** Person identification expressed as a code.

**Data Type:** Code

One of the following *PersonIdentificationType3Code* values must be used:

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

## 5.7 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 5.5 *IdentificationType*.

**Definition:** Person identification expressed as a proprietary code.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.8</u>		Identification	<Id>	[1..1]	Text
<u>5.9</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.10</u>		Issuer	<Issr>	[1..1]	Text

## 5.8 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.9 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.10 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.11 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Party that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.12 Nationality <Ntlty>

**Presence:** [0..1]

**Definition:** Nationality of the beneficial owner.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 5.13 DomicileCountry <DmclCtry>

**Presence:** [0..1]

**Definition:** Country in which a person is permanently domiciled (the place of a person's permanent home).

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 5.14 NonDomicileCountry <NonDmclCtry>

**Presence:** [0..1]

**Definition:** The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 5.15 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 5.16 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following **BeneficiaryCertificationType1FormatChoice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **BeneficiaryCertificationType1FormatChoice** p.665 in 'Message Item Types' section.

## 5.17 DeclarationDetails <DclrtnDtls>

**Presence:** [0..1]

**Definition:** Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 5.18 SecurityIdentification <Sctyld>

**Presence:** [0..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following **SecurityIdentification7** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to [SecurityIdentification7](#) p.806 in 'Message Item Types' section.

## 5.19 ElectedSecuritiesQuantity <ElctdScitiesQty>

**Presence:** [1..1]

**Definition:** Quantity of securities elected by to the beneficial owner.

**Type:** This message item is composed of one of the following [UnitOrFaceAmount1Choice](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u><a href="#">5.20</a></u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u><a href="#">5.21</a></u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.20 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice [5.19 ElectedSecuritiesQuantity](#).

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.21 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice [5.19 ElectedSecuritiesQuantity](#).

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.22 RegistrationDetails <RegnDtls>

**Presence:** [0..1]

**Definition:** Provides information required for the registration.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 5.23 ReceiverIdentification <RcvrId>

**Presence:** [0..1]

**Definition:** Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 5.24 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Y: certification required

N: no certification required

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 5.25 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following *BeneficiaryCertificationType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *BeneficiaryCertificationType1FormatChoice* p.665 in 'Message Item Types' section.

## 5.26 DeliveryDetails <DlvryDtls>

**Presence:** [0..n]

**Definition:** Provides information about the delivery details of proceeds.

**Type:** This message item is composed of the following *ProceedsDelivery1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.27</a>	{Or	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text
<a href="#">5.28</a>	Or}	CashAccountIdentification	<CshAcctId>	[1..1]	±
<a href="#">5.29</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">5.30</a>		AccountServicerIdentification	<AcctSvrId>	[0..1]	±

## 5.27 SecuritiesAccountIdentification <SctiesAcctId>

**Presence:** [1..1]

This message item is part of choice [5.26 DeliveryDetails](#).

**Definition:** identification of the securities account to which the securities have to be delivered.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.28 CashAccountIdentification <CshAcctId>

**Presence:** [1..1]

This message item is part of choice [5.26 DeliveryDetails](#).

**Definition:** Identification of the cash account to which the cash has to be delivered.

**Type:** This message item is composed of one of the following *CashAccountIdentification1Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	IBAN	<IBAN>	[1..1]	Identifier
Or	BBAN	<BBAN>	[1..1]	Identifier
Or	UPIC	<UPIC>	[1..1]	Identifier
Or}	DomesticAccount	<DmstAcct>	[1..1]	

For additional Type information, please refer to [CashAccountIdentification1Choice](#) p.650 in 'Message Item Types' section.

## 5.29 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	



For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 5.30 AccountServicerIdentification <AcctSvcrid>

**Presence:** [0..1]

**Definition:** Identification of the institution servicing the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 5.31 AdditionalInstruction <AddtlInstr>

**Presence:** [0..1]

**Definition:** Provides additional details pertaining to the corporate action instruction.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.0 ContactDetails <CtctDtls>

**Presence:** [0..1]

**Definition:** Contact responsible for the transaction identified in the message.

**Type:** This message item is composed of the following **ContactPerson1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	ContactPerson	<CtctPrsn>	[1..1]	
	InstitutionIdentification	<InstnId>	[0..1]	

For additional Type information, please refer to **ContactPerson1** p.743 in 'Message Item Types' section.

## Business Example

### Narrative

After having received an instruction from its client to choose the cash option 001 and instruct 500 units of the underlying security (ISIN GB3333A53L22), the CSD sends a CA election advice (identification: ELEC123) to the agent.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123

ElectionAdviceTypeAndLinkage	
ElectionType	New election

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

ElectionDetails	
OptionType	Cash
OptionNumber	001
InstructedUnderlyingSecuritiesQuantity	500

## XML Instance

```

<AgtCAElctnAdvc>
  <Id>
    <Id>ELEC123</Id>
  </Id>
  <ElctnAdvcTpAndLkg>
    <ElctnTp>NEWM</ElctnTp>
  </ElctnAdvcTpAndLkg>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrcgTp>
      <Cd>REOR</Cd>
    </EvtPrcgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
</AgtCAElctnAdvc>

```

```
</UndrlygScty>
</CorpActnGnlInf>
<ElctnDtls>
  <OptnTp>
    <Cd>CASH</Cd>
  </OptnTp>
  <OptnNb>001</OptnNb>
  <InstdUndrlygSctiesQty>
    <Unit>500</Unit>
  </InstdUndrlygSctiesQty>
</ElctnDtls>
</AgtCAElctnAdv>
```

## MX seev.013.001.01 AgentCAElectionAmendmentRequestV01

### Message Functionality

#### Scope

This message is sent by a CSD to the issuer (or its agent) to request the authorisation of an amendment of a previously sent Agent Corporate Action Election Advice message.

#### Usage

This message is used to request the amendment of a previously sent Agent Corporate Action Election Advice message.

Once the amendment request has been accepted by the issuer (or its agent), the CSD will process any resource movement and send an Agent Corporate Action Election Advice message with the function, option change, to confirm that the amendment has been booked at the CSD.

This message is used when the terms and conditions of the corporate action event allow amendments.

#### Outline

The message is composed of seven building blocks:

##### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the amendment request by the sender of the message.

##### 2. Agent Corporate Action Election Advice Identification

This building block is mandatory. It contains the identification of the election advice that was previously sent and for which the amendment is requested.

##### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

##### 4. Previous Election Details

This building block is mandatory. It contains the main information about the election advice that was previously sent.

##### 5. Amended Election Details

This building block is mandatory. It contains information about the amended election, such as the amended option and quantity.

##### 6. Contact Details

This building block is optional. It contains information about the contact person.

##### 7. Account Details

This building block is mandatory. It specifies details (party/account identification) of the client sending the request.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAElctnAmdmnt Req>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAElectionAdviceIdentification	<AgtCAElctnAdvId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		AccountDetails	<AcctDtls>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		OriginalElectionDetails	<OrgnlElctnDtls>	[1..1]		
<u>5.1</u>		OptionType	<OptnTp>	[1..1]		
<u>5.2</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>5.3</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>5.4</u>		Identification	<Id>	[1..1]	Text	
<u>5.5</u>		SchemeName	<SchmeNm>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">5.6</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">5.7</a>		OptionNumber	<OptnNb>	[1..1]	Text	
<a href="#">5.8</a>		OriginalInstructedQuantity	<OrgnInstdQty>	[1..1]		
<a href="#">5.9</a>	{ Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">5.10</a>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">5.11</a>		RemainingQuantity	<RmngQty>	[1..1]		
<a href="#">5.12</a>	{ Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">5.13</a>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.0</a>		AmendedElectionDetails	<AmddElctnDtls>	[1..1]		
<a href="#">6.1</a>		OptionType	<OptnTp>	[1..1]		
<a href="#">6.2</a>	{ Or	Code	<Cd>	[1..1]	Code	
<a href="#">6.3</a>	Or }	Proprietary	<Prtry>	[1..1]		
<a href="#">6.4</a>		Identification	<Id>	[1..1]	Text	
<a href="#">6.5</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">6.6</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">6.7</a>		OptionNumber	<OptnNb>	[1..1]	Text	
<a href="#">6.8</a>		NewInstructedQuantity	<NewInstdQty>	[1..1]		
<a href="#">6.9</a>	{ Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">6.10</a>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">6.11</a>		Reason	<Rsn>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">7.0</a>		ContactDetails	<CtctDtls>	[0..1]	±	

## Message Items Description

The following section identifies the elements of the **AgentCAElectionAmendmentRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the request.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 2.0 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Election Advice for which an amendment is requested.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 3.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to *CorporateActionInformation1* p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 4.0 AccountDetails <AcctDtls>

**Presence:** [1..1]

**Definition:** Provides information about the account.

**Type:** This message item is composed of the following *SecuritiesAccount7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text

For additional Type information, please refer to *SecuritiesAccount7* p.625 in 'Message Item Types' section.

## 5.0 OriginalElectionDetails <OrgnlElctnDtls>

**Presence:** [1..1]

**Definition:** Provides information about the original election to be amended.

**Type:** The *OriginalElectionDetails* block is composed of the following *CorporateActionElection1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1</u>		OptionType	<OptnTp>	[1..1]	
<u>5.7</u>		OptionNumber	<OptnNb>	[1..1]	Text
<u>5.8</u>		OriginalInstructedQuantity	<OrgnlInstdQty>	[1..1]	
<u>5.11</u>		RemainingQuantity	<RmngQty>	[1..1]	

### 5.1 OptionType <OptnTp>

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.2</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.3</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 5.2 Code <Cd>

**Presence:** [1..1]

This message item is part of choice *5.1 OptionType*.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.



Code	Name	Definition
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 5.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.1 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.4</u>		Identification	<Id>	[1..1]	Text
<u>5.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.6</u>		Issuer	<Issr>	[1..1]	Text

## 5.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.7 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## 5.8 OriginalInstructedQuantity <OrgnInstdQty>

**Presence:** [1..1]

**Definition:** Quantity of the securities that was instructed in the original election advice.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.9</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>5.10</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.9 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.8 **OriginalInstructedQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.10 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.8 OriginalInstructedQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.11 RemainingQuantity <RmngQty>

**Presence:** [1..1]

**Definition:** Remaining instructed securities quantity after the amendment of the election.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.12</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>5.13</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.12 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.11 RemainingQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 5.13 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.11 **RemainingQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.0 AmendedElectionDetails <AmddElctnDtls>

**Presence:** [1..1]

**Definition:** Provides information about the amendments to the election.

**Type:** *The **AmendedElectionDetails** block is composed of the following **CorporateActionElection2** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1</u>		OptionType	<OptnTp>	[1..1]	
<u>6.7</u>		OptionNumber	<OptnNb>	[1..1]	Text
<u>6.8</u>		NewInstructedQuantity	<NewInstQty>	[1..1]	
<u>6.11</u>		Reason	<Rsn>	[0..1]	Text

### 6.1 OptionType <OptnTp>

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** *This message item is composed of one of the following **CorporateActionOption1FormatChoice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.2</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.3</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 6.2 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.1 **OptionType**.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

*One of the following **CorporateActionOptionType1Code** values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 6.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.1 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.4</u>		Identification	<Id>	[1..1]	Text
<u>6.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>6.6</u>		Issuer	<Issr>	[1..1]	Text

### 6.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 6.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 6.7 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

### 6.8 NewInstructedQuantity <NewInstQty>

**Presence:** [1..1]

**Definition:** New instructed securities quantity after the amendment.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.9	{Or	Unit	<Unit>	[1..1]	Quantity
6.10	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 6.9 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 6.8 NewInstructedQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 6.10 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 6.8 NewInstructedQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 6.11 Reason <Rsn>

**Presence:** [0..1]

**Definition:** The reason for the amendment request.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 7.0 ContactDetails <CtctDtls>

**Presence:** [0..1]

**Definition:** Contact responsible for the transaction identified in the message.

**Type:** This message item is composed of the following **ContactPerson1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	ContactPerson	<CtctPrsn>	[1..1]	
	InstitutionIdentification	<InstnId>	[0..1]	

For additional Type information, please refer to **ContactPerson1** p.743 in 'Message Item Types' section.

## Business Example

### Narrative

A CSD sends a CA election amendment request to change option from cash option 001 to securities and cash option 001 for 200 units of the underlying securities (ISIN GB3333A53L22). The original election was sent through agent CA election advice (identification ELEC123).

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123AMEND

AgentCorporateActionElectionAdviceIdentification	
Identification	ELEC123

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

AccountDetails	
AccountIdentification	12345

OriginalElectionDetails	
OptionType	Cash



OriginalElectionDetails	
OptionNumber	001
OriginalInstructedQuantity\Unit	500
RemainingQuantity\Unit	300

AmendedElectionDetails	
OptionType	Cash and securities
OptionNumber	002
NewInstructedQuantity\Unit	200

## XML Instance

```
<AgtCAElctnAmdmntReq>
  <Id>
    <Id>ELEC123AMEND</Id>
  </Id>
  <AgtCAElctnAdvId>
    <Id>ELEC123</Id>
  </AgtCAElctnAdvId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrgTp>
      <Cd>REOR</Cd>
    </EvtPrgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <AcctDtls>
    <AcctId>12345</AcctId>
  </AcctDtls>
  <OrgnlElctnDtls>
    <OptnTp>
      <Cd>CASH</Cd>
    </OptnTp>
```

```
<OptnNb>001</OptnNb>
<OrgnlInstdQty>
  <Unit>500</Unit>
</OrgnlInstdQty>
<RmngQty>
  <Unit>500</Unit>
</RmngQty>
</OrgnlElctnDtls>
<AmddElctnDtls>
  <OptnTp>
    <Cd>CASE</Cd>
  </OptnTp>
  <OptnNb>002</OptnNb>
  <NewInstdQty>
    <Unit>200</Unit>
  </NewInstdQty>
</AmddElctnDtls>
</AgtCAElctnAmdmntReq>
```

# MX seev.014.001.01 AgentCAElectionCancellationRequestV01

## Message Functionality

### Scope

This message is sent by a CSD to the issuer (or its agent) to request the cancellation of a previously sent Agent Corporate Action Election Advice message.

### Usage

This message may only be used to cancel an entire Agent Corporate Action Election Advice message that was previously sent by the CSD. No partial cancellation is allowed.

This message must contain the identification of the Agent Corporate Action Election Advice to be cancelled, the agent identification and the corporate action references. This message may also contain details of the election advice to be cancelled, but this is not recommended.

### Outline

The message is composed of five building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the cancellation by the sender of the message.

#### 2. Agent Corporate Action Election Advice Identification

This building block is mandatory. It contains the identification of the election advice that was previously sent and for which the cancellation is requested.

#### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

#### 4. Account Details

This building block is mandatory. It specifies details (party /account identification) of the client sending the request.

#### 5. Election Details

This building block is optional. It contains the main information about the election itself, such as the corporate action option number and the instructed quantity.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAElctnCxlReq>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAElectionAdviceIdentification	<AgtCAElctnAdvId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		ElectionDetails	<ElctnDtls>	[0..1]		
<u>4.1</u>		AccountDetails	<AcctDtls>	[0..1]	±	
<u>4.2</u>		OptionType	<OptnTp>	[0..1]		
<u>4.3</u>	{Or Or}	Code	<Cd>	[1..1]	Code	
<u>4.4</u>		Proprietary	<Prtry>	[1..1]		
<u>4.5</u>		Identification	<Id>	[1..1]	Text	
<u>4.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>4.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>4.8</u>		OptionNumber	<OptnNb>	[0..1]	Text	
<u>4.9</u>		InstructedUnderlyingSecuritiesQuantity	<InstdUndrlygSciesQty>	[0..1]		
<u>4.10</u>	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
<u>4.11</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>4.12</u>		InstructedSecuritiesQuantityToReceive	<InstdSciesQtyToRcv>	[0..1]		
<u>4.13</u>	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
<u>4.14</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>4.15</u>		ProposedRate	<PropsdRate>	[0..1]	Rate	
<u>4.16</u>		CashMovementDetails	<CshMvmntDtls>	[0..n]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.17</a>		PostingIdentification	<PstngId>	[0..1]	Text	
<a href="#">4.18</a>		PostingDateTime	<PstngDtTm>	[0..1]	±	
<a href="#">4.19</a>		PostingAmount	<PstngAmt>	[1..1]	Amount	
<a href="#">4.20</a>		AccountDetails	<AcctDtls>	[1..2]		
<a href="#">4.21</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<a href="#">4.22</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<a href="#">4.23</a>		AccountIdentification	<AcctId>	[1..1]	±	
<a href="#">4.24</a>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]		
<a href="#">4.25</a>		SecurityIdentification	<SctyId>	[1..1]	±	
<a href="#">4.26</a>		PostingDateTime	<PstngDtTm>	[0..1]	±	
<a href="#">4.27</a>		PostingIdentification	<PstngId>	[0..1]	Text	
<a href="#">4.28</a>		PostingQuantity	<PstngQty>	[1..1]		
<a href="#">4.29</a>	{ Or	Unit	<Unit>	[1..1]	Quantity	
<a href="#">4.30</a>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">4.31</a>		AccountDetails	<AcctDtls>	[1..2]	±	

## Message Items Description

The following section identifies the elements of the **AgentCAElectionCancellationRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the cancellation request.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Election Advice to be cancelled.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 3.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### 4.0 ElectionDetails <ElctnDtls>

**Presence:** [0..1]

**Definition:** Provides information about the election advice to be cancelled.

**Type:** The *ElectionDetails* block is composed of the following *CorporateActionElection3* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.1</a>		AccountDetails	<AcctDtls>	[0..1]	±
<a href="#">4.2</a>		OptionType	<OptnTp>	[0..1]	
<a href="#">4.8</a>		OptionNumber	<OptnNb>	[0..1]	Text
<a href="#">4.9</a>		InstructedUnderlyingSecuritiesQuantity	<InstdUndrlygSctiesQty>	[0..1]	
<a href="#">4.12</a>		InstructedSecuritiesQuantityToReceive	<InstdSctiesQtyToRcv>	[0..1]	
<a href="#">4.15</a>		ProposedRate	<PropsdRate>	[0..1]	Rate
<a href="#">4.16</a>		CashMovementDetails	<CshMvmntDtls>	[0..n]	
<a href="#">4.24</a>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]	

## 4.1 AccountDetails <AcctDtls>

**Presence:** [0..1]

**Definition:** Provides information about the account.

**Type:** This message item is composed of the following *SecuritiesAccount7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text

For additional Type information, please refer to *SecuritiesAccount7* p.625 in 'Message Item Types' section.

## 4.2 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
4.3	{Or	Code	<Cd>	[1..1]	Code
4.4	Or}	Proprietary	<Prtry>	[1..1]	

## 4.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 4.2 *OptionType*.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.

Code	Name	Definition
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

#### 4.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.2 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.5</u>		Identification	<Id>	[1..1]	Text
<u>4.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.7</u>		Issuer	<Issr>	[1..1]	Text

#### 4.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text



**Format:** maxLength: 35  
minLength: 1

#### 4.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.8 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

#### 4.9 InstructedUnderlyingSecuritiesQuantity <InstdUndrlygScitiesQty>

**Presence:** [0..1]

**Definition:** Quantity of underlying securities to which this instruction applies.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.10	{Or	Unit	<Unit>	[1..1]	Quantity
4.11	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.10 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.9 InstructedUnderlyingSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.11 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.9 InstructedUnderlyingSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
ActiveCurrencyCode

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.12 InstructedSecuritiesQuantityToReceive <InstdScitiesQtyToRcv>

**Presence:** [0..1]

**Definition:** Quantity of the benefits that the account owner wants to receive, eg, as a result of dividend reinvestment.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.13	{Or	Unit	<Unit>	[1..1]	Quantity
4.14	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.13 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice **4.12 InstructedSecuritiesQuantityToReceive**.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 4.14 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice **4.12 InstructedSecuritiesQuantityToReceive**.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

#### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 4.15 ProposedRate <PropsdRate>

**Presence:** [0..1]

**Definition:** Rate proposed in a remarketing of variable rate notes.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10  
totalDigits: 11

### 4.16 CashMovementDetails <CshMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the cash movement resulting from the election instruction.

**Type:** This message item is composed of the following *CorporateActionCashMovements2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.17</a>		PostingIdentification	<PstngId>	[0..1]	Text
<a href="#">4.18</a>		PostingDateTime	<PstngDtTm>	[0..1]	±
<a href="#">4.19</a>		PostingAmount	<PstngAmt>	[1..1]	Amount
<a href="#">4.20</a>		AccountDetails	<AcctDtls>	[1..2]	

### 4.17 PostingIdentification <PstngId>

**Presence:** [0..1]

**Definition:** Posting identification of the cash movement.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 4.18 PostingDateTime <PstngDtTm>

**Presence:** [0..1]

**Definition:** Posting date of the cash movement.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	DateTime

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	DateTime	<DtTm>	[1..1]	DateTime

For additional Type information, please refer to [DateAndDateTimeChoice](#) p.656 in 'Message Item Types' section.

## 4.19 PostingAmount <PstngAmt>

**Presence:** [1..1]

**Definition:** Amount posted as a result of the cash movement.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.20 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited as a result of the movement.

**Type:** *This message item is composed of the following **CashAccount19** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.21</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<u>4.22</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<u>4.23</u>		AccountIdentification	<AcctId>	[1..1]	±

## 4.21 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

## 4.22 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

## 4.23 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following **AccountIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to **AccountIdentification2Choice** p.649 in 'Message Item Types' section.

## 4.24 SecuritiesMovementDetails <SctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the securities movement resulting from the election instruction.

**Type:** This message item is composed of the following **CorporateActionSecuritiesMovement2** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>4.25</u>		SecurityIdentification	<SctyId>	[1..1]	±
<u>4.26</u>		PostingDateTime	<PstngDtTm>	[0..1]	±
<u>4.27</u>		PostingIdentification	<PstngId>	[0..1]	Text
<u>4.28</u>		PostingQuantity	<PstngQty>	[1..1]	
<u>4.31</u>		AccountDetails	<AcctDtls>	[1..2]	±

## 4.25 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

## 4.26 PostingDateTime <PstngDtTm>

**Presence:** [0..1]

**Definition:** Date and time of the posting of the movement.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	DateTime
Or }	DateTime	<DtTm>	[1..1]	DateTime

For additional Type information, please refer to *DateAndDateTimeChoice* p.656 in 'Message Item Types' section.

## 4.27 PostingIdentification <PstngId>

**Presence:** [0..1]

**Definition:** Posting identification of the securities movement.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 4.28 PostingQuantity <PstngQty>

**Presence:** [1..1]

**Definition:** Securities quantity posted as a result of the securities movement.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.29	{ Or	Unit	<Unit>	[1..1]	Quantity
4.30	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.29 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.28 **PostingQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.30 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.28 **PostingQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.31 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited as a result of the movement.

**Type:** *This message item is composed of the following **SecuritiesAccount9** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text
	BalanceType	<BalTp>	[0..1]	
	OptionType	<OptnTp>	[0..1]	
	OptionNumber	<OptnNb>	[0..1]	Text
	SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code

For additional Type information, please refer to *[SecuritiesAccount9](#)* p.640 in 'Message Item Types' section.

## Business Example

### Narrative

A CSD sends a CA election cancellation request message to a CSD, Euroclear UK and Ireland (CRSTGB22). The request to cancel an election that was previously sent (identification: ELEC123).

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123CANX

AgentCorporateActionElectionAdviceIdentification	
Identification	ELEC123

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

### XML Instance

```
<AgtCAElctnCxlReq>
  <Id>
    <Id>ELEC123CANX</Id>
  </Id>
  <AgtCAElctnAdvId>
    <Id>ELEC123</Id>
  </AgtCAElctnAdvId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
```



```
<MndtryVlntryEvtTp>
  <Cd>VOLU</Cd>
</MndtryVlntryEvtTp>
<EvtPrcgTp>
  <Cd>REOR</Cd>
</EvtPrcgTp>
<UndrlygScty>
  <SctyId>
    <ISIN>GB3333A53L22</ISIN>
  </SctyId>
</UndrlygScty>
</CorpActnGnlInf>
</AgtCAElctnCxlReq>
```

## MX seev.015.001.01 AgentCAElectionStatusAdviceV01

### Message Functionality

#### Scope

This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of:

- a corporate action election advice;
- an election cancellation request; or
- an election amendment request.

#### Usage

This message must be sent in response to an:

- Agent Corporation Action Election Advice to provide the status of an election advice in the case of a rejection. However, it may also be used in all other situations, in which case, the building blocks Election Advice Identification and the Election Advice Status must be present.
- Agent Corporation Action Election Cancellation Request to provide the status of the cancellation request, in which case, the building blocks Election Cancellation Request Identification and the Election Cancellation Request Status must be present.
- Agent Corporation Action Election Amendment Request to provide the status of the amendment request, in which case, the building blocks Election Amendment Request Identification and the Election Amendment Request Status must be present.

#### Outline

The message is composed of four building blocks:

##### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the status by the sender of the message.

##### 2. Choice between Agent Corporate Action Election Advice Identification, Agent Corporate Action Election Cancellation Request Identification and Agent Corporate Action Election Amendment Request Identification.

It is a mandatory choice. It contains the identification of the election advice, election cancellation request or election amendment request that was previously received and for which a status is reported.

##### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

##### 4. Choice between Election Advice Status, Election Cancellation Request Status and Election Amendment Request Status

This building block is mandatory. It contains the main information about the election advice that was previously sent.

This is a mandatory choice between:

- Election Advice Status provides the status of the election advice. It can be:
  - Processed Status. On top of the status, additional information may be given.
  - Rejected Status - when the election advice is rejected. On top of the status, a reason must be given. Additional information may also be given.
- Election Cancellation Request Status provides the status of the election cancellation request. It can be:
  - Processed Status. On top of the status, additional information may be given.
  - Rejected Status - when the election cancellation is rejected. On top of the status, a reason must be given. Additional information may also be given.
- Election Amendment Request Status provides the status of the election amendment request. It can be:
  - Processed Status. On top of the status, additional information may be given.
  - Rejected Status - when the election amendment request is rejected. On top of the status, a reason must be given. Additional information may also be given.

## Message Rules and Guidelines

### Rules

#### 1. ElectionAdviceStatusRule

If AgentCAElectionAdviceIdentification is present, then ElectionAdviceStatus must be present.

#### 2. ElectionAmendmentRequestStatusRule

If AgentCAElectionAmendmentRequestIdentification is present, then ElectionAmendmentRequestStatus must be present.

#### 3. ElectionCancellationRequestStatusRule

If AgentCAElectionCancellationRequestIdentification is present, then ElectionCancellationRequestStatus must be present.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAElctnStsAdv >	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAElectionAdviceIdentification	<AgtCAElctnAdvId>	[1..1]	±	

Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		AgentCAElectionCancellationRequestIdentification	<AgtCAElctnCxlReqId>	[1..1]	±	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		AgentCAElectionAmendmentRequestIdentification	<AgtCAElctnAmdmntReqId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		ElectionAdviceStatus	<ElctnAdvSts>	[1..1]		
<u>6.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>6.2</u>		Status	<Sts>	[1..1]		
<u>6.3</u>	{Or}	Code	<Cd>	[1..1]	Code	
<u>6.4</u>		Proprietary	<Prtry>	[1..1]		
<u>6.5</u>		Identification	<Id>	[1..1]	Text	
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>6.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<u>6.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<u>6.10</u>		Reason	<Rsn>	[1..n]		
<u>6.11</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>6.12</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>6.13</u>		Identification	<Id>	[1..1]	Text	
<u>6.14</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>6.15</u>		Issuer	<Issr>	[1..1]	Text	
<u>6.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>7.0</u>		ElectionCancellationRequestStatus	<ElctnCxlReqSts>	[1..1]		
<u>7.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>7.2</u>		Status	<Sts>	[1..1]		
<u>7.3</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>7.4</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>7.5</u>		Identification	<Id>	[1..1]	Text	
<u>7.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>7.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>7.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<u>7.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<u>7.10</u>		Reason	<Rsn>	[1..n]		
<u>7.11</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>7.12</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>7.13</u>		Identification	<Id>	[1..1]	Text	
<u>7.14</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>7.15</u>		Issuer	<Issr>	[1..1]	Text	
<u>7.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
8.0		ElectionAmendmentRequestStatus	<ElctnAmdmntReqSts>	[1..1]		
8.1	{Or Or}}	ProcessedStatus	<PrcdSts>	[1..1]		
8.2		Status	<Sts>	[1..1]		
8.3		Code	<Cd>	[1..1]	Code	
8.4		Proprietary	<Prtry>	[1..1]		
8.5		Identification	<Id>	[1..1]	Text	
8.6		SchemeName	<SchmeNm>	[0..1]	Text	
8.7		Issuer	<Issr>	[1..1]	Text	
8.8		AdditionalInformation	<AddtlInf>	[0..1]	Text	
8.9		RejectedStatus	<RjctdSts>	[1..1]		
8.10		Reason	<Rsn>	[1..n]		
8.11		Code	<Cd>	[1..1]	Code	
8.12		Proprietary	<Prtry>	[1..1]		
8.13		Identification	<Id>	[1..1]	Text	
8.14		SchemeName	<SchmeNm>	[0..1]	Text	
8.15		Issuer	<Issr>	[1..1]	Text	
8.16		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCAElectionStatusAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Election Advice for which a status is given.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 3.0 AgentCAElectionCancellationRequestIdentification <AgtCAElctnCxlReqId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Election Cancellation Request for which a status is given.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 4.0 AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Election Amendment Request for which a status is given.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 5.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to **CorporateActionInformation1** p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 6.0 ElectionAdviceStatus <ElctnAdvcsSts>

**Presence:** [1..1]

**Definition:** Status of the election advice sent by the CSD.

**Type:** The *ElectionAdviceStatus* block is composed of one of the following *ElectionAdviceStatus1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>6.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

### 6.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

This message item is part of choice **6.0 ElectionAdviceStatus**.

**Definition:** Provides information about the processing status of advice.

**Type:** This message item is composed of the following *CorporateActionInstructionProcessingStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.2</u>		Status	<Sts>	[1..1]	
<u>6.8</u>		AdditionalInformation	<AddttlInf>	[0..1]	Text

### 6.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following *ProcessedStatus3FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 6.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **6.2 Status**.



**Definition:** Standard code to specify the processing status of an advice, a request or a movement.

**Data Type:** Code

*One of the following **ProcessedStatus3Code** values must be used:*

Code	Name	Definition
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.
RECE	Received	The instruction/request has been received.

## 6.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.2 **Status**.*

**Definition:** Proprietary code to express the processing status of an advice, a request or a movement.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.5</u>		Identification	<Id>	[1..1]	Text
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>6.7</u>		Issuer	<Issr>	[1..1]	Text

## 6.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 6.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 ElectionAdviceStatus.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *CorporateActionInstructionRejectionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.10		Reason	<Rsn>	[1..n]	
6.16		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 6.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason18FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.11	{Or	Code	<Cd>	[1..1]	Code
6.12	Or}	Proprietary	<Prtry>	[1..1]	

## 6.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of an election advice.

**Data Type:** Code

*One of the following **RejectionReason18Code** values must be used:*

Code	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INHO	InsufficientBalance	Insufficient balance.
LATT	Late	Instruction/Request arrives too late.

## 6.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of an election advice.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.13</a>		Identification	<Id>	[1..1]	Text
<a href="#">6.14</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">6.15</a>		Issuer	<Issr>	[1..1]	Text

### 6.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 7.0 ElectionCancellationRequestStatus <ElctnCxlReqSts>

**Presence:** [1..1]

**Definition:** Status of the election cancellation request sent by the CSD.

**Type:** The *ElectionCancellationRequestStatus* block is composed of one of the following *ElectionCancellationStatus1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.1</a>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<a href="#">7.9</a>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

## 7.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 7.0 ElectionCancellationRequestStatus.*

**Definition:** Provides information about the processing status of the request.

**Type:** *This message item is composed of the following **CorporateActionCancellationProcessingStatus1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.2</u>		Status	<Sts>	[1..1]	
<u>7.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 7.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** *This message item is composed of one of the following **ProcessedStatus5FormatChoice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 7.3 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.2 Status.*

**Definition:** Standard code to specify the processing status of a request.

**Data Type:** Code

*One of the following **ProcessedStatus5Code** values must be used:*

Code	Name	Definition
PACK	Accepted	The request is accepted.
RECE	Received	The instruction/request has been received.

## 7.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.2 Status.*

**Definition:** Proprietary code to express the processing status of a request.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.5</u>		Identification	<Id>	[1..1]	Text
<u>7.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.7</u>		Issuer	<Issr>	[1..1]	Text

## 7.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 7.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 7.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 7.0 ElectionCancellationRequestStatus.*

**Definition:** Provides information about the rejection status.

**Type:** *This message item is composed of the following CorporateActionCancellationRejectionStatus1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.10</u>		Reason	<Rsn>	[1..n]	
<u>7.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 7.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** *This message item is composed of one of the following RejectionReason9FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
7.11	{Or	Code	<Cd>	[1..1]	Code
7.12	Or}	Proprietary	<Prtry>	[1..1]	

### 7.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.10 **Reason**.*

**Definition:** Standard code to specify the reason of a rejection of an election cancellation request.

**Data Type:** Code

*One of the following **RejectionReason9Code** values must be used:*

Code	Name	Definition
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
LATT	Late	Instruction/Request arrives too late.
NCAN	CancellationNotAllowed	Cancellation is not allowed.

### 7.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.10 **Reason**.*

**Definition:** Proprietary code to express the reason of a rejection of an election cancellation request.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
7.13		Identification	<Id>	[1..1]	Text
7.14		SchemeName	<SchmeNm>	[0..1]	Text
7.15		Issuer	<Issr>	[1..1]	Text

### 7.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.15 Issuer <lssr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 7.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 8.0 ElectionAmendmentRequestStatus <ElctnAmdmntReqSts>

**Presence:** [1..1]

**Definition:** Status of the amendment request sent by the CSD.

**Type:** *The ElectionAmendmentRequestStatus block is composed of one of the following ElectionAmendmentStatus1Choice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>8.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

## 8.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 8.0 ElectionAmendmentRequestStatus.*

**Definition:** Provides information about the processing status of the request.

**Type:** *This message item is composed of the following CorporateActionAmendmentProcessingStatus1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.2</u>		Status	<Sts>	[1..1]	
<u>8.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 8.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** *This message item is composed of one of the following ProcessedStatus5FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.3</u>	{Or	Code	<Cd>	[1..1]	Code

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
8.4	Or}	Proprietary	<Prtry>	[1..1]	

### 8.3 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 8.2 Status.*

**Definition:** Standard code to specify the processing status of a request.

**Data Type:** Code

*One of the following **ProcessedStatus5Code** values must be used:*

Code	Name	Definition
PACK	Accepted	The request is accepted.
RECE	Received	The instruction/request has been received.

### 8.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 8.2 Status.*

**Definition:** Proprietary code to express the processing status of a request.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
8.5		Identification	<Id>	[1..1]	Text
8.6		SchemeName	<SchmeNm>	[0..1]	Text
8.7		Issuer	<Issr>	[1..1]	Text

### 8.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 8.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.



**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 8.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 8.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 8.0 ElectionAmendmentRequestStatus.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *CorporateActionAmendmentRejectionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
8.10		Reason	<Rsn>	[1..n]	
8.16		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 8.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason8FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
8.11	{ Or	Code	<Cd>	[1..1]	Code
8.12	Or }	Proprietary	<Prtry>	[1..1]	

## 8.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 8.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of an election amendment request.

**Data Type:** Code

*One of the following **RejectionReason8Code** values must be used:*

Code	Name	Definition
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
LATT	Late	Instruction/Request arrives too late.
NAMD	AmendmentNotAllowed	Amendment is not allowed.

## 8.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 8.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of an election amendment request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.13</u>		Identification	<Id>	[1..1]	Text
<u>8.14</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>8.15</u>		Issuer	<Issr>	[1..1]	Text

## 8.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 8.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 8.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 8.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

The Agent sends a CA election status advice to report the status of the amendment request. The amendment request has been rejected as amendment is not allowed.

## Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123AMENDSTAT

AgentCAElectionAmendmentRequestIdentification	
Identification	ELEC123AMEND

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

ElectionAmendmentRequestStatus	
RejectedStatus\Reason	Amendment not allowed

## XML Instance

```

<AgtCAElctnStsAdv>
  <Id>
    <Id>ELEC123AMENDSTAT</Id>
  </Id>
  <AgtCAElctnAmdmntReqId>
    <Id>ELEC123AMEND</Id>
  </AgtCAElctnAmdmntReqId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrcgTp>

```

```
<Cd>REOR</Cd>
</EvtPrgTp>
<UndrlygScty>
  <SctyId>
    <ISIN>GB3333A53L22</ISIN>
  </SctyId>
</UndrlygScty>
</CorpActnGnlInf>
<ElctnAmdmntReqSts>
  <RjctdSts>
    <Rsn>
      <Cd>NAMD</Cd>
    </Rsn>
  </RjctdSts>
</ElctnAmdmntReqSts>
</AgtCAElctnStsAdv>
```

# MX seev.016.001.01 AgentCADistributionBreakdownAdviceV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to provide distribution breakdown information for the proceeds that are to be delivered outside the CSD (e.g. when the proceeds are not eligible in the CSD).

### Usage

This message is used to provide distribution breakdown information (securities and/or cash) per account for a specific corporate action option.

**Note:** the delivery details are sent through the Agent Corporate Action Information Advice.

### Outline

The message is composed of three building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the advice by the sender of the message.

#### 2. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

#### 3. Corporate Action Distribution Details

This building block is mandatory. It contains information about the entitlements.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCADstrbtnBrkd wnAdv>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionDistributionDetails	<CorpActnDstrbtnDtl s>	[1..1]		
<u>3.1</u>		OptionType	<OptnTp>	[1..1]		
<u>3.2</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>3.3</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>3.4</u>		Identification	<Id>	[1..1]	Text	
<u>3.5</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>3.6</u>		Issuer	<Issr>	[1..1]	Text	
<u>3.7</u>		OptionNumber	<OptnNb>	[1..1]	Text	
<u>3.8</u>		RecordDate	<RcrdDt>	[0..1]	±	
<u>3.9</u>		PaymentDate	<PmtDt>	[0..1]	±	
<u>3.10</u>		AccountAndDistributionDetails	<AcctAndDstrbtnDtls >	[1..n]		
<u>3.11</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>3.12</u>		AccountIdentification	<AcctId>	[1..1]	Text	
<u>3.13</u>		SecuritiesDistributionDetails	<SctiesDstrbtnDtls>	[0..n]		
<u>3.14</u>		SecurityIdentification	<SctyId>	[1..1]	±	
<u>3.15</u>		EntitledSecuritiesQuantity	<EntitldSctiesQty>	[1..1]		
<u>3.16</u>	{Or	Unit	<Unit>	[1..1]	Quantity	
<u>3.17</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>3.18</u>		CashDistributionDetails	<CshDstrbtnDtls>	[0..n]		
<u>3.19</u>		CashAmount	<CshAmt>	[1..1]	Amount	

## Message Items Description

The following section identifies the elements of the **AgentCADistributionBreakdownAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 3.0 CorporateActionDistributionDetails <CorpActnDstrbtnDtls>

**Presence:** [1..1]

**Definition:** Provides information about the CA option and the entitlements.

**Type:** The [CorporateActionDistributionDetails](#) block is composed of the following [EntitlementAdvice1](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">3.1</a>		OptionType	<OptnTp>	[1..1]	
<a href="#">3.7</a>		OptionNumber	<OptnNb>	[1..1]	Text
<a href="#">3.8</a>		RecordDate	<RcrdDt>	[0..1]	±
<a href="#">3.9</a>		PaymentDate	<PmtDt>	[0..1]	±
<a href="#">3.10</a>		AccountAndDistributionDetails	<AcctAndDstrbtnDtls>	[1..n]	

### 3.1 OptionType <OptnTp>

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
3.2	{Or	Code	<Cd>	[1..1]	Code
3.3	Or}	Proprietary	<Prtry>	[1..1]	

### 3.2 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 3.1 *OptionType*.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.



Code	Name	Definition
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 3.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.1 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>3.4</u>		Identification	<Id>	[1..1]	Text
<u>3.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.6</u>		Issuer	<Issr>	[1..1]	Text

### 3.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.7 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

### 3.8 RecordDate <RcrdDt>

**Presence:** [0..1]

**Definition:** Date on which the holders of securities are/will be recorded for the income being paid or for entitlement to the rights or offer/privilege.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 3.9 PaymentDate <PmtDt>

**Presence:** [0..1]

**Definition:** Date on which securities/cash will be paid.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpofdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 3.10 AccountAndDistributionDetails <AcctAndDstrbtnDtls>

**Presence:** [1..n]

**Definition:** Provides information about the entitlement and the entitled account.

**Type:** This message item is composed of the following *Entitlement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.11</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<u>3.12</u>		AccountIdentification	<AcctId>	[1..1]	Text
<u>3.13</u>		SecuritiesDistributionDetails	<SctiesDstrbtnDtls>	[0..n]	
<u>3.18</u>		CashDistributionDetails	<CshDstrbtnDtls>	[0..n]	

### 3.11 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to [PartyIdentification2Choice](#) p.738 in 'Message Item Types' section.

### 3.12 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.13 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

**Presence:** [0..n]

**Definition:** Provides information about the securities distribution.

**Type:** This message item is composed of the following [SecuritiesEntitlement1](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.14</a>		SecurityIdentification	<SctyId>	[1..1]	±
<a href="#">3.15</a>		EntitledSecuritiesQuantity	<EntitldSctiesQty>	[1..1]	

### 3.14 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following [SecurityIdentification7](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to [SecurityIdentification7](#) p.806 in 'Message Item Types' section.

### 3.15 EntitledSecuritiesQuantity <EntitldSctiesQty>

**Presence:** [1..1]

**Definition:** Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

**Type:** This message item is composed of one of the following [UnitOrFaceAmount1Choice](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
3.16	{Or	Unit	<Unit>	[1..1]	Quantity
3.17	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 3.16 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 3.15 EntitledSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

### 3.17 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 3.15 EntitledSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.18 CashDistributionDetails <CshDstrbtnDtls>

**Presence:** [0..n]

**Definition:** Provides information about the cash distribution.

**Type:** *This message item is composed of the following **CashEntitlement1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
3.19		CashAmount	<CshAmt>	[1..1]	Amount

### 3.19 CashAmount <CshAmt>

**Presence:** [1..1]

**Definition:** Entitled cash amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## Business Example

### Narrative

The CSD sends to the agent the entitlement for accounts 12345, 34512 and 98765.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	123ENTL

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
MandatoryVoluntaryEventType	Voluntary
EventProcessingType	Reorganisation
UnderlyingSecurity	GB3333A53L22

CorporateActionDistributionDetails	
OptionType	CASH
OptionNumber	001
AccountAndDistributionDetails (First occurrence)	
AccountIdentification	12345
CashDistributionDetails\CashAmount	EUR 2000
AccountAndDistributionDetails (Second occurrence)	
AccountIdentification	34512
CashDistributionDetails\CashAmount	EUR 1000
AccountAndDistributionDetails (Third occurrence)	
AccountIdentification	98765
CashDistributionDetails\CashAmount	EUR 1500

## XML Instance

```

<AgtCADstrbtnBrkdwnAdv>
  <Id>
    <Id>123ENTL</Id>
  </Id>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrcgTp>
      <Cd>REOR</Cd>
    </EvtPrcgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <CorpActnDstrbtnDtls>
    <OptnTp>
      <Cd>CASH</Cd>
    </OptnTp>
    <OptnNb>001</OptnNb>
    <AcctAndDstrbtnDtls>

```

```
<AcctId>12345</AcctId>
<CshDstrbtnDtls>
  <CshAmt Ccy = "EUR">2000</CshAmt>
</CshDstrbtnDtls>
</AcctAndDstrbtnDtls>
<AcctAndDstrbtnDtls>
  <AcctId>34512</AcctId>
  <CshDstrbtnDtls>
    <CshAmt Ccy = "EUR">1000</CshAmt>
  </CshDstrbtnDtls>
</AcctAndDstrbtnDtls>
<AcctAndDstrbtnDtls>
  <AcctId>98765</AcctId>
  <CshDstrbtnDtls>
    <CshAmt Ccy = "EUR">1500</CshAmt>
  </CshDstrbtnDtls>
</AcctAndDstrbtnDtls>
</CorpActnDstrbtnDtls>
</AgtCADstrbtnBrkdwnAdv>
```

# MX seev.017.001.01 AgentCAGlobalDistributionAuthorisationRequestV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to request the authorisation to process the entitlement movements (cash and/or securities) calculated by the CSD for a given corporate action entire event, a given corporate action option and optionally a given resource.

This message can also be sent to request the issuer (or its agent) to make available / deliver the relevant resources to the CSD.

### Usage

This message is used to request the authorisation to process the entitlement movements calculated by the CSD for a given corporate action event and option. An Agent Corporate Action Global Distribution Authorisation Request message must be sent for each option and if several resources are associated to an option, an Agent Corporate Action Global Distribution Authorisation Request message can be sent for each resource.

This message can also be used to pre-advise a global distribution authorisation request, in which case the value of the field pre-advice indicator must be set to yes.

### Outline

The message is composed of three building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the request by the sender of the message.

#### 2. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action, event such as the corporate action reference.

#### 3. Global Distribution Request

This building block is mandatory. It contains detailed information about the global distribution, such as securities and cash movements.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAGblDstrbtnA uthstnReq>	[1..1]		



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		GlobalDistributionDetails	<GblDstrbntDtls>	[1..1]		
<u>3.1</u>		PreadviceIndicator	<PradvInd>	[1..1]	Indicator	
<u>3.2</u>		OptionNumber	<OptnNb>	[1..1]	Text	
<u>3.3</u>		OptionType	<OptnTp>	[1..1]		
<u>3.4</u>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<u>3.5</u>		Proprietary	<Prtry>	[1..1]		
<u>3.6</u>		Identification	<Id>	[1..1]	Text	
<u>3.7</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>3.8</u>		Issuer	<Issr>	[1..1]	Text	
<u>3.9</u>		RecordDate	<RcrdDt>	[1..1]	±	
<u>3.10</u>		PaymentDate	<PmtDt>	[1..1]	±	
<u>3.11</u>		SecuritiesMovement	<SctiesMvmnt>	[0..n]		
<u>3.12</u>		MovementIdentification	<MvmntId>	[0..1]	Text	
<u>3.13</u>		SecurityIdentification	<SctyId>	[1..1]	±	
<u>3.14</u>		SecuritiesQuantity	<SctiesQty>	[1..1]		
<u>3.15</u>	{ Or Or }	Unit	<Unit>	[1..1]	Quantity	
<u>3.16</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>3.17</u>		AccountDetails	<AcctDtls>	[1..2]		
<u>3.18</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<u>3.19</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>3.20</u>		AccountIdentification	<AcctId>	[1..1]	Text	
<u>3.21</u>		BalanceType	<BalTp>	[0..1]	±	
<u>3.22</u>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code	
<u>3.23</u>		CashMovement	<CshMvmnt>	[0..n]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">3.24</a>		MovementIdentification	<MvmntId>	[0..1]	Text	
<a href="#">3.25</a>		Amount	<Amt>	[1..1]	Amount	
<a href="#">3.26</a>		TaxAmount	<TaxAmt>	[0..1]	Amount	
<a href="#">3.27</a>		Charges	<Chrgs>	[0..n]		
<a href="#">3.28</a>		Type	<Tp>	[0..1]		
<a href="#">3.29</a>	{Or Or}	Code	<Cd>	[1..1]	Code	
<a href="#">3.30</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">3.31</a>		Identification	<Id>	[1..1]	Text	
<a href="#">3.32</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">3.33</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">3.34</a>		Amount	<Amt>	[1..1]	Amount	
<a href="#">3.35</a>		AccountDetails	<AcctDtls>	[1..2]		
<a href="#">3.36</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<a href="#">3.37</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<a href="#">3.38</a>		AccountIdentification	<AcctId>	[1..1]	±	
<a href="#">3.39</a>		BalanceType	<BalTp>	[0..1]	±	

## Message Items Description

The following section identifies the elements of the *AgentCAGlobalDistributionAuthorisationRequestV01* message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the request.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

### 2.0 CorporateActionGeneralInformation <CorpActnGnllnf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to **CorporateActionInformation1** p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### 3.0 GlobalDistributionDetails <GblDstrbtnDtls>

**Presence:** [1..1]

**Definition:** Provides detailed information about the global distribution.

**Type:** The **GlobalDistributionDetails** block is composed of the following **GlobalDistributionRequest1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.1</a>		PreadviceIndicator	<PradvInd>	[1..1]	Indicator
<a href="#">3.2</a>		OptionNumber	<OptnNb>	[1..1]	Text
<a href="#">3.3</a>		OptionType	<OptnTp>	[1..1]	
<a href="#">3.9</a>		RecordDate	<RcrdDt>	[1..1]	±
<a href="#">3.10</a>		PaymentDate	<PmtDt>	[1..1]	±
<a href="#">3.11</a>		SecuritiesMovement	<SctiesMvmnt>	[0..n]	
<a href="#">3.23</a>		CashMovement	<CshMvmnt>	[0..n]	

**Rule(s):** MovementRule

At least one occurrence of either CashMovement or SecuritiesMovement must be present. Both may be present.

#### 3.1 PreadviceIndicator <PradvInd>

**Presence:** [1..1]

**Definition:** Indicates whether the message is an advice or pre-advice.

**Data Type:** One of the following **YesNoIndicator** values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

#### 3.2 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText**Format:** [0-9]{3}

### 3.3 OptionType <OptnTp>

**Presence:** [1..1]**Definition:** Specifies the corporate action options available to the account owner.**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
3.4	{Or	Code	<Cd>	[1..1]	Code
3.5	Or}	Proprietary	<Prtry>	[1..1]	

### 3.4 Code <Cd>

**Presence:** [1..1]*This message item is part of choice 3.3 **OptionType**.***Definition:** Option type expressed as a code.**Data Type:** Code*One of the following **CorporateActionOptionType1Code** values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.

Code	Name	Definition
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 3.5 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.3 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.6</u>		Identification	<Id>	[1..1]	Text
<u>3.7</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.8</u>		Issuer	<Issr>	[1..1]	Text

### 3.6 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.7 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.8 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.9 RecordDate <RcrdDt>

**Presence:** [1..1]

**Definition:** Date on which the holders of securities are/will be recorded for the income being paid or for entitlement to the rights or offer/privilege.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpefdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 3.10 PaymentDate <PmtDt>

**Presence:** [1..1]

**Definition:** Date on which securities/cash will be paid.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	
Or	NotSpecifiedDate	<NotSpefdDt>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *DateFormat4Choice* p.657 in 'Message Item Types' section.

### 3.11 SecuritiesMovement <SctiesMvmnt>

**Presence:** [0..n]

**Definition:** Provides information about the securities movement.

**Type:** This message item is composed of the following *SecurityMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.12</a>		MovementIdentification	<MvmntId>	[0..1]	Text
<a href="#">3.13</a>		SecurityIdentification	<SctyId>	[1..1]	±
<a href="#">3.14</a>		SecuritiesQuantity	<SctiesQty>	[1..1]	
<a href="#">3.17</a>		AccountDetails	<AcctDtls>	[1..2]	

### 3.12 MovementIdentification <MvmntId>

**Presence:** [0..1]

**Definition:** Identification of the movement.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.13 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

### 3.14 SecuritiesQuantity <SctiesQty>

**Presence:** [1..1]

**Definition:** Quantitty of financial instrument.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.15</u>	{ Or	Unit	<Unit>	[1..1]	Quantity
<u>3.16</u>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

### 3.15 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 3.14 *SecuritiesQuantity*.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 3.16 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 3.14 *SecuritiesQuantity*.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount  
fractionDigits: 5  
minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.17 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited.

**Type:** This message item is composed of the following *SecuritiesAccount12* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.18</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<u>3.19</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<u>3.20</u>		AccountIdentification	<AcctId>	[1..1]	Text
<u>3.21</u>		BalanceType	<BalTp>	[0..1]	±
<u>3.22</u>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code

### 3.18 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following *CreditDebitCode* values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 3.19 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):



Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to [PartyIdentification2Choice](#) p.738 in 'Message Item Types' section.

### 3.20 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the account where financial instruments are maintained.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.21 BalanceType <BalTp>

**Presence:** [0..1]

**Definition:** Type of balance.

**Type:** This message item is composed of one of the following [SecuritiesBalanceType6FormatChoice](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to [SecuritiesBalanceType6FormatChoice](#) p.653 in 'Message Item Types' section.

### 3.22 SecurityHoldingForm <SctyHldgForm>

**Presence:** [0..1]

**Definition:** Specifies the form of the financial instrument.

**Data Type:** Code

When this message item is present, one of the following [FormOfSecurity1Code](#) values must be used:

Code	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/funds books.

### 3.23 CashMovement <CshMvmnt>

**Presence:** [0..n]

**Definition:** Provides information about the cash movement.

**Type:** This message item is composed of the following *CashMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.24</u>		MovementIdentification	<MvmntId>	[0..1]	Text
<u>3.25</u>		Amount	<Amt>	[1..1]	Amount
<u>3.26</u>		TaxAmount	<TaxAmt>	[0..1]	Amount
<u>3.27</u>		Charges	<Chrgs>	[0..n]	
<u>3.35</u>		AccountDetails	<AcctDtls>	[1..2]	

### 3.24 MovementIdentification <MvmntId>

**Presence:** [0..1]

**Definition:** Identification of the movement.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.25 Amount <Amt>

**Presence:** [1..1]

**Definition:** Cash amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.26 TaxAmount <TaxAmt>

**Presence:** [0..1]

**Definition:** Amount of taxes.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.27 Charges <Chrgs>

**Presence:** [0..n]

**Definition:** Specifies the charges.

**Type:** *This message item is composed of the following **Charges1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.28</u>		Type	<Tp>	[0..1]	
<u>3.34</u>		Amount	<Amt>	[1..1]	Amount

### 3.28 Type <Tp>

**Presence:** [0..1]

**Definition:** Type of charges.

**Type:** *This message item is composed of one of the following **ChargeType2FormatChoice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.29</u>	{Or	Code	<Cd>	[1..1]	Code
<u>3.30</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 3.29 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 3.28 **Type**.*

**Definition:** Standard code to specify the type of charge.

**Data Type:** Code

One of the following **ChargeType14Code** values must be used:

Code	Name	Definition
ADRF	ADRFee	Charge linked to an ADR (American Depositary Receipt).
CHAR	ServiceProvisionFee	Fee paid for the provision of financial services.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
ISSC	IssuanceCancellationFee	Fee linked to the cancellation of an issuance.
ISSU	IssuanceFee	Charge linked to the issuance of security.
MISC	MiscellaneousFee	Miscellaneous fee.

### 3.30 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.28 **Type**.*

**Definition:** Proprietary code for specifying the type of charge.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.31</a>		Identification	<Id>	[1..1]	Text
<a href="#">3.32</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">3.33</a>		Issuer	<Issr>	[1..1]	Text

### 3.31 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.32 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.33 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.34 Amount <Amt>

**Presence:** [1..1]

**Definition:** Amount of charges.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.35 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited.

**Type:** *This message item is composed of the following **CashAccount18** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.36</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">3.37</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">3.38</a>		AccountIdentification	<AcctId>	[1..1]	±
<a href="#">3.39</a>		BalanceType	<BalTp>	[0..1]	±

### 3.36 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

*One of the following **CreditDebitCode** values must be used:*

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 3.37 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

### 3.38 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following *AccountIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to *AccountIdentification2Choice* p.649 in 'Message Item Types' section.

### 3.39 BalanceType <BalTp>

**Presence:** [0..1]

**Definition:** The cash balance type.

**Type:** This message item is composed of one of the following *CashBalanceType1FormatType* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CashBalanceType1FormatType* p.651 in 'Message Item Types' section.

## Business Example

### Narrative

The CSD requests to the agent the authorisation to distribute the proceeds resulting from a redemption of a money market instrument (ISIN GB0123456789).

## Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC456GLOBREQ

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	MMI456
EventType	Redemption
EventProcessingType	Distribution
MandatoryVoluntaryEventType	Mandatory
UnderlyingSecurity	GB0123456789
SafekeepingPlace	CRSTGB22

GlobalDistributionDetails	
OptionType	Cash
OptionNumber	001
RecordDate	10/04/2008
PaymentDate	15/04/2008
PreadviceIndicator	FALSE
SecuritiesMovement	
MovementIdentification	GLOB1
SecurityIdentification	GB0123456789
SecuritiesQuantity	750
AccountDetails (First occurrence)	
CreditDebitIndicator	Debit
AccountIdentification	ABC123
AccountDetails (Second occurrence)	
CreditDebitIndicator	Credit
AccountIdentification	XYZ123
CashMovement	
MovementIdentification	GLOB2
CashAmount	GBP 1010
AccountDetails (First occurrence)	
CreditDebitIndicator	Debit
AccountIdentification	123YOL
AccountDetails (Second occurrence)	

GlobalDistributionDetails	
CreditDebitIndicator	Credit
AccountIdentification	XYZ987

## XML Instance

```
<AgtCAGblDstrbtnAuthstnReq>
  <Id>
    <Id>ELEC456GLOBREQ</Id>
  </Id>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>MMI456</IssrCorpActnId>
    <EvtTp>
      <Cd>REDM</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>MAND</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrcgTp>
      <Cd>DISN</Cd>
    </EvtPrcgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB0123456789</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <GblDstrbtnDtls>
    <PradvInd>false</PradvInd>
    <OptnNb>001</OptnNb>
    <OptnTp>
      <Cd>CASH</Cd>
    </OptnTp>
    <RcrdDt>
      <Dt>
        <Dt>2008-04-10</Dt>
      </Dt>
    </RcrdDt>
    <PmtDt>
      <Dt>
        <Dt>2008-04-15</Dt>
      </Dt>
    </PmtDt>
    <SctiesMvmnt>
      <MvmntId>GLOB1</MvmntId>
```



```
<SctyId>
  <ISIN>GB0123456789</ISIN>
</SctyId>
<SctiesQty>
  <FaceAmt Ccy = "GBP">1000</FaceAmt>
</SctiesQty>
<AcctDtls>
  <CdtDbtInd>DBIT</CdtDbtInd>
  <AcctId>ABC123</AcctId>
</AcctDtls>
<AcctDtls>
  <CdtDbtInd>CRDT</CdtDbtInd>
  <AcctId>XYZ123</AcctId>
</AcctDtls>
</SctiesMvmnt>
<CshMvmnt>
  <MvmntId>GLOB2</MvmntId>
  <Amt Ccy = "GBP">1010</Amt>
  <AcctDtls>
    <CdtDbtInd>CRDT</CdtDbtInd>
    <AcctId>
      <CshAcctId>XYZ987</CshAcctId>
    </AcctId>
  </AcctDtls>
  <AcctDtls>
    <CdtDbtInd>DBIT</CdtDbtInd>
    <AcctId>
      <CshAcctId>123YOL</CshAcctId>
    </AcctId>
  </AcctDtls>
</CshMvmnt>
</GblDstrbtnDtls>
</AgtCAGblDstrbtnAuthstnReq>
```

## **MX seev.018.001.01 AgentCAGlobalDistributionStatusAdviceV01**

### **Message Functionality**

#### **Scope**

This message is sent by an issuer (or its agent) to the CSD to authorise/prohibit the CSD to process the entitlement movements.

#### **Usage**

This message is used to authorise/prohibit the CSD to process the movements requested in the Global Distribution Authorisation Request message.

Once the amendment request has been accepted by the issuer (or its agent), the CSD will process any resource movement and send an Agent Corporate Action Election Advice message with the function, option change, to confirm that the amendment has been booked at the CSD.

The issuer (or its agent) can provide the status in 2 different ways:

- Provide a global status, in which case the building block Global Movement Status must be present; or
- Provide a status by individual movements, in which case, the building block Individual Movement Status must be present. An individual movement cannot be rejected.

#### **Outline**

The message is composed of four building blocks:

##### **1. Identification**

This building block is mandatory. It contains information about the identification assigned to the status by the sender of the message.

##### **2. Agent Corporate Action Global Distribution Authorisation Request Identification**

This building block is mandatory. It contains the identification of the global distribution authorisation request that was previously received and for which a status is reported.

##### **3. Corporate Action General Information**

This building block is mandatory. It contains general information about the corporate action event such as the corporate action reference.

##### **4. Choice between Global Movement Status and Individual Movement Status**

This is a mandatory choice between:

- the status of the global movement:
- Processed Status. On top of the status, additional information may be given.

- Rejected Status - when the global distribution request is rejected. On top of the status, a reason must be given. Additional information may also be given; and
- the status of individual movements:
- Processed Status. On top of the status, additional information may be given.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAGblDstrbtnSt sAdv>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAGlobalDistributionAuthorisationRe questIdentification	<AgtCAGblDstrbtnA uthstnReqId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		GlobalMovementStatus	<GblMvmntSts>	[1..1]		
<u>4.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>4.2</u>		Status	<Sts>	[1..1]		
<u>4.3</u>	{ {Or	Code	<Cd>	[1..1]	Code	
<u>4.4</u>		Proprietary	<Prtry>	[1..1]		
<u>4.5</u>	Or}}	Identification	<Id>	[1..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.6</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.7</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.8</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<a href="#">4.9</a>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<a href="#">4.10</a>		Reason	<Rsn>	[1..n]		
<a href="#">4.11</a>	{{Or	Code	<Cd>	[1..1]	Code	
<a href="#">4.12</a>	Or}}	Proprietary	<Prtry>	[1..1]		
<a href="#">4.13</a>		Identification	<Id>	[1..1]	Text	
<a href="#">4.14</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.15</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.16</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">5.0</a>		IndividualMovementStatus	<IndvMvmntSts>	[1..n]		
<a href="#">5.1</a>		MovementIdentification	<MvmntId>	[0..1]	Text	
<a href="#">5.2</a>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<a href="#">5.3</a>		Status	<Sts>	[1..1]		
<a href="#">5.4</a>	{{Or	Code	<Cd>	[1..1]	Code	
<a href="#">5.5</a>	Or}}	Proprietary	<Prtry>	[1..1]		
<a href="#">5.6</a>		Identification	<Id>	[1..1]	Text	
<a href="#">5.7</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">5.8</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">5.9</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<a href="#">5.10</a>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<a href="#">5.11</a>		Reason	<Rsn>	[1..n]		
<a href="#">5.12</a>	{{Or	Code	<Cd>	[1..1]	Code	
<a href="#">5.13</a>	Or}}	Proprietary	<Prtry>	[1..1]		
<a href="#">5.14</a>		Identification	<Id>	[1..1]	Text	
<a href="#">5.15</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">5.16</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">5.17</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCAGlobalDistributionStatusAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCAGlobalDistributionAuthorisationRequestIdentification <AgtCAGbIDstrbtnAuthstnReql>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Global Distribution Authorisation Request for which a status is given.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 3.0 CorporateActionGeneralInformation <CorpActnGnllnf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following **CorporateActionInformation1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlIntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to **CorporateActionInformation1** p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

#### 4.0 GlobalMovementStatus <GblMvmntSts>

**Presence:** [1..1]

**Definition:** Provides information about the status of the global movement.

**Type:** The *GlobalMovementStatus* block is composed of one of the following *GlobalDistributionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.1	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
4.9	Or}	RejectedStatus	<RjctdSts>	[1..1]	

#### 4.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 4.0 GlobalMovementStatus.*

**Definition:** Provides information about the processing status of the global movement

**Type:** This message item is composed of the following *DistributionProcessingStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.2		Status	<Sts>	[1..1]	
4.8		AdditionalInformation	<AddtlInf>	[0..1]	Text

#### 4.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following *ProcessedStatus3FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.3	{Or	Code	<Cd>	[1..1]	Code
4.4	Or}	Proprietary	<Prtry>	[1..1]	

#### 4.3 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.2 Status.*

**Definition:** Standard code to specify the processing status of an advice, a request or a movement.

**Data Type:** Code

*One of the following ProcessedStatus3Code values must be used:*

Code	Name	Definition
PACK	Accepted	The request is accepted.

Code	Name	Definition
PEND	Pending	The processing of the request is pending.
RECE	Received	The instruction/request has been received.

#### 4.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.2 Status.*

**Definition:** Proprietary code to express the processing status of an advice, a request or a movement.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.5</u>		Identification	<Id>	[1..1]	Text
<u>4.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.7</u>		Issuer	<Issr>	[1..1]	Text

#### 4.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 4.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 4.0 GlobalMovementStatus.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *DistributionRejectionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.10</u>		Reason	<Rsn>	[1..n]	
<u>4.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 4.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason19FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.11</u>	{Or	Code	<Cd>	[1..1]	Code
<u>4.12</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 4.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of a global movement authorisation request.

**Data Type:** Code

*One of the following *RejectionReason19Code* values must be used:*

Code	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

## 4.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of a global movement authorisation request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.13</u>		Identification	<Id>	[1..1]	Text
<u>4.14</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.15</u>		Issuer	<Issr>	[1..1]	Text



### 4.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 4.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 4.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 4.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 5.0 IndividualMovementStatus <IndvMvmntSts>

**Presence:** [1..n]

**Definition:** Provides information about the status of an individual movement.

**Type:** The *IndividualMovementStatus* block is composed of the following *IndividualMovementStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1</u>		MovementIdentification	<MvmntId>	[0..1]	Text
<u>5.2</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>5.10</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

### 5.1 MovementIdentification <MvmntId>

**Presence:** [0..1]

**Definition:** Identification of the movement.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.2 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 5.0 IndividualMovementStatus.*

**Definition:** Provides information about the processing status of individual movement.

**Type:** This message item is composed of the following **MovementProcessingStatus1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.3</u>		Status	<Sts>	[1..1]	
<u>5.9</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.3 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following **ProcessedStatus3FormatChoice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.4</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.5</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.4 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.3 Status.*

**Definition:** Standard code to specify the processing status of an advice, a request or a movement.

**Data Type:** Code

*One of the following **ProcessedStatus3Code** values must be used:*

Code	Name	Definition
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.
RECE	Received	The instruction/request has been received.

## 5.5 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.3 Status.*

**Definition:** Proprietary code to express the processing status of an advice, a request or a movement.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.6</u>		Identification	<Id>	[1..1]	Text
<u>5.7</u>		SchemeName	<SchmeNm>	[0..1]	Text

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.8</u>		Issuer	<Issr>	[1..1]	Text

## 5.6 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.7 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.8 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.9 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 5.10 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 5.0 **IndividualMovementStatus**.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following **DistributionRejectionStatus1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.11</u>		Reason	<Rsn>	[1..n]	
<u>5.17</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.11 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason19FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">5.12</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">5.13</a>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.12 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **5.11 Reason**.

