

ISO 20022

Corporate Actions - ISO 15022 Variants - Maintenance 2024 - 2025

Message Definition Report - Part 2

Approved by the Securities SEG on 13 February 2025

This document provides details of the Message Definitions for Corporate Actions - ISO 15022 Variants - Maintenance 2024 - 2025.

February 2025

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1 Message Set Overview

Introduction

This document describes the Corporate Actions - ISO 15022 Variants message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2024-2025 (See MCR #260, 262) and approved by the Securities Standards Evaluation Group on 13 February 2025.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.031.002.15 CorporateActionNotification002V15	<p>The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information and preliminary information about eligible balance and entitlements.</p>

MessageDefinition	Definition
	<p>It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.</p> <p>It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.</p>
seev.032.002.09 CorporateActionEventProcessingStatusAdvice02V09	<p>An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.</p> <p>The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.</p>
seev.033.002.13 CorporateActionInstruction002V13	<p>The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.</p> <p>This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.</p>
seev.034.002.15 CorporateActionInstructionStatusAdvice002V15	<p>The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.</p> <p>This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.</p>
seev.035.002.16 CorporateActionMovementPreliminaryAdvice002V16	<p>The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent:</p> <ul style="list-style-type: none"> - to advise about the eligible balance and entitlements and, - to pre-advise an upcoming posting or reversal of securities and/or cash postings.
seev.036.002.16 CorporateActionMovementConfirmation002V16	<p>The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.</p>
seev.037.002.16 CorporateActionMovementReversalAdvice002V16	<p>The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account</p>

MessageDefinition	Definition
	owner or its designated agent to reverse a previously confirmed posting of securities or cash.
seev.038.002.09 CorporateActionNarrative002V09	The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.
seev.039.002.13 CorporateActionCancellationAdvice002V13	The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.
seev.040.002.13 CorporateActionInstructionCancellationRequest002V13	The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.
seev.041.002.14 CorporateActionInstructionCancellationRequestStatusAdvice002V14	The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.
seev.042.002.13 CorporateActionInstructionStatementReport002V13	The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.
seev.044.002.13 CorporateActionMovementPreliminaryAdviceCancellationAdvice002V13	The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	12
	From <Fr>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	To <To>	[1..1]			13
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		14
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		14
	BusinessService <BizSvc>	[0..1]	Text		14
	MarketPractice <MktPrctc>	[0..1]			14
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15
	CreationDate <CreDt>	[1..1]	DateTime		15
	BusinessProcessingDate <BizPrctgDt>	[0..1]	DateTime		15
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		15
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		16
	Priority <Prty>	[0..1]	CodeSet	C7	16
	Signature <Sgntr>	[0..1]	(External Schema)		17
	Related <Rltd>	[0..*]			17
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1520

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1353
	PostalAddress <PstlAdr>	[0..1]	±		1353
	Identification <Id>	[0..1]	±		1354
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1354
	ContactDetails <CtctDtls>	[0..1]	±		1355

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 1250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		1250
	BranchIdentification <BrnchId>	[0..1]	±		1251

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		13

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1353
	PostalAddress <PstlAdr>	[0..1]	±		1353
	Identification <Id>	[0..1]	±		1354
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1354
	ContactDetails <CtctDtls>	[0..1]	±		1355

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 1250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		1250
	BranchIdentification <BrnchId>	[0..1]	±		1251

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1527

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1527

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1527

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 1527

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 1526

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 1521

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 1521

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1447

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1523](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 1445](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1520

Constraints

- ValidationByTable

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1353
	PostalAddress <PstlAdr>	[0..1]	±		1353
	Identification <Id>	[0..1]	±		1354
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1354
	ContactDetails <CtctDtls>	[0..1]	±		1355

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 1250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		1250
	BranchIdentification <BrnchId>	[0..1]	±		1251

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		19

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1353
	PostalAddress <PstlAdr>	[0..1]	±		1353
	Identification <Id>	[0..1]	±		1354
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1354
	ContactDetails <CtctDtls>	[0..1]	±		1355

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 1250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		1250
	BranchIdentification <BrnchId>	[0..1]	±		1251

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1527

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1527

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1527

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODateTime" on page 1521

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1447

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDplct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 1445

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.031.002.15**

CorporateActionNotification002V15

3.1 **MessageDefinition Functionality**

Scope

The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information and preliminary information about eligible balance and entitlements.

It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.

It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNotification002V15 MessageDefinition is composed of 25 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationGeneralInformation

General information about the event notification type, status and contents.

C. PreviousNotificationIdentification

Identification of the previously sent notification document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. CorporateActionGeneralInformation

General information about the corporate action event.

H. AccountDetails

General information about the safekeeping account, owner and account balance.

I. IntermediateSecurity

Details on rights credited to the account as for example trading period, expiry date, renounceability.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionOptionDetails

Information about the corporate action option.

L. AdditionalInformation

Additional information on the event.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. Registrar

Party/agent responsible for maintaining the register of the security.

Q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

R. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

S. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

T. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

U. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

V. Issuer

Issuer of the financial instrument.

W. Offeror

Party making the offer and which is different from the issuing company.

X. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

Y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <CorpActnNtfctn>	[1..1]		C12, C14, C15, C16, C17, C20, C37, C38, C43, C44, C45, C46, C55, C56, C61, C63, C65, C66, C67, C73, C74, C75, C76, C80, C81, C82, C83, C84, C89, C90, C91, C97, C99, C100, C103, C106, C107, C120, C70	
	Pagination <Pgntn>	[0..1]	±		70
	NotificationGeneralInformation <NtfctnGnllnf>	[1..1]		C92	70
	NotificationIdentification <NtfctnId>	[0..1]	Text		71
	NotificationType <NtfctnTp>	[1..1]	CodeSet		71
	ProcessingStatus <PrcgSts>	[1..1]	±		71
	PreviousNotificationIdentification <PrvsNtfctnId>	[0..1]	±		72
	InstructionIdentification <InstrId>	[0..1]	±		72
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		72
	EventsLinkage <EvtsLkg>	[0..*]	±		73
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C94	73

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		75
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		75
	ClassActionNumber <ClssActnNb>	[0..1]	Text		75
	EventProcessingType <EvtPrcgTp>	[0..1]	±		75
	EventType <EvtTp>	[1..1]		C40	76
{Or	Code <Cd>	[1..1]	CodeSet		76
Or}	Proprietary <Prtry>	[1..1]	±		82
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		83
	UnderlyingSecurity <UndrlygScty>	[1..1]		C77, C101	83
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	85
	PlaceOfListing <PlcOfListg>	[0..1]	±		86
	DayCountBasis <DayCntBsis>	[0..1]	±		86
	ClassificationType <ClssfctnTp>	[0..1]	±		86
	OptionStyle <OptnStyle>	[0..1]	±		87
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	87
	NextCouponDate <NxtCpnDt>	[0..1]	Date		87
	ExpiryDate <XpryDt>	[0..1]	Date		87
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		87
	MaturityDate <MtrtyDt>	[0..1]	Date		87
	IssueDate <IsseDt>	[0..1]	Date		88
	NextCallableDate <NxtClblDt>	[0..1]	Date		88
	PutableDate <PutblDt>	[0..1]	Date		88
	DatedDate <DtdDt>	[0..1]	Date		88
	ConversionDate <ConvstDt>	[0..1]	Date		88
	InterestRate <IntrstRate>	[0..1]	±		88
	NextInterestRate <NxtIntrstRate>	[0..1]	±		88
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		89
	PreviousFactor <PrvsFctr>	[0..1]	±		89
	NextFactor <NxtFctr>	[0..1]	±		89

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	WarrantParity <WarrtParity>	[0..1]	±		90
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	90
	ContractSize <CtrctSz>	[0..1]	±	C26	90
	AccountDetails <AcctDtls>	[1..1]			91
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		93
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C108, C109, C110, C111	93
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		95
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		95
	AccountOwner <AcctOwnr>	[0..1]	±		95
	SafekeepingPlace <SfkpgPlc>	[0..1]			96
{Or	Identification <Id>	[1..1]	±		96
Or	Country <Ctry>	[1..1]	CodeSet	C18	96
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		97
Or	TypeAndIdentification <TpAndId>	[1..1]			97
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		97
	Identification <Id>	[1..1]	IdentifierSet	C11	97
Or}	Proprietary <Prtry>	[1..1]	±		98
	Balance <Bal>	[0..1]		C10	98
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		99
	BlockedBalance <BlckdBal>	[0..1]	±		100
	BorrowedBalance <BrrwdBal>	[0..1]	±		100
	CollateralInBalance <CollInBal>	[0..1]	±		100
	CollateralOutBalance <CollOutBal>	[0..1]	±		101
	OnLoanBalance <OnLnBal>	[0..1]	±		101
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		101
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		102
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		102
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		102
	StreetPositionBalance <StrtPosBal>	[0..1]	±		103
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		103

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		103
	RegisteredBalance <RegdBal>	[0..1]	±		104
	ObligatedBalance <OblgtdBal>	[0..1]	±		104
	UninstructedBalance <UinstdBal>	[0..1]	±		104
	InstructedBalance <InstdBal>	[0..1]	±		105
	AffectedBalance <AfctdBal>	[0..1]	±		105
	UnaffectedBalance <UafctdBal>	[0..1]	±		105
	IntermediateSecurity <IntrmdtScty>	[0..1]	±		106
	CorporateActionDetails <CorpActnDtls>	[0..1]		C1, C29, C31, C33, C35	106
	DateDetails <DtDtls>	[0..1]		C39	112
	AnnouncementDate <AnncmntDt>	[0..1]	±		114
	CertificationDeadline <CertfctnDdln>	[0..1]	±		114
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		115
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		115
	EffectiveDate <FctvDt>	[0..1]	±		115
	EqualisationDate <EqulstnDt>	[0..1]	±		115
	FurtherDetailedAnnouncementDate <FrthrDtlDAnncmntDt>	[0..1]	±		116
	FixingDate <FvgDt>	[0..1]	±		116
	LotteryDate <LtryDt>	[0..1]	±		116
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		117
	MeetingDate <MtgDt>	[0..1]	±		117
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		117
	ProrationDate <PrratnDt>	[0..1]	±		118
	RecordDate <RcrdDt>	[0..1]	±		118
	RegistrationDeadline <RegnDdln>	[0..1]	±		118
	ResultsPublicationDate <RsItsPblctnDt>	[0..1]	±		119
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		119
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		119
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		120
	UnconditionalDate <UcondlDt>	[0..1]	±		120

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		120
	ExDividendDate <ExDvddDt>	[0..1]	±		121
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		121
	SpecialExDate <SpclExDt>	[0..1]	±		121
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		122
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdlIn>	[0..1]	±		122
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdlIn>	[0..1]	±		122
	LapsedDate <LpsdDt>	[0..1]	±		123
	PaymentDate <PmtDt>	[0..1]	±		123
	ThirdPartyDeadline <ThrdPtyDdlIn>	[0..1]	±		123
	EarlyThirdPartyDeadline <EarlyThrdPtyDdlIn>	[0..1]	±		124
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		124
	LeadPlaintiffDeadline <LeadPlntffDdlIn>	[0..1]	±		124
	FilingDate <FilgDt>	[0..1]	±		125
	HearingDate <HrgDt>	[0..1]	±		125
	PeriodDetails <PrdDtls>	[0..1]	±	C64	125
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C21	126
	InterestRate <IntrstRate>	[0..1]			128
{Or	Rate <Rate>	[1..1]	Rate		128
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		128
Or}	Amount <Amt>	[1..1]	Amount	C3	128
	PercentageSought <PctgSght>	[0..1]	±		129
	RelatedIndex <RltdIndx>	[0..1]	±		129
	Spread <Sprd>	[0..1]	±		129
	BidInterval <BidIntrvl>	[0..1]			129
{Or	Rate <Rate>	[1..1]	Rate		130
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		130
Or	Amount <Amt>	[1..1]	Amount	C3	130
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		130
	PreviousFactor <PrvsFctr>	[0..1]	±		130

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NextFactor <NxtFctr>	[0..1]	±		131
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		131
	InterestShortfall <IntrstShrtfl>	[0..1]			131
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	132
	RealisedLoss <RealsdLoss>	[0..1]			132
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	133
	DeclaredRate <DclrdRate>	[0..1]			133
{Or	Rate <Rate>	[1..1]	Rate		133
Or}	Amount <Amt>	[1..1]	Amount	C3	133
	IndexFactor <IndxFctr>	[0..1]	±		133
	PriceDetails <PricDtls>	[0..1]			134
	MaximumPrice <MaxPric>	[0..1]			135
{Or	PercentagePrice <PctgPric>	[1..1]			136
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		136
	PriceValue <PricVal>	[1..1]	Rate		136
Or	AmountPrice <AmtPric>	[1..1]	±		137
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		137
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		137
	MinimumPrice <MinPric>	[0..1]			137
{Or	PercentagePrice <PctgPric>	[1..1]			137
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		138
	PriceValue <PricVal>	[1..1]	Rate		138
Or	AmountPrice <AmtPric>	[1..1]	±		138
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		138
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		139
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]			139
{Or	PercentagePrice <PctgPric>	[1..1]			139
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		139
	PriceValue <PricVal>	[1..1]	Rate		140

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPrice <AmtPric>	[1..1]	±		140
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		140
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		140
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]			140
{Or	PercentagePrice <PctgPric>	[1..1]			141
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		141
	PriceValue <PricVal>	[1..1]	Rate		141
Or	AmountPrice <AmtPric>	[1..1]	±		142
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		142
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		142
	SecuritiesQuantity <SctiesQty>	[0..1]		C79	142
	MaximumQuantity <MaxQty>	[0..1]	±	C27	143
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C27	143
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	144
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	144
	BaseDenomination <BaseDnmtn>	[0..1]	±	C28	145
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C28	145
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C93	146
	CouponNumber <CpnNb>	[0..*]	±		146
	AcceptancePriorityLevel <AcceptncPrtyLvl>	[0..1]	Text		146
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		147
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		147
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		147
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		147
	ForfeitureOfInterestIndicator <FrftOfIntrstInd>	[0..1]	Indicator		147
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		148
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		148
	DividendType <DvddTp>	[0..1]	±		148
	EventSequenceType <EvtSeqTp>	[0..1]	±		148
	OccurrenceType <OcrncTp>	[0..1]	±		149
	OfferType <OfferTp>	[0..*]			149

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		149
Or}	Proprietary <Prtry>	[1..1]	±		150
	RenounceableEntitlementStatusType <RnnclEnttlmntStsTp>	[0..1]	±		150
	EventStage <EvtStag>	[0..*]	±		150
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		151
	ChangeType <ChngTp>	[0..*]	±		151
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]	±		151
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		151
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		152
	ElectionType <ElctnTp>	[0..1]	±		152
	LotteryType <LtryTp>	[0..1]	±		152
	CertificationType <CertfctnTp>	[0..1]	±		152
	ConsentType <CnsntTp>	[0..1]	±		153
	InformationType <InfTp>	[0..1]	±		153
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		153
	DutchAuctionType <DtchAuctnTp>	[0..1]			154
{Or	Code <Cd>	[1..1]	CodeSet		154
Or}	Proprietary <Prtry>	[1..1]	±		154
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		154
	AdditionalInformation <AddtlInf>	[0..1]		C5	154
	Offeror <Offerr>	[0..*]	Text		155
	NewCompanyName <NewCpnyNm>	[0..1]	Text		155
	URLAddress <URLAdr>	[0..*]			155
	Language <Lang>	[1..1]	CodeSet		155
	URLAddress <URLAdr>	[1..1]	Text		156
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		156
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C2, C9, C13, C30, C32, C34, C36, C62, C96,	156

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C105, C95	
	OptionNumber <OptnNb>	[1..1]	Text		175
	OptionType <OptnTp>	[1..1]	±		175
	FractionDisposition <FrctnDspstn>	[0..1]	±		175
	OfferType <OfferTp>	[0..*]			176
{Or	Code <Cd>	[1..1]	CodeSet		176
Or}	Proprietary <Prtry>	[1..1]	±		177
	OptionFeatures <OptnFeatrs>	[0..*]			177
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		178
	OptionAvailabilityStatus <OptnAvlbtYsts>	[0..1]	±		178
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		179
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]			179
{Or	Code <Cd>	[1..1]	CodeSet		179
Or}	Proprietary <Prtry>	[1..1]	±		180
	BidRangeType <BidRgTp>	[0..1]	±		180
	ProrationBelowMinimumQuantity <PrratnBlwMinQty>	[0..1]			181
{Or	Code <Cd>	[1..1]	CodeSet		181
Or}	Proprietary <Prtry>	[1..1]	±		181
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C18	182
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C18	182
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	182
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>	[1..1]	±		182
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		183
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		183
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		183
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		183
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		184
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C24, C25, C68, C69, C98	184

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			185
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		185
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		186
	ProtectDeadline <PrctcDdln>	[0..1]	±		186
	MarketDeadline <MktDdln>	[0..1]	±		186
	ResponseDeadline <RspnDdln>	[0..1]	±		187
	ExpiryDate <XpryDt>	[0..1]	±		187
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		188
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		188
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		188
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			189
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		189
	Borrower <Brrwr>	[1..1]	±		189
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		189
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		190
	PeriodDetails <PrdDtls>	[0..1]			190
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		190
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		191
	ActionPeriod <ActnPrd>	[0..1]	±		191
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		191
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		192
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		192
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		192
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C50, C52, C85, C87, C112, C114, C116, C121, C123	192
	AdditionalTax <AddtlTax>	[0..1]			195
{Or	Rate <Rate>	[1..1]	Rate		195
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		195

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Amount <Amt>	[1..1]	Amount	C3	195
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		196
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		196
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		197
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		198
	ProrationRate <PrratnRate>	[0..1]	±		198
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			199
{Or	Rate <Rate>	[1..1]	Rate		199
Or	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		199
Or	Amount <Amt>	[1..1]	Amount	C3	199
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			200
	RateType <RateTp>	[1..1]			200
{Or	Code <Cd>	[1..1]	CodeSet		200
Or}	Proprietary <Prtry>	[1..1]	±		200
	Rate <Rate>	[1..1]	Rate		201
	SecondLevelTax <ScndLvITax>	[0..*]			201
{Or	Rate <Rate>	[1..1]	Rate		201
Or	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		201
Or	Amount <Amt>	[1..1]	Amount	C3	202
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			202
	RateType <RateTp>	[1..1]			202
{Or	Code <Cd>	[1..1]	CodeSet		202
Or}	Proprietary <Prtry>	[1..1]	±		203
	Rate <Rate>	[1..1]	Rate		203
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		203
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		204
	TaxOnIncome <TaxOnIncm>	[0..1]			204
{Or	Rate <Rate>	[1..1]	Rate		204
Or	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		205
Or}	Amount <Amt>	[1..1]	Amount	C3	205
	BidInterval <BidIntrvl>	[0..1]			205

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		205
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		205
Or	Amount <Amt>	[1..1]	Amount	C3	206
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		206
	PriceDetails <PricDtls>	[0..1]			206
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			208
{Or	PercentagePrice <PctgPric>	[1..1]			208
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		209
	PriceValue <PricVal>	[1..1]	Rate		209
Or	AmountPrice <AmtPric>	[1..1]	±		209
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		209
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			209
{Or	PercentagePrice <PctgPric>	[1..1]			210
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		210
	PriceValue <PricVal>	[1..1]	Rate		210
Or	AmountPrice <AmtPric>	[1..1]	±		210
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		211
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		211
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		211
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		212
	MaximumPrice <MaxPric>	[0..1]			212
{Or	PercentagePrice <PctgPric>	[1..1]			212
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		212
	PriceValue <PricVal>	[1..1]	Rate		213
Or	AmountPrice <AmtPric>	[1..1]	±		213
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		213
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		213
	MinimumPrice <MinPric>	[0..1]			213
{Or	PercentagePrice <PctgPric>	[1..1]			214
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		214
	PriceValue <PricVal>	[1..1]	Rate		214

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPrice <AmtPric>	[1..1]	±		215
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		215
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		215
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]			215
{Or	PercentagePrice <PctgPric>	[1..1]			215
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		216
	PriceValue <PricVal>	[1..1]	Rate		216
Or	AmountPrice <AmtPric>	[1..1]	±		216
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		216
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		217
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]			217
{Or	PercentagePrice <PctgPric>	[1..1]			217
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		217
	PriceValue <PricVal>	[1..1]	Rate		218
Or	AmountPrice <AmtPric>	[1..1]	±		218
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		218
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		218
	SecuritiesQuantity <SctiesQty>	[0..1]			218
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C27	219
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C27	219
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C28	220
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	220
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	221
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C28	221
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C28	222
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C41, C57, C59, C71	222
	SecurityDetails <SctyDtls>	[1..1]		C78, C102	228
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	230

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfListing <PlcOfListg>	[0..1]	±		231
	DayCountBasis <DayCntBsis>	[0..1]	±		231
	ClassificationType <ClssfctnTp>	[0..1]	±		231
	OptionStyle <OptnStyle>	[0..1]	±		232
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	232
	NextCouponDate <NxtCpnDt>	[0..1]	Date		232
	FloatingRateFixingDate <FltgRateFxdt>	[0..1]	Date		232
	MaturityDate <MtrtyDt>	[0..1]	Date		232
	IssueDate <IsseDt>	[0..1]	Date		232
	NextCallableDate <NxtCllbldt>	[0..1]	Date		233
	PutableDate <PutblDt>	[0..1]	Date		233
	DatedDate <DtdDt>	[0..1]	Date		233
	ConversionDate <ConvsDt>	[0..1]	Date		233
	PreviousFactor <PrvsFctr>	[0..1]	±		233
	NextFactor <NxtFctr>	[0..1]	±		233
	InterestRate <IntrstRate>	[0..1]	±		234
	NextInterestRate <NxtIntrstRate>	[0..1]	±		234
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	234
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	235
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	235
	ContractSize <CtrctSz>	[0..1]	±	C26	236
	IssuePrice <IssePric>	[0..1]			236
{Or	PercentagePrice <PctgPric>	[1..1]			237
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		237
	PriceValue <PricVal>	[1..1]	Rate		237
Or	AmountPrice <AmtPric>	[1..1]	±		237
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		238
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		238
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		238
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		239

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		239
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		239
	NewSecuritiesIssuanceIndicator <NewSciesIssncInd>	[0..1]	CodeSet		240
	IncomeType <IncmTp>	[0..1]	±		240
	OtherIncomeType <OthrlIncmTp>	[0..*]	±		241
	ExemptionType <XmptnTp>	[0..*]	±		241
	EntitledQuantity <EntitldQty>	[0..1]	±		241
	SafekeepingPlace <SfkpgPlc>	[0..1]			241
{Or	Identification <Id>	[1..1]	±		242
Or	Country <Ctry>	[1..1]	CodeSet	C18	242
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		242
Or	TypeAndIdentification <TpAndId>	[1..1]			242
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		243
	Identification <Id>	[1..1]	IdentifierSet	C11	243
Or}	Proprietary <Prtry>	[1..1]	±		243
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	244
	FractionDisposition <FrctnDspstn>	[0..1]	±		244
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	244
	TradingPeriod <TradgPrd>	[0..1]	±		245
	DateDetails <DtDtls>	[1..1]			245
	PaymentDate <PmtDt>	[1..1]	±		245
	AvailableDate <AvlblDt>	[0..1]	±		246
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		246
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		246
	PariPassuDate <PrpssDt>	[0..1]	±		246
	LastTradingDate <LastTradgDt>	[0..1]	±		247
	RateDetails <RateDtls>	[0..1]			247
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScies>	[0..1]	±		249
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		249
	NewToOld <NewToOd>	[0..1]	±		249
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		250

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesFees <ChrgsFees>	[0..1]			250
{Or	Rate <Rate>	[1..1]	Rate		250
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		251
Or}	Amount <Amt>	[1..1]	Amount	C3	251
	FiscalStamp <FscIStmp>	[0..1]	±		251
	ApplicableRate <AplblRate>	[0..1]	±		251
	TaxCreditRate <TaxCdtRate>	[0..1]			252
{Or	Rate <Rate>	[1..1]	Rate		252
Or	Amount <Amt>	[1..1]	Amount	C3	252
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		252
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		252
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			253
{Or	Rate <Rate>	[1..1]	Rate		253
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		253
Or	Amount <Amt>	[1..1]	Amount	C3	253
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			254
	RateType <RateTp>	[1..1]			254
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255
	Rate <Rate>	[1..1]	Rate		255
	SecondLevelTax <ScndLvlTax>	[0..*]			255
{Or	Rate <Rate>	[1..1]	Rate		256
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		256
Or	Amount <Amt>	[1..1]	Amount	C3	256
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			256
	RateType <RateTp>	[1..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		257
Or}	Proprietary <Prtry>	[1..1]	±		257
	Rate <Rate>	[1..1]	Rate		257
	PriceDetails <PricDtls>	[0..1]		C47	257
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			259

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]			260
{Or	PercentagePrice <PctgPric>	[1..1]			260
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261
Or	AmountPrice <AmtPric>	[1..1]	±		261
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		261
Or}	MarketPrice <MktPric>	[1..1]			261
{Or	PercentagePrice <PctgPric>	[1..1]			262
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262
Or	AmountPrice <AmtPric>	[1..1]	±		262
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		263
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			263
{Or	PercentagePrice <PctgPric>	[1..1]			263
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		263
	PriceValue <PricVal>	[1..1]	Rate		264
Or	AmountPrice <AmtPric>	[1..1]	±		264
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		264
	CashValueForTax <CshValForTax>	[0..1]	±		264
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			265
{Or	PercentagePrice <PctgPric>	[1..1]			265
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		265
	PriceValue <PricVal>	[1..1]	Rate		266
Or	AmountPrice <AmtPric>	[1..1]	±		266
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		266
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		266
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			266
{Or	PercentagePrice <PctgPric>	[1..1]			267
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		267
	PriceValue <PricVal>	[1..1]	Rate		268
Or	AmountPrice <AmtPric>	[1..1]	±		268

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	NotSpecifiedPrice <NotSpfdPric>	[1..1]	CodeSet		268
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			268
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		269
	PriceValue <PricVal>	[1..1]	Amount	C3	269
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	269
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			270
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		270
	PriceValue <PricVal>	[1..1]	Amount	C3	270
	Amount <Amt>	[1..1]	Amount	C3	271
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		271
	AmountDetails <AmtDtls>	[0..1]			271
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	271
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C3, C19	272
	CashMovementDetails <CshMvmntDtls>	[0..*]		C42, C58, C60, C72, C48	272
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		279
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		280
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			280
{Or	Code <Cd>	[1..1]	CodeSet		280
Or}	Proprietary <Prtry>	[1..1]	±		280
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		281
	IncomeType <IncmTp>	[0..1]	±		281
	OtherIncomeType <OthrIncmTp>	[0..*]	±		281
	ExemptionType <XmptnTp>	[0..*]	±		282
	PriceCalculationMethod <PricClctnMtd>	[0..1]			282
{Or	Code <Cd>	[1..1]	CodeSet		282
Or}	Proprietary <Prtry>	[1..1]	±		282
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	283
	CashAccountIdentification <CshAcctId>	[0..1]			283
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C54	283

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		284
Or}	Proprietary <Prtry>	[1..1]	Text		284
	AmountDetails <AmtDtls>	[0..1]			284
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	286
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	287
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	287
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	287
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	288
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	288
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	289
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	289
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	290
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	290
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	290
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	291
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	291
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C3, C19	292
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	292
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	292
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	293
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	293
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	294
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	294
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	294
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	295
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	295
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	296
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	296
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	296
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	297
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	297

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	298
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	298
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	298
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	299
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	299
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	300
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C3, C19	300
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	300
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	301
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	301
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	302
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	302
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	302
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	303
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	303
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C3, C19	304
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	304
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	304
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	305
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	305
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	306
	DateDetails <DtDtls>	[1..1]			306
	PaymentDate <PmtDt>	[1..1]	±		306
	ValueDate <ValDt>	[0..1]			307
{Or	Date <Dt>	[1..1]	Date		307
Or}	DateCode <DtCd>	[1..1]			307
{Or	Code <Cd>	[1..1]	CodeSet		307
Or}	Proprietary <Prtry>	[1..1]	±		308
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		308
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		308
	ForeignExchangeDetails <FXDtls>	[0..1]			309

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	309
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	309
	ExchangeRate <XchgRate>	[1..1]	Rate		309
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C3, C19	310
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C23, C51, C53, C86, C88, C113, C115, C117, C122, C124	310
	AdditionalTax <AddtlTax>	[0..1]			315
{Or	Rate <Rate>	[1..1]	Rate		315
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		315
Or}	Amount <Amt>	[1..1]	Amount	C3	315
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		315
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		316
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			317
{Or	Rate <Rate>	[1..1]	Rate		317
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		318
Or	Amount <Amt>	[1..1]	Amount	C3	318
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			318
	RateType <RateTp>	[1..1]			318
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	Rate <Rate>	[1..1]	Rate		319
	SecondLevelTax <ScndLvITax>	[0..*]			319
{Or	Rate <Rate>	[1..1]	Rate		320
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		320
Or	Amount <Amt>	[1..1]	Amount	C3	320
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			320
	RateType <RateTp>	[1..1]			321

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321
	Rate <Rate>	[1..1]	Rate		322
	ChargesFees <ChrgsFees>	[0..1]			322
{Or	Rate <Rate>	[1..1]	Rate		322
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		322
Or}	Amount <Amt>	[1..1]	Amount	C3	322
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			323
{Or	Rate <Rate>	[1..1]	Rate		323
Or	AmountToQuantity <AmtToQty>	[1..1]			323
	Amount <Amt>	[1..1]	Amount	C3	323
	Quantity <Qty>	[1..1]	Quantity		324
Or	Amount <Amt>	[1..1]	Amount	C3	324
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		324
	FiscalStamp <FscIStmp>	[0..1]	±		324
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			325
{Or	Rate <Rate>	[1..1]	Rate		325
Or	Amount <Amt>	[1..1]	Amount	C3	325
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		325
	NetDistributionRate <NetDstrbtrRate>	[0..*]	±		326
	ApplicableRate <AplblRate>	[0..1]	±		326
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			326
{Or	Rate <Rate>	[1..1]	Rate		327
Or	AmountToQuantity <AmtToQty>	[1..1]			327
	Amount <Amt>	[1..1]	Amount	C3	327
	Quantity <Qty>	[1..1]	Quantity		328
Or	Amount <Amt>	[1..1]	Amount	C3	328
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		328
	TaxCreditRate <TaxCdtRate>	[0..1]			328
{Or	Rate <Rate>	[1..1]	Rate		328
Or	Amount <Amt>	[1..1]	Amount	C3	329

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		329
	TaxOnIncome <TaxOnIncm>	[0..1]			329
{Or	Rate <Rate>	[1..1]	Rate		329
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		329
Or}	Amount <Amt>	[1..1]	Amount	C3	330
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		330
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		330
	EqualisationRate <EqulstnRate>	[0..1]	±		330
	DeemedRate <DmdRate>	[0..*]			331
{Or	Rate <Rate>	[1..1]	Rate		331
Or	Amount <Amt>	[1..1]	Amount	C3	332
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		332
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			332
	RateType <RateTp>	[1..1]			332
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		333
	Amount <Amt>	[1..1]	Amount	C3	333
	RateStatus <RateSts>	[0..1]		C104	334
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			334
	RateType <RateTp>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335
	Rate <Rate>	[1..1]	Rate		336
	PriceDetails <PricDtls>	[0..1]		C49	336
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			338
{Or	PercentagePrice <PctgPric>	[1..1]			338
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		338
	PriceValue <PricVal>	[1..1]	Rate		339
Or	AmountPrice <AmtPric>	[1..1]	±		339

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		339
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		339
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			339
{Or	PercentagePrice <PctgPric>	[1..1]			340
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		340
	PriceValue <PricVal>	[1..1]	Rate		341
Or	AmountPrice <AmtPric>	[1..1]	±		341
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		341
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			341
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		342
	PriceValue <PricVal>	[1..1]	Amount	C3	342
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	342
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			343
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		343
	PriceValue <PricVal>	[1..1]	Amount	C3	343
	Amount <Amt>	[1..1]	Amount	C3	344
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		344
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			344
{Or	PercentagePrice <PctgPric>	[1..1]			344
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		345
	PriceValue <PricVal>	[1..1]	Rate		345
Or	AmountPrice <AmtPric>	[1..1]	±		345
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		345
	AdditionalInformation <AddtlInf>	[0..1]		C6	346
	AdditionalText <AddtlTxt>	[0..*]			347
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		347
	NarrativeVersion <NrrtvVrsn>	[0..*]			347
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		348
	InformationConditions <InfConds>	[0..*]			348

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		348
	InformationToComplyWith <InfToCmplyWth>	[0..*]			348
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		349
	SecurityRestriction <SctyRstrctn>	[0..*]			349
	Language <Lang>	[1..1]	CodeSet		349
	AdditionalInformation <AddtlInf>	[1..*]	Text		349
	TaxationConditions <TaxtnConds>	[0..*]			349
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350
	Disclaimer <Dsclmr>	[0..*]			350
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			350
	Language <Lang>	[1..1]	CodeSet		351
	AdditionalInformation <AddtlInf>	[1..*]	Text		351
	AdditionalInformation <AddtlInf>	[0..1]		C7	351
	AdditionalText <AddtlTxt>	[0..*]		C8	353
	Language <Lang>	[1..1]	CodeSet		353
	AdditionalInformation <AddtlInf>	[1..*]	Text		353
	NarrativeVersion <NrrtvVrsn>	[0..*]		C8	354
	Language <Lang>	[1..1]	CodeSet		354
	AdditionalInformation <AddtlInf>	[1..*]	Text		354
	InformationConditions <InfConds>	[0..*]		C8	354
	Language <Lang>	[1..1]	CodeSet		355
	AdditionalInformation <AddtlInf>	[1..*]	Text		355
	InformationToComplyWith <InfToCmplyWth>	[0..*]		C8	355
	Language <Lang>	[1..1]	CodeSet		355
	AdditionalInformation <AddtlInf>	[1..*]	Text		355
	TaxationConditions <TaxtnConds>	[0..*]		C8	356

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		356
	AdditionalInformation <AddtlInf>	[1..*]	Text		356
	Disclaimer <Dsclmr>	[0..*]		C8	356
	Language <Lang>	[1..1]	CodeSet		357
	AdditionalInformation <AddtlInf>	[1..*]	Text		357
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]		C8	357
	Language <Lang>	[1..1]	CodeSet		357
	AdditionalInformation <AddtlInf>	[1..*]	Text		357
	RegistrationDetails <RegnDtls>	[0..*]		C8	358
	Language <Lang>	[1..1]	CodeSet		358
	AdditionalInformation <AddtlInf>	[1..*]	Text		358
	BasketOrIndexInformation <BsktOrIndxInf>	[0..*]		C8	358
	Language <Lang>	[1..1]	CodeSet		359
	AdditionalInformation <AddtlInf>	[1..*]	Text		359
	CertificationBreakdown <CertfctnBrkdown>	[0..*]		C8	359
	Language <Lang>	[1..1]	CodeSet		359
	AdditionalInformation <AddtlInf>	[1..*]	Text		359
	URLAddress <URLAdr>	[0..*]			360
	Language <Lang>	[1..1]	CodeSet		360
	URLAddress <URLAdr>	[1..1]	Text		360
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]		C8	360
	Language <Lang>	[1..1]	CodeSet		360
	AdditionalInformation <AddtlInf>	[1..*]	Text		361
	IssuerAgent <IssrAgt>	[0..*]	±		361
	PayingAgent <PngAgt>	[0..*]	±		361
	SubPayingAgent <SubPngAgt>	[0..*]	±		361
	Registrar <Regar>	[0..1]	±		362
	ResellingAgent <RsellingAgt>	[0..*]	±		362
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		362
	DropAgent <DrpAgt>	[0..1]	±		363
	SolicitationAgent <SlctnAgt>	[0..*]	±		363

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationAgent <InfAgt>	[0..1]	±		363
	Issuer <Issr>	[0..1]	±		364
	Offeror <Offerr>	[0..*]	±		364
	TransferAgent <TrfAgt>	[0..1]	±		364
	SupplementaryData <SplmtryData>	[0..*]	±	C119	365

3.3 Constraints

C1 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Expression Language: UGRule

C2 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Expression Language: UGRule

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

C6 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C8 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C9 AdditionalTextRule

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C10 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C11 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C12 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C13 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C14 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

`/CorporateActionOptionDetails[*]/OptionType/Code is equal to value 'BeneficialOwnerBreakdownRequest'`

Following Must be True

`/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'WithholdingTaxReliefCertification'`

This constraint is defined at the MessageDefinition level.

C15 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

```

if
    at least one occurrence of [CorporateActionOptionDetails/OptionType/Code]
has value included in the following list 'BOBD'
, then
    every occurrence of [CorporateActionGeneralInformation/EventType/Code]
must have value included in the following list 'WTRC'

```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C16 BidInterval1Rule

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

```

On Condition
    /CorporateActionDetails/RateAndAmountDetails/BidInterval is present
Following Must be True
    /CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval Must be
absent

```

This constraint is defined at the MessageDefinition level.

C17 BidInterval2Rule

If BidInterval is present in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

```

On Condition
    /CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval is
present
Following Must be True
    /CorporateActionDetails/RateAndAmountDetails/BidInterval Must be absent

```

This constraint is defined at the MessageDefinition level.

C18 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C19 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C20 DeclaredRateRule

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

```

On Condition
    /CorporateActionOptionDetails[*] is present
And    /CorporateActionOptionDetails[*]/RateAndAmountDetails is present
And    /CorporateActionOptionDetails[*]/RateAndAmountDetails/
IssuerDeclaredExchangeRate is present
Following Must be True
    /CorporateActionDetails/RateAndAmountDetails/DeclaredRate Must be present

```

This constraint is defined at the MessageDefinition level.

C21 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

C22 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C23 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C24 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C25 DescriptionUsageRule

Description must be used alone as the last resort.

C26 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C27 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C28 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C29 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C30 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C31 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C32 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C33 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C34 DissenterRights2Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C35 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C36 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C37 DissenterRights3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

On Condition
 /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
 'DissenterRights'
Or
 /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
 'DissenterRightsNotApplicable'
Following Must be True
 /CorporateActionDetails/OfferType[*]/Code Must be different from value
 'DissenterRights'
And
 /CorporateActionDetails/OfferType[*]/Code Must be different from
value 'DissenterRightsNotApplicable'

This constraint is defined at the MessageDefinition level.

C38 DissenterRightsUG3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

```
if
    at least one occurrence of [CorporateActionOptionDetails/OfferType/Code]
    has value included in the following list 'NDIS' or 'DISS'
    , then
        every occurrence of [CorporateActionDetails/OfferType/Code] must have
        value not included in the following list 'NDIS' or 'DISS'
```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C39 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

C40 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C41 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C42 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C43 FirstBidIncrementPrice1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

```
On Condition
    /CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True
```

`/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice` Must be absent

This constraint is defined at the MessageDefinition level.

C44 FirstBidIncrementPrice2Rule

If `FirstBidIncrementPrice` is present in any occurrences of `CorporateActionOptionDetails/PriceDetails`, then `FirstBidIncrementPrice` is not allowed in `CorporateActionDetails/PriceDetails`.

(MT 564 NVR C24)

On Condition

`/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice` is present

Following Must be True

`/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice` Must be absent

This constraint is defined at the MessageDefinition level.

C45 FirstBidIncrementPriceEventType1Rule

If `FirstBidIncrementPrice` is present in `CorporateActionDetails/PriceDetails`, then `CorporateActionGeneralInformation/EventType/Code` value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

`/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice` is present
Following Must be True

`/CorporateActionGeneralInformation/EventType/Code` Must be equal to value 'RepurchaseOffer'

Or `/CorporateActionGeneralInformation/EventType/Code` Must be equal to value 'DutchAuction'

Or `/CorporateActionGeneralInformation/EventType/Code` Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C46 FirstBidIncrementPriceEventType2Rule

If `FirstBidIncrementPrice` is present in any occurrence of `CorporateActionOptionDetails/PriceDetails`, then `CorporateActionGeneralInformation/EventType/Code` value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

`/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice` is present

Following Must be True

`/CorporateActionGeneralInformation/EventType/Code` Must be equal to value 'RepurchaseOffer'

Or `/CorporateActionGeneralInformation/EventType/Code` Must be equal to value 'DutchAuction'

Or `/CorporateActionGeneralInformation/EventType/Code` Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C47 GenericCashPricePerProductGuideline

`GenericCashPriceReceivedPerProduct` and `GenericCashPricePaidPerProduct` are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C48 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

C49 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C50 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

C51 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

C52 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C53 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C54 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C55 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C56 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

```
if
    at least one occurrence of [CorporateActionDetails/
AdditionalBusinessProcessIndicator/Code] has value included in the following
list 'INCP'
, then
    every occurrence of [CorporateActionGeneralInformation/EventType/Code]
must have value included in the following list 'CONS'
```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C57 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C58 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C59 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C60 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C61 InformationEventRule

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

```
On Condition
    /CorporateActionGeneralInformation/EventType/Code is present
And    /CorporateActionGeneralInformation/EventType/Code is equal to value
'Information'
Following Must be True
    /IntermediateSecurity Must be absent
And    /CorporateActionOptionDetails[*] Must be absent
```

This constraint is defined at the MessageDefinition level.

C62 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

C63 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/GrossInterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

This constraint is defined at the MessageDefinition level.

C64 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

C65 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And /CorporateActionGeneralInformation/EventType/Code is equal to value
    'IntermediateSecuritiesDistribution'
    Following Must be True
    /CorporateActionDetails Must be present
    And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must
    be present

```

This constraint is defined at the MessageDefinition level.

C66 IntermediateSecurity1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And /CorporateActionGeneralInformation/EventType/Code is equal to value
    'IntermediateSecuritiesDistribution'
    Following Must be True
    /IntermediateSecurity Must be absent

```

This constraint is defined at the MessageDefinition level.

C67 IntermediateSecurity2Rule

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And /CorporateActionGeneralInformation/EventType/Code is equal to value
    'RightsIssue'
    Following Must be True
    /IntermediateSecurity Must be present

```

This constraint is defined at the MessageDefinition level.

C68 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C69 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C70 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C71 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C72 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C73 LastBidIncrementPrice1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C74 LastBidIncrementPrice2Rule

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C75 LastBidIncrementPriceEventType1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present
Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'Tender'

This constraint is defined at the MessageDefinition level.

C76 LastBidIncrementPriceEventType2Rule

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is
present
Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'Tender'

This constraint is defined at the MessageDefinition level.

C77 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C78 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C79 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

C80 MaximumPrice1Rule

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MaximumPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C81 MaximumPrice2Rule

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/MaximumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C82 MinimumPrice1Rule

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MinimumPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C83 MinimumPrice2Rule

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/MinimumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C84 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

This constraint is defined at the MessageDefinition level.

C85 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C86 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C87 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C88 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C89 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

This constraint is defined at the MessageDefinition level.

C90 NotificationIdentification1Rule

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

On Condition

 /NotificationGeneralInformation/NotificationType is equal to value 'Replacement'

 And /Pagination/PageNumber is equal to value '1'

Following Must be True

 /PreviousNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C91 NotificationIdentification2Rule

If NotificationGeneralInformation/NotificationType is RMDR (Reminder) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

On Condition

 /NotificationGeneralInformation/NotificationType is equal to value 'Reminder'

 And /Pagination/PageNumber is equal to value '1'

Following Must be True

 /PreviousNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C92 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

C93 NumberRule

If Number is negative, then Sign must be present.

C94 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C95 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C96 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

C97 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'OtherEvent'

Following Must be True

/AdditionalInformation Must be present

And /AdditionalInformation/AdditionalText[*] Must be present

And /AdditionalInformation/AdditionalText[*]/AdditionalInformation[*] Must be present

This constraint is defined at the MessageDefinition level.

C98 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C99 PaginationRule

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

On Condition

/Pagination is present

Following Must be True

/NotificationGeneralInformation/NotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C100 PaymentDateRule

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

On Condition

/CorporateActionOptionDetails[*]/CashMovementDetails[*] is present
Or /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*] is present

Following Must be True

/CorporateActionDetails/DateDetails/PaymentDate Must be absent

This constraint is defined at the MessageDefinition level.

C101 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C102 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C103 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C104 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C105 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C106 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value

```
'General'
Following Must be True
  /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumNominalQuantity Must be absent
And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumQuantityToInstruct Must be absent
And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent
And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/ContractSize Must be absent
```

This constraint is defined at the MessageDefinition level.

C107 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

```
On Condition
  /AccountDetails/ForAllAccounts is present
And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
'General'
Following Must be True
  /CorporateActionOptionDetails[*]/CashMovementDetails[*]/AmountDetails
Must be absent
```

This constraint is defined at the MessageDefinition level.

C108 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C109 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C110 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C111 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C112 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C113 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C114 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C115 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C116 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C117 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C118 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C119 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C120 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

This constraint is defined at the MessageDefinition level.

C121 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C122 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C123 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

C124 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C125 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1272
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1272

3.4.2 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

Impacted by: [C92 "NotificationTypeGuideline"](#)

NotificationGeneralInformation <NtfctnGnlInf> contains the following **CorporateActionNotification11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationIdentification <NtfctnId>	[0..1]	Text		71
	NotificationType <NtfctnTp>	[1..1]	CodeSet		71
	ProcessingStatus <PrcgSts>	[1..1]	±		71

Constraints

- **NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

3.4.2.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Unique identification of the group of corporate action notification messages when the notification is split in multiple (paginated) messages.

Datatype: "RestrictedFINXMax16Text" on page 1530

3.4.2.2 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: "CorporateActionNotificationType1Code" on page 1476

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

ProcessingStatus <PrcgSts> contains one of the following elements (see "[CorporateActionProcessingStatus6Choice](#)" on page 1293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			1293
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		1293
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		1294
Or}	Proprietary <Prtry>	[1..1]	±		1294

3.4.3 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [0..1]

Definition: Identification of the previously sent notification document.

PreviousNotificationIdentification <PrvsNtfctnId> contains the following elements (see "[DocumentIdentification37](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

3.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification17](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

3.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1243
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244
	DocumentNumber <DocNb>	[0..1]	±	C6	1244
	LinkageType <LkgTp>	[0..1]	±	C31	1244

3.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 1275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			1276
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276
	LinkageType <LkgTp>	[0..1]	±	C31	1276

3.4.7 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C94 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following
CorporateActionGeneralInformation194 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		75
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		75
	ClassActionNumber <ClssActnNb>	[0..1]	Text		75
	EventProcessingType <EvtPrcgTp>	[0..1]	±		75
	EventType <EvtTp>	[1..1]		C40	76
{Or	Code <Cd>	[1..1]	CodeSet		76
Or}	Proprietary <Prtry>	[1..1]	±		82
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		83
	UnderlyingSecurity <UndrlygScty>	[1..1]		C77, C101	83
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	85
	PlaceOfListing <PlcOfListg>	[0..1]	±		86
	DayCountBasis <DayCntBsis>	[0..1]	±		86
	ClassificationType <ClssfctnTp>	[0..1]	±		86
	OptionStyle <OptnStyle>	[0..1]	±		87
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	87
	NextCouponDate <NxtCpnDt>	[0..1]	Date		87
	ExpiryDate <XpryDt>	[0..1]	Date		87
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		87
	MaturityDate <MtrtyDt>	[0..1]	Date		87
	IssueDate <IsseDt>	[0..1]	Date		88
	NextCallableDate <NxtClldDt>	[0..1]	Date		88
	PutableDate <PutbldDt>	[0..1]	Date		88
	DatedDate <DtdDt>	[0..1]	Date		88
	ConversionDate <ConvsDt>	[0..1]	Date		88
	InterestRate <IntrstRate>	[0..1]	±		88
	NextInterestRate <NxtIntrstRate>	[0..1]	±		88
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		89

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousFactor <PrvsFctr>	[0..1]	±		89
	NextFactor <NxtFctr>	[0..1]	±		89
	WarrantParity <WarrtParity>	[0..1]	±		90
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	90
	ContractSize <CtrctSz>	[0..1]	±	C26	90

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

3.4.7.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

3.4.7.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

3.4.7.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1530

3.4.7.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType3Choice" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1327
Or}	Proprietary <Prtry>	[1..1]	±		1327

3.4.7.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C40 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType124Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		76
Or}	Proprietary <Prtry>	[1..1]	±		82

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

3.4.7.5.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType35Code" on page 1450

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.

CodeName	Name	Definition
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A

CodeName	Name	Definition
		company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be

CodeName	Name	Definition
		distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer

CodeName	Name	Definition
		initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.

CodeName	Name	Definition
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

3.4.7.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.7.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 1315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1315
Or}	Proprietary <Prtry>	[1..1]	±		1316

3.4.7.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C77 "MaturityDateRule"](#), [C101 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes136** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	85
	PlaceOfListing <PlcOfListg>	[0..1]	±		86
	DayCountBasis <DayCntBsis>	[0..1]	±		86
	ClassificationType <ClssfctnTp>	[0..1]	±		86
	OptionStyle <OptnStyle>	[0..1]	±		87
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	87
	NextCouponDate <NxtCpnDt>	[0..1]	Date		87
	ExpiryDate <XpryDt>	[0..1]	Date		87
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		87
	MaturityDate <MtrtyDt>	[0..1]	Date		87
	IssueDate <IsseDt>	[0..1]	Date		88
	NextCallableDate <NxtClblDt>	[0..1]	Date		88
	PutableDate <PutblDt>	[0..1]	Date		88
	DatedDate <DtdDt>	[0..1]	Date		88
	ConversionDate <ConvstDt>	[0..1]	Date		88
	InterestRate <IntrstRate>	[0..1]	±		88
	NextInterestRate <NxtIntrstRate>	[0..1]	±		88
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		89
	PreviousFactor <PrvsFctr>	[0..1]	±		89
	NextFactor <NxtFctr>	[0..1]	±		89
	WarrantParity <WarrtParity>	[0..1]	±		90
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	90
	ContractSize <CtrctSz>	[0..1]	±	C26	90

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.7.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

3.4.7.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 1268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

3.4.7.7.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1322
Or}	Proprietary <Prtry>	[1..1]	±		1326

3.4.7.7.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1272
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1273

3.4.7.7.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle9Choice"](#) on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1312
Or}	Proprietary <Prtry>	[1..1]	±		1313

3.4.7.7.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C4 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1438**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.7.7.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1520**3.4.7.7.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1520**3.4.7.7.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1520**3.4.7.7.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1520

3.4.7.7.11 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1520

3.4.7.7.12 NextCallableDate <NxtCllbIDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1520

3.4.7.7.13 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1520

3.4.7.7.14 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1520

3.4.7.7.15 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1520

3.4.7.7.16 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.7.7.17 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.7.7.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.7.7.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

3.4.7.7.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

3.4.7.7.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

3.4.7.7.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C26 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.7.7.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C26 "DigitalTokenUnitUsageRule"](#)**ContractSize <CtrctSz>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.8 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification78Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		93
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C108, C109, C110, C111	93
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		95
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		95
	AccountOwner <AcctOwnr>	[0..1]	±		95
	SafekeepingPlace <SfkpgPlc>	[0..1]			96
{Or	Identification <Id>	[1..1]	±		96
Or	Country <Ctry>	[1..1]	CodeSet	C18	96
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		97
Or	TypeAndIdentification <TpAndId>	[1..1]			97
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		97
	Identification <Id>	[1..1]	IdentifierSet	C11	97
Or}	Proprietary <Prtry>	[1..1]	±		98
	Balance <Bal>	[0..1]		C10	98
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		99
	BlockedBalance <BlckdBal>	[0..1]	±		100
	BorrowedBalance <BrrwdBal>	[0..1]	±		100
	CollateralInBalance <CollInBal>	[0..1]	±		100
	CollateralOutBalance <CollOutBal>	[0..1]	±		101
	OnLoanBalance <OnLnBal>	[0..1]	±		101
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		101
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		102
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		102
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		102
	StreetPositionBalance <StrtPosBal>	[0..1]	±		103
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		103
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		103
	RegisteredBalance <RegdBal>	[0..1]	±		104
	ObligatedBalance <OblgtdBal>	[0..1]	±		104

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UninstructedBalance <UinstdBal>	[0..1]	±		104
	InstructedBalance <InstdBal>	[0..1]	±		105
	AffectedBalance <AfctdBal>	[0..1]	±		105
	UnaffectedBalance <UafctdBal>	[0..1]	±		105

3.4.8.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "AccountIdentification10" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		1217

3.4.8.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C108 "SafekeepingAccountOrBlockChainAddress1Rule", C109 "SafekeepingAccountOrBlockChainAddress2Rule", C110 "SafekeepingAccountOrBlockChainAddress3Rule", C111 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		95
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		95
	AccountOwner <AcctOwnr>	[0..1]	±		95
	SafekeepingPlace <SfkpgPlc>	[0..1]			96
{Or	Identification <Id>	[1..1]	±		96
Or	Country <Ctry>	[1..1]	CodeSet	C18	96
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		97
Or	TypeAndIdentification <TpAndId>	[1..1]			97
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		97
	Identification <Id>	[1..1]	IdentifierSet	C11	97
Or}	Proprietary <Prtry>	[1..1]	±		98
	Balance <Bal>	[0..1]		C10	98
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		99
	BlockedBalance <BlckdBal>	[0..1]	±		100
	BorrowedBalance <BrrwdBal>	[0..1]	±		100
	CollateralInBalance <CollInBal>	[0..1]	±		100
	CollateralOutBalance <CollOutBal>	[0..1]	±		101
	OnLoanBalance <OnLnBal>	[0..1]	±		101
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		101
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		102
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		102
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		102
	StreetPositionBalance <StrtPosBal>	[0..1]	±		103
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		103
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		103
	RegisteredBalance <RegdBal>	[0..1]	±		104
	ObligatedBalance <OblgtdBal>	[0..1]	±		104
	UninstructedBalance <UinstdBal>	[0..1]	±		104
	InstructedBalance <InstdBal>	[0..1]	±		105
	AffectedBalance <AfctdBal>	[0..1]	±		105

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnaffectedBalance <UafctdBal>	[0..1]	±		105

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

3.4.8.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

3.4.8.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

3.4.8.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

3.4.8.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat55Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		96
Or	Country <Ctry>	[1..1]	CodeSet	C18	96
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		97
Or	TypeAndIdentification <TpAndId>	[1..1]			97
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		97
	Identification <Id>	[1..1]	IdentifierSet	C11	97
Or}	Proprietary <Prtry>	[1..1]	±		98

3.4.8.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "SafekeepingPlaceTypeAndText9" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

3.4.8.2.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C18 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.8.2.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

3.4.8.2.4.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		97
	Identification <Id>	[1..1]	IdentifierSet	C11	97

3.4.8.2.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

3.4.8.2.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C11 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

3.4.8.2.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

3.4.8.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: [C10 "AffectedAndUnaffectedBalanceRule"](#)

Balance <Bal> contains the following **CorporateActionBalanceDetails46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		99
	BlockedBalance <BlckdBal>	[0..1]	±		100
	BorrowedBalance <BrrwdBal>	[0..1]	±		100
	CollateralInBalance <CollInBal>	[0..1]	±		100
	CollateralOutBalance <CollOutBal>	[0..1]	±		101
	OnLoanBalance <OnLnBal>	[0..1]	±		101
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		101
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		102
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		102
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		102
	StreetPositionBalance <StrtPosBal>	[0..1]	±		103
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		103
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		103
	RegisteredBalance <RegdBal>	[0..1]	±		104
	ObligatedBalance <OblgtdBal>	[0..1]	±		104
	UninstructedBalance <UinstdBal>	[0..1]	±		104
	InstructedBalance <InstdBal>	[0..1]	±		105
	AffectedBalance <AfctdBal>	[0..1]	±		105
	UnaffectedBalance <UafctdBal>	[0..1]	±		105

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

3.4.8.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat11" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		1218
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		1218
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		1219

3.4.8.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDivryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

3.4.8.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

3.4.8.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

3.4.8.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.8.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

3.4.9 IntermediateSecurity <IntrmdtScty>

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

IntermediateSecurity <IntrmdtScty> contains the following elements (see "FinancialInstrumentAttributes117" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±	C3, C4, C6, C7, C9	1254
	Quantity <Qty>	[0..1]	Quantity		1255
	RenounceableEntitlementStatusType <RnnclEntitlmtStsTp>	[0..1]	±		1256
	FractionDisposition <FrctnDspstr>	[0..1]	±		1256
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSctiesToUndrlygRatio>	[0..1]	±		1256
	MarketPrice <MktPric>	[0..1]	±		1256
	ExpiryDate <XpryDt>	[1..1]	±		1257
	PostingDate <PstngDt>	[1..1]	±		1257
	TradingPeriod <TradgPrd>	[0..1]	±		1257
	UninstructedBalance <UinstdBal>	[0..1]	±		1258
	InstructedBalance <InstdBal>	[0..1]	±		1258

3.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

Impacted by: C1 "AcceptancePriorityLevelUGRule", C29 "DissenterRights1Rule", C31 "DissenterRights1UGRule", C33 "DissenterRights2Rule", C35 "DissenterRights2UGRule"

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]		C39	112
	AnnouncementDate <AnncmntDt>	[0..1]	±		114
	CertificationDeadline <CertfctnDdln>	[0..1]	±		114
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		115
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		115
	EffectiveDate <FctvDt>	[0..1]	±		115
	EqualisationDate <EqulstnDt>	[0..1]	±		115
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		116
	FixingDate <FvgDt>	[0..1]	±		116
	LotteryDate <LtryDt>	[0..1]	±		116
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		117
	MeetingDate <MtgDt>	[0..1]	±		117
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		117
	ProrationDate <PrratnDt>	[0..1]	±		118
	RecordDate <RcrdDt>	[0..1]	±		118
	RegistrationDeadline <RegnDdln>	[0..1]	±		118
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		119
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		119
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInstr>	[0..1]	±		119
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		120
	UnconditionalDate <UcondlDt>	[0..1]	±		120
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		120
	ExDividendDate <ExDvddDt>	[0..1]	±		121
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		121
	SpecialExDate <SpclExDt>	[0..1]	±		121
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		122
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		122
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		122
	LapsedDate <LpsdDt>	[0..1]	±		123

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		123
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		123
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		124
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		124
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		124
	FilingDate <FilgDt>	[0..1]	±		125
	HearingDate <HrgDt>	[0..1]	±		125
	PeriodDetails <PrdDtls>	[0..1]	±	C64	125
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C21	126
	InterestRate <IntrstRate>	[0..1]			128
{Or	Rate <Rate>	[1..1]	Rate		128
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		128
Or}	Amount <Amt>	[1..1]	Amount	C3	128
	PercentageSought <PctgSght>	[0..1]	±		129
	RelatedIndex <RltdIndx>	[0..1]	±		129
	Spread <Sprd>	[0..1]	±		129
	BidInterval <BidIntrvl>	[0..1]			129
{Or	Rate <Rate>	[1..1]	Rate		130
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		130
Or	Amount <Amt>	[1..1]	Amount	C3	130
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		130
	PreviousFactor <PrvsFctr>	[0..1]	±		130
	NextFactor <NxtFctr>	[0..1]	±		131
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		131
	InterestShortfall <IntrstShrtfl>	[0..1]			131
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	132
	RealisedLoss <RealsdLoss>	[0..1]			132
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	133
	DeclaredRate <DclrdRate>	[0..1]			133

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		133
Or}	Amount <Amt>	[1..1]	Amount	C3	133
	IndexFactor <IndxFctr>	[0..1]	±		133
	PriceDetails <PricDtls>	[0..1]			134
	MaximumPrice <MaxPric>	[0..1]			135
{Or	PercentagePrice <PctgPric>	[1..1]			136
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		136
	PriceValue <PricVal>	[1..1]	Rate		136
Or	AmountPrice <AmtPric>	[1..1]	±		137
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		137
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		137
	MinimumPrice <MinPric>	[0..1]			137
{Or	PercentagePrice <PctgPric>	[1..1]			137
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		138
	PriceValue <PricVal>	[1..1]	Rate		138
Or	AmountPrice <AmtPric>	[1..1]	±		138
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		138
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		139
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]			139
{Or	PercentagePrice <PctgPric>	[1..1]			139
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		139
	PriceValue <PricVal>	[1..1]	Rate		140
Or	AmountPrice <AmtPric>	[1..1]	±		140
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		140
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		140
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]			140
{Or	PercentagePrice <PctgPric>	[1..1]			141
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		141
	PriceValue <PricVal>	[1..1]	Rate		141
Or	AmountPrice <AmtPric>	[1..1]	±		142
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		142

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		142
	SecuritiesQuantity <SctiesQty>	[0..1]		C79	142
	MaximumQuantity <MaxQty>	[0..1]	±	C27	143
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C27	143
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	144
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	144
	BaseDenomination <BaseDnmtn>	[0..1]	±	C28	145
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C28	145
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C93	146
	CouponNumber <CpnNb>	[0..*]	±		146
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		146
	CertificationBreakdownIndicator <CertfctnBrkdownInd>	[0..1]	Indicator		147
	ChargesAppliedIndicator <ChrgsApldInd>	[0..1]	Indicator		147
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		147
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		147
	ForfeitureOfInterestIndicator <FrfrOfIntrstInd>	[0..1]	Indicator		147
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		148
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		148
	DividendType <DvddTp>	[0..1]	±		148
	EventSequenceType <EvtSeqTp>	[0..1]	±		148
	OccurrenceType <OcrncTp>	[0..1]	±		149
	OfferType <OfferTp>	[0..*]			149
{Or	Code <Cd>	[1..1]	CodeSet		149
Or}	Proprietary <Prtry>	[1..1]	±		150
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		150
	EventStage <EvtStag>	[0..*]	±		150
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		151
	ChangeType <ChngTp>	[0..*]	±		151
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp>	[0..1]	±		151
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		151

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		152
	ElectionType <ElctnTp>	[0..1]	±		152
	LotteryType <LtryTp>	[0..1]	±		152
	CertificationType <CertfctnTp>	[0..1]	±		152
	ConsentType <CnsntTp>	[0..1]	±		153
	InformationType <InfTp>	[0..1]	±		153
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		153
	DutchAuctionType <DtchAuctnTp>	[0..1]			154
{Or	Code <Cd>	[1..1]	CodeSet		154
Or}	Proprietary <Prtry>	[1..1]	±		154
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		154
	AdditionalInformation <AddtlInf>	[0..1]		C5	154
	Offeror <Offerr>	[0..*]	Text		155
	NewCompanyName <NewCpnyNm>	[0..1]	Text		155
	URLAddress <URLAdr>	[0..*]			155
	Language <Lang>	[1..1]	CodeSet		155
	URLAddress <URLAdr>	[1..1]	Text		156
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		156

Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

if

at least one occurrence of [OfferType/Code] has value included in the following list 'ACPR'

, then

at least one occurrence of the following element(s) [AcceptancePriorityLevel] must be present

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRights'

Following Must be True
/OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'DISS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'NDIS'
```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
  /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRights'
```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'NDIS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'DISS'
```

3.4.10.1 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

Impacted by: C39 "EffectiveDateRule"

DateDetails <DtDtls> contains the following **CorporateActionDate96** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnnouncementDate <AnncmntDt>	[0..1]	±		114
	CertificationDeadline <CertfctnDdln>	[0..1]	±		114
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		115
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		115
	EffectiveDate <FctvDt>	[0..1]	±		115
	EqualisationDate <EqulstnDt>	[0..1]	±		115
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		116
	FixingDate <FvgDt>	[0..1]	±		116
	LotteryDate <LtryDt>	[0..1]	±		116
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		117
	MeetingDate <MtgDt>	[0..1]	±		117
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		117
	ProrationDate <PrratnDt>	[0..1]	±		118
	RecordDate <RcrdDt>	[0..1]	±		118
	RegistrationDeadline <RegnDdln>	[0..1]	±		118
	ResultsPublicationDate <RsItsPblctnDt>	[0..1]	±		119
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		119
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInsr>	[0..1]	±		119
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		120
	UnconditionalDate <UcondlDt>	[0..1]	±		120
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		120
	ExDividendDate <ExDvddDt>	[0..1]	±		121
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		121
	SpecialExDate <SpclExDt>	[0..1]	±		121
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		122
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		122
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		122
	LapsedDate <LpsdDt>	[0..1]	±		123
	PaymentDate <PmtDt>	[0..1]	±		123

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		123
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		124
	MarketClaimTrackingEndDate <MktClnTrckgEndDt>	[0..1]	±		124
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		124
	FilingDate <FilgDt>	[0..1]	±		125
	HearingDate <HrgDt>	[0..1]	±		125

Constraints

- **EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

3.4.10.1.1 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.2 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.3 CourtApprovalDate <CrtApprvIDt>*Presence:* [0..1]*Definition:* Date upon which the court provided approval.**CourtApprovalDate <CrtApprvIDt>** contains one of the following elements (see ["DateFormat41Choice"](#) on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.4 EarlyClosingDate <EarlyClsgDt>*Presence:* [0..1]*Definition:* First possible early closing date of an offer if different from the expiry date.**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see ["DateFormat49Choice"](#) on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.5 EffectiveDate <FctvDt>*Presence:* [0..1]*Definition:* Date/time at which an event is officially effective from the issuer's perspective.**EffectiveDate <FctvDt>** contains one of the following elements (see ["DateFormat41Choice"](#) on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.6 EqualisationDate <EqualstnDt>*Presence:* [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EquIstnDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.7 FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt>

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.8 FixingDate <FxdDt>

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

FixingDate <FxdDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.9 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.10 NewMaturityDate <NewMtrtyDt>

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

NewMaturityDate <NewMtrtyDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.11 MeetingDate <MtgDt>

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

MeetingDate <MtgDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.12 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.13 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.14 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.15 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.16 ResultsPublicationDate <RsltsPblctnDt>

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

ResultsPublicationDate <RsltsPblctnDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.17 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.18 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.19 TradingSuspendedDate <TradgSspdT>

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdT> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.20 UnconditionalDate <UcondIDt>

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondIDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.21 WhollyUnconditionalDate <WhlyUcondIDt>

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.22 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.23 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.24 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as

being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.25 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.26 ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdlIn>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdlIn> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.27 ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdlIn>

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.28 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.29 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.30 ThirdPartyDeadline <ThrdPtyDdln>

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

ThirdPartyDeadline <ThrdPtyDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.31 EarlyThirdPartyDeadline <EarlyThrdPtyDdln>

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

EarlyThirdPartyDeadline <EarlyThrdPtyDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.32 MarketClaimTrackingEndDate <MktCImTrckgEndDt>

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

MarketClaimTrackingEndDate <MktCImTrckgEndDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.33 LeadPlaintiffDeadline <LeadPlntffDdln>

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

LeadPlaintiffDeadline <LeadPlntffDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.10.1.34 FilingDate <FilgDt>

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

FilingDate <FilgDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.1.35 HearingDate <HrgDt>

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

HearingDate <HrgDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.10.2 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

Impacted by: C64 "InterestPeriodRule"

PeriodDetails <PrdDtls> contains the following elements (see "[CorporateActionPeriod16](#)" on page 1222 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		1222
	InterestPeriod <IntrstPrd>	[0..1]	±		1223
	CompulsoryPurchasePeriod <CmplsrPurchsPrd>	[0..1]	±		1223
	ClaimPeriod <ClmPrd>	[0..1]	±		1223
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		1224
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		1224
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		1224
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		1224
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		1225
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		1225
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		1225
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		1226
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		1226
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		1226
	SplitPeriod <SplltPrd>	[0..1]	±		1227
	FiscalYearPeriod <FsclyrPrd>	[0..1]	±		1227

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

3.4.10.3 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

Impacted by: C21 "DeclaredRateRule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate135** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]			128
{Or	Rate <Rate>	[1..1]	Rate		128
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		128
Or}	Amount <Amt>	[1..1]	Amount	C3	128
	PercentageSought <PctgSght>	[0..1]	±		129
	RelatedIndex <RltdIndx>	[0..1]	±		129
	Spread <Sprd>	[0..1]	±		129
	BidInterval <BidIntrvl>	[0..1]			129
{Or	Rate <Rate>	[1..1]	Rate		130
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		130
Or	Amount <Amt>	[1..1]	Amount	C3	130
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		130
	PreviousFactor <PrvsFctr>	[0..1]	±		130
	NextFactor <NxtFctr>	[0..1]	±		131
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		131
	InterestShortfall <IntrstShrtfll>	[0..1]			131
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	132
	RealisedLoss <RealsdLoss>	[0..1]			132
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	133
	DeclaredRate <DclrdRate>	[0..1]			133
{Or	Rate <Rate>	[1..1]	Rate		133
Or}	Amount <Amt>	[1..1]	Amount	C3	133
	IndexFactor <IndxFctr>	[0..1]	±		133

Constraints

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

3.4.10.3.1 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		128
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		128
Or}	Amount <Amt>	[1..1]	Amount	C3	128

3.4.10.3.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.10.3.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.10.3.1.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.10.3.2 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

PercentageSought <PctgSght> contains one of the following elements (see "[RateFormat25Choice](#)" on page 1419 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1419
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1419

3.4.10.3.3 RelatedIndex <RltdIndx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIndx> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.10.3.4 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.10.3.5 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following **RateAndAmountFormat73Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		130
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		130
Or	Amount <Amt>	[1..1]	Amount	C3	130
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		130

3.4.10.3.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.10.3.5.2 NotSpecifiedRate <NotSpctdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.10.3.5.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.10.3.5.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.10.3.6 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "RateFormat12Choice" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

3.4.10.3.7 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "RateFormat12Choice" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

3.4.10.3.8 ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>

Presence: [0..1]

Definition: Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.10.3.9 InterestShortfall <IntrstShrtfll>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

InterestShortfall <IntrstShrtfll> contains one of the following **RateAndAmountFormat74Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	132

3.4.10.3.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.10.3.9.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.10.3.10 RealisedLoss <RealsdLoss>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

RealisedLoss <RealsdLoss> contains one of the following **RateAndAmountFormat74Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		132
Or}	Amount <Amt>	[1..1]	Amount	C3	133

3.4.10.3.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.10.3.10.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.10.3.11 DeclaredRate <DclrdRate>*Presence:* [0..1]*Definition:* Dividend or interest rate declared by the issuer.**DeclaredRate <DclrdRate>** contains one of the following **RateAndAmountFormat74Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		133
Or}	Amount <Amt>	[1..1]	Amount	C3	133

3.4.10.3.11.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**3.4.10.3.11.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.10.3.12 IndexFactor <IndxFctr>*Presence:* [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.

IndexFactor <IndxFctr> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1419 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1420
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1420
Or}	Amount <Amt>	[1..1]	Amount	C1	1420

3.4.10.4 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action event.

PriceDetails <PricDtls> contains the following **CorporateActionPrice96** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]			135
{Or	PercentagePrice <PctgPric>	[1..1]			136
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		136
	PriceValue <PricVal>	[1..1]	Rate		136
Or	AmountPrice <AmtPric>	[1..1]	±		137
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		137
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		137
	MinimumPrice <MinPric>	[0..1]			137
{Or	PercentagePrice <PctgPric>	[1..1]			137
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		138
	PriceValue <PricVal>	[1..1]	Rate		138
Or	AmountPrice <AmtPric>	[1..1]	±		138
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		138
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		139
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]			139
{Or	PercentagePrice <PctgPric>	[1..1]			139
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		139
	PriceValue <PricVal>	[1..1]	Rate		140
Or	AmountPrice <AmtPric>	[1..1]	±		140
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		140
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		140
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]			140
{Or	PercentagePrice <PctgPric>	[1..1]			141
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		141
	PriceValue <PricVal>	[1..1]	Rate		141
Or	AmountPrice <AmtPric>	[1..1]	±		142
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		142
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		142

3.4.10.4.1 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following **PriceFormat91Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			136
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		136
	PriceValue <PricVal>	[1..1]	Rate		136
Or	AmountPrice <AmtPric>	[1..1]	±		137
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		137
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		137

3.4.10.4.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		136
	PriceValue <PricVal>	[1..1]	Rate		136

3.4.10.4.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.10.4.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.10.4.1.2 AmountPrice <AmtPric>*Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.10.4.1.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "[PriceValueType10Code](#)" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.10.4.1.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "[RestrictedFINDecimalNumber](#)" on page 1524**3.4.10.4.2 MinimumPrice <MinPric>***Presence:* [0..1]*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.**MinimumPrice <MinPric>** contains one of the following **PriceFormat91Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			137
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		138
	PriceValue <PricVal>	[1..1]	Rate		138
Or	AmountPrice <AmtPric>	[1..1]	±		138
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		138
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		139

3.4.10.4.2.1 PercentagePrice <PctgPric>*Presence:* [1..1]*Definition:* Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		138
	PriceValue <PricVal>	[1..1]	Rate		138

3.4.10.4.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.10.4.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.10.4.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.10.4.2.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.10.4.2.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.10.4.3 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following **PriceFormat91Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			139
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		139
	PriceValue <PricVal>	[1..1]	Rate		140
Or	AmountPrice <AmtPric>	[1..1]	±		140
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		140
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		140

3.4.10.4.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		139
	PriceValue <PricVal>	[1..1]	Rate		140

3.4.10.4.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.10.4.3.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.10.4.3.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.10.4.3.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.10.4.3.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**3.4.10.4.4 LastBidIncrementPrice <LastBidIncrmtPric>***Presence:* [0..1]*Definition:* Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following **PriceFormat91Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			141
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		141
	PriceValue <PricVal>	[1..1]	Rate		141
Or	AmountPrice <AmtPric>	[1..1]	±		142
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		142
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		142

3.4.10.4.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		141
	PriceValue <PricVal>	[1..1]	Rate		141

3.4.10.4.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.10.4.4.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.10.4.4.2 AmountPrice <AmtPric>*Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.10.4.4.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "[PriceValueType10Code](#)" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.10.4.4.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "[RestrictedFINDecimalNumber](#)" on page 1524**3.4.10.5 SecuritiesQuantity <SctiesQty>***Presence:* [0..1]*Definition:* Provides information about securities quantity linked to a corporate action.*Impacted by:* [C79 "MaximumAndMinimumQuantitySoughtUsageRule"](#)**SecuritiesQuantity <SctiesQty>** contains the following **CorporateActionQuantity14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantity <MaxQty>	[0..1]	±	C27	143
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C27	143
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	144
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	144
	BaseDenomination <BaseDnmtn>	[0..1]	±	C28	145
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C28	145

Constraints

- **MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

3.4.10.5.1 MaximumQuantity <MaxQty>

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

Impacted by: C27 "DigitalTokenUnitUsageRule"

MaximumQuantity <MaxQty> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.2 MinimumQuantitySought <MinQtySght>

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

Impacted by: C27 "DigitalTokenUnitUsageRule"

MinimumQuantitySought <MinQtySght> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.3 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.4 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.5 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

Impacted by: C28 "DigitalTokenUnitUsageRule"

BaseDenomination <BaseDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.6 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

Impacted by: C28 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtIDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.6 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Impacted by: C93 "NumberRule"

Datatype: "Max3Number" on page 1524

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

3.4.10.7 CouponNumber <CpnNb>

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

CouponNumber <CpnNb> contains one of the following elements (see "IdentificationFormat4Choice" on page 1288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		1288
Or	LongIdentification <LngId>	[1..1]	Text		1288
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1288

3.4.10.8 AcceptancePriorityLevel <AcceptncPrtyLvl>

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 1525

3.4.10.9 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.10 ChargesAppliedIndicator <ChrgsApldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.11 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.12 AccruedInterestIndicator <AcrdIntrstInd>

Presence: [0..1]

Definition: Indicates whether the holder is entitled to accrued interest.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.13 ForfeitureOfInterestIndicator <FrftOfIntrstInd>

Presence: [0..1]

Definition: Indicates that bondholders who convert between record date and payable date will forfeit their interest.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.14 LetterOfGuaranteedDeliveryIndicator <LttrOfGrntedDlvryInd>

Presence: [0..1]

Definition: Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.15 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.16 DividendType <DvddTp>

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

DividendType <DvddTp> contains one of the following elements (see ["DividendTypeFormat10Choice"](#) on page 1302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1303
Or}	Proprietary <Prtry>	[1..1]	±		1303

3.4.10.17 EventSequenceType <EvtSeqTp>

Presence: [0..1]

Definition: Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

EventSequenceType <EvtSeqTp> contains one of the following elements (see ["EventSequenceTypeFormat2Choice"](#) on page 1275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1275
Or}	Proprietary <Prtry>	[1..1]	±		1275

3.4.10.18 OccurrenceType <OcrncTp>*Presence:* [0..1]*Definition:* Specifies the conditions in which the instructions and/or payment of the proceeds occurs.**OccurrenceType <OcrncTp>** contains one of the following elements (see "[DistributionTypeFormat8Choice](#)" on page 1297 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1297
Or}	Proprietary <Prtry>	[1..1]	±		1298

3.4.10.19 OfferType <OfferTp>*Presence:* [0..*]*Definition:* Specifies the conditions that apply to the offer.**OfferType <OfferTp>** contains one of the following **OfferTypeFormat16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		149
Or}	Proprietary <Prtry>	[1..1]	±		150

3.4.10.19.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the conditions that apply to the offer.*Datatype:* "[OfferType5Code](#)" on page 1503

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

3.4.10.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.10.20 RenounceableEntitlementStatusType <RnncblEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnncblEntitlmntStsTp> contains one of the following elements (see "[RenounceableEntitlementStatusTypeFormat4Choice](#)" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1291

3.4.10.21 EventStage <EvtStag>

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "[CorporateActionEventStageFormat20Choice](#)" on page 1304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1304
Or}	Proprietary <Prtry>	[1..1]	±		1305

3.4.10.22 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>*Presence:* [0..*]*Definition:* Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.**AdditionalBusinessProcessIndicator <AddtlBizPrclnd>** contains one of the following elements (see "[AdditionalBusinessProcessFormat22Choice](#)" on page 1339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1339
Or}	Proprietary <Prtry>	[1..1]	±		1340

3.4.10.23 ChangeType <ChngTp>*Presence:* [0..*]*Definition:* Specifies the type of change announced.**ChangeType <ChngTp>** contains one of the following elements (see "[CorporateActionChangeTypeFormat8Choice](#)" on page 1288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1288
Or}	Proprietary <Prtry>	[1..1]	±		1289

3.4.10.24 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>*Presence:* [0..1]*Definition:* Type of intermediates securities distribution.**IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>** contains one of the following elements (see "[IntermediateSecuritiesDistributionTypeFormat18Choice](#)" on page 1320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1320
Or}	Proprietary <Prtry>	[1..1]	±		1321

3.4.10.25 CapitalGainInOutIndicator <CptlGnlnOutInd>*Presence:* [0..1]*Definition:* Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

CapitalGainInOutIndicator <CptlGnInOutInd> contains one of the following elements (see "CapitalGainFormat4Choice" on page 1274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1274
Or}	Proprietary <Prtry>	[1..1]	±		1274

3.4.10.26 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following elements (see "TaxableIncomePerShareCalculatedFormat4Choice" on page 1270 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1270
Or}	Proprietary <Prtry>	[1..1]	±		1271

3.4.10.27 ElectionType <ElctnTp>

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a corporate action option.