**Definition:** Standard code to specify the reason of a rejection of a global movement authorisation request.

**Data Type:** Code

One of the following *RejectionReason19Code* values must be used:

Code	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

## 5.13 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice **5.11 Reason**.

**Definition:** Proprietary code to express the reason of a rejection of a global movement authorisation request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">5.14</a>		Identification	<Id>	[1..1]	Text
<a href="#">5.15</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">5.16</a>		Issuer	<Issr>	[1..1]	Text

## 5.14 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.15 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.16 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.17 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

The agent sends to the CSD a status advice to report that the CA global authorisation request that was previously sent, has been accepted.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC456GLOBREQSTAT

AgentCorporateActionGlobalDistributionRequestIdentification	
Identification	ELEC456GLOBREQ

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	MMI456
EventType	Redemption
EventProcessingType	Distribution
MandatoryVoluntaryEventType	Mandatory
UnderlyingSecurity	GB0123456789
SafekeepingPlace	CRSTGB22

GlobalMovementStatus	
ProcessedStatus	Accepted

## XML Instance

```
<AgtCAGblDstrbtnStsAdv>
  <Id>
    <Id>ELEC456GLOBREQSTAT</Id>
  </Id>
  <AgtCAGblDstrbtnAuthstnReqId>
    <Id>ELEC456GLOBREQ</Id>
  </AgtCAGblDstrbtnAuthstnReqId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>MMI456</IssrCorpActnId>
    <EvtTp>
      <Cd>REDM</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>MAND</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrcgTp>
      <Cd>DISN</Cd>
    </EvtPrcgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB0123456789</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <GblMvmntSts>
    <PrcdSts>
      <Sts>
        <Cd>PACK</Cd>
      </Sts>
    </PrcdSts>
  </GblMvmntSts>
</AgtCAGblDstrbtnStsAdv>
```

# MX seev.019.001.01 AgentCAMovementInstructionV01

## Message Functionality

### Scope

This message is sent by an issuer (or its agent) to a CSD to order:

- the global or individual debit of exercised resources (cash and/or securities), per event and optionally per option and per resource for all or individual CSD client's accounts;
- and/or the individual credits of the outturn resources per event and optionally per option and per resource for a given CSD client's account.

### Usage

This message is used to instruct:

- the global debit of the exercised resources from the CSD client's available or sequestered balance, in which case, the order type must be 'global debit order';

- the individual debits and credits:

- the individual debit of the exercised resources from the CSD client's available or sequestered balance and/or

- the individual credit of the outturn resources to the CSD client's account.

The order type must be 'individual order';

- a return order, in the case of a scaleback, i.e. the return of the exercised resources to the CSD client's account. The order type must be either 'global return order' or 'individual return order'; and

change of option, e.g. in the case of the closure of an option, by moving the exercised resources from one option to another option within the sequestered balances in accordance to the new option conditions. The order type must be 'option change order'.

### Outline

The message is composed of seven building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the movement instruction by the sender of the message.

#### 2. Agent Corporate Action Election Advice Identification

This building block is optional. It contains information about the identification of the election advice that triggers this movement instruction.

#### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action event such as the corporate action reference.

**4. Movement General Information**

This building block is mandatory. It contains general information about the movement, such as the order type.

**5. Underlying Securities Movement Details**

This building block is optional and repeatable. It contains information about the holding movement such as debit account details and the credit account details.

**6. Underlying Cash Movement Details**

This building block is optional and repeatable. It contains information about the cash movement such as debit account details and the credit account details.

**7. Proceeds Movement Details**

This building block is optional. It contains information about the securities proceeds, cash proceeds and tax voucher.

## Message Rules and Guidelines

### Rules

**1. OptionRule1**

If MovementGeneralInformation/OrderType is CHAN (OptionChangeOrder) then OptionNumber and OptionType must be present in each occurrence of UnderlyingSecuritiesMovementDetails/AccountDetails, OptionNumber and OptionType cannot be present in MovementGeneralInformation.

**2. OptionRule2**

If MovementGeneralInformation/OrderType is not CHAN (OptionChangeOrder), then OptionNumber and OptionType must be present in MovementGeneralInformation and cannot be present in any occurrence of UnderlyingSecuritiesMovementDetails/AccountDetails.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAMvmntInstr>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAElectionAdviceIdentification	<AgtCAElctnAdvId>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		MovementGeneralInformation	<MvmntGnlInf>	[1..1]		
<u>4.1</u>		OrderType	<OrdTp>	[1..1]	Code	
<u>4.2</u>		HighPriorityIndicator	<HghPrtyInd>	[1..1]	Indicator	
<u>4.3</u>		OptionNumber	<OptnNb>	[0..1]	Text	
<u>4.4</u>		OptionType	<OptnTp>	[0..1]		
<u>4.5</u>	{Or Or}	Code	<Cd>	[1..1]	Code	
<u>4.6</u>		Proprietary	<Prtry>	[1..1]		
<u>4.7</u>		Identification	<Id>	[1..1]	Text	
<u>4.8</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>4.9</u>		Issuer	<Issr>	[1..1]	Text	
<u>4.10</u>		RequestedExecutionDate	<ReqdExctnDt>	[1..1]	DateTime	
<u>4.11</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>4.12</u>		AccountIdentification	<AcctId>	[0..1]	Text	
<u>4.13</u>		ConfirmedBalanceSecuritiesQuantity	<ConfdBalSciesQty>	[0..1]		
<u>4.14</u>	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
<u>4.15</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		UnderlyingSecuritiesMovementDetails	<UndrlygSciesMvmntDtls>	[0..n]		
<u>5.1</u>		SecurityIdentification	<SctyId>	[1..1]	±	
<u>5.2</u>		SecuritiesQuantity	<SciesQty>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.3</u>	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
<u>5.4</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>5.5</u>		AccountDetails	<AcctDtls>	[1..2]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		UnderlyingCashMovementDetails	<UndrlygCshMvmntD tls>	[0..n]		
<u>6.1</u>		Amount	<Amt>	[1..1]	Amount	
<u>6.2</u>		AccountDetails	<AcctDtls>	[1..2]		
<u>6.3</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<u>6.4</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>6.5</u>		AccountIdentification	<AcctId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>7.0</u>		ProceedsMovementDetails	<PrcdsMvmntDtls>	[0..1]		
<u>7.1</u>		CashProceedsMovementDetails	<CshPrcdsMvmntDtls >	[0..n]		
<u>7.2</u>		PostingAmount	<PstngAmt>	[1..1]	Amount	
<u>7.3</u>		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text	
<u>7.4</u>		AccountDetails	<AcctDtls>	[1..2]		
<u>7.5</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<u>7.6</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>7.7</u>		AccountIdentification	<AcctId>	[1..1]	±	
<u>7.8</u>		SecuritiesProceedsMovementDetails	<ScctiesPrcdsMvmntDt ls>	[0..n]		
<u>7.9</u>		SecurityIdentification	<SctyId>	[1..1]	±	
<u>7.10</u>		PostingQuantity	<PstngQty>	[1..1]		
<u>7.11</u>	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
<u>7.12</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>7.13</u>		AccountDetails	<AcctDtls>	[1..2]		
<u>7.14</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">7.15</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<a href="#">7.16</a>		AccountOwnerNationality	<AcctOwnrNtlty>	[0..1]	Code	
<a href="#">7.17</a>		AccountIdentification	<AcctId>	[1..1]	Text	
<a href="#">7.18</a>		BalanceType	<BalTp>	[0..1]	±	
<a href="#">7.19</a>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code	
<a href="#">7.20</a>		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text	
<a href="#">7.21</a>		TaxDetails	<TaxDtls>	[0..1]		
<a href="#">7.22</a>		TaxVoucherRate	<TaxVchrRate>	[1..1]	Rate	
<a href="#">7.23</a>	{ Or Or }	TaxCredit	<TaxCdt>	[1..1]	Amount	
<a href="#">7.24</a>		TaxDeduction	<TaxDdctn>	[1..1]	Amount	
<a href="#">7.25</a>		GrossAmount	<GrssAmt>	[1..1]	Amount	
<a href="#">7.26</a>		NetAmount	<NetAmt>	[1..1]	Amount	
<a href="#">7.27</a>		RecordDateHolding	<RcrdDtHldg>	[1..1]		
<a href="#">7.28</a>	{ Or Or }	Unit	<Unit>	[1..1]	Quantity	
<a href="#">7.29</a>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">7.30</a>		TaxCreditRate	<TaxCdtRate>	[0..1]	Rate	
<a href="#">7.31</a>		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount	
<a href="#">7.32</a>		WithholdingTaxRate	<WhldgTaxRate>	[0..1]	Rate	
<a href="#">7.33</a>		ScripDividendReinvestmentPricePerShare	<ScripDvddRinvstmtPricPerShr>	[0..1]	±	
<a href="#">7.34</a>		CashAmountBroughtForward	<CshAmtBrghtFwd>	[0..1]	Amount	
<a href="#">7.35</a>		AllotedSharesCost	<AlltdShrsCost>	[0..1]	±	
<a href="#">7.36</a>		CashAmountCarriedForward	<CshAmtCrrdFwd>	[0..1]	Amount	
<a href="#">7.37</a>		NotionalTax	<NtnlTax>	[0..1]	Amount	
<a href="#">7.38</a>		NotionalDividendPayable	<NtnlDvddPybl>	[0..1]	Amount	
<a href="#">7.39</a>		BargainDate	<BrgnDt>	[0..1]	DateTime	
<a href="#">7.40</a>		BargainSettlementDate	<BrgnSttlmDt>	[0..1]	DateTime	
<a href="#">7.41</a>		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount	
<a href="#">7.42</a>		ChargeAmount	<ChrgAmt>	[0..1]	Amount	
<a href="#">7.43</a>		CommissionAmount	<ComssnAmt>	[0..1]	Amount	
<a href="#">7.44</a>		ForeignExchangeDetails	<FXDtls>	[0..1]		
<a href="#">7.45</a>		UnitCurrency	<UnitCcy>	[1..1]	Code	
<a href="#">7.46</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code	
<a href="#">7.47</a>		ExchangeRate	<XchgRate>	[1..1]	Rate	
<a href="#">7.48</a>		ResultingAmount	<RsltgAmt>	[1..1]	Amount	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
7.49		OriginalAmount	<OrgnlAmt>	[0..1]	Amount	

## Message Items Description

The following section identifies the elements of the **AgentCAMovementInstructionV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the instruction.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

**Presence:** [0..1]

**Definition:** Identification of the Agent CA ElectionAdvice when the movements are the result of an ElectionAdvice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 3.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following **CorporateActionInformation1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrctgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	EventProcessingType	<EvtPrgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to **CorporateActionInformation1** p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

#### 4.0 MovementGeneralInformation <MvmntGnInf>

**Presence:** [1..1]

**Definition:** Provides general information about the movement.

**Type:** The **MovementGeneralInformation** block is composed of the following **CorporateActionMovement1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.1</u>		OrderType	<OrdrTp>	[1..1]	Code
<u>4.2</u>		HighPriorityIndicator	<HghPrtyInd>	[1..1]	Indicator
<u>4.3</u>		OptionNumber	<OptnNb>	[0..1]	Text
<u>4.4</u>		OptionType	<OptnTp>	[0..1]	
<u>4.10</u>		RequestedExecutionDate	<ReqdExctnDt>	[1..1]	DateTime
<u>4.11</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<u>4.12</u>		AccountIdentification	<AcctId>	[0..1]	Text
<u>4.13</u>		ConfirmedBalanceSecuritiesQuantity	<ConfdBalSctiesQty>	[0..1]	

#### 4.1 OrderType <OrdrTp>

**Presence:** [1..1]

**Definition:** Type of movement instruction.

**Data Type:** Code

One of the following **DistributionInstructionType1Code** values must be used:

Code	Name	Definition
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.

Code	Name	Definition
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

## 4.2 HighPriorityIndicator <HghPrtyInd>

**Presence:** [1..1]

**Definition:** Indicates whether the movement is a high priority or not.

Meaning when true: High priority

Meaning when false: Standard

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 4.3 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## 4.4 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.5	{Or	Code	<Cd>	[1..1]	Code
4.6	Or}	Proprietary	<Prtry>	[1..1]	

## 4.5 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.4 OptionType.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

## 4.6 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.4 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.7</u>		Identification	<Id>	[1..1]	Text
<u>4.8</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.9</u>		Issuer	<Issr>	[1..1]	Text

#### 4.7 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.8 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.9 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.10 RequestedExecutionDate <ReqdExctnDt>

**Presence:** [1..1]

**Definition:** Date at which the distribution movement must be executed.

**Data Type:** ISODate

#### 4.11 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

#### 4.12 AccountIdentification <AcctId>

**Presence:** [0..1]

**Definition:** Identification of the account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1



### 4.13 ConfirmedBalanceSecuritiesQuantity <ConfdBalScitiesQty>

**Presence:** [0..1]

**Definition:** Quantity of securities in the confirmed balance, ie, the balance to which the credit of the outturned resources applies.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.14	{ Or	Unit	<Unit>	[1..1]	Quantity
4.15	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

### 4.14 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 4.13 ConfirmedBalanceSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 4.15 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 4.13 ConfirmedBalanceSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.0 UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Information related to the movement of the underlying securities.

**Type:** The *UnderlyingSecuritiesMovementDetails* block is composed of the following *UnderlyingSecurityMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.1</u>		SecurityIdentification	<SctyId>	[1..1]	±
<u>5.2</u>		SecuritiesQuantity	<SctiesQty>	[1..1]	
<u>5.5</u>		AccountDetails	<AcctDtls>	[1..2]	±

### 5.1 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

### 5.2 SecuritiesQuantity <SctiesQty>

**Presence:** [1..1]

**Definition:** Quantity of financial instrument.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.3</u>	{ Or	Unit	<Unit>	[1..1]	Quantity
<u>5.4</u>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

### 5.3 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 5.2 *SecuritiesQuantity*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 5.4 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.2 SecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.5 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the debited/credited securities account.

**Type:** *This message item is composed of the following SecuritiesAccount8 element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text
	BalanceType	<BalTp>	[0..1]	
	OptionType	<OptnTp>	[0..1]	
	OptionNumber	<OptnNb>	[0..1]	Text
	SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code
	StampDuty	<StmpDty>	[0..1]	

*For additional Type information, please refer to SecuritiesAccount8 p.629 in 'Message Item Types' section.*

## 6.0 UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>

**Presence:** [0..n]

**Definition:** Information related to the movement of the underlying cash.

**Type:** The *UnderlyingCashMovementDetails* block is composed of the following *CashMovement2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1</u>		Amount	<Amt>	[1..1]	Amount
<u>6.2</u>		AccountDetails	<AcctDtls>	[1..2]	

### 6.1 Amount <Amt>

**Presence:** [1..1]

**Definition:** Cash amount.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 6.2 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited.

**Type:** This message item is composed of the following *CashAccount19* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.3</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<u>6.4</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<u>6.5</u>		AccountIdentification	<AcctId>	[1..1]	±

### 6.3 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 6.4 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 6.5 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following **AccountIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or}	SecuritiesAccountIdentification	<SciesAcctId>	[1..1]	Text

For additional Type information, please refer to **AccountIdentification2Choice** p.649 in 'Message Item Types' section.

## 7.0 ProceedsMovementDetails <PrcdsMvmntDtls>

**Presence:** [0..1]

**Definition:** Information related to the movement of the CA proceeds.

**Type:** The **ProceedsMovementDetails** block is composed of the following **ProceedsMovement1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.1</u>		CashProceedsMovementDetails	<CshPrcdsMvmntDtls>	[0..n]	
<u>7.8</u>		SecuritiesProceedsMovementDetails	<SciesPrcdsMvmntDtls>	[0..n]	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.21</u>		TaxDetails	<TaxDtls>	[0..1]	

## 7.1 CashProceedsMovementDetails <CshPrcdsMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the movement of the cash proceeds.

**Type:** This message item is composed of the following *CashProceeds1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.2</u>		PostingAmount	<PstngAmt>	[1..1]	Amount
<u>7.3</u>		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text
<u>7.4</u>		AccountDetails	<AcctDtls>	[1..2]	

## 7.2 PostingAmount <PstngAmt>

**Presence:** [1..1]

**Definition:** Cash amount which is posted.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (*Ccy*) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.3 ReconciliationDetails <RcncltnDtls>

**Presence:** [0..1]

**Definition:** Reconciliation information.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 7.4 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the debited securities account.

**Type:** This message item is composed of the following *CashAccount19* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
7.5		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
7.6		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
7.7		AccountIdentification	<AcctId>	[1..1]	±

## 7.5 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following *CreditDebitCode* values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

## 7.6 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 7.7 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following *AccountIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or}	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to [AccountIdentification2Choice](#) p.649 in 'Message Item Types' section.

## 7.8 SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the movement of the securities proceeds.

**Type:** This message item is composed of the following *SecuritiesProceeds1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.9</a>		SecurityIdentification	<SctyId>	[1..1]	±
<a href="#">7.10</a>		PostingQuantity	<PstngQty>	[1..1]	
<a href="#">7.13</a>		AccountDetails	<AcctDtls>	[1..2]	
<a href="#">7.20</a>		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text

## 7.9 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to [SecurityIdentification7](#) p.806 in 'Message Item Types' section.

## 7.10 PostingQuantity <PstngQty>

**Presence:** [1..1]

**Definition:** The quantity of financial instruments that is posted.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.11</a>	{ Or	Unit	<Unit>	[1..1]	Quantity
<a href="#">7.12</a>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount

## 7.11 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice [7.10 PostingQuantity](#).

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18



## 7.12 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 7.10 **PostingQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.13 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account that is debited/credited.

**Type:** *This message item is composed of the following **SecuritiesAccount10** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.14</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">7.15</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">7.16</a>		AccountOwnerNationality	<AcctOwnrNtlty>	[0..1]	Code
<a href="#">7.17</a>		AccountIdentification	<AcctId>	[1..1]	Text
<a href="#">7.18</a>		BalanceType	<BalTp>	[0..1]	±
<a href="#">7.19</a>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code

## 7.14 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

*One of the following **CreditDebitCode** values must be used:*

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

## 7.15 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 7.16 AccountOwnerNationality <AcctOwnrNtlty>

**Presence:** [0..1]

**Definition:** Nationality of the account owner.

**Data Type:** NationalityCode

**Rule(s):** ValidationByTable

## 7.17 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the account.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 7.18 BalanceType <BalTp>

**Presence:** [0..1]

**Definition:** Type of balance.

**Type:** This message item is composed of one of the following *SecuritiesBalanceType9FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *SecuritiesBalanceType9FormatChoice* p.655 in 'Message Item Types' section.

## 7.19 SecurityHoldingForm <SctyHldgForm>

**Presence:** [0..1]

**Definition:** Specifies the form of the financial instrument.

**Data Type:** Code

When this message item is present, one of the following **FormOfSecurity1Code** values must be used:

Code	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/funds books.

## 7.20 ReconciliationDetails <RcncltnDtls>

**Presence:** [0..1]

**Definition:** Provides reconciliation information.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 7.21 TaxDetails <TaxDtls>

**Presence:** [0..1]

**Definition:** Provides information about the tax voucher.

**Type:** This message item is composed of the following **TaxVoucher1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.22</a>		TaxVoucherRate	<TaxVchrRate>	[1..1]	Rate
<a href="#">7.23</a>	{Or Or}	TaxCredit	<TaxCdt>	[1..1]	Amount
<a href="#">7.24</a>		TaxDeduction	<TaxDdctn>	[1..1]	Amount
<a href="#">7.25</a>		GrossAmount	<GrssAmt>	[1..1]	Amount
<a href="#">7.26</a>		NetAmount	<NetAmt>	[1..1]	Amount
<a href="#">7.27</a>		RecordDateHolding	<RcrdDtHldg>	[1..1]	
<a href="#">7.30</a>		TaxCreditRate	<TaxCdtRate>	[0..1]	Rate
<a href="#">7.31</a>		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount
<a href="#">7.32</a>		WithholdingTaxRate	<WhldgTaxRate>	[0..1]	Rate
<a href="#">7.33</a>		ScripDividendReinvestmentPricePerShare	<ScripDvddRinvstmtPricPerShr>	[0..1]	±
<a href="#">7.34</a>		CashAmountBroughtForward	<CshAmtBrghFwd>	[0..1]	Amount
<a href="#">7.35</a>		AllotedSharesCost	<AlltdShrsCost>	[0..1]	±
<a href="#">7.36</a>		CashAmountCarriedForward	<CshAmtCrrdFwd>	[0..1]	Amount
<a href="#">7.37</a>		NotionalTax	<NtnlTax>	[0..1]	Amount

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.38</a>		NotionalDividendPayable	<NtnlDvddPybl>	[0..1]	Amount
<a href="#">7.39</a>		BargainDate	<BrgnDt>	[0..1]	DateTime
<a href="#">7.40</a>		BargainSettlementDate	<BrgnSttlmDt>	[0..1]	DateTime
<a href="#">7.41</a>		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount
<a href="#">7.42</a>		ChargeAmount	<ChrgAmt>	[0..1]	Amount
<a href="#">7.43</a>		CommissionAmount	<ComssnAmt>	[0..1]	Amount
<a href="#">7.44</a>		ForeignExchangeDetails	<FXDtls>	[0..1]	

## 7.22 TaxVoucherRate <TaxVchrRate>

**Presence:** [1..1]

**Definition:** Distribution rate per share.

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

## 7.23 TaxCredit <TaxCdt>

**Presence:** [1..1]

*This message item is part of choice 7.21 [TaxDetails](#).*

**Definition:** Amount of tax that have been previously paid.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.24 TaxDeduction <TaxDdctn>

**Presence:** [1..1]

*This message item is part of choice 7.21 **TaxDetails**.*

**Definition:** Amount of tax that have been previously deducted.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.25 GrossAmount <GrssAmt>

**Presence:** [1..1]

**Definition:** Cash amount before any deductions and allowances have been made.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.26 NetAmount <NetAmt>

**Presence:** [1..1]

**Definition:** Cash amount after any deductions and allowances have been made

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.27 RecordDateHolding <RcrdDtHldg>

**Presence:** [1..1]

**Definition:** Securities holding on record date.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.28</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>7.29</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 7.28 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 7.27 **RecordDateHolding**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 7.29 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 7.27 **RecordDateHolding**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.30 TaxCreditRate <TaxCdtRate>

**Presence:** [0..1]

**Definition:** Applicable tax rate on the tax credit amount

**Data Type:** PercentageRate

**Format:** fractionDigits: 10

totalDigits: 11

## 7.31 WithholdingTaxAmount <WhldgTaxAmt>

**Presence:** [0..1]

**Definition:** Cash amount that will be withheld by a tax authority.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 7.32 WithholdingTaxRate <WhldgTaxRate>

**Presence:** [0..1]

**Definition:** Rate of a cash distribution that will be withheld by a tax authority

**Data Type:** PercentageRate

**Format:** fractionDigits: 10

totalDigits: 11

### 7.33 ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>

**Presence:** [0..1]

**Definition:** Cost per share of new shares allotted.

**Type:** This message item is composed of the following *PriceValue1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Amount	<Amt>	[1..1]	Amount

For additional Type information, please refer to *PriceValue1* p.775 in 'Message Item Types' section.

### 7.34 CashAmountBroughtForward <CshAmtBrghtFwd>

**Presence:** [0..1]

**Definition:** Cash amount retained from previous dividend or interest payment.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005



**7.35 AllotedSharesCost <AlltdShrsCost>****Presence:** [0..1]**Definition:** Total cash amount required to purchase shares allotted.**Type:** *This message item is composed of the following **PriceValue1** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Amount	<Amt>	[1..1]	Amount

*For additional Type information, please refer to **PriceValue1** p.775 in 'Message Item Types' section.***7.36 CashAmountCarriedForward <CshAmtCrrdFwd>****Presence:** [0..1]**Definition:** Cash amount carried forward to next dividend or interest payment.**Data Type:** ActiveCurrencyAndAmount*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.***Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**7.37 NotionalTax <NtnlTax>****Presence:** [0..1]**Definition:** Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid. For scrips only.**Data Type:** ActiveCurrencyAndAmount*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.***Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**7.38 NotionalDividendPayable <NtnlDvddPybl>****Presence:** [0..1]**Definition:** Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares. For scrip only.**Data Type:** ActiveCurrencyAndAmount*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.***Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**7.39 BargainDate <BrngDt>****Presence:** [0..1]**Definition:** Date on which DRIP purchase completed.**Data Type:** ISODate**7.40 BargainSettlementDate <BrngSttlmDt>****Presence:** [0..1]**Definition:** Settlement date of the DRIP purchase transaction.**Data Type:** ISODate

## 7.41 StampDutyAmount <StmpDtyAmt>

**Presence:** [0..1]

**Definition:** Amount of stamp duty.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.42 ChargeAmount <ChrgAmt>

**Presence:** [0..1]

**Definition:** Amount of charges/fees charged to the client.

Is it really needed in the Agent messages?

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency,

consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 7.43 CommissionAmount <ComssnAmt>

**Presence:** [0..1]

**Definition:** Amount due to the paying agent.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 7.44 ForeignExchangeDetails <FXDtIs>

**Presence:** [0..1]

**Definition:** Provides information about the foreign exchange transaction.

**Type:** *This message item is composed of the following **ForeignExchangeTerms9** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.45</a>		UnitCurrency	<UnitCcy>	[1..1]	Code
<a href="#">7.46</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code
<a href="#">7.47</a>		ExchangeRate	<XchgRate>	[1..1]	Rate
<a href="#">7.48</a>		ResultingAmount	<RsltAmt>	[1..1]	Amount
<a href="#">7.49</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount

### 7.45 UnitCurrency <UnitCcy>

**Presence:** [1..1]

**Definition:** Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.46 QuotedCurrency <QtdCcy>

**Synonym(s):** CounterCurrency (Statement Business Modeling), IndirectCurrency (Statement Business Modeling)

**Presence:** [1..1]

**Definition:** Currency into which the base currency is converted, in a currency exchange.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.47 ExchangeRate <XchgRate>

**Synonym(s):** :92A::EXCH (ISO 15022)

**Presence:** [1..1]

**Definition:** Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

## 7.48 ResultingAmount <RsltAmt>

**Presence:** [1..1]

**Definition:** Amount of money resulting from a foreign exchange transaction.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.49 OriginalAmount <OrgnlAmt>

**Presence:** [0..1]

**Definition:** Amount in its original currency when conversion from/into another currency has occurred.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## Business Example

### Narrative

The agent sends individual orders to debit the 500 units of underlying securities (ISIN GB3333A53L22) units and credit the cash proceeds, 1000 GBP.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123MOVE

<b>AgentCorporateActionElectionAdviceIdentification</b>	
Identification	ELEC123

<b>CorporateActionGeneralInformation</b>	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

<b>MovementGeneralInformation</b>	
OrderType	Individual order
HighPriorityIndicator	FALSE
RequestedExecutionDate	13/04/2008

<b>ProceedsMovementDetails</b>	
<b>UnderlyingSecuritiesMovementDetails</b>	
SecurityIdentification	GB3333A53L22
PostingQuantity	500
AccountDetails (First occurrence)	
CreditDebitIndicator	Debit
AccountIdentification	ABC123
BalanceType	Restricted
AccountDetails (Second occurrence)	
CreditDebitIndicator	Credit
AccountIdentification	XYZ987
BalanceType	Available
<b>CashProceedsMovementDetails</b>	
PostingAmount	1000 GBP
AccountDetails (First occurrence)	
CreditDebitIndicator	Debit
AccountIdentification	123YOL
BalanceType	Available
AccountDetails (Second occurrence)	
CreditDebitIndicator	Credit
AccountIdentification	XYZ456

ProceedsMovementDetails	
BalanceType	Available

## XML Instance

```

<AgtCAMvmntInstr>
  <Id>
    <Id>ELEC123MOVE</Id>
  </Id>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrgTp>
      <Cd>REOR</Cd>
    </EvtPrgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <MvmntGnlInf>
    <OrdrTp>IDEB</OrdrTp>
    <HghPrtyInd>false</HghPrtyInd>
    <ReqdExctnDt>2008-04-13</ReqdExctnDt>
  </MvmntGnlInf>
  <UndrlygSctiesMvmntDtls>
    <SctyId>
      <ISIN>GB3333A53L22</ISIN>
    </SctyId>
    <SctiesQty>
      <Unit>500</Unit>
    </SctiesQty>
    <AcctDtls>
      <CdtDbtInd>DBIT</CdtDbtInd>
      <AcctId>ABC123</AcctId>
    </AcctDtls>
    <AcctDtls>
      <CdtDbtInd>CRDT</CdtDbtInd>
      <AcctId>XYZ987</AcctId>
    </AcctDtls>
  </UndrlygSctiesMvmntDtls>
</AgtCAMvmntInstr>

```



```
</UndrlygSciesMvmntDtls>
<PrclsMvmntDtls>
  <CshPrclsMvmntDtls>
    <PstngAmt Ccy = "GBP">1000</PstngAmt>
    <AcctDtls>
      <CdtDbtInd>DBIT</CdtDbtInd>
      <AcctId>
        <CshAcctId>123YOL</CshAcctId>
      </AcctId>
    </AcctDtls>
  </CshPrclsMvmntDtls>
  <AcctDtls>
    <CdtDbtInd>CRDT</CdtDbtInd>
    <AcctId>
      <CshAcctId>XYZ456</CshAcctId>
    </AcctId>
  </AcctDtls>
</PrclsMvmntDtls>
</AgtCAMvmntInstr>
```

## MX seev.020.001.01 AgentCAMovementCancellationRequestV01

### Message Functionality

#### Scope

This message is sent by an issuer (or its agent) to a CSD to request the cancellation of (a) movement(s) previously sent via an Agent Corporate Action Movement Instruction.

#### Usage

This message may be used to cancel an entire Agent Corporate Action Movement Instruction message that was previously sent by the issuer (or its agent) or specific movements.

This message must contain the identification of the Agent Corporate Action Movement Instruction containing the movement(s) to be cancelled, the agent identification and the corporate action references. This message must also contain details of the movement(s) to be cancelled.

#### Outline

The message is composed of four building blocks:

##### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the cancellation request by the sender of the message.

##### 2. Agent Corporate Action Movement Instruction Identification

This building block is mandatory. It contains information about the identification assigned to the movement instruction to be cancelled.

##### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action event such as the corporate action reference.

##### 4. Movement Details

This building block is optional. It contains detailed information about the movement instruction to be cancelled.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAMvmntCxlReq>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAMovementInstructionIdentification	<AgtCAMvmntInstrId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		MovementDetails	<MvmntDtls>	[0..1]		
<u>4.1</u>		MovementGeneralInformation	<MvmntGnlInf>	[1..1]		
<u>4.2</u>		OrderType	<OrdRtp>	[1..1]	Code	
<u>4.3</u>		HighPriorityIndicator	<HghPrtyInd>	[1..1]	Indicator	
<u>4.4</u>		OptionNumber	<OptnNb>	[0..1]	Text	
<u>4.5</u>		OptionType	<OptnTp>	[0..1]		
<u>4.6</u>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<u>4.7</u>		Proprietary	<Prtry>	[1..1]		
<u>4.8</u>		Identification	<Id>	[1..1]	Text	
<u>4.9</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>4.10</u>		Issuer	<Issr>	[1..1]	Text	
<u>4.11</u>		RequestedExecutionDate	<ReqdExctnDt>	[1..1]	DateTime	
<u>4.12</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>4.13</u>		AccountIdentification	<AcctId>	[0..1]	Text	
<u>4.14</u>		ConfirmedBalanceSecuritiesQuantity	<ConfdBalSciesQty>	[0..1]		
<u>4.15</u>	{ Or Or }	Unit	<Unit>	[1..1]	Quantity	
<u>4.16</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>4.17</u>		UnderlyingSecuritiesMovementDetails	<UndrlygSciesMvmntDtls>	[0..n]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
4.18		SecurityIdentification	<SctyId>	[1..1]	±	
4.19		SecuritiesQuantity	<SctiesQty>	[1..1]		
4.20	{ Or Or }	Unit	<Unit>	[1..1]	Quantity	
4.21		FaceAmount	<FaceAmt>	[1..1]	Amount	
4.22		AccountDetails	<AcctDtls>	[1..2]	±	
4.23		UnderlyingCashMovementDetails	<UndrlygCshMvmntD tls>	[0..n]		
4.24		Amount	<Amt>	[1..1]	Amount	
4.25		AccountDetails	<AcctDtls>	[1..2]		
4.26		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
4.27		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
4.28		AccountIdentification	<AcctId>	[1..1]	±	
4.29		ProceedsMovementDetails	<PrclsMvmntDtls>	[0..n]		
4.30		CashProceedsMovementDetails	<CshPrclsMvmntDtls >	[0..n]		
4.31		PostingAmount	<PstngAmt>	[1..1]	Amount	
4.32		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text	
4.33		AccountDetails	<AcctDtls>	[1..2]		
4.34		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
4.35		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
4.36		AccountIdentification	<AcctId>	[1..1]	±	
4.37		SecuritiesProceedsMovementDetails	<SctiesPrclsMvmntDt ls>	[0..n]		
4.38		SecurityIdentification	<SctyId>	[1..1]	±	
4.39		PostingQuantity	<PstngQty>	[1..1]		
4.40	{ Or Or }	Unit	<Unit>	[1..1]	Quantity	
4.41		FaceAmount	<FaceAmt>	[1..1]	Amount	
4.42		AccountDetails	<AcctDtls>	[1..2]		
4.43		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
4.44		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
4.45		AccountOwnerNationality	<AcctOwnrNtlty>	[0..1]	Code	
4.46		AccountIdentification	<AcctId>	[1..1]	Text	
4.47		BalanceType	<BalTp>	[0..1]	±	
4.48		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code	
4.49		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text	
4.50		TaxDetails	<TaxDtls>	[0..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
4.51		TaxVoucherRate	<TaxVchrRate>	[1..1]	Rate	
4.52	{Or	TaxCredit	<TaxCdt>	[1..1]	Amount	
4.53	Or}	TaxDeduction	<TaxDdctn>	[1..1]	Amount	
4.54		GrossAmount	<GrssAmt>	[1..1]	Amount	
4.55		NetAmount	<NetAmt>	[1..1]	Amount	
4.56		RecordDateHolding	<RcrdDtHldg>	[1..1]		
4.57	{Or	Unit	<Unit>	[1..1]	Quantity	
4.58	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount	
4.59		TaxCreditRate	<TaxCdtRate>	[0..1]	Rate	
4.60		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount	
4.61		WithholdingTaxRate	<WhldgTaxRate>	[0..1]	Rate	
4.62		ScripDividendReinvestmentPricePer Share	<ScripDvddRinvstmtP ricPerShr>	[0..1]	±	
4.63		CashAmountBroughtForward	<CshAmtBrghtFwd>	[0..1]	Amount	
4.64		AllotedSharesCost	<AlltdShrsCost>	[0..1]	±	
4.65		CashAmountCarriedForward	<CshAmtCrrdFwd>	[0..1]	Amount	
4.66		NotionalTax	<NtnlTax>	[0..1]	Amount	
4.67		NotionalDividendPayable	<NtnlDvddPybl>	[0..1]	Amount	
4.68		BargainDate	<BrgnDt>	[0..1]	DateTime	
4.69		BargainSettlementDate	<BrgnSttlmDt>	[0..1]	DateTime	
4.70		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount	
4.71		ChargeAmount	<ChrgAmt>	[0..1]	Amount	
4.72		CommissionAmount	<ComssnAmt>	[0..1]	Amount	
4.73		ForeignExchangeDetails	<FXDtls>	[0..1]		
4.74		UnitCurrency	<UnitCcy>	[1..1]	Code	
4.75		QuotedCurrency	<QtdCcy>	[1..1]	Code	
4.76		ExchangeRate	<XchgRate>	[1..1]	Rate	
4.77		ResultingAmount	<RsltgAmt>	[1..1]	Amount	
4.78		OriginalAmount	<OrgnlAmt>	[0..1]	Amount	

## Message Items Description

The following section identifies the elements of the **AgentCAMovementCancellationRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the cancellation request.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 2.0 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Movement Instruction to be cancelled.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 3.0 CorporateActionGeneralInformation <CorpActnGnllnf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to *CorporateActionInformation1* p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 4.0 MovementDetails <MvmntDtls>

**Presence:** [0..1]

**Definition:** Details of the movement instructions to be cancelled.

**Type:** The *MovementDetails* block is composed of the following *MovementInstruction1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.1</a>		MovementGeneralInformation	<MvmntGnlInf>	[1..1]	
<a href="#">4.17</a>		UnderlyingSecuritiesMovementDetails	<UndrlygSciesMvmntDtls>	[0..n]	
<a href="#">4.23</a>		UnderlyingCashMovementDetails	<UndrlygCshMvmntDtls>	[0..n]	
<a href="#">4.29</a>		ProceedsMovementDetails	<PrcdsMvmntDtls>	[0..n]	

#### 4.1 MovementGeneralInformation <MvmntGnlInf>

**Presence:** [1..1]

**Definition:** Provides general information about the movement.

**Type:** This message item is composed of the following *CorporateActionMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.2</a>		OrderType	<OrdrTp>	[1..1]	Code
<a href="#">4.3</a>		HighPriorityIndicator	<HghPrtyInd>	[1..1]	Indicator
<a href="#">4.4</a>		OptionNumber	<OptnNb>	[0..1]	Text
<a href="#">4.5</a>		OptionType	<OptnTp>	[0..1]	
<a href="#">4.11</a>		RequestedExecutionDate	<ReqdExctnDt>	[1..1]	DateTime
<a href="#">4.12</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">4.13</a>		AccountIdentification	<AcctId>	[0..1]	Text
<a href="#">4.14</a>		ConfirmedBalanceSecuritiesQuantity	<ConfdBalSciesQty>	[0..1]	

#### 4.2 OrderType <OrdrTp>

**Presence:** [1..1]

**Definition:** Type of movement instruction.

**Data Type:** Code

One of the following *DistributionInstructionType1Code* values must be used:

Code	Name	Definition
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).

Code	Name	Definition
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

### 4.3 HighPriorityIndicator <HghPrtyInd>

**Presence:** [1..1]

**Definition:** Indicates whether the movement is a high priority or not.

Meaning when true: High priority

Meaning when false: Standard

**Data Type:** *One of the following YesNoIndicator values must be used:*

MeaningWhenTrue: Yes

MeaningWhenFalse: No

### 4.4 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

### 4.5 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** *This message item is composed of one of the following CorporateActionOption1FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.6</u>	{Or	Code	<Cd>	[1..1]	Code
<u>4.7</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 4.6 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.5 OptionType.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

*One of the following CorporateActionOptionType1Code values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.



Code	Name	Definition
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

## 4.7 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.5 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.8</u>		Identification	<Id>	[1..1]	Text
<u>4.9</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.10</u>		Issuer	<Issr>	[1..1]	Text

## 4.8 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.9 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.10 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.11 RequestedExecutionDate <ReqdExctnDt>

**Presence:** [1..1]

**Definition:** Date at which the distribution movement must be executed.

**Data Type:** ISODate

#### 4.12 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

#### 4.13 AccountIdentification <AcctId>

**Presence:** [0..1]

**Definition:** Identification of the account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.14 ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>

**Presence:** [0..1]

**Definition:** Quantity of securities in the confirmed balance, ie, the balance to which the credit of the outturned resources applies.

**Type:** *This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.15	{Or	Unit	<Unit>	[1..1]	Quantity
4.16	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.15 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice **4.14 ConfirmedBalanceSecuritiesQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.16 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice **4.14 ConfirmedBalanceSecuritiesQuantity**.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

#### 4.17 UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the underlying securities movement.

**Type:** *This message item is composed of the following **UnderlyingSecurityMovement1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.18</a>		SecurityIdentification	<SctyId>	[1..1]	±
<a href="#">4.19</a>		SecuritiesQuantity	<SctiesQty>	[1..1]	
<a href="#">4.22</a>		AccountDetails	<AcctDtls>	[1..2]	±

#### 4.18 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

#### 4.19 SecuritiesQuantity <SctiesQty>

**Presence:** [1..1]

**Definition:** Quantity of financial instrument.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.20</a>	{Or	Unit	<Unit>	[1..1]	Quantity
<a href="#">4.21</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

#### 4.20 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice *4.19 SecuritiesQuantity*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

#### 4.21 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice *4.19 SecuritiesQuantity*.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.22 AccountDetails <AcctDtls>****Presence:** [1..2]**Definition:** Provides information about the debited/credited securities account.**Type:** This message item is composed of the following *SecuritiesAccount8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text
	BalanceType	<BalTp>	[0..1]	
	OptionType	<OptnTp>	[0..1]	
	OptionNumber	<OptnNb>	[0..1]	Text
	SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code
	StampDuty	<StmpDty>	[0..1]	

For additional Type information, please refer to *SecuritiesAccount8* p.629 in 'Message Item Types' section.

**4.23 UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>****Presence:** [0..n]**Definition:** Provides information about the underlying cash movement.**Type:** This message item is composed of the following *CashMovement2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.24		Amount	<Amt>	[1..1]	Amount

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.25		AccountDetails	<AcctDtls>	[1..2]	

#### 4.24 Amount <Amt>

**Presence:** [1..1]

**Definition:** Cash amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.25 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited.

**Type:** *This message item is composed of the following **CashAccount19** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.26		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
4.27		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
4.28		AccountIdentification	<AcctId>	[1..1]	±

#### 4.26 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

*One of the following **CreditDebitCode** values must be used:*

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 4.27 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

#### 4.28 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following *AccountIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to *AccountIdentification2Choice* p.649 in 'Message Item Types' section.

#### 4.29 ProceedsMovementDetails <PrcdsMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the proceeds, ie, outturned resources.

**Type:** This message item is composed of the following *ProceedsMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">4.30</a>		CashProceedsMovementDetails	<CshPrcdsMvmntDtls>	[0..n]	
<a href="#">4.37</a>		SecuritiesProceedsMovementDetails	<SctiesPrcdsMvmntDtls>	[0..n]	
<a href="#">4.50</a>		TaxDetails	<TaxDtls>	[0..1]	

#### 4.30 CashProceedsMovementDetails <CshPrcdsMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the movement of the cash proceeds.

**Type:** This message item is composed of the following *CashProceeds1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.31		PostingAmount	<PstngAmt>	[1..1]	Amount
4.32		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text
4.33		AccountDetails	<AcctDtls>	[1..2]	

#### 4.31 PostingAmount <PstngAmt>

**Presence:** [1..1]

**Definition:** Cash amount which is posted.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.32 ReconciliationDetails <RcncltnDtls>

**Presence:** [0..1]

**Definition:** Reconciliation information.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

#### 4.33 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the debited securities account.

**Type:** This message item is composed of the following *CashAccount19* element(s):



Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
4.34		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
4.35		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
4.36		AccountIdentification	<AcctId>	[1..1]	±

#### 4.34 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 4.35 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

#### 4.36 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following **AccountIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to **AccountIdentification2Choice** p.649 in 'Message Item Types' section.

#### 4.37 SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>

**Presence:** [0..n]

**Definition:** Provides information about the movement of the securities proceeds.

**Type:** This message item is composed of the following *SecuritiesProceeds1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.38		SecurityIdentification	<SctyId>	[1..1]	±
4.39		PostingQuantity	<PstngQty>	[1..1]	
4.42		AccountDetails	<AcctDtls>	[1..2]	
4.49		ReconciliationDetails	<RcncltnDtls>	[0..1]	Text

### 4.38 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

### 4.39 PostingQuantity <PstngQty>

**Presence:** [1..1]

**Definition:** The quantity of financial instruments that is posted.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.40	{Or	Unit	<Unit>	[1..1]	Quantity
4.41	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 4.40 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 4.39 *PostingQuantity*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 4.41 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice 4.39 *PostingQuantity*.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.42 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account that is debited/credited.

**Type:** *This message item is composed of the following **SecuritiesAccount10** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.43</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">4.44</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">4.45</a>		AccountOwnerNationality	<AcctOwnrNtlty>	[0..1]	Code
<a href="#">4.46</a>		AccountIdentification	<AcctId>	[1..1]	Text
<a href="#">4.47</a>		BalanceType	<BalTp>	[0..1]	±
<a href="#">4.48</a>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code

## 4.43 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

*One of the following **CreditDebitCode** values must be used:*

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 4.44 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

#### 4.45 AccountOwnerNationality <AcctOwnrNtlty>

**Presence:** [0..1]

**Definition:** Nationality of the account owner.

**Data Type:** NationalityCode

**Rule(s):** ValidationByTable

#### 4.46 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.47 BalanceType <BalTp>

**Presence:** [0..1]

**Definition:** Type of balance.

**Type:** This message item is composed of one of the following *SecuritiesBalanceType9FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *SecuritiesBalanceType9FormatChoice* p.655 in 'Message Item Types' section.

#### 4.48 SecurityHoldingForm <SctyHldgForm>

**Presence:** [0..1]

**Definition:** Specifies the form of the financial instrument.

**Data Type:** Code

When this message item is present, one of the following *FormOfSecurity1Code* values must be used:

Code	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/funds books.

#### 4.49 ReconciliationDetails <RcncltnDtls>

**Presence:** [0..1]

**Definition:** Provides reconciliation information.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

#### 4.50 TaxDetails <TaxDtls>

**Presence:** [0..1]

**Definition:** Provides information about the tax voucher.

**Type:** This message item is composed of the following *TaxVoucher1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.51		TaxVoucherRate	<TaxVchrRate>	[1..1]	Rate
4.52	{Or Or}	TaxCredit	<TaxCdt>	[1..1]	Amount
4.53		TaxDeduction	<TaxDdctn>	[1..1]	Amount
4.54		GrossAmount	<GrssAmt>	[1..1]	Amount
4.55		NetAmount	<NetAmt>	[1..1]	Amount
4.56		RecordDateHolding	<RcrdDtHldg>	[1..1]	
4.59		TaxCreditRate	<TaxCdtRate>	[0..1]	Rate
4.60		WithholdingTaxAmount	<WhldgTaxAmt>	[0..1]	Amount
4.61		WithholdingTaxRate	<WhldgTaxRate>	[0..1]	Rate
4.62		ScripDividendReinvestmentPricePerShare	<ScripDvddRinvstmtPricPerShr>	[0..1]	±
4.63		CashAmountBroughtForward	<CshAmtBrghtFwd>	[0..1]	Amount
4.64		AllotedSharesCost	<AlltdShrsCost>	[0..1]	±
4.65		CashAmountCarriedForward	<CshAmtCrrdFwd>	[0..1]	Amount
4.66		NotionalTax	<NtnlTax>	[0..1]	Amount
4.67		NotionalDividendPayable	<NtnlDvddPybl>	[0..1]	Amount
4.68		BargainDate	<BrngDt>	[0..1]	DateTime
4.69		BargainSettlementDate	<BrngSttlmDt>	[0..1]	DateTime
4.70		StampDutyAmount	<StmpDtyAmt>	[0..1]	Amount
4.71		ChargeAmount	<ChrgAmt>	[0..1]	Amount

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.72</u>		CommissionAmount	<ComssnAmt>	[0..1]	Amount
<u>4.73</u>		ForeignExchangeDetails	<FXDtls>	[0..1]	

#### 4.51 TaxVoucherRate <TaxVchrRate>

**Presence:** [1..1]

**Definition:** Distribution rate per share.

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

#### 4.52 TaxCredit <TaxCdt>

**Presence:** [1..1]

*This message item is part of choice 4.50 TaxDetails.*

**Definition:** Amount of tax that have been previously paid.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.53 TaxDeduction <TaxDdctn>

**Presence:** [1..1]

*This message item is part of choice 4.50 TaxDetails.*

**Definition:** Amount of tax that have been previously deducted.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**  
ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

## 4.54 GrossAmount <GrssAmt>

**Presence:** [1..1]

**Definition:** Cash amount before any deductions and allowances have been made.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount  
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.  
Note: The decimal separator is a dot.  
(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**  
ActiveCurrency  
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.  
(Fatal) Error Code: Sw.Stds.D00005

## 4.55 NetAmount <NetAmt>

**Presence:** [1..1]

**Definition:** Cash amount after any deductions and allowances have been made

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.56 RecordDateHolding <RcrdDtHldg>

**Presence:** [1..1]

**Definition:** Securities holding on record date.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.57	{Or	Unit	<Unit>	[1..1]	Quantity
4.58	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 4.57 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice 4.56 *RecordDateHolding*.

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 4.58 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice 4.56 *RecordDateHolding*.

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: *Currency (Ccy)* which is typed by *ActiveCurrencyCode*.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18



**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.59 TaxCreditRate <TaxCdtRate>

**Presence:** [0..1]

**Definition:** Applicable tax rate on the tax credit amount

**Data Type:** PercentageRate

**Format:** fractionDigits: 10  
totalDigits: 11

## 4.60 WithholdingTaxAmount <WhldgTaxAmt>

**Presence:** [0..1]

**Definition:** Cash amount that will be withheld by a tax authority.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format: ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.61 WithholdingTaxRate <WhldgTaxRate>

**Presence:** [0..1]

**Definition:** Rate of a cash distribution that will be withheld by a tax authority

**Data Type:** PercentageRate

**Format:** fractionDigits: 10

totalDigits: 11

#### 4.62 ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>

**Presence:** [0..1]

**Definition:** Cost per share of new shares allotted.

**Type:** This message item is composed of the following **PriceValue1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Amount	<Amt>	[1..1]	Amount

For additional Type information, please refer to **PriceValue1** p.775 in 'Message Item Types' section.

#### 4.63 CashAmountBroughtForward <CshAmtBrghtFwd>

**Presence:** [0..1]

**Definition:** Cash amount retained from previous dividend or interest payment.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.64 AllotedSharesCost <AlltdShrsCost>

**Presence:** [0..1]

**Definition:** Total cash amount required to purchase shares allotted.

**Type:** This message item is composed of the following **PriceValue1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Amount	<Amt>	[1..1]	Amount

For additional Type information, please refer to [PriceValue1](#) p.775 in 'Message Item Types' section.

#### 4.65 CashAmountCarriedForward <CshAmtCrrdFwd>

**Presence:** [0..1]

**Definition:** Cash amount carried forward to next dividend or interest payment.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.66 NotionalTax <NtnlTax>

**Presence:** [0..1]

**Definition:** Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid. For scrips only.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.67 NotionalDividendPayable <NtnlDvddPybl>

**Presence:** [0..1]

**Definition:** Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares. For scrip only.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.68 BargainDate <BrngDt>

**Presence:** [0..1]

**Definition:** Date on which DRIP purchase completed.

**Data Type:** ISODate

## 4.69 BargainSettlementDate <BrngSttlmDt>

**Presence:** [0..1]

**Definition:** Settlement date of the DRIP purchase transaction.

**Data Type:** ISODate

## 4.70 StampDutyAmount <StmpDtyAmt>

**Presence:** [0..1]

**Definition:** Amount of stamp duty.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.71 ChargeAmount <ChrgAmt>

**Presence:** [0..1]

**Definition:** Amount of charges/fees charged to the client.

Is it really needed in the Agent messages?

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.72 CommissionAmount <ComssnAmt>

**Presence:** [0..1]

**Definition:** Amount due to the paying agent.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 4.73 ForeignExchangeDetails <FXDtIs>

**Presence:** [0..1]

**Definition:** Provides information about the foreign exchange transaction.

**Type:** This message item is composed of the following *ForeignExchangeTerms9* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.74</a>		UnitCurrency	<UnitCcy>	[1..1]	Code
<a href="#">4.75</a>		QuotedCurrency	<QtdCcy>	[1..1]	Code
<a href="#">4.76</a>		ExchangeRate	<XchgRate>	[1..1]	Rate
<a href="#">4.77</a>		ResultingAmount	<RsltAmt>	[1..1]	Amount
<a href="#">4.78</a>		OriginalAmount	<OrgnlAmt>	[0..1]	Amount

## 4.74 UnitCurrency <UnitCcy>

**Presence:** [1..1]

**Definition:** Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.75 QuotedCurrency <QtdCcy>

**Synonym(s):** CounterCurrency (Statement Business Modeling), IndirectCurrency (Statement Business Modeling)

**Presence:** [1..1]

**Definition:** Currency into which the base currency is converted, in a currency exchange.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.76 ExchangeRate <XchgRate>

**Synonym(s):** :92A::EXCH (ISO 15022)

**Presence:** [1..1]

**Definition:** Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

**Data Type:** BaseOneRate

**Format:** fractionDigits: 10  
totalDigits: 11

#### 4.77 ResultingAmount <RsltAmt>

**Presence:** [1..1]

**Definition:** Amount of money resulting from a foreign exchange transaction.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**4.78 OriginalAmount <OrgnlAmt>**

**Presence:** [0..1]

**Definition:** Amount in its original currency when conversion from/into another currency has occurred.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**Business Example****Narrative**

An agent sends a CA movement cancellation request message to a CSD to request the cancellation of the movements instructed in the CA movement instruction (identification: ELEC123MOVE).

**Business Description**

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123MOVECANX

AgentCorporateActionMovementInstructionIdentification	
Identification	ELEC123MOVE



CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

## XML Instance

```

<AgtCAMvmntCxlReq>
  <Id>
    <Id>ELEC123MOVECANX</Id>
  </Id>
  <AgtCAMvmntInstrId>
    <Id>ELEC123MOVE</Id>
  </AgtCAMvmntInstrId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlNtryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlNtryEvtTp>
    <EvtPrcgTp>
      <Cd>REOR</Cd>
    </EvtPrcgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
</AgtCAMvmntCxlReq>

```

# MX seev.021.001.01 AgentCAMovementConfirmationV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to confirm the settlement of resource movements.

### Usage

This message is used to confirm the settlement of the movements resulting from an:

- Agent Corporate Action Movement Instruction message. It confirms the settlement of the exercised resources and/or proceeds movements in which case the building block Agent Corporate Action Movement Instruction Identification must be present. An Agent Corporate Action Movement Instruction message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Global Distribution Status Advice authorising the global distribution. It confirms the settlement of the exercised resources and/or proceeds movements. The building block Agent Corporate Action Global Distribution Status Advice Identification must be present. An Agent Corporate Action Global Distribution Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Election Status Advice that rejects an election advice. It confirms the return of the exercised resources. The building block Agent Corporate Action Election Status Advice Identification must be present. An Agent Corporate Action Election Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Election Status Advice where an election cancellation request has been accepted. It confirms the return of the exercised resources. The building block Agent Corporate Action Election Status Advice Identification must be present. An Agent Corporate Action Election Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.

### Outline

The message is composed of five building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the confirmation by the sender of the message.

#### 2. Choice between Agent Corporate Action Movement Instruction Identification, Agent Corporate Action Election Status Identification and Agent Corporate Action Global Distribution Status Advice Identification

This is a mandatory choice of building blocks. It contains the identification of the movement instruction, the election status advice or the global distribution status advice that was previously received and for which the movement confirmation is given.

#### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action event such as the corporate action reference.

#### 4. Securities Movement Details

This building block is optional and repeatable. It contains information about the securities movement such as the posting quantity.

#### 5. Cash Movement Details

This building block is optional and repeatable. It contains information about the cash movement such as the security identification and the value date.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAMvmntConf>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAMovementInstructionIdentification	<AgtCAMvmntInstrId>	[1..1]	±	

Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		AgentCAElectionStatusAdviceIdentification	<AgtCAElctnStsAdvId>	[1..1]	±	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		AgentCAGlobalDistributionStatusAdviceIdentification	<AgtCAGblDstrbtnStsAdvId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		SecuritiesMovementDetails	<SctiesMvmntDtls>	[0..n]		
<u>6.1</u>		PostingDateTime	<PstngDtTm>	[0..1]	±	
<u>6.2</u>		SecurityIdentification	<SctyId>	[1..1]	±	
<u>6.3</u>		PostingQuantity	<PstngQty>	[1..1]		
<u>6.4</u>	{ Or	Unit	<Unit>	[1..1]	Quantity	
<u>6.5</u>	Or }	FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>6.6</u>		AccountDetails	<AcctDtls>	[1..2]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>7.0</u>		CashMovementDetails	<CshMvmntDtls>	[0..n]		
<u>7.1</u>		PostingDateTime	<PstngDtTm>	[0..1]	±	
<u>7.2</u>		ValueDate	<ValDt>	[1..1]	DateTime	
<u>7.3</u>		PostingAmount	<PstngAmt>	[1..1]	Amount	
<u>7.4</u>		AccountDetails	<AcctDtls>	[1..2]		
<u>7.5</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code	
<u>7.6</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>7.7</u>		AccountIdentification	<AcctId>	[1..1]	±	
<u>7.8</u>		BalanceType	<BalTp>	[0..1]	±	

## Message Items Description

The following section identifies the elements of the **AgentCAMovementConfirmationV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the confirmation.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 AgentCAMovementInstructionIdentification <AgtCAMvmntlnstrId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Movement Instruction that triggered the movement(s).

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 3.0 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Election Status Advice that triggered the movement(s).

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 4.0 AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGblDstrbtnStsAdvId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Global Distribution Status Advice that triggered the movement(s).

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 5.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to *CorporateActionInformation1* p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 6.0 SecuritiesMovementDetails <SctiesMvmntDtls>

**Presence:** [0..n]

**Definition:** Information about the securities movements.

**Type:** The *SecuritiesMovementDetails* block is composed of the following *CorporateActionSecuritiesMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>6.1</u>		PostingDateTime	<PstngDtTm>	[0..1]	±
<u>6.2</u>		SecurityIdentification	<SctyId>	[1..1]	±
<u>6.3</u>		PostingQuantity	<PstngQty>	[1..1]	
<u>6.6</u>		AccountDetails	<AcctDtls>	[1..2]	±

### 6.1 PostingDateTime <PstngDtTm>

**Presence:** [0..1]

**Definition:** Date and time of the posting.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{Or	Date	<Dt>	[1..1]	DateTime
Or}	DateTime	<DtTm>	[1..1]	DateTime

For additional Type information, please refer to [DateAndDateTimeChoice](#) p.656 in 'Message Item Types' section.

## 6.2 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following [SecurityIdentification7](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to [SecurityIdentification7](#) p.806 in 'Message Item Types' section.

## 6.3 PostingQuantity <PstngQty>

**Presence:** [1..1]

**Definition:** Quantity of securities that is posted.

**Type:** This message item is composed of one of the following [UnitOrFaceAmount1Choice](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.4</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>6.5</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 6.4 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice [6.3 PostingQuantity](#).

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 6.5 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice [6.3 PostingQuantity](#).

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**6.6 AccountDetails <AcctDtls>****Presence:** [1..2]**Definition:** Provides information about the account which is debited/credited.**Type:** This message item is composed of the following *SecuritiesAccount8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text
	BalanceType	<BalTp>	[0..1]	
	OptionType	<OptnTp>	[0..1]	
	OptionNumber	<OptnNb>	[0..1]	Text
	SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code
	StampDuty	<StmpDty>	[0..1]	

For additional Type information, please refer to *SecuritiesAccount8* p.629 in 'Message Item Types' section.

**7.0 CashMovementDetails <CshMvmntDtls>****Presence:** [0..n]**Definition:** Information about the cash movement.**Type:** The *CashMovementDetails* block is composed of the following *CashMovement3* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.1</u>		PostingDateTime	<PstngDtTm>	[0..1]	±
<u>7.2</u>		ValueDate	<ValDt>	[1..1]	DateTime
<u>7.3</u>		PostingAmount	<PstngAmt>	[1..1]	Amount
<u>7.4</u>		AccountDetails	<AcctDtls>	[1..2]	



## 7.1 PostingDateTime <PstngDtTm>

**Presence:** [0..1]

**Definition:** Date and time of the posting.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Date	<Dt>	[1..1]	DateTime
Or }	DateTime	<DtTm>	[1..1]	DateTime

For additional Type information, please refer to *DateAndDateTimeChoice* p.656 in 'Message Item Types' section.

## 7.2 ValueDate <ValDt>

**Presence:** [1..1]

**Definition:** Value date.

**Data Type:** ISODate

## 7.3 PostingAmount <PstngAmt>

**Presence:** [1..1]

**Definition:** Cash amount that is posted.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.4 AccountDetails <AcctDtls>

**Presence:** [1..2]

**Definition:** Provides information about the account which is debited/credited.

**Type:** This message item is composed of the following *CashAccount18* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5</a>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<a href="#">7.6</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">7.7</a>		AccountIdentification	<AcctId>	[1..1]	±
<a href="#">7.8</a>		BalanceType	<BalTp>	[0..1]	±

## 7.5 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

One of the following **CreditDebitCode** values must be used:

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

## 7.6 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

## 7.7 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account or the securities account from which the cash account is derived.

**Type:** This message item is composed of one of the following **AccountIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
Or }	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

For additional Type information, please refer to **AccountIdentification2Choice** p.649 in 'Message Item Types' section.

## 7.8 BalanceType <BalTp>

**Presence:** [0..1]

**Definition:** The cash balance type.

**Type:** This message item is composed of one of the following *CashBalanceType1FormatType* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *CashBalanceType1FormatType* p.651 in 'Message Item Types' section.

## Business Example

### Narrative

The CSD sends to the agent the confirmation of the debit of 500 units of the underlying securities and the credit of the cash proceeds, GBP 1000.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123MOVCONF

AgentCorporateActionMovementInstructionIdentification	
Identification	ELEC123MOVE

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

SecuritiesMovement	
PostingDateTime	13/04/2008
SecurityIdentification	GB3333A53L22
PostingQuantity\Unit	500
AccountDetails (First occurrence)	

SecuritiesMovement	
CreditDebitIndicator	Debit
AccountIdentification	ABC123
BalanceType	Restricted
AccountDetails (Second occurrence)	
CreditDebitIndicator	Credit
AccountIdentification	XYZ987
BalanceType	Available

CashMovement	
PostingDateTime	13/04/2008
ValueDate	15/04/2008
PostingAmount	GBP 1000
AccountDetails (First occurrence)	
CreditDebitIndicator	Debit
AccountIdentification	123YOL
BalanceType	Available
AccountDetails (Second occurrence)	
CreditDebitIndicator	Credit
AccountIdentification	XYZ456
BalanceType	Available

## XML Instance

```
<AgtCAMvmntConf>
  <Id>
    <Id>ELEC123MOVCONF</Id>
  </Id>
  <AgtCAMvmntInstrId>
    <Id>ELEC123MOVE</Id>
  </AgtCAMvmntInstrId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
  </CorpActnGnlInf>
</AgtCAMvmntConf>
```

```
<EvtPrcgTp>
  <Cd>REOR</Cd>
</EvtPrcgTp>
<UndrlygScty>
  <SctyId>
    <ISIN>GB3333A53L22</ISIN>
  </SctyId>
</UndrlygScty>
</CorpActnGnlInf>
<SctiesMvmntDtls>
  <PstngDtTm>
    <DtTm>2008-04-13T11:00:00</DtTm>
  </PstngDtTm>
  <SctyId>
    <ISIN>GB3333A53L22</ISIN>
  </SctyId>
  <PstngQty>
    <Unit>500</Unit>
  </PstngQty>
  <AcctDtls>
    <CdtDbtInd>CRDT</CdtDbtInd>
    <AcctId>XYZ987</AcctId>
  </AcctDtls>
  <AcctDtls>
    <CdtDbtInd>DBIT</CdtDbtInd>
    <AcctId>ABC123</AcctId>
  </AcctDtls>
</SctiesMvmntDtls>
<CshMvmntDtls>
  <PstngDtTm>
    <DtTm>2008-04-13T11:00:00</DtTm>
  </PstngDtTm>
  <ValDt>2008-04-15</ValDt>
  <PstngAmt Ccy = "GBP">1000</PstngAmt>
  <AcctDtls>
    <CdtDbtInd>CRDT</CdtDbtInd>
    <AcctId>
      <CshAcctId>XYZ456</CshAcctId>
    </AcctId>
  </AcctDtls>
</CshMvmntDtls>
</AgtCAMvmntConf>
```

# MX seev.022.001.01 AgentCAMovementStatusAdviceV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of

- a global distribution status advice released by an issuer (or its agent);
- a movement instruction released by an issuer (or its agent);
- a movement cancellation request sent by the issuer (or its agent); and
- the non-settlement of the movements at the CSD.

### Usage

This message is used to report the status of:

- the movements resulting from a movement instruction message, in which case, the Agent Corporate Action Movement Instruction Identification must be present;
- the movements resulting from a global distribution status advice message (with the status, authorised), in which case, the Agent Corporate Action Global Distribution Status Advice Identification must be present;
- the movement cancellation request, in which case, the Agent Corporate Action Movement Cancellation Request Identification must be present; and
- the movements resulting from an election status advice (if the status of the election advice is rejected or if the status of the election cancellation request or amendment request is accepted) in case there is a settlement problem. The Election Status Advice Identification must be present.

In the case of a failed settlement, the message contains details of the movement, such as account details, securities or cash information and the reason of the failure.

This message should not be used to provide the confirmation of the settlement; the Agent Corporate Action Movement Confirmation message should be used instead.

### Outline

The message is composed of five building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the status advice by the sender of the message.

#### 2. Choice between Agent Corporate Action Election Status Advice Identification, Agent Corporate Action Global Distribution Status Advice Identification, Agent Corporate Action Movement Instruction Identification and Agent Corporate Action Movement Cancellation Request

This choice is mandatory. It contains the identification of a global distribution status advice, election status advice, movement instruction or movement cancellation request that was previously received and for which a status is reported.

### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action such as the corporate action reference.

### 4. Movement Status Details

This building block is mandatory. It provides the status of the global distribution status advice, election status advice, movement instruction that could trigger a movement or the status of the movement itself:

- Processed Status. On top of the status, additional information may be given.
- Failed - when the settlement failed. On top of the status, information about the movement and a reason must be given.
- Rejected Status - when the global distribution authorisation or the movement instruction is rejected. On top of the status, a reason must be given. Additional information may also be given.

### 5. Movement Cancellation Status Details

This building block is mandatory. It provides the status of the movement cancellation request:

- Processed Status. On top of the status, additional information may be given.
- Rejected Status - when the movement cancellation request is rejected. On top of the status, a reason must be given. Additional information may also be given.

## Message Rules and Guidelines

### Rules

#### 1. MovementStatusDetailsRule

If MovementGeneralInformation/OrderType is CHAN (OptionChangeOrder) then OptionNumber and OptionType must be present in each occurrence of UnderlyingSecuritiesMovementDetails/AccountDetails, OptionNumber and OptionType cannot be present in MovementGeneralInformation.

#### 2. MovementCancellationStatusDetailsRule

If AgentCAMovementCancellationRequestIdentification is present then MovementCancellationStatusDetails must be present.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAMvmntStsAdv vc>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAElectionStatusAdviceIdentification	<AgtCAElctnStsAdv Id>	[1..1]	±	

Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		AgentCAGlobalDistributionStatusAdviceIdentification	<AgtCAGblDstrbtnSt sAdvId>	[1..1]	±	

Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		AgentCAMovementInstructionIdentification	<AgtCAMvmntInstrId >	[1..1]	±	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		AgentCAMovementCancellationRequestIdentification	<AgtCAMvmntCxlRe qId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

{Or



Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
7.0		MovementStatusDetails	<MvmntStsDtls>	[1..1]		
7.1	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
7.2		Status	<Sts>	[1..1]		
7.3	{{Or	Code	<Cd>	[1..1]	Code	
7.4	Or}}	Proprietary	<Prtry>	[1..1]		
7.5		Identification	<Id>	[1..1]	Text	
7.6		SchemeName	<SchmeNm>	[0..1]	Text	
7.7		Issuer	<Issr>	[1..1]	Text	
7.8		AdditionalInformation	<AddtlInf>	[0..1]	Text	
7.9	Or	FailedStatus	<FaildSts>	[1..1]		
7.10		AgentAccountIdentification	<AgtAcctId>	[1..1]	Text	
7.11		ClientAccountIdentification	<ClntAcctId>	[0..1]	Text	
7.12		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
7.13		ResourceDetails	<RsrcDtls>	[1..n]		
7.14	{{Or	CashAmount	<CshAmt>	[1..1]	Amount	
7.15	Or}}	SecuritiesQuantity	<SctiesQty>	[1..1]		
7.16	{{{Or	Unit	<Unit>	[1..1]	Quantity	
7.17	Or}}}	FaceAmount	<FaceAmt>	[1..1]	Amount	
7.18		SecurityIdentification	<SctyId>	[0..1]	±	
7.19		Reason	<Rsn>	[1..1]		
7.20	{{Or	Code	<Cd>	[1..1]	Code	
7.21	Or}}	Proprietary	<Prtry>	[1..1]		
7.22		Identification	<Id>	[1..1]	Text	
7.23		SchemeName	<SchmeNm>	[0..1]	Text	
7.24		Issuer	<Issr>	[1..1]	Text	
7.25	Or}	RejectedStatus	<RjctdSts>	[1..1]		
7.26		Reason	<Rsn>	[1..n]		
7.27	{{Or	Code	<Cd>	[1..1]	Code	
7.28	Or}}	Proprietary	<Prtry>	[1..1]		
7.29		Identification	<Id>	[1..1]	Text	
7.30		SchemeName	<SchmeNm>	[0..1]	Text	
7.31		Issuer	<Issr>	[1..1]	Text	
7.32		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">8.0</a>		MovementCancellationStatusDetails	<MvmntCxlStsDtls>	[1..1]		
<a href="#">8.1</a>		ProcessedStatus	<PrcdSts>	[1..1]		
<a href="#">8.2</a>		Status	<Sts>	[1..1]		
<a href="#">8.3</a>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<a href="#">8.4</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">8.5</a>		Identification	<Id>	[1..1]	Text	
<a href="#">8.6</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">8.7</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">8.8</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<a href="#">8.9</a>		RejectedStatus	<RjctdSts>	[1..1]		
<a href="#">8.10</a>		Reason	<Rsn>	[1..n]		
<a href="#">8.11</a>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<a href="#">8.12</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">8.13</a>		Identification	<Id>	[1..1]	Text	
<a href="#">8.14</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">8.15</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">8.16</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCAMovementStatusAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>

**Presence:** [1..1]

**Definition:** Identification of the Agent Corporate Action Election Status Advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 3.0 AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvclId>

**Presence:** [1..1]

**Definition:** Identification of the Agent Corporate Action Global Distribution Status Advice.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 4.0 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Movement Instruction for which a status is given.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 5.0 AgentCAMovementCancellationRequestIdentification <AgtCAMvmntCxlReqlId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Movement Cancellation Request for which a status is given.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 6.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following *CorporateActionInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to *CorporateActionInformation1* p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 7.0 MovementStatusDetails <MvmntStsDtls>

**Presence:** [1..1]

**Definition:** Status of the movement instruction.

**Type:** The *MovementStatusDetails* block is composed of one of the following *CorporateActionMovementStatus1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>7.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>7.9</u>	Or	FailedStatus	<FaildSts>	[1..1]	
<u>7.25</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

### 7.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

This message item is part of choice *7.0 MovementStatusDetails*.

**Definition:** Provides information about the processing status of the movement.

**Type:** This message item is composed of the following *CorporateActionMovementProcessingStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>7.2</u>		Status	<Sts>	[1..1]	
<u>7.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 7.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following *ProcessedStatus3FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 7.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 7.2 *Status*.

**Definition:** Standard code to specify the processing status of an advice, a request or a movement.

**Data Type:** Code

One of the following *ProcessedStatus3Code* values must be used:

Code	Name	Definition
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.
RECE	Received	The instruction/request has been received.

## 7.4 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 7.2 *Status*.

**Definition:** Proprietary code to express the processing status of an advice, a request or a movement.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.5</u>		Identification	<Id>	[1..1]	Text
<u>7.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.7</u>		Issuer	<Issr>	[1..1]	Text

## 7.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 7.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 7.9 FailedStatus <FaildSts>

**Presence:** [1..1]

*This message item is part of choice 7.0 MovementStatusDetails.*

**Definition:** Provides information about the settlement failure.

**Type:** This message item is composed of the following *CorporateActionMovementFailedStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.10</a>		AgentAccountIdentification	<AgtAcctId>	[1..1]	Text
<a href="#">7.11</a>		ClientAccountIdentification	<ClntAcctId>	[0..1]	Text
<a href="#">7.12</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">7.13</a>		ResourceDetails	<RsrcDtls>	[1..n]	

## 7.10 AgentAccountIdentification <AgtAcctId>

**Presence:** [1..1]

**Definition:** Identification of the agent account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.11 ClientAccountIdentification <ClntAcctId>

**Presence:** [0..1]

**Definition:** Identification of the client account.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

**7.12 AccountOwnerIdentification <AcctOwnrId>****Presence:** [0..1]**Definition:** Identification of the party that owns the client account.**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.**7.13 ResourceDetails <RsrcDtls>****Presence:** [1..n]**Definition:** Provides information about the resource movement that failed and the reason of the failure.**Type:** This message item is composed of the following *FailedMovement1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.14</a>	{ Or	CashAmount	<CshAmt>	[1..1]	Amount
<a href="#">7.15</a>	Or }	SecuritiesQuantity	<SctiesQty>	[1..1]	
<a href="#">7.18</a>		SecurityIdentification	<SctyId>	[0..1]	±
<a href="#">7.19</a>		Reason	<Rsn>	[1..1]	

**7.14 CashAmount <CshAmt>****Presence:** [1..1]This message item is part of choice [7.13 ResourceDetails](#).**Definition:** Amount of cash.**Data Type:** ActiveCurrencyAndAmountThis data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

**ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**7.15 SecuritiesQuantity <SctiesQty>**

**Presence:** [1..1]

*This message item is part of choice 7.13 ResourceDetails.*

**Definition:** Quantity of the financial instrument.

**Type:** *This message item is composed of one of the following UnitOrFaceAmount1Choice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.16</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>7.17</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

**7.16 Unit <Unit>**

**Presence:** [1..1]

*This message item is part of choice 7.15 SecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

**7.17 FaceAmount <FaceAmt>**

**Presence:** [1..1]

*This message item is part of choice 7.15 SecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency



The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.18 SecurityIdentification <SctyId>

**Presence:** [0..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	ISIN	<ISIN>	[1..1]	Identifier
Or }	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

## 7.19 Reason <Rsn>

**Presence:** [1..1]

**Definition:** The reason for the settlement failure.

**Type:** This message item is composed of one of the following *FailedSettlementReason1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.20</u>	{ Or	Code	<Cd>	[1..1]	Code
<u>7.21</u>	Or }	Proprietary	<Prtry>	[1..1]	

## 7.20 Code <Cd>

**Presence:** [1..1]

This message item is part of choice *7.19 Reason*.

**Definition:** Standard code to specify the reason of a settlement failure.

**Data Type:** Code

One of the following *FailedSettlementReason1Code* values must be used:

Code	Name	Definition
AADI	DebitAccountDisabled	Debit account is disabled.
CADI	CreditAccountDisabled	Credit account is disabled.
CANE	CreditAccountNotEligible	Credit account is not eligible.
DANE	DebitAccountNotEligible	Debit account is not eligible.
INCA	InsufficientCash	Insufficient cash on the account to settle.
INDI	IncompleteDistribution	The distribution is incomplete.
INSE	InsufficientSecurities	Insufficient securities on the account to settle.

## 7.21 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.19 Reason.*

**Definition:** Proprietary code for specifying the reason of a settlement failure.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.22</u>		Identification	<Id>	[1..1]	Text
<u>7.23</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.24</u>		Issuer	<Issr>	[1..1]	Text

## 7.22 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 7.23 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.24 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.25 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 7.0 MovementStatusDetails.*

**Definition:** Provides information about the rejection status.

**Type:** *This message item is composed of the following **CorporateActionMovementRejectionStatus1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.26</u>		Reason	<Rsn>	[1..n]	
<u>7.32</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 7.26 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason13FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.27</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.28</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 7.27 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 7.26 *Reason*.

**Definition:** Standard code to specify the reason of a rejection of a movement.

**Data Type:** Code

One of the following *RejectionReason13Code* values must be used:

Code	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
SAID	SameIdentification	Several message with the same identification.

## 7.28 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 7.26 *Reason*.

**Definition:** Proprietary code to express the reason of a rejection of a movement.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.29</u>		Identification	<Id>	[1..1]	Text
<u>7.30</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.31</u>		Issuer	<Issr>	[1..1]	Text

## 7.29 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.30 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.31 Issuer <lssr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.32 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.0 MovementCancellationStatusDetails <MvmntCxlStsDtls>

**Presence:** [1..1]

**Definition:** Status of the movement cancellation request.

**Type:** *The MovementCancellationStatusDetails block is composed of the following CorporateMovementStatus2 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.1</u>		ProcessedStatus	<PrcdSts>	[1..1]	
<u>8.9</u>		RejectedStatus	<RjctdSts>	[1..1]	

### 8.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

**Definition:** Provides information about the processing status of the cancellation request.

**Type:** *This message item is composed of the following CorporationActionMovementProcessingStatus2 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.2</u>		Status	<Sts>	[1..1]	
<u>8.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 8.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following *ProcessedStatus2FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>8.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 8.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 8.2 *Status*.

**Definition:** Standard code to specify the processing status of a cancellation request.

**Data Type:** Code

One of the following *ProcessedStatus2Code* values must be used:

Code	Name	Definition
COMP	Completed	The request has been completed.
RECE	Received	The instruction/request has been received.

## 8.4 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 8.2 *Status*.

**Definition:** Proprietary code to express the processing status of a cancellation request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.5</u>		Identification	<Id>	[1..1]	Text
<u>8.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>8.7</u>		Issuer	<Issr>	[1..1]	Text

## 8.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 8.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 8.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 8.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 8.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *CorporateActionMovementRejectionStatus2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.10</u>		Reason	<Rsn>	[1..n]	
<u>8.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 8.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason14FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.11</u>	{Or	Code	<Cd>	[1..1]	Code
<u>8.12</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 8.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 8.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of a movement cancellation request.

**Data Type:** Code

*One of the following **RejectionReason14Code** values must be used:*

Code	Name	Definition
AGIN	InvalidAgent	Invalid agent identification supplied.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
INMO	InvalidMovementInformation	Details specified in the MOVEMENT GENERAL INFORMATION block are inconsistent with those that were specified in the relating original message.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
LATT	Late	Instruction/Request arrives too late.
MOSE	MovementAlreadySettled	One or more of the underlying movements have already settled.
SAID	SameIdentification	Several message with the same identification.

## 8.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 8.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of a movement cancellation request.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.13</u>		Identification	<Id>	[1..1]	Text
<u>8.14</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>8.15</u>		Issuer	<Issr>	[1..1]	Text

## 8.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 8.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

The CSD reports a failed settlement to the agent. The reason of the failure is the non-eligibility of the cash credit account.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123MOVESTAT

AgentCorporateActionMovementInstructionIdentification	
Identification	ELEC123MOVE

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22



<b>CorporateActionGeneralInformation</b>	
SafekeepingPlace	CRSTGB22

<b>MovementStatusDetails</b>	
<b>FailedStatus</b>	
AgentAccountIdentification	123YOL
<b>ResourceDetails</b>	
CashAmount	GBP 1000
Reason	Credit account not eligible

## XML Instance

```

<AgtCAMvmntStsAdv>
  <Id>
    <Id>ELEC123MOVESTAT</Id>
  </Id>
  <AgtCAMvmntInstrId>
    <Id>ELEC123MOVE</Id>
  </AgtCAMvmntInstrId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrgTp>
      <Cd>REOR</Cd>
    </EvtPrgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <MvmntStsDtls>
    <FaildSts>
      <AgtAcctId>123YOL</AgtAcctId>
      <RsrcDtls>
        <CshAmt Ccy = "GBP">1000</CshAmt>
        <Rsn>
          <Cd>CANE</Cd>
        </Rsn>
      </RsrcDtls>
    </FaildSts>
  </MvmntStsDtls>
</AgtCAMvmntStsAdv>

```

```
    </Rsn>
  </RsrcDtls>
</FaileDSts>
</MvmntStsDtls>
</AgtCAMvmntStsAdv>
```

# MX seev.023.001.01 AgentCAInformationAdviceV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to provide information about the certification and/or the delivery details to the issuer (or its agent).

### Usage

This message can be used in the case of a corporate action event without an election.

This message can also be used in the case of a corporate action event with election when the election details and the additional information cannot be provided in the same message. In this case, the Agent Corporate Action Election Advice Identification must be used to link this message to the election advice for which additional information is provided.

### Outline

The message is composed of six building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the advice by the sender of the message.

#### 2. Agent Corporate Action Election Advice

This building block is optional. It contains the identification of the election advice for which additional information is provided.

#### 3. General Information

This building block is mandatory. It contains general information about the corporate action event such as the corporate action reference.

#### 4. Corporate Action Additional information

This building block is mandatory. It contains detailed information, such as the registration details and the beneficial owner.

#### 5. Account Details

This building block is mandatory. It specifies details (party identification/account identification) of the party sending the request.

#### 6. Contact Details

This building block is optional. It contains information about the contact person.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAInfAdv>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAElectionAdviceIdentification	<AgtCAElctnAdvId>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		AccountDetails	<AcctDtls>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[1..1]		
<u>5.1</u>		BeneficialOwnerDetails	<BnfcLOwnrDtls>	[0..n]		
<u>5.2</u>		BeneficialOwnerIdentification	<BnfcLOwnrId>	[1..1]	±	
<u>5.3</u>		AdditionalIdentification	<AddtlId>	[0..1]		
<u>5.4</u>		Identification	<Id>	[1..1]	Text	
<u>5.5</u>		IdentificationType	<IdTp>	[1..1]		
<u>5.6</u>	{ Or Or }	Code	<Cd>	[1..1]	Code	
<u>5.7</u>		Proprietary	<Prtry>	[1..1]		
<u>5.8</u>		Identification	<Id>	[1..1]	Text	
<u>5.9</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.10</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.11</u>		Issuer	<Issr>	[0..1]	Text	
<u>5.12</u>		Nationality	<Ntlty>	[0..1]	Code	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">5.13</a>		DomicileCountry	<DmclCtry>	[0..1]	Code	
<a href="#">5.14</a>		NonDomicileCountry	<NonDmclCtry>	[0..1]	Code	
<a href="#">5.15</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
<a href="#">5.16</a>		CertificationType	<CertfctnTp>	[0..1]	±	
<a href="#">5.17</a>		DeclarationDetails	<DclrtnDtls>	[0..1]	Text	
<a href="#">5.18</a>		SecurityIdentification	<SctyId>	[0..1]	±	
<a href="#">5.19</a>		ElectedSecuritiesQuantity	<ElctdSciesQty>	[1..1]		
<a href="#">5.20</a>	{ Or Or }	Unit	<Unit>	[1..1]	Quantity	
<a href="#">5.21</a>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<a href="#">5.22</a>		RegistrationDetails	<RegnDtls>	[0..1]	Text	
<a href="#">5.23</a>		ReceiverIdentification	<RcvrId>	[0..1]	±	
<a href="#">5.24</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
<a href="#">5.25</a>		CertificationType	<CertfctnTp>	[0..1]	±	
<a href="#">5.26</a>		DeliveryDetails	<DlvryDtls>	[0..n]		
<a href="#">5.27</a>	{ Or Or }	SecuritiesAccountIdentification	<SciesAcctId>	[1..1]	Text	
<a href="#">5.28</a>		CashAccountIdentification	<CshAcctId>	[1..1]	±	
<a href="#">5.29</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<a href="#">5.30</a>		AccountServicerIdentification	<AcctSvrId>	[0..1]	±	
<a href="#">5.31</a>		AdditionalInstruction	<AddtlInstr>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.0</a>		ContactDetails	<CtctDtls>	[0..1]	±	

## Message Items Description

The following section identifies the elements of the **AgentCAInformationAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

**Presence:** [0..1]

**Definition:** Identification of the linked Agent CA Election Advice Identification

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 3.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 4.0 AccountDetails <AcctDtls>

**Presence:** [1..1]

**Definition:** Information about the account for which additional information is provided.

**Type:** This message item is composed of the following [SecuritiesAccount7](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	AccountIdentification	<AcctId>	[1..1]	Text

For additional Type information, please refer to *SecuritiesAccount7* p.625 in 'Message Item Types' section.

## 5.0 CorporateActionAdditionalInformation <CorpActnAddtlInf>

**Presence:** [1..1]

**Definition:** Additional information about the corporate action such as the delivery details.

**Type:** The *CorporateActionAdditionalInformation* block is composed of the following *CorporateActionAdditionalInformation1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1</u>		BeneficialOwnerDetails	<BnfclOwnrDtls>	[0..n]	
<u>5.22</u>		RegistrationDetails	<RegnDtls>	[0..1]	Text
<u>5.23</u>		ReceiverIdentification	<RcvrId>	[0..1]	±
<u>5.24</u>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<u>5.25</u>		CertificationType	<CertfctnTp>	[0..1]	±
<u>5.26</u>		DeliveryDetails	<DlvryDtls>	[0..n]	
<u>5.31</u>		AdditionalInstruction	<AddtlInstr>	[0..1]	Text

## 5.1 BeneficialOwnerDetails <BnfclOwnrDtls>

**Presence:** [0..n]

**Definition:** Provides information about the beneficial owner of the securities.

**Type:** This message item is composed of the following *BeneficialOwner1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.2</u>		BeneficialOwnerIdentification	<BnfclOwnrId>	[1..1]	±
<u>5.3</u>		AdditionalIdentification	<AddtlId>	[0..1]	
<u>5.12</u>		Nationality	<Ntlty>	[0..1]	Code
<u>5.13</u>		DomicileCountry	<DmclCtry>	[0..1]	Code
<u>5.14</u>		NonDomicileCountry	<NonDmclCtry>	[0..1]	Code
<u>5.15</u>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<u>5.16</u>		CertificationType	<CertfctnTp>	[0..1]	±
<u>5.17</u>		DeclarationDetails	<Dclrtndtls>	[0..1]	Text
<u>5.18</u>		SecurityIdentification	<SctyId>	[0..1]	±
<u>5.19</u>		ElectedSecuritiesQuantity	<ElctdSciesQty>	[1..1]	

## 5.2 BeneficialOwnerIdentification <BnfcIOwnrId>

**Presence:** [1..1]

**Definition:** Identification of the party that is the beneficial owner of the specified securities.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 5.3 AdditionalIdentification <AddtlId>

**Presence:** [0..1]

**Definition:** Additional identification of the party that is the beneficial owner of the specified securities.

**Type:** This message item is composed of the following *GenericIdentification16* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.4</u>		Identification	<Id>	[1..1]	Text
<u>5.5</u>		IdentificationType	<IdTp>	[1..1]	
<u>5.11</u>		Issuer	<Issr>	[0..1]	Text

## 5.4 Identification <Id>

**Presence:** [1..1]

**Definition:** The identifier.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.5 IdentificationType <IdTp>

**Presence:** [1..1]

**Definition:** Specifies the nature of the identifier.

**Type:** This message item is composed of one of the following *PersonIdentificationType3Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.6</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.7</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.6 Code <Cd>

**Presence:** [1..1]



*This message item is part of choice 5.5 **IdentificationType**.*

**Definition:** Person identification expressed as a code.

**Data Type:** Code

*One of the following **PersonIdentificationType3Code** values must be used:*

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

## 5.7 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.5 **IdentificationType**.*

**Definition:** Person identification expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>5.8</u>		Identification	<Id>	[1..1]	Text
<u>5.9</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.10</u>		Issuer	<Issr>	[1..1]	Text

## 5.8 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.9 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.10 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5.11 Issuer <lssr>

**Presence:** [0..1]

**Definition:** Party that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5.12 Nationality <Ntlty>

**Presence:** [0..1]

**Definition:** Nationality of the beneficial owner.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 5.13 DomicileCountry <DmclCtry>

**Presence:** [0..1]

**Definition:** Country in which a person is permanently domiciled (the place of a person's permanent home).

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 5.14 NonDomicileCountry <NonDmclCtry>

**Presence:** [0..1]

**Definition:** The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 5.15 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Yes: certification required  
No: no certification required

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 5.16 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following *BeneficiaryCertificationType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	Code	<Cd>	[1..1]	Code
Or}	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *BeneficiaryCertificationType1FormatChoice* p.665 in 'Message Item Types' section.

## 5.17 DeclarationDetails <DclrtnDtls>

**Presence:** [0..1]

**Definition:** Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 5.18 SecurityIdentification <Sctyld>

**Presence:** [0..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to *SecurityIdentification7* p.806 in 'Message Item Types' section.

## 5.19 ElectedSecuritiesQuantity <ElctdSctiesQty>

**Presence:** [1..1]

**Definition:** Quantity of securities elected by to the beneficial owner.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.20	{Or	Unit	<Unit>	[1..1]	Quantity
5.21	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

## 5.20 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice 5.19 ElectedSecuritiesQuantity.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

## 5.21 FaceAmount <FaceAmt>

**Presence:** [1..1]

*This message item is part of choice 5.19 ElectedSecuritiesQuantity.*

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 5.22 RegistrationDetails <RegnDtls>

**Presence:** [0..1]

**Definition:** Provides information required for the registration.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

## 5.23 ReceiverIdentification <RcvrId>

**Presence:** [0..1]

**Definition:** Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 5.24 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Y: certification required

N: no certification required

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

## 5.25 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following *BeneficiaryCertificationType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to *BeneficiaryCertificationType1FormatChoice* p.665 in 'Message Item Types' section.

## 5.26 DeliveryDetails <DlvryDtls>

**Presence:** [0..n]

**Definition:** Provides information about the delivery details of proceeds.

**Type:** This message item is composed of the following *ProceedsDelivery1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.27</a>	{ Or	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text
<a href="#">5.28</a>	Or }	CashAccountIdentification	<CshAcctId>	[1..1]	±

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.29</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">5.30</a>		AccountServicerIdentification	<AcctSvrId>	[0..1]	±

## 5.27 SecuritiesAccountIdentification <SctiesAcctId>

**Presence:** [1..1]

*This message item is part of choice 5.26 [DeliveryDetails](#).*

**Definition:** identification of the securities account to which the securities have to be delivered.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 5.28 CashAccountIdentification <CshAcctId>

**Presence:** [1..1]

*This message item is part of choice 5.26 [DeliveryDetails](#).*

**Definition:** Identification of the cash account to which the cash has to be delivered.

**Type:** *This message item is composed of one of the following [CashAccountIdentification1Choice](#) element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	IBAN	<IBAN>	[1..1]	Identifier
Or	BBAN	<BBAN>	[1..1]	Identifier
Or	UPIC	<UPIC>	[1..1]	Identifier
Or}	DomesticAccount	<DmstAcct>	[1..1]	

*For additional Type information, please refer to [CashAccountIdentification1Choice](#) p.650 in 'Message Item Types' section.*

## 5.29 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** *This message item is composed of one of the following [PartyIdentification2Choice](#) element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

*For additional Type information, please refer to [PartyIdentification2Choice](#) p.738 in 'Message Item Types' section.*

## 5.30 AccountServicerIdentification <AcctSvrId>

**Presence:** [0..1]

**Definition:** Identification of the institution servicing the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 5.31 AdditionalInstruction <AddtlInstr>

**Presence:** [0..1]

**Definition:** Provides additional details pertaining to the corporate action instruction.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.0 ContactDetails <CtctDtls>

**Presence:** [0..1]

**Definition:** Contact responsible for the transaction identified in the message.

**Type:** This message item is composed of the following **ContactPerson1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	ContactPerson	<CtctPrsn>	[1..1]	
	InstitutionIdentification	<InstnId>	[0..1]	

For additional Type information, please refer to **ContactPerson1** p.743 in 'Message Item Types' section.

## Business Example

### Narrative

The CSD sends to the agent delivery information related to the securities proceeds of account 12345.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

<b>Identification</b>	
Identification	ELEC123INFO

<b>AgentCorporateActionElectionAdviceIdentification</b>	
Identification	ELEC123

CorporateActionGeneralInformation	
AgentIdentification	ISAGGB2L
IssuerCorporateActionIdentification	TNDOF123
EventType	Tender
EventProcessingType	Reorganisation
MandatoryVoluntaryEventType	Voluntary
UnderlyingSecurity	GB3333A53L22
SafekeepingPlace	CRSTGB22

AccountDetails	
AccountIdentification	12345

CorporateActionAdditionalInformation	
DeliveryDetails	
SecuritiesAccountIdentification	SAFE4321
AccountServicerIdentification	CUSTGB2L

## XML Instance

```
<AgtCAInfAdv>
  <Id>
    <Id>ELEC123INFO</Id>
  </Id>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGGB2L</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>TNDOF123</IssrCorpActnId>
    <EvtTp>
      <Cd>TEND</Cd>
    </EvtTp>
    <MndtryVlNtryEvtTp>
      <Cd>VOLU</Cd>
    </MndtryVlNtryEvtTp>
    <EvtPrgTp>
      <Cd>REOR</Cd>
    </EvtPrgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>GB3333A53L22</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <AcctDtls>
```



```
<AcctId>12345</AcctId>
</AcctDtls>
<CorpActnAddtlInf>
  <DlvryDtls>
    <SctiesAcctId>SAFE4321</SctiesAcctId>
    <AcctSvrId>
      <BICOrBEI>CUSTGB2L</BICOrBEI>
    </AcctSvrId>
  </DlvryDtls>
</CorpActnAddtlInf>
</AgtCAInfAdv>
```

# MX seev.024.001.01 AgentCAInformationStatusAdviceV01

## Message Functionality

### Scope

This message is sent by an issuer (or its agent) to a CSD to report the status, or change in status, of an information advice.

### Usage

This message must be used in response to an Agent Corporate Action Information Advice in the case of a rejection. However, it may also be used to report other statuses.

The information advice identification must be present to link this message to the information advice for which the status is provided.

### Outline

The message is composed of four building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the status advice by the sender of the message.

#### 2. Agent Corporation Action Information Advice Identification

This building block is mandatory. It contains information about the identification assigned to the advice for which this status advice is sent.

#### 3. Corporate Action Additional information

This building block is optional. It contains detailed information, such as the registration details and the beneficial owner.

#### 4. Information Status Details

This building block is mandatory. It provides the status of the information advice. It can be:

- Processed Status. On top of the status, additional information may be given.
- Rejected Status. On top of the status, a reason must be given. Additional information may also be given.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAInfStsAdv>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAInformationAdviceIdentification	<AgtCAInfAdvId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionAdditionalInformation	<CorpActnAddtlInf>	[0..1]		
<u>3.1</u>		BeneficialOwnerDetails	<BnfclOwnrDtls>	[0..n]		
<u>3.2</u>		BeneficialOwnerIdentification	<BnfclOwnrId>	[1..1]	±	
<u>3.3</u>		AdditionalIdentification	<AddtlId>	[0..1]		
<u>3.4</u>		Identification	<Id>	[1..1]	Text	
<u>3.5</u>		IdentificationType	<IdTp>	[1..1]		
<u>3.6</u>	{Or Or}	Code	<Cd>	[1..1]	Code	
<u>3.7</u>		Proprietary	<Prtry>	[1..1]		
<u>3.8</u>		Identification	<Id>	[1..1]	Text	
<u>3.9</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>3.10</u>		Issuer	<Issr>	[1..1]	Text	
<u>3.11</u>		Issuer	<Issr>	[0..1]	Text	
<u>3.12</u>		Nationality	<Ntlty>	[0..1]	Code	
<u>3.13</u>		DomicileCountry	<DmclCtry>	[0..1]	Code	
<u>3.14</u>		NonDomicileCountry	<NonDmclCtry>	[0..1]	Code	
<u>3.15</u>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
<u>3.16</u>		CertificationType	<CertfctnTp>	[0..1]	±	
<u>3.17</u>		DeclarationDetails	<Dclrtndtls>	[0..1]	Text	
<u>3.18</u>		SecurityIdentification	<SctyId>	[0..1]	±	
<u>3.19</u>		ElectedSecuritiesQuantity	<ElctdSciesQty>	[1..1]		
<u>3.20</u>	{Or Or}	Unit	<Unit>	[1..1]	Quantity	
<u>3.21</u>		FaceAmount	<FaceAmt>	[1..1]	Amount	
<u>3.22</u>		RegistrationDetails	<RegnDtls>	[0..1]	Text	
<u>3.23</u>		ReceiverIdentification	<RcvrId>	[0..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">3.24</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator	
<a href="#">3.25</a>		CertificationType	<CertfctnTp>	[0..1]	±	
<a href="#">3.26</a>		DeliveryDetails	<DlvryDtls>	[0..n]		
<a href="#">3.27</a>	{ Or	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text	
<a href="#">3.28</a>	Or }	CashAccountIdentification	<CshAcctId>	[1..1]	±	
<a href="#">3.29</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<a href="#">3.30</a>		AccountServicerIdentification	<AcctSvcrId>	[0..1]	±	
<a href="#">3.31</a>		AdditionalInstruction	<AddtlInstr>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">4.0</a>		InformationStatusDetails	<InfStsDtls>	[1..1]		
<a href="#">4.1</a>	{ Or	ProcessedStatus	<PracdSts>	[1..1]		
<a href="#">4.2</a>		Status	<Sts>	[1..1]		
<a href="#">4.3</a>	{{ Or	Code	<Cd>	[1..1]	Code	
<a href="#">4.4</a>	Or } }	Proprietary	<Prtry>	[1..1]		
<a href="#">4.5</a>		Identification	<Id>	[1..1]	Text	
<a href="#">4.6</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.7</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.8</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<a href="#">4.9</a>	Or }	RejectedStatus	<RjctdSts>	[1..1]		
<a href="#">4.10</a>		Reason	<Rsn>	[1..n]		
<a href="#">4.11</a>	{{ Or	Code	<Cd>	[1..1]	Code	
<a href="#">4.12</a>	Or } }	Proprietary	<Prtry>	[1..1]		
<a href="#">4.13</a>		Identification	<Id>	[1..1]	Text	
<a href="#">4.14</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">4.15</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">4.16</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCAInformationStatusAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 2.0 AgentCAInformationAdviceIdentification <AgtCAInfAdvclId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Information Advice for which a status is given.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

## 3.0 CorporateActionAdditionalInformation <CorpActnAddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the corporate action such as the delivery details.

**Type:** The *CorporateActionAdditionalInformation* block is composed of the following *CorporateActionAdditionalInformation1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.1</u>		BeneficialOwnerDetails	<BnfclOwnrDtls>	[0..n]	
<u>3.22</u>		RegistrationDetails	<RegnDtls>	[0..1]	Text
<u>3.23</u>		ReceiverIdentification	<RcvrId>	[0..1]	±
<u>3.24</u>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<u>3.25</u>		CertificationType	<CertfctnTp>	[0..1]	±
<u>3.26</u>		DeliveryDetails	<DlvryDtls>	[0..n]	
<u>3.31</u>		AdditionalInstruction	<AddtlInstr>	[0..1]	Text

### 3.1 BeneficialOwnerDetails <BnfclOwnrDtls>

**Presence:** [0..n]

**Definition:** Provides information about the beneficial owner of the securities.

**Type:** This message item is composed of the following *BeneficialOwner1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">3.2</a>		BeneficialOwnerIdentification	<BnfclOwnrId>	[1..1]	±
<a href="#">3.3</a>		AdditionalIdentification	<AddtlId>	[0..1]	
<a href="#">3.12</a>		Nationality	<Ntlty>	[0..1]	Code
<a href="#">3.13</a>		DomicileCountry	<DmclCtry>	[0..1]	Code
<a href="#">3.14</a>		NonDomicileCountry	<NonDmclCtry>	[0..1]	Code
<a href="#">3.15</a>		CertificationIndicator	<CertfctnInd>	[0..1]	Indicator
<a href="#">3.16</a>		CertificationType	<CertfctnTp>	[0..1]	±
<a href="#">3.17</a>		DeclarationDetails	<DclrtnDtls>	[0..1]	Text
<a href="#">3.18</a>		SecurityIdentification	<SctyId>	[0..1]	±
<a href="#">3.19</a>		ElectedSecuritiesQuantity	<ElctdSciesQty>	[1..1]	

## 3.2 BeneficialOwnerIdentification <BnfclOwnrId>

**Presence:** [1..1]

**Definition:** Identification of the party that is the beneficial owner of the specified securities.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

## 3.3 AdditionalIdentification <AddtlId>

**Presence:** [0..1]

**Definition:** Additional identification of the party that is the beneficial owner of the specified securities.

**Type:** This message item is composed of the following *GenericIdentification16* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">3.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">3.5</a>		IdentificationType	<IdTp>	[1..1]	
<a href="#">3.11</a>		Issuer	<Issr>	[0..1]	Text

## 3.4 Identification <Id>

**Presence:** [1..1]

**Definition:** The identifier.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.5 IdentificationType <IdTp>

**Presence:** [1..1]

**Definition:** Specifies the nature of the identifier.

**Type:** This message item is composed of one of the following *PersonIdentificationType3Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.6</u>	{Or	Code	<Cd>	[1..1]	Code
<u>3.7</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 3.6 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 3.5 *IdentificationType*.

**Definition:** Person identification expressed as a code.

**Data Type:** Code

One of the following *PersonIdentificationType3Code* values must be used:

Code	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

### 3.7 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 3.5 *IdentificationType*.

**Definition:** Person identification expressed as a proprietary code.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.8</u>		Identification	<Id>	[1..1]	Text
<u>3.9</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.10</u>		Issuer	<Issr>	[1..1]	Text

### 3.8 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.9 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.10 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.11 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Party that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.12 Nationality <Ntlty>

**Presence:** [0..1]

**Definition:** Nationality of the beneficial owner.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 3.13 DomicileCountry <DmclCtry>

**Presence:** [0..1]

**Definition:** Country in which a person is permanently domiciled (the place of a person's permanent home).

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).



(Fatal) Error Code: Sw.Stds.D00004

### 3.14 NonDomicileCountry <NonDmclCtry>

**Presence:** [0..1]

**Definition:** The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 3.15 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required

**Data Type:** *One of the following **YesNoIndicator** values must be used:*

MeaningWhenTrue: Yes

MeaningWhenFalse: No

### 3.16 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** *This message item is composed of one of the following **BeneficiaryCertificationType1FormatChoice** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **BeneficiaryCertificationType1FormatChoice** p.665 in 'Message Item Types' section.

### 3.17 DeclarationDetails <DclrtnDtls>

**Presence:** [0..1]

**Definition:** Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 3.18 SecurityIdentification <Sctyld>

**Presence:** [0..1]

**Definition:** Identification of the financial instrument.

**Type:** *This message item is composed of the following **SecurityIdentification7** element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	ISIN	<ISIN>	[1..1]	Identifier
Or}	OtherIdentification	<OthrId>	[1..1]	
	Description	<Desc>	[0..1]	Text

For additional Type information, please refer to [SecurityIdentification7](#) p.806 in 'Message Item Types' section.

### 3.19 ElectedSecuritiesQuantity <ElctdScitiesQty>

**Presence:** [1..1]

**Definition:** Quantity of securities elected by to the beneficial owner.

**Type:** This message item is composed of one of the following *UnitOrFaceAmount1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.20</a>	{Or	Unit	<Unit>	[1..1]	Quantity
<a href="#">3.21</a>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 3.20 Unit <Unit>

**Presence:** [1..1]

This message item is part of choice [3.19 ElectedSecuritiesQuantity](#).

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 3.21 FaceAmount <FaceAmt>

**Presence:** [1..1]

This message item is part of choice [3.19 ElectedSecuritiesQuantity](#).

**Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

**Data Type:** ActiveCurrencyAndAmount

This data type must be used with the following XML Attribute: **Currency** (Ccy) which is typed by **ActiveCurrencyCode**.

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.22 RegistrationDetails <RegnDtls>

**Presence:** [0..1]

**Definition:** Provides information required for the registration.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 3.23 ReceiverIdentification <Rcvrld>

**Presence:** [0..1]

**Definition:** Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

### 3.24 CertificationIndicator <CertfctnInd>

**Presence:** [0..1]

**Definition:** Whether or not certification is required from the account owner.

Y: certification required

N: no certification required

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

### 3.25 CertificationType <CertfctnTp>

**Presence:** [0..1]

**Definition:** Type of certification which is required.

**Type:** This message item is composed of one of the following *BeneficiaryCertificationType1FormatChoice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	Code	<Cd>	[1..1]	Code
Or }	Proprietary	<Prtry>	[1..1]	

For additional Type information, please refer to **BeneficiaryCertificationType1FormatChoice** p.665 in 'Message Item Types' section.

### 3.26 DeliveryDetails <DlvryDtls>

**Presence:** [0..n]

**Definition:** Provides information about the delivery details of proceeds.

**Type:** This message item is composed of the following ***ProceedsDelivery1*** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.27</a>	{Or	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text
<a href="#">3.28</a>	Or}	CashAccountIdentification	<CshAcctId>	[1..1]	±
<a href="#">3.29</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<a href="#">3.30</a>		AccountServicerIdentification	<AcctSvcrId>	[0..1]	±

### 3.27 SecuritiesAccountIdentification <SctiesAcctId>

**Presence:** [1..1]

This message item is part of choice ***3.26 DeliveryDetails***.

**Definition:** identification of the securities account to which the securities have to be delivered.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.28 CashAccountIdentification <CshAcctId>

**Presence:** [1..1]

This message item is part of choice ***3.26 DeliveryDetails***.

**Definition:** Identification of the cash account to which the cash has to be delivered.

**Type:** This message item is composed of one of the following ***CashAccountIdentification1Choice*** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	IBAN	<IBAN>	[1..1]	Identifier
Or	BBAN	<BBAN>	[1..1]	Identifier
Or	UPIC	<UPIC>	[1..1]	Identifier
Or}	DomesticAccount	<DmstAcct>	[1..1]	

For additional Type information, please refer to **CashAccountIdentification1Choice** p.650 in 'Message Item Types' section.

### 3.29 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following ***PartyIdentification2Choice*** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 3.30 AccountServicerIdentification <AcctSvcrlId>

**Presence:** [0..1]

**Definition:** Identification of the institution servicing the account.

**Type:** This message item is composed of one of the following **PartyIdentification2Choice** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to **PartyIdentification2Choice** p.738 in 'Message Item Types' section.

### 3.31 AdditionalInstruction <AddtlInstr>

**Presence:** [0..1]

**Definition:** Provides additional details pertaining to the corporate action instruction.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 4.0 InformationStatusDetails <InfStsDtls>

**Presence:** [1..1]

**Definition:** Status of the information advice sent by the CSD.

**Type:** The **InformationStatusDetails** block is composed of one of the following **CorporateActionInformationStatus1Choice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.1	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
4.9	Or}	RejectedStatus	<RjctdSts>	[1..1]	

### 4.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

This message item is part of choice **4.0 InformationStatusDetails**.

**Definition:** Provides information about the processing status of the advice.

**Type:** This message item is composed of the following **CorporateActionInformationProcessingStatus1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.2</u>		Status	<Sts>	[1..1]	
<u>4.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 4.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following *ProcessedStatus5FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>4.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 4.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **4.2 Status**.

**Definition:** Standard code to specify the processing status of a request.

**Data Type:** Code

One of the following *ProcessedStatus5Code* values must be used:

Code	Name	Definition
PACK	Accepted	The request is accepted.
RECE	Received	The instruction/request has been received.

## 4.4 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice **4.2 Status**.

**Definition:** Proprietary code to express the processing status of a request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.5</u>		Identification	<Id>	[1..1]	Text
<u>4.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.7</u>		Issuer	<Issr>	[1..1]	Text

## 4.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 4.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 4.0 [InformationStatusDetails](#).*

**Definition:** Provides information about the rejection status.

**Type:** *This message item is composed of the following [CorporateActionInformationRejectedStatus1](#) element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.10</a>		Reason	<Rsn>	[1..n]	
<a href="#">4.16</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text

#### 4.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** *This message item is composed of one of the following [RejectionReason15FormatChoice](#) element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">4.11</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">4.12</a>	Or}	Proprietary	<Prtry>	[1..1]	

#### 4.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 4.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of an information advice.

**Data Type:** Code

*One of the following **RejectionReason15Code** values must be used:*

Code	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

#### 4.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of an information advice.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.13</u>		Identification	<Id>	[1..1]	Text
<u>4.14</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.15</u>		Issuer	<Issr>	[1..1]	Text

#### 4.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1



## 4.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

The agent sends to the CSD a CA information status advice to report that the CA information advice that was previously sent, has been accepted.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	ELEC123INFOSTAT

AgentCorporateActionInformationAdviceIdentification	
Identification	ELEC123INFO

InformationStatusDetails	
ProcessedStatus	Accepted

## XML Instance

```
<AgtCAInfStsAdv>
  <Id>
    <Id>ELEC123INFOSTAT</Id>
  </Id>
  <AgtCAInfAdvId>
    <Id>ELEC123INFO</Id>
  </AgtCAInfAdvId>
  <InfStsDtls>
    <PrcdSts>
      <Sts>
        <Cd>PACK</Cd>
      </Sts>
    </PrcdSts>
  </InfStsDtls>
</AgtCAInfStsAdv>
```

# MX seev.025.001.01 AgentCAStandingInstructionRequestV01

## Message Functionality

### Scope

This message is sent by a CSD to the issuer (or its agent) to provide the issuer (or its agent) with the CSD's client details for the distribution of the proceeds of a corporate action event:

- Gross or net payments.
- Delivery details for securities that have to be delivered outside of the CSD.
- Delivery details for cash amounts that have to be delivered outside of the CSD.

### Usage

This message is used to request the issuer (or its agent) to put a standing instruction in place for proceeds.

The amendment of a standing instruction is done through a cancel-and-replace mechanism. First a standing instruction cancellation request is sent followed by a new standing instruction request.

### Outline

The message is composed of four building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the request by the sender of the message.

#### 2. Standing Instruction General Information

This building block is mandatory. It contains general information about the standing instruction, such as the standing instruction type and the client standing instruction reference.

#### 3. Standing Instruction Details

This building block is mandatory. It contains detailed information about the standing instruction such securities details and correspondent bank details.

#### 4. Contact Details

This building block is optional. It contains information about the contact person.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAStgInstrReq>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		StandingInstructionGeneralInformation	<StgInstrGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		StandingInstructionDetails	<StgInstrDtls>	[1..1]		
<u>3.1</u>	{Or	NetOrGross	<NetOrGrss>	[1..1]	Code	
<u>3.2</u>	Or	CashDistributionDetails	<CshDstrbtnDtls>	[1..1]		
<u>3.3</u>		AccountIdentification	<AcctId>	[1..1]	±	
<u>3.4</u>		PaymentCurrency	<PmtCcy>	[1..1]	Code	
<u>3.5</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>3.6</u>		CorrespondentBankIdentification	<CrspdtBkId>	[1..1]	Identifier	
<u>3.7</u>	Or}	SecuritiesDistributionDetails	<SctiesDstrbtnDtls>	[1..1]	±	
<u>3.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		ContactDetails	<CtctDtls>	[0..1]	±	

## Message Items Description

The following section identifies the elements of the **AgentCAStandingInstructionRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the request.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 StandingInstructionGeneralInformation <StgInstrGnllnf>

**Presence:** [1..1]

**Definition:** General information about the standing instruction.

**Type:** This message item is composed of the following *CorporateActionStandingInstructionGeneralInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StandingInstructionType	<StgInstrTp>	[1..1]	Code
	EventType	<EvtTp>	[0..n]	
	InstructingPartyIdentification	<InstgPtyId>	[1..1]	
	ClientStandingInstructionIdentification	<ClntStgInstrId>	[1..1]	Text
	AccountDetails	<AcctDtls>	[0..n]	
	UnderlyingSecurity	<UndrlygScty>	[0..1]	

For additional Type information, please refer to [CorporateActionStandingInstructionGeneralInformation1](#) p.715 in 'Message Item Types' section.

## 3.0 StandingInstructionDetails <StgInstrDtls>

**Presence:** [1..1]

**Definition:** Provides detailed information about the standing instruction.

**Type:** The *StandingInstructionDetails* block is composed of the following *CorporateActionStandingInstruction1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
3.1	{Or	NetOrGross	<NetOrGrss>	[1..1]	Code
3.2	Or	CashDistributionDetails	<CshDstrbtnDtls>	[1..1]	
3.7	Or}	SecuritiesDistributionDetails	<SctiesDstrbtnDtls>	[1..1]	±
3.8		AdditionalInformation	<AddtlInf>	[0..1]	Text

### 3.1 NetOrGross <NetOrGrss>

**Presence:** [1..1]

This message item is part of choice 3.0 *StandingInstructionDetails*.

**Definition:** Identifies whether the account Holders want their income to be paid net or gross of income tax (default is gross).

**Data Type:** Code

One of the following *StandingInstructionGrossNet1Code* values must be used:

Code	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

### 3.2 CashDistributionDetails <CshDstrbtnDtls>

**Presence:** [1..1]

*This message item is part of choice 3.0 StandingInstructionDetails.*

**Definition:** Provides information about the cash distribution standing instruction.

**Type:** *This message item is composed of the following CashAccount17 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.3</u>		AccountIdentification	<AcctId>	[1..1]	±
<u>3.4</u>		PaymentCurrency	<PmtCcy>	[1..1]	Code
<u>3.5</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
<u>3.6</u>		CorrespondentBankIdentification	<CrspdtBkId>	[1..1]	Identifier

### 3.3 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account.

**Type:** *This message item is composed of one of the following CashAccountIdentification1Choice element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	IBAN	<IBAN>	[1..1]	Identifier
Or	BBAN	<BBAN>	[1..1]	Identifier
Or	UPIC	<UPIC>	[1..1]	Identifier
Or }	DomesticAccount	<DmstAcct>	[1..1]	

*For additional Type information, please refer to CashAccountIdentification1Choice p.650 in 'Message Item Types' section.*

### 3.4 PaymentCurrency <PmtCcy>

**Presence:** [1..1]

**Definition:** Currency of the payment.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 3.5 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{ Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or }	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to *PartyIdentification2Choice* p.738 in 'Message Item Types' section.

### 3.6 CorrespondentBankIdentification <CrspdtBkId>

**Presence:** [1..1]

**Definition:** Identification of the cash correspondent bank.

**Data Type:** BICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** BIC

Valid BICs are registered with the ISO 9362 Registration Authority, and consist of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00001

### 3.7 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

**Presence:** [1..1]

This message item is part of choice 3.0 *StandingInstructionDetails*.

**Definition:** Provides information about the securities distribution standing instruction.

**Type:** This message item is composed of the following *SecuritiesAccount6* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	SecurityIdentification	<SctyId>	[1..1]	
	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	SafekeepingPlace	<SfkpgPlc>	[1..1]	
	RegistrationDetails	<RegnDtls>	[0..1]	Text

For additional Type information, please refer to *SecuritiesAccount6* p.614 in 'Message Item Types' section.

### 3.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the standing instruction.

**Data Type:** Max350Text**Format:** maxLength: 350

minLength: 1

## 4.0 ContactDetails <CtctDtls>

**Presence:** [0..1]**Definition:** Contact responsible for the transaction identified in the message.**Type:** This message item is composed of the following **ContactPerson1** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	ContactPerson	<CtctPrsn>	[1..1]	
	InstitutionIdentification	<InstnId>	[0..1]	

For additional Type information, please refer to **ContactPerson1** p.743 in 'Message Item Types' section.

## Business Example

### Narrative

The CSD sends to the agent a standing instruction request for account 12345 (account 45678 is not impacted by this standing instruction). The instructing party is BANKFRPP and its standing instruction identification is SICLIREF123. The standing instruction is related to the securities distribution of the security with ISIN FR1234567890 to be delivered to the securities account 1A2B3C000, this account is serviced by CUSTFRPP.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	SI123

StandingInstructionGeneralInformation	
StandingInstructionType	Securities Distribution
InstructingPartyIdentification	BANKFRPP
ClientStandingInstructionIdentification	SICLIREF123
AccountDetails (1st occurrence)	
SecuritiesAccountIdentification	12345
IncludedIndicator	Yes
AccountDetails (2nd occurrence)	
SecuritiesAccountIdentification	45678
IncludedIndicator	No

StandingInstructionDetails	
SecuritiesDistributionDetails	

StandingInstructionDetails	
SecurityIdentification	FR1234567890
SecuritiesAccountIdentification	1A2B3C000
SafekeepingPlace	CUSTFRPP

## XML Instance

```
<AgtCAStgInstrReq>
  <Id>
    <Id>SSI123</Id>
  </Id>
  <StgInstrGnlInf>
    <StgInstrTp>SECU</StgInstrTp>
    <InstgPtyId>
      <BICOrBEI>BANKFRPP</BICOrBEI>
    </InstgPtyId>
    <ClntStgInstrId>SICLIREF123</ClntStgInstrId>
    <AcctDtls>
      <SctiesAcctId>12345</SctiesAcctId>
      <InclInd>true</InclInd>
    </AcctDtls>
    <AcctDtls>
      <SctiesAcctId>45678</SctiesAcctId>
      <InclInd>false</InclInd>
    </AcctDtls>
  </StgInstrGnlInf>
  <StgInstrDtls>
    <SctiesDstrbtnDtls>
      <SctyId>
        <ISIN>FR1234567890</ISIN>
      </SctyId>
      <SctiesAcctId>1A2B3C000</SctiesAcctId>
      <SfkpgPlc>
        <BICOrBEI>CUSTFRPP</BICOrBEI>
      </SfkpgPlc>
    </SctiesDstrbtnDtls>
  </StgInstrDtls>
</AgtCAStgInstrReq>
```



# MX seev.026.001.01 AgentCAStandingInstructionCancellationRequestV01

## Message Functionality

### Scope

This message is sent by a CSD to the issuer agent to request the cancellation of a previously sent Agent Corporate Action Standing Instruction.

### Usage

This message is used to request the cancellation of a standing instruction.

This message must contain the identification of the standing instruction to be cancelled. It may also contain details of the standing instruction to be cancelled, but this is not recommended.

### Outline

The message is composed of four building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the cancellation by the sender of the message.

#### 2. Agent Corporate Action Standing Instruction Request

This building block is mandatory. It contains the identification of the standing instruction request that was previously sent and for which the cancellation is requested.

#### 3. Standing Instruction General Information

This building block is optional. It contains general information about the standing instruction, such as the standing instruction type and the client standing instruction reference.

#### 4. Standing Instruction Details

This building block is optional. It contains information about the standing instruction, such as securities details and correspondent bank details.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAStgInstrCxIR eq>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAStandingInstructionRequestIdentification	<AgtCAStgInstrReqId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		StandingInstructionGeneralInformation	<StgInstrGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		StandingInstructionDetails	<StgInstrDtls>	[0..1]		
<u>4.1</u>	{ Or	NetOrGross	<NetOrGrss>	[1..1]	Code	
<u>4.2</u>	Or	CashDistributionDetails	<CshDstrbtnDtls>	[1..1]		
<u>4.3</u>		AccountIdentification	<AcctId>	[1..1]	±	
<u>4.4</u>		PaymentCurrency	<PmtCcy>	[1..1]	Code	
<u>4.5</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±	
<u>4.6</u>		CorrespondentBankIdentification	<CrspdtBkId>	[1..1]	Identifier	
<u>4.7</u>	Or }	SecuritiesDistributionDetails	<SctiesDstrbtnDtls>	[1..1]	±	
<u>4.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCAStandingInstructionCancellationRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the cancellation request.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Standing Instruction Request to be cancelled.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 3.0 StandingInstructionGeneralInformation <StgInstrGnlInf>

**Presence:** [1..1]

**Definition:** General information about the standing instruction.

**Type:** This message item is composed of the following [CorporateActionStandingInstructionGeneralInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StandingInstructionType	<StgInstrTp>	[1..1]	Code
	EventType	<EvtTp>	[0..n]	
	InstructingPartyIdentification	<InstgPtyId>	[1..1]	
	ClientStandingInstructionIdentification	<ClntStgInstrId>	[1..1]	Text
	AccountDetails	<AcctDtls>	[0..n]	
	UnderlyingSecurity	<UndrlygScty>	[0..1]	

For additional Type information, please refer to [CorporateActionStandingInstructionGeneralInformation1](#) p.715 in 'Message Item Types' section.

## 4.0 StandingInstructionDetails <StgInstrDtls>

**Presence:** [0..1]

**Definition:** Information related to the standing instruction.

**Type:** The [StandingInstructionDetails](#) block is composed of the following [CorporateActionStandingInstruction1](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.1	{Or	NetOrGross	<NetOrGrss>	[1..1]	Code
4.2	Or	CashDistributionDetails	<CshDstrbtnDtls>	[1..1]	
4.7	Or}	SecuritiesDistributionDetails	<SctiesDstrbtnDtls>	[1..1]	±
4.8		AdditionalInformation	<AddtlInf>	[0..1]	Text

#### 4.1 NetOrGross <NetOrGrss>

**Presence:** [1..1]

*This message item is part of choice 4.0 StandingInstructionDetails.*

**Definition:** Identifies whether the account Holders want their income to be paid net or gross of income tax (default is gross).

**Data Type:** Code

*One of the following StandingInstructionGrossNet1Code values must be used:*

Code	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

#### 4.2 CashDistributionDetails <CshDstrbtnDtls>

**Presence:** [1..1]

*This message item is part of choice 4.0 StandingInstructionDetails.*

**Definition:** Provides information about the cash distribution standing instruction.

**Type:** *This message item is composed of the following CashAccount17 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.3		AccountIdentification	<AcctId>	[1..1]	±
4.4		PaymentCurrency	<PmtCcy>	[1..1]	Code
4.5		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	±
4.6		CorrespondentBankIdentification	<CrspdtBkId>	[1..1]	Identifier

#### 4.3 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account.

**Type:** *This message item is composed of one of the following CashAccountIdentification1Choice element(s):*

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	IBAN	<IBAN>	[1..1]	Identifier
Or	BBAN	<BBAN>	[1..1]	Identifier
Or	UPIC	<UPIC>	[1..1]	Identifier

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
Or}	DomesticAccount	<DmstAcct>	[1..1]	

For additional Type information, please refer to CashAccountIdentification1Choice p.650 in 'Message Item Types' section.

#### 4.4 PaymentCurrency <PmtCcy>

**Presence:** [1..1]

**Definition:** Currency of the payment.

**Data Type:** ActiveCurrencyCode

**Format:** [A-Z]{3,3}

**Rule(s):** ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 4.5 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following PartyIdentification2Choice element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
Or	ProprietaryIdentification	<PrtryId>	[1..1]	
Or}	NameAndAddress	<NmAndAdr>	[1..1]	

For additional Type information, please refer to PartyIdentification2Choice p.738 in 'Message Item Types' section.

#### 4.6 CorrespondentBankIdentification <CrspdtBkId>

**Presence:** [1..1]

**Definition:** Identification of the cash correspondent bank.

**Data Type:** BICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** BIC

Valid BICs are registered with the ISO 9362 Registration Authority, and consist of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00001

#### 4.7 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

**Presence:** [1..1]

This message item is part of choice 4.0 *StandingInstructionDetails*.

**Definition:** Provides information about the securities distribution standing instruction.

**Type:** This message item is composed of the following *SecuritiesAccount6* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	SecurityIdentification	<SctyId>	[1..1]	
	SecuritiesAccountIdentification	<SciesAcctId>	[1..1]	Text
	AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
	SafekeepingPlace	<SfkpgPlc>	[1..1]	
	RegistrationDetails	<RegnDtls>	[0..1]	Text

For additional Type information, please refer to *SecuritiesAccount6* p.614 in 'Message Item Types' section.

#### 4.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the standing instruction.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### Business Example

#### Narrative

The CSD sends a CA standing instruction cancellation request to request the cancellation of a standing instruction that was previously sent.

#### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	SI123CANC

AgentCorporateActionStandingInstructionRequestIdentification	
Identification	SI123

StandingInstructionGeneralInformation	
StandingInstructionType	Securities Distribution
InstructingPartyIdentification	BANKFRPP
ClientStandingInstructionIdentification	SICLIREFABC

## XML Instance

```
<AgtCAStgInstrCxlReq>
  <Id>
    <Id>SII123CANC</Id>
  </Id>
  <AgtCAStgInstrReqId>
    <Id>SII123</Id>
  </AgtCAStgInstrReqId>
  <StgInstrGnlInf>
    <StgInstrTp>SECU</StgInstrTp>
    <InstgPtyId>
      <BICOrBEI>BANKFRPP</BICOrBEI>
    </InstgPtyId>
    <ClntStgInstrId>SICLIREFABC</ClntStgInstrId>
  </StgInstrGnlInf>
</AgtCAStgInstrCxlReq>
```

## MX seev.027.001.01

# AgentCAStandingInstructionStatusAdviceV01

## Message Functionality

### Scope

This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of a standing instruction request or the status of a standing instruction cancellation request.

### Usage

When this message is used to report the status of a standing instruction request, the building block Standing Instruction Request Identification must be present.

When this message is used to report the status of a standing instruction cancellation request, the building block Standing Instruction Cancellation Request Identification must be present.

### Outline

The message is composed of four building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the status by the sender of the message.

#### 2. Choice between Agent Corporate Action Standing Instruction Request and Agent Corporate Action Standing Instruction Cancellation Request

This choice is mandatory. It contains the identification of the standing instruction request or the standing instruction cancellation request that was previously sent and for which the status is provided.

#### 3. Choice between Standing Instruction Request Status and Standing Instruction Cancellation Request Status

This choice is mandatory. It is a choice between the status of the standing instruction request and the status of the standing instruction cancellation request:

- Standing Instruction Request Status provides the status of the standing instruction. It can be:
  - Processed Status. On top of the status, additional information may be given.
  - Rejected Status - when the standing instruction request is rejected. On top of the status, a reason must be given. Additional information may also be given.
- Standing Instruction Cancellation Request Status provides the status of the standing instruction cancellation. It can be:
  - Processed Status. On top of the status, additional information may be given.
  - Rejected Status - when the standing instruction cancellation is rejected. On top of the status, a reason must be given. Additional information may also be given.

#### 4. Standing Instruction General Information



This building block is mandatory. It contains general information about the standing instruction such as the standing instruction type and the client standing instruction reference.

## Message Rules and Guidelines

### Rules

#### 1. StandingInstructionCancellationRequestStatusRule

If AgentCAStandingInstructionCancellationRequestIdentification is present, then StandingInstructionCancellationRequestStatus must be present.

#### 2. StandingInstructionRequestStatusRule

If AgentCAStandingInstructionRequestIdentification is present, then StandingInstructionRequestStatus must be present.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAStgInstrStsAdvice>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCAStandingInstructionRequestIdentification	<AgtCAStgInstrReqId>	[1..1]	±	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		AgentCAStandingInstructionCancellationRequestIdentification	<AgtCAStgInstrCxIReqId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		StandingInstructionGeneralInformation	<StgInstrGnlInf>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		StandingInstructionRequestStatus	<StgInstrReqSts>	[1..1]		
<u>5.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>5.2</u>		Status	<Sts>	[1..1]		
<u>5.3</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>5.4</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>5.5</u>		Identification	<Id>	[1..1]	Text	
<u>5.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.7</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<u>5.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<u>5.10</u>		Reason	<Rsn>	[1..n]		
<u>5.11</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>5.12</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>5.13</u>		Identification	<Id>	[1..1]	Text	
<u>5.14</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.15</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		StandingInstructionCancellationRequestStatus	<StgInstrCxlReqSts>	[1..1]		
<u>6.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>6.2</u>		Status	<Sts>	[1..1]		
<u>6.3</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>6.4</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>6.5</u>		Identification	<Id>	[1..1]	Text	
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
6.7		Issuer	<Issr>	[1..1]	Text	
6.8		AdditionalInformation	<AddtlInf>	[0..1]	Text	
6.9	Or}	RejectedStatus	<RjctdSts>	[1..1]		
6.10		Reason	<Rsn>	[1..n]		
6.11	{{Or	Code	<Cd>	[1..1]	Code	
6.12	Or}}	Proprietary	<Prtry>	[1..1]		
6.13		Identification	<Id>	[1..1]	Text	
6.14		SchemeName	<SchmeNm>	[0..1]	Text	
6.15		Issuer	<Issr>	[1..1]	Text	
6.16		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCAStandingInstructionStatusAdviceV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 2.0 AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Standing Instruction Request for which a status is given.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to **DocumentIdentification8** p.664 in 'Message Item Types' section.

### 3.0 AgentCAStandingInstructionCancellationRequestIdentification <AgtCAStgInstrCxlReqlId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Standing Instruction Cancellation Request for which a status is given.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

### 4.0 StandingInstructionGeneralInformation <StgInstrGnlInf>

**Presence:** [1..1]

**Definition:** General information about the standing instruction.

**Type:** This message item is composed of the following *CorporateActionStandingInstructionGeneralInformation1* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	StandingInstructionType	<StgInstrTp>	[1..1]	Code
	EventType	<EvtTp>	[0..n]	
	InstructingPartyIdentification	<InstgPtyId>	[1..1]	
	ClientStandingInstructionIdentification	<ClntStgInstrId>	[1..1]	Text
	AccountDetails	<AcctDtls>	[0..n]	
	UnderlyingSecurity	<UndrlygScty>	[0..1]	

For additional Type information, please refer to *CorporateActionStandingInstructionGeneralInformation1* p.715 in 'Message Item Types' section.

### 5.0 StandingInstructionRequestStatus <StgInstrReqSts>

**Presence:** [1..1]

**Definition:** Status of the standing instruction request.

**Type:** The *StandingInstructionRequestStatus* block is composed of one of the following *StandingInstructionStatus1Choice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.1	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
5.9	Or}	RejectedStatus	<RjctdSts>	[1..1]	

#### 5.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

This message item is part of choice 5.0 **StandingInstructionRequestStatus**.

**Definition:** Provides information about the processing status of the request.

**Type:** This message item is composed of the following **CorporateActionStandingInstructionProcessingStatus1** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.2</u>		Status	<Sts>	[1..1]	
<u>5.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** This message item is composed of one of the following **ProcessedStatus3FormatChoice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 5.2 **Status**.

**Definition:** Standard code to specify the processing status of an advice, a request or a movement.

**Data Type:** Code

One of the following **ProcessedStatus3Code** values must be used:

Code	Name	Definition
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.
RECE	Received	The instruction/request has been received.

## 5.4 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 5.2 **Status**.

**Definition:** Proprietary code to express the processing status of an advice, a request or a movement.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.5</u>		Identification	<Id>	[1..1]	Text
<u>5.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.7</u>		Issuer	<Issr>	[1..1]	Text

## 5.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 5.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 5.0 StandingInstructionRequestStatus.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *CorporateActionStandingInstructionRejectionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.10</u>		Reason	<Rsn>	[1..n]	
<u>5.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason20FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.11	{Or	Code	<Cd>	[1..1]	Code
5.12	Or}	Proprietary	<Prtry>	[1..1]	

### 5.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of the standing instruction request.

**Data Type:** Code

*One of the following **RejectionReason20Code** values must be used:*

Code	Name	Definition
CASA	NotIdentifiedCashAccount	Unable to identify cash account.
CORR	NotIdentifiedCorrespondent Bank	Unable to identify correspondent bank.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
NOHO	NoHolding	No holding for the specified underlying security.
STAN	InvalidStandingInstructionType	Invalid standing instruction type for the specified underlying security.

### 5.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of the standing instruction request.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
5.13		Identification	<Id>	[1..1]	Text
5.14		SchemeName	<SchmeNm>	[0..1]	Text
5.15		Issuer	<Issr>	[1..1]	Text

### 5.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 5.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.0 StandingInstructionCancellationRequestStatus <StgInstrCxlReqSts>

**Presence:** [1..1]

**Definition:** Provides information about the status of a standing instruction cancellation request.

**Type:** *The StandingInstructionCancellationRequestStatus block is composed of one of the following StandingInstructionCancellationStatus1Choice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>6.9</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]	

### 6.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 StandingInstructionCancellationRequestStatus.*

**Definition:** Provides information about the processing status of the cancellation request.

**Type:** *This message item is composed of the following CorporateActionStandingInstructionCancellationProcessingStatus1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.2</u>		Status	<Sts>	[1..1]	
<u>6.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

### 6.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.



**Type:** This message item is composed of one of the following **ProcessedStatus4FormatChoice** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>6.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 6.3 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **6.2 Status**.

**Definition:** Standard code to specify the processing status of the standing instruction cancellation request.

**Data Type:** Code

One of the following **ProcessedStatus4Code** values must be used:

Code	Name	Definition
COMP	Completed	The request has been completed.
PEND	Pending	The processing of the request is pending.
RECE	Received	The instruction/request has been received.

### 6.4 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice **6.2 Status**.

**Definition:** Proprietary code to express the processing status of the standing instruction cancellation request.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>6.5</u>		Identification	<Id>	[1..1]	Text
<u>6.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>6.7</u>		Issuer	<Issr>	[1..1]	Text

### 6.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 StandingInstructionCancellationRequestStatus.*

**Definition:** Provides information about the rejection status.

**Type:** *This message item is composed of the following  
CorporateActionStandingInstructionCancellationRejectionStatus1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.10</u>		Reason	<Rsn>	[1..n]	
<u>6.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 6.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** *This message item is composed of one of the following RejectionReason10FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.11</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.12</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 6.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection of a standing instruction cancellation request.

**Data Type:** Code

*One of the following RejectionReason10Code values must be used:*

Code	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

## 6.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection of a standing instruction cancellation request.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">6.13</a>		Identification	<Id>	[1..1]	Text
<a href="#">6.14</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">6.15</a>		Issuer	<Issr>	[1..1]	Text

## 6.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 6.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

The CSD sends a status advice to report the status of the standing instruction request. The standing instruction has been accepted.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	SI123STAT

AgentCorporateActionStandingInstructionRequestIdentification	
Identification	SI123

StandingInstructionGeneralInformation	
StandingInstructionType	Securities Distribution
InstructioningPartyIdentification	BANKFRPP
ClientStandingInstructionIdentification	SICLIREF123

StandingInstructionRequestStatus	
ProcessedStatus	Accepted

### XML Instance

```
<AgtCAStgInstrStsAdv>
  <Id>
    <Id>SII123STAT</Id>
  </Id>
  <AgtCAStgInstrReqId>
    <Id>SII123</Id>
  </AgtCAStgInstrReqId>
  <StgInstrGnlInf>
    <StgInstrTp>SECU</StgInstrTp>
    <InstgPtyId>
      <BICOrBEI>BANKFRPP</BICOrBEI>
    </InstgPtyId>
    <ClntStgInstrId>SICLIREF123</ClntStgInstrId>
  </StgInstrGnlInf>
  <StgInstrReqSts>
    <PrcdSts>
      <Sts>
        <Cd>PACK</Cd>
      </Sts>
    </PrcdSts>
  </StgInstrReqSts>
</AgtCAStgInstrStsAdv>
```

```

    </Sts>
  </PrcdSts>
</StgInstrReqSts>
</AgtCAStgInstrStsAdv>

```

## MX seev.028.001.01 AgentCADeactivationInstructionV01

### Message Functionality

#### Scope

This message is sent by an issuer (or its agent) to the CSD to instruct the deactivation of a corporate action event or to deactivate one or more specific options of the corporate action. As of the deactivation date, the CSD is allowed to reject any related election instruction received from clients.

#### Usage

Deactivation refers only to the empowerment of the CSD to reject further elections. To withdraw an event, the Agent Corporate Action Notification Advice message must be used.

This message can be used to deactivate all the options of a corporate action event, in which case, no option should be mentioned in the message.