ElectionType <ElctnTp> contains one of the following elements (see "ElectionTypeFormat4Choice" on page 1308 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1308
Or}	Proprietary <Prtry>	[1..1]	±		1308

3.4.10.28 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

3.4.10.29 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Specifies the certification format required, this is, physical or electronic format.

CertificationType <CertfctnTp> contains one of the following elements (see "CertificationTypeFormat4Choice" on page 1327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1327
Or}	Proprietary <Prtry>	[1..1]	±		1328

3.4.10.30 ConsentType <CnsntTp>

Presence: [0..1]

Definition: Specifies the type of consent announced.

ConsentType <CnsntTp> contains one of the following elements (see "ConsentTypeFormat5Choice" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1301
Or}	Proprietary <Prtry>	[1..1]	±		1302

3.4.10.31 InformationType <InfTp>

Presence: [0..1]

Definition: Specifies the type of information event.

InformationType <InfTp> contains one of the following elements (see "InformationTypeFormat5Choice" on page 1340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1341
Or}	Proprietary <Prtry>	[1..1]	±		1341

3.4.10.32 TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>

Presence: [0..*]

Definition: Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.

TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.10.33 DutchAuctionType <DtchAuctnTp>*Presence:* [0..1]*Definition:* Specifies whether a Dutch auction is modified or unmodified.**DutchAuctionType <DtchAuctnTp>** contains one of the following **DutchAuctionTypeFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		154
Or}	Proprietary <Prtry>	[1..1]	±		154

3.4.10.33.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the Dutch auction type required.*Datatype:* "DutchAuctionType1Code" on page 1488

CodeName	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

3.4.10.33.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the Dutch auction type.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.10.34 NewPlaceOfIncorporation <NewPlcOfIncorprtn>*Presence:* [0..1]*Definition:* New company's place of incorporation.*Datatype:* "RestrictedFINXMax350Text" on page 1532**3.4.10.35 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C5 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	Text		155
	NewCompanyName <NewCpnyNm>	[0..1]	Text		155
	URLAddress <URLAdr>	[0..*]			155
	Language <Lang>	[1..1]	CodeSet		155
	URLAddress <URLAdr>	[1..1]	Text		156
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		156

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

3.4.10.35.1 Offeror <Offerr>

Presence: [0..*]

Definition: Provides the entity making the offer and is different from the issuing company.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.10.35.2 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provides the new name of a company following a name change.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.10.35.3 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following **UpdatedURLInformation7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		155
	URLAddress <URLAdr>	[1..1]	Text		156

3.4.10.35.3.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.10.35.3.2 URLAddress <URLAdr>

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "RestrictedFINZMax2048Text" on page 1532

3.4.10.35.4 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "RestrictedFINZMax2048Text" on page 1532

3.4.11 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: C2 "AcceptancePriorityLevelUGRule", C9 "AdditionalTextRule", C13 "AppliedOptionRule", C30 "DissenterRights1Rule", C32 "DissenterRights1UGRule", C34 "DissenterRights2Rule", C36 "DissenterRights2UGRule", C62 "InstructCashAmountRule", C96 "OptionTypeUnknownProceedsUsageRule", C105 "RevocabilityPeriodRule", C95 "OptionNumberGuideline"

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following
CorporateActionOption247 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		175
	OptionType <OptnTp>	[1..1]	±		175
	FractionDisposition <FrctnDspstn>	[0..1]	±		175
	OfferType <OfferTp>	[0..*]			176
{Or	Code <Cd>	[1..1]	CodeSet		176
Or}	Proprietary <Prtry>	[1..1]	±		177
	OptionFeatures <OptnFeatrs>	[0..*]			177
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		178
	OptionAvailabilityStatus <OptnAvlbtys>	[0..1]	±		178
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		179
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]			179
{Or	Code <Cd>	[1..1]	CodeSet		179
Or}	Proprietary <Prtry>	[1..1]	±		180
	BidRangeType <BidRgTp>	[0..1]	±		180
	ProrationBelowMinimumQuantity <PrratnBlwMinQty>	[0..1]			181
{Or	Code <Cd>	[1..1]	CodeSet		181
Or}	Proprietary <Prtry>	[1..1]	±		181
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C18	182
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C18	182
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	182
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglInstr>	[1..1]	±		182
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		183
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		183
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		183
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		183
	AppliedOptionIndicator <AppldOptnInd>	[0..1]	Indicator		184
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C24, C25, C68, C69, C98	184

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			185
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		185
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		186
	ProtectDeadline <PrtctDdln>	[0..1]	±		186
	MarketDeadline <MktDdln>	[0..1]	±		186
	ResponseDeadline <RspnDdln>	[0..1]	±		187
	ExpiryDate <XpryDt>	[0..1]	±		187
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		188
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		188
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		188
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			189
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		189
	Borrower <Brrwr>	[1..1]	±		189
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		189
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		190
	PeriodDetails <PrdDtls>	[0..1]			190
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		190
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		191
	ActionPeriod <ActnPrd>	[0..1]	±		191
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		191
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		192
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		192
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		192
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C50, C52, C85, C87, C112, C114, C116, C121, C123	192
	AdditionalTax <AddtlTax>	[0..1]			195
{Or	Rate <Rate>	[1..1]	Rate		195

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		195
Or}	Amount <Amt>	[1..1]	Amount	C3	195
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		196
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		196
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		197
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		198
	ProrationRate <PrratnRate>	[0..1]	±		198
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			199
{Or	Rate <Rate>	[1..1]	Rate		199
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		199
Or	Amount <Amt>	[1..1]	Amount	C3	199
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			200
	RateType <RateTp>	[1..1]			200
{Or	Code <Cd>	[1..1]	CodeSet		200
Or}	Proprietary <Prtry>	[1..1]	±		200
	Rate <Rate>	[1..1]	Rate		201
	SecondLevelTax <ScndLvlTax>	[0..*]			201
{Or	Rate <Rate>	[1..1]	Rate		201
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		201
Or	Amount <Amt>	[1..1]	Amount	C3	202
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			202
	RateType <RateTp>	[1..1]			202
{Or	Code <Cd>	[1..1]	CodeSet		202
Or}	Proprietary <Prtry>	[1..1]	±		203
	Rate <Rate>	[1..1]	Rate		203
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		203
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		204
	TaxOnIncome <TaxOnIncm>	[0..1]			204
{Or	Rate <Rate>	[1..1]	Rate		204
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		205

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Amount <Amt>	[1..1]	Amount	C3	205
	BidInterval <BidIntrvl>	[0..1]			205
{Or	Rate <Rate>	[1..1]	Rate		205
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		205
Or	Amount <Amt>	[1..1]	Amount	C3	206
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		206
	PriceDetails <PricDtls>	[0..1]			206
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			208
{Or	PercentagePrice <PctgPric>	[1..1]			208
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		209
	PriceValue <PricVal>	[1..1]	Rate		209
Or	AmountPrice <AmtPric>	[1..1]	±		209
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		209
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			209
{Or	PercentagePrice <PctgPric>	[1..1]			210
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		210
	PriceValue <PricVal>	[1..1]	Rate		210
Or	AmountPrice <AmtPric>	[1..1]	±		210
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		211
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		211
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		211
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		212
	MaximumPrice <MaxPric>	[0..1]			212
{Or	PercentagePrice <PctgPric>	[1..1]			212
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		212
	PriceValue <PricVal>	[1..1]	Rate		213
Or	AmountPrice <AmtPric>	[1..1]	±		213
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		213
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		213
	MinimumPrice <MinPric>	[0..1]			213
{Or	PercentagePrice <PctgPric>	[1..1]			214

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		214
	PriceValue <PricVal>	[1..1]	Rate		214
Or	AmountPrice <AmtPric>	[1..1]	±		215
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		215
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		215
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]			215
{Or	PercentagePrice <PctgPric>	[1..1]			215
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		216
	PriceValue <PricVal>	[1..1]	Rate		216
Or	AmountPrice <AmtPric>	[1..1]	±		216
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		216
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		217
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]			217
{Or	PercentagePrice <PctgPric>	[1..1]			217
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		217
	PriceValue <PricVal>	[1..1]	Rate		218
Or	AmountPrice <AmtPric>	[1..1]	±		218
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		218
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		218
	SecuritiesQuantity <SctiesQty>	[0..1]			218
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C27	219
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C27	219
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±	C28	220
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	220
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	221
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C28	221
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C28	222
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C41, C57, C59, C71	222
	SecurityDetails <SctyDtls>	[1..1]		C78, C102	228

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	230
	PlaceOfListing <PlcOfListg>	[0..1]	±		231
	DayCountBasis <DayCntBsis>	[0..1]	±		231
	ClassificationType <ClssfctnTp>	[0..1]	±		231
	OptionStyle <OptnStyle>	[0..1]	±		232
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	232
	NextCouponDate <NxtCpnDt>	[0..1]	Date		232
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		232
	MaturityDate <MtrtyDt>	[0..1]	Date		232
	IssueDate <IsseDt>	[0..1]	Date		232
	NextCallableDate <NxtClblDt>	[0..1]	Date		233
	PutableDate <PutblDt>	[0..1]	Date		233
	DatedDate <DtdDt>	[0..1]	Date		233
	ConversionDate <ConvsDt>	[0..1]	Date		233
	PreviousFactor <PrvsFctr>	[0..1]	±		233
	NextFactor <NxtFctr>	[0..1]	±		233
	InterestRate <IntrstRate>	[0..1]	±		234
	NextInterestRate <NxtIntrstRate>	[0..1]	±		234
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	234
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	235
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	235
	ContractSize <CtrctSz>	[0..1]	±	C26	236
	IssuePrice <IssePric>	[0..1]			236
{Or	PercentagePrice <PctgPric>	[1..1]			237
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		237
	PriceValue <PricVal>	[1..1]	Rate		237
Or	AmountPrice <AmtPric>	[1..1]	±		237
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		238
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		238

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		238
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		239
Or}	Proprietary <Prtry>	[1..1]	±		239
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		239
	NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>	[0..1]	CodeSet		240
	IncomeType <IncmTp>	[0..1]	±		240
	OtherIncomeType <OthrIncmTp>	[0..*]	±		241
	ExemptionType <XmptnTp>	[0..*]	±		241
	EntitledQuantity <EntitldQty>	[0..1]	±		241
	SafekeepingPlace <SfkpgPlc>	[0..1]			241
{Or	Identification <Id>	[1..1]	±		242
Or	Country <Ctry>	[1..1]	CodeSet	C18	242
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		242
Or	TypeAndIdentification <TpAndId>	[1..1]			242
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		243
	Identification <Id>	[1..1]	IdentifierSet	C11	243
Or}	Proprietary <Prtry>	[1..1]	±		243
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	244
	FractionDisposition <FrctnDspstn>	[0..1]	±		244
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	244
	TradingPeriod <TradgPrd>	[0..1]	±		245
	DateDetails <DtDtls>	[1..1]			245
	PaymentDate <PmtDt>	[1..1]	±		245
	AvailableDate <AvlblDt>	[0..1]	±		246
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		246
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		246
	PariPassuDate <PrpssDt>	[0..1]	±		246
	LastTradingDate <LastTradgDt>	[0..1]	±		247
	RateDetails <RateDtls>	[0..1]			247

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		249
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		249
	NewToOld <NewToOd>	[0..1]	±		249
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		250
	ChargesFees <ChrgsFees>	[0..1]			250
{Or	Rate <Rate>	[1..1]	Rate		250
Or	NotSpecifiedRate <NotSpctfdRate>	[1..1]	CodeSet		251
Or}	Amount <Amt>	[1..1]	Amount	C3	251
	FiscalStamp <FscIStmp>	[0..1]	±		251
	ApplicableRate <AplblRate>	[0..1]	±		251
	TaxCreditRate <TaxCdtRate>	[0..1]			252
{Or	Rate <Rate>	[1..1]	Rate		252
Or	Amount <Amt>	[1..1]	Amount	C3	252
Or}	NotSpecifiedRate <NotSpctfdRate>	[1..1]	CodeSet		252
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		252
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			253
{Or	Rate <Rate>	[1..1]	Rate		253
Or	NotSpecifiedRate <NotSpctfdRate>	[1..1]	CodeSet		253
Or	Amount <Amt>	[1..1]	Amount	C3	253
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			254
	RateType <RateTp>	[1..1]			254
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255
	Rate <Rate>	[1..1]	Rate		255
	SecondLevelTax <ScndLvlTax>	[0..*]			255
{Or	Rate <Rate>	[1..1]	Rate		256
Or	NotSpecifiedRate <NotSpctfdRate>	[1..1]	CodeSet		256
Or	Amount <Amt>	[1..1]	Amount	C3	256
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			256
	RateType <RateTp>	[1..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		257

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		257
	Rate <Rate>	[1..1]	Rate		257
	PriceDetails <PricDtls>	[0..1]		C47	257
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			259
{Or	IndicativePrice <IndctvPric>	[1..1]			260
{Or	PercentagePrice <PctgPric>	[1..1]			260
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261
Or	AmountPrice <AmtPric>	[1..1]	±		261
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		261
Or}	MarketPrice <MktPric>	[1..1]			261
{Or	PercentagePrice <PctgPric>	[1..1]			262
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262
Or	AmountPrice <AmtPric>	[1..1]	±		262
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		263
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			263
{Or	PercentagePrice <PctgPric>	[1..1]			263
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		263
	PriceValue <PricVal>	[1..1]	Rate		264
Or	AmountPrice <AmtPric>	[1..1]	±		264
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		264
	CashValueForTax <CshValForTax>	[0..1]	±		264
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			265
{Or	PercentagePrice <PctgPric>	[1..1]			265
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		265
	PriceValue <PricVal>	[1..1]	Rate		266
Or	AmountPrice <AmtPric>	[1..1]	±		266
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		266
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		266
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			266

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			267
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		267
	PriceValue <PricVal>	[1..1]	Rate		268
Or	AmountPrice <AmtPric>	[1..1]	±		268
Or	NotSpecifiedPrice <NotSpdcfdPric>	[1..1]	CodeSet		268
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			268
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		269
	PriceValue <PricVal>	[1..1]	Amount	C3	269
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	269
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			270
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		270
	PriceValue <PricVal>	[1..1]	Amount	C3	270
	Amount <Amt>	[1..1]	Amount	C3	271
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		271
	AmountDetails <AmtDtls>	[0..1]			271
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	271
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C3, C19	272
	CashMovementDetails <CshMvmntDtls>	[0..*]		C42, C58, C60, C72, C48	272
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		279
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		280
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			280
{Or	Code <Cd>	[1..1]	CodeSet		280
Or}	Proprietary <Prtry>	[1..1]	±		280
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>	[0..1]	±		281
	IncomeType <IncmTp>	[0..1]	±		281
	OtherIncomeType <OthrIncmTp>	[0..*]	±		281
	ExemptionType <XmptnTp>	[0..*]	±		282
	PriceCalculationMethod <PricClctnMtd>	[0..1]			282
{Or	Code <Cd>	[1..1]	CodeSet		282

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		282
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	283
	CashAccountIdentification <CshAcctId>	[0..1]			283
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C54	283
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		284
Or}	Proprietary <Prtry>	[1..1]	Text		284
	AmountDetails <AmtDtls>	[0..1]			284
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	286
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	287
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	287
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	287
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	288
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	288
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	289
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	289
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	290
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	290
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	290
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	291
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	291
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C3, C19	292
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	292
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	292
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	293
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	293
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	294
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	294
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	294
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	295
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	295
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	296

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	296
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	296
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	297
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	297
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	298
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	298
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	298
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	299
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	299
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	300
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C3, C19	300
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	300
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	301
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	301
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	302
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	302
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	302
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	303
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	303
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C3, C19	304
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	304
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	304
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	305
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	305
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	306
	DateDetails <DtDtls>	[1..1]			306
	PaymentDate <PmtDt>	[1..1]	±		306
	ValueDate <ValDt>	[0..1]			307
{Or	Date <Dt>	[1..1]	Date		307
Or}	DateCode <DtCd>	[1..1]			307
{Or	Code <Cd>	[1..1]	CodeSet		307

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		308
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		308
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		308
	ForeignExchangeDetails <FXDtls>	[0..1]			309
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	309
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	309
	ExchangeRate <XchgRate>	[1..1]	Rate		309
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C3, C19	310
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C23, C51, C53, C86, C88, C113, C115, C117, C122, C124	310
	AdditionalTax <AddtlTax>	[0..1]			315
{Or	Rate <Rate>	[1..1]	Rate		315
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		315
Or}	Amount <Amt>	[1..1]	Amount	C3	315
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		315
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		316
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			317
{Or	Rate <Rate>	[1..1]	Rate		317
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		318
Or	Amount <Amt>	[1..1]	Amount	C3	318
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			318
	RateType <RateTp>	[1..1]			318
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	Rate <Rate>	[1..1]	Rate		319
	SecondLevelTax <ScndLvlTax>	[0..*]			319
{Or	Rate <Rate>	[1..1]	Rate		320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		320
Or	Amount <Amt>	[1..1]	Amount	C3	320
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			320
	RateType <RateTp>	[1..1]			321
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321
	Rate <Rate>	[1..1]	Rate		322
	ChargesFees <ChrgsFees>	[0..1]			322
{Or	Rate <Rate>	[1..1]	Rate		322
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		322
Or}	Amount <Amt>	[1..1]	Amount	C3	322
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			323
{Or	Rate <Rate>	[1..1]	Rate		323
Or	AmountToQuantity <AmtToQty>	[1..1]			323
	Amount <Amt>	[1..1]	Amount	C3	323
	Quantity <Qty>	[1..1]	Quantity		324
Or	Amount <Amt>	[1..1]	Amount	C3	324
Or}	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		324
	FiscalStamp <FscIStmp>	[0..1]	±		324
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			325
{Or	Rate <Rate>	[1..1]	Rate		325
Or	Amount <Amt>	[1..1]	Amount	C3	325
Or}	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		325
	NetDistributionRate <NetDstrbtrRate>	[0..*]	±		326
	ApplicableRate <AplblRate>	[0..1]	±		326
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			326
{Or	Rate <Rate>	[1..1]	Rate		327
Or	AmountToQuantity <AmtToQty>	[1..1]			327
	Amount <Amt>	[1..1]	Amount	C3	327
	Quantity <Qty>	[1..1]	Quantity		328
Or	Amount <Amt>	[1..1]	Amount	C3	328

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		328
	TaxCreditRate <TaxCdtRate>	[0..1]			328
{Or	Rate <Rate>	[1..1]	Rate		328
Or	Amount <Amt>	[1..1]	Amount	C3	329
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
	TaxOnIncome <TaxOnIncm>	[0..1]			329
{Or	Rate <Rate>	[1..1]	Rate		329
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
Or}	Amount <Amt>	[1..1]	Amount	C3	330
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		330
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		330
	EqualisationRate <EqulstnRate>	[0..1]	±		330
	DeemedRate <DmdRate>	[0..*]			331
{Or	Rate <Rate>	[1..1]	Rate		331
Or	Amount <Amt>	[1..1]	Amount	C3	332
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		332
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			332
	RateType <RateTp>	[1..1]			332
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		333
	Amount <Amt>	[1..1]	Amount	C3	333
	RateStatus <RateSts>	[0..1]		C104	334
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			334
	RateType <RateTp>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335
	Rate <Rate>	[1..1]	Rate		336
	PriceDetails <PricDtls>	[0..1]		C49	336
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			338

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			338
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		338
	PriceValue <PricVal>	[1..1]	Rate		339
Or	AmountPrice <AmtPric>	[1..1]	±		339
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		339
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		339
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			339
{Or	PercentagePrice <PctgPric>	[1..1]			340
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		340
	PriceValue <PricVal>	[1..1]	Rate		341
Or	AmountPrice <AmtPric>	[1..1]	±		341
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		341
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			341
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		342
	PriceValue <PricVal>	[1..1]	Amount	C3	342
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	342
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			343
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		343
	PriceValue <PricVal>	[1..1]	Amount	C3	343
	Amount <Amt>	[1..1]	Amount	C3	344
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		344
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			344
{Or	PercentagePrice <PctgPric>	[1..1]			344
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		345
	PriceValue <PricVal>	[1..1]	Rate		345
Or	AmountPrice <AmtPric>	[1..1]	±		345
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		345
	AdditionalInformation <AddtlInf>	[0..1]		C6	346
	AdditionalText <AddtlTxt>	[0..*]			347
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		347

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NarrativeVersion <NrrtvVrsn>	[0..*]			347
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		348
	InformationConditions <InfConds>	[0..*]			348
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		348
	InformationToComplyWith <InfToCmplyWth>	[0..*]			348
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		349
	SecurityRestriction <SctyRstrctn>	[0..*]			349
	Language <Lang>	[1..1]	CodeSet		349
	AdditionalInformation <AddtlInf>	[1..*]	Text		349
	TaxationConditions <TaxtnConds>	[0..*]			349
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350
	Disclaimer <Dsclmr>	[0..*]			350
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			350
	Language <Lang>	[1..1]	CodeSet		351
	AdditionalInformation <AddtlInf>	[1..*]	Text		351

Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

if

at least one occurrence of [OfferType/Code] has value included in the following list 'ACPR'

, then

at least one occurrence of the following element(s) [AcceptancePriorityLevel] must be present

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```

On Condition
  /OptionType/Code is present
And    /OptionType/Code is equal to value 'Other'
Following Must be True
  /AdditionalInformation Must be present
And    /AdditionalInformation/AdditionalText[*] Must be present
And    /AdditionalInformation/AdditionalText[*]/AdditionalInformation Must be
present

```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
  /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

```

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'DISS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'NDIS'

```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
  /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRights'

```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'NDIS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'DISS'

```

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```
On Condition
  /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
  /PriceDetails/MaximumCashToInstruct Must be absent
And    /PriceDetails/MinimumCashToInstruct Must be absent
And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent
```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

3.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1525

3.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption46Choice](#)" on page 1306 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1306
Or}	Proprietary <Prtry>	[1..1]	±		1307

3.4.11.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType31Choice" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1311
Or}	Proprietary <Prtry>	[1..1]	±		1312

3.4.11.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following **OfferTypeFormat16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		176
Or}	Proprietary <Prtry>	[1..1]	±		177

3.4.11.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "OfferType5Code" on page 1503

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

CodeName	Name	Definition
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

3.4.11.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeaturesFormat31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		178

3.4.11.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures13Code](#)" on page 1504

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.

CodeName	Name	Definition
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

3.4.11.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.6 OptionAvailabilityStatus <OptnAvlbtYSts>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtYSts> contains one of the following elements (see "OptionAvailabilityStatus4Choice" on page 1318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1319

3.4.11.7 AcceptancePriorityLevel <AccptncPrtyLvl>

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 1525

3.4.11.8 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following **BeneficiaryCertificationType15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		179
Or}	Proprietary <Prtry>	[1..1]	±		180

3.4.11.8.1 Code <Cd>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "BeneficiaryCertificationType6Code" on page 1444

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.

CodeName	Name	Definition
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

3.4.11.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.9 BidRangeType <BidRgTp>

Presence: [0..1]

Definition: Specifies the type of acceptable bid range values.

BidRangeType <BidRgTp> contains one of the following elements (see "[BidRangeType2Choice](#)" on page 1347 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1347

3.4.11.10 ProrationBelowMinimumQuantity <PrratnBlwMinQty>*Presence:* [0..1]*Definition:* Specifies how instructions prorated below the minimum quantity to instruct will be processed.**ProrationBelowMinimumQuantity <PrratnBlwMinQty>** contains one of the following **ProrationBelowMinimumQuantity1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		181
Or}	Proprietary <Prtry>	[1..1]	±		181

3.4.11.10.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of proration below minimum quantity.*Datatype:* "ProrationBelowMinimumQuantity1Code" on page 1510

CodeName	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

3.4.11.10.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the proration below minimum quantity type.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.11 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C18 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.12 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: C18 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.14 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr> contains one of the following elements (see ["DefaultProcessingOrStandingInstruction2Choice"](#) on page 1269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		1269
Or}	StandingInstructionIndicator <StglInstrInd>	[1..1]	Indicator		1269

3.4.11.15 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.16 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.17 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.18 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.19 AppliedOptionIndicator <ApIdOptnInd>*Presence:* [0..1]*Definition:* Indicates whether the option, different from the default one, shall be applied by the account owner.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.20 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [0..1]*Definition:* Identifies the financial instrument.*Impacted by:* C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

3.4.11.21 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate108** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		185
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		186
	ProtectDeadline <PrctDdln>	[0..1]	±		186
	MarketDeadline <MktDdln>	[0..1]	±		186
	ResponseDeadline <RspnDdln>	[0..1]	±		187
	ExpiryDate <XpryDt>	[0..1]	±		187
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		188
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		188
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		188
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			189
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		189
	Borrower <Brrwr>	[1..1]	±		189
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]	±		189
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		190

3.4.11.21.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.3 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat54Choice" on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1232
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			1232
	DateCode <DtCd>	[1..1]			1233
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233
	Time <Tm>	[1..1]	Time		1233
Or}	DateCode <DtCd>	[1..1]			1234
{Or	Code <Cd>	[1..1]	CodeSet		1234
Or}	Proprietary <Prtry>	[1..1]	±		1234

3.4.11.21.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.7 SubscriptionCostDebitDate <SbcptCostDbtDt>*Presence:* [0..1]*Definition:* Date/time by which cash must be in place in order to take part in the event.**SubscriptionCostDebitDate <SbcptCostDbtDt>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.9 StockLendingDeadline <StockLndgDdlIn>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdlIn>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		189
	Borrower <Brrwr>	[1..1]	±		189

3.4.11.21.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.21.10.2 Borrower <Brrwr>*Presence:* [1..1]*Definition:* Party who has borrowed stocks on loan.**Borrower <Brrwr>** contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

3.4.11.21.11 EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>*Presence:* [0..1]*Definition:* Date until which the blocking of securities can occur upon instructing this option.

EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd> contains one of the following elements (see "DateFormat71Choice" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1235
Or}	DateCode <DtCd>	[1..1]			1235
{Or	Code <Cd>	[1..1]	CodeSet		1235
Or}	Proprietary <Prtry>	[1..1]	±		1236

3.4.11.21.12 DocumentationDeadline <DcmnttnDdlIn>

Presence: [0..1]

Definition: Date/Time by when the documentation must be provided.

DocumentationDeadline <DcmnttnDdlIn> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.22 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtIs> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		190
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		191
	ActionPeriod <ActnPrd>	[0..1]	±		191
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		191
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		192
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		192
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		192

3.4.11.22.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.22.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.22.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.22.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.22.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>*Presence:* [0..1]*Definition:* Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.**PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>** contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.22.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>*Presence:* [0..1]*Definition:* Period during which the participant of the account servicer can revoke change or withdraw its instructions.**AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>** contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.22.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>*Presence:* [0..1]*Definition:* Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.**DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>** contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.23 RateAndAmountDetails <RateAndAmtDtls>*Presence:* [0..1]*Definition:* Provides information about rates and amounts related to a corporate action option.*Impacted by:* C50 "GrossDistributionRate2Rule", C52 "GrossDistributionRate3Rule", C85 "NetDistributionRate1Rule", C87 "NetDistributionRate2Rule", C112 "SecondLevelTax1Rule", C114 "SecondLevelTax2Rule", C116 "SecondLevelTax3Rule", C121 "WithholdingTaxRate1Rule", C123 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate136** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]			195
{Or	Rate <Rate>	[1..1]	Rate		195
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		195
Or}	Amount <Amt>	[1..1]	Amount	C3	195
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		196
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		196
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		197
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		198
	ProrationRate <PratnRate>	[0..1]	±		198
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			199
{Or	Rate <Rate>	[1..1]	Rate		199
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		199
Or	Amount <Amt>	[1..1]	Amount	C3	199
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			200
	RateType <RateTp>	[1..1]			200
{Or	Code <Cd>	[1..1]	CodeSet		200
Or}	Proprietary <Prtry>	[1..1]	±		200
	Rate <Rate>	[1..1]	Rate		201
	SecondLevelTax <ScndLvITax>	[0..*]			201
{Or	Rate <Rate>	[1..1]	Rate		201
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		201
Or	Amount <Amt>	[1..1]	Amount	C3	202
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			202
	RateType <RateTp>	[1..1]			202
{Or	Code <Cd>	[1..1]	CodeSet		202
Or}	Proprietary <Prtry>	[1..1]	±		203
	Rate <Rate>	[1..1]	Rate		203
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		203
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		204

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxOnIncome <TaxOnIncm>	[0..1]			204
{Or	Rate <Rate>	[1..1]	Rate		204
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		205
Or}	Amount <Amt>	[1..1]	Amount	C3	205
	BidInterval <BidIntrvl>	[0..1]			205
{Or	Rate <Rate>	[1..1]	Rate		205
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		205
Or	Amount <Amt>	[1..1]	Amount	C3	206
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		206

Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

On Condition
/SecondLevelTax[*] is present
Following Must be True
/WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

3.4.11.23.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		195
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		195
Or}	Amount <Amt>	[1..1]	Amount	C3	195

3.4.11.23.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.23.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.23.1.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.23.2 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1277
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1277
	Amount <Amt>	[1..1]	Amount	C1	1278
	RateStatus <RateSts>	[1..1]	CodeSet		1278
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1278
	RateType <RateTp>	[1..1]			1279
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280
	Amount <Amt>	[1..1]	Amount	C1	1280
	RateStatus <RateSts>	[0..1]		C52	1280
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1281

3.4.11.23.3 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat43Choice" on page 1333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1333
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1334
	Amount <Amt>	[1..1]	Amount	C1	1334
	RateStatus <RateSts>	[1..1]	CodeSet		1334
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1334
	RateType <RateTp>	[1..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336
	Amount <Amt>	[1..1]	Amount	C1	1336
	RateStatus <RateSts>	[0..1]		C52	1336
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337
Or}	NotSpecifiedRate <NotSpocfdRate>	[1..1]	CodeSet		1337

3.4.11.23.4 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat18Choice" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1343
Or	Amount <Amt>	[1..1]	Amount	C1	1343
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1343
	RateType <RateTp>	[1..1]			1344
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344
	Amount <Amt>	[1..1]	Amount	C1	1345
	RateStatus <RateSts>	[0..1]		C52	1345
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1346

3.4.11.23.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.23.6 ProrationRate <PrtrtnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrtrtnRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.23.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following **RateAndAmountFormat75Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		199
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		199
Or	Amount <Amt>	[1..1]	Amount	C3	199
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			200
	RateType <RateTp>	[1..1]			200
{Or	Code <Cd>	[1..1]	CodeSet		200
Or}	Proprietary <Prtry>	[1..1]	±		200
	Rate <Rate>	[1..1]	Rate		201

3.4.11.23.7.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.23.7.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.23.7.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.23.7.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			200
{Or	Code <Cd>	[1..1]	CodeSet		200
Or}	Proprietary <Prtry>	[1..1]	±		200
	Rate <Rate>	[1..1]	Rate		201

3.4.11.23.7.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		200
Or}	Proprietary <Prtry>	[1..1]	±		200

3.4.11.23.7.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

3.4.11.23.7.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.23.7.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

3.4.11.23.8 SecondLevelTax <ScndLvITax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following **RateAndAmountFormat75Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		201
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		201
Or	Amount <Amt>	[1..1]	Amount	C3	202
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			202
	RateType <RateTp>	[1..1]			202
{Or	Code <Cd>	[1..1]	CodeSet		202
Or}	Proprietary <Prtry>	[1..1]	±		203
	Rate <Rate>	[1..1]	Rate		203

3.4.11.23.8.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

3.4.11.23.8.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.23.8.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.23.8.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			202
{Or	Code <Cd>	[1..1]	CodeSet		202
Or}	Proprietary <Prtry>	[1..1]	±		203
	Rate <Rate>	[1..1]	Rate		203

3.4.11.23.8.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		202
Or}	Proprietary <Prtry>	[1..1]	±		203

3.4.11.23.8.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

3.4.11.23.8.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.23.8.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.23.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1284
{Or	Code <Cd>	[1..1]	CodeSet		1284
Or}	Proprietary <Prtry>	[1..1]	±		1284
	Amount <Amt>	[1..1]	Amount	C1	1284
	RateStatus <RateSts>	[0..1]		C52	1285
{Or	Code <Cd>	[1..1]	CodeSet		1285
Or}	Proprietary <Prtry>	[1..1]	±		1285

3.4.11.23.10 IssuerDeclaredExchangeRate <IssrDclrdXchgRate>

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

IssuerDeclaredExchangeRate <IssrDclrdXchgRate> contains the following elements (see "ForeignExchangeTerms38" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	1261
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	1261
	ExchangeRate <XchgRate>	[1..1]	Rate		1261

3.4.11.23.11 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		204
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		205
Or}	Amount <Amt>	[1..1]	Amount	C3	205

3.4.11.23.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.23.11.2 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.23.11.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.23.12 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following **RateAndAmountFormat73Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		205
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		205
Or	Amount <Amt>	[1..1]	Amount	C3	206
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		206

3.4.11.23.12.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.23.12.2 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.23.12.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.23.12.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.11.24 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			208
{Or	PercentagePrice <PctgPric>	[1..1]			208
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		209
	PriceValue <PricVal>	[1..1]	Rate		209
Or	AmountPrice <AmtPric>	[1..1]	±		209
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		209
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			209
{Or	PercentagePrice <PctgPric>	[1..1]			210
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		210
	PriceValue <PricVal>	[1..1]	Rate		210
Or	AmountPrice <AmtPric>	[1..1]	±		210
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		211
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		211
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		211
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		212
	MaximumPrice <MaxPric>	[0..1]			212
{Or	PercentagePrice <PctgPric>	[1..1]			212
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		212
	PriceValue <PricVal>	[1..1]	Rate		213
Or	AmountPrice <AmtPric>	[1..1]	±		213
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		213
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		213
	MinimumPrice <MinPric>	[0..1]			213
{Or	PercentagePrice <PctgPric>	[1..1]			214
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		214
	PriceValue <PricVal>	[1..1]	Rate		214
Or	AmountPrice <AmtPric>	[1..1]	±		215
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		215
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		215
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]			215
{Or	PercentagePrice <PctgPric>	[1..1]			215

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		216
	PriceValue <PricVal>	[1..1]	Rate		216
Or	AmountPrice <AmtPric>	[1..1]	±		216
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		216
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		217
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]			217
{Or	PercentagePrice <PctgPric>	[1..1]			217
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		217
	PriceValue <PricVal>	[1..1]	Rate		218
Or	AmountPrice <AmtPric>	[1..1]	±		218
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		218
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		218

3.4.11.24.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			208
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		209
	PriceValue <PricVal>	[1..1]	Rate		209
Or	AmountPrice <AmtPric>	[1..1]	±		209
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		209

3.4.11.24.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		209
	PriceValue <PricVal>	[1..1]	Rate		209

3.4.11.24.1.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.24.1.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.24.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.24.1.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.24.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			210
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		210
	PriceValue <PricVal>	[1..1]	Rate		210
Or	AmountPrice <AmtPric>	[1..1]	±		210
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		211

3.4.11.24.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		210
	PriceValue <PricVal>	[1..1]	Rate		210

3.4.11.24.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.24.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.24.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.24.2.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.24.3 MaximumCashToInstruct <MaxCshToInst>

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

MaximumCashToInstruct <MaxCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 1372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

3.4.11.24.4 MinimumCashToInstruct <MinCshToInst>

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

MinimumCashToInstruct <MinCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 1372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

3.4.11.24.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>*Presence:* [0..1]*Definition:* Minimum multiple of a cash amount that may be instructed.**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 1372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

3.4.11.24.6 MaximumPrice <MaxPric>*Presence:* [0..1]*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.**MaximumPrice <MaxPric>** contains one of the following **PriceFormat93Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			212
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		212
	PriceValue <PricVal>	[1..1]	Rate		213
Or	AmountPrice <AmtPric>	[1..1]	±		213
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		213
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		213

3.4.11.24.6.1 PercentagePrice <PctgPric>*Presence:* [1..1]*Definition:* Price expressed as a percentage.**PercentagePrice <PctgPric>** contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		212
	PriceValue <PricVal>	[1..1]	Rate		213

3.4.11.24.6.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.24.6.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.24.6.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.24.6.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.24.6.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**3.4.11.24.7 MinimumPrice <MinPric>***Presence:* [0..1]*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following **PriceFormat93Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			214
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		214
	PriceValue <PricVal>	[1..1]	Rate		214
Or	AmountPrice <AmtPric>	[1..1]	±		215
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		215
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		215

3.4.11.24.7.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		214
	PriceValue <PricVal>	[1..1]	Rate		214

3.4.11.24.7.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.24.7.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.24.7.2 AmountPrice <AmtPric>*Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.24.7.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "[PriceValueType10Code](#)" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.24.7.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "[RestrictedFINDecimalNumber](#)" on page 1524**3.4.11.24.8 FirstBidIncrementPrice <FrstBidIncrmtPric>***Presence:* [0..1]*Definition:* First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.**FirstBidIncrementPrice <FrstBidIncrmtPric>** contains one of the following **PriceFormat93Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			215
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		216
	PriceValue <PricVal>	[1..1]	Rate		216
Or	AmountPrice <AmtPric>	[1..1]	±		216
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		216
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		217

3.4.11.24.8.1 PercentagePrice <PctgPric>*Presence:* [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		216
	PriceValue <PricVal>	[1..1]	Rate		216

3.4.11.24.8.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.24.8.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.24.8.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.24.8.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.24.8.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**3.4.11.24.9 LastBidIncrementPrice <LastBidIncrmtPric>***Presence:* [0..1]*Definition:* Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.**LastBidIncrementPrice <LastBidIncrmtPric>** contains one of the following **PriceFormat93Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			217
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		217
	PriceValue <PricVal>	[1..1]	Rate		218
Or	AmountPrice <AmtPric>	[1..1]	±		218
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		218
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		218

3.4.11.24.9.1 PercentagePrice <PctgPric>*Presence:* [1..1]*Definition:* Price expressed as a percentage.**PercentagePrice <PctgPric>** contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		217
	PriceValue <PricVal>	[1..1]	Rate		218

3.4.11.24.9.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.24.9.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.24.9.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.24.9.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.24.9.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**3.4.11.25 SecuritiesQuantity <SctiesQty>***Presence:* [0..1]*Definition:* Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C27	219
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C27	219
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C28	220
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	220
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	221
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C28	221
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C28	222

3.4.11.25.1 MaximumQuantityToInstruct <MaxQtyToInst>

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

Impacted by: C27 "DigitalTokenUnitUsageRule"

MaximumQuantityToInstruct <MaxQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.2 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C27 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C28 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C28 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C28 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: C41 "ExemptionTypeUsageRule", C57 "IncomeType1Rule", C59 "IncomeTypeUsageRule", C71 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption117** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]		C78, C102	228
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	230
	PlaceOfListing <PlcOfListg>	[0..1]	±		231
	DayCountBasis <DayCntBsis>	[0..1]	±		231
	ClassificationType <ClssfctnTp>	[0..1]	±		231
	OptionStyle <OptnStyle>	[0..1]	±		232
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	232
	NextCouponDate <NxtCpnDt>	[0..1]	Date		232
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		232
	MaturityDate <MtrtyDt>	[0..1]	Date		232
	IssueDate <IsseDt>	[0..1]	Date		232
	NextCallableDate <NxtCllbldDt>	[0..1]	Date		233
	PutableDate <PutblDt>	[0..1]	Date		233
	DatedDate <DtdDt>	[0..1]	Date		233
	ConversionDate <ConvsDt>	[0..1]	Date		233
	PreviousFactor <PrvsFctr>	[0..1]	±		233
	NextFactor <NxtFctr>	[0..1]	±		233
	InterestRate <IntrstRate>	[0..1]	±		234
	NextInterestRate <NxtIntrstRate>	[0..1]	±		234
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	234
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	235
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	235
	ContractSize <CtrctSz>	[0..1]	±	C26	236
	IssuePrice <IssePric>	[0..1]			236
{Or	PercentagePrice <PctgPric>	[1..1]			237
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		237
	PriceValue <PricVal>	[1..1]	Rate		237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPrice <AmtPric>	[1..1]	±		237
Or}	NotSpecifiedPrice <NotSpecfdPric>	[1..1]	CodeSet		238
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		238
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		238
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		239
Or}	Proprietary <Prtry>	[1..1]	±		239
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		239
	NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>	[0..1]	CodeSet		240
	IncomeType <IncmTp>	[0..1]	±		240
	OtherIncomeType <OthrIncmTp>	[0..*]	±		241
	ExemptionType <XmptnTp>	[0..*]	±		241
	EntitledQuantity <EntitldQty>	[0..1]	±		241
	SafekeepingPlace <SfkpgPlc>	[0..1]			241
{Or	Identification <Id>	[1..1]	±		242
Or	Country <Ctry>	[1..1]	CodeSet	C18	242
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		242
Or	TypeAndIdentification <TpAndId>	[1..1]			242
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		243
	Identification <Id>	[1..1]	IdentifierSet	C11	243
Or}	Proprietary <Prtry>	[1..1]	±		243
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	244
	FractionDisposition <FrctnDspstn>	[0..1]	±		244
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	244
	TradingPeriod <TradgPrd>	[0..1]	±		245
	DateDetails <DtDtls>	[1..1]			245
	PaymentDate <PmtDt>	[1..1]	±		245
	AvailableDate <AvlblDt>	[0..1]	±		246
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		246
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		246
	PariPassuDate <PrpssDt>	[0..1]	±		246

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LastTradingDate <LastTradgDt>	[0..1]	±		247
	RateDetails <RateDtls>	[0..1]			247
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		249
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		249
	NewToOld <NewToOd>	[0..1]	±		249
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		250
	ChargesFees <ChrgsFees>	[0..1]			250
{Or	Rate <Rate>	[1..1]	Rate		250
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		251
Or}	Amount <Amt>	[1..1]	Amount	C3	251
	FiscalStamp <FscIStmp>	[0..1]	±		251
	ApplicableRate <AplblRate>	[0..1]	±		251
	TaxCreditRate <TaxCdtRate>	[0..1]			252
{Or	Rate <Rate>	[1..1]	Rate		252
Or	Amount <Amt>	[1..1]	Amount	C3	252
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		252
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		252
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			253
{Or	Rate <Rate>	[1..1]	Rate		253
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		253
Or	Amount <Amt>	[1..1]	Amount	C3	253
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			254
	RateType <RateTp>	[1..1]			254
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255
	Rate <Rate>	[1..1]	Rate		255
	SecondLevelTax <ScndLvITax>	[0..*]			255
{Or	Rate <Rate>	[1..1]	Rate		256
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		256
Or	Amount <Amt>	[1..1]	Amount	C3	256
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			256

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		257
Or}	Proprietary <Prtry>	[1..1]	±		257
	Rate <Rate>	[1..1]	Rate		257
	PriceDetails <PricDtls>	[0..1]		C47	257
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			259
{Or	IndicativePrice <IndctvPric>	[1..1]			260
{Or	PercentagePrice <PctgPric>	[1..1]			260
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261
Or	AmountPrice <AmtPric>	[1..1]	±		261
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		261
Or}	MarketPrice <MktPric>	[1..1]			261
{Or	PercentagePrice <PctgPric>	[1..1]			262
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262
Or	AmountPrice <AmtPric>	[1..1]	±		262
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		263
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			263
{Or	PercentagePrice <PctgPric>	[1..1]			263
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		263
	PriceValue <PricVal>	[1..1]	Rate		264
Or	AmountPrice <AmtPric>	[1..1]	±		264
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		264
	CashValueForTax <CshValForTax>	[0..1]	±		264
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			265
{Or	PercentagePrice <PctgPric>	[1..1]			265
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		265
	PriceValue <PricVal>	[1..1]	Rate		266
Or	AmountPrice <AmtPric>	[1..1]	±		266
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		266

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		266
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			266
{Or	PercentagePrice <PctgPric>	[1..1]			267
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		267
	PriceValue <PricVal>	[1..1]	Rate		268
Or	AmountPrice <AmtPric>	[1..1]	±		268
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		268
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			268
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		269
	PriceValue <PricVal>	[1..1]	Amount	C3	269
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	269
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			270
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		270
	PriceValue <PricVal>	[1..1]	Amount	C3	270
	Amount <Amt>	[1..1]	Amount	C3	271
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		271
	AmountDetails <AmtDtls>	[0..1]			271
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	271
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	272

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.26.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C78 "MaturityDateRule", C102 "PreviousNextFactorRule"

SecurityDetails <SctyDtIs> contains the following **FinancialInstrumentAttributes137** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	230
	PlaceOfListing <PlcOfListg>	[0..1]	±		231
	DayCountBasis <DayCntBsis>	[0..1]	±		231
	ClassificationType <ClssfctnTp>	[0..1]	±		231
	OptionStyle <OptnStyle>	[0..1]	±		232
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	232
	NextCouponDate <NxtCpnDt>	[0..1]	Date		232
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		232
	MaturityDate <MtrtyDt>	[0..1]	Date		232
	IssueDate <IsseDt>	[0..1]	Date		232
	NextCallableDate <NxtCllblDt>	[0..1]	Date		233
	PutableDate <PutblDt>	[0..1]	Date		233
	DatedDate <DtdDt>	[0..1]	Date		233
	ConversionDate <ConvstDt>	[0..1]	Date		233
	PreviousFactor <PrvsFctr>	[0..1]	±		233
	NextFactor <NxtFctr>	[0..1]	±		233
	InterestRate <IntrstRate>	[0..1]	±		234
	NextInterestRate <NxtIntrstRate>	[0..1]	±		234
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	234
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	235
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	235
	ContractSize <CtrctSz>	[0..1]	±	C26	236
	IssuePrice <IssePric>	[0..1]			236
{Or	PercentagePrice <PctgPric>	[1..1]			237
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		237
	PriceValue <PricVal>	[1..1]	Rate		237
Or	AmountPrice <AmtPric>	[1..1]	±		237
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		238

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.11.26.1.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

3.4.11.26.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 1268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

3.4.11.26.1.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1322
Or}	Proprietary <Prtry>	[1..1]	±		1326

3.4.11.26.1.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1272
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1273

3.4.11.26.1.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle9Choice"](#) on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1312
Or}	Proprietary <Prtry>	[1..1]	±		1313

3.4.11.26.1.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C4 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1438**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.1.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1520**3.4.11.26.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1520**3.4.11.26.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1520**3.4.11.26.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

Datatype: "ISODate" on page 1520

3.4.11.26.1.11 NextCallableDate <NxtCllbIDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1520

3.4.11.26.1.12 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1520

3.4.11.26.1.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1520

3.4.11.26.1.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1520

3.4.11.26.1.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "RateFormat12Choice" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

3.4.11.26.1.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

3.4.11.26.1.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.26.1.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.26.1.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: [C26 "DigitalTokenUnitUsageRule"](#)

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.20 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C26 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C26 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.22 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C26 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.23 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			237
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		237
	PriceValue <PricVal>	[1..1]	Rate		237
Or	AmountPrice <AmtPric>	[1..1]	±		237
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		238

3.4.11.26.1.23.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		237
	PriceValue <PricVal>	[1..1]	Rate		237

3.4.11.26.1.23.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.26.1.23.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.1.23.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.26.1.23.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.26.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "[CreditDebitCode](#)" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.26.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "[TemporaryFinancialInstrumentIndicator4Choice](#)" on page 1318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		1318
Or}	Proprietary <Prtry>	[1..1]	±		1318

3.4.11.26.4 NonEligibleProceedsIndicator <NonElgblPrcdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following **NonEligibleProceedsIndicator6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		239
Or}	Proprietary <Prtry>	[1..1]	±		239

3.4.11.26.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 1502

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

3.4.11.26.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.26.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "[IssuerOfferorTaxabilityIndicator1Choice](#)" on page 1329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

3.4.11.26.6 NewSecuritiesIssuanceIndicator <NewSciesIssncInd>*Presence:* [0..1]*Definition:* Specifies the nature of the securities issue.*Datatype:* "NewSecuritiesIssuanceType5Code" on page 1501

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

3.4.11.26.7 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.26.8 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrIncmTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.26.9 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.26.10 EntitledQuantity <EntitldQty>*Presence:* [0..1]*Definition:* Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).**EntitledQuantity <EntitldQty>** contains one of the following elements (see "[Quantity54Choice](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

3.4.11.26.11 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat56Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		242
Or	Country <Ctry>	[1..1]	CodeSet	C18	242
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		242
Or	TypeAndIdentification <TpAndId>	[1..1]			242
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		243
	Identification <Id>	[1..1]	IdentifierSet	C11	243
Or}	Proprietary <Prtry>	[1..1]	±		243

3.4.11.26.11.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText15"](#) on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

3.4.11.26.11.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C18 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.26.11.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

3.4.11.26.11.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		243
	Identification <Id>	[1..1]	IdentifierSet	C11	243

3.4.11.26.11.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

3.4.11.26.11.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C11 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

3.4.11.26.11.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

3.4.11.26.12 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: [C18 "Country"](#)

Datatype: "[CountryCode](#)" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.26.13 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType31Choice](#)" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1311
Or}	Proprietary <Prtry>	[1..1]	±		1312

3.4.11.26.14 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

3.4.11.26.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		245
	AvailableDate <AvlblDt>	[0..1]	±		246
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		246
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		246
	PariPassuDate <PrpssDt>	[0..1]	±		246
	LastTradingDate <LastTradgDt>	[0..1]	±		247

3.4.11.26.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.26.16.2 AvailableDate <AvlbdDt>*Presence:* [0..1]*Definition:* Date/time at which securities become available for trading, for example first dealing date.**AvailableDate <AvlbdDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.26.16.3 DividendRankingDate <DvddRnkgDt>*Presence:* [0..1]*Definition:* Date/time at which a security will be entitled to a dividend.**DividendRankingDate <DvddRnkgDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.26.16.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]*Definition:* Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.**EarliestPaymentDate <EarlstPmtDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.26.16.5 PariPassuDate <PrpssDt>*Presence:* [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.26.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.26.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate137** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		249
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		249
	NewToOld <NewToOd>	[0..1]	±		249
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		250
	ChargesFees <ChrgsFees>	[0..1]			250
{Or	Rate <Rate>	[1..1]	Rate		250
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		251
Or}	Amount <Amt>	[1..1]	Amount	C3	251
	FiscalStamp <FscIStmp>	[0..1]	±		251
	ApplicableRate <AplblRate>	[0..1]	±		251
	TaxCreditRate <TaxCdtRate>	[0..1]			252
{Or	Rate <Rate>	[1..1]	Rate		252
Or	Amount <Amt>	[1..1]	Amount	C3	252
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		252
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		252
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			253
{Or	Rate <Rate>	[1..1]	Rate		253
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		253
Or	Amount <Amt>	[1..1]	Amount	C3	253
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			254
	RateType <RateTp>	[1..1]			254
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255
	Rate <Rate>	[1..1]	Rate		255
	SecondLevelTax <ScndLvITax>	[0..*]			255
{Or	Rate <Rate>	[1..1]	Rate		256
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		256
Or	Amount <Amt>	[1..1]	Amount	C3	256
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			256
	RateType <RateTp>	[1..1]			256

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		257
Or}	Proprietary <Prtry>	[1..1]	±		257
	Rate <Rate>	[1..1]	Rate		257

3.4.11.26.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies> contains one of the following elements (see "RatioFormat23Choice" on page 1298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1298
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1299
Or}	AmountToAmount <AmtToAmt>	[1..1]			1299
	Amount1 <Amt1>	[1..1]	Amount	C1	1299
	Amount2 <Amt2>	[1..1]	Amount	C1	1299

3.4.11.26.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat23Choice" on page 1298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1298
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1299
Or}	AmountToAmount <AmtToAmt>	[1..1]			1299
	Amount1 <Amt1>	[1..1]	Amount	C1	1299
	Amount2 <Amt2>	[1..1]	Amount	C1	1299

3.4.11.26.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat24Choice" on page 1330 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1330
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1330
Or	AmountToAmount <AmtToAmt>	[1..1]			1331
	Amount1 <Amt1>	[1..1]	Amount	C1	1331
	Amount2 <Amt2>	[1..1]	Amount	C1	1331
Or	AmountToQuantity <AmtToQty>	[1..1]			1331
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1332
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1332
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1333

3.4.11.26.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		250
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		251
Or}	Amount <Amt>	[1..1]	Amount	C3	251

3.4.11.26.17.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.17.5.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.26.17.5.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.17.6 FiscalStamp <FscIStmp>*Presence:* [0..1]*Definition:* Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.26.17.7 ApplicableRate <ApIblRate>*Presence:* [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <ApIblRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.26.17.8 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following **RateFormat30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		252
Or	Amount <Amt>	[1..1]	Amount	C3	252
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		252

3.4.11.26.17.8.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.26.17.8.2 Amount <Amt>***Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.17.8.3 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.26.17.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.

FinancialTransactionTaxRate <FinTxTaxRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.26.17.10 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		253
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		253
Or	Amount <Amt>	[1..1]	Amount	C3	253
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			254
	RateType <RateTp>	[1..1]			254
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255
	Rate <Rate>	[1..1]	Rate		255

3.4.11.26.17.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.17.10.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.26.17.10.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.17.10.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			254
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255
	Rate <Rate>	[1..1]	Rate		255

3.4.11.26.17.10.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		254
Or}	Proprietary <Prtry>	[1..1]	±		255

3.4.11.26.17.10.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).

CodeName	Name	Definition
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

3.4.11.26.17.10.4.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.26.17.10.4.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**3.4.11.26.17.11 SecondLevelTax <ScndLvTax>***Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvTax>** contains one of the following **RateAndAmountFormat76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		256
Or	NotSpecifiedRate <NotSpofdRate>	[1..1]	CodeSet		256
Or	Amount <Amt>	[1..1]	Amount	C3	256
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			256
	RateType <RateTp>	[1..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		257
Or}	Proprietary <Prtry>	[1..1]	±		257
	Rate <Rate>	[1..1]	Rate		257

3.4.11.26.17.11.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.26.17.11.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.26.17.11.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.17.11.4 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			256
{Or	Code <Cd>	[1..1]	CodeSet		257
Or}	Proprietary <Prtry>	[1..1]	±		257
	Rate <Rate>	[1..1]	Rate		257

3.4.11.26.17.11.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		257
Or}	Proprietary <Prtry>	[1..1]	±		257

3.4.11.26.17.11.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

3.4.11.26.17.11.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.26.17.11.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.18 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C47 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice98** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			259
{Or	IndicativePrice <IndctvPric>	[1..1]			260
{Or	PercentagePrice <PctgPric>	[1..1]			260
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261
Or	AmountPrice <AmtPric>	[1..1]	±		261
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		261
Or}	MarketPrice <MktPric>	[1..1]			261
{Or	PercentagePrice <PctgPric>	[1..1]			262
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262
Or	AmountPrice <AmtPric>	[1..1]	±		262
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		263
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			263
{Or	PercentagePrice <PctgPric>	[1..1]			263
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		263
	PriceValue <PricVal>	[1..1]	Rate		264
Or	AmountPrice <AmtPric>	[1..1]	±		264
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		264
	CashValueForTax <CshValForTax>	[0..1]	±		264
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			265
{Or	PercentagePrice <PctgPric>	[1..1]			265
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		265
	PriceValue <PricVal>	[1..1]	Rate		266
Or	AmountPrice <AmtPric>	[1..1]	±		266
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		266
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		266
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			266
{Or	PercentagePrice <PctgPric>	[1..1]			267
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		267

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValue <PricVal>	[1..1]	Rate		268
Or	AmountPrice <AmtPric>	[1..1]	±		268
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		268
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			268
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		269
	PriceValue <PricVal>	[1..1]	Amount	C3	269
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	269
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			270
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		270
	PriceValue <PricVal>	[1..1]	Amount	C3	270
	Amount <Amt>	[1..1]	Amount	C3	271
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		271

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

3.4.11.26.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]			260
{Or	PercentagePrice <PctgPric>	[1..1]			260
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261
Or	AmountPrice <AmtPric>	[1..1]	±		261
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		261
Or}	MarketPrice <MktPric>	[1..1]			261
{Or	PercentagePrice <PctgPric>	[1..1]			262
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262
Or	AmountPrice <AmtPric>	[1..1]	±		262
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		263

3.4.11.26.18.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			260
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261
Or	AmountPrice <AmtPric>	[1..1]	±		261
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		261

3.4.11.26.18.1.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		261
	PriceValue <PricVal>	[1..1]	Rate		261

3.4.11.26.18.1.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.26.18.1.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.26.18.1.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.26.18.1.1.3 NotSpecifiedPrice <NotSpctdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.26.18.1.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			262
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262
Or	AmountPrice <AmtPric>	[1..1]	±		262
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		263

3.4.11.26.18.1.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		262
	PriceValue <PricVal>	[1..1]	Rate		262

3.4.11.26.18.1.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.26.18.1.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.18.1.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.26.18.1.2.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.26.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			263
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		263
	PriceValue <PricVal>	[1..1]	Rate		264
Or	AmountPrice <AmtPric>	[1..1]	±		264
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		264

3.4.11.26.18.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		263
	PriceValue <PricVal>	[1..1]	Rate		264

3.4.11.26.18.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.26.18.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.26.18.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.26.18.2.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.26.18.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat58Choice" on page 1373 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1374
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1374

3.4.11.26.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat93Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			265
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		265
	PriceValue <PricVal>	[1..1]	Rate		266
Or	AmountPrice <AmtPric>	[1..1]	±		266
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		266
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		266

3.4.11.26.18.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		265
	PriceValue <PricVal>	[1..1]	Rate		266

3.4.11.26.18.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.26.18.4.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.26.18.4.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.26.18.4.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.26.18.4.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**3.4.11.26.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>***Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat94Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			267
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		267
	PriceValue <PricVal>	[1..1]	Rate		268
Or	AmountPrice <AmtPric>	[1..1]	±		268
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		268
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			268
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		269
	PriceValue <PricVal>	[1..1]	Amount	C3	269
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	269
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			270
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		270
	PriceValue <PricVal>	[1..1]	Amount	C3	270
	Amount <Amt>	[1..1]	Amount	C3	271
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		271

3.4.11.26.18.5.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		267
	PriceValue <PricVal>	[1..1]	Rate		268

3.4.11.26.18.5.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.26.18.5.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.26.18.5.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.26.18.5.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType8Code" on page 1509

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

3.4.11.26.18.5.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		269
	PriceValue <PricVal>	[1..1]	Amount	C3	269
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	269

3.4.11.26.18.5.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

3.4.11.26.18.5.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.18.5.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C26 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.18.5.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		270
	PriceValue <PricVal>	[1..1]	Amount	C3	270
	Amount <Amt>	[1..1]	Amount	C3	271

3.4.11.26.18.5.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

3.4.11.26.18.5.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.18.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.18.5.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.11.26.19 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	271
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	272

3.4.11.26.19.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.26.19.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C42 "ExemptionTypeUsageRule", C58 "IncomeType2Rule", C60 "IncomeTypeUsageRule", C72 "IssuerOfferorTaxabilityIndicatorUsageRule", C48 "GenericCashPricePerProductGuideline"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption113** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		279
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		280
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			280
{Or	Code <Cd>	[1..1]	CodeSet		280
Or}	Proprietary <Prtry>	[1..1]	±		280
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		281
	IncomeType <IncmTp>	[0..1]	±		281
	OtherIncomeType <OthrIncmTp>	[0..*]	±		281
	ExemptionType <XmptnTp>	[0..*]	±		282
	PriceCalculationMethod <PricClctnMtd>	[0..1]			282
{Or	Code <Cd>	[1..1]	CodeSet		282
Or}	Proprietary <Prtry>	[1..1]	±		282
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	283
	CashAccountIdentification <CshAcctId>	[0..1]			283
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C54	283
Or	BlockChainCashWallet <BlickChainCshWllt>	[1..1]	±		284
Or}	Proprietary <Prtry>	[1..1]	Text		284
	AmountDetails <AmtDtls>	[0..1]			284
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	286
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	287
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	287
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	287
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	288
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	288
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	289
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	289
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	290
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	290
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	290
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	291

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	291
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C3, C19	292
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	292
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	292
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	293
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	293
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	294
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	294
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	294
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	295
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	295
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	296
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	296
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	296
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	297
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	297
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	298
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	298
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	298
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	299
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	299
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	300
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C3, C19	300
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	300
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	301
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	301
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	302
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	302
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	302
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	303

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	303
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C3, C19	304
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	304
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	304
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	305
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	305
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	306
	DateDetails <DtDtls>	[1..1]			306
	PaymentDate <PmtDt>	[1..1]	±		306
	ValueDate <ValDt>	[0..1]			307
{Or	Date <Dt>	[1..1]	Date		307
Or}	DateCode <DtCd>	[1..1]			307
{Or	Code <Cd>	[1..1]	CodeSet		307
Or}	Proprietary <Prtry>	[1..1]	±		308
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		308
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		308
	ForeignExchangeDetails <FXDtls>	[0..1]			309
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	309
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	309
	ExchangeRate <XchgRate>	[1..1]	Rate		309
	ResultingAmount <RsltgtAmt>	[0..1]	Amount	C3, C19	310
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C23, C51, C53, C86, C88, C113, C115, C117, C122, C124	310
	AdditionalTax <AddtlTax>	[0..1]			315
{Or	Rate <Rate>	[1..1]	Rate		315
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		315
Or}	Amount <Amt>	[1..1]	Amount	C3	315
	GrossDistributionRate <GrssDstrbtrRate>	[0..*]	±		315

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		316
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			317
{Or	Rate <Rate>	[1..1]	Rate		317
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		318
Or	Amount <Amt>	[1..1]	Amount	C3	318
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			318
	RateType <RateTp>	[1..1]			318
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	Rate <Rate>	[1..1]	Rate		319
	SecondLevelTax <ScndLvTax>	[0..*]			319
{Or	Rate <Rate>	[1..1]	Rate		320
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		320
Or	Amount <Amt>	[1..1]	Amount	C3	320
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			320
	RateType <RateTp>	[1..1]			321
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321
	Rate <Rate>	[1..1]	Rate		322
	ChargesFees <ChrgsFees>	[0..1]			322
{Or	Rate <Rate>	[1..1]	Rate		322
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		322
Or}	Amount <Amt>	[1..1]	Amount	C3	322
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			323
{Or	Rate <Rate>	[1..1]	Rate		323
Or	AmountToQuantity <AmtToQty>	[1..1]			323
	Amount <Amt>	[1..1]	Amount	C3	323
	Quantity <Qty>	[1..1]	Quantity		324
Or	Amount <Amt>	[1..1]	Amount	C3	324
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		324
	FiscalStamp <FscIStmp>	[0..1]	±		324

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			325
{Or	Rate <Rate>	[1..1]	Rate		325
Or	Amount <Amt>	[1..1]	Amount	C3	325
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		325
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		326
	ApplicableRate <AplblRate>	[0..1]	±		326
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			326
{Or	Rate <Rate>	[1..1]	Rate		327
Or	AmountToQuantity <AmtToQty>	[1..1]			327
	Amount <Amt>	[1..1]	Amount	C3	327
	Quantity <Qty>	[1..1]	Quantity		328
Or	Amount <Amt>	[1..1]	Amount	C3	328
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		328
	TaxCreditRate <TaxCdtRate>	[0..1]			328
{Or	Rate <Rate>	[1..1]	Rate		328
Or	Amount <Amt>	[1..1]	Amount	C3	329
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
	TaxOnIncome <TaxOnIncm>	[0..1]			329
{Or	Rate <Rate>	[1..1]	Rate		329
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
Or}	Amount <Amt>	[1..1]	Amount	C3	330
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		330
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		330
	EqualisationRate <EqulstnRate>	[0..1]	±		330
	DeemedRate <DmdRate>	[0..*]			331
{Or	Rate <Rate>	[1..1]	Rate		331
Or	Amount <Amt>	[1..1]	Amount	C3	332
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		332
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			332
	RateType <RateTp>	[1..1]			332
{Or	Code <Cd>	[1..1]	CodeSet		333

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		333
	Amount <Amt>	[1..1]	Amount	C3	333
	RateStatus <RateSts>	[0..1]		C104	334
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			334
	RateType <RateTp>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335
	Rate <Rate>	[1..1]	Rate		336
	PriceDetails <PricDtls>	[0..1]		C49	336
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			338
{Or	PercentagePrice <PctgPric>	[1..1]			338
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		338
	PriceValue <PricVal>	[1..1]	Rate		339
Or	AmountPrice <AmtPric>	[1..1]	±		339
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		339
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		339
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			339
{Or	PercentagePrice <PctgPric>	[1..1]			340
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		340
	PriceValue <PricVal>	[1..1]	Rate		341
Or	AmountPrice <AmtPric>	[1..1]	±		341
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		341
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			341
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		342
	PriceValue <PricVal>	[1..1]	Amount	C3	342
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	342
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			343
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		343

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValue <PricVal>	[1..1]	Amount	C3	343
	Amount <Amt>	[1..1]	Amount	C3	344
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		344
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			344
{Or	PercentagePrice <PctgPric>	[1..1]			344
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		345
	PriceValue <PricVal>	[1..1]	Rate		345
Or	AmountPrice <AmtPric>	[1..1]	±		345
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		345

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.27.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.27.2 ContractualPaymentIndicator <CtrctlPmtInd>*Presence:* [0..1]*Definition:* Specifies exceptions to contractual payment service.*Datatype:* "Payment2Code" on page 1506

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

3.4.11.27.3 NonEligibleProceedsIndicator <NonElgblPrclsInd>*Presence:* [0..1]*Definition:* Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.**NonEligibleProceedsIndicator <NonElgblPrclsInd>** contains one of the following **NonEligibleProceedsIndicator6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		280
Or}	Proprietary <Prtry>	[1..1]	±		280

3.4.11.27.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the non eligibility of the outturn resources.*Datatype:* "NonEligibleProceedsIndicator2Code" on page 1502

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

3.4.11.27.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd> contains one of the following elements (see "[IssuerOfferorTaxabilityIndicator1Choice](#)" on page 1329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

3.4.11.27.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.6 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.7 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.8 PriceCalculationMethod <PricClctnMtd>*Presence:* [0..1]*Definition:* Specifies the method applied for the calculation of the price.**PriceCalculationMethod <PricClctnMtd>** contains one of the following **PriceCalculationMethod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		282
Or}	Proprietary <Prtry>	[1..1]	±		282

3.4.11.27.8.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the method applied for the calculation of the price.*Datatype:* "[PriceCalculationMethod1Code](#)" on page 1508

CodeName	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
AVCL	AverageClosingPrice	Price of the asset at close of a given day.

3.4.11.27.8.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Proprietary identification to specify the method applied for the calculation of the price.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.9 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: [C18 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.27.10 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following **CashAccountIdentification15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C54	283
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		284
Or}	Proprietary <Prtry>	[1..1]	Text		284

3.4.11.27.10.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C54 "IBAN"](#)

Datatype: ["IBAN2007Identifier"](#) on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

3.4.11.27.10.2 BlockChainCashWallet <BlckChainCshWlIt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWlIt> contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

3.4.11.27.10.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1529

3.4.11.27.11 AmountDetails <AmtDtIs>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts76** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	286
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	287
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	287
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	287
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	288
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	288
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	289
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	289
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	290
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	290
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	290
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	291
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	291
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C3, C19	292
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	292
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	292
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	293
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	293
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	294
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	294
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	294
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	295
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	295
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	296
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	296
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	296
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	297
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	297
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	298

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	298
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	298
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	299
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	299
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	300
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C3, C19	300
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	300
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	301
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	301
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	302
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	302
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	302
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	303
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	303
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C3, C19	304
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	304
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	304
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	305
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	305
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	306

3.4.11.27.11.1 GrossAmount <GrssAmt>*Presence:* [0..1]*Definition:* Amount of money before any tax deductions have been made.*Impacted by:* C3 "ActiveCurrency", C19 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.7 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation. Used mainly in France.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.8 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.9 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.10 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.11 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.12 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.13 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.14 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.15 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.16 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.17 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.18 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.19 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.20 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.21 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.22 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.23 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.24 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.25 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.26 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.27 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.28 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.29 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.30 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.31 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.32 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.34 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.35 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.36 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.37 EqualisationAmount <EqulstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.38 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.39 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.40 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.41 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.42 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.43 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.44 ForeignIncomeAmount <FrgrnIncmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.45 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.46 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.47 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.48 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.49 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.12 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		306
	ValueDate <ValDt>	[0..1]			307
{Or	Date <Dt>	[1..1]	Date		307
Or}	DateCode <DtCd>	[1..1]			307
{Or	Code <Cd>	[1..1]	CodeSet		307
Or}	Proprietary <Prtry>	[1..1]	±		308
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		308
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		308

3.4.11.27.12.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.27.12.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following **DateFormat64Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		307
Or}	DateCode <DtCd>	[1..1]			307
{Or	Code <Cd>	[1..1]	CodeSet		307
Or}	Proprietary <Prtry>	[1..1]	±		308

3.4.11.27.12.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1520

3.4.11.27.12.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Date is expressed using a code.

DateCode <DtCd> contains one of the following **DateCode27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		307
Or}	Proprietary <Prtry>	[1..1]	±		308

3.4.11.27.12.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: "DateType1Code" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

3.4.11.27.12.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.12.3 ForeignExchangeRateFixingDate <FXRateFxdDt>*Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdDt>** contains one of the following elements (see "[DateFormat49Choice](#)" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

3.4.11.27.12.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]*Definition:* Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.**EarliestPaymentDate <EarlstPmtDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.11.27.13 ForeignExchangeDetails <FXDtIs>*Presence:* [0..1]*Definition:* Exchange rate between the amount and the resulting amount.**ForeignExchangeDetails <FXDtIs>** contains the following **ForeignExchangeTerms43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	309
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	309
	ExchangeRate <XchgRate>	[1..1]	Rate		309
	ResultingAmount <RsltAmt>	[0..1]	Amount	C3, C19	310

3.4.11.27.13.1 UnitCurrency <UnitCcy>*Presence:* [1..1]*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 1437**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.13.2 QuotedCurrency <QtdCcy>*Presence:* [1..1]*Definition:* Currency into which the base currency is converted, in a currency exchange.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 1437**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.13.3 ExchangeRate <XchgRate>*Presence:* [1..1]*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1525

3.4.11.27.13.4 ResultingAmount <RsltgAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C22 "DeemedRate1Rule", C23 "DeemedRate2Rule", C51 "GrossDistributionRate2Rule", C53 "GrossDistributionRate3Rule", C86 "NetDistributionRate1Rule", C88 "NetDistributionRate2Rule", C113 "SecondLevelTax1Rule", C115 "SecondLevelTax2Rule", C117 "SecondLevelTax3Rule", C122 "WithholdingTaxRate1Rule", C124 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate47** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]			315
{Or	Rate <Rate>	[1..1]	Rate		315
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		315
Or}	Amount <Amt>	[1..1]	Amount	C3	315
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		315
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		316
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			317
{Or	Rate <Rate>	[1..1]	Rate		317
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		318
Or	Amount <Amt>	[1..1]	Amount	C3	318
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			318
	RateType <RateTp>	[1..1]			318
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	Rate <Rate>	[1..1]	Rate		319
	SecondLevelTax <ScndLvITax>	[0..*]			319
{Or	Rate <Rate>	[1..1]	Rate		320
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		320
Or	Amount <Amt>	[1..1]	Amount	C3	320
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			320
	RateType <RateTp>	[1..1]			321
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321
	Rate <Rate>	[1..1]	Rate		322
	ChargesFees <ChrgsFees>	[0..1]			322
{Or	Rate <Rate>	[1..1]	Rate		322
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		322
Or}	Amount <Amt>	[1..1]	Amount	C3	322
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			323
{Or	Rate <Rate>	[1..1]	Rate		323

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountToQuantity <AmtToQty>	[1..1]			323
	Amount <Amt>	[1..1]	Amount	C3	323
	Quantity <Qty>	[1..1]	Quantity		324
Or	Amount <Amt>	[1..1]	Amount	C3	324
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		324
	FiscalStamp <FscIStmp>	[0..1]	±		324
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			325
{Or	Rate <Rate>	[1..1]	Rate		325
Or	Amount <Amt>	[1..1]	Amount	C3	325
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		325
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		326
	ApplicableRate <AplblRate>	[0..1]	±		326
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			326
{Or	Rate <Rate>	[1..1]	Rate		327
Or	AmountToQuantity <AmtToQty>	[1..1]			327
	Amount <Amt>	[1..1]	Amount	C3	327
	Quantity <Qty>	[1..1]	Quantity		328
Or	Amount <Amt>	[1..1]	Amount	C3	328
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		328
	TaxCreditRate <TaxCdtRate>	[0..1]			328
{Or	Rate <Rate>	[1..1]	Rate		328
Or	Amount <Amt>	[1..1]	Amount	C3	329
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
	TaxOnIncome <TaxOnIncm>	[0..1]			329
{Or	Rate <Rate>	[1..1]	Rate		329
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
Or}	Amount <Amt>	[1..1]	Amount	C3	330
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		330
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		330
	EqualisationRate <EqulstnRate>	[0..1]	±		330
	DeemedRate <DmdRate>	[0..*]			331

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		331
Or	Amount <Amt>	[1..1]	Amount	C3	332
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		332
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			332
	RateType <RateTp>	[1..1]			332
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		333
	Amount <Amt>	[1..1]	Amount	C3	333
	RateStatus <RateSts>	[0..1]		C104	334
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			334
	RateType <RateTp>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335
	Rate <Rate>	[1..1]	Rate		336

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

3.4.11.27.14.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		315
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		315
Or}	Amount <Amt>	[1..1]	Amount	C3	315

3.4.11.27.14.1.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.27.14.1.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.1.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.2 GrossDistributionRate <GrssDstrbtnRate>*Presence:* [0..*]*Definition:* Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1277
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1277
	Amount <Amt>	[1..1]	Amount	C1	1278
	RateStatus <RateSts>	[1..1]	CodeSet		1278
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1278
	RateType <RateTp>	[1..1]			1279
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280
	Amount <Amt>	[1..1]	Amount	C1	1280
	RateStatus <RateSts>	[0..1]		C52	1280
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1281

3.4.11.27.14.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat18Choice" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1343
Or	Amount <Amt>	[1..1]	Amount	C1	1343
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1343
	RateType <RateTp>	[1..1]			1344
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344
	Amount <Amt>	[1..1]	Amount	C1	1345
	RateStatus <RateSts>	[0..1]		C52	1345
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1346

3.4.11.27.14.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		317
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		318
Or	Amount <Amt>	[1..1]	Amount	C3	318
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			318
	RateType <RateTp>	[1..1]			318
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	Rate <Rate>	[1..1]	Rate		319

3.4.11.27.14.4.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.4.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.4.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.4.4 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			318
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319
	Rate <Rate>	[1..1]	Rate		319

3.4.11.27.14.4.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		319
Or}	Proprietary <Prtry>	[1..1]	±		319

3.4.11.27.14.4.4.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of withholding tax rate.*Datatype:* "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

3.4.11.27.14.4.4.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.14.4.4.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.27.14.5 SecondLevelTax <ScndLvTax>***Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following **RateAndAmountFormat76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		320
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		320
Or	Amount <Amt>	[1..1]	Amount	C3	320
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			320
	RateType <RateTp>	[1..1]			321
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321
	Rate <Rate>	[1..1]	Rate		322

3.4.11.27.14.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.5.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.5.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.5.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			321
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321
	Rate <Rate>	[1..1]	Rate		322

3.4.11.27.14.5.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		321
Or}	Proprietary <Prtry>	[1..1]	±		321

3.4.11.27.14.5.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

3.4.11.27.14.5.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.14.5.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

3.4.11.27.14.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		322
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		322
Or}	Amount <Amt>	[1..1]	Amount	C3	322

3.4.11.27.14.6.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

3.4.11.27.14.6.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.6.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following **SolicitationFeeRateFormat15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		323
Or	AmountToQuantity <AmtToQty>	[1..1]			323
	Amount <Amt>	[1..1]	Amount	C3	323
	Quantity <Qty>	[1..1]	Quantity		324
Or	Amount <Amt>	[1..1]	Amount	C3	324
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		324

3.4.11.27.14.7.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.7.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	323
	Quantity <Qty>	[1..1]	Quantity		324

3.4.11.27.14.7.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.7.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.11.27.14.7.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.7.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.27.14.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following **RateFormat30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		325
Or	Amount <Amt>	[1..1]	Amount	C3	325
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		325

3.4.11.27.14.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.9.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.9.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.10 NetDistributionRate <NetDstrbtnRate>*Presence:* [0..*]*Definition:* Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "[NetDividendRateFormat43Choice](#)" on page 1333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1333
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1334
	Amount <Amt>	[1..1]	Amount	C1	1334
	RateStatus <RateSts>	[1..1]	CodeSet		1334
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1334
	RateType <RateTp>	[1..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336
	Amount <Amt>	[1..1]	Amount	C1	1336
	RateStatus <RateSts>	[0..1]		C52	1336
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1337

3.4.11.27.14.11 ApplicableRate <AplblRate>*Presence:* [0..1]*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.27.14.12 SolicitationFeeRate <SlctnFeeRate>*Presence:* [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following **SolicitationFeeRateFormat15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		327
Or	AmountToQuantity <AmtToQty>	[1..1]			327
	Amount <Amt>	[1..1]	Amount	C3	327
	Quantity <Qty>	[1..1]	Quantity		328
Or	Amount <Amt>	[1..1]	Amount	C3	328
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		328

3.4.11.27.14.12.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.12.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	327
	Quantity <Qty>	[1..1]	Quantity		328

3.4.11.27.14.12.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.12.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.11.27.14.12.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.12.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following **RateFormat30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		328
Or	Amount <Amt>	[1..1]	Amount	C3	329
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329

3.4.11.27.14.13.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.13.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.13.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following **RateAndAmountFormat72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		329
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		329
Or}	Amount <Amt>	[1..1]	Amount	C3	330

3.4.11.27.14.14.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.14.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.14.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.27.14.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

3.4.11.27.14.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EquilstnRate> contains one of the following elements (see "RateAndAmountFormat48Choice" on page 1410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1411
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1411

3.4.11.27.14.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following **RateAndAmountFormat77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		331
Or	Amount <Amt>	[1..1]	Amount	C3	332
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		332
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			332
	RateType <RateTp>	[1..1]			332
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		333
	Amount <Amt>	[1..1]	Amount	C3	333
	RateStatus <RateSts>	[0..1]		C104	334
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			334
	RateType <RateTp>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335
	Rate <Rate>	[1..1]	Rate		336

3.4.11.27.14.18.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.14.18.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C3 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.18.3 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

3.4.11.27.14.18.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			332
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		333
	Amount <Amt>	[1..1]	Amount	C3	333
	RateStatus <RateSts>	[0..1]		C104	334
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334

3.4.11.27.14.18.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		333

3.4.11.27.14.18.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1487

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

3.4.11.27.14.18.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.14.18.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.14.18.4.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C104 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		334
Or}	Proprietary <Prtry>	[1..1]	±		334

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

3.4.11.27.14.18.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

3.4.11.27.14.18.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.14.18.5 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335
	Rate <Rate>	[1..1]	Rate		336

3.4.11.27.14.18.5.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		335

3.4.11.27.14.18.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1487

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

3.4.11.27.14.18.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

3.4.11.27.14.18.5.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

3.4.11.27.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: [C49 "GenericCashPricePerProductGuideline"](#)

PriceDetails <PricDtls> contains the following **PriceDetails43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			338
{Or	PercentagePrice <PctgPric>	[1..1]			338
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		338
	PriceValue <PricVal>	[1..1]	Rate		339
Or	AmountPrice <AmtPric>	[1..1]	±		339
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		339
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		339
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			339
{Or	PercentagePrice <PctgPric>	[1..1]			340
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		340
	PriceValue <PricVal>	[1..1]	Rate		341
Or	AmountPrice <AmtPric>	[1..1]	±		341
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		341
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			341
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		342
	PriceValue <PricVal>	[1..1]	Amount	C3	342
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	342
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			343
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		343
	PriceValue <PricVal>	[1..1]	Amount	C3	343
	Amount <Amt>	[1..1]	Amount	C3	344
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		344
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			344
{Or	PercentagePrice <PctgPric>	[1..1]			344
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		345
	PriceValue <PricVal>	[1..1]	Rate		345
Or	AmountPrice <AmtPric>	[1..1]	±		345
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		345

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

3.4.11.27.15.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat93Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			338
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		338
	PriceValue <PricVal>	[1..1]	Rate		339
Or	AmountPrice <AmtPric>	[1..1]	±		339
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		339
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		339

3.4.11.27.15.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		338
	PriceValue <PricVal>	[1..1]	Rate		339

3.4.11.27.15.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.27.15.1.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.27.15.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.27.15.1.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.27.15.1.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**3.4.11.27.15.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>***Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat94Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			340
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		340
	PriceValue <PricVal>	[1..1]	Rate		341
Or	AmountPrice <AmtPric>	[1..1]	±		341
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		341
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			341
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		342
	PriceValue <PricVal>	[1..1]	Amount	C3	342
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	342
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			343
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		343
	PriceValue <PricVal>	[1..1]	Amount	C3	343
	Amount <Amt>	[1..1]	Amount	C3	344
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		344

3.4.11.27.15.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		340
	PriceValue <PricVal>	[1..1]	Rate		341

3.4.11.27.15.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.27.15.2.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**3.4.11.27.15.2.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.27.15.2.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType8Code" on page 1509

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

3.4.11.27.15.2.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		342
	PriceValue <PricVal>	[1..1]	Amount	C3	342
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C26	342

3.4.11.27.15.2.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

3.4.11.27.15.2.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.15.2.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C26 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.27.15.2.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		343
	PriceValue <PricVal>	[1..1]	Amount	C3	343
	Amount <Amt>	[1..1]	Amount	C3	344

3.4.11.27.15.2.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

3.4.11.27.15.2.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.15.2.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C3 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.27.15.2.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

3.4.11.27.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat92Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			344
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		345
	PriceValue <PricVal>	[1..1]	Rate		345
Or	AmountPrice <AmtPric>	[1..1]	±		345
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		345

3.4.11.27.15.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		345
	PriceValue <PricVal>	[1..1]	Rate		345

3.4.11.27.15.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

3.4.11.27.15.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

3.4.11.27.15.3.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

3.4.11.27.15.3.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

3.4.11.28 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C6 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative64** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]			347
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		347
	NarrativeVersion <NrrtvVrsn>	[0..*]			347
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		348
	InformationConditions <InfConds>	[0..*]			348
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		348
	InformationToComplyWith <InfToCmplyWth>	[0..*]			348
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		349
	SecurityRestriction <SctyRstrctn>	[0..*]			349
	Language <Lang>	[1..1]	CodeSet		349
	AdditionalInformation <AddtlInf>	[1..*]	Text		349
	TaxationConditions <TaxtnConds>	[0..*]			349
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350
	Disclaimer <Dsclmr>	[0..*]			350
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			350
	Language <Lang>	[1..1]	CodeSet		351
	AdditionalInformation <AddtlInf>	[1..*]	Text		351

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.11.28.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		347

3.4.11.28.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.11.28.1.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.11.28.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		347
	AdditionalInformation <AddtlInf>	[1..1]	Text		348

3.4.11.28.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.11.28.2.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.11.28.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		348

3.4.11.28.3.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.11.28.3.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.11.28.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		348
	AdditionalInformation <AddtlInf>	[1..*]	Text		349

3.4.11.28.4.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.11.28.4.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.11.28.5 SecurityRestriction <SctyRstrctn>

Presence: [0..*]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following **UpdatedAdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		349
	AdditionalInformation <AddtlInf>	[1..*]	Text		349

3.4.11.28.5.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.11.28.5.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.11.28.6 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350

3.4.11.28.6.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1498**3.4.11.28.6.2 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**3.4.11.28.7 Disclaimer <Dsclmr>***Presence:* [0..*]*Definition:* Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.**Disclaimer <Dsclmr>** contains the following **UpdatedAdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[1..*]	Text		350

3.4.11.28.7.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1498**3.4.11.28.7.2 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**3.4.11.28.8 CertificationBreakdown <CertfctnBrkdwn>***Presence:* [0..*]*Definition:* Provides additional information about the type of certification/breakdown required.**CertificationBreakdown <CertfctnBrkdwn>** contains the following **UpdatedAdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		351
	AdditionalInformation <AddtlInf>	[1..*]	Text		351

3.4.11.28.8.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.11.28.8.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

3.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information on the event.