This message can also be used to deactivate one or more specific corporate action options, in which case, the option type and option number must be present.

This message can only be used when the deactivation date is after the market deadline. Before the market deadline, an updated notification advice message must be sent with option availability status: inactive or cancelled.

An un-effected deactivation (pending deactivation date/time) can be cancelled with an Agent Corporate Action Deactivation Cancellation Request.

The amendment of a deactivation is effected by cancel/replace mechanism.

#### Outline

The message is composed of three building blocks:

##### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the request by the sender of the message.

##### 2. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action.

##### 3. Deactivation Details

This building block is mandatory. It contains information about the deactivation, such as the deactivation date and the option to deactivate.

## Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCADeactvtnInstr >	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		DeactivationDetails	<DeactvtnDtls>	[1..1]		
<u>3.1</u>		DeactivationDateAndTime	<DeactvtnDtAndTm>	[1..1]	DateTime	
<u>3.2</u>		OptionDetails	<OptnDtls>	[0..n]		
<u>3.3</u>		OptionType	<OptnTp>	[1..1]		
<u>3.4</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>3.5</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>3.6</u>		Identification	<Id>	[1..1]	Text	
<u>3.7</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>3.8</u>		Issuer	<Issr>	[1..1]	Text	
<u>3.9</u>		OptionNumber	<OptnNb>	[1..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCADeactivationInstructionV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the instruction.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrctgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrctgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 3.0 DeactivationDetails <Deactvtndtls>

**Presence:** [1..1]

**Definition:** Information related to the deactivation of a CA event.

**Type:** The *DeactivationDetails* block is composed of the following [CorporateActionDeactivationInstruction1](#) element (s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">3.1</a>		DeactivationDateAndTime	<DeactvtndtAndTm>	[1..1]	DateTime
<a href="#">3.2</a>		OptionDetails	<Optndtls>	[0..n]	

### 3.1 DeactivationDateAndTime <DeactvtndtAndTm>

**Presence:** [1..1]

**Definition:** Date and time at which the CSD must deactivate the corporate action event or the option.

**Data Type:** ISODatetime



## 3.2 OptionDetails <OptnDtls>

**Presence:** [0..n]

**Definition:** Provides information about the option, when the deactivation instruction applies at the level of a corporate action option.

**Type:** This message item is composed of the following *CorporateActionOption2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>3.3</u>		OptionType	<OptnTp>	[1..1]	
<u>3.9</u>		OptionNumber	<OptnNb>	[1..1]	Text

## 3.3 OptionType <OptnTp>

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>3.4</u>	{Or	Code	<Cd>	[1..1]	Code
<u>3.5</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 3.4 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 3.3 **OptionType**.*

**Definition:** Option type expressed as a code.

**Data Type:** Code

*One of the following **CorporateActionOptionType1Code** values must be used:*

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.

Code	Name	Definition
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 3.5 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.3 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.6</u>		Identification	<Id>	[1..1]	Text
<u>3.7</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.8</u>		Issuer	<Issr>	[1..1]	Text

### 3.6 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.7 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.8 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.9 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## Business Example

### Narrative

The agent sends a CA deactivation to the CSD to request the deactivation of the entire event on April 11, 2008.

## Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	DEAC123

CorporateActionGeneralInformation	
AgentIdentification	ISAGFRPP
IssuerCorporateActionIdentification	CAREF123
EventType	Dividend distribution
EventProcessingType	Distribution
MandatoryVoluntaryEventType	Mandatory
UnderlyingSecurity\ISIN	FR9876543210

DeactivationDetails	
DeactivationDateAndTime	2008-04-11T11:00:00

## XML Instance

```
<AgtCADeactvtnInstr>  
  <Id>
```

```
<Id>DEAC123</Id>
</Id>
<CorpActnGnlInf>
  <AgtId>
    <BICOrBEI>ISAGFRPP</BICOrBEI>
  </AgtId>
  <IssrCorpActnId>CAREF123</IssrCorpActnId>
  <EvtTp>
    <Cd>DVOP</Cd>
  </EvtTp>
  <MndtryVlntryEvtTp>
    <Cd>MAND</Cd>
  </MndtryVlntryEvtTp>
  <EvtPrcgTp>
    <Cd>DISN</Cd>
  </EvtPrcgTp>
  <UndrlygScty>
    <SctyId>
      <ISIN>FR9876543210</ISIN>
    </SctyId>
  </UndrlygScty>
</CorpActnGnlInf>
<DeactvtnDtls>
  <DeactvtnDtAndTm>2008-04-11T11:00:00</DeactvtnDtAndTm>
</DeactvtnDtls>
</AgtCAdactivationInstr>
```

## MX seev.029.001.01 AgentCAdactivationCancellationRequestV01

### Message Functionality

#### Scope

This message is sent by an issuer (or its agent) to the CSD to request the cancellation of a previously sent corporate action deactivation instruction.

#### Usage

This message is used to request the cancellation of a pending deactivation instruction. The cancellation must apply to exactly the same level as the original instruction, ie to the entire CA event or to an option as per the original instruction.

This message must be sent before the deactivation execution date.

In case a corporate action or option is already deactivated, this message can not be used to reactivate the corporate action entire event or option; the notification advice message must be used to reactivate a corporate action or option.

#### Outline

The message is composed of four building blocks:

##### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the cancellation by the sender of the message.

##### 2. Agent Corporate Action Deactivation Instruction Identification

This building block is mandatory. It contains the identification of the deactivation instruction that was previously sent and for which the cancellation is requested.

##### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action.

##### 4. Deactivation Details

This building block is optional. It contains information about the deactivation such as the deactivation date and the option to deactivate.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCAdactivationCxl Req>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCADeactivationInstructionIdentification	<AgtCADeactvtnInstr Id>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		DeactivationInstructionDetails	<DeactvtnInstrDtls>	[0..1]		
<u>4.1</u>		DeactivationDateAndTime	<DeactvtnDtAndTm>	[1..1]	DateTime	
<u>4.2</u>		OptionDetails	<OptnDtls>	[0..n]		
<u>4.3</u>		OptionType	<OptnTp>	[1..1]		
<u>4.4</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>4.5</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>4.6</u>		Identification	<Id>	[1..1]	Text	
<u>4.7</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>4.8</u>		Issuer	<Issr>	[1..1]	Text	
<u>4.9</u>		OptionNumber	<OptnNb>	[1..1]	Text	

## Message Items Description

The following section identifies the elements of the **AgentCADeactivationCancellationRequestV01** message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the cancellation request.

**Type:** This message item is composed of the following **DocumentIdentification8** element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 2.0 AgentCAdactivationInstructionIdentification <AgtCAdactivationInstrId>

**Presence:** [1..1]

**Definition:** Identification of the Agent CA Deactivation Instruction to be cancelled.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

## 3.0 CorporateActionGeneralInformation <CorpActnGnlInf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 4.0 DeactivationInstructionDetails <DeactivationInstrDtls>

**Presence:** [0..1]

**Definition:** Details of the deactivation instruction to be cancelled.

**Type:** The [DeactivationInstructionDetails](#) block is composed of the following [CorporateActionDeactivationInstruction1](#) element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>4.1</u>		DeactivationDateAndTime	<DeactvtnDtAndTm>	[1..1]	DateTime
<u>4.2</u>		OptionDetails	<OptnDtls>	[0..n]	

#### 4.1 DeactivationDateAndTime <DeactvtnDtAndTm>

**Presence:** [1..1]

**Definition:** Date and time at which the CSD must deactivate the corporate action event or the option.

**Data Type:** ISODateTime

#### 4.2 OptionDetails <OptnDtls>

**Presence:** [0..n]

**Definition:** Provides information about the option, when the deactivation instruction applies at the level of a corporate action option.

**Type:** This message item is composed of the following *CorporateActionOption2* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>4.3</u>		OptionType	<OptnTp>	[1..1]	
<u>4.9</u>		OptionNumber	<OptnNb>	[1..1]	Text

#### 4.3 OptionType <OptnTp>

**Presence:** [1..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>4.4</u>	{Or	Code	<Cd>	[1..1]	Code
<u>4.5</u>	Or}	Proprietary	<Prtry>	[1..1]	

#### 4.4 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **4.3 OptionType**.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).



Code	Name	Definition
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

## 4.5 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.3 **OptionType**.*

**Definition:** Option type expressed as a proprietary code.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.6		Identification	<Id>	[1..1]	Text
4.7		SchemeName	<SchmeNm>	[0..1]	Text
4.8		Issuer	<Issr>	[1..1]	Text

## 4.6 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 4.7 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 4.8 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 4.9 OptionNumber <OptnNb>

**Presence:** [1..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## Business Example

### Narrative

An agent sends a CA deactivation cancellation request message to a CSD to request the cancellation of the deactivation instruction previously sent.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show unused elements.

Identification	
Identification	DEAC123CANC

AgentCorporateActionDeactivationInstructionIdentification	
Identification	DEAC123

CorporateActionGeneralInformation	
AgentIdentification	ISAGFRPP

CorporateActionGeneralInformation	
IssuerCorporateActionIdentification	CAREF123
EventType	Dividend Option
EventProcessingType	Distribution
MandatoryVoluntaryEventType	Mandatory
UnderlyingSecurity	FR9876543210

## XML Instance

```
<AgtCAdactivtnCxlReq>
  <Id>
    <Id>DEAC123CANC</Id>
  </Id>
  <AgtCAdactivtnInstrId>
    <Id>DEAC123</Id>
  </AgtCAdactivtnInstrId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGFRPP</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>CAREF123</IssrCorpActnId>
    <EvtTp>
      <Cd>DVOP</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>MAND</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrgTp>
      <Cd>DISN</Cd>
    </EvtPrgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>FR9876543210</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
</AgtCAdactivtnCxlReq>
```

# MX seev.030.001.01 AgentCADeactivationStatusAdviceV01

## Message Functionality

### Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of a corporate action deactivation instruction or the status of a deactivation cancellation request.

### Usage

This message is used to provide a status on the deactivation instruction, especially to confirm the deactivation of a Corporate Action event or option.

### Outline

The message is composed of five building blocks:

#### 1. Identification

This building block is mandatory. It contains information about the identification assigned to the status by the sender of the message.

#### 2. Choice between Agent Corporate Action Deactivation Instruction Identification and Agent Corporate Action Deactivation Cancellation Request Identification

This is a mandatory choice. It is the choice between the identification of the deactivation instruction or the deactivation cancellation request that was previously sent and for which the status is provided.

#### 3. Corporate Action General Information

This building block is mandatory. It contains general information about the corporate action.

#### 4. Choice between Deactivation Instruction Status and Deactivation Cancellation Request Status

This is a mandatory choice. It is a choice between the status of the deactivation instruction (repeatable) and the status of the deactivation cancellation request:

- Deactivation Instruction Status provides the status of the deactivation. It provides the status of the deactivation for the entire corporate action or the status of the deactivation of one or several options of the corporate action. It can be:
  - Processed Status (example: Accepted). On top of the status, additional information may be given.
  - Rejected Status - when the deactivation instruction is rejected. On top of the status, a reason must be given. Additional information may also be given.
- Deactivation Cancellation Status provides the status of the deactivation cancellation. It can be:
  - Processed Status (example: Accepted). On top of the status, additional information may be given.
  - Rejected Status - when the deactivation cancellation is rejected. On top of the status, a reason must be given. Additional information may also be given.

#### 5. Deactivation Details

This building block is optional. It contains information about the deactivation, such as the deactivation date and the option to deactivate.

## Message Rules and Guidelines

### Rules

#### 1. DeactivationInstructionStatusRule

If AgentCADeactivationInstructionIdentification is present, then at least one occurrence of DeactivationInstructionStatus must be present.

#### 2. DeactivationCancellationRequestStatusRule

If AgentCADeactivationCancellationRequestIdentification is present, then DeactivationCancellationRequestStatus must be present.

### Structure

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
		<i>Message root</i>	<AgtCADeactvtnStsAdvce>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>1.0</u>		Identification	<Id>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>2.0</u>		AgentCADeactivationInstructionIdentification	<AgtCADeactvtnInstrId>	[1..1]	±	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>3.0</u>		AgentCADeactivationCancellationRequestIdentification	<AgtCADeactvtnCxlReqId>	[1..1]	±	

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>4.0</u>		CorporateActionGeneralInformation	<CorpActnGnlInf>	[1..1]	±	

{Or

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>5.0</u>		DeactivationInstructionStatus	<DeactvtnInstrSts>	[1..n]		
<u>5.1</u>		OptionType	<OptnTp>	[0..1]		
<u>5.2</u>	{Or	Code	<Cd>	[1..1]	Code	
<u>5.3</u>	Or}	Proprietary	<Prtry>	[1..1]		
<u>5.4</u>		Identification	<Id>	[1..1]	Text	
<u>5.5</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.6</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.7</u>		OptionNumber	<OptnNb>	[0..1]	Text	
<u>5.8</u>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<u>5.9</u>		Status	<Sts>	[1..1]		
<u>5.10</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>5.11</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>5.12</u>		Identification	<Id>	[1..1]	Text	
<u>5.13</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.14</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.15</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<u>5.16</u>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<u>5.17</u>		Reason	<Rsn>	[1..n]		
<u>5.18</u>	{{Or	Code	<Cd>	[1..1]	Code	
<u>5.19</u>	Or}}	Proprietary	<Prtry>	[1..1]		
<u>5.20</u>		Identification	<Id>	[1..1]	Text	
<u>5.21</u>		SchemeName	<SchmeNm>	[0..1]	Text	
<u>5.22</u>		Issuer	<Issr>	[1..1]	Text	
<u>5.23</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

Or}

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<u>6.0</u>		DeactivationCancellationRequestStatus	<DeactvtnCxlReqSts>	[1..1]		

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type	Rule/ Guid. No.
<a href="#">6.1</a>	{Or	ProcessedStatus	<PrcdSts>	[1..1]		
<a href="#">6.2</a>		Status	<Sts>	[1..1]		
<a href="#">6.3</a>	{ {Or Or} }	Code	<Cd>	[1..1]	Code	
<a href="#">6.4</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">6.5</a>		Identification	<Id>	[1..1]	Text	
<a href="#">6.6</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">6.7</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">6.8</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	
<a href="#">6.9</a>	Or}	RejectedStatus	<RjctdSts>	[1..1]		
<a href="#">6.10</a>		Reason	<Rsn>	[1..n]		
<a href="#">6.11</a>	{ {Or Or} }	Code	<Cd>	[1..1]	Code	
<a href="#">6.12</a>		Proprietary	<Prtry>	[1..1]		
<a href="#">6.13</a>		Identification	<Id>	[1..1]	Text	
<a href="#">6.14</a>		SchemeName	<SchmeNm>	[0..1]	Text	
<a href="#">6.15</a>		Issuer	<Issr>	[1..1]	Text	
<a href="#">6.16</a>		AdditionalInformation	<AddtlInf>	[0..1]	Text	

## Message Items Description

The following section identifies the elements of the *AgentCADeactivationStatusAdviceV01* message definition.

### 1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by the Sender to unambiguously identify the status advice.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to *DocumentIdentification8* p.664 in 'Message Item Types' section.

### 2.0 AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Deactivation Instruction for which a status is given.

**Type:** This message item is composed of the following *DocumentIdentification8* element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 3.0 AgentCADeactivationCancellationRequestIdentification <AgtCADeactvtnCxlReqId>

**Presence:** [1..1]

**Definition:** Identification of the linked Agent CA Deactivation Cancellation Request for which a status is given.

**Type:** This message item is composed of the following [DocumentIdentification8](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	Identification	<Id>	[1..1]	Text
	CreationDateTime	<CreDtTm>	[0..1]	DateTime

For additional Type information, please refer to [DocumentIdentification8](#) p.664 in 'Message Item Types' section.

### 4.0 CorporateActionGeneralInformation <CorpActnGnllnf>

**Presence:** [1..1]

**Definition:** General information about the corporate action event.

**Type:** This message item is composed of the following [CorporateActionInformation1](#) element(s):

Or	Message Item	<XML Tag>	Mult.	Represent./ Type
	AgentIdentification	<AgtId>	[1..1]	
	IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
	CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
	EventType	<EvtTp>	[1..1]	
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
	EventProcessingType	<EvtPrcgTp>	[0..1]	
	UnderlyingSecurity	<UndrlygScty>	[1..1]	

For additional Type information, please refer to [CorporateActionInformation1](#) p.668 in 'Message Item Types' section.

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### 5.0 DeactivationInstructionStatus <DeactvtnInstrSts>

**Presence:** [1..n]

**Definition:** Status of the deactivation instruction sent by the issuer (agent).



**Type:** The *DeactivationInstructionStatus* block is composed of the following *CorporateActionDeactivationInstructionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1</u>		OptionType	<OptnTp>	[0..1]	
<u>5.7</u>		OptionNumber	<OptnNb>	[0..1]	Text
<u>5.8</u>	{ Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>5.16</u>	Or }	RejectedStatus	<RjctdSts>	[1..1]	

## 5.1 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.2</u>	{ Or	Code	<Cd>	[1..1]	Code
<u>5.3</u>	Or }	Proprietary	<Prtry>	[1..1]	

## 5.2 Code <Cd>

**Presence:** [1..1]

This message item is part of choice *5.1 OptionType*.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.

Code	Name	Definition
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 5.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.1 OptionType.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.4</u>		Identification	<Id>	[1..1]	Text
<u>5.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.6</u>		Issuer	<Issr>	[1..1]	Text

### 5.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 5.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 5.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 5.7 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

## 5.8 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 5.0 DeactivationInstructionStatus.*

**Definition:** Provides information about the processing status of the instruction.

**Type:** *This message item is composed of the following **CorporateActionDeactivationInstructionProcessingStatus1** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.9</u>		Status	<Sts>	[1..1]	
<u>5.15</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.9 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** *This message item is composed of one of the following **ProcessedStatus6FormatChoice** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.10</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.11</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.10 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.9 Status.*

**Definition:** Standard code to specify the processing status of a deactivation instruction.

**Data Type:** Code

*One of the following **ProcessedStatus6Code** values must be used:*

Code	Name	Definition
COMP	Completed	The request has been completed.
DEAC	WaitingDeactivationDate	Awaiting the deactivation date.
RECE	Received	The instruction/request has been received.

## 5.11 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.9 Status.*

**Definition:** Proprietary code to express the processing status of a deactivation instruction.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.12</u>		Identification	<Id>	[1..1]	Text
<u>5.13</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.14</u>		Issuer	<Issr>	[1..1]	Text

## 5.12 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.13 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.14 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.15 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 5.16 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 5.0 DeactivationInstructionStatus.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *CorporateActionDeactivationInstructionRejectionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.17</u>		Reason	<Rsn>	[1..n]	
<u>5.23</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 5.17 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason12FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.18</u>	{Or	Code	<Cd>	[1..1]	Code
<u>5.19</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 5.18 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 5.17 Reason.*

**Definition:** Standard code to specify the reason of a rejection of a deactivation instruction.

**Data Type:** Code

*One of the following *RejectionReason12Code* values must be used:*

Code	Name	Definition
AGIN	InvalidAgent	Invalid agent identification supplied.
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action
INMV	InvalidMandatoryVoluntary EventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.

Code	Name	Definition
MAIN	NotMainAgent	Agent Identification specified does not correspond to the main Agent of the relating event.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.
NOAP	NotApplicable	This instruction type is not applicable for mandatory events.
NORO	NoRemainingOption	Specified Corporate Action has no remaining options to disable.
OPTI	InvalidOption	Invalid combination of CA option code and CA option number.
PEDA	PendingDeactivation	The specified Corporate Action option already has a pending deactivation instruction
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
SAID	SameIdentification	Several message with the same identification.
SAME	SameCAIdentification	An Agent Corporate Action Deactivation Instruction with the same identification already exists.

## 5.19 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.17 **Reason**.*

**Definition:** Proprietary code to express the reason of a rejection of a deactivation instruction.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.20</u>		Identification	<Id>	[1..1]	Text
<u>5.21</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.22</u>		Issuer	<Issr>	[1..1]	Text

## 5.20 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 5.21 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.22 Issuer <lssr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 5.23 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.0 DeactivationCancellationRequestStatus <DeactivtnCxlReqSts>

**Presence:** [1..1]

**Definition:** Status of the deactivation cancellation request sent by the issuer (agent).

**Type:** *The DeactivationCancellationRequestStatus block is composed of one of the following CorporateActionDeactivationCancellationStatus1Choice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1</u>	{ Or	ProcessedStatus	<PrcdSts>	[1..1]	
<u>6.9</u>	Or }	RejectedStatus	<RjctdSts>	[1..1]	

## 6.1 ProcessedStatus <PrcdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 DeactivationCancellationRequestStatus.*

**Definition:** Provides information about the processing status of the cancellation request.

**Type:** *This message item is composed of the following CorporateActionDeactivationCancellationProcessingStatus1 element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.2</u>		Status	<Sts>	[1..1]	
<u>6.8</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 6.2 Status <Sts>

**Presence:** [1..1]

**Definition:** The processing status.

**Type:** *This message item is composed of one of the following ProcessedStatus2FormatChoice element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.3	{Or	Code	<Cd>	[1..1]	Code
6.4	Or}	Proprietary	<Prtry>	[1..1]	

### 6.3 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.2 Status.*

**Definition:** Standard code to specify the processing status of a cancellation request.

**Data Type:** Code

*One of the following **ProcessedStatus2Code** values must be used:*

Code	Name	Definition
COMP	Completed	The request has been completed.
RECE	Received	The instruction/request has been received.

### 6.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.2 Status.*

**Definition:** Proprietary code to express the processing status of a cancellation request.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
6.5		Identification	<Id>	[1..1]	Text
6.6		SchemeName	<SchmeNm>	[0..1]	Text
6.7		Issuer	<Issr>	[1..1]	Text

### 6.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 6.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 6.7 Issuer <Issr>

**Presence:** [1..1]



**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.8 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## 6.9 RejectedStatus <RjctdSts>

**Presence:** [1..1]

*This message item is part of choice 6.0 DeactivationCancellationRequestStatus.*

**Definition:** Provides information about the rejection status.

**Type:** This message item is composed of the following *CorporateActionDeactivationCancellationRejectionStatus1* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.10</u>		Reason	<Rsn>	[1..n]	
<u>6.16</u>		AdditionalInformation	<AddtlInf>	[0..1]	Text

## 6.10 Reason <Rsn>

**Presence:** [1..n]

**Definition:** The rejection reason.

**Type:** This message item is composed of one of the following *RejectionReason7FormatChoice* element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.11</u>	{Or	Code	<Cd>	[1..1]	Code
<u>6.12</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 6.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Standard code to specify the reason of a rejection cancellation request.

**Data Type:** Code

*One of the following **RejectionReason7Code** values must be used:*

Code	Name	Definition
AGIN	InvalidAgent	Invalid agent identification supplied.
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.

Code	Name	Definition
DEAO	OptionAlreadyDeactivated	Rejected as the deactivation of the option is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
INDT	InvalidDetails	Message details specified in the instruction/request are inconsistent with those that were specified in the relating original message.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
PDEA	NoPendingDeactivationInstruction	The cancellation request is rejected as there is no pending deactivation instruction.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
SAID	SameIdentification	Several message with the same identification.

## 6.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 6.10 Reason.*

**Definition:** Proprietary code to express the reason of a rejection cancellation request.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Index	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">6.13</a>		Identification	<Id>	[1..1]	Text
<a href="#">6.14</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">6.15</a>		Issuer	<Issr>	[1..1]	Text

## 6.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 6.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.15 Issuer <lssr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 6.16 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

## Business Example

### Narrative

The CSD sends to the agent a status advice to report that the deactivation instruction advice that was previously sent, has been rejected because the deactivation instruction (Identification DEAC123) was already deactivated.

### Business Description

This section shows which elements are actually used in this instance of the message. It does not show the unused elements.

Identification	
Identification	DEAC123STAT

AgentCorporateActionDeactivationInstructionIdentification	
Identification	DEAC123

CorporateActionGeneralInformation	
AgentIdentification	ISAGFRPP
IssuerCorporateActionIdentification	CAREF123
EventType	Dividend Option
EventProcessingType	Distribution
MandatoryVoluntaryEventType	Mandatory
UnderlyingSecurity	FR9876543210

DeactivationInstructionStatus	
RejectedStatus\Reason	Already deactivated

## XML Instance

```
<AgtCADeactvtnStsAdv>
  <Id>
    <Id>DEAC123STAT</Id>
  </Id>
  <AgtCADeactvtnInstrId>
    <Id>DEAC123</Id>
  </AgtCADeactvtnInstrId>
  <CorpActnGnlInf>
    <AgtId>
      <BICOrBEI>ISAGFRPP</BICOrBEI>
    </AgtId>
    <IssrCorpActnId>CAREF123</IssrCorpActnId>
    <EvtTp>
      <Cd>DVOP</Cd>
    </EvtTp>
    <MndtryVlntryEvtTp>
      <Cd>MAND</Cd>
    </MndtryVlntryEvtTp>
    <EvtPrgTp>
      <Cd>DISN</Cd>
    </EvtPrgTp>
    <UndrlygScty>
      <SctyId>
        <ISIN>FR9876543210</ISIN>
      </SctyId>
    </UndrlygScty>
  </CorpActnGnlInf>
  <DeactvtnInstrSts>
    <RjctdSts>
      <Rsn>
        <Cd>DEAC</Cd>
      </Rsn>
    </RjctdSts>
  </DeactvtnInstrSts>
</AgtCADeactvtnStsAdv>
```

# Message Item Types

## Data Types

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## 4 Quantity: Number and Decimal Number

4.1 DecimalNumber

4.2 Number

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## 6 Text

6.1 Exact3NumericText

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## Data Types Description

### 1 Amount

#### 1.1 ActiveCurrencyAnd13DecimalAmount

<b>Definition:</b>	A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13. Note: The decimal separator is a dot.
<b>XML Attribute:</b>	Currency (Ccy). <i>This XML Attribute is typed by <b>ActiveCurrencyCode</b>.</i>
<b>Format:</b>	<b>ActiveCurrencyAnd13DecimalAmount</b> fractionDigits: 13 minInclusive: 0 totalDigits: 18 <b>ActiveCurrencyCode</b> [A-Z]{3,3}
<b>Rule(s):</b>	<b>ActiveCurrencyCode</b> ActiveCurrency The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged. (Fatal) Error Code: Sw.Stds.D00005
<b>Example:</b>	6545.56485 (Ccy='USD')

## 1.2 ActiveCurrencyAndAmount

<b>Definition:</b>	A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.
<b>XML Attribute:</b>	Currency (Ccy). <i>This XML Attribute is typed by <b>ActiveCurrencyCode</b>.</i>
<b>Format:</b>	<b>ActiveCurrencyAndAmount</b> fractionDigits: 5 minInclusive: 0 totalDigits: 18 <b>ActiveCurrencyCode</b> [A-Z]{3,3}
<b>Rule(s):</b>	<b>ActiveCurrencyAndAmount</b> CurrencyAmount The number of fractional digits (or minor unit of currency) must comply with ISO 4217. Note: The decimal separator is a dot. (Fatal) Error Code: Sw.Stds.D00007  <b>ActiveCurrencyCode</b> ActiveCurrency The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged. (Fatal) Error Code: Sw.Stds.D00005
<b>Example:</b>	6545.56 (Ccy='USD')

## 1.3 CurrencyAndAmount

<b>Definition:</b>	Number of monetary units specified in a currency, where the unit of currency is explicit and compliant with ISO 4217. The decimal separator is a dot. Note: A zero amount is considered a positive amount.
<b>XML Attribute:</b>	Currency (Ccy). <i>This XML Attribute is typed by <b>CurrencyCode</b>.</i>
<b>Format:</b>	<b>CurrencyAndAmount</b> fractionDigits: 5 minInclusive: 0 totalDigits: 18 <b>CurrencyCode</b> [A-Z]{3,3}
<b>Rule(s):</b>	<b>CurrencyCode</b> ValidationByTable
<b>Example:</b>	100000 (Ccy='EUR')

## 1.4 ImpliedCurrencyAndAmount

<b>Definition:</b>	Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot. Note: a zero amount is considered a positive amount.
<b>Format:</b>	fractionDigits: 5 minInclusive: 0

	totalDigits: 18
<b>Example:</b>	500000

## 2 Date Time

### 2.1 ISODate

<b>Definition:</b>	A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.
<b>Example:</b>	2002-02-25

### 2.2 ISODateTime

<b>Definition:</b>	<p>A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.</p> <p>Note on the time format:</p> <p>1) beginning / end of calendar day  00:00:00 = the beginning of a calendar day  24:00:00 = the end of a calendar day</p> <p>2) fractions of second in time format  Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.</p>
<b>Example:</b>	2002-07-21T08:35:30

### 2.3 ISOYearMonth

<b>Definition:</b>	Month within a particular calendar year represented by YYYY-MM (ISO 8601).
<b>Example:</b>	2002-01

## 3 Identifier

### 3.1 ActiveCurrencyCode

<b>Definition:</b>	A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".
<b>Format:</b>	[A-Z]{3,3}
<b>Rule(s):</b>	<p>ActiveCurrency</p> <p>The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.</p> <p>(Fatal) Error Code: Sw.Stds.D00005</p>
<b>Example:</b>	EUR



### 3.2 AnyBICIdentifier

<b>Definition:</b>	A code allocated to a business entity or to a financial institution by a Registration Authority under an international identification scheme, as described in the latest edition of the international standard ISO 9362 "Banking - Banking telecommunication messages - Bank identifier codes".
<b>Format:</b>	[A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}
<b>Rule(s):</b>	AnyBIC Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional. (Fatal) Error Code: Sw.Stds.D00008
<b>Example:</b>	CHASUS33

### 3.3 BBANIdentifier

<b>Definition:</b>	Basic Bank Account Number (BBAN). Identifier used nationally by financial institutions, ie, in individual countries, generally as part of a National Account Numbering Scheme(s), which uniquely identifies the account of a customer.
<b>Format:</b>	[a-zA-Z0-9]{1,30}
<b>Example:</b>	BARC12345612345678

### 3.4 BEIIdentifier

<b>Definition:</b>	Business Entity Identifier. Code allocated to non-financial institutions by the ISO 9362 Registration Authority. The Business Entity Identifier (BEI) has the same format as the BIC code (8 up to 11 characters) as stipulated in the standard ISO 9362 Banking (Banking Telecommunication Messages, Bank Identifier Codes, BIC).
<b>Format:</b>	[A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}
<b>Rule(s):</b>	BEI Only Business Entity Identifiers registered with the ISO 9362 Registration Authority and consisting of 8 or 11 contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE, are valid BEIs. (Fatal) Error Code: Sw.Stds.D00002
<b>Example:</b>	USINFRPP

### 3.5 BICIdentifier

<b>Definition:</b>	Bank Identifier Code. code allocated to a financial institution by a Registration Authority under an international identification scheme, as described in the latest edition of the international standard ISO 9362 "Banking - Banking telecommunication messages - Bank identifier codes".
<b>Format:</b>	[A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}
<b>Rule(s):</b>	BIC

	Valid BICs are registered with the ISO 9362 Registration Authority, and consist of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional. (Fatal) Error Code: Sw.Stds.D00001
<b>Example:</b>	CHASUS33

### 3.6 BelgianIdentifier

<b>Definition:</b>	Identifier for Belgian securities.
<b>Example:</b>	359203

### 3.7 BloombergIdentifier

<b>Definition:</b>	An identifier of a security assigned by the Bloomberg organisation.
<b>Format:</b>	maxLength: 35 minLength: 1
<b>Example:</b>	GGF.FP

### 3.8 CFIdentifier

<b>Definition:</b>	Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, eg, common share with voting rights, fully paid, or registered.
<b>Format:</b>	[A-Z]{1,6}
<b>Example:</b>	ESVUFR

### 3.9 CHIPSUniversalIdentifier

<b>Definition:</b>	(United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID). Identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.
<b>Format:</b>	CH[0-9]{6,6}
<b>Example:</b>	CH123456

### 3.10 CUSIPIdentifier

<b>Definition:</b>	Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. Non-US securities have a similar number called the CINS number.
<b>Example:</b>	3134A33L8

### 3.11 ConsolidatedTapeAssociationIdentifier

<b>Definition:</b>	Identifier of a security assigned by the Consolidated Tape Association.
<b>Format:</b>	maxLength: 35 minLength: 1

<b>Example:</b>	483894
-----------------	--------

### 3.12 CountryCode

<b>Definition:</b>	Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
<b>Format:</b>	[A-Z]{2,2}
<b>Rule(s):</b>	Country The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Fatal) Error Code: Sw.Stds.D00004
<b>Example:</b>	BE

### 3.13 CurrencyCode

<b>Definition:</b>	Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.
<b>Format:</b>	[A-Z]{3,3}
<b>Rule(s):</b>	ValidationByTable
<b>Example:</b>	AWG

### 3.14 DunsIdentifier

<b>Definition:</b>	Data Universal Numbering System. A unique identification number provided by Dun & Bradstreet to identify an organization.
<b>Format:</b>	[0-9]{9,9}
<b>Example:</b>	578942538

### 3.15 DutchIdentifier

<b>Definition:</b>	Identifier for Dutch securities.
<b>Example:</b>	234123

### 3.16 EANGLNIdentifier

<b>Definition:</b>	Global Location Number. A non-significant reference number used to identify legal entities, functional entities or physical entities according to the European Association for Numbering (EAN) numbering scheme rules. The number is used to retrieve the detailed information linked to it.
<b>Format:</b>	[0-9]{13,13}
<b>Example:</b>	7265658971233

### 3.17 EuroclearClearstreamIdentifier

<b>Definition:</b>	Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.
<b>Format:</b>	maxLength: 12

	minLength: 1
<b>Example:</b>	12197

### 3.18 IBANIdentifier

<b>Definition:</b>	An identifier used internationally by financial institutions to uniquely identify the account of a customer at a financial institution, as described in the latest edition of the international standard ISO 13616. "Banking and related financial services - International Bank Account Number (IBAN)".
<b>Format:</b>	[a-zA-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}
<b>Rule(s):</b>	IBAN A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN. (Fatal) Error Code: Sw.Stds.D00003
<b>Example:</b>	AT611904300234573201

### 3.19 IBElIdentifier

<b>Definition:</b>	International Business Entity Identifier to uniquely identify business entities playing a role in the lifecycle of and events related to a financial instrument. (tentative - to be confirmed).
<b>Format:</b>	[A-Z]{2,2}[B-DF-HJ-NP-TV-XZ0-9]{7,7}[0-9]{1,1}
<b>Example:</b>	DEXBC823N0

### 3.20 ISINIdentifier

<b>Definition:</b>	International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.
<b>Format:</b>	[A-Z0-9]{12,12}
<b>Example:</b>	US3134A33L82

### 3.21 LanguageCode

<b>Definition:</b>	Specifies a language.
<b>Rule(s):</b>	ValidationByTable
<b>Example:</b>	ENG

### 3.22 MICIdentifier

<b>Definition:</b>	Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.
<b>Format:</b>	[A-Z0-9]{4,4}
<b>Example:</b>	XTKS

### 3.23 NationalityCode

<b>Definition:</b>	Specifies the country where a person was born or is naturalised.
--------------------	--

<b>Rule(s):</b>	ValidationByTable
<b>Example:</b>	US

### 3.24 QUICKIdentifier

<b>Definition:</b>	Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.
<b>Example:</b>	97334

### 3.25 RICIdentifier

<b>Definition:</b>	Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, eg, IBM in UK is IBM.UK.
<b>Format:</b>	maxLength: 35 minLength: 1
<b>Example:</b>	TDVd.CR

### 3.26 SEDOLIdentifier

<b>Definition:</b>	Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.
<b>Example:</b>	5719210

### 3.27 SicovamIdentifier

<b>Definition:</b>	Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.
<b>Example:</b>	12777

### 3.28 TickerIdentifier

<b>Definition:</b>	Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, eg, RTR.L for Reuters quoted in London.
<b>Format:</b>	maxLength: 35 minLength: 1
<b>Example:</b>	SOL

### 3.29 UPICIdentifier

<b>Definition:</b>	Universal Payment Identification Code (UPIC). Identifier used by the New York Clearing House to mask confidential data, such as bank accounts and bank routing numbers. UPIC numbers remain with business customers, regardless of banking relationship changes.
<b>Format:</b>	[0-9]{8,17}
<b>Example:</b>	12345678

### 3.30 ValorenIdentifier

<b>Definition:</b>	Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.
<b>Example:</b>	832614

### 3.31 WertpapierIdentifier

<b>Definition:</b>	Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.
<b>Example:</b>	911958

## 4 Quantity: Number and Decimal Number

### 4.1 DecimalNumber

<b>Definition:</b>	Number of objects represented as a decimal number, eg, 0.75 or 45.6.
<b>Format:</b>	fractionDigits: 17 totalDigits: 18
<b>Example:</b>	123456789.123456789

### 4.2 Number

<b>Definition:</b>	Number of objects represented as an integer.
<b>Format:</b>	fractionDigits: 0 totalDigits: 18
<b>Example:</b>	123456789012345678

## 5 Rate

### 5.1 BaseOneRate

<b>Definition:</b>	Rate expressed as a decimal, eg, 0.7 is 7/10 and 70%.
<b>Format:</b>	fractionDigits: 10 totalDigits: 11
<b>Example:</b>	0.60

### 5.2 PercentageRate

<b>Definition:</b>	Rate expressed as a percentage, ie, in hundredths, eg, 0.7 is 7/10 of a percent, and 7.0 is 7%.
<b>Format:</b>	fractionDigits: 10 totalDigits: 11
<b>Example:</b>	35

## 6 Text

### 6.1 Exact3NumericText

<b>Definition:</b>	Specifies a numeric string with an exact length of 3 digits.
--------------------	--

<b>Format:</b>	[0-9]{3}
<b>Example:</b>	123

## 6.2 Max140Text

[illegible]

### 6.3 Max15NumericText

<b>Definition:</b>	Specifies a numeric string with a maximum length of 15 digits.
<b>Format:</b>	[0-9]{1,15}
<b>Example:</b>	458793625148975

## 6.4 Max16Text

<b>Definition:</b>	Specifies a character string with a maximum length of 16 characters.
<b>Format:</b>	maxLength: 16 minLength: 1
<b>Example:</b>	ABCdEFghIJKLMNO?

## 6.5 Max256Text

[illegible]

## 6.6 Max350Text

[illegible]

## 6.7 Max35Text

<b>Definition:</b>	Specifies a character string with a maximum length of 35 characters.
<b>Format:</b>	maxLength: 35

	minLength: 1
<b>Example:</b>	ABCDEFGHIJKLMNOPQRSTUVWXYZ123456789012345

## 6.8 Max3NumericText

<b>Definition:</b>	Specifies a numeric string with a maximum length of 3 digits.
<b>Format:</b>	[0-9]{1,3}
<b>Example:</b>	003

## 6.9 Max4AlphaNumericText

<b>Definition:</b>	Specifies an alphanumeric string with a maximum length of 4 characters.
<b>Format:</b>	[a-zA-Z0-9]{1,4}
<b>Example:</b>	aBc9

## 6.10 Max70Text

<b>Definition:</b>	Specifies a character string with a maximum length of 70characters.
<b>Format:</b>	maxLength: 70 minLength: 1
<b>Example:</b>	A string value of maximum 70 characters.

## 6.11 PhoneNumber

<b>Definition:</b>	The collection of information which identifies a specific phone or FAX number as defined by telecom services. It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+", and "-" (up to 30 characters).
<b>Format:</b>	\+[0-9]{1,3}-[0-9()+\-]{1,30}
<b>Example:</b>	+1-(465)432-123

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## End Points Description

### 1 Account

#### 1.1 SecuritiesAccount6

*SecuritiesAccount6* is used in message definition *AgentCAStandingInstructionCancellationRequestV01* p.550, message definition *AgentCAStandingInstructionRequestV01* p.543.

**Definition:** Provides information about the securities account.

**Type:** The following *SecuritiesAccount6* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.1.0</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">1.1.1</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">1.1.2</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">1.1.3</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.1.4</a>	{{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">1.1.5</a>	Or}}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<a href="#">1.1.6</a>		Description	<Desc>	[0..1]	Text
<a href="#">1.1.7</a>		SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text
<a href="#">1.1.8</a>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.1.9</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">1.1.10</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">1.1.11</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.1.12</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.1.13</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">1.1.14</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">1.1.15</a>		Name	<Nm>	[1..1]	Text
<a href="#">1.1.16</a>		Address	<Adr>	[0..1]	
<a href="#">1.1.17</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">1.1.18</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">1.1.19</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">1.1.20</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">1.1.21</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">1.1.22</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">1.1.23</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">1.1.24</a>		Country	<Ctry>	[1..1]	Code
<a href="#">1.1.25</a>		SafekeepingPlace	<SfkpgPlc>	[1..1]	
<a href="#">1.1.26</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">1.1.27</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">1.1.28</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.1.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.1.30</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">1.1.31</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">1.1.32</a>		Name	<Nm>	[1..1]	Text
<a href="#">1.1.33</a>		Address	<Adr>	[0..1]	
<a href="#">1.1.34</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">1.1.35</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">1.1.36</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">1.1.37</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">1.1.38</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">1.1.39</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">1.1.40</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">1.1.41</a>		Country	<Ctry>	[1..1]	Code
<a href="#">1.1.42</a>		RegistrationDetails	<RegnDtls>	[0..1]	Text

### 1.1.0 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identification of the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.1</u>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<u>1.1.2</u>	Or}	OtherIdentification	<OthrId>	[1..1]	
<u>1.1.6</u>		Description	<Desc>	[0..1]	Text

#### 1.1.1 ISIN <ISIN>

**Presence:** [1..1]

*This message item is part of choice 1.1.0 SecurityIdentification.*

**Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

#### 1.1.2 OtherIdentification <OthrId>

**Presence:** [1..1]

*This message item is part of choice 1.1.0 SecurityIdentification.*

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** This message item is composed of the following *AlternateSecurityIdentification3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.3</u>		Identification	<Id>	[1..1]	Text
<u>1.1.4</u>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<u>1.1.5</u>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

#### 1.1.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

#### 1.1.4 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 1.1.2 OtherIdentification.*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 1.1.5 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 1.1.2 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.6 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text

**Format:** maxLength: 140  
minLength: 1

### 1.1.7 SecuritiesAccountIdentification <SctiesAcctId>

**Presence:** [1..1]

**Definition:** Identification of the account where financial instruments are maintained.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.8 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** *This message item is composed of one of the following **PartyIdentification2Choice** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.9</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>1.1.10</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>1.1.14</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 1.1.9 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 1.1.8 AccountOwnerIdentification.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.  
(Fatal) Error Code: Sw.Stds.D00008

### 1.1.10 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 1.1.8 AccountOwnerIdentification.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following GenericIdentification1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.11</u>		Identification	<Id>	[1..1]	Text
<u>1.1.12</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.1.13</u>		Issuer	<Issr>	[0..1]	Text

### 1.1.11 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.12 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.13 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

**1.1.14 NameAndAddress <NmAndAdr>****Presence:** [1..1]*This message item is part of choice 1.1.8 AccountOwnerIdentification.***Definition:** Name by which a party is known and which is usually used to identify that party.**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.15</u>		Name	<Nm>	[1..1]	Text
<u>1.1.16</u>		Address	<Adr>	[0..1]	

**1.1.15 Name <Nm>****Presence:** [1..1]**Definition:** Name by which a party is known and which is usually used to identify that party.**Data Type:** Max350Text**Format:** maxLength: 350

minLength: 1

**1.1.16 Address <Adr>****Presence:** [0..1]**Definition:** Postal address of a party.**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.17</u>		AddressType	<AdrTp>	[0..1]	Code
<u>1.1.18</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>1.1.19</u>		StreetName	<StrtNm>	[0..1]	Text
<u>1.1.20</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>1.1.21</u>		PostCode	<PstCd>	[0..1]	Text
<u>1.1.22</u>		TownName	<TwnNm>	[0..1]	Text
<u>1.1.23</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>1.1.24</u>		Country	<Ctry>	[1..1]	Code

**1.1.17 AddressType <AdrTp>****Presence:** [0..1]**Definition:** Identifies the nature of the postal address.**Data Type:** Code*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.

Code	Name	Definition
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 1.1.18 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 1.1.19 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 1.1.20 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 1.1.21 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 1.1.22 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1



### 1.1.23 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 1.1.24 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 1.1.25 SafekeepingPlace <SfkpgPlc>

**Presence:** [1..1]

**Definition:** Identification of the place of safekeeping.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.26</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>1.1.27</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>1.1.31</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 1.1.26 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 1.1.25 SafekeepingPlace.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 1.1.27 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 1.1.25 SafekeepingPlace.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following **GenericIdentification1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.28</u>		Identification	<Id>	[1..1]	Text
<u>1.1.29</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.1.30</u>		Issuer	<Issr>	[0..1]	Text

### 1.1.28 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.29 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.30 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.31 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 1.1.25 **SafekeepingPlace**.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.1.32</u>		Name	<Nm>	[1..1]	Text
<u>1.1.33</u>		Address	<Adr>	[0..1]	

### 1.1.32 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 1.1.33 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** This message item is composed of the following *PostalAddress1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">1.1.34</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">1.1.35</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">1.1.36</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">1.1.37</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">1.1.38</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">1.1.39</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">1.1.40</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">1.1.41</a>		Country	<Ctry>	[1..1]	Code

### 1.1.34 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

When this message item is present, one of the following *AddressType2Code* values must be used:

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 1.1.35 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 1.1.36 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 1.1.37 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 1.1.38 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 1.1.39 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.40 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.1.41 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 1.1.42 RegistrationDetails <RegnDtls>

**Presence:** [0..1]

**Definition:** Provides information required for the registration of the security.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 1.2 SecuritiesAccount7

*SecuritiesAccount7* is used in message definition *AgentCAElectionAdviceV01* p.295, message definition *AgentCAElectionAmendmentRequestV01* p.321, message definition *AgentCAElectionCancellationRequestV01* p.336, message definition *AgentCAInformationAdviceV01* p.512.

**Definition:** Provides information about the account identification and the account owner.

**Type:** The following *SecuritiesAccount7* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.2.0</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
<u>1.2.1</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>1.2.2</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>1.2.3</u>		Identification	<Id>	[1..1]	Text
<u>1.2.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.2.5</u>		Issuer	<Issr>	[0..1]	Text
<u>1.2.6</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<u>1.2.7</u>		Name	<Nm>	[1..1]	Text
<u>1.2.8</u>		Address	<Adr>	[0..1]	
<u>1.2.9</u>		AddressType	<AdrTp>	[0..1]	Code
<u>1.2.10</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>1.2.11</u>		StreetName	<StrtNm>	[0..1]	Text
<u>1.2.12</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>1.2.13</u>		PostCode	<PstCd>	[0..1]	Text
<u>1.2.14</u>		TownName	<TwnNm>	[0..1]	Text
<u>1.2.15</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>1.2.16</u>		Country	<Ctry>	[1..1]	Code
<u>1.2.17</u>		AccountIdentification	<AcctId>	[1..1]	Text

### 1.2.0 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
1.2.1	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
1.2.2	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
1.2.6	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 1.2.1 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 1.2.0 AccountOwnerIdentification.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 1.2.2 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 1.2.0 AccountOwnerIdentification.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following GenericIdentification1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
1.2.3		Identification	<Id>	[1..1]	Text
1.2.4		SchemeName	<SchmeNm>	[0..1]	Text
1.2.5		Issuer	<Issr>	[0..1]	Text

### 1.2.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.2.4 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.2.5 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.2.6 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 1.2.0 AccountOwnerIdentification.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following NameAndAddress5 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.2.7</u>		Name	<Nm>	[1..1]	Text
<u>1.2.8</u>		Address	<Adr>	[0..1]	

### 1.2.7 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 1.2.8 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following PostalAddress1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.2.9</u>		AddressType	<AdrTp>	[0..1]	Code
<u>1.2.10</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>1.2.11</u>		StreetName	<StrtNm>	[0..1]	Text
<u>1.2.12</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>1.2.13</u>		PostCode	<PstCd>	[0..1]	Text
<u>1.2.14</u>		TownName	<TwnNm>	[0..1]	Text
<u>1.2.15</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>1.2.16</u>		Country	<Ctry>	[1..1]	Code

### 1.2.9 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 1.2.10 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 1.2.11 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 1.2.12 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

### 1.2.13 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1



**1.2.14 TownName <TwnNm>****Presence:** [0..1]**Definition:** Name of a built-up area, with defined boundaries, and a local government.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**1.2.15 CountrySubDivision <CtrySubDvsn>****Presence:** [0..1]**Definition:** Identifies a subdivision of a country eg, state, region, county.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**1.2.16 Country <Ctry>****Presence:** [1..1]**Definition:** Nation with its own government.**Data Type:** CountryCode**Format:** [A-Z]{2,2}**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

**1.2.17 AccountIdentification <AcctId>****Presence:** [1..1]**Definition:** Identification of the account where financial instruments are maintained.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**1.3 SecuritiesAccount8***SecuritiesAccount8 is used in message definition **AgentCAMovementCancellationRequestV01** p.448, message definition **AgentCAMovementConfirmationV01** p.480, message definition **AgentCAMovementInstructionV01** p.414.***Definition:** Provides information about the securities account.**Type:** The following *SecuritiesAccount8* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.0</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<u>1.3.1</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
<u>1.3.2</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>1.3.3</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>1.3.4</u>		Identification	<Id>	[1..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.3.5</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.3.6</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">1.3.7</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">1.3.8</a>		Name	<Nm>	[1..1]	Text
<a href="#">1.3.9</a>		Address	<Adr>	[0..1]	
<a href="#">1.3.10</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">1.3.11</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">1.3.12</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">1.3.13</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">1.3.14</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">1.3.15</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">1.3.16</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">1.3.17</a>		Country	<Ctry>	[1..1]	Code
<a href="#">1.3.18</a>		AccountIdentification	<AcctId>	[1..1]	Text
<a href="#">1.3.19</a>		BalanceType	<BalTp>	[0..1]	
<a href="#">1.3.20</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">1.3.21</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">1.3.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.3.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.3.24</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">1.3.25</a>		OptionType	<OptnTp>	[0..1]	
<a href="#">1.3.26</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">1.3.27</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">1.3.28</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.3.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.3.30</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">1.3.31</a>		OptionNumber	<OptnNb>	[0..1]	Text
<a href="#">1.3.32</a>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code
<a href="#">1.3.33</a>		StampDuty	<StmpDty>	[0..1]	
<a href="#">1.3.34</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">1.3.35</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">1.3.36</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.3.37</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.3.38</a>		Issuer	<Issr>	[1..1]	Text

### 1.3.0 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

*One of the following **CreditDebitCode** values must be used:*

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 1.3.1 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** *This message item is composed of one of the following **PartyIdentification2Choice** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.2</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>1.3.3</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>1.3.7</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 1.3.2 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice **1.3.1 AccountOwnerIdentification**.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 1.3.3 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice **1.3.1 AccountOwnerIdentification**.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following **GenericIdentification1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.4</u>		Identification	<Id>	[1..1]	Text
<u>1.3.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.3.6</u>		Issuer	<Issr>	[0..1]	Text

### 1.3.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.6 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.7 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 1.3.1 AccountOwnerIdentification.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following NameAndAddress5 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.8</u>		Name	<Nm>	[1..1]	Text
<u>1.3.9</u>		Address	<Adr>	[0..1]	

### 1.3.8 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

**1.3.9 Address <Adr>****Presence:** [0..1]**Definition:** Postal address of a party.**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.3.10</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">1.3.11</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">1.3.12</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">1.3.13</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">1.3.14</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">1.3.15</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">1.3.16</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">1.3.17</a>		Country	<Ctry>	[1..1]	Code

**1.3.10 AddressType <AdrTp>****Presence:** [0..1]**Definition:** Identifies the nature of the postal address.**Data Type:** Code*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

**1.3.11 AddressLine <AdrLine>****Presence:** [0..5]**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.**Data Type:** Max70Text**Format:** maxLength: 70

minLength: 1

**1.3.12 StreetName <StrtNm>****Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 1.3.13 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 1.3.14 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 1.3.15 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.16 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.17 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 1.3.18 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the account where financial instruments are maintained.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.19 BalanceType <BalTp>

**Presence:** [0..1]

**Definition:** Type of balance.

**Type:** This message item is composed of one of the following *SecuritiesBalanceType10FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.20</u>	{Or	Code	<Cd>	[1..1]	Code
<u>1.3.21</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 1.3.20 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 1.3.19 **BalanceType**.*

**Definition:** Standard code to specify the type of securities balance.

**Data Type:** Code

*One of the following *SecuritiesBalanceType10Code* values must be used:*

Code	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

### 1.3.21 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 1.3.19 **BalanceType**.*

**Definition:** Proprietary code to express the type of securities balance.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.3.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.3.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.3.24</a>		Issuer	<Issr>	[1..1]	Text

### 1.3.22 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 1.3.23 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.24 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.25 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.3.26</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">1.3.27</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 1.3.26 Code <Cd>

**Presence:** [1..1]

This message item is part of choice [1.3.25 OptionType](#).

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:



Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 1.3.27 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 1.3.25 OptionType.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.3.28</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.3.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.3.30</a>		Issuer	<Issr>	[1..1]	Text

### 1.3.28 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 1.3.29 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.30 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.3.31 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

### 1.3.32 SecurityHoldingForm <SctyHldgForm>

**Presence:** [0..1]

**Definition:** Specifies the form of the financial instrument.

**Data Type:** Code

*When this message item is present, one of the following **FormOfSecurity1Code** values must be used:*

Code	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/funds books.

### 1.3.33 StampDuty <StmpDty>

**Presence:** [0..1]

**Definition:** Specifies if the stamp duty is applicable.

**Type:** This message item is composed of one of the following *StampDutyType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.34</u>	{Or	Code	<Cd>	[1..1]	Code
<u>1.3.35</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 1.3.34 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 1.3.33 *StampDuty*.

**Definition:** Standard code to specify the stamp duty information.

**Data Type:** Code

One of the following *StampDutyType1Code* values must be used:

Code	Name	Definition
SDRN	NotApplicable	No SDRT - transfer result of Corporate Action
SDRQ	Exemption	Exempt, for any other reason.
SDRT	Paid	SDRT paid on another transaction
SDRU	OutsideCSD	SDRT paid outside of CSD on corporate action.

### 1.3.35 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice 1.3.33 *StampDuty*.

**Definition:** Proprietary code to express the stamp duty information.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.3.36</u>		Identification	<Id>	[1..1]	Text
<u>1.3.37</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.3.38</u>		Issuer	<Issr>	[1..1]	Text

### 1.3.36 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 1.3.37 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 1.3.38 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 1.4 SecuritiesAccount9

*SecuritiesAccount9* is used in message definition *AgentCAElectionAdviceV01* p.296, message definition *AgentCAElectionCancellationRequestV01* p.337.

**Definition:** Provides information about the securities account.

**Type:** The following *SecuritiesAccount9* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.4.0</u>		CreditDebitIndicator	<CdtDbtInd>	[1..1]	Code
<u>1.4.1</u>		AccountOwnerIdentification	<AcctOwnrId>	[0..1]	
<u>1.4.2</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>1.4.3</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>1.4.4</u>		Identification	<Id>	[1..1]	Text
<u>1.4.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.4.6</u>		Issuer	<Issr>	[0..1]	Text
<u>1.4.7</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<u>1.4.8</u>		Name	<Nm>	[1..1]	Text
<u>1.4.9</u>		Address	<Adr>	[0..1]	
<u>1.4.10</u>		AddressType	<AdrTp>	[0..1]	Code
<u>1.4.11</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>1.4.12</u>		StreetName	<StrtNm>	[0..1]	Text
<u>1.4.13</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>1.4.14</u>		PostCode	<PstCd>	[0..1]	Text
<u>1.4.15</u>		TownName	<TwnNm>	[0..1]	Text
<u>1.4.16</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>1.4.17</u>		Country	<Ctry>	[1..1]	Code

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.4.18</a>		AccountIdentification	<AcctId>	[1..1]	Text
<a href="#">1.4.19</a>		BalanceType	<BalTp>	[0..1]	
<a href="#">1.4.20</a>	{Or Or}	Code	<Cd>	[1..1]	Code
<a href="#">1.4.21</a>		Proprietary	<Prtry>	[1..1]	
<a href="#">1.4.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.4.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.4.24</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">1.4.25</a>		OptionType	<OptnTp>	[0..1]	
<a href="#">1.4.26</a>	{Or Or}	Code	<Cd>	[1..1]	Code
<a href="#">1.4.27</a>		Proprietary	<Prtry>	[1..1]	
<a href="#">1.4.28</a>		Identification	<Id>	[1..1]	Text
<a href="#">1.4.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">1.4.30</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">1.4.31</a>		OptionNumber	<OptnNb>	[0..1]	Text
<a href="#">1.4.32</a>		SecurityHoldingForm	<SctyHldgForm>	[0..1]	Code

### 1.4.0 CreditDebitIndicator <CdtDbtInd>

**Presence:** [1..1]

**Definition:** Specifies whether the value is a debit or credit.

**Data Type:** Code

*One of the following **CreditDebitCode** values must be used:*

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 1.4.1 AccountOwnerIdentification <AcctOwnrId>

**Presence:** [0..1]

**Definition:** Identification of the party that owns the account.

**Type:** *This message item is composed of one of the following **PartyIdentification2Choice** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">1.4.2</a>	{Or Or Or}	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">1.4.3</a>		ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">1.4.7</a>		NameAndAddress	<NmAndAdr>	[1..1]	

### 1.4.2 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 1.4.1 AccountOwnerIdentification.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 1.4.3 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 1.4.1 AccountOwnerIdentification.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following GenericIdentification1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>1.4.4</u>		Identification	<Id>	[1..1]	Text
<u>1.4.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.4.6</u>		Issuer	<Issr>	[0..1]	Text

### 1.4.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.4.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.4.6 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.4.7 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 1.4.1 **AccountOwnerIdentification**.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.4.8</u>		Name	<Nm>	[1..1]	Text
<u>1.4.9</u>		Address	<Adr>	[0..1]	

### 1.4.8 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 1.4.9 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.4.10</u>		AddressType	<AdrTp>	[0..1]	Code
<u>1.4.11</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>1.4.12</u>		StreetName	<StrtNm>	[0..1]	Text
<u>1.4.13</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>1.4.14</u>		PostCode	<PstCd>	[0..1]	Text
<u>1.4.15</u>		TownName	<TwnNm>	[0..1]	Text
<u>1.4.16</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>1.4.17</u>		Country	<Ctry>	[1..1]	Code

### 1.4.10 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

#### 1.4.11 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

#### 1.4.12 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

#### 1.4.13 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

#### 1.4.14 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

#### 1.4.15 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1



**1.4.16 CountrySubDivision <CtrySubDvsn>****Presence:** [0..1]**Definition:** Identifies a subdivision of a country eg, state, region, county.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**1.4.17 Country <Ctry>****Presence:** [1..1]**Definition:** Nation with its own government.**Data Type:** CountryCode**Format:** [A-Z]{2,2}**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

**1.4.18 AccountIdentification <AcctId>****Presence:** [1..1]**Definition:** Identification of the account.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**1.4.19 BalanceType <BalTp>****Presence:** [0..1]**Definition:** Type of balance.**Type:** This message item is composed of one of the following *SecuritiesBalanceType10FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.4.20</u>	{Or	Code	<Cd>	[1..1]	Code
<u>1.4.21</u>	Or}	Proprietary	<Prtry>	[1..1]	

**1.4.20 Code <Cd>****Presence:** [1..1]*This message item is part of choice 1.4.19 **BalanceType**.***Definition:** Standard code to specify the type of securities balance.**Data Type:** Code*One of the following **SecuritiesBalanceType10Code** values must be used:*

Code	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.

Code	Name	Definition
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

### 1.4.21 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 1.4.19 **BalanceType**.*

**Definition:** Proprietary code to express the type of securities balance.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>1.4.22</u>		Identification	<Id>	[1..1]	Text
<u>1.4.23</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.4.24</u>		Issuer	<Issr>	[1..1]	Text

### 1.4.22 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 1.4.23 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.4.24 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 1.4.25 OptionType <OptnTp>

**Presence:** [0..1]

**Definition:** Specifies the corporate action options available to the account owner.

**Type:** This message item is composed of one of the following *CorporateActionOption1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
1.4.26	{Or	Code	<Cd>	[1..1]	Code
1.4.27	Or}	Proprietary	<Prtry>	[1..1]	

### 1.4.26 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **1.4.25 OptionType**.

**Definition:** Option type expressed as a code.

**Data Type:** Code

One of the following *CorporateActionOptionType1Code* values must be used:

Code	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.

Code	Name	Definition
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.

### 1.4.27 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 1.4.25 OptionType.*

**Definition:** Option type expressed as a proprietary code.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>1.4.28</u>		Identification	<Id>	[1..1]	Text
<u>1.4.29</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>1.4.30</u>		Issuer	<Issr>	[1..1]	Text

### 1.4.28 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 1.4.29 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.4.30 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 1.4.31 OptionNumber <OptnNb>

**Presence:** [0..1]

**Definition:** Number identifying the available corporate action options.

**Data Type:** Exact3NumericText

**Format:** [0-9]{3}

### 1.4.32 SecurityHoldingForm <SctyHldgForm>

**Presence:** [0..1]

**Definition:** Specifies the form of the financial instrument.

**Data Type:** Code

When this message item is present, one of the following **FormOfSecurity1Code** values must be used:

Code	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/funds books.

## 2 Account identification

### 2.1 AccountIdentification2Choice

*AccountIdentification2Choice* is used in message definition **AgentCAElectionAdviceV01** p.296, message definition **AgentCAElectionCancellationRequestV01** p.337, message definition **AgentCAGlobalDistributionAuthorisationRequestV01** p.382, message definition **AgentCAMovementCancellationRequestV01** p.448, p.448, message definition **AgentCAMovementConfirmationV01** p.480, message definition **AgentCAMovementInstructionV01** p.414, p.414, message definition **AgentCANotificationAdviceV01** p.16, message definition **AgentCANotificationCancellationRequestV01** p.153.

**Definition:** Choice between the identification of a cash or securities account.

**Type:** One of the following **AccountIdentification2Choice** element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>2.1.0</u>	{Or	CashAccountIdentification	<CshAcctId>	[1..1]	Text
<u>2.1.1</u>	Or}	SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text

#### 2.1.0 CashAccountIdentification <CshAcctId>

**Presence:** [1..1]

This message item is part of choice **2.1 AccountIdentification2Choice**.

**Definition:** Identification of a cash account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 2.1.1 SecuritiesAccountIdentification <SctiesAcctId>

**Presence:** [1..1]

*This message item is part of choice 2.1 AccountIdentification2Choice.*

**Definition:** Identification of a securities account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 2.2 CashAccountIdentification1Choice

*CashAccountIdentification1Choice is used in message definition AgentCAElectionAdviceV01 p.297, message definition AgentCAInformationAdviceV01 p.513, message definition AgentCAInformationStatusAdviceV01 p.528, message definition AgentCAStandingInstructionCancellationRequestV01 p.550, message definition AgentCAStandingInstructionRequestV01 p.543.*

**Definition:** Choice between formats for the identification of a cash account.

**Type:** One of the following *CashAccountIdentification1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>2.2.0</u>	{Or	IBAN	<IBAN>	[1..1]	Identifier
<u>2.2.1</u>	Or	BBAN	<BBAN>	[1..1]	Identifier
<u>2.2.2</u>	Or	UPIC	<UPIC>	[1..1]	Identifier
<u>2.2.3</u>	Or}	DomesticAccount	<DmstAcct>	[1..1]	
<u>2.2.4</u>		Identification	<Id>	[1..1]	Text

### 2.2.0 IBAN <IBAN>

**Presence:** [1..1]

*This message item is part of choice 2.2 CashAccountIdentification1Choice.*

**Definition:** International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

**Data Type:** IBANIdentifier

**Format:** [a-zA-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

**Rule(s):** IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.  
(Fatal) Error Code: Sw.Stds.D00003

### 2.2.1 BBAN <BBAN>

**Presence:** [1..1]

*This message item is part of choice 2.2 CashAccountIdentification1Choice.*

**Definition:** Basic Bank Account Number (BBAN) - identifier used nationally by financial institutions, ie, in individual countries, generally as part of a National Account Numbering Scheme(s), to uniquely identify the account of a customer.

**Data Type:** BBANIdentifier

**Format:** [a-zA-Z0-9]{1,30}

## 2.2.2 UPIC <UPIC>

**Presence:** [1..1]

*This message item is part of choice 2.2 **CashAccountIdentification1Choice**.*

**Definition:** Universal Payment Identification Code (UPIC) - identifier used by the New York Clearing House to mask confidential data, such as bank accounts and bank routing numbers. UPIC numbers remain with business customers, regardless of banking relationship changes.

**Data Type:** UPICIdentifier

**Format:** [0-9]{8,17}

## 2.2.3 DomesticAccount <DmstAcct>

**Presence:** [1..1]

*This message item is part of choice 2.2 **CashAccountIdentification1Choice**.*

**Definition:** Account number used by financial institutions in individual countries to identify an account of a customer, but not necessarily the bank and branch of the financial institution in which the account is held.

**Type:** *This message item is composed of the following **SimpleIdentificationInformation** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>2.2.4</u>		Identification	<Id>	[1..1]	Text

## 2.2.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Name or number assigned by an entity to enable recognition of that entity, eg, account identifier.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

# 3 Balance

## 3.1 CashBalanceType1FormatType

***CashBalanceType1FormatType** is used in message definition **AgentCAGlobalDistributionAuthorisationRequestV01** p.382, message definition **AgentCAMovementConfirmationV01** p.480.*

**Definition:** Choice of formats to express the type of cash balance.

**Type:** *One of the following **CashBalanceType1FormatType** element(s) must be used:*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>3.1.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>3.1.1</u>	Or}	Proprietary	<Prtry>	[1..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.1.2</u>		Identification	<Id>	[1..1]	Text
<u>3.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.1.4</u>		Issuer	<Issr>	[1..1]	Text

### 3.1.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 3.1 CashBalanceType1FormatType.*

**Definition:** Standard code to specify the type of cash balance.

**Data Type:** Code

*One of the following CashBalanceType1Code values must be used:*

Code	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".

### 3.1.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.1 CashBalanceType1FormatType.*

**Definition:** Proprietary code to express the type of cash balance.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.1.2</u>		Identification	<Id>	[1..1]	Text
<u>3.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.1.4</u>		Issuer	<Issr>	[1..1]	Text

### 3.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}



### 3.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 3.1.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 3.2 SecuritiesBalanceType6FormatChoice

*SecuritiesBalanceType6FormatChoice is used in message definition*

*AgentCAGlobalDistributionAuthorisationRequestV01 p.381.*

**Definition:** Choice of formats to express the type of securities balance.

**Type:** One of the following *SecuritiesBalanceType6FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.2.0</u>	{ Or	Code	<Cd>	[1..1]	Code
<u>3.2.1</u>	Or }	Proprietary	<Prtry>	[1..1]	
<u>3.2.2</u>		Identification	<Id>	[1..1]	Text
<u>3.2.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.2.4</u>		Issuer	<Issr>	[1..1]	Text

### 3.2.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 3.2 **SecuritiesBalanceType6FormatChoice**.*

**Definition:** Standard code to specify the type of securities balance.

**Data Type:** Code

*One of the following **SecuritiesBalanceType6Code** values must be used:*

Code	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
REST	Restricted	Balance of cash that may only be used under certain conditions.

Code	Name	Definition
		Balance type is used to block securities/cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type. Also known as the "escrow balance" or "sequestered balance".
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.
TENT	TotalEntitled	Total quantity of financial instruments entitled to the account owner based on the terms of the corporate action event.

### 3.2.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.2 SecuritiesBalanceType6FormatChoice.*

**Definition:** Proprietary code to express the type of securities balance.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.2.2</u>		Identification	<Id>	[1..1]	Text
<u>3.2.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>3.2.4</u>		Issuer	<Issr>	[1..1]	Text

### 3.2.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.2.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.2.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 3.3 SecuritiesBalanceType9FormatChoice

*SecuritiesBalanceType9FormatChoice* is used in message definition *AgentCAMovementCancellationRequestV01* p.448, message definition *AgentCAMovementInstructionV01* p.415.

**Definition:** Choice of formats to express the type of securities balance.

**Type:** One of the following *SecuritiesBalanceType9FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.3.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">3.3.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">3.3.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">3.3.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">3.3.4</a>		Issuer	<Issr>	[1..1]	Text

#### 3.3.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 3.3 SecuritiesBalanceType9FormatChoice.*

**Definition:** Standard code to specify the type of securities balance.

**Data Type:** Code

*One of the following SecuritiesBalanceType9Code values must be used:*

Code	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
ELEC	Elected	Balance of instructed position.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.
UNEL	Unelected	Balance of uninstructed position.

#### 3.3.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 3.3 SecuritiesBalanceType9FormatChoice.*

**Definition:** Proprietary code to express the type of securities balance.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">3.3.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">3.3.3</a>		SchemeName	<SchmeNm>	[0..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>3.3.4</u>		Issuer	<Issr>	[1..1]	Text

### 3.3.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 3.3.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 3.3.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 4 Date time

### 4.1 DateAndDateTimeChoice

*DateAndDateTimeChoice* is used in message definition *AgentCAElectionAdviceV01* p.295, p.296, message definition *AgentCAElectionCancellationRequestV01* p.337, p.337, message definition *AgentCAMovementConfirmationV01* p.480, p.480.

**Definition:** Choice between a date or a date and time format.

**Type:** One of the following *DateAndDateTimeChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>4.1.0</u>	{Or	Date	<Dt>	[1..1]	DateTime
<u>4.1.1</u>	Or}	DateTime	<DtTm>	[1..1]	DateTime

#### 4.1.0 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 4.1 DateAndDateTimeChoice.*

**Definition:** Specified date.

**Data Type:** ISODate

### 4.1.1 DateTime <DtTm>

**Presence:** [1..1]

*This message item is part of choice 4.1 DateAndDateTimeChoice.*

**Definition:** Specified date and time.

**Data Type:** ISODateTime

### 4.2 DateFormat4Choice

*DateFormat4Choice is used in message definition AgentCADistributionBreakdownAdviceV01 p.370, p.370, message definition AgentCAGlobalDistributionAuthorisationRequestV01 p.381, p.381, message definition AgentCANotificationAdviceV01 p.11, p.11, p.11, p.13, p.13, p.13, p.13, p.13, p.13, p.13, p.13, p.13, p.13, p.13, p.14, p.14, p.14, p.14, p.14, p.14, p.14, p.14, p.14, p.14, p.16, p.16, p.16, p.16, p.16, p.16, p.16, p.16, p.16, p.16, p.19, p.19, p.19, p.19, p.19, p.19, p.19, p.19, p.19, p.20, message definition AgentCANotificationCancellationRequestV01 p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.151, p.152, p.152, p.152, p.152, p.152, p.152, p.152, p.152, p.152, p.152, p.154, p.154, p.154, p.154, p.154, p.154, p.154, p.154, p.154, p.154, p.157, p.157, p.157, p.157, p.157, p.157, p.157, p.157, p.157, p.157.*

**Definition:** Specifies the value of a date.

**Type:** One of the following *DateFormat4Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.2.0	{Or	Date	<Dt>	[1..1]	
4.2.1	{{Or	Date	<Dt>	[1..1]	DateTime
4.2.2	Or}}	DateTime	<DtTm>	[1..1]	DateTime
4.2.3	Or	NotSpecifiedDate	<NotSpfcdDt>	[1..1]	Code
4.2.4	Or}	Proprietary	<Prtry>	[1..1]	
4.2.5		Identification	<Id>	[1..1]	Text
4.2.6		SchemeName	<SchmeNm>	[0..1]	Text
4.2.7		Issuer	<Issr>	[1..1]	Text

### 4.2.0 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 4.2 DateFormat4Choice.*

**Definition:** Date expressed as an ISO Date.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
4.2.1	{Or	Date	<Dt>	[1..1]	DateTime
4.2.2	Or}	DateTime	<DtTm>	[1..1]	DateTime

### 4.2.1 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 4.2.0 Date.*

**Definition:** Specified date.

**Data Type:** ISODate

### 4.2.2 DateTime <DtTm>

**Presence:** [1..1]

*This message item is part of choice 4.2.0 Date.*

**Definition:** Specified date and time.

**Data Type:** ISODatetime

### 4.2.3 NotSpecifiedDate <NotSpcfdDt>

**Presence:** [1..1]

*This message item is part of choice 4.2 DateFormat4Choice.*

**Definition:** The date is not specified, eg, the date is unknown.

**Data Type:** Code

*One of the following **DateType6Code** values must be used:*

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process.
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Unknown date, which indicates that the date is unknown by the sender

### 4.2.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 4.2 DateFormat4Choice.*

**Definition:** Proprietary scheme to specify a date.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>4.2.5</u>		Identification	<Id>	[1..1]	Text
<u>4.2.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>4.2.7</u>		Issuer	<Issr>	[1..1]	Text

### 4.2.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 4.2.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 4.2.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5 Date time period

#### 5.1 Period1

*Period1* is used in message definition **AgentCANotificationAdviceV01** p.14, p.14, p.14, p.14, p.14, p.18, p.18, p.18, p.18, p.18, p.19, message definition **AgentCANotificationCancellationRequestV01** p.152, p.152, p.152, p.152, p.152, p.156, p.156, p.156, p.156, p.156, p.156, p.156, p.156, p.157.

**Definition:** Time span defined by a start date and time, and an end date and time.

**Type:** The following *Period1* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1.0</u>		StartDate	<StartDt>	[1..1]	
<u>5.1.1</u>	{Or	Date	<Dt>	[1..1]	
<u>5.1.2</u>	{{Or	Date	<Dt>	[1..1]	DateTime
<u>5.1.3</u>	Or}}	DateTime	<DtTm>	[1..1]	DateTime
<u>5.1.4</u>	Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
<u>5.1.5</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>5.1.6</u>		Identification	<Id>	[1..1]	Text
<u>5.1.7</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.1.8</u>		Issuer	<Issr>	[1..1]	Text
<u>5.1.9</u>		EndDate	<EndDt>	[1..1]	
<u>5.1.10</u>	{Or	Date	<Dt>	[1..1]	
<u>5.1.11</u>	{{Or	Date	<Dt>	[1..1]	DateTime
<u>5.1.12</u>	Or}}	DateTime	<DtTm>	[1..1]	DateTime
<u>5.1.13</u>	Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
<u>5.1.14</u>	Or}	Proprietary	<Prtry>	[1..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.1.15</a>		Identification	<Id>	[1..1]	Text
<a href="#">5.1.16</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">5.1.17</a>		Issuer	<Issr>	[1..1]	Text

### 5.1.0 StartDate <StartDt>

**Presence:** [1..1]

**Definition:** Date and time at which the range starts.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.1.1</a>	{Or	Date	<Dt>	[1..1]	
<a href="#">5.1.4</a>	Or	NotSpecifiedDate	<NotSpcfdDt>	[1..1]	Code
<a href="#">5.1.5</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 5.1.1 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 5.1.0 StartDate.*

**Definition:** Date expressed as an ISO Date.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">5.1.2</a>	{Or	Date	<Dt>	[1..1]	DateTime
<a href="#">5.1.3</a>	Or}	DateTime	<DtTm>	[1..1]	DateTime

### 5.1.2 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 5.1.1 Date.*

**Definition:** Specified date.

**Data Type:** ISODate

### 5.1.3 DateTime <DtTm>

**Presence:** [1..1]

*This message item is part of choice 5.1.1 Date.*

**Definition:** Specified date and time.

**Data Type:** ISODatetime

### 5.1.4 NotSpecifiedDate <NotSpcfdDt>

**Presence:** [1..1]



*This message item is part of choice 5.1.0 **StartDate**.*

**Definition:** The date is not specified, eg, the date is unknown.

**Data Type:** Code

*One of the following **DateType6Code** values must be used:*

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process.
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Unknown date, which indicates that the date is unknown by the sender

### 5.1.5 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.1.0 **StartDate**.*

**Definition:** Proprietary scheme to specify a date.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1.6</u>		Identification	<Id>	[1..1]	Text
<u>5.1.7</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>5.1.8</u>		Issuer	<Issr>	[1..1]	Text

### 5.1.6 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 5.1.7 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5.1.8 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 5.1.9 EndDate <EndDt>

**Presence:** [1..1]

**Definition:** Date and time at which the range ends.

**Type:** This message item is composed of one of the following *DateFormat4Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1.10</u>	{Or	Date	<Dt>	[1..1]	
<u>5.1.13</u>	Or	NotSpecifiedDate	<NotSpdfdDt>	[1..1]	Code
<u>5.1.14</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 5.1.10 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 5.1.9 EndDate.*

**Definition:** Date expressed as an ISO Date.

**Type:** This message item is composed of one of the following *DateAndDateTimeChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>5.1.11</u>	{Or	Date	<Dt>	[1..1]	DateTime
<u>5.1.12</u>	Or}	DateTime	<DtTm>	[1..1]	DateTime

### 5.1.11 Date <Dt>

**Presence:** [1..1]

*This message item is part of choice 5.1.10 Date.*

**Definition:** Specified date.

**Data Type:** ISODate

### 5.1.12 DateTime <DtTm>

**Presence:** [1..1]

*This message item is part of choice 5.1.10 Date.*

**Definition:** Specified date and time.

**Data Type:** ISODatetime

### 5.1.13 NotSpecifiedDate <NotSpdfdDt>

**Presence:** [1..1]

*This message item is part of choice 5.1.9 EndDate.*

**Definition:** The date is not specified, eg, the date is unknown.

**Data Type:** Code

*One of the following *DataType6Code* values must be used:*

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process.
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Unknown date, which indicates that the date is unknown by the sender

#### 5.1.14 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 5.1.9 **EndDate**.*

**Definition:** Proprietary scheme to specify a date.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">5.1.15</a>		Identification	<Id>	[1..1]	Text
<a href="#">5.1.16</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">5.1.17</a>		Issuer	<Issr>	[1..1]	Text

#### 5.1.15 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 5.1.16 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### 5.1.17 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 6 Identification information

### 6.1 DocumentIdentification8

*DocumentIdentification8* is used in message definition *AgentCAdactivationCancellationRequestV01* p.578, p.578, message definition *AgentCAdactivationInstructionV01* p.571, message definition *AgentCAdactivationStatusAdviceV01* p.585, p.585, p.585, message definition *AgentCADistributionBreakdownAdviceV01* p.369, message definition *AgentCAElectionAdviceV01* p.294, p.294, p.295, p.295, message definition *AgentCAElectionAmendmentRequestV01* p.321, p.321, message definition *AgentCAElectionCancellationRequestV01* p.336, p.336, message definition *AgentCAElectionStatusAdviceV01* p.352, p.352, p.352, message definition *AgentCAGlobalDistributionAuthorisationRequestV01* p.381, message definition *AgentCAGlobalDistributionStatusAdviceV01* p.399, p.399, message definition *AgentCAInformationAdviceV01* p.512, p.512, message definition *AgentCAInformationStatusAdviceV01* p.527, p.527, message definition *AgentCAMovementCancellationRequestV01* p.447, p.447, message definition *AgentCAMovementConfirmationV01* p.479, p.479, p.479, p.479, message definition *AgentCAMovementInstructionV01* p.412, p.413, message definition *AgentCAMovementStatusAdviceV01* p.492, p.492, p.492, p.492, message definition *AgentCANotificationAdviceV01* p.10, p.10, message definition *AgentCANotificationCancellationRequestV01* p.149, p.149, message definition *AgentCANotificationStatusAdviceV01* p.279, p.279, p.279, message definition *AgentCAStandingInstructionCancellationRequestV01* p.550, p.550, message definition *AgentCAStandingInstructionRequestV01* p.543, message definition *AgentCAStandingInstructionStatusAdviceV01* p.557, p.557, p.557.

**Definition:** Identifies the document by providing a unique identification and optionally the date/time of the creation of the document.

**Type:** The following *DocumentIdentification8* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>6.1.0</u>		Identification	<Id>	[1..1]	Text
<u>6.1.1</u>		CreationDateTime	<CreDtTm>	[0..1]	DateTime

#### 6.1.0 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique identification of the document.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 6.1.1 CreationDateTime <CreDtTm>

**Presence:** [0..1]

**Definition:** Date/time of the creation of the document.

**Data Type:** ISODateTime

## 7 Miscellaneous

### 7.1 BeneficiaryCertificationType1FormatChoice

*BeneficiaryCertificationType1FormatChoice* is used in message definition *AgentCAElectionAdviceV01* p.296, p.297, message definition *AgentCAInformationAdviceV01* p.513, p.513, message definition *AgentCAInformationStatusAdviceV01* p.527, p.528, message definition *AgentCANotificationAdviceV01* p.15, p.15, message definition *AgentCANotificationCancellationRequestV01* p.153, p.153.

**Definition:** Choice of formats to express the type of beneficiary's certification.

**Type:** One of the following *BeneficiaryCertificationType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.1.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.1.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>7.1.2</u>		Identification	<Id>	[1..1]	Text
<u>7.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.1.4</u>		Issuer	<Issr>	[1..1]	Text

#### 7.1.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.1 **BeneficiaryCertificationType1FormatChoice**.*

**Definition:** Standard code to specify the type of beneficiary's certification.

**Data Type:** Code

*One of the following **BeneficiaryCertificationType1Code** values must be used:*

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure purpose (eg, name, address, tax identification if required, tax rate, etc.).
NCOM	NonCompany	The holder of the security has to certify he is a Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

### 7.1.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.1 **BeneficiaryCertificationType1FormatChoice**.*

**Definition:** Proprietary code to express the type of beneficiary's certification.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.1.2</u>		Identification	<Id>	[1..1]	Text
<u>7.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.1.4</u>		Issuer	<Issr>	[1..1]	Text

### 7.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.1.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 7.2 CorporateActionEventStage1FormatChoice

*CorporateActionEventStage1FormatChoice is used in message definition **AgentCANotificationAdviceV01** p.11, message definition **AgentCANotificationCancellationRequestV01** p.149.*

**Definition:** Choice of formats to express the stage of the CA event.

**Type:** *One of the following **CorporateActionEventStage1FormatChoice** element(s) must be used:*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.2.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.2.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>7.2.2</u>		Identification	<Id>	[1..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.2.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.2.4</u>		Issuer	<Issr>	[1..1]	Text

## 7.2.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.2 CorporateActionEventStage1FormatChoice.*

**Definition:** Standard code to specify the stage of the CA event.

**Data Type:** Code

*One of the following CorporateActionEventStage1Code values must be used:*

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, eg, dividend.
CLDE	Deactivated	The offer is closed for acceptance.
FULL	FullReversalLotteryNotification	An original or supplemental lottery is being reversed.
LAPS	Lapsed	The event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	PartialReversalLotteryNotification	Original call amount is reduced by the agent. The original announcement is cancelled and a new original lottery is issued.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	RescissionReversalLotteryNotification	Original and or supplemental lottery details have changed and the lottery is being cancelled.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, eg, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

## 7.2.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.2 CorporateActionEventStage1FormatChoice.*

**Definition:** Proprietary code to express the stage of the CA event.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.2.2</u>		Identification	<Id>	[1..1]	Text
<u>7.2.3</u>		SchemeName	<SchmeNm>	[0..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.2.4</u>		Issuer	<Issr>	[1..1]	Text

## 7.2.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

## 7.2.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.2.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.3 CorporateActionInformation1

*CorporateActionInformation1* is used in message definition *AgentCAdesactivationCancellationRequestV01* p.578, message definition *AgentCAdesactivationInstructionV01* p.571, message definition *AgentCAdesactivationStatusAdviceV01* p.586, message definition *AgentCADistributionBreakdownAdviceV01* p.370, message definition *AgentCAElectionAdviceV01* p.295, message definition *AgentCAElectionAmendmentRequestV01* p.321, message definition *AgentCAElectionCancellationRequestV01* p.336, message definition *AgentCAElectionStatusAdviceV01* p.352, message definition *AgentCAGlobalDistributionAuthorisationRequestV01* p.381, message definition *AgentCAGlobalDistributionStatusAdviceV01* p.399, message definition *AgentCAInformationAdviceV01* p.512, message definition *AgentCAMovementCancellationRequestV01* p.447, message definition *AgentCAMovementConfirmationV01* p.480, message definition *AgentCAMovementInstructionV01* p.413, message definition *AgentCAMovementStatusAdviceV01* p.492.

**Definition:** General information about the corporate action event.

**Type:** The following *CorporateActionInformation1* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3.0</u>		AgentIdentification	<AgtId>	[1..1]	
<u>7.3.1</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>7.3.2</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>7.3.3</u>		Identification	<Id>	[1..1]	Text



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.4</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.5</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.3.6</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.3.7</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.3.8</a>		Address	<Adr>	[0..1]	
<a href="#">7.3.9</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.3.10</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.3.11</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.3.12</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.3.13</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.3.14</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.3.15</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.3.16</a>		Country	<Ctry>	[1..1]	Code
<a href="#">7.3.17</a>		IssuerCorporateActionIdentification	<IssrCorpActnId>	[0..1]	Text
<a href="#">7.3.18</a>		CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
<a href="#">7.3.19</a>		EventType	<EvtTp>	[1..1]	
<a href="#">7.3.20</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.3.21</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.3.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.24</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.3.25</a>		MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
<a href="#">7.3.26</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.3.27</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.3.28</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.30</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.3.31</a>		EventProcessingType	<EvtPrcgTp>	[0..1]	
<a href="#">7.3.32</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.3.33</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.3.34</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.35</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.36</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.3.37</a>		UnderlyingSecurity	<UndrlygScty>	[1..1]	
<a href="#">7.3.38</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.3.39</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.40</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.3.41</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.42</a>	{{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">7.3.43</a>	Or}}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<a href="#">7.3.44</a>		Description	<Desc>	[0..1]	Text
<a href="#">7.3.45</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.3.46</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	
<a href="#">7.3.47</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.3.48</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.3.49</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.50</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.51</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.3.52</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.3.53</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.3.54</a>		Address	<Adr>	[0..1]	
<a href="#">7.3.55</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.3.56</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.3.57</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.3.58</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.3.59</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.3.60</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.3.61</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.3.62</a>		Country	<Ctry>	[1..1]	Code

**Rule(s):** CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### 7.3.0 AgentIdentification <AgtId>

**Presence:** [1..1]

**Definition:** Identification of the issuer agent.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.1</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.3.2</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.3.6</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 7.3.1 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 7.3.0 AgentIdentification.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 7.3.2 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 7.3.0 AgentIdentification.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following GenericIdentification1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">7.3.3</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.4</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.5</a>		Issuer	<Issr>	[0..1]	Text

### 7.3.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.4 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.5 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.6 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.3.0 AgentIdentification.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3.7</u>		Name	<Nm>	[1..1]	Text
<u>7.3.8</u>		Address	<Adr>	[0..1]	

### 7.3.7 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 7.3.8 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3.9</u>		AddressType	<AdrTp>	[0..1]	Code
<u>7.3.10</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>7.3.11</u>		StreetName	<StrtNm>	[0..1]	Text
<u>7.3.12</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>7.3.13</u>		PostCode	<PstCd>	[0..1]	Text
<u>7.3.14</u>		TownName	<TwnNm>	[0..1]	Text
<u>7.3.15</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>7.3.16</u>		Country	<Ctry>	[1..1]	Code

### 7.3.9 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 7.3.10 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.3.11 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.3.12 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 7.3.13 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 7.3.14 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.15 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.16 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 7.3.17 IssuerCorporateActionIdentification <IssrCorpActnId>

**Presence:** [0..1]

**Definition:** Reference given to the event by the CA event issuer (agent).

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.18 CorporateActionProcessingIdentification <CorpActnPrctlId>

**Presence:** [0..1]

**Definition:** Reference assigned by the account servicer to unambiguously identify a corporate action event.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.19 EventType <EvtTp>

**Presence:** [1..1]

**Definition:** Type of corporate action event.

**Type:** This message item is composed of one of the following *CorporateActionEventType2FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
7.3.20	{Or	Code	<Cd>	[1..1]	Code
7.3.21	Or}	Proprietary	<Prtry>	[1..1]	

### 7.3.20 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 7.3.19 *EventType*.

**Definition:** Event types expressed as a code.

**Data Type:** Code

One of the following **CorporateActionEventType2Code** values must be used:

Code	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain the consent of holders, without a formal general meeting, to a proposal by the issuer or a third party.

Code	Name	Definition
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share. The number of circulating shares remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity . Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and results are confirmed to bondholder.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.



Code	Name	Definition
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation" .
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged .
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase . Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

Code	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

Code	Name	Definition
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification request for withholding tax reduction or exemption based on the tax status of the holder.

### 7.3.21 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.3.19 **EventType**.*

**Definition:** Event types expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.24</a>		Issuer	<Issr>	[1..1]	Text

### 7.3.22 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.3.23 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.24 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.25 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

**Presence:** [1..1]

**Definition:** Specifies whether the event is mandatory, mandatory with options or voluntary.

**Type:** This message item is composed of one of the following *CorporateActionMandatoryVoluntaryIFormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.26</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.3.27</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 7.3.26 Code <Cd>

**Presence:** [1..1]

This message item is part of choice 7.3.25 *MandatoryVoluntaryEventType*.

**Definition:** Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

**Data Type:** Code

One of the following *CorporateActionMandatoryVoluntaryICode* values must be used:

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

### 7.3.27 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.3.25 **MandatoryVoluntaryEventType**.*

**Definition:** Proprietary code to express whether the event is mandatory, mandatory with options or voluntary.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">7.3.28</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.30</a>		Issuer	<Issr>	[1..1]	Text

### 7.3.28 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.3.29 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.30 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.31 EventProcessingType <EvtPrcgTp>

**Presence:** [0..1]

**Definition:** Type of processing involved by a Corporate Action.

**Type:** This message item is composed of one of the following *CorporateActionEventProcessingType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.32</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.3.33</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 7.3.32 Code <Cd>

**Presence:** [1..1]

This message item is part of choice [7.3.31 EventProcessingType](#).

**Definition:** Standard code to specify the type of event processing.

**Data Type:** Code

One of the following *CorporateActionEventProcessingType1Code* values must be used:

Code	Name	Definition
DISN	Distribution	It indicates that the holder of the relevant security on a certain date, ie, the record date, will receive a benefit without giving up the underlying security.
GENL	General	It indicates that there is no debit or credit of resources.
REOR	Reorganisation	It indicates that the underlying security will be debited and may be replaced by another resource (or resources).

### 7.3.33 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice [7.3.31 EventProcessingType](#).

**Definition:** Proprietary code to express the type of event processing.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.34</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.35</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.3.36</a>		Issuer	<Issr>	[1..1]	Text

### 7.3.34 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

**7.3.35 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**7.3.36 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**7.3.37 UnderlyingSecurity <UndrlygScty>****Presence:** [1..1]**Definition:** Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.**Type:** This message item is composed of the following *FinancialInstrumentDescription3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.38</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.3.45</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.3.46</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	

**7.3.38 SecurityIdentification <SctyId>****Presence:** [1..1]**Definition:** Identifies the financial instrument.**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.39</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.3.40</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.3.44</a>		Description	<Desc>	[0..1]	Text

**7.3.39 ISIN <ISIN>****Presence:** [1..1]*This message item is part of choice 7.3.38 SecurityIdentification.***Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

### 7.3.40 OtherIdentification <OthrId>

**Presence:** [1..1]

*This message item is part of choice 7.3.38 SecurityIdentification.*

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** *This message item is composed of the following AlternateSecurityIdentification3 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3.41</u>		Identification	<Id>	[1..1]	Text
<u>7.3.42</u>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<u>7.3.43</u>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

### 7.3.41 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 7.3.42 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.3.40 OtherIdentification.*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 7.3.43 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.3.40 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.3.44 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text



**Format:** maxLength: 140  
minLength: 1

### 7.3.45 PlaceOfListing <PlcOfListg>

**Presence:** [0..1]

**Definition:** Place where the referenced financial instrument is listed.

**Data Type:** MICIdentifier

**Format:** [A-Z0-9]{4,4}

### 7.3.46 SafekeepingPlace <SfkpgPlc>

**Presence:** [0..1]

**Definition:** Identification of the place of safekeeping.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.47</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.3.48</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.3.52</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 7.3.47 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 7.3.46 **SafekeepingPlace**.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 7.3.48 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 7.3.46 **SafekeepingPlace**.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** This message item is composed of the following *GenericIdentification1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.49</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.3.50</a>		SchemeName	<SchmeNm>	[0..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3.51</u>		Issuer	<Issr>	[0..1]	Text

### 7.3.49 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.50 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.51 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.52 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.3.46 SafekeepingPlace.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.3.53</u>		Name	<Nm>	[1..1]	Text
<u>7.3.54</u>		Address	<Adr>	[0..1]	

### 7.3.53 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 7.3.54 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.3.55</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.3.56</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.3.57</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.3.58</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.3.59</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.3.60</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.3.61</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.3.62</a>		Country	<Ctry>	[1..1]	Code

### 7.3.55 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 7.3.56 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.3.57 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.3.58 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 7.3.59 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 7.3.60 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.61 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.3.62 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 7.4 CorporateActionInformation2

*CorporateActionInformation2* is used in message definition *AgentCANotificationAdviceV01* p.11, message definition *AgentCANotificationCancellationRequestV01* p.149, message definition *AgentCANotificationStatusAdviceV01* p.280.

**Definition:** Provides information about the corporate action event.

**Type:** The following *CorporateActionInformation2* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.0</a>		AgentIdentification	<AgtId>	[1..1]	
<a href="#">7.4.1</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.4.2</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.4.3</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.4</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.5</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.4.6</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.4.7</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.4.8</a>		Address	<Adr>	[0..1]	
<a href="#">7.4.9</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.4.10</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.4.11</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.4.12</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.4.13</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.4.14</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.4.15</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.4.16</a>		Country	<Ctry>	[1..1]	Code
<a href="#">7.4.17</a>		IssuerCorporateActionIdentification	<IssrCorpActnId>	[1..1]	Text
<a href="#">7.4.18</a>		CorporateActionProcessingIdentification	<CorpActnPrcgId>	[0..1]	Text
<a href="#">7.4.19</a>		EventType	<EvtTp>	[1..1]	
<a href="#">7.4.20</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.4.21</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.4.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.24</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.4.25</a>		EventProcessingType	<EvtPrcgTp>	[0..1]	
<a href="#">7.4.26</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.4.27</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.4.28</a>		Identification	<Id>	[1..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.29</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.30</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.4.31</a>		MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>	[1..1]	
<a href="#">7.4.32</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.4.33</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.4.34</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.35</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.36</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.4.37</a>		UnderlyingSecurity	<UndrlygScty>	[1..1]	
<a href="#">7.4.38</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.4.39</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.4.40</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.4.41</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.42</a>	{{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">7.4.43</a>	Or}}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<a href="#">7.4.44</a>		Description	<Desc>	[0..1]	Text
<a href="#">7.4.45</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.4.46</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	
<a href="#">7.4.47</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.4.48</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.4.49</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.50</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.51</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.4.52</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.4.53</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.4.54</a>		Address	<Adr>	[0..1]	
<a href="#">7.4.55</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.4.56</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.4.57</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.4.58</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.4.59</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.4.60</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.4.61</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.4.62</a>		Country	<Ctry>	[1..1]	Code
<a href="#">7.4.63</a>		OtherUnderlyingSecurity	<OthrUndrlygScty>	[0..n]	
<a href="#">7.4.64</a>		SecurityIdentification	<SctyId>	[1..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.65</a>	{Or Or}	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.4.66</a>		OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.4.67</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.68</a>	{ {Or Or} }	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">7.4.69</a>		ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<a href="#">7.4.70</a>		Description	<Desc>	[0..1]	Text
<a href="#">7.4.71</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.4.72</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	
<a href="#">7.4.73</a>	{Or Or}	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.4.74</a>		ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.4.75</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.76</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.77</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.4.78</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.4.79</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.4.80</a>		Address	<Adr>	[0..1]	
<a href="#">7.4.81</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.4.82</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.4.83</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.4.84</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.4.85</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.4.86</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.4.87</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.4.88</a>		Country	<Ctry>	[1..1]	Code

## 7.4.0 AgentIdentification <AgtId>

**Presence:** [1..1]

**Definition:** Identification of the issuer's agent or the issuer.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.1</a>	{Or Or Or}	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.4.2</a>		ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.4.6</a>		NameAndAddress	<NmAndAdr>	[1..1]	

### 7.4.1 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 7.4.0 AgentIdentification.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 7.4.2 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 7.4.0 AgentIdentification.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following GenericIdentification1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.4.3</u>		Identification	<Id>	[1..1]	Text
<u>7.4.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.4.5</u>		Issuer	<Issr>	[0..1]	Text

### 7.4.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.4 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.5 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text



**Format:** maxLength: 35  
minLength: 1

#### 7.4.6 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.4.0 AgentIdentification.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following NameAndAddress5 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.4.7</u>		Name	<Nm>	[1..1]	Text
<u>7.4.8</u>		Address	<Adr>	[0..1]	

#### 7.4.7 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 7.4.8 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following PostalAddress1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.4.9</u>		AddressType	<AdrTp>	[0..1]	Code
<u>7.4.10</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>7.4.11</u>		StreetName	<StrtNm>	[0..1]	Text
<u>7.4.12</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>7.4.13</u>		PostCode	<PstCd>	[0..1]	Text
<u>7.4.14</u>		TownName	<TwnNm>	[0..1]	Text
<u>7.4.15</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>7.4.16</u>		Country	<Ctry>	[1..1]	Code

#### 7.4.9 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following AddressType2Code values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

#### 7.4.10 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

#### 7.4.11 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

#### 7.4.12 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

#### 7.4.13 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

#### 7.4.14 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.4.15 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.4.16 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 7.4.17 IssuerCorporateActionIdentification <IssrCorpActnId>

**Presence:** [1..1]

**Definition:** Reference given to the event by the CA event issuer (agent).

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.4.18 CorporateActionProcessingIdentification <CorpActnPrctlId>

**Presence:** [0..1]

**Definition:** Reference assigned by the (I)CSD to unambiguously identify a corporate event.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.4.19 EventType <EvtTp>

**Presence:** [1..1]

**Definition:** Specifies the type of corporate event.

**Type:** This message item is composed of one of the following *CorporateActionEventType2FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
7.4.20	{Or	Code	<Cd>	[1..1]	Code
7.4.21	Or}	Proprietary	<Prtry>	[1..1]	

### 7.4.20 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.4.19 EventType.*

**Definition:** Event types expressed as a code.

**Data Type:** Code

One of the following **CorporateActionEventType2Code** values must be used:

Code	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain the consent of holders, without a formal general meeting, to a proposal by the issuer or a third party.

Code	Name	Definition
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share. The number of circulating shares remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity . Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and results are confirmed to bondholder.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

Code	Name	Definition
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation" .
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged .
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase . Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

Code	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

Code	Name	Definition
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification request for withholding tax reduction or exemption based on the tax status of the holder.

#### 7.4.21 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.4.19 **EventType**.*

**Definition:** Event types expressed as a proprietary code.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.22</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.23</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.24</a>		Issuer	<Issr>	[1..1]	Text

### 7.4.22 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.4.23 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.24 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.25 EventProcessingType <EvtPrcgTp>

**Presence:** [0..1]

**Definition:** Type of processing involved by a Corporate Action.

**Type:** This message item is composed of one of the following *CorporateActionEventProcessingType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.26</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.4.27</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 7.4.26 Code <Cd>

**Presence:** [1..1]

This message item is part of choice [7.4.25 EventProcessingType](#).

**Definition:** Standard code to specify the type of event processing.

**Data Type:** Code

One of the following *CorporateActionEventProcessingType1Code* values must be used:

Code	Name	Definition
DISN	Distribution	It indicates that the holder of the relevant security on a certain date, ie, the record date, will receive a benefit without giving up the underlying security.
GENL	General	It indicates that there is no debit or credit of resources.
REOR	Reorganisation	It indicates that the underlying security will be debited and may be replaced by another resource (or resources).

### 7.4.27 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.4.25 **EventProcessingType**.*

**Definition:** Proprietary code to express the type of event processing.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>7.4.28</u>		Identification	<Id>	[1..1]	Text
<u>7.4.29</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.4.30</u>		Issuer	<Issr>	[1..1]	Text

### 7.4.28 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.4.29 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.30 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.31 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

**Presence:** [1..1]

**Definition:** Specifies whether the event is mandatory, mandatory with options or voluntary.

**Type:** This message item is composed of one of the following *CorporateActionMandatoryVoluntary1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.32</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.4.33</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 7.4.32 Code <Cd>

**Presence:** [1..1]

This message item is part of choice [7.4.31](#) *MandatoryVoluntaryEventType*.

**Definition:** Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

**Data Type:** Code

One of the following *CorporateActionMandatoryVoluntary1Code* values must be used:

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

### 7.4.33 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice [7.4.31](#) *MandatoryVoluntaryEventType*.

**Definition:** Proprietary code to express whether the event is mandatory, mandatory with options or voluntary.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.34</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.35</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.36</a>		Issuer	<Issr>	[1..1]	Text

### 7.4.34 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

**7.4.35 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**7.4.36 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**7.4.37 UnderlyingSecurity <UndrlygScty>****Presence:** [1..1]**Definition:** Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.**Type:** This message item is composed of the following *FinancialInstrumentDescription3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.38</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.4.45</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.4.46</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	

**7.4.38 SecurityIdentification <SctyId>****Presence:** [1..1]**Definition:** Identifies the financial instrument.**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.39</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.4.40</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.4.44</a>		Description	<Desc>	[0..1]	Text

**7.4.39 ISIN <ISIN>****Presence:** [1..1]*This message item is part of choice 7.4.38 SecurityIdentification.***Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

#### 7.4.40 OtherIdentification <OthrId>

**Presence:** [1..1]

*This message item is part of choice 7.4.38 SecurityIdentification.*

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** *This message item is composed of the following AlternateSecurityIdentification3 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.4.41</u>		Identification	<Id>	[1..1]	Text
<u>7.4.42</u>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<u>7.4.43</u>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

#### 7.4.41 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

#### 7.4.42 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.4.40 OtherIdentification.*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

#### 7.4.43 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.4.40 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 7.4.44 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text

**Format:** maxLength: 140  
minLength: 1

#### 7.4.45 PlaceOfListing <PlcOfListg>

**Presence:** [0..1]

**Definition:** Place where the referenced financial instrument is listed.

**Data Type:** MICIdentifier

**Format:** [A-Z0-9]{4,4}

#### 7.4.46 SafekeepingPlace <SfkpgPlc>

**Presence:** [0..1]

**Definition:** Identification of the place of safekeeping.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.47</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.4.48</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.4.52</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

#### 7.4.47 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 7.4.46 **SafekeepingPlace**.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

#### 7.4.48 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 7.4.46 **SafekeepingPlace**.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** This message item is composed of the following *GenericIdentification1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.49</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.50</a>		SchemeName	<SchmeNm>	[0..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.51</a>		Issuer	<Issr>	[0..1]	Text

#### 7.4.49 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 7.4.50 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 7.4.51 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 7.4.52 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.4.46 SafekeepingPlace.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.53</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.4.54</a>		Address	<Adr>	[0..1]	

#### 7.4.53 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 7.4.54 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">7.4.55</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.4.56</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.4.57</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.4.58</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.4.59</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.4.60</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.4.61</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.4.62</a>		Country	<Ctry>	[1..1]	Code

### 7.4.55 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 7.4.56 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.4.57 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.



**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

#### **7.4.58 BuildingNumber <BldgNb>**

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

#### **7.4.59 PostCode <PstCd>**

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

#### **7.4.60 TownName <TwnNm>**

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### **7.4.61 CountrySubDivision <CtrySubDvsn>**

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### **7.4.62 Country <Ctry>**

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

#### **7.4.63 OtherUnderlyingSecurity <OthrUndrlygScty>**

**Presence:** [0..n]

**Definition:** Identification of the secondary underlying financial instrument, ie, the non-principal financial instrument affected by the corporate action event.

**Type:** This message item is composed of the following *FinancialInstrumentDescription3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.64</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.4.71</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.4.72</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	

#### 7.4.64 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identifies the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.65</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.4.66</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.4.70</a>		Description	<Desc>	[0..1]	Text

#### 7.4.65 ISIN <ISIN>

**Presence:** [1..1]

This message item is part of choice [7.4.64 SecurityIdentification](#).

**Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

#### 7.4.66 OtherIdentification <OthrId>

**Presence:** [1..1]

This message item is part of choice [7.4.64 SecurityIdentification](#).

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** This message item is composed of the following *AlternateSecurityIdentification3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.67</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.68</a>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">7.4.69</a>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

### 7.4.67 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.4.68 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.4.66 OtherIdentification.*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 7.4.69 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.4.66 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.70 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text

**Format:** maxLength: 140  
minLength: 1

### 7.4.71 PlaceOfListing <PlcOfListg>

**Presence:** [0..1]

**Definition:** Place where the referenced financial instrument is listed.

**Data Type:** MICIdentifier

**Format:** [A-Z0-9]{4,4}

### 7.4.72 SafekeepingPlace <SfkpgPlc>

**Presence:** [0..1]

**Definition:** Identification of the place of safekeeping.

**Type:** *This message item is composed of one of the following PartyIdentification2Choice element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.73</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.4.74</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.4.78</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 7.4.73 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 7.4.72 SafekeepingPlace.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 7.4.74 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 7.4.72 SafekeepingPlace.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following GenericIdentification1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.4.75</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.4.76</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.4.77</a>		Issuer	<Issr>	[0..1]	Text

### 7.4.75 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.4.76 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 7.4.77 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 7.4.78 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.4.72 SafekeepingPlace.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following NameAndAddress5 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.4.79</u>		Name	<Nm>	[1..1]	Text
<u>7.4.80</u>		Address	<Adr>	[0..1]	

#### 7.4.79 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 7.4.80 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following PostalAddress1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.4.81</u>		AddressType	<AdrTp>	[0..1]	Code
<u>7.4.82</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>7.4.83</u>		StreetName	<StrtNm>	[0..1]	Text
<u>7.4.84</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>7.4.85</u>		PostCode	<PstCd>	[0..1]	Text
<u>7.4.86</u>		TownName	<TwnNm>	[0..1]	Text
<u>7.4.87</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>7.4.88</u>		Country	<Ctry>	[1..1]	Code

### 7.4.81 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 7.4.82 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 7.4.83 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 7.4.84 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

### 7.4.85 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16

minLength: 1

**7.4.86 TownName <TwnNm>****Presence:** [0..1]**Definition:** Name of a built-up area, with defined boundaries, and a local government.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**7.4.87 CountrySubDivision <CtrySubDvsn>****Presence:** [0..1]**Definition:** Identifies a subdivision of a country eg, state, region, county.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**7.4.88 Country <Ctry>****Presence:** [1..1]**Definition:** Nation with its own government.**Data Type:** CountryCode**Format:** [A-Z]{2,2}**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

**7.5 CorporateActionStandingInstructionGeneralInformation1***CorporateActionStandingInstructionGeneralInformation1* is used in message definition*AgentCAStandingInstructionCancellationRequestV01* p.550, message definition*AgentCAStandingInstructionRequestV01* p.543, message definition *AgentCAStandingInstructionStatusAdviceV01* p.558.**Definition:** Provides information about the standing instruction.**Type:** The following *CorporateActionStandingInstructionGeneralInformation1* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.0</a>		StandingInstructionType	<StgInstrTp>	[1..1]	Code
<a href="#">7.5.1</a>		EventType	<EvtTp>	[0..n]	
<a href="#">7.5.2</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.5.3</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.5.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.5.5</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.5.6</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">7.5.7</a>		InstructingPartyIdentification	<InstgPtyId>	[1..1]	
<a href="#">7.5.8</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.9</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.5.10</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.5.11</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.5.12</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.5.13</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.5.14</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.5.15</a>		Address	<Adr>	[0..1]	
<a href="#">7.5.16</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.5.17</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.5.18</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.5.19</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.5.20</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.5.21</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.5.22</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.5.23</a>		Country	<Ctry>	[1..1]	Code
<a href="#">7.5.24</a>		ClientStandingInstructionIdentification	<ClntStgInstrId>	[1..1]	Text
<a href="#">7.5.25</a>		AccountDetails	<AcctDtls>	[0..n]	
<a href="#">7.5.26</a>		SecuritiesAccountIdentification	<SciesAcctId>	[1..1]	Text
<a href="#">7.5.27</a>		IncludedIndicator	<InclInd>	[1..1]	Indicator
<a href="#">7.5.28</a>		UnderlyingSecurity	<UndrlygScty>	[0..1]	
<a href="#">7.5.29</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.5.30</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.5.31</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.5.32</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.5.33</a>	{{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">7.5.34</a>	Or}}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<a href="#">7.5.35</a>		Description	<Desc>	[0..1]	Text
<a href="#">7.5.36</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.5.37</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	
<a href="#">7.5.38</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.5.39</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.5.40</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.5.41</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.5.42</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">7.5.43</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">7.5.44</a>		Name	<Nm>	[1..1]	Text



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.45</a>		Address	<Adr>	[0..1]	
<a href="#">7.5.46</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.5.47</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.5.48</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.5.49</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.5.50</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.5.51</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.5.52</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.5.53</a>		Country	<Ctry>	[1..1]	Code

### 7.5.0 StandingInstructionType <StglInstrTp>

**Presence:** [1..1]

**Definition:** Type of standing instruction.

**Data Type:** Code

One of the following *StandingInstructionType1Code* values must be used:

Code	Name	Definition
CASH	CashDistribution	Standing instruction for a cash distribution.
PAYM	GrossNet	Standing instruction for the payment modality, gross or net.
SECU	SecuritiesDistribution	Standing instruction for a securities distribution.

### 7.5.1 EventType <EvtTp>

**Presence:** [0..n]

**Definition:** Type of corporate action event.

**Type:** This message item is composed of one of the following *CorporateActionEventType2FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.2</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.5.3</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 7.5.2 Code <Cd>

**Presence:** [1..1]

This message item is part of choice [7.5.1 EventType](#).

**Definition:** Event types expressed as a code.

**Data Type:** Code

One of the following *CorporateActionEventType2Code* values must be used:

Code	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain the consent of holders, without a formal general meeting, to a proposal by the issuer or a third party.
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually common shares) at a pre-stated price/ratio.

Code	Name	Definition
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share. The number of circulating shares remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity . Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and results are confirmed to bondholder.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.

Code	Name	Definition
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation" .
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged .
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase . Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg , pari-passu or assimilation. May be scheduled in advance, eg, shares

Code	Name	Definition
		resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.

Code	Name	Definition
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification request for withholding tax reduction or exemption based on the tax status of the holder.

### 7.5.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.5.1 **EventType**.*

**Definition:** Event types expressed as a proprietary code.

**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.5.4</u>		Identification	<Id>	[1..1]	Text
<u>7.5.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.5.6</u>		Issuer	<Issr>	[1..1]	Text

### 7.5.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.5.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.5.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.5.7 InstructingPartyIdentification <InstgPtyId>

**Presence:** [1..1]

**Definition:** Identification of the instructing party, ie, the CSD client.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.8</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">7.5.9</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">7.5.13</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 7.5.8 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 7.5.7 **InstructingPartyIdentification**.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

## 7.5.9 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 7.5.7 **InstructingPartyIdentification**.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** This message item is composed of the following **GenericIdentification1** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.5.10</u>		Identification	<Id>	[1..1]	Text
<u>7.5.11</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.5.12</u>		Issuer	<Issr>	[0..1]	Text

## 7.5.10 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.5.11 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.5.12 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.5.13 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.5.7 **InstructingPartyIdentification**.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** This message item is composed of the following **NameAndAddress5** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.5.14</u>		Name	<Nm>	[1..1]	Text
<u>7.5.15</u>		Address	<Adr>	[0..1]	



### 7.5.14 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

### 7.5.15 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** This message item is composed of the following *PostalAddress1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">7.5.16</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.5.17</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.5.18</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.5.19</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.5.20</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.5.21</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.5.22</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.5.23</a>		Country	<Ctry>	[1..1]	Code

### 7.5.16 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

When this message item is present, one of the following *AddressType2Code* values must be used:

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 7.5.17 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.5.18 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.5.19 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 7.5.20 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 7.5.21 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.5.22 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.5.23 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

**7.5.24 ClientStandingInstructionIdentification <ClntStgInstrId>**

**Presence:** [1..1]

**Definition:** Reference of the standing instruction assigned by the client.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

**7.5.25 AccountDetails <AcctDtls>**

**Presence:** [0..n]

**Definition:** Provides information about the account to which the standing instruction can apply.

**Type:** This message item is composed of the following *IncludedAccount1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.26</a>		SecuritiesAccountIdentification	<SctiesAcctId>	[1..1]	Text
<a href="#">7.5.27</a>		IncludedIndicator	<InclInd>	[1..1]	Indicator

**7.5.26 SecuritiesAccountIdentification <SctiesAcctId>**

**Presence:** [1..1]

**Definition:** Identification of the securities account.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

**7.5.27 IncludedIndicator <InclInd>**

**Presence:** [1..1]

**Definition:** Indicates whether the account is impacted or not by the standing instruction.

Yes = The account is impacted by the standing instruction.

No = The account is not impacted by the standing instruction.

**Data Type:** One of the following *YesNoIndicator* values must be used:

MeaningWhenTrue: Yes

MeaningWhenFalse: No

**7.5.28 UnderlyingSecurity <UndrlygScty>**

**Presence:** [0..1]

**Definition:** Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.

**Type:** This message item is composed of the following *FinancialInstrumentDescription3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.29</a>		SecurityIdentification	<SctyId>	[1..1]	
<a href="#">7.5.36</a>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<a href="#">7.5.37</a>		SafekeepingPlace	<SfkpgPlc>	[0..1]	

## 7.5.29 SecurityIdentification <SctyId>

**Presence:** [1..1]

**Definition:** Identifies the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.30</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">7.5.31</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">7.5.35</a>		Description	<Desc>	[0..1]	Text

## 7.5.30 ISIN <ISIN>

**Presence:** [1..1]

This message item is part of choice *7.5.29 SecurityIdentification*.

**Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

## 7.5.31 OtherIdentification <OthrId>

**Presence:** [1..1]

This message item is part of choice *7.5.29 SecurityIdentification*.

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** This message item is composed of the following *AlternateSecurityIdentification3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.32</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.5.33</a>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">7.5.34</a>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

## 7.5.32 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 7.5.33 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.5.31 OtherIdentification.*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 7.5.34 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 7.5.31 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.5.35 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text

**Format:** maxLength: 140  
minLength: 1

### 7.5.36 PlaceOfListing <PlcOfListg>

**Presence:** [0..1]

**Definition:** Place where the referenced financial instrument is listed.

**Data Type:** MICIdentifier

**Format:** [A-Z0-9]{4,4}

### 7.5.37 SafekeepingPlace <SfkpgPlc>

**Presence:** [0..1]

**Definition:** Identification of the place of safekeeping.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
7.5.38	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
7.5.39	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
7.5.43	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

**7.5.38 BICOrBEI <BICOrBEI>****Presence:** [1..1]*This message item is part of choice 7.5.37 SafekeepingPlace.***Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.**Data Type:** AnyBICIdentifier**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

**7.5.39 ProprietaryIdentification <PrtryId>****Presence:** [1..1]*This message item is part of choice 7.5.37 SafekeepingPlace.***Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**Type:** *This message item is composed of the following **GenericIdentification1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.40</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.5.41</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.5.42</a>		Issuer	<Issr>	[0..1]	Text

**7.5.40 Identification <Id>****Presence:** [1..1]**Definition:** Identification assigned by an institution.**Data Type:** Max35Text**Format:** maxLength: 35  
minLength: 1**7.5.41 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35  
minLength: 1**7.5.42 Issuer <Issr>****Presence:** [0..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.5.43 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 7.5.37 **SafekeepingPlace**.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.44</a>		Name	<Nm>	[1..1]	Text
<a href="#">7.5.45</a>		Address	<Adr>	[0..1]	

### 7.5.44 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 7.5.45 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.5.46</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">7.5.47</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">7.5.48</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">7.5.49</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">7.5.50</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">7.5.51</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">7.5.52</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">7.5.53</a>		Country	<Ctry>	[1..1]	Code

### 7.5.46 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

#### 7.5.47 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

#### 7.5.48 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

#### 7.5.49 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

#### 7.5.50 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

#### 7.5.51 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1



### 7.5.52 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.5.53 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 7.6 ProcessingPosition2FormatChoice

*ProcessingPosition2FormatChoice is used in message definition AgentCANotificationAdviceV01 p.10.*

**Definition:** Choice of formats to express the processing position.

**Type:** One of the following *ProcessingPosition2FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">7.6.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">7.6.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">7.6.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">7.6.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">7.6.4</a>		Issuer	<Issr>	[1..1]	Text

### 7.6.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.6 **ProcessingPosition2FormatChoice**.*

**Definition:** Standard code to specify the processing position.

**Data Type:** Code

*One of the following **ProcessingPosition2Code** values must be used:*

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

Code	Name	Definition
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

### 7.6.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.6 ProcessingPosition2FormatChoice.*

**Definition:** Proprietary code to express the processing position.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.6.2</u>		Identification	<Id>	[1..1]	Text
<u>7.6.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.6.4</u>		Issuer	<Issr>	[1..1]	Text

### 7.6.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.6.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.6.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 7.7 RateAndAmountFormat1Choice

*RateAndAmountFormat1Choice is used in message definition AgentCANotificationAdviceV01 p.14, p.15, p.16, p.16, p.16, p.16, p.16, p.17, p.17, p.17, p.17, p.17, p.17, message definition AgentCANotificationCancellationRequestV01 p.152, p.152, p.154, p.154, p.154, p.154, p.154, p.154, p.155, p.155, p.155, p.155, p.155.*

**Definition:** Choice of format between rate, amount and not specified.

**Type:** One of the following *RateAndAmountFormat1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.7.0</u>	{Or	Rate	<Rate>	[1..1]	Rate
<u>7.7.1</u>	Or	Amount	<Amt>	[1..1]	Amount
<u>7.7.2</u>	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	
<u>7.7.3</u>	{{Or	Code	<Cd>	[1..1]	Code
<u>7.7.4</u>	Or}}	Proprietary	<Prtry>	[1..1]	
<u>7.7.5</u>		Identification	<Id>	[1..1]	Text
<u>7.7.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.7.7</u>		Issuer	<Issr>	[1..1]	Text

### 7.7.0 Rate <Rate>

**Presence:** [1..1]

*This message item is part of choice 7.7 RateAndAmountFormat1Choice.*

**Definition:** The value is expressed as a rate.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10  
totalDigits: 11

### 7.7.1 Amount <Amt>

**Presence:** [1..1]

*This message item is part of choice 7.7 RateAndAmountFormat1Choice.*

**Definition:** The value is expressed as a currency and amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 7.7.2 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

*This message item is part of choice 7.7 RateAndAmountFormat1Choice.*

**Definition:** No value is specified.

**Type:** *This message item is composed of one of the following RateType12FormatChoice element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.7.3</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.7.4</u>	Or}	Proprietary	<Prtry>	[1..1]	

## 7.7.3 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.7.2 NotSpecifiedRate.*

**Definition:** Standard code to specify the type of rate.

**Data Type:** Code

*One of the following RateType12Code values must be used:*

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

## 7.7.4 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.7.2 NotSpecifiedRate.*

**Definition:** Proprietary code to express the type of rate.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.7.5</u>		Identification	<Id>	[1..1]	Text
<u>7.7.6</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.7.7</u>		Issuer	<Issr>	[1..1]	Text

## 7.7.5 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.7.6 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 7.7.7 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 7.8 ShareRanking1FormatChoice

*ShareRanking1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.19, message definition *AgentCANotificationCancellationRequestV01* p.157.

**Definition:** Choice of formats to express the share ranking.

**Type:** One of the following *ShareRanking1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.8.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>7.8.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>7.8.2</u>		Identification	<Id>	[1..1]	Text
<u>7.8.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.8.4</u>		Issuer	<Issr>	[1..1]	Text

### 7.8.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 7.8 ShareRanking1FormatChoice.*

**Definition:** Standard code to specify the share ranking.

**Data Type:** Code

*One of the following **ShareRanking1Code** values must be used:*

Code	Name	Definition
DIVI	Dividend	Payable on the date specified.
PARI	PariPassu	Pari Passu. With equal rights as other shares of the company.

### 7.8.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 7.8 ShareRanking1FormatChoice.*

**Definition:** Proprietary code to express the share ranking.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>7.8.2</u>		Identification	<Id>	[1..1]	Text
<u>7.8.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>7.8.4</u>		Issuer	<Issr>	[1..1]	Text

### 7.8.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 7.8.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 7.8.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 8 Organisation identification

### 8.1 PartyIdentification2Choice

*PartyIdentification2Choice* is used in message definition **AgentCADistributionBreakdownAdviceV01** p.370, message definition **AgentCAElectionAdviceV01** p.296, p.296, p.297, p.297, message definition **AgentCAElectionCancellationRequestV01** p.337, message definition **AgentCAGlobalDistributionAuthorisationRequestV01** p.381, p.382, message definition **AgentCAInformationAdviceV01** p.512, p.513, p.513, p.513, message definition **AgentCAInformationStatusAdviceV01** p.527, p.527, p.528, p.528, message definition **AgentCAMovementCancellationRequestV01** p.447, p.448, p.448, p.448, message definition **AgentCAMovementConfirmationV01** p.480, message definition **AgentCAMovementInstructionV01** p.413, p.414, p.414, p.415, message definition **AgentCAMovementStatusAdviceV01** p.493, message definition **AgentCANotificationAdviceV01** p.15, p.21, p.22, message definition **AgentCANotificationCancellationRequestV01**

p.153, p.159, p.160, message definition *AgentCAStandingInstructionCancellationRequestV01* p.550, message definition *AgentCAStandingInstructionRequestV01* p.543.

**Definition:** Choice of identification of a party.

**Type:** One of the following *PartyIdentification2Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">8.1.0</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">8.1.1</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">8.1.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">8.1.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">8.1.4</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">8.1.5</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">8.1.6</a>		Name	<Nm>	[1..1]	Text
<a href="#">8.1.7</a>		Address	<Adr>	[0..1]	
<a href="#">8.1.8</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">8.1.9</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">8.1.10</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">8.1.11</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">8.1.12</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">8.1.13</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">8.1.14</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">8.1.15</a>		Country	<Ctry>	[1..1]	Code

### 8.1.0 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 8.1 **PartyIdentification2Choice**.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 8.1.1 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 8.1 **PartyIdentification2Choice**.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** *This message item is composed of the following **GenericIdentification1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.1.2</u>		Identification	<Id>	[1..1]	Text
<u>8.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>8.1.4</u>		Issuer	<Issr>	[0..1]	Text

### 8.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.1.4 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.1.5 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 8.1 **PartyIdentification2Choice**.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>8.1.6</u>		Name	<Nm>	[1..1]	Text
<u>8.1.7</u>		Address	<Adr>	[0..1]	

### 8.1.6 Name <Nm>

**Presence:** [1..1]



**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 8.1.7 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** This message item is composed of the following *PostalAddress1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>8.1.8</u>		AddressType	<AdrTp>	[0..1]	Code
<u>8.1.9</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>8.1.10</u>		StreetName	<StrtNm>	[0..1]	Text
<u>8.1.11</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>8.1.12</u>		PostCode	<PstCd>	[0..1]	Text
<u>8.1.13</u>		TownName	<TwnNm>	[0..1]	Text
<u>8.1.14</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>8.1.15</u>		Country	<Ctry>	[1..1]	Code

### 8.1.8 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

When this message item is present, one of the following *AddressType2Code* values must be used:

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 8.1.9 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 8.1.10 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 8.1.11 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 8.1.12 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 8.1.13 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.1.14 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 8.1.15 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 9 Person identification

### 9.1 ContactPerson1

*ContactPerson1* is used in message definition *AgentCAElectionAdviceV01* p.297, message definition *AgentCAElectionAmendmentRequestV01* p.322, message definition *AgentCAInformationAdviceV01* p.513, message definition *AgentCANotificationAdviceV01* p.22, message definition *AgentCAStandingInstructionRequestV01* p.543.

**Definition:** Contains information about the contact responsible for the transaction identified in the message.

**Type:** The following *ContactPerson1* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">9.1.0</a>		ContactPerson	<CtctPrsn>	[1..1]	
<a href="#">9.1.1</a>		Name	<Nm>	[1..1]	Text
<a href="#">9.1.2</a>		NamePrefix	<NmPrfx>	[0..1]	Code
<a href="#">9.1.3</a>		GivenName	<GvnNm>	[0..1]	Text
<a href="#">9.1.4</a>		Role	<Role>	[0..1]	Text
<a href="#">9.1.5</a>		PhoneNumber	<PhneNb>	[0..1]	Text
<a href="#">9.1.6</a>		FaxNumber	<FaxNb>	[0..1]	Text
<a href="#">9.1.7</a>		EmailAddress	<EmailAdr>	[0..1]	Text
<a href="#">9.1.8</a>		InstitutionIdentification	<InstnId>	[0..1]	
<a href="#">9.1.9</a>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<a href="#">9.1.10</a>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<a href="#">9.1.11</a>		Identification	<Id>	[1..1]	Text
<a href="#">9.1.12</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">9.1.13</a>		Issuer	<Issr>	[0..1]	Text
<a href="#">9.1.14</a>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<a href="#">9.1.15</a>		Name	<Nm>	[1..1]	Text
<a href="#">9.1.16</a>		Address	<Adr>	[0..1]	
<a href="#">9.1.17</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">9.1.18</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">9.1.19</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">9.1.20</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">9.1.21</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">9.1.22</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">9.1.23</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">9.1.24</a>		Country	<Ctry>	[1..1]	Code

#### 9.1.0 ContactPerson <CtctPrsn>

**Presence:** [1..1]

**Definition:** Provides additional information regarding the party, eg, the contact unit or person responsible for the transaction identified in the message.

**Type:** This message item is composed of the following *ContactIdentification4* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>9.1.1</u>		Name	<Nm>	[1..1]	Text
<u>9.1.2</u>		NamePrefix	<NmPrfx>	[0..1]	Code
<u>9.1.3</u>		GivenName	<GvnNm>	[0..1]	Text
<u>9.1.4</u>		Role	<Role>	[0..1]	Text
<u>9.1.5</u>		PhoneNumber	<PhneNb>	[0..1]	Text
<u>9.1.6</u>		FaxNumber	<FaxNb>	[0..1]	Text
<u>9.1.7</u>		EmailAddress	<EmailAdr>	[0..1]	Text

### 9.1.1 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 9.1.2 NamePrefix <NmPrfx>

**Presence:** [0..1]

**Definition:** Specifies the terms used to formally address a person, eg, Ms.

**Data Type:** Code

When this message item is present, one of the following *NamePrefix1Code* values must be used:

Code	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

### 9.1.3 GivenName <GvnNm>

**Presence:** [0..1]

**Definition:** First name of a person.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 9.1.4 Role <Role>

**Presence:** [0..1]

**Definition:** Role of the party in the activity.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 9.1.5 PhoneNumber <PhneNb>

**Presence:** [0..1]

**Definition:** Collection of information that identifies a phone number, as defined by telecom services.

**Data Type:** PhoneNumber

**Format:** \+[0-9]{1,3}-[0-9()+\-]{1,30}

### 9.1.6 FaxNumber <FaxNb>

**Presence:** [0..1]

**Definition:** Collection of information that identifies a FAX number, as defined by telecom services.

**Data Type:** PhoneNumber

**Format:** \+[0-9]{1,3}-[0-9()+\-]{1,30}

### 9.1.7 EmailAddress <EmailAdr>

**Presence:** [0..1]

**Definition:** Address for electronic mail (e-mail).

**Data Type:** Max256Text

**Format:** maxLength: 256

minLength: 1

### 9.1.8 InstitutionIdentification <InstnId>

**Presence:** [0..1]

**Definition:** Identification of the institution that the contact person represents.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>9.1.9</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>9.1.10</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>9.1.14</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 9.1.9 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

*This message item is part of choice 9.1.8 InstitutionIdentification.*

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following

components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.  
(Fatal) Error Code: Sw.Stds.D00008

### 9.1.10 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

*This message item is part of choice 9.1.8 **InstitutionIdentification**.*

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** This message item is composed of the following **GenericIdentification1** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>9.1.11</u>		Identification	<Id>	[1..1]	Text
<u>9.1.12</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>9.1.13</u>		Issuer	<Issr>	[0..1]	Text

### 9.1.11 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 9.1.12 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 9.1.13 Issuer <Issr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 9.1.14 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 9.1.8 **InstitutionIdentification**.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** This message item is composed of the following **NameAndAddress5** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">9.1.15</a>		Name	<Nm>	[1..1]	Text
<a href="#">9.1.16</a>		Address	<Adr>	[0..1]	

### 9.1.15 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

### 9.1.16 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** This message item is composed of the following *PostalAddress1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">9.1.17</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">9.1.18</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">9.1.19</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">9.1.20</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">9.1.21</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">9.1.22</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">9.1.23</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">9.1.24</a>		Country	<Ctry>	[1..1]	Code

### 9.1.17 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

When this message item is present, one of the following *AddressType2Code* values must be used:

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 9.1.18 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 9.1.19 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 9.1.20 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 9.1.21 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 9.1.22 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 9.1.23 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1



### 9.1.24 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 10 Postal address

### 10.1 NameAndAddress5

*NameAndAddress5* is used in message definition *AgentCANotificationAdviceV01* p.21, message definition *AgentCANotificationCancellationRequestV01* p.159.

**Definition:** Information that locates and identifies a party.

**Type:** The following *NameAndAddress5* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>10.1.0</u>		Name	<Nm>	[1..1]	Text
<u>10.1.1</u>		Address	<Adr>	[0..1]	
<u>10.1.2</u>		AddressType	<AdrTp>	[0..1]	Code
<u>10.1.3</u>		AddressLine	<AdrLine>	[0..5]	Text
<u>10.1.4</u>		StreetName	<StrtNm>	[0..1]	Text
<u>10.1.5</u>		BuildingNumber	<BldgNb>	[0..1]	Text
<u>10.1.6</u>		PostCode	<PstCd>	[0..1]	Text
<u>10.1.7</u>		TownName	<TwnNm>	[0..1]	Text
<u>10.1.8</u>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<u>10.1.9</u>		Country	<Ctry>	[1..1]	Code

#### 10.1.0 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350  
minLength: 1

#### 10.1.1 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** This message item is composed of the following *PostalAddress1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">10.1.2</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">10.1.3</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">10.1.4</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">10.1.5</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">10.1.6</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">10.1.7</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">10.1.8</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">10.1.9</a>		Country	<Ctry>	[1..1]	Code

### 10.1.2 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 10.1.3 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 10.1.4 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 10.1.5 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 10.1.6 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 10.1.7 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 10.1.8 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 10.1.9 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 11 Price value

### 11.1 AmountPriceType1FormatChoice

*AmountPriceType1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.18, p.18, message definition *AgentCANotificationCancellationRequestV01* p.155, p.156.

**Definition:** Choice of formats to express the amount price type.

**Type:** One of the following *AmountPriceType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.1.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.1.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>11.1.2</u>		Identification	<Id>	[1..1]	Text
<u>11.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.1.4</u>		Issuer	<Issr>	[1..1]	Text

### 11.1.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.1 AmountPriceType1FormatChoice.*

**Definition:** Standard code to specify the amount price type.

**Data Type:** Code

*One of the following AmountPriceType1Code values must be used:*

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

### 11.1.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.1 AmountPriceType1FormatChoice.*

**Definition:** Proprietary code to express the amount price type.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.1.2</u>		Identification	<Id>	[1..1]	Text
<u>11.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.1.4</u>		Issuer	<Issr>	[1..1]	Text

### 11.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 11.1.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 11.2 PriceFormat1Choice

*PriceFormat1Choice* is used in message definition *AgentCANotificationAdviceV01* p.18, message definition *AgentCANotificationCancellationRequestV01* p.156.