Impacted by: C7 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]		C8	353
	Language <Lang>	[1..1]	CodeSet		353
	AdditionalInformation <AddtlInf>	[1..*]	Text		353
	NarrativeVersion <NrrtvVrsn>	[0..*]		C8	354
	Language <Lang>	[1..1]	CodeSet		354
	AdditionalInformation <AddtlInf>	[1..*]	Text		354
	InformationConditions <InfConds>	[0..*]		C8	354
	Language <Lang>	[1..1]	CodeSet		355
	AdditionalInformation <AddtlInf>	[1..*]	Text		355
	InformationToComplyWith <InfToCmplyWth>	[0..*]		C8	355
	Language <Lang>	[1..1]	CodeSet		355
	AdditionalInformation <AddtlInf>	[1..*]	Text		355
	TaxationConditions <TaxtnConds>	[0..*]		C8	356
	Language <Lang>	[1..1]	CodeSet		356
	AdditionalInformation <AddtlInf>	[1..*]	Text		356
	Disclaimer <Dsclmr>	[0..*]		C8	356
	Language <Lang>	[1..1]	CodeSet		357
	AdditionalInformation <AddtlInf>	[1..*]	Text		357
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]		C8	357
	Language <Lang>	[1..1]	CodeSet		357
	AdditionalInformation <AddtlInf>	[1..*]	Text		357
	RegistrationDetails <RegnDtls>	[0..*]		C8	358
	Language <Lang>	[1..1]	CodeSet		358
	AdditionalInformation <AddtlInf>	[1..*]	Text		358
	BasketOrIndexInformation <BsktOrIdxInf>	[0..*]		C8	358
	Language <Lang>	[1..1]	CodeSet		359
	AdditionalInformation <AddtlInf>	[1..*]	Text		359
	CertificationBreakdown <CertfctnBrkdown>	[0..*]		C8	359
	Language <Lang>	[1..1]	CodeSet		359
	AdditionalInformation <AddtlInf>	[1..*]	Text		359
	URLAddress <URLAdr>	[0..*]			360

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		360
	URLAddress <URLAdr>	[1..1]	Text		360
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]		C8	360
	Language <Lang>	[1..1]	CodeSet		360
	AdditionalInformation <AddtlInf>	[1..*]	Text		361

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C8 "AdditionalInformationRule"

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		353
	AdditionalInformation <AddtlInf>	[1..*]	Text		353

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.1.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Impacted by: C8 "AdditionalInformationRule"

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		354
	AdditionalInformation <AddtlInf>	[1..*]	Text		354

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.2.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

Impacted by: C8 "AdditionalInformationRule"

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		355
	AdditionalInformation <AddtlInf>	[1..*]	Text		355

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.3.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.3.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

Impacted by: C8 "AdditionalInformationRule"

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		355
	AdditionalInformation <AddtlInf>	[1..*]	Text		355

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.4.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.4.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.5 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Impacted by: C8 "AdditionalInformationRule"

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		356
	AdditionalInformation <AddtlInf>	[1..*]	Text		356

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.5.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.5.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.6 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Impacted by: C8 "AdditionalInformationRule"

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		357
	AdditionalInformation <AddtlInf>	[1..*]	Text		357

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.6.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.6.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Impacted by: C8 "AdditionalInformationRule"

PartyContactNarrative <PtyCtctNrrtv> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		357
	AdditionalInformation <AddtlInf>	[1..*]	Text		357

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.7.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.7.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.8 RegistrationDetails <RegnDtIs>

Presence: [0..*]

Definition: Provides information required for the registration.

Impacted by: C8 "AdditionalInformationRule"

RegistrationDetails <RegnDtIs> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		358
	AdditionalInformation <AddtlInf>	[1..*]	Text		358

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.8.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.8.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

Impacted by: C8 "AdditionalInformationRule"

BasketOrIndexInformation <BsktOrIndxInf> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		359
	AdditionalInformation <AddtlInf>	[1..*]	Text		359

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.9.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.9.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Impacted by: C8 "AdditionalInformationRule"

CertificationBreakdown <CertfctnBrkdwn> contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		359
	AdditionalInformation <AddtlInf>	[1..*]	Text		359

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.10.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1498

3.4.12.10.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1533

3.4.12.11 URLAddress <URLAdr>*Presence:* [0..*]*Definition:* Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.**URLAddress <URLAdr>** contains the following **UpdatedURLInformation7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		360
	URLAddress <URLAdr>	[1..1]	Text		360

3.4.12.11.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1498**3.4.12.11.2 URLAddress <URLAdr>***Presence:* [1..1]*Definition:* Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.*Datatype:* "RestrictedFINZMax2048Text" on page 1532**3.4.12.12 ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>***Presence:* [0..*]*Definition:* Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.*Impacted by:* C8 "AdditionalInformationRule"**ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>** contains the following **UpdatedAdditionalInformation26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		360
	AdditionalInformation <AddtlInf>	[1..*]	Text		361

Constraints

- AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.12.1 Language <Lang>*Presence:* [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode"](#) on page 1498

3.4.12.12.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: ["RestrictedFINZMax8000Text"](#) on page 1533

3.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see ["PartyIdentification151Choice"](#) on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

3.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.16 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.17 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.18 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.19 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.20 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.21 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

3.4.22 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification151Choice" on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

3.4.23 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification151Choice" on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

3.4.24 TransferAgent <TrfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgt> contains one of the following elements (see ["PartyIdentification151Choice"](#) on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

3.4.25 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C119 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1"](#) on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.032.002.09** **CorporateActionEventProcessingStatusAdvice002V09**

4.1 **MessageDefinition Functionality**

Scope

An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.

The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate),

using the relevant elements in the business application header (BAH).

Outline

The CorporateActionEventProcessingStatusAdvice002V09 MessageDefinition is composed of 6 MessageBuildingBlocks:

- NotificationIdentification**
Identification of a previously sent notification document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- EventProcessingStatus**
Information about the status of the corporate action.
- AdditionalInformation**
Provides additional information.
- SupplementaryData**

Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnEvtPrcgStsAdv>	[1..1]			
	NotificationIdentification <NtfctnId>	[0..1]	±		369
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		369
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C3, C5	369
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		370
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		370
	ClassActionNumber <ClsActnNb>	[0..1]	Text		370
	EventType <EvtTp>	[1..1]		C4	370
{Or	Code <Cd>	[1..1]	CodeSet		371
Or}	Proprietary <Prtry>	[1..1]	±		377
	EventProcessingStatus <EvtPrcgSts>	[1..*]			377
{Or	Complete <Cmplt>	[1..1]	±		378
Or	Reconciled <Rcncl>	[1..1]	±		378
Or	Pending <Pdg>	[1..1]			378
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		379
Or}	Reason <Rsn>	[1..*]		C1	379
	ReasonCode <RsnCd>	[1..1]			379
{Or	Code <Cd>	[1..1]	CodeSet		380
Or}	Proprietary <Prtry>	[1..1]	±		380
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		380
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		380
	AdditionalInformation <AddtlInf>	[0..1]			381
	AdditionalText <AddtlTxt>	[0..*]	Text		381
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		381
	SupplementaryData <SplmtryData>	[0..*]	±	C7	381

4.3 Constraints

C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C4 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C5 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C6 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "DocumentIdentification17" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

4.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 1242 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1242
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1242
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1242
	DocumentNumber <DocNb>	[0..1]	±	C6	1243

4.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "EventTypeRule", C5 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation185** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		370
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		370
	ClassActionNumber <ClssActnNb>	[0..1]	Text		370
	EventType <EvtTp>	[1..1]		C4	370
{Or	Code <Cd>	[1..1]	CodeSet		371
Or}	Proprietary <Prtry>	[1..1]	±		377

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

4.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

4.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

4.4.3.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

4.4.3.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C4 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following **CorporateActionEventType114Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		371
Or}	Proprietary <Prtry>	[1..1]	±		377

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

4.4.3.4.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 1468

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.

CodeName	Name	Definition
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.

CodeName	Name	Definition
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example,

CodeName	Name	Definition
		bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.

CodeName	Name	Definition
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own

CodeName	Name	Definition
		securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which

CodeName	Name	Definition
		usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

4.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

4.4.4 EventProcessingStatus <EvtPrcgSts>

Presence: [1..*]

Definition: Information about the status of the corporate action.

EventProcessingStatus <EvtPrcgSts> contains one of the following **EventProcessingStatus8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Complete <Cmplt>	[1..1]	±		378
Or	Reconciled <Rcncl>	[1..1]	±		378
Or	Pending <Pdg>	[1..1]			378
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		379
Or}	Reason <Rsn>	[1..*]		C1	379
	ReasonCode <RsnCd>	[1..1]			379
{Or	Code <Cd>	[1..1]	CodeSet		380
Or}	Proprietary <Prtry>	[1..1]	±		380
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		380
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		380

4.4.4.1 Complete <Cmplt>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has been completed.

Complete <Cmplt> contains the following elements (see "NoSpecifiedReason1" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1426

4.4.4.2 Reconciled <Rcncl>

Presence: [1..1]

Definition: Corporate action event processing specifying that the funds paid have been reconciled with the funds received from the agent (meaning that there is no more risk of payment to be reversed).

Reconciled <Rcncl> contains the following elements (see "NoSpecifiedReason1" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1426

4.4.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has not been fully completed and is therefore pending.

Pending <Pdg> contains one of the following **PendingStatus75Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		379
Or}	Reason <Rsn>	[1..*]		C1	379
	ReasonCode <RsnCd>	[1..1]			379
{Or	Code <Cd>	[1..1]	CodeSet		380
Or}	Proprietary <Prtry>	[1..1]	±		380
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		380

4.4.4.3.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

4.4.4.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C1 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason30** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			379
{Or	Code <Cd>	[1..1]	CodeSet		380
Or}	Proprietary <Prtry>	[1..1]	±		380
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		380

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

4.4.4.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason71Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		380
Or}	Proprietary <Prtry>	[1..1]	±		380

4.4.4.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Datatype: "PendingReason29Code" on page 1508

CodeName	Name	Definition
NPAY	NoPayment	Payment has not been made by issuer.
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Instruction/event is pending because the reclaim is sent to the tax authorities.

4.4.4.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/event has a pending status.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

4.4.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1530

4.4.4.4 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to the event processing.

ProprietaryStatus <PrtrySts> contains the following elements (see ["ProprietaryStatusAndReason7"](#) on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1427
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1427

4.4.5 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		381
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		381

4.4.5.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: ["RestrictedFINXMax350Text"](#) on page 1532

4.4.5.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: ["RestrictedFINXMax350Text"](#) on page 1532

4.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C7 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1"](#) on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.033.002.13**

CorporateActionInstruction002V13

5.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.

This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstruction002V13 MessageDefinition is composed of 12 MessageBuildingBlocks:

A. **ChangeInstructionIndicator**

Indicates that the current instruction is replacing a previous one that was cancelled earlier.

B. **CancelledInstructionIdentification**

Identification of a previously sent cancelled instruction document.

C. **InstructionCancellationRequestIdentification**

Identification of a previously sent instruction cancellation request document.

D. **OtherDocumentIdentification**

Identification of other documents as well as the document number.

E. **EventsLinkage**

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

F. **CorporateActionGeneralInformation**

General information about the corporate action event.

G. **AccountDetails**

General information about the safekeeping account, owner and account balance.

H. BeneficialOwnerDetails

Information about the beneficial owner of the securities.

I. CorporateActionInstruction

Information about the corporate action instruction.

J. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

K. AdditionalInformation

Provides additional information.

L. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstr>	[1..1]		C3, C5, C7, C16, C22, C6	
	ChangeInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		394
	CancelledInstructionIdentification <CancInstrId>	[0..1]	±		394
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		394
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		394
	EventsLinkage <EvtsLkg>	[0..*]	±		395
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C13, C19	395
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		397
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		397
	EventType <EvtTp>	[1..1]		C14	397
{Or	Code <Cd>	[1..1]	CodeSet		398
Or}	Proprietary <Prtry>	[1..1]	±		404
	UnderlyingSecurity <UndrlygScty>	[0..1]		C24	404
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C10, C11, C17, C18, C23	405
	PlaceOfListing <PlcOfListg>	[0..1]	±		406
	DayCountBasis <DayCntBsis>	[0..1]	±		407
	ClassificationType <ClssfctnTp>	[0..1]	±		407
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	407
	NextCouponDate <NxtCpnDt>	[0..1]	Date		408
	ExpiryDate <XpryDt>	[0..1]	Date		408
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		408
	MaturityDate <MtrtyDt>	[0..1]	Date		408
	IssueDate <IsseDt>	[0..1]	Date		408
	NextCallableDate <NxtClblDt>	[0..1]	Date		408
	PutableDate <PutblDt>	[0..1]	Date		408
	DatedDate <DtdDt>	[0..1]	Date		408

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConversionDate <ConvDt>	[0..1]	Date		409
	PreviousFactor <PrvsFctr>	[0..1]	Rate		409
	NextFactor <NxtFctr>	[0..1]	Rate		409
	InterestRate <IntrstRate>	[0..1]	Rate		409
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		409
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C12	409
	ContractSize <CtrctSz>	[0..1]	±	C12	410
	AccountDetails <AcctDtls>	[1..1]		C25, C26, C27, C28	410
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		412
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		412
	AccountOwner <AcctOwnr>	[0..1]	±		412
	SafekeepingPlace <SfkpgPlc>	[0..1]			412
{Or	Identification <Id>	[1..1]	±		413
Or	Country <Ctry>	[1..1]	CodeSet	C8	413
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		413
Or	TypeAndIdentification <TpAndId>	[1..1]			414
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		414
	Identification <Id>	[1..1]	IdentifierSet	C4	414
Or}	Proprietary <Prtry>	[1..1]	±		414
	Balance <Bal>	[0..1]			415
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		415
	BlockedBalance <BlckdBal>	[0..1]	±		416
	BorrowedBalance <BrrwdBal>	[0..1]	±		416
	CollateralInBalance <CollInBal>	[0..1]	±		416
	CollateralOutBalance <CollOutBal>	[0..1]	±		417
	OnLoanBalance <OnLnBal>	[0..1]	±		417
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		417
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		418
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		418
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		418

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetPositionBalance <StrtPosBal>	[0..1]	±		419
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		419
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		419
	RegisteredBalance <RegdBal>	[0..1]	±		420
	BeneficialOwnerDetails <BnfclOwnrDtls>	[0..*]			420
	OwnerIdentification <OwnrId>	[1..1]	±		421
	LEIIdentification <LEIId>	[0..1]	IdentifierSet		421
	AlternateIdentification <AltrnId>	[0..*]	±		421
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	422
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	422
	OwnedSecuritiesQuantity <OwndSctiesQty>	[1..1]	±	C12	422
	CertificationType <CertfctnTp>	[0..*]	±		423
	WithholdingTaxRate <WhldgTaxRate>	[0..1]			423
{Or	Rate <Rate>	[1..1]	Rate		423
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		424
Or}	Amount <Amt>	[1..1]	Amount	C1	424
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	Text		424
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C20, C21	424
	OptionNumber <OptnNb>	[1..1]	±		428
	OptionType <OptnTp>	[1..1]	±		428
	OptionFeatures <OptnFeatrs>	[0..1]	±		428
	FractionDisposition <FrctnDspstn>	[0..1]	±		429
	ChangeType <ChngTp>	[0..*]	±		429
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		429
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		429
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	429
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	430
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	430
	SecurityIdentification <SctyId>	[0..1]	±	C10, C11, C17, C18, C23	430

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>	[1..1]			431
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			432
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	432
	InstructedQuantity <InstdQty>	[1..1]	±		433
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	433
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	433
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		434
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			434
	ProposedRate <PropsdRate>	[0..1]	Rate		435
	OversubscriptionRate <OvrscptRate>	[0..1]			435
{Or	Rate <Rate>	[1..1]	Rate		436
Or}	Amount <Amt>	[1..1]	Amount	C1	436
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]			436
{Or	Rate <Rate>	[1..1]	Rate		437
Or	Amount <Amt>	[1..1]	Amount	C1	437
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			437
	RateType <RateTp>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	Rate <Rate>	[1..1]	Rate		438
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]			438
{Or	Rate <Rate>	[1..1]	Rate		439
Or	Amount <Amt>	[1..1]	Amount	C1	439
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			439
	RateType <RateTp>	[1..1]			440
{Or	Code <Cd>	[1..1]	CodeSet		440
Or}	Proprietary <Prtry>	[1..1]	±		440
	Rate <Rate>	[1..1]	Rate		441
	PriceDetails <PricDtls>	[0..1]		C15	441
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			443
{Or	IndicativePrice <IndctvPric>	[1..1]			443

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			444
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		444
	PriceValue <PricVal>	[1..1]	Rate		444
Or}	AmountPrice <AmtPric>	[1..1]	±		444
Or}	MarketPrice <MktPric>	[1..1]			445
{Or	PercentagePrice <PctgPric>	[1..1]			445
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		445
	PriceValue <PricVal>	[1..1]	Rate		446
Or}	AmountPrice <AmtPric>	[1..1]	±		446
	IssuePrice <IssePric>	[0..1]			446
{Or	PercentagePrice <PctgPric>	[1..1]			446
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		446
	PriceValue <PricVal>	[1..1]	Rate		447
Or}	AmountPrice <AmtPric>	[1..1]	±		447
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			447
{Or	PercentagePrice <PctgPric>	[1..1]			448
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		448
	PriceValue <PricVal>	[1..1]	Rate		449
Or	AmountPrice <AmtPric>	[1..1]	±		449
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		449
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			449
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		450
	PriceValue <PricVal>	[1..1]	Amount	C1	450
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C12	450
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			451
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		451
	PriceValue <PricVal>	[1..1]	Amount	C1	451
	Amount <Amt>	[1..1]	Amount	C1	452
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		452
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			452
{Or	PercentagePrice <PctgPric>	[1..1]			452

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		453
	PriceValue <PricVal>	[1..1]	Rate		453
Or}	AmountPrice <AmtPric>	[1..1]	±		453
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		453
	AdditionalInformation <AddtlInf>	[0..1]			454
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		454
	DeliveryDetails <DlvryDtls>	[0..*]	Text		454
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		454
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		454
	ProtectInstruction <PrctctInstr>	[0..1]			454
	TransactionType <TxTp>	[1..1]	CodeSet		455
	TransactionIdentification <TxId>	[0..1]	Text		455
	ProtectSafekeepingAccount <PrctctSfkpgAcct>	[0..1]	Text		455
	ProtectDate <PrctctDt>	[0..1]	Date		455
	AdditionalInformation <AddtlInf>	[0..1]			455
	RegistrationDetails <RegnDtls>	[0..*]	Text		456
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		456
	CertificationBreakdown <CertfctnBrkdw>	[0..*]	Text		456
	SupplementaryData <SplmtryData>	[0..*]	±	C30	456

5.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

Elements in AdditionalInformation and CorporateActionInstruction/AdditionalInformation must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

This constraint is defined at the MessageDefinition level.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C6 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C7 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message is unsolicited (that is, this instruction has not been preceded by an CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C11 DescriptionUsageRule

Description must be used alone as the last resort.

C12 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C13 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C14 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 GenericCashPricePerProductGuideline

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C16 InstructionCancellationRequestIdentificationRule

If ChangeInstructionIndicator is 'true' or '1' (Yes), then InstructionCancellationRequestIdentification must be present.

This constraint is defined at the MessageDefinition level.

C17 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C18 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C19 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C20 OptionNumber1Rule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same

corporate action option code. Therefore, the OptionNumber value must be different for each option.

C21 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C22 OptionTypeRule

If CorporateActionInstruction/OptionType/Code value is TAXI (Tax Instruction), then at least one occurrence of BeneficialOwnerDetails must be present.

This constraint is defined at the MessageDefinition level.

C23 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C24 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C25 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C26 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C27 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C28 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C29 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C31 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 ChangeInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that the current instruction is replacing a previous one that was cancelled earlier.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.2 CancelledInstructionIdentification <CancInstrId>

Presence: [0..1]

Definition: Identification of a previously sent cancelled instruction document.

CancelledInstructionIdentification <CancInstrId> contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

5.4.3 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a previously sent instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

5.4.4 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1243
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244
	DocumentNumber <DocNb>	[0..1]	±	C6	1244
	LinkageType <LkgTp>	[0..1]	±	C31	1244

5.4.5 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 1275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			1276
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276
	LinkageType <LkgTp>	[0..1]	±	C31	1276

5.4.6 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C13 "EventTypeRule", C19 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation189 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		397
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		397
	EventType <EvtTp>	[1..1]		C14	397
{Or	Code <Cd>	[1..1]	CodeSet		398
Or}	Proprietary <Prtry>	[1..1]	±		404
	UnderlyingSecurity <UndrlygScty>	[0..1]		C24	404
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C10, C11, C17, C18, C23	405
	PlaceOfListing <PlcOfListg>	[0..1]	±		406
	DayCountBasis <DayCntBsis>	[0..1]	±		407
	ClassificationType <ClssfctnTp>	[0..1]	±		407
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	407
	NextCouponDate <NxtCpnDt>	[0..1]	Date		408
	ExpiryDate <XpryDt>	[0..1]	Date		408
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		408
	MaturityDate <MtrtyDt>	[0..1]	Date		408
	IssueDate <IssDt>	[0..1]	Date		408
	NextCallableDate <NxtCllblDt>	[0..1]	Date		408
	PutableDate <PutblDt>	[0..1]	Date		408
	DatedDate <DtdDt>	[0..1]	Date		408
	ConversionDate <ConvsDt>	[0..1]	Date		409
	PreviousFactor <PrvsFctr>	[0..1]	Rate		409
	NextFactor <NxtFctr>	[0..1]	Rate		409
	InterestRate <IntrstRate>	[0..1]	Rate		409
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		409
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C12	409
	ContractSize <CtrctSz>	[0..1]	±	C12	410

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

5.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

5.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

5.4.6.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C14 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following **CorporateActionEventType119Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		398
Or}	Proprietary <Prtry>	[1..1]	±		404

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

5.4.6.3.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 1468

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often

CodeName	Name	Definition
		reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial

CodeName	Name	Definition
		obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.

CodeName	Name	Definition
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or

CodeName	Name	Definition
		assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change

CodeName	Name	Definition
		in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.

CodeName	Name	Definition
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

5.4.6.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47"](#) on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

5.4.6.4 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Security concerned by the corporate action.

Impacted by: [C24 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes133** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C10, C11, C17, C18, C23	405
	PlaceOfListing <PlcOfListg>	[0..1]	±		406
	DayCountBasis <DayCntBsis>	[0..1]	±		407
	ClassificationType <ClssfctnTp>	[0..1]	±		407
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	407
	NextCouponDate <NxtCpnDt>	[0..1]	Date		408
	ExpiryDate <XpryDt>	[0..1]	Date		408
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		408
	MaturityDate <MtrtyDt>	[0..1]	Date		408
	IssueDate <IssDt>	[0..1]	Date		408
	NextCallableDate <NxtCllblDt>	[0..1]	Date		408
	PutableDate <PutblDt>	[0..1]	Date		408
	DatedDate <DtdDt>	[0..1]	Date		408
	ConversionDate <ConvstDt>	[0..1]	Date		409
	PreviousFactor <PrvsFctr>	[0..1]	Rate		409
	NextFactor <NxtFctr>	[0..1]	Rate		409
	InterestRate <IntrstRate>	[0..1]	Rate		409
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		409
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C12	409
	ContractSize <CtrctSz>	[0..1]	±	C12	410

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

5.4.6.4.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of a financial instrument.

Impacted by: C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrlId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

5.4.6.4.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "[MarketIdentification4Choice](#)" on page 1268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

5.4.6.4.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "[InterestComputationMethodFormat5Choice](#)" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1322
Or}	Proprietary <Prtry>	[1..1]	±		1326

5.4.6.4.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "[ClassificationType33Choice](#)" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1272
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1273

5.4.6.4.5 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: C2 "[ActiveOrHistoricCurrency](#)"

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 1438

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

5.4.6.4.6 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 1520

5.4.6.4.7 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 1520

5.4.6.4.8 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 1520

5.4.6.4.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1520

5.4.6.4.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1520

5.4.6.4.11 NextCallableDate <NxtClblDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1520

5.4.6.4.12 PutableDate <PutblDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1520

5.4.6.4.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1520

5.4.6.4.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1520

5.4.6.4.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1525

5.4.6.4.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1525

5.4.6.4.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

Datatype: "Percentage14Rate" on page 1525

5.4.6.4.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

Datatype: "Percentage14Rate" on page 1525

5.4.6.4.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: C12 "DigitalTokenUnitUsageRule"

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.6.4.20 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C12 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C25 "SafekeepingAccountOrBlockChainAddress1Rule", C26 "SafekeepingAccountOrBlockChainAddress2Rule", C27 "SafekeepingAccountOrBlockChainAddress3Rule", C28 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		412
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		412
	AccountOwner <AcctOwnr>	[0..1]	±		412
	SafekeepingPlace <SfkpgPlc>	[0..1]			412
{Or	Identification <Id>	[1..1]	±		413
Or	Country <Ctry>	[1..1]	CodeSet	C8	413
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		413
Or	TypeAndIdentification <TpAndId>	[1..1]			414
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		414
	Identification <Id>	[1..1]	IdentifierSet	C4	414
Or}	Proprietary <Prtry>	[1..1]	±		414
	Balance <Bal>	[0..1]			415
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		415
	BlockedBalance <BlckdBal>	[0..1]	±		416
	BorrowedBalance <BrrwdBal>	[0..1]	±		416
	CollateralInBalance <CollInBal>	[0..1]	±		416
	CollateralOutBalance <CollOutBal>	[0..1]	±		417
	OnLoanBalance <OnLnBal>	[0..1]	±		417
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		417
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		418
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		418
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		418
	StreetPositionBalance <StrtPosBal>	[0..1]	±		419
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		419
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		419
	RegisteredBalance <RegdBal>	[0..1]	±		420

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

5.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

5.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

5.4.7.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

5.4.7.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		413
Or	Country <Ctry>	[1..1]	CodeSet	C8	413
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		413
Or	TypeAndIdentification <TpAndId>	[1..1]			414
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		414
	Identification <Id>	[1..1]	IdentifierSet	C4	414
Or}	Proprietary <Prtry>	[1..1]	±		414

5.4.7.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText9"](#) on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

5.4.7.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C8 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.7.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

5.4.7.4.4 TypeAndIdentification <TpAndId>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a type and identification.**TypeAndIdentification <TpAndId>** contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		414
	Identification <Id>	[1..1]	IdentifierSet	C4	414

5.4.7.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

5.4.7.4.4.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C4 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1521**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.7.4.5 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

5.4.7.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalanceDetails44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		415
	BlockedBalance <BlckdBal>	[0..1]	±		416
	BorrowedBalance <BrrwdBal>	[0..1]	±		416
	CollateralInBalance <CollInBal>	[0..1]	±		416
	CollateralOutBalance <CollOutBal>	[0..1]	±		417
	OnLoanBalance <OnLnBal>	[0..1]	±		417
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		417
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		418
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		418
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		418
	StreetPositionBalance <StrtPosBal>	[0..1]	±		419
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		419
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		419
	RegisteredBalance <RegdBal>	[0..1]	±		420

5.4.7.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity80Choice](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		1386
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1386
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1386
	Quantity <Qty>	[1..1]	Quantity		1387
	QuantityType <QtyTp>	[1..1]	Text		1387
	Issuer </ssr>	[1..1]	Text		1387
	SchemeName <SchmeNm>	[0..1]	Text		1387

5.4.7.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDivryBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.13 InTransshipmentBalance <InTrnsShpmtBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.7.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

5.4.8 BeneficialOwnerDetails <BnfcIOwnrDtls>

Presence: [0..*]

Definition: Information about the beneficial owner of the securities.

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **PartyIdentification317** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[1..1]	±		421
	LEIIdentification <LEIld>	[0..1]	IdentifierSet		421
	AlternatIdentification <Altrnld>	[0..*]	±		421
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	422
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	422
	OwnedSecuritiesQuantity <OwndScitiesQty>	[1..1]	±	C12	422
	CertificationType <CertfctnTp>	[0..*]	±		423
	WithholdingTaxRate <WhldgTaxRate>	[0..1]			423
{Or	Rate <Rate>	[1..1]	Rate		423
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		424
Or}	Amount <Amt>	[1..1]	Amount	C1	424
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		424

5.4.8.1 OwnerIdentification <Ownrld>

Presence: [1..1]

Definition: Party that is the beneficial owner of the specified quantity of securities.

OwnerIdentification <Ownrld> contains one of the following elements (see "PartyIdentification259Choice" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1362
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		1362
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1362
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		1363

5.4.8.2 LEIIdentification <LEIld>

Presence: [0..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 1523

5.4.8.3 AlternatIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification9](#)" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

5.4.8.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a person's permanent home).

Impacted by: [C8 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security certifies, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: [C8 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.6 OwnedSecuritiesQuantity <OwndSctiesQty>

Presence: [1..1]

Definition: Quantity of securities belonging to the beneficial owner specified.

Impacted by: [C12 "DigitalTokenUnitUsageRule"](#)

OwnedSecuritiesQuantity <OwndScitiesQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.8.7 CertificationType <CertfctnTp>

Presence: [0..*]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following elements (see "BeneficiaryCertificationType11Choice" on page 1319 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1320

5.4.8.8 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..1]

Definition: Requested percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat63Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		423
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		424
Or}	Amount <Amt>	[1..1]	Amount	C1	424

5.4.8.8.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

5.4.8.8.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

5.4.8.8.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.8.9 CertificationBreakdown <CertfctnBrkdwn>*Presence:* [0..*]*Definition:* Provides additional information about the type of certification/breakdown required.*Datatype:* "RestrictedFINXMax350Text" on page 1532**5.4.9 CorporateActionInstruction <CorpActnInstr>***Presence:* [1..1]*Definition:* Information about the corporate action instruction.*Impacted by:* C20 "OptionNumber1Rule", C21 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption243** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		428
	OptionType <OptnTp>	[1..1]	±		428
	OptionFeatures <OptnFeatrs>	[0..1]	±		428
	FractionDisposition <FrctnDspstn>	[0..1]	±		429
	ChangeType <ChngTp>	[0..*]	±		429
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		429
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		429
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	429
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	430
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	430
	SecurityIdentification <SctyId>	[0..1]	±	C10, C11, C17, C18, C23	430
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>	[1..1]			431
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			432
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	432
	InstructedQuantity <InstdQty>	[1..1]	±		433
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	433
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	433
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		434
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			434
	ProposedRate <PropsdRate>	[0..1]	Rate		435
	OversubscriptionRate <OvrscptRate>	[0..1]			435
{Or	Rate <Rate>	[1..1]	Rate		436
Or}	Amount <Amt>	[1..1]	Amount	C1	436
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]			436
{Or	Rate <Rate>	[1..1]	Rate		437
Or	Amount <Amt>	[1..1]	Amount	C1	437
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			437

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	Rate <Rate>	[1..1]	Rate		438
	RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>	[0..*]			438
{Or	Rate <Rate>	[1..1]	Rate		439
Or	Amount <Amt>	[1..1]	Amount	C1	439
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			439
	RateType <RateTp>	[1..1]			440
{Or	Code <Cd>	[1..1]	CodeSet		440
Or}	Proprietary <Prtry>	[1..1]	±		440
	Rate <Rate>	[1..1]	Rate		441
	PriceDetails <PricDtls>	[0..1]		C15	441
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			443
{Or	IndicativePrice <IndctvPric>	[1..1]			443
{Or	PercentagePrice <PctgPric>	[1..1]			444
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		444
	PriceValue <PricVal>	[1..1]	Rate		444
Or}	AmountPrice <AmtPric>	[1..1]	±		444
Or}	MarketPrice <MktPric>	[1..1]			445
{Or	PercentagePrice <PctgPric>	[1..1]			445
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		445
	PriceValue <PricVal>	[1..1]	Rate		446
Or}	AmountPrice <AmtPric>	[1..1]	±		446
	IssuePrice <IssePric>	[0..1]			446
{Or	PercentagePrice <PctgPric>	[1..1]			446
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		446
	PriceValue <PricVal>	[1..1]	Rate		447
Or}	AmountPrice <AmtPric>	[1..1]	±		447
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			447
{Or	PercentagePrice <PctgPric>	[1..1]			448

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		448
	PriceValue <PricVal>	[1..1]	Rate		449
Or	AmountPrice <AmtPric>	[1..1]	±		449
Or	NotSpecifiedPrice <NotSpfcdPric>	[1..1]	CodeSet		449
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			449
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		450
	PriceValue <PricVal>	[1..1]	Amount	C1	450
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C12	450
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			451
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		451
	PriceValue <PricVal>	[1..1]	Amount	C1	451
	Amount <Amt>	[1..1]	Amount	C1	452
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		452
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			452
{Or	PercentagePrice <PctgPric>	[1..1]			452
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		453
	PriceValue <PricVal>	[1..1]	Rate		453
Or}	AmountPrice <AmtPric>	[1..1]	±		453
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		453
	AdditionalInformation <AddtlInf>	[0..1]			454
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		454
	DeliveryDetails <DlvryDtls>	[0..*]	Text		454
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		454
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		454

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

5.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

5.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption43Choice](#)" on page 1285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1286
Or}	Proprietary <Prtry>	[1..1]	±		1287

5.4.9.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat27Choice](#)" on page 1303 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1304
Or}	Proprietary <Prtry>	[1..1]	±		1304

5.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractional amount/quantities are treated.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType29Choice](#)" on page 1271 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1271
Or}	Proprietary <Prtry>	[1..1]	±		1271

5.4.9.5 ChangeType <ChngTp>

Presence: [0..*]

Definition: Type of changes affecting the security form.

ChangeType <ChngTp> contains one of the following elements (see "[CorporateActionChangeTypeFormat7Choice](#)" on page 1328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329

5.4.9.6 EligibleForCollateralIndicator <ElgblForCollInd>

Presence: [0..1]

Definition: Indicates that the corporate action instruction is to be processed using the Available-for-Collateral pool.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.7 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.8 CurrencyToBuy <CcyToBuy>

Presence: [0..1]

Definition: Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.9 CurrencyToSell <CcyToSell>

Presence: [0..1]

Definition: Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.11 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <Othrld>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

5.4.9.12 SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt> contains one of the following **SecuritiesQuantityOrAmount7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			432
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	432
	InstructedQuantity <InstdQty>	[1..1]	±		433
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	433
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	433

5.4.9.12.1 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption88** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	432
	InstructedQuantity <InstdQty>	[1..1]	±		433
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	433

5.4.9.12.1.1 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Minimum quantity of securities to be accepted (used in the framework of conditional privilege on election). In case of proration, if this minimum quantity is not reached then the instruction is void.

Impacted by: C12 "DigitalTokenUnitUsageRule"

ConditionalQuantity <CondlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.12.1.2 InstructedQuantity <InstQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstQty> contains one of the following elements (see ["Quantity55Choice"](#) on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1384
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1384
Or}	Quantity <Qty>	[1..1]	±	C12	1384

5.4.9.12.1.3 AdditionalRoundUpQuantity <AddtlRndUpQty>

Presence: [0..1]

Definition: Quantity of additional shares requested due to the difference of "round-up against payment" practice between the account servicer and the account holder (for instance for French dividend option).

Impacted by: C12 "DigitalTokenUnitUsageRule"

AdditionalRoundUpQuantity <AddtlRndUpQty> contains one of the following elements (see ["FinancialInstrumentQuantity36Choice"](#) on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.12.2 InstructedAmount <InstdAmt>

Presence: [1..1]

Definition: Cash amount to be instructed.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

5.4.9.13 ExecutionRequestedDateTime <ExctnReqdDtTm>

Presence: [0..1]

Definition: Date/time at which the instructing party requests the instruction to be executed.

ExecutionRequestedDateTime <ExctnReqdDtTm> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

5.4.9.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate130** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProposedRate <PropsdRate>	[0..1]	Rate		435
	OversubscriptionRate <OvrsbcptRate>	[0..1]			435
{Or	Rate <Rate>	[1..1]	Rate		436
Or}	Amount <Amt>	[1..1]	Amount	C1	436
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]			436
{Or	Rate <Rate>	[1..1]	Rate		437
Or	Amount <Amt>	[1..1]	Amount	C1	437
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			437
	RateType <RateTp>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	Rate <Rate>	[1..1]	Rate		438
	RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>	[0..*]			438
{Or	Rate <Rate>	[1..1]	Rate		439
Or	Amount <Amt>	[1..1]	Amount	C1	439
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			439
	RateType <RateTp>	[1..1]			440
{Or	Code <Cd>	[1..1]	CodeSet		440
Or}	Proprietary <Prtry>	[1..1]	±		440
	Rate <Rate>	[1..1]	Rate		441

5.4.9.14.1 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "Percentage14Rate" on page 1525

5.4.9.14.2 OversubscriptionRate <OvrsbcptRate>

Presence: [0..1]

Definition: Rate of allowed over-subscription.

OversubscriptionRate <OvrsbcptRate> contains one of the following **RateAndAmountFormat64Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		436
Or}	Amount <Amt>	[1..1]	Amount	C1	436

5.4.9.14.2.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

5.4.9.14.2.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.14.3 RequestedWithholdingTaxRate <ReqdWhldgTaxRate>

Presence: [0..*]

Definition: Requested tax rate that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

RequestedWithholdingTaxRate <ReqdWhldgTaxRate> contains one of the following **RateAndAmountFormat65Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		437
Or	Amount <Amt>	[1..1]	Amount	C1	437
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			437
	RateType <RateTp>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	Rate <Rate>	[1..1]	Rate		438

5.4.9.14.3.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**5.4.9.14.3.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.14.3.3 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			437
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438
	Rate <Rate>	[1..1]	Rate		438

5.4.9.14.3.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		437
Or}	Proprietary <Prtry>	[1..1]	±		438

5.4.9.14.3.3.1.1 Code <Cd>*Presence:* [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

5.4.9.14.3.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

5.4.9.14.3.3.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

5.4.9.14.4 RequestedSecondLevelTaxRate <ReqdScndLv1TaxRate>

Presence: [0..*]

Definition: Requested rate at which the income will be withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible.

RequestedSecondLevelTaxRate <ReqdScndLvITaxRate> contains one of the following **RateAndAmountFormat65Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		439
Or	Amount <Amt>	[1..1]	Amount	C1	439
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			439
	RateType <RateTp>	[1..1]			440
{Or	Code <Cd>	[1..1]	CodeSet		440
Or}	Proprietary <Prtry>	[1..1]	±		440
	Rate <Rate>	[1..1]	Rate		441

5.4.9.14.4.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

5.4.9.14.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.14.4.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			440
{Or	Code <Cd>	[1..1]	CodeSet		440
Or}	Proprietary <Prtry>	[1..1]	±		440
	Rate <Rate>	[1..1]	Rate		441

5.4.9.14.4.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		440
Or}	Proprietary <Prtry>	[1..1]	±		440

5.4.9.14.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

5.4.9.14.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

5.4.9.14.4.3.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

5.4.9.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: [C15 "GenericCashPricePerProductGuideline"](#)

PriceDetails <PricDtls> contains the following **CorporateActionPrice91** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			443
{Or	IndicativePrice <IndctvPric>	[1..1]			443
{Or	PercentagePrice <PctgPric>	[1..1]			444
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		444
	PriceValue <PricVal>	[1..1]	Rate		444
Or}	AmountPrice <AmtPric>	[1..1]	±		444
Or}	MarketPrice <MktPric>	[1..1]			445
{Or	PercentagePrice <PctgPric>	[1..1]			445
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		445
	PriceValue <PricVal>	[1..1]	Rate		446
Or}	AmountPrice <AmtPric>	[1..1]	±		446
	IssuePrice <IssePric>	[0..1]			446
{Or	PercentagePrice <PctgPric>	[1..1]			446
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		446
	PriceValue <PricVal>	[1..1]	Rate		447
Or}	AmountPrice <AmtPric>	[1..1]	±		447
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			447
{Or	PercentagePrice <PctgPric>	[1..1]			448
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		448
	PriceValue <PricVal>	[1..1]	Rate		449
Or	AmountPrice <AmtPric>	[1..1]	±		449
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		449
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			449
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		450
	PriceValue <PricVal>	[1..1]	Amount	C1	450
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C12	450
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			451
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		451
	PriceValue <PricVal>	[1..1]	Amount	C1	451
	Amount <Amt>	[1..1]	Amount	C1	452

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		452
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			452
{Or	PercentagePrice <PctgPric>	[1..1]			452
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		453
	PriceValue <PricVal>	[1..1]	Rate		453
Or}	AmountPrice <AmtPric>	[1..1]	±		453

Constraints

- **GenericCashPricePerProductGuideline**

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

5.4.9.15.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]			443
{Or	PercentagePrice <PctgPric>	[1..1]			444
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		444
	PriceValue <PricVal>	[1..1]	Rate		444
Or}	AmountPrice <AmtPric>	[1..1]	±		444
Or}	MarketPrice <MktPric>	[1..1]			445
{Or	PercentagePrice <PctgPric>	[1..1]			445
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		445
	PriceValue <PricVal>	[1..1]	Rate		446
Or}	AmountPrice <AmtPric>	[1..1]	±		446

5.4.9.15.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following **PriceFormat83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			444
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		444
	PriceValue <PricVal>	[1..1]	Rate		444
Or}	AmountPrice <AmtPric>	[1..1]	±		444

5.4.9.15.1.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		444
	PriceValue <PricVal>	[1..1]	Rate		444

5.4.9.15.1.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

5.4.9.15.1.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

5.4.9.15.1.1.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

5.4.9.15.1.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following **PriceFormat83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			445
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		445
	PriceValue <PricVal>	[1..1]	Rate		446
Or}	AmountPrice <AmtPric>	[1..1]	±		446

5.4.9.15.1.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		445
	PriceValue <PricVal>	[1..1]	Rate		446

5.4.9.15.1.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "[PriceRateType3Code](#)" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.

5.4.9.15.1.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

5.4.9.15.1.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

5.4.9.15.2 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue price of a financial instrument.

IssuePrice <IssePric> contains one of the following **PriceFormat83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			446
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		446
	PriceValue <PricVal>	[1..1]	Rate		447
Or}	AmountPrice <AmtPric>	[1..1]	±		447

5.4.9.15.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		446
	PriceValue <PricVal>	[1..1]	Rate		447

5.4.9.15.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

5.4.9.15.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

5.4.9.15.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

5.4.9.15.3 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat84Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			448
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		448
	PriceValue <PricVal>	[1..1]	Rate		449
Or	AmountPrice <AmtPric>	[1..1]	±		449
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		449
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			449
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		450
	PriceValue <PricVal>	[1..1]	Amount	C1	450
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C12	450
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			451
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		451
	PriceValue <PricVal>	[1..1]	Amount	C1	451
	Amount <Amt>	[1..1]	Amount	C1	452
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		452

5.4.9.15.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		448
	PriceValue <PricVal>	[1..1]	Rate		449

5.4.9.15.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

5.4.9.15.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

5.4.9.15.3.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

5.4.9.15.3.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType9Code" on page 1510

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

5.4.9.15.3.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		450
	PriceValue <PricVal>	[1..1]	Amount	C1	450
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C12	450

5.4.9.15.3.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

5.4.9.15.3.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.15.3.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C12 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.15.3.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		451
	PriceValue <PricVal>	[1..1]	Amount	C1	451
	Amount <Amt>	[1..1]	Amount	C1	452

5.4.9.15.3.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

5.4.9.15.3.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.15.3.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.15.3.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

5.4.9.15.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			452
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		453
	PriceValue <PricVal>	[1..1]	Rate		453
Or}	AmountPrice <AmtPric>	[1..1]	±		453

5.4.9.15.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		453
	PriceValue <PricVal>	[1..1]	Rate		453

5.4.9.15.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

5.4.9.15.4.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

5.4.9.15.4.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

5.4.9.16 ShareholderNumber <ShrhldrNb>

Presence: [0..1]

Definition: Reference number assigned to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

Datatype: "RestrictedFINXMax25Text" on page 1531

5.4.9.17 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		454
	DeliveryDetails <DlvryDtls>	[0..*]	Text		454
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		454
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		454

5.4.9.17.1 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or Sophisticated Investor Letter (SIL) to be provided.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.9.17.2 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides additional information on the delivery details of the outturned (derived) securities. This narrative is only to be used in case the securities are not eligible at the agent/custodian, and may not be used for settlement instructions.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.9.17.3 ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to foreign exchange instructions.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.9.17.4 InstructionAdditionalInformation <InstrAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.10 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		455
	TransactionIdentification <TxId>	[0..1]	Text		455
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		455
	ProtectDate <PrctDt>	[0..1]	Date		455

5.4.10.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1511

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

5.4.10.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1529

5.4.10.3 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "RestrictedFINMax35Text" on page 1529

5.4.10.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1520

5.4.11 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationDetails <RegnDtls>	[0..*]	Text		456
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		456
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		456

5.4.11.1 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.11.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.11.3 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides information required for the certification/breakdown.

Datatype: "RestrictedFINXMax350Text" on page 1532

5.4.12 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C30 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.034.002.15**

CorporateActionInstructionStatusAdvice002V15

6.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.

This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatusAdvice002V15 MessageDefinition is composed of 8 MessageBuildingBlocks:

- InstructionIdentification**
Identification of a related instruction document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- InstructionProcessingStatus**
Information about the processing status of the instruction.
- CorporateActionInstruction**
Information about the corporate action instruction.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**

Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStsAdv>	[1..1]		C2, C10, C30, C31, C32	
	InstructionIdentification <InstrId>	[0..1]	±		465
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		466
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C17, C22	466
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		467
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		467
	ClassActionNumber <ClsActnNb>	[0..1]	Text		467
	EventType <EvtTp>	[1..1]		C18	467
{Or	Code <Cd>	[1..1]	CodeSet		468
Or}	Proprietary <Prtry>	[1..1]	±		474
	InstructionProcessingStatus <InstrPrcgSts>	[1..*]			474
{Or	Cancelled <Canc>	[1..1]	±		476
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		476
Or	Forwarded <Fwdd>	[1..1]	±		476
Or	Rejected <Rjctd>	[1..1]			476
	RejectedQuantity <RjctdQty>	[0..1]	±		477
	RejectedReason <RjctdRsn>	[1..1]			477
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		477
Or}	Reason <Rsn>	[1..*]		C5	478
	ReasonCode <RsnCd>	[1..1]			478
{Or	Code <Cd>	[1..1]	CodeSet		478
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480
Or	Pending <Pdg>	[1..1]			481
	PendingQuantity <PdgQty>	[0..1]	±		481
	PendingReason <PdgRsn>	[1..1]			481
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		482

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Reason <Rsn>	[1..*]		C6	482
	ReasonCode <RsnCd>	[1..1]			482
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		484
Or	Returned <Rtrd>	[1..1]			484
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		484
Or}	Reason <Rsn>	[1..*]		C7	485
	ReasonCode <RsnCd>	[1..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		486
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		486
Or	DefaultAction <DfltActn>	[1..1]	±		486
Or	StandingInstruction <StglInstr>	[1..1]	±		486
Or	ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr>	[1..1]	±		486
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		487
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C23, C25, C26, C27, C28	487
	OptionNumber <OptnNb>	[1..1]	±		489
	OptionType <OptnTp>	[1..1]	±		489
	OptionFeatures <OptnFeatr>	[0..1]	±		490
	AccountOwner <AcctOwnr>	[0..1]	±		490
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		490
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		490
	CashAccount <CshAcct>	[0..1]			490
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C19	491
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		491
Or}	Proprietary <Prtry>	[1..1]	Text		491
	SafekeepingPlace <SfkpgPlc>	[0..1]			492
{Or	Identification <Id>	[1..1]	±		492
Or	Country <Ctry>	[1..1]	CodeSet	C11	492

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		492
Or	TypeAndIdentification <TpAndId>	[1..1]			493
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		493
	Identification <Id>	[1..1]	IdentifierSet	C9	493
Or}	Proprietary <Prtry>	[1..1]	±		493
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C13, C14, C20, C21, C24	494
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		495
	InstructedBalance <InstdBal>	[0..1]	±		495
	UninstructedBalance <UinstdBal>	[0..1]	±		495
	ProtectBalance <PrctBal>	[0..1]	±		496
	StatusQuantity <StsQty>	[0..1]	±		496
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C12	496
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		497
	ProtectInstruction <PrctInstr>	[0..1]			497
	TransactionType <TxTp>	[1..1]	CodeSet		497
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		498
	TransactionIdentification <TxId>	[0..1]	Text		498
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		498
	ProtectDate <PrctDt>	[0..1]	Date		498
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		498
	AdditionalInformation <AddtlInf>	[0..1]			498
	AdditionalText <AddtlTxt>	[0..*]	Text		499
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		499
	SupplementaryData <SplmtryData>	[0..*]	±	C33	499

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C11 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C14 DescriptionUsageRule

Description must be used alone as the last resort.

C15 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C16 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C17 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C19 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C20 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C21 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C22 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C23 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C24 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C25 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C26 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C27 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C28 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C29 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C30 StatusQuantity1Rule

If CorporateActionInstruction/StatusCashAmount is present, then the InstructionProcessingStatus/Cancelled/CancelledQuantity and InstructionProcessingStatus/AcceptedForFurtherProcessing/AcceptedQuantity and InstructionProcessingStatus/Rejected and InstructionProcessingStatus/Pending must be absent and CorporateActionInstruction/StatusQuantity must be absent. (MT 567 C5 and C7)

On Condition

/CorporateActionInstruction/StatusCashAmount is present

Following Must be True

/InstructionProcessingStatus[*]/Cancelled/CancelledQuantity Must be absent

And /InstructionProcessingStatus[*]/AcceptedForFurtherProcessing/AcceptedQuantity Must be absent

And /InstructionProcessingStatus[*]/Rejected Must be absent

And /InstructionProcessingStatus[*]/Pending Must be absent

And /CorporateActionInstruction/StatusQuantity Must be absent

This constraint is defined at the MessageDefinition level.

C31 StatusQuantity2Rule

If CorporateActionInstruction/StatusQuantity is present, then the InstructionProcessingStatus/Cancelled/CancelledQuantity and InstructionProcessingStatus/AcceptedForFurtherProcessing/AcceptedQuantity and InstructionProcessingStatus/Rejected and InstructionProcessingStatus/Pending must be absent and CorporateActionInstruction/StatusCashAmount must be absent. (MT 567 C5 and C7)

On Condition

/CorporateActionInstruction/StatusQuantity is present

Following Must be True

/InstructionProcessingStatus[*]/Cancelled/CancelledQuantity Must be absent

And /InstructionProcessingStatus[*]/AcceptedForFurtherProcessing/AcceptedQuantity Must be absent

And /InstructionProcessingStatus[*]/Rejected Must be absent

And /InstructionProcessingStatus[*]/Pending Must be absent

And /CorporateActionInstruction/StatusCashAmount Must be absent

This constraint is defined at the MessageDefinition level.

C32 StatusQuantity3Rule

If InstructionProcessingStatus/Cancelled/CancelledQuantity or InstructionProcessingStatus/AcceptedForFurtherProcessing/AcceptedQuantity or InstructionProcessingStatus/Rejected or InstructionProcessingStatus/Pending are present, then CorporateActionInstruction/StatusCashAmount and CorporateActionInstruction/StatusQuantity must be absent. (MT 567 C5 and C7)

On Condition

/InstructionProcessingStatus[*]/Cancelled/CancelledQuantity is present

Or /InstructionProcessingStatus[*]/AcceptedForFurtherProcessing/AcceptedQuantity is present

Or /InstructionProcessingStatus[*]/Rejected/RejectedQuantity is present

Or /InstructionProcessingStatus[*]/Pending/PendingQuantity is present

Following Must be True

/CorporateActionInstruction/StatusQuantity Must be absent

And /CorporateActionInstruction/StatusCashAmount Must be absent

This constraint is defined at the MessageDefinition level.

C33 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification17](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

6.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 1242 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1242
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1242
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1242
	DocumentNumber <DocNb>	[0..1]	±	C6	1243

6.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C17 "EventTypeRule", C22 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation188** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		467
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		467
	ClassActionNumber <ClssActnNb>	[0..1]	Text		467
	EventType <EvtTp>	[1..1]		C18	467
{Or	Code <Cd>	[1..1]	CodeSet		468
Or}	Proprietary <Prtry>	[1..1]	±		474

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

6.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

6.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

6.4.3.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

6.4.3.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C18 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following **CorporateActionEventType18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		468
Or}	Proprietary <Prtry>	[1..1]	±		474

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

6.4.3.4.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 1468

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.

CodeName	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).

CodeName	Name	Definition
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example,

CodeName	Name	Definition
		bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting

CodeName	Name	Definition
		from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of

CodeName	Name	Definition
		the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.

CodeName	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

6.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

6.4.4 InstructionProcessingStatus <InstrPrcgSts>

Presence: [1..*]

Definition: Information about the processing status of the instruction.

InstructionProcessingStatus <InstrPrcgSts> contains one of the following
InstructionProcessingStatus58Choice elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Cancelled <Canc>	[1..1]	±		476
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		476
Or	Forwarded <Fwdd>	[1..1]	±		476
Or	Rejected <Rjctd>	[1..1]			476
	RejectedQuantity <RjctdQty>	[0..1]	±		477
	RejectedReason <RjctdRsn>	[1..1]			477
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		477
Or}	Reason <Rsn>	[1..*]		C5	478
	ReasonCode <RsnCd>	[1..1]			478
{Or	Code <Cd>	[1..1]	CodeSet		478
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480
Or	Pending <Pdg>	[1..1]			481
	PendingQuantity <PdgQty>	[0..1]	±		481
	PendingReason <PdgRsn>	[1..1]			481
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		482
Or}	Reason <Rsn>	[1..*]		C6	482
	ReasonCode <RsnCd>	[1..1]			482
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		484
Or	Returned <Rtrd>	[1..1]			484
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		484
Or}	Reason <Rsn>	[1..*]		C7	485
	ReasonCode <RsnCd>	[1..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		486
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		486
Or	DefaultAction <DfltActn>	[1..1]	±		486
Or	StandingInstruction <StglInstr>	[1..1]	±		486

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr>	[1..1]	±		486
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		487

6.4.4.1 Cancelled <Canc>

Presence: [1..1]

Definition: Status and quantity information related to a cancelled instruction request.

Cancelled <Canc> contains the following elements (see "[CancelledStatus6](#)" on page 1423 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancelledQuantity <CancQty>	[0..1]	±		1423
	CancellationReason <CxlRsn>	[1..1]	±		1423

6.4.4.2 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains the following elements (see "[AcceptedStatus3](#)" on page 1433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AcceptedQuantity <AccptdQty>	[0..1]	±		1434
	AcceptedReason <AccptdRsn>	[1..1]	±		1434

6.4.4.3 Forwarded <Fwdd>

Presence: [1..1]

Definition: Instruction has been accepted and forwarded to the next intermediary in the chain.

Forwarded <Fwdd> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		1426

6.4.4.4 Rejected <Rjctd>

Presence: [1..1]

Definition: Status information related to an instruction request rejected for further processing due to system reasons.

Rejected <Rjctd> contains the following **RejectedStatus12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RejectedQuantity <RjctdQty>	[0..1]	±		477
	RejectedReason <RjctdRsn>	[1..1]			477
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		477
Or}	Reason <Rsn>	[1..*]		C5	478
	ReasonCode <RsnCd>	[1..1]			478
{Or	Code <Cd>	[1..1]	CodeSet		478
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480

6.4.4.4.1 RejectedQuantity <RjctdQty>

Presence: [0..1]

Definition: Quantity of instructed securities for which processing is rejected.

RejectedQuantity <RjctdQty> contains one of the following elements (see "Quantity54Choice" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

6.4.4.4.2 RejectedReason <RjctdRsn>

Presence: [1..1]

Definition: Reason for the rejected status.

RejectedReason <RjctdRsn> contains one of the following **RejectedStatus61Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		477
Or}	Reason <Rsn>	[1..*]		C5	478
	ReasonCode <RsnCd>	[1..1]			478
{Or	Code <Cd>	[1..1]	CodeSet		478
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480

6.4.4.4.2.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.4.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			478
{Or	Code <Cd>	[1..1]	CodeSet		478
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480

Constraints

- AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.4.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason63Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		478
Or}	Proprietary <Prtry>	[1..1]	±		480

6.4.4.4.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason85Code" on page 1514

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

CodeName	Name	Definition
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid

CodeName	Name	Definition
		increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

6.4.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchemeNm>	[0..1]	Text		1265

6.4.4.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "RestrictedFINXMax210Text" on page 1530

6.4.4.5 Pending <Pdg>

Presence: [1..1]

Definition: Status information related to a pending instruction.

Pending <Pdg> contains the following **PendingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PendingQuantity <PdgQty>	[0..1]	±		481
	PendingReason <PdgRsn>	[1..1]			481
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		482
Or}	Reason <Rsn>	[1..*]		C6	482
	ReasonCode <RsnCd>	[1..1]			482
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		484

6.4.4.5.1 PendingQuantity <PdgQty>

Presence: [0..1]

Definition: Quantity of instructed securities for which processing is pending.

PendingQuantity <PdgQty> contains one of the following elements (see "Quantity54Choice" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

6.4.4.5.2 PendingReason <PdgRsn>

Presence: [1..1]

Definition: Reason for the pending status.

PendingReason <Pdgrsn> contains one of the following **PendingStatus76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		482
Or}	Reason <Rsn>	[1..*]		C6	482
	ReasonCode <RsnCd>	[1..1]			482
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		484

6.4.4.5.2.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.5.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C6 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason31** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			482
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		484

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.5.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		483
Or}	Proprietary <Prtry>	[1..1]	±		484

6.4.4.5.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason27Code" on page 1507

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.

CodeName	Name	Definition
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

6.4.4.5.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

6.4.4.5.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1530

6.4.4.6 Returned <Rtrd>

Presence: [1..1]

Definition: Instructed units are returned or partially returned to the instructing party.

Returned <Rtrd> contains one of the following **ReturnedStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		484
Or}	Reason <Rsn>	[1..*]		C7	485
	ReasonCode <RsnCd>	[1..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		486
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		486

6.4.4.6.1 NoSpecifiedReason <NoSpcdfRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.6.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is returned.

Impacted by: C7 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **ReturnedStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		486
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		486

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.6.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the returned status.

ReasonCode <RsnCd> contains one of the following **ReturnedReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		486

6.4.4.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Returned reason specified as a code.

Datatype: "ReturnedStatus1Code" on page 1518

CodeName	Name	Definition
NARR	NarrativeReason	See narrative field for reason.
PROR	Prorated	Shares returned due to prorated acceptance.

6.4.4.6.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Returned reason specified as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

6.4.4.6.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the returned status.*Datatype:* "[RestrictedFINXMax210Text](#)" on page 1530**6.4.4.7 DefaultAction <DfltActn>***Presence:* [1..1]*Definition:* Default action is taken.**DefaultAction <DfltActn>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1426

6.4.4.8 StandingInstruction <StglInstr>*Presence:* [1..1]*Definition:* Standing instruction has been applied.**StandingInstruction <StglInstr>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1426

6.4.4.9 ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr>*Presence:* [1..1]*Definition:* Instruction has been received and accepted by the issuer or offeror.

ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr> contains the following elements (see ["NoSpecifiedReason1"](#) on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1426

6.4.4.10 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see ["ProprietaryStatusAndReason7"](#) on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1427
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1427

6.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action instruction.

Impacted by: [C23 "OptionNumberRule"](#), [C25 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C26 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C27 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C28 "SafekeepingPlaceRule"](#)

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption242** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		489
	OptionType <OptnTp>	[1..1]	±		489
	OptionFeatures <OptnFeatrs>	[0..1]	±		490
	AccountOwner <AcctOwnr>	[0..1]	±		490
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		490
	BlockchainAddressOrWallet <BlckChainAdrOrWlft>	[0..1]	Text		490
	CashAccount <CshAcct>	[0..1]			490
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C19	491
Or	BlockchainCashWallet <BlckChainCshWlft>	[1..1]	±		491
Or}	Proprietary <Prtry>	[1..1]	Text		491
	SafekeepingPlace <SfkpgPlc>	[0..1]			492
{Or	Identification <Id>	[1..1]	±		492
Or	Country <Ctry>	[1..1]	CodeSet	C11	492
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		492
Or	TypeAndIdentification <TpAndId>	[1..1]			493
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		493
	Identification <Id>	[1..1]	IdentifierSet	C9	493
Or}	Proprietary <Prtry>	[1..1]	±		493
	FinancialInstrumentIdentification <FinInstrmld>	[0..1]	±	C13, C14, C20, C21, C24	494
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		495
	InstructedBalance <InstdBal>	[0..1]	±		495
	UninstructedBalance <UinstdBal>	[0..1]	±		495
	ProtectBalance <PrctBal>	[0..1]	±		496
	StatusQuantity <StsQty>	[0..1]	±		496
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C12	496
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		497

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```

- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

```
Following Must be True
  /SafekeepingAccount Must be present
Or    /BlockChainAddressOrWallet Must be present
```

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

6.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on [page 1322](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

6.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption42Choice](#)" on page 1313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1313
Or}	Proprietary <Prtry>	[1..1]	±		1315

6.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat27Choice](#)" on page 1303 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1304
Or}	Proprietary <Prtry>	[1..1]	±		1304

6.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification136Choice](#)" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

6.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[RestrictedFINXMax35Text](#)" on page 1532

6.4.5.6 BlockchainAddressOrWallet <BlckChainAdrOrWlt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1530

6.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following **CashAccountIdentification11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C19	491
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		491
Or}	Proprietary <Prtry>	[1..1]	Text		491

6.4.5.7.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C19 "IBAN"

Datatype: "IBAN2007Identifier" on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

6.4.5.7.2 BlockChainCashWallet <BlckChainCshWlt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWlt> contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

6.4.5.7.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1529

6.4.5.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat47Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		492
Or	Country <Ctry>	[1..1]	CodeSet	C11	492
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		492
Or	TypeAndIdentification <TpAndId>	[1..1]			493
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		493
	Identification <Id>	[1..1]	IdentifierSet	C9	493
Or}	Proprietary <Prtry>	[1..1]	±		493

6.4.5.8.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "[SafekeepingPlaceTypeAndText9](#)" on [page 1421](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

6.4.5.8.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C11 "Country"](#)

Datatype: "[CountryCode](#)" on [page 1485](#)

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.5.8.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

6.4.5.8.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		493
	Identification <Id>	[1..1]	IdentifierSet	C9	493

6.4.5.8.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

6.4.5.8.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C9 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

6.4.5.8.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

6.4.5.9 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: [C13 "DescriptionPresenceRule"](#), [C14 "DescriptionUsageRule"](#), [C20 "ISINGuideline"](#), [C21 "ISINPresenceRule"](#), [C24 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification20](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition

 /ISIN is absent

Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

6.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

6.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

6.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

6.4.5.13 ProtectBalance <PrctctBal>*Presence:* [0..1]*Definition:* Balance of securities which are in protect status in a CSD operating a protect cover service.**ProtectBalance <PrctctBal>** contains the following elements (see "[SignedQuantityFormat11](#)" on page 1388 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1388
	QuantityChoice <QtyChc>	[1..1]			1389
{Or	Quantity <Qty>	[1..1]	±	C16	1389
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1390
	Quantity <Qty>	[1..1]	Quantity		1390
	QuantityType <QtyTp>	[1..1]	Text		1390
	Issuer <Issr>	[1..1]	Text		1390
	SchemeName <SchmeNm>	[0..1]	Text		1390

6.4.5.14 StatusQuantity <StsQty>*Presence:* [0..1]*Definition:* Quantity of securities that has been assigned the status indicated.**StatusQuantity <StsQty>** contains one of the following elements (see "[Quantity54Choice](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

6.4.5.15 StatusCashAmount <StsCshAmt>*Presence:* [0..1]*Definition:* Amount of cash subscribed that has been assigned the status indicated.*Impacted by:* C1 "ActiveCurrency", C12 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.5.16 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrtctInstr> contains the following **ProtectInstruction6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		497
	ProtectTransactionStatus <PrtctTxSts>	[0..1]	CodeSet		498
	TransactionIdentification <TxId>	[0..1]	Text		498
	ProtectSafekeepingAccount <PrtctSfkpgAcct>	[0..1]	Text		498
	ProtectDate <PrtctDt>	[0..1]	Date		498
	UncoveredProtectQuantity <UcvrdPrtctQty>	[0..1]	±		498

6.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: ["ProtectTransactionType2Code"](#) on page 1511

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

6.4.6.2 ProtectTransactionStatus <PrctTxSts>*Presence:* [0..1]*Definition:* Status of the protect transaction.*Datatype:* "ProtectInstructionStatus3Code" on page 1511

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

6.4.6.3 TransactionIdentification <TxId>*Presence:* [0..1]*Definition:* Unique reference of the protect transaction assigned by the depository and used for cover protect validation.*Datatype:* "RestrictedFINMax15Text" on page 1529**6.4.6.4 ProtectSafekeepingAccount <PrctSfkpgAcct>***Presence:* [0..1]*Definition:* Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.*Datatype:* "RestrictedFINMax35Text" on page 1529**6.4.6.5 ProtectDate <PrctDt>***Presence:* [0..1]*Definition:* Date at which the protect instruction was created and used for cover protect validation.*Datatype:* "ISODate" on page 1520**6.4.6.6 UncoveredProtectQuantity <UcvrdPrctQty>***Presence:* [0..1]*Definition:* Remaining quantity of protect instruction which has not been covered.**UncoveredProtectQuantity <UcvrdPrctQty>** contains one of the following elements (see "FinancialInstrumentQuantity31Choice" on page 1260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1260
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1260

6.4.7 AdditionalInformation <AddtlInf>*Presence:* [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		499
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		499

6.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1532

6.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1532

6.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C33 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **seev.035.002.16**

CorporateActionMovementPreliminaryAdvice

002V16

7.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent:

- to advise about the eligible balance and entitlements and,
- to pre-advise an upcoming posting or reversal of securities and/or cash postings.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdvice002V16 MessageDefinition is composed of 27 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

B. MovementPreliminaryAdviceGeneralInformation

General information about the movement preliminary advice document.

C. PreviousMovementPreliminaryAdviceIdentification

Identification of the previously sent movement preliminary advice document.

D. NotificationIdentification

Identification of a previously sent notification document.

E. MovementConfirmationIdentification

Identification of a previously sent movement confirmation document.

F. InstructionIdentification

Identification of a related instruction document.

G. OtherDocumentIdentification

Identification of other documents as well as the document number.

H. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

I. ReversalReason

Reason for the reversal.

J. CorporateActionGeneralInformation

General information about the corporate action event.

K. AccountDetails

General information about the safekeeping account, owner and account balance.

L. CorporateActionDetails

Information about the corporate action event.

M. CorporateActionMovementDetails

Information about the corporate action option.

N. AdditionalInformation

Provides additional information.

O. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

P. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

R. Registrar

Party/agent responsible for maintaining the register of a security.

S. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

T. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

U. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

V. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

W. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

X. Issuer

Issuer of the financial instrument.

Y. Offeror

Party making the offer and which is different from the issuing company.