**Definition:** Choice of formats to express a price.

**Type:** One of the following *PriceFormat1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.2.0</u>	{Or	Amount	<Amt>	[1..1]	
<u>11.2.1</u>		AmountPriceType	<AmtPricTp>	[1..1]	
<u>11.2.2</u>	{{Or	Code	<Cd>	[1..1]	Code
<u>11.2.3</u>	Or}}	Proprietary	<Prtry>	[1..1]	
<u>11.2.4</u>		Identification	<Id>	[1..1]	Text
<u>11.2.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.2.6</u>		Issuer	<Issr>	[1..1]	Text
<u>11.2.7</u>		PriceValue	<PricVal>	[1..1]	Amount
<u>11.2.8</u>	Or	Rate	<Rate>	[1..1]	Rate
<u>11.2.9</u>	Or	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	[1..1]	
<u>11.2.10</u>		AmountPriceType	<AmtPricTp>	[1..1]	
<u>11.2.11</u>	{{Or	Code	<Cd>	[1..1]	Code
<u>11.2.12</u>	Or}}	Proprietary	<Prtry>	[1..1]	
<u>11.2.13</u>		Identification	<Id>	[1..1]	Text
<u>11.2.14</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.2.15</u>		Issuer	<Issr>	[1..1]	Text
<u>11.2.16</u>		PriceValue	<PricVal>	[1..1]	Amount
<u>11.2.17</u>		FinancialInstrumentQuantity	<FinInstrmQty>	[1..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.2.18</a>	{Or	Unit	<Unit>	[1..1]	Quantity
<a href="#">11.2.19</a>		FaceAmount	<FaceAmt>	[1..1]	Amount
<a href="#">11.2.20</a>	Or	AmountPricePerAmount	<AmtPricPerAmt>	[1..1]	
<a href="#">11.2.21</a>		AmountPriceType	<AmtPricTp>	[1..1]	
<a href="#">11.2.22</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.2.23</a>		Proprietary	<Prtry>	[1..1]	
<a href="#">11.2.24</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.2.25</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.2.26</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">11.2.27</a>		PriceValue	<PricVal>	[1..1]	Amount
<a href="#">11.2.28</a>		Amount	<Amt>	[1..1]	Amount
<a href="#">11.2.29</a>		NotSpecified	<NotSpefd>	[1..1]	
<a href="#">11.2.30</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.2.31</a>		Proprietary	<Prtry>	[1..1]	
<a href="#">11.2.32</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.2.33</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.2.34</a>		Issuer	<Issr>	[1..1]	Text

## 11.2.0 Amount <Amt>

**Presence:** [1..1]

*This message item is part of choice [11.2 PriceFormat1Choice](#).*

**Definition:** Price expressed as a currency and amount.

**Type:** This message item is composed of the following *AmountPrice1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.2.1</a>		AmountPriceType	<AmtPricTp>	[1..1]	
<a href="#">11.2.7</a>		PriceValue	<PricVal>	[1..1]	Amount

## 11.2.1 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** This message item is composed of one of the following *AmountPriceType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.2.2</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.2.3</a>		Proprietary	<Prtry>	[1..1]	

### 11.2.2 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.2.1 AmountPriceType.*

**Definition:** Standard code to specify the amount price type.

**Data Type:** Code

*One of the following AmountPriceType1Code values must be used:*

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

### 11.2.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.2.1 AmountPriceType.*

**Definition:** Proprietary code to express the amount price type.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>11.2.4</u>		Identification	<Id>	[1..1]	Text
<u>11.2.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.2.6</u>		Issuer	<Issr>	[1..1]	Text

### 11.2.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.2.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.2.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.2.7 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 11.2.8 Rate <Rate>

**Presence:** [1..1]

*This message item is part of choice 11.2 **PriceFormat1Choice**.*

**Definition:** Price expressed as a rate, ie, percentage.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10  
totalDigits: 11

### 11.2.9 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

**Presence:** [1..1]

*This message item is part of choice 11.2 **PriceFormat1Choice**.*

**Definition:** Price expressed as an amount per a quantity of financial instruments.

**Type:** *This message item is composed of the following **AmountPricePerFinancialInstrumentQuantity1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.2.10</u>		AmountPriceType	<AmtPricTp>	[1..1]	
<u>11.2.16</u>		PriceValue	<PricVal>	[1..1]	Amount
<u>11.2.17</u>		FinancialInstrumentQuantity	<FinInstrmQty>	[1..1]	

### 11.2.10 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** *This message item is composed of one of the following **AmountPriceType1FormatChoice** element(s):*



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
11.2.11	{Or	Code	<Cd>	[1..1]	Code
11.2.12	Or}	Proprietary	<Prtry>	[1..1]	

### 11.2.11 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.2.10 AmountPriceType.*

**Definition:** Standard code to specify the amount price type.

**Data Type:** Code

*One of the following AmountPriceType1Code values must be used:*

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

### 11.2.12 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.2.10 AmountPriceType.*

**Definition:** Proprietary code to express the amount price type.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
11.2.13		Identification	<Id>	[1..1]	Text
11.2.14		SchemeName	<SchmeNm>	[0..1]	Text
11.2.15		Issuer	<Issr>	[1..1]	Text

### 11.2.13 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.2.14 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 11.2.15 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 11.2.16 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 11.2.17 FinancialInstrumentQuantity <FinInstrmQty>

**Presence:** [1..1]

**Definition:** Quantity of financial instrument.

**Type:** This message item is composed of one of the following **UnitOrFaceAmount1Choice** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.2.18</u>	{Or	Unit	<Unit>	[1..1]	Quantity
<u>11.2.19</u>	Or}	FaceAmount	<FaceAmt>	[1..1]	Amount

### 11.2.18 Unit <Unit>

**Presence:** [1..1]

*This message item is part of choice **11.2.17 FinancialInstrumentQuantity**.*

**Definition:** Quantity expressed as a number, eg, a number of shares.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17

totalDigits: 18

**11.2.19 FaceAmount <FaceAmt>****Presence:** [1..1]*This message item is part of choice 11.2.17 **FinancialInstrumentQuantity**.***Definition:** Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.**Data Type:** ActiveCurrencyAndAmount*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.***Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**11.2.20 AmountPricePerAmount <AmtPricPerAmt>****Presence:** [1..1]*This message item is part of choice 11.2 **PriceFormat1Choice**.***Definition:** Price expressed as an amount per another amount.**Type:** *This message item is composed of the following **AmountPricePerAmount1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.2.21</a>		AmountPriceType	<AmtPricTp>	[1..1]	
<a href="#">11.2.27</a>		PriceValue	<PricVal>	[1..1]	Amount
<a href="#">11.2.28</a>		Amount	<Amt>	[1..1]	Amount

**11.2.21 AmountPriceType <AmtPricTp>****Presence:** [1..1]**Definition:** Type of amount price.**Type:** *This message item is composed of one of the following **AmountPriceType1FormatChoice** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.2.22</a>	{Or	Code	<Cd>	[1..1]	Code

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">11.2.23</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 11.2.22 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.2.21 **AmountPriceType**.*

**Definition:** Standard code to specify the amount price type.

**Data Type:** Code

*One of the following **AmountPriceType1Code** values must be used:*

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

### 11.2.23 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.2.21 **AmountPriceType**.*

**Definition:** Proprietary code to express the amount price type.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">11.2.24</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.2.25</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.2.26</a>		Issuer	<Issr>	[1..1]	Text

### 11.2.24 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.2.25 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.2.26 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 11.2.27 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 11.2.28 Amount <Amt>

**Presence:** [1..1]

**Definition:** The amount.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 11.2.29 NotSpecified <NotSpcfd>

**Presence:** [1..1]

*This message item is part of choice 11.2 PriceFormat1Choice.*

**Definition:** The value of the price is not specified.

**Type:** *This message item is composed of one of the following PriceValueType6FormatChoice element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.2.30</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.2.31</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 11.2.30 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.2.29 NotSpecified.*

**Definition:** Standard code to specify the value of a price.

**Data Type:** Code

*One of the following PriceValueType6Code values must be used:*

Code	Name	Definition
OPEN	OpenDated	Price has not been established.
TBSP	ToBeSpecified	Price to be specified by account owner.
UKWN	Unkown	Price is unknown by the Sender.
UNSP	Unspecified	Price is unspecified.

### 11.2.31 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.2.29 NotSpecified.*

**Definition:** Proprietary code to express the value of a price.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.2.32</u>		Identification	<Id>	[1..1]	Text
<u>11.2.33</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.2.34</u>		Issuer	<Issr>	[1..1]	Text

### 11.2.32 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.2.33 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 11.2.34 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 11.3 PriceFormat2Choice

*PriceFormat2Choice* is used in message definition *AgentCANotificationAdviceV01* p.18, p.18, p.18, p.18, p.19, p.19, message definition *AgentCANotificationCancellationRequestV01* p.155, p.156, p.156, p.156, p.157, p.157.

**Definition:** Choice of formats to express a price.

**Type:** One of the following *PriceFormat2Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.3.0</a>	{Or	Amount	<Amt>	[1..1]	
<a href="#">11.3.1</a>		AmountPriceType	<AmtPricTp>	[1..1]	
<a href="#">11.3.2</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.3.3</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">11.3.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.3.5</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.3.6</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">11.3.7</a>		PriceValue	<PricVal>	[1..1]	Amount
<a href="#">11.3.8</a>	Or	Rate	<Rate>	[1..1]	
<a href="#">11.3.9</a>		RateType	<RateTp>	[1..1]	
<a href="#">11.3.10</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.3.11</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">11.3.12</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.3.13</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.3.14</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">11.3.15</a>		Rate	<Rate>	[1..1]	Rate
<a href="#">11.3.16</a>	Or}	NotSpecified	<NotSpdfd>	[1..1]	
<a href="#">11.3.17</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.3.18</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">11.3.19</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.3.20</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.3.21</a>		Issuer	<Issr>	[1..1]	Text

**11.3.0 Amount <Amt>****Presence:** [1..1]*This message item is part of choice 11.3 PriceFormat2Choice.***Definition:** Price expressed as a currency and amount.**Type:** *This message item is composed of the following AmountPrice1 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.3.1</u>		AmountPriceType	<AmtPricTp>	[1..1]	
<u>11.3.7</u>		PriceValue	<PricVal>	[1..1]	Amount

**11.3.1 AmountPriceType <AmtPricTp>****Presence:** [1..1]**Definition:** Type of amount price.**Type:** *This message item is composed of one of the following AmountPriceType1FormatChoice element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.3.2</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.3.3</u>	Or}	Proprietary	<Prtry>	[1..1]	

**11.3.2 Code <Cd>****Presence:** [1..1]*This message item is part of choice 11.3.1 AmountPriceType.***Definition:** Standard code to specify the amount price type.**Data Type:** Code*One of the following AmountPriceType1Code values must be used:*

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

**11.3.3 Proprietary <Prtry>****Presence:** [1..1]*This message item is part of choice 11.3.1 AmountPriceType.***Definition:** Proprietary code to express the amount price type.**Type:** *This message item is composed of the following GenericIdentification13 element(s):*



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.3.4</u>		Identification	<Id>	[1..1]	Text
<u>11.3.5</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.3.6</u>		Issuer	<Issr>	[1..1]	Text

### 11.3.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.3.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.3.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.3.7 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**11.3.8 Rate <Rate>****Presence:** [1..1]*This message item is part of choice 11.3 **PriceFormat2Choice**.***Definition:** Price expressed as a rate, ie, percentage.**Type:** This message item is composed of the following **PriceRate1** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.3.9</u>		RateType	<RateTp>	[1..1]	
<u>11.3.15</u>		Rate	<Rate>	[1..1]	Rate

**11.3.9 RateType <RateTp>****Presence:** [1..1]**Definition:** Type of rate, eg, yield.**Type:** This message item is composed of one of the following **PriceRateType3FormatChoice** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.3.10</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.3.11</u>	Or}	Proprietary	<Prtry>	[1..1]	

**11.3.10 Code <Cd>****Presence:** [1..1]*This message item is part of choice 11.3.9 **RateType**.***Definition:** Standard code to specify the type of price rate.**Data Type:** Code*One of the following **PriceRateType3Code** values must be used:*

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, eg, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, eg, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

**11.3.11 Proprietary <Prtry>****Presence:** [1..1]*This message item is part of choice 11.3.9 **RateType**.***Definition:** Proprietary code to express the type of price rate.**Type:** This message item is composed of the following **GenericIdentification13** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.3.12</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.3.13</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.3.14</a>		Issuer	<Issr>	[1..1]	Text

### 11.3.12 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.3.13 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.3.14 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.3.15 Rate <Rate>

**Presence:** [1..1]

**Definition:** Price expressed as a rate, ie, percentage.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10  
totalDigits: 11

### 11.3.16 NotSpecified <NotSpcfd>

**Presence:** [1..1]

*This message item is part of choice [11.3 PriceFormat2Choice](#).*

**Definition:** The value of the price is not specified.

**Type:** *This message item is composed of one of the following [PriceValueType5FormatChoice](#) element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.3.17</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.3.18</a>	Or}	Proprietary	<Prtry>	[1..1]	

**11.3.17 Code <Cd>****Presence:** [1..1]*This message item is part of choice 11.3.16 NotSpecified.***Definition:** Standard code to specify the value of a price.**Data Type:** Code*One of the following **PriceValueType5Code** values must be used:*

Code	Name	Definition
OPEN	OpenDated	Price has not been established.
UKWN	Unkown	Price is unknown by the Sender.

**11.3.18 Proprietary <Prtry>****Presence:** [1..1]*This message item is part of choice 11.3.16 NotSpecified.***Definition:** Proprietary code to express the value of a price.**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.3.19</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.3.20</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.3.21</a>		Issuer	<Issr>	[1..1]	Text

**11.3.19 Identification <Id>****Presence:** [1..1]**Definition:** Identification assigned by an institution.**Data Type:** Max4AlphaNumericText**Format:** [a-zA-Z0-9]{1,4}**11.3.20 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35  
minLength: 1**11.3.21 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35  
minLength: 1

## 11.4 PriceFormat3Choice

*PriceFormat3Choice* is used in message definition *AgentCANotificationAdviceV01* p.14, p.14, message definition *AgentCANotificationCancellationRequestV01* p.152, p.152.

**Definition:** Choice of formats to express a price.

**Type:** One of the following *PriceFormat3Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.4.0</a>	{Or	Amount	<Amt>	[1..1]	
<a href="#">11.4.1</a>		AmountPriceType	<AmtPricTp>	[1..1]	
<a href="#">11.4.2</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.4.3</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">11.4.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.4.5</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.4.6</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">11.4.7</a>		PriceValue	<PricVal>	[1..1]	Amount
<a href="#">11.4.8</a>	Or}	Rate	<Rate>	[1..1]	
<a href="#">11.4.9</a>		RateType	<RateTp>	[1..1]	
<a href="#">11.4.10</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">11.4.11</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">11.4.12</a>		Identification	<Id>	[1..1]	Text
<a href="#">11.4.13</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">11.4.14</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">11.4.15</a>		Rate	<Rate>	[1..1]	Rate

### 11.4.0 Amount <Amt>

**Presence:** [1..1]

This message item is part of choice *11.4 PriceFormat3Choice*.

**Definition:** Price expressed as a currency and amount.

**Type:** This message item is composed of the following *AmountPrice1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">11.4.1</a>		AmountPriceType	<AmtPricTp>	[1..1]	
<a href="#">11.4.7</a>		PriceValue	<PricVal>	[1..1]	Amount

### 11.4.1 AmountPriceType <AmtPricTp>

**Presence:** [1..1]

**Definition:** Type of amount price.

**Type:** This message item is composed of one of the following *AmountPriceType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
11.4.2	{Or	Code	<Cd>	[1..1]	Code
11.4.3	Or}	Proprietary	<Prtry>	[1..1]	

### 11.4.2 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.4.1 AmountPriceType.*

**Definition:** Standard code to specify the amount price type.

**Data Type:** Code

*One of the following AmountPriceType1Code values must be used:*

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

### 11.4.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.4.1 AmountPriceType.*

**Definition:** Proprietary code to express the amount price type.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
11.4.4		Identification	<Id>	[1..1]	Text
11.4.5		SchemeName	<SchmeNm>	[0..1]	Text
11.4.6		Issuer	<Issr>	[1..1]	Text

### 11.4.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.4.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### 11.4.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### 11.4.7 PriceValue <PricVal>

**Presence:** [1..1]

**Definition:** Value of the price.

**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

#### 11.4.8 Rate <Rate>

**Presence:** [1..1]

*This message item is part of choice **11.4 PriceFormat3Choice**.*

**Definition:** Price expressed as a rate, ie, percentage.

**Type:** *This message item is composed of the following **PriceRate1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.4.9</u>		RateType	<RateTp>	[1..1]	
<u>11.4.15</u>		Rate	<Rate>	[1..1]	Rate

#### 11.4.9 RateType <RateTp>

**Presence:** [1..1]

**Definition:** Type of rate, eg, yield.

**Type:** *This message item is composed of one of the following **PriceRateType3FormatChoice** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
11.4.10	{Or	Code	<Cd>	[1..1]	Code
11.4.11	Or}	Proprietary	<Prtry>	[1..1]	

### 11.4.10 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.4.9 RateType.*

**Definition:** Standard code to specify the type of price rate.

**Data Type:** Code

*One of the following **PriceRateType3Code** values must be used:*

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, eg, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, eg, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

### 11.4.11 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.4.9 RateType.*

**Definition:** Proprietary code to express the type of price rate.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
11.4.12		Identification	<Id>	[1..1]	Text
11.4.13		SchemeName	<SchmeNm>	[0..1]	Text
11.4.14		Issuer	<Issr>	[1..1]	Text

### 11.4.12 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.4.13 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.



**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### 11.4.14 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

#### 11.4.15 Rate <Rate>

**Presence:** [1..1]

**Definition:** Price expressed as a rate, ie, percentage.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10

totalDigits: 11

### 11.5 PriceRate1

*PriceRate1* is used in message definition *AgentCANotificationAdviceV01* p.18, message definition *AgentCANotificationCancellationRequestV01* p.155.

**Definition:** Specifies a price expressed as a rate.

**Type:** The following *PriceRate1* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.5.0</u>		RateType	<RateTp>	[1..1]	
<u>11.5.1</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.5.2</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>11.5.3</u>		Identification	<Id>	[1..1]	Text
<u>11.5.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.5.5</u>		Issuer	<Issr>	[1..1]	Text
<u>11.5.6</u>		Rate	<Rate>	[1..1]	Rate

#### 11.5.0 RateType <RateTp>

**Presence:** [1..1]

**Definition:** Type of rate, eg, yield.

**Type:** This message item is composed of one of the following *PriceRateType3FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.5.1</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.5.2</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 11.5.1 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.5.0 **RateType**.*

**Definition:** Standard code to specify the type of price rate.

**Data Type:** Code

*One of the following **PriceRateType3Code** values must be used:*

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, eg, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, eg, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

### 11.5.2 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.5.0 **RateType**.*

**Definition:** Proprietary code to express the type of price rate.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.5.3</u>		Identification	<Id>	[1..1]	Text
<u>11.5.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.5.5</u>		Issuer	<Issr>	[1..1]	Text

### 11.5.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.5.4 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

**11.5.5 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**11.5.6 Rate <Rate>****Presence:** [1..1]**Definition:** Price expressed as a rate, ie, percentage.**Data Type:** PercentageRate**Format:** fractionDigits: 10

totalDigits: 11

**11.6 PriceValue1**

*PriceValue1 is used in message definition **AgentCAMovementCancellationRequestV01** p.449, p.449, message definition **AgentCAMovementInstructionV01** p.415, p.415.*

**Definition:** Value given to a price.**Type:** The following **PriceValue1** element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>11.6.0</u>		Amount	<Amt>	[1..1]	Amount

**11.6.0 Amount <Amt>****Presence:** [1..1]**Definition:** Price expressed as a currency and value.**Data Type:** ActiveCurrencyAnd13DecimalAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAnd13DecimalAmount

fractionDigits: 13

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 11.7 PriceValueType5FormatChoice

*PriceValueType5FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.18, message definition *AgentCANotificationCancellationRequestV01* p.155.

**Definition:** Choice of formats to express the value of a price.

**Type:** One of the following *PriceValueType5FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>11.7.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>11.7.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>11.7.2</u>		Identification	<Id>	[1..1]	Text
<u>11.7.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.7.4</u>		Issuer	<Issr>	[1..1]	Text

### 11.7.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 11.7 PriceValueType5FormatChoice.*

**Definition:** Standard code to specify the value of a price.

**Data Type:** Code

*One of the following PriceValueType5Code values must be used:*

Code	Name	Definition
OPEN	OpenDated	Price has not been established.
UKWN	Unkown	Price is unknown by the Sender.

### 11.7.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 11.7 PriceValueType5FormatChoice.*

**Definition:** Proprietary code to express the value of a price.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>11.7.2</u>		Identification	<Id>	[1..1]	Text
<u>11.7.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>11.7.4</u>		Issuer	<Issr>	[1..1]	Text

### 11.7.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 11.7.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 11.7.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 12 Rate

### 12.1 GrossDividendRate1Choice

*GrossDividendRate1Choice* is used in message definition *AgentCANotificationAdviceV01* p.17, message definition *AgentCANotificationCancellationRequestV01* p.155.

**Definition:** Choice of format to express a gross dividend.

**Type:** One of the following *GrossDividendRate1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.1.0</a>	{Or	NotSpecifiedRate	<NotSpefdRate>	[1..1]	
<a href="#">12.1.1</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.1.2</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">12.1.3</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.1.4</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.1.5</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">12.1.6</a>	Or	Amount	<Amt>	[1..1]	Amount
<a href="#">12.1.7</a>	Or}	RateTypeAmount	<RateTpAmt>	[1..1]	
<a href="#">12.1.8</a>		RateType	<RateTp>	[1..1]	
<a href="#">12.1.9</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.1.10</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">12.1.11</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.1.12</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.1.13</a>		Issuer	<Issr>	[1..1]	Text
<a href="#">12.1.14</a>		Amount	<Amt>	[1..1]	Amount

### 12.1.0 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

*This message item is part of choice 12.1 GrossDividendRate1Choice.*

**Definition:** The value of the rate is not specified, eg, the rate is unknown.

**Type:** *This message item is composed of one of the following RateValueType2FormatChoice element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.1.1</u>	{Or	Code	<Cd>	[1..1]	Code
<u>12.1.2</u>	Or}	Proprietary	<Prtry>	[1..1]	

#### 12.1.1 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 12.1.0 NotSpecifiedRate.*

**Definition:** Standard code to specify the value of a rate.

**Data Type:** Code

*One of the following RateValueType2Code values must be used:*

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

#### 12.1.2 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 12.1.0 NotSpecifiedRate.*

**Definition:** Proprietary code to express the value of a rate.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.1.3</u>		Identification	<Id>	[1..1]	Text
<u>12.1.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>12.1.5</u>		Issuer	<Issr>	[1..1]	Text

#### 12.1.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 12.1.4 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 12.1.5 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 12.1.6 Amount <Amt>

**Presence:** [1..1]

*This message item is part of choice 12.1 GrossDividendRate1Choice.*

**Definition:** Value expressed as an amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 12.1.7 RateTypeAmount <RateTpAmt>

**Presence:** [1..1]

*This message item is part of choice 12.1 GrossDividendRate1Choice.*

**Definition:** Value is expressed as an amount related to an underlying securities, eg, underlying security for which an interest is paid.

**Type:** *This message item is composed of the following **GrossDividendRate2** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.1.8</u>		RateType	<RateTp>	[1..1]	
<u>12.1.14</u>		Amount	<Amt>	[1..1]	Amount

### 12.1.8 RateType <RateTp>

**Presence:** [1..1]

**Definition:** Type of underlying securities to which the rate is related, eg, underlying security for which an interest is paid.

**Type:** This message item is composed of one of the following *GrossDividendRateType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.1.9</u>	{Or	Code	<Cd>	[1..1]	Code
<u>12.1.10</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 12.1.9 Code <Cd>

**Presence:** [1..1]

This message item is part of choice *12.1.8 RateType*.

**Definition:** Standard code to specify the type of gross dividend rate.

**Data Type:** Code

One of the following *GrossDividendRateType1Code* values must be used:

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate



Code	Name	Definition
		doesn't include tax credit and is subject to withholding tax.

### 12.1.10 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 12.1.8 **RateType**.*

**Definition:** Proprietary code to express the type of gross dividend rate.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.1.11</u>		Identification	<Id>	[1..1]	Text
<u>12.1.12</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>12.1.13</u>		Issuer	<Issr>	[1..1]	Text

### 12.1.11 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 12.1.12 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.1.13 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.1.14 Amount <Amt>

**Presence:** [1..1]

**Definition:** Value expressed as an amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18

### ActiveCurrencyCode

[A-Z]{3,3}

### Rule(s): ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 12.2 NetDividendRate1Choice

*NetDividendRate1Choice* is used in message definition *AgentCANotificationAdviceV01* p.17, message definition *AgentCANotificationCancellationRequestV01* p.155.

**Definition:** Choice of format to express a net dividend.

**Type:** One of the following *NetDividendRate1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.2.0</u>	{Or	NotSpecifiedRate	<NotSpcdfRate>	[1..1]	
<u>12.2.1</u>	{{Or	Code	<Cd>	[1..1]	Code
<u>12.2.2</u>	Or}}	Proprietary	<Prtry>	[1..1]	
<u>12.2.3</u>		Identification	<Id>	[1..1]	Text
<u>12.2.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>12.2.5</u>		Issuer	<Issr>	[1..1]	Text
<u>12.2.6</u>	Or	Amount	<Amt>	[1..1]	Amount
<u>12.2.7</u>	Or}	RateTypeAmount	<RateTpAmt>	[1..1]	
<u>12.2.8</u>		RateType	<RateTp>	[1..1]	
<u>12.2.9</u>	{{Or	Code	<Cd>	[1..1]	Code
<u>12.2.10</u>	Or}}	Proprietary	<Prtry>	[1..1]	
<u>12.2.11</u>		Identification	<Id>	[1..1]	Text
<u>12.2.12</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>12.2.13</u>		Issuer	<Issr>	[1..1]	Text
<u>12.2.14</u>		Amount	<Amt>	[1..1]	Amount

### 12.2.0 NotSpecifiedRate <NotSpcdfRate>

**Presence:** [1..1]

*This message item is part of choice 12.2 NetDividendRate1Choice.*

**Definition:** The value of the rate is not specified, eg, the rate is unknown.

**Type:** This message item is composed of one of the following *RateValueType6FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.2.1</u>	{Or	Code	<Cd>	[1..1]	Code
<u>12.2.2</u>	Or}	Proprietary	<Prtry>	[1..1]	

### 12.2.1 Code <Cd>

**Presence:** [1..1]

This message item is part of choice *12.2.0 NotSpecifiedRate*.

**Definition:** Standard code to specify the value of a rate.

**Data Type:** Code

One of the following *RateValueType6Code* values must be used:

Code	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

### 12.2.2 Proprietary <Prtry>

**Presence:** [1..1]

This message item is part of choice *12.2.0 NotSpecifiedRate*.

**Definition:** Proprietary code to express the value of a rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.2.3</u>		Identification	<Id>	[1..1]	Text
<u>12.2.4</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>12.2.5</u>		Issuer	<Issr>	[1..1]	Text

### 12.2.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 12.2.4 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.2.5 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 12.2.6 Amount <Amt>

**Presence:** [1..1]

*This message item is part of choice 12.2 NetDividendRate1Choice.*

**Definition:** Value expressed as an amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 12.2.7 RateTypeAmount <RateTpAmt>

**Presence:** [1..1]

*This message item is part of choice 12.2 NetDividendRate1Choice.*

**Definition:** Value is expressed as an amount related to an underlying securities, eg, underlying security for which an interest is paid.

**Type:** *This message item is composed of the following **NetDividendRate2** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.2.8</u>		RateType	<RateTp>	[1..1]	
<u>12.2.14</u>		Amount	<Amt>	[1..1]	Amount

## 12.2.8 RateType <RateTp>

**Presence:** [1..1]

**Definition:** Type of underlying securities to which the rate is related, eg, underlying security for which an interest is paid.

**Type:** This message item is composed of one of the following *NetDividendRateType1FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
12.2.9	{Or	Code	<Cd>	[1..1]	Code
12.2.10	Or}	Proprietary	<Prtry>	[1..1]	

## 12.2.9 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 12.2.8 **RateType**.*

**Definition:** Standard code to specify the type of net dividend rate.

**Data Type:** Code

*One of the following **NetDividendRateType1Code** values must be used:*

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate doesn't include tax credit and is subject to withholding tax.

## 12.2.10 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 12.2.8 **RateType**.*

**Definition:** Proprietary code to express the type of net dividend rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.2.11</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.2.12</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.2.13</a>		Issuer	<Issr>	[1..1]	Text

### 12.2.11 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 12.2.12 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.2.13 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.2.14 Amount <Amt>

**Presence:** [1..1]

**Definition:** Value expressed as an amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 12.3 QuantityToQuantityRatio1

*QuantityToQuantityRatio1* is used in message definition *AgentCANotificationAdviceV01* p.19, p.19, message definition *AgentCANotificationCancellationRequestV01* p.157, p.157.

**Definition:** Ratio expressed as a quotient of quantities.

**Type:** The following *QuantityToQuantityRatio1* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.3.0</a>		Quantity1	<Qty1>	[1..1]	Quantity
<a href="#">12.3.1</a>		Quantity2	<Qty2>	[1..1]	Quantity

### 12.3.0 Quantity1 <Qty1>

**Presence:** [1..1]

**Definition:** Numerator of the quotient of quantities.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 12.3.1 Quantity2 <Qty2>

**Presence:** [1..1]

**Definition:** Denominator of the quotient of quantities.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 12.4 RateFormat1Choice

*RateFormat1Choice* is used in message definition *AgentCANotificationAdviceV01* p.14, p.14, p.14, p.14, p.16, p.16, p.16, p.16, p.16, p.17, p.17, p.17, p.17, message definition *AgentCANotificationCancellationRequestV01* p.152, p.152, p.152, p.152, p.154, p.154, p.154, p.154, p.154, p.154, p.155, p.155, p.155, p.155.

**Definition:** Choice of format to expressed a rate.

**Type:** One of the following *RateFormat1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.4.0</a>	{Or	Rate	<Rate>	[1..1]	Rate
<a href="#">12.4.1</a>	Or}	NotSpecifiedRate	<NotSpefdRate>	[1..1]	
<a href="#">12.4.2</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.4.3</a>	Or}}	Proprietary	<Prtry>	[1..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.4.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.4.5</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.4.6</a>		Issuer	<Issr>	[1..1]	Text

### 12.4.0 Rate <Rate>

**Presence:** [1..1]

*This message item is part of choice [12.4 RateFormat1Choice](#).*

**Definition:** Value is expressed as a rate.

**Data Type:** PercentageRate

**Format:** fractionDigits: 10  
totalDigits: 11

### 12.4.1 NotSpecifiedRate <NotSpcfdRate>

**Presence:** [1..1]

*This message item is part of choice [12.4 RateFormat1Choice](#).*

**Definition:** Value is not specified.

**Type:** *This message item is composed of one of the following [RateType12FormatChoice](#) element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.4.2</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.4.3</a>	Or}	Proprietary	<Prtry>	[1..1]	

### 12.4.2 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice [12.4.1 NotSpecifiedRate](#).*

**Definition:** Standard code to specify the type of rate.

**Data Type:** Code

*One of the following [RateType12Code](#) values must be used:*

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

### 12.4.3 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice [12.4.1 NotSpecifiedRate](#).*

**Definition:** Proprietary code to express the type of rate.

**Type:** *This message item is composed of the following [GenericIdentification13](#) element(s):*



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.4.4</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.4.5</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.4.6</a>		Issuer	<Issr>	[1..1]	Text

#### 12.4.4 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

#### 12.4.5 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

#### 12.4.6 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.5 RateType12FormatChoice

*RateType12FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.17, p.17, message definition *AgentCANotificationCancellationRequestV01* p.155, p.155.

**Definition:** Choice of formats to express the type of rate.

**Type:** One of the following *RateType12FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.5.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.5.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">12.5.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.5.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.5.4</a>		Issuer	<Issr>	[1..1]	Text

#### 12.5.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 12.5 RateType12FormatChoice.*

**Definition:** Standard code to specify the type of rate.

**Data Type:** Code

*One of the following **RateType12Code** values must be used:*

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

### 12.5.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 12.5 RateType12FormatChoice.*

**Definition:** Proprietary code to express the type of rate.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.5.2</u>		Identification	<Id>	[1..1]	Text
<u>12.5.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>12.5.4</u>		Issuer	<Issr>	[1..1]	Text

### 12.5.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 12.5.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.5.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 12.6 RatioFormat1Choice

*RatioFormat1Choice* is used in message definition *AgentCANotificationAdviceV01* p.17, p.17, message definition *AgentCANotificationCancellationRequestV01* p.154, p.154.

**Definition:** Choice of format to expressed a ratio.

**Type:** One of the following *RatioFormat1Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.6.0</a>	{Or	QuantityToQuantity	<QtyToQty>	[1..1]	
<a href="#">12.6.1</a>		Quantity1	<Qty1>	[1..1]	Quantity
<a href="#">12.6.2</a>		Quantity2	<Qty2>	[1..1]	Quantity
<a href="#">12.6.3</a>	Or	AmountToAmount	<AmtToAmt>	[1..1]	
<a href="#">12.6.4</a>		Amount1	<Amt1>	[1..1]	Amount
<a href="#">12.6.5</a>		Amount2	<Amt2>	[1..1]	Amount
<a href="#">12.6.6</a>	Or}	NotSpecifiedRate	<NotSpcfdRate>	[1..1]	
<a href="#">12.6.7</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.6.8</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">12.6.9</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.6.10</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.6.11</a>		Issuer	<Issr>	[1..1]	Text

### 12.6.0 QuantityToQuantity <QtyToQty>

**Presence:** [1..1]

*This message item is part of choice 12.6 *RatioFormat1Choice*.*

**Definition:** The ratio is expressed as a quantity per another quantity.

**Type:** This message item is composed of the following *QuantityToQuantityRatio1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.6.1</a>		Quantity1	<Qty1>	[1..1]	Quantity
<a href="#">12.6.2</a>		Quantity2	<Qty2>	[1..1]	Quantity

### 12.6.1 Quantity1 <Qty1>

**Presence:** [1..1]

**Definition:** Numerator of the quotient of quantities.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 12.6.2 Quantity2 <Qty2>

**Presence:** [1..1]

**Definition:** Denominator of the quotient of quantities.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 12.6.3 AmountToAmount <AmtToAmt>

**Presence:** [1..1]

*This message item is part of choice 12.6 **RatioFormat1Choice**.*

**Definition:** The ratio is expressed as an amount per another amount.

**Type:** *This message item is composed of the following **AmountToAmountRatio1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.6.4</u>		Amount1	<Amt1>	[1..1]	Amount
<u>12.6.5</u>		Amount2	<Amt2>	[1..1]	Amount

### 12.6.4 Amount1 <Amt1>

**Presence:** [1..1]

**Definition:** Numerator of the quotient of amounts.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** **ActiveCurrencyAndAmount**

fractionDigits: 5  
minInclusive: 0  
totalDigits: 18  
**ActiveCurrencyCode**  
[A-Z]{3,3}

**Rule(s):** **ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 12.6.5 Amount2 <Amt2>

**Presence:** [1..1]

**Definition:** Denominator of the quotient of amounts

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** **ActiveCurrencyAndAmount**

fractionDigits: 5  
 minInclusive: 0  
 totalDigits: 18  
**ActiveCurrencyCode**  
 [A-Z]{3,3}

**Rule(s): ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

**12.6.6 NotSpecifiedRate <NotSpcdfRate>**

**Presence:** [1..1]

*This message item is part of choice 12.6 RatioFormat1Choice.*

**Definition:** The value of the ratio is not specified.

**Type:** *This message item is composed of one of the following RateType12FormatChoice element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.6.7</u>	{Or	Code	<Cd>	[1..1]	Code
<u>12.6.8</u>	Or}	Proprietary	<Prtry>	[1..1]	

**12.6.7 Code <Cd>**

**Presence:** [1..1]

*This message item is part of choice 12.6.6 NotSpecifiedRate.*

**Definition:** Standard code to specify the type of rate.

**Data Type:** Code

*One of the following RateType12Code values must be used:*

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

**12.6.8 Proprietary <Prtry>**

**Presence:** [1..1]

*This message item is part of choice 12.6.6 NotSpecifiedRate.*

**Definition:** Proprietary code to express the type of rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.6.9</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.6.10</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.6.11</a>		Issuer	<Issr>	[1..1]	Text

### 12.6.9 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 12.6.10 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 12.6.11 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 12.7 RatioFormat2Choice

*RatioFormat2Choice* is used in message definition *AgentCANotificationAdviceV01* p.16, p.17, message definition *AgentCANotificationCancellationRequestV01* p.154, p.154.

**Definition:** Choice of format to expressed a ratio.

**Type:** One of the following *RatioFormat2Choice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.7.0</a>	{Or}	QuantityToQuantity	<QtyToQty>	[1..1]	
<a href="#">12.7.1</a>		Quantity1	<Qty1>	[1..1]	Quantity
<a href="#">12.7.2</a>		Quantity2	<Qty2>	[1..1]	Quantity
<a href="#">12.7.3</a>	Or	AmountToAmount	<AmtToAmt>	[1..1]	
<a href="#">12.7.4</a>		Amount1	<Amt1>	[1..1]	Amount
<a href="#">12.7.5</a>		Amount2	<Amt2>	[1..1]	Amount
<a href="#">12.7.6</a>	Or	AmountToQuantity	<AmtToQty>	[1..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.7.7</a>		Amount	<Amt>	[1..1]	Amount
<a href="#">12.7.8</a>		Quantity	<Qty>	[1..1]	Quantity
<a href="#">12.7.9</a>	Or	QuantityToAmount	<QtyToAmt>	[1..1]	
<a href="#">12.7.10</a>		Amount	<Amt>	[1..1]	Amount
<a href="#">12.7.11</a>		Quantity	<Qty>	[1..1]	Quantity
<a href="#">12.7.12</a>	Or}	NotSpecifiedRate	<NotSpctdRate>	[1..1]	
<a href="#">12.7.13</a>	{{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.7.14</a>	Or}}	Proprietary	<Prtry>	[1..1]	
<a href="#">12.7.15</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.7.16</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.7.17</a>		Issuer	<Issr>	[1..1]	Text

### 12.7.0 QuantityToQuantity <QtyToQty>

**Presence:** [1..1]

*This message item is part of choice 12.7 [RatioFormat2Choice](#).*

**Definition:** The ratio is expressed as a quantity per another quantity.

**Type:** *This message item is composed of the following [QuantityToQuantityRatio1](#) element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.7.1</a>		Quantity1	<Qty1>	[1..1]	Quantity
<a href="#">12.7.2</a>		Quantity2	<Qty2>	[1..1]	Quantity

### 12.7.1 Quantity1 <Qty1>

**Presence:** [1..1]

**Definition:** Numerator of the quotient of quantities.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 12.7.2 Quantity2 <Qty2>

**Presence:** [1..1]

**Definition:** Denominator of the quotient of quantities.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 12.7.3 AmountToAmount <AmtToAmt>

**Presence:** [1..1]

*This message item is part of choice 12.7 [RatioFormat2Choice](#).*

**Definition:** The ratio is expressed as an amount per another amount.

**Type:** This message item is composed of the following **AmountToAmountRatio1** element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.7.4</u>		Amount1	<Amt1>	[1..1]	Amount
<u>12.7.5</u>		Amount2	<Amt2>	[1..1]	Amount

### 12.7.4 Amount1 <Amt1>

**Presence:** [1..1]

**Definition:** Numerator of the quotient of amounts.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 12.7.5 Amount2 <Amt2>

**Presence:** [1..1]

**Definition:** Denominator of the quotient of amounts

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.



(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 12.7.6 AmountToQuantity <AmtToQty>

**Presence:** [1..1]

*This message item is part of choice 12.7 **RatioFormat2Choice**.*

**Definition:** The ratio is expressed as an amount per quantity.

**Type:** *This message item is composed of the following **AmountAndQuantityRatio1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>12.7.7</u>		Amount	<Amt>	[1..1]	Amount
<u>12.7.8</u>		Quantity	<Qty>	[1..1]	Quantity

## 12.7.7 Amount <Amt>

**Presence:** [1..1]

**Definition:** Cash amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** ActiveCurrencyAndAmount

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3}

**Rule(s):** ActiveCurrencyAndAmount

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

### ActiveCurrencyCode

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

## 12.7.8 Quantity <Qty>

**Presence:** [1..1]

**Definition:** Quantity expressed as number.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

### 12.7.9 QuantityToAmount <QtyToAmt>

**Presence:** [1..1]

*This message item is part of choice 12.7 **RatioFormat2Choice**.*

**Definition:** Ratio is expressed as a quantity per an amount.

**Type:** *This message item is composed of the following **AmountAndQuantityRatio1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.7.10</a>		Amount	<Amt>	[1..1]	Amount
<a href="#">12.7.11</a>		Quantity	<Qty>	[1..1]	Quantity

### 12.7.10 Amount <Amt>

**Presence:** [1..1]

**Definition:** Cash amount.

**Data Type:** ActiveCurrencyAndAmount

*This data type must be used with the following XML Attribute: **Currency (Ccy)** which is typed by **ActiveCurrencyCode**.*

**Format:** **ActiveCurrencyAndAmount**

fractionDigits: 5

minInclusive: 0

totalDigits: 18

**ActiveCurrencyCode**

[A-Z]{3,3}

**Rule(s):** **ActiveCurrencyAndAmount**

CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

(Fatal) Error Code: Sw.Stds.D00007

**ActiveCurrencyCode**

ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

(Fatal) Error Code: Sw.Stds.D00005

### 12.7.11 Quantity <Qty>

**Presence:** [1..1]

**Definition:** Quantity expressed as number.

**Data Type:** DecimalNumber

**Format:** fractionDigits: 17  
totalDigits: 18

## 12.7.12 NotSpecifiedRate <NotSpcdfRate>

**Presence:** [1..1]

*This message item is part of choice 12.7 RatioFormat2Choice.*

**Definition:** The value of the ratio is not specified.

**Type:** This message item is composed of one of the following *RateType12FormatChoice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.7.13</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">12.7.14</a>	Or}	Proprietary	<Prtry>	[1..1]	

## 12.7.13 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 12.7.12 NotSpecifiedRate.*

**Definition:** Standard code to specify the type of rate.

**Data Type:** Code

*One of the following *RateType12Code* values must be used:*

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender.

## 12.7.14 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 12.7.12 NotSpecifiedRate.*

**Definition:** Proprietary code to express the type of rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">12.7.15</a>		Identification	<Id>	[1..1]	Text
<a href="#">12.7.16</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">12.7.17</a>		Issuer	<Issr>	[1..1]	Text

## 12.7.15 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

**12.7.16 SchemeName <SchmeNm>****Presence:** [0..1]**Definition:** Name of the identification scheme.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**12.7.17 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**13 Securities identification****13.1 FinancialInstrumentDescription3**

*FinancialInstrumentDescription3* is used in message definition *AgentCANotificationAdviceV01* p.18, message definition *AgentCANotificationCancellationRequestV01* p.156.

**Definition:** Description of the financial instrument.**Type:** The following *FinancialInstrumentDescription3* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>13.1.0</u>		SecurityIdentification	<SctyId>	[1..1]	
<u>13.1.1</u>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<u>13.1.2</u>	Or}	OtherIdentification	<OthrId>	[1..1]	
<u>13.1.3</u>		Identification	<Id>	[1..1]	Text
<u>13.1.4</u>	{{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<u>13.1.5</u>	Or}}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<u>13.1.6</u>		Description	<Desc>	[0..1]	Text
<u>13.1.7</u>		PlaceOfListing	<PlcOfListg>	[0..1]	Identifier
<u>13.1.8</u>		SafekeepingPlace	<SfkpgPlc>	[0..1]	
<u>13.1.9</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>13.1.10</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>13.1.11</u>		Identification	<Id>	[1..1]	Text
<u>13.1.12</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>13.1.13</u>		Issuer	<Issr>	[0..1]	Text
<u>13.1.14</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	
<u>13.1.15</u>		Name	<Nm>	[1..1]	Text
<u>13.1.16</u>		Address	<Adr>	[0..1]	

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.1.17</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">13.1.18</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">13.1.19</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">13.1.20</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">13.1.21</a>		PostCode	<PstCd>	[0..1]	Text
<a href="#">13.1.22</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">13.1.23</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">13.1.24</a>		Country	<Ctry>	[1..1]	Code

### 13.1.0 SecurityIdentification <Sctyld>

**Presence:** [1..1]

**Definition:** Identifies the financial instrument.

**Type:** This message item is composed of the following *SecurityIdentification7* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.1.1</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">13.1.2</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">13.1.6</a>		Description	<Desc>	[0..1]	Text

#### 13.1.1 ISIN <ISIN>

**Presence:** [1..1]

This message item is part of choice *13.1.0 SecurityIdentification*.

**Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

#### 13.1.2 OtherIdentification <OthrId>

**Presence:** [1..1]

This message item is part of choice *13.1.0 SecurityIdentification*.

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** This message item is composed of the following *AlternateSecurityIdentification3* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.1.3</a>		Identification	<Id>	[1..1]	Text
<a href="#">13.1.4</a>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>13.1.5</u>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

### 13.1.3 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70

minLength: 1

### 13.1.4 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 13.1.2 OtherIdentification.*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 13.1.5 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 13.1.2 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 13.1.6 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text

**Format:** maxLength: 140

minLength: 1

### 13.1.7 PlaceOfListing <PlcOfListg>

**Presence:** [0..1]

**Definition:** Place where the referenced financial instrument is listed.

**Data Type:** MICIdentifier

**Format:** [A-Z0-9]{4,4}

### 13.1.8 SafekeepingPlace <SfkpgPlc>

**Presence:** [0..1]

**Definition:** Identification of the place of safekeeping.

**Type:** This message item is composed of one of the following *PartyIdentification2Choice* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>13.1.9</u>	{Or	BICOrBEI	<BICOrBEI>	[1..1]	Identifier
<u>13.1.10</u>	Or	ProprietaryIdentification	<PrtryId>	[1..1]	
<u>13.1.14</u>	Or}	NameAndAddress	<NmAndAdr>	[1..1]	

### 13.1.9 BICOrBEI <BICOrBEI>

**Presence:** [1..1]

This message item is part of choice *13.1.8 SafekeepingPlace*.

**Definition:** Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.

**Data Type:** AnyBICIdentifier

**Format:** [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Rule(s):** AnyBIC

Only a valid BIC or BEI is allowed. Valid BEI and BIC are registered with the ISO 9362 Registration Authority, and consists of eight (8) or eleven (11) contiguous characters comprising the first three or all four of the following components: BANK CODE, COUNTRY CODE, LOCATION CODE, BRANCH CODE. The bank code, country code and location code are mandatory, while the branch code is optional.

(Fatal) Error Code: Sw.Stds.D00008

### 13.1.10 ProprietaryIdentification <PrtryId>

**Presence:** [1..1]

This message item is part of choice *13.1.8 SafekeepingPlace*.

**Definition:** Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**Type:** This message item is composed of the following *GenericIdentification1* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>13.1.11</u>		Identification	<Id>	[1..1]	Text
<u>13.1.12</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>13.1.13</u>		Issuer	<Issr>	[0..1]	Text

### 13.1.11 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 13.1.12 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 13.1.13 Issuer <lssr>

**Presence:** [0..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 13.1.14 NameAndAddress <NmAndAdr>

**Presence:** [1..1]

*This message item is part of choice 13.1.8 SafekeepingPlace.*

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Type:** *This message item is composed of the following **NameAndAddress5** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.1.15</a>		Name	<Nm>	[1..1]	Text
<a href="#">13.1.16</a>		Address	<Adr>	[0..1]	

### 13.1.15 Name <Nm>

**Presence:** [1..1]

**Definition:** Name by which a party is known and which is usually used to identify that party.

**Data Type:** Max350Text

**Format:** maxLength: 350

minLength: 1

### 13.1.16 Address <Adr>

**Presence:** [0..1]

**Definition:** Postal address of a party.

**Type:** *This message item is composed of the following **PostalAddress1** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.1.17</a>		AddressType	<AdrTp>	[0..1]	Code
<a href="#">13.1.18</a>		AddressLine	<AdrLine>	[0..5]	Text
<a href="#">13.1.19</a>		StreetName	<StrtNm>	[0..1]	Text
<a href="#">13.1.20</a>		BuildingNumber	<BldgNb>	[0..1]	Text
<a href="#">13.1.21</a>		PostCode	<PstCd>	[0..1]	Text



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.1.22</a>		TownName	<TwnNm>	[0..1]	Text
<a href="#">13.1.23</a>		CountrySubDivision	<CtrySubDvsn>	[0..1]	Text
<a href="#">13.1.24</a>		Country	<Ctry>	[1..1]	Code

### 13.1.17 AddressType <AdrTp>

**Presence:** [0..1]

**Definition:** Identifies the nature of the postal address.

**Data Type:** Code

*When this message item is present, one of the following **AddressType2Code** values must be used:*

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

### 13.1.18 AddressLine <AdrLine>

**Presence:** [0..5]

**Definition:** Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 13.1.19 StreetName <StrtNm>

**Presence:** [0..1]

**Definition:** Name of a street or thoroughfare.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 13.1.20 BuildingNumber <BldgNb>

**Presence:** [0..1]

**Definition:** Number that identifies the position of a building on a street.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 13.1.21 PostCode <PstCd>

**Presence:** [0..1]

**Definition:** Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

**Data Type:** Max16Text

**Format:** maxLength: 16  
minLength: 1

### 13.1.22 TownName <TwnNm>

**Presence:** [0..1]

**Definition:** Name of a built-up area, with defined boundaries, and a local government.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 13.1.23 CountrySubDivision <CtrySubDvsn>

**Presence:** [0..1]

**Definition:** Identifies a subdivision of a country eg, state, region, county.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 13.1.24 Country <Ctry>

**Presence:** [1..1]

**Definition:** Nation with its own government.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

## 13.2 SecurityIdentification7

*SecurityIdentification7* is used in message definition *AgentCADistributionBreakdownAdviceV01* p.370, message definition *AgentCAElectionAdviceV01* p.296, p.296, message definition *AgentCAElectionCancellationRequestV01* p.337, message definition *AgentCAGlobalDistributionAuthorisationRequestV01* p.381, message definition *AgentCAInformationAdviceV01* p.513, message definition *AgentCAInformationStatusAdviceV01* p.527, message definition *AgentCAMovementCancellationRequestV01* p.448, p.448, message definition *AgentCAMovementConfirmationV01* p.480, message definition *AgentCAMovementInstructionV01* p.413, p.414, message definition *AgentCAMovementStatusAdviceV01* p.493, message definition *AgentCANotificationAdviceV01* p.16, message definition *AgentCANotificationCancellationRequestV01* p.153.

**Definition:** Choice between ISIN and an alternative format for the identification of a security. ISIN is the preferred format.

**Type:** The following *SecurityIdentification7* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.2.0</a>	{Or	ISIN	<ISIN>	[1..1]	Identifier
<a href="#">13.2.1</a>	Or}	OtherIdentification	<OthrId>	[1..1]	
<a href="#">13.2.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">13.2.3</a>	{{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">13.2.4</a>	Or}}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text
<a href="#">13.2.5</a>		Description	<Desc>	[0..1]	Text

### 13.2.0 ISIN <ISIN>

**Presence:** [1..1]

*This message item is part of choice 13.2 [SecurityIdentification7](#).*

**Definition:** International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

**Data Type:** ISINIdentifier

**Format:** [A-Z0-9]{12,12}

### 13.2.1 OtherIdentification <OthrId>

**Presence:** [1..1]

*This message item is part of choice 13.2 [SecurityIdentification7](#).*

**Definition:** Proprietary identification of a security assigned by an institution or organisation.

**Type:** *This message item is composed of the following [AlternateSecurityIdentification3](#) element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">13.2.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">13.2.3</a>	{Or	DomesticIdentificationSource	<DmstIdSrc>	[1..1]	Code
<a href="#">13.2.4</a>	Or}	ProprietaryIdentificationSource	<PrtryIdSrc>	[1..1]	Text

### 13.2.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Unique and unambiguous identifier of a security.

**Data Type:** Max70Text

**Format:** maxLength: 70  
minLength: 1

### 13.2.3 DomesticIdentificationSource <DmstIdSrc>

**Presence:** [1..1]

*This message item is part of choice 13.2.1 [OtherIdentification](#).*

**Definition:** Country of the proprietary identification scheme.

**Data Type:** CountryCode

**Format:** [A-Z]{2,2}

**Rule(s):** Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

(Fatal) Error Code: Sw.Stds.D00004

### 13.2.4 ProprietaryIdentificationSource <PrtryIdSrc>

**Presence:** [1..1]

*This message item is part of choice 13.2.1 OtherIdentification.*

**Definition:** Entity that issues the proprietary identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 13.2.5 Description <Desc>

**Presence:** [0..1]

**Definition:** Textual description of a security instrument.

**Data Type:** Max140Text

**Format:** maxLength: 140

minLength: 1

## 14 Status

### 14.1 CorporateActionEventStatus2FormatChoice

*CorporateActionEventStatus2FormatChoice is used in message definition AgentCANotificationAdviceV01 p.15, message definition AgentCANotificationCancellationRequestV01 p.153.*

**Definition:** Choice of formats to express the status of the CA option.

**Type:** One of the following *CorporateActionEventStatus2FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>14.1.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>14.1.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>14.1.2</u>		Identification	<Id>	[1..1]	Text
<u>14.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>14.1.4</u>		Issuer	<Issr>	[1..1]	Text

#### 14.1.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 14.1 CorporateActionEventStatus2FormatChoice.*

**Definition:** Standard code to specify the status of the CA option.

**Data Type:** Code

*One of the following *CorporateActionEventStatus2Code* values must be used:*

Code	Name	Definition
ACTI	Active	The CA event is active.
CANC	Cancelled	The CA event is cancelled.
INAC	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, eg, expired option.

### 14.1.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice **14.1 CorporateActionEventStatus2FormatChoice**.*

**Definition:** Proprietary code to express the status of the CA option.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>14.1.2</u>		Identification	<Id>	[1..1]	Text
<u>14.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>14.1.4</u>		Issuer	<Issr>	[1..1]	Text

### 14.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 14.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 14.1.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 14.2 ProcessingStatus1FormatChoice

*ProcessingStatus1FormatChoice is used in message definition **AgentCANotificationAdviceV01** p.11.*

**Definition:** Choice of formats to express the processing status.

**Type:** One of the following *ProcessingStatus1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">14.2.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">14.2.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">14.2.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">14.2.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">14.2.4</a>		Issuer	<Issr>	[1..1]	Text

### 14.2.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 14.2 *ProcessingStatus1FormatChoice*.*

**Definition:** Standard code to specify the processing status.

**Data Type:** Code

*One of the following *ProcessingStatus1Code* values must be used:*

Code	Name	Definition
COMP	Complete	Message contains complete details of the corporate action event at the time the event is communicated and the occurrence of the event has been confirmed by the account servicer's chosen official source. Further updates to the event are still possible.
INFO	ForInformationOnly	The message is for information only.
PREC	PreliminaryConfirmed	Message may not contain complete details of the corporate action event, however, the occurrence of the event is confirmed.
PREU	PreliminaryUnconfirmed	Message may not contain complete details of the corporate action event and the occurrence of the event is not yet confirmed.

### 14.2.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 14.2 *ProcessingStatus1FormatChoice*.*

**Definition:** Proprietary code to express the processing status.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">14.2.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">14.2.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">14.2.4</a>		Issuer	<Issr>	[1..1]	Text

### 14.2.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 14.2.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 14.2.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 14.3 RenounceableStatus1FormatChoice

*RenounceableStatus1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.15, message definition *AgentCANotificationCancellationRequestV01* p.153.

**Definition:** Choice of formats to express the renounceable status.

**Type:** One of the following *RenounceableStatus1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">14.3.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">14.3.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">14.3.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">14.3.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">14.3.4</a>		Issuer	<Issr>	[1..1]	Text

### 14.3.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice [14.3 RenounceableStatus1FormatChoice](#).*

**Definition:** Standard code to specify the renounceable status.

**Data Type:** Code

*One of the following *RenounceableStatus1Code* values must be used:*

Code	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.

Code	Name	Definition
RENO	Renounceable	Intermediate securities can be sold.

### 14.3.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 14.3 RenounceableStatus1FormatChoice.*

**Definition:** Proprietary code to express the renounceable status.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>14.3.2</u>		Identification	<Id>	[1..1]	Text
<u>14.3.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>14.3.4</u>		Issuer	<Issr>	[1..1]	Text

### 14.3.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 14.3.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 14.3.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 15 Tax

### 15.1 TaxType3FormatChoice

*TaxType3FormatChoice is used in message definition AgentCANotificationAdviceV01 p.17, message definition AgentCANotificationCancellationRequestV01 p.154.*

**Definition:** Choice of formats to express the type of taxes.

**Type:** *One of the following TaxType3FormatChoice element(s) must be used:*



Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
15.1.0	{Or	Code	<Cd>	[1..1]	Code
15.1.1	Or}	Proprietary	<Prtry>	[1..1]	
15.1.2		Identification	<Id>	[1..1]	Text
15.1.3		SchemeName	<SchmeNm>	[0..1]	Text
15.1.4		Issuer	<Issr>	[1..1]	Text

### 15.1.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 15.1 TaxType3FormatChoice.*

**Definition:** Standard code to specify the type of taxes.

**Data Type:** Code

*One of the following **TaxType3Code** values must be used:*

Code	Name	Definition
LIDT	GermanLocalTax2	Local tax (ZAS pflichtige Zinsen) - interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

### 15.1.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 15.1 TaxType3FormatChoice.*

**Definition:** Proprietary code to express the type of taxes.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
15.1.2		Identification	<Id>	[1..1]	Text
15.1.3		SchemeName	<SchmeNm>	[0..1]	Text
15.1.4		Issuer	<Issr>	[1..1]	Text

### 15.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 15.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 15.1.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 16 Transaction type

### 16.1 ConversionType1FormatChoice

*ConversionType1FormatChoice is used in message definition AgentCANotificationAdviceV01 p.15, message definition AgentCANotificationCancellationRequestV01 p.153.*

**Definition:** Choice of formats to express the type of conversion.

**Type:** One of the following *ConversionType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.1.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>16.1.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>16.1.2</u>		Identification	<Id>	[1..1]	Text
<u>16.1.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.1.4</u>		Issuer	<Issr>	[1..1]	Text

#### 16.1.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 16.1 ConversionType1FormatChoice.*

**Definition:** Standard code to specify the type of conversion.

**Data Type:** Code

*One of the following **ConversionType1Code** values must be used:*

Code	Name	Definition
FINL	Final	This is a final conversion.
INTE	Interim	This is an interim conversion.

### 16.1.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.1 ConversionType1FormatChoice.*

**Definition:** Proprietary code to express the type of conversion.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.1.2		Identification	<Id>	[1..1]	Text
16.1.3		SchemeName	<SchmeNm>	[0..1]	Text
16.1.4		Issuer	<Issr>	[1..1]	Text

### 16.1.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.1.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 16.1.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 16.2 CorporateActionCalculationMethod1FormatChoice

*CorporateActionCalculationMethod1FormatChoice is used in message definition AgentCANotificationAdviceV01 p.11, message definition AgentCANotificationCancellationRequestV01 p.149.*

**Definition:** Choice of formats to express the calculation method for drawings.

**Type:** *One of the following CorporateActionCalculationMethod1FormatChoice element(s) must be used:*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.2.0	{Or	Code	<Cd>	[1..1]	Code
16.2.1	Or}	Proprietary	<Prtry>	[1..1]	
16.2.2		Identification	<Id>	[1..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.2.3		SchemeName	<SchmeNm>	[0..1]	Text
16.2.4		Issuer	<Issr>	[1..1]	Text

### 16.2.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 16.2 CorporateActionCalculationMethod1FormatChoice.*

**Definition:** Standard code to specify the calculation method for drawings.

**Data Type:** Code

*One of the following CorporateActionCalculationMethod1Code values must be used:*

Code	Name	Definition
LOTT	Lottery	Lottery for drawings.
NNOM	NoNominalReduction	Without reduction of nominal (via pool factor) for partial redemption.
NOMI	NominalReduction	Reduction of nominal for partial redemption.
PROR	ProRata	Pro-rata for drawings.

### 16.2.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.2 CorporateActionCalculationMethod1FormatChoice.*

**Definition:** Proprietary code to express the calculation method for drawings.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.2.2		Identification	<Id>	[1..1]	Text
16.2.3		SchemeName	<SchmeNm>	[0..1]	Text
16.2.4		Issuer	<Issr>	[1..1]	Text

### 16.2.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.2.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

**16.2.4 Issuer <Issr>****Presence:** [1..1]**Definition:** Entity that assigns the identification.**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

**16.3 CorporateActionChangeType1FormatChoice**

*CorporateActionChangeType1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.12, message definition *AgentCANotificationCancellationRequestV01* p.150.

**Definition:** Choice of formats to express the type of changes.**Type:** One of the following *CorporateActionChangeType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<a href="#">16.3.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">16.3.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">16.3.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">16.3.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">16.3.4</a>		Issuer	<Issr>	[1..1]	Text

**16.3.0 Code <Cd>****Presence:** [1..1]This message item is part of choice **16.3 CorporateActionChangeType1FormatChoice**.**Definition:** Standard code to specify the type of changes.**Data Type:** CodeOne of the following *CorporateActionChangeType1Code* values must be used:

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DECI	Decimalisation	Process of changing the accounting method of a security, eg, mutual fund, from units to a quantity with decimals.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve

Code	Name	Definition
		surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

### 16.3.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.3 CorporateActionChangeType1FormatChoice.*

**Definition:** Proprietary code to express the type of changes.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.3.2		Identification	<Id>	[1..1]	Text
16.3.3		SchemeName	<SchmeNm>	[0..1]	Text
16.3.4		Issuer	<Issr>	[1..1]	Text

### 16.3.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.3.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 16.3.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 16.4 CorporateActionFrequencyType1FormatChoice

*CorporateActionFrequencyType1FormatChoice is used in message definition AgentCANotificationAdviceV01 p.13, message definition AgentCANotificationCancellationRequestV01 p.151.*

**Definition:** Choice of formats to express the frequency of a CA event.

**Type:** *One of the following **CorporateActionFrequencyType1FormatChoice** element(s) must be used:*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">16.4.0</a>	{Or	Code	<Cd>	[1..1]	Code
<a href="#">16.4.1</a>	Or}	Proprietary	<Prtry>	[1..1]	
<a href="#">16.4.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">16.4.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">16.4.4</a>		Issuer	<Issr>	[1..1]	Text

### 16.4.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice **16.4 CorporateActionFrequencyType1FormatChoice**.*

**Definition:** Standard code to specify the frequency of a CA event.

**Data Type:** Code

*One of the following **CorporateActionFrequencyType1Code** values must be used:*

Code	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, eg, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

### 16.4.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice **16.4 CorporateActionFrequencyType1FormatChoice**.*

**Definition:** Proprietary code to express the frequency of a CA event.

**Type:** *This message item is composed of the following **GenericIdentification13** element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<a href="#">16.4.2</a>		Identification	<Id>	[1..1]	Text
<a href="#">16.4.3</a>		SchemeName	<SchmeNm>	[0..1]	Text
<a href="#">16.4.4</a>		Issuer	<Issr>	[1..1]	Text

### 16.4.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.4.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 16.4.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 16.5 DistributionType1FormatChoice

*DistributionType1FormatChoice is used in message definition AgentCANotificationAdviceV01 p.15, message definition AgentCANotificationCancellationRequestV01 p.153.*

**Definition:** Choice of formats to express the distribution type.

**Type:** One of the following *DistributionType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.5.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>16.5.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>16.5.2</u>		Identification	<Id>	[1..1]	Text
<u>16.5.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.5.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.5.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 16.5 DistributionType1FormatChoice.*

**Definition:** Standard code to specify the distribution type.

**Data Type:** Code

*One of the following DistributionType1Code values must be used:*

Code	Name	Definition
ROLL	RollingBasis	Event is ongoing and will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.



### 16.5.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.5 DistributionType1FormatChoice.*

**Definition:** Proprietary code to express the distribution type.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.5.2		Identification	<Id>	[1..1]	Text
16.5.3		SchemeName	<SchmeNm>	[0..1]	Text
16.5.4		Issuer	<Issr>	[1..1]	Text

### 16.5.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.5.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 16.5.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

## 16.6 ElectionMovementType1FormatChoice

*ElectionMovementType1FormatChoice is used in message definition AgentCANotificationAdviceV01 p.13, message definition AgentCANotificationCancellationRequestV01 p.151.*

**Definition:** Choice of formats to express the effect on the holdings of electing a Corporate Action option.

**Type:** *One of the following ElectionMovementType1FormatChoice element(s) must be used:*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.6.0	{Or	Code	<Cd>	[1..1]	Code
16.6.1	Or}	Proprietary	<Prtry>	[1..1]	
16.6.2		Identification	<Id>	[1..1]	Text

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>16.6.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.6.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.6.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 16.6 ElectionMovementType1FormatChoice.*

**Definition:** Standard code to specify the effect on the holdings of electing a Corporate Action option.

**Data Type:** Code

*One of the following ElectionMovementType1Code values must be used:*

Code	Name	Definition
DRCT	Direct	Direct movement of resource, ie, cash or security that is directly credited to the paying agent account.
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

### 16.6.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.6 ElectionMovementType1FormatChoice.*

**Definition:** Proprietary code to express the effect on the holdings of electing a Corporate Action option.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./Type
<u>16.6.2</u>		Identification	<Id>	[1..1]	Text
<u>16.6.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.6.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.6.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.6.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 16.6.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 16.7 FractionDispositionType1FormatChoice

*FractionDispositionType1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.19, p.22, message definition *AgentCANotificationCancellationRequestV01* p.157, p.159.

**Definition:** Choice of formats to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Type:** One of the following *FractionDispositionType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.7.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>16.7.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>16.7.2</u>		Identification	<Id>	[1..1]	Text
<u>16.7.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.7.4</u>		Issuer	<Issr>	[1..1]	Text

#### 16.7.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 16.7 FractionDispositionType1FormatChoice.*

**Definition:** Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Data Type:** Code

*One of the following FractionDispositionType1Code values must be used:*

Code	Name	Definition
BUYU	BuyUp	Buy up to next whole number
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

#### 16.7.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.7 FractionDispositionType1FormatChoice.*

**Definition:** Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.7.2</u>		Identification	<Id>	[1..1]	Text
<u>16.7.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.7.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.7.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.7.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 16.7.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 16.8 IntermediateSecurityDistributionType1FormatChoice

*IntermediateSecurityDistributionType1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.13, p.16, message definition *AgentCANotificationCancellationRequestV01* p.151, p.153.

**Definition:** Choice of formats to express the type of intermediate security distribution.

**Type:** One of the following *IntermediateSecurityDistributionType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.8.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>16.8.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>16.8.2</u>		Identification	<Id>	[1..1]	Text
<u>16.8.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.8.4</u>		Issuer	<Issr>	[1..1]	Text

**16.8.0 Code <Cd>****Presence:** [1..1]*This message item is part of choice 16.8 IntermediateSecurityDistributionType1FormatChoice.***Definition:** Standard code to specify the type of intermediate security distribution.**Data Type:** Code*One of the following IntermediateSecurityDistributionType1Code values must be used:*

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
EXRI	SubscriptionRights	Distribution of subscription rights.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
PRIO	OpenOfferRights	Distribution of open offer rights.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders

**16.8.1 Proprietary <Prtry>****Presence:** [1..1]*This message item is part of choice 16.8 IntermediateSecurityDistributionType1FormatChoice.***Definition:** Proprietary code to express the type of intermediate security distribution.**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.8.2</u>		Identification	<Id>	[1..1]	Text
<u>16.8.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.8.4</u>		Issuer	<Issr>	[1..1]	Text

**16.8.2 Identification <Id>****Presence:** [1..1]**Definition:** Identification assigned by an institution.**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.8.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 16.8.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

## 16.9 OfferType1FormatChoice

*OfferType1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.12, p.16, message definition *AgentCANotificationCancellationRequestV01* p.150, p.153.

**Definition:** Choice of formats to express the conditions that apply to the offer.

**Type:** One of the following *OfferType1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.9.0</u>	{Or	Code	<Cd>	[1..1]	Code
<u>16.9.1</u>	Or}	Proprietary	<Prtry>	[1..1]	
<u>16.9.2</u>		Identification	<Id>	[1..1]	Text
<u>16.9.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.9.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.9.0 Code <Cd>

**Presence:** [1..1]

*This message item is part of choice 16.9 OfferType1FormatChoice.*

**Definition:** Standard code to specify the conditions that apply to the offer.

**Data Type:** Code

*One of the following OfferType1Code values must be used:*

Code	Name	Definition
DISS	DissenterRights	Securities' holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).

Code	Name	Definition
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, eg, 50% accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

### 16.9.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.9 OfferType1FormatChoice.*

**Definition:** Proprietary code to express the conditions that apply to the offer.

**Type:** *This message item is composed of the following GenericIdentification13 element(s):*

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.9.2</u>		Identification	<Id>	[1..1]	Text
<u>16.9.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.9.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.9.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.9.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35

minLength: 1

### 16.9.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text**Format:** maxLength: 35

minLength: 1

## 16.10 OptionFeatures1FormatChoice

*OptionFeatures1FormatChoice* is used in message definition *AgentCANotificationAdviceV01* p.22, message definition *AgentCANotificationCancellationRequestV01* p.159.