Z. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

A SupplementaryData

- A. Additional information that can not be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdv>	[1..1]		C10, C12, C13, C37, C38, C44, C57, C59, C60, C63, C65, C67, C68	
	Pagination <Pgntn>	[0..1]	±		533
	MovementPreliminaryAdviceGeneralInformation <MvmntPrimryAdvGnlInf>	[1..1]			533
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvclId>	[0..1]	Text		534
	Type <Tp>	[1..1]	CodeSet		534
	Function <Fctn>	[1..1]	CodeSet		534
	PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrimryAdvclId>	[0..1]	±		534
	NotificationIdentification <NtfctnId>	[0..1]	±		534
	MovementConfirmationIdentification <MvmntConfId>	[0..1]	±		535
	InstructionIdentification <InstrId>	[0..1]	±		535
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		535
	EventsLinkage <EvtsLkg>	[0..*]	±		536
	ReversalReason <RvslRsn>	[0..1]		C6	536
	Reason <Rsn>	[1..1]			537
{Or	Code <Cd>	[1..1]	CodeSet		537
Or}	Proprietary <Prtry>	[1..1]	±		537
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		538
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C55	538
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		540
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		540
	ClassActionNumber <ClssActnNb>	[0..1]	Text		540
	EventProcessingType <EvtPrcgTp>	[0..1]	±		540

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]		C27	541
{Or	Code <Cd>	[1..1]	CodeSet		541
Or}	Proprietary <Prtry>	[1..1]	±		547
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		548
	UnderlyingSecurity <UndrlygScty>	[1..1]		C49, C61	548
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	550
	PlaceOfListing <PlcOfListg>	[0..1]	±		551
	DayCountBasis <DayCntBsis>	[0..1]	±		551
	ClassificationType <ClssfctnTp>	[0..1]	±		551
	OptionStyle <OptnStyle>	[0..1]	±		552
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	552
	NextCouponDate <NxtCpnDt>	[0..1]	Date		552
	ExpiryDate <XpryDt>	[0..1]	Date		552
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		552
	MaturityDate <MtrtyDt>	[0..1]	Date		552
	IssueDate <IsseDt>	[0..1]	Date		553
	NextCallableDate <NxtClblDt>	[0..1]	Date		553
	PutableDate <PutblDt>	[0..1]	Date		553
	DatedDate <DtdDt>	[0..1]	Date		553
	ConversionDate <ConvsDt>	[0..1]	Date		553
	InterestRate <IntrstRate>	[0..1]	±		553
	NextInterestRate <NxtIntrstRate>	[0..1]	±		553
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		554
	PreviousFactor <PrvsFctr>	[0..1]	±		554
	NextFactor <NxtFctr>	[0..1]	±		554
	WarrantParity <WarrtParity>	[0..1]	±		555
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	555
	ContractSize <CtrctSz>	[0..1]	±	C20	555
	AccountDetails <AcctDtls>	[1..1]			556

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		558
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C69, C70, C71, C72	558
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		560
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		560
	AccountOwner <AcctOwnr>	[0..1]	±		560
	SafekeepingPlace <SfkpgPlc>	[0..1]			561
{Or	Identification <Id>	[1..1]	±		561
Or	Country <Ctry>	[1..1]	CodeSet	C14	561
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		562
Or	TypeAndIdentification <TpAndId>	[1..1]			562
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		562
	Identification <Id>	[1..1]	IdentifierSet	C9	562
Or}	Proprietary <Prtry>	[1..1]	±		563
	Balance <Bal>	[0..1]		C8	563
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		564
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollInBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	ObligatedBalance <OblgtdBal>	[0..1]	±		569
	UninstructedBalance <UinstdBal>	[0..1]	±		569

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructedBalance <InstdBal>	[0..1]	±		570
	AffectedBalance <AfctdBal>	[0..1]	±		570
	UnaffectedBalance <UafctdBal>	[0..1]	±		570
	CorporateActionDetails <CorpActnDtls>	[0..1]			571
	DateDetails <DtDtls>	[0..1]			571
	RecordDate <RcrdDt>	[0..1]	±		572
	ExDividendDate <ExDvddDt>	[0..1]	±		572
	LotteryDate <LtryDt>	[0..1]	±		572
	SecuritiesQuantity <SctiesQty>	[0..1]			573
	BaseDenomination <BaseDnmtn>	[0..1]	±	C21	573
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C21	573
	EventStage <EvtStag>	[0..1]	±		574
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		574
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>	[0..1]	±		574
	LotteryType <LtryTp>	[0..1]	±		575
	CorporateActionMovementDetails <CorpActnMvmntDtls>	[0..*]		C1, C7, C11, C23, C24, C25, C26, C43, C66, C56	575
	OptionNumber <OptnNb>	[1..1]	Text		592
	OptionType <OptnTp>	[1..1]	±		592
	FractionDisposition <FrctnDspstn>	[0..1]	±		593
	OfferType <OfferTp>	[0..*]			593
{Or	Code <Cd>	[1..1]	CodeSet		593
Or}	Proprietary <Prtry>	[1..1]	±		594
	OptionFeatures <OptnFeatr>	[0..*]			594
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595
	OptionAvailabilityStatus <OptnAvlbtlySts>	[0..1]	±		596
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]			596

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		597
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C14	597
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C14	598
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	598
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglInstr>	[1..1]	±		598
	ChargesAppliedIndicator <ChrgsApldInd>	[0..1]	Indicator		599
	AcceptancePriorityLevel <AcctncPrtyLvl>	[0..1]	Text		599
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		599
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		599
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		599
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		600
	SecurityIdentification <SctyId>	[0..1]	±	C18, C19, C45, C46, C58	600
	DateDetails <DtDtls>	[0..1]			601
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		601
	CoverExpirationDeadline <CoverXprtnDdlIn>	[0..1]	±		602
	ProtectDeadline <PrctDdlIn>	[0..1]	±		602
	MarketDeadline <MktDdlIn>	[0..1]	±		602
	ResponseDeadline <RspnDdlIn>	[0..1]	±		603
	ExpiryDate <XpryDt>	[0..1]	±		603
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		604
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		604
	StockLendingDeadline <StockLndgDdlIn>	[0..1]	±		604
	BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>	[0..*]			605
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		605
	Borrower <Brrwr>	[1..1]	±		605
	DocumentationDeadline <DcmnttnDdlIn>	[0..1]	±		605
	PeriodDetails <PrdDtls>	[0..1]			606
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		606

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		606
	ActionPeriod <ActnPrd>	[0..1]	±		607
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		607
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		607
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		607
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		608
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C32, C34, C51, C53, C73, C75, C77, C81, C83	608
	AdditionalTax <AddtlTax>	[0..1]			611
{Or	Rate <Rate>	[1..1]	Rate		611
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		611
Or}	Amount <Amt>	[1..1]	Amount	C2	611
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		612
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		612
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		613
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		614
	ProrationRate <PrratnRate>	[0..1]	±		614
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			615
{Or	Rate <Rate>	[1..1]	Rate		615
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		615
Or	Amount <Amt>	[1..1]	Amount	C2	615
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			616
	RateType <RateTp>	[1..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		616
	Rate <Rate>	[1..1]	Rate		617
	SecondLevelTax <ScndLvITax>	[0..*]			617

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		617
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		617
Or	Amount <Amt>	[1..1]	Amount	C2	618
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			618
	RateType <RateTp>	[1..1]			618
{Or	Code <Cd>	[1..1]	CodeSet		618
Or}	Proprietary <Prtry>	[1..1]	±		619
	Rate <Rate>	[1..1]	Rate		619
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		619
	TaxOnIncome <TaxOnIncm>	[0..1]			620
{Or	Rate <Rate>	[1..1]	Rate		620
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		620
Or}	Amount <Amt>	[1..1]	Amount	C2	620
	PriceDetails <PricDtls>	[0..1]			621
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			621
{Or	PercentagePrice <PctgPric>	[1..1]			622
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		622
	PriceValue <PricVal>	[1..1]	Rate		622
Or	AmountPrice <AmtPric>	[1..1]	±		622
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		623
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			623
{Or	PercentagePrice <PctgPric>	[1..1]			623
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		623
	PriceValue <PricVal>	[1..1]	Rate		624
Or	AmountPrice <AmtPric>	[1..1]	±		624
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		624
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		624
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		625
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		625
	SecuritiesQuantity <SctiesQty>	[0..1]			625
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C22	626

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C22	626
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±	C21	627
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C21	627
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C21	628
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C21	628
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C21	629
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C28, C39, C41, C47	629
	SecurityDetails <SctyDtls>	[1..1]		C50, C62	635
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	637
	PlaceOfListing <PlcOfListg>	[0..1]	±		638
	DayCountBasis <DayCntBsis>	[0..1]	±		638
	ClassificationType <ClssfctnTp>	[0..1]	±		638
	OptionStyle <OptnStyle>	[0..1]	±		639
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	639
	NextCouponDate <NxtCpnDt>	[0..1]	Date		639
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		639
	MaturityDate <MtrtyDt>	[0..1]	Date		639
	IssueDate <IssDt>	[0..1]	Date		639
	NextCallableDate <NxtClblDt>	[0..1]	Date		640
	PutableDate <PutblDt>	[0..1]	Date		640
	DatedDate <DtdDt>	[0..1]	Date		640
	ConversionDate <ConvsDt>	[0..1]	Date		640
	PreviousFactor <PrvsFctr>	[0..1]	±		640
	NextFactor <NxtFctr>	[0..1]	±		640
	InterestRate <IntrstRate>	[0..1]	±		641
	NextInterestRate <NxtIntrstRate>	[0..1]	±		641
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	641
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	642

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	642
	ContractSize <CtrctSz>	[0..1]	±	C20	643
	IssuePrice <IssePric>	[0..1]			643
{Or	PercentagePrice <PctgPric>	[1..1]			644
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		644
	PriceValue <PricVal>	[1..1]	Rate		644
Or	AmountPrice <AmtPric>	[1..1]	±		644
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		645
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		645
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		645
	NonEligibleProceedsIndicator <NonElgblPrdcInd>	[0..1]			645
{Or	Code <Cd>	[1..1]	CodeSet		646
Or}	Proprietary <Prtry>	[1..1]	±		646
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		646
	NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>	[0..1]	CodeSet		647
	IncomeType <IncmTp>	[0..1]	±		647
	OtherIncomeType <OthrIncmTp>	[0..*]	±		648
	ExemptionType <XmptnTp>	[0..*]	±		648
	EntitledQuantity <EntitldQty>	[0..1]	±		648
	SafekeepingPlace <SfkpgPlc>	[0..1]			648
{Or	Identification <Id>	[1..1]	±		649
Or	Country <Ctry>	[1..1]	CodeSet	C14	649
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		649
Or	TypeAndIdentification <TpAndId>	[1..1]			649
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		650
	Identification <Id>	[1..1]	IdentifierSet	C9	650
Or}	Proprietary <Prtry>	[1..1]	±		650
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	651
	FractionDisposition <FrctnDspstn>	[0..1]	±		651
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	651
	TradingPeriod <TradgPrd>	[0..1]	±		652

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[1..1]			652
	PaymentDate <PmtDt>	[1..1]	±		652
	AvailableDate <AvlblDt>	[0..1]	±		653
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		653
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		653
	PariPassuDate <PrpssDt>	[0..1]	±		653
	LastTradingDate <LastTradgDt>	[0..1]	±		654
	RateDetails <RateDtls>	[0..1]			654
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>	[0..1]	±		656
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		656
	NewToOld <NewToOd>	[0..1]	±		656
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		657
	ChargesFees <ChrgsFees>	[0..1]			657
{Or	Rate <Rate>	[1..1]	Rate		657
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		658
Or}	Amount <Amt>	[1..1]	Amount	C2	658
	FiscalStamp <FscIStmp>	[0..1]	±		658
	ApplicableRate <ApblRate>	[0..1]	±		658
	TaxCreditRate <TaxCdtRate>	[0..1]			659
{Or	Rate <Rate>	[1..1]	Rate		659
Or	Amount <Amt>	[1..1]	Amount	C2	659
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		659
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		659
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			660
{Or	Rate <Rate>	[1..1]	Rate		660
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		660
Or	Amount <Amt>	[1..1]	Amount	C2	660
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			661
	RateType <RateTp>	[1..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[1..1]	Rate		662
	SecondLevelTax <ScndLvlTax>	[0..*]			662
{Or	Rate <Rate>	[1..1]	Rate		663
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		663
Or	Amount <Amt>	[1..1]	Amount	C2	663
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			663
	RateType <RateTp>	[1..1]			663
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664
	Rate <Rate>	[1..1]	Rate		664
	PriceDetails <PricDtls>	[0..1]		C30	664
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			666
{Or	IndicativePrice <IndctvPric>	[1..1]			667
{Or	PercentagePrice <PctgPric>	[1..1]			667
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668
Or	AmountPrice <AmtPric>	[1..1]	±		668
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		668
Or}	MarketPrice <MktPric>	[1..1]			668
{Or	PercentagePrice <PctgPric>	[1..1]			669
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669
Or	AmountPrice <AmtPric>	[1..1]	±		669
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		670
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			670
{Or	PercentagePrice <PctgPric>	[1..1]			670
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		670
	PriceValue <PricVal>	[1..1]	Rate		671
Or	AmountPrice <AmtPric>	[1..1]	±		671
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		671
	CashValueForTax <CshValForTax>	[0..1]	±		671

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			672
{Or	PercentagePrice <PctgPric>	[1..1]			672
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		672
	PriceValue <PricVal>	[1..1]	Rate		673
Or	AmountPrice <AmtPric>	[1..1]	±		673
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		673
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		673
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			673
{Or	PercentagePrice <PctgPric>	[1..1]			674
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		674
	PriceValue <PricVal>	[1..1]	Rate		675
Or	AmountPrice <AmtPric>	[1..1]	±		675
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		675
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			675
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		676
	PriceValue <PricVal>	[1..1]	Amount	C2	676
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	676
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			677
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		677
	PriceValue <PricVal>	[1..1]	Amount	C2	677
	Amount <Amt>	[1..1]	Amount	C2	678
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		678
	AmountDetails <AmtDtls>	[0..1]			678
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	678
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	679
	CashMovementDetails <CshMvmntDtls>	[0..*]		C29, C40, C42, C48	679
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		686
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		686
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		687

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		687
	IncomeType <IncmTp>	[0..1]	±		687
	OtherIncomeType <OthrIncmTp>	[0..*]	±		688
	ExemptionType <XmptnTp>	[0..*]	±		688
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	688
	CashAccountIdentification <CshAcctId>	[0..1]			688
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C36	689
Or	BlockChainCashWallet <BlickChainCshWllt>	[1..1]	±		689
Or}	Proprietary <Prtry>	[1..1]	Text		689
	AmountDetails <AmtDtls>	[0..1]			689
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	692
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	693
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	693
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	693
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	694
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	694
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C2, C15	695
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	695
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	695
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	696
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	696
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	697
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	697
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	697
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C2, C15	698
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	698
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	699
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	699
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	699
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	700
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	700

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C2, C15	701
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	701
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	701
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	702
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	702
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	703
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	703
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	703
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	704
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	704
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	705
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	705
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	705
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	706
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	706
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	707
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	707
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	707
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	708
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C2, C15	708
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	709
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	709
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	709
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	710
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	710
	DateDetails <DtDtls>	[1..1]			711
	PaymentDate <PmtDt>	[1..1]	±		711
	ValueDate <ValDt>	[0..1]			711
{Or	Date <Dt>	[1..1]	Date		712
Or}	DateCode <DtCd>	[1..1]			712
{Or	Code <Cd>	[1..1]	CodeSet		712

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		712
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		713
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		713
	ForeignExchangeDetails <FXDtls>	[0..1]			713
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	713
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	714
	ExchangeRate <XchgRate>	[1..1]	Rate		714
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C2, C15	714
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16, C17, C33, C35, C52, C54, C74, C76, C78, C82, C84	715
	AdditionalTax <AddtlTax>	[0..1]			720
{Or	Rate <Rate>	[1..1]	Rate		720
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		720
Or}	Amount <Amt>	[1..1]	Amount	C2	720
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		720
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		721
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			722
{Or	Rate <Rate>	[1..1]	Rate		722
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		723
Or	Amount <Amt>	[1..1]	Amount	C2	723
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			723
	RateType <RateTp>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724
	Rate <Rate>	[1..1]	Rate		724
	SecondLevelTax <ScndLvlTax>	[0..*]			724
{Or	Rate <Rate>	[1..1]	Rate		725

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		725
Or	Amount <Amt>	[1..1]	Amount	C2	725
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			725
	RateType <RateTp>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726
	Rate <Rate>	[1..1]	Rate		727
	ChargesFees <ChrgsFees>	[0..1]			727
{Or	Rate <Rate>	[1..1]	Rate		727
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		727
Or}	Amount <Amt>	[1..1]	Amount	C2	727
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			728
{Or	Rate <Rate>	[1..1]	Rate		728
Or	AmountToQuantity <AmtToQty>	[1..1]			728
	Amount <Amt>	[1..1]	Amount	C2	728
	Quantity <Qty>	[1..1]	Quantity		729
Or	Amount <Amt>	[1..1]	Amount	C2	729
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		729
	FiscalStamp <FscIStmp>	[0..1]	±		729
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			730
{Or	Rate <Rate>	[1..1]	Rate		730
Or	Amount <Amt>	[1..1]	Amount	C2	730
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		730
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		731
	ApplicableRate <AplblRate>	[0..1]	±		731
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			731
{Or	Rate <Rate>	[1..1]	Rate		732
Or	AmountToQuantity <AmtToQty>	[1..1]			732
	Amount <Amt>	[1..1]	Amount	C2	732
	Quantity <Qty>	[1..1]	Quantity		733
Or	Amount <Amt>	[1..1]	Amount	C2	733

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		733
	TaxCreditRate <TaxCdtRate>	[0..1]			733
{Or	Rate <Rate>	[1..1]	Rate		733
Or	Amount <Amt>	[1..1]	Amount	C2	734
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		734
	TaxOnIncome <TaxOnIncm>	[0..1]			734
{Or	Rate <Rate>	[1..1]	Rate		734
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		734
Or}	Amount <Amt>	[1..1]	Amount	C2	735
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		735
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		735
	EqualisationRate <EqulstnRate>	[0..1]	±		735
	DeemedRate <DmdRate>	[0..*]			736
{Or	Rate <Rate>	[1..1]	Rate		736
Or	Amount <Amt>	[1..1]	Amount	C2	737
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		737
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			737
	RateType <RateTp>	[1..1]			737
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738
	Amount <Amt>	[1..1]	Amount	C2	738
	RateStatus <RateSts>	[0..1]		C64	739
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			739
	RateType <RateTp>	[1..1]			740
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740
	Rate <Rate>	[1..1]	Rate		741
	PriceDetails <PricDtls>	[0..1]		C31	741
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			743

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			743
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		743
	PriceValue <PricVal>	[1..1]	Rate		744
Or	AmountPrice <AmtPric>	[1..1]	±		744
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		744
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		744
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			744
{Or	PercentagePrice <PctgPric>	[1..1]			745
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		745
	PriceValue <PricVal>	[1..1]	Rate		746
Or	AmountPrice <AmtPric>	[1..1]	±		746
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		746
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			746
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		747
	PriceValue <PricVal>	[1..1]	Amount	C2	747
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	747
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			748
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		748
	PriceValue <PricVal>	[1..1]	Amount	C2	748
	Amount <Amt>	[1..1]	Amount	C2	749
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		749
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			749
{Or	PercentagePrice <PctgPric>	[1..1]			749
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		750
	PriceValue <PricVal>	[1..1]	Rate		750
Or	AmountPrice <AmtPric>	[1..1]	±		750
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		750
	AdditionalInformation <AddtlInf>	[0..1]		C4	751
	AdditionalText <AddtlTxt>	[0..1]			751
	AdditionalInformation <AddtlInf>	[1..1]	Text		752
	NarrativeVersion <NrrtvVrsn>	[0..1]			752

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		752
	InformationConditions <InfConds>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..*]	Text		752
	InformationToComplyWith <InfToCmplyWth>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	SecurityRestriction <SctyRstrctn>	[0..1]			753
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	TaxationConditions <TaxtnConds>	[0..1]			753
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	Disclaimer <Dsclmr>	[0..1]			754
	AdditionalInformation <AddtlInf>	[1..*]	Text		754
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			754
	AdditionalInformation <AddtlInf>	[1..*]	Text		754
	AdditionalInformation <AddtlInf>	[0..1]		C5	754
	AdditionalText <AddtlTxt>	[0..1]			755
	AdditionalInformation <AddtlInf>	[1..*]	Text		756
	NarrativeVersion <NrrtvVrsn>	[0..1]			756
	AdditionalInformation <AddtlInf>	[1..*]	Text		756
	InformationConditions <InfConds>	[0..1]			756
	AdditionalInformation <AddtlInf>	[1..*]	Text		756
	InformationToComplyWith <InfToCmplyWth>	[0..1]			757
	AdditionalInformation <AddtlInf>	[1..*]	Text		757
	TaxationConditions <TaxtnConds>	[0..1]			757
	AdditionalInformation <AddtlInf>	[1..*]	Text		757
	Disclaimer <Dsclmr>	[0..1]			757
	AdditionalInformation <AddtlInf>	[1..*]	Text		758
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]			758
	AdditionalInformation <AddtlInf>	[1..*]	Text		758
	RegistrationDetails <RegnDtls>	[0..1]			758
	AdditionalInformation <AddtlInf>	[1..*]	Text		758
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]			758

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		759
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			759
	AdditionalInformation <AddtlInf>	[1..*]	Text		759
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..1]			759
	AdditionalInformation <AddtlInf>	[1..*]	Text		759
	IssuerAgent <IssrAgt>	[0..*]	±		760
	PayingAgent <PngAgt>	[0..*]	±		760
	SubPayingAgent <SubPngAgt>	[0..*]	±		760
	Registrar <Regar>	[0..1]	±		761
	ResellingAgent <RsellingAgt>	[0..*]	±		761
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		761
	DropAgent <DrpAgt>	[0..1]	±		761
	SolicitationAgent <SlctnAgt>	[0..*]	±		762
	InformationAgent <InfAgt>	[0..1]	±		762
	Issuer <Issr>	[0..1]	±		762
	Offeror <Offerr>	[0..*]	±		763
	TransferAgent <TrfAgt>	[0..1]	±		763
	SupplementaryData <SplmtryData>	[0..*]	±	C80	763

7.3 Constraints

C1 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Expression Language: UGRule

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalTextRule

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C8 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 AppliedOptionRule

If CorporateActionMovementDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionMovementDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C11 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C12 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionMovementDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionMovementDetails[*]/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'
Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C13 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

```
if
    at least one occurrence of [CorporateActionMovementDetails/OptionType/
Code] has value included in the following list 'BOBD'
, then
    every occurrence of [CorporateActionGeneralInformation/EventType/Code]
must have value included in the following list 'WTRC'
```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C14 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C15 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C16 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C17 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C18 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C19 DescriptionUsageRule

Description must be used alone as the last resort.

C20 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C21 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C22 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C23 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C24 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C25 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C26 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C27 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C28 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C29 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C30 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C31 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C32 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate.

(MT 564 NVR C8).

C33 GrossDistributionRate2Rule

If GrossDDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

C34 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C35 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossGrossDistributionRate/AmountAndRateStatus or GrossGrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C36 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C37 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is

```

equal to value 'IncentivePremiumPayment'
Following Must be True
    /CorporateActionGeneralInformation/EventType/Code Must be equal to value
    'Consent'

```

This constraint is defined at the MessageDefinition level.

C38 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

```

if
    at least one occurrence of [CorporateActionDetails/
AdditionalBusinessProcessIndicator/Code] has value included in the following
list 'INCP'
, then
    every occurrence of [CorporateActionGeneralInformation/EventType/Code]
must have value included in the following list 'CONS'

```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C39 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C40 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C41 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C42 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C43 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMutipleCashToInstruct) must not be present.

(MT564 NVR C20).

C44 IntermediateSecuritiesDistributionRule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

```

On Condition
    /CorporateActionGeneralInformation/EventType/Code is equal to value
    'IntermediateSecuritiesDistribution'
Following Must be True
    /CorporateActionDetails Must be present

```

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C45 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C46 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C47 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document " TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C48 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document " TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C49 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C50 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C51 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C52 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C53 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C54 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C55 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C56 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C57 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

```
On Condition
    /CorporateActionGeneralInformation/EventType/Code is present
And    /CorporateActionGeneralInformation/EventType/Code is equal to value
'OtherEvent'
Following Must be True
    /AdditionalInformation Must be present
And    /AdditionalInformation/AdditionalText Must be present
And    /AdditionalInformation/AdditionalText/AdditionalInformation[1] Must
be present
```

This constraint is defined at the MessageDefinition level.

C58 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C59 PaginationRule

If pagination is present, then MovementPreliminaryAdviceGeneralInformation/MovementPreliminaryAdviceIdentification must be present.

```
On Condition
    /Pagination is present
Following Must be True
    /MovementPreliminaryAdviceGeneralInformation/
MovementPreliminaryAdviceIdentification Must be present
```

This constraint is defined at the MessageDefinition level.

C60 PreviousMovementPreliminaryAdviceIdentification1Rule

If MovementPreliminaryAdviceGeneralInformation/Type is REPL (Replace) and Pagination/PageNumber is equal to value 1, then PreviousMovementPreliminaryAdviceIdentification must be present.

(MT 564 NVR C9).

```
On Condition
    /MovementPreliminaryAdviceGeneralInformation/Type is equal to value
'Replacement'
And    /Pagination/PageNumber is equal to value '1'
Following Must be True
    /PreviousMovementPreliminaryAdviceIdentification Must be present
```

This constraint is defined at the MessageDefinition level.

C61 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C62 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C63 RateAndCorporateActionEventRule

If CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C64 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C65 ReversalReasonRule

If CorporateActionDetails/AdditionalBusinessProcessIndicator/Code value is equal to REVR (ReversalReason), then

ReversalReason must be present and MovementPreliminaryAdviceGeneralInformation/Function code value is equal to CAPA (PreadviceOfMovement).

```

if
    at least one occurrence of [CorporateActionDetails/
AdditionalBusinessProcessIndicator/Code] has value included in the following
list 'REVR'
, then
    at least one occurrence of the following element(s) [ReversalReason] must
be present
    and at least one occurrence of
[MovementPreliminaryAdviceGeneralInformation/Function] must have value
included in the following list 'CAPA'

```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C66 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C67 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then any element in the list (MinimumNominalQuantity,

MinimumExercisableQuantity,MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumNominalQuantity Must be absent

And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumQuantityToInstruct Must be absent

And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent

And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C68 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then AmountDetails must not be present in any occurrences of CorporateActionMovementDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionMovementDetails[*]/CashMovementDetails[*]/AmountDetails

Must be absent

This constraint is defined at the MessageDefinition level.

C69 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C70 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C71 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C72 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C73 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C74 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C75 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C76 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C77 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

C78 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C79 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C80 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C81 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C82 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C83 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C84 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C85 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1272
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1272

7.4.2 MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf>

Presence: [1..1]

Definition: General information about the movement preliminary advice document.

MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf> contains the following **CorporateActionPreliminaryAdviceType5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId>	[0..1]	Text		534
	Type <Tp>	[1..1]	CodeSet		534
	Function <Fctn>	[1..1]	CodeSet		534

7.4.2.1 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>*Presence:* [0..1]*Definition:* Unique identification of the group of corporate action movement preliminary advice messages when the preliminary advice is split in multiple (paginated) messages.*Datatype:* "RestrictedFINXMax16Text" on page 1530**7.4.2.2 Type <Tp>***Presence:* [1..1]*Definition:* Type of movement preliminary advice, for example. new or replacement.*Datatype:* "CorporateActionPreliminaryAdviceType1Code" on page 1484

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

7.4.2.3 Function <Fctn>*Presence:* [1..1]*Definition:* Specifies whether the message advises about the final eligible balance and entitlements or about an upcoming posting or reversal of securities and/or cash postings.*Datatype:* "CorporateActionMovementPreliminaryAdviceFunction1Code" on page 1475

CodeName	Name	Definition
ENTL	Entitlement	Message is an entitlement.
CAPA	PreadviceOfMovement	Message is a preadvice of movement.

7.4.3 PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlmryAdvcl>*Presence:* [0..1]*Definition:* Identification of the previously sent movement preliminary advice document.**PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlmryAdvcl>** contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

7.4.4 NotificationIdentification <NtfctnId>*Presence:* [0..1]*Definition:* Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

7.4.5 MovementConfirmationIdentification <MvmntConfld>

Presence: [0..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

7.4.6 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification17" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

7.4.7 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1243
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244
	DocumentNumber <DocNb>	[0..1]	±	C6	1244
	LinkageType <LkgTp>	[0..1]	±	C31	1244

7.4.8 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 1275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			1276
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276
	LinkageType <LkgTp>	[0..1]	±	C31	1276

7.4.9 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C6 "AdditionalReasonInformationRule"

ReversalReason <RvslRsn> contains the following **CorporateActionReversalReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			537
{Or	Code <Cd>	[1..1]	CodeSet		537
Or}	Proprietary <Prtry>	[1..1]	±		537
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		538

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.9.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following **CorporateActionReversalReason11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		537
Or}	Proprietary <Prtry>	[1..1]	±		537

7.4.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason3Code" on page 1484

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
UPAY	UnduePayment	Payment is not due.
OTHR	Other	Other

7.4.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.9.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax256Text](#)" on page 1531

7.4.10 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C55 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following
CorporateActionGeneralInformation192 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		540
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		540
	ClassActionNumber <ClssActnNb>	[0..1]	Text		540
	EventProcessingType <EvtPrcgTp>	[0..1]	±		540
	EventType <EvtTp>	[1..1]		C27	541
{Or	Code <Cd>	[1..1]	CodeSet		541
Or}	Proprietary <Prtry>	[1..1]	±		547
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		548
	UnderlyingSecurity <UndrlygScty>	[1..1]		C49, C61	548
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	550
	PlaceOfListing <PlcOfListg>	[0..1]	±		551
	DayCountBasis <DayCntBsis>	[0..1]	±		551
	ClassificationType <ClssfctnTp>	[0..1]	±		551
	OptionStyle <OptnStyle>	[0..1]	±		552
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	552
	NextCouponDate <NxtCpnDt>	[0..1]	Date		552
	ExpiryDate <XpryDt>	[0..1]	Date		552
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		552
	MaturityDate <MtrtyDt>	[0..1]	Date		552
	IssueDate <IsseDt>	[0..1]	Date		553
	NextCallableDate <NxtClblDt>	[0..1]	Date		553
	PutableDate <PutblDt>	[0..1]	Date		553
	DatedDate <DtdDt>	[0..1]	Date		553
	ConversionDate <ConvsDt>	[0..1]	Date		553
	InterestRate <IntrstRate>	[0..1]	±		553
	NextInterestRate <NxtIntrstRate>	[0..1]	±		553
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		554

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousFactor <PrvsFctr>	[0..1]	±		554
	NextFactor <NxtFctr>	[0..1]	±		554
	WarrantParity <WarrtParity>	[0..1]	±		555
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	555
	ContractSize <CtrctSz>	[0..1]	±	C20	555

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

7.4.10.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

7.4.10.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

7.4.10.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1530

7.4.10.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType3Choice" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1327
Or}	Proprietary <Prtry>	[1..1]	±		1327

7.4.10.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C27 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType122Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		541
Or}	Proprietary <Prtry>	[1..1]	±		547

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

7.4.10.5.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType36Code" on page 1456

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.

CodeName	Name	Definition
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A

CodeName	Name	Definition
		company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DACL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be

CodeName	Name	Definition
		distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer

CodeName	Name	Definition
		initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.

CodeName	Name	Definition
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

7.4.10.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.10.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 1315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1315
Or}	Proprietary <Prtry>	[1..1]	±		1316

7.4.10.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C49 "MaturityDateRule"](#), [C61 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes134** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	550
	PlaceOfListing <PlcOfListg>	[0..1]	±		551
	DayCountBasis <DayCntBsis>	[0..1]	±		551
	ClassificationType <ClssfctnTp>	[0..1]	±		551
	OptionStyle <OptnStyle>	[0..1]	±		552
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	552
	NextCouponDate <NxtCpnDt>	[0..1]	Date		552
	ExpiryDate <XpryDt>	[0..1]	Date		552
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		552
	MaturityDate <MtrtyDt>	[0..1]	Date		552
	IssueDate <IsseDt>	[0..1]	Date		553
	NextCallableDate <NxtClblDt>	[0..1]	Date		553
	PutableDate <PutblDt>	[0..1]	Date		553
	DatedDate <DtdDt>	[0..1]	Date		553
	ConversionDate <ConvstDt>	[0..1]	Date		553
	InterestRate <IntrstRate>	[0..1]	±		553
	NextInterestRate <NxtIntrstRate>	[0..1]	±		553
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		554
	PreviousFactor <PrvsFctr>	[0..1]	±		554
	NextFactor <NxtFctr>	[0..1]	±		554
	WarrantParity <WarrtParity>	[0..1]	±		555
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	555
	ContractSize <CtrctSz>	[0..1]	±	C20	555

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.10.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C18 "DescriptionPresenceRule", C19 "DescriptionUsageRule", C45 "ISINGuideline", C46 "ISINPresenceRule", C58 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

7.4.10.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 1268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

7.4.10.7.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1322
Or}	Proprietary <Prtry>	[1..1]	±		1326

7.4.10.7.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1272
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1273

7.4.10.7.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle9Choice"](#) on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1312
Or}	Proprietary <Prtry>	[1..1]	±		1313

7.4.10.7.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1438**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.10.7.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1520**7.4.10.7.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1520**7.4.10.7.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1520**7.4.10.7.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1520

7.4.10.7.11 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1520

7.4.10.7.12 NextCallableDate <NxtCllbDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1520

7.4.10.7.13 PutableDate <PutbDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1520

7.4.10.7.14 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1520

7.4.10.7.15 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1520

7.4.10.7.16 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.10.7.17 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.10.7.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.10.7.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

7.4.10.7.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

7.4.10.7.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

7.4.10.7.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C20 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.10.7.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C20 "DigitalTokenUnitUsageRule"](#)**ContractSize <CtrctSz>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.11 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		558
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C69, C70, C71, C72	558
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		560
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		560
	AccountOwner <AcctOwnr>	[0..1]	±		560
	SafekeepingPlace <SfkpgPlc>	[0..1]			561
{Or	Identification <Id>	[1..1]	±		561
Or	Country <Ctry>	[1..1]	CodeSet	C14	561
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		562
Or	TypeAndIdentification <TpAndId>	[1..1]			562
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		562
	Identification <Id>	[1..1]	IdentifierSet	C9	562
Or}	Proprietary <Prtry>	[1..1]	±		563
	Balance <Bal>	[0..1]		C8	563
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		564
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollInBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	ObligatedBalance <OblgtdBal>	[0..1]	±		569

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UninstructedBalance <UinstdBal>	[0..1]	±		569
	InstructedBalance <InstdBal>	[0..1]	±		570
	AffectedBalance <AfctdBal>	[0..1]	±		570
	UnaffectedBalance <UafctdBal>	[0..1]	±		570

7.4.11.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "AccountIdentification10" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		1217

7.4.11.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C69 "SafekeepingAccountOrBlockChainAddress1Rule", C70 "SafekeepingAccountOrBlockChainAddress2Rule", C71 "SafekeepingAccountOrBlockChainAddress3Rule", C72 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance64** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		560
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		560
	AccountOwner <AcctOwnr>	[0..1]	±		560
	SafekeepingPlace <SfkpgPlc>	[0..1]			561
{Or	Identification <Id>	[1..1]	±		561
Or	Country <Ctry>	[1..1]	CodeSet	C14	561
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		562
Or	TypeAndIdentification <TpAndId>	[1..1]			562
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		562
	Identification <Id>	[1..1]	IdentifierSet	C9	562
Or}	Proprietary <Prtry>	[1..1]	±		563
	Balance <Bal>	[0..1]		C8	563
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		564
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollInBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	ObligatedBalance <OblgtdBal>	[0..1]	±		569
	UninstructedBalance <UinstdBal>	[0..1]	±		569
	InstructedBalance <InstdBal>	[0..1]	±		570
	AffectedBalance <AfctdBal>	[0..1]	±		570

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnaffectedBalance <UafctdBal>	[0..1]	±		570

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

7.4.11.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

7.4.11.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

7.4.11.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

7.4.11.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		561
Or	Country <Ctry>	[1..1]	CodeSet	C14	561
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		562
Or	TypeAndIdentification <TpAndId>	[1..1]			562
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		562
	Identification <Id>	[1..1]	IdentifierSet	C9	562
Or}	Proprietary <Prtry>	[1..1]	±		563

7.4.11.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "SafekeepingPlaceTypeAndText9" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

7.4.11.2.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C14 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.11.2.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

7.4.11.2.4.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		562
	Identification <Id>	[1..1]	IdentifierSet	C9	562

7.4.11.2.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

7.4.11.2.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C9 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.4.11.2.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

7.4.11.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: [C8 "AffectedAndUnaffectedBalanceRule"](#)

Balance <Bal> contains the following **CorporateActionBalanceDetails46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		564
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollInBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	ObligatedBalance <OblgtdBal>	[0..1]	±		569
	UninstructedBalance <UinstdBal>	[0..1]	±		569
	InstructedBalance <InstdBal>	[0..1]	±		570
	AffectedBalance <AfctdBal>	[0..1]	±		570
	UnaffectedBalance <UafctdBal>	[0..1]	±		570

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

7.4.11.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat11" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		1218
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		1218
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		1219

7.4.11.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.7 PendingDeliveryBalance <PdgDlrvyBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

7.4.11.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

7.4.11.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

7.4.11.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.11.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

7.4.12 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			571
	RecordDate <RcrdDt>	[0..1]	±		572
	ExDividendDate <ExDvddDt>	[0..1]	±		572
	LotteryDate <LtryDt>	[0..1]	±		572
	SecuritiesQuantity <SctiesQty>	[0..1]			573
	BaseDenomination <BaseDnmtn>	[0..1]	±	C21	573
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C21	573
	EventStage <EvtStag>	[0..1]	±		574
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		574
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>	[0..1]	±		574
	LotteryType <LtryTp>	[0..1]	±		575

7.4.12.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate93** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		572
	ExDividendDate <ExDvddDt>	[0..1]	±		572
	LotteryDate <LtryDt>	[0..1]	±		572

7.4.12.1.1 RecordDate <RcrdDt>*Presence:* [0..1]*Definition:* Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.**RecordDate <RcrdDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.12.1.2 ExDividendDate <ExDvddDt>*Presence:* [0..1]*Definition:* Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.**ExDividendDate <ExDvddDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.12.1.3 LotteryDate <LtryDt>*Presence:* [0..1]*Definition:* Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.**LotteryDate <LtryDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.12.2 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity linked to a corporate action.**SecuritiesQuantity <SctiesQty>** contains the following **CorporateActionQuantity13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C21	573
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C21	573

7.4.12.2.1 BaseDenomination <BaseDnmtn>*Presence:* [0..1]*Definition:* Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.*Impacted by:* C21 "DigitalTokenUnitUsageRule"**BaseDenomination <BaseDnmtn>** contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.12.2.2 IncrementalDenomination <IncrmtlDnmtn>*Presence:* [0..1]*Definition:* Amount used when the called amount is not met by running the lottery with the base denomination.*Impacted by:* C21 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.12.3 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 1273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1273
Or}	Proprietary <Prtry>	[1..1]	±		1273

7.4.12.4 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates whether the message is related to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat21Choice" on page 1337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1338

7.4.12.5 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Specifies the type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see ["IntermediateSecuritiesDistributionTypeFormat18Choice"](#) on page 1320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1320
Or}	Proprietary <Prtry>	[1..1]	±		1321

7.4.12.6 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see ["LotteryTypeFormat5Choice"](#) on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

7.4.13 CorporateActionMovementDetails <CorpActnMvmntDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: [C1 "AcceptancePriorityLevelUGRule"](#), [C7 "AdditionalTextRule"](#), [C11 "AppliedOptionRule"](#), [C23 "DissenterRights1Rule"](#), [C24 "DissenterRights1UGRule"](#), [C25 "DissenterRights2Rule"](#), [C26 "DissenterRights2UGRule"](#), [C43 "InstructCashAmountRule"](#), [C66 "RevocabilityPeriodRule"](#), [C56 "OptionNumberGuideline"](#)

CorporateActionMovementDetails <CorpActnMvmntDtls> contains the following
CorporateActionOption245 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		592
	OptionType <OptnTp>	[1..1]	±		592
	FractionDisposition <FrctnDspstn>	[0..1]	±		593
	OfferType <OfferTp>	[0..*]			593
{Or	Code <Cd>	[1..1]	CodeSet		593
Or}	Proprietary <Prtry>	[1..1]	±		594
	OptionFeatures <OptnFeatrs>	[0..*]			594
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595
	OptionAvailabilityStatus <OptnAvlbtys>	[0..1]	±		596
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]			596
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		597
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C14	597
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C14	598
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	598
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglnstr>	[1..1]	±		598
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		599
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		599
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		599
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		599
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		599
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		600
	SecurityIdentification <SctyId>	[0..1]	±	C18, C19, C45, C46, C58	600
	DateDetails <DtDtls>	[0..1]			601
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		601
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		602
	ProtectDeadline <PrctDdln>	[0..1]	±		602

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketDeadline <MktDdln>	[0..1]	±		602
	ResponseDeadline <RspnDdln>	[0..1]	±		603
	ExpiryDate <XpryDt>	[0..1]	±		603
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		604
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		604
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		604
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			605
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		605
	Borrower <Brrwr>	[1..1]	±		605
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		605
	PeriodDetails <PrdDtls>	[0..1]			606
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		606
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		606
	ActionPeriod <ActnPrd>	[0..1]	±		607
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		607
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		607
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		607
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		608
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C32, C34, C51, C53, C73, C75, C77, C81, C83	608
	AdditionalTax <AddtlTax>	[0..1]			611
{Or	Rate <Rate>	[1..1]	Rate		611
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		611
Or}	Amount <Amt>	[1..1]	Amount	C2	611
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		612
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		612
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		613

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		614
	ProrationRate <PrратnRate>	[0..1]	±		614
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			615
{Or	Rate <Rate>	[1..1]	Rate		615
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		615
Or	Amount <Amt>	[1..1]	Amount	C2	615
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			616
	RateType <RateTp>	[1..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		616
	Rate <Rate>	[1..1]	Rate		617
	SecondLevelTax <ScndLvlTax>	[0..*]			617
{Or	Rate <Rate>	[1..1]	Rate		617
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		617
Or	Amount <Amt>	[1..1]	Amount	C2	618
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			618
	RateType <RateTp>	[1..1]			618
{Or	Code <Cd>	[1..1]	CodeSet		618
Or}	Proprietary <Prtry>	[1..1]	±		619
	Rate <Rate>	[1..1]	Rate		619
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		619
	TaxOnIncome <TaxOnIncm>	[0..1]			620
{Or	Rate <Rate>	[1..1]	Rate		620
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		620
Or}	Amount <Amt>	[1..1]	Amount	C2	620
	PriceDetails <PricDtls>	[0..1]			621
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			621
{Or	PercentagePrice <PctgPric>	[1..1]			622
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		622
	PriceValue <PricVal>	[1..1]	Rate		622
Or	AmountPrice <AmtPric>	[1..1]	±		622

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		623
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			623
{Or	PercentagePrice <PctgPric>	[1..1]			623
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		623
	PriceValue <PricVal>	[1..1]	Rate		624
Or	AmountPrice <AmtPric>	[1..1]	±		624
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		624
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		624
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		625
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		625
	SecuritiesQuantity <SctiesQty>	[0..1]			625
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C22	626
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C22	626
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C21	627
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C21	627
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C21	628
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C21	628
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C21	629
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C28, C39, C41, C47	629
	SecurityDetails <SctyDtls>	[1..1]		C50, C62	635
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	637
	PlaceOfListing <PlcOfListg>	[0..1]	±		638
	DayCountBasis <DayCntBsis>	[0..1]	±		638
	ClassificationType <ClssfctnTp>	[0..1]	±		638
	OptionStyle <OptnStyle>	[0..1]	±		639
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	639
	NextCouponDate <NxtCpnDt>	[0..1]	Date		639

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		639
	MaturityDate <MtrtyDt>	[0..1]	Date		639
	IssueDate <IsseDt>	[0..1]	Date		639
	NextCallableDate <NxtCllblDt>	[0..1]	Date		640
	PutableDate <PutblDt>	[0..1]	Date		640
	DatedDate <DtdDt>	[0..1]	Date		640
	ConversionDate <ConvsDt>	[0..1]	Date		640
	PreviousFactor <PrvsFctr>	[0..1]	±		640
	NextFactor <NxtFctr>	[0..1]	±		640
	InterestRate <IntrstRate>	[0..1]	±		641
	NextInterestRate <NxtIntrstRate>	[0..1]	±		641
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	641
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	642
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	642
	ContractSize <CtrctSz>	[0..1]	±	C20	643
	IssuePrice <IssePric>	[0..1]			643
{Or	PercentagePrice <PctgPric>	[1..1]			644
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		644
	PriceValue <PricVal>	[1..1]	Rate		644
Or	AmountPrice <AmtPric>	[1..1]	±		644
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		645
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		645
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlnd>	[0..1]	±		645
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			645
{Or	Code <Cd>	[1..1]	CodeSet		646
Or}	Proprietary <Prtry>	[1..1]	±		646
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		646
	NewSecuritiesIssuanceIndicator <NewSciesIssnclnd>	[0..1]	CodeSet		647
	IncomeType <IncmTp>	[0..1]	±		647
	OtherIncomeType <OthrIncmTp>	[0..*]	±		648

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExemptionType <XmptnTp>	[0..*]	±		648
	EntitledQuantity <EntitldQty>	[0..1]	±		648
	SafekeepingPlace <SfkpgPlc>	[0..1]			648
{Or	Identification <Id>	[1..1]	±		649
Or	Country <Ctry>	[1..1]	CodeSet	C14	649
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		649
Or	TypeAndIdentification <TpAndId>	[1..1]			649
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		650
	Identification <Id>	[1..1]	IdentifierSet	C9	650
Or}	Proprietary <Prtry>	[1..1]	±		650
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	651
	FractionDisposition <FrctnDspstn>	[0..1]	±		651
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	651
	TradingPeriod <TradgPrd>	[0..1]	±		652
	DateDetails <DtDtls>	[1..1]			652
	PaymentDate <PmtDt>	[1..1]	±		652
	AvailableDate <AvlblDt>	[0..1]	±		653
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		653
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		653
	PariPassuDate <PrpssDt>	[0..1]	±		653
	LastTradingDate <LastTradgDt>	[0..1]	±		654
	RateDetails <RateDtls>	[0..1]			654
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsIntScties>	[0..1]	±		656
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		656
	NewToOld <NewToOd>	[0..1]	±		656
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		657
	ChargesFees <ChrgsFees>	[0..1]			657
{Or	Rate <Rate>	[1..1]	Rate		657
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		658
Or}	Amount <Amt>	[1..1]	Amount	C2	658
	FiscalStamp <FscIStmp>	[0..1]	±		658

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicableRate <AplblRate>	[0..1]	±		658
	TaxCreditRate <TaxCdtRate>	[0..1]			659
{Or	Rate <Rate>	[1..1]	Rate		659
Or	Amount <Amt>	[1..1]	Amount	C2	659
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		659
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		659
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			660
{Or	Rate <Rate>	[1..1]	Rate		660
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		660
Or	Amount <Amt>	[1..1]	Amount	C2	660
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			661
	RateType <RateTp>	[1..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662
	Rate <Rate>	[1..1]	Rate		662
	SecondLevelTax <ScndLvlTax>	[0..*]			662
{Or	Rate <Rate>	[1..1]	Rate		663
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		663
Or	Amount <Amt>	[1..1]	Amount	C2	663
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			663
	RateType <RateTp>	[1..1]			663
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664
	Rate <Rate>	[1..1]	Rate		664
	PriceDetails <PricDtls>	[0..1]		C30	664
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			666
{Or	IndicativePrice <IndctvPric>	[1..1]			667
{Or	PercentagePrice <PctgPric>	[1..1]			667
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668
Or	AmountPrice <AmtPric>	[1..1]	±		668

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		668
Or}	MarketPrice <MktPric>	[1..1]			668
{Or	PercentagePrice <PctgPric>	[1..1]			669
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669
Or	AmountPrice <AmtPric>	[1..1]	±		669
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		670
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			670
{Or	PercentagePrice <PctgPric>	[1..1]			670
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		670
	PriceValue <PricVal>	[1..1]	Rate		671
Or	AmountPrice <AmtPric>	[1..1]	±		671
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		671
	CashValueForTax <CshValForTax>	[0..1]	±		671
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			672
{Or	PercentagePrice <PctgPric>	[1..1]			672
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		672
	PriceValue <PricVal>	[1..1]	Rate		673
Or	AmountPrice <AmtPric>	[1..1]	±		673
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		673
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		673
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			673
{Or	PercentagePrice <PctgPric>	[1..1]			674
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		674
	PriceValue <PricVal>	[1..1]	Rate		675
Or	AmountPrice <AmtPric>	[1..1]	±		675
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		675
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			675
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		676
	PriceValue <PricVal>	[1..1]	Amount	C2	676

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	676
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			677
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		677
	PriceValue <PricVal>	[1..1]	Amount	C2	677
	Amount <Amt>	[1..1]	Amount	C2	678
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		678
	AmountDetails <AmtDtls>	[0..1]			678
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	678
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	679
	CashMovementDetails <CshMvmntDtls>	[0..*]		C29, C40, C42, C48	679
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		686
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		686
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		687
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		687
	IncomeType <IncmTp>	[0..1]	±		687
	OtherIncomeType <OthrIncmTp>	[0..*]	±		688
	ExemptionType <XmptnTp>	[0..*]	±		688
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	688
	CashAccountIdentification <CshAcctId>	[0..1]			688
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C36	689
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		689
Or}	Proprietary <Prtry>	[1..1]	Text		689
	AmountDetails <AmtDtls>	[0..1]			689
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	692
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	693
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	693
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	693
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	694
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	694
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C2, C15	695

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	695
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	695
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	696
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	696
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	697
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	697
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	697
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C2, C15	698
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	698
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	699
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	699
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	699
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	700
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	700
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	701
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	701
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	701
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	702
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	702
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	703
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	703
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	703
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	704
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	704
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	705
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	705
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	705
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	706
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	706
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	707

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	707
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	707
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	708
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C2, C15	708
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	709
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	709
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	709
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	710
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	710
	DateDetails <DtDtls>	[1..1]			711
	PaymentDate <PmtDt>	[1..1]	±		711
	ValueDate <ValDt>	[0..1]			711
{Or	Date <Dt>	[1..1]	Date		712
Or}	DateCode <DtCd>	[1..1]			712
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		712
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		713
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		713
	ForeignExchangeDetails <FXDtls>	[0..1]			713
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	713
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	714
	ExchangeRate <XchgRate>	[1..1]	Rate		714
	ResultingAmount <RsltAmt>	[0..1]	Amount	C2, C15	714
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16, C17, C33, C35, C52, C54, C74, C76, C78, C82, C84	715
	AdditionalTax <AddtlTax>	[0..1]			720
{Or	Rate <Rate>	[1..1]	Rate		720
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		720

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Amount <Amt>	[1..1]	Amount	C2	720
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		720
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		721
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			722
{Or	Rate <Rate>	[1..1]	Rate		722
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		723
Or	Amount <Amt>	[1..1]	Amount	C2	723
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			723
	RateType <RateTp>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724
	Rate <Rate>	[1..1]	Rate		724
	SecondLevelTax <ScndLvlTax>	[0..*]			724
{Or	Rate <Rate>	[1..1]	Rate		725
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		725
Or	Amount <Amt>	[1..1]	Amount	C2	725
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			725
	RateType <RateTp>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726
	Rate <Rate>	[1..1]	Rate		727
	ChargesFees <ChrgsFees>	[0..1]			727
{Or	Rate <Rate>	[1..1]	Rate		727
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		727
Or}	Amount <Amt>	[1..1]	Amount	C2	727
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			728
{Or	Rate <Rate>	[1..1]	Rate		728
Or	AmountToQuantity <AmtToQty>	[1..1]			728
	Amount <Amt>	[1..1]	Amount	C2	728
	Quantity <Qty>	[1..1]	Quantity		729
Or	Amount <Amt>	[1..1]	Amount	C2	729

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		729
	FiscalStamp <FscIStmp>	[0..1]	±		729
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			730
{Or	Rate <Rate>	[1..1]	Rate		730
Or	Amount <Amt>	[1..1]	Amount	C2	730
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		730
	NetDistributionRate <NetDstrbtrRate>	[0..*]	±		731
	ApplicableRate <AplblRate>	[0..1]	±		731
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			731
{Or	Rate <Rate>	[1..1]	Rate		732
Or	AmountToQuantity <AmtToQty>	[1..1]			732
	Amount <Amt>	[1..1]	Amount	C2	732
	Quantity <Qty>	[1..1]	Quantity		733
Or	Amount <Amt>	[1..1]	Amount	C2	733
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		733
	TaxCreditRate <TaxCdtRate>	[0..1]			733
{Or	Rate <Rate>	[1..1]	Rate		733
Or	Amount <Amt>	[1..1]	Amount	C2	734
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		734
	TaxOnIncome <TaxOnIncm>	[0..1]			734
{Or	Rate <Rate>	[1..1]	Rate		734
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		734
Or}	Amount <Amt>	[1..1]	Amount	C2	735
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		735
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		735
	EqualisationRate <EqulstnRate>	[0..1]	±		735
	DeemedRate <DmdRate>	[0..*]			736
{Or	Rate <Rate>	[1..1]	Rate		736
Or	Amount <Amt>	[1..1]	Amount	C2	737
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		737
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			737

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			737
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738
	Amount <Amt>	[1..1]	Amount	C2	738
	RateStatus <RateSts>	[0..1]		C64	739
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			739
	RateType <RateTp>	[1..1]			740
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740
	Rate <Rate>	[1..1]	Rate		741
	PriceDetails <PricDtls>	[0..1]		C31	741
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			743
{Or	PercentagePrice <PctgPric>	[1..1]			743
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		743
	PriceValue <PricVal>	[1..1]	Rate		744
Or	AmountPrice <AmtPric>	[1..1]	±		744
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		744
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		744
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			744
{Or	PercentagePrice <PctgPric>	[1..1]			745
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		745
	PriceValue <PricVal>	[1..1]	Rate		746
Or	AmountPrice <AmtPric>	[1..1]	±		746
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		746
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			746
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		747
	PriceValue <PricVal>	[1..1]	Amount	C2	747
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	747

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			748
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		748
	PriceValue <PricVal>	[1..1]	Amount	C2	748
	Amount <Amt>	[1..1]	Amount	C2	749
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		749
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			749
{Or	PercentagePrice <PctgPric>	[1..1]			749
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		750
	PriceValue <PricVal>	[1..1]	Rate		750
Or	AmountPrice <AmtPric>	[1..1]	±		750
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		750
	AdditionalInformation <AddtlInf>	[0..1]		C4	751
	AdditionalText <AddtlTxt>	[0..1]			751
	AdditionalInformation <AddtlInf>	[1..1]	Text		752
	NarrativeVersion <NrrtvVrsn>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..1]	Text		752
	InformationConditions <InfConds>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..*]	Text		752
	InformationToComplyWith <InfToCmplyWth>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	SecurityRestriction <SctyRstrctn>	[0..1]			753
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	TaxationConditions <TaxtnConds>	[0..1]			753
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	Disclaimer <Dsclmr>	[0..1]			754
	AdditionalInformation <AddtlInf>	[1..*]	Text		754
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			754
	AdditionalInformation <AddtlInf>	[1..*]	Text		754

Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

```

if
    at least one occurrence of [OfferType/Code] has value included in the
following list 'ACPR'
, then
    at least one occurrence of the following element(s) [AcceptancePriorityLevel]
must be present

```

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```

On Condition
    /OptionType is present
And    /OptionType/Code is equal to value 'Other'
Following Must be True
    /AdditionalInformation Must be present
And    /AdditionalInformation/AdditionalText Must be present
And    /AdditionalInformation/AdditionalText/AdditionalInformation Must be
present

```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
    /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
    /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

```

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
    at least one occurrence of [OfferType/Code] has value included in the
following list 'DISS'
, then
    every occurrence of [OfferType/Code] must have value not included in the
following list 'NDIS'

```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
    /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
    /OfferType[*]/Code Must be different from value 'DissenterRights'

```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'NDIS'
, then
    every occurrence of [OfferType/Code] must have value not included in the
    following list 'DISS'
```

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```
On Condition
    /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
    /PriceDetails/MaximumCashToInstruct Must be absent
And    /PriceDetails/MinimumCashToInstruct Must be absent
And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent
```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

7.4.13.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1525

7.4.13.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption46Choice" on page 1306 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1306
Or}	Proprietary <Prtry>	[1..1]	±		1307

7.4.13.3 FractionDisposition <FrctnDspstn>*Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following elements (see "FractionDispositionType31Choice" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1311
Or}	Proprietary <Prtry>	[1..1]	±		1312

7.4.13.4 OfferType <OfferTp>*Presence:* [0..*]*Definition:* Specifies the conditions that apply to the offer.**OfferType <OfferTp>** contains one of the following **OfferTypeFormat15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		593
Or}	Proprietary <Prtry>	[1..1]	±		594

7.4.13.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the conditions that apply to the offer.*Datatype:* "OfferType5Code" on page 1503

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

7.4.13.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeaturesFormat31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		594
Or}	Proprietary <Prtry>	[1..1]	±		595

7.4.13.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures13Code](#)" on page 1504

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

7.4.13.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.6 OptionAvailabilityStatus <OptnAvlbtys>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtys> contains one of the following elements (see "[OptionAvailabilityStatus4Choice](#)" on page 1318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1319

7.4.13.7 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following **BeneficiaryCertificationType14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		597

7.4.13.7.1 Code <Cd>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "[BeneficiaryCertificationType6Code](#)" on page 1444

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate

CodeName	Name	Definition
		action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

7.4.13.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.8 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C14 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.9 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: C14 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.11 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction2Choice" on page 1269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		1269
Or}	StandingInstructionIndicator <StglInstrInd>	[1..1]	Indicator		1269

7.4.13.12 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.13 AcceptancePriorityLevel <AcptncPrtyLvl>

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "[Exact3UpperCaseAlphaNumericText](#)" on page 1525

7.4.13.14 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.15 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.16 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.17 AppliedOptionIndicator <ApIdOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.18 SecurityIdentification <SctyId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C18 "DescriptionPresenceRule", C19 "DescriptionUsageRule", C45 "ISINGuideline", C46 "ISINPresenceRule", C58 "OtherIdentificationPresenceRule"

SecurityIdentification <SctyId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

7.4.13.19 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate107** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		601
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		602
	ProtectDeadline <PrctDdln>	[0..1]	±		602
	MarketDeadline <MktDdln>	[0..1]	±		602
	ResponseDeadline <RspnDdln>	[0..1]	±		603
	ExpiryDate <XpryDt>	[0..1]	±		603
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		604
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		604
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		604
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			605
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		605
	Borrower <Brrwr>	[1..1]	±		605
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		605

7.4.13.19.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.3 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat54Choice" on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1232
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			1232
	DateCode <DtCd>	[1..1]			1233
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233
	Time <Tm>	[1..1]	Time		1233
Or}	DateCode <DtCd>	[1..1]			1234
{Or	Code <Cd>	[1..1]	CodeSet		1234
Or}	Proprietary <Prtry>	[1..1]	±		1234

7.4.13.19.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.7 SubscriptionCostDebitDate <SbcptCostDbtDt>*Presence:* [0..1]*Definition:* Date/time by which cash must be in place in order to take part in the event.**SubscriptionCostDebitDate <SbcptCostDbtDt>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.9 StockLendingDeadline <StockLndgDdlIn>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdlIn>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		605
	Borrower <Brrwr>	[1..1]	±		605

7.4.13.19.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.19.10.2 Borrower <Brrwr>*Presence:* [1..1]*Definition:* Party who has borrowed stocks on loan.**Borrower <Brrwr>** contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

7.4.13.19.11 DocumentationDeadline <DcmnttnDdln>*Presence:* [0..1]*Definition:* Date/Time by when the documentation must be provided.

DocumentationDeadline <DcmnttnDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.20 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtIs> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		606
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		606
	ActionPeriod <ActnPrd>	[0..1]	±		607
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		607
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		607
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		607
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		608

7.4.13.20.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.20.2 ParallelTradingPeriod <ParllTradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.20.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.20.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.20.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.20.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.20.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.21 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: [C32 "GrossDistributionRate2Rule"](#), [C34 "GrossDistributionRate3Rule"](#), [C51 "NetDistributionRate1Rule"](#), [C53 "NetDistributionRate2Rule"](#), [C73 "SecondLevelTax1Rule"](#), [C75 "SecondLevelTax2Rule"](#), [C77 "SecondLevelTax3Rule"](#), [C81 "WithholdingTaxRate1Rule"](#), [C83 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate133** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]			611
{Or	Rate <Rate>	[1..1]	Rate		611
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		611
Or}	Amount <Amt>	[1..1]	Amount	C2	611
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		612
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		612
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		613
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		614
	ProrationRate <PrratnRate>	[0..1]	±		614
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			615
{Or	Rate <Rate>	[1..1]	Rate		615
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		615
Or	Amount <Amt>	[1..1]	Amount	C2	615
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			616
	RateType <RateTp>	[1..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		616
	Rate <Rate>	[1..1]	Rate		617
	SecondLevelTax <ScndLvITax>	[0..*]			617
{Or	Rate <Rate>	[1..1]	Rate		617
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		617
Or	Amount <Amt>	[1..1]	Amount	C2	618
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			618
	RateType <RateTp>	[1..1]			618
{Or	Code <Cd>	[1..1]	CodeSet		618
Or}	Proprietary <Prtry>	[1..1]	±		619
	Rate <Rate>	[1..1]	Rate		619
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		619
	TaxOnIncome <TaxOnIncm>	[0..1]			620

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		620
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		620
Or}	Amount <Amt>	[1..1]	Amount	C2	620

Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate.

(MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

On Condition

/SecondLevelTax[*] is present

Following Must be True

/WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.21.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following **RateAndAmountFormat69Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		611
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		611
Or}	Amount <Amt>	[1..1]	Amount	C2	611

7.4.13.21.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.21.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.21.1.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.21.2 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1277
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1277
	Amount <Amt>	[1..1]	Amount	C1	1278
	RateStatus <RateSts>	[1..1]	CodeSet		1278
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1278
	RateType <RateTp>	[1..1]			1279
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280
	Amount <Amt>	[1..1]	Amount	C1	1280
	RateStatus <RateSts>	[0..1]		C52	1280
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1281

7.4.13.21.3 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat43Choice" on page 1333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1333
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1334
	Amount <Amt>	[1..1]	Amount	C1	1334
	RateStatus <RateSts>	[1..1]	CodeSet		1334
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1334
	RateType <RateTp>	[1..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336
	Amount <Amt>	[1..1]	Amount	C1	1336
	RateStatus <RateSts>	[0..1]		C52	1336
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1337

7.4.13.21.4 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat18Choice" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1343
Or	Amount <Amt>	[1..1]	Amount	C1	1343
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1343
	RateType <RateTp>	[1..1]			1344
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344
	Amount <Amt>	[1..1]	Amount	C1	1345
	RateStatus <RateSts>	[0..1]		C52	1345
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1346

7.4.13.21.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.21.6 ProrationRate <PrtrtnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrtrtnRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.21.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following **RateAndAmountFormat70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		615
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		615
Or	Amount <Amt>	[1..1]	Amount	C2	615
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			616
	RateType <RateTp>	[1..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		616
	Rate <Rate>	[1..1]	Rate		617

7.4.13.21.7.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.21.7.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.21.7.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.21.7.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		616
	Rate <Rate>	[1..1]	Rate		617

7.4.13.21.7.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		616

7.4.13.21.7.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

7.4.13.21.7.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.21.7.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

7.4.13.21.8 SecondLevelTax <ScndLvITax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following **RateAndAmountFormat70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		617
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		617
Or	Amount <Amt>	[1..1]	Amount	C2	618
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			618
	RateType <RateTp>	[1..1]			618
{Or	Code <Cd>	[1..1]	CodeSet		618
Or}	Proprietary <Prtry>	[1..1]	±		619
	Rate <Rate>	[1..1]	Rate		619

7.4.13.21.8.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

7.4.13.21.8.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.21.8.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.21.8.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			618
{Or	Code <Cd>	[1..1]	CodeSet		618
Or}	Proprietary <Prtry>	[1..1]	±		619
	Rate <Rate>	[1..1]	Rate		619

7.4.13.21.8.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		618
Or}	Proprietary <Prtry>	[1..1]	±		619

7.4.13.21.8.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

7.4.13.21.8.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.21.8.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.21.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1284
{Or	Code <Cd>	[1..1]	CodeSet		1284
Or}	Proprietary <Prtry>	[1..1]	±		1284
	Amount <Amt>	[1..1]	Amount	C1	1284
	RateStatus <RateSts>	[0..1]		C52	1285
{Or	Code <Cd>	[1..1]	CodeSet		1285
Or}	Proprietary <Prtry>	[1..1]	±		1285

7.4.13.21.10 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following **RateAndAmountFormat69Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		620
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		620
Or}	Amount <Amt>	[1..1]	Amount	C2	620

7.4.13.21.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.21.10.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.21.10.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.22 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice94** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			621
{Or	PercentagePrice <PctgPric>	[1..1]			622
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		622
	PriceValue <PricVal>	[1..1]	Rate		622
Or	AmountPrice <AmtPric>	[1..1]	±		622
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		623
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			623
{Or	PercentagePrice <PctgPric>	[1..1]			623
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		623
	PriceValue <PricVal>	[1..1]	Rate		624
Or	AmountPrice <AmtPric>	[1..1]	±		624
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		624
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		624
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		625
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		625

7.4.13.22.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			622
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		622
	PriceValue <PricVal>	[1..1]	Rate		622
Or	AmountPrice <AmtPric>	[1..1]	±		622
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		623

7.4.13.22.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		622
	PriceValue <PricVal>	[1..1]	Rate		622

7.4.13.22.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.22.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

7.4.13.22.1.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.22.1.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.22.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			623
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		623
	PriceValue <PricVal>	[1..1]	Rate		624
Or	AmountPrice <AmtPric>	[1..1]	±		624
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		624

7.4.13.22.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		623
	PriceValue <PricVal>	[1..1]	Rate		624

7.4.13.22.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.22.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

7.4.13.22.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.22.2.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.22.3 MaximumCashToInstruct <MaxCshToInst>

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

MaximumCashToInstruct <MaxCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 1372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

7.4.13.22.4 MinimumCashToInstruct <MinCshToInst>

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

MinimumCashToInstruct <MinCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 1372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

7.4.13.22.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.

MinimumMultipleCashToInstruct <MinMltplCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 1372 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

7.4.13.23 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity related to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C22	626
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C22	626
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C21	627
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C21	627
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C21	628
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C21	628
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C21	629

7.4.13.23.1 MaximumQuantityToInstruct <MaxQtyToInst>

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

Impacted by: C22 "DigitalTokenUnitUsageRule"

MaximumQuantityToInstruct <MaxQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.2 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C22 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C21 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C21 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C21 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C21 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C21 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about securities movement related to a corporate action option.

Impacted by: C28 "ExemptionTypeUsageRule", C39 "IncomeType1Rule", C41 "IncomeTypeUsageRule", C47 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption116** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]		C50, C62	635
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	637
	PlaceOfListing <PlcOfListg>	[0..1]	±		638
	DayCountBasis <DayCntBsis>	[0..1]	±		638
	ClassificationType <ClssfctnTp>	[0..1]	±		638
	OptionStyle <OptnStyle>	[0..1]	±		639
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	639
	NextCouponDate <NxtCpnDt>	[0..1]	Date		639
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		639
	MaturityDate <MtrtyDt>	[0..1]	Date		639
	IssueDate <IsseDt>	[0..1]	Date		639
	NextCallableDate <NxtCllbldDt>	[0..1]	Date		640
	PutableDate <PutblDt>	[0..1]	Date		640
	DatedDate <DtdDt>	[0..1]	Date		640
	ConversionDate <ConvsDt>	[0..1]	Date		640
	PreviousFactor <PrvsFctr>	[0..1]	±		640
	NextFactor <NxtFctr>	[0..1]	±		640
	InterestRate <IntrstRate>	[0..1]	±		641
	NextInterestRate <NxtIntrstRate>	[0..1]	±		641
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	641
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	642
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	642
	ContractSize <CtrctSz>	[0..1]	±	C20	643
	IssuePrice <IssePric>	[0..1]			643
{Or	PercentagePrice <PctgPric>	[1..1]			644
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		644
	PriceValue <PricVal>	[1..1]	Rate		644

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPrice <AmtPric>	[1..1]	±		644
Or}	NotSpecifiedPrice <NotSpecfdPric>	[1..1]	CodeSet		645
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		645
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		645
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			645
{Or	Code <Cd>	[1..1]	CodeSet		646
Or}	Proprietary <Prtry>	[1..1]	±		646
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		646
	NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>	[0..1]	CodeSet		647
	IncomeType <IncmTp>	[0..1]	±		647
	OtherIncomeType <OthrIncmTp>	[0..*]	±		648
	ExemptionType <XmptnTp>	[0..*]	±		648
	EntitledQuantity <EntitldQty>	[0..1]	±		648
	SafekeepingPlace <SfkpgPlc>	[0..1]			648
{Or	Identification <Id>	[1..1]	±		649
Or	Country <Ctry>	[1..1]	CodeSet	C14	649
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		649
Or	TypeAndIdentification <TpAndId>	[1..1]			649
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		650
	Identification <Id>	[1..1]	IdentifierSet	C9	650
Or}	Proprietary <Prtry>	[1..1]	±		650
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	651
	FractionDisposition <FrctnDspstn>	[0..1]	±		651
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	651
	TradingPeriod <TradgPrd>	[0..1]	±		652
	DateDetails <DtDtls>	[1..1]			652
	PaymentDate <PmtDt>	[1..1]	±		652
	AvailableDate <AvlblDt>	[0..1]	±		653
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		653
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		653
	PariPassuDate <PrpssDt>	[0..1]	±		653

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LastTradingDate <LastTradgDt>	[0..1]	±		654
	RateDetails <RateDtls>	[0..1]			654
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		656
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		656
	NewToOld <NewToOd>	[0..1]	±		656
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		657
	ChargesFees <ChrgsFees>	[0..1]			657
{Or	Rate <Rate>	[1..1]	Rate		657
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		658
Or}	Amount <Amt>	[1..1]	Amount	C2	658
	FiscalStamp <FscIStmp>	[0..1]	±		658
	ApplicableRate <AplblRate>	[0..1]	±		658
	TaxCreditRate <TaxCdtRate>	[0..1]			659
{Or	Rate <Rate>	[1..1]	Rate		659
Or	Amount <Amt>	[1..1]	Amount	C2	659
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		659
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		659
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			660
{Or	Rate <Rate>	[1..1]	Rate		660
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		660
Or	Amount <Amt>	[1..1]	Amount	C2	660
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			661
	RateType <RateTp>	[1..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662
	Rate <Rate>	[1..1]	Rate		662
	SecondLevelTax <ScndLvITax>	[0..*]			662
{Or	Rate <Rate>	[1..1]	Rate		663
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		663
Or	Amount <Amt>	[1..1]	Amount	C2	663
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			663

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			663
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664
	Rate <Rate>	[1..1]	Rate		664
	PriceDetails <PricDtls>	[0..1]		C30	664
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			666
{Or	IndicativePrice <IndctvPric>	[1..1]			667
{Or	PercentagePrice <PctgPric>	[1..1]			667
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668
Or	AmountPrice <AmtPric>	[1..1]	±		668
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		668
Or}	MarketPrice <MktPric>	[1..1]			668
{Or	PercentagePrice <PctgPric>	[1..1]			669
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669
Or	AmountPrice <AmtPric>	[1..1]	±		669
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		670
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			670
{Or	PercentagePrice <PctgPric>	[1..1]			670
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		670
	PriceValue <PricVal>	[1..1]	Rate		671
Or	AmountPrice <AmtPric>	[1..1]	±		671
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		671
	CashValueForTax <CshValForTax>	[0..1]	±		671
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			672
{Or	PercentagePrice <PctgPric>	[1..1]			672
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		672
	PriceValue <PricVal>	[1..1]	Rate		673
Or	AmountPrice <AmtPric>	[1..1]	±		673
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		673

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		673
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			673
{Or	PercentagePrice <PctgPric>	[1..1]			674
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		674
	PriceValue <PricVal>	[1..1]	Rate		675
Or	AmountPrice <AmtPric>	[1..1]	±		675
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		675
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			675
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		676
	PriceValue <PricVal>	[1..1]	Amount	C2	676
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	676
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			677
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		677
	PriceValue <PricVal>	[1..1]	Amount	C2	677
	Amount <Amt>	[1..1]	Amount	C2	678
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		678
	AmountDetails <AmtDtls>	[0..1]			678
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	678
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	679

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.24.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C50 "MaturityDateRule", C62 "PreviousNextFactorRule"

SecurityDetails <SctyDtIs> contains the following **FinancialInstrumentAttributes135** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	637
	PlaceOfListing <PlcOfListg>	[0..1]	±		638
	DayCountBasis <DayCntBsis>	[0..1]	±		638
	ClassificationType <ClssfctnTp>	[0..1]	±		638
	OptionStyle <OptnStyle>	[0..1]	±		639
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	639
	NextCouponDate <NxtCpnDt>	[0..1]	Date		639
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		639
	MaturityDate <MtrtyDt>	[0..1]	Date		639
	IssueDate <IsseDt>	[0..1]	Date		639
	NextCallableDate <NxtClblDt>	[0..1]	Date		640
	PutableDate <PutblDt>	[0..1]	Date		640
	DatedDate <DtdDt>	[0..1]	Date		640
	ConversionDate <ConvstDt>	[0..1]	Date		640
	PreviousFactor <PrvsFctr>	[0..1]	±		640
	NextFactor <NxtFctr>	[0..1]	±		640
	InterestRate <IntrstRate>	[0..1]	±		641
	NextInterestRate <NxtIntrstRate>	[0..1]	±		641
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	641
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	642
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	642
	ContractSize <CtrctSz>	[0..1]	±	C20	643
	IssuePrice <IssePric>	[0..1]			643
{Or	PercentagePrice <PctgPric>	[1..1]			644
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		644
	PriceValue <PricVal>	[1..1]	Rate		644
Or	AmountPrice <AmtPric>	[1..1]	±		644
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		645

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.13.24.1.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: [C18 "DescriptionPresenceRule"](#), [C19 "DescriptionUsageRule"](#), [C45 "ISINGuideline"](#), [C46 "ISINPresenceRule"](#), [C58 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification20"](#) on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

7.4.13.24.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 1268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

7.4.13.24.1.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1322
Or}	Proprietary <Prtry>	[1..1]	±		1326

7.4.13.24.1.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1272
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1273

7.4.13.24.1.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle9Choice"](#) on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1312
Or}	Proprietary <Prtry>	[1..1]	±		1313

7.4.13.24.1.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1438**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.1.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1520**7.4.13.24.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1520**7.4.13.24.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1520**7.4.13.24.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

Datatype: "ISODate" on page 1520

7.4.13.24.1.11 NextCallableDate <NxtCllbIDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1520

7.4.13.24.1.12 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1520

7.4.13.24.1.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1520

7.4.13.24.1.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1520

7.4.13.24.1.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "RateFormat12Choice" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

7.4.13.24.1.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

7.4.13.24.1.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.24.1.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.24.1.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: [C20 "DigitalTokenUnitUsageRule"](#)

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.20 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C20 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C20 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.22 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C20 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.23 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			644
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		644
	PriceValue <PricVal>	[1..1]	Rate		644
Or	AmountPrice <AmtPric>	[1..1]	±		644
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		645

7.4.13.24.1.23.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		644
	PriceValue <PricVal>	[1..1]	Rate		644

7.4.13.24.1.23.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.24.1.23.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.1.23.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.24.1.23.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.24.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "[CreditDebitCode](#)" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.24.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "[TemporaryFinancialInstrumentIndicator4Choice](#)" on page 1318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		1318
Or}	Proprietary <Prtry>	[1..1]	±		1318

7.4.13.24.4 NonEligibleProceedsIndicator <NonElglblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following **NonEligibleProceedsIndicator6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		646
Or}	Proprietary <Prtry>	[1..1]	±		646

7.4.13.24.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 1502

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

7.4.13.24.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.24.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "[IssuerOfferorTaxabilityIndicator1Choice](#)" on page 1329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

7.4.13.24.6 NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>*Presence:* [0..1]*Definition:* Specifies the nature of the securities issue.*Datatype:* "NewSecuritiesIssuanceType5Code" on page 1501

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

7.4.13.24.7 IncomeType <IncMTp>*Presence:* [0..1]*Definition:* Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.**IncomeType <IncMTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.24.8 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrIncmTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.24.9 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.24.10 EntitledQuantity <EntitldQty>*Presence:* [0..1]*Definition:* Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).**EntitledQuantity <EntitldQty>** contains one of the following elements (see "[Quantity54Choice](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

7.4.13.24.11 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat53Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		649
Or	Country <Ctry>	[1..1]	CodeSet	C14	649
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		649
Or	TypeAndIdentification <TpAndId>	[1..1]			649
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		650
	Identification <Id>	[1..1]	IdentifierSet	C9	650
Or}	Proprietary <Prtry>	[1..1]	±		650

7.4.13.24.11.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText15"](#) on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

7.4.13.24.11.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C14 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.24.11.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

7.4.13.24.11.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		650
	Identification <Id>	[1..1]	IdentifierSet	C9	650

7.4.13.24.11.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

7.4.13.24.11.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C9 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.4.13.24.11.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

7.4.13.24.12 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: [C14 "Country"](#)

Datatype: "[CountryCode](#)" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.24.13 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType31Choice](#)" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1311
Or}	Proprietary <Prtry>	[1..1]	±		1312

7.4.13.24.14 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

7.4.13.24.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		652
	AvailableDate <AvlblDt>	[0..1]	±		653
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		653
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		653
	PariPassuDate <PrpssDt>	[0..1]	±		653
	LastTradingDate <LastTradgDt>	[0..1]	±		654

7.4.13.24.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.24.16.2 AvailableDate <AvlbdDt>*Presence:* [0..1]*Definition:* Date/time at which securities become available for trading, for example first dealing date.**AvailableDate <AvlbdDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.24.16.3 DividendRankingDate <DvddRnkgDt>*Presence:* [0..1]*Definition:* Date/time at which a security will be entitled to a dividend.**DividendRankingDate <DvddRnkgDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.24.16.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]*Definition:* Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.**EarliestPaymentDate <EarlstPmtDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.24.16.5 PariPassuDate <PrpssDt>*Presence:* [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.24.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.24.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate134** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		656
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		656
	NewToOld <NewToOd>	[0..1]	±		656
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		657
	ChargesFees <ChrgsFees>	[0..1]			657
{Or	Rate <Rate>	[1..1]	Rate		657
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		658
Or}	Amount <Amt>	[1..1]	Amount	C2	658
	FiscalStamp <FscIStmp>	[0..1]	±		658
	ApplicableRate <AplblRate>	[0..1]	±		658
	TaxCreditRate <TaxCdtRate>	[0..1]			659
{Or	Rate <Rate>	[1..1]	Rate		659
Or	Amount <Amt>	[1..1]	Amount	C2	659
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		659
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		659
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			660
{Or	Rate <Rate>	[1..1]	Rate		660
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		660
Or	Amount <Amt>	[1..1]	Amount	C2	660
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			661
	RateType <RateTp>	[1..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662
	Rate <Rate>	[1..1]	Rate		662
	SecondLevelTax <ScndLvITax>	[0..*]			662
{Or	Rate <Rate>	[1..1]	Rate		663
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		663
Or	Amount <Amt>	[1..1]	Amount	C2	663
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			663
	RateType <RateTp>	[1..1]			663

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664
	Rate <Rate>	[1..1]	Rate		664

7.4.13.24.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties> contains one of the following elements (see "RatioFormat23Choice" on page 1298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1298
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1299
Or}	AmountToAmount <AmtToAmt>	[1..1]			1299
	Amount1 <Amt1>	[1..1]	Amount	C1	1299
	Amount2 <Amt2>	[1..1]	Amount	C1	1299

7.4.13.24.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties> contains one of the following elements (see "RatioFormat23Choice" on page 1298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1298
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1299
Or}	AmountToAmount <AmtToAmt>	[1..1]			1299
	Amount1 <Amt1>	[1..1]	Amount	C1	1299
	Amount2 <Amt2>	[1..1]	Amount	C1	1299

7.4.13.24.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat24Choice" on page 1330 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1330
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1330
Or	AmountToAmount <AmtToAmt>	[1..1]			1331
	Amount1 <Amt1>	[1..1]	Amount	C1	1331
	Amount2 <Amt2>	[1..1]	Amount	C1	1331
Or	AmountToQuantity <AmtToQty>	[1..1]			1331
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1332
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1332
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1333

7.4.13.24.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following **RateAndAmountFormat69Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		657
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		658
Or}	Amount <Amt>	[1..1]	Amount	C2	658

7.4.13.24.17.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.17.5.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.24.17.5.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.17.6 FiscalStamp <FscIStmp>*Presence:* [0..1]*Definition:* Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.24.17.7 ApplicableRate <ApIblRate>*Presence:* [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <ApIblRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.24.17.8 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following **RateFormat29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		659
Or	Amount <Amt>	[1..1]	Amount	C2	659
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		659

7.4.13.24.17.8.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.24.17.8.2 Amount <Amt>***Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.17.8.3 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.24.17.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.

FinancialTransactionTaxRate <FinTxTaxRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.24.17.10 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		660
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		660
Or	Amount <Amt>	[1..1]	Amount	C2	660
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			661
	RateType <RateTp>	[1..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662
	Rate <Rate>	[1..1]	Rate		662

7.4.13.24.17.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.17.10.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.24.17.10.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.17.10.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			661
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662
	Rate <Rate>	[1..1]	Rate		662

7.4.13.24.17.10.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		661
Or}	Proprietary <Prtry>	[1..1]	±		662

7.4.13.24.17.10.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).

CodeName	Name	Definition
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

7.4.13.24.17.10.4.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.24.17.10.4.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**7.4.13.24.17.11 SecondLevelTax <ScndLvTax>***Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvTax>** contains one of the following **RateAndAmountFormat70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		663
Or	NotSpecifiedRate <NotSpofdRate>	[1..1]	CodeSet		663
Or	Amount <Amt>	[1..1]	Amount	C2	663
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			663
	RateType <RateTp>	[1..1]			663
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664
	Rate <Rate>	[1..1]	Rate		664

7.4.13.24.17.11.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.24.17.11.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.24.17.11.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.17.11.4 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			663
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664
	Rate <Rate>	[1..1]	Rate		664

7.4.13.24.17.11.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		664
Or}	Proprietary <Prtry>	[1..1]	±		664

7.4.13.24.17.11.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

7.4.13.24.17.11.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.24.17.11.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.18 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C30 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice95** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			666
{Or	IndicativePrice <IndctvPric>	[1..1]			667
{Or	PercentagePrice <PctgPric>	[1..1]			667
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668
Or	AmountPrice <AmtPric>	[1..1]	±		668
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		668
Or}	MarketPrice <MktPric>	[1..1]			668
{Or	PercentagePrice <PctgPric>	[1..1]			669
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669
Or	AmountPrice <AmtPric>	[1..1]	±		669
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		670
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			670
{Or	PercentagePrice <PctgPric>	[1..1]			670
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		670
	PriceValue <PricVal>	[1..1]	Rate		671
Or	AmountPrice <AmtPric>	[1..1]	±		671
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		671
	CashValueForTax <CshValForTax>	[0..1]	±		671
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			672
{Or	PercentagePrice <PctgPric>	[1..1]			672
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		672
	PriceValue <PricVal>	[1..1]	Rate		673
Or	AmountPrice <AmtPric>	[1..1]	±		673
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		673
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		673
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			673
{Or	PercentagePrice <PctgPric>	[1..1]			674
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		674

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValue <PricVal>	[1..1]	Rate		675
Or	AmountPrice <AmtPric>	[1..1]	±		675
Or	NotSpecifiedPrice <NotSpcedPric>	[1..1]	CodeSet		675
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			675
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		676
	PriceValue <PricVal>	[1..1]	Amount	C2	676
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	676
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			677
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		677
	PriceValue <PricVal>	[1..1]	Amount	C2	677
	Amount <Amt>	[1..1]	Amount	C2	678
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		678

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

7.4.13.24.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]			667
{Or	PercentagePrice <PctgPric>	[1..1]			667
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668
Or	AmountPrice <AmtPric>	[1..1]	±		668
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		668
Or}	MarketPrice <MktPric>	[1..1]			668
{Or	PercentagePrice <PctgPric>	[1..1]			669
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669
Or	AmountPrice <AmtPric>	[1..1]	±		669
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		670

7.4.13.24.18.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			667
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668
Or	AmountPrice <AmtPric>	[1..1]	±		668
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		668

7.4.13.24.18.1.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		668
	PriceValue <PricVal>	[1..1]	Rate		668

7.4.13.24.18.1.1.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.24.18.1.1.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.24.18.1.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.24.18.1.1.3 NotSpecifiedPrice <NotSpdfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.24.18.1.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			669
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669
Or	AmountPrice <AmtPric>	[1..1]	±		669
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		670

7.4.13.24.18.1.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		669
	PriceValue <PricVal>	[1..1]	Rate		669

7.4.13.24.18.1.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.24.18.1.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.18.1.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.24.18.1.2.3 NotSpecifiedPrice <NotSpctdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.24.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			670
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		670
	PriceValue <PricVal>	[1..1]	Rate		671
Or	AmountPrice <AmtPric>	[1..1]	±		671
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		671

7.4.13.24.18.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		670
	PriceValue <PricVal>	[1..1]	Rate		671

7.4.13.24.18.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.24.18.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

7.4.13.24.18.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.24.18.2.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.24.18.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat58Choice" on page 1373 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1374
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		1374

7.4.13.24.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat89Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			672
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		672
	PriceValue <PricVal>	[1..1]	Rate		673
Or	AmountPrice <AmtPric>	[1..1]	±		673
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		673
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		673

7.4.13.24.18.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		672
	PriceValue <PricVal>	[1..1]	Rate		673

7.4.13.24.18.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.24.18.4.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.24.18.4.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.24.18.4.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.24.18.4.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**7.4.13.24.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>***Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat90Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			674
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		674
	PriceValue <PricVal>	[1..1]	Rate		675
Or	AmountPrice <AmtPric>	[1..1]	±		675
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		675
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			675
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		676
	PriceValue <PricVal>	[1..1]	Amount	C2	676
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	676
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			677
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		677
	PriceValue <PricVal>	[1..1]	Amount	C2	677
	Amount <Amt>	[1..1]	Amount	C2	678
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		678

7.4.13.24.18.5.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		674
	PriceValue <PricVal>	[1..1]	Rate		675

7.4.13.24.18.5.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.24.18.5.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.24.18.5.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.24.18.5.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType8Code" on page 1509

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

7.4.13.24.18.5.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following
AmountPricePerFinancialInstrumentQuantity11 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		676
	PriceValue <PricVal>	[1..1]	Amount	C2	676
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	676

7.4.13.24.18.5.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

7.4.13.24.18.5.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.18.5.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C20 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.18.5.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		677
	PriceValue <PricVal>	[1..1]	Amount	C2	677
	Amount <Amt>	[1..1]	Amount	C2	678

7.4.13.24.18.5.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

7.4.13.24.18.5.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.18.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.18.5.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

7.4.13.24.19 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	678
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	679

7.4.13.24.19.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.19.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C29 "ExemptionTypeUsageRule", C40 "IncomeType2Rule", C42 "IncomeTypeUsageRule", C48 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption111** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		686
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		686
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		687
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		687
	IncomeType <IncmTp>	[0..1]	±		687
	OtherIncomeType <OthrIncmTp>	[0..*]	±		688
	ExemptionType <XmptnTp>	[0..*]	±		688
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	688
	CashAccountIdentification <CshAcctId>	[0..1]			688
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C36	689
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		689
Or}	Proprietary <Prtry>	[1..1]	Text		689
	AmountDetails <AmtDtls>	[0..1]			689
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	692
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	693
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	693
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	693
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	694
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	694
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C2, C15	695
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	695
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	695
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	696
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	696
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	697
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	697
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	697
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C2, C15	698
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	698
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	699

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	699
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	699
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	700
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	700
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C2, C15	701
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	701
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	701
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	702
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	702
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	703
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	703
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	703
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	704
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	704
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	705
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	705
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	705
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	706
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	706
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	707
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	707
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	707
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	708
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C2, C15	708
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	709
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	709
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	709
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	710
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	710
	DateDetails <DtDtls>	[1..1]			711

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		711
	ValueDate <ValDt>	[0..1]			711
{Or	Date <Dt>	[1..1]	Date		712
Or}	DateCode <DtCd>	[1..1]			712
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		712
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		713
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		713
	ForeignExchangeDetails <FXDtls>	[0..1]			713
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	713
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	714
	ExchangeRate <XchgRate>	[1..1]	Rate		714
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C2, C15	714
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16, C17, C33, C35, C52, C54, C74, C76, C78, C82, C84	715
	AdditionalTax <AddtlTax>	[0..1]			720
{Or	Rate <Rate>	[1..1]	Rate		720
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		720
Or}	Amount <Amt>	[1..1]	Amount	C2	720
	GrossDistributionRate <GrssDstrbtrRate>	[0..*]	±		720
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		721
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			722
{Or	Rate <Rate>	[1..1]	Rate		722
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		723
Or	Amount <Amt>	[1..1]	Amount	C2	723
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			723
	RateType <RateTp>	[1..1]			723

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724
	Rate <Rate>	[1..1]	Rate		724
	SecondLevelTax <ScndLvTax>	[0..*]			724
{Or	Rate <Rate>	[1..1]	Rate		725
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		725
Or	Amount <Amt>	[1..1]	Amount	C2	725
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			725
	RateType <RateTp>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726
	Rate <Rate>	[1..1]	Rate		727
	ChargesFees <ChrgsFees>	[0..1]			727
{Or	Rate <Rate>	[1..1]	Rate		727
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		727
Or}	Amount <Amt>	[1..1]	Amount	C2	727
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			728
{Or	Rate <Rate>	[1..1]	Rate		728
Or	AmountToQuantity <AmtToQty>	[1..1]			728
	Amount <Amt>	[1..1]	Amount	C2	728
	Quantity <Qty>	[1..1]	Quantity		729
Or	Amount <Amt>	[1..1]	Amount	C2	729
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		729
	FiscalStamp <FscIStmp>	[0..1]	±		729
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			730
{Or	Rate <Rate>	[1..1]	Rate		730
Or	Amount <Amt>	[1..1]	Amount	C2	730
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		730
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		731
	ApplicableRate <AplblRate>	[0..1]	±		731
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			731

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		732
Or	AmountToQuantity <AmtToQty>	[1..1]			732
	Amount <Amt>	[1..1]	Amount	C2	732
	Quantity <Qty>	[1..1]	Quantity		733
Or	Amount <Amt>	[1..1]	Amount	C2	733
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		733
	TaxCreditRate <TaxCdtRate>	[0..1]			733
{Or	Rate <Rate>	[1..1]	Rate		733
Or	Amount <Amt>	[1..1]	Amount	C2	734
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		734
	TaxOnIncome <TaxOnIncm>	[0..1]			734
{Or	Rate <Rate>	[1..1]	Rate		734
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		734
Or}	Amount <Amt>	[1..1]	Amount	C2	735
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		735
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		735
	EqualisationRate <EqulstnRate>	[0..1]	±		735
	DeemedRate <DmdRate>	[0..*]			736
{Or	Rate <Rate>	[1..1]	Rate		736
Or	Amount <Amt>	[1..1]	Amount	C2	737
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		737
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			737
	RateType <RateTp>	[1..1]			737
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738
	Amount <Amt>	[1..1]	Amount	C2	738
	RateStatus <RateSts>	[0..1]		C64	739
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			739
	RateType <RateTp>	[1..1]			740

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740
	Rate <Rate>	[1..1]	Rate		741
	PriceDetails <PricDtls>	[0..1]		C31	741
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			743
{Or	PercentagePrice <PctgPric>	[1..1]			743
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		743
	PriceValue <PricVal>	[1..1]	Rate		744
Or	AmountPrice <AmtPric>	[1..1]	±		744
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		744
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		744
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			744
{Or	PercentagePrice <PctgPric>	[1..1]			745
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		745
	PriceValue <PricVal>	[1..1]	Rate		746
Or	AmountPrice <AmtPric>	[1..1]	±		746
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		746
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			746
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		747
	PriceValue <PricVal>	[1..1]	Amount	C2	747
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	747
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			748
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		748
	PriceValue <PricVal>	[1..1]	Amount	C2	748
	Amount <Amt>	[1..1]	Amount	C2	749
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		749
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			749
{Or	PercentagePrice <PctgPric>	[1..1]			749
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		750
	PriceValue <PricVal>	[1..1]	Rate		750

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPrice <AmtPric>	[1..1]	±		750
Or}	NotSpecifiedPrice <NotSpecfdPric>	[1..1]	CodeSet		750

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.25.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.25.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1506

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

CodeName	Name	Definition
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

7.4.13.25.3 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrclsInd> contains one of the following elements (see "NonEligibleProceedsIndicator4Choice" on page 1269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1269
Or}	Proprietary <Prtry>	[1..1]	±		1270

7.4.13.25.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 1329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

7.4.13.25.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.6 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrIncmTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.7 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.8 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* [C14 "Country"](#)*Datatype:* "[CountryCode](#)" on page 1485**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.25.9 CashAccountIdentification <CshAcctId>*Presence:* [0..1]*Definition:* Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following **CashAccountIdentification13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C36	689
Or	BlockChainCashWallet <BlckChainCshWilt>	[1..1]	±		689
Or}	Proprietary <Prtry>	[1..1]	Text		689

7.4.13.25.9.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C36 "IBAN"

Datatype: "IBAN2007Identifier" on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

7.4.13.25.9.2 BlockChainCashWallet <BlckChainCshWilt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWilt> contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

7.4.13.25.9.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1529

7.4.13.25.10 AmountDetails <AmtDtIs>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts75** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	692
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	693
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	693
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	693
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	694
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	694
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C2, C15	695
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	695
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	695
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	696
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	696
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	697
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	697
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	697
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C2, C15	698
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	698
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	699
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	699
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	699
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	700
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	700
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	701
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	701
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	701
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	702
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	702
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	703
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	703
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	703

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	704
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	704
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	705
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	705
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	705
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	706
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	706
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	707
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	707
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	707
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	708
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C2, C15	708
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	709
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	709
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	709
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	710
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	710

7.4.13.25.10.1 GrossAmount <GrssAmt>*Presence:* [0..1]*Definition:* Amount of money before any tax deductions have been made.*Impacted by:* C2 "ActiveCurrency", C15 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.7 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.8 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.9 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.10 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.11 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.12 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.13 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.14 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.15 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.16 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.17 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.18 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.19 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.20 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.21 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.22 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.23 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.24 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.25 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.26 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.27 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.28 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.29 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.30 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.31 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.32 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.34 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.35 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.36 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.37 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.38 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.39 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.40 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.41 ForeignIncomeAmount <FrgrnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.42 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.43 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.44 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.45 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.46 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate94** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		711
	ValueDate <ValDt>	[0..1]			711
{Or	Date <Dt>	[1..1]	Date		712
Or}	DateCode <DtCd>	[1..1]			712
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		712
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		713
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		713

7.4.13.25.11.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.25.11.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following **DateFormat64Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		712
Or}	DateCode <DtCd>	[1..1]			712
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		712

7.4.13.25.11.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1520

7.4.13.25.11.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Date is expressed using a code.

DateCode <DtCd> contains one of the following **DateCode27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		712
Or}	Proprietary <Prtry>	[1..1]	±		712

7.4.13.25.11.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: "DateType1Code" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

7.4.13.25.11.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.11.3 ForeignExchangeRateFixingDate <FXRateFxdDt>*Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdDt>** contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

7.4.13.25.11.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]*Definition:* Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.**EarliestPaymentDate <EarlstPmtDt>** contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

7.4.13.25.12 ForeignExchangeDetails <FXDtls>*Presence:* [0..1]*Definition:* Exchange rate between the amount and the resulting amount.**ForeignExchangeDetails <FXDtls>** contains the following **ForeignExchangeTerms42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	713
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	714
	ExchangeRate <XchgRate>	[1..1]	Rate		714
	ResultingAmount <RsltAmt>	[0..1]	Amount	C2, C15	714

7.4.13.25.12.1 UnitCurrency <UnitCcy>*Presence:* [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.12.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.12.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1525

7.4.13.25.12.4 ResultingAmount <RsltAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.13 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C16 "DeemedRate1Rule", C17 "DeemedRate2Rule", C33 "GrossDistributionRate2Rule", C35 "GrossDistributionRate3Rule", C52 "NetDistributionRate1Rule", C54 "NetDistributionRate2Rule", C74 "SecondLevelTax1Rule", C76 "SecondLevelTax2Rule", C78 "SecondLevelTax3Rule", C82 "WithholdingTaxRate1Rule", C84 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]			720
{Or	Rate <Rate>	[1..1]	Rate		720
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		720
Or}	Amount <Amt>	[1..1]	Amount	C2	720
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		720
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		721
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			722
{Or	Rate <Rate>	[1..1]	Rate		722
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		723
Or	Amount <Amt>	[1..1]	Amount	C2	723
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			723
	RateType <RateTp>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724
	Rate <Rate>	[1..1]	Rate		724
	SecondLevelTax <ScndLvITax>	[0..*]			724
{Or	Rate <Rate>	[1..1]	Rate		725
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		725
Or	Amount <Amt>	[1..1]	Amount	C2	725
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			725
	RateType <RateTp>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726
	Rate <Rate>	[1..1]	Rate		727
	ChargesFees <ChrgsFees>	[0..1]			727
{Or	Rate <Rate>	[1..1]	Rate		727
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		727
Or}	Amount <Amt>	[1..1]	Amount	C2	727
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			728
{Or	Rate <Rate>	[1..1]	Rate		728

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountToQuantity <AmtToQty>	[1..1]			728
	Amount <Amt>	[1..1]	Amount	C2	728
	Quantity <Qty>	[1..1]	Quantity		729
Or	Amount <Amt>	[1..1]	Amount	C2	729
Or}	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		729
	FiscalStamp <FscIStmp>	[0..1]	±		729
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			730
{Or	Rate <Rate>	[1..1]	Rate		730
Or	Amount <Amt>	[1..1]	Amount	C2	730
Or}	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		730
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		731
	ApplicableRate <ApplblRate>	[0..1]	±		731
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			731
{Or	Rate <Rate>	[1..1]	Rate		732
Or	AmountToQuantity <AmtToQty>	[1..1]			732
	Amount <Amt>	[1..1]	Amount	C2	732
	Quantity <Qty>	[1..1]	Quantity		733
Or	Amount <Amt>	[1..1]	Amount	C2	733
Or}	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		733
	TaxCreditRate <TaxCdtRate>	[0..1]			733
{Or	Rate <Rate>	[1..1]	Rate		733
Or	Amount <Amt>	[1..1]	Amount	C2	734
Or}	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		734
	TaxOnIncome <TaxOnIncm>	[0..1]			734
{Or	Rate <Rate>	[1..1]	Rate		734
Or	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		734
Or}	Amount <Amt>	[1..1]	Amount	C2	735
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		735
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		735
	EqualisationRate <EqulstnRate>	[0..1]	±		735
	DeemedRate <DmdRate>	[0..*]			736

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		736
Or	Amount <Amt>	[1..1]	Amount	C2	737
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		737
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			737
	RateType <RateTp>	[1..1]			737
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738
	Amount <Amt>	[1..1]	Amount	C2	738
	RateStatus <RateSts>	[0..1]		C64	739
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			739
	RateType <RateTp>	[1..1]			740
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740
	Rate <Rate>	[1..1]	Rate		741

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDistributionRate2Rule**

If GrossDDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossGrossDistributionRate/AmountAndRateStatus or GrossGrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.25.13.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following **RateAndAmountFormat69Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		720
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		720
Or}	Amount <Amt>	[1..1]	Amount	C2	720

7.4.13.25.13.1.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.25.13.1.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.1.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.2 GrossDistributionRate <GrssDstrbtnRate>*Presence:* [0..*]*Definition:* Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1277
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1277
	Amount <Amt>	[1..1]	Amount	C1	1278
	RateStatus <RateSts>	[1..1]	CodeSet		1278
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1278
	RateType <RateTp>	[1..1]			1279
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280
	Amount <Amt>	[1..1]	Amount	C1	1280
	RateStatus <RateSts>	[0..1]		C52	1280
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1281

7.4.13.25.13.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat18Choice" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1343
Or	Amount <Amt>	[1..1]	Amount	C1	1343
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1343
	RateType <RateTp>	[1..1]			1344
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344
	Amount <Amt>	[1..1]	Amount	C1	1345
	RateStatus <RateSts>	[0..1]		C52	1345
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1346

7.4.13.25.13.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		722
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		723
Or	Amount <Amt>	[1..1]	Amount	C2	723
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			723
	RateType <RateTp>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724
	Rate <Rate>	[1..1]	Rate		724

7.4.13.25.13.4.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.4.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.4.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.4.4 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			723
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724
	Rate <Rate>	[1..1]	Rate		724

7.4.13.25.13.4.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		724

7.4.13.25.13.4.4.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of withholding tax rate.*Datatype:* "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

7.4.13.25.13.4.4.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.13.4.4.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.25.13.5 SecondLevelTax <ScndLvTax>***Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following **RateAndAmountFormat70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		725
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		725
Or	Amount <Amt>	[1..1]	Amount	C2	725
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			725
	RateType <RateTp>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726
	Rate <Rate>	[1..1]	Rate		727

7.4.13.25.13.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.5.2 NotSpecifiedRate <NotSpdfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.5.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.5.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			726
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726
	Rate <Rate>	[1..1]	Rate		727

7.4.13.25.13.5.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		726
Or}	Proprietary <Prtry>	[1..1]	±		726

7.4.13.25.13.5.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

7.4.13.25.13.5.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.13.5.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

7.4.13.25.13.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following **RateAndAmountFormat69Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		727
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		727
Or}	Amount <Amt>	[1..1]	Amount	C2	727

7.4.13.25.13.6.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

7.4.13.25.13.6.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.6.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following **SolicitationFeeRateFormat14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		728
Or	AmountToQuantity <AmtToQty>	[1..1]			728
	Amount <Amt>	[1..1]	Amount	C2	728
	Quantity <Qty>	[1..1]	Quantity		729
Or	Amount <Amt>	[1..1]	Amount	C2	729
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		729

7.4.13.25.13.7.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.7.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	728
	Quantity <Qty>	[1..1]	Quantity		729

7.4.13.25.13.7.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.7.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

7.4.13.25.13.7.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.7.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.25.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following **RateFormat29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		730
Or	Amount <Amt>	[1..1]	Amount	C2	730
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		730

7.4.13.25.13.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.9.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.9.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.10 NetDistributionRate <NetDstrbtnRate>*Presence:* [0..*]*Definition:* Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "[NetDividendRateFormat43Choice](#)" on page 1333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1333
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1334
	Amount <Amt>	[1..1]	Amount	C1	1334
	RateStatus <RateSts>	[1..1]	CodeSet		1334
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1334
	RateType <RateTp>	[1..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336
	Amount <Amt>	[1..1]	Amount	C1	1336
	RateStatus <RateSts>	[0..1]		C52	1336
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1337

7.4.13.25.13.11 ApplicableRate <AplblRate>*Presence:* [0..1]*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.25.13.12 SolicitationFeeRate <SlctnFeeRate>*Presence:* [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SltcnFeeRate> contains one of the following **SolicitationFeeRateFormat14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		732
Or	AmountToQuantity <AmtToQty>	[1..1]			732
	Amount <Amt>	[1..1]	Amount	C2	732
	Quantity <Qty>	[1..1]	Quantity		733
Or	Amount <Amt>	[1..1]	Amount	C2	733
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		733

7.4.13.25.13.12.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.12.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	732
	Quantity <Qty>	[1..1]	Quantity		733

7.4.13.25.13.12.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.12.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

7.4.13.25.13.12.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.12.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following **RateFormat29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		733
Or	Amount <Amt>	[1..1]	Amount	C2	734
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		734

7.4.13.25.13.13.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.13.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.13.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following **RateAndAmountFormat69Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		734
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		734
Or}	Amount <Amt>	[1..1]	Amount	C2	735

7.4.13.25.13.14.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.14.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.14.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.25.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "RateFormat24Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

7.4.13.25.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EquilstnRate> contains one of the following elements (see "RateAndAmountFormat48Choice" on page 1410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1411
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1411

7.4.13.25.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following **RateAndAmountFormat71Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		736
Or	Amount <Amt>	[1..1]	Amount	C2	737
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		737
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			737
	RateType <RateTp>	[1..1]			737
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738
	Amount <Amt>	[1..1]	Amount	C2	738
	RateStatus <RateSts>	[0..1]		C64	739
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			739
	RateType <RateTp>	[1..1]			740
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740
	Rate <Rate>	[1..1]	Rate		741

7.4.13.25.13.18.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.13.18.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.18.3 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

7.4.13.25.13.18.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			737
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738
	Amount <Amt>	[1..1]	Amount	C2	738
	RateStatus <RateSts>	[0..1]		C64	739
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739

7.4.13.25.13.18.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		738
Or}	Proprietary <Prtry>	[1..1]	±		738

7.4.13.25.13.18.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1487

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

7.4.13.25.13.18.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.13.18.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.13.18.4.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C64 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		739
Or}	Proprietary <Prtry>	[1..1]	±		739

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

7.4.13.25.13.18.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

7.4.13.25.13.18.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.13.18.5 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			740
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740
	Rate <Rate>	[1..1]	Rate		741

7.4.13.25.13.18.5.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		740
Or}	Proprietary <Prtry>	[1..1]	±		740

7.4.13.25.13.18.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1487

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

7.4.13.25.13.18.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

7.4.13.25.13.18.5.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

7.4.13.25.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: [C31 "GenericCashPricePerProductGuideline"](#)

PriceDetails <PricDtls> contains the following **PriceDetails42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			743
{Or	PercentagePrice <PctgPric>	[1..1]			743
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		743
	PriceValue <PricVal>	[1..1]	Rate		744
Or	AmountPrice <AmtPric>	[1..1]	±		744
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		744
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		744
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			744
{Or	PercentagePrice <PctgPric>	[1..1]			745
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		745
	PriceValue <PricVal>	[1..1]	Rate		746
Or	AmountPrice <AmtPric>	[1..1]	±		746
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		746
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			746
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		747
	PriceValue <PricVal>	[1..1]	Amount	C2	747
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	747
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			748
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		748
	PriceValue <PricVal>	[1..1]	Amount	C2	748
	Amount <Amt>	[1..1]	Amount	C2	749
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		749
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			749
{Or	PercentagePrice <PctgPric>	[1..1]			749
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		750
	PriceValue <PricVal>	[1..1]	Rate		750
Or	AmountPrice <AmtPric>	[1..1]	±		750
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		750

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

7.4.13.25.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat89Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			743
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		743
	PriceValue <PricVal>	[1..1]	Rate		744
Or	AmountPrice <AmtPric>	[1..1]	±		744
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		744
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		744

7.4.13.25.14.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		743
	PriceValue <PricVal>	[1..1]	Rate		744

7.4.13.25.14.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.25.14.1.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.25.14.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.25.14.1.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.25.14.1.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**7.4.13.25.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>***Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat90Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			745
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		745
	PriceValue <PricVal>	[1..1]	Rate		746
Or	AmountPrice <AmtPric>	[1..1]	±		746
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		746
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			746
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		747
	PriceValue <PricVal>	[1..1]	Amount	C2	747
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	747
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			748
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		748
	PriceValue <PricVal>	[1..1]	Amount	C2	748
	Amount <Amt>	[1..1]	Amount	C2	749
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		749

7.4.13.25.14.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		745
	PriceValue <PricVal>	[1..1]	Rate		746

7.4.13.25.14.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.25.14.2.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**7.4.13.25.14.2.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.25.14.2.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType8Code" on page 1509

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

7.4.13.25.14.2.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following
AmountPricePerFinancialInstrumentQuantity11 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		747
	PriceValue <PricVal>	[1..1]	Amount	C2	747
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C20	747

7.4.13.25.14.2.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

7.4.13.25.14.2.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.14.2.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C20 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.25.14.2.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		748
	PriceValue <PricVal>	[1..1]	Amount	C2	748
	Amount <Amt>	[1..1]	Amount	C2	749

7.4.13.25.14.2.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

7.4.13.25.14.2.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.14.2.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C2 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.25.14.2.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

7.4.13.25.14.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat88Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			749
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		750
	PriceValue <PricVal>	[1..1]	Rate		750
Or	AmountPrice <AmtPric>	[1..1]	±		750
Or}	NotSpecifiedPrice <NotSpecfdPric>	[1..1]	CodeSet		750

7.4.13.25.14.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		750
	PriceValue <PricVal>	[1..1]	Rate		750

7.4.13.25.14.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

7.4.13.25.14.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

7.4.13.25.14.3.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

7.4.13.25.14.3.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

7.4.13.26 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information about the corporate action movement.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]			751
	AdditionalInformation <AddtlInf>	[1..1]	Text		752
	NarrativeVersion <NrrtvVrsn>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..1]	Text		752
	InformationConditions <InfConds>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..*]	Text		752
	InformationToComplyWith <InfToCmplyWith>	[0..1]			752
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	SecurityRestriction <SctyRstrctn>	[0..1]			753
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	TaxationConditions <TaxtnConds>	[0..1]			753
	AdditionalInformation <AddtlInf>	[1..*]	Text		753
	Disclaimer <Dsclmr>	[0..1]			754
	AdditionalInformation <AddtlInf>	[1..*]	Text		754
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			754
	AdditionalInformation <AddtlInf>	[1..*]	Text		754

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.13.26.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		752

7.4.13.26.1.1 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.13.26.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		752

7.4.13.26.2.1 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.13.26.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		752

7.4.13.26.3.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.13.26.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		753

7.4.13.26.4.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.13.26.5 SecurityRestriction <SctyRstrctn>

Presence: [0..1]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		753

7.4.13.26.5.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.13.26.6 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		753

7.4.13.26.6.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.13.26.7 Disclaimer <Dsclmr>*Presence:* [0..1]*Definition:* Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.**Disclaimer <Dsclmr>** contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		754

7.4.13.26.7.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**7.4.13.26.8 CertificationBreakdown <CertfctnBrkdwn>***Presence:* [0..1]*Definition:* Provides additional information about the type of certification/breakdown required.**CertificationBreakdown <CertfctnBrkdwn>** contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		754

7.4.13.26.8.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**7.4.14 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information.*Impacted by:* C5 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]			755
	AdditionalInformation <AddtlInf>	[1..*]	Text		756
	NarrativeVersion <NrrtvVrsn>	[0..1]			756
	AdditionalInformation <AddtlInf>	[1..*]	Text		756
	InformationConditions <InfConds>	[0..1]			756
	AdditionalInformation <AddtlInf>	[1..*]	Text		756
	InformationToComplyWith <InfToCmplyWth>	[0..1]			757
	AdditionalInformation <AddtlInf>	[1..*]	Text		757
	TaxationConditions <TaxtnConds>	[0..1]			757
	AdditionalInformation <AddtlInf>	[1..*]	Text		757
	Disclaimer <Dsclmr>	[0..1]			757
	AdditionalInformation <AddtlInf>	[1..*]	Text		758
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]			758
	AdditionalInformation <AddtlInf>	[1..*]	Text		758
	RegistrationDetails <RegnDtls>	[0..1]			758
	AdditionalInformation <AddtlInf>	[1..*]	Text		758
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]			758
	AdditionalInformation <AddtlInf>	[1..*]	Text		759
	CertificationBreakdown <CertfctnBrkdown>	[0..1]			759
	AdditionalInformation <AddtlInf>	[1..*]	Text		759
	ProcessingTextForNextIntermediary <PrcgTxtForNxtlntmry>	[0..1]			759
	AdditionalInformation <AddtlInf>	[1..*]	Text		759

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.14.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		756

7.4.14.1.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields of this message, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		756

7.4.14.2.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		756

7.4.14.3.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		757

7.4.14.4.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.5 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		757

7.4.14.5.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.6 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		758

7.4.14.6.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**7.4.14.7 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..1]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.**PartyContactNarrative <PtyCtctNrrtv>** contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		758

7.4.14.7.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**7.4.14.8 RegistrationDetails <RegnDtls>***Presence:* [0..1]*Definition:* Provides information required for the registration.**RegistrationDetails <RegnDtls>** contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		758

7.4.14.8.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "RestrictedFINXMax350Text" on page 1532**7.4.14.9 BasketOrIndexInformation <BsktOrIndxInf>***Presence:* [0..1]*Definition:* Provides additional information on the basket or index underlying a security, for example a warrant.

BasketOrIndexInformation <BsktOrIndxInf> contains the following
UpdatedAdditionalInformation23 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		759

7.4.14.9.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.10 CertificationBreakdown <CertfctnBrkdown>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdown> contains the following **UpdatedAdditionalInformation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		759

7.4.14.10.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.14.11 ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>

Presence: [0..1]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy> contains the following
UpdatedAdditionalInformation23 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		759

7.4.14.11.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1532

7.4.15 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see ["PartyIdentification151Choice"](#) on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

7.4.16 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.17 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.18 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.19 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.20 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.21 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.22 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.23 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

7.4.24 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification151Choice" on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

7.4.25 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification151Choice" on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

7.4.26 TransferAgent <TrfAgnt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgnt> contains one of the following elements (see "PartyIdentification151Choice" on page 1355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

7.4.27 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C80 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8 **seev.036.002.16**

CorporateActionMovementConfirmation002V16

8.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementConfirmation002V16 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. MovementConfirmationIdentification

Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

C. NotificationIdentification

Identification of a previously sent notification document.

D. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

E. InstructionIdentification

Identification of a related instruction document.

F. OtherDocumentIdentification

Identification of other documents as well as the document number.

G. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

H. TransactionIdentification

Transaction type and identification information.

I. CorporateActionGeneralInformation

General information about the corporate action event.

J. AccountDetails

General information about the safekeeping account, owner and account balance.

K. CorporateActionDetails

Information about the corporate action event.

L. CorporateActionConfirmationDetails

Information about the corporate action option.

M. AdditionalInformation

Provides additional information.

N. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

O. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntConf>	[1..1]		C2, C28, C29, C34, C46, C47, C49, C60, C61, C62, C37	
	Pagination <Pgntn>	[0..1]	±		790
	MovementConfirmationIdentification <MvmntConfld>	[0..1]	Text		791
	NotificationIdentification <NtfctnId>	[0..1]	±		791
	MovementPreliminaryAdviselIdentification <MvmntPrlmryAdvclId>	[0..1]	±		791
	InstructionIdentification <InstrId>	[0..1]	±		791
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		791
	EventsLinkage <EvtsLkg>	[0..*]	±		792
	TransactionIdentification <TxId>	[0..1]			792
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		792
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C45	793
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		793
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		793
	ClassActionNumber <ClssActnNb>	[0..1]	Text		794
	EventType <EvtTp>	[1..1]		C17	794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		800
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	801
	FractionalQuantity <FrctnlQty>	[0..1]	±	C16	802
	AccountDetails <AcctDtls>	[1..1]		C53, C55, C57, C59	802
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		804

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		804
	AccountOwner <AcctOwnr>	[0..1]	±		804
	SafekeepingPlace <SfkpgPlc>	[0..1]			805
{Or	Identification <Id>	[1..1]	±		805
Or	Country <Ctry>	[1..1]	CodeSet	C10	805
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		805
Or	TypeAndIdentification <TpAndId>	[1..1]			806
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		806
	Identification <Id>	[1..1]	IdentifierSet	C8	806
Or}	Proprietary <Prtry>	[1..1]	±		806
	Balance <Bal>	[1..1]		C7	807
	ConfirmedBalance <ConfdBal>	[1..1]	±		808
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		808
	BlockedBalance <BlckdBal>	[0..1]	±		808
	BorrowedBalance <BrrwdBal>	[0..1]	±		809
	CollateralInBalance <CollInBal>	[0..1]	±		809
	CollateralOutBalance <CollOutBal>	[0..1]	±		809
	OnLoanBalance <OnLnBal>	[0..1]	±		809
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		810
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		810
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		810
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		811
	StreetPositionBalance <StrtPosBal>	[0..1]	±		811
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		811
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		812
	RegisteredBalance <RegdBal>	[0..1]	±		812
	AffectedBalance <AfctdBal>	[0..1]	±		812
	UnaffectedBalance <UafctdBal>	[0..1]	±		813
	CorporateActionDetails <CorpActnDtls>	[0..1]			813
	DateDetails <DtDtls>	[0..1]			813
	RecordDate <RcrdDt>	[0..1]	±		814

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ExDividendDate <ExDvddDt>	[0..1]	±		814
	EventStage <EvtStag>	[0..1]	±		814
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]			815
{Or	Code <Cd>	[1..1]	CodeSet		815
Or}	Proprietary <Prtry>	[1..1]	±		816
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		816
	LotteryType <LtryTp>	[0..1]	±		817
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			817
	OptionNumber <OptnNb>	[1..1]	±		831
	OptionType <OptnTp>	[1..1]	±		831
	OptionFeatures <OptnFeatrs>	[0..*]			831
{Or	Code <Cd>	[1..1]	CodeSet		832
Or}	Proprietary <Prtry>	[1..1]	±		832
	FractionDisposition <FrctnDspstn>	[0..1]	±		833
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	833
	DateDetails <DtDtls>	[0..1]			833
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		833
	TradingDate <TradgDt>	[0..1]	±		834
	PeriodDetails <PrdDtls>	[0..1]			834
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		834
	ActionPeriod <ActnPrd>	[0..1]	±		835
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		835
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C41, C43, C63, C65, C67, C71, C73	836
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		838
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		839
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		840

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	Rate		841
	ProrationRate <PrratnRate>	[0..1]	Rate		841
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			841
{Or	Rate <Rate>	[1..1]	Rate		841
Or	Amount <Amt>	[1..1]	Amount	C1	841
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			842
	RateType <RateTp>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843
	Rate <Rate>	[1..1]	Rate		843
	SecondLevelTax <ScndLvITax>	[0..*]			843
{Or	Rate <Rate>	[1..1]	Rate		843
Or	Amount <Amt>	[1..1]	Amount	C1	844
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			844
	RateType <RateTp>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845
	Rate <Rate>	[1..1]	Rate		845
	AdditionalTax <AddtlTax>	[0..1]			845
{Or	Rate <Rate>	[1..1]	Rate		845
Or}	Amount <Amt>	[1..1]	Amount	C1	845
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		846
	PriceDetails <PricDtls>	[0..1]		C22	846
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			847
{Or	PercentagePrice <PctgPric>	[1..1]			847
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		848
	PriceValue <PricVal>	[1..1]	Rate		848
Or}	AmountPrice <AmtPric>	[1..1]	±		848
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			848
{Or	PercentagePrice <PctgPric>	[1..1]			849
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		849

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValue <PricVal>	[1..1]	Rate		849
Or}	AmountPrice <AmtPric>	[1..1]	±		849
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C40	850
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C30, C32, C38	850
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	855
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		856
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		857
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		857
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		857
	IncomeType <IncmTp>	[0..1]	±		858
	OtherIncomeType <OthrIncmTp>	[0..*]	±		858
	ExemptionType <XmptnTp>	[0..*]	±		858
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	859
	PostingQuantity <PstngQty>	[1..1]	±		859
	SafekeepingPlace <SfkpgPlc>	[0..1]			859
{Or	Identification <Id>	[1..1]	±		860
Or	Country <Ctry>	[1..1]	CodeSet	C10	860
Or	DigitalLedgerIdentification <DgtlLdgrlD>	[1..1]	IdentifierSet		860
Or	TypeAndIdentification <TpAndId>	[1..1]			860
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		861
	Identification <Id>	[1..1]	IdentifierSet	C8	861
Or}	Proprietary <Prtry>	[1..1]	±		861
	FractionDisposition <FrctnDspstn>	[0..1]	±		862
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	862
	DateDetails <DtDtls>	[1..1]			862
	PostingDate <PstngDt>	[1..1]	±		863
	AvailableDate <AvlblDt>	[0..1]	±		863
	PariPassuDate <PrpssDt>	[0..1]	±		863

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		864
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		864
	PaymentDate <PmtDt>	[0..1]	±		864
	RateDetails <RateDtls>	[0..1]			865
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslntntScities>	[0..1]	±		866
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		867
	NewToOld <NewToOd>	[0..1]	±		867
	ChargesFees <ChrgsFees>	[0..1]			868
{Or	Rate <Rate>	[1..1]	Rate		868
Or}	Amount <Amt>	[1..1]	Amount	C1	868
	FiscalStamp <FscIStmp>	[0..1]	Rate		869
	ApplicableRate <ApIblRate>	[0..1]	Rate		869
	TaxCreditRate <TaxCdtRate>	[0..1]			869
{Or	Rate <Rate>	[1..1]	Rate		869
Or}	Amount <Amt>	[1..1]	Amount	C1	869
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		870
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			870
{Or	Rate <Rate>	[1..1]	Rate		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			871
	RateType <RateTp>	[1..1]			871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871
	Rate <Rate>	[1..1]	Rate		872
	SecondLevelTax <ScndLvITax>	[0..*]			872
{Or	Rate <Rate>	[1..1]	Rate		872
Or	Amount <Amt>	[1..1]	Amount	C1	872
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			873
	RateType <RateTp>	[1..1]			873
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[1..1]	Rate		874
	PriceDetails <PricDtls>	[0..1]		C20	874
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			876
{Or	PercentagePrice <PctgPric>	[1..1]			876
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		877
	PriceValue <PricVal>	[1..1]	Rate		877
Or}	AmountPrice <AmtPric>	[1..1]	±		877
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			877
{Or	IndicativePrice <IndctvPric>	[1..1]			878
{Or	PercentagePrice <PctgPric>	[1..1]			878
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879
Or}	AmountPrice <AmtPric>	[1..1]	±		879
Or}	MarketPrice <MktPric>	[1..1]			879
{Or	PercentagePrice <PctgPric>	[1..1]			879
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880
Or}	AmountPrice <AmtPric>	[1..1]	±		880
	CashValueForTax <CshValForTax>	[0..1]	±		880
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			881
{Or	PercentagePrice <PctgPric>	[1..1]			881
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		881
	PriceValue <PricVal>	[1..1]	Rate		882
Or	AmountPrice <AmtPric>	[1..1]	±		882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			882
{Or	PercentagePrice <PctgPric>	[1..1]			883
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		883
	PriceValue <PricVal>	[1..1]	Rate		884
Or	AmountPrice <AmtPric>	[1..1]	±		884

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			884
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		884
	PriceValue <PricVal>	[1..1]	Amount	C1	885
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	885
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			885
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		886
	PriceValue <PricVal>	[1..1]	Amount	C1	886
	Amount <Amt>	[1..1]	Amount	C1	886
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		887
	AmountDetails <AmtDtls>	[0..1]			887
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	887
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	887
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]		C50, C51	888
	Depository <Dpstry>	[0..1]		C3	889
	Identification <Id>	[1..1]			889
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	890
Or	NameAndAddress <NmAndAdr>	[1..1]	±		890
Or	Country <Ctry>	[1..1]	CodeSet	C10	890
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		890
	ProcessingIdentification <PrcgId>	[0..1]	Text		891
	AlternateIdentification <AltrnId>	[0..*]	±		891
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	891
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	892
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	893
	DeliveringSettlementParties <DlvrGSttlmPties>	[0..1]		C50, C51	894
	Depository <Dpstry>	[0..1]		C3	895
	Identification <Id>	[1..1]			896
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	896

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	NameAndAddress <NmAndAdr>	[1..1]	±		897
Or	Country <Ctry>	[1..1]	CodeSet	C10	897
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		897
	ProcessingIdentification <PrctlId>	[0..1]	Text		897
	AlternateIdentification <AltrnId>	[0..*]	±		897
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	898
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	899
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	900
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C31, C33, C39	901
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		909
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		909
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		910
	IncomeType <IncmTp>	[0..1]	±		910
	OtherIncomeType <OthrIncmTp>	[0..*]	±		910
	ExemptionType <XmptnTp>	[0..*]	±		910
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	911
	Account <Acct>	[0..1]			911
{Or	CashAccount <CshAcct>	[1..1]			911
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	912
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		912
Or}	Proprietary <Prtry>	[1..1]	Text		912
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		912
Or}	TaxAccount <TaxAcct>	[1..1]	±		913
	CashParties <CshPties>	[0..1]			913
	Creditor <Cdtr>	[0..1]		C5	914
	Identification <Id>	[1..1]	±		915
	CashAccount <CshAcct>	[0..1]			915

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	916
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		916
Or}	Proprietary <Prtry>	[1..1]	Text		916
	ProcessingIdentification <Prcgld>	[0..1]	Text		916
	AlternateIdentification <Altrnld>	[0..1]	±		916
	CreditorAgent <CdtrAgt>	[0..1]		C6	917
	Identification <Id>	[1..1]			917
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		918
	CashAccount <CshAcct>	[0..1]			919
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	919
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		919
Or}	Proprietary <Prtry>	[1..1]	Text		919
	ProcessingIdentification <Prcgld>	[0..1]	Text		920
	AlternateIdentification <Altrnld>	[0..1]	±		920
	MarketClaimCounterparty <MktClnCtrPty>	[0..1]		C5	920
	Identification <Id>	[1..1]	±		921
	CashAccount <CshAcct>	[0..1]			921
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	921
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		922
Or}	Proprietary <Prtry>	[1..1]	Text		922
	ProcessingIdentification <Prcgld>	[0..1]	Text		922
	AlternateIdentification <Altrnld>	[0..1]	±		922
	AmountDetails <AmtDtls>	[1..1]			923
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	925
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	926
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	926
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	927
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	927
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	927

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	928
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	928
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	929
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	929
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	930
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	930
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	930
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	931
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	931
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C11	932
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	932
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	932
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	933
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	933
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	934
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	934
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	934
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	935
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	935
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	936
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	936
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	936
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	937
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	937
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	938
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	938
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	938
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	939
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	939
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	940
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	940

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	940
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	941
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	941
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	942
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	942
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	942
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	943
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	943
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	944
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	944
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	944
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	945
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	945
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	946
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	946
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	946
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	947
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	947
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	948
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	948
	DateDetails <DtDtls>	[1..1]			949
	PostingDate <PstngDt>	[1..1]	±		949
	ValueDate <ValDt>	[0..1]	Date		949
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		949
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		949
	PaymentDate <PmtDt>	[0..1]	Date		950
	ForeignExchangeDetails <FXDtls>	[0..*]			950
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	950
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	950
	ExchangeRate <XchgRate>	[1..1]	Rate		951
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C11	951

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			951
	Identification <Id>	[1..1]	Text		952
	BargainDate <BrgnDt>	[0..1]	±		952
	BargainSettlementDate <BrgnSttlmDt>	[0..1]	±		952
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C42, C44, C64, C66, C68, C72, C74	952
	AdditionalTax <AddtlTax>	[0..1]			956
{Or	Rate <Rate>	[1..1]	Rate		956
Or}	Amount <Amt>	[1..1]	Amount	C1	956
	ChargesFees <ChrgsFees>	[0..1]			957
{Or	Rate <Rate>	[1..1]	Rate		957
Or}	Amount <Amt>	[1..1]	Amount	C1	957
	FiscalStamp <FscIStmp>	[0..1]	Rate		957
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		957
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			958
{Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountToQuantity <AmtToQty>	[1..1]			958
	Amount <Amt>	[1..1]	Amount	C1	959
	Quantity <Qty>	[1..1]	Quantity		959
Or}	Amount <Amt>	[1..1]	Amount	C1	959
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			959
{Or	Rate <Rate>	[1..1]	Rate		960
Or}	Amount <Amt>	[1..1]	Amount	C1	960
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		960
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		961
	ApplicableRate <AplblRate>	[0..1]	Rate		962
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			962

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		962
Or	AmountToQuantity <AmtToQty>	[1..1]			962
	Amount <Amt>	[1..1]	Amount	C1	962
	Quantity <Qty>	[1..1]	Quantity		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	TaxCreditRate <TaxCdtRate>	[0..1]			963
{Or	Rate <Rate>	[1..1]	Rate		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			964
{Or	Rate <Rate>	[1..1]	Rate		964
Or	Amount <Amt>	[1..1]	Amount	C1	964
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			965
	RateType <RateTp>	[1..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965
	Rate <Rate>	[1..1]	Rate		966
	SecondLevelTax <ScndLvlTax>	[0..*]			966
{Or	Rate <Rate>	[1..1]	Rate		966
Or	Amount <Amt>	[1..1]	Amount	C1	966
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			967
	RateType <RateTp>	[1..1]			967
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968
	Rate <Rate>	[1..1]	Rate		968
	TaxOnIncome <TaxOnIncm>	[0..1]			968
{Or	Rate <Rate>	[1..1]	Rate		968
Or}	Amount <Amt>	[1..1]	Amount	C1	968
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		969
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		969
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	969
	DeemedRate <DmdRate>	[0..*]			969

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		970
Or	Amount <Amt>	[1..1]	Amount	C1	970
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			971
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1	972
	RateStatus <RateSts>	[0..1]		C52	972
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		973
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			973
	RateType <RateTp>	[1..1]			973
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		974
	Rate <Rate>	[1..1]	Rate		974
	PriceDetails <PricDtls>	[0..1]		C21	974
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			976
{Or	PercentagePrice <PctgPric>	[1..1]			976
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Rate		977
Or	AmountPrice <AmtPric>	[1..1]	±		977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			977
{Or	PercentagePrice <PctgPric>	[1..1]			978
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			979
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Amount	C1	980

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	980
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			980
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		981
	PriceValue <PricVal>	[1..1]	Amount	C1	981
	Amount <Amt>	[1..1]	Amount	C1	981
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		982
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			982
{Or	PercentagePrice <PctgPric>	[1..1]			982
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		982
	PriceValue <PricVal>	[1..1]	Rate		983
Or}	AmountPrice <AmtPric>	[1..1]	±		983
	AdditionalInformation <AddtlInf>	[0..1]			983
	AdditionalText <AddtlTxt>	[0..*]	Text		983
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		984
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		984
	TaxationConditions <TaxtnConds>	[0..*]	Text		984
	IssuerAgent <IssrAgt>	[0..*]	±		984
	PayingAgent <PngAgt>	[0..*]	±		984
	SubPayingAgent <SubPngAgt>	[0..*]	±		985
	SupplementaryData <SplmtryData>	[0..*]	±	C70	985

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 AffectedAndUnaffectedBalanceRule

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

C13 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

C14 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C15 DescriptionUsageRule

Description must be used alone as the last resort.

C16 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C17 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C19 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C20 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C21 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C22 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C23 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

C24 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

C25 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C26 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C27 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C28 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 566 NVR C9)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C29 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C30 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C31 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C32 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C33 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C34 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C35 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C36 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C37 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C38 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C39 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C40 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

C41 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

C42 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

C43 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C44 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C45 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C46 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C47 OtherDocumentIdentificationRule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount is present, then OtherDocumentationIdentification must be present to refer to the linked settlement confirmation.

This constraint is defined at the MessageDefinition level.

C48 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C49 PaginationRule

If pagination is present, then MovementConfirmationIdentification must be present.

On Condition

/Pagination is present

Following Must be True

/MovementConfirmationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C50 Party2PresenceRule

If Party 2 is present, then Party 1 must be present.

C51 Party3PresenceRule

If Party 3 is present, then Party 2 must be present.

C52 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C53 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C54 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C55 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C56 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C57 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C58 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C59 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C60 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward is present, then CorporateActionGeneralInformation/EventType/Code value must be either DVSC (ScripDividend) or DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C61 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount or CorporateActionConfirmationDetails/

CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

This constraint is defined at the MessageDefinition level.

C62 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code value must be DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C63 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C64 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

C65 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C66 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C67 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C68 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C69 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C70 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C71 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C72 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

C73 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C74 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C75 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1272
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1272

8.4.2 MovementConfirmationIdentification <MvmntConfld>

Presence: [0..1]

Definition: Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

Datatype: "RestrictedFINXMax16Text" on page 1530

8.4.3 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification37](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

8.4.4 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl> contains the following elements (see "[DocumentIdentification37](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

8.4.5 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification17](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

8.4.6 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1243
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244
	DocumentNumber <DocNb>	[0..1]	±	C6	1244
	LinkageType <LkgTp>	[0..1]	±	C31	1244

8.4.7 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 1275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			1276
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276
	LinkageType <LkgTp>	[0..1]	±	C31	1276

8.4.8 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		792

8.4.8.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "RestrictedFINMax35Text" on page 1529

8.4.9 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C45 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation190** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		793
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		793
	ClassActionNumber <ClsActnNb>	[0..1]	Text		794
	EventType <EvtTp>	[1..1]		C17	794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		800
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	801
	FractionalQuantity <FrctnlQty>	[0..1]	±	C16	802

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

8.4.9.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

8.4.9.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

8.4.9.3 ClassActionNumber <ClassActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1530

8.4.9.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C17 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType120Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		800

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

8.4.9.4.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType37Code" on page 1462

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a

CodeName	Name	Definition
		formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more

CodeName	Name	Definition
		years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

8.4.9.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.9.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: [C14 "DescriptionPresenceRule"](#), [C15 "DescriptionUsageRule"](#), [C35 "ISINGuideline"](#), [C36 "ISINPresenceRule"](#), [C48 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification20](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

8.4.9.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: [C16 "DigitalTokenUnitUsageRule"](#)

FractionalQuantity <FrctnlQty> contains one of the following elements (see ["FinancialInstrumentQuantity36Choice"](#) on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

8.4.10 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: [C53 "SafekeepingAccountOrBlockchainAddress1Rule"](#), [C55 "SafekeepingAccountOrBlockchainAddress2Rule"](#), [C57 "SafekeepingAccountOrBlockchainAddress3Rule"](#), [C59 "SafekeepingPlaceRule"](#)

AccountDetails <AcctDtls> contains the following **AccountAndBalance63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		804
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		804
	AccountOwner <AcctOwnr>	[0..1]	±		804
	SafekeepingPlace <SfkpgPlc>	[0..1]			805
{Or	Identification <Id>	[1..1]	±		805
Or	Country <Ctry>	[1..1]	CodeSet	C10	805
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		805
Or	TypeAndIdentification <TpAndId>	[1..1]			806
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		806
	Identification <Id>	[1..1]	IdentifierSet	C8	806
Or}	Proprietary <Prtry>	[1..1]	±		806
	Balance <Bal>	[1..1]		C7	807
	ConfirmedBalance <ConfdBal>	[1..1]	±		808
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		808
	BlockedBalance <BlckdBal>	[0..1]	±		808
	BorrowedBalance <BrrwdBal>	[0..1]	±		809
	CollateralInBalance <CollInBal>	[0..1]	±		809
	CollateralOutBalance <CollOutBal>	[0..1]	±		809
	OnLoanBalance <OnLnBal>	[0..1]	±		809
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		810
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		810
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		810
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		811
	StreetPositionBalance <StrtPosBal>	[0..1]	±		811
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		811
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		812
	RegisteredBalance <RegdBal>	[0..1]	±		812
	AffectedBalance <AfctdBal>	[0..1]	±		812
	UnaffectedBalance <UafctdBal>	[0..1]	±		813

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

8.4.10.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

8.4.10.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

8.4.10.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

8.4.10.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following **SafekeepingPlaceFormat49Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		805
Or	Country <Ctry>	[1..1]	CodeSet	C10	805
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		805
Or	TypeAndIdentification <TpAndId>	[1..1]			806
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		806
	Identification <Id>	[1..1]	IdentifierSet	C8	806
Or}	Proprietary <Prtry>	[1..1]	±		806

8.4.10.4.1 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.**Identification <Id>** contains the following elements (see "[SafekeepingPlaceTypeAndText9](#)" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

8.4.10.4.2 Country <Ctry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* [C10 "Country"](#)*Datatype:* ["CountryCode"](#) on page 1485**Constraints**• **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.10.4.3 DigitalLedgerIdentification <DgtlLdgrId>*Presence:* [1..1]*Definition:* Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

8.4.10.4.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		806
	Identification <Id>	[1..1]	IdentifierSet	C8	806

8.4.10.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

8.4.10.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C8 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.10.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

8.4.10.5 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: [C7 "AffectedAndUnaffectedBalanceRule"](#)

Balance <Bal> contains the following **CorporateActionBalanceDetails45** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmedBalance <ConfdBal>	[1..1]	±		808
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		808
	BlockedBalance <BlckdBal>	[0..1]	±		808
	BorrowedBalance <BrrwdBal>	[0..1]	±		809
	CollateralInBalance <CollInBal>	[0..1]	±		809
	CollateralOutBalance <CollOutBal>	[0..1]	±		809
	OnLoanBalance <OnLnBal>	[0..1]	±		809
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		810
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		810
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		810
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		811
	StreetPositionBalance <StrtPosBal>	[0..1]	±		811
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		811
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		812
	RegisteredBalance <RegdBal>	[0..1]	±		812
	AffectedBalance <AfctdBal>	[0..1]	±		812
	UnaffectedBalance <UafctdBal>	[0..1]	±		813

Constraints

- **AffectedAndUnaffectedBalanceRule**

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

8.4.10.5.1 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.2 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[TotalEligibleBalanceFormat11](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		1218
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		1218
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		1219

8.4.10.5.3 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.4 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.5 CollateralInBalance <CollInBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.**CollateralInBalance <CollInBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.6 CollateralOutBalance <CollOutBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.**CollateralOutBalance <CollOutBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.7 OnLoanBalance <OnLnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.8 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

8.4.10.5.9 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

8.4.10.5.10 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.11 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

8.4.10.5.12 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.13 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.14 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.15 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.16 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.10.5.17 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

8.4.11 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			813
	RecordDate <RcrdDt>	[0..1]	±		814
	ExDividendDate <ExDvddDt>	[0..1]	±		814
	EventStage <EvtStag>	[0..1]	±		814
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]			815
{Or	Code <Cd>	[1..1]	CodeSet		815
Or}	Proprietary <Prtry>	[1..1]	±		816
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]	±		816
	LotteryType <LtryTp>	[0..1]	±		817

8.4.11.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		814
	ExDividendDate <ExDvddDt>	[0..1]	±		814

8.4.11.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.11.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.11.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 1273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1273
Or}	Proprietary <Prtry>	[1..1]	±		1273

8.4.11.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following **AdditionalBusinessProcessFormat24Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		815
Or}	Proprietary <Prtry>	[1..1]	±		816

8.4.11.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess12Code" on page 1439

CodeName	Name	Definition
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when

CodeName	Name	Definition
		the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.

8.4.11.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47"](#) on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.11.4 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 1320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1320
Or}	Proprietary <Prtry>	[1..1]	±		1321

8.4.11.5 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

8.4.12 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption244 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		831
	OptionType <OptnTp>	[1..1]	±		831
	OptionFeatures <OptnFeatrs>	[0..*]			831
{Or	Code <Cd>	[1..1]	CodeSet		832
Or}	Proprietary <Prtry>	[1..1]	±		832
	FractionDisposition <FrctnDspstn>	[0..1]	±		833
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	833
	DateDetails <DtDtls>	[0..1]			833
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		833
	TradingDate <TradgDt>	[0..1]	±		834
	PeriodDetails <PrdDtls>	[0..1]			834
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		834
	ActionPeriod <ActnPrd>	[0..1]	±		835
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		835
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C41, C43, C63, C65, C67, C71, C73	836
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		838
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		839
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		840
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsubcptRate>	[0..1]	Rate		841
	ProrationRate <PrratnRate>	[0..1]	Rate		841
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			841
{Or	Rate <Rate>	[1..1]	Rate		841
Or	Amount <Amt>	[1..1]	Amount	C1	841
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			842
	RateType <RateTp>	[1..1]			842

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843
	Rate <Rate>	[1..1]	Rate		843
	SecondLevelTax <ScndLvITax>	[0..*]			843
{Or	Rate <Rate>	[1..1]	Rate		843
Or	Amount <Amt>	[1..1]	Amount	C1	844
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			844
	RateType <RateTp>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845
	Rate <Rate>	[1..1]	Rate		845
	AdditionalTax <AddtlTax>	[0..1]			845
{Or	Rate <Rate>	[1..1]	Rate		845
Or}	Amount <Amt>	[1..1]	Amount	C1	845
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		846
	PriceDetails <PricDtls>	[0..1]		C22	846
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			847
{Or	PercentagePrice <PctgPric>	[1..1]			847
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		848
	PriceValue <PricVal>	[1..1]	Rate		848
Or}	AmountPrice <AmtPric>	[1..1]	±		848
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			848
{Or	PercentagePrice <PctgPric>	[1..1]			849
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		849
	PriceValue <PricVal>	[1..1]	Rate		849
Or}	AmountPrice <AmtPric>	[1..1]	±		849
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C40	850
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C30, C32, C38	850
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35,	855

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
				C36, C48	
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		856
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		857
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		857
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		857
	IncomeType <IncmTp>	[0..1]	±		858
	OtherIncomeType <OthrIncmTp>	[0..*]	±		858
	ExemptionType <XmptnTp>	[0..*]	±		858
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	859
	PostingQuantity <PstngQty>	[1..1]	±		859
	SafekeepingPlace <SfkpgPlc>	[0..1]			859
{Or	Identification <Id>	[1..1]	±		860
Or	Country <Ctry>	[1..1]	CodeSet	C10	860
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		860
Or	TypeAndIdentification <TpAndId>	[1..1]			860
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		861
	Identification <Id>	[1..1]	IdentifierSet	C8	861
Or}	Proprietary <Prtry>	[1..1]	±		861
	FractionDisposition <FrctnDspstn>	[0..1]	±		862
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	862
	DateDetails <DtDtls>	[1..1]			862
	PostingDate <PstngDt>	[1..1]	±		863
	AvailableDate <AvlblDt>	[0..1]	±		863
	PariPassuDate <PrpssDt>	[0..1]	±		863
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		864
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		864
	PaymentDate <PmtDt>	[0..1]	±		864
	RateDetails <RateDtls>	[0..1]			865
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsIntntScties>	[0..1]	±		866

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		867
	NewToOld <NewToOd>	[0..1]	±		867
	ChargesFees <ChrgsFees>	[0..1]			868
{Or	Rate <Rate>	[1..1]	Rate		868
Or}	Amount <Amt>	[1..1]	Amount	C1	868
	FiscalStamp <FscIStmp>	[0..1]	Rate		869
	ApplicableRate <AplblRate>	[0..1]	Rate		869
	TaxCreditRate <TaxCdtRate>	[0..1]			869
{Or	Rate <Rate>	[1..1]	Rate		869
Or}	Amount <Amt>	[1..1]	Amount	C1	869
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		870
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			870
{Or	Rate <Rate>	[1..1]	Rate		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			871
	RateType <RateTp>	[1..1]			871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871
	Rate <Rate>	[1..1]	Rate		872
	SecondLevelTax <ScndLvlTax>	[0..*]			872
{Or	Rate <Rate>	[1..1]	Rate		872
Or	Amount <Amt>	[1..1]	Amount	C1	872
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			873
	RateType <RateTp>	[1..1]			873
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874
	Rate <Rate>	[1..1]	Rate		874
	PriceDetails <PricDtls>	[0..1]		C20	874
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			876
{Or	PercentagePrice <PctgPric>	[1..1]			876
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		877

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValue <PricVal>	[1..1]	Rate		877
Or}	AmountPrice <AmtPric>	[1..1]	±		877
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			877
{Or	IndicativePrice <IndctvPric>	[1..1]			878
{Or	PercentagePrice <PctgPric>	[1..1]			878
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879
Or}	AmountPrice <AmtPric>	[1..1]	±		879
Or}	MarketPrice <MktPric>	[1..1]			879
{Or	PercentagePrice <PctgPric>	[1..1]			879
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880
Or}	AmountPrice <AmtPric>	[1..1]	±		880
	CashValueForTax <CshValForTax>	[0..1]	±		880
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			881
{Or	PercentagePrice <PctgPric>	[1..1]			881
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		881
	PriceValue <PricVal>	[1..1]	Rate		882
Or	AmountPrice <AmtPric>	[1..1]	±		882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			882
{Or	PercentagePrice <PctgPric>	[1..1]			883
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		883
	PriceValue <PricVal>	[1..1]	Rate		884
Or	AmountPrice <AmtPric>	[1..1]	±		884
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			884
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		884
	PriceValue <PricVal>	[1..1]	Amount	C1	885
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	885
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			885

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		886
	PriceValue <PricVal>	[1..1]	Amount	C1	886
	Amount <Amt>	[1..1]	Amount	C1	886
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		887
	AmountDetails <AmtDtls>	[0..1]			887
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	887
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	887
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]		C50, C51	888
	Depository <Dpstry>	[0..1]		C3	889
	Identification <Id>	[1..1]			889
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	890
Or	NameAndAddress <NmAndAdr>	[1..1]	±		890
Or	Country <Ctry>	[1..1]	CodeSet	C10	890
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		890
	ProcessingIdentification <Prcgld>	[0..1]	Text		891
	AlternateIdentification <Altrnld>	[0..*]	±		891
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	891
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	892
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	893
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]		C50, C51	894
	Depository <Dpstry>	[0..1]		C3	895
	Identification <Id>	[1..1]			896
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	896
Or	NameAndAddress <NmAndAdr>	[1..1]	±		897
Or	Country <Ctry>	[1..1]	CodeSet	C10	897
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		897
	ProcessingIdentification <Prcgld>	[0..1]	Text		897
	AlternateIdentification <Altrnld>	[0..*]	±		897

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	898
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	899
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	900
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C31, C33, C39	901
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		909
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		909
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		910
	IncomeType <IncmTp>	[0..1]	±		910
	OtherIncomeType <OthrIncmTp>	[0..*]	±		910
	ExemptionType <XmptnTp>	[0..*]	±		910
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	911
	Account <Acct>	[0..1]			911
{Or	CashAccount <CshAcct>	[1..1]			911
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	912
Or	BlockChainCashWallet <BlckChainCshWlIt>	[1..1]	±		912
Or}	Proprietary <Prtry>	[1..1]	Text		912
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		912
Or}	TaxAccount <TaxAcct>	[1..1]	±		913
	CashParties <CshPties>	[0..1]			913
	Creditor <Cdtr>	[0..1]		C5	914
	Identification <Id>	[1..1]	±		915
	CashAccount <CshAcct>	[0..1]			915
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	916
Or	BlockChainCashWallet <BlckChainCshWlIt>	[1..1]	±		916
Or}	Proprietary <Prtry>	[1..1]	Text		916
	ProcessingIdentification <PrcgId>	[0..1]	Text		916
	AlternateIdentification <AltrnId>	[0..1]	±		916

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditorAgent <CdtrAgt>	[0..1]		C6	917
	Identification <Id>	[1..1]			917
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		918
	CashAccount <CshAcct>	[0..1]			919
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	919
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		919
Or}	Proprietary <Prtry>	[1..1]	Text		919
	ProcessingIdentification <PrcgId>	[0..1]	Text		920
	AlternateIdentification <AltrnId>	[0..1]	±		920
	MarketClaimCounterparty <MktCImCtrPty>	[0..1]		C5	920
	Identification <Id>	[1..1]	±		921
	CashAccount <CshAcct>	[0..1]			921
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	921
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		922
Or}	Proprietary <Prtry>	[1..1]	Text		922
	ProcessingIdentification <PrcgId>	[0..1]	Text		922
	AlternateIdentification <AltrnId>	[0..1]	±		922
	AmountDetails <AmtDtls>	[1..1]			923
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	925
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	926
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	926
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	927
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	927
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	927
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	928
	MarketClaimAmount <MktCImAmt>	[0..1]	Amount	C1, C11	928
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	929
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	929
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	930

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FullyFrankedAmount <FullyFrnkdAmt>	[0..1]	Amount	C1, C11	930
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	930
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	931
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	931
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	932
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	932
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	932
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	933
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	933
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	934
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	934
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C1, C11	934
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	935
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	935
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	936
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	936
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	936
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	937
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	937
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	938
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	938
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	938
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	939
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	939
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	940
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	940
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	940
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	941
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	941
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	942

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	942
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	942
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	943
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	943
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	944
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	944
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	944
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	945
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	945
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	946
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	946
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	946
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	947
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	947
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	948
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	948
	DateDetails <DtDtls>	[1..1]			949
	PostingDate <PstngDt>	[1..1]	±		949
	ValueDate <ValDt>	[0..1]	Date		949
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		949
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		949
	PaymentDate <PmtDt>	[0..1]	Date		950
	ForeignExchangeDetails <FXDtls>	[0..*]			950
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	950
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	950
	ExchangeRate <XchgRate>	[1..1]	Rate		951
	ResultingAmount <RsltAmt>	[1..1]	Amount	C1, C11	951
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			951
	Identification <Id>	[1..1]	Text		952
	BargainDate <BrngDt>	[0..1]	±		952
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		952

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C42, C44, C64, C66, C68, C72, C74	952
	AdditionalTax <AddtlTax>	[0..1]			956
{Or	Rate <Rate>	[1..1]	Rate		956
Or}	Amount <Amt>	[1..1]	Amount	C1	956
	ChargesFees <ChrgsFees>	[0..1]			957
{Or	Rate <Rate>	[1..1]	Rate		957
Or}	Amount <Amt>	[1..1]	Amount	C1	957
	FiscalStamp <FscIStmp>	[0..1]	Rate		957
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		957
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			958
{Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountToQuantity <AmtToQty>	[1..1]			958
	Amount <Amt>	[1..1]	Amount	C1	959
	Quantity <Qty>	[1..1]	Quantity		959
Or}	Amount <Amt>	[1..1]	Amount	C1	959
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			959
{Or	Rate <Rate>	[1..1]	Rate		960
Or}	Amount <Amt>	[1..1]	Amount	C1	960
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		960
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		961
	ApplicableRate <AplblRate>	[0..1]	Rate		962
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			962
{Or	Rate <Rate>	[1..1]	Rate		962
Or	AmountToQuantity <AmtToQty>	[1..1]			962
	Amount <Amt>	[1..1]	Amount	C1	962
	Quantity <Qty>	[1..1]	Quantity		963

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	TaxCreditRate <TaxCdtRate>	[0..1]			963
{Or	Rate <Rate>	[1..1]	Rate		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			964
{Or	Rate <Rate>	[1..1]	Rate		964
Or	Amount <Amt>	[1..1]	Amount	C1	964
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			965
	RateType <RateTp>	[1..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965
	Rate <Rate>	[1..1]	Rate		966
	SecondLevelTax <ScndLvlTax>	[0..*]			966
{Or	Rate <Rate>	[1..1]	Rate		966
Or	Amount <Amt>	[1..1]	Amount	C1	966
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			967
	RateType <RateTp>	[1..1]			967
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968
	Rate <Rate>	[1..1]	Rate		968
	TaxOnIncome <TaxOnIncm>	[0..1]			968
{Or	Rate <Rate>	[1..1]	Rate		968
Or}	Amount <Amt>	[1..1]	Amount	C1	968
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		969
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		969
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	969
	DeemedRate <DmdRate>	[0..*]			969
{Or	Rate <Rate>	[1..1]	Rate		970
Or	Amount <Amt>	[1..1]	Amount	C1	970
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			971
	RateType <RateTp>	[1..1]			971

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1	972
	RateStatus <RateSts>	[0..1]		C52	972
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		973
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			973
	RateType <RateTp>	[1..1]			973
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		974
	Rate <Rate>	[1..1]	Rate		974
	PriceDetails <PricDtls>	[0..1]		C21	974
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			976
{Or	PercentagePrice <PctgPric>	[1..1]			976
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Rate		977
Or	AmountPrice <AmtPric>	[1..1]	±		977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			977
{Or	PercentagePrice <PctgPric>	[1..1]			978
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			979
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Amount	C1	980
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	980
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			980
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		981
	PriceValue <PricVal>	[1..1]	Amount	C1	981

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	981
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		982
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			982
{Or	PercentagePrice <PctgPric>	[1..1]			982
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		982
	PriceValue <PricVal>	[1..1]	Rate		983
Or}	AmountPrice <AmtPric>	[1..1]	±		983

8.4.12.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

8.4.12.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption39Choice](#)" on page 1295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1295
Or}	Proprietary <Prtry>	[1..1]	±		1297

8.4.12.3 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeaturesFormat30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		832
Or}	Proprietary <Prtry>	[1..1]	±		832

8.4.12.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures14Code" on page 1505

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

8.4.12.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType30Choice" on page 1294 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1294
Or}	Proprietary <Prtry>	[1..1]	±		1295

8.4.12.5 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.6 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtIs> contains the following **CorporateActionDate109** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		833
	TradingDate <TradgDt>	[0..1]	±		834

8.4.12.6.1 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat67Choice" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1228
Or}	DateCode <DtCd>	[1..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	±		1229

8.4.12.6.2 TradingDate <TradgDt>

Presence: [0..1]

Definition: Date/time at which the deal (rights) was agreed.

TradingDate <TradgDt> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

8.4.12.7 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		834
	ActionPeriod <ActnPrd>	[0..1]	±		835
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		835

8.4.12.7.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period11" on page 1236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			1236
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237
	EndDate <EndDt>	[1..1]			1237
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

8.4.12.7.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period11" on page 1236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			1236
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237
	EndDate <EndDt>	[1..1]			1237
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

8.4.12.7.3 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains the following elements (see "Period11" on page 1236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			1236
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237
	EndDate <EndDt>	[1..1]			1237
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

8.4.12.8 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C23 "GrossDistributionRate2Rule", C25 "GrossDistributionRate3Rule", C41 "NetDistributionRate1Rule", C43 "NetDistributionRate2Rule", C63 "SecondLevelTax1Rule", C65 "SecondLevelTax2Rule", C67 "SecondLevelTax3Rule", C71 "WithholdingTaxRate1Rule", C73 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate131** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		838
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		839
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		840
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	Rate		841
	ProrationRate <PrratnRate>	[0..1]	Rate		841
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			841
{Or	Rate <Rate>	[1..1]	Rate		841
Or	Amount <Amt>	[1..1]	Amount	C1	841
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			842
	RateType <RateTp>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843
	Rate <Rate>	[1..1]	Rate		843
	SecondLevelTax <ScndLvITax>	[0..*]			843
{Or	Rate <Rate>	[1..1]	Rate		843
Or	Amount <Amt>	[1..1]	Amount	C1	844
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			844
	RateType <RateTp>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845
	Rate <Rate>	[1..1]	Rate		845
	AdditionalTax <AddtlTax>	[0..1]			845
{Or	Rate <Rate>	[1..1]	Rate		845
Or}	Amount <Amt>	[1..1]	Amount	C1	845
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		846

Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

8.4.12.8.1 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat39Choice" on page 1401 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1402
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1402
	Amount <Amt>	[1..1]	Amount	C1	1403
	RateStatus <RateSts>	[1..1]	CodeSet		1403
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1403
	RateType <RateTp>	[1..1]			1403
{Or	Code <Cd>	[1..1]	CodeSet		1404
Or}	Proprietary <Prtry>	[1..1]	±		1404
	Amount <Amt>	[1..1]	Amount	C1	1405
	RateStatus <RateSts>	[0..1]		C52	1405
{Or	Code <Cd>	[1..1]	CodeSet		1405
Or}	Proprietary <Prtry>	[1..1]	±		1406

8.4.12.8.2 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat41Choice" on page 1392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1393
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1393
	Amount <Amt>	[1..1]	Amount	C1	1394
	RateStatus <RateSts>	[1..1]	CodeSet		1394
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1394
	RateType <RateTp>	[1..1]			1394
{Or	Code <Cd>	[1..1]	CodeSet		1395
Or}	Proprietary <Prtry>	[1..1]	±		1395
	Amount <Amt>	[1..1]	Amount	C1	1396
	RateStatus <RateSts>	[0..1]		C52	1396
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397

8.4.12.8.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat19Choice" on page 1416 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1416
Or	Amount <Amt>	[1..1]	Amount	C1	1416
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1416
	RateType <RateTp>	[1..1]			1417
{Or	Code <Cd>	[1..1]	CodeSet		1417
Or}	Proprietary <Prtry>	[1..1]	±		1417
	Amount <Amt>	[1..1]	Amount	C1	1418
	RateStatus <RateSts>	[0..1]		C52	1418
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

8.4.12.8.4 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>*Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.8.5 ProrationRate <PrtratnRate>***Presence:* [0..1]*Definition:* Percentage of securities accepted by the offeror/issuer.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.8.6 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following **RateAndAmountFormat66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		841
Or	Amount <Amt>	[1..1]	Amount	C1	841
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			842
	RateType <RateTp>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843
	Rate <Rate>	[1..1]	Rate		843

8.4.12.8.6.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.8.6.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.8.6.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843
	Rate <Rate>	[1..1]	Rate		843

8.4.12.8.6.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843

8.4.12.8.6.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

8.4.12.8.6.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.8.6.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**8.4.12.8.7 SecondLevelTax <ScndLvITax>***Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following **RateAndAmountFormat66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		843
Or	Amount <Amt>	[1..1]	Amount	C1	844
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			844
	RateType <RateTp>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845
	Rate <Rate>	[1..1]	Rate		845

8.4.12.8.7.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525

8.4.12.8.7.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.8.7.3 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845
	Rate <Rate>	[1..1]	Rate		845

8.4.12.8.7.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845

8.4.12.8.7.3.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of withholding tax rate.*Datatype:* "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.

CodeName	Name	Definition
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

8.4.12.8.7.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.8.7.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**8.4.12.8.8 AdditionalTax <AddtlTax>***Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following **RateAndAmountFormat67Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		845
Or}	Amount <Amt>	[1..1]	Amount	C1	845

8.4.12.8.8.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**8.4.12.8.8.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.8.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1284
{Or	Code <Cd>	[1..1]	CodeSet		1284
Or}	Proprietary <Prtry>	[1..1]	±		1284
	Amount <Amt>	[1..1]	Amount	C1	1284
	RateStatus <RateSts>	[0..1]		C52	1285
{Or	Code <Cd>	[1..1]	CodeSet		1285
Or}	Proprietary <Prtry>	[1..1]	±		1285

8.4.12.9 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C22 "GenericCashPriceReceivedPerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice92** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			847
{Or	PercentagePrice <PctgPric>	[1..1]			847
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		848
	PriceValue <PricVal>	[1..1]	Rate		848
Or}	AmountPrice <AmtPric>	[1..1]	±		848
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]			848
{Or	PercentagePrice <PctgPric>	[1..1]			849
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		849
	PriceValue <PricVal>	[1..1]	Rate		849
Or}	AmountPrice <AmtPric>	[1..1]	±		849

Constraints

- **GenericCashPriceReceivedPerProductGuideline**

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.12.9.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat85Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			847
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		848
	PriceValue <PricVal>	[1..1]	Rate		848
Or}	AmountPrice <AmtPric>	[1..1]	±		848

8.4.12.9.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		848
	PriceValue <PricVal>	[1..1]	Rate		848

8.4.12.9.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.9.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

8.4.12.9.1.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.9.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following **PriceFormat85Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			849
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		849
	PriceValue <PricVal>	[1..1]	Rate		849
Or}	AmountPrice <AmtPric>	[1..1]	±		849

8.4.12.9.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		849
	PriceValue <PricVal>	[1..1]	Rate		849

8.4.12.9.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.9.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

8.4.12.9.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.10 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Place where the trade was executed.