**Definition:** Choice of formats to express the feature of an option.

**Type:** One of the following *OptionFeatures1FormatChoice* element(s) must be used:

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
16.10.0	{Or	Code	<Cd>	[1..1]	Code
16.10.1	Or}	Proprietary	<Prtry>	[1..1]	
16.10.2		Identification	<Id>	[1..1]	Text
16.10.3		SchemeName	<SchmeNm>	[0..1]	Text
16.10.4		Issuer	<Issr>	[1..1]	Text

### 16.10.0 Code <Cd>

**Presence:** [1..1]

This message item is part of choice **16.10 OptionFeatures1FormatChoice**.

**Definition:** Standard code to specify the feature of an option.

**Data Type:** Code

One of the following *OptionFeatures1Code* values must be used:

Code	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or Exchange with the Odd Lot Preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, eg, of over-subscription.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.



### 16.10.1 Proprietary <Prtry>

**Presence:** [1..1]

*This message item is part of choice 16.10 OptionFeatures1FormatChoice.*

**Definition:** Proprietary code to express the feature of an option.

**Type:** This message item is composed of the following *GenericIdentification13* element(s):

Ref	Or	Message Item	<XML Tag>	Mult.	Represent./ Type
<u>16.10.2</u>		Identification	<Id>	[1..1]	Text
<u>16.10.3</u>		SchemeName	<SchmeNm>	[0..1]	Text
<u>16.10.4</u>		Issuer	<Issr>	[1..1]	Text

### 16.10.2 Identification <Id>

**Presence:** [1..1]

**Definition:** Identification assigned by an institution.

**Data Type:** Max4AlphaNumericText

**Format:** [a-zA-Z0-9]{1,4}

### 16.10.3 SchemeName <SchmeNm>

**Presence:** [0..1]

**Definition:** Name of the identification scheme.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

### 16.10.4 Issuer <Issr>

**Presence:** [1..1]

**Definition:** Entity that assigns the identification.

**Data Type:** Max35Text

**Format:** maxLength: 35  
minLength: 1

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Message Definition AgentCAElectionAdviceV01 296  
 Message Definition AgentCAInformationAdviceV01 512  
 Message Definition AgentCAInformationStatusAdviceV01 527

AdditionalInformation: <AddtlInf>

Message Definition AgentCANotificationAdviceV01 22  
 Message Definition AgentCANotificationStatusAdviceV01 280, 280, 281, 281  
 Message Definition AgentCAElectionAdviceV01 296  
 Message Definition AgentCAElectionStatusAdviceV01 353, 353, 353, 353, 354, 354  
 Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400  
 Message Definition AgentCAMovementStatusAdviceV01 493, 493, 494, 494  
 Message Definition AgentCAInformationStatusAdviceV01 528, 528  
 Message Definition AgentCASstandingInstructionRequestV01 543  
 Message Definition AgentCASstandingInstructionCancellationRequestV01 550  
 Message Definition AgentCASstandingInstructionStatusAdviceV01 558, 558, 559, 559  
 Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 587, 587

AdditionalInstruction: <AddtlInstr>

Message Definition AgentCAElectionAdviceV01 297  
 Message Definition AgentCAInformationAdviceV01 513  
 Message Definition AgentCAInformationStatusAdviceV01 528

AdditionalQuantityForExistingSecurities: <AddtlQtyForExstgScies>

Message Definition AgentCANotificationAdviceV01 17, 19  
 Message Definition AgentCANotificationCancellationRequestV01 154, 157

AdditionalQuantityForSubscribedResultantSecurities: <AddtlQtyForSbcbdRslntScies>

Message Definition AgentCANotificationAdviceV01 17, 19  
 Message Definition AgentCANotificationCancellationRequestV01 154, 157

AdditionalSubscriptionCost: <AddtlSbcptCost>

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

AdditionalTax: <AddtlTax>

Message Definition AgentCANotificationAdviceV01 17  
 Message Definition AgentCANotificationCancellationRequestV01 155

AdditionalTaxAmount: <AddtlTaxAmt>

Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

AdditionalText: <AddtlTxt>

Message Definition AgentCANotificationAdviceV01 15, 22, 22  
Message Definition AgentCANotificationCancellationRequestV01 153, 160

Address: <Adr>  
615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 800

AddressLine: <AdrLine>  
615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

AddressType: <AdrTp>  
615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

AgentAccountIdentification: <AgtAcctId>  
Message Definition AgentCAMovementStatusAdviceV01 493

AgentCADEactivationCancellationRequestIdentification: <AgtCADEactvtnCxlReqId>  
Message Definition AgentCADEactivationStatusAdviceV01 585

AgentCADEactivationInstructionIdentification: <AgtCADEactvtnInstrId>  
Message Definition AgentCADEactivationCancellationRequestV01 578  
Message Definition AgentCADEactivationStatusAdviceV01 585

AgentCAElectionAdviceIdentification: <AgtCAElctnAdvId>  
Message Definition AgentCAElectionAmendmentRequestV01 321  
Message Definition AgentCAElectionCancellationRequestV01 336  
Message Definition AgentCAElectionStatusAdviceV01 352  
Message Definition AgentCAMovementInstructionV01 413  
Message Definition AgentCAInformationAdviceV01 512

AgentCAElectionAmendmentRequestIdentification: <AgtCAElctnAmdmntReqId>  
Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAElectionStatusAdviceV01 352

AgentCAElectionCancellationRequestIdentification: <AgtCAElctnCxlReqId>  
Message Definition AgentCAElectionStatusAdviceV01 352

AgentCAElectionStatusAdviceIdentification: <AgtCAElctnStsAdvId>  
Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAMovementConfirmationV01 479  
Message Definition AgentCAMovementStatusAdviceV01 492

AgentCAGlobalDistributionAuthorisationRequestIdentification: <AgtCAGblDstrbtnAuthstnReqId>  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 399

AgentCAGlobalDistributionStatusAdviceIdentification: <AgtCAGblDstrbtnStsAdvId>  
Message Definition AgentCAMovementConfirmationV01 479  
Message Definition AgentCAMovementStatusAdviceV01 492

AgentCAInformationAdviceIdentification: <AgtCAInfAdvId>  
Message Definition AgentCAInformationStatusAdviceV01 527

AgentCAMovementCancellationRequestIdentification: <AgtCAMvmntCxlReqId>

Message Definition AgentCAMovementStatusAdviceV01 492

AgentCAMovementInstructionIdentification: <AgtCAMvmntInstrId>  
Message Definition AgentCAMovementCancellationRequestV01 447  
Message Definition AgentCAMovementConfirmationV01 479  
Message Definition AgentCAMovementStatusAdviceV01 492

AgentCANotificationAdviceIdentification: <AgtCANtfctnAdvId>  
Message Definition AgentCANotificationStatusAdviceV01 279

AgentCANotificationCancellationRequestIdentification: <AgtCANtfctnCxlReqId>  
Message Definition AgentCANotificationStatusAdviceV01 279

AgentCashAccountIdentification: <AgtCshAcctId>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 153

AgentCAStandingInstructionCancellationRequestIdentification: <AgtCAStgInstrCxlReqId>  
Message Definition AgentCAStandingInstructionStatusAdviceV01 557

AgentCAStandingInstructionRequestIdentification: <AgtCAStgInstrReqId>  
Message Definition AgentCAStandingInstructionCancellationRequestV01 550  
Message Definition AgentCAStandingInstructionStatusAdviceV01 557

AgentIdentification: <AgtId>  
668, 689  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

AgentRole: <AgtRole>  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

AgentSecuritiesAccountIdentification: <AgtSciesAcctId>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 153

AllotedSharesCost: <AlltdShrsCost>  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

AmendedElectionDetails: <AmddElctnDtls>  
Message Definition AgentCAElectionAmendmentRequestV01 322

Amount: <Amt>  
735, 753, 754, 763, 769, 775, 777, 777, 782, 782, 795, 795  
Message Definition AgentCANotificationAdviceV01 14, 17, 17, 17, 17  
Message Definition AgentCANotificationCancellationRequestV01 152, 155, 155, 155, 155  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 382, 382  
Message Definition AgentCAMovementInstructionV01 414  
Message Definition AgentCAMovementCancellationRequestV01 448

Amount1: <Amt1>

791, 794

Amount2: <Amt2>

791, 794

AmountDetails: <AmtDtls>

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 157

AmountPricePerAmount: <AmtPricPerAmt>

754

AmountPricePerFinancialInstrumentQuantity: <AmtPricPerFinInstrmQty>

753

AmountPriceType: <AmtPricTp>

753, 753, 754, 763, 769

Message Definition AgentCANotificationAdviceV01 18, 18

Message Definition AgentCANotificationCancellationRequestV01 155, 156

AmountToAmount: <AmtToAmt>

791, 794

AmountToQuantity: <AmtToQty>

794

AnnouncementDate: <AnncmntDt>

Message Definition AgentCANotificationAdviceV01 11

ApplicableRate: <AplblRate>

Message Definition AgentCANotificationAdviceV01 17

Message Definition AgentCANotificationCancellationRequestV01 155

AssentedLinePeriod: <AssntdLinePrd>

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

AssentedLineSecurityIdentification: <AssntdLineSctyId>

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 153

AvailableDate: <AvlblDt>

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

## B

BackEndOddLotSecuritiesQuantity: <BckEndOddLotSctiesQty>

Message Definition AgentCANotificationAdviceV01 11

Message Definition AgentCANotificationCancellationRequestV01 149

**BalanceType: <BalTp>**

630, 641

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 448

Message Definition AgentCAMovementConfirmationV01 480

**BargainDate: <BrnDt>**

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

**BargainSettlementDate: <BrnSttlmDt>**

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

**BaseDenomination: <BaseDnmtn>**

Message Definition AgentCANotificationAdviceV01 12

Message Definition AgentCANotificationCancellationRequestV01 150

**BBAN: <BBAN>**

650

**BeneficialOwnerDetails: <BnfclOwnrDtls>**

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

**BeneficialOwnerIdentification: <BnfclOwnrId>**

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

**BICOrBEI: <BICOrBEI>**

615, 615, 625, 629, 640, 668, 670, 689, 690, 691, 715, 716, 739, 743, 800

**BidInterval: <BidIntrvl>**

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

**BlockingPeriod: <BlckgPrd>**

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

**BuildingNumber: <BldgNb>**

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

**C****CalculationMethod: <ClctnMtd>**

Message Definition AgentCANotificationAdviceV01 11

Message Definition AgentCANotificationCancellationRequestV01 149

## CapitalGain: &lt;CptlGn&gt;

Message Definition AgentCANotificationAdviceV01 15, 20

Message Definition AgentCANotificationCancellationRequestV01 153, 158

## CashAccountIdentification: &lt;CshAcctId&gt;

649

Message Definition AgentCAElectionAdviceV01 297

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 528

## CashAmount: &lt;CshAmt&gt;

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAMovementStatusAdviceV01 493

## CashAmountBroughtForward: &lt;CshAmtBrghFwd&gt;

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## CashAmountCarriedForward: &lt;CshAmtCrrdFwd&gt;

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## CashDistributionDetails: &lt;CshDstrbtnDtls&gt;

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCASstandingInstructionRequestV01 543

Message Definition AgentCASstandingInstructionCancellationRequestV01 550

## CashIncentive: &lt;CshIncntiv&gt;

Message Definition AgentCANotificationAdviceV01 17, 20

Message Definition AgentCANotificationCancellationRequestV01 155, 158

## CashInLieuOfShare: &lt;CshInLieuOfShr&gt;

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

## CashInLieuOfSharePrice: &lt;CshInLieuOfShrPric&gt;

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

## CashMovement: &lt;CshMvmnt&gt;

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

## CashMovementDetails: &lt;CshMvmntDtls&gt;

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

Message Definition AgentCAElectionAdviceV01 295

Message Definition AgentCAElectionCancellationRequestV01 336

Message Definition AgentCAMovementConfirmationV01 480

## CashProceedsMovementDetails: &lt;CshPrcdsMvmntDtls&gt;

Message Definition AgentCAMovementInstructionV01 414

Message Definition AgentCAMovementCancellationRequestV01 448



## CertificationDeadline: &lt;CertfctnDdln&gt;

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

## CertificationIndicator: &lt;CertfctnInd&gt;

Message Definition AgentCANotificationAdviceV01 15

Message Definition AgentCANotificationCancellationRequestV01 153

Message Definition AgentCAElectionAdviceV01 296, 297

Message Definition AgentCAInformationAdviceV01 513, 513

Message Definition AgentCAInformationStatusAdviceV01 527, 528

## CertificationRequiredIndicator: &lt;CertfctnReqrInd&gt;

Message Definition AgentCANotificationAdviceV01 15

Message Definition AgentCANotificationCancellationRequestV01 153

## CertificationType: &lt;CertfctnTp&gt;

Message Definition AgentCANotificationAdviceV01 15, 15

Message Definition AgentCANotificationCancellationRequestV01 153, 153

Message Definition AgentCAElectionAdviceV01 296, 297

Message Definition AgentCAInformationAdviceV01 513, 513

Message Definition AgentCAInformationStatusAdviceV01 527, 528

## ChangeAllowedIndicator: &lt;ChngAllwdInd&gt;

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

## ChangeType: &lt;ChngTp&gt;

Message Definition AgentCANotificationAdviceV01 12

Message Definition AgentCANotificationCancellationRequestV01 150

## ChargeAmount: &lt;ChrgAmt&gt;

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## Charges: &lt;Chrgs&gt;

Message Definition AgentCANotificationAdviceV01 15, 17

Message Definition AgentCANotificationCancellationRequestV01 152, 155

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 382

## ChargesAmount: &lt;ChrgsAmt&gt;

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 159

## ClientAccountIdentification: &lt;ClntAcctId&gt;

Message Definition AgentCAMovementStatusAdviceV01 493

## ClientStandingInstructionIdentification: &lt;ClntStgInstrId&gt;

716

## Code: &lt;Cd&gt;

630, 630, 630, 641, 641, 651, 653, 655, 665, 666, 669, 669, 669, 689, 689, 690, 715, 733, 735, 737, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 787, 789, 791, 795, 808, 810, 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828

Message Definition AgentCANotificationAdviceV01 11, 12, 12, 12, 13, 14, 15, 21

Message Definition AgentCANotificationCancellationRequestV01 149, 150, 150, 150, 151, 152, 153, 159

Message Definition AgentCANotificationStatusAdviceV01 280, 280, 280, 281

Message Definition AgentCAElectionAdviceV01 295, 296

Message Definition AgentCAElectionAmendmentRequestV01 321, 322

Message Definition AgentCAElectionCancellationRequestV01 336

Message Definition AgentCAElectionStatusAdviceV01 352, 353, 353, 353, 354, 354

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400, 400, 400

Message Definition AgentCAMovementInstructionV01 413

Message Definition AgentCAMovementCancellationRequestV01 447

Message Definition AgentCAMovementStatusAdviceV01 493, 493, 493, 494, 494

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527, 528, 528

Message Definition AgentCASstandingInstructionStatusAdviceV01 558, 558, 558, 559

Message Definition AgentCADeactivationInstructionV01 571

Message Definition AgentCADeactivationCancellationRequestV01 578

Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 586, 587, 587

CommissionAmount: <ComssnAmt>

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

CompulsoryPurchasePeriod: <CmplryPurchsPrd>

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

ConfirmedBalanceSecuritiesQuantity: <ConfdBalScitiesQty>

Message Definition AgentCAMovementInstructionV01 413

Message Definition AgentCAMovementCancellationRequestV01 447

ConsentExpirationDate: <CnsntXprtnDt>

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

ConsentRecordDate: <CnsntRcrdDt>

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

ContactDetails: <CtctDtls>

Message Definition AgentCANotificationAdviceV01 22

Message Definition AgentCAElectionAdviceV01 297

Message Definition AgentCAElectionAmendmentRequestV01 322

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCASstandingInstructionRequestV01 543

ContactPerson: <CtctPrsn>

743

Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

ConversionType: <ConvstP>

Message Definition AgentCANotificationAdviceV01 15  
 Message Definition AgentCANotificationCancellationRequestV01 153

CorporateActionAdditionalInformation: <CorpActnAddtlInf>

Message Definition AgentCANotificationAdviceV01 15, 22  
 Message Definition AgentCANotificationCancellationRequestV01 153, 159  
 Message Definition AgentCAInformationAdviceV01 512  
 Message Definition AgentCAInformationStatusAdviceV01 527

CorporateActionDetails: <CorpActnDtls>

Message Definition AgentCANotificationAdviceV01 11  
 Message Definition AgentCANotificationCancellationRequestV01 149

CorporateActionDistributionDetails: <CorpActnDstrbntDtls>

Message Definition AgentCADistributionBreakdownAdviceV01 370

CorporateActionGeneralInformation: <CorpActnGnlInf>

Message Definition AgentCANotificationAdviceV01 11  
 Message Definition AgentCANotificationCancellationRequestV01 149  
 Message Definition AgentCANotificationStatusAdviceV01 280  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionAmendmentRequestV01 321  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCAElectionStatusAdviceV01 352  
 Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
 Message Definition AgentCAGlobalDistributionStatusAdviceV01 399  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447  
 Message Definition AgentCAMovementConfirmationV01 480  
 Message Definition AgentCAMovementStatusAdviceV01 492  
 Message Definition AgentCAInformationAdviceV01 512  
 Message Definition AgentCADEactivationInstructionV01 571  
 Message Definition AgentCADEactivationCancellationRequestV01 578  
 Message Definition AgentCADEactivationStatusAdviceV01 586

CorporateActionNotificationDetails: <CorpActnNtfctnDtls>

Message Definition AgentCANotificationCancellationRequestV01 149

CorporateActionOptionDetails: <CorpActnOptnDtls>

Message Definition AgentCANotificationAdviceV01 15  
 Message Definition AgentCANotificationCancellationRequestV01 153

CorporateActionOtherAgentDetails: <CorpActnOthrAgtDtls>

Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

CorporateActionProcessingIdentification: <CorpActnPrgId>

669, 689

CorrespondentBankIdentification: <CrspdtBkId>

Message Definition AgentCAStandingInstructionRequestV01 543

Message Definition AgentCAStandingInstructionCancellationRequestV01 550

Country: <Ctry>

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

CountryNationalFederalTaxAmount: <CtryNtlFdrIltaxAmt>

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 158

CountrySubDivision: <CtrySubDvsn>

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

CouponClippingDate: <CpnClpngDt>

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

CouponNumber: <CpnNb>

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

CourtApprovalDate: <CrtApprvlDt>

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

CoverExpirationDate: <CoverXprtnDt>

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

CreationDateTime: <CreDtTm>

664

CreditDebitIndicator: <CdtDbtInd>

629, 640

Message Definition AgentCANotificationAdviceV01 18, 19

Message Definition AgentCANotificationCancellationRequestV01 156, 157

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAElectionCancellationRequestV01 337

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAMovementInstructionV01 414, 414, 414

Message Definition AgentCAMovementCancellationRequestV01 448, 448, 448

Message Definition AgentCAMovementConfirmationV01 480

Currency: <Ccy>

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

**D**

Date: <Dt>

656, 657, 659, 659, 659, 659

DateDetails: <DtDtls>

Message Definition AgentCANotificationAdviceV01 13, 16, 19, 19

Message Definition AgentCANotificationCancellationRequestV01 151, 154, 157, 157

DateTime: <DtTm>

656, 657, 659, 659

DeactivationCancellationRequestStatus: <DeactvtnCxlReqSts>

Message Definition AgentCADEactivationStatusAdviceV01 586

DeactivationDateAndTime: <DeactvtnDtAndTm>

Message Definition AgentCADEactivationInstructionV01 571

Message Definition AgentCADEactivationCancellationRequestV01 578

DeactivationDetails: <DeactvtnDtls>

Message Definition AgentCADEactivationInstructionV01 571

DeactivationInstructionDetails: <DeactvtnInstrDtls>

Message Definition AgentCADEactivationCancellationRequestV01 578

DeactivationInstructionStatus: <DeactvtnInstrSts>

Message Definition AgentCADEactivationStatusAdviceV01 586

DeadlineForTaxBreakdownInstruction: <DdlnForTaxBrkdwnInstr>

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

DeadlineToSplit: <DdlnToSpl>

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

DeclarationDetails: <Dclrtndtls>

Message Definition AgentCANotificationAdviceV01 22

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 527

DefaultOptionNumber: <DfltOptnNb>

Message Definition AgentCANotificationAdviceV01 11

Message Definition AgentCANotificationCancellationRequestV01 149

DefaultOptionType: <DfltOptnTp>

Message Definition AgentCANotificationAdviceV01 11

Message Definition AgentCANotificationCancellationRequestV01 149

DeliveryDetails: <DlvryDtls>

Message Definition AgentCAElectionAdviceV01 297

Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 528

Description: <Desc>  
614, 670, 690, 691, 716, 800, 807

DistributionType: <DstrbtnTp>  
Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

DividendRankingDate: <DvddRnkgDt>  
Message Definition AgentCANotificationAdviceV01 19  
Message Definition AgentCANotificationCancellationRequestV01 157

DividendType: <DvddTp>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

DomesticAccount: <DmstAcct>  
650

DomesticIdentificationSource: <DmstIdSrc>  
614, 670, 690, 691, 716, 800, 807

DomicileCountry: <DmclCtry>  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

## E

EarliestPaymentDate: <EarlstPmtDt>  
Message Definition AgentCANotificationAdviceV01 16, 19, 20  
Message Definition AgentCANotificationCancellationRequestV01 154, 157, 157

EarlyClosingDate: <EarlyClsgDt>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

EffectiveDate: <FctvDt>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

ElectedSecuritiesQuantity: <ElctdSctiesQty>  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

ElectionAdviceStatus: <ElctnAdvcs>  
Message Definition AgentCAElectionStatusAdviceV01 352

ElectionAdviceTypeAndLinkage: <ElctnAdvcpAndLkg>

Message Definition AgentCAElectionAdviceV01 294

ElectionAmendmentRequestStatus: <ElctnAmdmntReqSts>  
 Message Definition AgentCAElectionStatusAdviceV01 354

ElectionCancellationRequestStatus: <ElctnCxlReqSts>  
 Message Definition AgentCAElectionStatusAdviceV01 353

ElectionDetails: <ElctnDtls>  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionCancellationRequestV01 336

ElectionToCounterpartyDeadline: <ElctnToCtrPtyDdln>  
 Message Definition AgentCANotificationAdviceV01 14  
 Message Definition AgentCANotificationCancellationRequestV01 152

ElectionType: <ElctnTp>  
 Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151  
 Message Definition AgentCAElectionAdviceV01 294

EmailAddress: <EmailAdr>  
 743

EndDate: <EndDt>  
 659

EntitledAmount: <EntitldAmt>  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

EntitledSecuritiesQuantity: <EntitldSciesQty>  
 Message Definition AgentCADistributionBreakdownAdviceV01 370

EqualisationDate: <EqulstnDt>  
 Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

EURRetentionTaxAmount: <EURtntnTaxAmt>  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

EventProcessingType: <EvtPrcgTp>  
 669, 689

EventStage: <EvtStag>  
 Message Definition AgentCANotificationAdviceV01 11  
 Message Definition AgentCANotificationCancellationRequestV01 149

EventType: <EvtTp>  
 669, 689, 715

## ExchangeRate: &lt;XchgRate&gt;

Message Definition AgentCANotificationAdviceV01 17, 17, 21, 21  
Message Definition AgentCANotificationCancellationRequestV01 155, 155, 159, 159  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

## ExDividendDate: &lt;ExDvddDt&gt;

Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

## ExecutingBrokerAmount: &lt;ExctgBrkrAmt&gt;

Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

## ExercisePrice: &lt;ExrcPric&gt;

Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

## ExpiryDate: &lt;XpryDt&gt;

Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

**F**

## FaceAmount: &lt;FaceAmt&gt;

754  
Message Definition AgentCANotificationAdviceV01 12, 12, 12, 12, 12, 12, 12, 12, 12, 18, 18, 19, 19, 19  
Message Definition AgentCANotificationCancellationRequestV01 149, 150, 150, 150, 150, 150, 150, 150, 150, 156, 156, 156, 157  
Message Definition AgentCAElectionAdviceV01 295, 295, 296, 297  
Message Definition AgentCAElectionAmendmentRequestV01 322, 322, 322  
Message Definition AgentCAElectionCancellationRequestV01 336, 336, 337  
Message Definition AgentCADistributionBreakdownAdviceV01 370  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
Message Definition AgentCAMovementInstructionV01 413, 414, 414, 415  
Message Definition AgentCAMovementCancellationRequestV01 447, 448, 448, 449  
Message Definition AgentCAMovementConfirmationV01 480  
Message Definition AgentCAMovementStatusAdviceV01 493  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

## FailedStatus: &lt;FaildSts&gt;

Message Definition AgentCAMovementStatusAdviceV01 493

## FaxNumber: &lt;FaxNb&gt;

743

## FinalDividend: &lt;Fnldvdd&gt;

Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

## FinancialInstrumentQuantity: &lt;FinInstrmQty&gt;



753

FirstDealingDate: <FrstDealgDt>

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

FiscalStamp: <FscIStmp>

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

FiscalStampAmount: <FscIStmpAmt>

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 159

ForeignExchangeDetails: <FXDtls>

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

ForeignExchangeRateFixingDate: <FXRateFxdDt>

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

FractionDisposition: <FrctnDspstn>

Message Definition AgentCANotificationAdviceV01 19, 22

Message Definition AgentCANotificationCancellationRequestV01 157, 159

FrontEndOddLotSecuritiesQuantity: <FrntEndOddLotSciesQty>

Message Definition AgentCANotificationAdviceV01 12

Message Definition AgentCANotificationCancellationRequestV01 150

FullyFranked: <FullyFrnkD>

Message Definition AgentCANotificationAdviceV01 17

Message Definition AgentCANotificationCancellationRequestV01 155

FullyFrankedAmount: <FullyFrnkDAmt>

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

FurtherDetailedAnnouncementDate: <FrthrDtldAnnmcmtDt>

Message Definition AgentCANotificationAdviceV01 11

## G

GenericCashPricePaidPerProduct: <GncCshPricPdPerPdct>

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

GenericCashPriceReceivedPerProduct: <GncCshPricRcvdPerPdct>

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

GermanLocalTax1: <GrmnLclTax1>

Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

GermanLocalTax1Amount: <GrmnLclTax1Amt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

GermanLocalTax2: <GrmnLclTax2>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

GermanLocalTax2Amount: <GrmnLclTax2Amt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

GermanLocalTax3: <GrmnLclTax3>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

GermanLocalTax3Amount: <GrmnLclTax3Amt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

GermanLocalTax4: <GrmnLclTax4>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

GermanLocalTax4Amount: <GrmnLclTax4Amt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

GivenName: <GvnNm>  
743

GlobalDistributionDetails: <GblDstrbtnDtls>  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

GlobalMovementStatus: <GblMvmntSts>  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 399

GrossAmount: <GrssAmt>  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

GrossCashAmount: <GrssCshAmt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 157

GrossDividend: <GrssDvdd>  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

GuaranteedParticipationDate: <GrntedPrtcptnDt>

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

## H

HighPriorityIndicator: <HghPrtyInd>

Message Definition AgentCAMovementInstructionV01 413

Message Definition AgentCAMovementCancellationRequestV01 447

## I

IBAN: <IBAN>

650

Identification: <Id>

614, 615, 615, 625, 629, 630, 630, 630, 640, 641, 641, 650, 652, 653, 655, 657, 659, 660, 664, 665, 666, 668, 669, 669, 669, 670, 670, 689, 689, 689, 690, 690, 690, 691, 691, 715, 716, 716, 716, 733, 735, 737, 739, 743, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 788, 789, 791, 795, 800, 800, 807, 808, 810, 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828

Message Definition AgentCANotificationAdviceV01 10, 11, 13, 13, 14, 15, 21

Message Definition AgentCANotificationCancellationRequestV01 149, 149, 151, 151, 152, 153, 159

Message Definition AgentCANotificationStatusAdviceV01 279, 280, 280, 280, 281

Message Definition AgentCAElectionAdviceV01 294, 295, 296, 296

Message Definition AgentCAElectionAmendmentRequestV01 321, 321, 322

Message Definition AgentCAElectionCancellationRequestV01 336, 336

Message Definition AgentCAElectionStatusAdviceV01 352, 352, 353, 353, 354, 354

Message Definition AgentCADistributionBreakdownAdviceV01 369, 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 381, 382

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 399, 400, 400, 400

Message Definition AgentCAMovementInstructionV01 412, 413

Message Definition AgentCAMovementCancellationRequestV01 447, 447

Message Definition AgentCAMovementConfirmationV01 479

Message Definition AgentCAMovementStatusAdviceV01 492, 493, 493, 493, 494, 494

Message Definition AgentCAInformationAdviceV01 512, 512, 512

Message Definition AgentCAInformationStatusAdviceV01 527, 527, 527, 528, 528

Message Definition AgentCAStandingInstructionRequestV01 543

Message Definition AgentCAStandingInstructionCancellationRequestV01 550

Message Definition AgentCAStandingInstructionStatusAdviceV01 557, 558, 558, 558, 559

Message Definition AgentCADEactivationInstructionV01 571, 571

Message Definition AgentCADEactivationCancellationRequestV01 578, 578

Message Definition AgentCADEactivationStatusAdviceV01 585, 586, 586, 587, 587

IdentificationType: <IdTp>

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

IncludedIndicator: <InclInd>

716

## IncomeType: &lt;IncmTp&gt;

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

## IncrementalDenomination: &lt;IncrmtlDnmtn&gt;

Message Definition AgentCANotificationAdviceV01 12

Message Definition AgentCANotificationCancellationRequestV01 150

## IndemnityAmount: &lt;IndmntyAmt&gt;

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

## IndexFactor: &lt;IndxFctr&gt;

Message Definition AgentCANotificationAdviceV01 17

Message Definition AgentCANotificationCancellationRequestV01 155

## IndexFixingDate: &lt;IndxFxgDt&gt;

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

## IndexPoints: &lt;IndxPts&gt;

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 155

## IndicativePrice: &lt;IndctvPric&gt;

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

## IndividualMovementStatus: &lt;IndvMvmntSts&gt;

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400

## InformationConditions: &lt;InfConds&gt;

Message Definition AgentCANotificationAdviceV01 15, 22, 22

Message Definition AgentCANotificationCancellationRequestV01 153, 159

## InformationStatusDetails: &lt;InfStsDtls&gt;

Message Definition AgentCAInformationStatusAdviceV01 528

## InformationToComplyWith: &lt;InfToCmplyWth&gt;

Message Definition AgentCANotificationAdviceV01 15, 22, 22

Message Definition AgentCANotificationCancellationRequestV01 153, 160

## InstitutionIdentification: &lt;InstnId&gt;

743

## InstructedSecuritiesQuantityToReceive: &lt;InstdSciesQtyToRcv&gt;

Message Definition AgentCAElectionAdviceV01 295

Message Definition AgentCAElectionCancellationRequestV01 336

## InstructedUnderlyingSecuritiesQuantity: &lt;InstdUndrlygSciesQty&gt;

Message Definition AgentCAElectionAdviceV01 295

Message Definition AgentCAElectionCancellationRequestV01 336

InstructingPartyIdentification: <InstgPtyId>  
715

Interest: <Intrst>  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

InterestAccruedNumberOfDays: <IntrstAcrdNbOfDays>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

InterestAmount: <IntrstAmt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

InterestForUsedPayment: <IntrstForUsdPmt>  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

InterestPeriod: <IntrstPrd>  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

IntermediateSecuritiesDistributionType: <IntrmdtSciesDstrbtnTp>  
Message Definition AgentCANotificationAdviceV01 13, 16  
Message Definition AgentCANotificationCancellationRequestV01 151, 153

ISIN: <ISIN>  
614, 669, 690, 691, 716, 800, 807

IssueDiscountAmount: <IsseDscntAmt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 157

IssuePrice: <IssePric>  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 155

Issuer: <Issr>  
615, 615, 625, 630, 630, 630, 630, 640, 641, 641, 652, 653, 655, 657, 659, 660, 665, 667, 669, 669, 669, 669, 670, 689, 689, 690, 690, 690, 691, 715, 716, 716, 733, 735, 737, 739, 743, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 788, 789, 791, 795, 800, 808, 810, 811, 813, 814, 816, 817, 819, 820, 822, 823, 824, 826, 828  
Message Definition AgentCANotificationAdviceV01 11, 13, 13, 14, 15, 21  
Message Definition AgentCANotificationCancellationRequestV01 149, 151, 151, 152, 153, 159  
Message Definition AgentCANotificationStatusAdviceV01 280, 280, 280, 281  
Message Definition AgentCAElectionAdviceV01 295, 296, 296  
Message Definition AgentCAElectionAmendmentRequestV01 322, 322  
Message Definition AgentCAElectionCancellationRequestV01 336  
Message Definition AgentCAElectionStatusAdviceV01 353, 353, 353, 353, 354, 354  
Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382  
 Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447  
 Message Definition AgentCAMovementStatusAdviceV01 493, 493, 493, 494, 494  
 Message Definition AgentCAInformationAdviceV01 512, 512  
 Message Definition AgentCAInformationStatusAdviceV01 527, 527, 528, 528  
 Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 559, 559  
 Message Definition AgentCADEactivationInstructionV01 571  
 Message Definition AgentCADEactivationCancellationRequestV01 578  
 Message Definition AgentCADEactivationStatusAdviceV01 586, 586, 586, 587, 587

IssuerCorporateActionIdentification: <IssrCorpActnId>  
 669, 689

## L

LapsedDate: <LpsdDt>  
 Message Definition AgentCANotificationAdviceV01 14  
 Message Definition AgentCANotificationCancellationRequestV01 152

LinkageType: <LkgTp>  
 Message Definition AgentCANotificationAdviceV01 10

LinkedAgentCANotificationAdviceIdentification: <LkdAgtCANtftcnAdvId>  
 Message Definition AgentCANotificationAdviceV01 10  
 Message Definition AgentCANotificationCancellationRequestV01 149

LinkedCorporateActionProcessingIdentification: <LkdCorpActnPrcgId>  
 Message Definition AgentCANotificationAdviceV01 11

LinkedIssuerCorporateActionIdentification: <LkdIssrCorpActnId>  
 Message Definition AgentCANotificationAdviceV01 10

LocalBrokerCommissionAmount: <LclBrkrComssnAmt>  
 Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

LocalTaxAmount: <LclTaxAmt>  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

LotteryDate: <LtryDt>  
 Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

LotteryType: <LtryTp>  
 Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

## M

- MandatoryVoluntaryEventType: <MndtryVlntryEvtTp>  
669, 690
- ManufacturedDividendAmount: <ManfctrdDvddAmt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158
- MarginFixingDate: <MrgnFxdt>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151
- MarketClaimAmount: <MktClmAmt>  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158
- MarketDeadline: <MktDdl>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154
- MarketPrice: <MktPric>  
Message Definition AgentCANotificationAdviceV01 19  
Message Definition AgentCANotificationCancellationRequestV01 157
- MaturityDate: <MtrtyDt>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151
- MaximumAllowedOversubscription: <MaxAllwdOvrsbpt>  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155
- MaximumPrice: <MaxPric>  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152
- MinimumExercisableMultipleSecuritiesQuantity: <MinExrcblMltplSciesQty>  
Message Definition AgentCANotificationAdviceV01 12, 18  
Message Definition AgentCANotificationCancellationRequestV01 150, 156
- MinimumExercisableSecuritiesQuantity: <MinExrcblSciesQty>  
Message Definition AgentCANotificationAdviceV01 12, 18  
Message Definition AgentCANotificationCancellationRequestV01 150, 156
- MinimumPrice: <MinPric>  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152
- MovementCancellationStatusDetails: <MvmntCxlStsDtls>  
Message Definition AgentCAMovementStatusAdviceV01 494

## MovementDetails: &lt;MvmntDtls&gt;

Message Definition AgentCAMovementCancellationRequestV01 447

## MovementGeneralInformation: &lt;MvmntGnlInf&gt;

Message Definition AgentCAMovementInstructionV01 413

Message Definition AgentCAMovementCancellationRequestV01 447

## MovementIdentification: &lt;MvmntId&gt;

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400

## MovementStatusDetails: &lt;MvmntStsDtls&gt;

Message Definition AgentCAMovementStatusAdviceV01 493

**N**

## Name: &lt;Nm&gt;

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 716, 739, 743, 743, 749, 800

## NameAndAddress: &lt;NmAndAdr&gt;

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 716, 739, 743, 800

## NamePrefix: &lt;NmPrfx&gt;

743

## Nationality: &lt;Ntlty&gt;

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

## NetAmount: &lt;NetAmt&gt;

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## NetCashAmount: &lt;NetCshAmt&gt;

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 157

## NetDividend: &lt;NetDvdd&gt;

Message Definition AgentCANotificationAdviceV01 17

Message Definition AgentCANotificationCancellationRequestV01 155

## NetOrGross: &lt;NetOrGrss&gt;

Message Definition AgentCAStandingInstructionRequestV01 543

Message Definition AgentCAStandingInstructionCancellationRequestV01 550

## NewBoardLotSecuritiesQuantity: &lt;NewBrdLotScitiesQty&gt;

Message Definition AgentCANotificationAdviceV01 12, 19

Message Definition AgentCANotificationCancellationRequestV01 150, 157

## NewCompanyName: &lt;NewCpnyNm&gt;

Message Definition AgentCANotificationAdviceV01 15, 22



Message Definition AgentCANotificationCancellationRequestV01 153, 160

NewDenominationCurrency: <NewDnmtnCcy>  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

NewDenominationSecuritiesQuantity: <NewDnmtnSciesQty>  
Message Definition AgentCANotificationAdviceV01 12, 19  
Message Definition AgentCANotificationCancellationRequestV01 150, 156

NewInstructedQuantity: <NewInstdQty>  
Message Definition AgentCAElectionAmendmentRequestV01 322

NewPlaceOfIncorporation: <NewPlcOfIncorprtn>  
Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

NewSecuritiesToUnderlyingSecurities: <NewSciesToUndrlygScies>  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 154

NewToOld: <NewToOd>  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

NonDomicileCountry: <NonDmclCtry>  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

NonResidentRate: <NonResdtRate>  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

NotificationAdviceStatus: <NtfctnAdvcs>  
Message Definition AgentCANotificationStatusAdviceV01 280

NotificationCancellationRequestStatus: <NtfctnCxlReqsts>  
Message Definition AgentCANotificationStatusAdviceV01 280

NotificationCancellationType: <NtfctnCxlTp>  
Message Definition AgentCANotificationCancellationRequestV01 149

NotificationCancellationTypeAndLinkage: <NtfctnCxlTpAndLkg>  
Message Definition AgentCANotificationCancellationRequestV01 149

NotificationGeneralInformation: <NtfctnGnlInf>  
Message Definition AgentCANotificationAdviceV01 11

NotificationType: <NtfctnTp>  
Message Definition AgentCANotificationAdviceV01 10

NotificationTypeAndLinkage: <NtfctnTpAndLkg>  
    Message Definition AgentCANotificationAdviceV01 10

NotionalDividendPayable: <NtnlDvddPybl>  
    Message Definition AgentCAMovementInstructionV01 415  
    Message Definition AgentCAMovementCancellationRequestV01 449

NotionalTax: <NtnlTax>  
    Message Definition AgentCAMovementInstructionV01 415  
    Message Definition AgentCAMovementCancellationRequestV01 449

NotSpecified: <NotSpcfd>  
    754, 763  
    Message Definition AgentCANotificationAdviceV01 18  
    Message Definition AgentCANotificationCancellationRequestV01 155

NotSpecifiedDate: <NotSpcfdDt>  
    657, 659, 659

NotSpecifiedRate: <NotSpcfdRate>  
    735, 777, 782, 787, 791, 795  
    Message Definition AgentCANotificationAdviceV01 14, 17, 17  
    Message Definition AgentCANotificationCancellationRequestV01 152, 155, 155

## O

Offeror: <Offerr>  
    Message Definition AgentCANotificationAdviceV01 15, 22  
    Message Definition AgentCANotificationCancellationRequestV01 153, 160

OfferType: <OfferTp>  
    Message Definition AgentCANotificationAdviceV01 12, 16  
    Message Definition AgentCANotificationCancellationRequestV01 150, 153

OfficialAnnouncementPublicationDate: <OffclAnncmntPblctnDt>  
    Message Definition AgentCANotificationAdviceV01 11

OptionAvailabilityStatus: <OptnAvlbtySts>  
    Message Definition AgentCANotificationAdviceV01 15  
    Message Definition AgentCANotificationCancellationRequestV01 153

OptionDetails: <OptnDtls>  
    Message Definition AgentCADeactivationInstructionV01 571  
    Message Definition AgentCADeactivationCancellationRequestV01 578

OptionFeatures: <OptnFeatrs>  
    Message Definition AgentCANotificationAdviceV01 22  
    Message Definition AgentCANotificationCancellationRequestV01 159

OptionNumber: <OptnNb>  
    630, 641  
    Message Definition AgentCANotificationAdviceV01 15

Message Definition AgentCANotificationCancellationRequestV01 153  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionAmendmentRequestV01 322, 322  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447  
 Message Definition AgentCADeactivationInstructionV01 571  
 Message Definition AgentCADeactivationCancellationRequestV01 578  
 Message Definition AgentCADeactivationStatusAdviceV01 586

OptionType: <OptnTp>

630, 641  
 Message Definition AgentCANotificationAdviceV01 15  
 Message Definition AgentCANotificationCancellationRequestV01 153  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionAmendmentRequestV01 321, 322  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447  
 Message Definition AgentCADeactivationInstructionV01 571  
 Message Definition AgentCADeactivationCancellationRequestV01 578  
 Message Definition AgentCADeactivationStatusAdviceV01 586

OrderType: <OrdTp>

Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447

OriginalAmount: <OrgnlAmt>

Message Definition AgentCANotificationAdviceV01 17, 20  
 Message Definition AgentCANotificationCancellationRequestV01 155, 158  
 Message Definition AgentCAMovementInstructionV01 416  
 Message Definition AgentCAMovementCancellationRequestV01 449

OriginalElectionDetails: <OrgnlElctnDtls>

Message Definition AgentCAElectionAmendmentRequestV01 321

OriginalInstructedQuantity: <OrgnlInstQty>

Message Definition AgentCAElectionAmendmentRequestV01 322

OtherIdentification: <OthrId>

614, 670, 690, 691, 716, 800, 807

OtherUnderlyingSecurity: <OthrUndrlygScty>

690

OverSubscriptionDepositPrice: <OverSbcptDpstPric>

Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 156

**P**

ParallelTradingPeriod: <ParllTradgPrd>

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

PariPassuDate: <PrpssDt>

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

PartialElectionIndicator: <PrtlElctnInd>

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 150

PayingAgentCommissionAmount: <PngAgtComssnAmt>

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 159

PaymentCurrency: <PmtCcy>

Message Definition AgentCAsstandingInstructionRequestV01 543

Message Definition AgentCAsstandingInstructionCancellationRequestV01 550

PaymentDate: <PmtDt>

Message Definition AgentCANotificationAdviceV01 16, 19, 19

Message Definition AgentCANotificationCancellationRequestV01 154, 157, 157

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

PaymentLevyTaxAmount: <PmtLevyTaxAmt>

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 158

PercentageSought: <PctgSght>

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

PeriodDetails: <PrdDtls>

Message Definition AgentCANotificationAdviceV01 14, 18

Message Definition AgentCANotificationCancellationRequestV01 152, 156

PhoneNumber: <PhneNb>

743

PlaceOfListing: <PlcOfListg>

670, 690, 691, 716, 800

PostageFeeAmount: <PstgFeeAmt>

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 159

PostCode: <PstCd>

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

PostingAmount: <PstngAmt>

Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAElectionCancellationRequestV01 337  
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Message Definition AgentCASstandingInstructionRequestV01 543

Message Definition AgentCASstandingInstructionCancellationRequestV01 550

## &lt;AcctOwnrId&gt;: AccountOwnerIdentification

614, 625, 629, 640

Message Definition AgentCAElectionAdviceV01 296, 297

Message Definition AgentCAElectionCancellationRequestV01 337

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAMovementInstructionV01 413, 414, 414, 415

Message Definition AgentCAMovementCancellationRequestV01 447, 448, 448, 448

Message Definition AgentCAMovementConfirmationV01 480

Message Definition AgentCAMovementStatusAdviceV01 493

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 528

Message Definition AgentCASstandingInstructionRequestV01 543

Message Definition AgentCASstandingInstructionCancellationRequestV01 550

## &lt;AcctOwnrNtlty&gt;: AccountOwnerNationality

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 448

## &lt;AcctSvrId&gt;: AccountServicerIdentification

Message Definition AgentCAElectionAdviceV01 297

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 528

## &lt;ActnPrd&gt;: ActionPeriod

Message Definition AgentCANotificationAdviceV01 14, 18

Message Definition AgentCANotificationCancellationRequestV01 152, 156

## &lt;AddtlId&gt;: AdditionalIdentification

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

## &lt;AddtlInf&gt;: AdditionalInformation

Message Definition AgentCANotificationAdviceV01 22

Message Definition AgentCANotificationStatusAdviceV01 280, 280, 281, 281

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAElectionStatusAdviceV01 353, 353, 353, 353, 354, 354

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400

- Message Definition AgentCAMovementStatusAdviceV01 493, 493, 494, 494
- Message Definition AgentCAInformationStatusAdviceV01 528, 528
- Message Definition AgentCAStandingInstructionRequestV01 543
- Message Definition AgentCAStandingInstructionCancellationRequestV01 550
- Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 559, 559
- Message Definition AgentCADEactivationStatusAdviceV01 586, 586, 587, 587
  
- <AddtlInstr>: AdditionalInstruction
  - Message Definition AgentCAElectionAdviceV01 297
  - Message Definition AgentCAInformationAdviceV01 513
  - Message Definition AgentCAInformationStatusAdviceV01 528
  
- <AddtlQtyForExstgScties>: AdditionalQuantityForExistingSecurities
  - Message Definition AgentCANotificationAdviceV01 17, 19
  - Message Definition AgentCANotificationCancellationRequestV01 154, 157
  
- <AddtlQtyForSbcdbdRsltntScties>: AdditionalQuantityForSubscribedResultantSecurities
  - Message Definition AgentCANotificationAdviceV01 17, 19
  - Message Definition AgentCANotificationCancellationRequestV01 154, 157
  
- <AddtlSbcptCost>: AdditionalSubscriptionCost
  - Message Definition AgentCANotificationAdviceV01 20
  - Message Definition AgentCANotificationCancellationRequestV01 158
  
- <AddtlTax>: AdditionalTax
  - Message Definition AgentCANotificationAdviceV01 17
  - Message Definition AgentCANotificationCancellationRequestV01 155
  
- <AddtlTaxAmt>: AdditionalTaxAmount
  - Message Definition AgentCANotificationAdviceV01 21
  - Message Definition AgentCANotificationCancellationRequestV01 159
  
- <AddtlTxt>: AdditionalText
  - Message Definition AgentCANotificationAdviceV01 15, 22, 22
  - Message Definition AgentCANotificationCancellationRequestV01 153, 160
  
- <Adr>: Address
  - 615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 800
  
- <AdrLine>: AddressLine
  - 615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801
  
- <AdrTp>: AddressType
  - 615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801
  
- <AgtAcctId>: AgentAccountIdentification
  - Message Definition AgentCAMovementStatusAdviceV01 493
  
- <AgtCADEactvtnCxlReqId>: AgentCADEactivationCancellationRequestIdentification
  - Message Definition AgentCADEactivationStatusAdviceV01 585
  
- <AgtCADEactvtnInstrId>: AgentCADEactivationInstructionIdentification

Message Definition AgentCADeactivationCancellationRequestV01 578  
 Message Definition AgentCADeactivationStatusAdviceV01 585  
  
 <AgtCAElctnAdvId>: AgentCAElectionAdviceIdentification  
 Message Definition AgentCAElectionAmendmentRequestV01 321  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCAElectionStatusAdviceV01 352  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAInformationAdviceV01 512  
  
 <AgtCAElctnAmdmntReqId>: AgentCAElectionAmendmentRequestIdentification  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionStatusAdviceV01 352  
  
 <AgtCAElctnCxlReqId>: AgentCAElectionCancellationRequestIdentification  
 Message Definition AgentCAElectionStatusAdviceV01 352  
  
 <AgtCAElctnStsAdvId>: AgentCAElectionStatusAdviceIdentification  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAMovementConfirmationV01 479  
 Message Definition AgentCAMovementStatusAdviceV01 492  
  
 <AgtCAGblDstrbtnAuthstnReqId>: AgentCAGlobalDistributionAuthorisationRequestIdentification  
 Message Definition AgentCAGlobalDistributionStatusAdviceV01 399  
  
 <AgtCAGblDstrbtnStsAdvId>: AgentCAGlobalDistributionStatusAdviceIdentification  
 Message Definition AgentCAMovementConfirmationV01 479  
 Message Definition AgentCAMovementStatusAdviceV01 492  
  
 <AgtCAInfAdvId>: AgentCAInformationAdviceIdentification  
 Message Definition AgentCAInformationStatusAdviceV01 527  
  
 <AgtCAMvmntCxlReqId>: AgentCAMovementCancellationRequestIdentification  
 Message Definition AgentCAMovementStatusAdviceV01 492  
  
 <AgtCAMvmntInstrId>: AgentCAMovementInstructionIdentification  
 Message Definition AgentCAMovementCancellationRequestV01 447  
 Message Definition AgentCAMovementConfirmationV01 479  
 Message Definition AgentCAMovementStatusAdviceV01 492  
  
 <AgtCANtfctnAdvId>: AgentCANotificationAdviceIdentification  
 Message Definition AgentCANotificationStatusAdviceV01 279  
  
 <AgtCANtfctnCxlReqId>: AgentCANotificationCancellationRequestIdentification  
 Message Definition AgentCANotificationStatusAdviceV01 279  
  
 <AgtCAStgInstrCxlReqId>: AgentCAStandingInstructionCancellationRequestIdentification  
 Message Definition AgentCAStandingInstructionStatusAdviceV01 557  
  
 <AgtCAStgInstrReqId>: AgentCAStandingInstructionRequestIdentification  
 Message Definition AgentCAStandingInstructionCancellationRequestV01 550  
 Message Definition AgentCAStandingInstructionStatusAdviceV01 557

<AgtCshAcctId>: AgentCashAccountIdentification  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 153

<AgtId>: AgentIdentification  
668, 689  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

<AgtRole>: AgentRole  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

<AgtSciesAcctId>: AgentSecuritiesAccountIdentification  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 153

<AlltdShrsCost>: AllotedSharesCost  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<AmddElctnDtls>: AmendedElectionDetails  
Message Definition AgentCAElectionAmendmentRequestV01 322

<Amt>: Amount  
735, 753, 754, 763, 769, 775, 777, 777, 782, 782, 795, 795  
Message Definition AgentCANotificationAdviceV01 14, 17, 17, 17, 17  
Message Definition AgentCANotificationCancellationRequestV01 152, 155, 155, 155, 155  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 382, 382  
Message Definition AgentCAMovementInstructionV01 414  
Message Definition AgentCAMovementCancellationRequestV01 448

<Amt1>: Amount1  
791, 794

<Amt2>: Amount2  
791, 794

<AmtDtls>: AmountDetails  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 157

<AmtPricPerAmt>: AmountPricePerAmount  
754

<AmtPricPerFinInstrmQty>: AmountPricePerFinancialInstrumentQuantity  
753

<AmtPricTp>: AmountPriceType  
753, 753, 754, 763, 769  
Message Definition AgentCANotificationAdviceV01 18, 18

Message Definition AgentCANotificationCancellationRequestV01 155, 156

<AmtToAmt>: AmountToAmount  
791, 794

<AmtToQty>: AmountToQuantity  
794

<AnncmntDt>: AnnouncementDate  
Message Definition AgentCANotificationAdviceV01 11

<AplblRate>: ApplicableRate  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

<AssntdLinePrd>: AssentedLinePeriod  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 156

<AssntdLineSctyId>: AssentedLineSecurityIdentification  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 153

<AvlblDt>: AvailableDate  
Message Definition AgentCANotificationAdviceV01 19  
Message Definition AgentCANotificationCancellationRequestV01 157

## B

<BalTp>: BalanceType  
630, 641  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 448  
Message Definition AgentCAMovementConfirmationV01 480

<BaseDnmtn>: BaseDenomination  
Message Definition AgentCANotificationAdviceV01 12  
Message Definition AgentCANotificationCancellationRequestV01 150

<BBAN>: BBAN  
650

<BckEndOddLotSciesQty>: BackEndOddLotSecuritiesQuantity  
Message Definition AgentCANotificationAdviceV01 11  
Message Definition AgentCANotificationCancellationRequestV01 149

<BICOrBEI>: BICOrBEI  
615, 615, 625, 629, 640, 668, 670, 689, 690, 691, 715, 716, 739, 743, 800

<BidIntrvl>: BidInterval  
Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

<BlckgPrd>: BlockingPeriod

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

<BldgNb>: BuildingNumber

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

<BnfcOwnrDtls>: BeneficialOwnerDetails

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

<BnfcOwnrId>: BeneficialOwnerIdentification

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527

<BrngDt>: BargainDate

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

<BrngSttlmDt>: BargainSettlementDate

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## C

<Ccy>: Currency

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

<Cd>: Code

630, 630, 630, 641, 641, 651, 653, 655, 665, 666, 669, 669, 669, 689, 689, 690, 715, 733, 735, 737, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 787, 789, 791, 795, 808, 810, 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828

Message Definition AgentCANotificationAdviceV01 11, 12, 12, 12, 13, 14, 15, 21

Message Definition AgentCANotificationCancellationRequestV01 149, 150, 150, 150, 151, 152, 153, 159

Message Definition AgentCANotificationStatusAdviceV01 280, 280, 280, 281

Message Definition AgentCAElectionAdviceV01 295, 296

Message Definition AgentCAElectionAmendmentRequestV01 321, 322

Message Definition AgentCAElectionCancellationRequestV01 336

Message Definition AgentCAElectionStatusAdviceV01 352, 353, 353, 353, 354, 354

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400, 400, 400

Message Definition AgentCAMovementInstructionV01 413

Message Definition AgentCAMovementCancellationRequestV01 447

Message Definition AgentCAMovementStatusAdviceV01 493, 493, 493, 494, 494

Message Definition AgentCAInformationAdviceV01 512

Message Definition AgentCAInformationStatusAdviceV01 527, 528, 528

Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 558, 559  
Message Definition AgentCADeactivationInstructionV01 571  
Message Definition AgentCADeactivationCancellationRequestV01 578  
Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 586, 587, 587

<CdtDbtInd>: CreditDebitIndicator

629, 640  
Message Definition AgentCANotificationAdviceV01 18, 19  
Message Definition AgentCANotificationCancellationRequestV01 156, 157  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAElectionCancellationRequestV01 337  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382  
Message Definition AgentCAMovementInstructionV01 414, 414, 414  
Message Definition AgentCAMovementCancellationRequestV01 448, 448, 448  
Message Definition AgentCAMovementConfirmationV01 480

<CertfctnDdlN>: CertificationDeadline

Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<CertfctnInd>: CertificationIndicator

Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153  
Message Definition AgentCAElectionAdviceV01 296, 297  
Message Definition AgentCAInformationAdviceV01 513, 513  
Message Definition AgentCAInformationStatusAdviceV01 527, 528

<CertfctnReqrInd>: CertificationRequiredIndicator

Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

<CertfctnTp>: CertificationType

Message Definition AgentCANotificationAdviceV01 15, 15  
Message Definition AgentCANotificationCancellationRequestV01 153, 153  
Message Definition AgentCAElectionAdviceV01 296, 297  
Message Definition AgentCAInformationAdviceV01 513, 513  
Message Definition AgentCAInformationStatusAdviceV01 527, 528

<ChngAllwdInd>: ChangeAllowedIndicator

Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

<ChngTp>: ChangeType

Message Definition AgentCANotificationAdviceV01 12  
Message Definition AgentCANotificationCancellationRequestV01 150

<ChrgAmt>: ChargeAmount

Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<Chrgs>: Charges

Message Definition AgentCANotificationAdviceV01 15, 17



Message Definition AgentCANotificationCancellationRequestV01 152, 155  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 382

<ChrgsAmt>: ChargesAmount  
 Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

<ClctnMtd>: CalculationMethod  
 Message Definition AgentCANotificationAdviceV01 11  
 Message Definition AgentCANotificationCancellationRequestV01 149

<ClntAcctId>: ClientAccountIdentification  
 Message Definition AgentCAMovementStatusAdviceV01 493

<ClntStgInstrId>: ClientStandingInstructionIdentification  
 716

<CmplrsyPurchsPrd>: CompulsoryPurchasePeriod  
 Message Definition AgentCANotificationAdviceV01 14  
 Message Definition AgentCANotificationCancellationRequestV01 152

<CnsntRcrdDt>: ConsentRecordDate  
 Message Definition AgentCANotificationAdviceV01 16  
 Message Definition AgentCANotificationCancellationRequestV01 154

<CnsntXprtnDt>: ConsentExpirationDate  
 Message Definition AgentCANotificationAdviceV01 16  
 Message Definition AgentCANotificationCancellationRequestV01 154

<ComssnAmt>: CommissionAmount  
 Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449

<ConfdBalScitiesQty>: ConfirmedBalanceSecuritiesQuantity  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447

<ConvstTp>: ConversionType  
 Message Definition AgentCANotificationAdviceV01 15  
 Message Definition AgentCANotificationCancellationRequestV01 153

<CorpActnAddtlInf>: CorporateActionAdditionalInformation  
 Message Definition AgentCANotificationAdviceV01 15, 22  
 Message Definition AgentCANotificationCancellationRequestV01 153, 159  
 Message Definition AgentCAInformationAdviceV01 512  
 Message Definition AgentCAInformationStatusAdviceV01 527

<CorpActnDstrbntnDtls>: CorporateActionDistributionDetails  
 Message Definition AgentCADistributionBreakdownAdviceV01 370

<CorpActnDtls>: CorporateActionDetails  
 Message Definition AgentCANotificationAdviceV01 11

## Message Definition AgentCANotificationCancellationRequestV01 149

## &lt;CorpActnGnlInf&gt;: CorporateActionGeneralInformation

Message Definition AgentCANotificationAdviceV01 11  
Message Definition AgentCANotificationCancellationRequestV01 149  
Message Definition AgentCANotificationStatusAdviceV01 280  
Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAElectionAmendmentRequestV01 321  
Message Definition AgentCAElectionCancellationRequestV01 336  
Message Definition AgentCAElectionStatusAdviceV01 352  
Message Definition AgentCADistributionBreakdownAdviceV01 370  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 399  
Message Definition AgentCAMovementInstructionV01 413  
Message Definition AgentCAMovementCancellationRequestV01 447  
Message Definition AgentCAMovementConfirmationV01 480  
Message Definition AgentCAMovementStatusAdviceV01 492  
Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCADEactivationInstructionV01 571  
Message Definition AgentCADEactivationCancellationRequestV01 578  
Message Definition AgentCADEactivationStatusAdviceV01 586

## &lt;CorpActnNtfctnDtls&gt;: CorporateActionNotificationDetails

Message Definition AgentCANotificationCancellationRequestV01 149

## &lt;CorpActnOptnDtls&gt;: CorporateActionOptionDetails

Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

## &lt;CorpActnOthrAgtDtls&gt;: CorporateActionOtherAgentDetails

Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

## &lt;CorpActnPrcgId&gt;: CorporateActionProcessingIdentification

669, 689

## &lt;CoverXprtnDt&gt;: CoverExpirationDate

Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

## &lt;CpnClpngDt&gt;: CouponClippingDate

Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

## &lt;CpnNb&gt;: CouponNumber

Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

## &lt;CptlGn&gt;: CapitalGain

Message Definition AgentCANotificationAdviceV01 15, 20  
Message Definition AgentCANotificationCancellationRequestV01 153, 158

<CreDtTm>: CreationDateTime  
664

<CrspdtBkId>: CorrespondentBankIdentification  
Message Definition AgentCAStandingInstructionRequestV01 543  
Message Definition AgentCAStandingInstructionCancellationRequestV01 550

<CrtApprvlDt>: CourtApprovalDate  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

<CshAcctId>: CashAccountIdentification  
649  
Message Definition AgentCAElectionAdviceV01 297  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 528

<CshAmt>: CashAmount  
Message Definition AgentCADistributionBreakdownAdviceV01 370  
Message Definition AgentCAMovementStatusAdviceV01 493

<CshAmtBrghFwd>: CashAmountBroughtForward  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<CshAmtCrrdFwd>: CashAmountCarriedForward  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<CshDstrbntDtls>: CashDistributionDetails  
Message Definition AgentCADistributionBreakdownAdviceV01 370  
Message Definition AgentCAStandingInstructionRequestV01 543  
Message Definition AgentCAStandingInstructionCancellationRequestV01 550

<CshIncentiv>: CashIncentive  
Message Definition AgentCANotificationAdviceV01 17, 20  
Message Definition AgentCANotificationCancellationRequestV01 155, 158

<CshInLieuOfShr>: CashInLieuOfShare  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<CshInLieuOfShrPric>: CashInLieuOfSharePrice  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 156

<CshMvmnt>: CashMovement  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

<CshMvmntDtls>: CashMovementDetails  
Message Definition AgentCANotificationAdviceV01 19  
Message Definition AgentCANotificationCancellationRequestV01 157

Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCAMovementConfirmationV01 480  
  
 <CshPrcdsMvmntDtls>: CashProceedsMovementDetails  
   Message Definition AgentCAMovementInstructionV01 414  
   Message Definition AgentCAMovementCancellationRequestV01 448  
  
 <CtctDtls>: ContactDetails  
   Message Definition AgentCANotificationAdviceV01 22  
   Message Definition AgentCAElectionAdviceV01 297  
   Message Definition AgentCAElectionAmendmentRequestV01 322  
   Message Definition AgentCAInformationAdviceV01 513  
   Message Definition AgentCAStandingInstructionRequestV01 543  
  
 <CtctPrsn>: ContactPerson  
   743  
   Message Definition AgentCANotificationAdviceV01 21  
   Message Definition AgentCANotificationCancellationRequestV01 159  
  
 <Ctry>: Country  
   615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801  
  
 <CtryNtlFdrlTaxAmt>: CountryNationalFederalTaxAmount  
   Message Definition AgentCANotificationAdviceV01 21  
   Message Definition AgentCANotificationCancellationRequestV01 158  
  
 <CtrySubDvsn>: CountrySubDivision  
   615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

## D

<Dclrtndtls>: DeclarationDetails  
   Message Definition AgentCANotificationAdviceV01 22  
   Message Definition AgentCAElectionAdviceV01 296  
   Message Definition AgentCAInformationAdviceV01 513  
   Message Definition AgentCAInformationStatusAdviceV01 527  
  
 <DdlnForTaxBrkdwnInstr>: DeadlineForTaxBreakdownInstruction  
   Message Definition AgentCANotificationAdviceV01 14  
   Message Definition AgentCANotificationCancellationRequestV01 152  
  
 <DdlnToSpl>: DeadlineToSplit  
   Message Definition AgentCANotificationAdviceV01 16  
   Message Definition AgentCANotificationCancellationRequestV01 154  
  
 <DeactvtnCxlReqSts>: DeactivationCancellationRequestStatus  
   Message Definition AgentCADeactivationStatusAdviceV01 586  
  
 <DeactvtnDtAndTm>: DeactivationDateAndTime  
   Message Definition AgentCADeactivationInstructionV01 571  
   Message Definition AgentCADeactivationCancellationRequestV01 578

<DeactvtnDtls>: DeactivationDetails  
Message Definition AgentCADeactivationInstructionV01 571

<DeactvtnInstrDtls>: DeactivationInstructionDetails  
Message Definition AgentCADeactivationCancellationRequestV01 578

<DeactvtnInstrSts>: DeactivationInstructionStatus  
Message Definition AgentCADeactivationStatusAdviceV01 586

<Desc>: Description  
614, 670, 690, 691, 716, 800, 807

<DfltOptnNb>: DefaultOptionNumber  
Message Definition AgentCANotificationAdviceV01 11  
Message Definition AgentCANotificationCancellationRequestV01 149

<DfltOptnTp>: DefaultOptionType  
Message Definition AgentCANotificationAdviceV01 11  
Message Definition AgentCANotificationCancellationRequestV01 149

<DlvryDtls>: DeliveryDetails  
Message Definition AgentCAElectionAdviceV01 297  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 528

<DmclCtry>: DomicileCountry  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

<DmstAcct>: DomesticAccount  
650

<DmstIdSrc>: DomesticIdentificationSource  
614, 670, 690, 691, 716, 800, 807

<DstrbtnTp>: DistributionType  
Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

<Dt>: Date  
656, 657, 659, 659, 659, 659

<DtDtls>: DateDetails  
Message Definition AgentCANotificationAdviceV01 13, 16, 19, 19  
Message Definition AgentCANotificationCancellationRequestV01 151, 154, 157, 157

<DtTm>: DateTime  
656, 657, 659, 659

<DvddRnkgDt>: DividendRankingDate

Message Definition AgentCANotificationAdviceV01 19  
Message Definition AgentCANotificationCancellationRequestV01 157

<DvddTp>: DividendType

Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

## E

<EarlstPmtDt>: EarliestPaymentDate

Message Definition AgentCANotificationAdviceV01 16, 19, 20  
Message Definition AgentCANotificationCancellationRequestV01 154, 157, 157

<EarlyClsgDt>: EarlyClosingDate

Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

<ElctdSciesQty>: ElectedSecuritiesQuantity

Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

<ElctnAdvcs>: ElectionAdviceStatus

Message Definition AgentCAElectionStatusAdviceV01 352

<ElctnAdvcsTpAndLkg>: ElectionAdviceTypeAndLinkage

Message Definition AgentCAElectionAdviceV01 294

<ElctnAmdmntReqSts>: ElectionAmendmentRequestStatus

Message Definition AgentCAElectionStatusAdviceV01 354

<ElctnCxlReqSts>: ElectionCancellationRequestStatus

Message Definition AgentCAElectionStatusAdviceV01 353

<ElctnDtls>: ElectionDetails

Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAElectionCancellationRequestV01 336

<ElctnToCtrPtyDdln>: ElectionToCounterpartyDeadline

Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<ElctnTp>: ElectionType

Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151  
Message Definition AgentCAElectionAdviceV01 294

<EmailAdr>: EmailAddress

743

<EndDt>: EndDate

659

<EntitldAmt>: EntitledAmount  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<EntitldSciesQty>: EntitledSecuritiesQuantity  
 Message Definition AgentCADistributionBreakdownAdviceV01 370

<EqulstnDt>: EqualisationDate  
 Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

<EURtntnTaxAmt>: EURetentionTaxAmount  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<EvtPrcgTp>: EventProcessingType  
 669, 689

<EvtStag>: EventStage  
 Message Definition AgentCANotificationAdviceV01 11  
 Message Definition AgentCANotificationCancellationRequestV01 149

<EvtTp>: EventType  
 669, 689, 715

<ExctgBrkrAmt>: ExecutingBrokerAmount  
 Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

<ExDvddDt>: ExDividendDate  
 Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

<ExrcPric>: ExercisePrice  
 Message Definition AgentCANotificationAdviceV01 17  
 Message Definition AgentCANotificationCancellationRequestV01 155