Impacted by: [C40 "MarketTypeAndIdentificationRule"](#)

PlaceOfTrade <PlcOfTrad> contains the following elements (see "[MarketIdentification90](#)" on page 1300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1300
	Type <Tp>	[1..1]			1300
{Or	Code <Cd>	[1..1]	CodeSet		1301
Or}	Proprietary <Prtry>	[1..1]	±		1301

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

8.4.12.11 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: [C18 "ExemptionTypeUsageRule"](#), [C30 "IncomeType1Rule"](#), [C32 "IncomeTypeUsageRule"](#), [C38 "IssuerOfferorTaxabilityIndicatorUsageRule"](#)

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption115** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	855
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		856
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		857
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		857
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		857
	IncomeType <IncmTp>	[0..1]	±		858
	OtherIncomeType <OthrIncmTp>	[0..*]	±		858
	ExemptionType <XmptnTp>	[0..*]	±		858
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	859
	PostingQuantity <PstngQty>	[1..1]	±		859
	SafekeepingPlace <SfkpgPlc>	[0..1]			859
{Or	Identification <Id>	[1..1]	±		860
Or	Country <Ctry>	[1..1]	CodeSet	C10	860
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		860
Or	TypeAndIdentification <TpAndId>	[1..1]			860
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		861
	Identification <Id>	[1..1]	IdentifierSet	C8	861
Or}	Proprietary <Prtry>	[1..1]	±		861
	FractionDisposition <FrctnDspstn>	[0..1]	±		862
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	862
	DateDetails <DtDtls>	[1..1]			862
	PostingDate <PstngDt>	[1..1]	±		863
	AvailableDate <AvlblDt>	[0..1]	±		863
	PariPassuDate <PrpssDt>	[0..1]	±		863
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		864
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		864
	PaymentDate <PmtDt>	[0..1]	±		864
	RateDetails <RateDtls>	[0..1]			865

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScities>	[0..1]	±		866
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		867
	NewToOld <NewToOd>	[0..1]	±		867
	ChargesFees <ChrgsFees>	[0..1]			868
{Or	Rate <Rate>	[1..1]	Rate		868
Or}	Amount <Amt>	[1..1]	Amount	C1	868
	FiscalStamp <FscIStmp>	[0..1]	Rate		869
	ApplicableRate <AplblRate>	[0..1]	Rate		869
	TaxCreditRate <TaxCdtRate>	[0..1]			869
{Or	Rate <Rate>	[1..1]	Rate		869
Or}	Amount <Amt>	[1..1]	Amount	C1	869
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		870
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			870
{Or	Rate <Rate>	[1..1]	Rate		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			871
	RateType <RateTp>	[1..1]			871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871
	Rate <Rate>	[1..1]	Rate		872
	SecondLevelTax <ScndLvITax>	[0..*]			872
{Or	Rate <Rate>	[1..1]	Rate		872
Or	Amount <Amt>	[1..1]	Amount	C1	872
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			873
	RateType <RateTp>	[1..1]			873
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874
	Rate <Rate>	[1..1]	Rate		874
	PriceDetails <PricDtls>	[0..1]		C20	874
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			876
{Or	PercentagePrice <PctgPric>	[1..1]			876

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		877
	PriceValue <PricVal>	[1..1]	Rate		877
Or}	AmountPrice <AmtPric>	[1..1]	±		877
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			877
{Or	IndicativePrice <IndctvPric>	[1..1]			878
{Or	PercentagePrice <PctgPric>	[1..1]			878
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879
Or}	AmountPrice <AmtPric>	[1..1]	±		879
Or}	MarketPrice <MktPric>	[1..1]			879
{Or	PercentagePrice <PctgPric>	[1..1]			879
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880
Or}	AmountPrice <AmtPric>	[1..1]	±		880
	CashValueForTax <CshValForTax>	[0..1]	±		880
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			881
{Or	PercentagePrice <PctgPric>	[1..1]			881
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		881
	PriceValue <PricVal>	[1..1]	Rate		882
Or	AmountPrice <AmtPric>	[1..1]	±		882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			882
{Or	PercentagePrice <PctgPric>	[1..1]			883
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		883
	PriceValue <PricVal>	[1..1]	Rate		884
Or	AmountPrice <AmtPric>	[1..1]	±		884
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			884
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		884
	PriceValue <PricVal>	[1..1]	Amount	C1	885
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	885

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			885
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		886
	PriceValue <PricVal>	[1..1]	Amount	C1	886
	Amount <Amt>	[1..1]	Amount	C1	886
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		887
	AmountDetails <AmtDtls>	[0..1]			887
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	887
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	887
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]		C50, C51	888
	Depository <Dpstry>	[0..1]		C3	889
	Identification <Id>	[1..1]			889
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	890
Or	NameAndAddress <NmAndAdr>	[1..1]	±		890
Or	Country <Ctry>	[1..1]	CodeSet	C10	890
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		890
	ProcessingIdentification <PrcgId>	[0..1]	Text		891
	AlternateIdentification <AltrnId>	[0..*]	±		891
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	891
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	892
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	893
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]		C50, C51	894
	Depository <Dpstry>	[0..1]		C3	895
	Identification <Id>	[1..1]			896
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	896
Or	NameAndAddress <NmAndAdr>	[1..1]	±		897
Or	Country <Ctry>	[1..1]	CodeSet	C10	897
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		897
	ProcessingIdentification <PrcgId>	[0..1]	Text		897

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AlternateIdentification <AltrnId>	[0..*]	±		897
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	898
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	899
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	900

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.12.11.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C35 "ISINGuideline", C36 "ISINPresenceRule", C48 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

8.4.12.11.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.12.11.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see ["TemporaryFinancialInstrumentIndicator4Choice"](#) on page 1318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		1318
Or}	Proprietary <Prtry>	[1..1]	±		1318

8.4.12.11.4 NewSecuritiesIssuanceIndicator <NewSciesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: ["NewSecuritiesIssuanceType6Code"](#) on page 1502

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

8.4.12.11.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 1329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

8.4.12.11.6 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.11.7 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.11.8 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.11.9 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C10 "Country"

Datatype: "[CountryCode](#)" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.11.10 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

8.4.12.11.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat50Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		860
Or	Country <Ctry>	[1..1]	CodeSet	C10	860
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		860
Or	TypeAndIdentification <TpAndId>	[1..1]			860
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		861
	Identification <Id>	[1..1]	IdentifierSet	C8	861
Or}	Proprietary <Prtry>	[1..1]	±		861

8.4.12.11.11.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText15"](#) on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

8.4.12.11.11.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C10 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.11.11.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

8.4.12.11.11.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		861
	Identification <Id>	[1..1]	IdentifierSet	C8	861

8.4.12.11.11.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

8.4.12.11.11.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C8 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.12.11.11.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

8.4.12.11.12 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType30Choice](#)" on page 1294 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1294
Or}	Proprietary <Prtry>	[1..1]	±		1295

8.4.12.11.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.14 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		863
	AvailableDate <AvlblDt>	[0..1]	±		863
	PariPassuDate <PrpssDt>	[0..1]	±		863
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		864
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		864
	PaymentDate <PmtDt>	[0..1]	±		864

8.4.12.11.14.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

8.4.12.11.14.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat41Choice"](#) on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.12.11.14.3 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.12.11.14.4 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.12.11.14.5 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.12.11.14.6 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

8.4.12.11.15 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate132** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		866
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		867
	NewToOld <NewToOd>	[0..1]	±		867
	ChargesFees <ChrgsFees>	[0..1]			868
{Or	Rate <Rate>	[1..1]	Rate		868
Or}	Amount <Amt>	[1..1]	Amount	C1	868
	FiscalStamp <FscIStmp>	[0..1]	Rate		869
	ApplicableRate <AplblRate>	[0..1]	Rate		869
	TaxCreditRate <TaxCdtRate>	[0..1]			869
{Or	Rate <Rate>	[1..1]	Rate		869
Or}	Amount <Amt>	[1..1]	Amount	C1	869
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		870
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			870
{Or	Rate <Rate>	[1..1]	Rate		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			871
	RateType <RateTp>	[1..1]			871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871
	Rate <Rate>	[1..1]	Rate		872
	SecondLevelTax <ScndLvITax>	[0..*]			872
{Or	Rate <Rate>	[1..1]	Rate		872
Or	Amount <Amt>	[1..1]	Amount	C1	872
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			873
	RateType <RateTp>	[1..1]			873
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874
	Rate <Rate>	[1..1]	Rate		874

8.4.12.11.15.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies> contains one of the following elements (see "RatioFormat21Choice" on page 1406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1406
Or}	AmountToAmount <AmtToAmt>	[1..1]			1406
	Amount1 <Amt1>	[1..1]	Amount	C1	1407
	Amount2 <Amt2>	[1..1]	Amount	C1	1407

8.4.12.11.15.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat21Choice" on page 1406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1406
Or}	AmountToAmount <AmtToAmt>	[1..1]			1406
	Amount1 <Amt1>	[1..1]	Amount	C1	1407
	Amount2 <Amt2>	[1..1]	Amount	C1	1407

8.4.12.11.15.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat22Choice" on page 1407 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1408
Or	AmountToAmount <AmtToAmt>	[1..1]			1408
	Amount1 <Amt1>	[1..1]	Amount	C1	1408
	Amount2 <Amt2>	[1..1]	Amount	C1	1409
Or	AmountToQuantity <AmtToQty>	[1..1]			1409
	Amount <Amt>	[1..1]	Amount	C1	1409
	Quantity <Qty>	[1..1]	Quantity		1410
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1410
	Amount <Amt>	[1..1]	Amount	C1	1410
	Quantity <Qty>	[1..1]	Quantity		1410

8.4.12.11.15.4 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following **RateAndAmountFormat67Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		868
Or}	Amount <Amt>	[1..1]	Amount	C1	868

8.4.12.11.15.4.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.15.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.15.5 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.15.6 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.15.7 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following **RateFormat28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		869
Or}	Amount <Amt>	[1..1]	Amount	C1	869

8.4.12.11.15.7.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.15.7.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.15.8 FinancialTransactionTaxRate <FinTxTaxRate>

Presence: [0..1]

Definition: Rate of financial transaction tax.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.15.9 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		870
Or	Amount <Amt>	[1..1]	Amount	C1	870
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			871
	RateType <RateTp>	[1..1]			871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871
	Rate <Rate>	[1..1]	Rate		872

8.4.12.11.15.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.15.9.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.15.9.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871
	Rate <Rate>	[1..1]	Rate		872

8.4.12.11.15.9.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		871

8.4.12.11.15.9.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

8.4.12.11.15.9.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.11.15.9.3.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

8.4.12.11.15.10 SecondLevelTax <ScndLvTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvTax> contains one of the following **RateAndAmountFormat66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		872
Or	Amount <Amt>	[1..1]	Amount	C1	872
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			873
	RateType <RateTp>	[1..1]			873
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874
	Rate <Rate>	[1..1]	Rate		874

8.4.12.11.15.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

8.4.12.11.15.10.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "[ActiveCurrency](#)"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.15.10.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			873
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874
	Rate <Rate>	[1..1]	Rate		874

8.4.12.11.15.10.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	±		874

8.4.12.11.15.10.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

8.4.12.11.15.10.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.11.15.10.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**8.4.12.11.16 PriceDetails <PricDtls>***Presence:* [0..1]*Definition:* Provides information about the prices related to securities movement.*Impacted by:* [C20 "GenericCashPricePerProductGuideline"](#)

PriceDetails <PricDtls> contains the following **CorporateActionPrice93** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			876
{Or	PercentagePrice <PctgPric>	[1..1]			876
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		877
	PriceValue <PricVal>	[1..1]	Rate		877
Or}	AmountPrice <AmtPric>	[1..1]	±		877
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			877
{Or	IndicativePrice <IndctvPric>	[1..1]			878
{Or	PercentagePrice <PctgPric>	[1..1]			878
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879
Or}	AmountPrice <AmtPric>	[1..1]	±		879
Or}	MarketPrice <MktPric>	[1..1]			879
{Or	PercentagePrice <PctgPric>	[1..1]			879
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880
Or}	AmountPrice <AmtPric>	[1..1]	±		880
	CashValueForTax <CshValForTax>	[0..1]	±		880
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			881
{Or	PercentagePrice <PctgPric>	[1..1]			881
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		881
	PriceValue <PricVal>	[1..1]	Rate		882
Or	AmountPrice <AmtPric>	[1..1]	±		882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			882
{Or	PercentagePrice <PctgPric>	[1..1]			883
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		883
	PriceValue <PricVal>	[1..1]	Rate		884
Or	AmountPrice <AmtPric>	[1..1]	±		884
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			884

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		884
	PriceValue <PricVal>	[1..1]	Amount	C1	885
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	885
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			885
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		886
	PriceValue <PricVal>	[1..1]	Amount	C1	886
	Amount <Amt>	[1..1]	Amount	C1	886
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		887

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

8.4.12.11.16.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat85Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			876
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		877
	PriceValue <PricVal>	[1..1]	Rate		877
Or}	AmountPrice <AmtPric>	[1..1]	±		877

8.4.12.11.16.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		877
	PriceValue <PricVal>	[1..1]	Rate		877

8.4.12.11.16.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.11.16.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.11.16.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.11.16.2 IndicativeOrMarketPrice <IndctvOrMktPric>*Presence:* [0..1]*Definition:* Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]			878
{Or	PercentagePrice <PctgPric>	[1..1]			878
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879
Or}	AmountPrice <AmtPric>	[1..1]	±		879
Or}	MarketPrice <MktPric>	[1..1]			879
{Or	PercentagePrice <PctgPric>	[1..1]			879
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880
Or}	AmountPrice <AmtPric>	[1..1]	±		880

8.4.12.11.16.2.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following **PriceFormat85Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			878
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879
Or}	AmountPrice <AmtPric>	[1..1]	±		879

8.4.12.11.16.2.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		878
	PriceValue <PricVal>	[1..1]	Rate		879

8.4.12.11.16.2.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.11.16.2.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.16.2.1.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.11.16.2.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following **PriceFormat85Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			879
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880
Or}	AmountPrice <AmtPric>	[1..1]	±		880

8.4.12.11.16.2.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		880
	PriceValue <PricVal>	[1..1]	Rate		880

8.4.12.11.16.2.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.11.16.2.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

8.4.12.11.16.2.2.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.11.16.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains the following elements (see "AmountPrice4" on page 1374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1374
	PriceValue <PricVal>	[1..1]	Amount	C1	1374

8.4.12.11.16.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat86Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			881
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		881
	PriceValue <PricVal>	[1..1]	Rate		882
Or	AmountPrice <AmtPric>	[1..1]	±		882
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		882

8.4.12.11.16.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		881
	PriceValue <PricVal>	[1..1]	Rate		882

8.4.12.11.16.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.11.16.4.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.11.16.4.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.11.16.4.3 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**8.4.12.11.16.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>***Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat87Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			883
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		883
	PriceValue <PricVal>	[1..1]	Rate		884
Or	AmountPrice <AmtPric>	[1..1]	±		884
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			884
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		884
	PriceValue <PricVal>	[1..1]	Amount	C1	885
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	885
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			885
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		886
	PriceValue <PricVal>	[1..1]	Amount	C1	886
	Amount <Amt>	[1..1]	Amount	C1	886
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		887

8.4.12.11.16.5.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		883
	PriceValue <PricVal>	[1..1]	Rate		884

8.4.12.11.16.5.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.11.16.5.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.11.16.5.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.11.16.5.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		884
	PriceValue <PricVal>	[1..1]	Amount	C1	885
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	885

8.4.12.11.16.5.3.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.

CodeName	Name	Definition
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

8.4.12.11.16.5.3.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.16.5.3.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C16 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

8.4.12.11.16.5.4 AmountPricePerAmount <AmtPricPerAmt>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		886
	PriceValue <PricVal>	[1..1]	Amount	C1	886
	Amount <Amt>	[1..1]	Amount	C1	886

8.4.12.11.16.5.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

8.4.12.11.16.5.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.16.5.4.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.16.5.5 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

8.4.12.11.17 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	887
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	887

8.4.12.11.17.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.11.17.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.11.18 ReceivingSettlementParties <RcvgSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of receiving settlement parties.

Impacted by: C50 "Party2PresenceRule", C51 "Party3PresenceRule"

ReceivingSettlementParties <RcvgSttlmPties> contains the following **SettlementParties130** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	889
	Identification <Id>	[1..1]			889
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	890
Or	NameAndAddress <NmAndAdr>	[1..1]	±		890
Or	Country <Ctry>	[1..1]	CodeSet	C10	890
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		890
	ProcessingIdentification <PrctlId>	[0..1]	Text		891
	AlternateIdentification <Altrnld>	[0..*]	±		891
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	891
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	892
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	893

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition
 /Party2 is present
 Following Must be True
 /Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

8.4.12.11.18.1 Depository <Dpstry>

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

Impacted by: C3 "AdditionalInformationRule"

Depository <Dpstry> contains the following **PartyIdentification318** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			889
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	890
Or	NameAndAddress <NmAndAdr>	[1..1]	±		890
Or	Country <Ctry>	[1..1]	CodeSet	C10	890
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		890
	ProcessingIdentification <Prorgld>	[0..1]	Text		891
	AlternatIdentification <Altrnld>	[0..*]	±		891

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.11.18.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification258Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	890
Or	NameAndAddress <NmAndAdr>	[1..1]	±		890
Or	Country <Ctry>	[1..1]	CodeSet	C10	890
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		890

8.4.12.11.18.1.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C8 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.12.11.18.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress12" on page 1368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

8.4.12.11.18.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C10 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.11.18.1.1.4 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

8.4.12.11.18.1.2 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 1530

8.4.12.11.18.1.3 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

AlternateIdentification <AltrnId> contains the following elements (see "AlternatePartyIdentification9" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

8.4.12.11.18.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that interacts with the depository.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party1 <Pty1> contains the following elements (see "PartyIdentificationAndAccount205" on page 1351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrcgId>	[0..1]	Text		1352
	AlternateIdentification <AltrnId>	[0..*]	±		1352

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

8.4.12.11.18.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that interacts with the party1.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party2 <Pty2> contains the following elements (see "PartyIdentificationAndAccount205" on page 1351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrcgId>	[0..1]	Text		1352
	AlternateIdentification <AltrnId>	[0..*]	±		1352

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

8.4.12.11.18.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that interacts with the party2.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party3 <Pty3> contains the following elements (see "PartyIdentificationAndAccount205" on page 1351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrcgId>	[0..1]	Text		1352
	AlternateIdentification <AltrnId>	[0..*]	±		1352

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

 /SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

 /BlockChainAddressOrWallet is present

Following Must be True

 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

 /SafekeepingAccount is present

Following Must be True

 /BlockChainAddressOrWallet Must be absent

8.4.12.11.19 DeliveringSettlementParties <DlvrgSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of delivering settlement parties.

Impacted by: C50 "Party2PresenceRule", C51 "Party3PresenceRule"

DeliveringSettlementParties <DlvrgSttlmPties> contains the following **SettlementParties130** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	895
	Identification <Id>	[1..1]			896
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	896
Or	NameAndAddress <NmAndAdr>	[1..1]	±		897
Or	Country <Ctry>	[1..1]	CodeSet	C10	897
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		897
	ProcessingIdentification <Prcgld>	[0..1]	Text		897
	AlternateIdentification <Altrnld>	[0..*]	±		897
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	898
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	899
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	900

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

8.4.12.11.19.1 Depository <Dpstry>

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

Impacted by: C3 "AdditionalInformationRule"

Depository <Dpstry> contains the following **PartyIdentification318** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			896
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	896
Or	NameAndAddress <NmAndAdr>	[1..1]	±		897
Or	Country <Ctry>	[1..1]	CodeSet	C10	897
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		897
	ProcessingIdentification <PrctlId>	[0..1]	Text		897
	AlternateIdentification <AltrId>	[0..*]	±		897

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.11.19.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification258Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C8	896
Or	NameAndAddress <NmAndAdr>	[1..1]	±		897
Or	Country <Ctry>	[1..1]	CodeSet	C10	897
Or}	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		897

8.4.12.11.19.1.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C8 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.12.11.19.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 1368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

8.4.12.11.19.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: [C10 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.11.19.1.1.4 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

8.4.12.11.19.1.2 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: ["RestrictedFINXMax16Text"](#) on page 1530

8.4.12.11.19.1.3 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification9](#)" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

8.4.12.11.19.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that interacts with the depository.

Impacted by: [C4 "AdditionalInformationRule"](#), [C54 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C56 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C58 "SafekeepingAccountOrBlockChainAddress3Rule"](#)

Party1 <Pty1> contains the following elements (see "[PartyIdentificationAndAccount205](#)" on page 1351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrctlId>	[0..1]	Text		1352
	AlternateIdentification <AltrnId>	[0..*]	±		1352

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

8.4.12.11.19.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that interacts with the party1.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party2 <Pty2> contains the following elements (see "PartyIdentificationAndAccount205" on page 1351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrclId>	[0..1]	Text		1352
	AlternateIdentification <AltrId>	[0..*]	±		1352

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
/SafekeepingAccount Must be present
Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

8.4.12.11.19.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that interacts with the party2.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party3 <Pty3> contains the following elements (see "PartyIdentificationAndAccount205" on page 1351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrctlId>	[0..1]	Text		1352
	AlternateIdentification <AltrnId>	[0..*]	±		1352

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

8.4.12.12 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

Impacted by: C19 "ExemptionTypeUsageRule", C31 "IncomeType2Rule", C33 "IncomeTypeUsageRule", C39 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption110** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		909
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		909
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		910
	IncomeType <IncmTp>	[0..1]	±		910
	OtherIncomeType <OthrIncmTp>	[0..*]	±		910
	ExemptionType <XmptnTp>	[0..*]	±		910
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	911
	Account <Acct>	[0..1]			911
{Or	CashAccount <CshAcct>	[1..1]			911
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	912
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		912
Or}	Proprietary <Prtry>	[1..1]	Text		912
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		912
Or}	TaxAccount <TaxAcct>	[1..1]	±		913
	CashParties <CshPties>	[0..1]			913
	Creditor <Cdtr>	[0..1]		C5	914
	Identification <Id>	[1..1]	±		915
	CashAccount <CshAcct>	[0..1]			915
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	916
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		916
Or}	Proprietary <Prtry>	[1..1]	Text		916
	ProcessingIdentification <PrctlId>	[0..1]	Text		916
	AlternateIdentification <AltrnId>	[0..1]	±		916
	CreditorAgent <CdtrAgt>	[0..1]		C6	917
	Identification <Id>	[1..1]			917
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		918
	CashAccount <CshAcct>	[0..1]			919
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	919
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		919

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	Text		919
	ProcessingIdentification <PrctlId>	[0..1]	Text		920
	AlternateIdentification <AltrnId>	[0..1]	±		920
	MarketClaimCounterparty <MktClnCtrPty>	[0..1]		C5	920
	Identification <Id>	[1..1]	±		921
	CashAccount <CshAcct>	[0..1]			921
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	921
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		922
Or}	Proprietary <Prtry>	[1..1]	Text		922
	ProcessingIdentification <PrctlId>	[0..1]	Text		922
	AlternateIdentification <AltrnId>	[0..1]	±		922
	AmountDetails <AmtDtls>	[1..1]			923
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	925
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	926
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	926
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	927
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	927
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	927
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	928
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	928
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	929
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	929
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	930
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	930
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	930
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	931
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	931
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	932
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	932
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	932
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	933

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	933
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	934
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	934
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	934
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	935
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	935
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	936
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	936
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	936
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	937
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	937
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	938
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	938
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	938
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	939
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	939
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	940
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	940
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	940
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	941
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	941
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	942
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	942
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	942
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	943
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	943
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	944
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	944
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	944
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	945

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	945
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	946
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	946
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	946
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	947
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	947
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	948
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	948
	DateDetails <DtDtls>	[1..1]			949
	PostingDate <PstngDt>	[1..1]	±		949
	ValueDate <ValDt>	[0..1]	Date		949
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		949
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		949
	PaymentDate <PmtDt>	[0..1]	Date		950
	ForeignExchangeDetails <FXDtls>	[0..*]			950
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	950
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	950
	ExchangeRate <XchgRate>	[1..1]	Rate		951
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C11	951
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			951
	Identification <Id>	[1..1]	Text		952
	BargainDate <BrngDt>	[0..1]	±		952
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		952
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C42, C44, C64, C66, C68, C72, C74	952
	AdditionalTax <AddtlTax>	[0..1]			956
{Or	Rate <Rate>	[1..1]	Rate		956
Or}	Amount <Amt>	[1..1]	Amount	C1	956

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesFees <ChrgsFees>	[0..1]			957
{Or	Rate <Rate>	[1..1]	Rate		957
Or}	Amount <Amt>	[1..1]	Amount	C1	957
	FiscalStamp <FscIStmp>	[0..1]	Rate		957
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		957
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			958
{Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountToQuantity <AmtToQty>	[1..1]			958
	Amount <Amt>	[1..1]	Amount	C1	959
	Quantity <Qty>	[1..1]	Quantity		959
Or}	Amount <Amt>	[1..1]	Amount	C1	959
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			959
{Or	Rate <Rate>	[1..1]	Rate		960
Or}	Amount <Amt>	[1..1]	Amount	C1	960
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		960
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		961
	ApplicableRate <AplblRate>	[0..1]	Rate		962
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			962
{Or	Rate <Rate>	[1..1]	Rate		962
Or	AmountToQuantity <AmtToQty>	[1..1]			962
	Amount <Amt>	[1..1]	Amount	C1	962
	Quantity <Qty>	[1..1]	Quantity		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	TaxCreditRate <TaxCdtRate>	[0..1]			963
{Or	Rate <Rate>	[1..1]	Rate		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			964
{Or	Rate <Rate>	[1..1]	Rate		964
Or	Amount <Amt>	[1..1]	Amount	C1	964
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			965
	RateType <RateTp>	[1..1]			965

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965
	Rate <Rate>	[1..1]	Rate		966
	SecondLevelTax <ScndLvITax>	[0..*]			966
{Or	Rate <Rate>	[1..1]	Rate		966
Or	Amount <Amt>	[1..1]	Amount	C1	966
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			967
	RateType <RateTp>	[1..1]			967
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968
	Rate <Rate>	[1..1]	Rate		968
	TaxOnIncome <TaxOnIncm>	[0..1]			968
{Or	Rate <Rate>	[1..1]	Rate		968
Or}	Amount <Amt>	[1..1]	Amount	C1	968
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		969
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		969
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	969
	DeemedRate <DmdRate>	[0..*]			969
{Or	Rate <Rate>	[1..1]	Rate		970
Or	Amount <Amt>	[1..1]	Amount	C1	970
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			971
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1	972
	RateStatus <RateSts>	[0..1]		C52	972
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		973
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			973
	RateType <RateTp>	[1..1]			973
{Or	Code <Cd>	[1..1]	CodeSet		973

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		974
	Rate <Rate>	[1..1]	Rate		974
	PriceDetails <PricDtls>	[0..1]		C21	974
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			976
{Or	PercentagePrice <PctgPric>	[1..1]			976
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Rate		977
Or	AmountPrice <AmtPric>	[1..1]	±		977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			977
{Or	PercentagePrice <PctgPric>	[1..1]			978
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			979
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Amount	C1	980
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	980
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			980
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		981
	PriceValue <PricVal>	[1..1]	Amount	C1	981
	Amount <Amt>	[1..1]	Amount	C1	981
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		982
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			982
{Or	PercentagePrice <PctgPric>	[1..1]			982
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		982
	PriceValue <PricVal>	[1..1]	Rate		983
Or}	AmountPrice <AmtPric>	[1..1]	±		983

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.12.12.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.12.12.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1506

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

8.4.12.12.3 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>** contains one of the following elements (see "**IssuerOfferorTaxabilityIndicator1Choice**" on page 1329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

8.4.12.12.4 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.**IncomeType <IncmTp>** contains the following elements (see "**GenericIdentification47**" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.5 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrIncmTp>** contains the following elements (see "**GenericIdentification47**" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.6 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.7 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C10 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.12.8 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]			911
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	912
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		912
Or}	Proprietary <Prtry>	[1..1]	Text		912
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		912
Or}	TaxAccount <TaxAcct>	[1..1]	±		913

8.4.12.12.8.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following **CashAccountIdentification12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	912
Or	BlockChainCashWallet <BlckChainCshWilt>	[1..1]	±		912
Or}	Proprietary <Prtry>	[1..1]	Text		912

8.4.12.12.8.1.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C27 "IBAN"

Datatype: "IBAN2007Identifier" on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

8.4.12.12.8.1.2 BlockChainCashWallet <BlckChainCshWilt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWilt> contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

8.4.12.12.8.1.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1529

8.4.12.12.8.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1217
Or}	Proprietary <Prtry>	[1..1]	Text		1217

8.4.12.12.8.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1217
Or}	Proprietary <Prtry>	[1..1]	Text		1217

8.4.12.12.9 CashParties <CshPties>

Presence: [0..1]

Definition: Provides information about cash parties.

CashParties <CshPties> contains the following **CashParties44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]		C5	914
	Identification <Id>	[1..1]	±		915
	CashAccount <CshAcct>	[0..1]			915
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	916
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		916
Or}	Proprietary <Prtry>	[1..1]	Text		916
	ProcessingIdentification <PrclId>	[0..1]	Text		916
	AlternateIdentification <AltrnId>	[0..1]	±		916
	CreditorAgent <CdtrAgt>	[0..1]		C6	917
	Identification <Id>	[1..1]			917
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		918
	CashAccount <CshAcct>	[0..1]			919
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	919
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		919
Or}	Proprietary <Prtry>	[1..1]	Text		919
	ProcessingIdentification <PrclId>	[0..1]	Text		920
	AlternateIdentification <AltrnId>	[0..1]	±		920
	MarketClaimCounterparty <MktClnCtrPty>	[0..1]		C5	920
	Identification <Id>	[1..1]	±		921
	CashAccount <CshAcct>	[0..1]			921
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	921
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		922
Or}	Proprietary <Prtry>	[1..1]	Text		922
	ProcessingIdentification <PrclId>	[0..1]	Text		922
	AlternateIdentification <AltrnId>	[0..1]	±		922

8.4.12.12.9.1 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party to which an amount of money is due.*Impacted by:* C5 "AdditionalInformationRule"

Creditor <Cdtr> contains the following **PartyIdentificationAndAccount231** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		915
	CashAccount <CshAcct>	[0..1]			915
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	916
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		916
Or}	Proprietary <Prtry>	[1..1]	Text		916
	ProcessingIdentification <PrctlId>	[0..1]	Text		916
	AlternateIdentification <AltrnId>	[0..1]	±		916

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.12.9.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

8.4.12.12.9.1.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following **CashAccountIdentification12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	916
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		916
Or}	Proprietary <Prtry>	[1..1]	Text		916

8.4.12.12.9.1.2.1 IBAN <IBAN>*Presence:* [1..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C27 "IBAN"*Datatype:* "IBAN2007Identifier" on page 1522**Constraints**

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

8.4.12.12.9.1.2.2 BlockChainCashWallet <BlckChainCshWlIt>*Presence:* [1..1]*Definition:* Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.**BlockChainCashWallet <BlckChainCshWlIt>** contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

8.4.12.12.9.1.2.3 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.*Datatype:* "RestrictedFINX2Max34Text" on page 1529**8.4.12.12.9.1.3 ProcessingIdentification <PrcgId>***Presence:* [0..1]*Definition:* Reference meaningful to the party identified.*Datatype:* "RestrictedFINXMax16Text" on page 1530**8.4.12.12.9.1.4 AlternatIdentification <AltrnId>***Presence:* [0..1]*Definition:* Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "AlternatePartyIdentification9" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

8.4.12.12.9.2 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the creditor.

Impacted by: C6 "AdditionalInformationRule"

CreditorAgent <CdtrAgt> contains the following **PartyIdentificationAndAccount232** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			917
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		918
	CashAccount <CshAcct>	[0..1]			919
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	919
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		919
Or}	Proprietary <Prtry>	[1..1]	Text		919
	ProcessingIdentification <PrctlId>	[0..1]	Text		920
	AlternateIdentification <AltrnId>	[0..1]	±		920

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.12.9.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification147Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		918

8.4.12.12.9.2.1.1 BICFI <BICFI>

Presence: [1..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C9 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1521

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

8.4.12.12.9.2.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 1368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

8.4.12.12.9.2.1.3 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1264
	Issuer <Issr>	[1..1]	Text		1264
	SchemeName <SchmeNm>	[0..1]	Text		1264

8.4.12.12.9.2.2 CashAccount <CshAcct>*Presence:* [0..1]*Definition:* Account in which cash is maintained.**CashAccount <CshAcct>** contains one of the following **CashAccountIdentification12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	919
Or	BlockChainCashWallet <BlickChainCshWilt>	[1..1]	±		919
Or}	Proprietary <Prtry>	[1..1]	Text		919

8.4.12.12.9.2.2.1 IBAN <IBAN>*Presence:* [1..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C27 "IBAN"*Datatype:* "IBAN2007Identifier" on page 1522**Constraints**• **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

8.4.12.12.9.2.2.2 BlockChainCashWallet <BlickChainCshWilt>*Presence:* [1..1]*Definition:* Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.**BlockChainCashWallet <BlickChainCshWilt>** contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

8.4.12.12.9.2.2.3 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.*Datatype:* "RestrictedFINX2Max34Text" on page 1529

8.4.12.12.9.2.3 ProcessingIdentification <PrcgId>*Presence:* [0..1]*Definition:* Reference meaningful to the party identified.*Datatype:* "RestrictedFINXMax16Text" on page 1530**8.4.12.12.9.2.4 AlternateIdentification <AltrnId>***Presence:* [0..1]*Definition:* Alternate identification for a party.**AlternateIdentification <AltrnId>** contains the following elements (see "AlternatePartyIdentification9" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

8.4.12.12.9.3 MarketClaimCounterparty <MktCImCtrPty>*Presence:* [0..1]*Definition:* Party that has reimbursed the account owner with funds to which they were legally entitled.*Impacted by:* C5 "AdditionalInformationRule"**MarketClaimCounterparty <MktCImCtrPty>** contains the following **PartyIdentificationAndAccount231** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		921
	CashAccount <CshAcct>	[0..1]			921
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	921
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		922
Or}	Proprietary <Prtry>	[1..1]	Text		922
	ProcessingIdentification <PrcgId>	[0..1]	Text		922
	AlternateIdentification <AltrnId>	[0..1]	±		922

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.12.9.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

8.4.12.12.9.3.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following **CashAccountIdentification12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C27	921
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		922
Or}	Proprietary <Prtry>	[1..1]	Text		922

8.4.12.12.9.3.2.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C27 "IBAN"

Datatype: "IBAN2007Identifier" on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

8.4.12.12.9.3.2.2 BlockChainCashWallet <BlckChainCshWlIt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWlIt> contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

8.4.12.12.9.3.2.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1529

8.4.12.12.9.3.3 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 1530

8.4.12.12.9.3.4 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "AlternatePartyIdentification9" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

8.4.12.12.10 AmountDetails <AmtDtls>

Presence: [1..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts74** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	925
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	926
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	926
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	927
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	927
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	927
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	928
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	928
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	929
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	929
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	930
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	930
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	930
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	931
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	931
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	932
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	932
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	932
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	933
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	933
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	934
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	934
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	934
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	935
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	935
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	936
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	936
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	936
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	937

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	937
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	938
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	938
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	938
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	939
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	939
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	940
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	940
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	940
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	941
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	941
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	942
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	942
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	942
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	943
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	943
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	944
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	944
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	944
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	945
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	945
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	946
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	946
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	946
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	947
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	947
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	948
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	948

8.4.12.12.10.1 PostingAmount <PstngAmt>*Presence:* [1..1]*Definition:* Amount of money that is to be/was posted to the account.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.2 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.3 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.6 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.7 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.8 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.10 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.11 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.12 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.13 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.14 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.15 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.16 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.17 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.19 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.20 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.21 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.22 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.23 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.24 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.25 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.26 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.27 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.28 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.29 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.30 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.31 CashAmountBroughtForward <CshAmtBrghFwd>

Presence: [0..1]

Definition: Indicates cash retained from previous dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.32 CashAmountCarriedForward <CshAmtCrrdFwd>

Presence: [0..1]

Definition: Indicates the balance carried forward to next dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.33 NotionalDividendPayableAmount <NtnlDvddPyblAmt>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.34 NotionalTaxAmount <NtnlTaxAmt>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.35 TaxArrearsAmount <TaxArrearsAmt>

Presence: [0..1]

Definition: Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.36 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.37 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.38 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.39 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.40 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.41 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.42 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.43 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.44 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.45 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.46 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.47 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.48 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.49 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.50 ForeignIncomeAmount <FrgrnIncmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.51 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.52 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.53 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.54 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.55 AdjustedSubscriptionAmount <AdjstdSbcptAmt>

Presence: [0..1]

Definition: Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.56 RefundedSubscriptionAmount <RfnddSbcptAmt>

Presence: [0..1]

Definition: Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.57 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.11 DateDetails <DtDtls>*Presence:* [1..1]*Definition:* Provides information about the dates related to a cash movement.**DateDetails <DtDtls>** contains the following **CorporateActionDate99** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		949
	ValueDate <ValDt>	[0..1]	Date		949
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		949
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		949
	PaymentDate <PmtDt>	[0..1]	Date		950

8.4.12.12.11.1 PostingDate <PstngDt>*Presence:* [1..1]*Definition:* Date/time of the posting (credit or debit) to the account.**PostingDate <PstngDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

8.4.12.12.11.2 ValueDate <ValDt>*Presence:* [0..1]*Definition:* Date/time when calculating economic benefit for a cash amount.*Datatype:* ["ISODate"](#) on page 1520**8.4.12.12.11.3 ForeignExchangeRateFixingDate <FXRateFxdt>***Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

8.4.12.12.11.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

Datatype: "ISODate" on page 1520

8.4.12.12.11.5 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date on which the distribution is due to take place (cash and/or securities).

Datatype: "ISODate" on page 1520

8.4.12.12.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following **ForeignExchangeTerms41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	950
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	950
	ExchangeRate <XchgRate>	[1..1]	Rate		951
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C11	951

8.4.12.12.12.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.12.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.12.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1525

8.4.12.12.12.4 ResultingAmount <RsltgAmt>

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.13 TaxVoucherDetails <TaxVchrDtls>

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

TaxVoucherDetails <TaxVchrDtls> contains the following **TaxVoucher5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		952
	BargainDate <BrgnDt>	[0..1]	±		952
	BargainSettlementDate <BrgnSttlmDt>	[0..1]	±		952

8.4.12.12.13.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.*Datatype:* "RestrictedFINXMax16Text" on page 1530**8.4.12.12.13.2 BargainDate <BrngDt>***Presence:* [0..1]*Definition:* Date on which a dividend reinvestment purchase was completed. If there is only one bargain involved, the time it was struck needs to be included.**BargainDate <BrngDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

8.4.12.12.13.3 BargainSettlementDate <BrngSttlmDt>*Presence:* [0..1]*Definition:* Settlement date of the dividend reinvestment purchase transaction.**BargainSettlementDate <BrngSttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

8.4.12.12.14 RateAndAmountDetails <RateAndAmtDtls>*Presence:* [0..1]*Definition:* Provides information about the corporate action option.*Impacted by:* C12 "DeemedRate1Rule", C13 "DeemedRate2Rule", C24 "GrossDistributionRate2Rule", C26 "GrossDistributionRate3Rule", C42 "NetDistributionRate1Rule", C44 "NetDistributionRate2Rule", C64 "SecondLevelTax1Rule", C66 "SecondLevelTax2Rule", C68 "SecondLevelTax3Rule", C72 "WithholdingTaxRate1Rule", C74 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate45** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]			956
{Or	Rate <Rate>	[1..1]	Rate		956
Or}	Amount <Amt>	[1..1]	Amount	C1	956
	ChargesFees <ChrgsFees>	[0..1]			957
{Or	Rate <Rate>	[1..1]	Rate		957
Or}	Amount <Amt>	[1..1]	Amount	C1	957
	FiscalStamp <FscIStmp>	[0..1]	Rate		957
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		957
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]			958
{Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountToQuantity <AmtToQty>	[1..1]			958
	Amount <Amt>	[1..1]	Amount	C1	959
	Quantity <Qty>	[1..1]	Quantity		959
Or}	Amount <Amt>	[1..1]	Amount	C1	959
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]			959
{Or	Rate <Rate>	[1..1]	Rate		960
Or}	Amount <Amt>	[1..1]	Amount	C1	960
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		960
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		961
	ApplicableRate <AplblRate>	[0..1]	Rate		962
	SolicitationFeeRate <SlctnFeeRate>	[0..1]			962
{Or	Rate <Rate>	[1..1]	Rate		962
Or	AmountToQuantity <AmtToQty>	[1..1]			962
	Amount <Amt>	[1..1]	Amount	C1	962
	Quantity <Qty>	[1..1]	Quantity		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	TaxCreditRate <TaxCdtRate>	[0..1]			963
{Or	Rate <Rate>	[1..1]	Rate		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963
	WithholdingTaxRate <WhldgTaxRate>	[0..*]			964

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		964
Or	Amount <Amt>	[1..1]	Amount	C1	964
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			965
	RateType <RateTp>	[1..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965
	Rate <Rate>	[1..1]	Rate		966
	SecondLevelTax <ScndLvITax>	[0..*]			966
{Or	Rate <Rate>	[1..1]	Rate		966
Or	Amount <Amt>	[1..1]	Amount	C1	966
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			967
	RateType <RateTp>	[1..1]			967
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968
	Rate <Rate>	[1..1]	Rate		968
	TaxOnIncome <TaxOnIncm>	[0..1]			968
{Or	Rate <Rate>	[1..1]	Rate		968
Or}	Amount <Amt>	[1..1]	Amount	C1	968
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		969
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		969
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	969
	DeemedRate <DmdRate>	[0..*]			969
{Or	Rate <Rate>	[1..1]	Rate		970
Or	Amount <Amt>	[1..1]	Amount	C1	970
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			971
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1	972
	RateStatus <RateSts>	[0..1]		C52	972
{Or	Code <Cd>	[1..1]	CodeSet		972

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		973
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			973
	RateType <RateTp>	[1..1]			973
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		974
	Rate <Rate>	[1..1]	Rate		974

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

8.4.12.12.14.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following **RateAndAmountFormat67Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		956
Or}	Amount <Amt>	[1..1]	Amount	C1	956

8.4.12.12.14.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.1.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.2 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following **RateAndAmountFormat67Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		957
Or}	Amount <Amt>	[1..1]	Amount	C1	957

8.4.12.12.14.2.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.2.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.3 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.4 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat40Choice" on page 1397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1397
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1398
	Amount <Amt>	[1..1]	Amount	C1	1398
	RateStatus <RateSts>	[1..1]	CodeSet		1398
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1398
	RateType <RateTp>	[1..1]			1399
{Or	Code <Cd>	[1..1]	CodeSet		1399
Or}	Proprietary <Prtry>	[1..1]	±		1400
	Amount <Amt>	[1..1]	Amount	C1	1400
	RateStatus <RateSts>	[0..1]		C52	1401
{Or	Code <Cd>	[1..1]	CodeSet		1401
Or}	Proprietary <Prtry>	[1..1]	±		1401

8.4.12.12.14.5 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following **SolicitationFeeRateFormat13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountToQuantity <AmtToQty>	[1..1]			958
	Amount <Amt>	[1..1]	Amount	C1	959
	Quantity <Qty>	[1..1]	Quantity		959
Or}	Amount <Amt>	[1..1]	Amount	C1	959

8.4.12.12.14.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.5.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	959
	Quantity <Qty>	[1..1]	Quantity		959

8.4.12.12.14.5.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.5.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

8.4.12.12.14.5.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.6 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following **RateAndAmountFormat67Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		960
Or}	Amount <Amt>	[1..1]	Amount	C1	960

8.4.12.12.14.6.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.6.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.7 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat19Choice" on page 1416 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1416
Or	Amount <Amt>	[1..1]	Amount	C1	1416
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1416
	RateType <RateTp>	[1..1]			1417
{Or	Code <Cd>	[1..1]	CodeSet		1417
Or}	Proprietary <Prtry>	[1..1]	±		1417
	Amount <Amt>	[1..1]	Amount	C1	1418
	RateStatus <RateSts>	[0..1]		C52	1418
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

8.4.12.12.14.8 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat42Choice" on page 1411 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1412
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1412
	Amount <Amt>	[1..1]	Amount	C1	1412
	RateStatus <RateSts>	[1..1]	CodeSet		1412
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1413
	RateType <RateTp>	[1..1]			1413
{Or	Code <Cd>	[1..1]	CodeSet		1413
Or}	Proprietary <Prtry>	[1..1]	±		1414
	Amount <Amt>	[1..1]	Amount	C1	1414
	RateStatus <RateSts>	[0..1]		C52	1415
{Or	Code <Cd>	[1..1]	CodeSet		1415
Or}	Proprietary <Prtry>	[1..1]	±		1415

8.4.12.12.14.9 ApplicableRate <AplblRate>*Presence:* [0..1]*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.12.14.10 SolicitationFeeRate <SlctnFeeRate>***Presence:* [0..1]*Definition:* Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.**SolicitationFeeRate <SlctnFeeRate>** contains one of the following **SolicitationFeeRateFormat13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		962
Or	AmountToQuantity <AmtToQty>	[1..1]			962
	Amount <Amt>	[1..1]	Amount	C1	962
	Quantity <Qty>	[1..1]	Quantity		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963

8.4.12.12.14.10.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.12.14.10.2 AmountToQuantity <AmtToQty>***Presence:* [1..1]*Definition:* Ratio expressed as an amount to quantity ratio.**AmountToQuantity <AmtToQty>** contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	962
	Quantity <Qty>	[1..1]	Quantity		963

8.4.12.12.14.10.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.10.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

8.4.12.12.14.10.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.11 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following **RateFormat28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		963
Or}	Amount <Amt>	[1..1]	Amount	C1	963

8.4.12.12.14.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.11.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.12 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following **RateAndAmountFormat66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		964
Or	Amount <Amt>	[1..1]	Amount	C1	964
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			965
	RateType <RateTp>	[1..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965
	Rate <Rate>	[1..1]	Rate		966

8.4.12.12.14.12.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.12.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.12.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965
	Rate <Rate>	[1..1]	Rate		966

8.4.12.12.14.12.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		965

8.4.12.12.14.12.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

8.4.12.12.14.12.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.14.12.3.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

8.4.12.12.14.13 SecondLevelTax <ScndLvTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvTax> contains one of the following **RateAndAmountFormat66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		966
Or	Amount <Amt>	[1..1]	Amount	C1	966
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			967
	RateType <RateTp>	[1..1]			967
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968
	Rate <Rate>	[1..1]	Rate		968

8.4.12.12.14.13.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

8.4.12.12.14.13.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "[ActiveCurrency](#)"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.13.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			967
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968
	Rate <Rate>	[1..1]	Rate		968

8.4.12.12.14.13.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		967
Or}	Proprietary <Prtry>	[1..1]	±		968

8.4.12.12.14.13.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1520

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

8.4.12.12.14.13.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.14.13.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**8.4.12.12.14.14 TaxOnIncome <TaxOnIncm>***Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as an income.**TaxOnIncome <TaxOnIncm>** contains one of the following **RateAndAmountFormat67Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		968
Or}	Amount <Amt>	[1..1]	Amount	C1	968

8.4.12.12.14.14.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1525**8.4.12.12.14.14.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "[ActiveCurrency](#)"*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.17 EqualisationRate <EquilstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following **RateAndAmountFormat68Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		970
Or	Amount <Amt>	[1..1]	Amount	C1	970
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			971
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1	972
	RateStatus <RateSts>	[0..1]		C52	972
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		973
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			973
	RateType <RateTp>	[1..1]			973
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		974
	Rate <Rate>	[1..1]	Rate		974

8.4.12.12.14.18.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.14.18.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.18.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1	972
	RateStatus <RateSts>	[0..1]		C52	972
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		973

8.4.12.12.14.18.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		971
Or}	Proprietary <Prtry>	[1..1]	±		972

8.4.12.12.14.18.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1487

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

8.4.12.12.14.18.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of deemed rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.14.18.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.18.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C52 "RateStatusGuideline"](#)**RateStatus <RateSts>** contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		973

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

8.4.12.12.14.18.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

8.4.12.12.14.18.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.14.18.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			973
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		974
	Rate <Rate>	[1..1]	Rate		974

8.4.12.12.14.18.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		974

8.4.12.12.14.18.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1487

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

8.4.12.12.14.18.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

8.4.12.12.14.18.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

8.4.12.12.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C21 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]			976
{Or	PercentagePrice <PctgPric>	[1..1]			976
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Rate		977
Or	AmountPrice <AmtPric>	[1..1]	±		977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]			977
{Or	PercentagePrice <PctgPric>	[1..1]			978
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			979
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Amount	C1	980
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	980
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			980
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		981
	PriceValue <PricVal>	[1..1]	Amount	C1	981
	Amount <Amt>	[1..1]	Amount	C1	981
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		982
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]			982
{Or	PercentagePrice <PctgPric>	[1..1]			982
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		982
	PriceValue <PricVal>	[1..1]	Rate		983
Or}	AmountPrice <AmtPric>	[1..1]	±		983

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.12.12.15.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following **PriceFormat86Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			976
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Rate		977
Or	AmountPrice <AmtPric>	[1..1]	±		977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977

8.4.12.12.15.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Rate		977

8.4.12.12.15.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.12.15.1.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.12.15.1.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.12.15.1.3 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**8.4.12.12.15.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>***Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following **PriceFormat87Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			978
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			979
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Amount	C1	980
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	980
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			980
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		981
	PriceValue <PricVal>	[1..1]	Amount	C1	981
	Amount <Amt>	[1..1]	Amount	C1	981
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		982

8.4.12.12.15.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Rate		979

8.4.12.12.15.2.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.12.15.2.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.12.15.2.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.12.12.15.2.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Amount	C1	980
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C16	980

8.4.12.12.15.2.3.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.

CodeName	Name	Definition
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

8.4.12.12.15.2.3.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.15.2.3.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C16 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

8.4.12.12.15.2.4 AmountPricePerAmount <AmtPricPerAmt>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		981
	PriceValue <PricVal>	[1..1]	Amount	C1	981
	Amount <Amt>	[1..1]	Amount	C1	981

8.4.12.12.15.2.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

8.4.12.12.15.2.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.15.2.4.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.15.2.5 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1524

8.4.12.12.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following **PriceFormat85Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			982
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		982
	PriceValue <PricVal>	[1..1]	Rate		983
Or}	AmountPrice <AmtPric>	[1..1]	±		983

8.4.12.12.15.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		982
	PriceValue <PricVal>	[1..1]	Rate		983

8.4.12.12.15.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.

CodeName	Name	Definition
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

8.4.12.12.15.3.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1525**8.4.12.12.15.3.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice5" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

8.4.13 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Provides additional information.**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		983
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		984
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		984
	TaxationConditions <TaxtnConds>	[0..*]	Text		984

8.4.13.1 AdditionalText <AddtlTxt>*Presence:* [0..*]*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.*Datatype:* "RestrictedFINXMax350Text" on page 1532

8.4.13.2 NarrativeVersion <NrrtvVrsn>*Presence:* [0..*]*Definition:* Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.*Datatype:* "RestrictedFINXMax350Text" on page 1532**8.4.13.3 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..*]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.*Datatype:* "RestrictedFINXMax350Text" on page 1532**8.4.13.4 TaxationConditions <TaxtnConds>***Presence:* [0..*]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).*Datatype:* "RestrictedFINXMax350Text" on page 1532**8.4.14 IssuerAgent <IssrAgt>***Presence:* [0..*]*Definition:* Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.**IssuerAgent <IssrAgt>** contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

8.4.15 PayingAgent <PngAgt>*Presence:* [0..*]*Definition:* Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

8.4.16 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

8.4.17 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C70 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.037.002.16**

CorporateActionMovementReversalAdvice002V16

9.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementReversalAdvice002V16 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementConfirmationIdentification**
Identification of a previously sent movement confirmation document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- ReversalReason**
Reason for the reversal.
- TransactionIdentification**
Transaction type and identification information.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account, owner and account balance.
- CorporateActionDetails**

Information about the corporate action event.

I. CorporateActionConfirmationDetails

Information about the corporate action option.

J. AdditionalInformation

Provides additional information.

K. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

L. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

M. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntRvslAdv>	[1..1]		C2, C11, C16, C14	
	MovementConfirmationIdentification <MvmntConflId>	[1..1]	±		992
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		993
	EventsLinkage <EvtsLkg>	[0..*]	±		993
	ReversalReason <RvslRsn>	[0..1]		C3	993
	Reason <Rsn>	[1..1]			994
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		995
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		995
	TransactionIdentification <TxId>	[0..1]			995
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		995
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C15	995
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		996
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		996
	ClassActionNumber <ClsActnNb>	[0..1]	Text		996
	EventType <EvtTp>	[1..1]		C9	997
{Or	Code <Cd>	[1..1]	CodeSet		997
Or}	Proprietary <Prtry>	[1..1]	±		1003
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	1004
	FractionalQuantity <FrctnlQty>	[0..1]	±	C8	1005
	AccountDetails <AcctDtls>	[1..1]		C18, C19, C20	1005
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1006
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1006
	ConfirmedBalance <ConfdBal>	[1..1]	±		1006
	CorporateActionDetails <CorpActnDtls>	[0..1]			1006
	DateDetails <DtDtls>	[0..1]			1007

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		1007
	ExDividendDate <ExDvddDt>	[0..1]	±		1007
	EventStage <EvtStag>	[0..1]	±		1008
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		1008
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		1008
	LotteryType <LtryTp>	[0..1]	±		1009
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			1009
	OptionNumber <OptnNb>	[1..1]	±		1010
	OptionType <OptnTp>	[1..1]	±		1011
	SecuritiesMovementDetails <SciesMvmntDtls>	[0..*]			1011
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C6, C7, C12, C13, C17	1011
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		1012
	PostingQuantity <PstngQty>	[1..1]	±		1013
	PostingDate <PstngDt>	[1..1]	±		1013
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		1013
	CashMovementDetails <CshMvmntDtls>	[0..*]			1013
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		1014
	Account <Acct>	[0..1]			1014
{Or	CashAccount <CshAcct>	[1..1]			1015
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C10	1015
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		1015
Or}	Proprietary <Prtry>	[1..1]	Text		1015
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		1016
Or}	TaxAccount <TaxAcct>	[1..1]	±		1016
	PostingDate <PstngDt>	[1..1]	±		1016
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		1016
	ValueDate <ValDt>	[0..1]	Date		1017
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	1017
	AdditionalInformation <AddtlInf>	[0..1]			1017
	AdditionalText <AddtlTxt>	[0..*]	Text		1017

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		1017
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		1018
	TaxationConditions <TaxtnConds>	[0..*]	Text		1018
	IssuerAgent <IssrAgt>	[0..*]	±		1018
	PayingAgent <PngAgt>	[0..*]	±		1018
	SubPayingAgent <SubPngAgt>	[0..*]	±		1019
	SupplementaryData <SplmtryData>	[0..*]	±	C22	1019

9.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C11 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C12 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C13 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C14 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C15 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C16 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C17 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C18 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C19 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C20 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C21 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C23 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MovementConfirmationIdentification <MvmntConfld>

Presence: [1..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

9.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1243
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244
	DocumentNumber <DocNb>	[0..1]	±	C6	1244
	LinkageType <LkgTp>	[0..1]	±	C31	1244

9.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 1275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			1276
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276
	LinkageType <LkgTp>	[0..1]	±	C31	1276

9.4.4 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C3 "AdditionalReasonInformationRule"

ReversalReason <RvsIRsn> contains the following **CorporateActionReversalReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			994
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		995
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		995

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

9.4.4.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following **CorporateActionReversalReason12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		994
Or}	Proprietary <Prtry>	[1..1]	±		995

9.4.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason3Code" on page 1484

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREC	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
UPAY	UnduePayment	Payment is not due.
OTHR	Other	Other

9.4.4.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification for the reason of the reversal.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

9.4.4.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "[RestrictedFINXMax256Text](#)" on page 1531**9.4.5 TransactionIdentification <TxId>***Presence:* [0..1]*Definition:* Transaction type and identification information.**TransactionIdentification <TxId>** contains the following **TransactionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		995

9.4.5.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>*Presence:* [1..1]*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.*Datatype:* "[RestrictedFINMax35Text](#)" on page 1529**9.4.6 CorporateActionGeneralInformation
<CorpActnGnllnf>***Presence:* [1..1]*Definition:* General information about the corporate action event.*Impacted by:* [C15 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation193 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		996
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		996
	ClassActionNumber <ClssActnNb>	[0..1]	Text		996
	EventType <EvtTp>	[1..1]		C9	997
{Or	Code <Cd>	[1..1]	CodeSet		997
Or}	Proprietary <Prtry>	[1..1]	±		1003
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	1004
	FractionalQuantity <FrctnlQty>	[0..1]	±	C8	1005

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

9.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

9.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

9.4.6.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1530

9.4.6.4 EventType <EvtTp>*Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C9 "EventTypeRule"**EventType <EvtTp>** contains one of the following **CorporateActionEventType123Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		997
Or}	Proprietary <Prtry>	[1..1]	±		1003

Constraints• **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

9.4.6.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Event type expressed as a code.*Datatype:* "CorporateActionEventType37Code" on page 1462

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of

CodeName	Name	Definition
		payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise

CodeName	Name	Definition
		periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn

CodeName	Name	Definition
		bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

CodeName	Name	Definition
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.

CodeName	Name	Definition
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

CodeName	Name	Definition
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

9.4.6.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

9.4.6.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C12 "ISINGuideline"](#), [C13 "ISINPresenceRule"](#), [C17 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification20](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

9.4.6.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: C8 "DigitalTokenUnitUsageRule"

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

9.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C18 "SafekeepingAccountOrBlockChainAddress1Rule", C19 "SafekeepingAccountOrBlockChainAddress2Rule", C20 "SafekeepingAccountOrBlockChainAddress3Rule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1006
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1006
	ConfirmedBalance <ConfdBal>	[1..1]	±		1006

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

9.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

9.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

9.4.7.3 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

9.4.8 CorporateActionDetails <CorpActnDtIs>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction78** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			1007
	RecordDate <RcrdDt>	[0..1]	±		1007
	ExDividendDate <ExDvddDt>	[0..1]	±		1007
	EventStage <EvtStag>	[0..1]	±		1008
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		1008
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		1008
	LotteryType <LtryTp>	[0..1]	±		1009

9.4.8.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		1007
	ExDividendDate <ExDvddDt>	[0..1]	±		1007

9.4.8.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

9.4.8.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

9.4.8.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 1273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1273
Or}	Proprietary <Prtry>	[1..1]	±		1273

9.4.8.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat14Choice" on page 1282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1282
Or}	Proprietary <Prtry>	[1..1]	±		1283

9.4.8.4 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 1320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1320
Or}	Proprietary <Prtry>	[1..1]	±		1321

9.4.8.5 LotteryType <LtryTp>*Presence:* [0..1]*Definition:* Specifies the type of lottery announced.**LotteryType <LtryTp>** contains one of the following elements (see "[LotteryTypeFormat5Choice](#)" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

**9.4.9 CorporateActionConfirmationDetails
<CorpActnConfDtls>***Presence:* [1..1]*Definition:* Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption246 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		1010
	OptionType <OptnTp>	[1..1]	±		1011
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			1011
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	1011
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		1012
	PostingQuantity <PstngQty>	[1..1]	±		1013
	PostingDate <PstngDt>	[1..1]	±		1013
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		1013
	CashMovementDetails <CshMvmntDtls>	[0..*]			1013
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		1014
	Account <Acct>	[0..1]			1014
{Or	CashAccount <CshAcct>	[1..1]			1015
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C10	1015
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		1015
Or}	Proprietary <Prtry>	[1..1]	Text		1015
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		1016
Or}	TaxAccount <TaxAcct>	[1..1]	±		1016
	PostingDate <PstngDt>	[1..1]	±		1016
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		1016
	ValueDate <ValDt>	[0..1]	Date		1017
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	1017

9.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

9.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption39Choice" on page 1295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	Code <Cd>	[1..1]	CodeSet		1295
Or}	Proprietary <Prtry>	[1..1]	±		1297

9.4.9.3 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	1011
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		1012
	PostingQuantity <PstngQty>	[1..1]	±		1013
	PostingDate <PstngDt>	[1..1]	±		1013
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		1013

9.4.9.3.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrlId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

9.4.9.3.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.3.3 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see ["Quantity54Choice"](#) on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

9.4.9.3.4 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

9.4.9.3.5 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnlPstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

9.4.9.4 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption112** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		1014
	Account <Acct>	[0..1]			1014
{Or	CashAccount <CshAcct>	[1..1]			1015
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C10	1015
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		1015
Or}	Proprietary <Prtry>	[1..1]	Text		1015
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		1016
Or}	TaxAccount <TaxAcct>	[1..1]	±		1016
	PostingDate <PstngDt>	[1..1]	±		1016
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		1016
	ValueDate <ValDt>	[0..1]	Date		1017
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	1017

9.4.9.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1485

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.4.2 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]			1015
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C10	1015
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		1015
Or}	Proprietary <Prtry>	[1..1]	Text		1015
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		1016
Or}	TaxAccount <TaxAcct>	[1..1]	±		1016

9.4.9.4.2.1 CashAccount <CshAcct>*Presence:* [1..1]*Definition:* Account in which cash is maintained.**CashAccount <CshAcct>** contains one of the following **CashAccountIdentification14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C10	1015
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		1015
Or}	Proprietary <Prtry>	[1..1]	Text		1015

9.4.9.4.2.1.1 IBAN <IBAN>*Presence:* [1..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C10 "IBAN"*Datatype:* "IBAN2007Identifier" on page 1522**Constraints**• **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

9.4.9.4.2.1.2 BlockChainCashWallet <BlckChainCshWlt>*Presence:* [1..1]*Definition:* Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.**BlockChainCashWallet <BlckChainCshWlt>** contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

9.4.9.4.2.1.3 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.*Datatype:* "RestrictedFINX2Max34Text" on page 1529

9.4.9.4.2.2 ChargesAccount <ChrgsAcct>*Presence:* [1..1]*Definition:* Account to be used for charges if different from the account for payment.**ChargesAccount <ChrgsAcct>** contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1217
Or}	Proprietary <Prtry>	[1..1]	Text		1217

9.4.9.4.2.3 TaxAccount <TaxAcct>*Presence:* [1..1]*Definition:* Account to be used for taxes if different from the account for payment.**TaxAccount <TaxAcct>** contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1217
Or}	Proprietary <Prtry>	[1..1]	Text		1217

9.4.9.4.3 PostingDate <PstngDt>*Presence:* [1..1]*Definition:* Date/Time of the posting (credit or debit) to the account.**PostingDate <PstngDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

9.4.9.4.4 OriginalPostingDate <OrgnlPstngDt>*Presence:* [0..1]*Definition:* Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.**OriginalPostingDate <OrgnlPstngDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

9.4.9.4.5 ValueDate <ValDt>*Presence:* [0..1]*Definition:* Date/time when calculating economic benefit for a cash amount.*Datatype:* "ISODate" on page 1520**9.4.9.4.6 PostingAmount <PstngAmt>***Presence:* [1..1]*Definition:* Amount of money that is to be/was posted to the account.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.10 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		1017
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		1017
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		1018
	TaxationConditions <TaxtnConds>	[0..*]	Text		1018

9.4.10.1 AdditionalText <AddtlTxt>*Presence:* [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1532**9.4.10.2 NarrativeVersion <NrrtvVrsn>***Presence:* [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "RestrictedFINXMax350Text" on page 1532

9.4.10.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1532

9.4.10.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "RestrictedFINXMax350Text" on page 1532

9.4.11 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

9.4.12 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

9.4.13 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

9.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C22 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1"](#) on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 **seev.038.002.09**

CorporateActionNarrative002V09

10.1 **MessageDefinition Functionality**

Scope

The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNarrative002V09 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. AccountDetails

General information about the safekeeping account and the account owner.

B. UnderlyingSecurity

Provides information about the securitised right for entitlement.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AdditionalInformation

Provides additional information.

E. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnNrrtv>	[1..1]			
	AccountDetails <AcctDtls>	[0..1]			1023
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		1023
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10, C11, C12, C13	1024
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1025
	BlockchainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		1025
	AccountOwner <AcctOwnr>	[0..1]	±		1025
	SafekeepingPlace <SfkpgPlc>	[0..1]			1025
{Or	Identification <Id>	[1..1]	±		1026
Or	Country <Ctry>	[1..1]	CodeSet	C2	1026
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1026
Or	TypeAndIdentification <TpAndId>	[1..1]			1026
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1027
	Identification <Id>	[1..1]	IdentifierSet	C1	1027
Or}	Proprietary <Prtry>	[1..1]	±		1027
	ConfirmedBalance <ConfdBal>	[1..1]	±		1028
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C3, C4, C6, C7, C9	1028
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	1029
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1030
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1030
	NarrativeType <NrrtvTp>	[0..1]			1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031
	AdditionalInformation <AddtlInf>	[1..*]	Text		1031
	SupplementaryData <SplmtryData>	[0..*]	±	C14	1031

10.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		1023
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10, C11, C12, C13	1024
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1025
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1025
	AccountOwner <AcctOwnr>	[0..1]	±		1025
	SafekeepingPlace <SfkpgPlc>	[0..1]			1025
{Or	Identification <Id>	[1..1]	±		1026
Or	Country <Ctry>	[1..1]	CodeSet	C2	1026
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1026
Or	TypeAndIdentification <TpAndId>	[1..1]			1026
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1027
	Identification <Id>	[1..1]	IdentifierSet	C1	1027
Or}	Proprietary <Prtry>	[1..1]	±		1027
	ConfirmedBalance <ConfdBal>	[1..1]	±		1028

10.4.1.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "AccountIdentification10" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		1217

10.4.1.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountIdentification76** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1025
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1025
	AccountOwner <AcctOwnr>	[0..1]	±		1025
	SafekeepingPlace <SfkpgPlc>	[0..1]			1025
{Or	Identification <Id>	[1..1]	±		1026
Or	Country <Ctry>	[1..1]	CodeSet	C2	1026
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1026
Or	TypeAndIdentification <TpAndId>	[1..1]			1026
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1027
	Identification <Id>	[1..1]	IdentifierSet	C1	1027
Or}	Proprietary <Prtry>	[1..1]	±		1027
	ConfirmedBalance <ConfdBal>	[1..1]	±		1028

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

10.4.1.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

10.4.1.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlft>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

10.4.1.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

10.4.1.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat54Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1026
Or	Country <Ctry>	[1..1]	CodeSet	C2	1026
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1026
Or	TypeAndIdIdentification <TpAndId>	[1..1]			1026
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1027
	Identification <Id>	[1..1]	IdentifierSet	C1	1027
Or}	Proprietary <Prtry>	[1..1]	±		1027

10.4.1.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText9"](#) on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

10.4.1.2.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C2 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

10.4.1.2.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

10.4.1.2.4.4 TypeAndIdIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1027
	Identification <Id>	[1..1]	IdentifierSet	C1	1027

10.4.1.2.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

10.4.1.2.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

10.4.1.2.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

10.4.1.2.5 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

10.4.2 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Provides information about the securitised right for entitlement.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "[SecurityIdentification20](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent


```

Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

10.4.3 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation102** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1030
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1030
	NarrativeType <NrrtvTp>	[0..1]			1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

10.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

10.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1530](#)

10.4.3.3 NarrativeType <NrrtvTp>

Presence: [0..1]

Definition: Specifies the type of narrative related to the message.

NarrativeType <NrrtvTp> contains one of the following **CorporateActionNarrative4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031

10.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the narrative type of the message.

Datatype: ["CorporateActionNarrative1Code" on page 1476](#)

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.

CodeName	Name	Definition
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

10.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the narrative type of the message.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

10.4.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional information.

Datatype: "[RestrictedFINZMax8000Text](#)" on page 1533

10.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C14 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 **seev.039.002.13**

CorporateActionCancellationAdvice002V13

11.1 **MessageDefinition Functionality**

Scope

The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionCancellationAdvice002V13 MessageDefinition is composed of 15 MessageBuildingBlocks:

- A. CancellationAdviceGeneralInformation**
General information about the event cancellation status and cancellation reason.
- B. CorporateActionGeneralInformation**
General information about the corporate action event.
- C. AccountsDetails**
General information about the safekeeping account and the account owner.
- D. CorporateActionDetails**
Information about the corporate action event.
- E. AdditionalText**
Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.
- F. IssuerAgent**
Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
- G. PayingAgent**
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeree.

I. Registrar

Party/agent responsible for maintaining the register of a security.

J. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

K. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

L. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

M. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

N. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

O. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnCxlAdv>	[1..1]			
	CancellationAdviceGeneralInformation <CxlAdvGnllnf>	[1..1]			1038
	CancellationReasonCode <CxlRsnCd>	[1..1]	CodeSet		1038
	CancellationReason <CxlRsn>	[0..1]	Text		1038
	ProcessingStatus <PrcgSts>	[1..1]			1038
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		1039
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		1039
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C8	1039
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		1040
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		1040
	ClassActionNumber <ClsActnNb>	[0..1]	Text		1040
	EventType <EvtTp>	[1..1]		C5	1040
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1047
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1047
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C3, C4, C6, C7, C9	1047
	AccountsDetails <AcctsDtls>	[1..1]			1048
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		1049
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	1049
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1050
	BlockchainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1051
	AccountOwner <AcctOwnr>	[0..1]	±		1051
	SafekeepingPlace <SfkpgPlc>	[0..1]			1051
{Or	Identification <Id>	[1..1]	±		1051
Or	Country <Ctry>	[1..1]	CodeSet	C2	1052
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1052
Or	TypeAndIdentification <TpAndId>	[1..1]			1052

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1052
	Identification <Id>	[1..1]	IdentifierSet	C1	1053
Or}	Proprietary <Prtry>	[1..1]	±		1053
	CorporateActionDetails <CorpActnDtls>	[0..1]			1053
	DateDetails <DtDtls>	[0..1]			1054
	RecordDate <RcrdDt>	[0..1]	±		1054
	ExDividendDate <ExDvddDt>	[0..1]	±		1054
	EventStage <EvtStag>	[0..1]	±		1055
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		1055
	LotteryType <LtryTp>	[0..1]	±		1055
	AdditionalText <AddtlTxt>	[0..*]	Text		1056
	IssuerAgent <IssrAgt>	[0..*]	±		1056
	PayingAgent <PngAgt>	[0..*]	±		1056
	SubPayingAgent <SubPngAgt>	[0..*]	±		1056
	Registrar <Regar>	[0..1]	±		1057
	ResellingAgent <RsellingAgt>	[0..*]	±		1057
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		1057
	DropAgent <DrpAgt>	[0..1]	±		1058
	SolicitationAgent <SlctnAgt>	[0..*]	±		1058
	InformationAgent <InfAgt>	[0..1]	±		1058
	SupplementaryData <SplmtryData>	[0..*]	±	C14	1059

11.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 CancellationAdviceGeneralInformation <CxlAdvcGnlInf>

Presence: [1..1]

Definition: General information about the event cancellation status and cancellation reason.

CancellationAdviceGeneralInformation <CxlAdvcGnlInf> contains the following **CorporateActionCancellation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxlRsnCd>	[1..1]	CodeSet		1038
	CancellationReason <CxlRsn>	[0..1]	Text		1038
	ProcessingStatus <PrcgSts>	[1..1]			1038
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		1039
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		1039

11.4.1.1 CancellationReasonCode <CxlRsnCd>

Presence: [1..1]

Definition: Specifies reasons for cancellation of a corporate action event.

Datatype: "CorporateActionCancellationReason1Code" on page 1447

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

11.4.1.2 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Additional information about cancellation of a corporate action event.

Datatype: "RestrictedFINXMax140Text" on page 1530

11.4.1.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the event.

ProcessingStatus <PrcgSts> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		1039
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		1039

11.4.1.3.1 EventCompletenessStatus <EvtCmpltnsSts>*Presence:* [1..1]*Definition:* Indicates whether the details provided about an event are complete or incomplete.*Datatype:* "EventCompletenessStatus1Code" on page 1489

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

11.4.1.3.2 EventConfirmationStatus <EvtConfSts>*Presence:* [1..1]*Definition:* Indicates the status of the occurrence of an event.*Datatype:* "EventConfirmationStatus1Code" on page 1489

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

**11.4.2 CorporateActionGeneralInformation
<CorpActnGnInf>***Presence:* [1..1]*Definition:* General information about the corporate action event.*Impacted by:* C8 "OfficialCorporateActionEventReference"**CorporateActionGeneralInformation <CorpActnGnInf>** contains the following
CorporateActionGeneralInformation184 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1040
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1040
	ClassActionNumber <ClssActnNb>	[0..1]	Text		1040
	EventType <EvtTp>	[1..1]		C5	1040
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1047
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1047
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	1047

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

11.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

11.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

11.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1530

11.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType113Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1047

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

11.4.2.4.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType35Code" on page 1450

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid

CodeName	Name	Definition
		interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that

CodeName	Name	Definition
		accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

11.4.2.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchemeNm>	[0..1]	Text		1265

11.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 1315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1315
Or}	Proprietary <Prtry>	[1..1]	±		1316

11.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrlId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

11.4.3 AccountsDetails <AcctsDtIs>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountsDetails <AcctsDtls> contains one of the following **AccountIdentification74Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		1049
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	1049
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1050
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1051
	AccountOwner <AcctOwnr>	[0..1]	±		1051
	SafekeepingPlace <SfkpgPlc>	[0..1]			1051
{Or	Identification <Id>	[1..1]	±		1051
Or	Country <Ctry>	[1..1]	CodeSet	C2	1052
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1052
Or	TypeAndIdentification <TpAndId>	[1..1]			1052
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1052
	Identification <Id>	[1..1]	IdentifierSet	C1	1053
Or}	Proprietary <Prtry>	[1..1]	±		1053

11.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		1217

11.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: [C10 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C11 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C12 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C13 "SafekeepingPlaceRule"](#)

AccountsList <AcctsList> contains the following **AccountIdentification72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1050
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		1051
	AccountOwner <AcctOwnr>	[0..1]	±		1051
	SafekeepingPlace <SfkpgPlc>	[0..1]			1051
{Or	Identification <Id>	[1..1]	±		1051
Or	Country <Ctry>	[1..1]	CodeSet	C2	1052
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1052
Or	TypeAndIdentification <TpAndId>	[1..1]			1052
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1052
	Identification <Id>	[1..1]	IdentifierSet	C1	1053
Or}	Proprietary <Prtry>	[1..1]	±		1053

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present
Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

11.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

11.4.3.2.2 BlockChainAddressOrWallet <BlickChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

11.4.3.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

11.4.3.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat57Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1051
Or	Country <Ctry>	[1..1]	CodeSet	C2	1052
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1052
Or	TypeAndIdentification <TpAndId>	[1..1]			1052
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1052
	Identification <Id>	[1..1]	IdentifierSet	C1	1053
Or}	Proprietary <Prtry>	[1..1]	±		1053

11.4.3.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText9"](#) on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

11.4.3.2.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C2 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.4.3.2.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

11.4.3.2.4.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1052
	Identification <Id>	[1..1]	IdentifierSet	C1	1053

11.4.3.2.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace1Code"](#) on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.

CodeName	Name	Definition
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

11.4.3.2.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.4.3.2.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

11.4.4 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction74** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			1054
	RecordDate <RcrdDt>	[0..1]	±		1054
	ExDividendDate <ExDvddDt>	[0..1]	±		1054
	EventStage <EvtStag>	[0..1]	±		1055
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		1055
	LotteryType <LtryTp>	[0..1]	±		1055

11.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		1054
	ExDividendDate <ExDvddDt>	[0..1]	±		1054

11.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

11.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

11.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 1273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1273
Or}	Proprietary <Prtry>	[1..1]	±		1273

11.4.4.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to a corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat16Choice" on page 1289 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1290
Or}	Proprietary <Prtry>	[1..1]	±		1290

11.4.4.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

11.4.5 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINZMax8000Text" on page 1533

11.4.6 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.7 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.8 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.9 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.10 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.11 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.12 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.13 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.14 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

11.4.15 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

12 seev.040.002.13 CorporateActionInstructionCancellationRequest002V13

12.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequest002V13 MessageDefinition is composed of 8 MessageBuildingBlocks:

- ChangeInstructionIndicator**
Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.
- InstructionIdentification**
Identification of a previously sent instruction document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account and the account owner.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.
- SupplementaryData**

Additional information that can not be captured in the structured fields and/or any other specific block.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReq>	[1..1]		C2, C4, C3	
	ChangeInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		1065
	InstructionIdentification <InstrId>	[1..1]	±		1066
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C9, C13	1066
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1067
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1067
	EventType <EvtTp>	[1..1]		C10	1067
{Or	Code <Cd>	[1..1]	CodeSet		1068
Or}	Proprietary <Prtry>	[1..1]	±		1074
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	1074
	AccountDetails <AcctDtls>	[1..1]		C17, C18, C19, C20	1075
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1077
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1077
	AccountOwner <AcctOwnr>	[0..1]	±		1077
	SafekeepingPlace <SfkpgPlc>	[0..1]			1077
{Or	Identification <Id>	[1..1]	±		1078
Or	Country <Ctry>	[1..1]	CodeSet	C5	1078
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1078
Or	TypeAndIdentification <TpAndId>	[1..1]			1078
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1078
	Identification <Id>	[1..1]	IdentifierSet	C1	1079
Or}	Proprietary <Prtry>	[1..1]			1079
	Type <Tp>	[1..1]	±		1079
	Identification <Id>	[0..1]	Text		1080
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C14, C15	1080
	OptionNumber <OptnNb>	[1..1]	±		1080

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]	±		1081
	InstructedQuantity <InstQty>	[1..1]	±		1081
	ProtectInstruction <PrctInstr>	[0..1]			1081
	TransactionType <TxTp>	[1..1]	CodeSet		1082
	TransactionIdentification <TxId>	[0..1]	Text		1082
	ProtectDate <PrctDt>	[0..1]	Date		1082
	AdditionalInformation <AddtlInf>	[0..1]			1082
	AdditionalText <AddtlTxt>	[0..*]	Text		1082
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		1082
	SupplementaryData <SplmtryData>	[0..*]	±	C21	1083

12.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C3 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C4 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message was unsolicited (that is, this instruction has not been preceded by a CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C11 ISINGuideline

When a ISIN code exist, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C14 OptionNumber1Rule

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

C15 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C16 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C17 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C18 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C19 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C20 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C21 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C22 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 ChangelInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

12.4.2 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of a previously sent instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification37](#)" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

12.4.3 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C9 "EventTypeRule", C13 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation187** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1067
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1067
	EventType <EvtTp>	[1..1]		C10	1067
{Or	Code <Cd>	[1..1]	CodeSet		1068
Or}	Proprietary <Prtry>	[1..1]	±		1074
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	1074

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

12.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

12.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

12.4.3.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C10 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1068
Or}	Proprietary <Prtry>	[1..1]	±		1074

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

12.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 1468

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is

CodeName	Name	Definition
		commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.

CodeName	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

12.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

12.4.3.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: [C6 "DescriptionPresenceRule"](#), [C7 "DescriptionUsageRule"](#), [C11 "ISINGuideline"](#), [C12 "ISINPresenceRule"](#), [C16 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification21](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1259
	OtherIdentification <OthrId>	[0..*]			1259
	Identification <Id>	[1..1]	Text		1260
	Suffix <Sfx>	[0..1]	Text		1260
	Type <Tp>	[1..1]	±		1260
	Description <Desc>	[0..1]	Text		1260

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

12.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

Impacted by: C17 "SafekeepingAccountOrBlockChainAddress1Rule", C18 "SafekeepingAccountOrBlockChainAddress2Rule", C19 "SafekeepingAccountOrBlockChainAddress3Rule", C20 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountIdentification73** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1077
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1077
	AccountOwner <AcctOwnr>	[0..1]	±		1077
	SafekeepingPlace <SfkpgPlc>	[0..1]			1077
{Or	Identification <Id>	[1..1]	±		1078
Or	Country <Ctry>	[1..1]	CodeSet	C5	1078
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1078
Or	TypeAndIdentification <TpAndId>	[1..1]			1078
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1078
	Identification <Id>	[1..1]	IdentifierSet	C1	1079
Or}	Proprietary <Prtry>	[1..1]			1079
	Type <Tp>	[1..1]	±		1079
	Identification <Id>	[0..1]	Text		1080

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

12.4.4.1 SafekeepingAccount <SfkpgAcct>*Presence:* [0..1]*Definition:* Account where financial instruments are maintained.*Datatype:* "RestrictedFINXMax35Text" on page 1532**12.4.4.2 BlockChainAddressOrWallet <BlckChainAdrOrWllet>***Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "Max140Text" on page 1526**12.4.4.3 AccountOwner <AcctOwnr>***Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

12.4.4.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following **SafekeepingPlaceFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1078
Or	Country <Ctry>	[1..1]	CodeSet	C5	1078
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1078
Or	TypeAndIdentification <TpAndId>	[1..1]			1078
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1078
	Identification <Id>	[1..1]	IdentifierSet	C1	1079
Or}	Proprietary <Prtry>	[1..1]			1079
	Type <Tp>	[1..1]	±		1079
	Identification <Id>	[0..1]	Text		1080

12.4.4.4.1 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.**Identification <Id>** contains the following elements (see "[SafekeepingPlaceTypeAndText9](#)" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

12.4.4.4.2 Country <Ctry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* C5 "Country"*Datatype:* "[CountryCode](#)" on page 1485**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

12.4.4.4.3 DigitalLedgerIdentification <DgtlLdgrId>*Presence:* [1..1]*Definition:* Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).*Datatype:* "[DTI2024Identifier](#)" on page 1522**12.4.4.4.4 TypeAndIdentification <TpAndId>***Presence:* [1..1]*Definition:* Place of safekeeping expressed with a type and identification.**TypeAndIdentification <TpAndId>** contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1078
	Identification <Id>	[1..1]	IdentifierSet	C1	1079

12.4.4.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "[SafekeepingPlace1Code](#)" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

12.4.4.4.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1521**Constraints**• **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

12.4.4.4.5 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.**Proprietary <Prtry>** contains the following **GenericIdentification89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1079
	Identification <Id>	[0..1]	Text		1080

12.4.4.4.5.1 Type <Tp>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

12.4.4.4.5.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "RestrictedFINXMax30Text" on page 1531

12.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action option.

Impacted by: C14 "OptionNumber1Rule", C15 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption202** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		1080
	OptionType <OptnTp>	[1..1]	±		1081
	InstructedQuantity <InstdQty>	[1..1]	±		1081

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

12.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

12.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption43Choice](#)" on page 1285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1286
Or}	Proprietary <Prtry>	[1..1]	±		1287

12.4.5.3 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "[Quantity55Choice](#)" on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1384
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1384
Or}	Quantity <Qty>	[1..1]	±	C12	1384

12.4.6 ProtectInstruction <PrctctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctctInstr> contains the following **ProtectInstruction7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		1082
	TransactionIdentification <TxId>	[0..1]	Text		1082
	ProtectDate <PrctctDt>	[0..1]	Date		1082

12.4.6.1 TransactionType <TxTp>*Presence:* [1..1]*Definition:* Indicates whether the instruction is a protect or a cover protect instruction.*Datatype:* "ProtectTransactionType3Code" on page 1511

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

12.4.6.2 TransactionIdentification <TxId>*Presence:* [0..1]*Definition:* Unique reference of the protect transaction assigned by the depository and used for cover protect validation.*Datatype:* "RestrictedFINMax15Text" on page 1529**12.4.6.3 ProtectDate <PrctDt>***Presence:* [0..1]*Definition:* Date at which the protect instruction was created and used for cover protect validation.*Datatype:* "ISODate" on page 1520**12.4.7 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information.**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		1082
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		1082

12.4.7.1 AdditionalText <AddtlTxt>*Presence:* [0..*]*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.*Datatype:* "RestrictedFINXMax350Text" on page 1532**12.4.7.2 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..*]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.*Datatype:* "RestrictedFINXMax350Text" on page 1532

12.4.8 **SupplementaryData <SplmtryData>**

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C21 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13 seev.041.002.14 CorporateActionInstructionCancellationRequestStatusAdvice002V14

13.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestStatusAdvice002V14 MessageDefinition is composed of 8 MessageBuildingBlocks:

- InstructionCancellationRequestIdentification**
Identification of a related instruction cancellation request document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- InstructionCancellationRequestStatus**
Provides information about the processing status of the instruction cancellation request.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReqStsAdv>	[1..1]		C3, C9	
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		1091
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		1091
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C15, C20	1091
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1092
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1092
	ClassActionNumber <ClsActnNb>	[0..1]	Text		1093
	EventType <EvtTp>	[1..1]		C16	1093
{Or	Code <Cd>	[1..1]	CodeSet		1093
Or}	Proprietary <Prtry>	[1..1]	±		1099
	InstructionCancellationRequestStatus <InstrCxlReqSts>	[1..*]			1100
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		1100
Or	Accepted <Accptd>	[1..1]	±		1101
Or	Rejected <Rjctd>	[1..1]			1101
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1101
Or}	Reason <Rsn>	[1..*]		C5	1102
	ReasonCode <RsnCd>	[1..1]			1102
{Or	Code <Cd>	[1..1]	CodeSet		1102
Or}	Proprietary <Prtry>	[1..1]	±		1103
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1103
Or	PendingCancellation <PdgCxl>	[1..1]			1104
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1104
Or}	Reason <Rsn>	[1..*]		C1, C6	1104
	ReasonCode <RsnCd>	[1..1]			1105
{Or	Code <Cd>	[1..1]	CodeSet		1105
Or}	Proprietary <Prtry>	[1..1]	±		1105
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1106
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		1106
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C21, C23,	1106

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C24, C25, C26, C28, C29	
	OptionNumber <OptnNb>	[1..1]	±		1108
	OptionType <OptnTp>	[1..1]	±		1109
	OptionFeatures <OptnFeatr>	[0..1]	±		1109
	AccountOwner <AcctOwnr>	[0..1]	±		1109
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1109
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1110
	CashAccount <CshAcct>	[0..1]			1110
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1110
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		1110
Or}	Proprietary <Prtry>	[1..1]	Text		1111
	SafekeepingPlace <SfkpgPlc>	[0..1]			1111
{Or	Identification <Id>	[1..1]	±		1111
Or	Country <Ctry>	[1..1]	CodeSet	C10	1112
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1112
Or	TypeAndIdentification <TpAndId>	[1..1]			1112
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1112
	Identification <Id>	[1..1]	IdentifierSet	C8	1113
Or}	Proprietary <Prtry>	[1..1]	±		1113
	FinancialInstrumentIdentification <FinInstrmld>	[0..1]	±	C12, C13, C18, C19, C22	1113
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		1114
	InstructedBalance <InstdBal>	[0..1]	±		1114
	UninstructedBalance <UinstdBal>	[0..1]	±		1115
	ProtectBalance <PrctcBal>	[0..1]	±		1115
	StatusQuantity <StsQty>	[0..1]	±		1115
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	1115
	ProtectInstruction <PrctcInstr>	[0..1]			1116
	TransactionType <TxTp>	[1..1]	CodeSet		1116

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ProtectTransactionStatus <PrtctTxSts>	[0..1]	CodeSet		1116
	TransactionIdentification <TxId>	[0..1]	Text		1117
	ProtectDate <PrtctDt>	[0..1]	Date		1117
	UncoveredProtectQuantity <UcvrdPrtctQty>	[0..1]	±		1117
	AdditionalInformation <AddtlInf>	[0..1]			1117
	AdditionalText <AddtlTxt>	[0..*]	Text		1117
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		1118
	SupplementaryData <SplmtryData>	[0..*]	±	C30	1118

13.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C15 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C19 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C20 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C21 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C22 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C23 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C24 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C25 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C26 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C27 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C28 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C29 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a related instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification17" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

13.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 1242 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1242
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1242
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1242
	DocumentNumber <DocNb>	[0..1]	±	C6	1243

13.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C15 "EventTypeRule", C20 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following
CorporateActionGeneralInformation186 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		1092
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		1092
	ClassActionNumber <ClssActnNb>	[0..1]	Text		1093
	EventType <EvtTp>	[1..1]		C16	1093
{Or	Code <Cd>	[1..1]	CodeSet		1093
Or}	Proprietary <Prtry>	[1..1]	±		1099

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

13.4.3.1 CorporateActionEventIdentification <CorpActnEvtld>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

13.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

13.4.3.3 ClassActionNumber <ClassActnNb>*Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "RestrictedFINXMax16Text" on page 1530**13.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C16 "EventTypeRule"**EventType <EvtTp>** contains one of the following **CorporateActionEventType15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1093
Or}	Proprietary <Prtry>	[1..1]	±		1099

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

13.4.3.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Event types expressed as a code.*Datatype:* "CorporateActionEventType40Code" on page 1468

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a

CodeName	Name	Definition
		formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more

CodeName	Name	Definition
		years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

13.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

13.4.4 InstructionCancellationRequestStatus <InstrCxlReqSts>

Presence: [1..*]

Definition: Provides information about the processing status of the instruction cancellation request.

InstructionCancellationRequestStatus <InstrCxlReqSts> contains one of the following **InstructionCancellationRequestStatus18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		1100
Or	Accepted <Accptd>	[1..1]	±		1101
Or	Rejected <Rjctd>	[1..1]			1101
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1101
Or}	Reason <Rsn>	[1..*]		C5	1102
	ReasonCode <RsnCd>	[1..1]			1102
{Or	Code <Cd>	[1..1]	CodeSet		1102
Or}	Proprietary <Prtry>	[1..1]	±		1103
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1103
Or	PendingCancellation <PdgCxl>	[1..1]			1104
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1104
Or}	Reason <Rsn>	[1..*]		C1, C6	1104
	ReasonCode <RsnCd>	[1..1]			1105
{Or	Code <Cd>	[1..1]	CodeSet		1105
Or}	Proprietary <Prtry>	[1..1]	±		1105
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1106
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		1106

13.4.4.1 CancellationCompleted <CxlCmpltd>

Presence: [1..1]

Definition: Provides status information related to an instruction cancellation request completed.

CancellationCompleted <CxlCmpltd> contains one of the following elements (see "CancelledStatus14Choice" on page 1427 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		1428
Or}	Reason <Rsn>	[1..*]		C4	1428
	ReasonCode <RsnCd>	[1..1]			1428
{Or	Code <Cd>	[1..1]	CodeSet		1429
Or}	Proprietary <Prtry>	[1..1]	±		1429
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1429

13.4.4.2 Accepted <Accptd>

Presence: [1..1]

Definition: Provides status information related to a cancellation request accepted for further processing.

Accepted <Accptd> contains the following elements (see "NoSpecifiedReason1" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		1426

13.4.4.3 Rejected <Rjctd>

Presence: [1..1]

Definition: Provides status information related to a cancellation request rejected for further processing due to system (data) reasons.

Rejected <Rjctd> contains one of the following **RejectedStatus54Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		1101
Or}	Reason <Rsn>	[1..*]		C5	1102
	ReasonCode <RsnCd>	[1..1]			1102
{Or	Code <Cd>	[1..1]	CodeSet		1102
Or}	Proprietary <Prtry>	[1..1]	±		1103
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1103

13.4.4.3.1 NoSpecifiedReason <NoSpcdfRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

13.4.4.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the rejected status.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason53** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1102
{Or	Code <Cd>	[1..1]	CodeSet		1102
Or}	Proprietary <Prtry>	[1..1]	±		1103
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1103

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

13.4.4.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason56Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1102
Or}	Proprietary <Prtry>	[1..1]	±		1103

13.4.4.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason79Code" on page 1513

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.

CodeName	Name	Definition
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
CTCT	MissingContact	Contact information is missing.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

13.4.4.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

13.4.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1530

13.4.4.4 PendingCancellation <PdgCxl>*Presence:* [1..1]*Definition:* Provides status information related to a pending cancellation request.**PendingCancellation <PdgCxl>** contains one of the following **PendingCancellationStatus15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1104
Or}	Reason <Rsn>	[1..*]		C1, C6	1104
	ReasonCode <RsnCd>	[1..1]			1105
{Or	Code <Cd>	[1..1]	CodeSet		1105
Or}	Proprietary <Prtry>	[1..1]	±		1105
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1106

13.4.4.4.1 NoSpecifiedReason <NoSpcfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

13.4.4.4.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending cancellation status.*Impacted by:* C1 "AccountServicerDeadlineMissedGuideline", C6 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingCancellationStatusReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1105
{Or	Code <Cd>	[1..1]	CodeSet		1105
Or}	Proprietary <Prtry>	[1..1]	±		1105
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1106

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

13.4.4.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the cancellation request is pending.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1105
Or}	Proprietary <Prtry>	[1..1]	±		1105

13.4.4.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1506

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

13.4.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

13.4.4.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1530

13.4.4.5 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction cancellation request.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason7](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1427
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1427

13.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action option.

Impacted by: [C21 "OptionNumberRule"](#), [C23 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C24 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C25 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C26 "SafekeepingPlaceRule"](#), [C28 "StatusQuantityOrStatusCashAmount1Rule"](#), [C29 "StatusQuantityOrStatusCashAmount2Rule"](#)

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption241** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		1108
	OptionType <OptnTp>	[1..1]	±		1109
	OptionFeatures <OptnFeatrs>	[0..1]	±		1109
	AccountOwner <AcctOwnr>	[0..1]	±		1109
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1109
	BlockchainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		1110
	CashAccount <CshAcct>	[0..1]			1110
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1110
Or	BlockchainCashWallet <BlckChainCshWlIt>	[1..1]	±		1110
Or}	Proprietary <Prtry>	[1..1]	Text		1111
	SafekeepingPlace <SfkpgPlc>	[0..1]			1111
{Or	Identification <Id>	[1..1]	±		1111
Or	Country <Ctry>	[1..1]	CodeSet	C10	1112
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1112
Or	TypeAndIdentification <TpAndId>	[1..1]			1112
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1112
	Identification <Id>	[1..1]	IdentifierSet	C8	1113
Or}	Proprietary <Prtry>	[1..1]	±		1113
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C18, C19, C22	1113
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		1114
	InstructedBalance <InstdBal>	[0..1]	±		1114
	UninstructedBalance <UinstdBal>	[0..1]	±		1115
	ProtectBalance <PrctBal>	[0..1]	±		1115
	StatusQuantity <StsQty>	[0..1]	±		1115
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	1115

Constraints**• OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

• SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

• SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```

• SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

```
Following Must be True
  /SafekeepingAccount Must be present
Or    /BlockChainAddressOrWallet Must be present
```

• SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

• StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

```
On Condition
  /StatusQuantity is present
Following Must be True
  /StatusCashAmount Must be absent
```

• StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