## F

<FaceAmt>: FaceAmount  
 754  
 Message Definition AgentCANotificationAdviceV01 12, 12, 12, 12, 12, 12, 12, 12, 12, 18, 18, 19, 19, 19  
 Message Definition AgentCANotificationCancellationRequestV01 149, 150, 150, 150, 150, 150, 150, 150, 150, 156, 156, 156, 157  
 Message Definition AgentCAElectionAdviceV01 295, 295, 296, 297  
 Message Definition AgentCAElectionAmendmentRequestV01 322, 322, 322  
 Message Definition AgentCAElectionCancellationRequestV01 336, 336, 337  
 Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
 Message Definition AgentCAMovementInstructionV01 413, 414, 414, 415  
 Message Definition AgentCAMovementCancellationRequestV01 447, 448, 448, 449

Message Definition AgentCAMovementConfirmationV01 480  
Message Definition AgentCAMovementStatusAdviceV01 493  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

<FaildSts>: FailedStatus  
Message Definition AgentCAMovementStatusAdviceV01 493

<FaxNb>: FaxNumber  
743

<FctvDt>: EffectiveDate  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

<FinInstrmQty>: FinancialInstrumentQuantity  
753

<FnlDvdd>: FinalDividend  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

<FrctnDspstn>: FractionDisposition  
Message Definition AgentCANotificationAdviceV01 19, 22  
Message Definition AgentCANotificationCancellationRequestV01 157, 159

<FrntEndOddLotScitiesQty>: FrontEndOddLotSecuritiesQuantity  
Message Definition AgentCANotificationAdviceV01 12  
Message Definition AgentCANotificationCancellationRequestV01 150

<FrstDealgDt>: FirstDealingDate  
Message Definition AgentCANotificationAdviceV01 19  
Message Definition AgentCANotificationCancellationRequestV01 157

<FrthrDtldAnncmntDt>: FurtherDetailedAnnouncementDate  
Message Definition AgentCANotificationAdviceV01 11

<FsclStmp>: FiscalStamp  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

<FsclStmpAmt>: FiscalStampAmount  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

<FullyFrnk>: FullyFranked  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

<FullyFrnkAmt>: FullyFrankedAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158



## &lt;FXDtls&gt;: ForeignExchangeDetails

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## &lt;FXRateFxdDt&gt;: ForeignExchangeRateFixingDate

Message Definition AgentCANotificationAdviceV01 19

Message Definition AgentCANotificationCancellationRequestV01 157

**G**

## &lt;GblDstrbtnDtls&gt;: GlobalDistributionDetails

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

## &lt;GblMvmntSts&gt;: GlobalMovementStatus

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399

## &lt;GncCshPricPdPerPdct&gt;: GenericCashPricePaidPerProduct

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

## &lt;GncCshPricRcvdPerPdct&gt;: GenericCashPriceReceivedPerProduct

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

## &lt;GrmnLclTax1&gt;: GermanLocalTax1

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

## &lt;GrmnLclTax1Amt&gt;: GermanLocalTax1Amount

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

## &lt;GrmnLclTax2&gt;: GermanLocalTax2

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

## &lt;GrmnLclTax2Amt&gt;: GermanLocalTax2Amount

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

## &lt;GrmnLclTax3&gt;: GermanLocalTax3

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

## &lt;GrmnLclTax3Amt&gt;: GermanLocalTax3Amount

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

## &lt;GrmnLclTax4&gt;: GermanLocalTax4

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

<GrmnLclTax4Amt>: GermanLocalTax4Amount  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<GrntedPrctptnDt>: GuaranteedParticipationDate  
 Message Definition AgentCANotificationAdviceV01 14  
 Message Definition AgentCANotificationCancellationRequestV01 152

<GrssAmt>: GrossAmount  
 Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449

<GrssCshAmt>: GrossCashAmount  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 157

<GrssDvdd>: GrossDividend  
 Message Definition AgentCANotificationAdviceV01 17  
 Message Definition AgentCANotificationCancellationRequestV01 155

<GvnNm>: GivenName  
 743

## H

<HghPrtyInd>: HighPriorityIndicator  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447

## I

<IBAN>: IBAN  
 650

<Id>: Identification  
 614, 615, 615, 625, 629, 630, 630, 630, 640, 641, 641, 650, 652, 653, 655, 657, 659, 660, 664, 665, 666, 668, 669,  
 669, 669, 670, 670, 689, 689, 689, 690, 690, 690, 691, 691, 715, 716, 716, 716, 733, 735, 737, 739, 743, 752, 753,  
 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 788, 789, 791, 795, 800, 800, 807, 808, 810,  
 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828  
 Message Definition AgentCANotificationAdviceV01 10, 11, 13, 13, 14, 15, 21  
 Message Definition AgentCANotificationCancellationRequestV01 149, 149, 151, 151, 152, 153, 159  
 Message Definition AgentCANotificationStatusAdviceV01 279, 280, 280, 280, 281  
 Message Definition AgentCAElectionAdviceV01 294, 295, 296, 296  
 Message Definition AgentCAElectionAmendmentRequestV01 321, 321, 322  
 Message Definition AgentCAElectionCancellationRequestV01 336, 336  
 Message Definition AgentCAElectionStatusAdviceV01 352, 352, 353, 353, 354, 354  
 Message Definition AgentCADistributionBreakdownAdviceV01 369, 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 381, 382  
 Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 399, 400, 400, 400  
 Message Definition AgentCAMovementInstructionV01 412, 413

Message Definition AgentCAMovementCancellationRequestV01 447, 447  
 Message Definition AgentCAMovementConfirmationV01 479  
 Message Definition AgentCAMovementStatusAdviceV01 492, 493, 493, 493, 494, 494  
 Message Definition AgentCAInformationAdviceV01 512, 512, 512  
 Message Definition AgentCAInformationStatusAdviceV01 527, 527, 527, 528, 528  
 Message Definition AgentCAStandingInstructionRequestV01 543  
 Message Definition AgentCAStandingInstructionCancellationRequestV01 550  
 Message Definition AgentCAStandingInstructionStatusAdviceV01 557, 558, 558, 558, 559  
 Message Definition AgentCADeactivationInstructionV01 571, 571  
 Message Definition AgentCADeactivationCancellationRequestV01 578, 578  
 Message Definition AgentCADeactivationStatusAdviceV01 585, 586, 586, 586, 587, 587

<IdTp>: IdentificationType

Message Definition AgentCAElectionAdviceV01 296  
 Message Definition AgentCAInformationAdviceV01 512  
 Message Definition AgentCAInformationStatusAdviceV01 527

<InclInd>: IncludedIndicator

716

<IncmTp>: IncomeType

Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

<IncrmtlDnmtn>: IncrementalDenomination

Message Definition AgentCANotificationAdviceV01 12  
 Message Definition AgentCANotificationCancellationRequestV01 150

<IndctvPric>: IndicativePrice

Message Definition AgentCANotificationAdviceV01 19  
 Message Definition AgentCANotificationCancellationRequestV01 157

<IndmntyAmt>: IndemnityAmount

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<IndvMvmntSts>: IndividualMovementStatus

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400

<IndxFctr>: IndexFactor

Message Definition AgentCANotificationAdviceV01 17  
 Message Definition AgentCANotificationCancellationRequestV01 155

<IndxFxgDt>: IndexFixingDate

Message Definition AgentCANotificationAdviceV01 13  
 Message Definition AgentCANotificationCancellationRequestV01 151

<IndxPts>: IndexPoints

Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 155

<InfConds>: InformationConditions

Message Definition AgentCANotificationAdviceV01 15, 22, 22  
Message Definition AgentCANotificationCancellationRequestV01 153, 159

<InfStsDtls>: InformationStatusDetails  
Message Definition AgentCAInformationStatusAdviceV01 528

<InfToCmplyWth>: InformationToComplyWith  
Message Definition AgentCANotificationAdviceV01 15, 22, 22  
Message Definition AgentCANotificationCancellationRequestV01 153, 160

<InstdSciesQtyToRcv>: InstructedSecuritiesQuantityToReceive  
Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAElectionCancellationRequestV01 336

<InstdUndrlygSciesQty>: InstructedUnderlyingSecuritiesQuantity  
Message Definition AgentCAElectionAdviceV01 295  
Message Definition AgentCAElectionCancellationRequestV01 336

<InstgPtyId>: InstructingPartyIdentification  
715

<InstnId>: InstitutionIdentification  
743

<IntrmdtSciesDstrbtnTp>: IntermediateSecuritiesDistributionType  
Message Definition AgentCANotificationAdviceV01 13, 16  
Message Definition AgentCANotificationCancellationRequestV01 151, 153

<Intrst>: Interest  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<IntrstAcrdNbOfDays>: InterestAccruedNumberOfDays  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

<IntrstAmt>: InterestAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<IntrstForUsdPmt>: InterestForUsedPayment  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

<IntrstPrd>: InterestPeriod  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<ISIN>: ISIN  
614, 669, 690, 691, 716, 800, 807

<IsseDscntAmt>: IssueDiscountAmount

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 157

<IssePric>: IssuePrice

Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 155

<Issr>: Issuer

615, 615, 625, 630, 630, 630, 630, 640, 641, 641, 652, 653, 655, 657, 659, 660, 665, 667, 669, 669, 669, 669, 670, 689, 689, 690, 690, 690, 691, 715, 716, 716, 733, 735, 737, 739, 743, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 788, 789, 791, 795, 800, 808, 810, 811, 813, 814, 816, 817, 819, 820, 822, 823, 824, 826, 828  
 Message Definition AgentCANotificationAdviceV01 11, 13, 13, 14, 15, 21  
 Message Definition AgentCANotificationCancellationRequestV01 149, 151, 151, 152, 153, 159  
 Message Definition AgentCANotificationStatusAdviceV01 280, 280, 280, 281  
 Message Definition AgentCAElectionAdviceV01 295, 296, 296  
 Message Definition AgentCAElectionAmendmentRequestV01 322, 322  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCAElectionStatusAdviceV01 353, 353, 353, 353, 354, 354  
 Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382  
 Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447  
 Message Definition AgentCAMovementStatusAdviceV01 493, 493, 493, 494, 494  
 Message Definition AgentCAInformationAdviceV01 512, 512  
 Message Definition AgentCAInformationStatusAdviceV01 527, 527, 528, 528  
 Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 559, 559  
 Message Definition AgentCADEactivationInstructionV01 571  
 Message Definition AgentCADEactivationCancellationRequestV01 578  
 Message Definition AgentCADEactivationStatusAdviceV01 586, 586, 586, 587, 587

<IssrCorpActnId>: IssuerCorporateActionIdentification

669, 689

## L

<LclBrkrComssnAmt>: LocalBrokerCommissionAmount

Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

<LclTaxAmt>: LocalTaxAmount

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<LkdAgtCANtfcntAdvId>: LinkedAgentCANotificationAdviceIdentification

Message Definition AgentCANotificationAdviceV01 10  
 Message Definition AgentCANotificationCancellationRequestV01 149

<LkdCorpActnPrcgId>: LinkedCorporateActionProcessingIdentification

Message Definition AgentCANotificationAdviceV01 11

<LkdIssrCorpActnId>: LinkedIssuerCorporateActionIdentification  
Message Definition AgentCANotificationAdviceV01 10

<LkgTp>: LinkageType  
Message Definition AgentCANotificationAdviceV01 10

<LpsdDt>: LapsedDate  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<LtryDt>: LotteryDate  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

<LtryTp>: LotteryType  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

**M**

<ManfctrdDvddAmt>: ManufacturedDividendAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<MaxAllwdOvrsbcp>: MaximumAllowedOversubscription  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

<MaxPric>: MaximumPrice  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<MinExrcblMltplSciesQty>: MinimumExercisableMultipleSecuritiesQuantity  
Message Definition AgentCANotificationAdviceV01 12, 18  
Message Definition AgentCANotificationCancellationRequestV01 150, 156

<MinExrcblSciesQty>: MinimumExercisableSecuritiesQuantity  
Message Definition AgentCANotificationAdviceV01 12, 18  
Message Definition AgentCANotificationCancellationRequestV01 150, 156

<MinPric>: MinimumPrice  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<MktClmAmt>: MarketClaimAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<MktDdlN>: MarketDeadline  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

- <MktPric>: MarketPrice
  - Message Definition AgentCANotificationAdviceV01 19
  - Message Definition AgentCANotificationCancellationRequestV01 157
- <MndtryVlntryEvtTp>: MandatoryVoluntaryEventType
  - 669, 690
- <MrgnFxdt>: MarginFixingDate
  - Message Definition AgentCANotificationAdviceV01 13
  - Message Definition AgentCANotificationCancellationRequestV01 151
- <MtrtyDt>: MaturityDate
  - Message Definition AgentCANotificationAdviceV01 13
  - Message Definition AgentCANotificationCancellationRequestV01 151
- <MvmntCxlStsDtls>: MovementCancellationStatusDetails
  - Message Definition AgentCAMovementStatusAdviceV01 494
- <MvmntDtls>: MovementDetails
  - Message Definition AgentCAMovementCancellationRequestV01 447
- <MvmntGnlInf>: MovementGeneralInformation
  - Message Definition AgentCAMovementInstructionV01 413
  - Message Definition AgentCAMovementCancellationRequestV01 447
- <MvmntId>: MovementIdentification
  - Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382
  - Message Definition AgentCAGlobalDistributionStatusAdviceV01 400
- <MvmntStsDtls>: MovementStatusDetails
  - Message Definition AgentCAMovementStatusAdviceV01 493

## N

- <NetAmt>: NetAmount
  - Message Definition AgentCAMovementInstructionV01 415
  - Message Definition AgentCAMovementCancellationRequestV01 449
- <NetCshAmt>: NetCashAmount
  - Message Definition AgentCANotificationAdviceV01 20
  - Message Definition AgentCANotificationCancellationRequestV01 157
- <NetDvdd>: NetDividend
  - Message Definition AgentCANotificationAdviceV01 17
  - Message Definition AgentCANotificationCancellationRequestV01 155
- <NetOrGrss>: NetOrGross
  - Message Definition AgentCAStandingInstructionRequestV01 543
  - Message Definition AgentCAStandingInstructionCancellationRequestV01 550
- <NewBrdLotSciesQty>: NewBoardLotSecuritiesQuantity
  - Message Definition AgentCANotificationAdviceV01 12, 19

Message Definition AgentCANotificationCancellationRequestV01 150, 157

<NewCpnyNm>: NewCompanyName  
Message Definition AgentCANotificationAdviceV01 15, 22  
Message Definition AgentCANotificationCancellationRequestV01 153, 160

<NewDnmtnCcy>: NewDenominationCurrency  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151

<NewDnmtnSciesQty>: NewDenominationSecuritiesQuantity  
Message Definition AgentCANotificationAdviceV01 12, 19  
Message Definition AgentCANotificationCancellationRequestV01 150, 156

<NewInstdQty>: NewInstructedQuantity  
Message Definition AgentCAElectionAmendmentRequestV01 322

<NewPlcOfIncorprtn>: NewPlaceOfIncorporation  
Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

<NewSciesToUndrlygScies>: NewSecuritiesToUnderlyingSecurities  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 154

<NewToOd>: NewToOld  
Message Definition AgentCANotificationAdviceV01 16  
Message Definition AgentCANotificationCancellationRequestV01 154

<Nm>: Name  
615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 716, 739, 743, 743, 749, 800

<NmAndAdr>: NameAndAddress  
615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 716, 739, 743, 800

<NmPrfx>: NamePrefix  
743

<NonDmclCtry>: NonDomicileCountry  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

<NonResdtRate>: NonResidentRate  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

<NotSpcfd>: NotSpecified  
754, 763  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 155



<NotSpcfdDt>: NotSpecifiedDate  
657, 659, 659

<NotSpcfdRate>: NotSpecifiedRate  
735, 777, 782, 787, 791, 795  
Message Definition AgentCANotificationAdviceV01 14, 17, 17  
Message Definition AgentCANotificationCancellationRequestV01 152, 155, 155

<NtfctnAdvcsSts>: NotificationAdviceStatus  
Message Definition AgentCANotificationStatusAdviceV01 280

<NtfctnCxlReqSts>: NotificationCancellationRequestStatus  
Message Definition AgentCANotificationStatusAdviceV01 280

<NtfctnCxlTp>: NotificationCancellationType  
Message Definition AgentCANotificationCancellationRequestV01 149

<NtfctnCxlTpAndLkg>: NotificationCancellationTypeAndLinkage  
Message Definition AgentCANotificationCancellationRequestV01 149

<NtfctnGnlInf>: NotificationGeneralInformation  
Message Definition AgentCANotificationAdviceV01 11

<NtfctnTp>: NotificationType  
Message Definition AgentCANotificationAdviceV01 10

<NtfctnTpAndLkg>: NotificationTypeAndLinkage  
Message Definition AgentCANotificationAdviceV01 10

<Ntlty>: Nationality  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCAInformationStatusAdviceV01 527

<NtnlDvddPybl>: NotionalDividendPayable  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<NtnlTax>: NotionalTax  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

## O

<OffclAnncmntPblctnDt>: OfficialAnnouncementPublicationDate  
Message Definition AgentCANotificationAdviceV01 11

<Offerr>: Offeror  
Message Definition AgentCANotificationAdviceV01 15, 22  
Message Definition AgentCANotificationCancellationRequestV01 153, 160

<OfferTp>: OfferType

Message Definition AgentCANotificationAdviceV01 12, 16  
 Message Definition AgentCANotificationCancellationRequestV01 150, 153  
  
 <OptnAvlbySts>: OptionAvailabilityStatus  
   Message Definition AgentCANotificationAdviceV01 15  
   Message Definition AgentCANotificationCancellationRequestV01 153  
  
 <OptnDtls>: OptionDetails  
   Message Definition AgentCADeactivationInstructionV01 571  
   Message Definition AgentCADeactivationCancellationRequestV01 578  
  
 <OptnFeatrs>: OptionFeatures  
   Message Definition AgentCANotificationAdviceV01 22  
   Message Definition AgentCANotificationCancellationRequestV01 159  
  
 <OptnNb>: OptionNumber  
   630, 641  
   Message Definition AgentCANotificationAdviceV01 15  
   Message Definition AgentCANotificationCancellationRequestV01 153  
   Message Definition AgentCAElectionAdviceV01 295  
   Message Definition AgentCAElectionAmendmentRequestV01 322, 322  
   Message Definition AgentCAElectionCancellationRequestV01 336  
   Message Definition AgentCADistributionBreakdownAdviceV01 370  
   Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
   Message Definition AgentCAMovementInstructionV01 413  
   Message Definition AgentCAMovementCancellationRequestV01 447  
   Message Definition AgentCADeactivationInstructionV01 571  
   Message Definition AgentCADeactivationCancellationRequestV01 578  
   Message Definition AgentCADeactivationStatusAdviceV01 586  
  
 <OptnTp>: OptionType  
   630, 641  
   Message Definition AgentCANotificationAdviceV01 15  
   Message Definition AgentCANotificationCancellationRequestV01 153  
   Message Definition AgentCAElectionAdviceV01 295  
   Message Definition AgentCAElectionAmendmentRequestV01 321, 322  
   Message Definition AgentCAElectionCancellationRequestV01 336  
   Message Definition AgentCADistributionBreakdownAdviceV01 370  
   Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381  
   Message Definition AgentCAMovementInstructionV01 413  
   Message Definition AgentCAMovementCancellationRequestV01 447  
   Message Definition AgentCADeactivationInstructionV01 571  
   Message Definition AgentCADeactivationCancellationRequestV01 578  
   Message Definition AgentCADeactivationStatusAdviceV01 586  
  
 <OrdrTp>: OrderType  
   Message Definition AgentCAMovementInstructionV01 413  
   Message Definition AgentCAMovementCancellationRequestV01 447  
  
 <OrgnlAmt>: OriginalAmount  
   Message Definition AgentCANotificationAdviceV01 17, 20  
   Message Definition AgentCANotificationCancellationRequestV01 155, 158

Message Definition AgentCAMovementInstructionV01 416  
 Message Definition AgentCAMovementCancellationRequestV01 449

<OrgnlElctnDtls>: OriginalElectionDetails  
 Message Definition AgentCAElectionAmendmentRequestV01 321

<OrgnlInstQty>: OriginalInstructedQuantity  
 Message Definition AgentCAElectionAmendmentRequestV01 322

<OthrId>: OtherIdentification  
 614, 670, 690, 691, 716, 800, 807

<OthrUndrlygScty>: OtherUnderlyingSecurity  
 690

<OverSbcptDpstPric>: OverSubscriptionDepositPrice  
 Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 156

## P

<ParllTradgPrd>: ParallelTradingPeriod  
 Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 156

<PctgSght>: PercentageSought  
 Message Definition AgentCANotificationAdviceV01 14  
 Message Definition AgentCANotificationCancellationRequestV01 152

<PhneNb>: PhoneNumber  
 743

<PlcOfListg>: PlaceOfListing  
 670, 690, 691, 716, 800

<PmtCcy>: PaymentCurrency  
 Message Definition AgentCAsStandingInstructionRequestV01 543  
 Message Definition AgentCAsStandingInstructionCancellationRequestV01 550

<PmtDt>: PaymentDate  
 Message Definition AgentCANotificationAdviceV01 16, 19, 19  
 Message Definition AgentCANotificationCancellationRequestV01 154, 157, 157  
 Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

<PmtLevyTaxAmt>: PaymentLevyTaxAmount  
 Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 158

<PngAgtComssnAmt>: PayingAgentCommissionAmount  
 Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 159

- <PradvInd>: PreadviceIndicator
  - Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381
- <PrcdsMvmntDtls>: ProceedsMovementDetails
  - Message Definition AgentCAMovementInstructionV01 414
  - Message Definition AgentCAMovementCancellationRequestV01 448
- <PrcdSts>: ProcessedStatus
  - Message Definition AgentCANotificationStatusAdviceV01 280, 280
  - Message Definition AgentCAElectionStatusAdviceV01 352, 353, 354
  - Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400
  - Message Definition AgentCAMovementStatusAdviceV01 493, 494
  - Message Definition AgentCAInformationStatusAdviceV01 528
  - Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558
  - Message Definition AgentCADeactivationStatusAdviceV01 586, 587
- <PrcgSts>: ProcessingStatus
  - Message Definition AgentCANotificationAdviceV01 11
- <PrdDtls>: PeriodDetails
  - Message Definition AgentCANotificationAdviceV01 14, 18
  - Message Definition AgentCANotificationCancellationRequestV01 152, 156
- <PricClctnPrd>: PriceCalculationPeriod
  - Message Definition AgentCANotificationAdviceV01 14, 18
  - Message Definition AgentCANotificationCancellationRequestV01 152, 156
- <PricDtls>: PriceDetails
  - Message Definition AgentCANotificationAdviceV01 14, 17, 19
  - Message Definition AgentCANotificationCancellationRequestV01 152, 155, 157
- <PricVal>: PriceValue
  - 753, 753, 754, 763, 769
  - Message Definition AgentCANotificationAdviceV01 18, 18
  - Message Definition AgentCANotificationCancellationRequestV01 155, 156
- <PrncplOrCrps>: PrincipalOrCorpus
  - Message Definition AgentCANotificationAdviceV01 20
  - Message Definition AgentCANotificationCancellationRequestV01 158
- <PropsdRate>: ProposedRate
  - Message Definition AgentCAElectionAdviceV01 295
  - Message Definition AgentCAElectionCancellationRequestV01 336
- <PrpssDt>: PariPassuDate
  - Message Definition AgentCANotificationAdviceV01 19
  - Message Definition AgentCANotificationCancellationRequestV01 157
- <Prtratn>: Proration
  - Message Definition AgentCANotificationAdviceV01 16
  - Message Definition AgentCANotificationCancellationRequestV01 154

- <PratnDt>: ProrationDate  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152
- <PrctDt>: ProtectDate  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151
- <PrtlElctnInd>: PartialElectionIndicator  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 150
- <Prtry>: Proprietary  
630, 630, 630, 641, 641, 651, 653, 655, 657, 659, 659, 665, 666, 669, 669, 669, 689, 689, 690, 715, 733, 735, 737, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 787, 789, 791, 795, 808, 810, 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828  
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Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCAInformationStatusAdviceV01 527, 528, 528  
Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 558, 559  
Message Definition AgentCADEactivationInstructionV01 571  
Message Definition AgentCADEactivationCancellationRequestV01 578  
Message Definition AgentCADEactivationStatusAdviceV01 586, 586, 586, 587, 587
- <PrtryId>: ProprietaryIdentification  
615, 615, 625, 629, 640, 668, 670, 689, 690, 691, 716, 716, 739, 743, 800
- <PrtryIdSrc>: ProprietaryIdentificationSource  
614, 670, 690, 691, 716, 800, 807
- <PrvlgSspnsnPrd>: PrivilegeSuspensionPeriod  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 156
- <PrvsAgtCAElctnAdvId>: PreviousAgentCAElectionAdviceIdentification  
Message Definition AgentCAElectionAdviceV01 294
- <PrvsnlDvdd>: ProvisionalDividend  
Message Definition AgentCANotificationAdviceV01 17

Message Definition AgentCANotificationCancellationRequestV01 155

<PstCd>: PostCode

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

<PstgFeeAmt>: PostageFeeAmount

Message Definition AgentCANotificationAdviceV01 21

Message Definition AgentCANotificationCancellationRequestV01 159

<PstngAmt>: PostingAmount

Message Definition AgentCAElectionAdviceV01 295

Message Definition AgentCAElectionCancellationRequestV01 337

Message Definition AgentCAMovementInstructionV01 414

Message Definition AgentCAMovementCancellationRequestV01 448

Message Definition AgentCAMovementConfirmationV01 480

<PstngDtTm>: PostingDateTime

Message Definition AgentCAElectionAdviceV01 295, 296

Message Definition AgentCAElectionCancellationRequestV01 337, 337

Message Definition AgentCAMovementConfirmationV01 480, 480

<PstngId>: PostingIdentification

Message Definition AgentCAElectionAdviceV01 295, 296

Message Definition AgentCAElectionCancellationRequestV01 337, 337

<PstngQty>: PostingQuantity

Message Definition AgentCAElectionAdviceV01 296

Message Definition AgentCAElectionCancellationRequestV01 337

Message Definition AgentCAMovementInstructionV01 414

Message Definition AgentCAMovementCancellationRequestV01 448

Message Definition AgentCAMovementConfirmationV01 480

## Q

<QtdCcy>: QuotedCurrency

Message Definition AgentCANotificationAdviceV01 17, 21

Message Definition AgentCANotificationCancellationRequestV01 155, 159

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

<QtnSetngDt>: QuotationSettingDate

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

<Qty>: Quantity

795, 795

<Qty1>: Quantity1

787, 791, 794

<Qty2>: Quantity2

787, 791, 794

<QtyToAmt>: QuantityToAmount  
795

<QtyToQty>: QuantityToQuantity  
791, 794

## R

<Rate>: Rate  
735, 753, 763, 763, 769, 769, 773, 787  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 155

<RateAndAmtDtls>: RateAndAmountDetails  
Message Definition AgentCANotificationAdviceV01 14, 16  
Message Definition AgentCANotificationCancellationRequestV01 152, 154

<RateTp>: RateType  
763, 769, 773, 777, 782

<RateTpAmt>: RateTypeAmount  
777, 782

<RcncltnDtls>: ReconciliationDetails  
Message Definition AgentCAMovementInstructionV01 414, 415  
Message Definition AgentCAMovementCancellationRequestV01 448, 448

<RcrdDt>: RecordDate  
Message Definition AgentCANotificationAdviceV01 13  
Message Definition AgentCANotificationCancellationRequestV01 151  
Message Definition AgentCADistributionBreakdownAdviceV01 370  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

<RcrdDtHldg>: RecordDateHolding  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<RcvrId>: ReceiverIdentification  
Message Definition AgentCAElectionAdviceV01 297  
Message Definition AgentCAInformationAdviceV01 513  
Message Definition AgentCAInformationStatusAdviceV01 527

<RedChrgsApldInd>: RedemptionChargesAppliedIndicator  
Message Definition AgentCANotificationAdviceV01 15, 22  
Message Definition AgentCANotificationCancellationRequestV01 153, 159

<RedDt>: RedemptionDate  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<RedPrmAmt>: RedemptionPremiumAmount

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158  
  
 <RegnDdlN>: RegistrationDeadline  
     Message Definition AgentCANotificationAdviceV01 14  
     Message Definition AgentCANotificationCancellationRequestV01 152  
  
 <RegnDtls>: RegistrationDetails  
     615  
     Message Definition AgentCANotificationAdviceV01 22  
     Message Definition AgentCAElectionAdviceV01 297  
     Message Definition AgentCAInformationAdviceV01 513  
     Message Definition AgentCAInformationStatusAdviceV01 527  
  
 <ReqdExctnDt>: RequestedExecutionDate  
     Message Definition AgentCAMovementInstructionV01 413  
     Message Definition AgentCAMovementCancellationRequestV01 447  
  
 <RgltryFeesAmt>: RegulatoryFeesAmount  
     Message Definition AgentCANotificationAdviceV01 21  
     Message Definition AgentCANotificationCancellationRequestV01 159  
  
 <RinvstmtAmt>: ReinvestmentAmount  
     Message Definition AgentCANotificationAdviceV01 20  
     Message Definition AgentCANotificationCancellationRequestV01 158  
  
 <RinvstmtDscntToMkt>: ReinvestmentDiscountToMarket  
     Message Definition AgentCANotificationAdviceV01 14  
     Message Definition AgentCANotificationCancellationRequestV01 152  
  
 <RjctdSts>: RejectedStatus  
     Message Definition AgentCANotificationStatusAdviceV01 280, 281  
     Message Definition AgentCAElectionStatusAdviceV01 353, 353, 354  
     Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400  
     Message Definition AgentCAMovementStatusAdviceV01 493, 494  
     Message Definition AgentCAInformationStatusAdviceV01 528  
     Message Definition AgentCAspendingInstructionStatusAdviceV01 558, 559  
     Message Definition AgentCADeactivationStatusAdviceV01 586, 587  
  
 <RltdIndx>: RelatedIndex  
     Message Definition AgentCANotificationAdviceV01 14  
     Message Definition AgentCANotificationCancellationRequestV01 152  
  
 <RltdTax>: RelatedTax  
     Message Definition AgentCANotificationAdviceV01 17  
     Message Definition AgentCANotificationCancellationRequestV01 154  
  
 <RmngQty>: RemainingQuantity  
     Message Definition AgentCAElectionAmendmentRequestV01 322  
  
 <RnnclEntitlmtStsTp>: RenounceableEntitlementStatusType  
     Message Definition AgentCANotificationAdviceV01 15



Message Definition AgentCANotificationCancellationRequestV01 153

<Role>: Role

743

<RsltAmt>: ResultingAmount

Message Definition AgentCANotificationAdviceV01 17, 21

Message Definition AgentCANotificationCancellationRequestV01 155, 159

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

<RsltsPblctnDt>: ResultsPublicationDate

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

<Rsn>: Reason

Message Definition AgentCANotificationStatusAdviceV01 280, 281

Message Definition AgentCAElectionAmendmentRequestV01 322

Message Definition AgentCAElectionStatusAdviceV01 353, 353, 354

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400

Message Definition AgentCAMovementStatusAdviceV01 493, 493, 494

Message Definition AgentCAInformationStatusAdviceV01 528

Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 559

Message Definition AgentCADeactivationStatusAdviceV01 586, 587

<RspnDdlIn>: ResponseDeadline

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

<RsrcDtls>: ResourceDetails

Message Definition AgentCAMovementStatusAdviceV01 493

<RstrctnInd>: RestrictionIndicator

Message Definition AgentCANotificationAdviceV01 12

Message Definition AgentCANotificationCancellationRequestV01 150

<RvcbltyPrd>: RevocabilityPeriod

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

## S

<SbcptCostDbtDt>: SubscriptionCostDebitDate

Message Definition AgentCANotificationAdviceV01 16

Message Definition AgentCANotificationCancellationRequestV01 154

<SchmeNm>: SchemeName

615, 615, 625, 630, 630, 630, 630, 640, 641, 641, 652, 653, 655, 657, 659, 660, 665, 667, 669, 669, 669, 669, 670, 689, 689, 690, 690, 690, 691, 715, 716, 716, 733, 735, 737, 739, 743, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 788, 789, 791, 795, 800, 808, 810, 811, 813, 814, 816, 817, 819, 820, 822, 823, 824, 826, 828

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 Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400  
 Message Definition AgentCAMovementInstructionV01 413  
 Message Definition AgentCAMovementCancellationRequestV01 447  
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 Message Definition AgentCAInformationAdviceV01 512  
 Message Definition AgentCAInformationStatusAdviceV01 527, 528, 528  
 Message Definition AgentCASstandingInstructionStatusAdviceV01 558, 558, 558, 559  
 Message Definition AgentCADeactivationInstructionV01 571  
 Message Definition AgentCADeactivationCancellationRequestV01 578  
 Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 586, 587, 587

<ScripDvddRinvstmtPricPerShr>: ScripDividendReinvestmentPricePerShare

Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449

<SctiesAcctId>: SecuritiesAccountIdentification

614, 649, 716  
 Message Definition AgentCAElectionAdviceV01 297  
 Message Definition AgentCAInformationAdviceV01 513  
 Message Definition AgentCAInformationStatusAdviceV01 528

<SctiesDstrbtnDtls>: SecuritiesDistributionDetails

Message Definition AgentCADistributionBreakdownAdviceV01 370  
 Message Definition AgentCASstandingInstructionRequestV01 543  
 Message Definition AgentCASstandingInstructionCancellationRequestV01 550

<SctiesMvmnt>: SecuritiesMovement

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

<SctiesMvmntDtls>: SecuritiesMovementDetails

Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 156  
 Message Definition AgentCAElectionAdviceV01 296  
 Message Definition AgentCAElectionCancellationRequestV01 337  
 Message Definition AgentCAMovementConfirmationV01 480

<SctiesPrclsMvmntDtls>: SecuritiesProceedsMovementDetails

Message Definition AgentCAMovementInstructionV01 414  
 Message Definition AgentCAMovementCancellationRequestV01 448

<SctiesQty>: SecuritiesQuantity

Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 156  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

- Message Definition AgentCAMovementInstructionV01 413
- Message Definition AgentCAMovementCancellationRequestV01 448
- Message Definition AgentCAMovementStatusAdviceV01 493
  
- <SctiesQtySght>: SecuritiesQuantitySought
  - Message Definition AgentCANotificationAdviceV01 12
  - Message Definition AgentCANotificationCancellationRequestV01 150
  
- <SctyHldgForm>: SecurityHoldingForm
  - 630, 641
  - Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381
  - Message Definition AgentCAMovementInstructionV01 415
  - Message Definition AgentCAMovementCancellationRequestV01 448
  
- <SctyId>: SecurityIdentification
  - 614, 669, 690, 690, 716, 800
  - Message Definition AgentCANotificationAdviceV01 18
  - Message Definition AgentCANotificationCancellationRequestV01 156
  - Message Definition AgentCAElectionAdviceV01 296, 296
  - Message Definition AgentCAElectionCancellationRequestV01 337
  - Message Definition AgentCADistributionBreakdownAdviceV01 370
  - Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381
  - Message Definition AgentCAMovementInstructionV01 413, 414
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  - Message Definition AgentCAMovementConfirmationV01 480
  - Message Definition AgentCAMovementStatusAdviceV01 493
  - Message Definition AgentCAInformationAdviceV01 513
  - Message Definition AgentCAInformationStatusAdviceV01 527
  
- <SellThruIssrPrd>: SellThruIssuerPeriod
  - Message Definition AgentCANotificationAdviceV01 18
  - Message Definition AgentCANotificationCancellationRequestV01 156
  
- <SfkgPlc>: SafekeepingPlace
  - 615, 670, 690, 691, 716, 800
  
- <ShppgFeesAmt>: ShippingFeesAmount
  - Message Definition AgentCANotificationAdviceV01 21
  - Message Definition AgentCANotificationCancellationRequestV01 159
  
- <ShrRnkg>: ShareRanking
  - Message Definition AgentCANotificationAdviceV01 19
  - Message Definition AgentCANotificationCancellationRequestV01 157
  
- <SlctnFee>: SolicitationFee
  - Message Definition AgentCANotificationAdviceV01 17
  - Message Definition AgentCANotificationCancellationRequestV01 155
  
- <SlctnFees>: SolicitationFees
  - Message Definition AgentCANotificationAdviceV01 20
  - Message Definition AgentCANotificationCancellationRequestV01 157

<SndryOrOthrAmt>: SundryOrOtherAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<SpclCncssnAmt>: SpecialConcessionAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<SpclExDt>: SpecialExDate  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<Sprd>: Spread  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

<StartDt>: StartDate  
659

<StgInstrCxlReqSts>: StandingInstructionCancellationRequestStatus  
Message Definition AgentCASstandingInstructionStatusAdviceV01 558

<StgInstrDtls>: StandingInstructionDetails  
Message Definition AgentCASstandingInstructionRequestV01 543  
Message Definition AgentCASstandingInstructionCancellationRequestV01 550

<StgInstrGnlInf>: StandingInstructionGeneralInformation  
Message Definition AgentCASstandingInstructionRequestV01 543  
Message Definition AgentCASstandingInstructionCancellationRequestV01 550  
Message Definition AgentCASstandingInstructionStatusAdviceV01 558

<StgInstrReqSts>: StandingInstructionRequestStatus  
Message Definition AgentCASstandingInstructionStatusAdviceV01 558

<StgInstrTp>: StandingInstructionType  
715

<StmpDty>: StampDuty  
630

<StmpDtyAmt>: StampDutyAmount  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 158  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 449

<StockXchgTaxAmt>: StockExchangeTaxAmount  
Message Definition AgentCANotificationAdviceV01 20  
Message Definition AgentCANotificationCancellationRequestV01 158

<StrtNm>: StreetName  
615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801

<Sts>: Status

Message Definition AgentCANotificationStatusAdviceV01 280, 280  
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 Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400  
 Message Definition AgentCAMovementStatusAdviceV01 493, 494  
 Message Definition AgentCAInformationStatusAdviceV01 528  
 Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558  
 Message Definition AgentCADeactivationStatusAdviceV01 586, 587

## T

<TaxAmt>: TaxAmount

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 382

<TaxblIncmPerDvddShr>: TaxableIncomePerDividendShare

Message Definition AgentCANotificationAdviceV01 18  
 Message Definition AgentCANotificationCancellationRequestV01 156

<TaxblIncmPerShrClctd>: TaxableIncomePerShareCalculated

Message Definition AgentCANotificationAdviceV01 15  
 Message Definition AgentCANotificationCancellationRequestV01 153

<TaxCdt>: TaxCredit

Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449

<TaxCdtAmt>: TaxCreditAmount

Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 158

<TaxCdtRate>: TaxCreditRate

Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449

<TaxDdctn>: TaxDeduction

Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449

<TaxDfrrdAmt>: TaxDeferredAmount

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<TaxDtls>: TaxDetails

Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 448

<TaxFreeAmt>: TaxFreeAmount

Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

<TaxOnIncm>: TaxOnIncome

Message Definition AgentCANotificationAdviceV01 16  
 Message Definition AgentCANotificationCancellationRequestV01 154  
  
 <TaxOnPrft>: TaxOnProfit  
 Message Definition AgentCANotificationAdviceV01 16  
 Message Definition AgentCANotificationCancellationRequestV01 154  
  
 <TaxRclm>: TaxReclaim  
 Message Definition AgentCANotificationAdviceV01 16  
 Message Definition AgentCANotificationCancellationRequestV01 154  
  
 <TaxRclmAmt>: TaxReclaimAmount  
 Message Definition AgentCANotificationAdviceV01 21  
 Message Definition AgentCANotificationCancellationRequestV01 158  
  
 <TaxtnConds>: TaxationConditions  
 Message Definition AgentCANotificationAdviceV01 15, 22, 22  
 Message Definition AgentCANotificationCancellationRequestV01 153, 160  
  
 <TaxTp>: TaxType  
 Message Definition AgentCANotificationAdviceV01 17  
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 <TaxVchrRate>: TaxVoucherRate  
 Message Definition AgentCAMovementInstructionV01 415  
 Message Definition AgentCAMovementCancellationRequestV01 449  
  
 <TempFinInstrmInd>: TemporaryFinancialInstrumentIndicator  
 Message Definition AgentCANotificationAdviceV01 19  
 Message Definition AgentCANotificationCancellationRequestV01 157  
  
 <Tp>: Type  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 382  
  
 <TradgPrd>: TradingPeriod  
 Message Definition AgentCANotificationAdviceV01 19  
 Message Definition AgentCANotificationCancellationRequestV01 157  
  
 <TradgSspdDt>: TradingSuspendedDate  
 Message Definition AgentCANotificationAdviceV01 14  
 Message Definition AgentCANotificationCancellationRequestV01 151  
  
 <TrfTaxAmt>: TransferTaxAmount  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158  
  
 <TwnNm>: TownName  
 615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 801  
  
 <TxTaxAmt>: TransactionTaxAmount  
 Message Definition AgentCANotificationAdviceV01 20  
 Message Definition AgentCANotificationCancellationRequestV01 158

## U

### <UcondlDt>: UnconditionalDate

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

### <UfrnkdAmt>: UnfrankedAmount

Message Definition AgentCANotificationAdviceV01 20

Message Definition AgentCANotificationCancellationRequestV01 158

### <UndrlygCshMvmntDtls>: UnderlyingCashMovementDetails

Message Definition AgentCAMovementInstructionV01 414

Message Definition AgentCAMovementCancellationRequestV01 448

### <UndrlygSctiesMvmntDtls>: UnderlyingSecuritiesMovementDetails

Message Definition AgentCAMovementInstructionV01 413

Message Definition AgentCAMovementCancellationRequestV01 447

### <UndrlygScty>: UnderlyingSecurity

669, 690, 716

### <Unit>: Unit

754

Message Definition AgentCANotificationAdviceV01 11, 12, 12, 12, 12, 12, 12, 12, 12, 18, 18, 19, 19, 19

Message Definition AgentCANotificationCancellationRequestV01 149, 150, 150, 150, 150, 150, 150, 150, 150, 150, 156, 156, 156, 156, 157

Message Definition AgentCAElectionAdviceV01 295, 295, 296, 297

Message Definition AgentCAElectionAmendmentRequestV01 322, 322, 322

Message Definition AgentCAElectionCancellationRequestV01 336, 336, 337

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

Message Definition AgentCAMovementInstructionV01 413, 414, 414, 415

Message Definition AgentCAMovementCancellationRequestV01 447, 448, 448, 449

Message Definition AgentCAMovementConfirmationV01 480

Message Definition AgentCAMovementStatusAdviceV01 493

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 527

### <UnitCcy>: UnitCurrency

Message Definition AgentCANotificationAdviceV01 17, 21

Message Definition AgentCANotificationCancellationRequestV01 155, 159

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

### <UPIC>: UPIC

650

### <URLAdr>: URLAddress

Message Definition AgentCANotificationAdviceV01 15, 22

Message Definition AgentCANotificationCancellationRequestV01 153, 160

## V

- <ValAddedTaxAmt>: ValueAddedTaxAmount
  - Message Definition AgentCANotificationAdviceV01 20
  - Message Definition AgentCANotificationCancellationRequestV01 158
- <ValDt>: ValueDate
  - Message Definition AgentCANotificationAdviceV01 19
  - Message Definition AgentCANotificationCancellationRequestV01 157
  - Message Definition AgentCAMovementConfirmationV01 480

## W

- <WdrwlAllwdInd>: WithdrawalAllowedIndicator
  - Message Definition AgentCANotificationAdviceV01 16
  - Message Definition AgentCANotificationCancellationRequestV01 154
- <WhldgOfFrngTax>: WithholdingOfForeignTax
  - Message Definition AgentCANotificationAdviceV01 16
  - Message Definition AgentCANotificationCancellationRequestV01 154
- <WhldgOfFrngTaxAmt>: WithholdingOfForeignTaxAmount
  - Message Definition AgentCANotificationAdviceV01 21
  - Message Definition AgentCANotificationCancellationRequestV01 159
- <WhldgOfLclTax>: WithholdingOfLocalTax
  - Message Definition AgentCANotificationAdviceV01 16
  - Message Definition AgentCANotificationCancellationRequestV01 154
- <WhldgOfLclTaxAmt>: WithholdingOfLocalTaxAmount
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# F

## FailedMovement1

Message Definition AgentCAMovementStatusAdviceV01 493

## FailedSettlementReason1Code

Message Definition AgentCAMovementStatusAdviceV01 493

## FailedSettlementReason1FormatChoice

Message Definition AgentCAMovementStatusAdviceV01 493

## FinancialInstrumentDescription3

669, 690, 690, 716, 800

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

## ForeignExchangeTerms8

Message Definition AgentCANotificationAdviceV01 17, 21

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## ForeignExchangeTerms9

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 449

## FormOfSecurity1Code

630, 641

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 448

FractionDispositionType1Code  
823

FractionDispositionType1FormatChoice  
823  
Message Definition AgentCANotificationAdviceV01 19, 22  
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## G

GenericIdentification1  
615, 615, 625, 629, 640, 668, 670, 689, 690, 691, 716, 716, 739, 743, 800

GenericIdentification13  
630, 630, 630, 641, 641, 651, 653, 655, 657, 659, 659, 665, 666, 669, 669, 669, 689, 689, 690, 715, 733, 735, 737, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 787, 789, 791, 795, 808, 810, 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828  
Message Definition AgentCANotificationAdviceV01 11, 13, 13, 14, 15, 21  
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Message Definition AgentCAElectionAdviceV01 295, 296  
Message Definition AgentCAElectionAmendmentRequestV01 321, 322  
Message Definition AgentCAElectionCancellationRequestV01 336  
Message Definition AgentCAElectionStatusAdviceV01 352, 353, 353, 353, 354, 354  
Message Definition AgentCADistributionBreakdownAdviceV01 370  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400, 400, 400  
Message Definition AgentCAMovementInstructionV01 413  
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Message Definition AgentCAInformationStatusAdviceV01 527, 528, 528  
Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 558, 559  
Message Definition AgentCADeactivationInstructionV01 571  
Message Definition AgentCADeactivationCancellationRequestV01 578  
Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 586, 587, 587

GenericIdentification16  
Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCAInformationStatusAdviceV01 527

GlobalDistributionRequest1  
Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

GlobalDistributionStatus1  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 399

GrossDividendRate1Choice  
777  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155



GrossDividendRate2  
777

GrossDividendRateType1Code  
777

GrossDividendRateType1FormatChoice  
777

## I

IBANIdentifier  
608, 650

IBEIIdentifier  
608

ImpliedCurrencyAndAmount  
603

IncludedAccount1  
716

IndividualMovementStatus1  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 400

IntermediateSecurityDistributionType1Code  
824

IntermediateSecurityDistributionType1FormatChoice  
824  
Message Definition AgentCANotificationAdviceV01 13, 16  
Message Definition AgentCANotificationCancellationRequestV01 151, 153

ISINIdentifier  
608, 614, 669, 690, 691, 716, 800, 807

ISODate  
604, 656, 657, 659, 659  
Message Definition AgentCAMovementInstructionV01 413, 415, 415  
Message Definition AgentCAMovementCancellationRequestV01 447, 449, 449  
Message Definition AgentCAMovementConfirmationV01 480

ISODateTime  
604, 656, 657, 659, 659, 664  
Message Definition AgentCADEactivationInstructionV01 571  
Message Definition AgentCADEactivationCancellationRequestV01 578

ISOYearMonth  
604

**L**

LanguageCode

608

LinkedCorporateAction1

Message Definition AgentCANotificationAdviceV01 10

LotteryType1Code

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

LotteryType1FormatChoice

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

**M**

Max140Text

611, 614, 670, 690, 691, 716, 800, 807

Max15NumericText

611

Max16Text

611, 615, 615, 615, 615, 625, 625, 630, 630, 640, 640, 669, 669, 670, 670, 689, 689, 690, 690, 691, 691, 716, 716, 717, 717, 739, 739, 743, 743, 749, 749, 801, 801

Max256Text

611, 743

Message Definition AgentCANotificationAdviceV01 15, 22

Message Definition AgentCANotificationCancellationRequestV01 153, 160

Max350Text

611, 615, 615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 716, 739, 743, 743, 743, 749, 800

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Message Definition AgentCAElectionAdviceV01 296, 297, 297

Message Definition AgentCAElectionAmendmentRequestV01 322

Message Definition AgentCAElectionStatusAdviceV01 353, 353, 353, 353, 354, 354

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400

Message Definition AgentCAMovementInstructionV01 414, 415

Message Definition AgentCAMovementCancellationRequestV01 448, 448

Message Definition AgentCAMovementStatusAdviceV01 493, 493, 494, 494

Message Definition AgentCAInformationAdviceV01 513, 513, 513

Message Definition AgentCAInformationStatusAdviceV01 527, 527, 528, 528, 528

Message Definition AgentCAStandingInstructionRequestV01 543

Message Definition AgentCAStandingInstructionCancellationRequestV01 550

Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 559, 559

Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 587, 587

# Max35Text

611, 614, 614, 615, 615, 615, 615, 615, 615, 615, 615, 615, 615, 625, 625, 625, 625, 625, 625, 629, 630, 630, 630, 630, 630, 630, 630, 630, 630, 630, 640, 640, 640, 640, 640, 641, 641, 641, 641, 641, 649, 649, 650, 652, 652, 653, 653, 655, 655, 657, 657, 659, 659, 660, 660, 664, 665, 665, 667, 667, 668, 669, 669, 669, 669, 669, 669, 669, 669, 669, 670, 670, 670, 670, 670, 670, 689, 689, 689, 689, 689, 689, 689, 689, 689, 689, 690, 690, 690, 690, 690, 690, 690, 691, 691, 691, 691, 691, 691, 715, 715, 716, 716, 716, 716, 716, 716, 716, 716, 716, 716, 717, 717, 733, 733, 735, 735, 737, 737, 739, 739, 739, 739, 739, 743, 743, 743, 743, 743, 743, 749, 749, 752, 752, 753, 753, 753, 753, 754, 754, 754, 754, 763, 763, 763, 763, 763, 763, 769, 769, 769, 769, 773, 773, 776, 776, 777, 777, 777, 777, 782, 782, 782, 782, 788, 788, 789, 789, 791, 791, 795, 795, 800, 800, 800, 800, 801, 801, 807, 808, 808, 810, 810, 811, 811, 813, 813, 814, 814, 816, 816, 817, 817, 819, 819, 820, 820, 822, 822, 823, 823, 824, 824, 826, 826, 828, 828

Message Definition AgentCANotificationAdviceV01 10, 11, 11, 11, 13, 13, 13, 13, 14, 14, 15, 15, 16, 21, 21

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Message Definition AgentCADistributionBreakdownAdviceV01 370, 370, 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 381, 381, 381, 382, 382, 382

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400, 400, 400, 400, 400, 400, 400, 400

Message Definition AgentCAMovementInstructionV01 413, 413, 413, 415

Message Definition AgentCAMovementCancellationRequestV01 447, 447, 447, 448

Message Definition AgentCAMovementStatusAdviceV01 493, 493, 493, 493, 493, 493, 493, 493, 494, 494, 494, 494

Message Definition AgentCAInformationAdviceV01 512, 512, 512, 512, 513

Message Definition AgentCAInformationStatusAdviceV01 527, 527, 527, 527, 528, 528, 528, 528, 528

Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 558, 558, 558, 559, 559, 559

Message Definition AgentCADeactivationInstructionV01 571, 571

Message Definition AgentCADeactivationCancellationRequestV01 578, 578

Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 586, 586, 586, 586, 587, 587, 587, 587

# Max3NumericText

612

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

# Max4AlphaNumericText

612, 630, 630, 630, 641, 641, 652, 653, 655, 657, 659, 660, 665, 666, 669, 669, 669, 689, 689, 690, 715, 733, 735, 737, 752, 753, 753, 754, 754, 763, 763, 763, 769, 769, 773, 776, 777, 777, 782, 782, 788, 789, 791, 795, 808, 810, 811, 813, 814, 815, 817, 819, 820, 821, 823, 824, 826, 828

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Message Definition AgentCAElectionAdviceV01 295, 296

Message Definition AgentCAElectionAmendmentRequestV01 321, 322

Message Definition AgentCAElectionCancellationRequestV01 336

Message Definition AgentCAElectionStatusAdviceV01 352, 353, 353, 353, 354, 354

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381, 382

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400, 400, 400

Message Definition AgentCAMovementInstructionV01 413

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Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCAInformationStatusAdviceV01 527, 528, 528  
Message Definition AgentCAStandingInstructionStatusAdviceV01 558, 558, 558, 559  
Message Definition AgentCADeactivationInstructionV01 571  
Message Definition AgentCADeactivationCancellationRequestV01 578  
Message Definition AgentCADeactivationStatusAdviceV01 586, 586, 586, 587, 587

**Max70Text**

612, 614, 615, 615, 615, 615, 625, 625, 630, 630, 640, 640, 669, 669, 670, 670, 670, 689, 689, 690, 690, 690, 691,  
691, 691, 716, 716, 716, 717, 717, 739, 739, 743, 743, 749, 749, 800, 801, 801, 807  
Message Definition AgentCANotificationAdviceV01 15  
Message Definition AgentCANotificationCancellationRequestV01 153

**MICIdentifier**

608, 670, 690, 691, 716, 800

**MovementInstruction1**

Message Definition AgentCAMovementCancellationRequestV01 447

**MovementProcessingStatus1**

Message Definition AgentCAGlobalDistributionStatusAdviceV01 400

**N****NameAndAddress5**

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 716, 739, 743, 749, 800  
Message Definition AgentCANotificationAdviceV01 21  
Message Definition AgentCANotificationCancellationRequestV01 159

**NamePrefix1Code**

743

**NationalityCode**

608  
Message Definition AgentCAMovementInstructionV01 415  
Message Definition AgentCAMovementCancellationRequestV01 448

**NetDividendRate1Choice**

782  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 155

**NetDividendRate2**

782

**NetDividendRateType1Code**

782

**NetDividendRateType1FormatChoice**

782

**NotificationAdviceStatus1Choice**

Message Definition AgentCANotificationStatusAdviceV01 280

**NotificationCancellation1**

Message Definition AgentCANotificationCancellationRequestV01 149

**NotificationCancellationProcessingStatus1**

Message Definition AgentCANotificationStatusAdviceV01 280

**NotificationCancellationRejectionReason1**

Message Definition AgentCANotificationStatusAdviceV01 280

**NotificationCancellationRequestStatus1Choice**

Message Definition AgentCANotificationStatusAdviceV01 280

**NotificationProcessingStatus1**

Message Definition AgentCANotificationStatusAdviceV01 280

**NotificationRejectionReason1**

Message Definition AgentCANotificationStatusAdviceV01 281

**Number**

610

Message Definition AgentCANotificationAdviceV01 13

Message Definition AgentCANotificationCancellationRequestV01 151

**O****OfferType1Code**

826

**OfferType1FormatChoice**

826

Message Definition AgentCANotificationAdviceV01 12, 16

Message Definition AgentCANotificationCancellationRequestV01 150, 153

**OptionFeatures1Code**

828

**OptionFeatures1FormatChoice**

828

Message Definition AgentCANotificationAdviceV01 22

Message Definition AgentCANotificationCancellationRequestV01 159

**P****PartyIdentification2Choice**

614, 615, 625, 629, 640, 668, 670, 689, 690, 691, 715, 716, 738, 743, 800

Message Definition AgentCANotificationAdviceV01 15, 21, 22

Message Definition AgentCANotificationCancellationRequestV01 153, 159, 160

Message Definition AgentCAElectionAdviceV01 296, 296, 297, 297, 297  
Message Definition AgentCAElectionCancellationRequestV01 337  
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Message Definition AgentCAMovementConfirmationV01 480  
Message Definition AgentCAMovementStatusAdviceV01 493  
Message Definition AgentCAInformationAdviceV01 512, 513, 513, 513  
Message Definition AgentCAInformationStatusAdviceV01 527, 527, 528, 528  
Message Definition AgentCAStandingInstructionRequestV01 543  
Message Definition AgentCAStandingInstructionCancellationRequestV01 550

#### PercentageRate

610, 735, 753, 763, 769, 773, 787  
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Message Definition AgentCAElectionCancellationRequestV01 336  
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#### Period1

659  
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Message Definition AgentCANotificationCancellationRequestV01 152, 152, 152, 152, 152, 156, 156, 156, 156, 156, 156, 157

#### PersonIdentificationType3Choice

Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCAInformationStatusAdviceV01 527

#### PersonIdentificationType3Code

Message Definition AgentCAElectionAdviceV01 296  
Message Definition AgentCAInformationAdviceV01 512  
Message Definition AgentCAInformationStatusAdviceV01 527

#### PhoneNumber

612, 743, 743

#### PostalAddress1

615, 615, 625, 630, 640, 669, 670, 689, 690, 691, 716, 717, 739, 743, 749, 800

#### PriceFormat1Choice

753  
Message Definition AgentCANotificationAdviceV01 18  
Message Definition AgentCANotificationCancellationRequestV01 156

#### PriceFormat2Choice

763  
Message Definition AgentCANotificationAdviceV01 18, 18, 18, 18, 19, 19  
Message Definition AgentCANotificationCancellationRequestV01 155, 156, 156, 156, 157, 157

PriceFormat3Choice

769

Message Definition AgentCANotificationAdviceV01 14, 14

Message Definition AgentCANotificationCancellationRequestV01 152, 152

PriceFormat4Choice

Message Definition AgentCANotificationAdviceV01 17

Message Definition AgentCANotificationCancellationRequestV01 155

PriceRate1

763, 769, 773

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 155

PriceRateType3Code

763, 769, 773

PriceRateType3FormatChoice

763, 769, 773

PriceValue1

775

Message Definition AgentCAMovementInstructionV01 415, 415

Message Definition AgentCAMovementCancellationRequestV01 449, 449

PriceValueType5Code

763, 776

PriceValueType5FormatChoice

763, 776

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 155

PriceValueType6Code

754

PriceValueType6FormatChoice

754

ProceedsDelivery1

Message Definition AgentCAElectionAdviceV01 297

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 528

ProceedsMovement1

Message Definition AgentCAMovementInstructionV01 414

Message Definition AgentCAMovementCancellationRequestV01 448

ProcessedStatus1Code

Message Definition AgentCANotificationStatusAdviceV01 280

ProcessedStatus1FormatChoice

Message Definition AgentCANotificationStatusAdviceV01 280

ProcessedStatus2Code

Message Definition AgentCANotificationStatusAdviceV01 280

Message Definition AgentCAMovementStatusAdviceV01 494

Message Definition AgentCADEactivationStatusAdviceV01 587

ProcessedStatus2FormatChoice

Message Definition AgentCANotificationStatusAdviceV01 280

Message Definition AgentCAMovementStatusAdviceV01 494

Message Definition AgentCADEactivationStatusAdviceV01 587

ProcessedStatus3Code

Message Definition AgentCAElectionStatusAdviceV01 352

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400

Message Definition AgentCAMovementStatusAdviceV01 493

Message Definition AgentCAStandingInstructionStatusAdviceV01 558

ProcessedStatus3FormatChoice

Message Definition AgentCAElectionStatusAdviceV01 352

Message Definition AgentCAGlobalDistributionStatusAdviceV01 399, 400

Message Definition AgentCAMovementStatusAdviceV01 493

Message Definition AgentCAStandingInstructionStatusAdviceV01 558

ProcessedStatus4Code

Message Definition AgentCAStandingInstructionStatusAdviceV01 558

ProcessedStatus4FormatChoice

Message Definition AgentCAStandingInstructionStatusAdviceV01 558

ProcessedStatus5Code

Message Definition AgentCAElectionStatusAdviceV01 353, 354

Message Definition AgentCAInformationStatusAdviceV01 528

ProcessedStatus5FormatChoice

Message Definition AgentCAElectionStatusAdviceV01 353, 354

Message Definition AgentCAInformationStatusAdviceV01 528

ProcessedStatus6Code

Message Definition AgentCADEactivationStatusAdviceV01 586

ProcessedStatus6FormatChoice

Message Definition AgentCADEactivationStatusAdviceV01 586

ProcessingPosition2Code

733

ProcessingPosition2FormatChoice

733

Message Definition AgentCANotificationAdviceV01 10

ProcessingStatus1Code



810

ProcessingStatus1FormatChoice

809

Message Definition AgentCANotificationAdviceV01 11

## Q

Quantity1Code

Message Definition AgentCANotificationAdviceV01 12, 12, 12

Message Definition AgentCANotificationCancellationRequestV01 150, 150, 150

QuantityToQuantityRatio1

787, 791, 794

Message Definition AgentCANotificationAdviceV01 19, 19

Message Definition AgentCANotificationCancellationRequestV01 157, 157

QUICKIdentifier

609

## R

RateAndAmountFormat1Choice

734

Message Definition AgentCANotificationAdviceV01 14, 15, 16, 16, 16, 16, 16, 16, 17, 17, 17, 17, 17, 17

Message Definition AgentCANotificationCancellationRequestV01 152, 152, 154, 154, 154, 154, 154, 154, 155, 155, 155, 155, 155

RateFormat1Choice

787

Message Definition AgentCANotificationAdviceV01 14, 14, 14, 14, 16, 16, 16, 16, 16, 16, 17, 17, 17, 17

Message Definition AgentCANotificationCancellationRequestV01 152, 152, 152, 152, 154, 154, 154, 154, 154, 154, 155, 155, 155

RateType12Code

735, 787, 789, 791, 795

RateType12FormatChoice

735, 787, 789, 791, 795

Message Definition AgentCANotificationAdviceV01 17, 17

Message Definition AgentCANotificationCancellationRequestV01 155, 155

RateValueType2Code

777

RateValueType2FormatChoice

777

RateValueType6Code

782

Message Definition AgentCANotificationAdviceV01 14

Message Definition AgentCANotificationCancellationRequestV01 152

RateValueType6FormatChoice  
782  
Message Definition AgentCANotificationAdviceV01 14  
Message Definition AgentCANotificationCancellationRequestV01 152

RatioFormat1Choice  
791  
Message Definition AgentCANotificationAdviceV01 17, 17  
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RatioFormat2Choice  
794  
Message Definition AgentCANotificationAdviceV01 16, 17  
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RejectionReason10Code  
Message Definition AgentCAStandingInstructionStatusAdviceV01 559

RejectionReason10FormatChoice  
Message Definition AgentCAStandingInstructionStatusAdviceV01 559

RejectionReason11Code  
Message Definition AgentCANotificationStatusAdviceV01 280

RejectionReason11FormatChoice  
Message Definition AgentCANotificationStatusAdviceV01 280

RejectionReason12Code  
Message Definition AgentCADEactivationStatusAdviceV01 586

RejectionReason12FormatChoice  
Message Definition AgentCADEactivationStatusAdviceV01 586

RejectionReason13Code  
Message Definition AgentCAMovementStatusAdviceV01 493

RejectionReason13FormatChoice  
Message Definition AgentCAMovementStatusAdviceV01 493

RejectionReason14Code  
Message Definition AgentCAMovementStatusAdviceV01 494

RejectionReason14FormatChoice  
Message Definition AgentCAMovementStatusAdviceV01 494

RejectionReason15Code  
Message Definition AgentCAInformationStatusAdviceV01 528

RejectionReason15FormatChoice  
Message Definition AgentCAInformationStatusAdviceV01 528

RejectionReason18Code  
Message Definition AgentCAElectionStatusAdviceV01 353

RejectionReason18FormatChoice  
Message Definition AgentCAElectionStatusAdviceV01 353

RejectionReason19Code  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400

RejectionReason19FormatChoice  
Message Definition AgentCAGlobalDistributionStatusAdviceV01 400, 400

RejectionReason20Code  
Message Definition AgentCAStandingInstructionStatusAdviceV01 558

RejectionReason20FormatChoice  
Message Definition AgentCAStandingInstructionStatusAdviceV01 558

RejectionReason6Code  
Message Definition AgentCANotificationStatusAdviceV01 281

RejectionReason6FormatChoice  
Message Definition AgentCANotificationStatusAdviceV01 281

RejectionReason7Code  
Message Definition AgentCADeactivationStatusAdviceV01 587

RejectionReason7FormatChoice  
Message Definition AgentCADeactivationStatusAdviceV01 587

RejectionReason8Code  
Message Definition AgentCAElectionStatusAdviceV01 354

RejectionReason8FormatChoice  
Message Definition AgentCAElectionStatusAdviceV01 354

RejectionReason9Code  
Message Definition AgentCAElectionStatusAdviceV01 353

RejectionReason9FormatChoice  
Message Definition AgentCAElectionStatusAdviceV01 353

RelatedTaxType1  
Message Definition AgentCANotificationAdviceV01 17  
Message Definition AgentCANotificationCancellationRequestV01 154

RenounceableStatus1Code  
811

RenounceableStatus1FormatChoice  
811

Message Definition AgentCANotificationAdviceV01 15  
 Message Definition AgentCANotificationCancellationRequestV01 153

RICIdentifier  
 609

## S

SecuritiesAccount10  
 Message Definition AgentCAMovementInstructionV01 414  
 Message Definition AgentCAMovementCancellationRequestV01 448

SecuritiesAccount12  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

SecuritiesAccount6  
 614  
 Message Definition AgentCAStandingInstructionRequestV01 543  
 Message Definition AgentCAStandingInstructionCancellationRequestV01 550

SecuritiesAccount7  
 625  
 Message Definition AgentCAElectionAdviceV01 295  
 Message Definition AgentCAElectionAmendmentRequestV01 321  
 Message Definition AgentCAElectionCancellationRequestV01 336  
 Message Definition AgentCAInformationAdviceV01 512

SecuritiesAccount8  
 629  
 Message Definition AgentCAMovementInstructionV01 414  
 Message Definition AgentCAMovementCancellationRequestV01 448  
 Message Definition AgentCAMovementConfirmationV01 480

SecuritiesAccount9  
 640  
 Message Definition AgentCAElectionAdviceV01 296  
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SecuritiesBalanceType10Code  
 630, 641

SecuritiesBalanceType10FormatChoice  
 630, 641

SecuritiesBalanceType6Code  
 653

SecuritiesBalanceType6FormatChoice  
 653  
 Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

SecuritiesBalanceType9Code

655

SecuritiesBalanceType9FormatChoice

655

Message Definition AgentCAMovementInstructionV01 415

Message Definition AgentCAMovementCancellationRequestV01 448

SecuritiesEntitlement1

Message Definition AgentCADistributionBreakdownAdviceV01 370

SecuritiesProceeds1

Message Definition AgentCAMovementInstructionV01 414

Message Definition AgentCAMovementCancellationRequestV01 448

SecurityIdentification7

614, 669, 690, 690, 716, 800, 806

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Message Definition AgentCAElectionAdviceV01 296, 296

Message Definition AgentCAElectionCancellationRequestV01 337

Message Definition AgentCADistributionBreakdownAdviceV01 370

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

Message Definition AgentCAMovementInstructionV01 413, 414

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Message Definition AgentCAMovementConfirmationV01 480

Message Definition AgentCAMovementStatusAdviceV01 493

Message Definition AgentCAInformationAdviceV01 513

Message Definition AgentCAInformationStatusAdviceV01 527

SecurityMovement1

Message Definition AgentCAGlobalDistributionAuthorisationRequestV01 381

SecurityOption1

Message Definition AgentCANotificationAdviceV01 18

Message Definition AgentCANotificationCancellationRequestV01 156

SEDOLIdentifier

609

ShareRanking1Code

737

ShareRanking1FormatChoice

737

Message Definition AgentCANotificationAdviceV01 19

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SicovamIdentifier

609

SimpleIdentificationInformation

650

StampDutyType1Code  
630

StampDutyType1FormatChoice  
630

StandingInstructionCancellationStatus1Choice  
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