```
On Condition
  /StatusCashAmount is present
Following Must be True
  /StatusQuantity Must be absent
```

13.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 1322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

13.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption42Choice" on page 1313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	Code <Cd>	[1..1]	CodeSet		1313
Or}	Proprietary <Prtry>	[1..1]	±		1315

13.4.5.3 OptionFeatures <OptnFeatr>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatr> contains one of the following elements (see "OptionFeaturesFormat27Choice" on page 1303 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	Code <Cd>	[1..1]	CodeSet		1304
Or}	Proprietary <Prtry>	[1..1]	±		1304

13.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

13.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

13.4.5.6 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

13.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following **CashAccountIdentification10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1110
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		1110
Or}	Proprietary <Prtry>	[1..1]	Text		1111

13.4.5.7.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C17 "IBAN"

Datatype: "IBAN2007Identifier" on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

13.4.5.7.2 BlockChainCashWallet <BlckChainCshWllt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWlt> contains the following elements (see "BlockChainAddressWallet11" on page 1346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

13.4.5.7.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1529

13.4.5.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1111
Or	Country <Ctry>	[1..1]	CodeSet	C10	1112
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1112
Or	TypeAndIdentification <TpAndId>	[1..1]			1112
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1112
	Identification <Id>	[1..1]	IdentifierSet	C8	1113
Or}	Proprietary <Prtry>	[1..1]	±		1113

13.4.5.8.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "SafekeepingPlaceTypeAndText9" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

13.4.5.8.2 Country <Ctry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* C10 "Country"*Datatype:* "CountryCode" on page 1485**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

13.4.5.8.3 DigitalLedgerIdentification <DgtlLdgrId>*Presence:* [1..1]*Definition:* Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).*Datatype:* "DTI2024Identifier" on page 1522**13.4.5.8.4 TypeAndIdentification <TpAndId>***Presence:* [1..1]*Definition:* Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1112
	Identification <Id>	[1..1]	IdentifierSet	C8	1113

13.4.5.8.4.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

13.4.5.8.4.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C8 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1521**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

13.4.5.8.5 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

13.4.5.9 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [0..1]*Definition:* Identifies the financial instrument.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C22 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrlId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

13.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

13.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

13.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

13.4.5.13 ProtectBalance <PrctcBal>

Presence: [0..1]

Definition: Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrctcBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

13.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

13.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: C2 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrtctInstr> contains the following **ProtectInstruction8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		1116
	ProtectTransactionStatus <PrtctTxSts>	[0..1]	CodeSet		1116
	TransactionIdentification <TxId>	[0..1]	Text		1117
	ProtectDate <PrtctDt>	[0..1]	Date		1117
	UncoveredProtectQuantity <UcvrdPrtctQty>	[0..1]	±		1117

13.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType3Code" on page 1511

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

13.4.6.2 ProtectTransactionStatus <PrtctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus4Code" on page 1511

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

13.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1529

13.4.6.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1520

13.4.6.5 UncoveredProtectQuantity <UcprdPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcprdPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity31Choice" on page 1260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1260
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1260

13.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		1117
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		1118

13.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1532

13.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1532

13.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C30 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14 **seev.042.002.13**

CorporateActionInstructionStatementReport002V13

14.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatementReport002V13 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

B. StatementGeneralDetails

General characteristics related to a statement which reports information.

C. AccountAndStatementDetails

Account information and detailed account holdings information report for corporate action events.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStmtRpt>	[1..1]		C9, C17, C19	
	Pagination <Pgntn>	[1..1]	±		1126
	StatementGeneralDetails <StmntGnlDtls>	[1..1]	±		1126
	AccountAndStatementDetails <AcctAndStmntDtls>	[1..*]		C20, C21, C22, C23	1127
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1132
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1132
	AccountOwner <AcctOwnr>	[0..1]	±		1132
	SafekeepingPlace <SfkpgPlc>	[0..1]			1133
{Or	Identification <Id>	[1..1]	±		1133
Or	Country <Ctry>	[1..1]	CodeSet	C10	1133
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1134
Or	TypeAndIdentification <TpAndId>	[1..1]			1134
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1134
	Identification <Id>	[1..1]	IdentifierSet	C8	1134
Or}	Proprietary <Prtry>	[1..1]	±		1135
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			1135
	GeneralInformation <GnlInf>	[1..1]			1139
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1140
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1140
	EventType <EvtTp>	[1..1]		C14	1140
{Or	Code <Cd>	[1..1]	CodeSet		1141
Or}	Proprietary <Prtry>	[1..1]	±		1147
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1147
	LastNotificationIdentification <LastNtfctnId>	[0..1]			1147
	Identification <Id>	[1..1]	Text		1147
	CreationDateTime <CreDtTm>	[0..1]	±		1148
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C11, C12, C15,	1148

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C16, C18	
	Balance <Bal>	[0..1]			1149
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		1153
	UninstructedBalance <UinstdBal>	[1..1]	±		1153
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			1153
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		1156
	TotalAcceptedInstructionBalance <TtlAcptdInstrBal>	[0..1]	±		1156
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		1157
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		1157
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		1157
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		1157
	OptionDetails <OptnDtls>	[0..*]			1158
	OptionNumber <OptnNb>	[0..1]	Text		1161
	OptionType <OptnTp>	[1..1]	±		1161
	InstructedBalance <InstdBal>	[1..1]	±		1161
	DefaultAction <DfltActn>	[0..1]	±		1161
	OptionAcceptedInstructedBalance <OptnAcptdInstdBal>	[0..1]	±		1162
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		1162
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		1162
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		1162
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		1163
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		1163
	EventDeadlines <EvtDdlns>	[1..1]			1163
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		1164
	ResponseDeadline <RspnDdln>	[0..1]	±		1164
	MarketDeadline <MktDdln>	[0..1]	±		1164
	ProtectDeadline <PrctDdln>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		1165
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			1165
	InstructionIdentification <InstrId>	[1..1]	Text		1167
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ProtectIndicator <PrctctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrctctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrctctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184
	BlockedBalance <BlckdBal>	[0..1]	±		1184
	BorrowedBalance <BrrwdBal>	[0..1]	±		1185
	CollateralInBalance <CollInBal>	[0..1]	±		1185
	CollateralOutBalance <CollOutBal>	[0..1]	±		1185
	OnLoanBalance <OnLnBal>	[0..1]	±		1185
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		1186
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		1186
	StreetPositionBalance <StrtPosBal>	[0..1]	±		1186
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		1186
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		1187
	RegisteredBalance <RegdBal>	[0..1]	±		1187
	ObligatedBalance <OblgtdBal>	[0..1]	±		1187
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			1188
	Balance <Bal>	[1..1]	±		1188

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PendingTransactions <PdgTxs>	[0..*]	±		1188
	PendingReceiptBalance <PdgRctBal>	[0..*]			1188
	Balance <Bal>	[1..1]	±		1189
	PendingTransactions <PdgTxs>	[0..*]	±		1189
	SupplementaryData <SplmtryData>	[0..*]	±	C24	1189
	SupplementaryData <SplmtryData>	[0..*]	±	C24	1189

14.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 CorporateActionEventAndBalanceRule

If StatementGeneralDetails/ActivityIndicator is 'true' or '1' (Yes) then at least one occurrence of AccountAndStatementDetails/CorporateActionEventAndBalance must be present.

If StatementGeneralDetails/ActivityIndicator is 'false' or '0' (No) then AccountAndStatementDetails/CorporateActionEventAndBalance must not be present in any occurrences of AccountAndStatementDetails.

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C12 DescriptionUsageRule

Description must be used alone as the last resort.

C13 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C14 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C16 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C17 MultipleAccountAndStatementDetailsRule

If StatementGeneralDetails/ReportingType is MASE (Multiple Accounts) then AccountAndStatementDetails may be repeated and AccountAndStatementDetails/CorporateActionEventAndBalance must not be repeated in any occurrences of AccountAndStatementDetails.

If StatementGeneralDetails/ReportingType is SAME (Multiple Events) then AccountAndStatementDetails must not be repeated, and AccountAndStatementDetails/CorporateActionEventAndBalance may be repeated.

This constraint is defined at the MessageDefinition level.

C18 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C19 PaginationRule

If pagination is present, then StatementGeneralDetails/StatementIdentification must be present.

On Condition

/Pagination is present

Following Must be True

/StatementGeneralDetails/StatementIdentification Must be present

This constraint is defined at the MessageDefinition level.

C20 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C21 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C22 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C23 SafekeepingPlaceRule

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C24 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 Pagination <Pgntn>

Presence: [1..1]

Definition: Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1272
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1272

14.4.2 StatementGeneralDetails <StmntGnIDtls>

Presence: [1..1]

Definition: General characteristics related to a statement which reports information.

StatementGeneralDetails <StmntGnlDtls> contains the following elements (see "Statement75" on page 1309 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmntTp>	[1..1]	CodeSet		1309
	ReportingType <RptgTp>	[1..1]	CodeSet		1309
	StatementIdentification <StmntId>	[1..1]	Text		1310
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		1310
	ReportNumber <RptNb>	[0..1]	Text		1310
	StatementDateTime <StmntDtTm>	[1..1]	±		1310
	Frequency <Frqcy>	[1..1]	±		1310
	UpdateType <UpdTp>	[1..1]	±		1311
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1311
	NotificationDeadlinePeriod <NtfctnDdlnPrd>	[0..1]	±		1311

14.4.3 AccountAndStatementDetails <AcctAndStmntDtls>

Presence: [1..*]

Definition: Account information and detailed account holdings information report for corporate action events.

Impacted by: C20 "SafekeepingAccountOrBlockChainAddress1Rule", C21

"SafekeepingAccountOrBlockChainAddress2Rule", C22

"SafekeepingAccountOrBlockChainAddress3Rule", C23 "SafekeepingPlaceRule"

AccountAndStatementDetails <AcctAndStmtDtls> contains the following **AccountIdentification74** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1132
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1132
	AccountOwner <AcctOwnr>	[0..1]	±		1132
	SafekeepingPlace <SfkpgPlc>	[0..1]			1133
{Or	Identification <Id>	[1..1]	±		1133
Or	Country <Ctry>	[1..1]	CodeSet	C10	1133
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1134
Or	TypeAndIdentification <TpAndId>	[1..1]			1134
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1134
	Identification <Id>	[1..1]	IdentifierSet	C8	1134
Or}	Proprietary <Prtry>	[1..1]	±		1135
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			1135
	GeneralInformation <GnlInf>	[1..1]			1139
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1140
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1140
	EventType <EvtTp>	[1..1]		C14	1140
{Or	Code <Cd>	[1..1]	CodeSet		1141
Or}	Proprietary <Prtry>	[1..1]	±		1147
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1147
	LastNotificationIdentification <LastNtfctnId>	[0..1]			1147
	Identification <Id>	[1..1]	Text		1147
	CreationDateTime <CreDtTm>	[0..1]	±		1148
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C11, C12, C15, C16, C18	1148
	Balance <Bal>	[0..1]			1149
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		1153
	UninstructedBalance <UinstdBal>	[1..1]	±		1153
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			1153

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		1156
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		1156
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		1157
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		1157
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		1157
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		1157
	OptionDetails <OptnDtls>	[0..*]			1158
	OptionNumber <OptnNb>	[0..1]	Text		1161
	OptionType <OptnTp>	[1..1]	±		1161
	InstructedBalance <InstdBal>	[1..1]	±		1161
	DefaultAction <DfltActn>	[0..1]	±		1161
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		1162
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		1162
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		1162
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		1162
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		1163
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		1163
	EventDeadlines <EvtDdlns>	[1..1]			1163
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		1164
	ResponseDeadline <RspnDdln>	[0..1]	±		1164
	MarketDeadline <MktDdln>	[0..1]	±		1164
	ProtectDeadline <PrctDdln>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		1165
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			1165
	InstructionIdentification <InstrId>	[1..1]	Text		1167
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184
	BlockedBalance <BlckdBal>	[0..1]	±		1184
	BorrowedBalance <BrrwdBal>	[0..1]	±		1185
	CollateralInBalance <CollInBal>	[0..1]	±		1185
	CollateralOutBalance <CollOutBal>	[0..1]	±		1185
	OnLoanBalance <OnLnBal>	[0..1]	±		1185
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		1186
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		1186
	StreetPositionBalance <StrtPosBal>	[0..1]	±		1186
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		1186
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		1187
	RegisteredBalance <RegdBal>	[0..1]	±		1187
	ObligatedBalance <OblgtdBal>	[0..1]	±		1187
	PendingDeliveryBalance <PdgDivryBal>	[0..*]			1188
	Balance <Bal>	[1..1]	±		1188
	PendingTransactions <PdgTx>	[0..*]	±		1188
	PendingReceiptBalance <PdgRctBal>	[0..*]			1188

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		1189
	PendingTransactions <PdgTxs>	[0..*]	±		1189
	SupplementaryData <SplmtryData>	[0..*]	±	C24	1189

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

14.4.3.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

14.4.3.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

14.4.3.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification136Choice](#)" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

14.4.3.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1133
Or	Country <Ctry>	[1..1]	CodeSet	C10	1133
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1134
Or	TypeAndIdIdentification <TpAndId>	[1..1]			1134
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1134
	Identification <Id>	[1..1]	IdentifierSet	C8	1134
Or}	Proprietary <Prtry>	[1..1]	±		1135

14.4.3.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "[SafekeepingPlaceTypeAndText9](#)" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

14.4.3.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C10 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.4.3.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1522

14.4.3.4.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1134
	Identification <Id>	[1..1]	IdentifierSet	C8	1134

14.4.3.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

14.4.3.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C8 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.4.3.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification85](#)" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

14.4.3.5 CorporateActionEventAndBalance <CorpActnEvtAndBal>

Presence: [0..*]

Definition: Detailed account holdings information report for a corporate action event.

CorporateActionEventAndBalance <CorpActnEvtAndBal> contains the following
CorporateActionEventAndBalance27 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GeneralInformation <GnlInf>	[1..1]			1139
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1140
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1140
	EventType <EvtTp>	[1..1]		C14	1140
{Or	Code <Cd>	[1..1]	CodeSet		1141
Or}	Proprietary <Prtry>	[1..1]	±		1147
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1147
	LastNotificationIdentification <LastNtfctnId>	[0..1]			1147
	Identification <Id>	[1..1]	Text		1147
	CreationDateTime <CreDtTm>	[0..1]	±		1148
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C11, C12, C15, C16, C18	1148
	Balance <Bal>	[0..1]			1149
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		1153
	UninstructedBalance <UinstdBal>	[1..1]	±		1153
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			1153
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		1156
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		1156
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		1157
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		1157
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		1157
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		1157
	OptionDetails <OptnDtls>	[0..*]			1158
	OptionNumber <OptnNb>	[0..1]	Text		1161
	OptionType <OptnTp>	[1..1]	±		1161
	InstructedBalance <InstdBal>	[1..1]	±		1161
	DefaultAction <DfltActn>	[0..1]	±		1161
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		1162

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionCancelledInstructionBalance <OptnCncInstrBal>	[0..1]	±		1162
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		1162
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		1162
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		1163
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		1163
	EventDeadlines <EvtDdlns>	[1..1]			1163
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		1164
	ResponseDeadline <RspnDdlIn>	[0..1]	±		1164
	MarketDeadline <MktDdlIn>	[0..1]	±		1164
	ProtectDeadline <PrtctDdlIn>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrtctDdlIn>	[0..1]	±		1165
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			1165
	InstructionIdentification <InstrId>	[1..1]	Text		1167
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrtctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184
	BlockedBalance <BlckdBal>	[0..1]	±		1184
	BorrowedBalance <BrrwdBal>	[0..1]	±		1185
	CollateralInBalance <CollInBal>	[0..1]	±		1185
	CollateralOutBalance <CollOutBal>	[0..1]	±		1185
	OnLoanBalance <OnLnBal>	[0..1]	±		1185
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		1186
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		1186
	StreetPositionBalance <StrtPosBal>	[0..1]	±		1186
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		1186
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		1187
	RegisteredBalance <RegdBal>	[0..1]	±		1187
	ObligatedBalance <OblgtdBal>	[0..1]	±		1187
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			1188
	Balance <Bal>	[1..1]	±		1188
	PendingTransactions <PdgTx>	[0..*]	±		1188
	PendingReceiptBalance <PdgRctBal>	[0..*]			1188
	Balance <Bal>	[1..1]	±		1189
	PendingTransactions <PdgTx>	[0..*]	±		1189
	SupplementaryData <SplmtryData>	[0..*]	±	C24	1189

14.4.3.5.1 GeneralInformation <Gnllnf>

Presence: [1..1]

Definition: Provides general information related to a corporate action event.

GeneralInformation <GnlInf> contains the following **EventInformation18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1140
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1140
	EventType <EvtTp>	[1..1]		C14	1140
{Or	Code <Cd>	[1..1]	CodeSet		1141
Or}	Proprietary <Prtry>	[1..1]	±		1147
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1147
	LastNotificationIdentification <LastNtfctnId>	[0..1]			1147
	Identification <Id>	[1..1]	Text		1147
	CreationDateTime <CreDtTm>	[0..1]	±		1148

14.4.3.5.1.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

14.4.3.5.1.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Provides the reference of the linked official corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

14.4.3.5.1.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C14 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1141
Or}	Proprietary <Prtry>	[1..1]	±		1147

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

14.4.3.5.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 1468

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.

CodeName	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).

CodeName	Name	Definition
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example,

CodeName	Name	Definition
		bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting

CodeName	Name	Definition
		from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of

CodeName	Name	Definition
		the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.

CodeName	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

14.4.3.5.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

14.4.3.5.1.4 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 1315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1315
Or}	Proprietary <Prtry>	[1..1]	±		1316

14.4.3.5.1.5 LastNotificationIdentification <LastNtfctnId>

Presence: [0..1]

Definition: Provides information about the identification of the last notification.

LastNotificationIdentification <LastNtfctnId> contains the following **NotificationIdentification6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1147
	CreationDateTime <CreDtTm>	[0..1]	±		1148

14.4.3.5.1.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the last notification document (message) assigned by the sender of the document.

Datatype: "RestrictedFINXMax16Text" on page 1530

14.4.3.5.1.5.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the last notification document (message) was created by the sender.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

14.4.3.5.2 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C15 "ISINGuideline", C16 "ISINPresenceRule", C18 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

14.4.3.5.3 Balance <Bal>

Presence: [0..1]

Definition: Provides information about the balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalance51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		1153
	UninstructedBalance <UinstdBal>	[1..1]	±		1153
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			1153
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		1156
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		1156
	TotalCancelledInstructionBalance <TtlCanclInstrBal>	[0..1]	±		1157
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		1157
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		1157
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		1157
	OptionDetails <OptnDtls>	[0..*]			1158
	OptionNumber <OptnNb>	[0..1]	Text		1161
	OptionType <OptnTp>	[1..1]	±		1161
	InstructedBalance <InstdBal>	[1..1]	±		1161
	DefaultAction <DfltActn>	[0..1]	±		1161
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		1162
	OptionCancelledInstructionBalance <OptnCanclInstrBal>	[0..1]	±		1162
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		1162
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		1162
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		1163
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		1163
	EventDeadlines <EvtDdlns>	[1..1]			1163
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		1164
	ResponseDeadline <RspnDdln>	[0..1]	±		1164
	MarketDeadline <MktDdln>	[0..1]	±		1164
	ProtectDeadline <PrctDdln>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		1165
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			1165
	InstructionIdentification <InstrId>	[1..1]	Text		1167

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167
	ProtectIndicator <PrctctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrctctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrctctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184
	BlockedBalance <BlckdBal>	[0..1]	±		1184
	BorrowedBalance <BrrwdBal>	[0..1]	±		1185
	CollateralInBalance <CollInBal>	[0..1]	±		1185
	CollateralOutBalance <CollOutBal>	[0..1]	±		1185
	OnLoanBalance <OnLnBal>	[0..1]	±		1185
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		1186
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		1186
	StreetPositionBalance <StrtPosBal>	[0..1]	±		1186
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		1186
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		1187
	RegisteredBalance <RegdBal>	[0..1]	±		1187
	ObligatedBalance <OblgtdBal>	[0..1]	±		1187
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			1188

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		1188
	PendingTransactions <PdgTxs>	[0..*]	±		1188
	PendingReceiptBalance <PdgRctBal>	[0..*]			1188
	Balance <Bal>	[1..1]	±		1189
	PendingTransactions <PdgTxs>	[0..*]	±		1189

14.4.3.5.3.1 TotalEligibleBalance <TtlElgblBal>*Presence:* [1..1]*Definition:* Total quantity of financial instruments of the balance.**TotalEligibleBalance <TtlElgblBal>** contains one of the following elements (see "[Quantity80Choice](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		1386
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1386
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1386
	Quantity <Qty>	[1..1]	Quantity		1387
	QuantityType <QtyTp>	[1..1]	Text		1387
	Issuer </ssr>	[1..1]	Text		1387
	SchemeName <SchmeNm>	[0..1]	Text		1387

14.4.3.5.3.2 UninstructedBalance <UinstdBal>*Presence:* [1..1]*Definition:* Quantity of securities in the sub-balance.**UninstructedBalance <UinstdBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

14.4.3.5.3.3 TotalInstructedBalanceDetails <TtlInstdBalDtls>*Presence:* [1..1]*Definition:* Provides information about the total instructed balance.

TotalInstructedBalanceDetails <TtlInstdBalDtls> contains the following **InstructedBalance21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		1156
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		1156
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		1157
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		1157
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		1157
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		1157
	OptionDetails <OptnDtls>	[0..*]			1158
	OptionNumber <OptnNb>	[0..1]	Text		1161
	OptionType <OptnTp>	[1..1]	±		1161
	InstructedBalance <InstdBal>	[1..1]	±		1161
	DefaultAction <DfltActn>	[0..1]	±		1161
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		1162
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		1162
	OptionPendingInstructionBalance <OptnPdglInstrBal>	[0..1]	±		1162
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		1162
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		1163
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		1163
	EventDeadlines <EvtDdlns>	[1..1]			1163
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		1164
	ResponseDeadline <RspnDdln>	[0..1]	±		1164
	MarketDeadline <MktDdln>	[0..1]	±		1164
	ProtectDeadline <PrctDdln>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		1165
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			1165
	InstructionIdentification <InstrId>	[1..1]	Text		1167
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184

14.4.3.5.3.3.1 TotalInstructedBalance <TtlInstdBal>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalance <TtlInstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

14.4.3.5.3.3.2 TotalAcceptedInstructionBalance <TtlAccptdInstrBal>

Presence: [0..1]

Definition: Daily total of all accepted instructions for given day. Cover protect instructions will be included in this total balance.

TotalAcceptedInstructionBalance <TtlAccpdtInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.3 TotalCancelledInstructionBalance <TtlCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given day.

TotalCancelledInstructionBalance <TtlCancInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.4 TotalPendingInstructionBalance <TtlPdgInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status. It includes cancel pending instructions.

TotalPendingInstructionBalance <TtlPdgInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.5 TotalRejectedInstructionBalance <TtlRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions.

TotalRejectedInstructionBalance <TtlRjctdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.6 TotalProtectInstructionBalance <TtlPrctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions sent in a given day.

TotalProtectInstructionBalance <TtlPrctInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.7 OptionDetails <OptnDtls>

Presence: [0..*]

Definition: Provide instructed balance breakdown information per option.

OptionDetails <OptnDtls> contains the following **InstructedCorporateActionOption22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[0..1]	Text		1161
	OptionType <OptnTp>	[1..1]	±		1161
	InstructedBalance <InstdBal>	[1..1]	±		1161
	DefaultAction <DfltActn>	[0..1]	±		1161
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		1162
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		1162
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		1162
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		1162
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		1163
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		1163
	EventDeadlines <EvtDdlns>	[1..1]			1163
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		1164
	ResponseDeadline <RspnDdln>	[0..1]	±		1164
	MarketDeadline <MktDdln>	[0..1]	±		1164
	ProtectDeadline <PrtctDdln>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		1165
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			1165
	InstructionIdentification <InstrId>	[1..1]	Text		1167
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrtctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184

14.4.3.5.3.3.7.1 OptionNumber <OptnNb>*Presence:* [0..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "Exact3NumericText" on page 1525**14.4.3.5.3.3.7.2 OptionType <OptnTp>***Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following elements (see "CorporateActionOption36Choice" on page 1316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1316
Or}	Proprietary <Prtry>	[1..1]	±		1317

14.4.3.5.3.3.7.3 InstructedBalance <InstdBal>*Presence:* [1..1]*Definition:* Balance of instructed position.**InstructedBalance <InstdBal>** contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

14.4.3.5.3.3.7.4 DefaultAction <DfltActn>*Presence:* [0..1]*Definition:* Indicates the default action related to a corporate action event.

DefaultAction <DfltActn> contains one of the following elements (see "DefaultProcessingOrStandingInstruction2Choice" on page 1269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		1269
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		1269

14.4.3.5.3.3.7.5 OptionAcceptedInstructedBalance <OptnAccptdInstdBal>

Presence: [0..1]

Definition: Daily total of accepted instructions received for a given option.

OptionAcceptedInstructedBalance <OptnAccptdInstdBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.7.6 OptionCancelledInstructionBalance <OptnCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given option.

OptionCancelledInstructionBalance <OptnCancInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.7.7 OptionPendingInstructionBalance <OptnPdgInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status for a given option. It includes cancel pending instructions.

OptionPendingInstructionBalance <OptnPdgInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.7.8 OptionRejectedInstructionBalance <OptnRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions for a given option.

OptionRejectedInstructionBalance <OptnRjctdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.7.9 OptionReturnedInstructedBalance <OptnRtrdInstdBal>

Presence: [0..1]

Definition: Daily total of returned instructions received for a given option.

OptionReturnedInstructedBalance <OptnRtrdInstdBal> contains the following elements (see "SignedQuantityFormat16" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1376
	Quantity <Qty>	[1..1]	±	C12	1376

14.4.3.5.3.3.7.10 OptionProtectInstructionBalance <OptnPrtctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions for a given option.

OptionProtectInstructionBalance <OptnPrtctInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.3.7.11 EventDeadlines <EvtDdIns>

Presence: [1..1]

Definition: Provides information about the deadlines related to a corporate action option.

EventDeadlines <EvtDdIns> contains the following **CorporateActionEventDeadlines4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		1164
	ResponseDeadline <RspnDdln>	[0..1]	±		1164
	MarketDeadline <MktDdln>	[0..1]	±		1164
	ProtectDeadline <PrtctDdln>	[0..1]	±		1165
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		1165

14.4.3.5.3.3.7.11.1 EarlyResponseDeadline <EarlyRspnDdlIn>*Presence:* [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

EarlyResponseDeadline <EarlyRspnDdlIn> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

14.4.3.5.3.3.7.11.2 ResponseDeadline <RspnDdlIn>*Presence:* [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

ResponseDeadline <RspnDdlIn> contains one of the following elements (see "DateFormat54Choice" on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1232
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			1232
	DateCode <DtCd>	[1..1]			1233
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233
	Time <Tm>	[1..1]	Time		1233
Or}	DateCode <DtCd>	[1..1]			1234
{Or	Code <Cd>	[1..1]	CodeSet		1234
Or}	Proprietary <Prtry>	[1..1]	±		1234

14.4.3.5.3.3.7.11.3 MarketDeadline <MktDdlIn>*Presence:* [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

14.4.3.5.3.3.7.11.4 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Deadline for protect instructions.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

14.4.3.5.3.3.7.11.5 CoverProtectDeadline <CoverPrctDdln>

Presence: [0..1]

Definition: Deadline for covering a protect instruction.

CoverProtectDeadline <CoverPrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

14.4.3.5.3.3.7.12 OptionInstructionDetails <OptnInstrDtls>

Presence: [0..*]

Definition: Instructions details received for the given option.

OptionInstructionDetails <OptnInstrDtls> contains the following **OptionInstructionDetails12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionIdentification <InstrId>	[1..1]	Text		1167
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		1167
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		1167
	InstructionQuantity <InstrQty>	[1..1]	±	C13	1168
	InstructionDate <InstrDt>	[1..1]	Date		1168
	ProtectDate <PrctDt>	[0..1]	Date		1168
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		1168
	BidPrice <BidPric>	[0..1]			1168
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1170
	ConditionalQuantity <CondIQty>	[0..1]	±	C13	1170
	CustomerReference <CstmrRef>	[0..1]	Text		1170
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		1170
	InstructionStatus <InstrSts>	[1..1]			1171
{Or	Accepted <Acptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AcptdForFrthrPrg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184

14.4.3.5.3.3.7.12.1 InstructionIdentification <InstrId>*Presence:* [1..1]*Definition:* Identifies the instruction or protect instruction.*Datatype:* "RestrictedFINMax15Text" on page 1529**14.4.3.5.3.3.7.12.2 InstructionSequenceNumber <InstrSeqNb>***Presence:* [0..1]*Definition:* Provides the sequence number of the instruction.*Datatype:* "Max3NumericText" on page 1527**14.4.3.5.3.3.7.12.3 ProtectIndicator <PrctInd>***Presence:* [0..1]*Definition:* Indicates whether the instruction is a protect or a cover protect instruction.*Datatype:* "ProtectTransactionType2Code" on page 1511

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

14.4.3.5.3.3.7.12.4 InstructionQuantity <InstrQty>*Presence:* [1..1]*Definition:* Securities quantity instructed in the instruction.*Impacted by:* C13 "DigitalTokenUnitUsageRule"

InstructionQuantity <InstrQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

14.4.3.5.3.3.7.12.5 InstructionDate <InstrDt>*Presence:* [1..1]*Definition:* Date of the instruction.*Datatype:* "ISODate" on page 1520**14.4.3.5.3.3.7.12.6 ProtectDate <PrtctDt>***Presence:* [0..1]*Definition:* Date of the client protect instruction.*Datatype:* "ISODate" on page 1520**14.4.3.5.3.3.7.12.7 CoverProtectDate <CoverPrtctDt>***Presence:* [0..1]*Definition:* Date of the cover protect Instruction*Datatype:* "ISODate" on page 1520**14.4.3.5.3.3.7.12.8 BidPrice <BidPric>***Presence:* [0..1]

Definition: Bid price of the instruction.

BidPrice <BidPric> contains one of the following **PriceFormat82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1169
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169
Or	AmountPrice <AmtPric>	[1..1]	±		1169
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1170

14.4.3.5.3.3.7.12.8.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1169
	PriceValue <PricVal>	[1..1]	Rate		1169

14.4.3.5.3.3.7.12.8.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1509

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.4.3.5.3.3.7.12.8.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1525

14.4.3.5.3.3.7.12.8.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

14.4.3.5.3.3.7.12.8.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.4.3.5.3.3.7.12.9 ConditionalQuantity <CondiQty>

Presence: [0..1]

Definition: Conditional quantity of the instruction.

Impacted by: C13 "[DigitalTokenUnitUsageRule](#)"

ConditionalQuantity <CondiQty> contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

14.4.3.5.3.3.7.12.10 CustomerReference <CstmrRef>

Presence: [0..1]

Definition: Customer related narrative information.

Datatype: "[RestrictedFINMax50Text](#)" on page 1529

14.4.3.5.3.3.7.12.11 InstructionNarrative <InstrNrrtv>

Presence: [0..1]

Definition: Narrative information from the submitted instruction.

Datatype: "RestrictedFINXMax350Text" on page 1532

14.4.3.5.3.3.7.12.12 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of a particular instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionProcessingStatus57Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		1173
Or	Cancelled <Canc>	[1..1]	±		1173
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		1173
Or	Rejected <Rjctd>	[1..1]			1174
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177
Or	Pending <Pdg>	[1..1]			1177
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180
Or	PendingCancellation <PdgCxl>	[1..1]			1180
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182
Or	Covered <Cvrd>	[1..1]	±		1182
Or	Uncovered <Ucvrd>	[1..1]	±		1182
Or}	Returned <Rtrd>	[1..1]			1182
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184

14.4.3.5.3.3.7.12.12.1 Accepted <Accptd>*Presence:* [1..1]*Definition:* Instruction is accepted.**Accepted <Accptd>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1426

14.4.3.5.3.3.7.12.12.2 Cancelled <Canc>*Presence:* [1..1]*Definition:* Instruction cancelled by client cancellation instruction.**Cancelled <Canc>** contains one of the following elements (see "[CancelledStatus15Choice](#)" on page 1424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1424
Or}	Reason <Rsn>	[1..*]		C3	1425
	ReasonCode <RsnCd>	[1..1]			1425
{Or	Code <Cd>	[1..1]	CodeSet		1425
Or}	Proprietary <Prtry>	[1..1]	±		1426
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1426

14.4.3.5.3.3.7.12.12.3 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>*Presence:* [1..1]*Definition:* Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.**AcceptedForFurtherProcessing <AccptdForFrthrPrcg>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1426

14.4.3.5.3.3.7.12.12.4 Rejected <Rjctd>*Presence:* [1..1]*Definition:* Instruction rejected by the receiver.**Rejected <Rjctd>** contains one of the following **RejectedStatus59Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1174
Or}	Reason <Rsn>	[1..*]		C4	1174
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177

14.4.3.5.3.3.7.12.12.4.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.4.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason why the meeting instruction message or the individual meeting instruction is rejected.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1175
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1177

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason61Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1175
Or}	Proprietary <Prtry>	[1..1]	±		1177

14.4.3.5.3.3.7.12.12.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason86Code" on page 1516

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already

CodeName	Name	Definition
		processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.

CodeName	Name	Definition
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

14.4.3.5.3.3.7.12.12.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

14.4.3.5.3.3.7.12.12.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[RestrictedFINMax210Text](#)" on page 1529

14.4.3.5.3.3.7.12.12.5 Pending <Pdg>

Presence: [1..1]

Definition: Instruction has not been completed.

Pending <Pdg> contains one of the following **PendingStatus72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1177
Or}	Reason <Rsn>	[1..*]		C5	1178
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180

14.4.3.5.3.3.7.12.12.5.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.5.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1178
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1180

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.5.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason68Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1178
Or}	Proprietary <Prtry>	[1..1]	±		1179

14.4.3.5.3.3.7.12.12.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason27Code" on page 1507

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.

CodeName	Name	Definition
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

14.4.3.5.3.3.7.12.12.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

14.4.3.5.3.3.7.12.12.5.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "RestrictedFINXMax210Text" on page 1530**14.4.3.5.3.3.7.12.12.6 PendingCancellation <PdgCxl>***Presence:* [1..1]*Definition:* Cancellation instruction is pending.**PendingCancellation <PdgCxl>** contains one of the following **PendingCancellationStatus16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1180
Or}	Reason <Rsn>	[1..*]		C1, C6	1180
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182

14.4.3.5.3.3.7.12.12.6.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.6.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending cancellation status.*Impacted by:* C1 "AccountServicerDeadlineMissedGuideline", C6 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingCancellationStatusReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1181
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1182

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.6.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the cancellation request is pending.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1181
Or}	Proprietary <Prtry>	[1..1]	±		1181

14.4.3.5.3.3.7.12.12.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1506

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

14.4.3.5.3.3.7.12.12.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

14.4.3.5.3.3.7.12.12.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINMax210Text](#)" on page 1529

14.4.3.5.3.3.7.12.12.7 Covered <Cvrd>

Presence: [1..1]

Definition: Protect Instruction has been full covered.

Covered <Cvrd> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1426

14.4.3.5.3.3.7.12.12.8 Uncovered <Ucvrd>

Presence: [1..1]

Definition: Cover protect instruction accepted but it has not fully covered the protect instruction.

Uncovered <Ucvrd> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1426 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1426

14.4.3.5.3.3.7.12.12.9 Returned <Rtrd>

Presence: [1..1]

Definition: Instructed units are returned or partially returned to the instructing party.

Returned <Rtrd> contains one of the following **ReturnedStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1183
Or}	Reason <Rsn>	[1..*]		C7	1183
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184

14.4.3.5.3.3.7.12.12.9.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.9.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is returned.

Impacted by: C7 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **ReturnedStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1183
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1184

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.9.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the returned status.

ReasonCode <RsnCd> contains one of the following **ReturnedReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1184
Or}	Proprietary <Prtry>	[1..1]	±		1184

14.4.3.5.3.3.7.12.12.9.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Returned reason specified as a code.

Datatype: "ReturnedStatus1Code" on page 1518

CodeName	Name	Definition
NARR	NarrativeReason	See narrative field for reason.
PROR	Prorated	Shares returned due to prorated acceptance.

14.4.3.5.3.3.7.12.12.9.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Returned reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

14.4.3.5.3.3.7.12.12.9.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the returned status.

Datatype: "RestrictedFINXMax210Text" on page 1530

14.4.3.5.3.4 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains the following elements (see "SignedQuantityFormat13" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.5 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.6 CollateralInBalance <CollnBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.**CollateralInBalance <CollnBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.7 CollateralOutBalance <CollOutBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.**CollateralOutBalance <CollOutBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.8 OnLoanBalance <OnLnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been loaned to a third party.**OnLoanBalance <OnLnBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.9 OutForRegistrationBalance <OutForRegnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).**OutForRegistrationBalance <OutForRegnBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.10 SettlementPositionBalance <SttImPosBal>*Presence:* [0..1]*Definition:* Balance of securities representing only settled transactions; pending transactions not included.**SettlementPositionBalance <SttImPosBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.11 StreetPositionBalance <StrtPosBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that remain registered in the name of the prior beneficial owner.**StreetPositionBalance <StrtPosBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.12 TradeDatePositionBalance <TradDtPosBal>*Presence:* [0..1]*Definition:* Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.16 PendingDeliveryBalance <PdgDlvryBal>*Presence:* [0..*]*Definition:* Balance of financial instruments that are pending delivery.**PendingDeliveryBalance <PdgDlvryBal>** contains the following **PendingBalance8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		1188
	PendingTransactions <PdgTx>	[0..*]	±		1188

14.4.3.5.3.16.1 Balance <Bal>*Presence:* [1..1]*Definition:* Signed quantity of balance.**Balance <Bal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.16.2 PendingTransactions <PdgTx>*Presence:* [0..*]*Definition:* Overall process covering the trade and settlement transactions of financial instruments.**PendingTransactions <PdgTx>** contains the following elements (see "[SettlementTypeAndIdentification26](#)" on page 1267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		1267
	TransactionIdentification <TxId>	[1..1]	Text		1267
	SettlementDate <SttlmDt>	[0..1]	±		1267

14.4.3.5.3.17 PendingReceiptBalance <PdgRctBal>*Presence:* [0..*]*Definition:* Balance of financial instruments that are pending receipt.**PendingReceiptBalance <PdgRctBal>** contains the following **PendingBalance8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		1189
	PendingTransactions <PdgTx>	[0..*]	±		1189

14.4.3.5.3.17.1 Balance <Bal>*Presence:* [1..1]*Definition:* Signed quantity of balance.**Balance <Bal>** contains the following elements (see ["SignedQuantityFormat13"](#) on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

14.4.3.5.3.17.2 PendingTransactions <PdgTxs>*Presence:* [0..*]*Definition:* Overall process covering the trade and settlement transactions of financial instruments.**PendingTransactions <PdgTxs>** contains the following elements (see ["SettlementTypeAndIdentification26"](#) on page 1267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		1267
	TransactionIdentification <TxId>	[1..1]	Text		1267
	SettlementDate <SttlmDt>	[0..1]	±		1267

14.4.3.5.4 SupplementaryData <SplmtryData>*Presence:* [0..*]*Definition:* Provides additional information related to the event and the balance of the corporate action.*Impacted by:* [C24 "SupplementaryDataRule"](#)**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4.4 SupplementaryData <SplmtryData>*Presence:* [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C24 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

15 seev.044.002.13 CorporateActionMovementPreliminaryAdvice CancellationAdvice002V13

15.1 MessageDefinition Functionality

Scope

The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdviceCancellationAdvice002V13 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

B. CorporateActionGeneralInformation

General information about the corporate action event.

C. AccountDetails

General information about the safekeeping account and the account owner.

D. CorporateActionDetails

Information about the corporate action event.

E. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

F. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

G. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. Registrar

Party/agent responsible for maintaining the register of a security.

I. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

J. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

K. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

L. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

M. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdvCxlAdvC>	[1..1]			
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvCld>	[1..1]	±		1196
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	1196
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1197
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1197
	ClassActionNumber <ClsActnNb>	[0..1]	Text		1197
	EventType <EvtTp>	[1..1]		C5	1197
{Or	Code <Cd>	[1..1]	CodeSet		1198
Or}	Proprietary <Prtry>	[1..1]	±		1204
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1204
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	1204
	AccountDetails <AcctDtls>	[1..1]			1205
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		1206
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	1206
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1207
	BlockchainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		1208
	AccountOwner <AcctOwnr>	[0..1]	±		1208
	SafekeepingPlace <SfkpgPlc>	[0..1]			1208
{Or	Identification <Id>	[1..1]	±		1208
Or	Country <Ctry>	[1..1]	CodeSet	C2	1209
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1209
Or	TypeAndIdentification <TpAndId>	[1..1]			1209
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1209
	Identification <Id>	[1..1]	IdentifierSet	C1	1210
Or}	Proprietary <Prtry>	[1..1]	±		1210
	CorporateActionDetails <CorpActnDtls>	[0..1]			1210
	DateDetails <DtDtls>	[0..1]			1211

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		1211
	ExDividendDate <ExDvddDt>	[0..1]	±		1211
	EventStage <EvtStag>	[0..1]	±		1212
	LotteryType <LtryTp>	[0..1]	±		1212
	IssuerAgent <IssrAgt>	[0..*]	±		1212
	PayingAgent <PngAgt>	[0..*]	±		1213
	SubPayingAgent <SubPngAgt>	[0..*]	±		1213
	Registrar <Regar>	[0..1]	±		1213
	ResellingAgent <RsellingAgt>	[0..*]	±		1214
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		1214
	DropAgent <DrpAgt>	[0..1]	±		1214
	SolicitationAgent <SlctnAgt>	[0..*]	±		1215
	InformationAgent <InfAgt>	[0..1]	±		1215
	SupplementaryData <SplmtryData>	[0..*]	±	C14	1215

15.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C15 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 MovementPreliminaryAdviceIdentification <MvmntPrlimryAdvclD>

Presence: [1..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlimryAdvclD> contains the following elements (see "DocumentIdentification37" on page 1241 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

15.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation191** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		1197
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		1197
	ClassActionNumber <ClssActnNb>	[0..1]	Text		1197
	EventType <EvtTp>	[1..1]		C5	1197
{Or	Code <Cd>	[1..1]	CodeSet		1198
Or}	Proprietary <Prtry>	[1..1]	±		1204
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		1204
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	1204

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those

recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

15.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

15.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1530

15.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1530

15.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType121Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1198
Or}	Proprietary <Prtry>	[1..1]	±		1204

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

15.4.2.4.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType36Code" on page 1456

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is

CodeName	Name	Definition
		commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that

CodeName	Name	Definition
		accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

15.4.2.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

15.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 1315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1315
Or}	Proprietary <Prtry>	[1..1]	±		1316

15.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: [C3 "DescriptionPresenceRule"](#), [C4 "DescriptionUsageRule"](#), [C6 "ISINGuideline"](#), [C7 "ISINPresenceRule"](#), [C9 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <OthrId>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

15.4.3 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification75Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		1206
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	1206
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1207
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		1208
	AccountOwner <AcctOwnr>	[0..1]	±		1208
	SafekeepingPlace <SfkpgPlc>	[0..1]			1208
{Or	Identification <Id>	[1..1]	±		1208
Or	Country <Ctry>	[1..1]	CodeSet	C2	1209
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1209
Or	TypeAndIdentification <TpAndId>	[1..1]			1209
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1209
	Identification <Id>	[1..1]	IdentifierSet	C1	1210
Or}	Proprietary <Prtry>	[1..1]	±		1210

15.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		1217

15.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: [C10 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C11 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C12 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C13 "SafekeepingPlaceRule"](#)

AccountsList <AcctsList> contains the following **AccountIdentification75** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1207
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		1208
	AccountOwner <AcctOwnr>	[0..1]	±		1208
	SafekeepingPlace <SfkpgPlc>	[0..1]			1208
{Or	Identification <Id>	[1..1]	±		1208
Or	Country <Ctry>	[1..1]	CodeSet	C2	1209
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1209
Or	TypeAndIdentification <TpAndId>	[1..1]			1209
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1209
	Identification <Id>	[1..1]	IdentifierSet	C1	1210
Or}	Proprietary <Prtry>	[1..1]	±		1210

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

15.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1532

15.4.3.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1530

15.4.3.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

15.4.3.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following **SafekeepingPlaceFormat51Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1208
Or	Country <Ctry>	[1..1]	CodeSet	C2	1209
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1209
Or	TypeAndIdentification <TpAndId>	[1..1]			1209
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1209
	Identification <Id>	[1..1]	IdentifierSet	C1	1210
Or}	Proprietary <Prtry>	[1..1]	±		1210

15.4.3.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see ["SafekeepingPlaceTypeAndText9"](#) on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

15.4.3.2.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: [C2 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

15.4.3.2.4.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier"](#) on page 1522

15.4.3.2.4.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1209
	Identification <Id>	[1..1]	IdentifierSet	C1	1210

15.4.3.2.4.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace1Code"](#) on page 1518

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.

CodeName	Name	Definition
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

15.4.3.2.4.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

15.4.3.2.4.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

15.4.4 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtIs> contains the following **CorporateAction76** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtIs>	[0..1]			1211
	RecordDate <RcrdDt>	[0..1]	±		1211
	ExDividendDate <ExDvddDt>	[0..1]	±		1211
	EventStage <EvtStag>	[0..1]	±		1212
	LotteryType <LtryTp>	[0..1]	±		1212

15.4.4.1 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtIs> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		1211
	ExDividendDate <ExDvddDt>	[0..1]	±		1211

15.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

15.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

15.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 1273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1273
Or}	Proprietary <Prtry>	[1..1]	±		1273

15.4.4.3 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

15.4.5 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.6 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.7 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.8 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.9 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.10 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.11 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.12 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.13 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see ["PartyIdentification137Choice"](#) on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

15.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 ["SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 1305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16 Message Items Types

16.1 MessageComponents

16.1.1 Account Identification

16.1.1.1 CashAccountIdentification6Choice

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	1217
Or}	Proprietary <Prtry>	[1..1]	Text		1217

16.1.1.1.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C17 "IBAN"](#)

Datatype: ["IBAN2007Identifier"](#) on page 1522

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.1.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: ["RestrictedFINX2Max34Text"](#) on page 1529

16.1.1.2 AccountIdentification10

Definition: Provides account identification information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		1217

16.1.1.2.1 IdentificationCode <IdCd>

Presence: [1..1]

Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 1518

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.1.2 Balance

16.1.2.1 TotalEligibleBalanceFormat11

Definition: Total eligible balance for the corporate action and full and part way period units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		1218
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		1218
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		1219

16.1.2.1.1 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains one of the following elements (see "Quantity80Choice" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		1386
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1386
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1386
	Quantity <Qty>	[1..1]	Quantity		1387
	QuantityType <QtyTp>	[1..1]	Text		1387
	Issuer </ssr>	[1..1]	Text		1387
	SchemeName <SchmeNm>	[0..1]	Text		1387

16.1.2.1.2 FullPeriodUnits <FullPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.1.3 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.2 BalanceFormat16Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1219
Or	EligibleBalance <ElgblBal>	[1..1]	±		1219
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		1220
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		1220
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		1220

16.1.2.2.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

16.1.2.2.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.2.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.2.4 FullPeriodUnits <FullPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.2.5 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.3 BalanceFormat14Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

16.1.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

16.1.2.3.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.2.3.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.3 Date Period

16.1.3.1 CorporateActionPeriod16

Definition: Specifies periods of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		1222
	InterestPeriod <IntrstPrd>	[0..1]	±		1223
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		1223
	ClaimPeriod <ClmPrd>	[0..1]	±		1223
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		1224
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		1224
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		1224
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		1224
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		1225
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		1225
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		1225
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		1226
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		1226
	CoDepositoriesSuspensionPeriod <CoDpstriessspnsnPrd>	[0..1]	±		1226
	SplitPeriod <SpltPrd>	[0..1]	±		1227
	FiscalYearPeriod <FsclyrPrd>	[0..1]	±		1227

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

16.1.3.1.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.2 InterestPeriod <IntrstPrd>

Presence: [0..1]

Definition: Period during which the interest rate has been applied.

InterestPeriod <IntrstPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.3 CompulsoryPurchasePeriod <CmplsryPurchsPrd>

Presence: [0..1]

Definition: Period during a take-over where any outstanding equity must be purchased by the take-over company.

CompulsoryPurchasePeriod <CmplsryPurchsPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.4 ClaimPeriod <CImPrd>

Presence: [0..1]

Definition: Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.

ClaimPeriod <CImPrd> contains one of the following elements (see "[Period6Choice](#)" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.5 DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>

Presence: [0..1]

Definition: Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.6 DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.7 DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.8 DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.9 DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>

Presence: [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.10 DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>

Presence: [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.11 DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>

Presence: [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

DepositorySuspensionPeriodForWithdrawalInNomineeName

<DpstrySspnsnPrdForWdrwlInNmneeNm> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.12 DepositorySuspensionPeriodForWithdrawalInStreetName**<DpstrySspnsnPrdForWdrwlInStrtNm>**

Presence: [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

DepositorySuspensionPeriodForWithdrawalInStreetName

<DpstrySspnsnPrdForWdrwlInStrtNm> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.13 BookClosurePeriod <BookClsrPrd>

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

BookClosurePeriod <BookClsrPrd> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.14 CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd>

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.15 SplitPeriod <SpltPrd>

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

SplitPeriod <SpltPrd> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.1.16 FiscalYearPeriod <FscIYrPrd>

Presence: [0..1]

Definition: Period defining the fiscal or tax year the dividend pertains to in order to correctly deduct taxes for residents.

FiscalYearPeriod <FscIYrPrd> contains one of the following elements (see "Period6Choice" on page 1239 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.3.2 DatePeriod2

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1227
	ToDate <ToDt>	[1..1]	Date		1228

16.1.3.2.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 1520

16.1.3.2.2 ToDate <ToDt>*Presence:* [1..1]*Definition:* End date of the range.*Datatype:* "ISODate" on page 1520**16.1.4 Date Time****16.1.4.1 DateFormat67Choice***Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1228
Or}	DateCode <DtCd>	[1..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	±		1229

16.1.4.1.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.**Date <Dt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.4.1.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Specifies the type of date.**DateCode <DtCd>** contains one of the following **DateCode35Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	±		1229

16.1.4.1.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.4.2 DateFormat49Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1229
Or}	DateCode <DtCd>	[1..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

16.1.4.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.4.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

16.1.4.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.4.3 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.4.3.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "ISODate" on page 1520

16.1.4.3.2 DateTime <DtTm>*Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODatetime" on page 1521**16.1.4.4 DateFormat41Choice***Definition:* Choice between an ISODate format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

16.1.4.4.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.*Datatype:* "ISODate" on page 1520**16.1.4.4.2 DateCode <DtCd>***Presence:* [1..1]*Definition:* Specifies the type of date.**DateCode <DtCd>** contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

16.1.4.4.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.4.5 DateFormat54Choice*Definition:* Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1232
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			1232
	DateCode <DtCd>	[1..1]			1233
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233
	Time <Tm>	[1..1]	Time		1233
Or}	DateCode <DtCd>	[1..1]			1234
{Or	Code <Cd>	[1..1]	CodeSet		1234
Or}	Proprietary <Prtry>	[1..1]	±		1234

16.1.4.5.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.**Date <Dt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.4.5.2 DateCodeAndTime <DtCdAndTm>*Presence:* [1..1]

Definition: Specifies a date code and a time.

DateCodeAndTime <DtCdAndTm> contains the following **DateCodeAndTimeFormat4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateCode <DtCd>	[1..1]			1233
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233
	Time <Tm>	[1..1]	Time		1233

16.1.4.5.2.1 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233

16.1.4.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType7Code" on page 1486

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.4.5.2.2 Time <Tm>

Presence: [1..1]

Definition: Specifies the time.

Datatype: ["ISOTime" on page 1533](#)

16.1.4.5.3 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1234
Or}	Proprietary <Prtry>	[1..1]	±		1234

16.1.4.5.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code" on page 1486](#)

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.5.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47" on page 1265](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.4.6 DateFormat71Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1235
Or}	DateCode <DtCd>	[1..1]			1235
{Or	Code <Cd>	[1..1]	CodeSet		1235
Or}	Proprietary <Prtry>	[1..1]	±		1236

16.1.4.6.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.4.6.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode39Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1235
Or}	Proprietary <Prtry>	[1..1]	±		1236

16.1.4.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType9Code"](#) on page 1486

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.

CodeName	Name	Definition
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.4.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.5 Date Time Period

16.1.5.1 Period11

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			1236
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237
	EndDate <EndDt>	[1..1]			1237
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

16.1.5.1.1 StartDate <StartDt>

Presence: [1..1]

Definition: Date and time at which the range starts.

StartDate <StartDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237

16.1.5.1.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.5.1.1.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "[DateType8Code](#)" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.1.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date and time at which the range ends.

EndDate <EndDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

16.1.5.1.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.5.1.2.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: ["DateType8Code"](#) on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.2 DateTimePeriod1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1238
	ToDateTime <ToDtTm>	[1..1]	DateTime		1238

16.1.5.2.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the period starts.

Datatype: ["ISODateTime"](#) on page 1521

16.1.5.2.2 ToDateTime <ToDtTm>

Presence: [1..1]

Definition: Date and time at which the period ends.

Datatype: ["ISODateTime"](#) on page 1521

16.1.5.3 DateOrDateTimePeriod1Choice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1239
Or}	DateTime <DtTm>	[1..1]	±		1239

16.1.5.3.1 Date <Dt>*Presence:* [1..1]*Definition:* Period expressed with dates.**Date <Dt>** contains the following elements (see ["DatePeriod2"](#) on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1227
	ToDate <ToDt>	[1..1]	Date		1228

16.1.5.3.2 DateTime <DtTm>*Presence:* [1..1]*Definition:* Period expressed a dates and times.**DateTime <DtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 1238 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1238
	ToDateTime <ToDtTm>	[1..1]	DateTime		1238

16.1.5.4 Period6Choice*Definition:* Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		1239
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		1240

16.1.5.4.1 Period <Prd>*Presence:* [1..1]*Definition:* Time span defined by a start date and time, and an end date and time.**Period <Prd>** contains the following elements (see ["Period11"](#) on page 1236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			1236
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237
	EndDate <EndDt>	[1..1]			1237
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

16.1.5.4.2 PeriodCode <PrdCd>

Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 1486

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.6 Document

16.1.6.1 DocumentNumber6Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		1240
Or	LongNumber <LngNb>	[1..1]	Text		1240
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		1240

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.1.1 ShortNumber <ShrtNb>

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 1525

16.1.6.1.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 1526

16.1.6.1.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "[GenericIdentification86](#)" on page 1266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1266
	Issuer <Issr>	[1..1]	Text		1266
	SchemeName <SchmeNm>	[0..1]	Text		1266

16.1.6.2 DocumentIdentification37

Definition: Identification of a document and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1241
	LinkageType <LkgTp>	[0..1]	±	C31	1241

16.1.6.2.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1530

16.1.6.2.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: [C31](#) "[WithLinkageRule](#)"

LinkageType <LkgTp> contains one of the following elements (see "[ProcessingPosition10Choice](#)" on page 1341 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1342
Or}	Proprietary <Prtry>	[1..1]	±		1342

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.6.3 DocumentIdentification17

Definition: Identifies a document by a unique identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1242

16.1.6.3.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 1530

16.1.6.4 DocumentIdentification34

Definition: Identification of a document as well as the document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1242
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1242
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1242
	DocumentNumber <DocNb>	[0..1]	±	C6	1243

16.1.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1242
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1242

16.1.6.4.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 1530

16.1.6.4.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 1530

16.1.6.4.2 DocumentNumber <DocNb>*Presence:* [0..1]*Definition:* Identification of the type of document.*Impacted by:* C6 "ShortLongNumberRule"**DocumentNumber <DocNb>** contains one of the following elements (see "[DocumentNumber6Choice](#)" on page 1240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		1240
Or	LongNumber <LngNb>	[1..1]	Text		1240
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		1240

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.5 DocumentIdentification38*Definition:* Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1243
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244
	DocumentNumber <DocNb>	[0..1]	±	C6	1244
	LinkageType <LkgTp>	[0..1]	±	C31	1244

16.1.6.5.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique identifier of the document (message) assigned either by the account servicer or the account owner.**Identification <Id>** contains one of the following **DocumentIdentification4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		1244
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		1244

16.1.6.5.1.1 AccountServicerDocumentIdentification <AcctSvrDocId>*Presence:* [1..1]*Definition:* Identification of the document assigned by the account servicer.*Datatype:* "RestrictedFINXMax16Text" on page 1530**16.1.6.5.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>***Presence:* [1..1]*Definition:* Identification of the document assigned by the account owner.*Datatype:* "RestrictedFINXMax16Text" on page 1530**16.1.6.5.2 DocumentNumber <DocNb>***Presence:* [0..1]*Definition:* Identification of the type of document.*Impacted by:* C6 "ShortLongNumberRule"**DocumentNumber <DocNb>** contains one of the following elements (see "DocumentNumber6Choice" on page 1240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		1240
Or	LongNumber <LngNb>	[1..1]	Text		1240
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		1240

Constraints

- ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.5.3 LinkageType <LkgTp>*Presence:* [0..1]*Definition:* Specifies when this document is to be processed relative to another referred document.*Impacted by:* C31 "WithLinkageRule"**LinkageType <LkgTp>** contains one of the following elements (see "ProcessingPosition10Choice" on page 1341 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1342
Or}	Proprietary <Prtry>	[1..1]	±		1342

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.7 Financial Institution Identification

16.1.7.1 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	1245
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		1245
	LEI <LEI>	[0..1]	IdentifierSet		1246
	Name <Nm>	[0..1]	Text		1246
	PostalAddress <PstlAdr>	[0..1]	±		1246
	Other <Othr>	[0..1]	±		1247

16.1.7.1.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1521

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.7.1.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "[ClearingSystemMemberIdentification2](#)" on page 1251 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		1251
	MemberIdentification <Mmbld>	[1..1]	Text		1252

16.1.7.1.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 1523

16.1.7.1.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 1526

16.1.7.1.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 1369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370
	Department <Dept>	[0..1]	Text		1370
	SubDepartment <SubDept>	[0..1]	Text		1370
	StreetName <StrtNm>	[0..1]	Text		1370
	BuildingNumber <BldgNb>	[0..1]	Text		1370
	BuildingName <BldgNm>	[0..1]	Text		1371
	Floor <Flr>	[0..1]	Text		1371
	PostBox <PstBx>	[0..1]	Text		1371
	Room <Room>	[0..1]	Text		1371
	PostCode <PstCd>	[0..1]	Text		1371
	TownName <TwnNm>	[0..1]	Text		1371
	TownLocationName <TwnLctnNm>	[0..1]	Text		1371
	DistrictName <DstrctNm>	[0..1]	Text		1371
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1372
	Country <Ctry>	[0..1]	CodeSet	C3	1372
	AddressLine <AdrLine>	[0..7]	Text		1372

16.1.7.1.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericFinancialIdentification1](#)" on page 1249 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1249
	SchemeName <SchmeNm>	[0..1]			1250
{Or	Code <Cd>	[1..1]	CodeSet		1250
Or}	Proprietary <Prtry>	[1..1]	Text		1250
	Issuer <Issr>	[0..1]	Text		1250

16.1.7.2 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1248
	LEI <LEI>	[0..1]	IdentifierSet		1248
	Name <Nm>	[0..1]	Text		1248
	PostalAddress <PstlAdr>	[0..1]	±		1248

16.1.7.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 1527

16.1.7.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 1523

16.1.7.2.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 1526

16.1.7.2.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 1369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370
	Department <Dept>	[0..1]	Text		1370
	SubDepartment <SubDept>	[0..1]	Text		1370
	StreetName <StrtNm>	[0..1]	Text		1370
	BuildingNumber <BldgNb>	[0..1]	Text		1370
	BuildingName <BldgNm>	[0..1]	Text		1371
	Floor <Flr>	[0..1]	Text		1371
	PostBox <PstBx>	[0..1]	Text		1371
	Room <Room>	[0..1]	Text		1371
	PostCode <PstCd>	[0..1]	Text		1371
	TownName <TwnNm>	[0..1]	Text		1371
	TownLocationName <TwnLctnNm>	[0..1]	Text		1371
	DistrictName <DstrctNm>	[0..1]	Text		1371
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1372
	Country <Ctry>	[0..1]	CodeSet	C3	1372
	AddressLine <AdrLine>	[0..7]	Text		1372

16.1.7.3 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1249
	SchemeName <SchmeNm>	[0..1]			1250
{Or	Code <Cd>	[1..1]	CodeSet		1250
Or}	Proprietary <Prtry>	[1..1]	Text		1250
	Issuer <Issr>	[0..1]	Text		1250

16.1.7.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1527

16.1.7.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1250
Or}	Proprietary <Prtry>	[1..1]	Text		1250

16.1.7.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 1490

16.1.7.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1527

16.1.7.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1527

16.1.7.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		1250
	BranchIdentification <BrnchId>	[0..1]	±		1251

16.1.7.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	1245
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		1245
	LEI <LEI>	[0..1]	IdentifierSet		1246
	Name <Nm>	[0..1]	Text		1246
	PostalAddress <PstlAdr>	[0..1]	±		1246
	Other <Othr>	[0..1]	±		1247

16.1.7.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 1248 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1248
	LEI <LEI>	[0..1]	IdentifierSet		1248
	Name <Nm>	[0..1]	Text		1248
	PostalAddress <PstlAdr>	[0..1]	±		1248

16.1.7.5 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		1251
	MemberIdentification <Mmbld>	[1..1]	Text		1252

16.1.7.5.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1435
Or}	Proprietary <Prtry>	[1..1]	Text		1435

16.1.7.5.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "Max35Text" on page 1527

16.1.8 Financial Instrument

16.1.8.1 SecurityIdentification20

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <Othrid>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

16.1.8.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1523

16.1.8.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <Othrid> contains the following **OtherIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254

16.1.8.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "RestrictedFINXMax31Text" on page 1531

16.1.8.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1526

16.1.8.1.2.3 Type <Tp>*Presence:* [1..1]*Definition:* Type of the identification.

Type <Tp> contains one of the following elements (see ["IdentificationSource4Choice"](#) on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1265
Or}	Proprietary <Prtry>	[1..1]	Text		1265

16.1.8.1.3 Description <Desc>*Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* ["RestrictedFINXMax140Text"](#) on page 1530**16.1.8.2 FinancialInstrumentAttributes117***Definition:* Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±	C3, C4, C6, C7, C9	1254
	Quantity <Qty>	[0..1]	Quantity		1255
	RenounceableEntitlementStatusType <RnnclEntitlmtStsTp>	[0..1]	±		1256
	FractionDisposition <FrctnDspstr>	[0..1]	±		1256
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtScitiesToUndrlygRatio>	[0..1]	±		1256
	MarketPrice <MktPric>	[0..1]	±		1256
	ExpiryDate <XpryDt>	[1..1]	±		1257
	PostingDate <PstngDt>	[1..1]	±		1257
	TradingPeriod <TradgPrd>	[0..1]	±		1257
	UninstructedBalance <UinstdBal>	[0..1]	±		1258
	InstructedBalance <InstdBal>	[0..1]	±		1258

16.1.8.2.1 SecurityIdentification <SctyId>*Presence:* [1..1]*Definition:* Identifies the financial instrument.

Impacted by: [C3 "DescriptionPresenceRule"](#), [C4 "DescriptionUsageRule"](#), [C6 "ISINGuideline"](#), [C7 "ISINPresenceRule"](#), [C9 "OtherIdentificationPresenceRule"](#)

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1253
	OtherIdentification <Othrld>	[0..*]			1253
	Identification <Id>	[1..1]	Text		1253
	Suffix <Sfx>	[0..1]	Text		1253
	Type <Tp>	[1..1]	±		1254
	Description <Desc>	[0..1]	Text		1254

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

16.1.8.2.2 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.8.2.3 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>*Presence:* [0..1]*Definition:* Specifies whether terms of the event allow resale of the rights.**RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>** contains one of the following elements (see "[RenounceableEntitlementStatusTypeFormat4Choice](#)" on page 1291 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1291

16.1.8.2.4 FractionDisposition <FrctnDspstn>*Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following elements (see "[FractionDispositionType32Choice](#)" on page 1292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1292
Or}	Proprietary <Prtry>	[1..1]	±		1293

16.1.8.2.5 IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>*Presence:* [0..1]*Definition:* Quantity of intermediate securities awarded for a given quantity of underlying security.**IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>** contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

16.1.8.2.6 MarketPrice <MktPric>*Presence:* [0..1]*Definition:* Last reported/known price of a financial instrument in a market.**MarketPrice <MktPric>** contains the following elements (see "[AmountPrice4](#)" on page 1374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1374
	PriceValue <PricVal>	[1..1]	Amount	C1	1374

16.1.8.2.7 ExpiryDate <XpryDt>*Presence:* [1..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.**ExpiryDate <XpryDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

16.1.8.2.8 PostingDate <PstngDt>*Presence:* [1..1]*Definition:* Date of the posting (credit or debit) to the account.**PostingDate <PstngDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1231
Or}	DateCode <DtCd>	[1..1]			1231
{Or	Code <Cd>	[1..1]	CodeSet		1231
Or}	Proprietary <Prtry>	[1..1]	±		1232

16.1.8.2.9 TradingPeriod <TradgPrd>*Presence:* [0..1]*Definition:* Period during which intermediate or outturn securities are tradable in a secondary market.**TradingPeriod <TradgPrd>** contains the following elements (see "[Period11](#)" on page 1236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			1236
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1237
	EndDate <EndDt>	[1..1]			1237
{Or	Date <Dt>	[1..1]	±		1237
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		1238

16.1.8.2.10 UninstructedBalance <UinstdBal>*Presence:* [0..1]*Definition:* Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

16.1.8.2.11 InstructedBalance <InstdBal>*Presence:* [0..1]*Definition:* Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		1221
Or	EligibleBalance <ElgblBal>	[1..1]	±		1221
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		1221

16.1.9 Financial Instrument Identification**16.1.9.1 SecurityIdentification21***Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1259
	OtherIdentification <OthrId>	[0..*]			1259
	Identification </Id>	[1..1]	Text		1260
	Suffix <Sfx>	[0..1]	Text		1260
	Type <Tp>	[1..1]	±		1260
	Description <Desc>	[0..1]	Text		1260

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

16.1.9.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1523

16.1.9.1.2 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1260
	Suffix <Sfx>	[0..1]	Text		1260
	Type <Tp>	[1..1]	±		1260

16.1.9.1.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a security.*Datatype:* "RestrictedFINXMax31Text" on page 1531**16.1.9.1.2.2 Suffix <Sfx>***Presence:* [0..1]*Definition:* Identifies the suffix of the security identification.*Datatype:* "Max16Text" on page 1526**16.1.9.1.2.3 Type <Tp>***Presence:* [1..1]*Definition:* Type of the identification.**Type <Tp>** contains one of the following elements (see "IdentificationSource4Choice" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1265
Or}	Proprietary <Prtry>	[1..1]	Text		1265

16.1.9.1.3 Description <Desc>*Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* "RestrictedFINXMax140Text" on page 1530**16.1.10 Financial Instrument Quantity****16.1.10.1 FinancialInstrumentQuantity31Choice***Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1260
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1260

16.1.10.1.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "RestrictedFINDecimalNumber" on page 1524**16.1.10.1.2 FaceAmount <FaceAmt>***Presence:* [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.11 Foreign Exchange

16.1.11.1 ForeignExchangeTerms38

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	1261
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	1261
	ExchangeRate <XchgRate>	[1..1]	Rate		1261

16.1.11.1.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1437

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1525

16.1.12 Frequency

16.1.12.1 Frequency26Choice

Definition: Choice of format for a frequency, for example, the frequency of delivery of a statement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1262
Or}	Proprietary <Prtry>	[1..1]	±		1262

16.1.12.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as an ISO 20022 code.

Datatype: "EventFrequency4Code" on page 1489

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.1.12.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.13 Identification Information

16.1.13.1 IdentificationType46Choice

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1263
Or}	Proprietary <Prtry>	[1..1]	±		1263

16.1.13.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 1519

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.13.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.13.2 GenericIdentification84

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1264
	Issuer <Issr>	[1..1]	Text		1264
	SchemeName <SchmeNm>	[0..1]	Text		1264

16.1.13.2.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "RestrictedFINXMax34Text" on page 1532

16.1.13.2.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.13.2.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.13.3 GenericIdentification85

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1264
	Identification <Id>	[0..1]	Text		1264

16.1.13.3.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.13.3.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity.

Datatype: "RestrictedFINXMax30Text" on page 1531

16.1.13.4 GenericIdentification47

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.13.4.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 1526

16.1.13.4.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.13.4.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.13.5 IdentificationSource4Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1265
Or}	Proprietary <Prtry>	[1..1]	Text		1265

16.1.13.5.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 1490

16.1.13.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "RestrictedFINExact2Text" on page 1528

16.1.13.6 GenericIdentification86

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1266
	Issuer <Issr>	[1..1]	Text		1266
	SchemeName <SchmeNm>	[0..1]	Text		1266

16.1.13.6.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "RestrictedFINXMax30Text" on page 1531

16.1.13.6.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.13.6.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.13.7 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1266
	Issuer <Issr>	[1..1]	Text		1267
	SchemeName <SchmeNm>	[0..1]	Text		1267

16.1.13.7.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 1526

16.1.13.7.2 Issuer <Issr>*Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 1527**16.1.13.7.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 1527**16.1.13.8 SettlementTypeAndIdentification26***Definition:* Overall process covering the trade and settlement transactions of financial instruments.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		1267
	TransactionIdentification <TxId>	[1..1]	Text		1267
	SettlementDate <SttlmDt>	[0..1]	±		1267

16.1.13.8.1 Payment <Pmt>*Presence:* [1..1]*Definition:* Specifies how the transaction is to be settled.*Datatype:* "DeliveryReceiptType2Code" on page 1487

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.1.13.8.2 TransactionIdentification <TxId>*Presence:* [1..1]*Definition:* Reference of the transaction.*Datatype:* "RestrictedFINXMax16Text" on page 1530**16.1.13.8.3 SettlementDate <SttlmDt>***Presence:* [0..1]*Definition:* Identifies the intended settlement date.

SettlementDate <SttlmDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.14 Market

16.1.14.1 MarketIdentification4Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

16.1.14.1.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: ["MICIdentifier" on page 1523](#)

16.1.14.1.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: ["RestrictedFINXMax30Text" on page 1531](#)

16.1.14.2 MarketIdentification2Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

16.1.14.2.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: ISO 10383 Market Identification Code.

Datatype: ["MICIdentifier" on page 1523](#)

16.1.14.2.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 1531

16.1.15 Miscellaneous

16.1.15.1 DefaultProcessingOrStandingInstruction2Choice

Definition: Choice between default processing or standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		1269
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		1269

16.1.15.1.1 DefaultOptionIndicator <DfltOptnInd>

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.1.2 StandingInstructionIndicator <StgInstrInd>

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

The standing instruction may or may not be overridden, depending on account or event terms.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.2 NonEligibleProceedsIndicator4Choice

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1269
Or}	Proprietary <Prtry>	[1..1]	±		1270

16.1.15.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator1Code" on page 1502

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.1.15.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.3 TaxableIncomePerShareCalculatedFormat4Choice

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1270
Or}	Proprietary <Prtry>	[1..1]	±		1271

16.1.15.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/ taxable income per share (TID/TIS).

Datatype: "CorporateActionTaxableIncomePerShareCalculated1Code" on page 1485

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.1.15.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.4 FractionDispositionType29Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1271
Or}	Proprietary <Prtry>	[1..1]	±		1271

16.1.15.4.1 Code <Cd>

Presence: [1..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType10Code" on page 1491

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.1.15.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.5 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1272
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1272

16.1.15.5.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "[Max5NumericText](#)" on page 1528

16.1.15.5.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.6 ClassificationType33Choice

Definition: Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1272
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1273

16.1.15.6.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "[CFIOct2015Identifier](#)" on page 1522

16.1.15.6.2 AlternateClassification <AltrnClssfctn>*Presence:* [1..1]*Definition:* Proprietary classification of financial instrument.

AlternateClassification <AltrnClssfctn> contains the following elements (see "GenericIdentification86" on page 1266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1266
	Issuer <Issr>	[1..1]	Text		1266
	SchemeName <SchmeNm>	[0..1]	Text		1266

16.1.15.7 CorporateActionEventStageFormat15Choice*Definition:* Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1273
Or}	Proprietary <Prtry>	[1..1]	±		1273

16.1.15.7.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the stage of the corporate action event.*Datatype:* "CorporateActionEventStage4Code" on page 1449

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.1.15.7.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.8 CapitalGainFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of capital gain.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1274
Or}	Proprietary <Prtry>	[1..1]	±		1274

16.1.15.8.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Datatype: "EUCapitalGain2Code" on page 1488

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.1.15.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of capital gain.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.9 EventSequenceTypeFormat2Choice

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1275
Or}	Proprietary <Prtry>	[1..1]	±		1275

16.1.15.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of an event sequence, in a coded format.

Datatype: "EventSequenceType1Code" on page 1489

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

16.1.15.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of an event sequence, in a proprietary format.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.10 CorporateActionEventReference4

Definition: Identification of a linked corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			1276
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276
	LinkageType <LkgTp>	[0..1]	±	C31	1276

16.1.15.10.1 EventIdentification <EvtId>*Presence:* [1..1]*Definition:* Identification of the linked corporate action event.**EventIdentification <EvtId>** contains one of the following **CorporateActionEventReference4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		1276
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		1276

16.1.15.10.1.1 LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>*Presence:* [1..1]*Definition:* Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1530**16.1.15.10.1.2 LinkedCorporateActionIdentification <LkdCorpActnId>***Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a related corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1530**16.1.15.10.2 LinkageType <LkgTp>***Presence:* [0..1]*Definition:* Specifies when this corporate action event is to be processed relative to a linked corporate action event.*Impacted by:* C31 "WithLinkageRule"**LinkageType <LkgTp>** contains one of the following elements (see "ProcessingPosition10Choice" on page 1341 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1342
Or}	Proprietary <Prtry>	[1..1]	±		1342

Constraints

- WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.11 GrossDividendRateFormat41Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1277
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1277
	Amount <Amt>	[1..1]	Amount	C1	1278
	RateStatus <RateSts>	[1..1]	CodeSet		1278
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1278
	RateType <RateTp>	[1..1]			1279
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280
	Amount <Amt>	[1..1]	Amount	C1	1280
	RateStatus <RateSts>	[0..1]		C52	1280
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1281

16.1.15.11.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.11.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1278
	RateStatus <RateSts>	[1..1]	CodeSet		1278

16.1.15.11.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.11.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.11.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1279
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280
	Amount <Amt>	[1..1]	Amount	C1	1280
	RateStatus <RateSts>	[0..1]		C52	1280
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281

16.1.15.11.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType80Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1279
Or}	Proprietary <Prtry>	[1..1]	±		1280

16.1.15.11.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1493

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.15.11.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.11.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.11.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C52 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1281
Or}	Proprietary <Prtry>	[1..1]	±		1281

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.11.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.11.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.11.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1512

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.12 AdditionalBusinessProcessFormat14Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1282
Or}	Proprietary <Prtry>	[1..1]	±		1283

16.1.15.12.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess7Code" on page 1440

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will

CodeName	Name	Definition
		be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.13 RateTypeAndAmountAndStatus33

Definition: Specifies the value expressed as a rate and an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1284
{Or	Code <Cd>	[1..1]	CodeSet		1284
Or}	Proprietary <Prtry>	[1..1]	±		1284
	Amount <Amt>	[1..1]	Amount	C1	1284
	RateStatus <RateSts>	[0..1]		C52	1285
{Or	Code <Cd>	[1..1]	CodeSet		1285
Or}	Proprietary <Prtry>	[1..1]	±		1285

16.1.15.13.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.**RateType <RateTp>** contains one of the following **RateType47Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1284
Or}	Proprietary <Prtry>	[1..1]	±		1284

16.1.15.13.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of tax rate.*Datatype:* "DividendRateType1Code" on page 1488

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.1.15.13.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of tax rate.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.13.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.13.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1285
Or}	Proprietary <Prtry>	[1..1]	±		1285

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.13.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1512](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.13.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47" on page 1265](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.14 CorporateActionOption43Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1286
Or}	Proprietary <Prtry>	[1..1]	±		1287

16.1.15.14.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption16Code" on page 1480

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).

CodeName	Name	Definition
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.15 IdentificationFormat4Choice

Definition: Choice between either a short, long or a proprietary identification format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		1288
Or	LongIdentification <LngId>	[1..1]	Text		1288
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1288

16.1.15.15.1 ShortIdentification <ShrtId>

Presence: [1..1]

Definition: Format expressed as a short identification.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 1525

16.1.15.15.2 LongIdentification <LngId>

Presence: [1..1]

Definition: Format expressed as a long identification.

Datatype: "RestrictedFINXMax30Text" on page 1531

16.1.15.15.3 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Format expressed as a proprietary identification.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification86" on page 1266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1266
	Issuer <Issr>	[1..1]	Text		1266
	SchemeName <SchmeNm>	[0..1]	Text		1266

16.1.15.16 CorporateActionChangeTypeFormat8Choice

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1288
Or}	Proprietary <Prtry>	[1..1]	±		1289

16.1.15.16.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionChangeType1Code" on page 1447

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.1.15.16.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.17 AdditionalBusinessProcessFormat16Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1290
Or}	Proprietary <Prtry>	[1..1]	±		1290

16.1.15.17.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess8Code" on page 1441

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.17.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.18 RenounceableEntitlementStatusTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1291

16.1.15.18.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the renounceable status.

Datatype: "RenounceableStatus1Code" on page 1518

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.1.15.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the renounceable status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.19 LotteryTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1291
Or}	Proprietary <Prtry>	[1..1]	±		1292

16.1.15.19.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 1499

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.1.15.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.20 FractionDispositionType32Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1292
Or}	Proprietary <Prtry>	[1..1]	±		1293

16.1.15.20.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "[FractionDispositionType9Code](#)" on page 1492

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

CodeName	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.20.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.21 CorporateActionProcessingStatus6Choice

Definition: Specifies the status of the details of the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			1293
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		1293
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		1294
Or}	Proprietary <Prtry>	[1..1]	±		1294

16.1.15.21.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

Code <Cd> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		1293
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		1294

16.1.15.21.1.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "[EventCompletenessStatus1Code](#)" on page 1489

CodeName	Name	Definition
COMP	Complete	Event details are complete.

CodeName	Name	Definition
INCO	Incomplete	Event details are not complete.

16.1.15.21.1.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Indicates the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 1489

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.1.15.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Information related to an identification, for example, party identification or account identification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.22 FractionDispositionType30Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1294
Or}	Proprietary <Prtry>	[1..1]	±		1295

16.1.15.22.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType11Code" on page 1491

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.

CodeName	Name	Definition
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.1.15.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.23 CorporateActionOption39Choice

Definition: Choice between a standard code or a proprietary code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1295
Or}	Proprietary <Prtry>	[1..1]	±		1297

16.1.15.23.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOption12Code](#)" on page 1478

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.

CodeName	Name	Definition
		If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.

CodeName	Name	Definition
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.24 DistributionTypeFormat8Choice

Definition: Choice between a standard code or proprietary code to specify the type of distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1297
Or}	Proprietary <Prtry>	[1..1]	±		1298

16.1.15.24.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "[DistributionType3Code](#)" on page 1487

CodeName	Name	Definition
FINL	Final	Final payment.

CodeName	Name	Definition
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.1.15.24.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.25 RatioFormat23Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1298
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1299
Or}	AmountToAmount <AmtToAmt>	[1..1]			1299
	Amount1 <Amt1>	[1..1]	Amount	C1	1299
	Amount2 <Amt2>	[1..1]	Amount	C1	1299

16.1.15.25.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

16.1.15.25.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the ratio not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.25.3 AmountToAmount <AmtToAmt>*Presence:* [1..1]*Definition:* Ratio expressed as a quotient of amounts.**AmountToAmount <AmtToAmt>** contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1299
	Amount2 <Amt2>	[1..1]	Amount	C1	1299

16.1.15.25.3.1 Amount1 <Amt1>*Presence:* [1..1]*Definition:* Numerator of the quotient of amounts.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.25.3.2 Amount2 <Amt2>*Presence:* [1..1]*Definition:* Denominator of the quotient of amounts.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.26 MarketIdentification90

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1300
	Type <Tp>	[1..1]			1300
{Or	Code <Cd>	[1..1]	CodeSet		1301
Or}	Proprietary <Prtry>	[1..1]	±		1301

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

16.1.15.26.1 Identification <Id>

Presence: [0..1]

Definition: Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

Identification <Id> contains one of the following elements (see "[MarketIdentification2Choice](#)" on page 1268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		1268
Or}	Description <Desc>	[1..1]	Text		1268

16.1.15.26.2 Type <Tp>

Presence: [1..1]

Definition: Nature of a market in which transactions take place.

Type <Tp> contains one of the following **MarketType16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1301
Or}	Proprietary <Prtry>	[1..1]	±		1301

16.1.15.26.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Market type expressed as an ISO 20022 code.*Datatype:* "MarketType2Code" on page 1499

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.1.15.26.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Market type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.27 ConsentTypeFormat5Choice*Definition:* Choice between a standard code or proprietary code to specify the consent type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1301
Or}	Proprietary <Prtry>	[1..1]	±		1302

16.1.15.27.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the consent type required.*Datatype:* "ConsentType1Code" on page 1446

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.1.15.27.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the consent type.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.28 UpdateType16Choice*Definition:* Choice of format for the update information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1302
Or}	Proprietary <Prtry>	[1..1]	±		1302

16.1.15.28.1 Code <Cd>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.*Datatype:* "[StatementUpdateType1Code](#)" on page 1519

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.1.15.28.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.29 DividendTypeFormat10Choice*Definition:* Choice between a standard code or proprietary code to specify the type of dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1303
Or}	Proprietary <Prtry>	[1..1]	±		1303

16.1.15.29.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the frequency of the corporate action event.

Datatype: "CorporateActionFrequencyType5Code" on page 1474

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.1.15.29.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the frequency of the corporate action event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.30 OptionFeaturesFormat27Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1304
Or}	Proprietary <Prtry>	[1..1]	±		1304

16.1.15.30.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the features that may apply to a corporate action option.*Datatype:* "OptionFeatures12Code" on page 1504

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.1.15.30.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the features that may apply to a corporate action option.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.31 CorporateActionEventStageFormat20Choice*Definition:* Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1304
Or}	Proprietary <Prtry>	[1..1]	±		1305

16.1.15.31.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the stage of the corporate action event.*Datatype:* "CorporateActionEventStage3Code" on page 1449

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.

CodeName	Name	Definition
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.1.15.31.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.32 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		1306
	Envelope <Envlp>	[1..1]	(External Schema)		1306

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16.1.15.32.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 1527

16.1.15.32.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

16.1.15.33 CorporateActionOption46Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1306
Or}	Proprietary <Prtry>	[1..1]	±		1307

16.1.15.33.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 1479

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).

CodeName	Name	Definition
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.33.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.34 ElectionTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1308
Or}	Proprietary <Prtry>	[1..1]	±		1308

16.1.15.34.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: "[ElectionMovementType2Code](#)" on page 1488

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.1.15.34.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.35 Statement75

Definition: General characteristics related to a statement which reports information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmtTp>	[1..1]	CodeSet		1309
	ReportingType <RptgTp>	[1..1]	CodeSet		1309
	StatementIdentification <StmtId>	[1..1]	Text		1310
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		1310
	ReportNumber <RptNb>	[0..1]	Text		1310
	StatementDateTime <StmtDtTm>	[1..1]	±		1310
	Frequency <Frqcy>	[1..1]	±		1310
	UpdateType <UpdTp>	[1..1]	±		1311
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1311
	NotificationDeadlinePeriod <NtfctnDdlnPrd>	[0..1]	±		1311

16.1.15.35.1 StatementType <StmtTp>

Presence: [1..1]

Definition: Indicates whether the statement is a balance only notification or if it includes missing instructions only or all instructions.

Datatype: "CorporateActionStatementType2Code" on page 1484

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.1.15.35.2 ReportingType <RptgTp>

Presence: [1..1]

Definition: Indicates whether the statement report on account holdings for corporate action events is for single account/multiple events or multiple accounts/single event.

Datatype: "CorporateActionStatementReportingType1Code" on page 1484

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.1.15.35.3 StatementIdentification <Stmtd>*Presence:* [1..1]*Definition:* Reference of the statement.*Datatype:* "RestrictedFINXMax16Text" on page 1530**16.1.15.35.4 InstructionAggregationPeriod <InstrAggtnPrd>***Presence:* [0..1]*Definition:* Indicates the period of instruction details within the statement.**InstructionAggregationPeriod <InstrAggtnPrd>** contains the following elements (see "DatePeriod2" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1227
	ToDate <ToDt>	[1..1]	Date		1228

16.1.15.35.5 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.*Datatype:* "Max5NumericText" on page 1528**16.1.15.35.6 StatementDateTime <StmtdtTm>***Presence:* [1..1]*Definition:* Date of the statement.**StatementDateTime <StmtdtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1230
Or}	DateTime <DtTm>	[1..1]	DateTime		1231

16.1.15.35.7 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.**Frequency <Frqcy>** contains one of the following elements (see "Frequency26Choice" on page 1262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1262
Or}	Proprietary <Prtry>	[1..1]	±		1262

16.1.15.35.8 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.**UpdateType <UpdTp>** contains one of the following elements (see ["UpdateType16Choice"](#) on page 1302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1302
Or}	Proprietary <Prtry>	[1..1]	±		1302

16.1.15.35.9 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity or information update reported in the statement.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.35.10 NotificationDeadlinePeriod <NtfctnDdlnPrd>*Presence:* [0..1]*Definition:* Period during which identification deadline has been set.**NotificationDeadlinePeriod <NtfctnDdlnPrd>** contains one of the following elements (see ["DateOrDateTimePeriod1Choice"](#) on page 1238 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1239
Or}	DateTime <DtTm>	[1..1]	±		1239

16.1.15.36 FractionDispositionType31Choice*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1311
Or}	Proprietary <Prtry>	[1..1]	±		1312

16.1.15.36.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* ["FractionDispositionType8Code"](#) on page 1492

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.36.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.37 OptionStyle9Choice

Definition: Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1312
Or}	Proprietary <Prtry>	[1..1]	±		1313

16.1.15.37.1 Code <Cd>

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "[OptionStyle2Code](#)" on page 1506

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.1.15.37.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option style expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.38 CorporateActionOption42Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1313
Or}	Proprietary <Prtry>	[1..1]	±		1315

16.1.15.38.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "[CorporateActionOption17Code](#)" on page 1482

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).

CodeName	Name	Definition
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.

CodeName	Name	Definition
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.38.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.39 CorporateActionMandatoryVoluntary4Choice

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1315
Or}	Proprietary <Prtry>	[1..1]	±		1316

16.1.15.39.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "[CorporateActionMandatoryVoluntary1Code](#)" on page 1475

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.

CodeName	Name	Definition
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.1.15.39.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of an event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.40 CorporateActionOption36Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1316
Or}	Proprietary <Prtry>	[1..1]	±		1317

16.1.15.40.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "[CorporateActionOption11Code](#)" on page 1476

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

CodeName	Name	Definition
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.40.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.41 TemporaryFinancialInstrumentIndicator4Choice

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		1318
Or}	Proprietary <Prtry>	[1..1]	±		1318

16.1.15.41.1 TemporaryIndicator <TemplInd>

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1523):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.41.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.42 OptionAvailabilityStatus4Choice

Definition: Choice between a standard code or proprietary code to specify the option availability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1319

16.1.15.42.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: "OptionAvailabilityStatus1Code" on page 1504

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.1.15.42.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.43 BeneficiaryCertificationType11Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1320

16.1.15.43.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of certification required.

Datatype: "BeneficiaryCertificationType5Code" on page 1444

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.1.15.43.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of certification required.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.44 IntermediateSecuritiesDistributionTypeFormat18Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1320
Or}	Proprietary <Prtry>	[1..1]	±		1321

16.1.15.44.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType5Code" on page 1497

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.1.15.44.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.45 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		1322
Or}	Code <Cd>	[1..1]	CodeSet		1322

16.1.15.45.1 Number <Nb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 1525

16.1.15.45.2 Code <Cd>

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: "[OptionNumber1Code](#)" on page 1506

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.1.15.46 InterestComputationMethodFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1322
Or}	Proprietary <Prtry>	[1..1]	±		1326

16.1.15.46.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 1494

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular

CodeName	Name	Definition
		(first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This

CodeName	Name	Definition
		means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.1.15.46.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the format of interest computation method.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.47 RateFormat12Choice*Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1326
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1326

16.1.15.47.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "[BaseOne14Rate](#)" on page 1525**16.1.15.47.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "[RateType5Code](#)" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.48 CorporateActionEventProcessingType3Choice*Definition:* Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1327
Or}	Proprietary <Prtry>	[1..1]	±		1327

16.1.15.48.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the processing type of a corporate action event.*Datatype:* "CorporateActionEventProcessingType1Code" on page 1448

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.1.15.48.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the processing type of a corporate action event.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.49 CertificationTypeFormat4Choice*Definition:* Choice between a standard code or proprietary code to specify the certification format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1327
Or}	Proprietary <Prtry>	[1..1]	±		1328

16.1.15.49.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the certification format required, that is, physical or electronic format.*Datatype:* "CertificationFormatType1Code" on page 1446

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.

CodeName	Name	Definition
PHYS	Physical	Certification must be provided in physical format.

16.1.15.49.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the certification format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.50 CorporateActionCodeFormat7Choice

Definition: Choice between a standard code or a proprietary code to specify a type of change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329

16.1.15.50.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "[CorporateActionCodeFormat2Code](#)" on page 1448

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve

CodeName	Name	Definition
		surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.1.15.50.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.51 IssuerOfferorTaxabilityIndicator1Choice

Definition: Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1329

16.1.15.51.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "[IssuerTaxability2Code](#)" on page 1498

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.1.15.51.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.52 RatioFormat24Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1330
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1330
Or	AmountToAmount <AmtToAmt>	[1..1]			1331
	Amount1 <Amt1>	[1..1]	Amount	C1	1331
	Amount2 <Amt2>	[1..1]	Amount	C1	1331
Or	AmountToQuantity <AmtToQty>	[1..1]			1331
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1332
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1332
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1333

16.1.15.52.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

16.1.15.52.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.52.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1331
	Amount2 <Amt2>	[1..1]	Amount	C1	1331

16.1.15.52.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.52.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.52.4 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1332

16.1.15.52.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.52.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.15.52.5 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1332
	Quantity <Qty>	[1..1]	Quantity		1333

16.1.15.52.5.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.52.5.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.15.53 NetDividendRateFormat43Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1333
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1334
	Amount <Amt>	[1..1]	Amount	C1	1334
	RateStatus <RateSts>	[1..1]	CodeSet		1334
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1334
	RateType <RateTp>	[1..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336
	Amount <Amt>	[1..1]	Amount	C1	1336
	RateStatus <RateSts>	[0..1]		C52	1336
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337
Or}	NotSpecifiedRate <NotSpocfdRate>	[1..1]	CodeSet		1337

16.1.15.53.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.53.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1334
	RateStatus <RateSts>	[1..1]	CodeSet		1334

16.1.15.53.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.53.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.53.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336
	Amount <Amt>	[1..1]	Amount	C1	1336
	RateStatus <RateSts>	[0..1]		C52	1336
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337

16.1.15.53.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType81Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1335
Or}	Proprietary <Prtry>	[1..1]	±		1336

16.1.15.53.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1499

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.53.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.53.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.53.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1337
Or}	Proprietary <Prtry>	[1..1]	±		1337

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.53.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.53.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.53.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.54 AdditionalBusinessProcessFormat21Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1338

16.1.15.54.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess10Code" on page 1438

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.54.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.55 AdditionalBusinessProcessFormat22Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1339
Or}	Proprietary <Prtry>	[1..1]	±		1340

16.1.15.55.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess9Code" on page 1442

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.55.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.56 InformationTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the information type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1341
Or}	Proprietary <Prtry>	[1..1]	±		1341

16.1.15.56.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: "CorporateActionInformationType1Code" on page 1475

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.1.15.56.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the information type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.57 ProcessingPosition10Choice

Definition: Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1342
Or}	Proprietary <Prtry>	[1..1]	±		1342

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.57.1 Code <Cd>

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 1510

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.1.15.57.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.58 InterestRateUsedForPaymentFormat18Choice

Definition: Choice between an amount or a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1343
Or	Amount <Amt>	[1..1]	Amount	C1	1343
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1343
	RateType <RateTp>	[1..1]			1344
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344
	Amount <Amt>	[1..1]	Amount	C1	1345
	RateStatus <RateSts>	[0..1]		C52	1345
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1346

16.1.15.58.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

16.1.15.58.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.58.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1344
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344
	Amount <Amt>	[1..1]	Amount	C1	1345
	RateStatus <RateSts>	[0..1]		C52	1345
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346

16.1.15.58.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1344
Or}	Proprietary <Prtry>	[1..1]	±		1344

16.1.15.58.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1513

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.15.58.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.58.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.58.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1345
Or}	Proprietary <Prtry>	[1..1]	±		1346

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.58.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.58.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.58.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 1512

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.59 BlockChainAddressWallet11

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1346
	Type <Tp>	[0..1]	±		1346
	Name <Nm>	[0..1]	Text		1347

16.1.15.59.1 Identification <Id>

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max140Text](#)" on page 1526

16.1.15.59.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.15.59.3 Name <Nm>

Presence: [0..1]

Definition: Description of the account.

Datatype: "Max70Text" on page 1528

16.1.15.60 BidRangeType2Choice

Definition: Bid range type as a choice of a defined coded value or a proprietary value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1347

16.1.15.60.1 Code <Cd>

Presence: [1..1]

Definition: Bid range type expressed as a code value.

Datatype: "BidRangeType1Code" on page 1445

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.1.15.60.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Bid range type expressed as a proprietary value.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.16 Organisation Identification

16.1.16.1 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	1348
	LEI <LEI>	[0..1]	IdentifierSet		1348
	Other <Othr>	[0..*]			1349
	Identification <Id>	[1..1]	Text		1349
	SchemeName <SchmeNm>	[0..1]			1349
{Or	Code <Cd>	[1..1]	CodeSet		1349
Or}	Proprietary <Prtry>	[1..1]	Text		1349
	Issuer <Issr>	[0..1]	Text		1349

16.1.16.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.16.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "[LEIIdentifier](#)" on page 1523

16.1.16.1.3 Other <Othr>*Presence:* [0..*]*Definition:* Unique identification of an organisation, as assigned by an institution, using an identification scheme.**Other <Othr>** contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1349
	SchemeName <SchmeNm>	[0..1]			1349
{Or	Code <Cd>	[1..1]	CodeSet		1349
Or}	Proprietary <Prtry>	[1..1]	Text		1349
	Issuer <Issr>	[0..1]	Text		1349

16.1.16.1.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification assigned by an institution.*Datatype:* "Max35Text" on page 1527**16.1.16.1.3.2 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.**SchemeName <SchmeNm>** contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1349
Or}	Proprietary <Prtry>	[1..1]	Text		1349

16.1.16.1.3.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalOrganisationIdentification1Code" on page 1490**16.1.16.1.3.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 1527**16.1.16.1.3.3 Issuer <Issr>***Presence:* [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1527

16.1.17 Party Identification

16.1.17.1 AlternatePartyIdentification11

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1350
	Country <Ctry>	[1..1]	CodeSet	C3	1350
	AlternateIdentification <AltrId>	[1..1]	Text		1350

16.1.17.1.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see "IdentificationType46Choice" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1263
Or}	Proprietary <Prtry>	[1..1]	±		1263

16.1.17.1.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.1.3 AlternateIdentification <AltrId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "Max35Text" on page 1527

16.1.17.2 PartyIdentificationAndAccount205

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1351
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1352
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1352
	ProcessingIdentification <PrpgId>	[0..1]	Text		1352
	AlternateIdentification <AltrnId>	[0..*]	±		1352

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

 /SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

 /BlockChainAddressOrWallet is present

Following Must be True

 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

 /SafekeepingAccount is present

Following Must be True

 /BlockChainAddressOrWallet Must be absent

16.1.17.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 1356 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

16.1.17.2.2 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[RestrictedFINXMax35Text](#)" on page 1532

16.1.17.2.3 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1530

16.1.17.2.4 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1530

16.1.17.2.5 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification9](#)" on page 1360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

16.1.17.3 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1353
	PostalAddress <PstlAdr>	[0..1]	±		1353
	Identification <Id>	[0..1]	±		1354
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1354
	ContactDetails <CtctDtls>	[0..1]	±		1355

16.1.17.3.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1526

16.1.17.3.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 1369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370
	Department <Dept>	[0..1]	Text		1370
	SubDepartment <SubDept>	[0..1]	Text		1370
	StreetName <StrtNm>	[0..1]	Text		1370
	BuildingNumber <BldgNb>	[0..1]	Text		1370
	BuildingName <BldgNm>	[0..1]	Text		1371
	Floor <Flr>	[0..1]	Text		1371
	PostBox <PstBx>	[0..1]	Text		1371
	Room <Room>	[0..1]	Text		1371
	PostCode <PstCd>	[0..1]	Text		1371
	TownName <TwnNm>	[0..1]	Text		1371
	TownLocationName <TwnLctnNm>	[0..1]	Text		1371
	DistrictName <DstrctNm>	[0..1]	Text		1371
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1372
	Country <Ctry>	[0..1]	CodeSet	C3	1372
	AddressLine <AdrLine>	[0..7]	Text		1372

16.1.17.3.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 1358 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		1358
Or}	PrivateIdentification <PrvtId>	[1..1]	±		1358

16.1.17.3.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.3.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 1365 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		1366
	Name <Nm>	[0..1]	Text		1366
	PhoneNumber <PhneNb>	[0..1]	Text		1366
	MobileNumber <MobNb>	[0..1]	Text		1367
	FaxNumber <FaxNb>	[0..1]	Text		1367
	EmailAddress <EmailAdr>	[0..1]	Text		1367
	EmailPurpose <EmailPurp>	[0..1]	Text		1367
	JobTitle <JobTitl>	[0..1]	Text		1367
	Responsibility <Rspnsblty>	[0..1]	Text		1367
	Department <Dept>	[0..1]	Text		1367
	Other <Othr>	[0..*]			1367
	ChannelType <ChanlTp>	[1..1]	Text		1368
	Identification <Id>	[0..1]	Text		1368
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		1368

16.1.17.4 PartyIdentification151Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1356
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1356
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1356
Or}	LEI <LEI>	[1..1]	IdentifierSet		1356

16.1.17.4.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1521**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.4.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification84](#)" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1264
	Issuer <Issr>	[1..1]	Text		1264
	SchemeName <SchmeNm>	[0..1]	Text		1264

16.1.17.4.3 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name and address of a party.**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress12](#)" on page 1368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

16.1.17.4.4 LEI <LEI>*Presence:* [1..1]*Definition:* Legal entity identification of the party.*Datatype:* "LEIIdentifier" on page 1523**16.1.17.5 PartyIdentification137Choice***Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1357
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1357
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1357

16.1.17.5.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.5.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1264
	Issuer <Issr>	[1..1]	Text		1264
	SchemeName <SchmeNm>	[0..1]	Text		1264

16.1.17.5.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 1368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

16.1.17.6 Party38Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		1358
Or}	Privateldentification <PrvtId>	[1..1]	±		1358

16.1.17.6.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "OrganisationIdentification29" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	1348
	LEI <LEI>	[0..1]	IdentifierSet		1348
	Other <Othr>	[0..*]			1349
	Identification <Id>	[1..1]	Text		1349
	SchemeName <SchmeNm>	[0..1]			1349
{Or	Code <Cd>	[1..1]	CodeSet		1349
Or}	Proprietary <Prtry>	[1..1]	Text		1349
	Issuer </ssr>	[0..1]	Text		1349

16.1.17.6.2 Privateldentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see ["PersonIdentification13"](#) on page 1363 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			1363
	BirthDate <BirthDt>	[1..1]	Date		1364
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		1364
	CityOfBirth <CityOfBirth>	[1..1]	Text		1364
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	1364
	Other <Othr>	[0..*]			1364
	Identification <Id>	[1..1]	Text		1365
	SchemeName <SchmeNm>	[0..1]			1365
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	Text		1365
	Issuer </Issr>	[0..1]	Text		1365

16.1.17.7 PartyIdentification136Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1359
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1359

16.1.17.7.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: [C1 "AnyBIC"](#)

Datatype: ["AnyBICDec2014Identifier"](#) on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.7.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1264
	Issuer <Issr>	[1..1]	Text		1264
	SchemeName <SchmeNm>	[0..1]	Text		1264

16.1.17.8 AlternatePartyIdentification9

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			1360
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361
	Country <Ctry>	[1..1]	CodeSet	C3	1361
	AlternateIdentification <AltrnId>	[1..1]	Text		1361

16.1.17.8.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following **IdentificationType44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1360
Or}	Proprietary <Prtry>	[1..1]	±		1361

16.1.17.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "[TypeOfIdentification1Code](#)" on page 1519

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.

CodeName	Name	Definition
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.17.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.17.8.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: [C3 "Country"](#)

Datatype: ["CountryCode"](#) on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.8.3 AlternatIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: ["RestrictedFINXMax30Text"](#) on page 1531

16.1.17.9 PartyIdentification259Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1362
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1362
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1362
Or}	IndividualOwnerIdentification <IndvOwnrId>	[1..1]	±		1363

16.1.17.9.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1521

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.9.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1264
	Issuer <Issr>	[1..1]	Text		1264
	SchemeName <SchmeNm>	[0..1]	Text		1264

16.1.17.9.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 1368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

16.1.17.9.4 IndividualOwnerIdentification <IndvOwnrId>*Presence:* [1..1]*Definition:* Individual local country specific identification of the owner agreed between the account servicer and account owner.**IndividualOwnerIdentification <IndvOwnrId>** contains the following elements (see "AlternatePartyIdentification11" on page 1350 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1350
	Country <Ctry>	[1..1]	CodeSet	C3	1350
	AlternateIdentification <AltrnId>	[1..1]	Text		1350

16.1.18 Person Identification**16.1.18.1 PersonIdentification13***Definition:* Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			1363
	BirthDate <BirthDt>	[1..1]	Date		1364
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		1364
	CityOfBirth <CityOfBirth>	[1..1]	Text		1364
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	1364
	Other <Othr>	[0..*]			1364
	Identification <Id>	[1..1]	Text		1365
	SchemeName <SchmeNm>	[0..1]			1365
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	Text		1365
	Issuer </ssr>	[0..1]	Text		1365

16.1.18.1.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>*Presence:* [0..1]*Definition:* Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		1364
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		1364
	CityOfBirth <CityOfBirth>	[1..1]	Text		1364
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	1364

16.1.18.1.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 1520

16.1.18.1.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 1527

16.1.18.1.1.3 CityOfBirth <CityOfBirth>

Presence: [1..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 1527

16.1.18.1.1.4 CountryOfBirth <CtryOfBirth>

Presence: [1..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.18.1.2 Other <Othr>

Presence: [0..*]

Definition: Unique identification of a person, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1365
	SchemeName <SchmeNm>	[0..1]			1365
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	Text		1365
	Issuer <Issr>	[0..1]	Text		1365

16.1.18.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1527

16.1.18.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	Text		1365

16.1.18.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalPersonIdentification1Code" on page 1491

16.1.18.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1527

16.1.18.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1527

16.1.18.2 Contact4

Definition: Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		1366
	Name <Nm>	[0..1]	Text		1366
	PhoneNumber <PhneNb>	[0..1]	Text		1366
	MobileNumber <MobNb>	[0..1]	Text		1367
	FaxNumber <FaxNb>	[0..1]	Text		1367
	EmailAddress <EmailAdr>	[0..1]	Text		1367
	EmailPurpose <EmailPurp>	[0..1]	Text		1367
	JobTitle <JobTitl>	[0..1]	Text		1367
	Responsibility <Rspnsblty>	[0..1]	Text		1367
	Department <Dept>	[0..1]	Text		1367
	Other <Othr>	[0..*]			1367
	ChannelType <ChanlTp>	[1..1]	Text		1368
	Identification <Id>	[0..1]	Text		1368
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		1368

16.1.18.2.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix2Code" on page 1499

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.1.18.2.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1526

16.1.18.2.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1528

16.1.18.2.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1528

16.1.18.2.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1528

16.1.18.2.6 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max2048Text" on page 1526

16.1.18.2.7 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 1527

16.1.18.2.8 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 1527

16.1.18.2.9 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 1527

16.1.18.2.10 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1528

16.1.18.2.11 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanITp>	[1..1]	Text		1368
	Identification <Id>	[0..1]	Text		1368

16.1.18.2.11.1 ChannelType <ChanITp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 1527

16.1.18.2.11.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 1526

16.1.18.2.12 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod1Code" on page 1508

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.1.19 Postal Address

16.1.19.1 NameAndAddress12

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1368

16.1.19.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "RestrictedFINXMax140Text" on page 1530

16.1.19.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370
	Department <Dept>	[0..1]	Text		1370
	SubDepartment <SubDept>	[0..1]	Text		1370
	StreetName <StrtNm>	[0..1]	Text		1370
	BuildingNumber <BldgNb>	[0..1]	Text		1370
	BuildingName <BldgNm>	[0..1]	Text		1371
	Floor <Flr>	[0..1]	Text		1371
	PostBox <PstBx>	[0..1]	Text		1371
	Room <Room>	[0..1]	Text		1371
	PostCode <PstCd>	[0..1]	Text		1371
	TownName <TwnNm>	[0..1]	Text		1371
	TownLocationName <TwnLctnNm>	[0..1]	Text		1371
	DistrictName <DstrctNm>	[0..1]	Text		1371
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1372
	Country <Ctry>	[0..1]	CodeSet	C3	1372
	AddressLine <AdrLine>	[0..7]	Text		1372

16.1.19.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370

16.1.19.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 1443

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.1.19.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1266
	Issuer <Issr>	[1..1]	Text		1267
	SchemeName <SchmeNm>	[0..1]	Text		1267

16.1.19.2.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1528

16.1.19.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "Max70Text" on page 1528

16.1.19.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 1528

16.1.19.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 1526

16.1.19.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 1527

16.1.19.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 1528

16.1.19.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 1526

16.1.19.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 1528

16.1.19.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1526

16.1.19.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1527

16.1.19.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 1527

16.1.19.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 1527

16.1.19.2.14 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 1527

16.1.19.2.15 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1485

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.19.2.16 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 1528

16.1.20 Price

16.1.20.1 PriceFormat62Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1372
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1373

16.1.20.1.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following **AmountPrice7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1373
	PriceValue <PricVal>	[1..1]	Amount	C1	1373

16.1.20.1.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType3Code" on page 1444

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.1.20.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.1.2 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.2 PriceFormat58Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1374
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1374

16.1.20.2.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice4" on page 1374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1374
	PriceValue <PricVal>	[1..1]	Amount	C1	1374

16.1.20.2.2 NotSpecifiedPrice <NotSpctdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1509

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.3 AmountPrice4

Definition: Price expressed as an actual amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1374
	PriceValue <PricVal>	[1..1]	Amount	C1	1374

16.1.20.3.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType2Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.1.20.3.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4 AmountPrice5

Definition: Price expressed as an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1375
	PriceValue <PricVal>	[1..1]	Amount	C1	1375

16.1.20.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1443

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.21 Quantity

16.1.21.1 SignedQuantityFormat16

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1376
	Quantity <Qty>	[1..1]	±	C12	1376

16.1.21.1.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1519

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.1.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C12 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.2 FinancialInstrumentQuantity36Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.2.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.21.2.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.2.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.2.4 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1524

16.1.21.3 SignedQuantityFormat13

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.21.3.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1519

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C12 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.4 Quantity57Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1379
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1379
	FaceAmount <FaceAmt>	[1..1]	Amount		1379
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1379
Or}	SignedQuantity <SgndQty>	[1..1]	±		1380

16.1.21.4.1 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following **OriginalAndCurrentQuantities7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1379
	FaceAmount <FaceAmt>	[1..1]	Amount		1379
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1379

16.1.21.4.1.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1519

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.4.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.4.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.4.2 SignedQuantity <SgndQty>*Presence:* [1..1]*Definition:* Signed quantity of security.**SignedQuantity <SgndQty>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1378
	Quantity <Qty>	[1..1]	±	C12	1378

16.1.21.5 Quantity53Choice*Definition:* Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1380
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1381
	Quantity <Qty>	[1..1]	Quantity		1381
	QuantityType <QtyTp>	[1..1]	Text		1381
	Issuer <Issr>	[1..1]	Text		1381
	SchemeName <SchmeNm>	[0..1]	Text		1381

16.1.21.5.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of security.*Impacted by:* C12 "DigitalTokenUnitUsageRule"**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.5.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		1381
	QuantityType <QtyTp>	[1..1]	Text		1381
	Issuer <Issr>	[1..1]	Text		1381
	SchemeName <SchmeNm>	[0..1]	Text		1381

16.1.21.5.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.21.5.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1526

16.1.21.5.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.21.5.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.21.6 FinancialInstrumentQuantity44Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1382
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1382
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1382
Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1382

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.6.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.21.6.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.6.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.6.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity4Code" on page 1512

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.6.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1524

16.1.21.7 FinancialInstrumentQuantity33Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1383
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1383
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1383
Or}	DigitalTokenUnit <DgtITknUnit>	[1..1]	Quantity		1383

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.7.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1524

16.1.21.7.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1435

16.1.21.7.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1435

16.1.21.7.4 DigitalTokenUnit <DgtITknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1524

16.1.21.8 Quantity55Choice

Definition: Choice between different formats of securities quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1384
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1384
Or}	Quantity <Qty>	[1..1]	±	C12	1384

16.1.21.8.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify quantity of a financial instrument.

Datatype: "Quantity1Code" on page 1511

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.8.2 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following elements (see "OriginalAndCurrentQuantities4" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1422
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1422

16.1.21.8.3 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C12 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.9 SignedQuantityFormat12

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1385
	QuantityChoice <QtyChc>	[1..1]	±		1385

16.1.21.9.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1519

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.9.2 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following elements (see "Quantity53Choice" on page 1380 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1380
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1381
	Quantity <Qty>	[1..1]	Quantity		1381
	QuantityType <QtyTp>	[1..1]	Text		1381
	Issuer </Issr>	[1..1]	Text		1381
	SchemeName <SchmeNm>	[0..1]	Text		1381

16.1.21.10 Quantity80Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		1386
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1386
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1386
	Quantity <Qty>	[1..1]	Quantity		1387
	QuantityType <QtyTp>	[1..1]	Text		1387
	Issuer </ssr>	[1..1]	Text		1387
	SchemeName <SchmeNm>	[0..1]	Text		1387

16.1.21.10.1 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following elements (see "[Quantity57Choice](#)" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1379
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1379
	FaceAmount <FaceAmt>	[1..1]	Amount		1379
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1379
Or}	SignedQuantity <SgndQty>	[1..1]	±		1380

16.1.21.10.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1386
	Quantity <Qty>	[1..1]	Quantity		1387
	QuantityType <QtyTp>	[1..1]	Text		1387
	Issuer </ssr>	[1..1]	Text		1387
	SchemeName <SchmeNm>	[0..1]	Text		1387

16.1.21.10.2.1 ShortLongPosition <ShrtLngPos>

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1519

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.10.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.21.10.2.3 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1526

16.1.21.10.2.4 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.21.10.2.5 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 1527

16.1.21.11 Quantity54Choice

Definition: Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

16.1.21.11.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C12 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1377
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1377
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1377
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1377

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.11.2 OriginalAndCurrentFace <OrgnlAndCurFace>

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

OriginalAndCurrentFace <OrgnlAndCurFace> contains the following elements (see "OriginalAndCurrentQuantities4" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1422
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1422

16.1.21.12 SignedQuantityFormat11

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1388
	QuantityChoice <QtyChc>	[1..1]			1389
{Or	Quantity <Qty>	[1..1]	±	C16	1389
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1390
	Quantity <Qty>	[1..1]	Quantity		1390
	QuantityType <QtyTp>	[1..1]	Text		1390
	Issuer <Issr>	[1..1]	Text		1390
	SchemeName <SchmeNm>	[0..1]	Text		1390

16.1.21.12.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1519

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.12.2 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following **Quantity48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C16	1389
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1390
	Quantity <Qty>	[1..1]	Quantity		1390
	QuantityType <QtyTp>	[1..1]	Text		1390
	Issuer </ssr>	[1..1]	Text		1390
	SchemeName <SchmeNm>	[0..1]	Text		1390

16.1.21.12.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C16 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1383
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1383
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1383
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1383

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.12.2.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		1390
	QuantityType <QtyTp>	[1..1]	Text		1390
	Issuer <Issr>	[1..1]	Text		1390
	SchemeName <SchmeNm>	[0..1]	Text		1390

16.1.21.12.2.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "DecimalNumber" on page 1524

16.1.21.12.2.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1526

16.1.21.12.2.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max35Text" on page 1527

16.1.21.12.2.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 1527

16.1.21.13 FinancialInstrumentQuantity43Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1391
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1391
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1391
Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1391

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.13.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.21.13.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.13.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.21.13.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 1512

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.1.21.13.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1524

16.1.22 Rate

16.1.22.1 RateFormat24Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1392
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1392

16.1.22.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

16.1.22.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType5Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.2 NetDividendRateFormat41Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1393
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1393
	Amount <Amt>	[1..1]	Amount	C1	1394
	RateStatus <RateSts>	[1..1]	CodeSet		1394
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1394
	RateType <RateTp>	[1..1]			1394
{Or	Code <Cd>	[1..1]	CodeSet		1395
Or}	Proprietary <Prtry>	[1..1]	±		1395
	Amount <Amt>	[1..1]	Amount	C1	1396
	RateStatus <RateSts>	[0..1]		C52	1396
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397

16.1.22.2.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1394
	RateStatus <RateSts>	[1..1]	CodeSet		1394

16.1.22.2.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.2.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1394
{Or	Code <Cd>	[1..1]	CodeSet		1395
Or}	Proprietary <Prtry>	[1..1]	±		1395
	Amount <Amt>	[1..1]	Amount	C1	1396
	RateStatus <RateSts>	[0..1]		C52	1396
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397

16.1.22.2.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType81Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1395
Or}	Proprietary <Prtry>	[1..1]	±		1395

16.1.22.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1499

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.2.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.2.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.2.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.3 GrossDividendRateFormat40Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1397
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1398
	Amount <Amt>	[1..1]	Amount	C1	1398
	RateStatus <RateSts>	[1..1]	CodeSet		1398
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1398
	RateType <RateTp>	[1..1]			1399
{Or	Code <Cd>	[1..1]	CodeSet		1399
Or}	Proprietary <Prtry>	[1..1]	±		1400
	Amount <Amt>	[1..1]	Amount	C1	1400
	RateStatus <RateSts>	[0..1]		C52	1401
{Or	Code <Cd>	[1..1]	CodeSet		1401
Or}	Proprietary <Prtry>	[1..1]	±		1401

16.1.22.3.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1398
	RateStatus <RateSts>	[1..1]	CodeSet		1398

16.1.22.3.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.3.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1399
{Or	Code <Cd>	[1..1]	CodeSet		1399
Or}	Proprietary <Prtry>	[1..1]	±		1400
	Amount <Amt>	[1..1]	Amount	C1	1400
	RateStatus <RateSts>	[0..1]		C52	1401
{Or	Code <Cd>	[1..1]	CodeSet		1401
Or}	Proprietary <Prtry>	[1..1]	±		1401

16.1.22.3.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1399
Or}	Proprietary <Prtry>	[1..1]	±		1400

16.1.22.3.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1493

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.

CodeName	Name	Definition
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.3.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.3.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1401
Or}	Proprietary <Prtry>	[1..1]	±		1401

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.3.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1512](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.3.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47" on page 1265](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.4 GrossDividendRateFormat39Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1402
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1402
	Amount <Amt>	[1..1]	Amount	C1	1403
	RateStatus <RateSts>	[1..1]	CodeSet		1403
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1403
	RateType <RateTp>	[1..1]			1403
{Or	Code <Cd>	[1..1]	CodeSet		1404
Or}	Proprietary <Prtry>	[1..1]	±		1404
	Amount <Amt>	[1..1]	Amount	C1	1405
	RateStatus <RateSts>	[0..1]		C52	1405
{Or	Code <Cd>	[1..1]	CodeSet		1405
Or}	Proprietary <Prtry>	[1..1]	±		1406

16.1.22.4.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1403
	RateStatus <RateSts>	[1..1]	CodeSet		1403

16.1.22.4.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.4.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1403
{Or	Code <Cd>	[1..1]	CodeSet		1404
Or}	Proprietary <Prtry>	[1..1]	±		1404
	Amount <Amt>	[1..1]	Amount	C1	1405
	RateStatus <RateSts>	[0..1]		C52	1405
{Or	Code <Cd>	[1..1]	CodeSet		1405
Or}	Proprietary <Prtry>	[1..1]	±		1406

16.1.22.4.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType80Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1404
Or}	Proprietary <Prtry>	[1..1]	±		1404

16.1.22.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1493

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.22.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.4.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1405
Or}	Proprietary <Prtry>	[1..1]	±		1406

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.5 RatioFormat21Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1406
Or}	AmountToAmount <AmtToAmt>	[1..1]			1406
	Amount1 <Amt1>	[1..1]	Amount	C1	1407
	Amount2 <Amt2>	[1..1]	Amount	C1	1407

16.1.22.5.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

16.1.22.5.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1407
	Amount2 <Amt2>	[1..1]	Amount	C1	1407

16.1.22.5.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.5.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6 RatioFormat22Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1408
Or	AmountToAmount <AmtToAmt>	[1..1]			1408
	Amount1 <Amt1>	[1..1]	Amount	C1	1408
	Amount2 <Amt2>	[1..1]	Amount	C1	1409
Or	AmountToQuantity <AmtToQty>	[1..1]			1409
	Amount <Amt>	[1..1]	Amount	C1	1409
	Quantity <Qty>	[1..1]	Quantity		1410
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1410
	Amount <Amt>	[1..1]	Amount	C1	1410
	Quantity <Qty>	[1..1]	Quantity		1410

16.1.22.6.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

16.1.22.6.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1408
	Amount2 <Amt2>	[1..1]	Amount	C1	1409

16.1.22.6.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.3 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1409
	Quantity <Qty>	[1..1]	Quantity		1410

16.1.22.6.3.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.22.6.4 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1410
	Quantity <Qty>	[1..1]	Quantity		1410

16.1.22.6.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.22.7 RateAndAmountFormat48Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1411
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1411

16.1.22.7.1 Amount <Amt>*Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.8 NetDividendRateFormat42Choice*Definition:* Choice between different formats to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1412
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1412
	Amount <Amt>	[1..1]	Amount	C1	1412
	RateStatus <RateSts>	[1..1]	CodeSet		1412
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1413
	RateType <RateTp>	[1..1]			1413
{Or	Code <Cd>	[1..1]	CodeSet		1413
Or}	Proprietary <Prtry>	[1..1]	±		1414
	Amount <Amt>	[1..1]	Amount	C1	1414
	RateStatus <RateSts>	[0..1]		C52	1415
{Or	Code <Cd>	[1..1]	CodeSet		1415
Or}	Proprietary <Prtry>	[1..1]	±		1415

16.1.22.8.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.2 AmountAndRateStatus <AmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1412
	RateStatus <RateSts>	[1..1]	CodeSet		1412

16.1.22.8.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1413
{Or	Code <Cd>	[1..1]	CodeSet		1413
Or}	Proprietary <Prtry>	[1..1]	±		1414
	Amount <Amt>	[1..1]	Amount	C1	1414
	RateStatus <RateSts>	[0..1]		C52	1415
{Or	Code <Cd>	[1..1]	CodeSet		1415
Or}	Proprietary <Prtry>	[1..1]	±		1415

16.1.22.8.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1413
Or}	Proprietary <Prtry>	[1..1]	±		1414

16.1.22.8.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1500

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate

CodeName	Name	Definition
		includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.22.8.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.8.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C52 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1415
Or}	Proprietary <Prtry>	[1..1]	±		1415

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.8.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.9 InterestRateUsedForPaymentFormat19Choice

Definition: Choice between an amount or a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1416
Or	Amount <Amt>	[1..1]	Amount	C1	1416
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1416
	RateType <RateTp>	[1..1]			1417
{Or	Code <Cd>	[1..1]	CodeSet		1417
Or}	Proprietary <Prtry>	[1..1]	±		1417
	Amount <Amt>	[1..1]	Amount	C1	1418
	RateStatus <RateSts>	[0..1]		C52	1418
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

16.1.22.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

16.1.22.9.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1417
{Or	Code <Cd>	[1..1]	CodeSet		1417
Or}	Proprietary <Prtry>	[1..1]	±		1417
	Amount <Amt>	[1..1]	Amount	C1	1418
	RateStatus <RateSts>	[0..1]		C52	1418
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

16.1.22.9.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1417
Or}	Proprietary <Prtry>	[1..1]	±		1417

16.1.22.9.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1513

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.22.9.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.9.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1436

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.9.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1512

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.9.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.22.10 RateFormat25Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1419
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1419

16.1.22.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1525

16.1.22.10.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType10Code](#)" on page 1512

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.11 RateAndAmountFormat57Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1420
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1420
Or}	Amount <Amt>	[1..1]	Amount	C1	1420

16.1.22.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1525

16.1.22.11.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1513

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.11.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1435

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.23 Safekeeping Place

16.1.23.1 SafekeepingPlaceTypeAndText15

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

16.1.23.1.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace3Code" on page 1519

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.1.2 Identification <Id>*Presence:* [0..1]*Definition:* Additional information about the place of safekeeping.*Datatype:* "RestrictedFINXMax30Text" on page 1531**16.1.23.2 SafekeepingPlaceTypeAndText9***Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1421
	Identification <Id>	[0..1]	Text		1421

16.1.23.2.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace2Code" on page 1518

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.1.23.2.2 Identification <Id>*Presence:* [0..1]*Definition:* Additional information about the place of safekeeping.*Datatype:* "RestrictedFINXMax30Text" on page 1531

16.1.24 Securities Quantity

16.1.24.1 QuantityToQuantityRatio2

Definition: Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1422
	Quantity2 <Qty2>	[1..1]	Quantity		1422

16.1.24.1.1 Quantity1 <Qty1>

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.24.1.2 Quantity2 <Qty2>

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 1524

16.1.24.2 OriginalAndCurrentQuantities4

Definition: Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1422
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1422

16.1.24.2.1 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.24.2.2 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1437

16.1.25 Status

16.1.25.1 CancelledStatus6

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancelledQuantity <CancQty>	[0..1]	±		1423
	CancellationReason <CxlRsn>	[1..1]	±		1423

16.1.25.1.1 CancelledQuantity <CancQty>

Presence: [0..1]

Definition: Quantity of instructed securities for which instruction is cancelled.

CancelledQuantity <CancQty> contains one of the following elements (see ["Quantity54Choice"](#) on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

16.1.25.1.2 CancellationReason <CxlRsn>

Presence: [1..1]

Definition: Reason for the cancelled status.

CancellationReason <CxlRsn> contains one of the following elements (see ["CancelledStatus17Choice"](#) on page 1431 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1432
Or}	Reason <Rsn>	[1..*]		C3	1432
	ReasonCode <RsnCd>	[1..1]			1432
{Or	Code <Cd>	[1..1]	CodeSet		1433
Or}	Proprietary <Prtry>	[1..1]	±		1433
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1433

16.1.25.2 ProprietaryReason5

Definition: Proprietary identification of the reason related to a status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1424
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1424

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.25.2.1 Reason <Rsn>

Presence: [0..1]

Definition: Proprietary identification of the reason related to a status.

Reason <Rsn> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.25.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1530

16.1.25.3 CancelledStatus15Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1424
Or}	Reason <Rsn>	[1..*]		C3	1425
	ReasonCode <RsnCd>	[1..1]			1425
{Or	Code <Cd>	[1..1]	CodeSet		1425
Or}	Proprietary <Prtry>	[1..1]	±		1426
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1426

16.1.25.3.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.25.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: C3 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **CancelledStatusReason14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1425
{Or	Code <Cd>	[1..1]	CodeSet		1425
Or}	Proprietary <Prtry>	[1..1]	±		1426
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1426

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.25.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1425
Or}	Proprietary <Prtry>	[1..1]	±		1426

16.1.25.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason6Code" on page 1446

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a

CodeName	Name	Definition
		market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.1.25.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.25.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1530

16.1.25.4 NoSpecifiedReason1

Definition: No specified reason available for the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1426

16.1.25.4.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "[NoReasonCode](#)" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.25.5 ProprietaryStatusAndReason7

Definition: Provides the proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1427
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1427

16.1.25.5.1 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary identification of the status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.25.5.2 ProprietaryReason <PrtryRsn>

Presence: [0..*]

Definition: Proprietary identification of the reason related to a proprietary status.

Impacted by: [C2 "AdditionalReasonInformationRule"](#)

ProprietaryReason <PrtryRsn> contains the following elements (see "[ProprietaryReason5](#)" on page 1423 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1424
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1424

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.25.6 CancelledStatus14Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1428
Or}	Reason <Rsn>	[1..*]		C4	1428
	ReasonCode <RsnCd>	[1..1]			1428
{Or	Code <Cd>	[1..1]	CodeSet		1429
Or}	Proprietary <Prtry>	[1..1]	±		1429
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1429

16.1.25.6.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.25.6.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **CancelledStatusReason13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1428
{Or	Code <Cd>	[1..1]	CodeSet		1429
Or}	Proprietary <Prtry>	[1..1]	±		1429
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1429

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.25.6.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1429
Or}	Proprietary <Prtry>	[1..1]	±		1429

16.1.25.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason5Code" on page 1446

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.1.25.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.25.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1530

16.1.25.7 AcceptedStatus13Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1430
Or}	Reason <Rsn>	[1..*]		C4	1430
	ReasonCode <RsnCd>	[1..1]			1430
{Or	Code <Cd>	[1..1]	CodeSet		1431
Or}	Proprietary <Prtry>	[1..1]	±		1431
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1431

16.1.25.7.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.25.7.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the accepted status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **AcceptedStatusReason14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1430
{Or	Code <Cd>	[1..1]	CodeSet		1431
Or}	Proprietary <Prtry>	[1..1]	±		1431
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1431

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.25.7.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been accepted.

ReasonCode <RsnCd> contains one of the following **AcceptedReason15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1431
Or}	Proprietary <Prtry>	[1..1]	±		1431

16.1.25.7.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify additional information about the processed instruction.

Datatype: "AcknowledgementReason7Code" on page 1437

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.1.25.7.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of additional information about the processed instruction.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.25.7.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1530

16.1.25.8 CancelledStatus17Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1432
Or}	Reason <Rsn>	[1..*]		C3	1432
	ReasonCode <RsnCd>	[1..1]			1432
{Or	Code <Cd>	[1..1]	CodeSet		1433
Or}	Proprietary <Prtry>	[1..1]	±		1433
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1433

16.1.25.8.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1503

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.25.8.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: C3 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **CancelledStatusReason17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1432
{Or	Code <Cd>	[1..1]	CodeSet		1433
Or}	Proprietary <Prtry>	[1..1]	±		1433
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1433

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.25.8.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1433
Or}	Proprietary <Prtry>	[1..1]	±		1433

16.1.25.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason6Code" on page 1446

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.1.25.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1265
	Issuer <Issr>	[1..1]	Text		1265
	SchemeName <SchmeNm>	[0..1]	Text		1265

16.1.25.8.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1530

16.1.25.9 AcceptedStatus3

Definition: Status and quantity information related to an accepted instruction request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AcceptedQuantity <AccptdQty>	[0..1]	±		1434
	AcceptedReason <AccptdRsn>	[1..1]	±		1434

16.1.25.9.1 AcceptedQuantity <AccptdQty>

Presence: [0..1]

Definition: Quantity of instructed securities that has been accepted for further processing.

AcceptedQuantity <AccptdQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C12	1387
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1388

16.1.25.9.2 AcceptedReason <AccptdRsn>

Presence: [1..1]

Definition: Reason for the accepted status.

AcceptedReason <AccptdRsn> contains one of the following elements (see "[AcceptedStatus13Choice](#)" on page 1429 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1430
Or}	Reason <Rsn>	[1..*]		C4	1430
	ReasonCode <RsnCd>	[1..1]			1430
{Or	Code <Cd>	[1..1]	CodeSet		1431
Or}	Proprietary <Prtry>	[1..1]	±		1431
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1431

16.1.26 System Identification

16.1.26.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1435
Or}	Proprietary <Prtry>	[1..1]	Text		1435

16.1.26.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Identification of a clearing system, in a coded form as published in an external list.*Datatype:* "ExternalClearingSystemIdentification1Code" on page 1490**16.1.26.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.*Datatype:* "Max35Text" on page 1527**16.2 Message Datatypes****16.2.1 Amount****16.2.1.1 ActiveCurrencyAnd13DecimalAmount***Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1437

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.2 ImpliedCurrencyAndAmount*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

16.2.1.3 RestrictedFINActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1437

Format

minInclusive	0
totalDigits	14
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.4 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1437

Format

minInclusive	0
--------------	---

totalDigits 14
fractionDigits 5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.2.1.5 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive 0
totalDigits 14
fractionDigits 5

16.2.2 CodeSet

16.2.2.1 AcknowledgementReason7Code

Definition: Specifies additional information about the processed instruction.

Type: CodeSet

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.2.2.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.2.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.2.2.4 AdditionalBusinessProcess10Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with

CodeName	Name	Definition
		an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.5 AdditionalBusinessProcess12Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

CodeName	Name	Definition
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.

16.2.2.6 AdditionalBusinessProcess7Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).

CodeName	Name	Definition
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.7 AdditionalBusinessProcess8Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.

CodeName	Name	Definition
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.8 AdditionalBusinessProcess9Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.9 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.2.2.10 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.2.2.11 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.2.2.12 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.2.2.13 BeneficiaryCertificationType5Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.2.2.14 BeneficiaryCertificationType6Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

CodeName	Name	Definition
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

16.2.2.15 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.2.2.16 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- **ValidationByTable**

16.2.2.17 CancelledStatusReason5Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.2.2.18 CancelledStatusReason6Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.2.2.19 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.2.2.20 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.2.2.21 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

16.2.2.22 CorporateActionCancellationReason1Code

Definition: Specifies reasons for cancellation of a corporate action event.

Type: CodeSet

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

16.2.2.23 CorporateActionCodeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.

CodeName	Name	Definition
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.2.2.24 CorporateActionChangeType2Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.2.2.25 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.2.2.26 CorporateActionEventStage3Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.2.2.27 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.2.2.28 CorporateActionEventType35Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities.

CodeName	Name	Definition
		Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying

CodeName	Name	Definition
		securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the

CodeName	Name	Definition
		issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with

CodeName	Name	Definition
		different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to

CodeName	Name	Definition
		reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which

CodeName	Name	Definition
		usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.2.2.29 CorporateActionEventType36Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.

CodeName	Name	Definition
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.

CodeName	Name	Definition
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore,

CodeName	Name	Definition
		not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being

CodeName	Name	Definition
		offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the

CodeName	Name	Definition
		terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.

CodeName	Name	Definition
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.2.2.30 CorporateActionEventType37Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a

CodeName	Name	Definition
		formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more

CodeName	Name	Definition
		years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.2.2.31 CorporateActionEventType40Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

CodeName	Name	Definition
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The

CodeName	Name	Definition
		redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares

CodeName	Name	Definition
		premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.2.2.32 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.2.2.33 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.2.2.34 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.2.2.35 CorporateActionMovementPreliminaryAdviceFunction1Code

Definition: Specifies whether the message is a final eligible balance and entitlements message or a preliminary advice of payment message.

Type: CodeSet

CodeName	Name	Definition
ENTL	Entitlement	Message is an entitlement.
CAPA	PreadviceOfMovement	Message is a preadvice of movement.

16.2.2.36 CorporateActionNarrative1Code

Definition: Specifies the narrative type of a corporate action.

Type: CodeSet

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

16.2.2.37 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

16.2.2.38 CorporateActionOption11Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.

CodeName	Name	Definition
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.39 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities

CodeName	Name	Definition
		Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.40 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.

CodeName	Name	Definition
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.41 CorporateActionOption16Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.

CodeName	Name	Definition
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.42 CorporateActionOption17Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

CodeName	Name	Definition
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.43 CorporateActionPreliminaryAdviceType1Code

Definition: Type of movement preliminary advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

16.2.2.44 CorporateActionReversalReason3Code

Definition: Specifies the reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
UPAY	UnduePayment	Payment is not due.
OTHR	Other	Other

16.2.2.45 CorporateActionStatementReportingType1Code

Definition: Type of statement reporting on account holdings for corporate action events for example. reporting on multiple accounts or multiple events.

Type: CodeSet

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.2.2.46 CorporateActionStatementType2Code

Definition: Indicates whether the statement contains missing instructions only or all instructions.

Type: CodeSet

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.2.2.47 CorporateActionTaxableIncomePerShareCalculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.2.2.48 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.2.2.49 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

16.2.2.50 DateType1Code*Definition:* Specifies when date is unknown.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.51 DateType7Code*Definition:* Type of date.*Type:* CodeSet

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.52 DateType8Code*Definition:* Specifies the type of dates.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.53 DateType9Code*Definition:* Date is specified as a fixed code value.*Type:* CodeSet

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.

CodeName	Name	Definition
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.54 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.2.2.55 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.2.2.56 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.

CodeName	Name	Definition
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.2.2.57 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.2.2.58 DutchAuctionType1Code

Definition: Specifies the type of Dutch auction.

Type: CodeSet

CodeName	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

16.2.2.59 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.2.2.60 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.

CodeName	Name	Definition
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.2.2.61 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.2.2.62 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.2.2.63 EventFrequency4Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.2.2.64 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.

CodeName	Name	Definition
INTE	Interim	Interim event in a series of successive events.

16.2.2.65 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

16.2.2.66 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.67 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.68 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.69 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.70 FractionDispositionType10Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.2.2.71 FractionDispositionType11Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

CodeName	Name	Definition
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.2.2.72 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.73 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

CodeName	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.74 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.2.2.75 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

CodeName	Name	Definition
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.76 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is

CodeName	Name	Definition
		the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the

CodeName	Name	Definition
		last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is

CodeName	Name	Definition
		higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.2.2.77 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.2.2.78 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

16.2.2.79 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.2.2.80 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.2.2.81 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.2.2.82 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.2.2.83 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.84 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.2.2.85 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

CodeName	Name	Definition
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

16.2.2.86 NewSecuritiesIssuanceType6Code

Definition: Specifies the type of securities proceeds; whether they are defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

16.2.2.87 NonEligibleProceedsIndicator1Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.2.2.88 NonEligibleProceedsIndicator2Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

16.2.2.89 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.2.2.90 OfferType5Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

16.2.2.91 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.2.2.92 OptionFeatures12Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.2.2.93 OptionFeatures13Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

CodeName	Name	Definition
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.2.2.94 OptionFeatures14Code

Definition: Specifies the feature of an option.

Type: CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.2.2.95 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.2.2.96 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.2.2.97 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

16.2.2.98 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

16.2.2.99 PendingCancellationReason5Code

Definition: Specifies the reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.2.2.100 PendingReason27Code

Definition: Specifies the reason why the instruction has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.

CodeName	Name	Definition
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.101 PendingReason29Code

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Type: CodeSet

CodeName	Name	Definition
NPAY	NoPayment	Payment has not been made by issuer.
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Instruction/event is pending because the reclaim is sent to the tax authorities.

16.2.2.102 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.2.2.103 PriceCalculationMethod1Code

Definition: Standard code to specify the method applied for the calculation of the price.

Type: CodeSet

CodeName	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.

CodeName	Name	Definition
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
AVCL	AverageClosingPrice	Price of the asset at close of a given day.

16.2.2.104 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.2.2.105 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.106 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.2.2.107 PriceValueType9Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.108 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.2.2.109 ProrationBelowMinimumQuantity1Code

Definition: Specifies the type of proration when below the minimum quantity to instruct.

Type: CodeSet

CodeName	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

16.2.2.110 ProtectInstructionStatus3Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

16.2.2.111 ProtectInstructionStatus4Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

16.2.2.112 ProtectTransactionType2Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

16.2.2.113 ProtectTransactionType3Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

16.2.2.114 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.115 Quantity4Code*Definition:* Specifies quantity of a financial instrument.*Type:* CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.116 Quantity5Code*Definition:* Specifies quantity of a financial instrument.*Type:* CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.2.2.117 RateStatus1Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.2.2.118 RateType10Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.119 RateType13Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.2.2.120 RateType5Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.121 RateType7Code*Definition:* Specifies the type of rate.*Type:* CodeSet

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.2.2.122 RateValueType7Code*Definition:* Specifies the value of a rate.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.123 RejectionReason79Code*Definition:* Specifies the reason why the instruction/cancellation request has a rejected status.*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
CTCT	MissingContact	Contact information is missing.

CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

16.2.2.124 RejectionReason85Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.

CodeName	Name	Definition
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.

CodeName	Name	Definition
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.125 RejectionReason86Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.

CodeName	Name	Definition
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.126 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.2.2.127 ReturnedStatus1Code

Definition: Specifies the reason why the instruction/cancellation request has a returned status.

Type: CodeSet

CodeName	Name	Definition
NARR	NarrativeReason	See narrative field for reason.
PROR	Prorated	Shares returned due to prorated acceptance.

16.2.2.128 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.2.2.129 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.130 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.2.2.131 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.132 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.2.2.133 StatementUpdateType1Code

Definition: Specifies the nature of a statement update, for example, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.2.2.134 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.2.2.135 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- ValidationByTable

16.2.2.136 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.2.3 Date

16.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

16.2.4 DateTime

16.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

16.2.5 IdentifierSet

16.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.2.5.3 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; CFIIIdentifier

Format

pattern [A-Z]{6,6}

16.2.5.4 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Identification scheme: Digital Token Identifier Foundation (DTIF)

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

16.2.5.5 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.2.5.6 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

16.2.5.7 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

16.2.5.8 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

16.2.6 Indicator**16.2.6.1 YesNoIndicator**

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

16.2.7 Quantity

16.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format	
totalDigits	18
fractionDigits	17

16.2.7.2 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format	
totalDigits	30
fractionDigits	29

16.2.7.3 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format	
totalDigits	3
fractionDigits	0

- Constraints
- **NumberRule**
If Number is negative, then Sign must be present.

16.2.7.4 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format	
totalDigits	14

fractionDigits	14
----------------	----

16.2.8 Rate

16.2.8.1 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13
baseValue	1.0

16.2.8.2 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13
baseValue	100.0

16.2.9 Text

16.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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16.2.9.2 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern	[A-Z0-9]{3}
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16.2.9.3 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.
Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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16.2.9.4 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.
Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
---------	--

16.2.9.5 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.
Type: Text

Format

minLength	1
maxLength	128

16.2.9.6 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.
Type: Text

Format

minLength	1
maxLength	140

16.2.9.7 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.
Type: Text

Format

minLength	1
maxLength	16

16.2.9.8 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

16.2.9.9 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

16.2.9.10 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

16.2.9.11 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.

Type: Text

Format

pattern	[0-9]{1,3}
---------	------------

16.2.9.12 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

16.2.9.13 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

16.2.9.14 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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16.2.9.15 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

16.2.9.16 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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16.2.9.17 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

16.2.9.18 RestrictedFINMax15Text

Definition: Specifies a character string with a maximum length of 15 characters.

Type: Text

Format

minLength	1
maxLength	15

16.2.9.19 RestrictedFINMax210Text

Definition: Specifies a character string with a maximum length of 210 characters.

Type: Text

Format

minLength	1
maxLength	210

16.2.9.20 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `(([/]+/)+([/]+)([/]*)` that disables the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	35
pattern	<code>(([/]+/)+([/]+)([/]*)</code>

16.2.9.21 RestrictedFINMax50Text

Definition: Specifies a character string with a maximum length of 50 characters. It has a pattern `(([/]+/)+([/]+)([/]*)` that disables the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	50
pattern	<code>(([/]+/)+([/]+)([/]*)</code>

16.2.9.22 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	34
pattern	[0-9a-zA-Z\-\?:\(\)\.,\'+]{1,34}

16.2.9.23 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	140
pattern	[0-9a-zA-Z\-\?:\(\)\.,\n\r,\'+]{1,140}

16.2.9.24 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	16
pattern	(([0-9a-zA-Z\-\?:\(\)\.,\'+]([0-9a-zA-Z\-\?:\(\)\.,\'+]*([0-9a-zA-Z\-\?:\(\)\.,\'+])?)*\n\r,\' +])?*)

16.2.9.25 RestrictedFINXMax210Text

Definition: Specifies a character string with a maximum length of 210 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	210
pattern	[0-9a-zA-Z\-\?:\(\)\.,\n\r,\'+]{1,210}

16.2.9.26 RestrictedFINXMax256Text

Definition: Specifies a character string with a maximum length of 256 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	256
pattern	[0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+]{1,256}

16.2.9.27 RestrictedFINXMax25Text

Definition: Specifies a character string with a maximum length of 24 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	25
pattern	(([0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+]([0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+]*([0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+])?)*))

16.2.9.28 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	30
pattern	(([0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+]([0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+]*([0-9a-zA-Z\-\?:\(\)\.\\n\r,\"+])?)*))

16.2.9.29 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
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maxLength	31
pattern	[0-9a-zA-Z\-\?:(\)\.,\'+]{1,31}

16.2.9.30 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	34
pattern	(([0-9a-zA-Z\-\?:(\)\.,\'+]([0-9a-zA-Z\-\?:(\)\.,\'+])*([0-9a-zA-Z\-\?:(\)\.,\'+])?)*)

16.2.9.31 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	350
pattern	[0-9a-zA-Z\-\?:(\)\.,\n\r,\'+]{1,350}

16.2.9.32 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	35
pattern	[0-9a-zA-Z\-\?:(\)\.,\'+]{1,35}

16.2.9.33 RestrictedFINZMax2048Text

Definition: Specifies a character string with a maximum length of 2048 characters limited to character set Z that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format	
minLength	1
maxLength	2048
pattern	[0-9a-zA-Z!"%&*;;<> \.,\(\)\n\r/=\'+: \? @#\{ \- _]{1,2048}

16.2.9.34 RestrictedFINZMax8000Text

Definition: Specifies a character string with a maximum length of 8000 characters limited to character set Z, that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format	
minLength	1
maxLength	8000
pattern	[0-9a-zA-Z!"%&*;;<> \.,\(\)\n\r/=\'+: \? @#\{ \- _]{1,8000}

16.2.10 Time

16.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

- 1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day
- 2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time